



Upgrades for Helmholtz Alliance High-Luminosity LHC (HL-LHC)

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Terascale Introductory School April 2015

Outline

• Why an upgrade?

Challenges of HL-LHC operation and implications for detectors

Trigger upgrade to find the "interesting" events

Tracker upgrades because of radiation damage

Muon upgrades to extend acceptance and increase granularity

Calorimeter upgrade (mainly CMS)

LHC very successful so far

Very successful up to now! Run-1 recorded luminosity of high quality data ~25 fb⁻¹

 \sqrt{s} (LHC) = 4 x \sqrt{s} (Tevatron)

Published >200 papers per experiment



Run period	Center-of-mass	Integr. Iuminosity
Run-1	√s = 7 - 8 TeV	25/fb
Run-2	√s = 13 TeV	100/fb
Run-3	√s = 14 TeV	300/fb
Phase-II	√s = 14 TeV	3000/fb

At the beginning: Re-discover the Standard Model



At the beginning: Re-discover the Standard Model



Many precision measurements at the LHC since then

In 2012: Discovery of the Higgs



theguardian

The Higgs boson discovery is another giant leap for humankind

15 - 7-8 TeV

Themis Bowcock

First observations of a new particle in the search for the Standard Model Higgs boson at the LHC

www.elsevier.com/locate/physletb

The Cern discovery of the Higgs particle is up there with putting man on the moon - something all humanity can be proud of

Wednesday 4 July 2012 12.45 BST

arly this morning, the physicists sat, with the media poised, waiting for two technical seminars from Cern to be delivered. There was only one question we all really wanted answered - would there be enough evidence to prove the Higgs particle had been discovered?

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MISSION

ACCOMPLISHED!

Higgs (BEH) boson is now firmly established

See Higgs lecture

- ✓ M_H ~ 125 GeV
- ✓ Couplings to fermions and to weak bosons (verified to ~10-30% precision) consistent with the minimal scalar sector required for the BEH mechanism
- Custodial symmetry verified (~ 15% precision) and the existence of a boson with non-universal family couplings established (ττ evidence + no μμ signal)



• SM-like Higgs at ~125 GeV is compatible with global EWK data at 1.3σ (p = 0.18)

Many other searches without signal, setting limits in TeV range (Example: CMS Exotica)



Q

Nothing else?



An Historical Precedent ...

"There is nothing new to be discovered in physics now. All that remains is "more and more precise measurement"

Lord Kelvín 1900



"The more important fundamental laws and facts of physical science have all been discovered, and these are so firmly established that the possibility of their ever being supplanted in consequence of 'new discoveries is exceedingly remote ..."

Albert Míchelson 1903

And then there was

Relativity, Quantum Mechanics, anti-matter, cosmic microwave background, ...

Physics Beyond the Standard Model?

SM has some open questions. Many models trying to address them. Experiments will decide which one is implemented by nature.



Guidance by theory, what to look for? Final state allows different interpretations Be ready also for the unexpected

Still many open questions

Many models tested so far address just one open question...

- Hierarchy between EWK and Planck scale?
- Are there SUSY or vector-like quarks?
- What is the missing dark matter?
- Why three generations?
- Composite structure of particles?
- Others.... Not just one golden channel to guide detector design.

LHC upgrade options:

- Increase sqrt(s)

Something new will have even smaller cross sections





Do we need to start already now? Example CMS

1990 First LHC meeting (Aachen)

1997 Subsystems TDRs

2000 Detector construction started

2004 Cavern completed

2006/07 Physics TDR vol.I & II

2009 Detector completed

2010 Start LHC data taking

2012 Higgs discovery

~ 20 years



Do we need to start already now?

2012/13 Phase-I upgrade TDRs

2015 Phase-II upgrade TDR

20xx Completed R&D

20xx Start detector construction

2025 Start phase-II data taking

2030? Discovery of dark matter

2035? Discovery of SUSY

~ 10 years



LHC/LH-LHC Characteristics $\sqrt{s_{DD}} = 7-8 \text{ TeV}$ Phase 0 $\int L dt \approx 25 f b^{-1}$ 2010-2012 $\sqrt{s_{pp}} = 13-14 \text{ TeV}$ Phase 1 $\int L dt = 300 \, fb^{-1}$ 2015-2022 (LS1 + LS2 = consolidation and phase 1 upgrades)Phase 2 $\int L dt = 3000 \, fb^{-1}$ 2023-203x R&D on-going !!! (LS3 = phase 2 upgrades)Installation in LS3 : 2023-2024 f=frequency $\mathcal{L} = f \cdot k^2 \cdot \frac{n^2}{\mathbf{x}}$ k=number of bunches Luminosity n=particles/bunch A=beam cross section

High luminosity gives access to rare processes and allows precision measurements.

Consequences of high luminosity for detectors

- Higher rates would imply increased trigger thresholds without upgrade
- ightarrow Detector ageing
- ightarrow More bandwidth needed

Physics requirements?



The price to pay for HL

With increasing luminosity more overlapping interactions



L< 10³² cm⁻²s⁻¹ ~10¹ bunches/beam <= 1 Event/BX

The price to pay for HL

With increasing luminosity more overlapping interactions



L~10³³ cm⁻²s⁻¹ ~10² bunches/beam ~2 - 8 Evts/BX

The price to pay for HL

With increasing luminosity more overlapping interactions



L=10³⁴ cm⁻²s⁻¹ ~10³ bunches/beam ~25 Evts/BX

At HL-LHC ~140 Evts/BX

A Typical Collision

CMS Experime Collision creates a lot of tracks. But we

Data recorded: 2010-Jul-09.02:25:58.839811 GMT(04:25:58 CEST) Run / Event 139779 Want to know exactly what happens

(c) CERN 2009 All rights reserved.

Identifying Particles



Measure final state particles and kinematic reconstruction



To determine:

Momentum and direction of charged particles

Energy measurement

Particle identification

Derived quantities:

Neutral particles (missing E_T , neutrinos)

Maintain phase-I performance despite harsh environment

Reason #1 for upgrade = radiation damage

All Particles

Detector will age and performance deteriorate



→ Need to replace tracker and forward detectors with radhard material

Upgrades for HL-LHC

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Reason #2 = high rates

Higher rates, in particular in the forward





Triggers need to stay efficient (MHz \rightarrow kHz). Keep trigger thresholds low for Higgs and particles from cascade decays

ightarrow Finer granularity detectors and larger trigger bandwidth

Reason #3 for upgrade = age

By ~2025 detectors are operational for ~20 years. Built in technology from ~30 years ago (in particular electronics and computing)

 \rightarrow Redesign electronics, trigger and DAQ



Now let's discuss detectors



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Upgrades for HL-LHC

K.Hoepfner @

Trigger and Electronics

Selective triggers (ATLAS, CMS) for high rates

 At high luminosity even more background → high efficiency and high purity of triggers.

 High granularity detectors and high rate capability. Detector upgrades to support trigger strategy by providing highquality input.



improve purity, reduced fakes, sharper turn-on



Increase L1 Trigger latency 3.4 μs → 12.5 μs
& L1 Trigger rate 100 kHz → 750 kHz

New readout electronics

The trigger challenge: keep the **thresholds** at 5x higher PU. Sharpen **turn-on** curves and reduce **fake** triggers.

Tools:

- **Single ECAL crystal readout** improves track matching, spike rejection (noise) and timing
- Additional muon detectors (GE1/1) enable measurement of bending angle in forward region
 → reduce mis-measurement
- Tracking information at L1 (tracking trigger)





EXPERIMENT Level-1 calorimeter trigger input: Trigger Towers $\Delta \eta \times \Delta \phi = 0.1 \times 0.1$ Maintain lower thresholds

• Used to calculate core energy, isolation

Trigger Towers $\Delta \eta x \Delta \Phi = 0.1 x 0.1$ 40 A0 30 L Run-1 trigger menu Φ at L_{inst} =3 x 10³⁴ cm⁻²s⁻¹ Total rate for EM triggers would be 270 kHz! (Total L1 bandwidth is 100kHz)

maintain lower thresholds at an acceptable rate Provide better granularity and better energy resolution Layer 3 $\Delta n x \Delta \Phi = 0.1 x 0.1$ Super Cells 50 ⁴⁰ ⁰⁴ ⁰⁴ ⁰⁴ Layer 2 $\Delta \eta x \Delta \Phi = 0.025 x 0.1$ 20 $x\Delta \Phi = 0.025 x 0.1$ 10 aver 0 $\Delta n x \Delta \Phi = 0.1 x 0.1$ (b)

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ATLAS EXPERIMENT Physics impact

Trigger eff. vs jet p_T



EM Triggers

- Better shower shape discrimination
 → lower EM threshold by ~ 7 GeV at
 same rate
- In addition significantly improved resolution → lower EM threshold by another few GeV at same rate

Significant degradation of the turn-on curve with pile up (<µ>=80)

- requiring much higher offline threshold (black curve)
- recovered through introduction of supercells (red curve)





Continuous readout for ALICE Upgrade

Goal:

High precision measurements of rare probes of Pb-Pb collisions at low $p_{T_{,}}$ which cannot be selected with a trigger \rightarrow Read out all Pb-Pb interactions at a maximum rate of 50kHz (i.e. L = 6x10²⁷ cm⁻¹s⁻¹)

- Lower luminosity than ATLAS/CMS but exceeding ALICE design values, about 100x more statistics than run1+2
- Significant improvement of vertexing and tracking capabilities → New Inner Tracking System (ITS)
- Upgrade mainly related to readout and trigger electronics to handle large rates. Other upgrades at smaller scale w.r.t. multi-purpose detectors and mostly in LS2 (no strong correlation to HL-LHC).



K.Hoepf Upgrade



ALICE Upgrade

ALICE New Inner Tracking System (ITS)

- improved pointing precision
- less material -> thinnest tracker at the LHC

Time Projection Chamber (TPC)

- New Micropattern gas detector technology
- continuous readout

New Central Trigger Processor (CTP)

Data Acquisition (DAQ)/ High Level Trigger (HLT)

- new architecture
- on line tracking & data compression
- 50kHz PbPb event rate

Muon Forward Tracker (MFT)

- new Si tracker
- Improved MUON pointing precision

MUON ARM

• continuous

readout electronics

(c) by St. Rossegger

TOF, TRDFaster readout

New Trigger Detectors (FIT)



run an efficient and selective software trigger with access to the full detector information at every 25 ns BX crossing → increase luminosity and signal yields



Upgrade to 40 MHz readout

- ✓ upgrade ALL sub-systems to 40 MHz Front-End (FE) electronics
- ✓ replace complete sub-systems with embedded FE electronics
- $\checkmark\,$ adapt sub-systems to increased occupancies due to higher luminosity
- keep excellent performance of sub-systems with 5 times higher luminosity and 40 MHz R/O



Multi-purpose experiments to operate at maximum luminosity

- **High collision rates causing**
- \rightarrow radiation damage to detectors, in
- particular inner trackers
- → more background, selective triggers
Tracking systems

HL Challenges for tracking systems

1) Radiation, radiation, radiation... causing material damage

2) Higher occupancies = needs smaller cells

3) Even more background, more selective triggers. Include tracking information in trigger

4) Extending acceptance in the forward to gain physics potential

The material for tracking







þ Jpgrades 1



Performance Degradation of Silicon Tracker

Radiation damage of material causes efficiency loss



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LHC







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Upgraded Tracker: Lighter, Smarter, Better (acceptance)

Lighten up DC-DC powering scheme, CO₂ cooling, low mass assemblies, reduced material within tracker volume, thinner sensors

 Physics gain: improved track p_T resolution. Reduced rate of γ conversions.

Larger coverage extend pixel acceptance to $|\eta|^{4.0.}$

 Physics gain: Reduces fake jets due to PU for VBF physics. Allows to separate signal jets (primary vertex) from PU jets.



EXPERIMENT ATLAS present tracker

Present Atlas tracker designed for nominal LHC conditions:

- Straw tubes (wire chambers) for tracking and transition radiation (TRT)
- Silicon strips (SCT)
- Pixel vertex detector, rectangular pixels 50 x 400 um² (B-field)



B = 2T (solenoid) for inner detectors

typical accuracy of:

- ~ 100-150 microns/straw
- ~ 20-30 micron/silicon strip
- ~5-15 micron/pixel

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 Provides many hits along track → tracking inside jets

Less radiation length



http://www.hep.lu.se/atlas/thesis/egede/thesis-img386.gif

ATLAS Phase-II tracker upgrade

Complete replacement with a full silicon tracker

Limiting factors for HL-LHC

- Radiation damage (Pixel, SCT)
- Occupancy
 - Bandwidth saturation (Pixel, SCT)
 - Performance deterioration (TRT)

Barrel: 4 pixel + 5 concentric double strip layers

Endcaps: on each side 6 pixel + 7 strip disks



z (m)

EXPERIMENT Tracker performance

- Robust tracking (14 hits/track for $|\eta| \lesssim 2.3$)
- Occupancy < 1% for maximum μ of 200
- Reduced material compared to current ID (less than $0.7X_0$ for $|\eta| \le 2.7$)
- Maintain and improve detector performance (*p_T*-resolution, tracking efficiency, two-particle separartion, vertexing, *b*-tagging) in high-pileup environment





ATLAS Simulation



EXPERIMENT New Fast Tracker (FTK)

- FTK does hardware based si-tracking at start of HLT with near offline quality.
- Providing precision tracking(Pt, d0, btag)

for HLT to improve triggers.



Forward Muon Tracker (FMT) Hadron Absorber p_{T} measurement: Present limitation: blind to details of vertex region because of hadron absorber Muon Forward Tracker **Muon Spectrometer Upgrade: Addition of a detector based** on pixel CMOS sensors (MFT) in the Muon Spectrometer acceptance to improve muon physics capabilities: Reconstruction of secondary vertices **Background reduction** Better mass resolution

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Not only silicon. Renaissance of scintillating fiber technology, now with SiPM readout.

Remember: LHCb operates at lower collision rates

Tracking detectors: Scintillating Fibre tracker

Large scale tracking system based on mats of 2.5m long scintillating fibres of 250µm diameter, readout by SiPMs

About 10000 km of scintillating fibres ! Fibre quality control is an issue. R&D in strict collaboration with the manufacturers ongoing

1) A good fibre mat and 2) a mat with a fibre with wrong diameter





Various SiPM vendors and arrangements have been tested and qualified R&D on SiPM radiation hardness performed: cooling is critical. Neutron shielding is also important

Silicon PM (SiPM) array: 128 × 250 µm

ECFA workshop

G. Passaleva 10

Muon Systems

Challenges muon system

 Higher rates in the forward → affects trigger rate, trigger purity, resolving several tracks.

2) Muon channels become even more "golden" because of their clean signature \rightarrow robust and efficient muon trigger

3) Extending acceptance in the forward to gain physics potential. Remember that tracking is already extended.

Avoid rebuilding muon detectors with large areas (construction time >6 years). Possible because of calorimeter shielding. Gaseous detectors with little alternatives. Dedicated R&D accross experiments (RD51, etc.)

Material for (large size) muon systems = gas-based detectors

Classical: wire chambers of thin spark chambers O(mm)



Future: Micro-pattern gas detectors (MPGD) with fine segmentation O(0.1mm)



The MPGD Zoo of the 90s



Gas Electron Multiplier (GEM)

Thin, metal-coated polymer foil with high density of holes:



F. Sauli, Nucl. Instrum. Methods A386(1997)531



Cascaded GEMs permit to attain much larger gains before discharge



C. Buttner et al, Nucl. Instr. and Meth. A 409(1998)79 S. Bachmann et al, Nucl. Instr. and Meth. A 443(1999)464

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MICROMEGAS (MM): another future technology for gaseous detectors



Present CMS and ATLAS



Highly hermetic and redundant muon systems

- ATLAS:
 - Drift tubes (MDT) up to η=2.7 with spatial resolution ~80 μm measuring bending
 - Cathode-strip chambers (CSC) in the region 2.0<|η|<2.7 for high rates
 - Resistive plate chambers (RPC) for triggering and second coordinate in the barrel |η|<1.0
 - Thin gap chambers (TGC) for triggering and second coordinate in the forward
- CMS:
 - Drift tubes (DT) to η~1.2
 - CSC Endcaps 1.0< |η|<2.4
 - RPCs to ensure adequate redundancy
 - Trigger coverage up to |η|=2.4. Typical threshold of p_T~20-25 GeV for inclusive muon trigger





CMS: Additional stations in the difficult forward region to address (1)

Triple GEM detector (GE1/1): precision chambers to **improve trigger momentum selectivity and reconstruction** already in late LHC phase-1. Installation in LS2 (2018).



Enhance region without redundancy $1.6 < |\eta| < 2.4$ with **maximum rate.** Technology = GEM (GE2/1) and improved high-rate RPC (RE3/1 and RE4/1)



Physics benefit of GE1/1

Additional GEM station (next to ME1/1)

Goal: large reduction of (fake) trigger rate using bending angle

- Need good spatial resolution & rate capability
- Larger lever arms using new detectors and existing CSC chambers in the same station
- Must measure bending angle in station 1



Else radial B-field and multiple scattering quickly diminish discrimination Expect x5-10 rate reduction with new detectors



CMS: Additional station near calorimeter to extend coverage





Benefit of Extended Acceptance

Considered extension to $|\eta|^{\sim} 4.0$ (now 3.0) provides critical benefits for physics, e.g. $H \rightarrow ZZ \rightarrow 4\mu$ (note: lower mass resolution, also increase in background)



EXPERIMENT New Small Wheel (NSW)

with finer granularity for lower occupancies and more capabilities to reduce the backgrounds. More punch-through dues to less shielding = more fakes.



Already in phase-I as consolidation of muon system

ATLAS EXPERIMENT

Efficient Triggering at high X

Reduce fake triggers in endcaps to keep a manageable L1 trigger rate \rightarrow by reducing significantly the unmatched low momentum particles.

The current endcap trigger only uses the direction and position in the Big Wheel. Significant reduction with the new small wheel (NSW) by adding its measurement of direction and position.



Also LHCb will use GEMs near beampipe

AND NO



Calorimeters

CMS forward calorimeters suffer from aging and need to be replaced



Calorimeters

- At present PbWO₄ crystals in ECAL barrel and scintillator-tile HCAL.
- Active detectors can stay in barrel where rad damage is less. Electronics needs replacement to cope with new trigger rates/latency



Variation in forward region by 100.



Two Scenarios for Forward Calorimetry Need to replace forward

Need to replace forward calorimeters after 500/fb due to ageing damage

Scenario 1

- Maintain present geometry with ECAL and HCAL stand-alone
- ECAL Endcap in Shashlik design
- HCAL Endcap re-build as radhard

Scenario 2

- New integrated design as a High Granularity Calorimeter (HGC)
- Particle flow imaging calorimeter



Scenario 1: Shashlik ECAL

Sampling calorimeter w/out depth segmentation, very compact X_0^25

- Radhard inorganic scintillator. Best performance with LYSO and tungsten & brass as absorber
- Light readout with WLS in shashlik configuration
- Readout with GaInP photosensors (radhard due to larger band gap)



- Good energy resolution $\Delta E/E = 10\%/VE$
- Very compact and highest light yield
- Small Moliere radius (14mm) provides fine granularity for pile up mitigation (matching with tracker)

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Scenario 1

• Maintain present geometry with EE and HE stand-alone

Calorimetry

Two Scenarios for Forward

- EE in Shashlik design
- HE re-build as radhard

Scenario 2

- New integrated design as a High Granularity Calorimeter (HGC)
- Particle flow imaging calorimeter



Need to replace forward

to ageing damage

calorimeters after 500/fb due

Scenario 2: High-granularity combined calorimeter

High granularity calorimeter (HGCAL) based on ILC/CALICE development. Key point: "visualize" energy flow through fine granularity and longitudinal segmentation.

- Good resolving power for single particles in very dense jets. $\Delta E/E = 10\%/VE$
- Planes of Si separated by layers of Pb/Cu or brass
- Exploits developments on Si rad.hardness and price
 Structure:
- E-HG: 33 cm, 25 X₀, 1 λ , 31 layers. Absorber W/Pb
- H-HG: 66 cm, 3.5λ , 12 layers, Absorber brass
- B(back)-HG as HE re-build 5 λ

Opens up possibility to extend to $|\eta|^4$



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High Granularity Calorimeter

Fine depth segmentation

ECAL: ~33 cm, 25 X₀, 1λ, 31 layers:

- 11 planes of Si separated by 0.5 X_0 of lead/Cu
- 10 planes of Si separated by 0.8 X_0 of lead/Cu
- 10 planes of Si separated by 1.2 X₀ of lead/Cu
 HCAL: ~66 cm, 4λ:
- 12 planes of Si separated by 40 mm of brass
- Fine grain pads 0.9 cm² to 1.8 cm²
- 3.7/1.4 Mch & 420/250 m² Si in E/H

Back HCAL as HE re-build 5λ with increased transverse granularity







Shieldina

(thickness 500 µm)



PCB (multi layers)

(1600 µm)
Establish Depth Segmentation Phase I CMS HCAL Read-Out Upgrades

Replace Photo-transducers to reduce noise & improve performance. Improve with new FE Chip. HB/HE/HO

From HPD to SiPM's

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HF From single to multi-anode PMT's



HB 3 depths

HE 5 depths

Subject to further optimization

SiPM's to increase HB/HE Depth Segmentation

- Improved PF Hadronic shower localization Provides effective tool for PU mitigation at HL
- Mitigate radiation damage to scintillator &



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Summary

• Why? Solve open questions and find new physics

Challenges of HL:
Radiation, material damage
High rates, selective triggers and radiation resistant detectors
Operation >2025; electronics more than 20 years old

Substantial trigger upgrades including tracking and finer granularity

Complete replacement of tracking system

Several additions to muon systems to increase acceptance

Interested to join