

# The Holographic Principle

(1)

Outline: Severin Lust

Literature:

- I Review
- II Entropy bounds from BHs
- III The holographic principle
- IV Entropy on light-like surfaces

- gr-qc/9310026
- hep-th/9409089
- hep-th/0203101
- Susskind, Lindesay:  
An Introduction to Black Holes,  
Information and the String  
Theory revolution: The Holographic  
Universe.

## I Review

- Entropy of a BH:

$$S = \frac{A}{4}$$

, where  $A$  is the area of  
the Schwarzschild horizon  
( $R_s = \frac{2MG}{c^2}$ )

## II Entropy bounds from BHs

- "naive" counting of states in QG
  - x Introduce a cutoff of order  $\sim M_{pl}$   
 $\leftrightarrow$  lattice with spacing  $l_p$
  - x e.g. 1 spin lattice in a box of volume  $V$ :

$$N(V) = 2^n$$

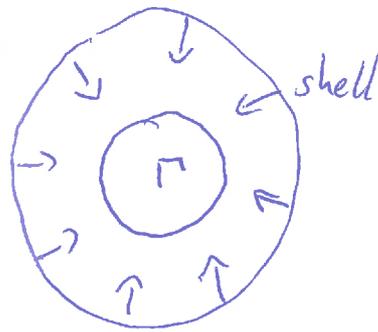
$\uparrow$   
dimensionality  
of Hilbert space

$$\Rightarrow S_{\max} \sim n \log 2 = V \cdot \frac{\log 2}{l_p^3} \quad (\text{maximal entropy})$$

x general local QFT:  $S \sim V$

• Spherical entropy bound: the Sustind process:  
 x spherical region  $\Gamma$  filled with matter of mass

$$M \leq M_{\text{BH}}$$



$$S_{\text{initial}} = S_{\text{matter}} + S_{\text{shell}}$$

x collapse a shell of ~~extra~~ energy  $M_{\text{BH}} - M$  into  $\Gamma$   
 $\rightarrow$  a BH will be created:



$$S_{\text{final}} = S_{\text{BH}} = \frac{A}{4}$$

x 2nd law of thermodynamics:

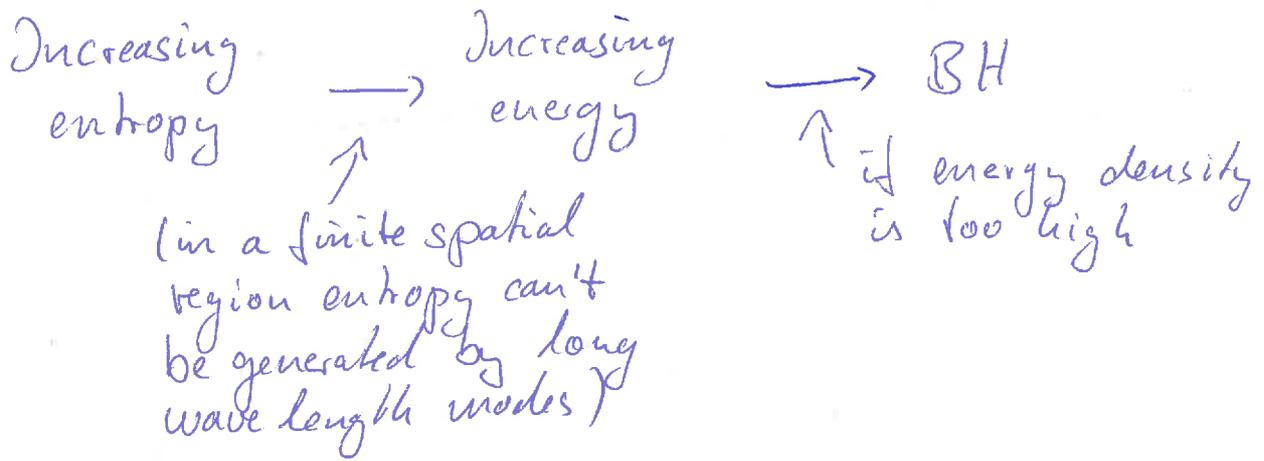
$$S_{\text{matter}} < S_{\text{BH}} = \frac{A}{4}$$

• Example: Gas with temperature  $T$

$$\left. \begin{aligned} E &\sim z R^3 T^4 \\ S &\sim z R^3 T^3 \end{aligned} \right\} \Rightarrow S \sim z^{1/4} R^{3/4} E^{3/4} \leq z^{1/4} A^{3/4} < \frac{A}{4}$$

(notice: to have a valid geometric description, we need  $A \gg 1$ ) (3)

- Problem: local QFT has too many states



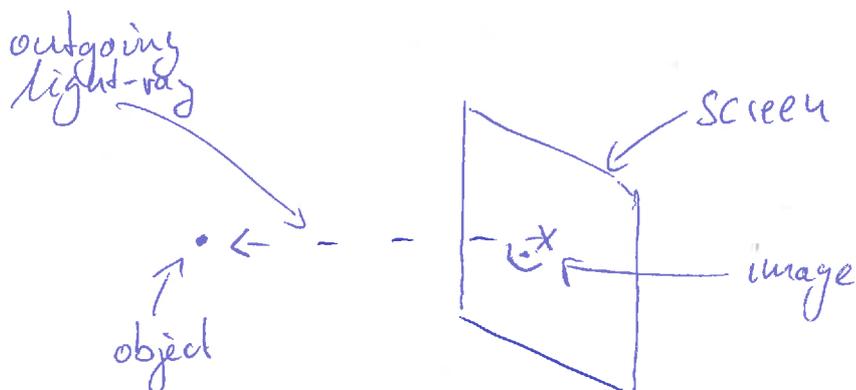
- $\leadsto$  most of the states in QFT are too massive to be gravitational stable
- $\leadsto$  System with the highest possible energy density (BH) saturates the bound  $S \leq \frac{A}{4}$

### III The holographic principle

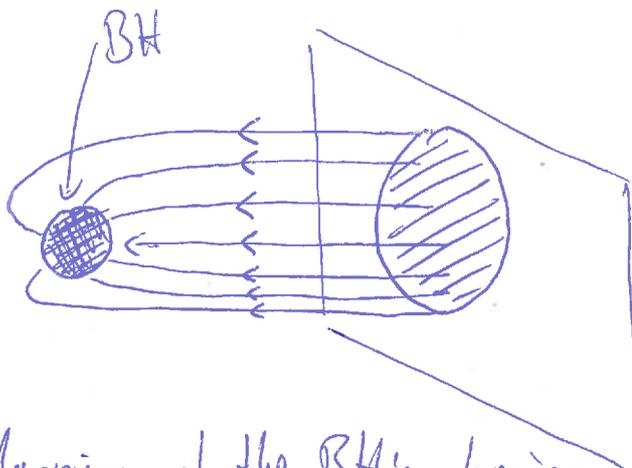
A region  $\Gamma$  with boundary area  $A$  is described by no more than  $\frac{A}{4}$  degrees of freedom, so it should be possible to encode all the physics inside  $\Gamma$  on its boundary  $\partial\Gamma$ .

- Project all 3d physics to a far distance  
 "viewing screen"

x static case: trace back light rays perpendicular to the screen



- x Black Hole:



Mapping of the BH's horizon onto the screen.

- x Entropy density  $\sigma(x)$  on the screen:

Use focusing theorem from GR:

$$\frac{d^2 \alpha}{d\lambda^2} \leq 0$$

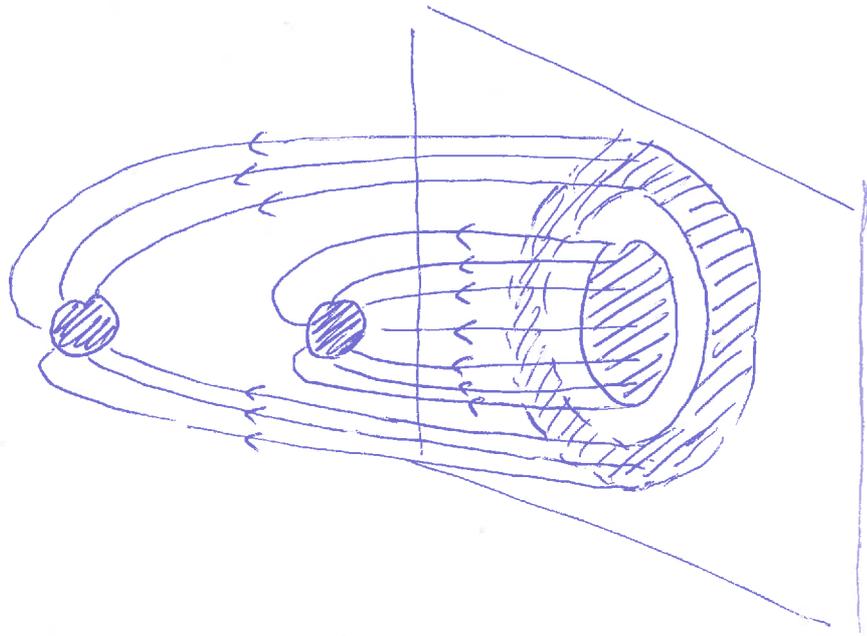
← cross section of a bundle of light rays  
 ← affine parameters

Close to the screen:  $\frac{d\alpha}{d\lambda} \rightarrow 0$

$$\Rightarrow \sigma(x) \leq \frac{1}{4}$$

x 2 BHs: Images do not overlap  
 $\rightarrow$  no loss of information!

(5)



• More general: Asymptotically flat space-time:

x Introduce light-cone coordinates:

$$x^\pm = z \pm t, \quad x^i$$

x Screen: hypersurface  $x^- = \text{const} \rightarrow \infty$

x holographic mapping:

$$(x^+, x^-, x^i) \longrightarrow (x^+, x^i)$$

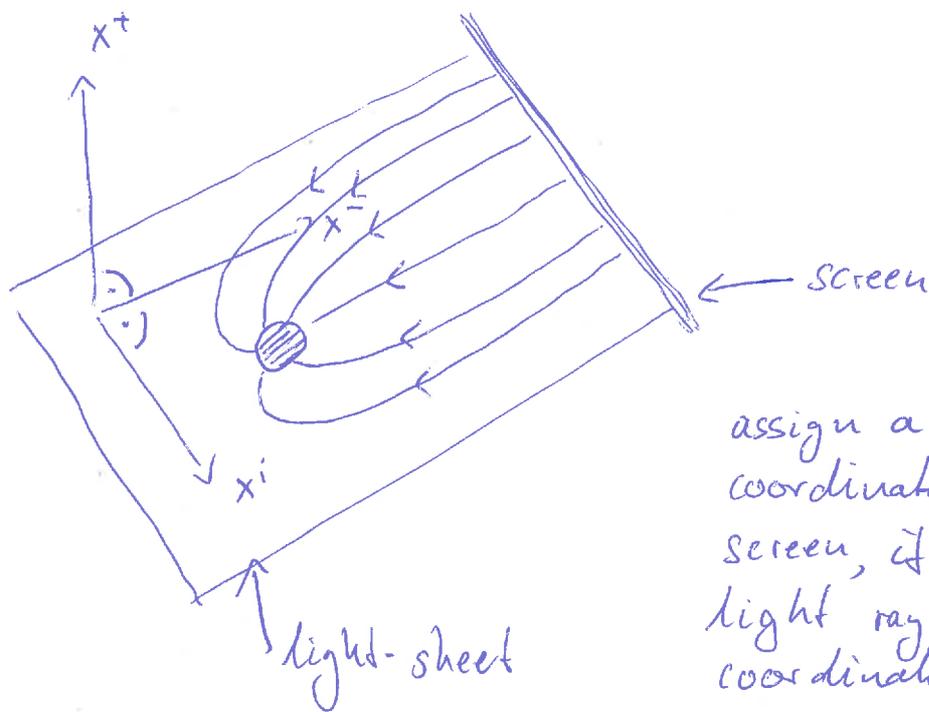
time  
on the  
screen

spatial coordinates  
on the screen

x "light-sheet":

set of all light rays which lie  
 for  $x^- \rightarrow \infty$  in the same surface

$$x^+ = \text{const.}$$



assign a point the coordinates  $(x^+, x^i)$  on the screen, if it lies on a light ray which has asymptotic coordinates  $(x^+, x^i)$

#### IV Entropy on light-like surfaces

(a covariant entropy bound)

• So far:  $S(V) \leq \frac{A(SV)}{4}$

↑  
space-like  
volume

But: Not successful for less trivial examples  
(See: hep-th/0203101)

• Counter example: FRW-cosmology

$$\times ds^2 = dt^2 - a^2(t) dx_i dx^i$$

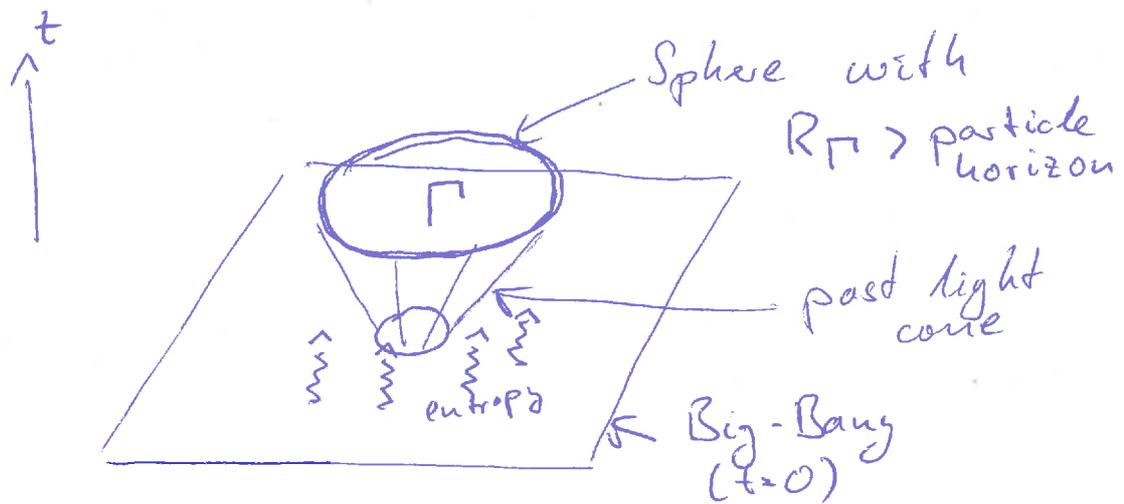
$$= a^2(\eta) (d\eta^2 - dx_i dx^i)$$

$\times$  uniform entropy-density:

$$s(\eta) = \frac{s}{a^3(\eta)}$$

~) for  $\Gamma$  large enough the entropy bound will be violated.

(7)



x entropy flux through  $\Gamma$  is not bounded

x But: Entropy flux through light cone is bounded!

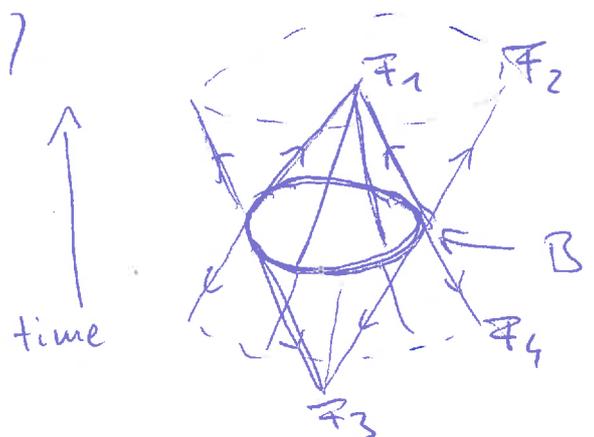
• The example and the construction from section III suggest:

Consider light-like hypersurfaces, instead of space-like regions!

• Idea / Recipes

x Start with a codimension - 2 surface  $B$  (the boundary...)

x Follow light rays traveling away from  $B$  (in the future and in the past)



x obtain four null hypersurfaces  $\overline{F}_1, \dots, \overline{F}_4$ ,  
~~ending on~~ with boundary  $B$

Problem: Not all of them are suitable to give an entropy bound  $\leadsto$  need a notion of inside/outside.

x select (at least) two hypersurfaces with negative expansion  $\Theta$  (here:  $\overline{F}_1, \overline{F}_3$ )  
 $\leadsto$  light sheets

• The expansion  $\Theta$

"Following the light-rays, the area should shrink"

e.g.  $\overline{F}_1$ :



$$A(B) \geq A(B')$$

x mathematically:

$$\Theta(\lambda) \Big|_{\lambda=\lambda_0} \leq 0$$

surface area

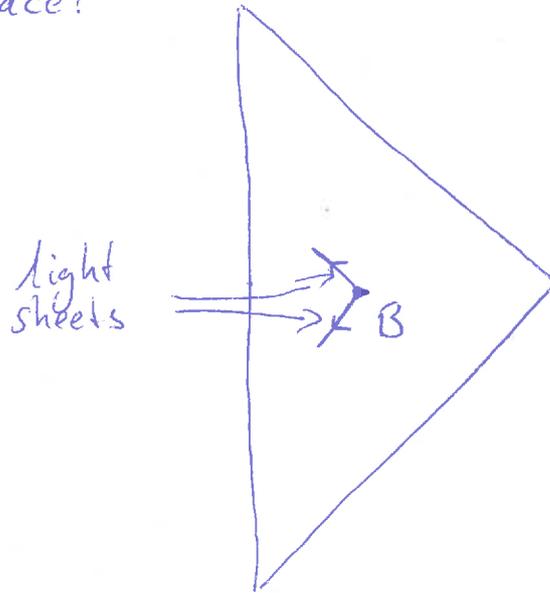
where the expansion  $\Theta$  is defined as  $\Theta(\lambda) = \frac{1}{A} \frac{dA}{d\lambda}$

• Entropy bound for light-sheets

If the hypersurface  $L$  is a light-sheet of  $B$ , then (i.e.  $\Theta \leq 0$ )

$$S(L) \leq \frac{A(B)}{4}$$

• Notation in Penrose - Diagrams,  
i.e. Minkowski - space:



• Three different situations:

a) normal:



(for example in flat space-time)

b) anti-trapped:



(highly expanding space-time)

c) trapped

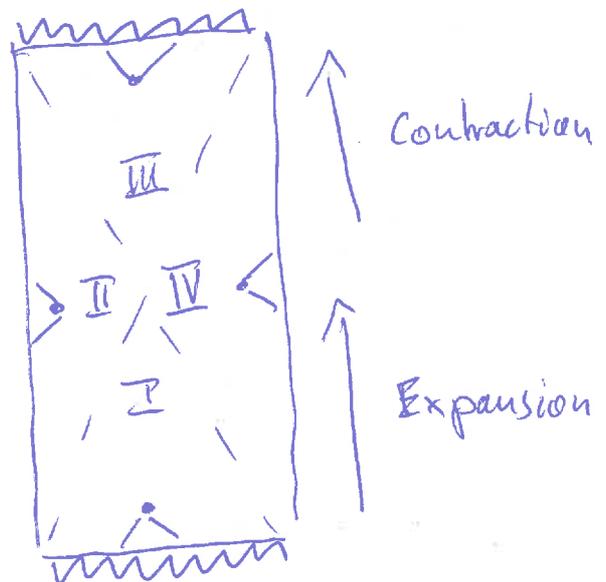


(contracting universe, interior of BH, ...)

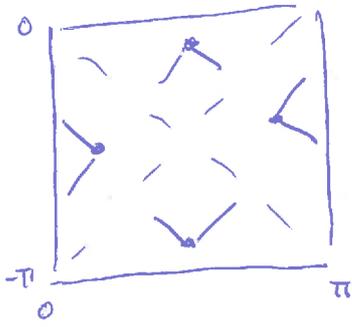
~~Matter do~~

• Examples

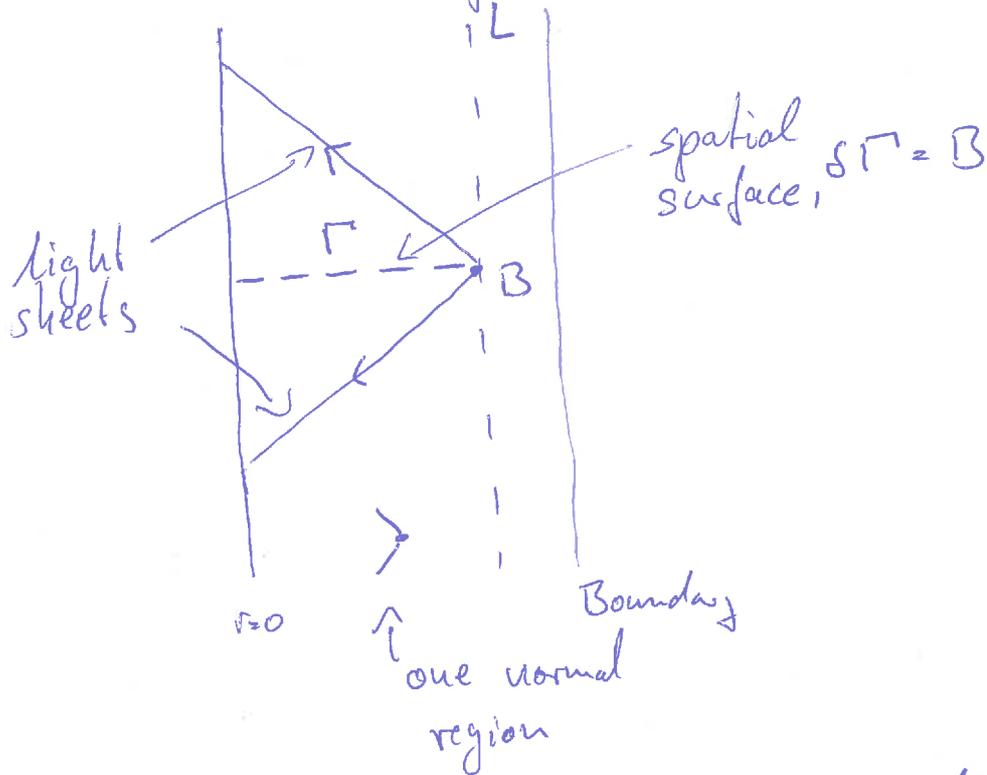
x Matter dominated universe:



x de Sitter cosmology ( $\Lambda > 0$ )



x Anti-de Sitter space ( $\Lambda < 0$ )



$\leadsto$  the entropy on  $\Gamma$  is holographically bounded,  

$$S(\Gamma) \leq \frac{A(B)}{4}$$

$\leadsto$  AdS can be foliated by space-like surfaces satisfying the holographic bound

$\leadsto$  all physics in the interior of  $L$  can be described in terms of a Hamiltonian acting on a Hilbert space of dimension

$$N_{\text{states}} = \exp\left(\frac{A(B)}{4}\right)$$

$\leftrightarrow$  corresponds to a QFT on the boundary  $\mathcal{B}$

(11)

$\leadsto$  notice:  $L$  acts like an infrared cutoff

$\leadsto$  move  $L$  towards the boundary:

physics (QFT)  
in the interior

$\leftrightarrow$

QFT on  
the boundary