

# The Strong CP Problem and Axions

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- The  $U(1)_A$  Problem of QCD
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# The $U(1)_A$ Problem of QCD

- In the 1970's the strong interactions had a puzzling problem, which became particularly clear with the development of **QCD**.
- The **QCD** Lagrangian for **N flavors**

$$L_{\text{QCD}} = -1/4 F_a^{\mu\nu} F_{a\mu\nu} - \sum_f \bar{q}_f (-i\gamma^\mu D_\mu + m_f) q_f$$

in the limit  $m_f \rightarrow 0$  has a large global symmetry:  $U(N)_V \times U(N)_A$

$$q_f \rightarrow [e^{i\alpha_a T_a/2}]_{ff'} q_{f'} \quad ; \quad q_f \rightarrow [e^{i\alpha_a T_a \gamma_5/2}]_{ff'} q_{f'}$$

Vector

Axial

- Since  $m_u, m_d \ll \Lambda_{\text{QCD}}$ , for these quarks the  $m_f \rightarrow 0$  limit is sensible. Thus one expects strong interactions to be approximately  $U(2)_V \times U(2)_A$  invariant.

- Indeed, one knows experimentally that

$$U(2)_V = SU(2)_V \times U(1)_V \equiv \text{Isospin} \times \text{Baryon \#}$$

is a good approximate symmetry of nature

$\Rightarrow$  (p, n) and  $(\pi^\pm, \pi^0)$  multiplets in spectrum

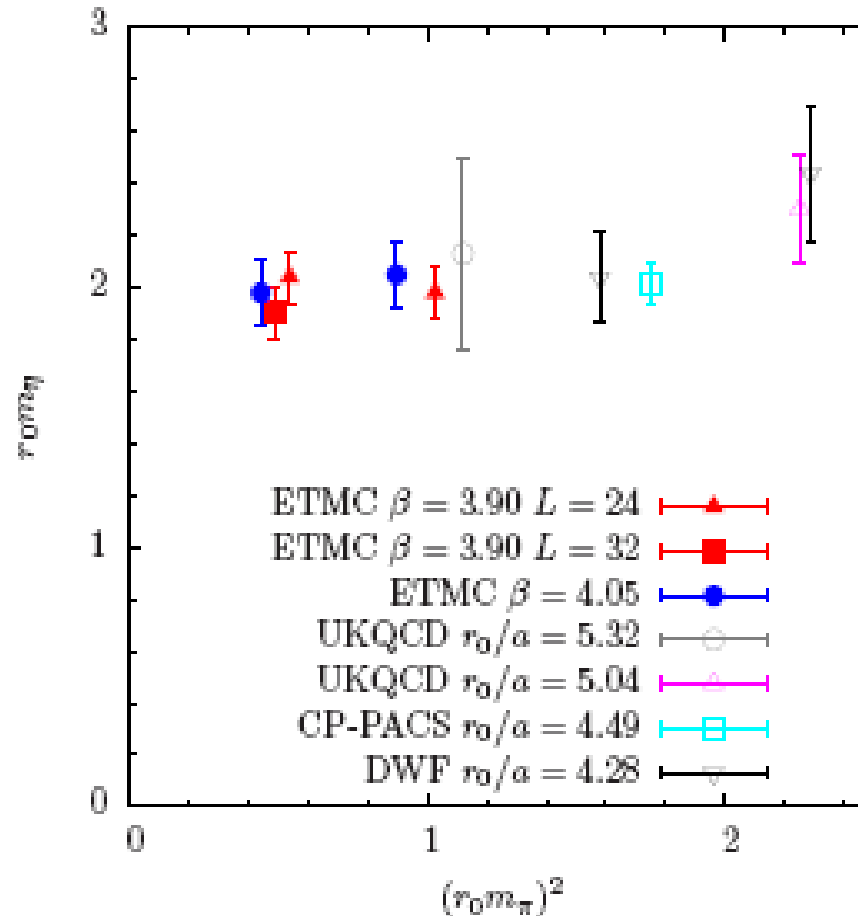
- For axial symmetries, however, things are different.

Dynamically, quark condensates  $\langle \bar{u}u \rangle = \langle \bar{d}d \rangle \neq 0$

form and break  $U(2)_A$  down spontaneously and, as a result, there are no mixed parity multiplets

- However, because  $U(2)_A$  is a **spontaneously broken symmetry**, one expects now the appearance in the spectrum of approximate **Nambu-Goldstone bosons**, with  $m \approx 0$  [  $m \rightarrow 0$  as  $m_u, m_d \rightarrow 0$  ]
- For  $U(2)_A$  one expects **4** such bosons ( $\pi, \eta$ ). Although pions are light,  $m_\pi \approx 0$ , there is **no sign** of another light state in the hadronic spectrum, since  $m_\eta^2 \gg m_\pi^2$ .
- **Weinberg** dubbed this the  **$U(1)_A$  problem** and suggested that, somehow, there was **no  $U(1)_A$  symmetry** in the strong interactions

That there is **no  $U(1)_A$  symmetry** emerges explicitly in **lattice QCD** calculations, which show that indeed, as  $m_\pi \rightarrow 0$ ,  $m_\eta \rightarrow \text{constant}$



(b) The  $\eta_2$  mass (the analogue of the  $\eta'$  mass for two flavours of quarks) as a function of the pseudo scalar mass. The flatness in the mass dependence allows an estimate at the physical point of  $\eta_2 \approx 865\text{MeV}$ .

- It is useful to describe the  $U(1)_A$  problem in the language of Chiral Perturbation Theory, which describes the QCD dynamics for the  $(\pi, \eta)$ - sector
- The effective Chiral Lagrangian needs to be augmented by an additional term which breaks explicitly  $U(1)_A$ , beyond the breaking term induced by the quark mass terms.
- Defining  $\Sigma = \exp i/F_\pi [\tau_a \pi_a + \eta]$  and including a symmetry breaking pion mass  $m_\pi^2 \sim (m_u + m_d)$  one has:
 
$$L_{\text{eff}} = \frac{1}{4}F_\pi^2 \text{Tr} \partial_\mu \Sigma \partial^\mu \Sigma^\dagger + \frac{1}{4}F_\pi^2 m_\pi^2 \text{Tr} (\Sigma + \Sigma^\dagger) - \frac{1}{2}M_o^2 \eta^2$$
- Provided  $M_o^2 \gg m_\pi^2$  this allows  $m_\eta^2 \gg m_\pi^2$ , but what is the origin of this last term?

# The 't Hooft Solution

- The resolution of the  $U(1)_A$  problem is due to 't Hooft who realized the crucial dynamical role played by the gluon pseudoscalar density

$$Q = \frac{g^2}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu}$$

- Even though in the massless quark limit  $U(1)_A$  is an apparent symmetry of the QCD Lagrangian, the current  $J_5^\mu$  associated with the  $U(1)_A$  symmetry is anomalous [Adler Bell Jackiw]

$$\partial_\mu J_5^\mu = \frac{g^2 N}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu} = N Q$$

where N is the number of massless quarks



- Since  $Q$  enters in the **anomaly** equation, if it is dynamically important the  $U(1)_A$  **problem** should be resolved, because then there is really **no** conserved  $U(1)_A$  **current**
- This can be checked by explicitly including  $Q$  in the **Chiral Lagrangian** describing the low energy behavior of QCD [**Di Vecchia Veneziano**]
- Taking into account the **anomaly** in the  $U_A(1)$  **current** and keeping terms up to  $O(Q^2)$  one has:

$$L_{\text{eff}} = \frac{1}{4} F_{\pi}^2 \text{Tr} \partial_{\mu} \Sigma \partial^{\mu} \Sigma^{\dagger} + \frac{1}{4} F_{\pi}^2 m_{\pi}^2 \text{Tr} (\Sigma + \Sigma^{\dagger}) \\ + \frac{1}{2} i Q \text{Tr} [\ln \Sigma - \ln \Sigma^{\dagger}] + [1/ F_{\pi}^2 M_{\text{o}}^2] Q^2 + \dots$$

- In this Lagrangian,  $Q$  is essentially a background field and can be eliminated through its equation of motion:

$$Q = -i/4 [F_{\pi}^2 M_{\text{o}}^2] \text{Tr} [\ln \Sigma - \ln \Sigma^{\dagger}] = \frac{1}{2} [F_{\pi} M_{\text{o}}^2] \eta + \dots$$

- Using this result for  $Q$ , the last two terms in  $L_{\text{eff}}$  reduce to:

$$\frac{1}{2} i Q \text{Tr} [\ln \Sigma - \ln \Sigma^\dagger] + [1/F_\pi^2 M_\sigma^2] Q^2 \rightarrow -\frac{1}{2} M_\sigma^2 \eta^2$$

providing an effective **gluonic mass term** for the  $\eta$  meson,  
and thus resolving the  $U_A(1)$  problem

- Although one can see directly from the **Chiral Lagrangian** how the dynamical role of  $Q$  removes an apparent **Nambu Goldstone boson** (the  $\eta$ ) from the spectrum, this follows also directly from **QCD**
- It can be traced to the non trivial properties of the QCD vacuum which involve a new dimensionless parameter – the vacuum angle  $\theta$  [**'t Hooft**]
- I'll sketch below the principal points

- Because the integral of  $Q$  is a topological invariant:

$$v = \int d^4x Q = \int \frac{g^2}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu} \text{ with } v = 0, \pm 1, \pm 2, \dots,$$

all transition amplitudes in QCD contain sums over distinct sectors characterized by the winding number  $v$ .

- The contributions of the  $v \neq 0$  sectors break the  $U(1)_A$  symmetry
- Furthermore, one can show that gauge invariance introduces a parameter  $\theta$  associated with the sum over the distinct  $v$  sectors in the QCD transition amplitudes [ $e^{i v \theta}$  is Bloch phase]

$$A = \sum_v e^{i v \theta} A_v$$

- The parameter  $\theta$  can be connected with the structure of the QCD vacuum and its presence gives an additional contribution to the QCD Lagrangian

- This can be seen as follows. In the **case of QCD**, by having to sum over the distinct **v sectors**, the usual path-integral representation for the vacuum-vacuum transition amplitude is modified to read:

$${}_+ \langle \text{vac} | \text{vac} \rangle_- = \sum_{\mathbf{v}} e^{i \mathbf{v} \theta} \int \delta A e^{i S[A]} \delta \left( \mathbf{v} - \int \frac{g^2}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu} \right)$$

- Denoting the **QCD vacuum** as  $|\theta\rangle$ , one can re-interpret the  $\theta$  term in the **sum over v** as an **addition** to the usual **QCD action**
- That is:

$${}_+ \langle \theta | \theta \rangle_- = \sum_{\mathbf{v}} \int \delta A e^{i S_{\text{eff}}[A]} \delta \left( \mathbf{v} - \frac{g^2}{32\pi^2} \int d^4 x F_a^{\mu\nu} \tilde{F}_{a\mu\nu} \right)$$

where

$$S_{\text{eff}} = S_{\text{QCD}} + \theta \frac{g^2}{32\pi^2} \int d^4 x F_a^{\mu\nu} \tilde{F}_{a\mu\nu}$$

# The Strong CP Problem and its Resolution

- The resolution of the  $U(1)_A$  problem, however, engenders another problem: the strong CP problem
- As we have seen, effectively the QCD vacuum structure adds an extra term to  $L_{\text{QCD}}$

$$L_\theta = \theta \frac{g^2}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu}$$

This term conserves C but violates P and T, and thus it also violates CP

- This is problematic, as there is no evidence of CP violation in the strong interactions!

- In fact, the  $\theta$  term produces an **electric dipole moment** for the neutron of order:

$$d_n \approx e m_q / M_n^2 \theta \approx 10^{-16} \theta \text{ ecm}$$

- The strong experimental bound  $d_n < 2.9 \times 10^{-26} \text{ ecm}$  requires the **angle  $\theta$**  to be very small  $\theta < 10^{-9} - 10^{-10}$  [ Baluni; Crewther Di Vecchia Veneziano Witten].
- Why  $\theta$  should be this small is the **strong CP problem**
- Problem is actually worse if one considers the effect of **chiral transformations** on the  **$\theta$ -vacuum**
- Because of the **chiral anomaly**, these transformations change the  **$\theta$ -vacuum** [Jackiw Rebbi ]:

$$e^{i\alpha Q_5} | \theta \rangle = | \theta + \alpha \rangle$$

- If besides **QCD** one includes the **weak interactions**, in general the quark mass matrix is non-diagonal and complex

$$L_{\text{Mass}} = - \bar{q}_{iR} M_{ij} q_{jL} + \text{h. c.}$$

- To diagonalize **M** one must, among other things, perform a **chiral transformation** by an angle of **Arg det M** which, because of the **Jackiw Rebbi** result, changes  $\theta$  into

$$\theta_{\text{total}} = \theta + \text{Arg det M}$$

- Thus, in full generality, the **strong CP problem** can be stated as follows: why is the angle  $\theta_{\text{total}}$ , coming from the **strong** and **weak interactions**, so small?

- There are only three known classes of solutions to the **strong CP problem**:
  - i. Anthropically  $\theta_{\text{total}}$  is small
  - ii. CP is broken spontaneously and the induced  $\theta_{\text{total}}$  is small
  - iii. A chiral symmetry drives  $\theta_{\text{total}} \rightarrow 0$
- I will make no comments on i
- Although ii. is interesting, the models which lead to  $\theta_{\text{total}} \approx 10^{-10}$  are rather complex and often are at odds with the **CKM paradigm** and/or **cosmology**
- In my opinion, only iii. is a viable solution, although it necessitates introducing a **new global, spontaneously broken, chiral symmetry**



- **Helen Quinn** and I proposed the first prototype chiral solution [38 years ago!] suggesting that the **SM** had an additional  $U(1)$  chiral symmetry (now called  $U(1)_{PQ}$ ) which drives  $\theta_{total} \rightarrow 0$
- Very recently 4 other variant chiral solutions have been proposed:
  - **Hook** and independently **Fukuda Harigaya Ibe Yanagida** use a  $Z_2$  symmetry which takes  $SM \leftrightarrow SM'$  and an anomalous  $U(1)$  symmetry to drive  $\theta_{total} \rightarrow 0$
  - **Ahn** uses a flavored version of  $U(1)_{PQ}$  [ $A_4 \times U(1)_{PQ}$ ] to accomplish the same
  - **Kawasaki Yamada Yanagida** use instead [ $SU(3) \times U(1)_{PQ}$ ] as a flavor group
- These are all very natural solutions to the strong CP problem, since chirality effectively rotates the  $\theta$ -vacua away:

$$e^{-i\theta Q_5} | \theta \rangle = | 0 \rangle$$

- Of course, in principle, this additional chiral symmetry could be intrinsic to QCD, if the u-quark had no mass,  $m_u = 0$  [Kaplan Manohar]. However, calculations on the lattice exclude the  $m_u = 0$  solution

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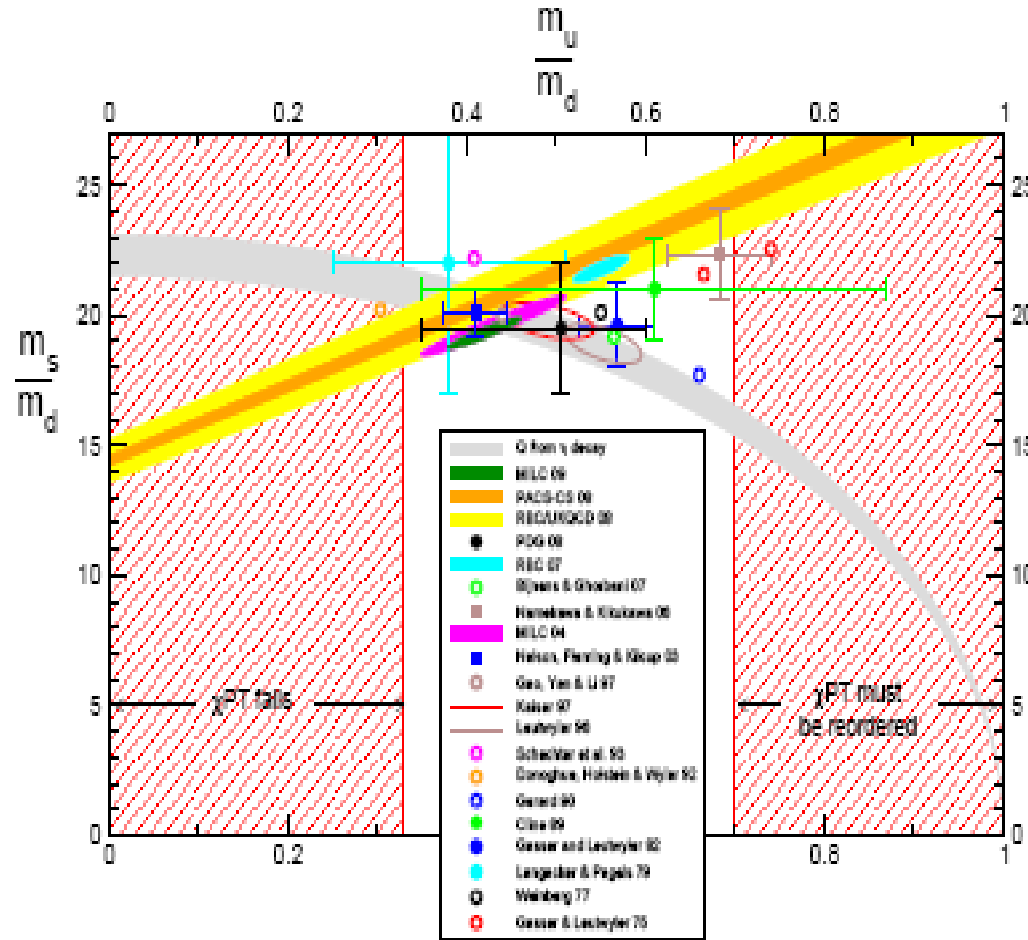


Figure 3: Ratios of the light quark masses

MILC Collaboration  
rules out  $m_u=0$   
at  $10\sigma$

# Axions and their Role in Cosmology

- Introducing a global  **$U(1)_{PQ}$  symmetry**, which is necessarily spontaneously broken, replaces:

$$\theta \quad \Rightarrow \quad a(x) / f_a$$

Static CP Viol. Angle

Dynamical CP conserving Axion field

and, effectively, eliminates CP violation in the strong sector

$$L_\theta = \theta \frac{g^2}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu} \quad \Rightarrow \quad L_a = \frac{a}{f_a} \frac{g^2}{32\pi^2} F_a^{\mu\nu} \tilde{F}_{a\mu\nu}$$

- Here  $f_a$  is the scale of the breaking of the  **$U(1)_{PQ}$  symmetry**, while  $a(x)$  is the **Nambu Goldstone axion field** associated with the broken symmetry [ **Weinberg Wilczek** ]

- The property and interactions of axions depend on  $f_a$  the scale of the breaking of the  $U(1)_{PQ}$  symmetry
- In particular, the axion mass, its coupling to two photons and its isoscalar and isovector couplings are inversely proportional to  $f_a$

$$m_a = \lambda_m m_a^{st} [v_F / f_a] ; \quad L_{a\gamma\gamma} = \frac{\alpha}{4\pi} K_{a\gamma\gamma} \frac{\alpha_{\text{phys}}}{f_a} F^{\mu\nu} \tilde{F}_{\mu\nu}$$

$$\xi_{a\eta} = \lambda_0 [f_\pi / f_a] \quad ; \quad \xi_{a\pi} = \lambda_3 [f_\pi / f_a]$$

where  $m_a^{st} \approx 25 \text{ KeV}$ ;  $f_\pi = 92 \text{ MeV}$  ; and  $\lambda_m$  ;  $K_{a\gamma\gamma}$ ;  $\lambda_0$  ;  $\lambda_3$  are of  $O(1)$

- Initially, one assumed that  $f_a = v_F = (\sqrt{2} G_F)^{-1/2} \approx 250 \text{ GeV}$  but weak scale axions are ruled out by experiment. For example, one predicts

$$\text{BR}(K^+ \rightarrow \pi^+ + a) > 1.2 \times 10^{-4} \text{ [Bardeen Peccei Yanagida]}$$

well above the bound obtained at KEK

$$\text{BR}(K^+ \rightarrow \pi^+ + \text{nothing}) < 3.8 \times 10^{-8}$$

- The choice  $f_a = v_F$  is not necessary to solve the strong CP problem
- If  $f_a \gg v_F$  then the axion is very light, very weakly coupled and very long lived and such invisible axion models remain viable
- These models introduce fields which carry PQ charge but are  $SU(2) \times U(1)$  singlets. Two different generic models exist:

i) DFSZ Models [Dine Fischler Srednicki; Zhitnisky]

These models add to the PQ model a scalar field  $\sigma$  which carries PQ charge and  $f_a = \langle \sigma \rangle \gg v_F$

ii) KSVZ Models [Kim; Shifman Vainshtein Zakharov]

Only a superheavy quark  $Q$  and a scalar field  $\sigma$  carry PQ charge.

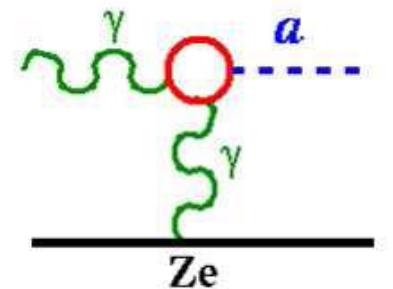
The dynamics is such that  $f_a = \langle \sigma \rangle \gg v_F$  and  $M_Q \approx f_a$

- For both the **KSVZ** and the **DFSZ** models  $\lambda_m=1$ , hence:

$$m_a = m_a^{\text{st}} [v_F / f_a] \approx [10^6 \text{ GeV} / f_a] 6.3 \text{ eV}$$

- The **KSVZ** and **DFSZ** axions are **very light**, **very weakly coupled** and **very long-lived**, but are **not totally invisible**
- Upper bounds on  $m_a$  can be inferred from **astrophysics** since axion emission, through **Primakoff** and other processes, causes **energy loss**  $\sim 1/f_a$  affecting **stellar evolution**.
- Typically these astrophysical bounds, which I will not discuss in detail here, allow axions lighter than

$$m_a \leq 1-10^{-3} \text{ eV}$$



- Rather remarkably, **cosmology** gives a **lower bound** for the **axion mass** (upper bound on  $f_a$ ) [Preskill Wise Wilczek; Abbott Sikivie; Dine Fischler] and **axions** can have a **significant cosmological role**
- Physics is simple to understand. When Universe goes through the  **$U(1)_{PQ}$  phase transition** at  $T \sim f_a \gg \Lambda_{QCD}$  the **QCD anomaly** is ineffective and  $\theta$  is **arbitrary**. Eventually, when Universe cools to  $T \sim \Lambda_{QCD}$  the axion gets a mass and  $\theta \rightarrow 0$ .
- The **coherent  $p_a=0$  axion oscillations** towards this minimum contribute to the Universe's energy density and act as **cold dark matter**
- The detailed results depend on whether the **PQ phase transition** occurs **before** or **after inflation** and I'll sketch the main issues in both cases

Case i: the **PQ phase transition** happens **before** (or during) **inflation**

During inflation the axion field is homogenized over enormous distances. Thus only the evolution of the  $\mathbf{p}_a=0$  mode is relevant

- A typical calculation of the axion contribution to Universe's energy density [Hannestad et al] then gives

$$\Omega_a h^2 = 0.195 [f_a/10^{12} \text{ GeV}]^{1.184} [\theta_i^2]$$

where  $\theta_i$  is the **initial misalignment angle**

- This quantity is bounded by the density of **Cold Dark Matter** in the Universe:

$$\Omega_{\text{CDM}} h^2 = 0.120 \pm 0.003 \quad \text{WMAP Planck}$$

- If one assumes that **axions** are the **dark matter** in the Universe, this then gives a **relation** between  $\theta_i$  and  $f_a$  :

$$\theta_i = 0.748 [10^{12} \text{ GeV} / f_a]^{0.592}$$



- The table below gives some typical values for  $\theta_i$  and  $f_a$

$f_a$ (GeV)	$10^{12}$	$10^{15}$	$10^{18}$
$\theta_i$	0.75	$1.3 \times 10^{-2}$	$2.1 \times 10^{-4}$
$f_a \theta_i$ (GeV)	$7.5 \times 10^{11}$	$1.3 \times 10^{13}$	$2.1 \times 10^{14}$

- One often assumes that  $\theta_i$  is an average angle  $\theta_i^2 = \langle \theta^2 \rangle = \pi^2/3$   
Then assuming that axions are the dark matter in the Universe

$$\Omega_a h^2 = \Omega_{\text{CDM}} h^2 = 0.120 \pm 0.003$$

gives the following value for the PQ scale and the axion mass:

$$f_a = 0.24 \times 10^{12} \text{ GeV} \text{ and } m_a = 26 \times 10^{-6} \text{ eV}$$

- These results for  $f_a \theta_i$  give an interesting bound, suggested long ago by Lyth, which originates because inflation induces measurable quantum fluctuations in the axion field
- These isocurvature axion perturbations correspond to fluctuations in the initial misalignment angle  $\theta_i$  and have a power spectrum given by:

$$\Delta_a^2(k) = [2 |\delta\theta_i| / \theta_i]^2 = [H_i / \pi \theta_i f_a]^2$$

where  $H_i$  is the expansion rate during inflation

- Both WMAP and Planck have put bounds on the ratio:

$$\beta_{iso} = \Delta_a^2(k) / (\Delta_R^2(k) + \Delta_a^2(k))$$

where  $\Delta_R^2(k)$  measures the curvature perturbation spectrum.

- At  $k = 0.002 \text{ Mpc}^{-1}$  these collaborations find:

$$\beta_{iso} < 0.036 \text{ (95\% CL) Planck} \quad \beta_{iso} < 0.047 \text{ (95\% CL) WMAP}$$

- Using the best fit result of Planck for  $\Delta^2_R(k)$  :

$$\Delta^2_R(k) = 2.2 \times 10^{-9} (k / 0.05 \text{ Mpc}^{-1})^{-0.04}$$

the bound on  $\beta_{\text{iso}}$  implies a bound on the isocurvature axion perturbations at  $k = 0.002 \text{ Mpc}^{-1}$  :

$$\Delta^2_a(k) < 9.25 \times 10^{-11}$$

- Hence the fluctuation in the initial misalignment angle is very small:

$$|\delta\theta_i| / \theta_i < 4.8 \times 10^{-6}$$

and there is a strong bound on the expansion rate during inflation:

$$H_i < 3 \times 10^{-5} \theta_i f_a$$

- For a sensible range of PQ scales [ $10^{12} \text{ GeV} < f_a < 10^{18} \text{ GeV}$ ] this Lyth bound on  $H_i$  ranges from  $2.25 \times 10^7 \text{ GeV}$  to  $6.3 \times 10^9 \text{ GeV}$  .

- The **Lyth bound** makes **only low energy scale inflation models tenable**, predicting a very small contribution of the **tensor perturbation spectrum**  $\Delta_h^2(k)$  to the CMB anisotropy.
- This **tensor spectrum** is given by the ratio of  $H_I$  to the **Planck mass**

$$\Delta_h^2(k) = 2 (H_I / \pi M_P)^2$$

and, for example, for  $f_a = 10^{18}$  GeV,  $\Delta_h^2(k) < 5.4 \times 10^{-18}$

- This implies a negligibly small **tensor to scalar ratio**:

$$r(k) = \Delta_h^2(k) / \Delta_R^2(k) < 2.5 \times 10^{-9}$$

**orders of magnitude below** the recent joint bound of **Planck** and **BICEP 2** [  $r < 0.11$  at  $k = 0.002 \text{ Mpc}^{-1}$  ]

- The Lyth bound can be avoided, if  $f_a$  is not fixed during inflation [Linde]
- Imagine that  $f_a = f_a(t)$ , starting out very large before inflation  $f_a > M_p$  and very slowly rolling down to its present value. In this case, the isocurvature perturbations have a power spectrum given by:

$$\Delta_a^2(k) = [H_i / \pi \theta_i f_a(t)]^2 \approx [H_i / \pi \theta_i M_p]^2$$

and the Lyth bound on  $H_i$  is much weakened:

$$H_i < 10^{-4} \theta_i M_p$$

- This bound is weakest when  $\theta_i$  is large. For example, if  $\theta_i = \langle \theta \rangle = \pi / \sqrt{3}$ , then

$$H_i < 2 \times 10^{15} \text{ GeV } [\theta_i / (\pi / \sqrt{3})]$$

similar to the bound from the tensor to scalar ratio  $r(k)$  :

$$H_i < 0.4 \times 10^{15} \text{ GeV } [r(k) / 0.11]^{1/2}$$

- However, the dynamics **after** inflation, may render irrelevant the solution proposed by **Linde** to allow for high scale inflation.
- In fact, if in the “preheating” stage **after** inflation **large fluctuations in the axion field** occur, they can lead to a **non-thermal restoration of  $U(1)_{PQ}$**  [**Kofman Linde Starobinsky Tkachev**]
- To avoid this problem one needs to have, in effect, that:

$$f_a > \delta a$$

- This problem was studied by **Kawasaki Yanagida Yoshino** who found that, in general inflation models,  **$U(1)_{PQ}$  restoration** could be avoided if:

$$f_a = (10^{12} - 10^{16}) \text{ GeV}$$

Case ii: the PQ phase transition happens after inflation

- Because the PQ phase transition occurred after inflation, no isocurvature fluctuations ensue in this case
- However, as emphasized originally by Sikivie, in this case other dynamical issues arise due to the formation of axionic strings and domain walls, which are not erased by inflation
- At  $T \approx f_a$   $U(1)_{PQ}$  gets spontaneously broken, and one-dimensional defects: axionic strings, around which  $\theta = a/f_a$  winds by  $2\pi$ , are formed
- These axionic strings have an energy per unit length  $\mu \approx f_a^2 \ln L f_a$ , where  $L$  is the inter-string separation. These strings decay very efficiently into axions up to temperatures  $T \approx \Lambda_{QCD}$

- When  $T \approx \Lambda_{\text{QCD}}$   $U(1)_{\text{PQ}}$  is explicitly broken by the gluon anomaly. However, since under a PQ transformation  $\theta \rightarrow \theta + 2\alpha N_{\text{fl}}$  (where  $N_{\text{fl}}$  is the number of quarks carrying  $U(1)_{\text{PQ}}$ ) a  $Z(N_{\text{fl}})$  discrete symmetry is preserved
- Because of this  $Z(N_{\text{fl}})$  symmetry there are  $N_{\text{fl}}$  degenerate vacuum states for the axion field. As a result, neighboring regions in the Universe which are in different axion vacua are separated by domain walls.
- At  $T \approx \Lambda_{\text{QCD}}$ , since  $\theta$  winds by  $2\pi$  as one goes around an axionic string, the axion field passes through each minimum. As a result each axionic string becomes the edge to  $N_{\text{fl}}$  domain walls, and the process of axion radiation stops



- If  $N_{fl.} > 1$  (like in the DFSZ model) this string-wall network is stable and has a sizable surface energy density

$$\sigma \approx m_a f_a^2 \approx 6.3 \times 10^9 \text{ GeV}^3 [f_a / 10^{12} \text{ GeV}]$$

- This is a real problem, since the energy density in these walls dissipates slowly as the Universe expands [Zeldovich Kobzarev Okun]

$$\rho_{wall} = \sigma T$$

and  $\rho_{wall}$  now would vastly exceed the closure density of the Universe

- This disaster is avoided if  $N_{fl.} = 1$ . Even though there is a unique vacuum, domain walls still form and attach to an axionic string –one wall per string.
- However, as Everett and Vilenkin showed, these walls very rapidly get chopped up into pieces, each enclosed by strings. These structures are unstable and disappear by radiating axions

- There has been an ongoing controversy on how much the axions radiated by these  $N_{\text{fl}}=1$  walls, as well as by axionic strings, contribute to the Universe's energy density

- A recent compilation of Gondolo and Visinelli gives the following range for the ratio  $\alpha$  of the contributions to the energy density of string/wall decay compared to that for  $p_a=0$  oscillations :

$$0.16 \text{ [Sikivie et al]} < \alpha = \Omega_{\text{str/wall}} / \Omega_{p=0} < 186 \text{ [Battye Shellard]}$$

- In what follows, I will use the recent estimate of Hiramatsu et al:

$$\alpha = 19 \pm 10$$

and note that it alters the prediction for the axion mass (if  $\theta_i^2 = \pi^2/3$ ) for CDM axions to:

$$m_a = 26 \times 10^{-6} \text{ eV} (1 + \alpha)^{.884} = 26 \times 10^{-6} \text{ eV} (20 \pm 10)^{.884}$$

pushing it quite close to the astrophysical upper bound

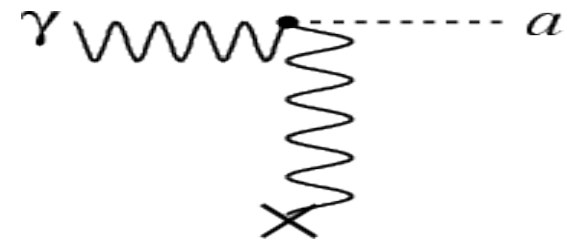
- So there may be trouble also in the  $N_{\text{fl}}=1$  case!

# Looking for Invisible Axions

- In the last decade there have been active searches for **invisible axions** using the idea of **Sikivie** of converting **axions** into  $\gamma$  by using a **B-field** in a **resonant cavity**

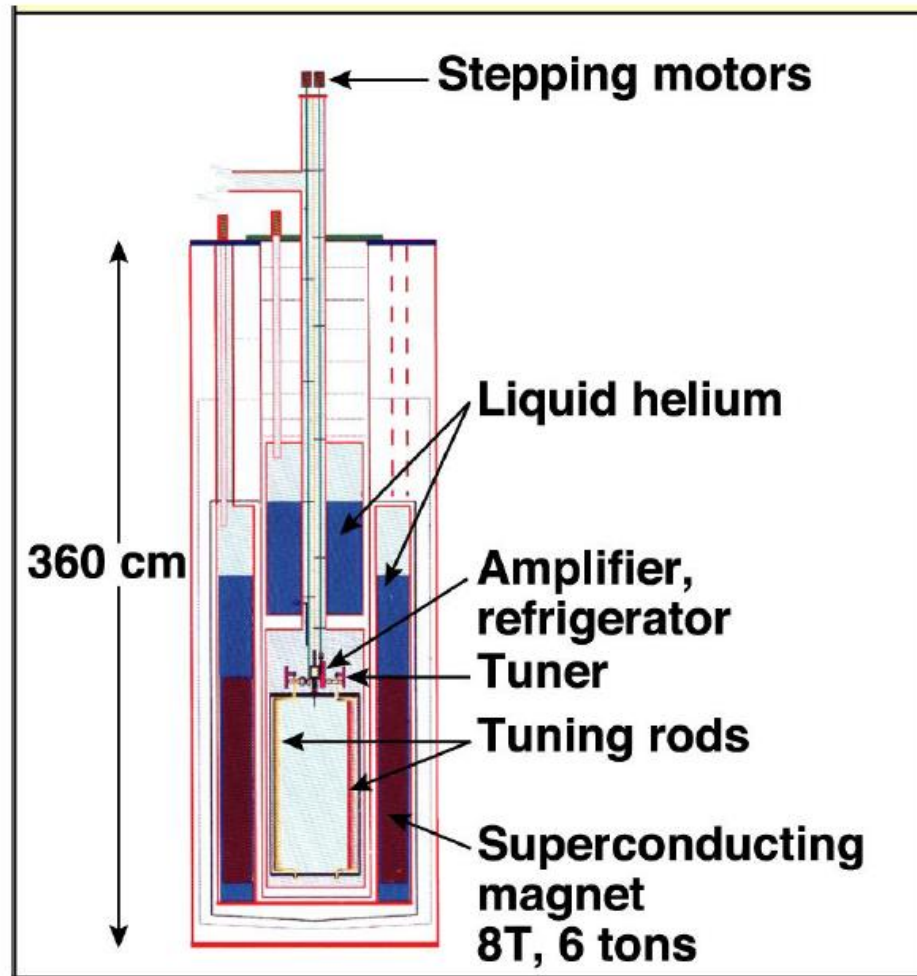
This possibility arises from the coupling:

$$L_{a\gamma\gamma} = \frac{\alpha}{4\pi} K_{a\gamma\gamma} \frac{a_{\text{phys}}}{f_a} F^{\mu\nu} \tilde{F}_{\mu\nu} = g_{a\gamma\gamma} a \mathbf{E} \cdot \mathbf{B}$$



- In particular, the **ADMX experiment** is probing the parameter space that would allow **invisible axions** to be the **dark matter** in the Universe

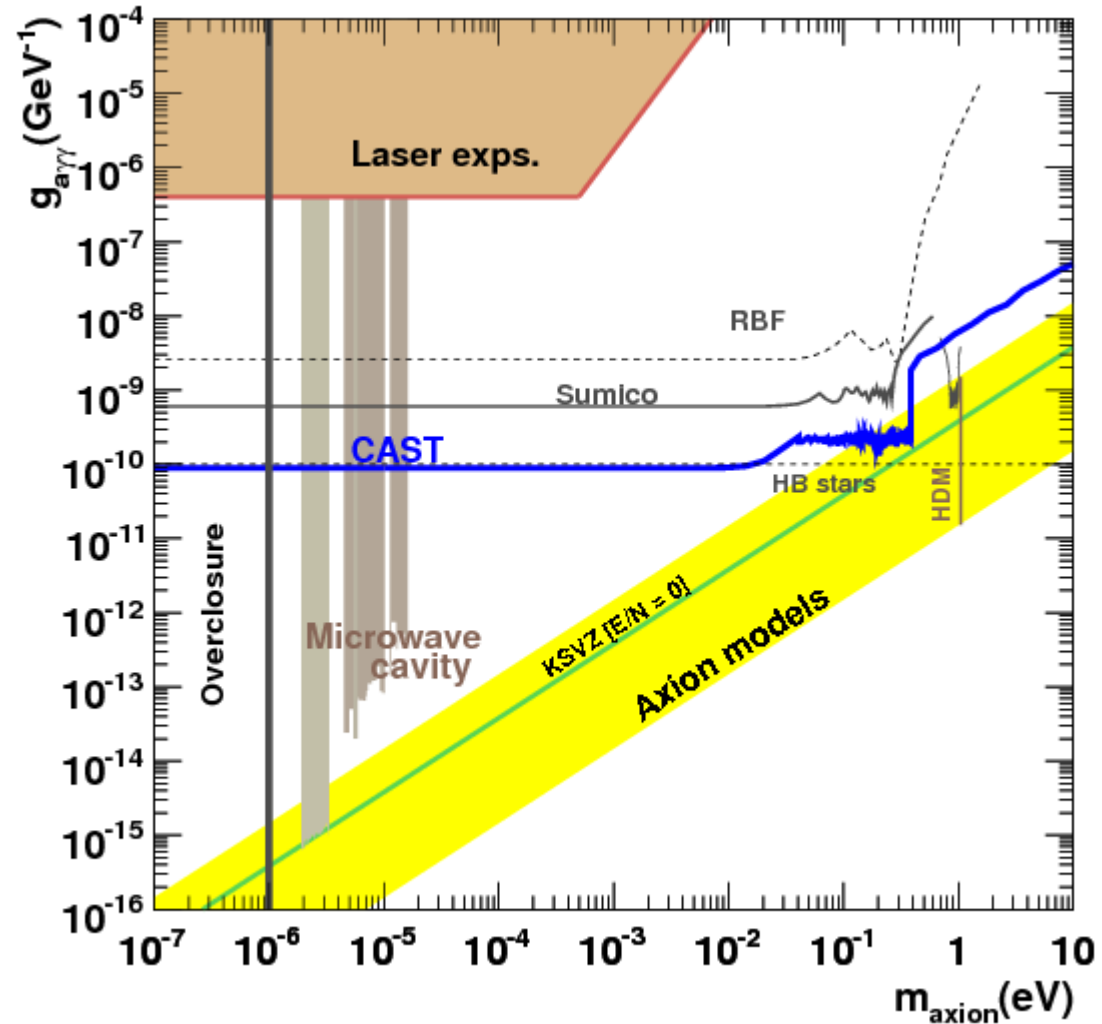
- The **ADMX experiment** started at **LLNL** but now has moved to the **Univ. Washington** and is presently undergoing an upgrade to be able to cover more of the parameter space expected for dark matter axions
- Schematically **ADMX** looks like the Figure



For  $m_a \approx 10 \mu\text{eV}$  the photon frequency which **ADMX** must detect is in the **GHz range**

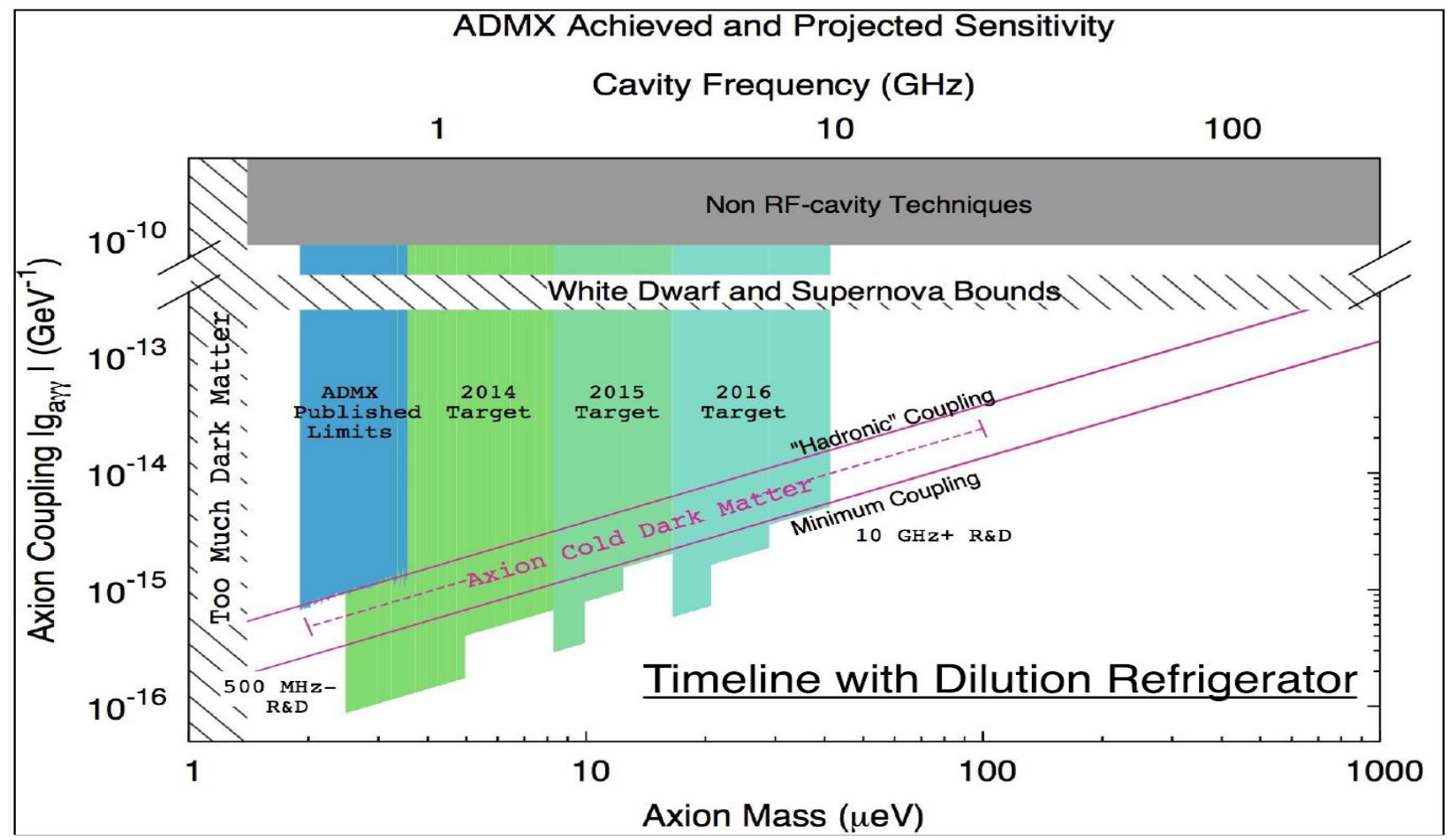
## Results of first 10 –years of operations

The **ADMX** experiment so far has explored the axion mass range  $1.9 \mu\text{eV} < m_a < 3.3 \mu\text{eV}$  at an appropriate strength  $g_{a\gamma\gamma}$  for axions to be the dark matter



- Future reach of ADMX is in interesting region if PQ phase transition is before inflation

## ADMX “Gen 2”: Science Prospects



- i) Expected axion mass if  $\theta_i^2 = \pi^2/3$   
 $m_a = 26 \mu\text{eV}$
- ii) If PQ after inflation and  $N_{\text{fl}} = 1$  case expect  $200 \mu\text{eV} < m_a < 526 \mu\text{eV}$   
 axion mass is near astrophysical bounds and maybe unreachable?

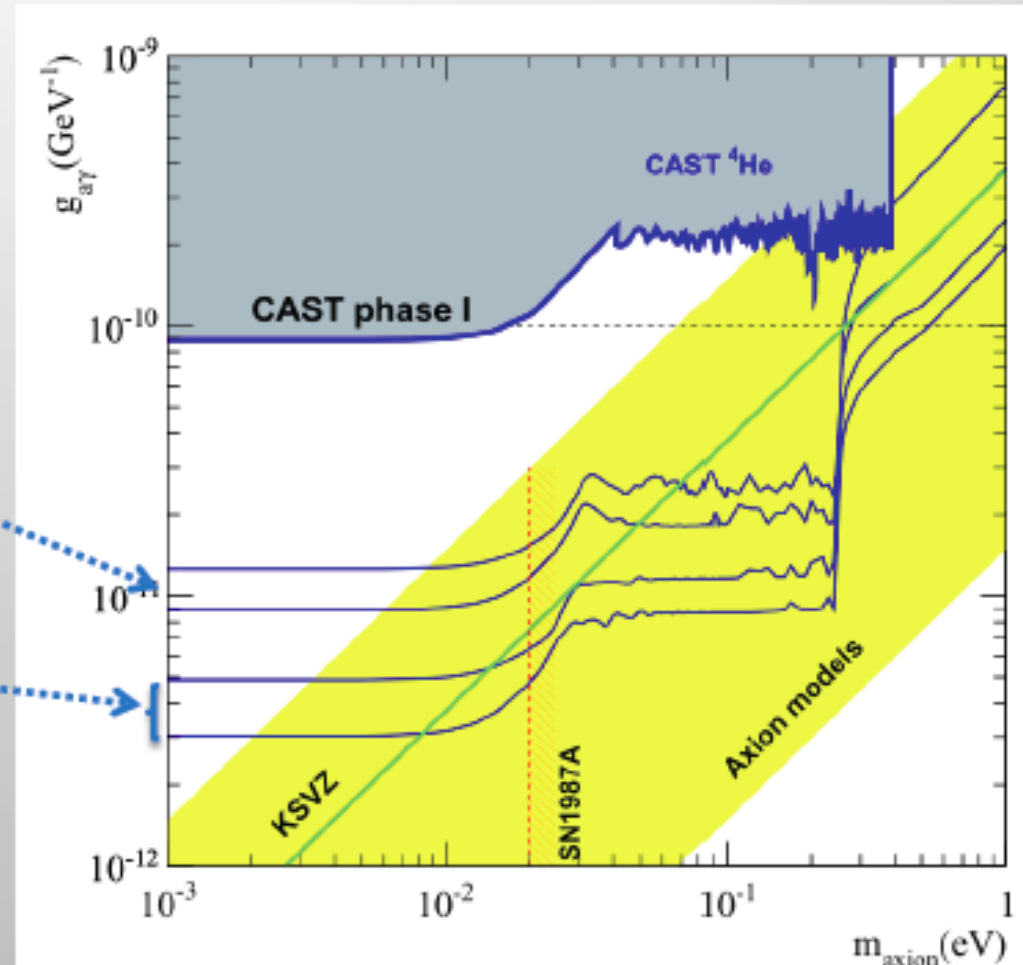
- A next generation experiment after CAST (IAXO) is being planned which is more than an order of magnitude more sensitive to  $g_{a\gamma\gamma}$
- Still not quite there [need to reach  $m_a \approx 10^{-3} \text{ eV}$   $g_{a\gamma\gamma} \approx 10^{-13} \text{ GeV}^{-1}$ ]

- Factor 8 to 30 better in  $g_{a\gamma}$  (4000 to  $10^6$  in signal strength!!)

Conservative scenario

Realistic scenario

Large parts of the QCD favored models could be explored in the coming decade with IAXO





- It is possible that the misalignment angle  $\theta_i$  is **not** of  $O(1)$  but much smaller  $\theta_i \ll 1$ . In this case, **dark matter axions** are associated with larger **scales of  $U(1)_{PQ}$  breaking** [e.g. if  $\theta_i = 1.3 \times 10^{-2}$  then  $f_a = 10^{15}$  GeV] and the axions are **superlight** [ $m_a = 6.3 \times 10^{-9}$  eV for this example]
- In many ways **PQ breaking scales  $f_a \approx (10^{15} - 10^{18})$  GeV** are very natural, since axions are ubiquitous in string theories [**Svrcek Witten**]
- Remarkably, one might be able to detect such **high PQ breaking scales** and **superlight dark matter axions** [**Graham and Rajendran**]



- Idea of **Graham and Rajendran** is very nice. Recall that the QCD angle gave a neutron electric dipole moment  $d_n \approx e m_q / M_n^2 \theta$
- If there is  **$U(1)_{PQ}$  symmetry**,  $\theta$  is replaced by the axion field:  $\theta \rightarrow a(x)/f_a$
- So in **invisible axion models** there is a dynamical **oscillatory** neutron edm:

$$d_n \approx e m_q / M_n^2 a(t) / f_a = e m_q / M_n^2 [a/f_a] \cos m_a t$$

- However, if **axions are the dark matter in the Universe**, the ratio  $[a/f_a]$  is fixed since:

$$\rho_{DM} \approx 0.3 \frac{GeV}{cm^3} = \frac{1}{2} m_a^2 a^2 = [4.4 \times 10^{-3} GeV^2]^2 [a/f_a]^2$$

which gives

$$[a/f_a] \approx 5.4 \times 10^{-20}$$

- Upshot is that, if **axions** are the **dark matter**, the **amplitude** of  $d_n$  is fixed and one predicts:

$$d_n = (4 \times 10^{-35} \cos m_a t) e \text{ cm}$$

- Only unknown is the **oscillation frequency** which depends on the axion mass  $m_a$  (or  $f_a$ ):

$$m_a \approx 1 \text{ kHz} \left( \frac{M_P}{f_a} \right) \approx 1 \text{ MHz} \left( \frac{M_G}{f_a} \right)$$

- However, this **tiny edm** might be measurable because it is **oscillatory**
  - **Graham Rajendran** suggest using **energy shifts in cold molecules** to detect this effect
  - **Budker Graham Ledbetter Rajendran Sushkov** suggest looking for **precession of nuclear spins in a material** in the presence of a background electric field

Estimated reach of the proposed Budker et al experiment

$$m_a < 10^{-9} \text{ eV}$$

$$f_a > 6 \times 10^{15} \text{ GeV}$$

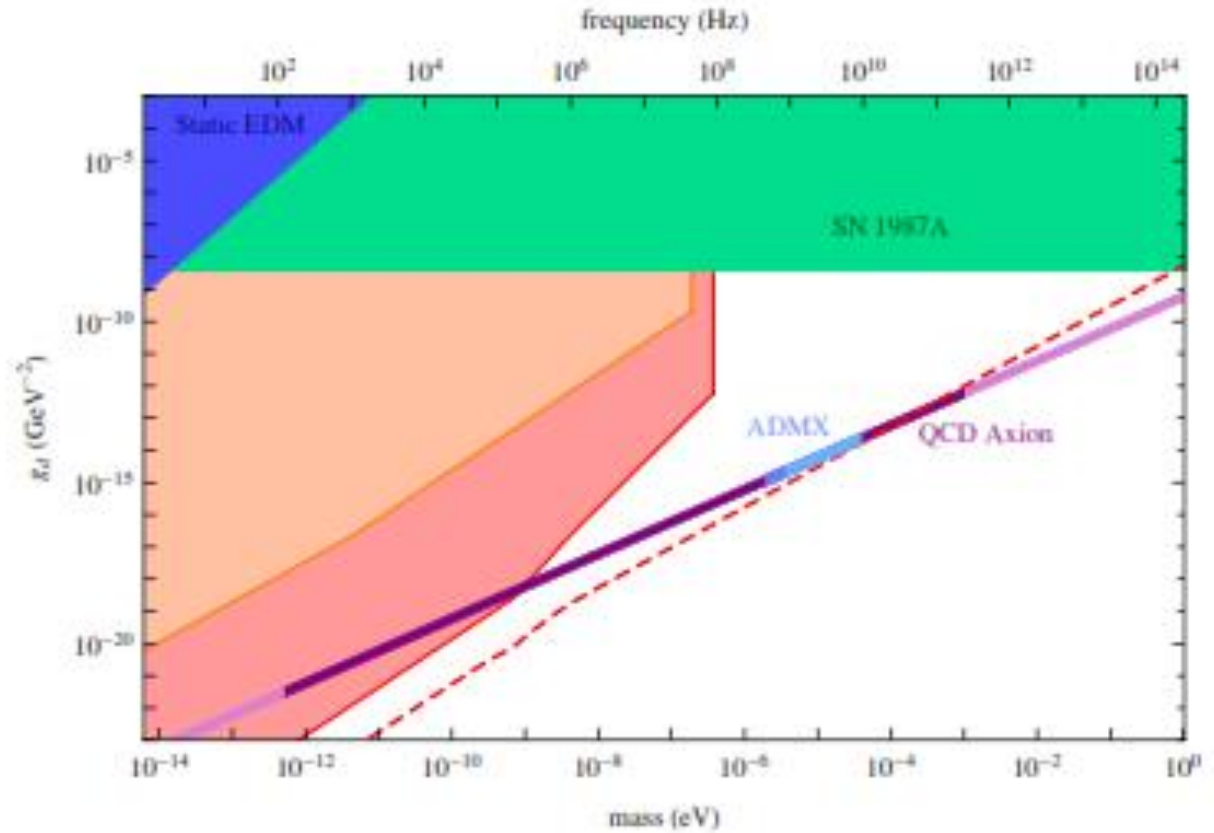


FIG. 2: Estimated constraints in the ALP parameter space in the EDM coupling  $g_d$  (where the nucleon EDM is  $d_n = g_d \theta$  and  $\theta$  is the local value of the ALP field) vs. the ALP mass [56]. The green region is excluded by excess cooling of supernova 1987A [56]. The blue region is excluded by existing static nuclear EDM searches [56]. The QCD axion is in the purple region, whose width shows the theoretical uncertainty [56]. The solid red and orange regions show sensitivity estimates for our phase 1 and 2 proposals, set by magnetometer noise. Realistically, it would take several experiments to cover either curve. The red dashed line shows the limit from magnetization noise of the sample for phase 2. The ADMX region shows what region of the QCD axion has been covered (darker blue) [30] or will be covered (lighter blue) [57, 58]. We assume the ALP is all of the dark matter. Current EDM techniques that are optimized to search for a time-varying EDM can already search for ALP dark matter in the allowed region of parameter space [56].

- A different, but similar, idea for detecting **DM axions** has been suggested by **Sikivie Sullivan Tanner**
- In the presence of a strong external B-field,  $B_{ext}$ , **DM axions** can **induce a small B field,  $B_a$ , which can be detected after amplification by an LC circuit**

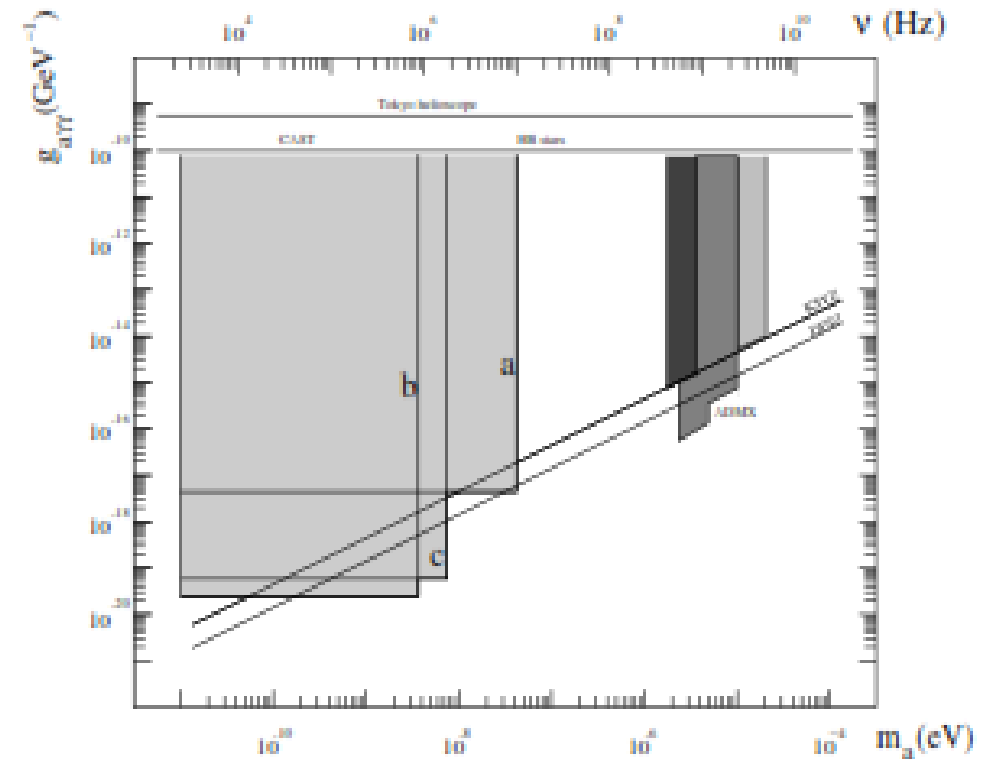
$$\vec{\nabla} \times \vec{B}_a = \frac{\alpha K_{a\gamma\gamma}}{\pi f_a} \vec{B}_{ext} \partial_t a$$

- Strength of  $B_a$  is measure of  $f_a$ ,

since  $\frac{1}{2} (\partial_t a)^2 = \rho_{DM}$

Sensitivity of proposed experiment  
(a, b, c –different magnets)

## Axion induced B field



# Concluding Remarks

- The existence of an additional chiral symmetry- like  $U(1)_{PQ}$  - remains the most **compelling solution** to the **strong CP problem**
- The concomitant **axions** play an interesting **cosmological role** and arise naturally in theories beyond the Standard Model
- There are both ongoing and proposed **experiments** which in the next decade or so should tell us if **axions exist**