

# Charm Physics Results at BESIII

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# Overview

## 1 Introduction

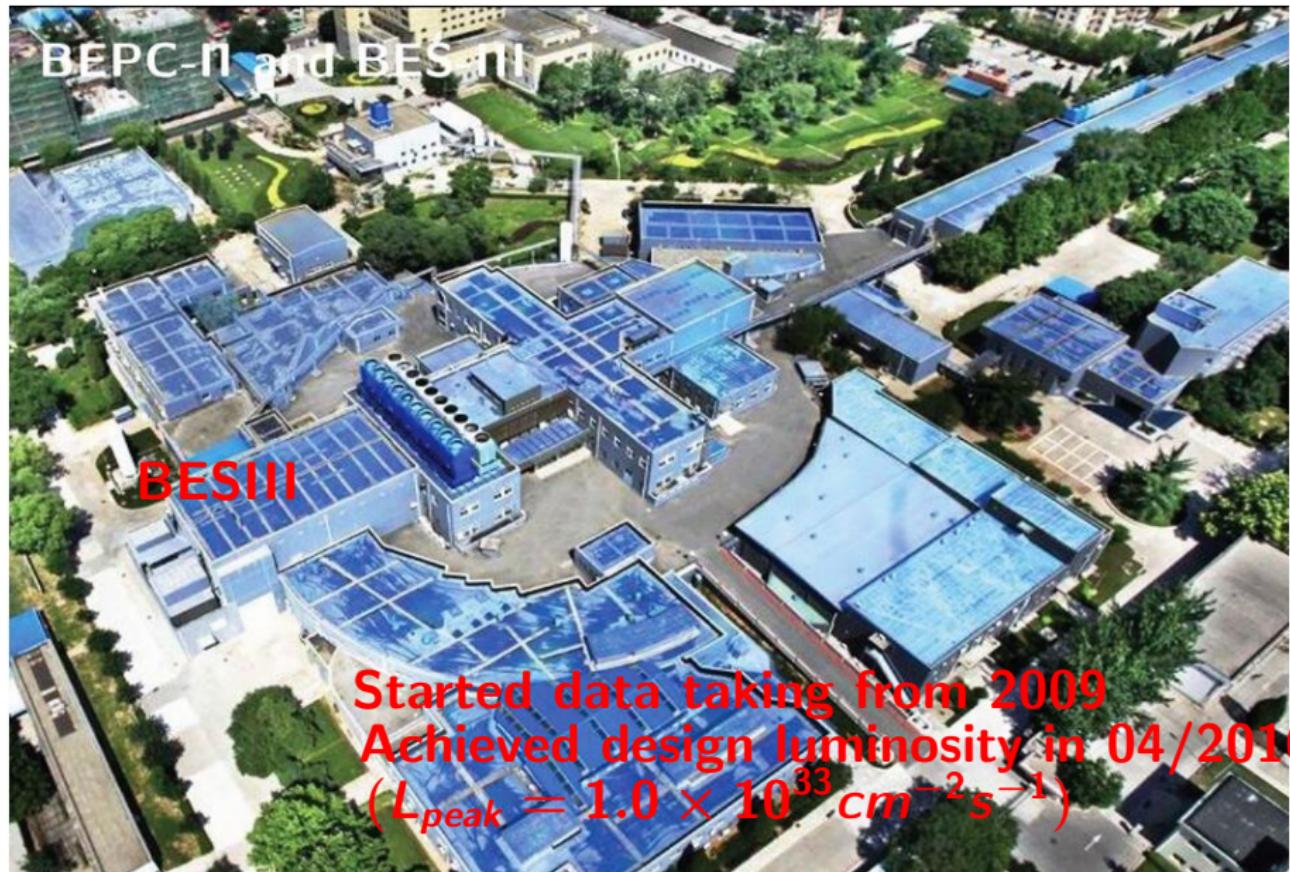
- BEPC-II and BESIII
- Charm Production and Charm Physics
- Analysis Technique

## 2 Recent Charm Results

- Measurement of Hadronic  $\Lambda_c^+$  Branching Fractions
- Measurement of  $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$  Branching Fraction
- Observation of  $D^+ \rightarrow \omega \pi^+$  and Evidence for  $D^0 \rightarrow \omega \pi^0$
- Measurement of  $D^+ \rightarrow \omega e^+ \nu_e$  and Search for  $D^+ \rightarrow \phi e^+ \nu_e$
- Analysis of  $D^0 \rightarrow (K^-/\pi^-) e^+ \nu_e$
- Decay Dynamics and CP Asymmetry in  $D^0 \rightarrow K_L^0 e^+ \nu_e$
- Measurement of the branching fractions of  $D_s^+ \rightarrow \eta' X$  and  $D_s^+ \rightarrow \eta' \rho^+$  in  $e^+ e^- \rightarrow D_s^+ D_s^-$

## 3 Summary

# Beijing Electron Positron Collider (BEPC-II)



## Drift Chamber (MDC)

$\sigma P/P(^0/\_0) = 0.5\% (1 \text{ GeV})$

$\sigma_{dE/dx} (^0/\_0) = 6\%$

## Time Of Flight (TOF)

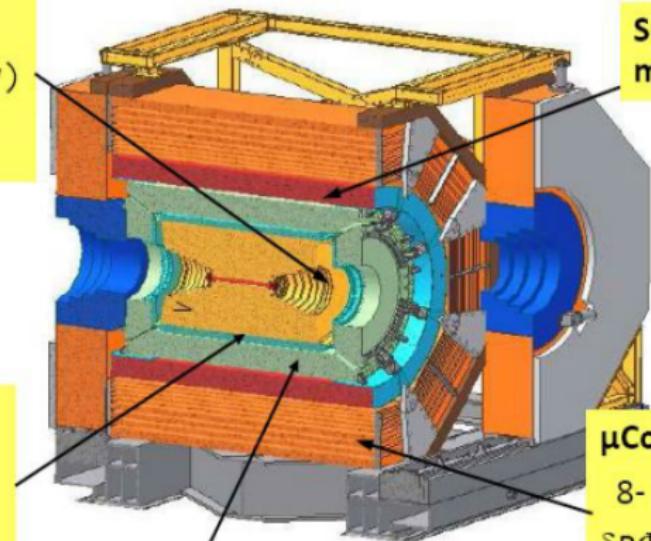
$\sigma_T$ : 90 ps Barrel

110 ps endcap

## EMC

$\sigma E/\sqrt{E} (^0/\_0) = 2.5 \% (1 \text{ GeV})$

(CsI)  $\sigma_{z,\phi} (\text{cm}) = 0.5 - 0.7 \text{ cm}/\sqrt{E}$



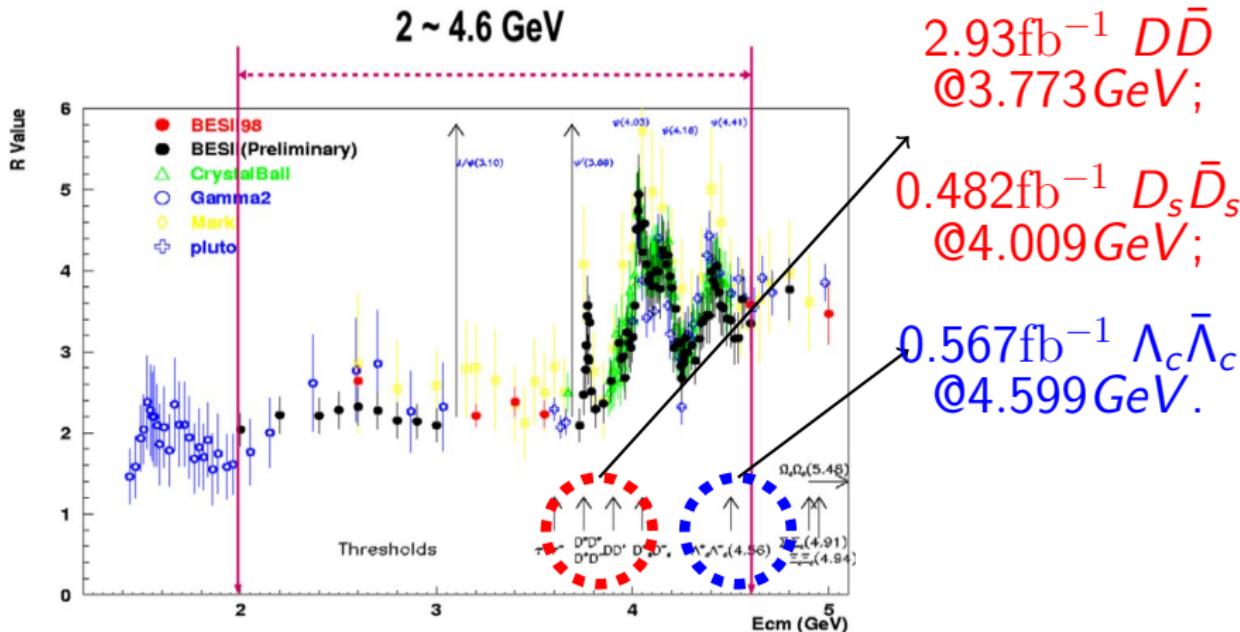
Super-conducting  
magnet (1.0 tesla)

## $\mu$ Counter

8- 9 layers RPC

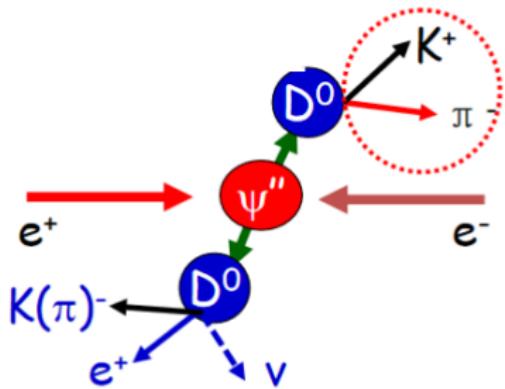
$\delta R\Phi = 1.4 \text{ cm} \sim 1.7 \text{ cm}$

# Charm Production and Charm Physics



(Semi)leptonic and hadronic decays; Decay constant and formfactors;  
CKM matrix:  $V_{cd}$ ,  $V_{cs}$ ; Mixing and CP violation; Rare and forbidden decays

# Analysis Technique



- ① Single Tag(ST)

$$M_{BC} = \sqrt{E_{beam}^2/c^2 - |\mathbf{p}_D^2|}$$

- ② Double Tag(DT)

for (semi)leptonic decays:

$$U_{miss} = E_{miss} - c|\mathbf{p}_{miss}|$$

- ③  $\mathcal{B} = \frac{N_{sig}}{N_{tag} \times \epsilon_{sig}/\epsilon_{tag,sig}}$

## Tag side:

Tag the charmed meson or baryon flavor via hadronic decays with large branching fractions, thus could suppress background effectively;

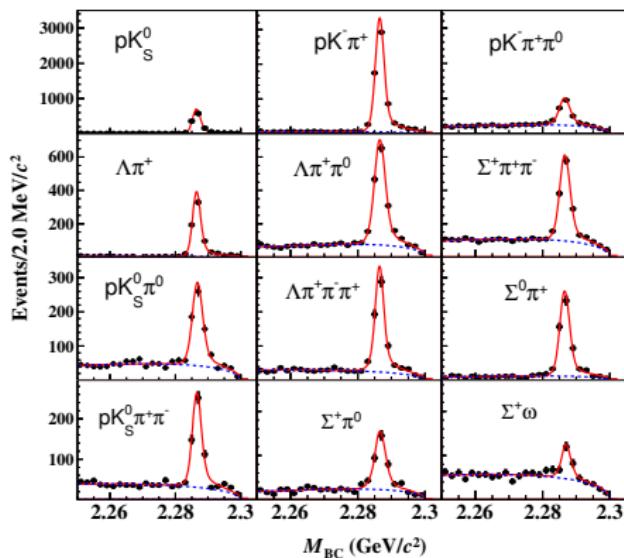
## Signal side:

- ① (Semi)leptonic charmed decays: reconstruct the "missing" neutrino;
- ② Hadronic decays: fully reconstruct the final states.

# Measurement of Hadronic $\Lambda_c^+$ Branching Fractions

Single Tag  $\Lambda_c^+$  Events

[PhysRevLett.116.052001]



$\sim 1.5 \times 10^4$  single tag events

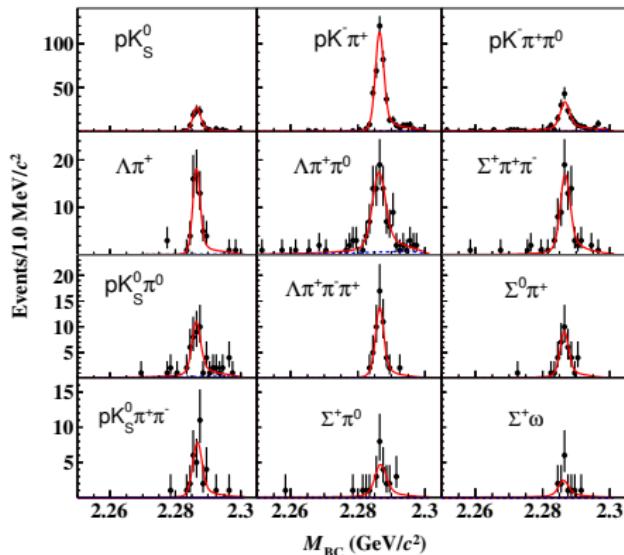
Mode	$N^{ST}$
$pK_S^0$	$1243 \pm 37$
$pK^- \pi^+$	$6308 \pm 88$
$pK_S^0 \pi^0$	$558 \pm 33$
$pK_S^0 \pi^+ \pi^-$	$485 \pm 29$
$pK^- \pi^+ \pi^0$	$1849 \pm 71$
$\Lambda \pi^+$	$706 \pm 27$
$\Lambda \pi^+ \pi^0$	$1497 \pm 52$
$\Lambda \pi^+ \pi^- \pi^+$	$609 \pm 31$
$\Sigma^0 \pi^+$	$522 \pm 27$
$\Sigma^+ \pi^0$	$309 \pm 24$
$\Sigma^+ \pi^+ \pi^-$	$1156 \pm 49$
$\Sigma^+ \omega$	$157 \pm 22$

Dominated by  $pK^- \pi^+$

# Measurement of Hadronic $\Lambda_c^+$ Branching Fractions

[PhysRevLett.116.052001]

Background free double tags



Mode	$N_{i-}^{DT}$
$pK_S^0$	$97 \pm 10$
$pK^- \pi^+$	$420 \pm 22$
$pK_S^0 \pi^0$	$47 \pm 8$
$pK_S^0 \pi^+ \pi^-$	$34 \pm 6$
$pK^- \pi^+ \pi^0$	$176 \pm 14$
$\Lambda \pi^+$	$60 \pm 8$
$\Lambda \pi^+ \pi^0$	$101 \pm 13$
$\Lambda \pi^+ \pi^- \pi^+$	$53 \pm 7$
$\Sigma^0 \pi^+$	$38 \pm 6$
$\Sigma^+ \pi^0$	$25 \pm 5$
$\Sigma^+ \pi^+ \pi^-$	$80 \pm 9$
$\Sigma^+ \omega$	$13 \pm 3$

A least square global fitter:

simultaneous fit to all the tag modes, while constraining the total  $\Lambda_c \bar{\Lambda}_c$  pair number, taking into account the correlations.

$$N_{i+j-}^{DT} = N_{\Lambda_c^+ \bar{\Lambda}_c^-} \mathcal{B}_i \mathcal{B}_j \epsilon_{i+j-}^{DT}$$

# Measurement of Hadronic $\Lambda_c^+$ Branching Fractions

## Improved Branching Fractions [PhysRevLett.116.052001]

Mode	This work (%)	PDG (%)	BELLE (%)
$pK_S^0$	$1.52 \pm 0.08 \pm 0.03$	$1.15 \pm 0.30$	
$pK^- \pi^+$	$5.84 \pm 0.27 \pm 0.23$	$5.0 \pm 1.3$	$6.84 \pm 0.24^{+0.21}_{-0.27}$
$pK_S^0 \pi^0$	$1.87 \pm 0.13 \pm 0.05$	$1.65 \pm 0.50$	(PhysRevLett.113.042002)
$pK_S^0 \pi^+ \pi^-$	$1.53 \pm 0.11 \pm 0.09$	$1.30 \pm 0.35$	
$pK^- \pi^+ \pi^0$	$4.53 \pm 0.23 \pm 0.30$	$3.4 \pm 1.0$	
$\Lambda \pi^+$	$1.24 \pm 0.07 \pm 0.03$	$1.07 \pm 0.28$	
$\Lambda \pi^+ \pi^0$	$7.01 \pm 0.37 \pm 0.19$	$3.6 \pm 1.3$	
$\Lambda \pi^+ \pi^- \pi^+$	$3.81 \pm 0.24 \pm 0.18$	$2.6 \pm 0.7$	
$\Sigma^0 \pi^+$	$1.27 \pm 0.08 \pm 0.03$	$1.05 \pm 0.28$	
$\Sigma^+ \pi^0$	$1.18 \pm 0.10 \pm 0.03$	$1.00 \pm 0.34$	
$\Sigma^+ \pi^+ \pi^-$	$4.25 \pm 0.24 \pm 0.20$	$3.6 \pm 1.0$	
$\Sigma^+ \omega$	$1.56 \pm 0.20 \pm 0.07$	$2.7 \pm 1.0$	

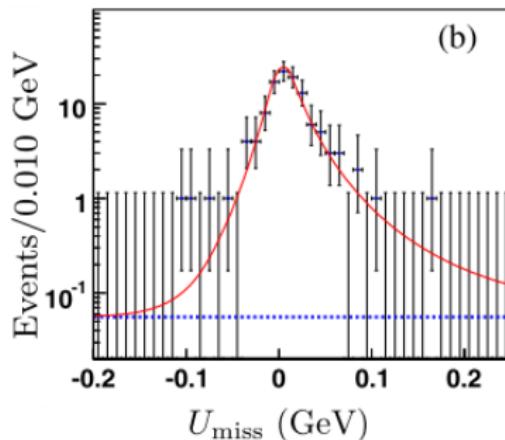
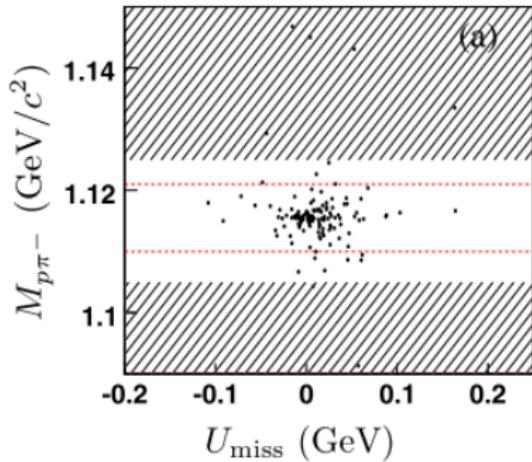
- ① Branching fraction for  $pK^- \pi^+$  is consistent with that of PDG value;
- ② The BFs improve the precision of PDG value significantly.

# $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$ Branching Fraction

[PhysRevLett.115.221805]

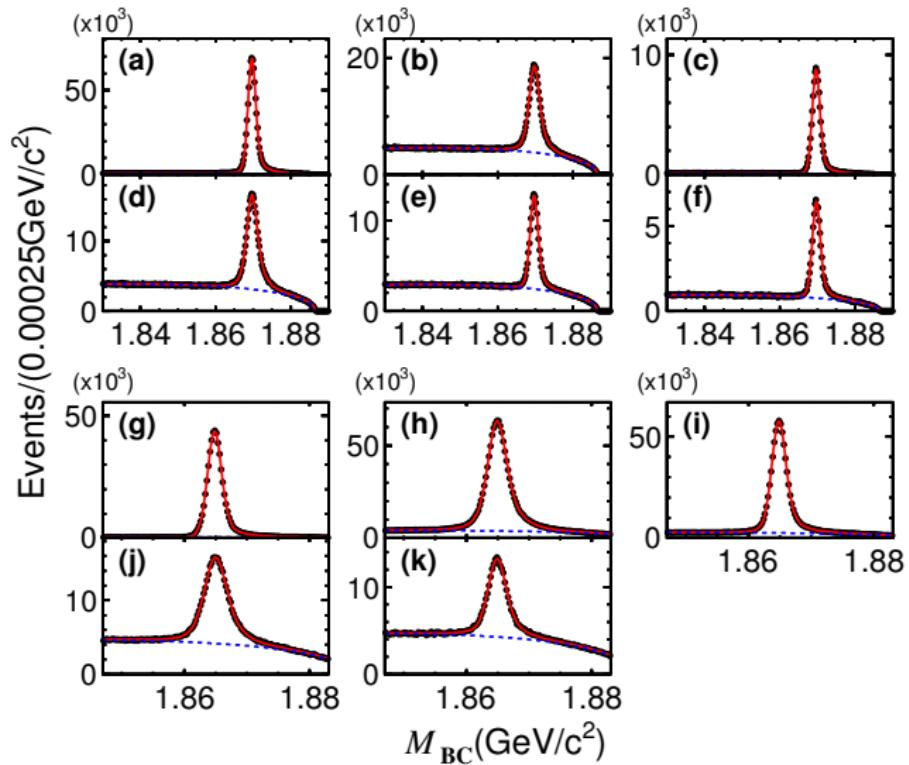
11 ST modes except  $\Lambda_c^+ \rightarrow \Sigma^+ \omega$

$$\mathcal{B} = (3.63 \pm 0.38 \pm 0.20)\%$$



- ①  $\mathcal{B}_{PDG2015} = (2.9 \pm 0.5)\%$ , using  $\mathcal{B}_{BELLE}(pK^-\pi^+)$  as input;
- ② The first absolute measurement;
- ③ A good test to non-perturbative models and LQCD calculations.

# Observation of $D^+ \rightarrow \omega\pi^+$ and Evidence for $D^0 \rightarrow \omega\pi^0$ Fit to ST $M_{BC}$ in Data [PhysRevLett.116.082001]



Charged  $D$  tag modes:

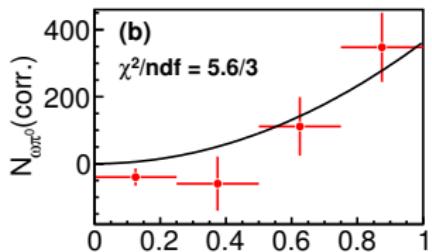
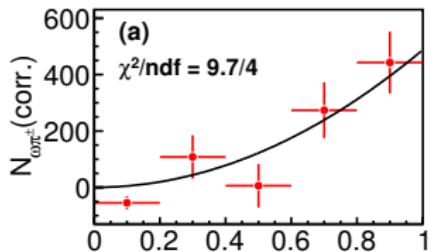
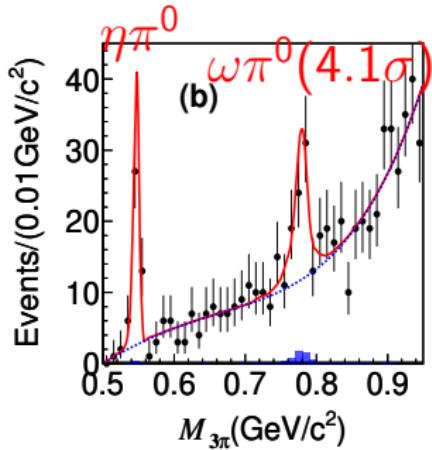
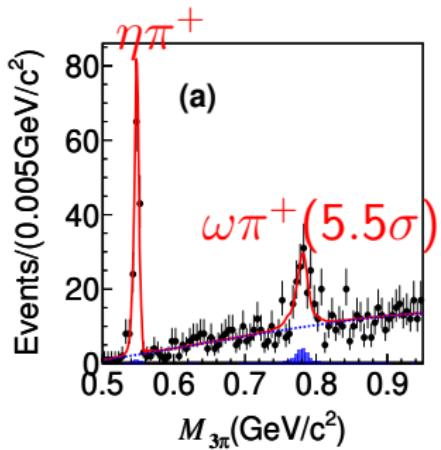
- (a)  $K^+\pi^-\pi^-$
- (b)  $K^+\pi^-\pi^-\pi^0$
- (c)  $K_S^0\pi^-$
- (d)  $K_S^0\pi^-\pi^0$
- (e)  $K_S^0\pi^+\pi^-\pi^-$
- (f)  $K^+K^-\pi^-$

Neutral  $D$  tag modes:

- (g)  $K^+\pi^-$
- (h)  $K^+\pi^-\pi^0$
- (i)  $K^+\pi^-\pi^+\pi^-$
- (j)  $K^+\pi^-\pi^0\pi^0$
- (k)  $K^+\pi^-\pi^+\pi^-\pi^0$

# Observation of $D^+ \rightarrow \omega\pi^+$ and Evidence for $D^0 \rightarrow \omega\pi^0$

[PhysRevLett.116.082001]



$|H_\omega(\cos\theta_\omega)|$  VS. yields distributions for  $\omega\pi^{+,0}$  validate the analysis

# Observation of $D^+ \rightarrow \omega\pi^+$ and Evidence for $D^0 \rightarrow \omega\pi^0$ Result [PhysRevLett.116.082001]

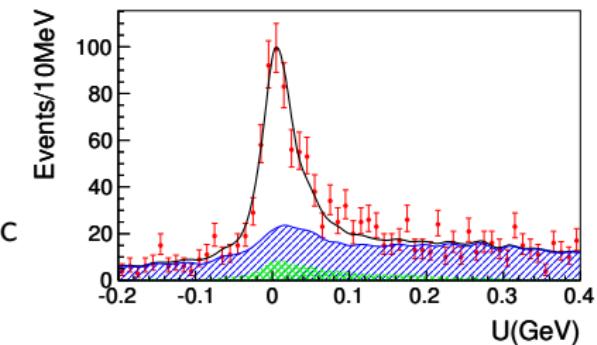
**Table:** Summary of branching fraction measurements, and comparison with the previous CLEO-c measurements.

Mode	This work	Previous measurements
$D^+ \rightarrow \omega\pi^+$	$2.79 \pm 0.57 \pm 0.16 \times 10^{-4}$	$< 3.4 \times 10^{-4}$ at 90% C.L.
$D^0 \rightarrow \omega\pi^0$	$1.17 \pm 0.34 \pm 0.07 \times 10^{-4}$	$< 2.6 \times 10^{-4}$ at 90% C.L.
$D^+ \rightarrow \eta\pi^+$	$3.07 \pm 0.22 \pm 0.13 \times 10^{-3}$	$(3.53 \pm 0.21) \times 10^{-3}$
$D^0 \rightarrow \eta\pi^0$	$(0.65 \pm 0.09 \pm 0.04) \times 10^{-3}$	$(0.68 \pm 0.07) \times 10^{-3}$

- ① Observation of  $D^+ \rightarrow \omega\pi^+$  and a strong evidence for  $D^0 \rightarrow \omega\pi^0$ ;
- ② The  $D^+ \rightarrow \eta\pi^+$  and  $D^0 \rightarrow \eta\pi^0$  branching fractions are consistent with the PDG value;
- ③ Improved understanding of  $U$ -spin and  $SU(3)$ -flavor symmetry breaking effects in  $D$  decays.

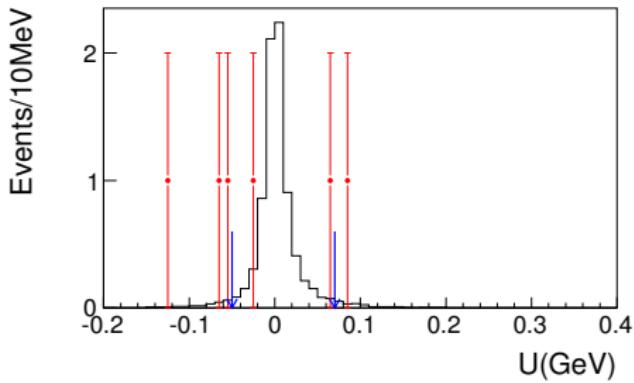
# Analysis of $D^+ \rightarrow (\omega, \phi)e^+\nu$

[Phys.Rev. D92 (2015) 7, 071101]



$$D^+ \rightarrow \omega e^+ \nu_e$$

data; peaking background; total background and Fit



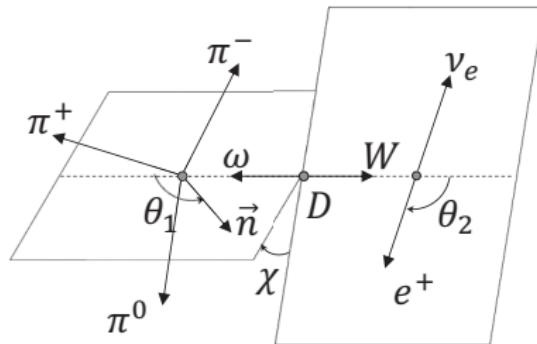
$$D^+ \rightarrow \phi e^+ \nu_e$$

Dots: data; Arrow: signal region;  
Hist: signal MC.

Mode	This work	CLEO-c
$\omega e^+ \nu_e$	$(1.63 \pm 0.11 \pm 0.08) \times 10^{-3}$	$(1.82 \pm 0.18 \pm 0.07) \times 10^{-3}$
$\phi e^+ \nu_e$	$< 1.3 \times 10^{-5}$ (90% C.L.)	$< 9.0 \times 10^{-5}$ (90% C.L.)

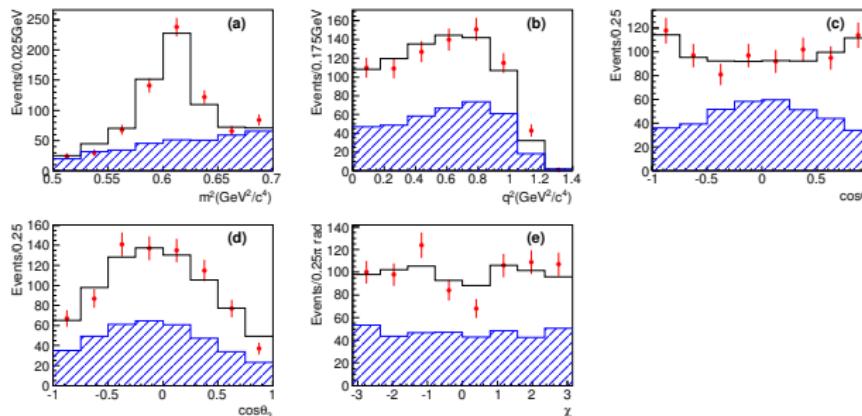
# Analysis of $D^+ \rightarrow (\omega, \phi)e^+\nu$

Form Factor Measurement of  $D^+ \rightarrow \omega e^+ \nu_e$  Phys.Rev. D92 (2015) 7, 071101



$$\frac{d\Gamma}{dq^2 d\cos\theta_1 d\cos\theta_2 d\chi dm_{\pi\pi\pi}} = \mathcal{F}(V(q^2), A_{1,2}(q^2) \dots)$$

A five-dimensional maximum likelihood fit is performed in the space of  $m^2$ ,  $q^2$ ,  $\cos\theta_1$ ,  $\cos\theta_2$  and  $\chi$ .

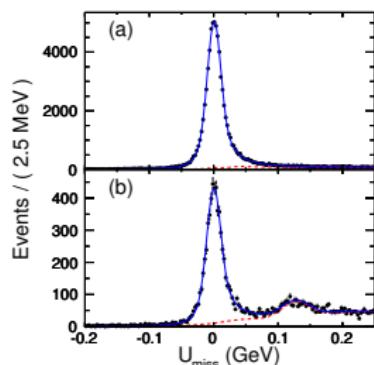


$$r_V = \frac{V(0)}{A_1(0)} = 1.24 \pm 0.09$$

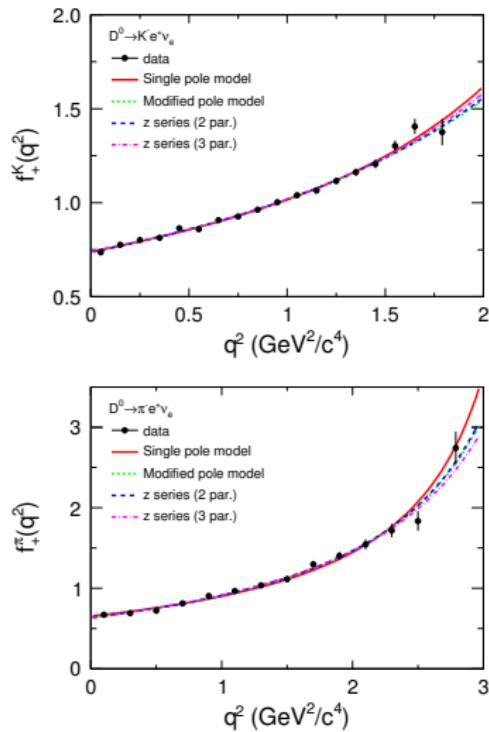
$$r_2 = \frac{A_2(0)}{A_1(0)} = 1.06 \pm 0.15$$

## Analysis of $D^0 \rightarrow (K^-/\pi^-)e^+\nu_e$

## Branching Fraction and Form Factor Fit



$$\begin{aligned}\mathcal{B}(D^0 \rightarrow K^- e^+ \nu_e(a)) &= (3.505 \pm 0.014 \pm 0.033)\% \\ \mathcal{B}(D^0 \rightarrow \pi^- e^+ \nu_e(b)) &= (0.295 \pm 0.004 \pm 0.003)\%\end{aligned}$$



$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cs(d)}|^2 |\vec{p}_{K^-(\pi^-)}|^3 |f_+^{K(\pi)}(q^2)|^2$$

$$f_+(q^2)$$

## Simple pole model:

$$= \frac{f_+(0)}{1 - \frac{q^2}{M_{\text{pole}}^2}}$$

## Modified pole model:

$$= \frac{f_+(0)}{\left(1 - \frac{q^2}{M_{\text{pole}}^2}\right)\left(1 - \alpha \frac{q^2}{M_{\text{pole}}^2}\right)}$$

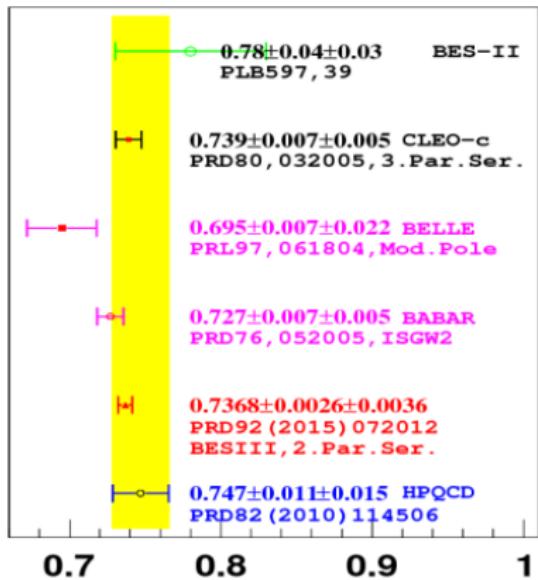
## Series expansion:

$$= \frac{1}{P(t)\Phi(t,t_0)} a_0(t_0) \times \\ (1 + r_1(t_0)[z(t, t_0)])$$

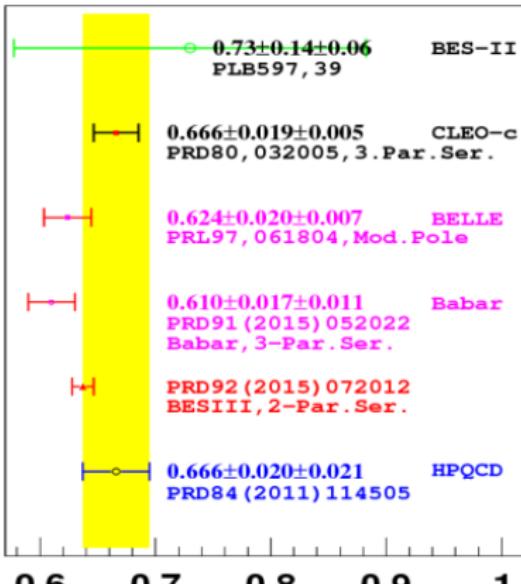
# Analysis of $D^0 \rightarrow (K^-/\pi^-)e^+\nu_e$ Improved Form Factor $f_+^{D^0 \rightarrow (K^-/\pi^-)e^+\nu_e}(0)$

$$|V_{cs(d)}|f_+^{D^0 \rightarrow (K^-/\pi^-)e^+\nu_e}(0) \rightarrow f_+^{D^0 \rightarrow (K^-/\pi^-)e^+\nu_e}(0)$$

Input  $V_{cs(d)}$  of CKM Fitter



$f_+^K(0)$



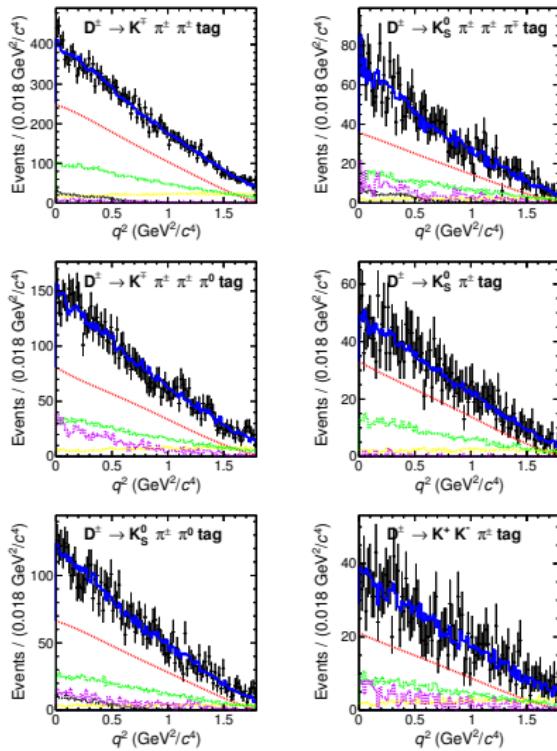
$f_+^\pi(0)$

The most precise form factor measurement provides a good test to LQCD calculations.

# Decay Dynamics and CP Asymmetry in $D^0 \rightarrow K_L^0 e^+ \nu_e$

## The first measurement

[Phys.Rev. D92 11, 112008]



Red dashed: signal

- 1  $\mathcal{B}(D^+ \rightarrow K_L e^+ \nu_e) = (4.454 \pm 0.038 \pm 0.102)\%$   
 $\mathcal{B}(D^- \rightarrow K_L e^- \bar{\nu}_e) = (4.507 \pm 0.038 \pm 0.104)\%$

- 2  $|V_{cs}| = 0.975 \pm 0.008 \pm 0.015 \pm 0.025$  (with LQCD input  $f_+^K(0)$ ), consistent with  $0.986 \pm 0.016$  in PDG

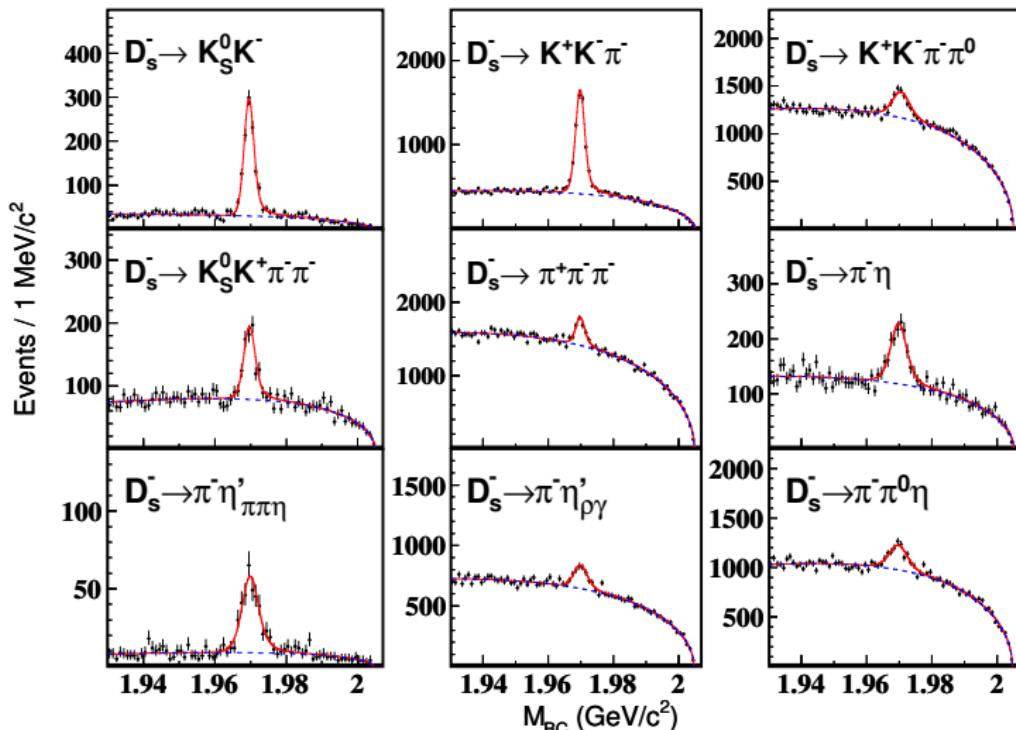
- 3  $A_{CP} \equiv \frac{\mathcal{B}(D^+ \rightarrow K_L e^+ \nu_e) - \mathcal{B}(D^- \rightarrow K_L e^- \bar{\nu}_e)}{\mathcal{B}(D^+ \rightarrow K_L e^+ \nu_e) + \mathcal{B}(D^- \rightarrow K_L e^- \bar{\nu}_e)} = (-0.59 \pm 0.60 \pm 1.48)\%$

# Branching Fractions of $D_s^+ \rightarrow \eta' X$ and $D_s^+ \rightarrow \eta' \rho^+$

Fit to ST  $M_{BC}$

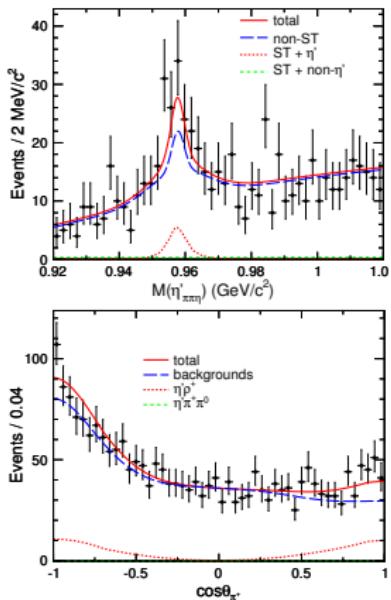
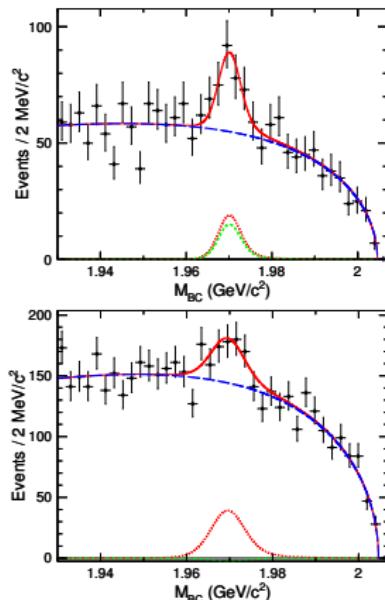
[ Phys.Lett.B.750, 466]

9 single tag modes, and over  $1.5 \times 10^4$  single tag events



# Branching Fractions of $D_s^+ \rightarrow \eta' X$ and $D_s^+ \rightarrow \eta' \rho^+$

[ Phys.Lett.B.750, 466]



$$(up) N_{D_s^+ \rightarrow \eta' X} = 68 \pm 14 \\ \mathcal{B}(\eta' X) = (8.8 \pm 1.8 \pm 0.5)\%$$

$$(down) N_{D_s^+ \rightarrow \eta' \rho^+} = 210 \pm 50 \\ \mathcal{B}(\eta' \rho^+) = (5.8 \pm 1.4 \pm 0.4)\%$$

$\mathcal{B}(\eta' X)$  is consistent with that of CLEO-c(PhysRevD.58.052002);  
 $\mathcal{B}(\eta' \rho^+)$  result resolves the disagreement between theoretical prediction(PRD.84.074019) and CLEO-c(PhysRevD.58.052002) measurement.

# Charm Physics at *BESIII*

## Summary

### Large charm data sets

- ① Form factor measurement in (semi)leptonic charm decays provide important test to LQCD calculations, CKM matrix unitary ;
- ② Hadronic charmed meson and baryon decays improve understanding of non-perturbative QCD;
- ③ The first  $\Lambda_c \bar{\Lambda}_c$  data set at threshold allows absolute branching fraction measurement;
- ④ *BESIII* will take more  $D_s D_s^*$  data at 4.180GeV; this would benefit the understanding of physics related to  $D_s$  further.
- ⑤ Other ongoing programs not covered in this talk:  
Searches for rare/forbidden decays, and quantum correlated analysis based on the world's largest  $\psi(3770)$  data taken near it's nominal mass, find more on ***BESIII PUBLICATIONS***

# The End