

# Iterative Monte Carlo analysis of spin-dependent parton distributions

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DIS Workshop 2016

# Motivations

## Spin structure of nucleons

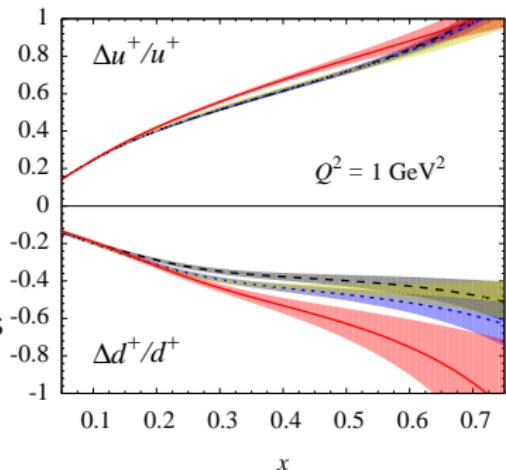
- spin carried by a quark of type  $q \rightarrow \frac{1}{2} \Delta q^{(1)} = \frac{1}{2} \int_0^1 dx \Delta q(x, Q^2)$
- spin sum rule  $\rightarrow \frac{1}{2} = \frac{1}{2} \Delta \Sigma^{(1)} + \Delta g^{(1)} + \mathcal{L} \rightarrow$  How big is  $\mathcal{L}$  ?
- From existing global analysis  $\rightarrow \Delta \Sigma_{[10^{-3}, 1]}^{(1)} \sim 0.3, \Delta g_{[0.05, 0.2]}^{(1)} \sim 0.1$

## High $x$

- $SU(6)$  spin-flavor symmetry:  
 $\rightarrow \Delta u/u \rightarrow 2/3, \Delta d/d \rightarrow -1/3$
- pQCD  $\rightarrow \Delta q/q \rightarrow 1$

## Higher twists

- $d_2$  matrix element  
 $\rightarrow d_2 = 2g_1^{(3)}(Q^2) + 3g_2^{(3)}(Q^2)$
- Color forces experienced by struck quarks  
 $\rightarrow \tilde{F}_E = 2d_2 + f_2, \tilde{F}_B = 4d_2 - f_2$



# Global Analysis

## Data

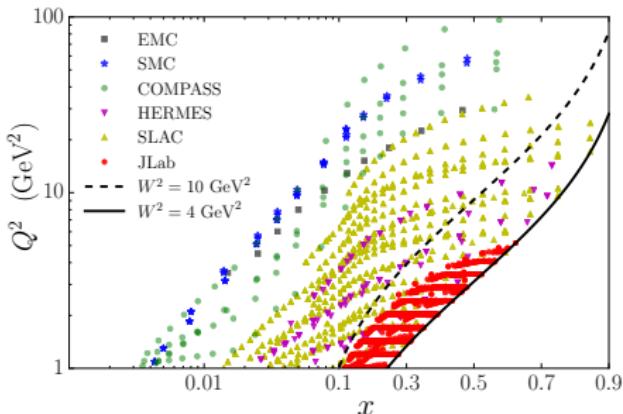
- ✓ Polarized DIS  $\rightarrow \Delta u^+, \Delta d^+$
- Polarized SIDIS:  $\rightarrow \Delta \bar{d}, \Delta \bar{u}, \Delta s$
- Inclusive Jets/ $\pi^0$ :  $\rightarrow \Delta g$
- $W$  production  $\rightarrow \Delta \bar{d}, \Delta \bar{u}$

## Theory

- ✓ Target mass corrections
- ✓ Twist-3 and twist-4 contributions in polarized structure functions
- ✓ Nuclear corrections for  ${}^3\text{He}$  and deuteron targets
- Threshold resummation  $\rightarrow (\alpha_S^m \log(1-x)^n)$

## Tools

- ✓ Numerical codes developed within python framework
- ✓ Development of DGLAP evolution equations in Mellin space
- ✓ Fast calculation of observables  $\rightarrow$  Mellin space techniques



# Polarized DIS

## Asymmetries

$$A_{||} = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\downarrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\downarrow\uparrow}} = D(\textcolor{teal}{A}_1 + \eta \textcolor{teal}{A}_2)$$

$$A_{\perp} = \frac{\sigma^{\uparrow\Rightarrow} - \sigma^{\downarrow\Rightarrow}}{\sigma^{\uparrow\Rightarrow} + \sigma^{\downarrow\Rightarrow}} = d(\textcolor{teal}{A}_2 - \xi \textcolor{teal}{A}_1)$$

$$\textcolor{teal}{A}_1 = \frac{(\textcolor{red}{g}_1 - \gamma^2 \textcolor{red}{g}_2)}{F_1} \quad A_2 = \gamma \frac{(\textcolor{red}{g}_1 + \textcolor{red}{g}_2)}{F_1} \quad \gamma^2 = \frac{4M^2x^2}{Q^2}$$

## Polarized structure functions

$$g_1(x, Q^2) = g_1^{\text{LT+TMC}}(\Delta u^+, \Delta d^+, \Delta g, \dots) + g_1^{\text{T3+TMC}}(D_u, D_d) + g_1^{\text{T4}}(\textcolor{blue}{H}_{p,n})$$

$$g_2(x, Q^2) = g_2^{\text{LT+TMC}}(\Delta u^+, \Delta d^+, \Delta g, \dots) + g_2^{\text{T3+TMC}}(D_u, D_d)$$

# Fitting strategy

## Parametrization

- $xf(x) = Nx^a(1-x)^b(1+c\sqrt{x}+dx)$
- LT quark distributions →  $\Delta u^+, \Delta d^+, \Delta s^+, \Delta g$
- T3 quark distributions →  $D_u, D_d$
- T4 structure functions →  $H_p, H_n$

**Chi-squared minimization** → with correlated systematic uncertainties

$$\chi^2 = \sum_i \left( \frac{D_i - T_i(1 - \sum_k r^k \beta_i^k / D_i)^{-1}}{\alpha_i} \right)^2 + \sum_k (r^k)^2$$

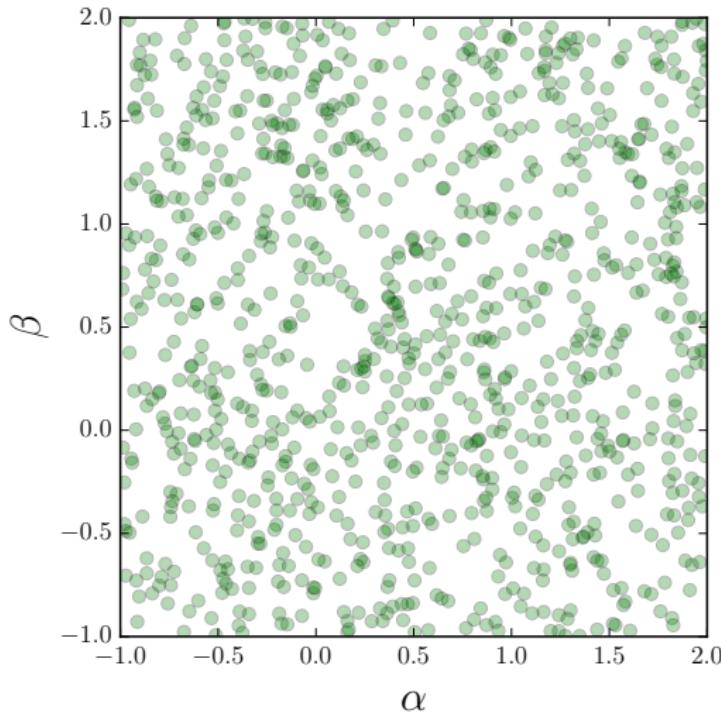
## Issues

- Stability in the moments (e.g.  $\Delta \Sigma^{(1)}$ )
- Is the solution given by a single fit unique? → False minima
- Is over-fitting present in our fits?
- Which parameters should be fixed and at which value?
- Determination of uncertainty bands.

**Solution** → MC approach

# Iterative Monte Carlo Analysis (IMC)

Toy example → fitting 2 model parameters  $\alpha, \beta$

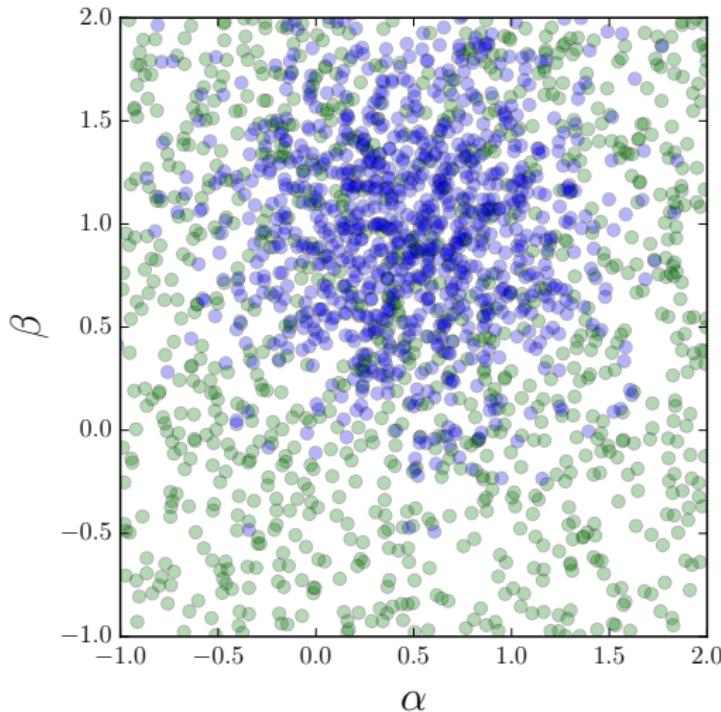


## I. Flat sampling

Initial priors  $\{(\alpha, \beta)\}$

# Iterative Monte Carlo Analysis (IMC)

Toy example → fitting 2 model parameters  $\alpha, \beta$



## I. Flat sampling

Initial priors  $\{(\alpha, \beta)\}$

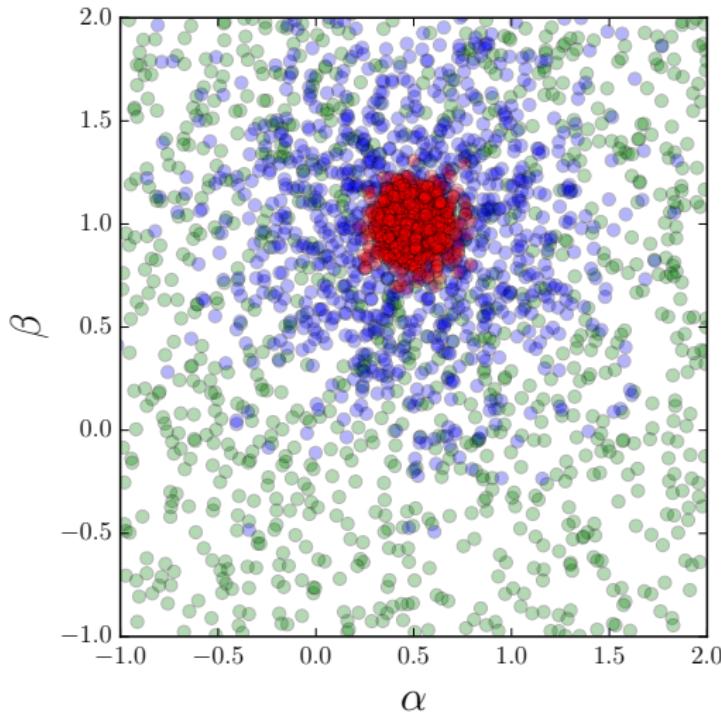
## II. First iteration

priors  $\{(\alpha, \beta)\}$

posteriors  $\{(\alpha, \beta)\}$

# Iterative Monte Carlo Analysis (IMC)

Toy example → fitting 2 model parameters  $\alpha, \beta$



## I. Flat sampling

Initial priors  $\{(\alpha, \beta)\}$

## II. First iteration

priors  $\{(\alpha, \beta)\}$

posteriors  $\{(\alpha, \beta)\}$

## III. Second iteration

priors  $\{(\alpha, \beta)\}$

posteriors  $\{(\alpha, \beta)\}$

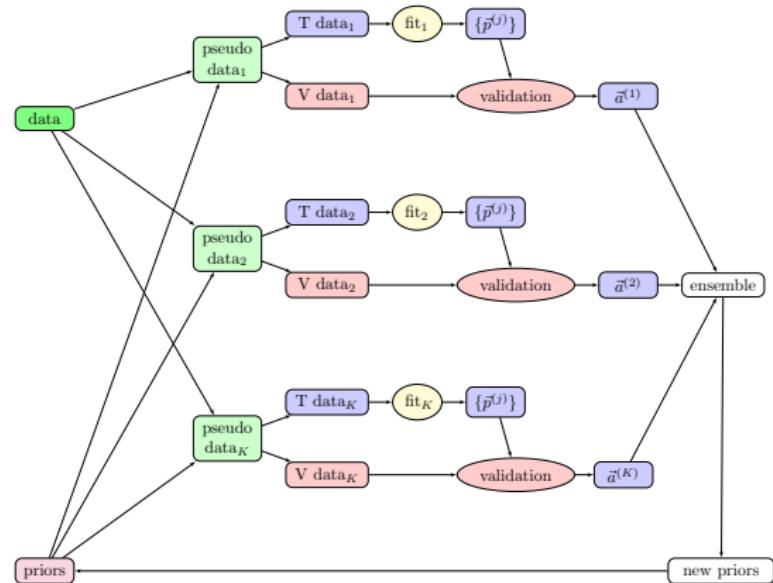
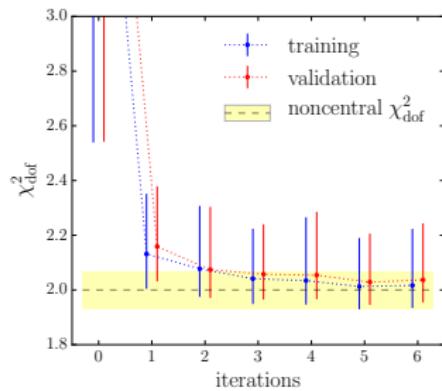
... until convergence

# Iterative Monte Carlo Analysis (IMC)

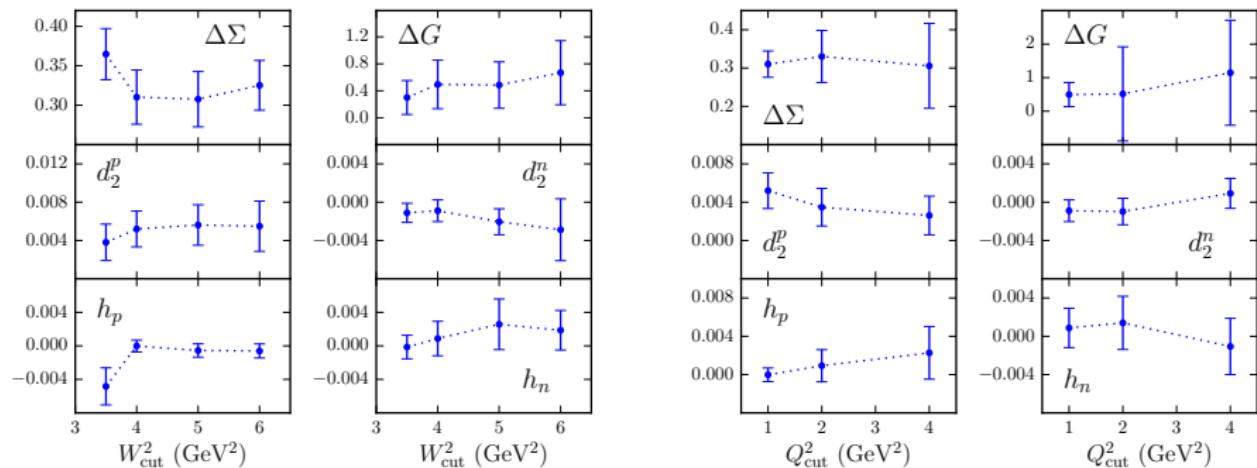
## Each iteration

- Generate pseudo data sets via data resampling
- Random data partition → Training & Validation
- Fit the training set
- Validation

# of fits: 10000



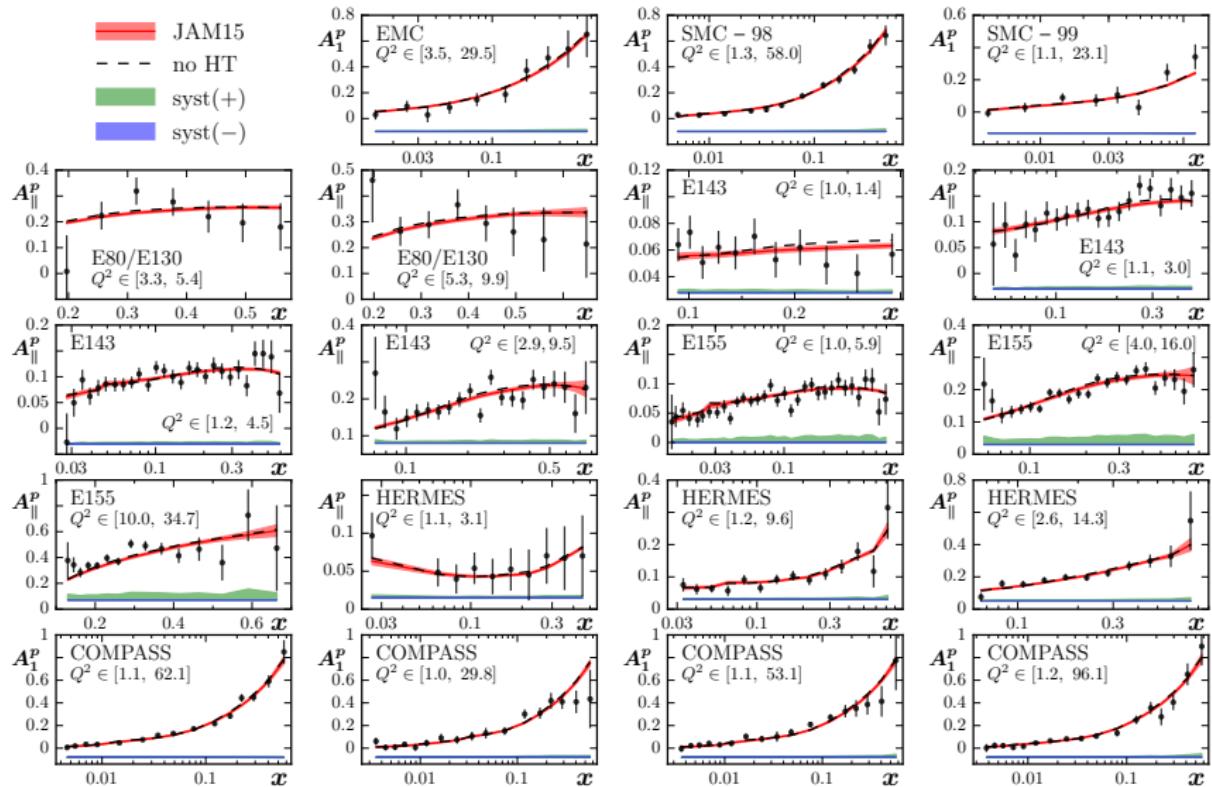
# $W_{\text{cut}}^2$ and $Q_{\text{cut}}^2$



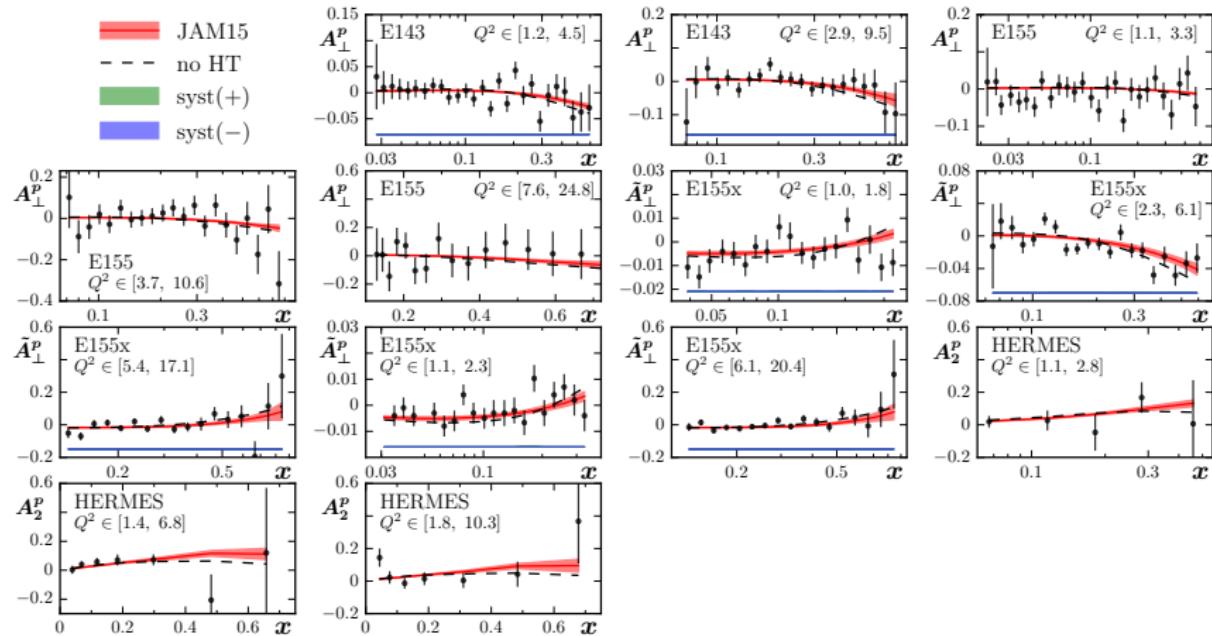
$W_{\text{cut}}^2$ (GeV $^2$ )	3.5	<b>4</b>	5	6	8	10
# points	2868	<b>2515</b>	1880	1427	943	854
$\chi^2_{\text{dof}}$	1.20	<b>1.07</b>	1.03	1.02	0.99	0.97

$Q_{\text{cut}}^2$ (GeV $^2$ )	<b>1.0</b>	2.0	4.0
# points	<b>2515</b>	1421	611
$\chi^2_{\text{dof}}$	<b>1.07</b>	1.08	0.95

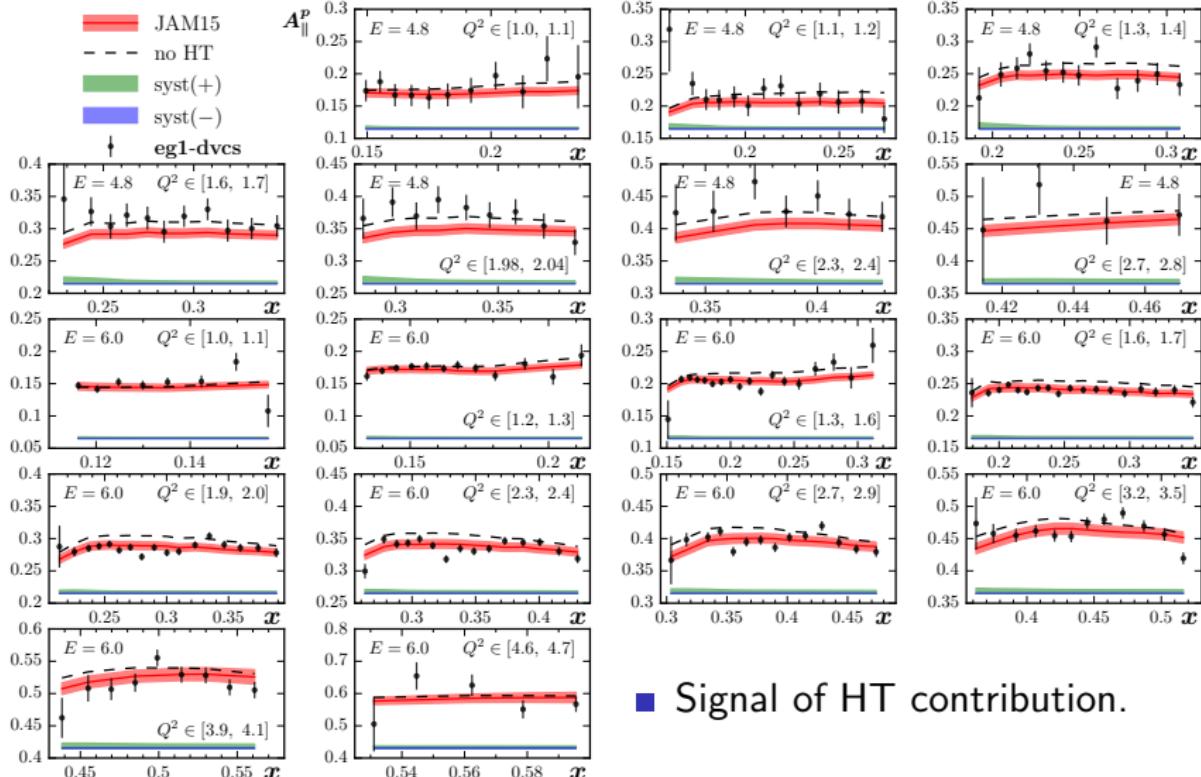
# Data vs theory: proton



# Data vs theory: proton

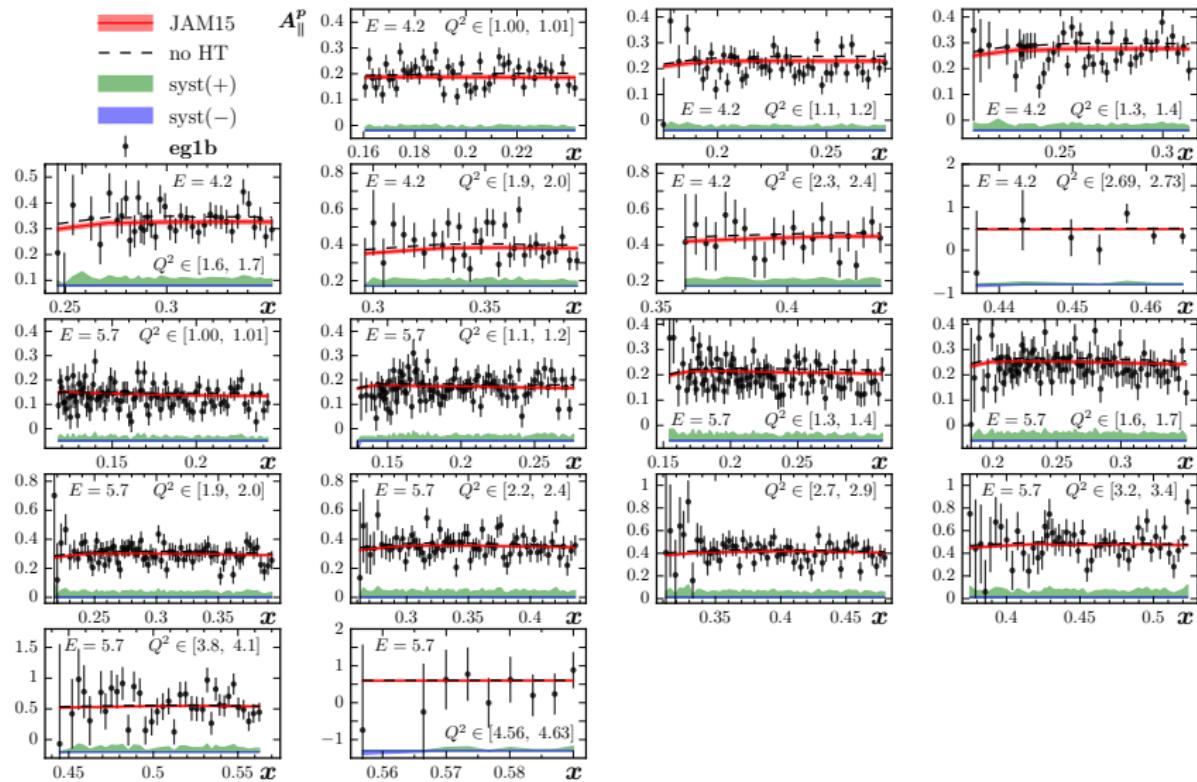


# Data vs theory: proton JLab eg1b-dvcs

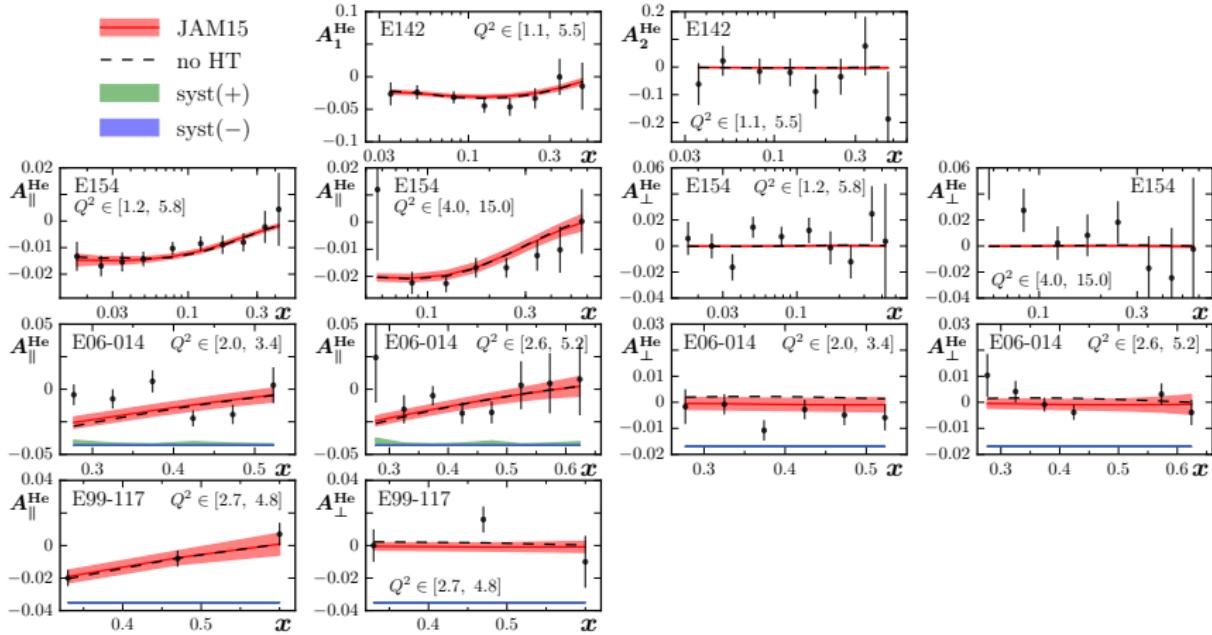


■ Signal of HT contribution.

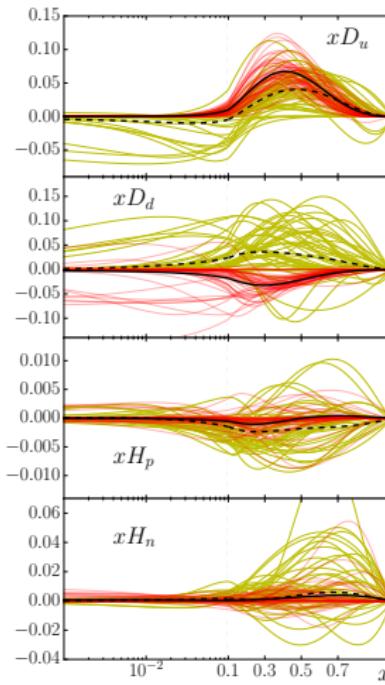
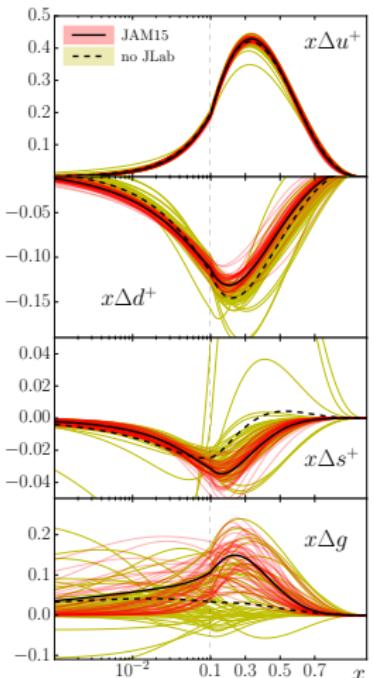
# Data vs theory: proton JLab eg1b



# Data vs theory: ${}^3\text{He}$

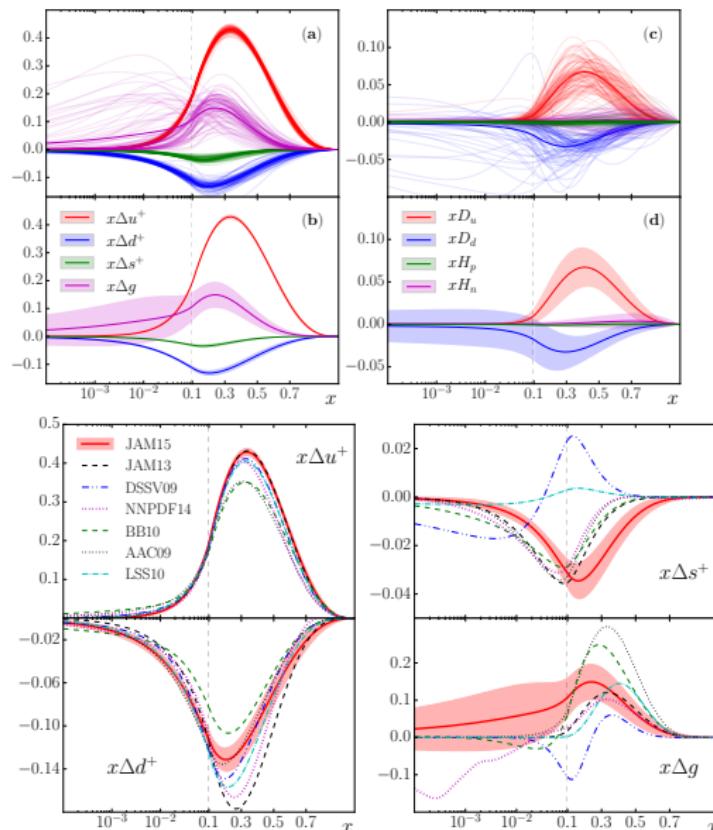


# Impact of JLab data



- JLab data  $\rightarrow 0.1 < x < 0.7$
- Constraints on small  $x$  from large  $x \rightarrow$  weak baryon decay constraints
- Large uncertainties in  $\Delta s^+$ ,  $\Delta g$  removed by JLab data
- Non vanishing T3 quark distributions
- T4 distributions consistent with zero

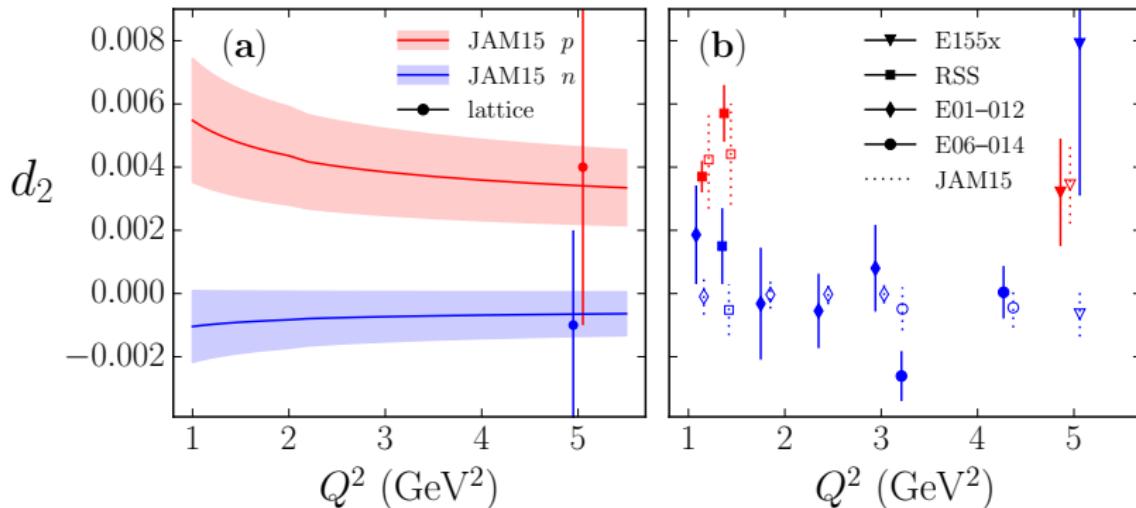
# Results



moment	truncated	full
$\Delta u^+$	$0.82 \pm 0.01$	$0.83 \pm 0.01$
$\Delta d^+$	$-0.42 \pm 0.01$	$-0.44 \pm 0.01$
$\Delta s^+$	$-0.10 \pm 0.01$	$-0.10 \pm 0.01$
$\Delta \Sigma$	$0.31 \pm 0.03$	$0.28 \pm 0.04$
$\Delta G$	$0.5 \pm 0.4$	$1 \pm 15$
$d_2^p$	$0.005 \pm 0.002$	$0.005 \pm 0.002$
$d_2^n$	$-0.001 \pm 0.001$	$-0.001 \pm 0.001$
$h_p$	$-0.000 \pm 0.001$	$0.000 \pm 0.001$
$h_n$	$0.001 \pm 0.002$	$0.001 \pm 0.003$

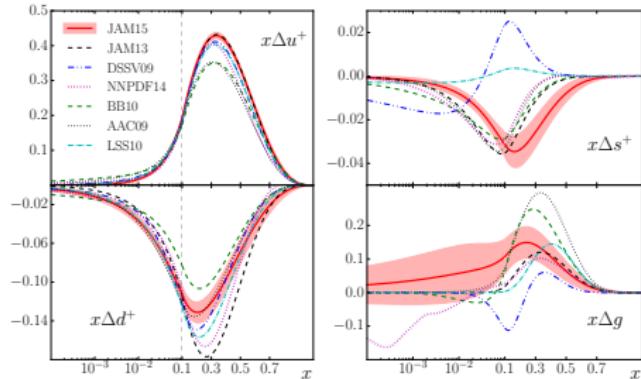
- Significant constraints on  $\Delta s^+$  and  $\Delta g$
- Non zero T3 quark distributions
- T4 contribution to  $g_1$  consistent with zero
- Negative  $\Delta s^+$
- JAM15  $\Delta g$  compatible with recent DSSV fits.

# $d_2$ matrix element



- $d_2(Q^2) \equiv \int_0^1 dx x^2 [2g_1^{\text{T3}}(x, Q^2) + 3g_2^{\text{T3}}(x, Q^2)]$
- $d_2$  is related to “color polarizability” or the “transverse color force” acting on quarks.
- Existing measurements of  $d_2$  are in the resonance region (contains TMC T4 and beyond.)
- Agreement with data indicates quark-hadron duality

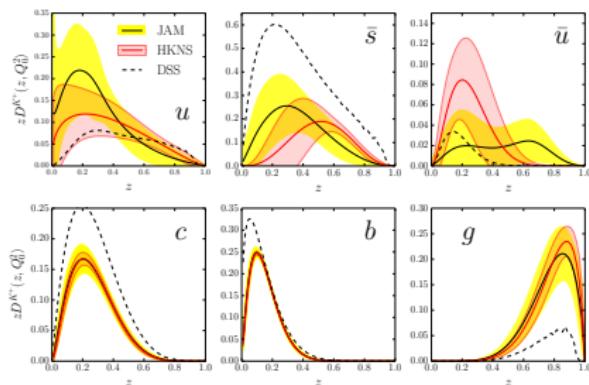
# The strange puzzle



- The sign of  $\Delta S^+$  from combined DIS and SIDIS depends on Kaon fragmentation: positive for DSS and negative for HKNS. (Leader, et al)

**NEW IMC FF analysis  
(to be published soon)**

- Only SIA data is used : npts=245,  $\chi^2 = 305.2$  (identical to HKNS)
- Size of  $\Delta s^+$  similar to HKNS but smaller than DSS
- Combined DIS and SIDIS analysis unlikely to change  $\Delta s^+$



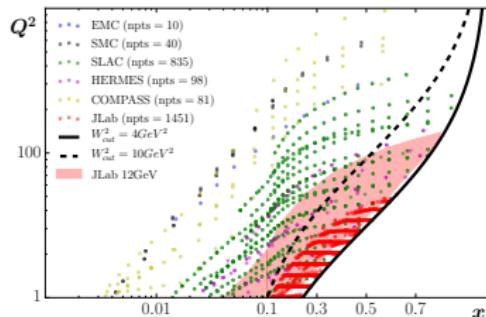
# JAM: Outlook

## JAM

- ✓ New JAM15 analysis to study impact of all JLab 6 GeV inclusive DIS data at low  $W$  and high  $x$
- ✓ New extraction of LT & HT distributions
  - Upcoming JAM16 analysis to study polarization of sea quarks & gluons.
    - SIDIS for flavor separation.
    - polarized  $pp$  cross sections (inclusive jet &  $\pi$  production) for  $\Delta g$
    - $W$  boson asymmetries
    - Threshold resummation impacts on large  $x$
  - Combined analysis of all inclusive (un)polarized DIS data
  - Fits to helicity distributions

## JLab 12

- Measurements at high- $x \rightarrow \Delta q/q$
- Wider coverage in  $Q^2 \rightarrow \Delta g$
- Determination of pure twist-3  $d_2$  in DIS



# Backup

# Mellin trick

**Curse of dimensionality** → Mellin trick (Stratmann, Vogelsang)

$$\begin{aligned} I(x) &= \int_x^1 \frac{dy}{y} f(y) \int_y^1 \frac{dz}{z} g\left(\frac{x}{yz}\right) \quad \leftarrow \quad g(\xi) = \frac{1}{2\pi i} \int dN \xi^{-N} g_N \\ &= \frac{1}{2\pi i} \int dN g_N \left[ \int_x^1 \frac{dy}{y} f(y) \int_y^1 \frac{dz}{z} \left(\frac{x}{yz}\right)^{-N} \right] \\ &= \frac{1}{2\pi i} \int dN g_N \mathcal{M}_N \\ &= \sum_{i,k} w_i^k j^k \text{Im} \left( e^{i\phi} g_{N_j^k} \mathcal{M}_{N_j^k} \right) \quad \leftarrow \quad \text{Gaussian quadrature} \end{aligned}$$

- Time consuming part can be precalculated prior to the fit
- Extensible to higher dimensional integrals.
- A single fit that takes about 4 days → ≈ 20 mins

# Polarized DIS

$$\rightarrow \xi = \frac{2x}{1+(1+4\mu^2 x^2)^{1/2}}$$

$$\rightarrow \mu^2 = M^2/Q^2$$

## Leading twist structure functions:

$$g_1^{\text{LT+TMC}}(x, Q^2) = \frac{x}{\xi} \frac{g_1^{\text{LT}}(\xi)}{(1+4\mu^2 x^2)^{3/2}} + 4\mu^2 x^2 \frac{x+\xi}{\xi(1+4\mu^2 x^2)^2} \int_{\xi}^1 \frac{dz}{z} g_1^{\text{LT}}(z)$$

$$- 4\mu^2 x^2 \frac{2-4\mu^2 x^2}{2(1+4\mu^2 x^2)^{5/2}} \int_{\xi}^1 \frac{dz}{z} \int_{z'}^1 \frac{dz'}{z'} g_1^{\text{LT}}(z')$$

$$g_2^{\text{LT+TMC}}(x, Q^2) = -\frac{x}{\xi} \frac{g_1^{\text{LT}}(\xi)}{(1+4\mu^2 x^2)^{3/2}} + \frac{x}{\xi} \frac{(1-4\mu^2 x \xi)}{(1+4\mu^2 x^2)^2} \int_{\xi}^1 \frac{dz}{z} g_1^{\text{LT}}(z)$$

$$+ \frac{3}{2} \frac{4\mu^2 x^2}{(1+4\mu^2 x^2)^{5/2}} \int_{\xi}^1 \frac{dz}{z} \int_{z'}^1 \frac{dz'}{z'} g_1^{\text{LT}}(z')$$

In the Bjorken limit ( $Q^2 \rightarrow \infty$ ):

$$g_1^{\text{LT+TMC}}(x, Q^2) \simeq g_1^{\text{LT}}(x), \quad g_2^{\text{LT+TMC}}(x, Q^2) \simeq -g_1^{\text{LT}}(x) + \int_{\xi}^1 \frac{dz}{z} g_1^{\text{LT}}(z)$$

## Leading twist quark distributions:

$$g_1^{\text{LT}}(x) = \frac{1}{2} \sum_q e_q^2 [\Delta C_{qq} \otimes \Delta q(x) + \Delta C_{qg} \otimes \Delta g(x)]$$

# Polarized DIS

## Twist-3 structure functions:

$$g_1^{\text{T3+TMC}}(x, Q^2) = 4\mu^2 x^2 \frac{D(\xi)}{(1 + 4\mu^2 x^2)^{3/2}} - 4\mu^2 x^2 \frac{3}{(1 + 4\mu^2 x^2)^2} \int_{\xi}^1 \frac{dz}{z} D(z)$$
$$+ 4\mu^2 x^2 \frac{2 - 4\mu^2 x^2}{(1 + 4\mu^2 x^2)^{5/2}} \int_{\xi}^1 \frac{dz}{z} \int_{z'}^1 \frac{dz'}{z'} D(z')$$
$$g_2^{\text{T3+TMC}}(x, Q^2) = \frac{D(\xi)}{(1 + 4\mu^2 x^2)^{3/2}} - \frac{1 - 8\mu^2 x^2}{(1 + 4\mu^2 x^2)^2} \int_{\xi}^1 \frac{dz}{z} D(z)$$
$$- \frac{12\mu^2 x^2}{(1 + 4\mu^2 x^2)^{5/2}} \int_{\xi}^1 \frac{dz}{z} \int_{z'}^1 \frac{dz'}{z'} D(z')$$

Bjorken limit ( $Q^2 \rightarrow \infty$ ):

$$g_1^{\text{T3+TMC}}(x, Q^2) \simeq 0 \quad g_2^{\text{T3+TMC}}(x, Q^2) \simeq D(x) - \int_{\xi}^1 \frac{dz}{z} D(z)$$

## Twist-3 quark distributions:

$$D(x, Q^2) = \frac{4}{9} D_u(x, Q^2) + \frac{1}{9} D_d(x, Q^2)$$

# Polarized DIS

**Twist-4 structure function (Nucleon d.o.f.):**

$$g_1^{\text{T4(p,n)}}(x, Q^2) = H^{(p,n)}(x)/Q^2$$

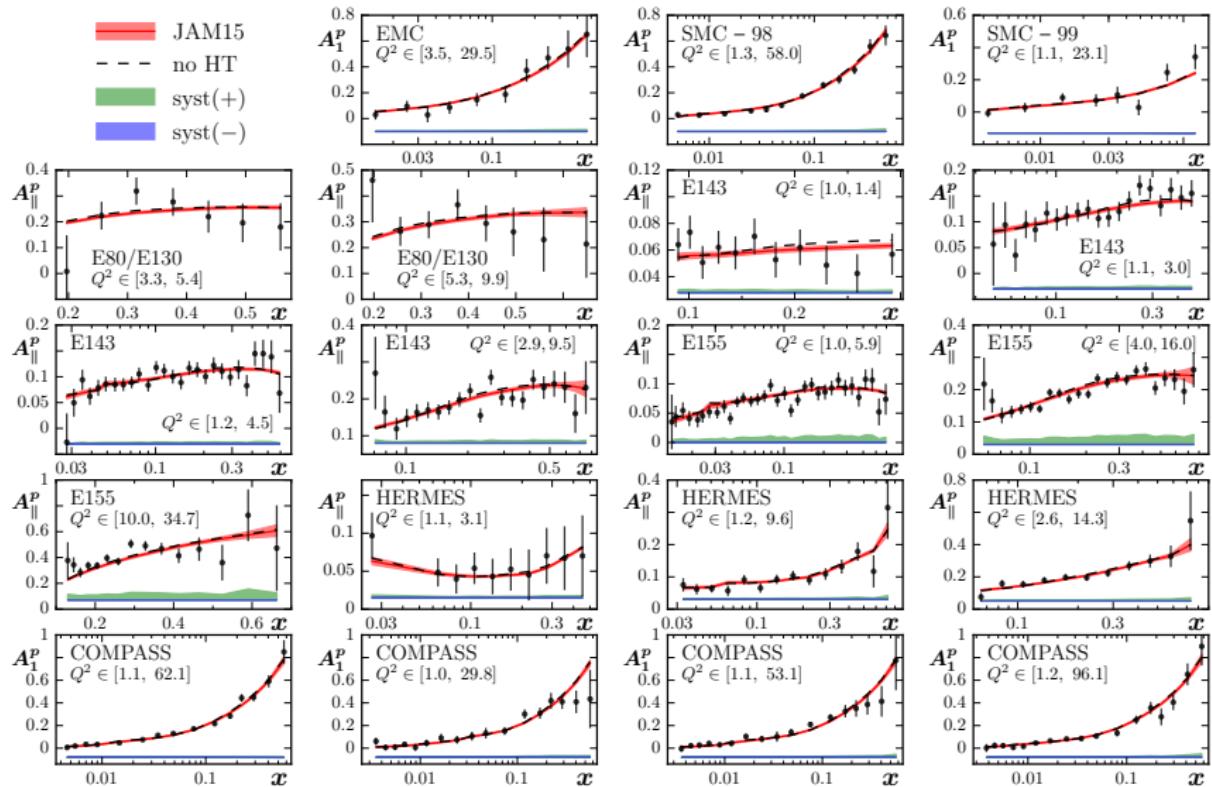
**Nuclear corrections:** → nuclear smearing functions

$$g_i^A(x, Q^2) = \sum_N \int \frac{dy}{y} f_{ij}^N(y, \gamma) g_j^N(x/y, Q^2)$$

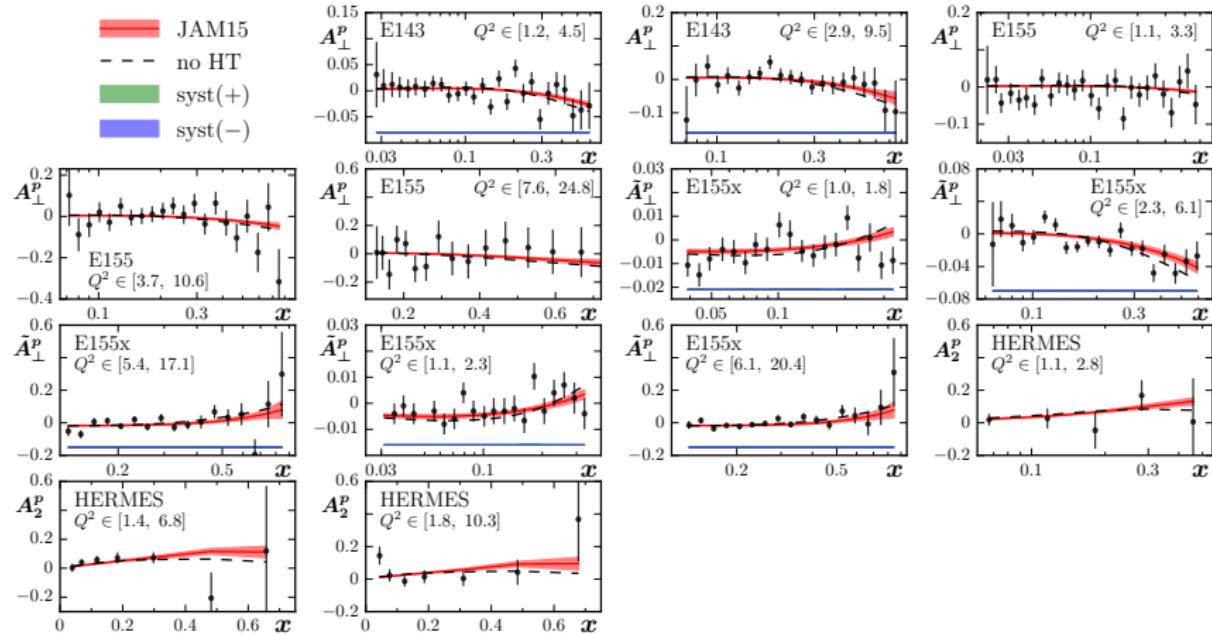
**Addition constraints:** → weak neutron and hyperon decay constants

- $\Delta u^{+(1)} - \Delta d^{+(1)} = F + D = 1.269(3)$
- $\Delta u^{+(1)} + \Delta d^{+(1)} - 2\Delta s^{+(1)} = 3F - D = 0.586(31)$

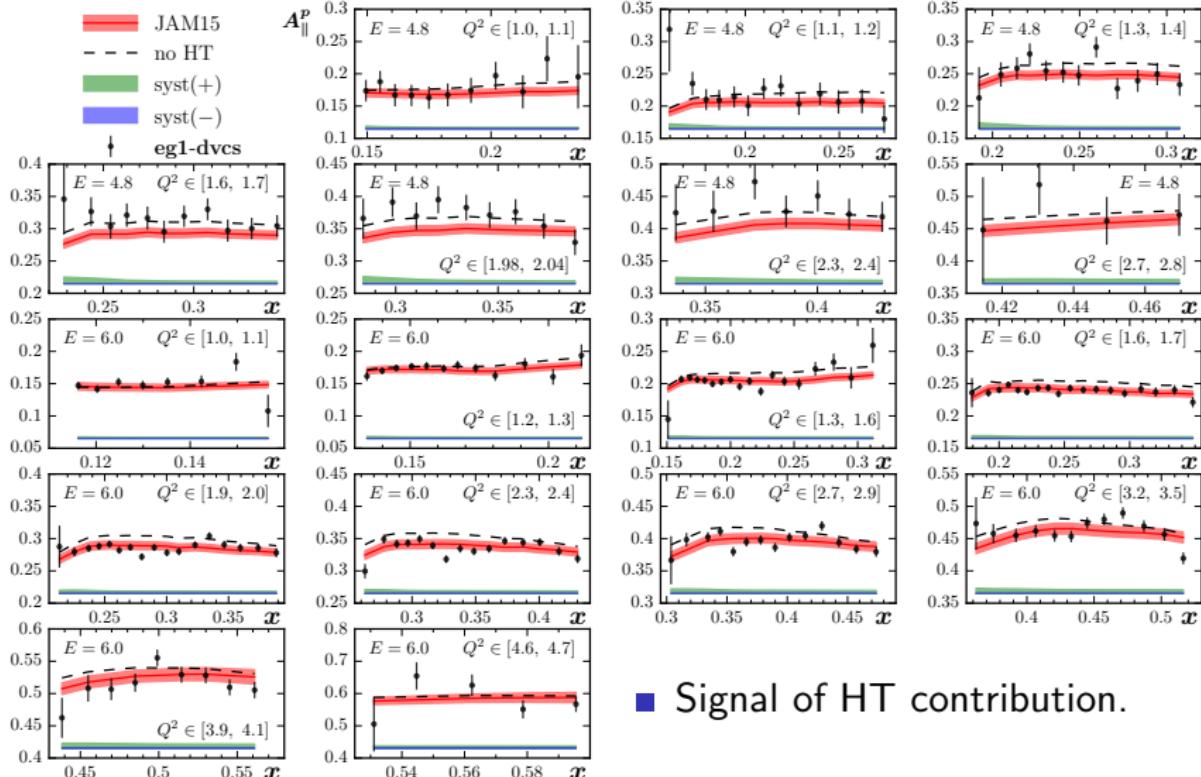
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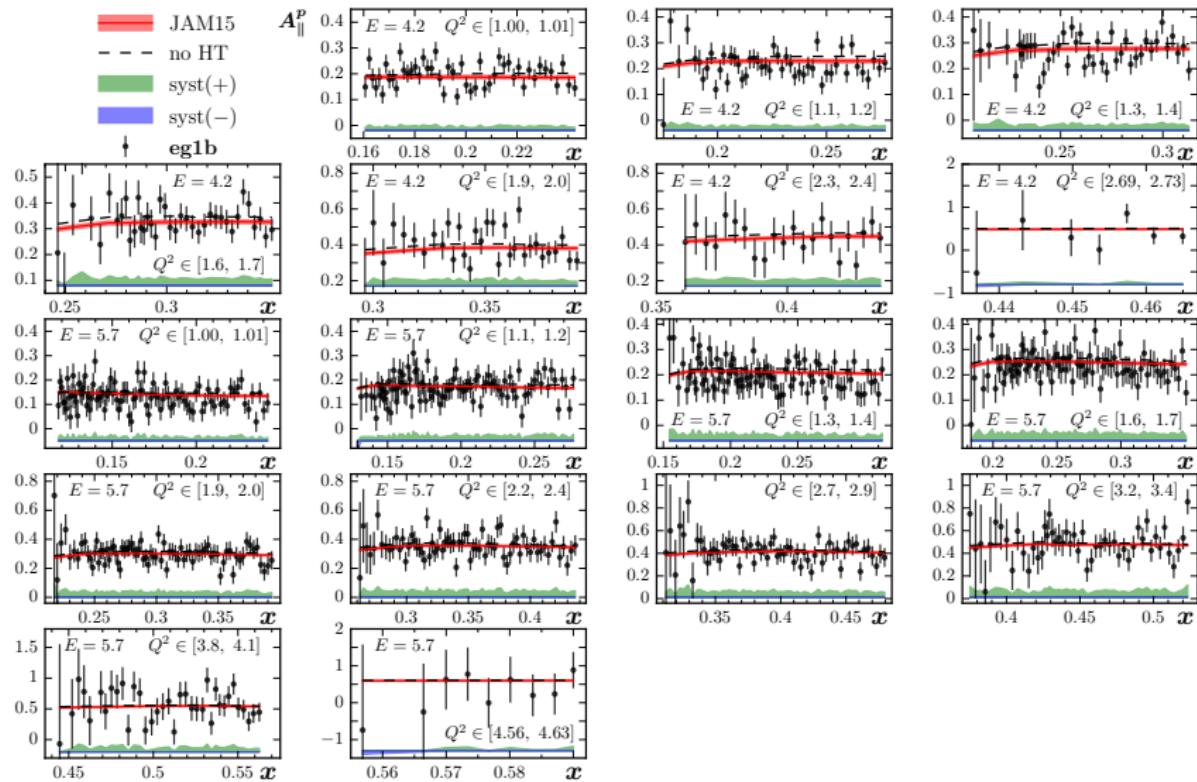


# Data vs theory: proton JLab eg1b-dvcs



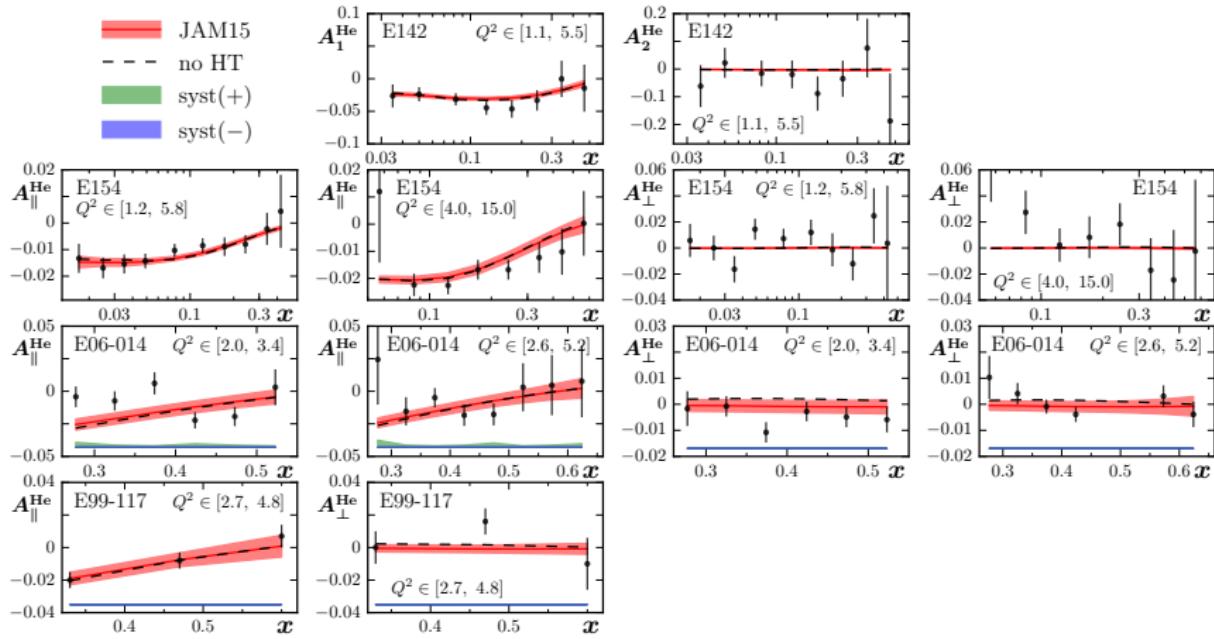
■ Signal of HT contribution.

# Data vs theory: proton JLab eg1b



# Data vs theory: ${}^3\text{He}$

- JAM15
- no HT
- syst(+)
- syst(-)



# JAM: moments

