

Monte Carlo Techniques and Event Generation

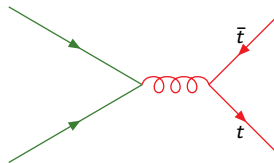
Lecture 3: Hadronization & Underlying Event

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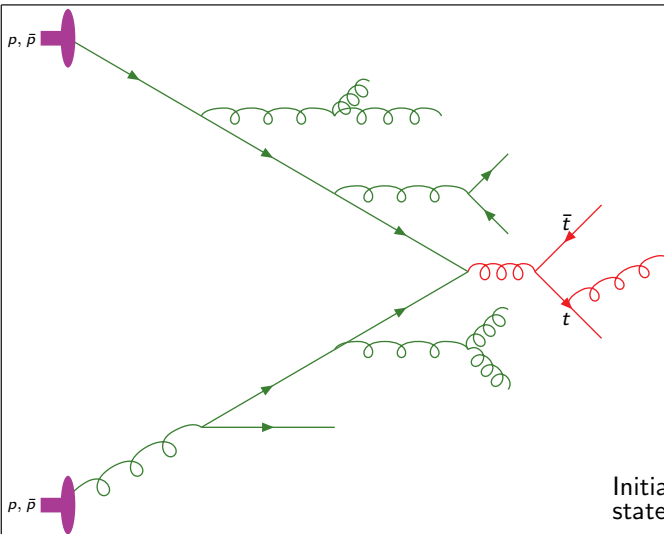
Terascale Monte Carlo School
DESY Hamburg, April 13-17 2015

A Monte Carlo Event

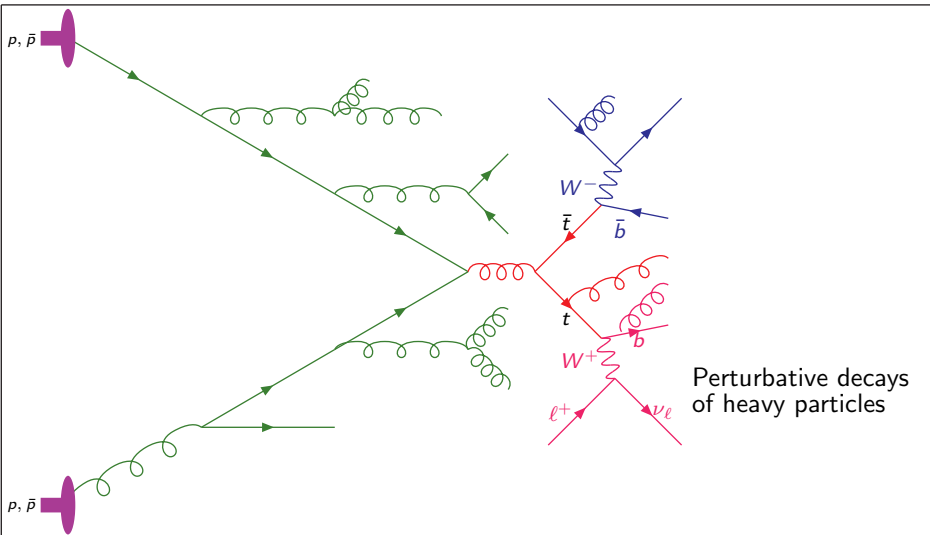


Hard Process, usually
calculated at leading order

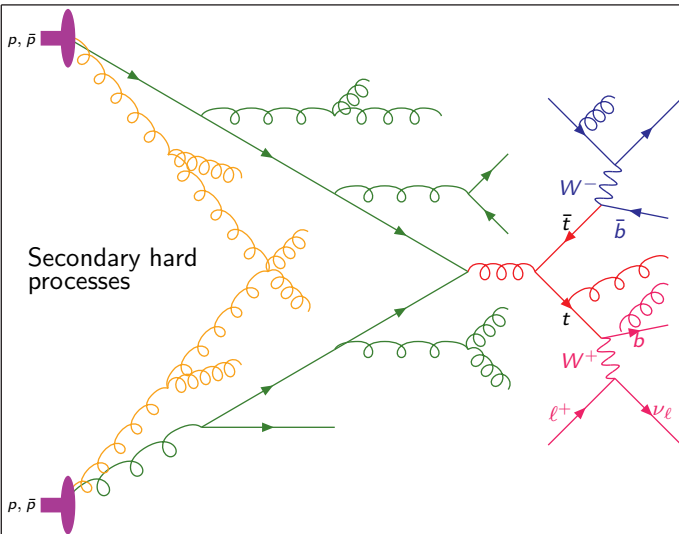
A Monte Carlo Event



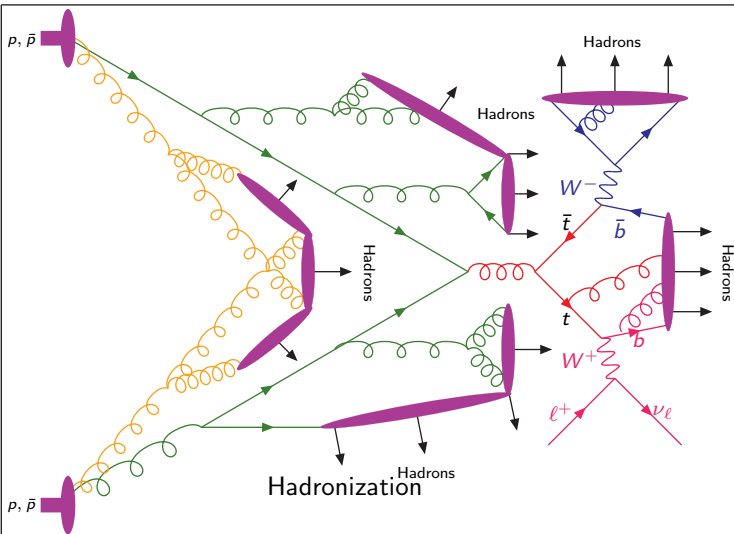
A Monte Carlo Event



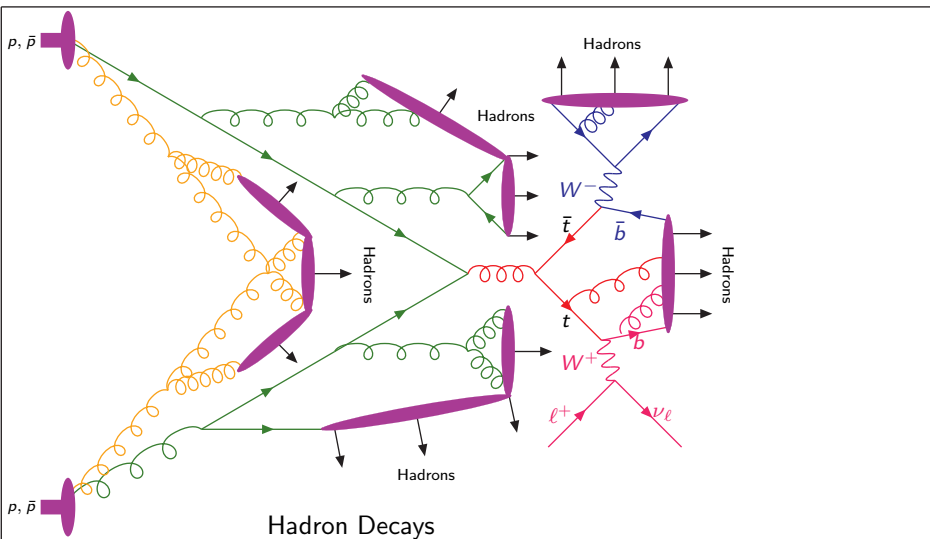
A Monte Carlo Event



A Monte Carlo Event



A Monte Carlo Event

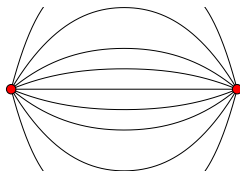


Hadronization

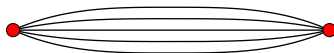
- Partons aren't physical particles: they can't propagate freely.
- We therefore need to describe the transition of the quarks and gluons in our perturbative calculations into the hadrons which can propagate freely.
- We need a phenomenological model of this process.
- There are two models which are commonly used:
 - Lund String Model;
 - Cluster Model.

Confinement

- We know that at small distances we have asymptotic freedom and the force between a quark-antiquark pair is like that between an e^+e^- pair.



- But at long distances the self interactions of the gluons make the field lines attract each other.



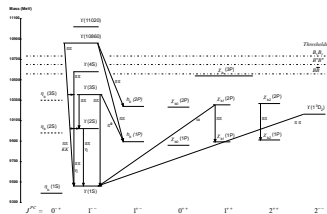
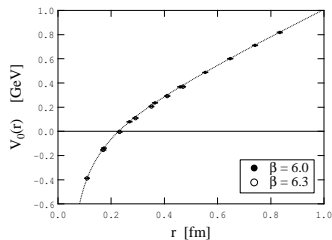
Confinement

- Gives $1/r$ potential at short distances
- Linear potential at long distances and confinement.

$$V(r) \sim -\frac{4}{3} \frac{\alpha_S}{r} + \kappa r \sim -\frac{0.13}{r} + r$$

for $\alpha_S \sim 0.5$, r in fm and V in GeV.

- Either phenomenologically from quarkonium or lattice QCD.



Lund String Model

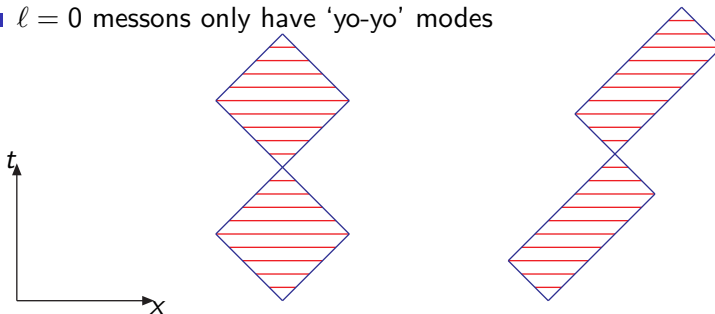
- Assume $\frac{1}{r}$ important for hadron structure but not production.
- In QCD the field lines seem to be compressed into a tube-like region, looks like a **string**.
- So we have linear confinement with a string tension,

$$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm}.$$

- Separate the transverse and longitudinal degrees of freedom gives a simple description as a 1+1 dimensional object, the **string**, with a Lorentz invariant formalism.

Mesons

- In the string model mesons are light $q\bar{q}$ pairs connected by a string.
- $\ell = 0$ mesons only have 'yo-yo' modes



- Area law $m^2 = 2\kappa^2$.

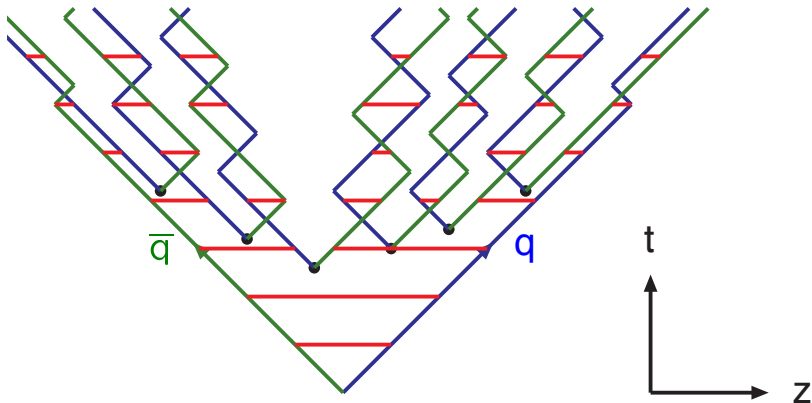
Lund String Model

- Start by considering a $q\bar{q}$ pair produced in e^+e^- annihilation.
- Ignore gluon radiation for the time being.
- q and \bar{q} joined by a string.
- $q\bar{q}$ pairs are created by tunnelling in the intense chromomagnetic field of the string.

$$\frac{d\mathcal{P}}{dxdt} \propto \exp\left(-\pi \frac{m_q^2}{\kappa}\right)$$

- The string breaks into mesons long before the yo-yo point.
- Gives a simple but powerful picture of hadron production.

Lund String Model



Lund Fragmentation Function

- Fermi motion is a gaussian transverse momentum distribution
- The tunnelling probability becomes

$$\frac{d\mathcal{P}}{dxdt} \propto \exp \left[-b \left(m_q^2 + p_{\perp}^2 \right) \right]$$

- The string picture constrains the fragmentation function
 - Lorentz invariance
 - Acausality
 - Left-right symmetry
- The function has the form

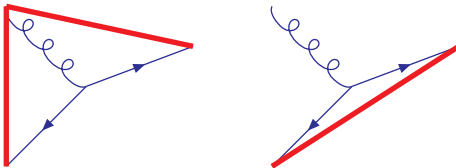
$$f(z) \propto z^{a_{\alpha}-a_{\beta}-1} (1-z)^{a_{\beta}}$$

where $a_{\alpha,\beta}$ are adjustable parameters for quarks α and β .

- a , b and m_q are the main tuneable parameters of the model.

Three-jet Events

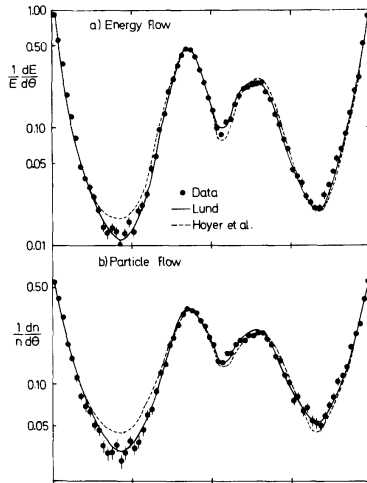
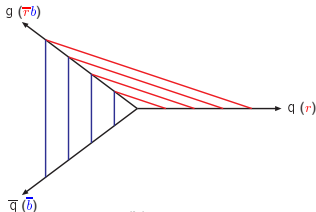
- So far we have only considered the hadronization of $q\bar{q}$ pairs, what about gluons?
- The gluon gives a kink on the string.



- the **string effect**
- The string model has an infrared safe matching with the parton shower.
- Gluons with $k_{\perp} < \frac{1}{\text{string width}}$ irrelevant.

String Effect

- Less radiation between the quark and antiquark.
- Either non-perturbatively via the string model.
- Can get the same result perturbatively via colour coherence.

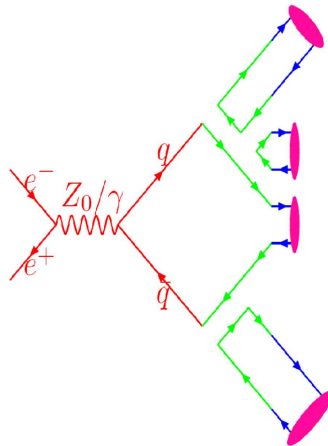


Summary of the String Model

- String model strongly physically motivated.
- Very successful fit to data.
- Universal: fitted to e^+e^- data little freedom elsewhere.
- How does motivation translate to prediction?
- \sim one free parameter per hadron/effect!
- Blankets too much perturbative information?
- Can we get by with a simpler model?

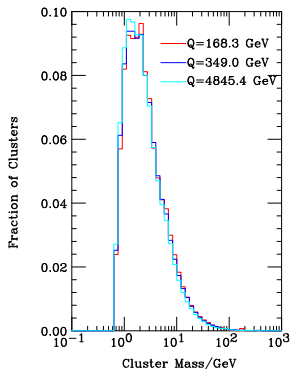
Preconfinement

- In the planar approximation, large number of colours limit:
Gluon = colour-anticolour pair
- We can follow the colour structure of the parton shower.
- At the end colour-singlet pairs end up close in phase space.
- Non-perturbatively split the gluons into quark-antiquark pairs.



Preconfinement

- The mass spectrum of colour-singlet pairs is asymptotically independent of energy and the production mechanism.
- It peaks at low mass, of order the cut-off Q_0 .
- Decreases rapidly for large cluster masses.



Cluster Model

- Project the colour-singlet clusters onto the continuum of high-mass mesonic resonances (=clusters).
- Decay to lighter well-known resonances and stable hadrons using a pure 2-body phase-space decay and phase space weight.

$$W \propto (2s_1 + 1)(2s_2 + 1) \frac{2p^*}{m}$$

- The hadron-level properties are fully determined by the cluster mass spectrum, i.e. by the properties of the parton shower.
- Heavier hadrons, including baryons and strange hadrons suppressed.
- The cut-off Q_0 is the crucial parameter of the model.

Cluster Model: Problems

- 1 Tail of high-mass clusters for which cluster decay is not a good approximation.
 - Split heavy clusters into two lighter clusters along “string” direction.
 - $\sim 15\%$ of clusters in e^+e^- collisions at m_Z but gives $\sim \frac{1}{2}$ of the hadrons.
- 2 Sensitivity to particle content.
 - only include complete multiplets.
 - change model so adding new heavy particles doesn't effect decay of light clusters.
- 3 Leading hadrons are too soft
 - Perturbative quarks remember their direction

$$P(\theta^2) \sim \exp\left(-\frac{\theta^2}{2\theta_0^2}\right)$$

- String like and extra parameter.
- 4 Problems with particle correlations.

The “Beliefs”

- There are two main schools of thought in the event generator community.

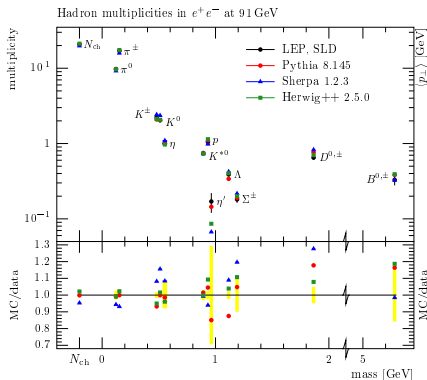
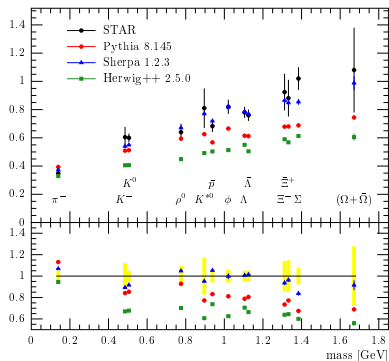
PYTHIA

- Hadrons are produced by hadronization. You must get the nonperturbative dynamics right.
- Better data has required improvements to the perturbative simulation.
- There ain't no such thing as a good parameter-free description.

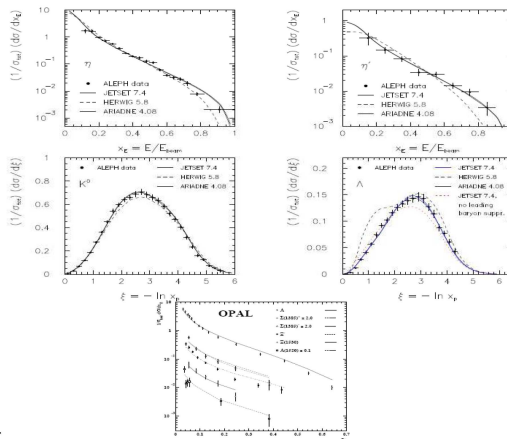
HERWIG

- Get the perturbative physics right and any hadronization model will be good enough
- Better data has required changes to the cluster model to make it more string-like.

Hadrochemistry

Mean p_\perp vs particle mass

Identified Particle Spectra

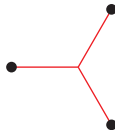


The facts?

- All the generators give good agreement for event shapes.
- HERWIG has less parameters to tune the flavour composition and tends to be worse for identified particle spectra.
- Baryon production is often a problem.

Baryon Production

- All the models have some problems with baryon production.
- In the Lund model baryons are picture as quark quarks attached to a common centre, a colour source/sink



- At large separation two of the quarks are tightly bound, a diquark.

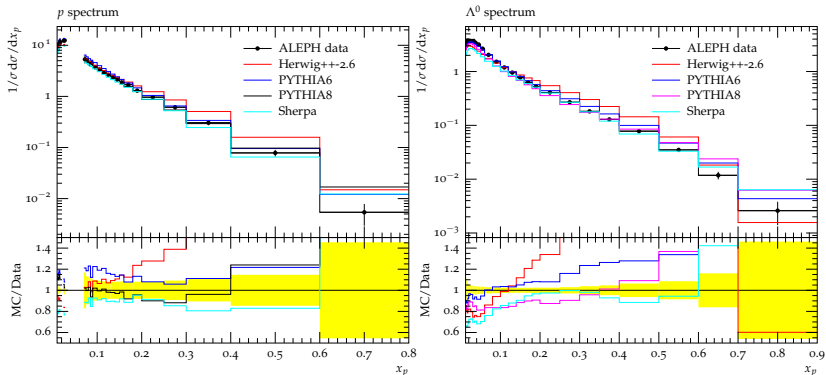


- The diquark is treated as a colour antitriplet ($3 \otimes 3 = \bar{3} \oplus 6$)

Baryon Production

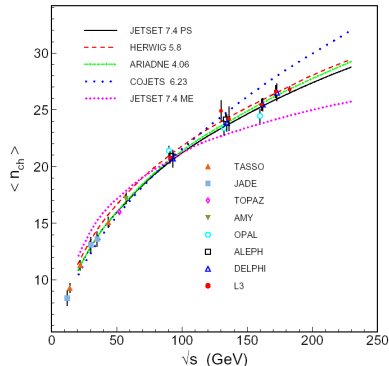
- Two quarks can tunnel nearby in phase space: baryon–antibaryon pair
- In the string model either use diquarks, with an extra parameter for each diquark.
- or the pop-corn model.
- In the cluster model allow diquarks to be produced in cluster decay (always) or non-perturbative gluon splitting (allowed in some variants).

Baryon Production



Universality

- Evolution to a universal, low hadronization scale ensures the hadronization parameters are universal.
- Don't need to retune at each energy.
- Only have to tune the new hadron specific parameters in hadronic collisions.



Hadron Properties

- Hadronization produces hadrons so we need both the hadron properties: quark content; spin; mass; width; etc..
- and to decide which hadrons to produce.
- Many of the hadrons produced during hadronization (**primary hadrons**) are unstable so we also need to know how they decay to **secondary hadrons**.
- Not just a matter of typing in the PDG:
 - not all resonances in a given multiplet have been measured;
 - measured branching fractions rarely add up to exactly 100%;
 - measured branching fractions rarely exactly respect isospin;
- Also need to make a lot of choices for the matrix elements to describe the various decay modes.

Hadron Properties

- Often not even numerical values for partial widths.
- Particles decaying into final-states which aren't allowed for on-shell masses, e.g. $h_1' \rightarrow K \bar{K}^*$.
- In some cases the choice of decay modelling effects the decay tables, e.g. $a_1 \rightarrow \rho \pi$ vs. $a_1 \rightarrow \pi \pi \pi$.

$h_1(1170)$

$$J^{PC} = 0^-(1^+ -)$$

$h_1(1170)$ DECAY MODES

Mode	Fraction (Γ_i/Γ)
$\Gamma_1 \quad \rho \pi$	seen

$h_1(1380)$

$$J^{PC} = ?^-(1^+ -)$$

OMITTED FROM SUMMARY TABLE

Seen in partial-wave analysis of the $K \bar{K} \pi$ system. Needs confirmation.

$h_1(1380)$ DECAY MODES

Mode	
$\Gamma_1 \quad K \bar{K}^*(892) + \text{c.c.}$	

$a_1(1260) [K]$

$$J^{PC} = 1^-(1^+ +)$$

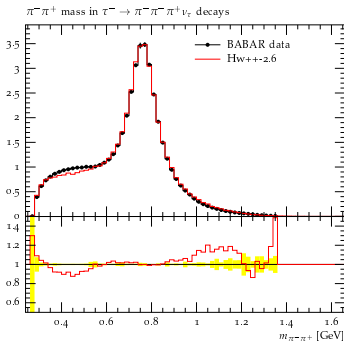
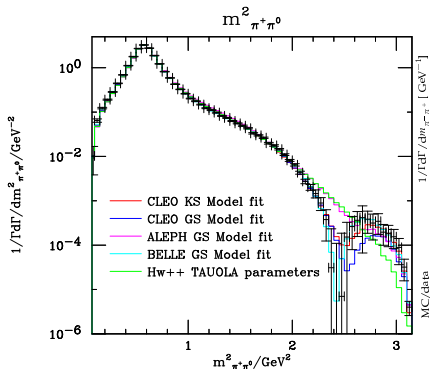
$a_1(1260)$ DECAY MODES

	Fraction (Γ_i/Γ)	ρ (MeV/c)
$(\rho \pi)_{S\text{-wave}}$	seen	353
$(\rho \pi)_{D\text{-wave}}$	seen	353
$(\rho(1450)\pi)_{S\text{-wave}}$	seen	†
$(\rho(1450)\pi)_{D\text{-wave}}$	seen	†
$\sigma \pi$	seen	—
$f_0(980)\pi$	not seen	179
$f_0(1370)\pi$	seen	†
$f_2(1270)\pi$	seen	†
$K \bar{K}^*(892) + \text{c.c.}$	seen	†
$\pi \gamma$	seen	608

Hadron Decays

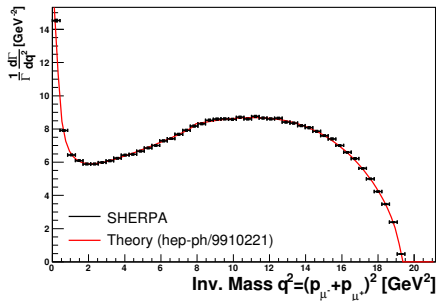
- FORTRAN event generators typically used external packages:
 - **TAUOLA** τ lepton decays;
 - **PHOTOS** QED radiation in decays;
 - **EVTGEN** hadron, especially B meson decays.
- Originally expected more of this in the new generation of programs.
- But better modelling requires passing more information between the different stages of event generation.
- Also many problems with interfaces.
- Net result: better simulation of hadron and τ lepton decays in all the new event generators and less use of external packages.

$$\tau \rightarrow \rho(a_1)\nu_\tau \rightarrow \pi\pi(\pi)\nu_\tau$$

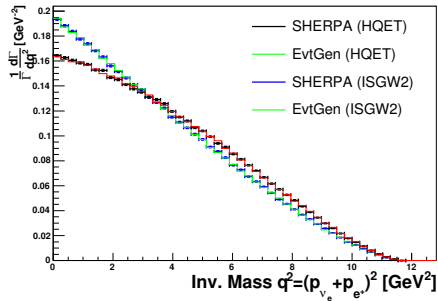


B decays

$$B^+ \rightarrow K^{*+} \mu^+ \mu^-$$



$$B \rightarrow \bar{D} \ell \nu_\ell$$

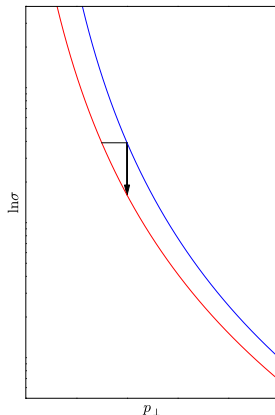


Summary

- Hadronization is described by non-perturbative models.
- Modern hadronization models give a good description of a wide range of processes.
- The parameters are universal allowing predictions once they are tuned to data.
- Don't forget about the hadron properties and decays.

Introduction

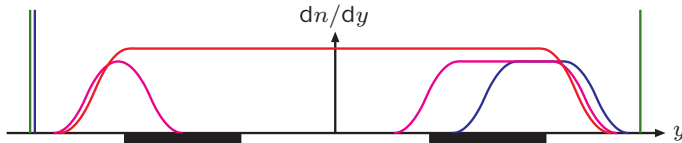
- As well as the hard perturbative scattering there is additional hadronic activity.
- This must be modelled as it is both observable and can have a large effect on jet energies.
- Before we can discuss the models we will first need to understand the definitions of the various types of event.
- We will then discuss the various different models.



Hadronic Cross Sections

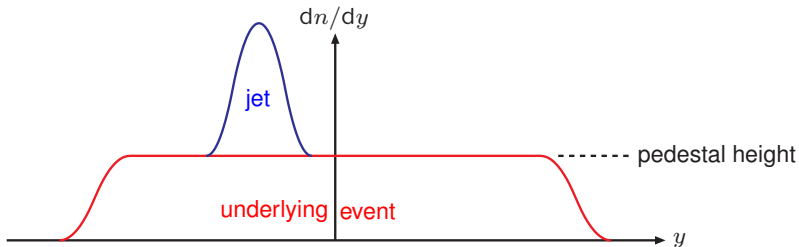
- The total hadronic cross section consists of various components

$$\sigma_{\text{total}} = \sigma_{\text{elastic}} + \sigma_{\text{single-diffractive}} + \sigma_{\text{double-diffractive}} + \dots + \sigma_{\text{non-diffractive}}$$



- Experimentally **minimum bias** \approx all events with no bias from trigger conditions
- Theoretically $\sigma_{\text{min-bias}} \approx \sigma_{\text{double-diffractive}} + \sigma_{\text{non-diffractive}}$

Hadronic Cross Sections



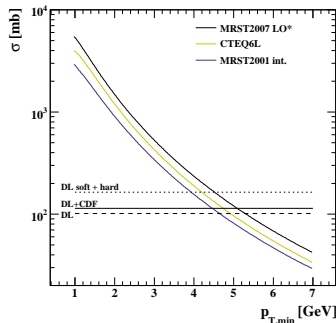
- The underlying is the additional activity from soft interactions in addition to the primary hard partonic process.
- This is a theoretical definition and such a separation is model dependent.
- However we expect the description to be similar to the one we need for the bulk of non-diffractive events.

Multiparton Interaction Models

- The cross-section for $2 \rightarrow 2$ scattering is dominated by t-channel channel gluon exchange.
- It diverges like

$$\frac{d\hat{\sigma}}{dp_{\perp}^2} = \frac{1}{p_{\perp}^4} \quad \text{for } p_{\perp} \rightarrow 0$$

- This must be regulated using a cut $p_{\perp} > p_{\perp}^{\min}$.
- For small values of p_{\perp}^{\min} this is larger than the total hadron-hadron cross section.
- More than one parton-parton scattering per hadron collision.



Matter Distribution

- Hadrons are extended objects so we also need the matter distribution.
- Assume the dependence in x (\parallel to the beam) and b (\perp to the beam) factorizes

$$G_i(x, \vec{b}; \mu^2) = f_i(x; \mu^2) S(\vec{b}).$$

and the n -parton distributions are “independent”

$$G(x_i, x_j, \vec{b}_i, \vec{b}_j, \mu^2) = G_i(x_i, \vec{b}_i; \mu^2) G_j(x_j, \vec{b}_j; \mu^2)$$

Matter Distribution

- The inclusive cross section for $pp \rightarrow \text{jets}$ is

$$\sigma_{\text{inc}} = \int_{p_{\perp}^{\text{min}}}^{\frac{E_{\text{CMF}}}{2}} \int dx_1 \int dx_2 \sum_{ij} f_i(x_1, p_{\perp}^2) f_j(x_2, p_{\perp}^2) \frac{d\hat{\sigma}_{ij}}{dp_{\perp}}$$

- The b dependence from

$$A(b) = \int d^2b_1 S(b_1) \int d^2b_2 S(b_2) \delta(b - b_1 + b_2)$$

is normalised such that $\int db^2 A(b) = 1$.

- If we assume the separate scatters are uncorrelated, *i.e.* they obey Poissonian statistics.
- The average number of scatters per event is

$$\langle n \rangle = \frac{\sigma_{\text{inc}}}{\sigma_{\text{nd}}}.$$

Matter Distribution

- Alternatively the probability of m scatters is

$$P_m = \frac{[A(b)\sigma_{\text{inc}}]^m}{m!} \exp(-A(b)\sigma_{\text{inc}}).$$

- The total cross (non-diffractive) cross section is

$$\sigma_{\text{nd}} = \int \mathrm{d}b^2 \sum_{m=1}^{\infty} P_m = \int \mathrm{d}b^2 [1 - \exp(-A(b)\sigma_{\text{inc}})]$$

- Therefore

$$\langle n \rangle = \frac{\int \mathrm{d}b^2 \sum_{m=1}^{\infty} m P_m}{\int \mathrm{d}b^2 \sum_{m=1}^{\infty} P_m} = \frac{\int \mathrm{d}b^2 \langle n(b) \rangle}{\int \mathrm{d}b^2 [1 - \exp(-\langle n(b) \rangle)]} = \frac{\sigma_{\text{inc}}}{\sigma_{\text{nd}}}$$

Matter Distribution

- Use either the electromagnetic form factor

$$S_P(\vec{b}) = \int \frac{d^2\vec{k}}{2\pi} \frac{e^{i\vec{k}\cdot\vec{b}}}{1 + |\vec{k}|}$$

giving

$$A(b) = \frac{\mu^2}{96\pi} (\mu b)^2 K_3(\mu b).$$

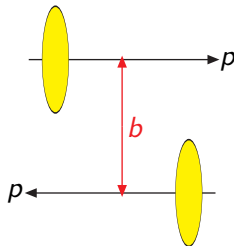
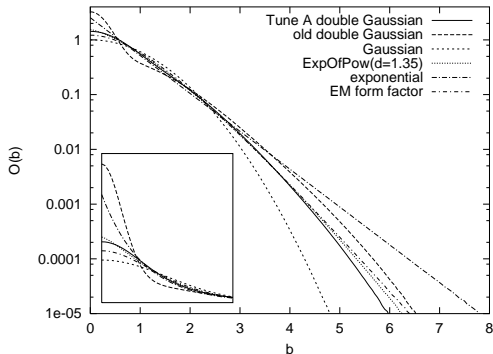
- or an empirical double Gaussian

$$\rho_{\text{matter}}(r) = N_1 \exp\left(-\frac{r^2}{r_1^2}\right) + N_2 \exp\left(-\frac{r^2}{r_2^2}\right)$$

where $r_1 \neq r_2$ gives “hot spots” and

$$A(b) = \int d^3x dt \rho_{1,\text{matter}}^{\text{boosted}}(x, t) \rho_{2,\text{matter}}^{\text{boosted}}(x, t)$$

Matter Distribution



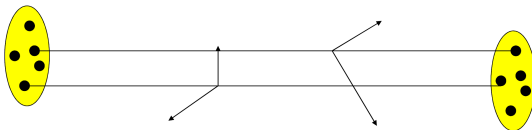
- Average activity at b proportional to $A(b)$
- Central collisions more active, broader than Poissonian
- Peripheral collisions normally give few if any collisions.

Multiparton Interaction Models

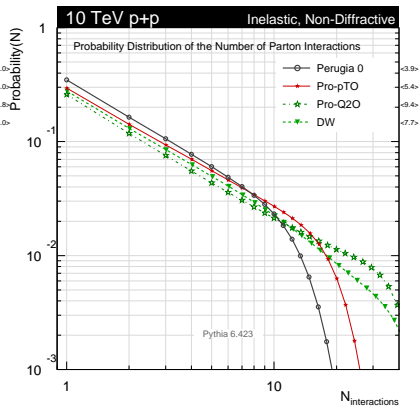
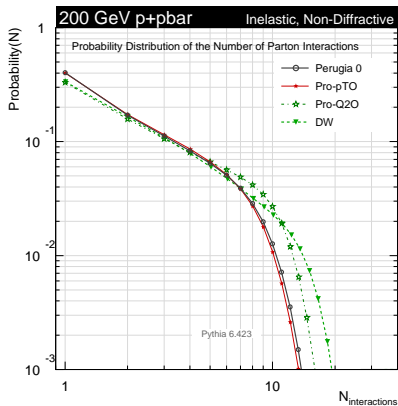
- If the interactions occur independently obeys Poissonian statistics

$$P_n = \frac{\langle n \rangle^n}{n!} e^{-\langle n \rangle}$$

- However energy-momentum conservation tends to suppress large numbers of parton scatterings.



Number of Interactions



PYTHIA Model

- Don't use a strict cut-off in p_{\perp}

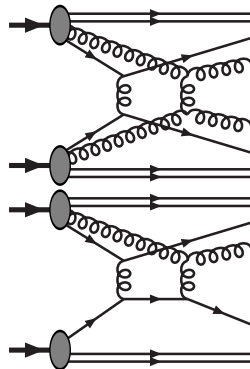
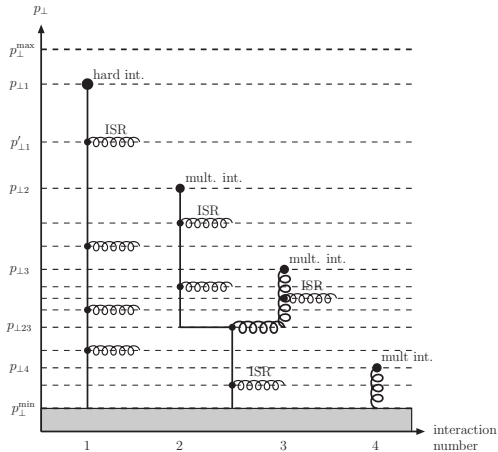
$$\frac{d\hat{\sigma}}{dp_{\perp}^2} \propto \frac{\alpha_S^2(p_{\perp}^2)}{p_{\perp}^4} \rightarrow \frac{\alpha_S^2(p_{\perp}^2)}{(+pp_{\perp}^2)^2}$$

- double Gaussian matter distribution,
- PDFs rescaled for momentum conservation
- Trace flavour content of remnant, including baryon number.
- Colour arrangement among outgoing partons
- Interactions ordered in decreasing p_{\perp} , and evolution interleaved with ISR

$$\frac{d\mathcal{P}}{dp_{\perp}} = \left(\frac{d\mathcal{P}_{\text{MPI}}}{dp_{\perp}} + \frac{d\mathcal{P}_{\text{ISR}}}{dp_{\perp}} \right) \exp \left(- \int_{p_{\perp}}^{p_{\perp}, i-1} \left[\frac{d\mathcal{P}_{\text{MPI}}}{dp_{\perp}} + \frac{d\mathcal{P}_{\text{ISR}}}{dp_{\perp}} \right] dp'_{\perp} \right)$$

- Includes rescattering

PYTHIA Model



Herwig++ Model

- In terms of the eikonal function $\chi(b, s)$.

$$\sigma_{\text{tot}} = 2 \int_0^\infty db^2 \left[1 - e^{-\chi(b, s)} \right] \quad \sigma_{\text{ela}} = \int_0^\infty db^2 \left| 1 - e^{-\chi(b, s)} \right|^2$$

$$\sigma_{\text{inel}} = \int_0^\infty db^2 \left[1 - e^{-2\chi(b, s)} \right]$$

- Take eikonal + partonic scattering seriously

$$\sigma_{\text{tot}} = 2 \int d^2b \left(1 - \exp \left[-\frac{1}{2} A(b) \sigma_{\text{inc}} \right] \right)$$

- Given the form of the matter distribution predict σ_{inc}

Herwig++ Model

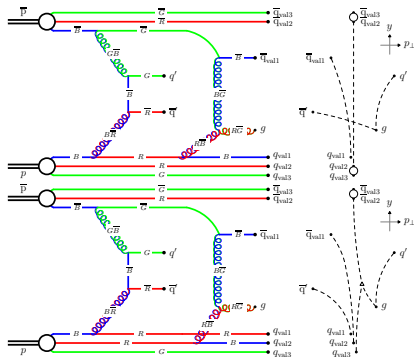
- Too restrictive

$$\sigma_{\text{tot}} = 2 \int d^2b \left(1 - \exp \frac{1}{2} [A_{\text{soft}}(b)\sigma_{\text{soft,inc}} + A_{\text{hard}}(b)\sigma_{\text{hard,inc}}] \right)$$

- Gives two free parameters.
- Independent perturbative scattering above p_{\perp}^{min}
- Gluon scattering below p_{\perp}^{min} with $\sigma_{\text{soft,inc}}$ and a Gaussian p_{\perp} distribution.
- $\frac{d\sigma}{dp_{\perp}}$ continuous at p_{\perp}^{min} .
- Includes colour reconnection of the partons in clusters produced via MPI.

Colour Correlations

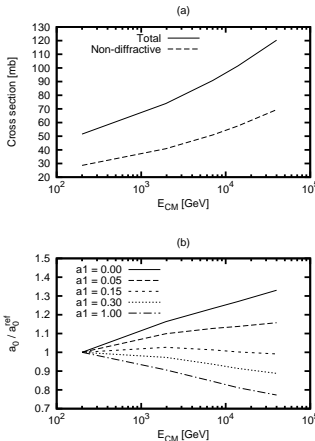
- Colour correlations can have a big influence on the final state.
- In particular $\langle p_{\perp} \rangle$ vs n_{ch} is very sensitive to the colour flow.
- Long string to remnants many charged particles
- Short strings less charged particles.



x-Dependent Matter Distributions

- Most models have a factorization of the x and b matter dependence.
- Corke & Sjöstrand JHEP 1105 (2011) 009 consider a Gaussian matter distribution with width

$$a(x) = a_0 \left(1 + a_1 \ln \frac{1}{x} \right)$$



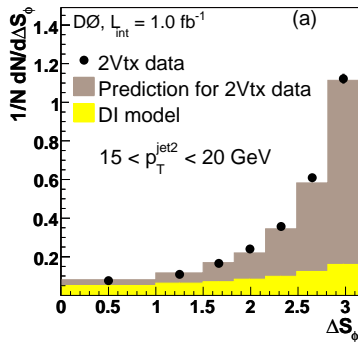
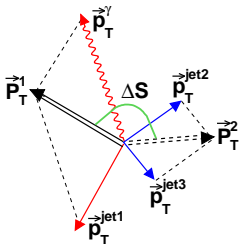
Measurements

- In principle all measurements at hadron collisions can be sensitive to the underlying event.
- There are three main types of measurement which are used to study, constrain, and fit the parameters of the models.
 - 1 Measurements which are sensitive to a second hard scattering of a particular type.
 - 2 Measurements of particle numbers, p_{\perp} , etc. in phase-space regions where we don't expect perturbative radiation in hard events.
 - 3 Measurements of min-bias events.

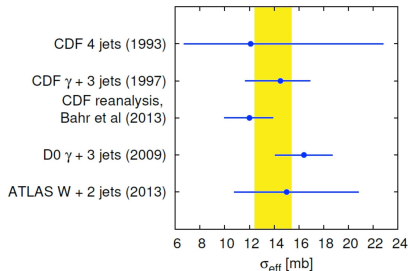
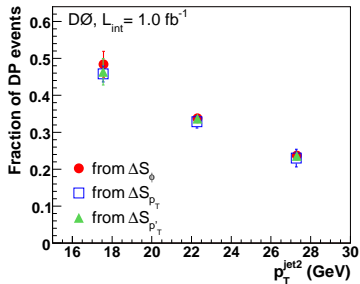
Double-Parton Scattering

- Look at γ +jets events.
- One pure QCD scattering and one γ +jet.
- Define an effective cross section s.t.

$$\sigma_{ab} = \frac{\sigma_a \sigma_b}{\sigma_{\text{eff}}}$$

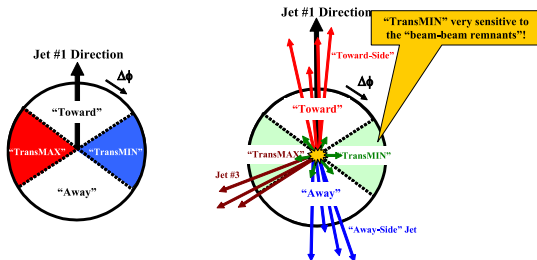


Double-Parton Scattering



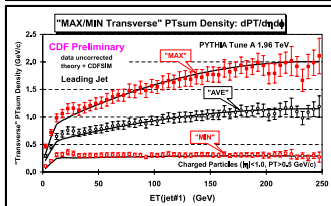
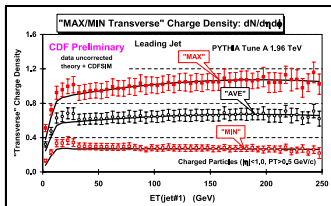
Underlying event measurements

- Classic approach is to define the event using a hard jet, or other particle, e.g. Z^0 .
- The define toward, away, transverse max and transverse min regions.
- The transverse min region is most sensitive to the underlying event, while transverse max can also be sensitive to perturbative radiation.

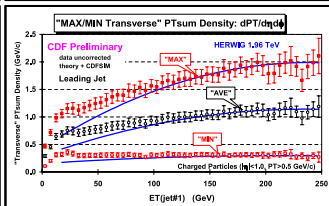
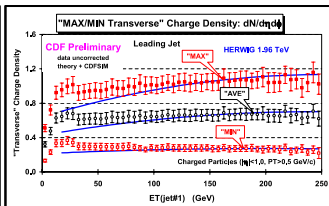


CDF Results Jets

PYTHIA Tune A

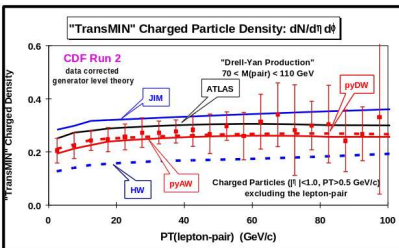
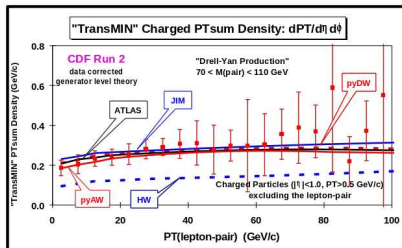
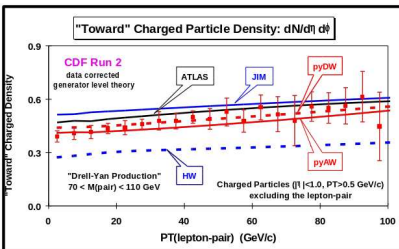
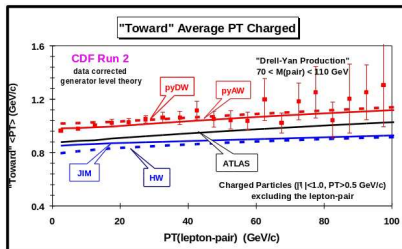


HERWIG

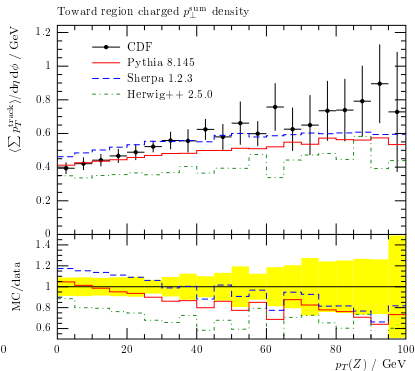
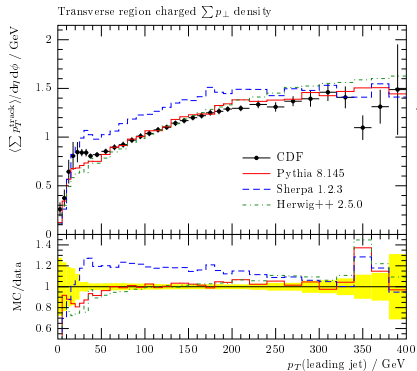


Charged particle density and PTsum density for "leading jet" events versus $E_T(\text{jet}\#1)$ for PYTHIA Tune A and HERWIG.

CDF Results Drell-Yan

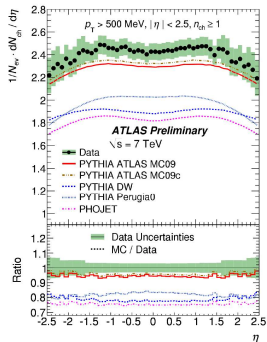
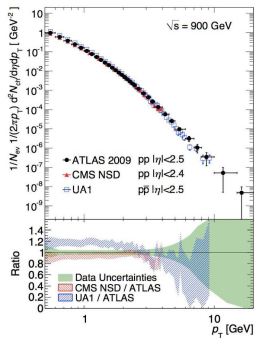


CDF Results



First LHC Results

Charged Particle Multiplicities at $\sqrt{s}=0.9, 7$ TeV



Monte Carlo underestimates the track multiplicity seen in ATLAS

Physics at LHC, DESY, June 9th, 2010 –
ATLAS First Physics Results

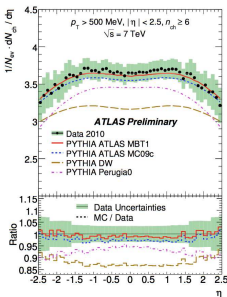
Christophe Clement

First LHC Results

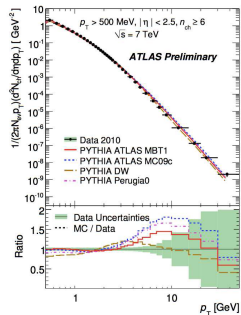
Pythia Tune to ATLAS MinBias and Underlying Event

Used for the tune

ATLAS UE data at 0.9 and 7 TeV
 ATLAS charged particle densities at 0.9 and 7 TeV
 CDF Run I underlying event analysis (leading jet)
 CDF Run I underlying event "Min-Max" analysis
 D0 Run II dijet angular correlations
 CDF Run II Min bias
 CDF Run I Z pT



Christophe Clement



Result

This tune describes most of the MinBias and the UE data
 Significant improvement compared to pre-LHC tunes

Biggest remaining deviation in

These deviations could not be removed

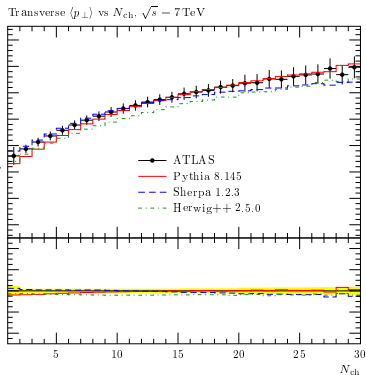
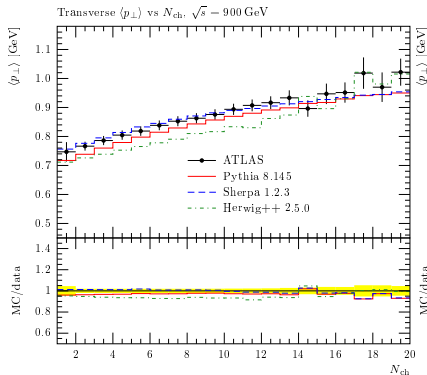
Needs further investigations

$$\frac{1}{N_{ev}} \cdot \frac{1}{2\pi p_T} \cdot \frac{d^2 N_{ch}}{d^2 p_T d\eta}$$

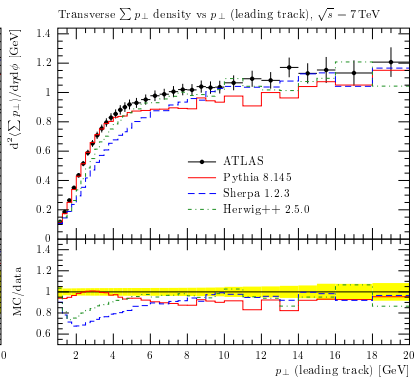
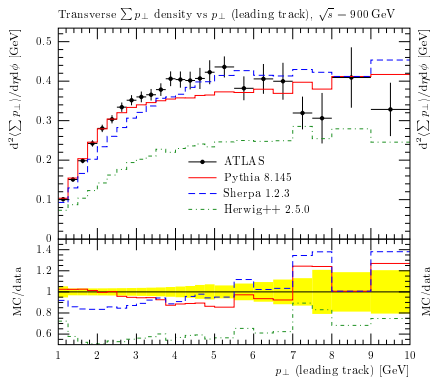
Physics at LHC, DESY, June 9th,
 2010 – ATLAS First Physics Results

First LHC Results

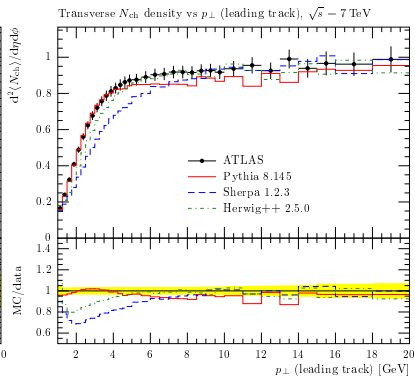
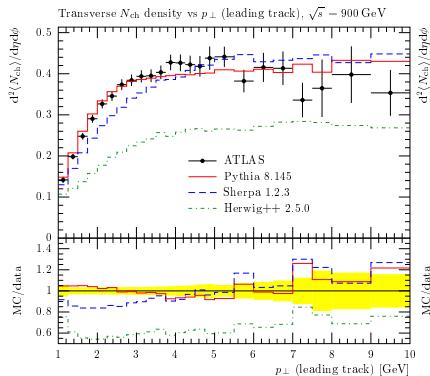
- Before the LHC start there was some worry that the models would completely fail.
- In reality in good agreement with the early data.
- Better agreement now after some tuning of the parameters.
- In both Herwig++ and PYTHIA this needs the p_{\perp}^{\min} parameter to be energy dependent.
- Older soft models don't describe the data.

Average transverse p_{\perp} vs N_{ch} 

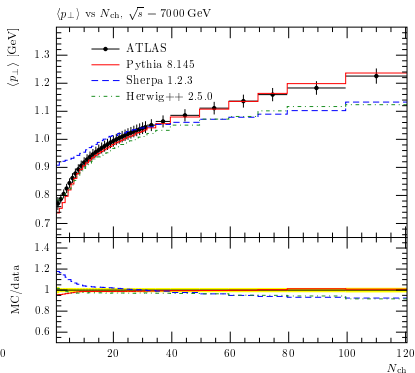
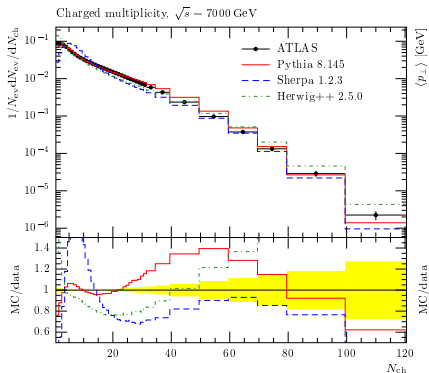
Transverse p_{\perp} density vs p_{\perp}



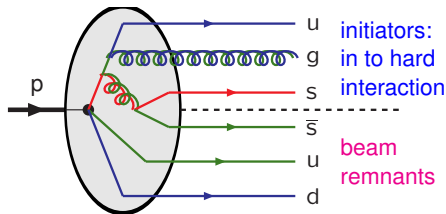
Transverse N_{ch} density vs p_{\perp}



Charged Multiplicity



Beam Remnants



Need to assign:

- correlated flavours
- correlated $x_i = p_{zi}/p_{z\text{tot}}$
- correlated primordial $k_{\perp i}$
- correlated colours
- correlated showers

■ PDF after preceding MI/ISR activity:

- 1 Squeeze range $0 < x < 1$ into $0 < x < 1 | \sum_i x_i$
- 2 Valence quarks reduce by the number already kicked out.
- 3 Introduce companion quark q/\bar{q} to each kicked-out sea quark q/\bar{q} , with x based on assumed $g \rightarrow q\bar{q}$ splitting
- 4 Gluon and sea: rescale for total momentum conservation.

■ Colour flow connects hard scattering to beam remnants which can have consequences.

Summary

- Underlying event is one of the least understood aspects of event generation.
- Modelled and only weakly constrained by existing data.
- Models based on MPI describe the data well with a number of refinements.