

Neutrino Physics with IceCube and PINGU





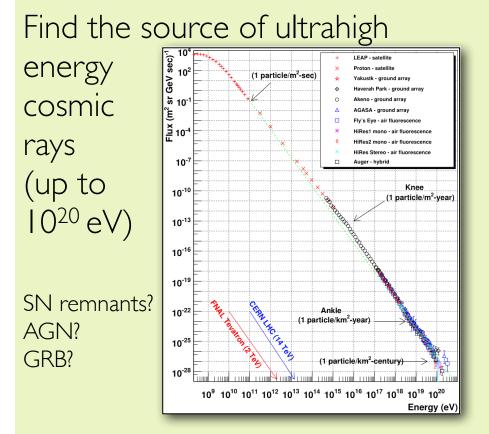
PRECISION ICECUBE NEXT GENERATION UPGRADE

Doug Cowen • Penn State University • IceCube Collaboration

Outline

- The IceCube Neutrino Observatory
 - Physics Motivations
 - Construction and Design
- Fundamental Oscillation Parameters & WIMP Searches
 - ν_{μ} Disappearance and ν_{τ} Appearance
 - Solar WIMPs
- Future Extensions of IceCube: "IceCube-Gen2"
 - Low Energies: PINGU
 - High Energies: See talks next session
- Conclusions

IceCube: Physics Motivations



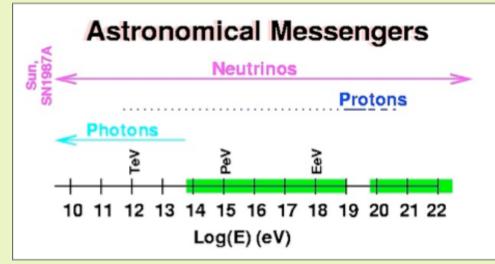
$$p + N \rightarrow X + \{\pi^+, \pi^-, \pi^0\}$$

$$\pi^0 \rightarrow \gamma + \gamma$$

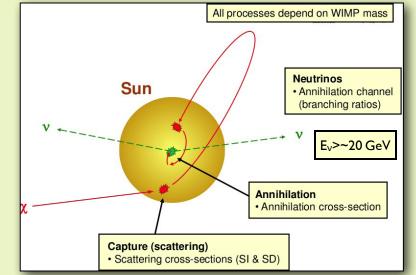
$$\pi^+ \rightarrow \mu^+ + \nu_{\mu}$$

$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_{\mu}$$

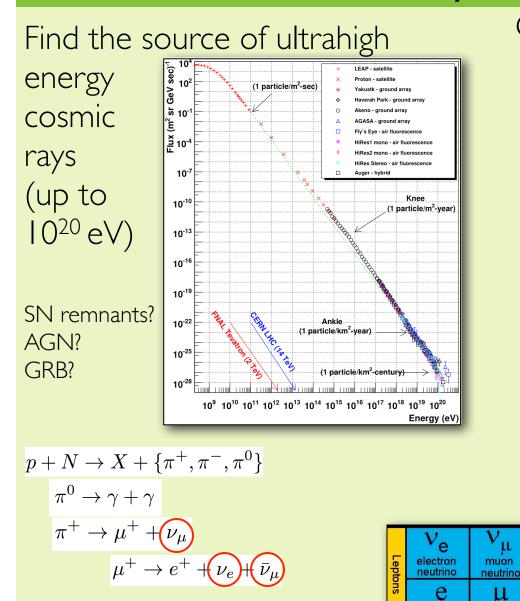
Open a new astronomical window.



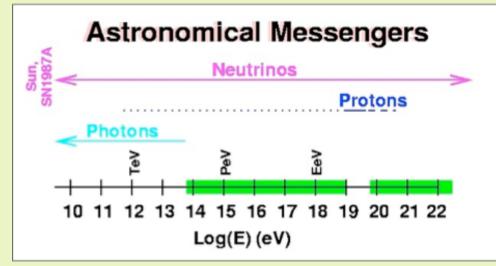
Probe low-mass WIMP dark matter.



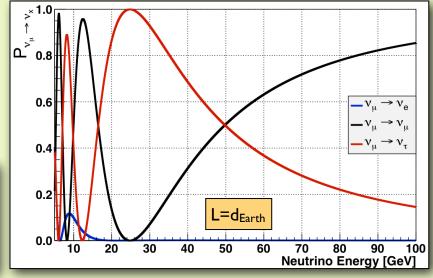
IceCube: Physics Motivations



Open a new astronomical window.







τ

tau

neutrino

τ

tau

u

muon

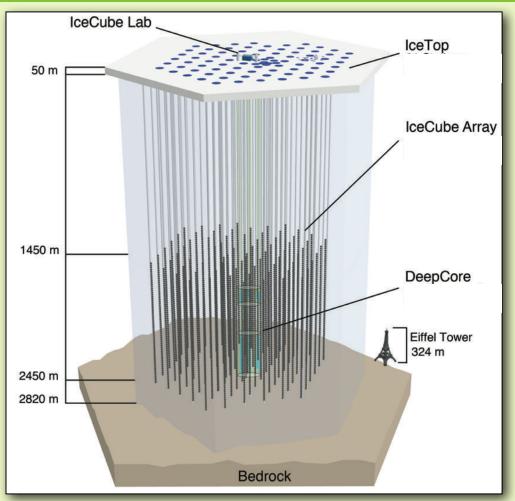
electron

IceCube Construction & Design

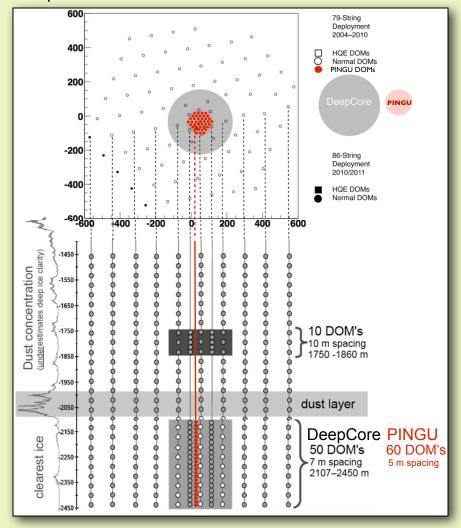


Neutrino Physics with IceCube and its Extensions

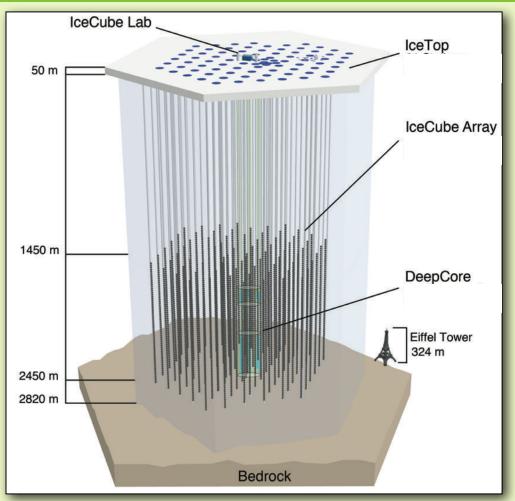
IceCube Construction and Design



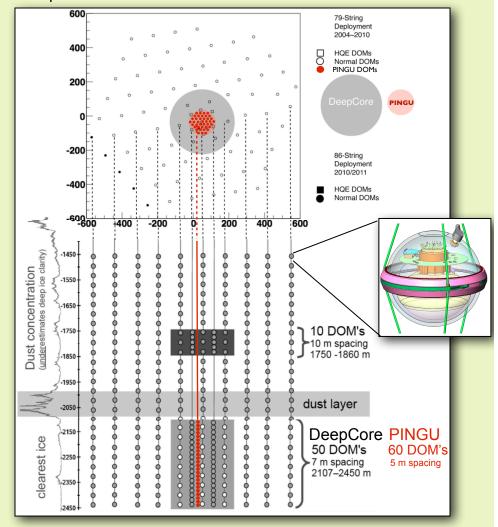
86 strings, 5160 modules, 7 yrs,1km³ IceCube (DeepCore): 125m (70m) horizontal spacing 17m (7m) vertical spacing Top & side views (ignore red stuff for now)



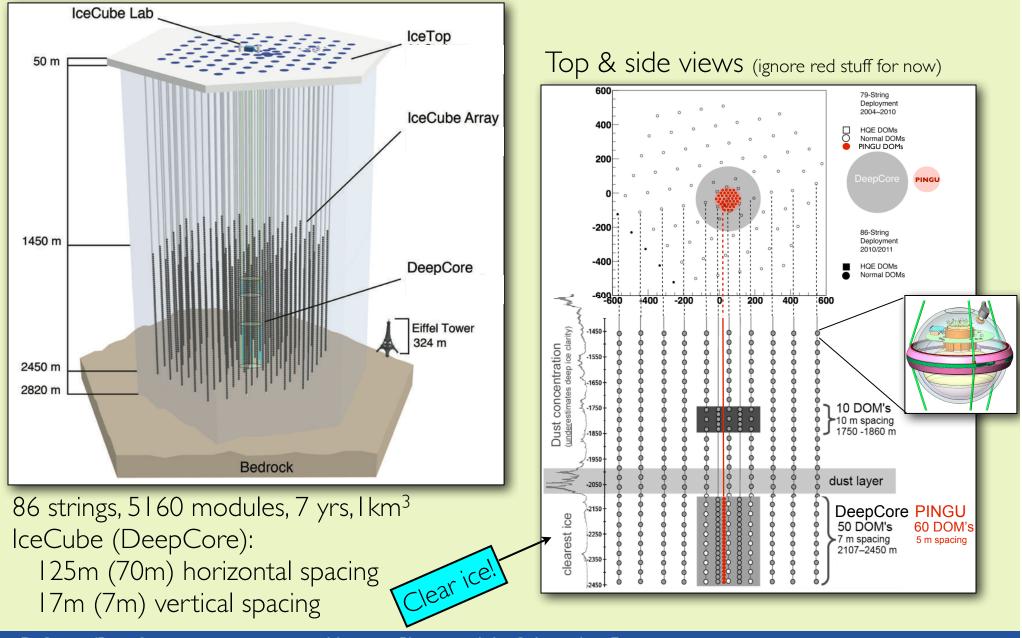
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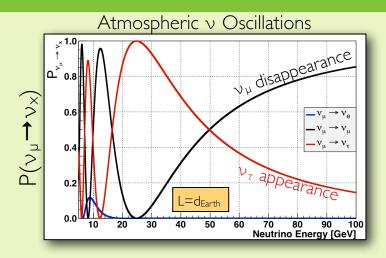


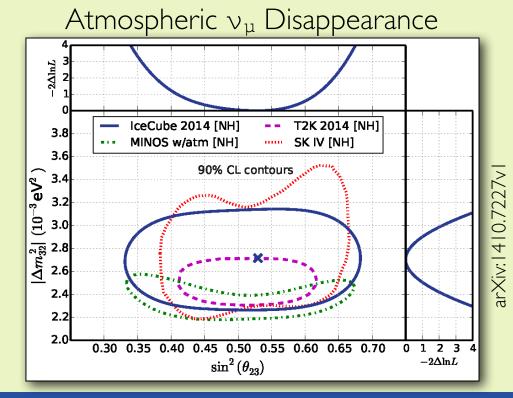
IceCube Construction and Design



IceCube/DeepCore and ν Oscillations

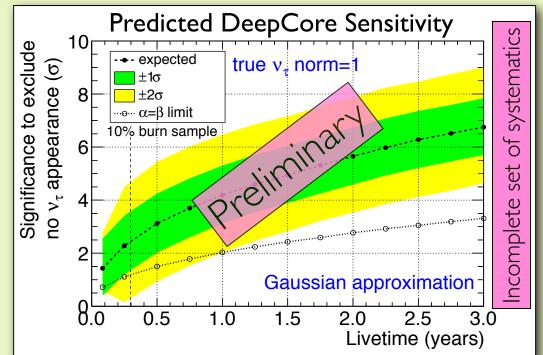
- DeepCore energy threshold is ~10 GeV
 - Sensitive to highest neutrino energies of any oscillation experiment
 - Can see that big ''first minimum'' of ν_{μ} disappearance
 - (<u>And</u>, in the near future, the big "*first maximum*" of v_{τ} appearance)
- "New player in the game."–Convener, v2014 International Conference, Oscillation Plenary





IceCube/DeepCore and ν Oscillations

- Existing v_{τ} appearance measurements
 - Super-K excludes the no- v_{τ} appearance hypothesis at 3.8 σ .
 - Observed about
 60 ν_τ events
 - OPERA confirms v_{τ} appearance at >4 σ
 - Observed 4 v_{τ} events

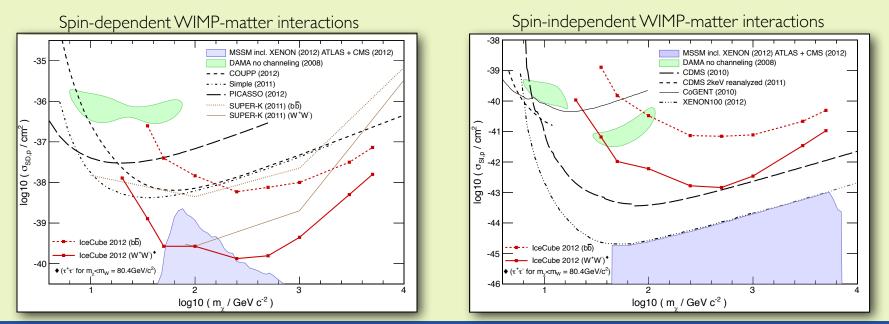


• DeepCore data has thousands of v_{τ} events

IceCube/DeepCore and WIMPs

•We're looking hard, especially where other (direct detection) experiments claim a signal

• Recent solar WIMP result:



What Next?

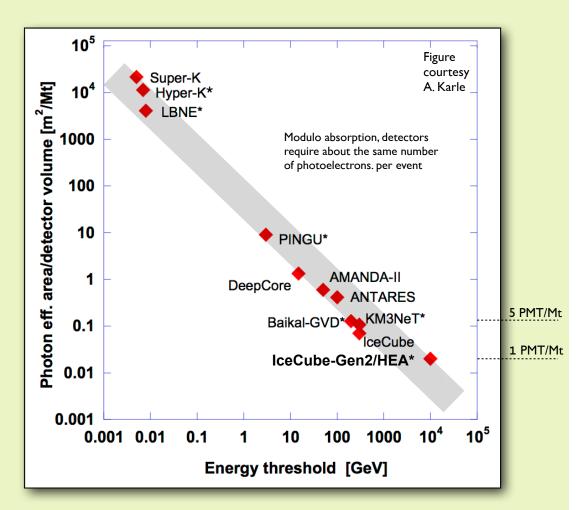
- DeepCore results prove viability of neutrino oscillation measurements in the ice
- IceCube has discovered high energy astrophysical neutrinos
 - See next session
- Future extensions of IceCube/DeepCore: <u>IceCube-Gen2</u>. With more instrumentation we can do MUCH more.



- Low E(v): Precision IceCube Next Generation Upgrade (PINGU)
 - ν mass hierarchy, dark matter & other ν oscillation physics
- High E(v): see next session

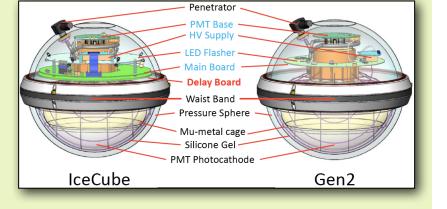
Photocathode Density

- There is a roughly linear relationship between
 - photocathode density
 - \bullet desired threshold E_{ν}
- Proposed new detectors are consistent with their predecessors



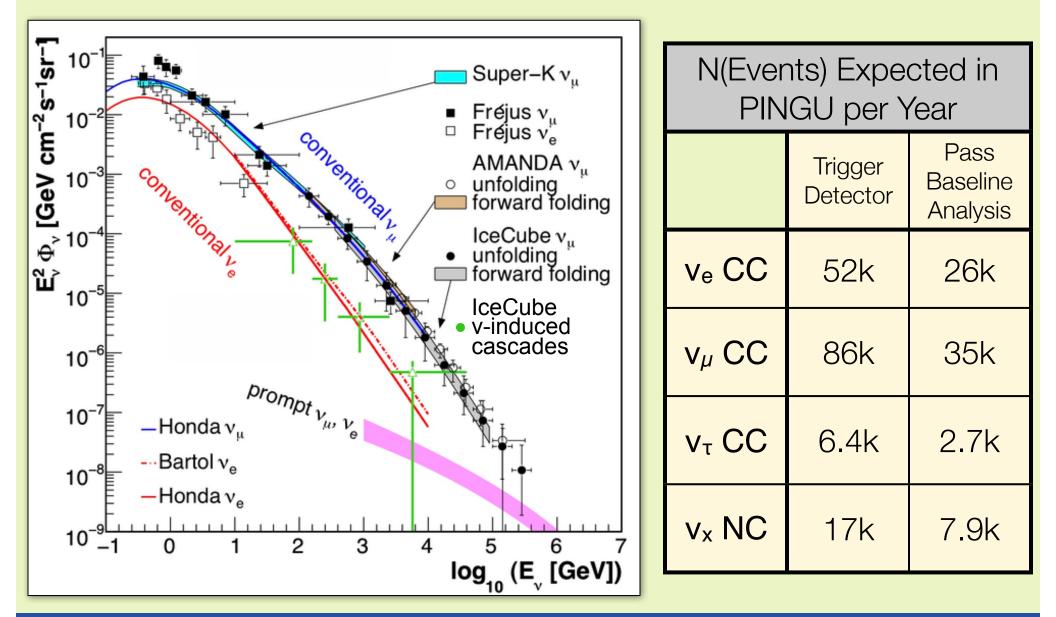
PINGU Low Energy Extension

- PINGU would lower neutrino energy threshold to a few GeV
 - Baseline geometry:
 - Add 40 new strings interleaved with existing DeepCore strings
 - 60 (updated) DOMs on each string
 - Also evaluating impact of more DOMs/string
 - Use technology very similar to that used with IceCube (drill, digital optical module,...)
 - Substantially lowers overall risk
 - You'll hear more about plans for IceCube-Gen2 technology at this workshop
 - Would take 2-3 seasons to deploy
 - Could be taking data as early as 2020



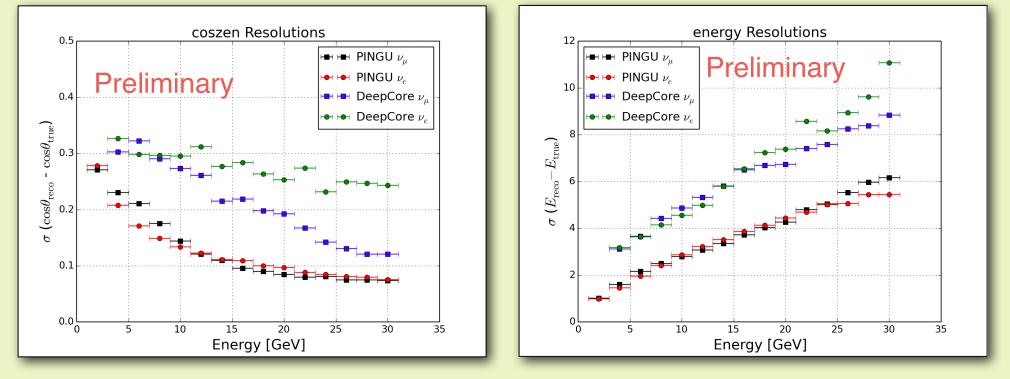


Atmospheric Neutrino Signal



PINGU event reconstruction

Baseline Geometry (40 string, 60 DOMs/string)



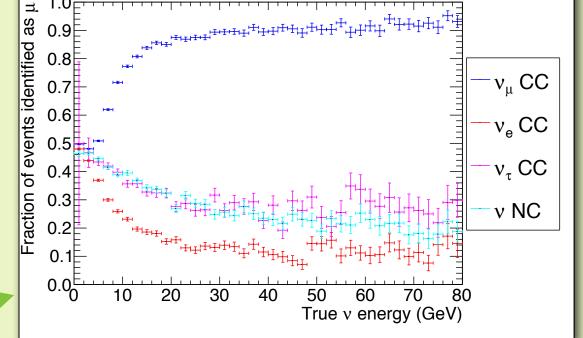
Noise not fully simulated, but noise removal algorithms are very efficient: small impact on the resolutions.

PINGU Particle ID

At higher energies, ν_μ CC events are easily identified by the presence of a long muon track

• At lower energies, light from the track may be confused with light from the interaction vertex

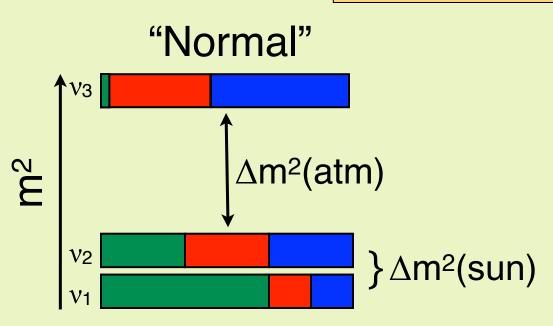
• First approach:



Use variables such as event size, reconstructed track length, presence of "early" hits (faster than c/n)

The Neutrino Mass Hierarchy (NMH)

Main goal of PINGU



- ν_e ν_μ ν_τ
- NMH is a key unknown parameter in the neutrino sector
 - NMH can be determined as neutrinos pass through matter
 - ν oscillation probability is enhanced if hierarchy is <u>normal</u>
 - \overline{v} oscillation probability is enhanced if hierarchy is inverted

The Neutrino Mass Hierarchy (NMH) Main goal of PINGU "Normal" "Inverted" V2**1**V3 }∆m²(sun) \mathbf{V}_{1} m² ∆m²(atm) $\Delta m^2(atm)$ v_2 $\Delta m^{2}(sun)$

- ν_{μ} ν_{τ} \bullet NMH is a key unknown parameter in the neutrino sector
 - NMH can be determined as neutrinos pass through matter
 - ν oscillation probability is enhanced if hierarchy is <u>normal</u>
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ν1

νe

Estimation of NMH Sensitivity

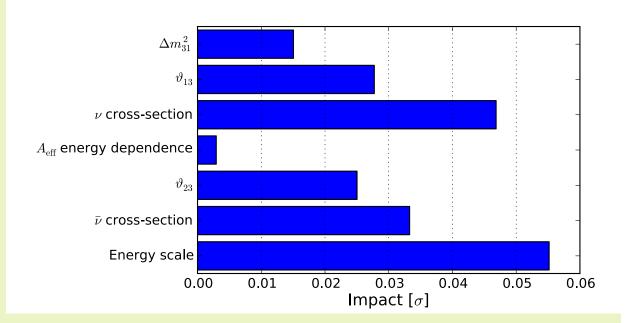
- Three independent analysis techniques
 - "Fisher" approach: detailed detector parametrization, all systematics
 - Quickest evaluation of systematics, new techniques
 - Cross-checked external parametric evaluations of PINGU
 - Verified our implementation of 3-flavor oscillations
 - "Asimov" approach: average data set, full sim., many systematics
 - Relatively fast evaluation using fully simulated data
 - Agrees well with Fisher (within \sim 5% on final significance)
 - ''LLR'' approach: log likelihood ratio, full sim., large number of Poissonfluctuated pseudo datasets
 - Technique with minimal assumptions; slower than Fisher and Asimov
 - With new method with improved speed, have now run w/all key systematics
 - Very good agreement with Fisher and Asimov (within few % on final significance)

Systematics: Incorporated via Fisher

Verified with Asimov and LLR

- I. Physics-related
 - $\Delta(m_{31})^2$ (prior: $\pm 1\sigma$)*
 - θ₁₃ (±1σ)
 - θ₂₃ (±1σ)
 - cross sections (±15%)
 - v, anti-v independently
 - interaction vertex uncertainties
- 2. Detector-related
 - $A_{eff}(E, \sigma(v), \sigma(anti-v))$
 - Energy scale (±5%)
 - particle ID uncertainties
 - ice properties

- Apply all systematics
- Un-apply one, "impact" is the observed increase in significance

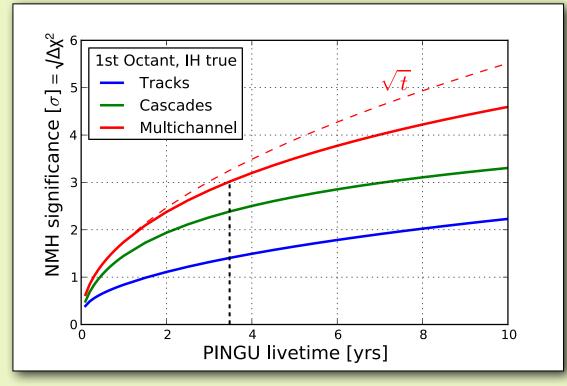


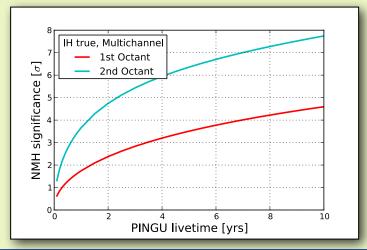
- Other (smaller) errors:
 - Δ(m₂₁)², θ₁₂, δ_{CP}
 - Scale factors for mis-ID, overall flux normalization

*Prior = $\pm 1\sigma$ error of world ave. msmt.

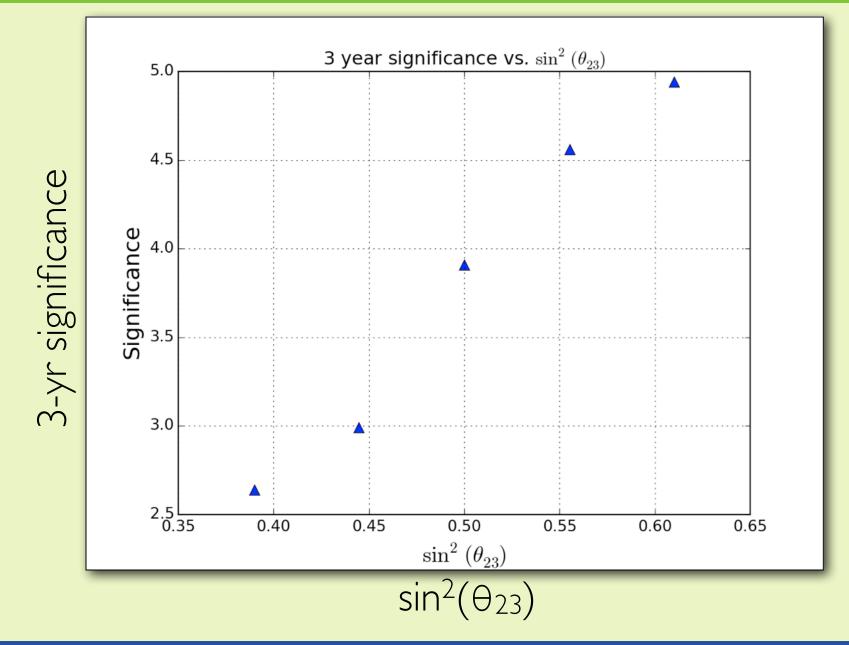
Estimated Sensitivity (Baseline Geom.)

- Significance including all systematics + basic PID
 - Calculated with Fisher approach
- Result:
 - I.8σ in first year of data (first octant)
- Growth in significance as shown
 - Reach 3σ in roughly 3.5 yrs
 - (N.B.: Livetime from partially built detector not included here)
- Much higher significance in 2nd octant
 - (see also next slide)





NMH Sensitivity vs. θ_{23}

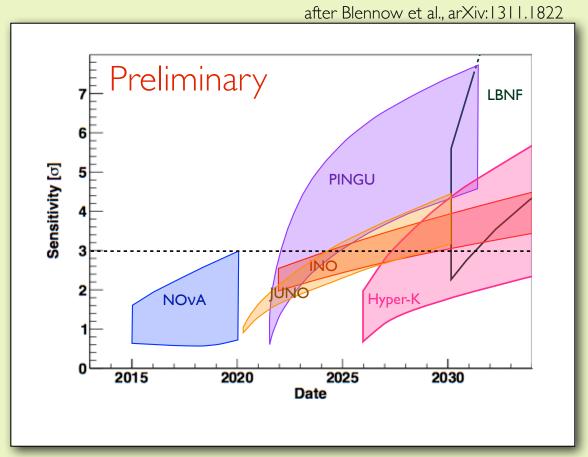


The Neutrino Mass Hierarchy Landscape

- Several current or planned experiments will have sensitivity to the neutrino mass hierarchy in the next 10-15 years
 - NB: median outcomes shown large fluctuations possible
- Widths indicate main uncertainty
 - LBNF/NOvA: δ_{CP}
 - JUNO: σ_E (3.0-3.5%)
 - PINGU/INO: θ₂₃
 (38.7°-51.3°, 40°-50°)
 - Other projections presented here assume worst-case parameters (1st octant)
- PINGU timeline based on aggressive but feasible schedule

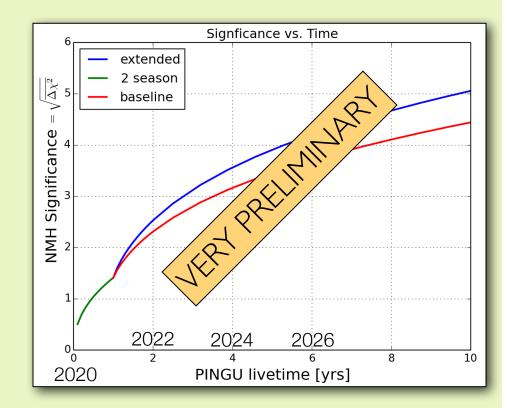


Neutrino Physics with IceCube and its Extensions



Estimated Sensitivity (Extended Geometry)

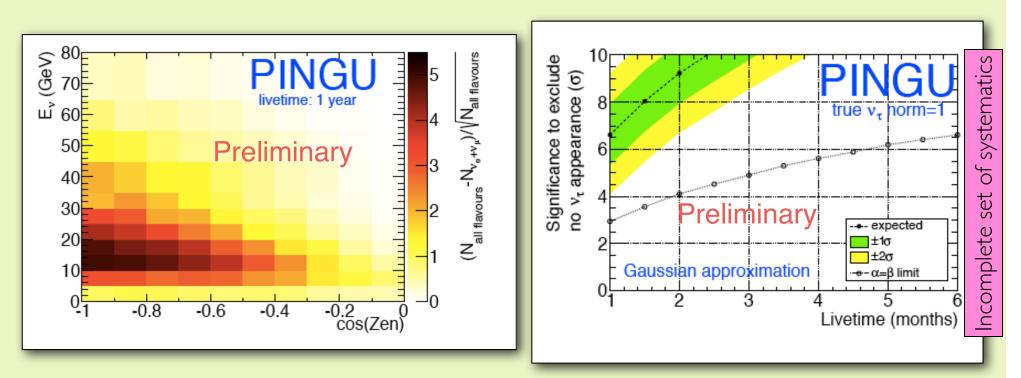
- Exploring the sensitivity improvement with more modules per string
 - Additional strings are stronger cost driver than modules
 - keep $N_{str} = 40$
 - increase modules/string from 60→96
 - Additional ~\$150k/string



• Corresponding to additional \$6M total

Example ν Oscillation: ν_{τ} Appearance

- Provides a test of the unitarity of the mixing matrix
- Selection of events uses same criteria as for the NMH analysis with the goal now to reject atmospheric muons
- Same trained BDT as the NMH analysis for selecting "pure" cascade-like events

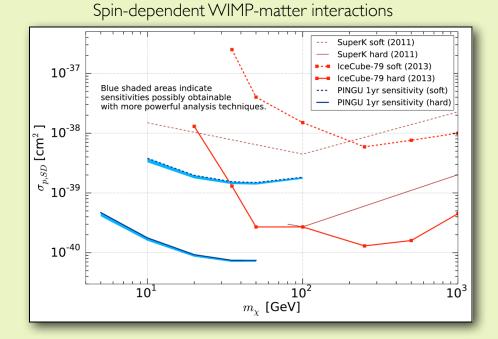


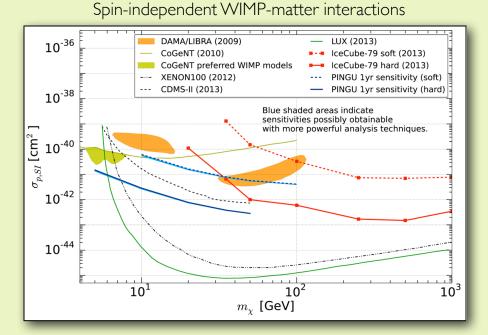
- 5σ exclusion of no v_{τ} appearance expected after 1 month of data
- 10% precision in the v_{τ} normalization after 6 months.

Neutrino Physics with IceCube and its Extensions

PINGU and WIMPs

Predicted sensitivity for extended PINGU geometry



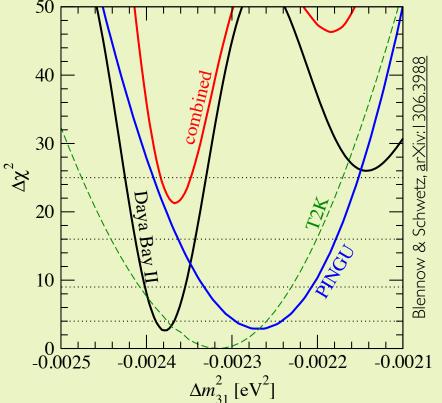


Reach as low as $M_{\chi} = 5 \text{GeV}$

PINGU Synergy with Reactor Experiments

- Possible to get reasonable fit to wrong hierarchy by adjusting $\Delta m^2(31)$
 - <u>But</u>: These values of $\triangle m^2(31)$ will be different in PINGU vs. JUNO/RENO-50
 - PINGU uses atmospheric neutrinos over wide energy range that experience matter effects
 - JUNO/RENO-50 use reactor neutrinos and look for distortions in energy spectrum without matter effects

Combination of two low significance measurements can attain high significance!



Datasets: • PINGU: I yr

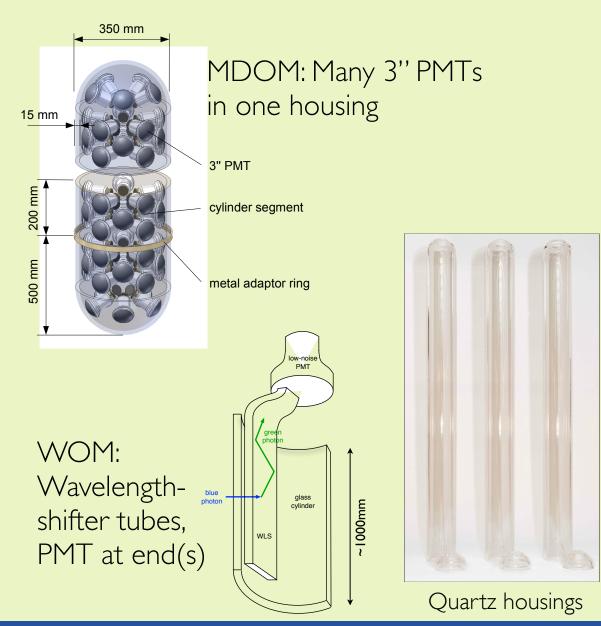
• JUNO: 1000 kt GW yr • T2K: 5 yrs 0.77MW

Current and Near Future Studies

- Impact of cross section and vertex interaction uncertainties
 - Analysis in progress
- Impact of PID uncertainties
 - Analysis in progress
- Impact of clear hole ice
 - Simulations/Reconstructions underway
- Determine physics-driven requirements for *in-situ* calibration sources
 - See talks at this workshop
- Impact of segmented DOMs (e.g., MDOM)
 - provide some directionality, how much does this improve reconstruction and noise rejection?
 - potentially beneficial at all energy scales (PINGU, HEA, MICA)

R&D

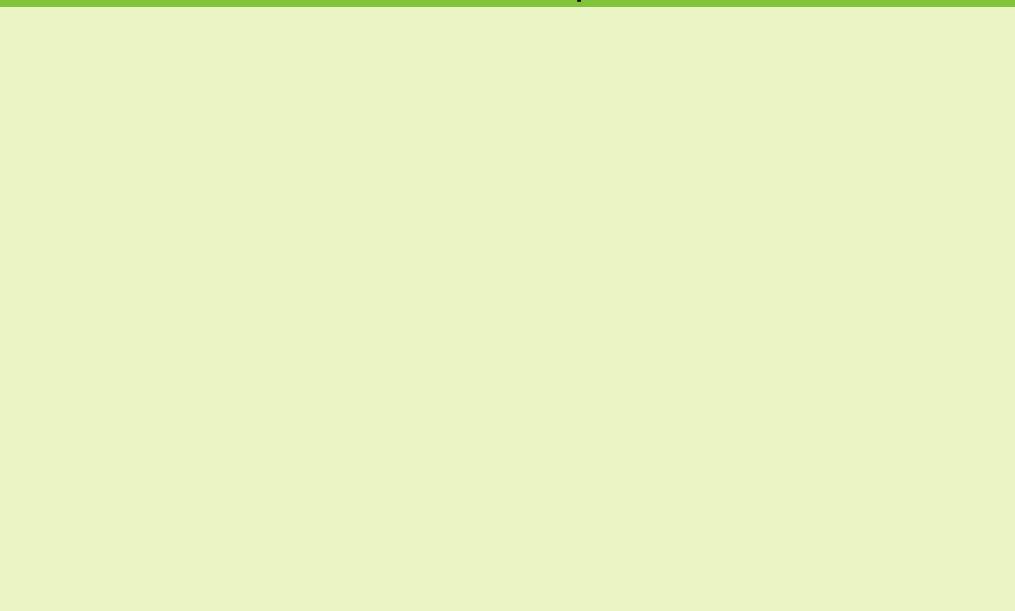
- Plan to deploy several R&D modules with PINGU
 - See presentations, this workshop
- Aim:Test modules for
 - high energy extension
 - MICA (megaton-scale inice Cherenkov ring imaging array) with low noise and threshold E_v~1 GeV



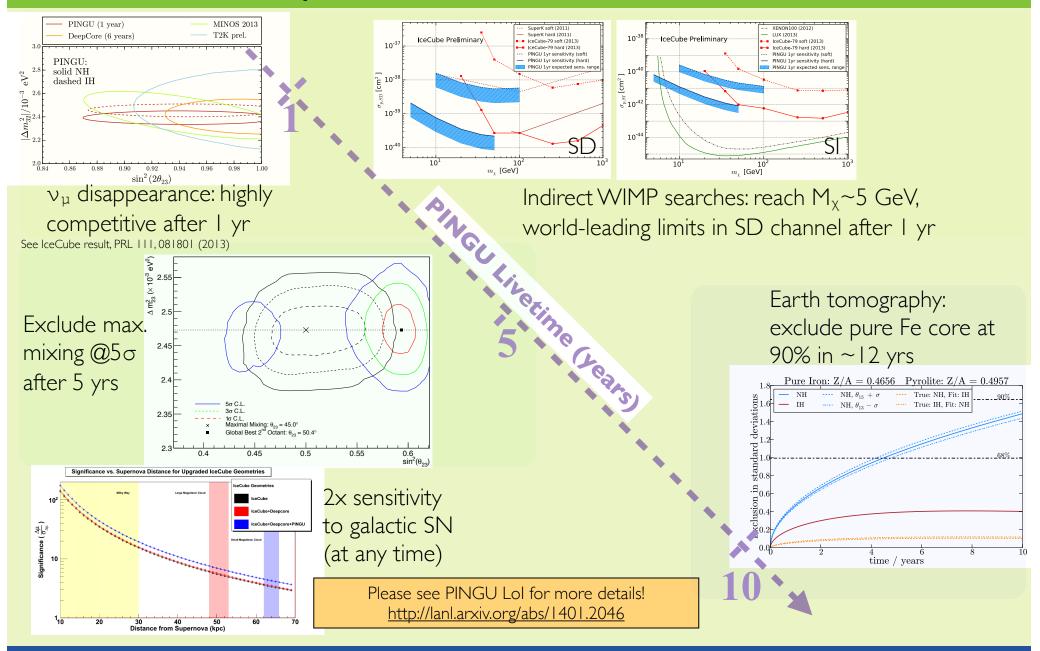
Conclusions

- PINGU will have very good sensitivity to the neutrino mass hierarchy
 - For marginal extra cost, extended geometry will have even better sensitivity
- PINGU will also
 - push search for WIMPs as low as $M_{\chi} = 5 \text{GeV}$
 - produce highly competitive results in ν_{μ} disappearance and ν_{τ} appearance
- PINGU is low risk and can be constructed quickly
- Excellent R&D platform for future photon detection module options





Other Physics Potential of PINGU



PINGU Schedule and Budget



Performed rough top-down estimate first, scaling from IceCube.

Followed with bottoms-up estimate detailed to L3 in WBS. Budgets provided by PINGU L2 leads, all of whom have IceCube experience.

Two numbers came out nearly the same.

PRELIMINARY	ltem	PINGU Alone	PINGU as part of IceCube Facility*
Fixed Costs	PINGU Project	20.6	7.0
Per-String Costs	PINGU Project	46.9/40=1.17	41.3/40=1.03
	Polar Support	17.4/40=0.44	16.45/40=0.41
	Total	1.61	1.44
Non-US Contribution	Total	25	25
Net US Cost	Total w/o Contingency	20.6+(1.61*40)-25= \$59.9M	7.0+(1.44*40)-25= \$39.7M
	Total w/Contingency (~23%)	25.5+(1.99*40)-25= \$80.1M	8.7+(1.77*40)-25= \$54.6M

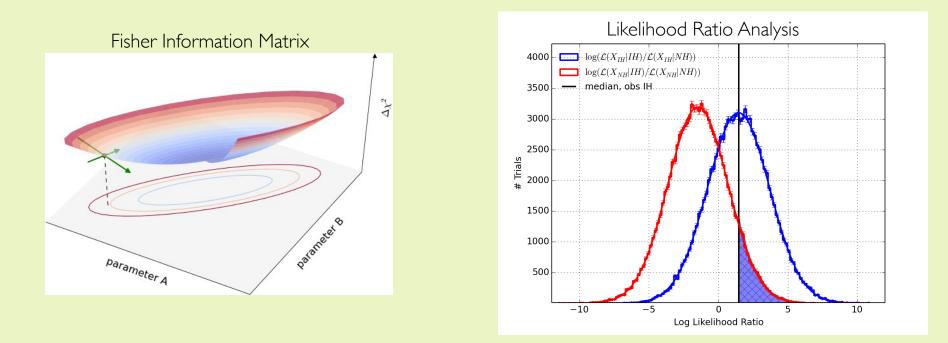
*Facility: HE Extension, PINGU, surface array (plus ARA? DM-Ice?), all can leverage IceCube presence and experience. Savings accrue from shared resources: drill, cable/PDOM devel., Mgmt., IC Integ., ICL upgrade...

Estimated PINGU Costs (European Accounting)

Rough PINGU Marginal Costs:
European Accounting

ltem	Labor	Capital Equipment	Shared Infrastructure
Drilling	\$3.5M		L: \$3.3M CE: \$3.7M
PDOM, Cables, Surface DAQ, Calibration Devices		\$23.4M	
Antarctic Support Contractor	\$7.6M	~\$8M (fuel)	CE: ~\$2M
Total	\$11.1M	\$31.4M	\$9M

PINGU and the NMH - extracting the sensitivity



- Estimations from the full simulation operating on event histograms in Energy and cos(zenith)
 - Fast evaluation using the Fisher Information Matrix where the gradates at each point fully describe the parabolic minimum (invert and obtain the full covariance matrix for the experiment
 - Full analysis from pseudo data sets applied as templates; LLR provides degree of agreement between pseudo set and one hierarchy vs. the other.
 - The Likelihood distributions are fit well by Gaussians; the two methods agree

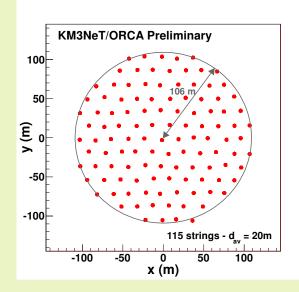
Fisher Information Matrix

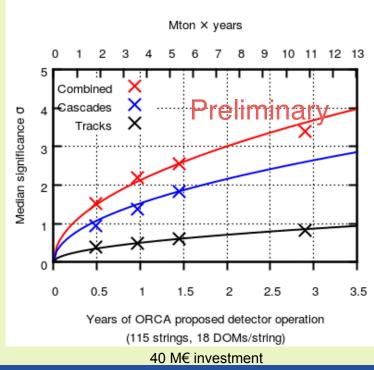
- (Fisher) Information matrix = inverse of covariance matrix \rightarrow full information of all errors and correlations \rightarrow easy implementation of (gaussian) priors
 - Construction of the Information Matrix
 - on of the final observables $\mathcal{F}_{ij} = \sum_{n} \frac{1}{\sigma_n^2} \frac{\partial f_n}{\partial p_i} \frac{\partial f_n}{\partial p_j} \Big|_{\text{fid. model}}$ measurement error
 - \rightarrow valid within gaussian limit of fiducial model
- Implementation for NMH
 - → hierarchy parameter: $P(h) = hP_{NH} = (1-h)P_{IH}$
 - \rightarrow physics ($\Delta m_{31}, \theta_{23}, \ldots$) and detector parameters ($A_{eff}, \sigma_{reco}, \ldots$)
- Total error on hierarchy parameter yields significance (marginalized over other parameters it is correlated with)

arameters

PINGU and the NMH - comparison to ORCA

- ORCA follows a similar detector design philosophy to PINGU
 - Assumed is the first octant with fits to the oscillation parameters.
 - Included is some misidentification of rate based on MC
 - Not yet included are overall flux uncertainty, NC events, altered resolution for mis-identified events
 - 40 string PINGU and 115 string (18 module/string) ORCA predictions are in reasonably good agreement



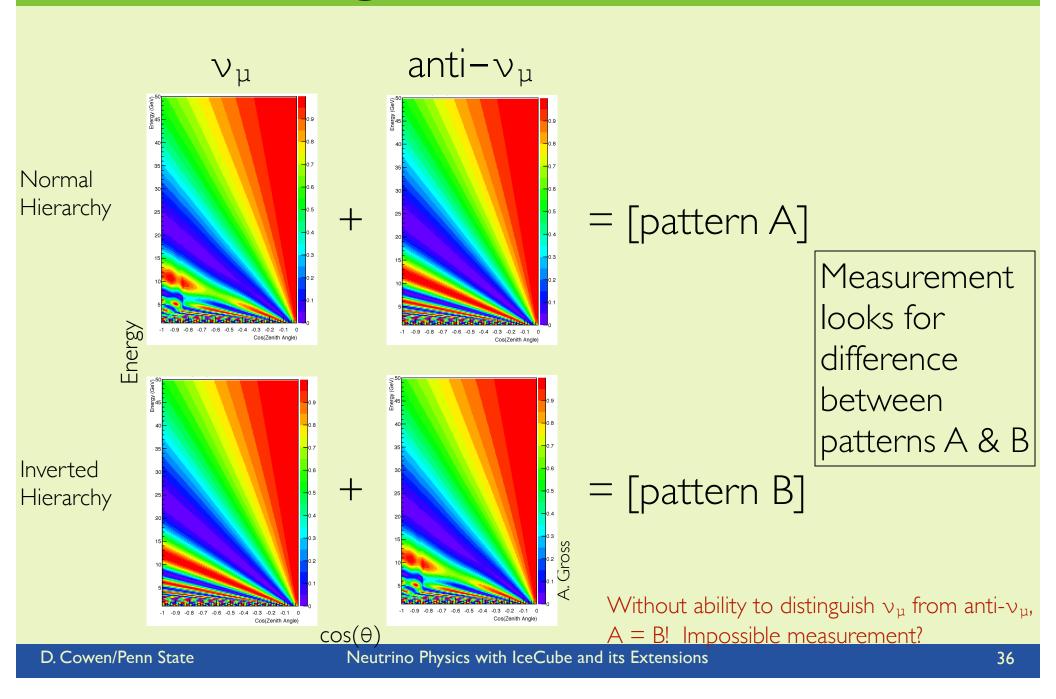


ORCA sensitivity (PRELIMINARY)

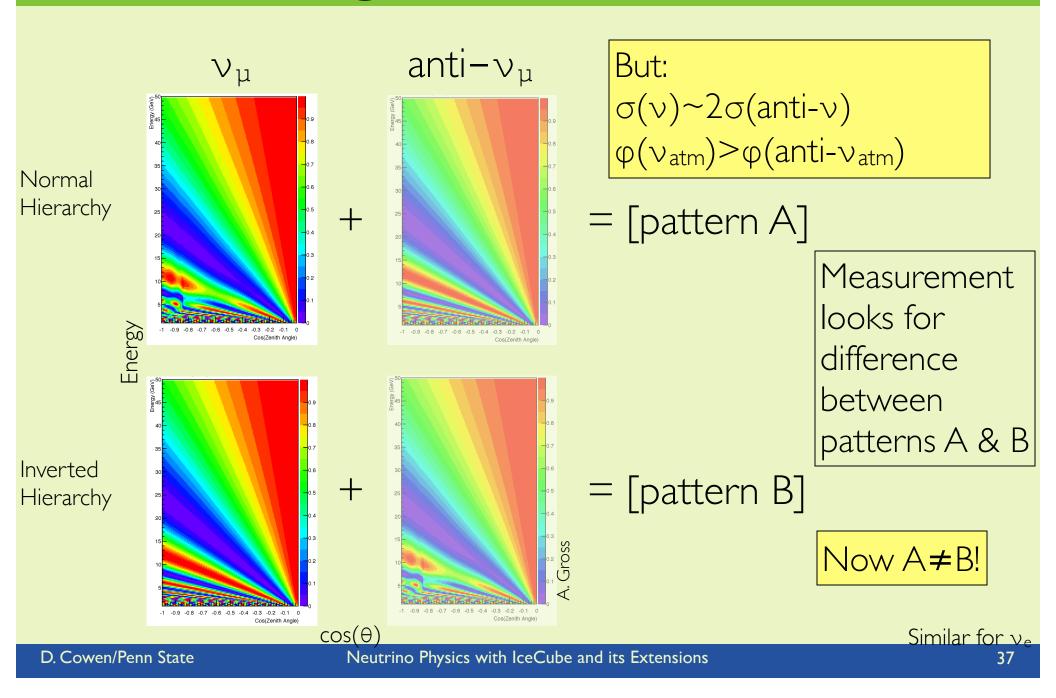


Neutrino Physics with IceCube and its Extensions

The Signature in PINGU



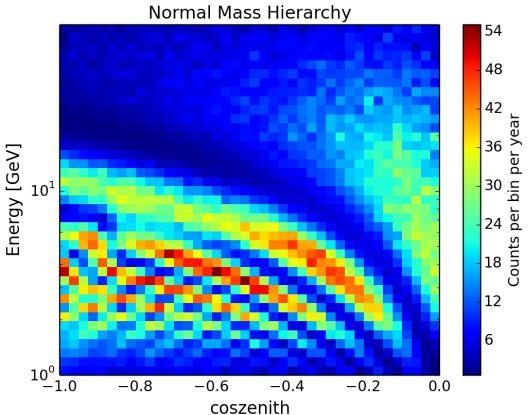
The Signature in PINGU



3<u>8</u>

Signatures of the Neutrino Mass Hierarchy

- Matter effects alter oscillation probabilities for neutrinos or antineutrinos traversing the Earth
 - Maximum effects seen for specific energies and baselines (= zenith angles) due to the Earth's density profile
 - Neutrino oscillation probabilities affected if hierarchy is normal, antineutrinos if inverted
 - Rates of all flavors are affected
 - Note: effect of detector resolution not shown here
- At higher energies, ν_µ CC events distinguishable by the presence of a muon track
 - Distinct signatures observable in both track (ν_{μ} CC) and cascade (ν_{e} and ν_{τ} CC, ν_{\times} NC) channels

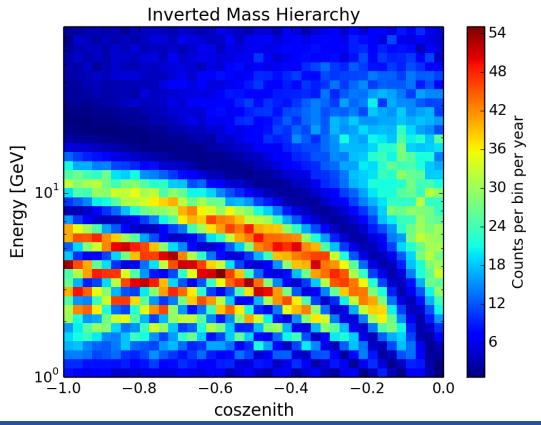


D. Cowen/Penn State

Neutrino Physics with IceCube and its Extensions

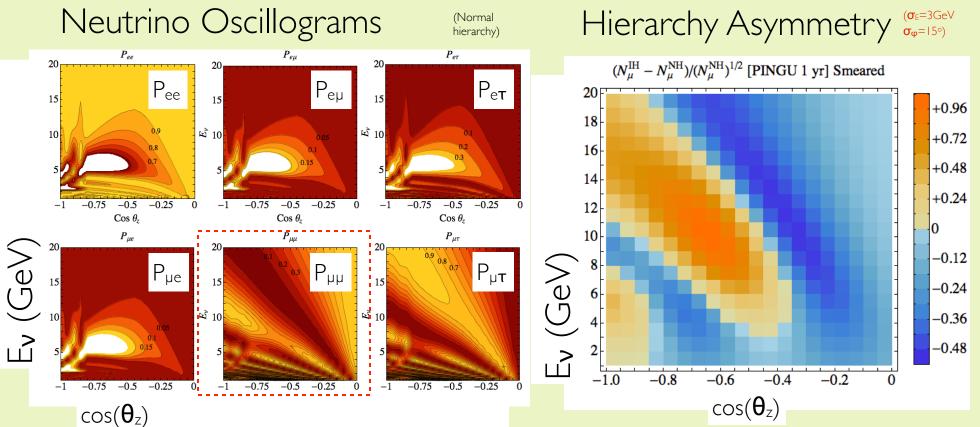
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Neutrino Mass Hierarchy

 $\Delta m_{32}^2 = 2.35 \times 10^{-3}$ $\Delta m_{21}^2 = 7.6 \times 10^{-5}$ $\sin^2 \theta_{23} = 0.42$ $\sin^2 \theta_{12} = 0.312$ $\sin^2 \theta_{13} = 0.025$



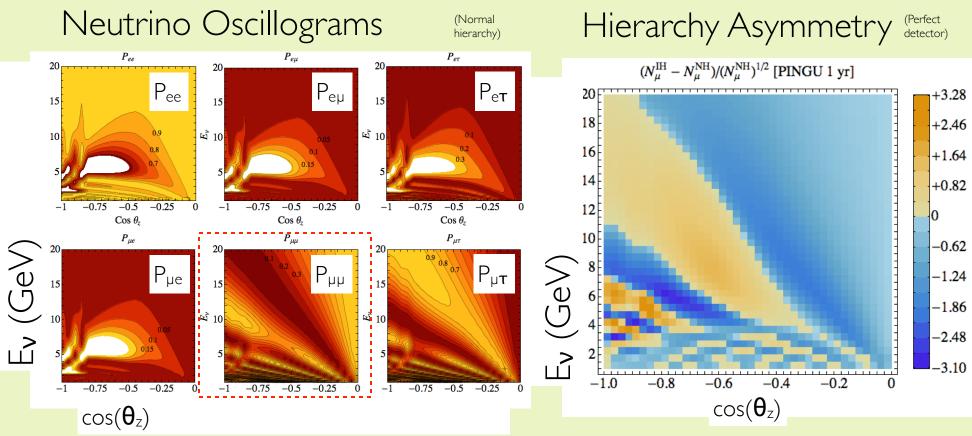
Impact of δ_{CP} negligible.

Impact of smearing: summed significance drops to 10σ (no systematics), 7σ (5% uncorr. syst.), 4.5σ (10% uncorr. syst.).

Study by IceCube collaboration with full detector simulation, more conservative statistical treatment, and reconstructions underway.

Neutrino Hierarchy

```
\Delta m_{32}^2 = 2.35 \times 10^{-3}\Delta m_{21}^2 = 7.6 \times 10^{-5}\sin^2 \theta_{23} = 0.42\sin^2 \theta_{12} = 0.312\sin^2 \theta_{13} = 0.025
```



Summed significance: 45σ

Impact of δ_{CP} negligible.

Study by IceCube collaboration with full detector simulation, more conservative statistical treatment, and reconstructions underway.

Estimation of NMH Sensitivity

- Event selection and background rejection requirements:
 - Reconstructed event vertex well-contained
 - Reconstructed event direction upward
- Reconstruction
 - Full likelihood minimization in 8-d parameter space (uses "MultiNest")
 - Interaction vertex (x,y,z,t,E), outgoing muon (θ , ϕ), track length
 - Resolutions (improve with energy; given here at $E_{\nu,true} \sim 5$ GeV):
 - $\Delta E/E \sim 0.27$, $\sigma_{\theta} \sim 13^{\circ}$ (θ : zenith angle; track & cascade resolutions ~same)
 - Basic track vs. cascade particle ID (improves with energy)
 - 52% of ν_{μ} (37% of $\nu_{e})$ (mis-)identified as track-like at ~5 GeV
 - 75% of ν_{μ} (25% of $\nu_{e})$ (mis-)identified as track-like at ${\sim}10~GeV$

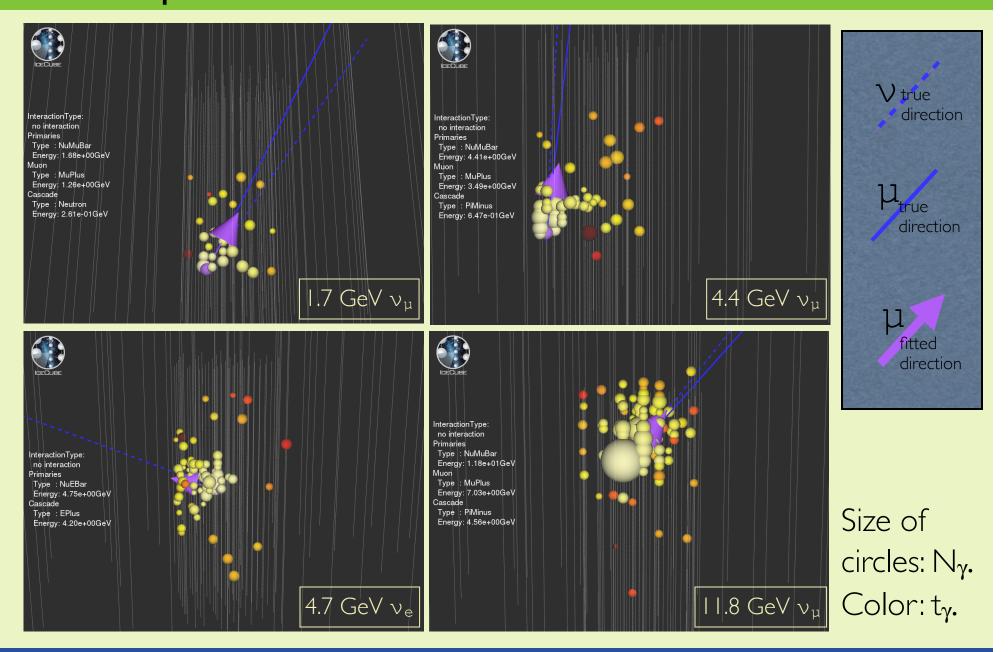
Expected Systematics Mitigation

- Energy scale uncertainty
 - Precision *in-situ* calibration light sources
 - Expect better than 3% calibration of light output (E scale systematic was 5%)
- Ice property uncertainties
 - calibration light sources
- Neutrino, anti-neutrino cross section uncertainties
 - future Minerva results
- Other possible systematics
 - Cascade and track energy resolution uncertainties
 - calibration light sources
 - Cascade directional resolution uncertainty
 - muon-tagged cosmic ray air shower neutrinos

Known Future Enhancements

- Geometry optimization (now underway)
 - Initial look at higher density shows promise
 - Studying tradeoff between improved resolution & PID vs. decreased statistics
- Improved particle ID
 - Higher density array does better
- Inelasticity "y"
 - Predict 20-50% significance increase (Ribordy & Smirnov, 1303.0758)
 - Study underway
- Upgrade fitter (now underway)
 - include separate directions of outgoing lepton and initial vertex
- 10%-scale improvements in acceptances

Sample Reconstructed Events



Neutrino Physics with IceCube and its Extensions

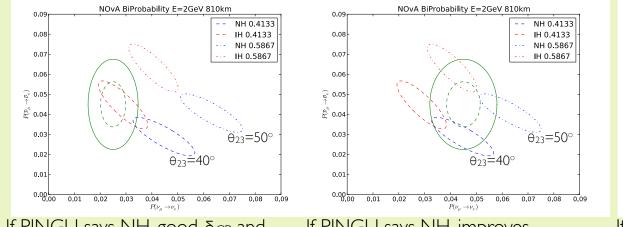
Neutrino Hierarchy and Parametric Resonances

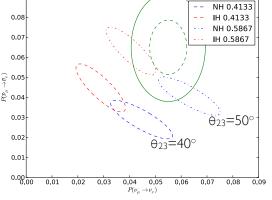
- Parametric resonances can occur as neutrinos cross regions of distinct density
 - Flavor transitions enhanced due to matter-induced modifications in oscillation <u>phase</u>
 - (MSW occurs through modifications in neutrino mixing <u>angle</u>)
 - If travel through periodically varying density, transition probabilities can add up and become large, but generally speaking need lots of periods
- Relevant Exception: For matter densities close to MSW resonance densities, can have parametric enhancement of oscillations with a very small number of periods
 - This is the case for Earth and neutrinos at \sim 5 GeV(!!) and
 - The character of the effect depends strongly on the hierarchy.

E. Kh. Akhmedov. *Pramana* 54:47-63.2000 or hep-ph/9907435

NOvA, PINGU and δ_{CP}

• Explore impact of knowing NMH at several selected points





NOvA BiProbability E=2GeV 810km

0.09

If PINGU says NH, good δ_{CP} and octant resolution for NOvA

If PINGU says NH, improves NOvA's δ_{CP} measurement

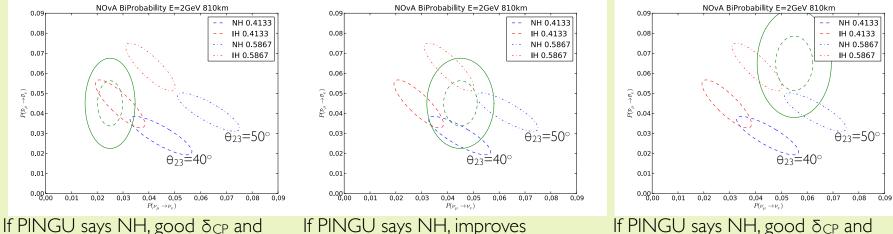
If PINGU says NH, good δ_{CP} and octant resolution for NOvA

		fraction of δ_{CP} within 2σ	fraction of δ_{CP} within 2σ	fraction of δ_{CP} within 2σ
θ ₂₃ =40°	Unknown NMH	0.68	0.87	0.00
	NH	0.14	0.57	0.00
0 ₂₃ =50°	Unknown NMH	0.00	0.89	0.90
	NH	0.00	0.36	0.46

NOvA error ellipses: M. Messier, R. Patterson; theoretical curves based on Nunokawa et al. 0710.0554

NOvA, PINGU and θ_{23}

• Explore impact of knowing NMH at several selected points



octant resolution for NOvA

NOvA's δ_{CP} measurement

If PINGU says NH, good δ_{CP} and octant resolution for NOvA

		MinDist[(₽,Pbar)→(δ _{CP} ellipse)]	MinDist[(₽,Pbar)→(δ _{CP} ellipse)]	MinDist[(P,Pbar)→(δ _{CP} ellipse)]
θ ₂₃ =40°	Unknown NMH	0.2σ 	0.9σ	2.6σ
	NH	Ι.7σ	0.9σ	2.6σ
θ ₂₃ =50°	Unknown NMH	2.6σ	0.6σ	Ι.0σ
	NH	5.4σ	Ι.0σ	Ι.Ισ

NOvA error ellipses: M. Messier, R. Patterson; theoretical curves based on Nunokawa et al. 0710.0554

NH 0.4133

IH 0.4133

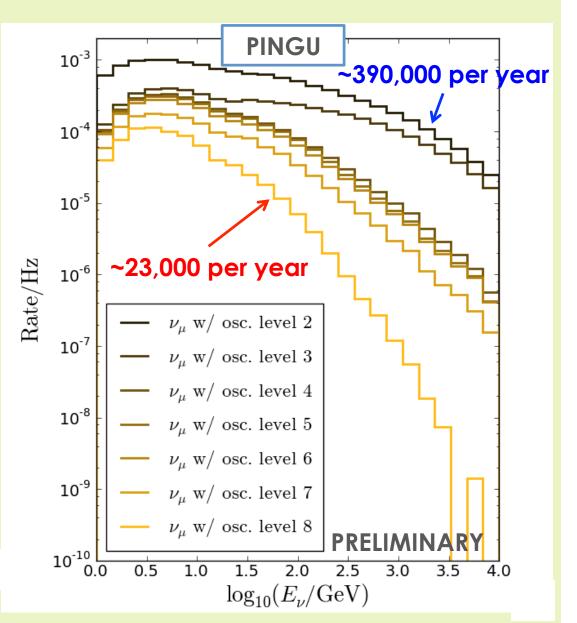
NH 0.5867

IH 0.5867

023=50°

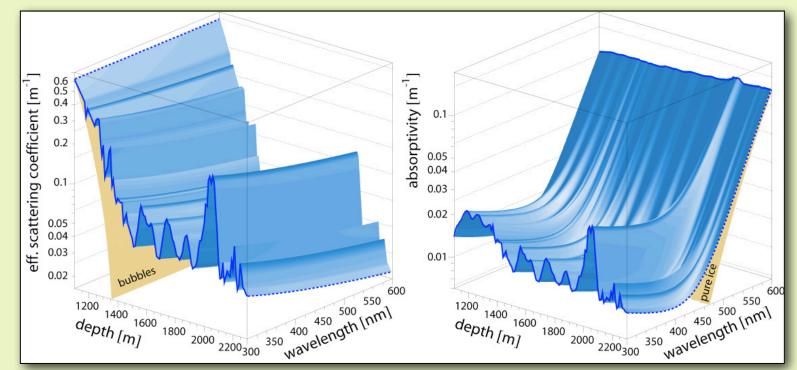
PINGU Energy Range

- A preliminary event selection based on DeepCore analysis
 - 23,000 muon neutrinos per year after oscillations
 - Oscillation signature is the disappearance of 12,000 events per year
- Sufficient to measure neutrino mass hierarchy via matter effects in the 5-20 GeV range without direct ν_μ –ν_μ discrimination
 - Exploit asymmetries in cross sections and kinematics



Ice Properties

- \bullet Depth dependence of λ_{eff} and λ_{abs} from in situ LEDs
- Ice below 2100 m in DeepCore fiducial region very clear
 - < λ_{eff} > ~ 47 m, < λ_{abs} > ~ 155 m

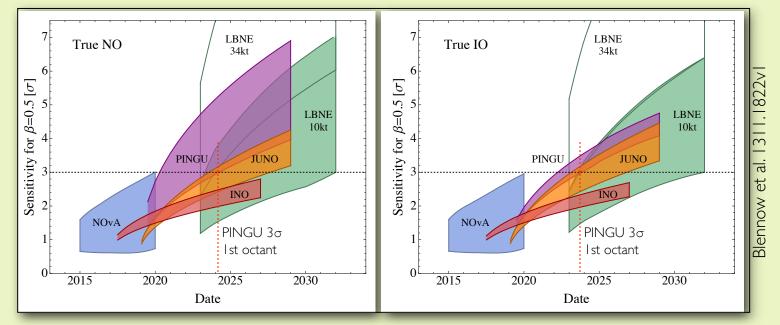


• Constant temperature ~ -35C

The Neutrino Mass Hierarchy Landscape

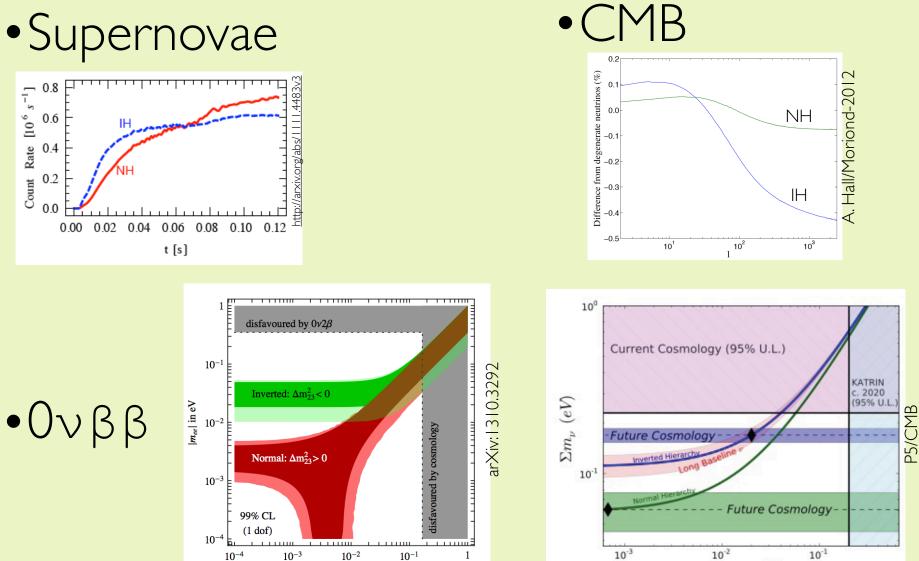
- PINGU, ORCA, HyperK, INO
 - NMH sensitivity for all δ_{CP}
- NOvA,T2K (running)
 - NMH sensitivity for limited δ_{CP} range
- JUNO (funded) and RENO-50 (R&D)
 - NMH sensitivity for all θ_{23}, δ_{CP}

- LBNE (approved)
 - \bullet measure both NMH and δ_{CP}
- Indirect methods:
 - Cosmic surveys (optical, CMB), SNe neutrino burst, 0νββ decay



Width of bands depends on range of parameters (for PINGU: $40 < \theta_{23} < 50$). We assume 1st octant ($\theta_{23}=40$), the lower PINGU boundary in both plots.

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lightest neutrino mass in eV

 $m_{lightest} \ (eV)$