

Low-x and Diffraction

L. Favart

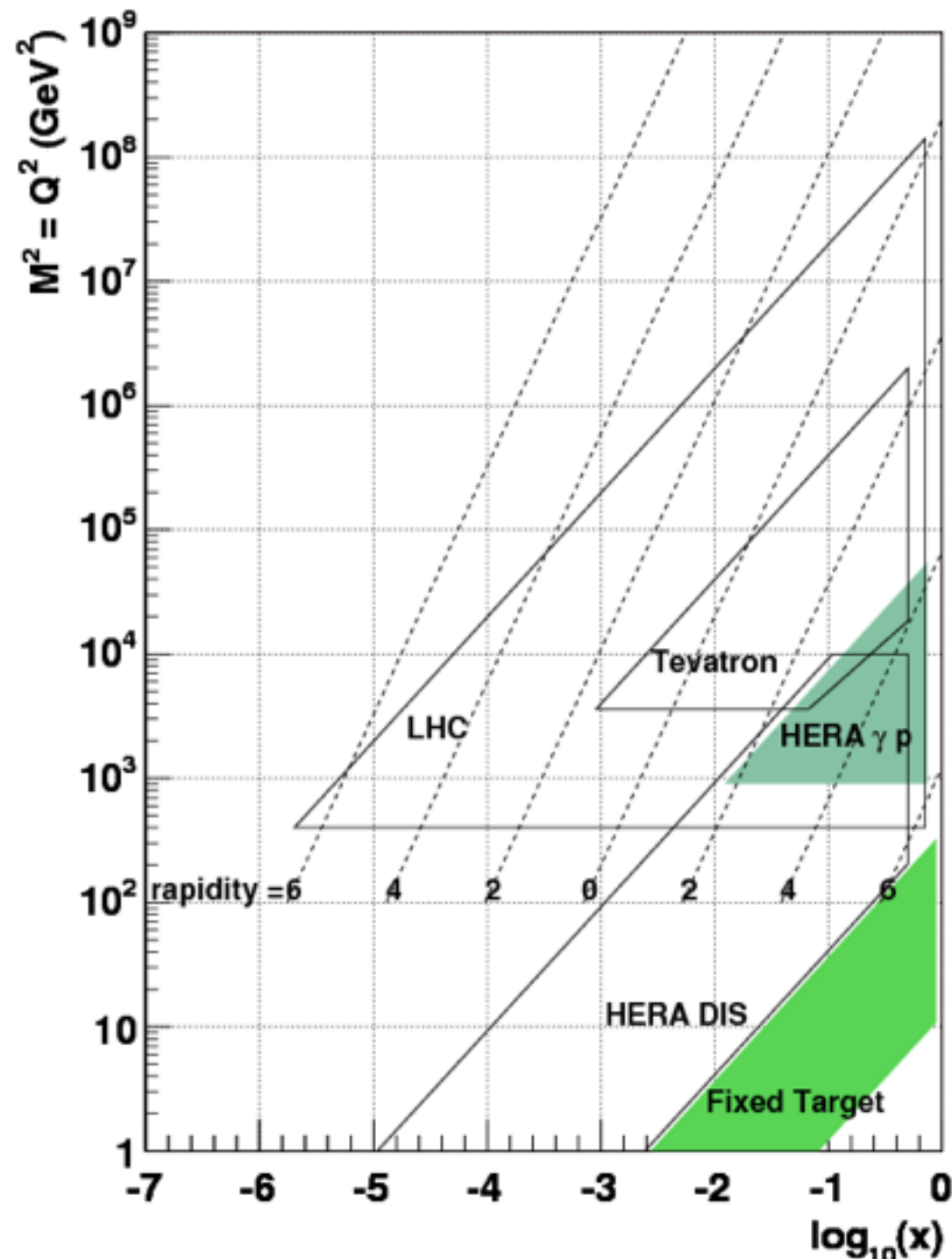
Université Libre de Bruxelles

DIS16

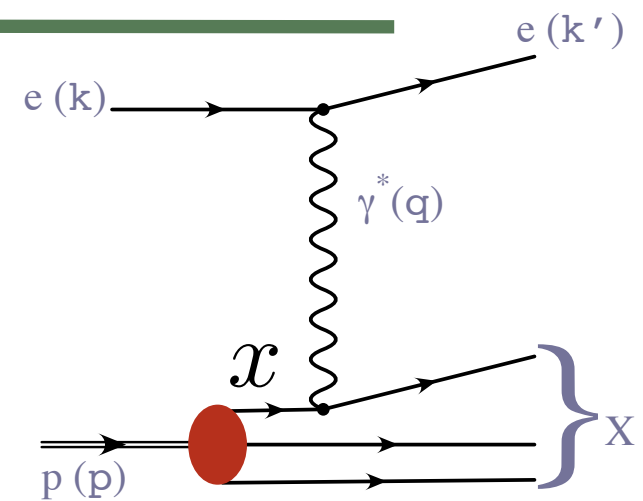
Hamburg 11-15 April 2016



DIS: kinematics



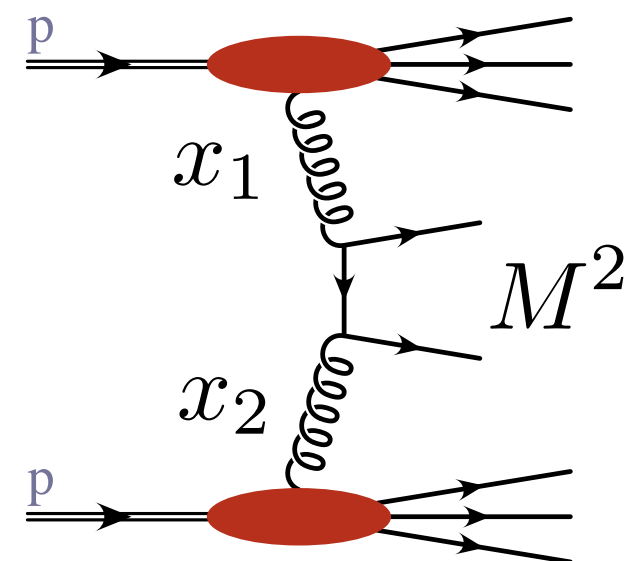
HERA



$$Q^2 = sxy$$

$$x \in [0, 1] \quad y \in [0, 1]$$

LHC
Tevatron

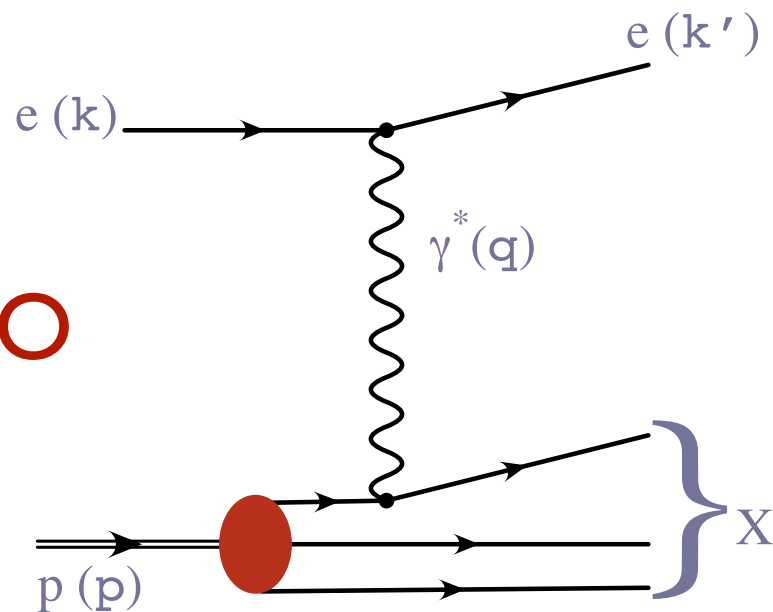


$$\text{rapidity } Y: \quad x_{1,2} = \frac{M}{\sqrt{S}} e^{\pm Y}$$

low x physics \approx forward physics

DIS: dynamics in collinear factorisation

LO



Usually in infinite momentum frame:

- p : made of partons carrying a fraction of the p longitudinal (large) momentum

\Rightarrow **access to quark densities**

- interaction between the γ^* and a parton over short time

\Rightarrow **factorisation**

$$\frac{d^2\sigma}{dx dQ^2} \simeq \frac{2\pi\alpha^2}{x Q^4} (1 + (1-y)^2) F_2(x, Q^2)$$

$$F_2(x, Q^2) = \sum_{quarks} e_q^2 x (q + \bar{q})$$

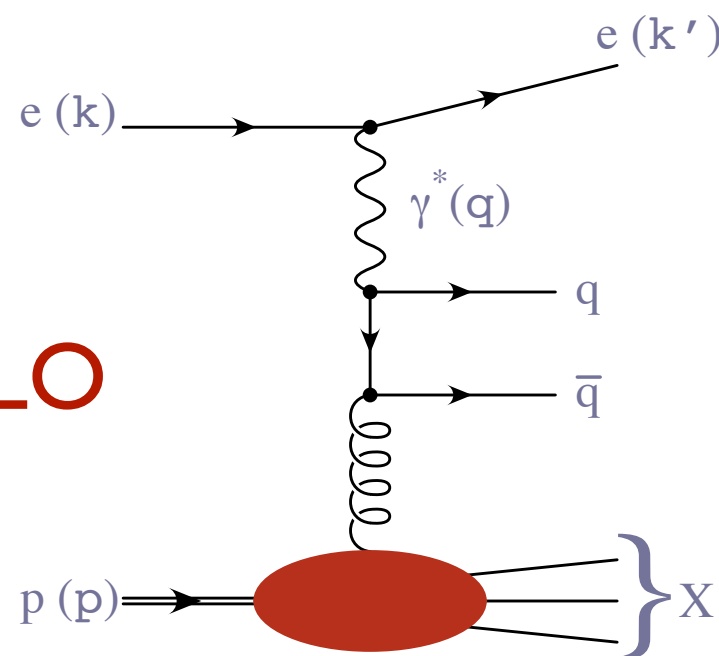
at NLO (α_s order):

- DGLAP evolution in $\log(Q^2)$

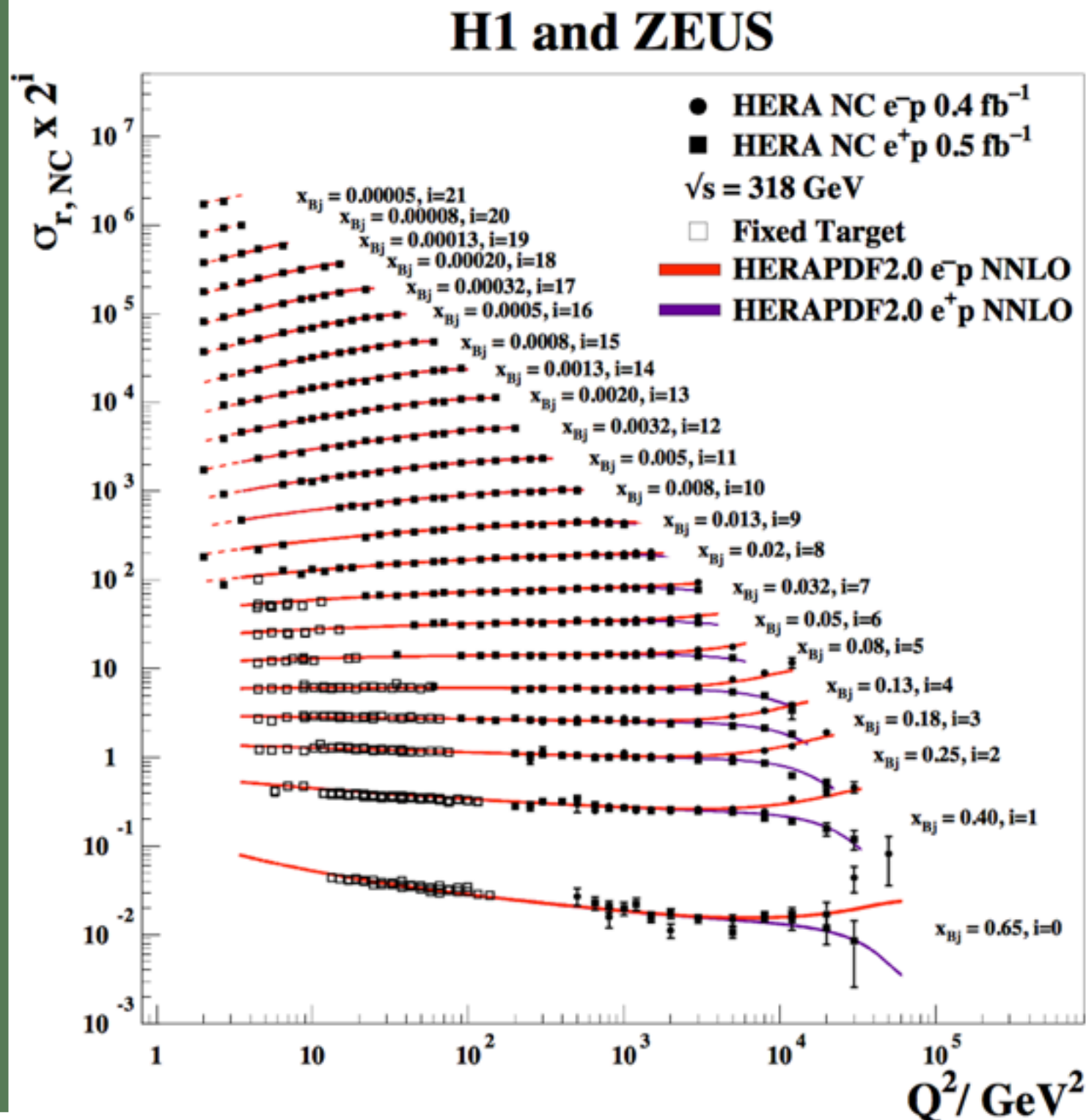
\Rightarrow **access to gluon densities**

\Rightarrow **non-perturbative physics parametrised in PDFs**

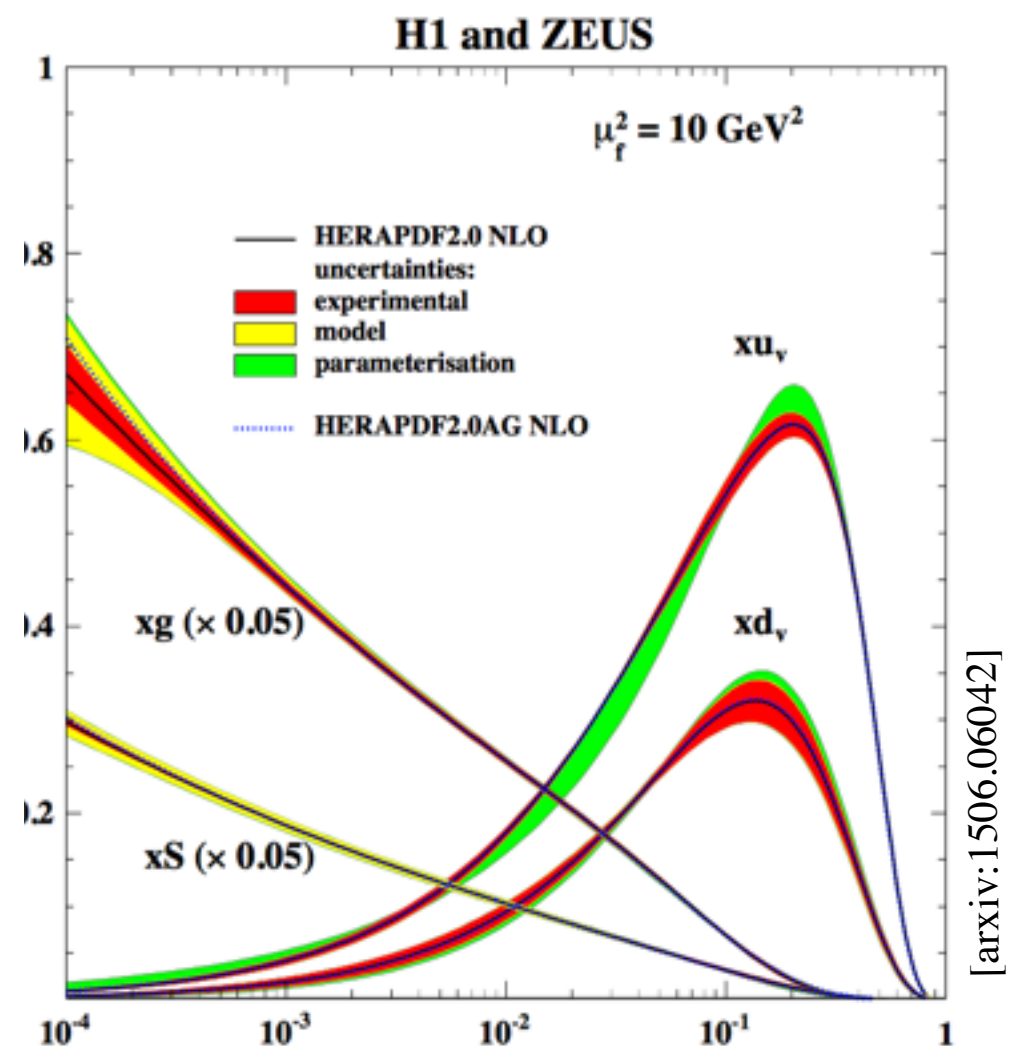
NLO



DIS: collinear factorisation - PDFs



From HERA (and other) cross section measurements, using DGLAP eq.
 \Rightarrow extraction of quark and gluon densities (PDFs)



\Rightarrow High gluon (and sea) densities at low- x

DGLAP

Integro(x)–differential(Q^2) eq. for **integrated** gluon dist. g :

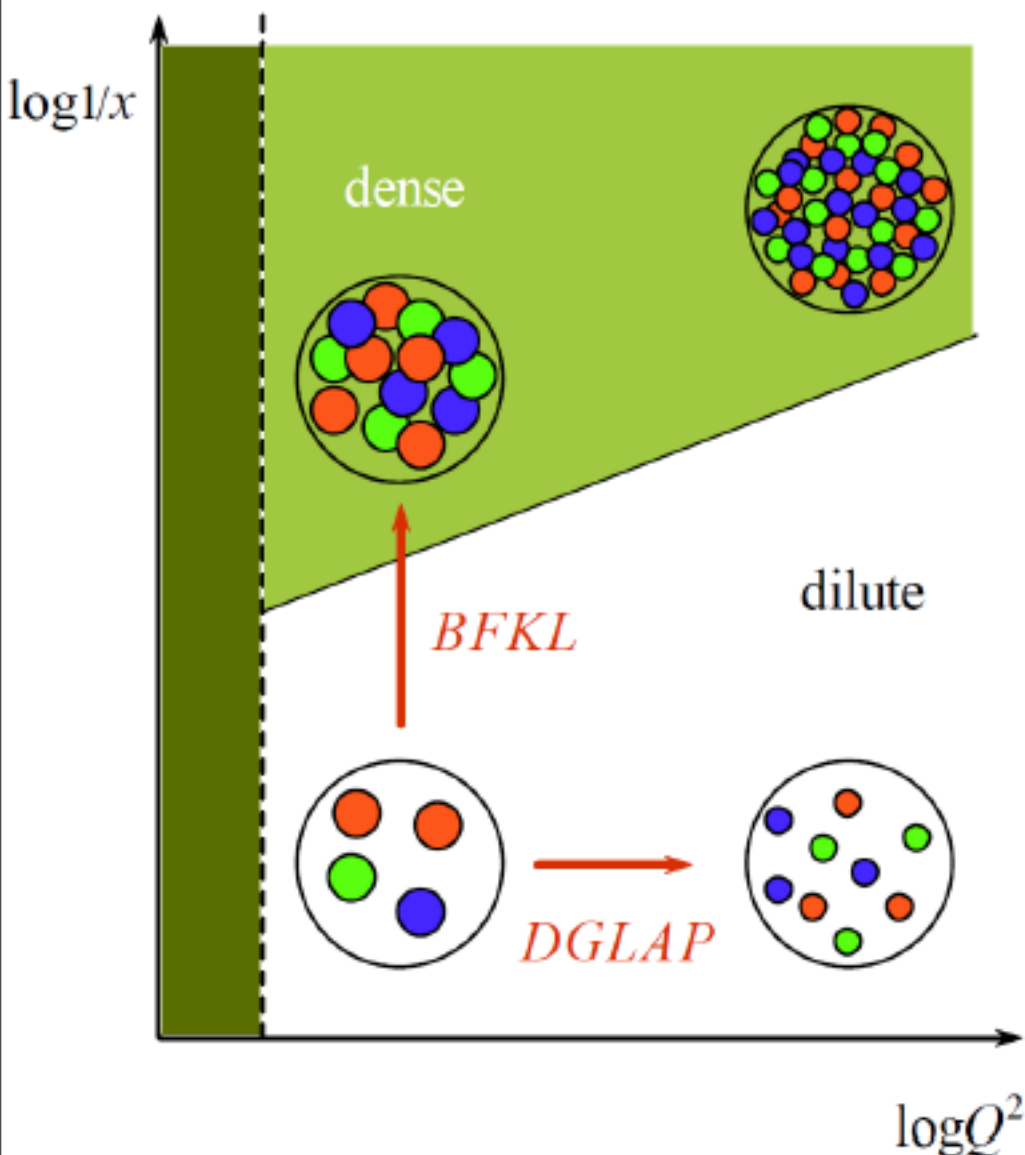
$$\frac{dg(x, Q^2)}{d \ln Q^2} \sim \alpha_S \int \frac{dz}{z} P_{gg}\left(\frac{x}{z}\right) g(z, Q^2)$$

BFKL

Integro(k)–differential(x) eq. for **unintegrated** gluon dist. G :

$$\frac{dG(x, k^2)}{d \ln 1/x} \sim \alpha_S \int \frac{dk'^2}{k'^2} K\left(\frac{k}{k'}\right) G(x, k'^2)$$

$$g(x, Q^2) = \int^Q d^2k G(x, k^2)$$



Both eq. relate \perp and longitudinal structures

- given long. struc. DGLAP gives the \perp structure evol.
- given the \perp struct. BFKL gives you the long. evol.

If all orders are computed, they should be equivalent (*)

If not: **DGLAP** valid at medium-large x , large Q^2

BFKL valid at low x , medium Q^2

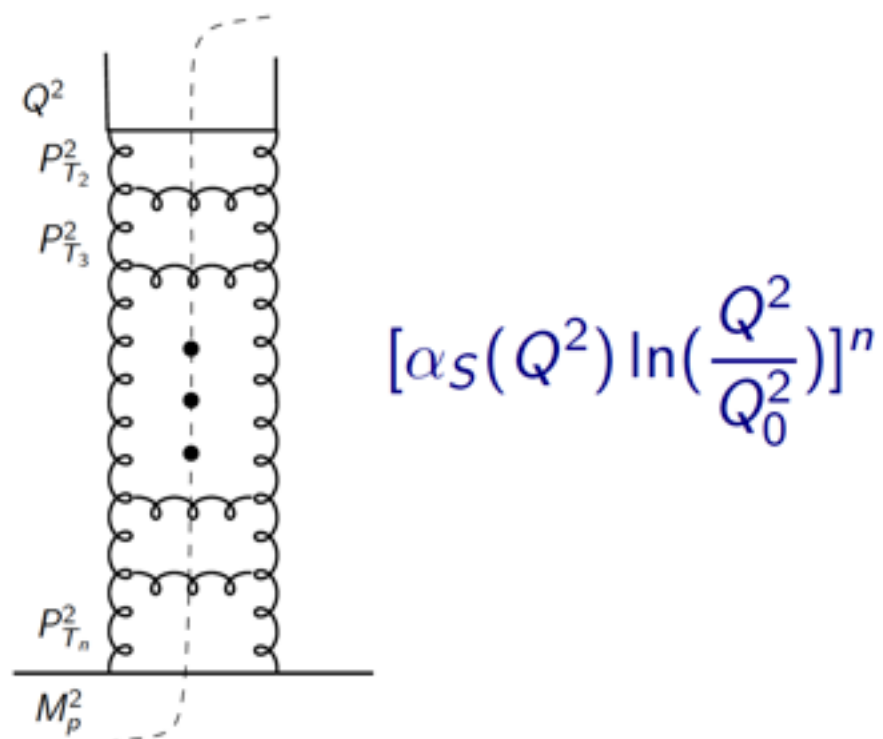
(*) if higher twists can be neglected

DGLAP

Integro(x)–differential(Q^2) eq. for **integrated** gluon dist. g :

$$\frac{dg(x, Q^2)}{d \ln Q^2} \sim \alpha_S \int \frac{dz}{z} P_{gg}\left(\frac{x}{z}\right) g(z, Q^2)$$

Evolution equations \Rightarrow gluon ladder diagrams (at cross section level)



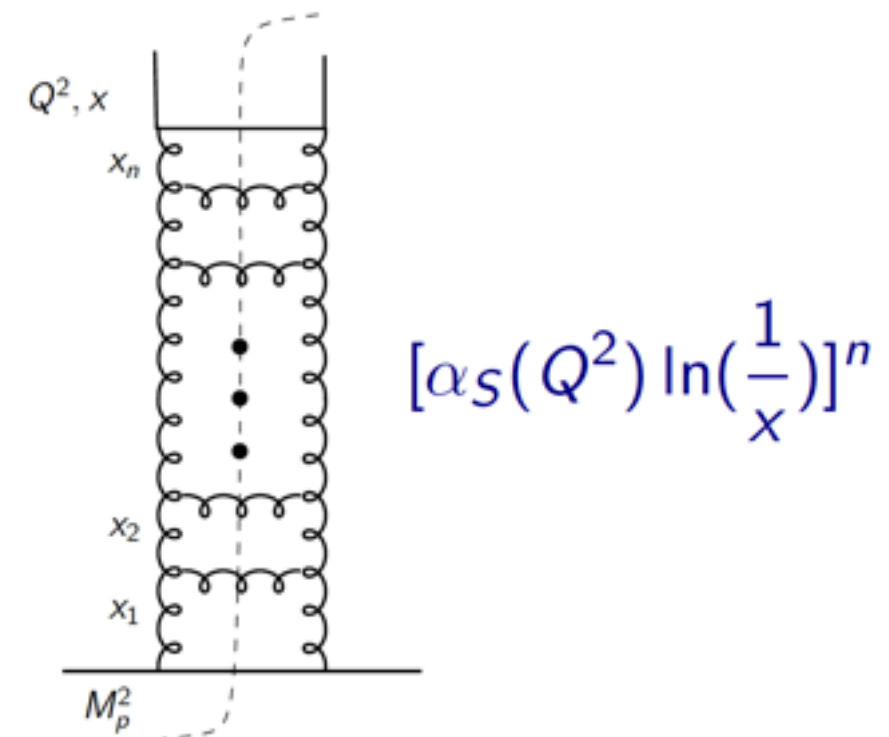
$$Q^2 \simeq p_{T_1}^2 \gg p_{T_2}^2 \gg p_{T_3}^2 \gg \dots$$

strong ordering

BFKL

Integro(k)–differential(x) eq. for **unintegrated** gluon dist. G :

$$\frac{dG(x, k^2)}{d \ln 1/x} \sim \alpha_S \int \frac{dk'^2}{k'^2} K\left(\frac{k}{k'}\right) G(x, k'^2)$$



$$x_1 \gg x_2 \gg x_3 \gg \dots \gg x$$

strong ordering

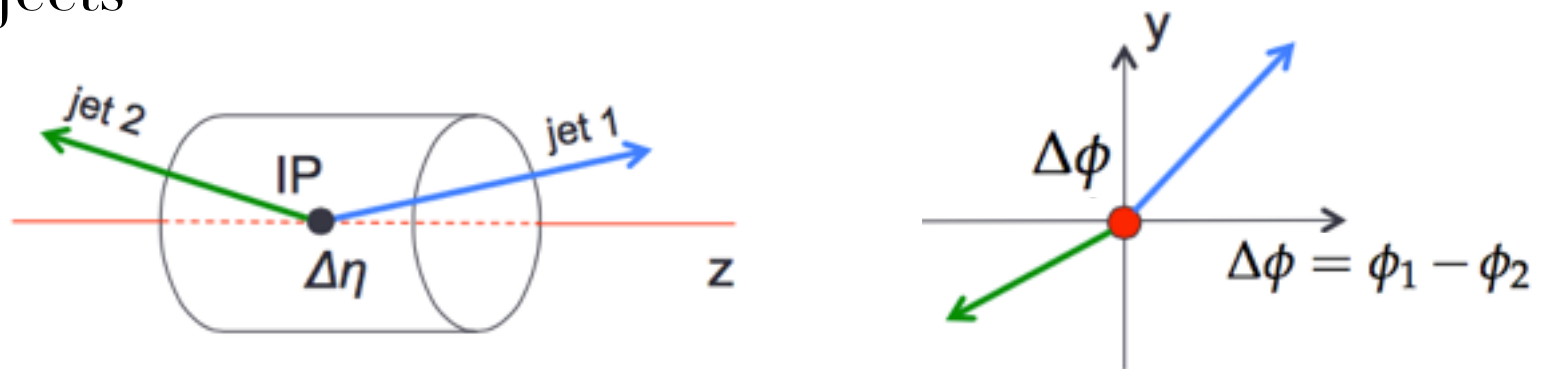
BFKL: applicability window

- We know the fantastic success of DGLAP

To demonstrate the need of BFKL evolution at low x , several **observables** are studied which **enhance BFKL** w.r.t. DGLAP

- enhance BFKL \Rightarrow **separated objects** (jet, particle) by a large rapidity
- suppress DGLAP \Rightarrow **similar p_T** objects

Mueller-Navelet jets



$$\frac{1}{\sigma} \frac{d\sigma}{d(\Delta\phi)}(\Delta y, p_{Tmin}) = \frac{1}{2\pi} \left[1 + 2 \sum_{n=1}^{\infty} C_n(\Delta y, p_{Tmin}) \cos(n(\pi - \Delta\phi)) \right]$$

where Fourier coefficients correspond to average cosines of decorrelation :

$$C_n(\Delta y, p_{Tmin}) = \langle \cos(n(\pi - \Delta\phi)) \rangle$$

- for back-to-back jets: $\langle \cos \rangle = 1$
- BFKL dynamics enhance cross section at low $\langle \cos \rangle$

BFKL: applicability window

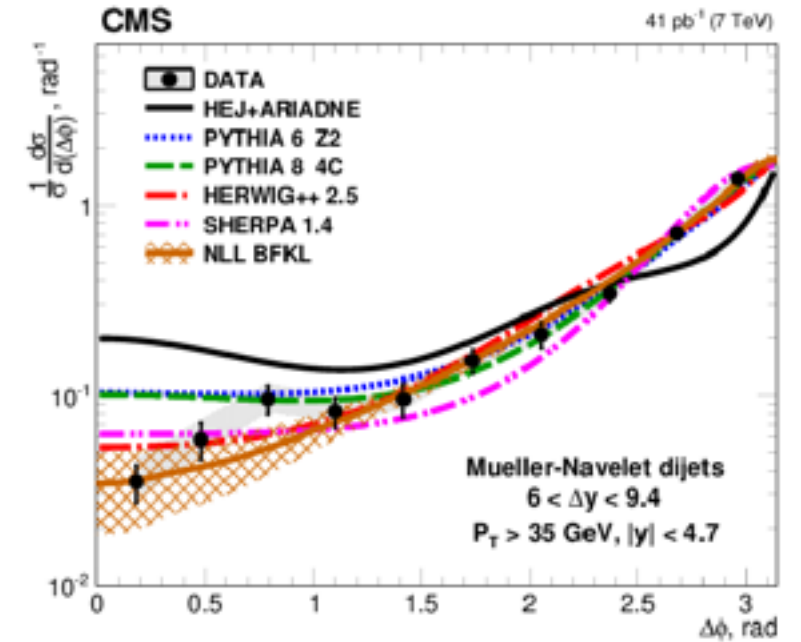
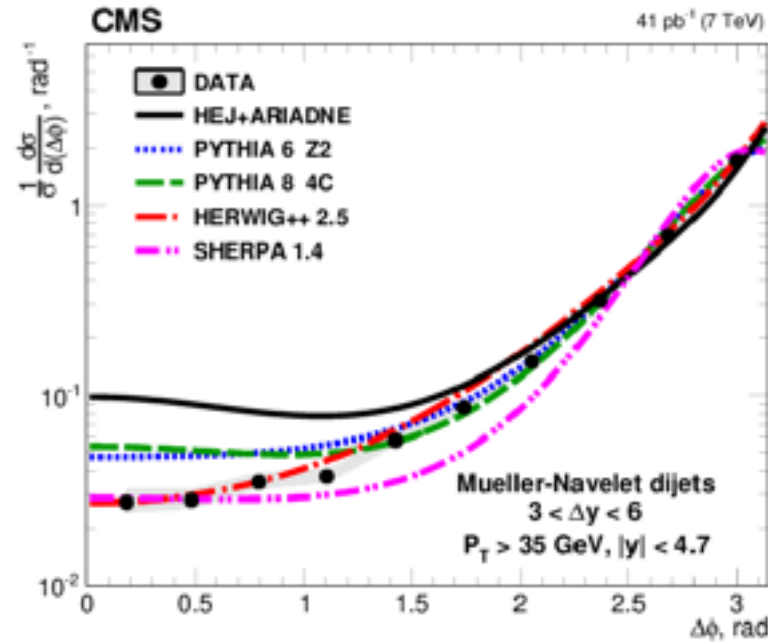
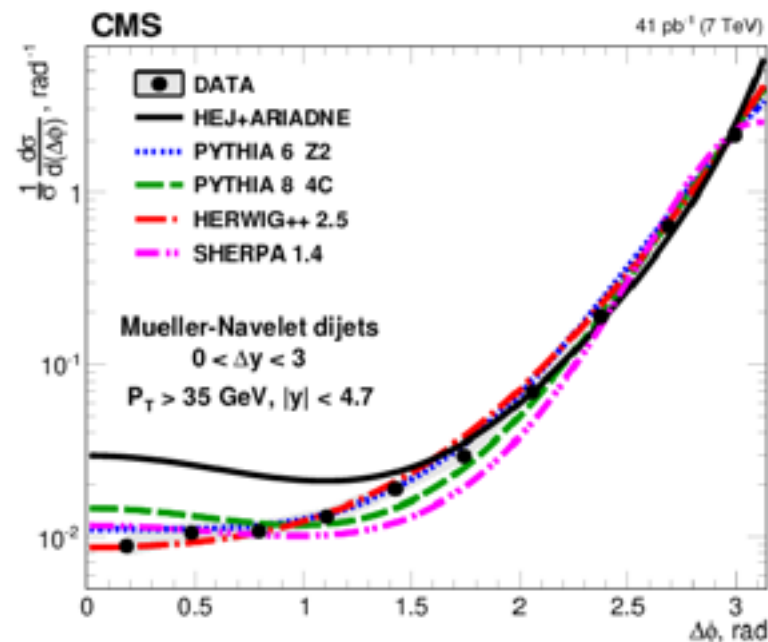
talk WG2 Wed PM:
Gabor Veres

Mueller-Navelet jets: CMS measurement at 7 TeV [[arXiv:1601.06713](https://arxiv.org/abs/1601.06713)]

$$\Delta y < 3$$

$$3 < \Delta y < 6$$

$$6 < \Delta y < 9.4$$

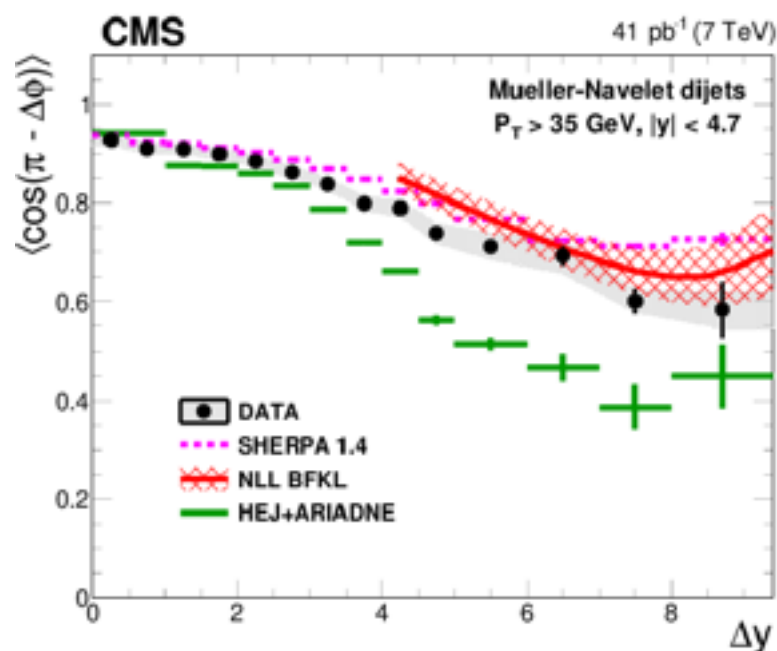


- the decorrelation increases with the rapidity interval
- DGLAP models (**HERWIG**, **PYTHIA**, **SHERPA**) give reasonable description
- BFKL inspired MC (HEJ) overestimates the decorrelation
- **NLL BFKL** (*) prediction describes well the data at large rapidity interval

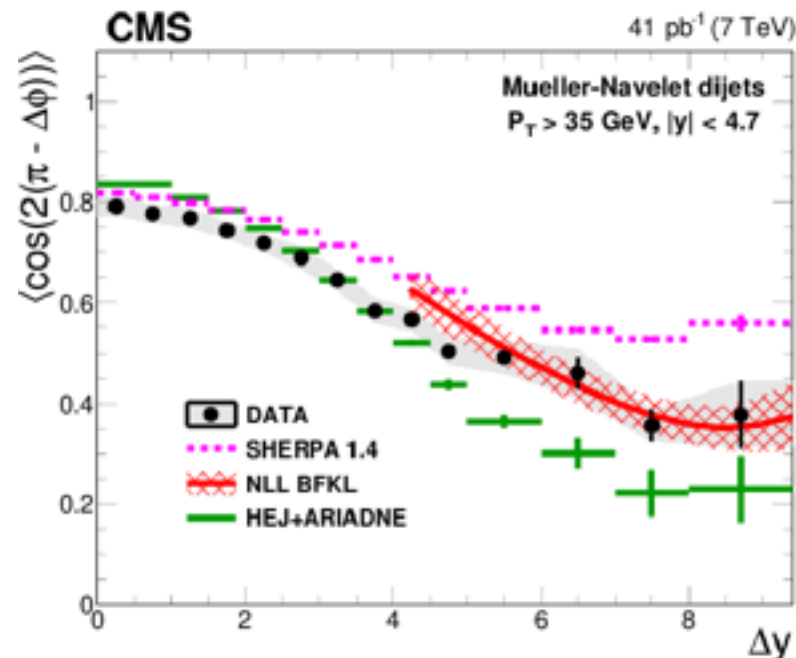
(*) B. Ducloué, S.Szymanowski and S. Wallon (2013)

BFKL: applicability window

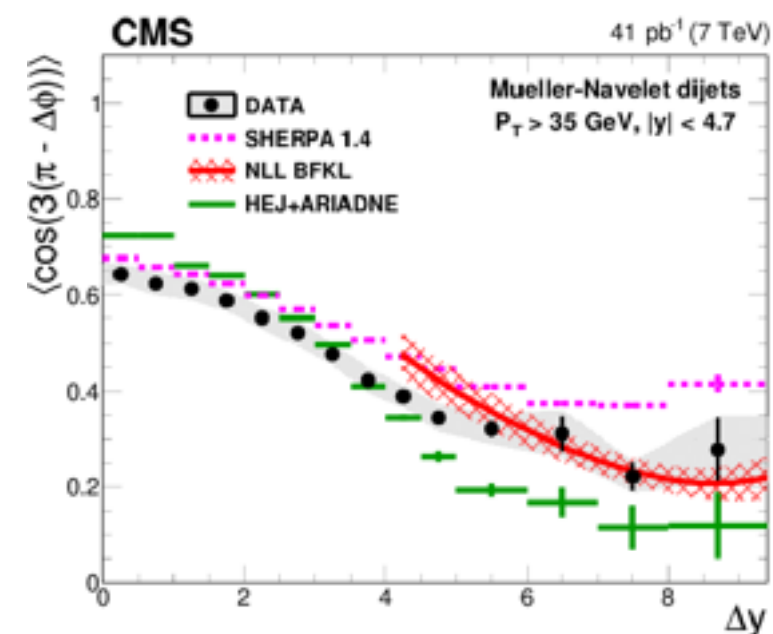
$$\langle \cos(\pi - \Delta\phi) \rangle$$



$$\langle \cos 2(\pi - \Delta\phi) \rangle$$



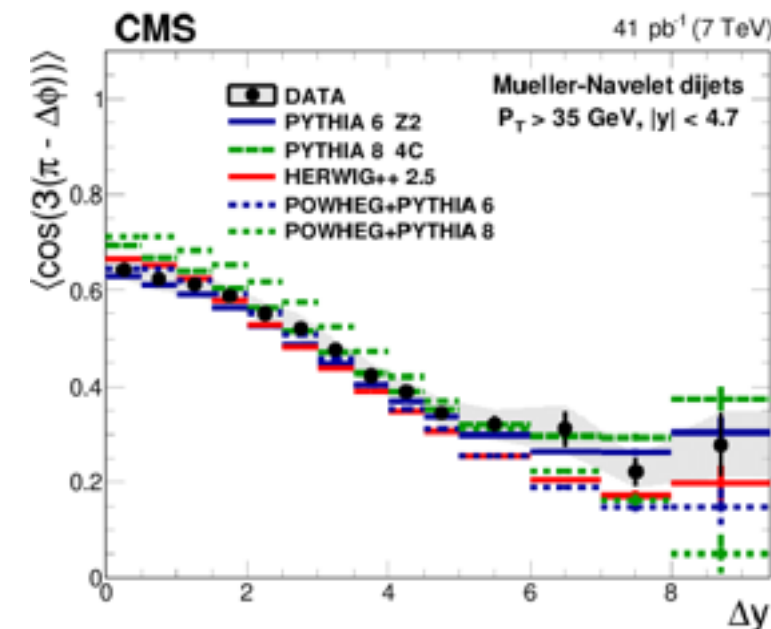
$$\langle \cos 3(\pi - \Delta\phi) \rangle$$



DGLAP-based approach **HERWIG** satisfactory in general

- including NLO ME does not improve the description
- including MPI does not neither

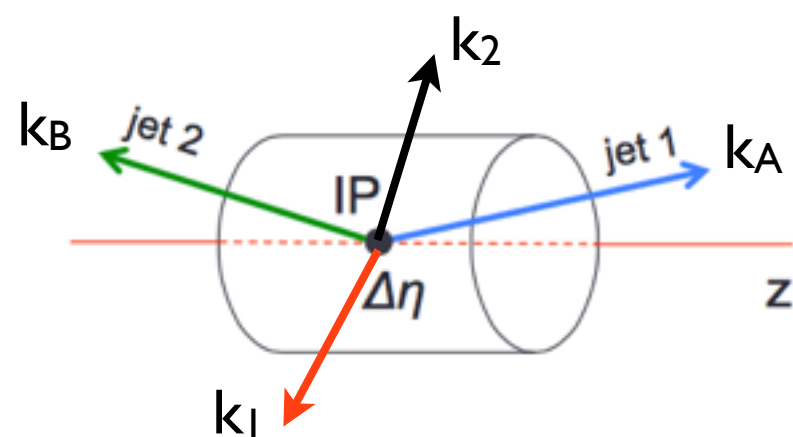
NLL BFKL prediction describes well the data at large rapidity interval of the Fourier coefficients 2 and 3.



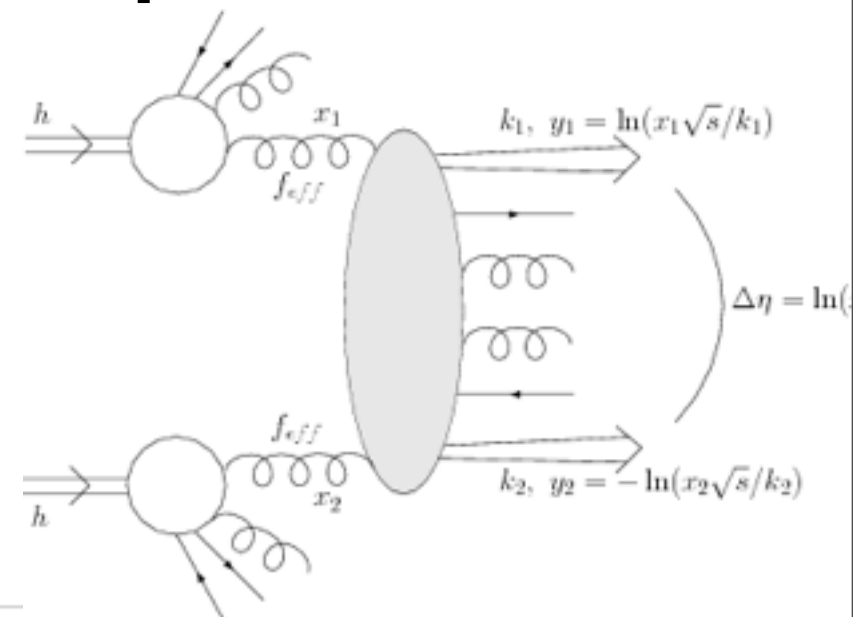
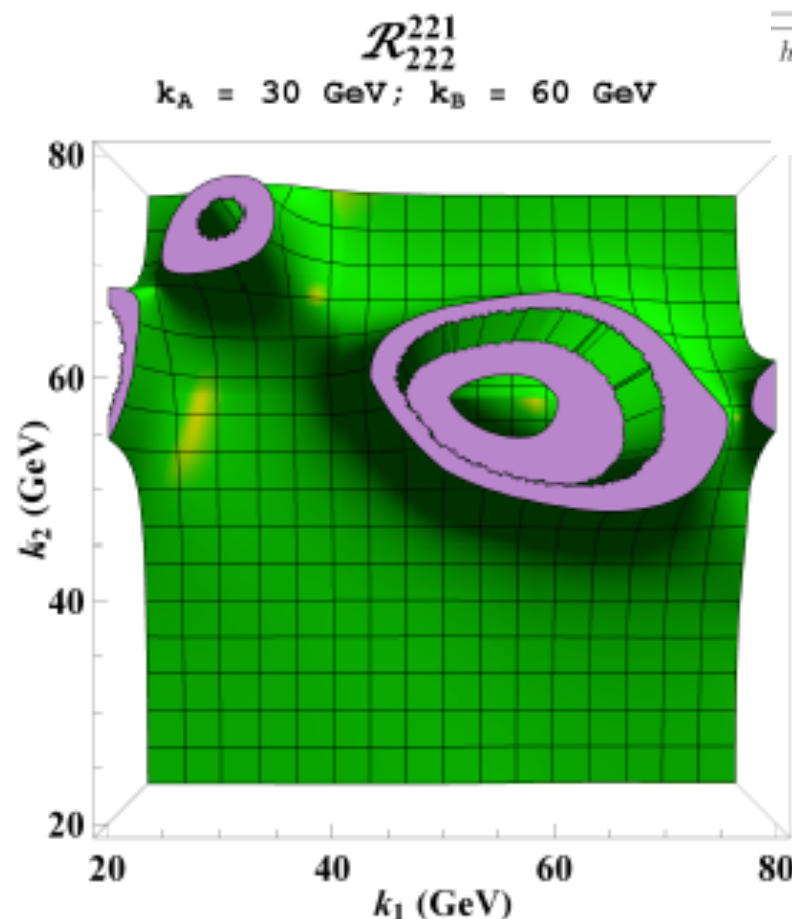
BFKL: applicability window

- Mueller-Navelet jets the LHC [2 talks: F. Celiberto & G.Chachamis]
- J/ Ψ and one jet [R.Boussarie]
- BFKLex: MC in multi-Regge* limit [G.Chachamis]
- 4 jets - LHC 2fwd/bwd + 2 central [F. Celiberto]

related theory talks
WG5 Tue PM



dependences on the transverse momenta and rapidity of the two central jets can be a distinct signal of the onset of BFKL dynamics



(*) multi-Regge limit: keeping only LL in BFKL \Rightarrow strong ordering in rapidity

Low-x related experimental results

7
WG5 related
exp. talks
Thu AM

- ATLAS: tot, el. and inelastic cross sections 7 & 13 TeV [M. Trzebinski]
- ATLAS: very low pt charged particle distribution [W. Lukas]
- CMS inelastic cross section at 13 TeV [H. Van Haevermaet]
- CMS: very fwd energy distr. and jets [A. Van Spilbeek]
- CMS: fwd energy density [I. Katkov]
- CMS: charged part. distrib. for ND, SD, DD at 13 TeV [J. Lujando]
- TOTEM-CMS: combined results [S. Sen]

Gluon saturation

- What happens at even lower x ?
- Evolution with Q^2 or x : cascade of gluons
- At some point the density of gluon is so large
 \Rightarrow recombine \Rightarrow saturate
 \Rightarrow nonlinear interactions

\Rightarrow BK (Balitsky-Kovchegov) equation

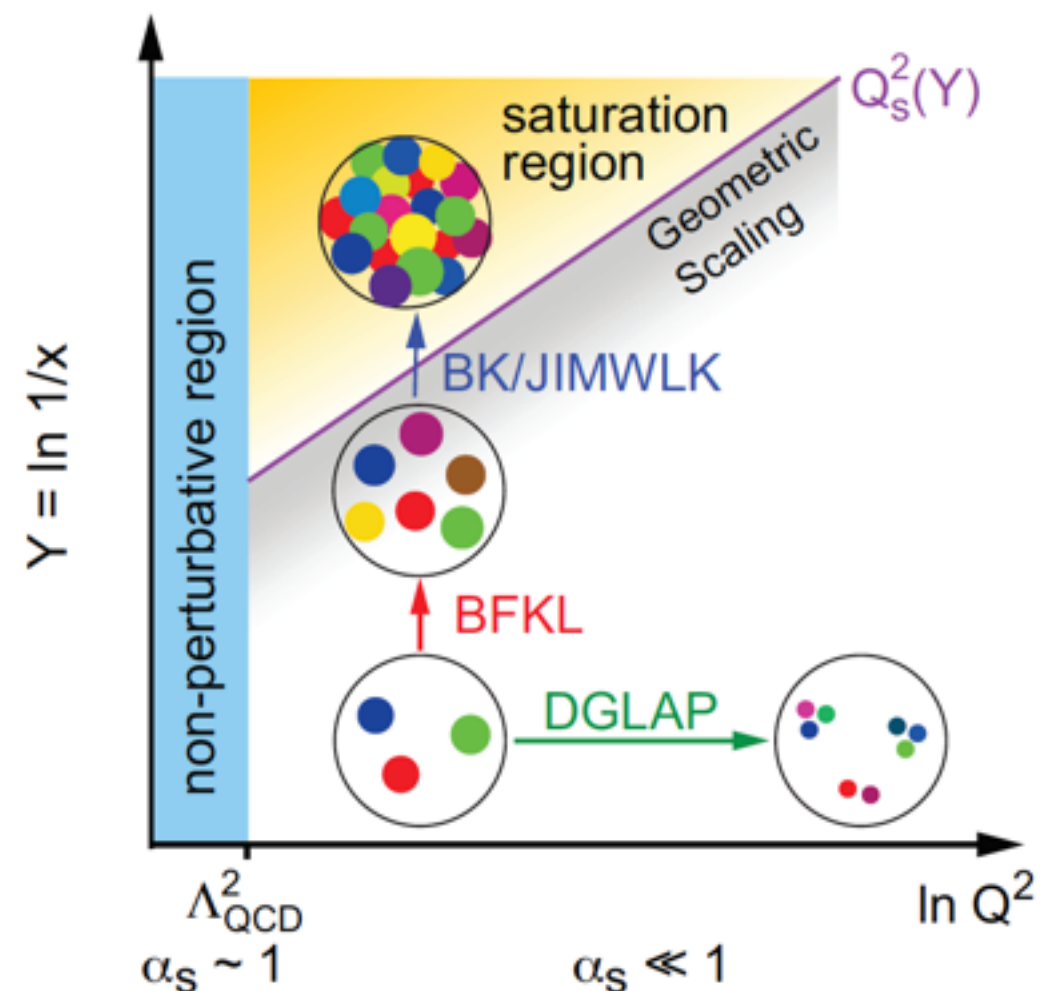
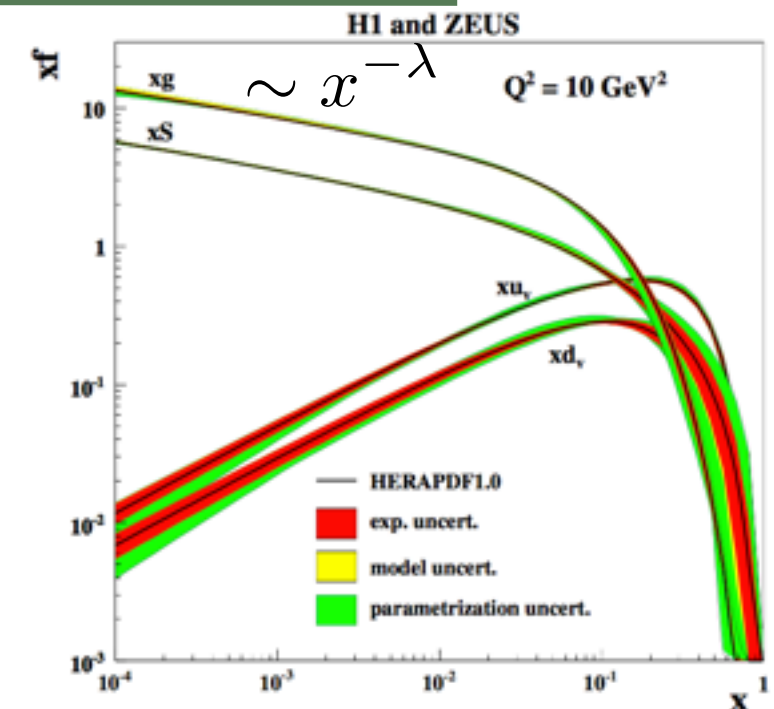
& JIMWLK evol. of multiparton correlators

- When saturation? Depends on:

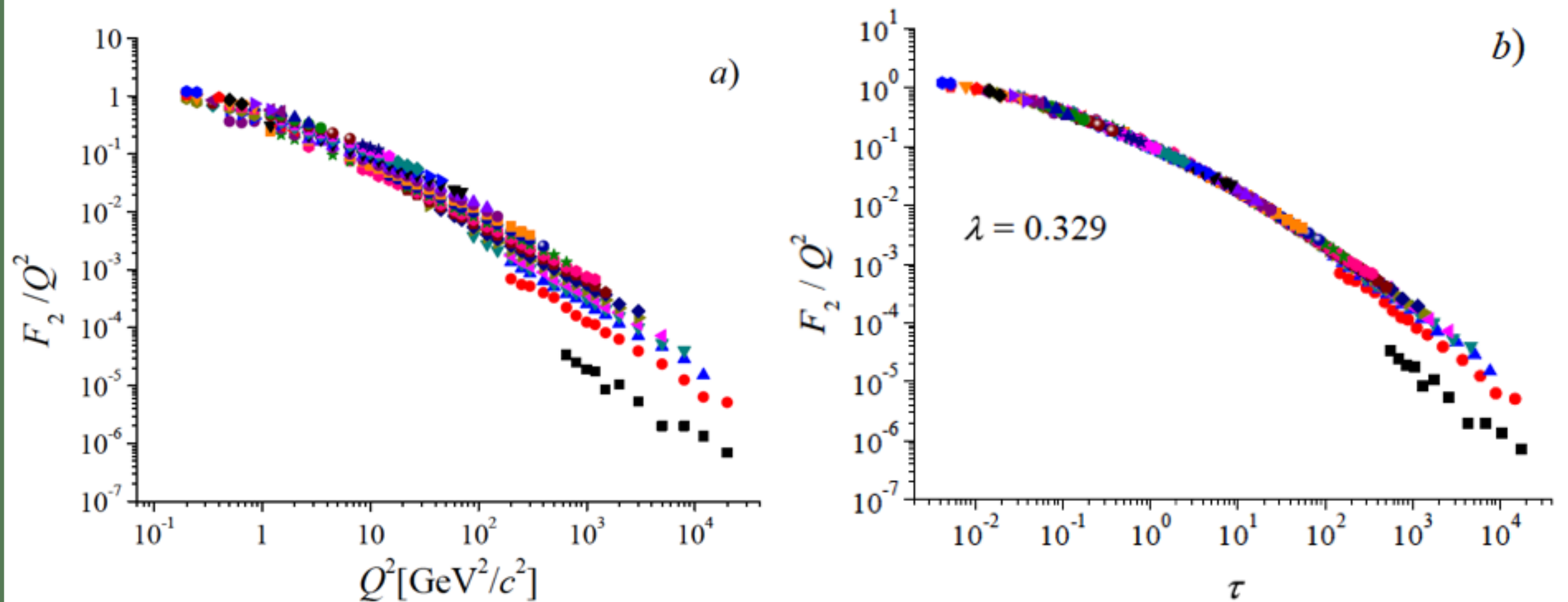
\rightarrow size of the gluon $\sim 1/Q^2$

$$\frac{\text{density}}{\text{unit transverse area}} \sim 1 \Rightarrow \frac{xg(x, Q_s^2)}{Q_s^2} \sim 1$$

$$\Rightarrow Q_s^2 \sim Q_0^2 \left(\frac{1}{x} \right)^\lambda$$



Geometric Scaling



Praszalowicz, Stebel [arXiv:1211.5305]

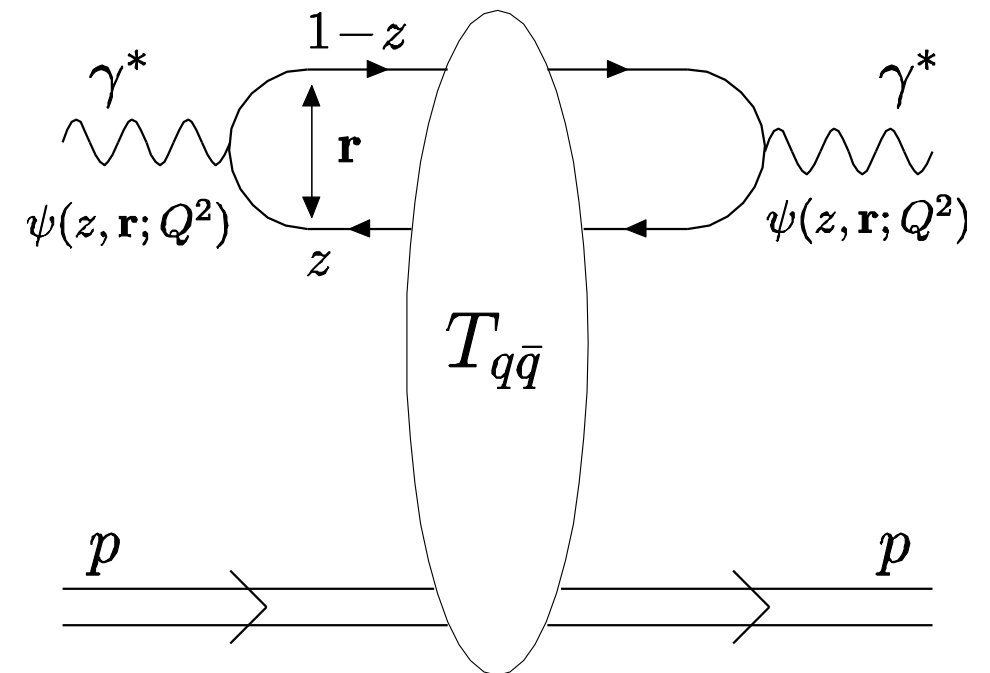
The cross section depend only
on one variable: τ
up to $x = 0.08$!

$$\tau = \frac{Q^2}{Q_{\text{sat}}^2(x)} \quad Q_{\text{sat}}^2(x) = Q_0^2 \left(\frac{x}{x_0} \right)^{-\lambda} \quad \lambda = 0.29$$

Easier to be understood in the dipole approach

Colour dipole approach

- In the **proton rest frame**
- The virtual **photon fluctuates** in a qq pair interacting with the proton
- **dipole size** $r \sim 1/Q$
- Dipole cross section $\sigma_{q\bar{q}}$ is related to gluon distribution

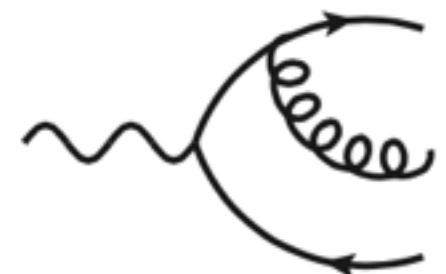
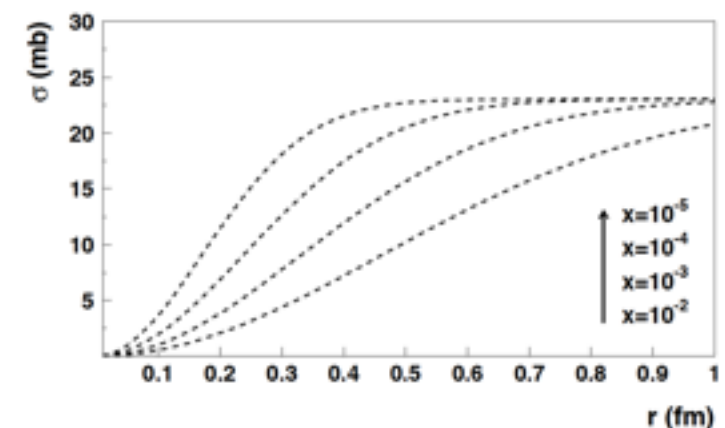


$$\sigma_{T,L}(x, Q^2) = \int d^2r \int_0^1 dz |\Psi_{T,L}(r, z, Q^2)|^2 \sigma_{q\bar{q}}(x, r)$$

- Several models include a **saturating dipole cross section**

$$\sigma_{q\bar{q}}(x, r) = \sigma_0 (1 - e^{-r^2 Q_s^2(x)})$$

- explain nicely the geometrical scaling
- reproduces well measured $\sigma_{\text{diff}} / \sigma_{\text{inc}}$
- NLO: perturbative expansion of photon wave function (qqg)



related theory talks

- NLO Balitsky-Kovchegov equation with resummation [H. Mäntysaari]
- Forward J/ψ production at LHC to probe gluon saturation [B. Ducloué]
- Single inclusive forward hadron production at NLO [B. Ducloué]
- Forward Drell-Yan at LHC in kt -factorization: saturation? [A. Szczurek]

WG5 related
theory talks

Tue AM

Wed AM

Thu AM

Thu AM

Colour dipole approach

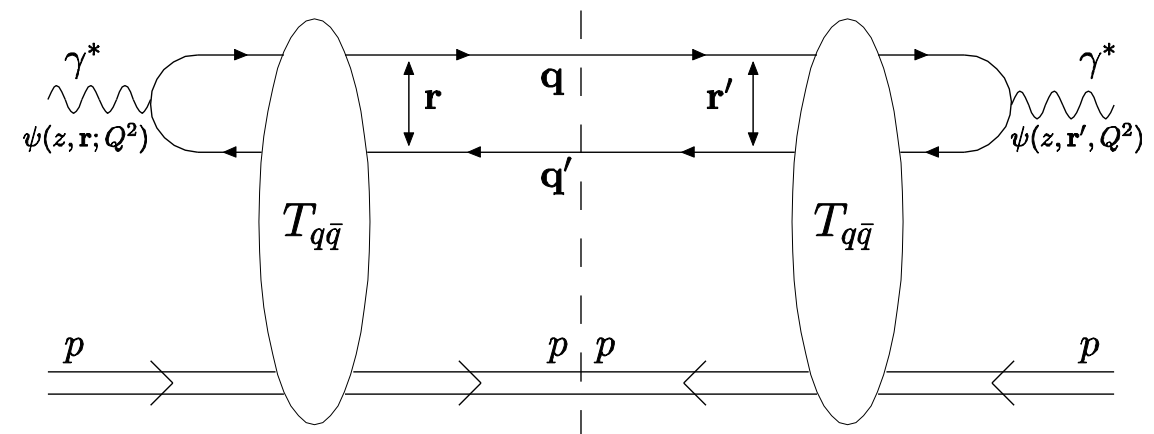
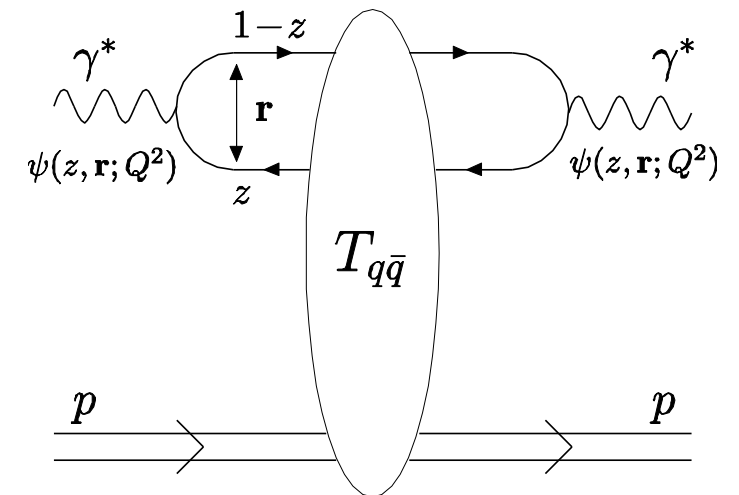
$$\sigma^{\text{DIS}}(x, Q^2) = \int d^2r \int_0^1 dz |\Psi(r, z, Q^2)|^2 \sigma_{q\bar{q}}(x, r)$$

- using the optical theorem:

$$\sigma^{q\bar{q}+p \rightarrow X} \sim \text{Im} A^{q\bar{q}+p \rightarrow q\bar{q}+p} \Big|_{t=0}$$

- we obtain the **diffractive cross section** in DIS regime

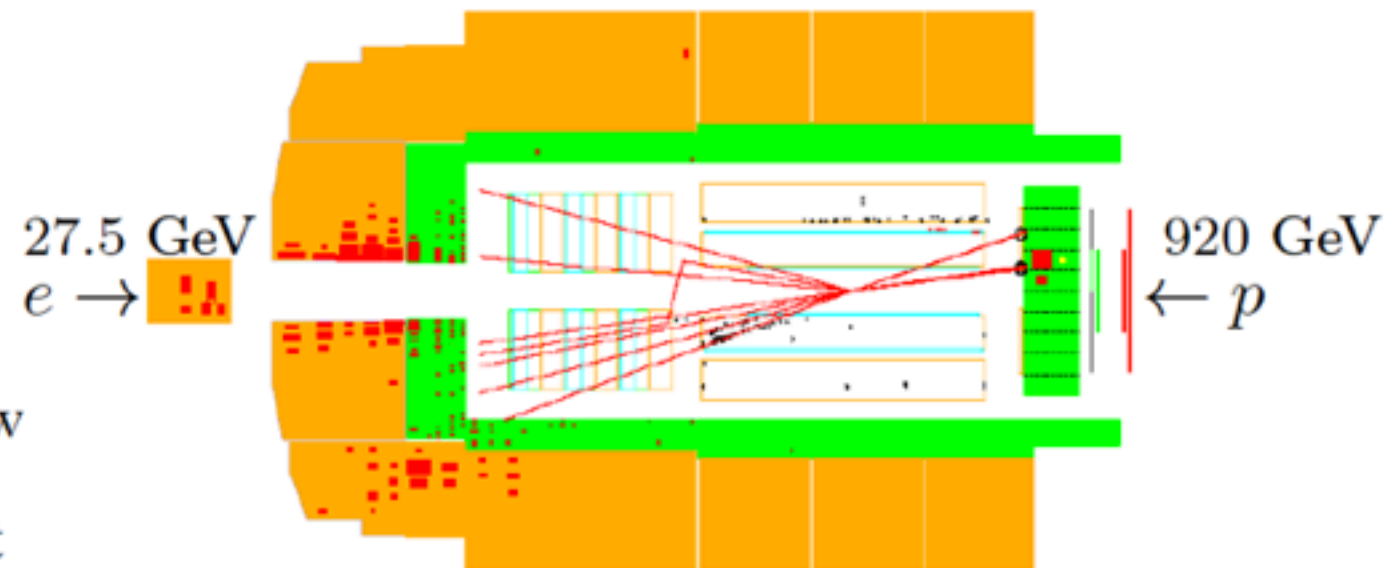
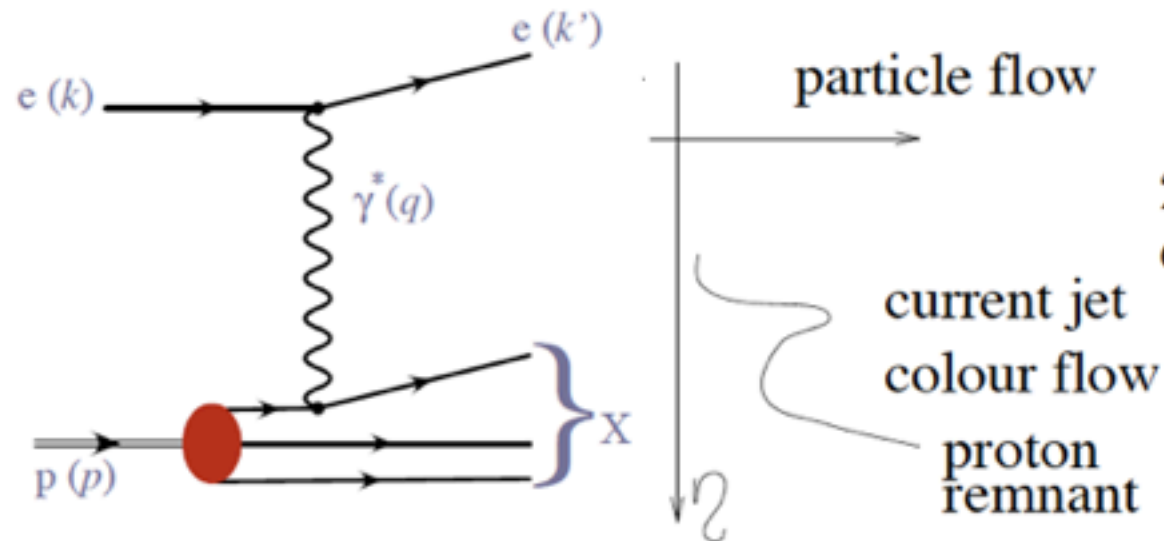
$$\frac{d\sigma^{\text{DDIS}}(x, Q^2)}{dt} \Big|_{t=0} = \frac{1}{16\pi} \int d^2r \int_0^1 dz |\Psi(r, z, Q^2)|^2 \sigma_{q\bar{q}}^2(x, r)$$



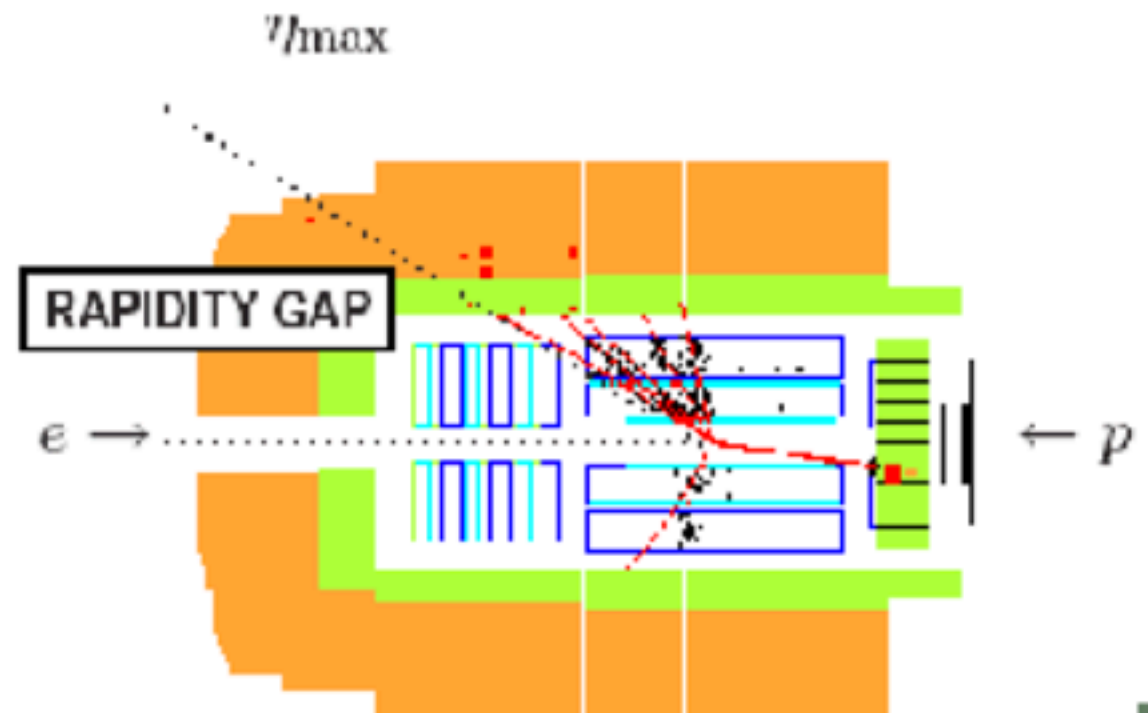
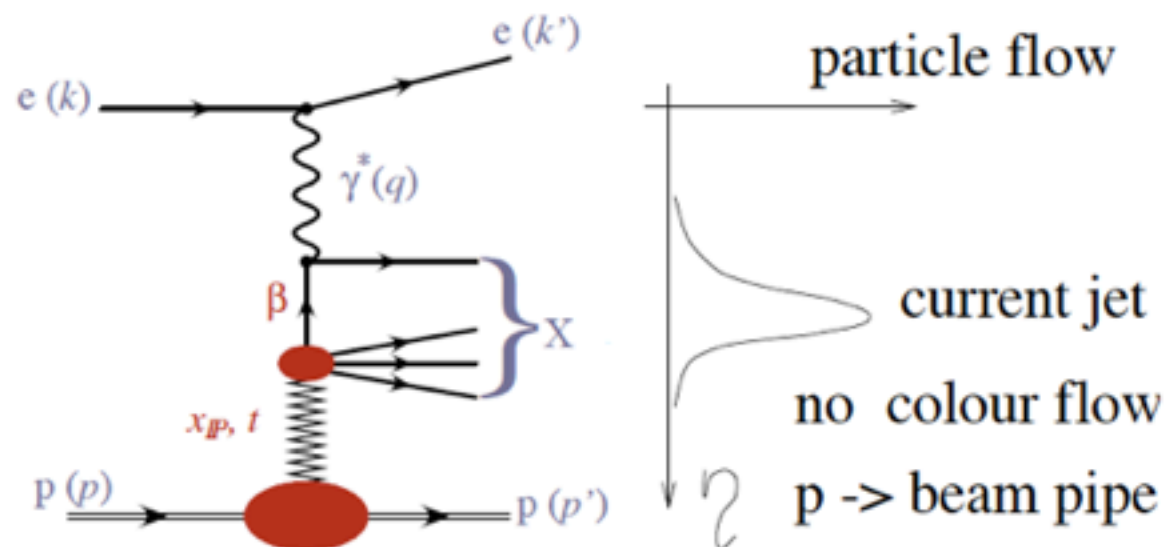
What is diffraction?

DIS and DDIS

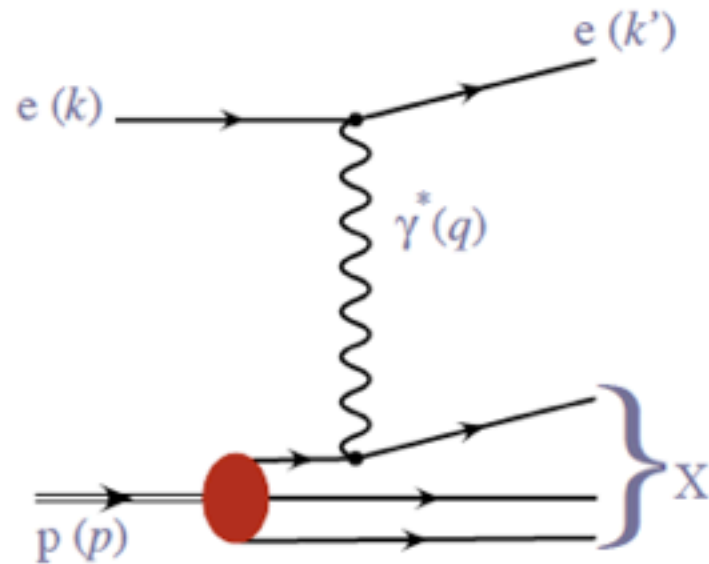
Deep Inelastic Scattering (DIS)



Diffractive Scattering (DDIS)



DIS and DDIS



Deep Inelastic Scattering $ep \rightarrow eX$

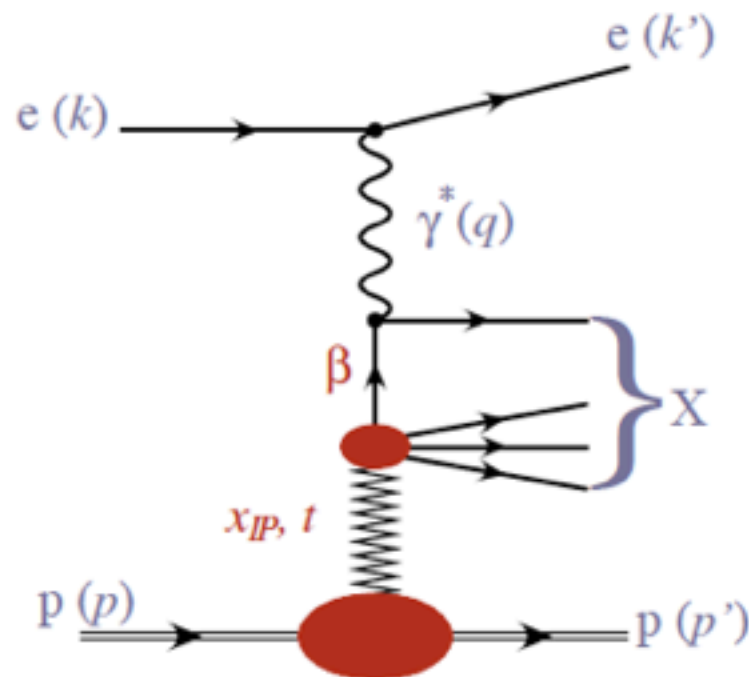
$Q^2 = -q^2$ - virtuality of the exchanged photon

W γ^* - p system energy

x Bjorken- x : fraction of proton's momentum carried by the struck quark

y γ^* inelasticity : $y = Q^2 / s x$

$$\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{x Q^4} Y_+ F_2(x, Q^2) - \frac{y^2}{Y_+} F_L(x, Q^2) \quad Y_+ = 1 + (1-y)^2$$



Diffractive Scattering $ep \rightarrow eXp$

$x_{\mathbb{P}}$ fraction of proton's momentum of the colour singlet exchange

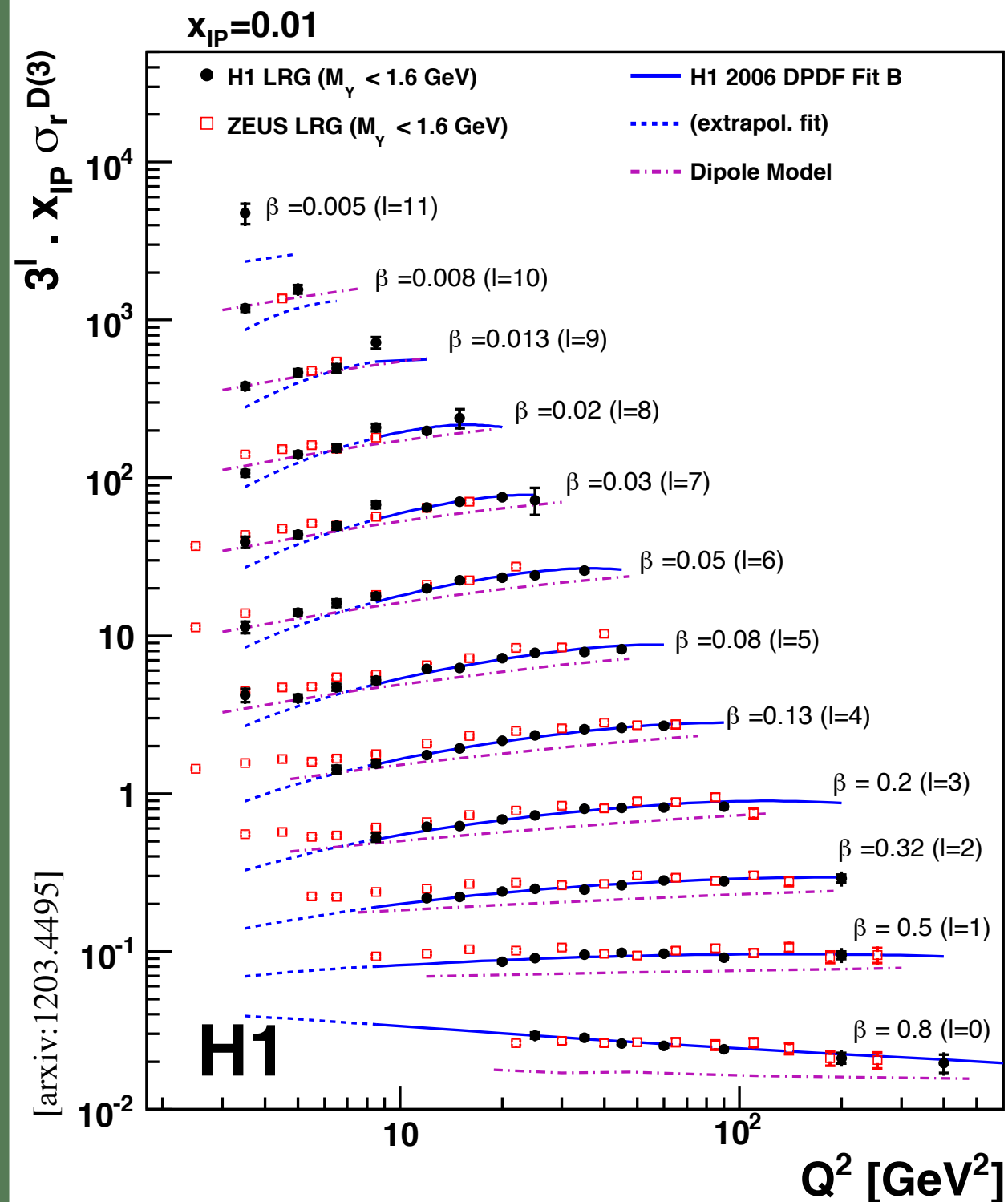
$$x_{\mathbb{P}} \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

β fraction of \mathbb{P} carried by the quark "seen" by the γ^* $\beta = x / x_{\mathbb{P}}$

$t = (p - p')^2$, 4-momentum squared at the p vertex

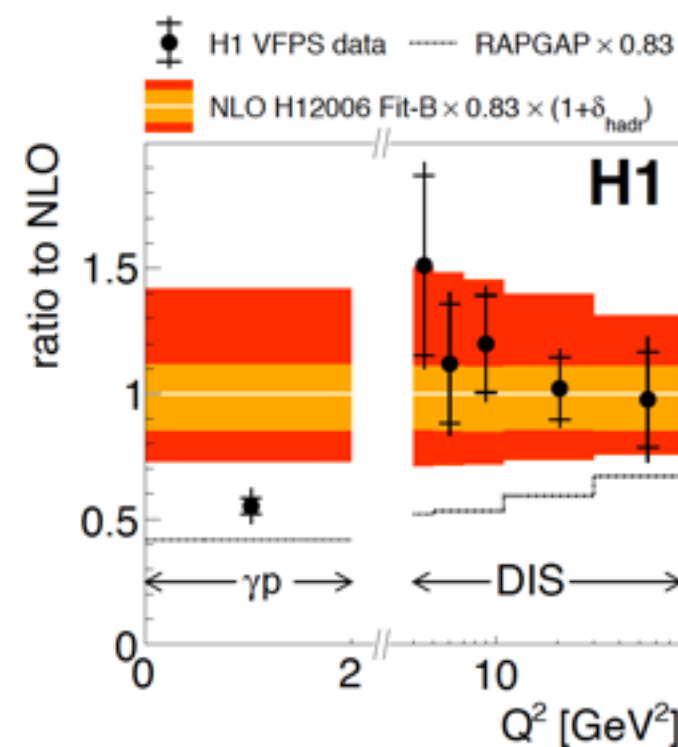
$$\frac{d^4\sigma^D}{d\beta dQ^2 dx_{\mathbb{P}} dt} = \frac{2\pi\alpha^2}{\beta Q^4} Y_+ F_2^{D(4)}(\beta, Q^2, x_{\mathbb{P}}, t) - \frac{y^2}{Y_+} F_L^{D(4)}$$

Diffractive PDF



pert. QCD predict. in DDIS

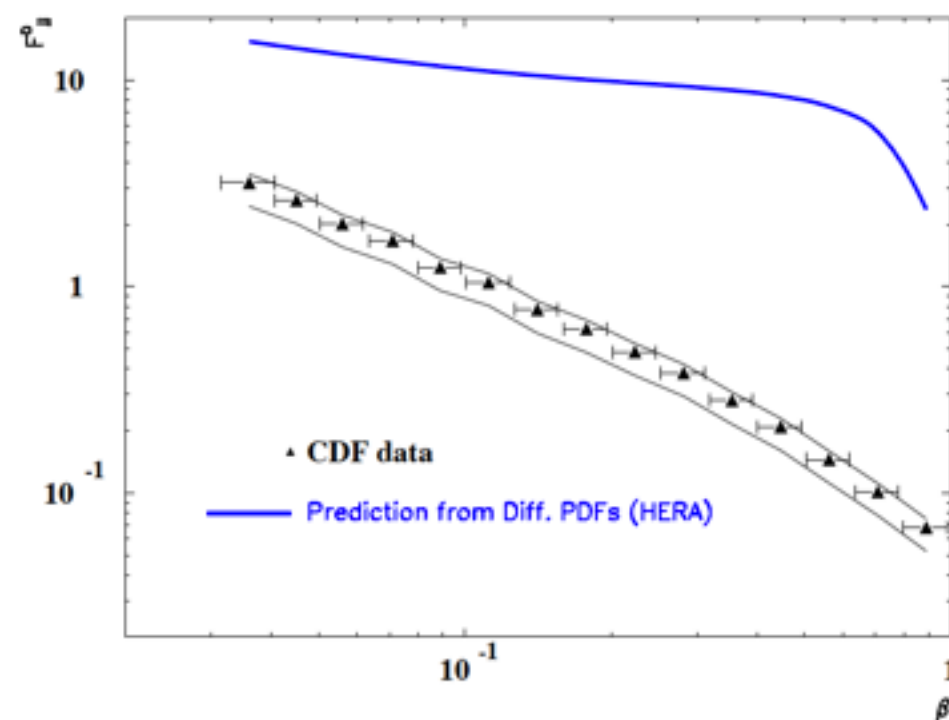
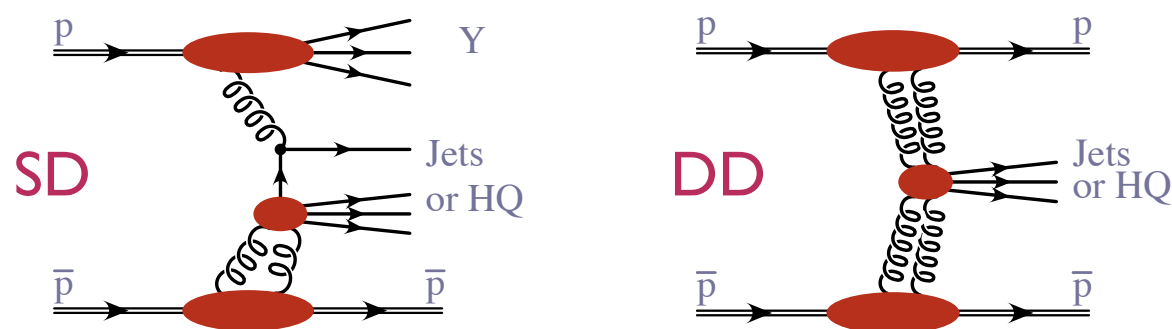
- Fact. theorem for γ^*p int. at large Q^2
- DGLAP from Diff. SF \Rightarrow DPDF
- largely dominated by gluon
- use DPDF \Rightarrow e.g. dijet predictions



- OK in DIS but not at $Q^2=0$!
- D* at HERA [K. Cerny]

WG5 talk
Wed PM

Export DPDF to p-p ?

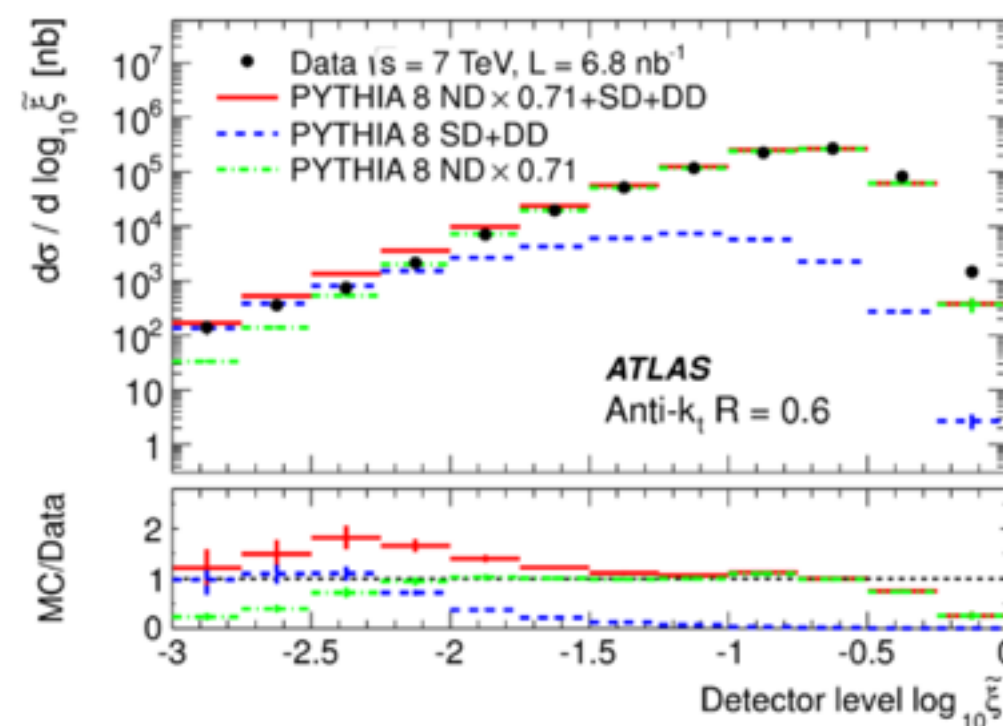


factorisation is broken in pp !

- DPDF \Rightarrow do **not lead to correct** predictions in p-p
- because of (soft) interactions between spectator partons of beam particles
- filling the rap. gap
- need phenom. models to quantify them (gap survival prob.)

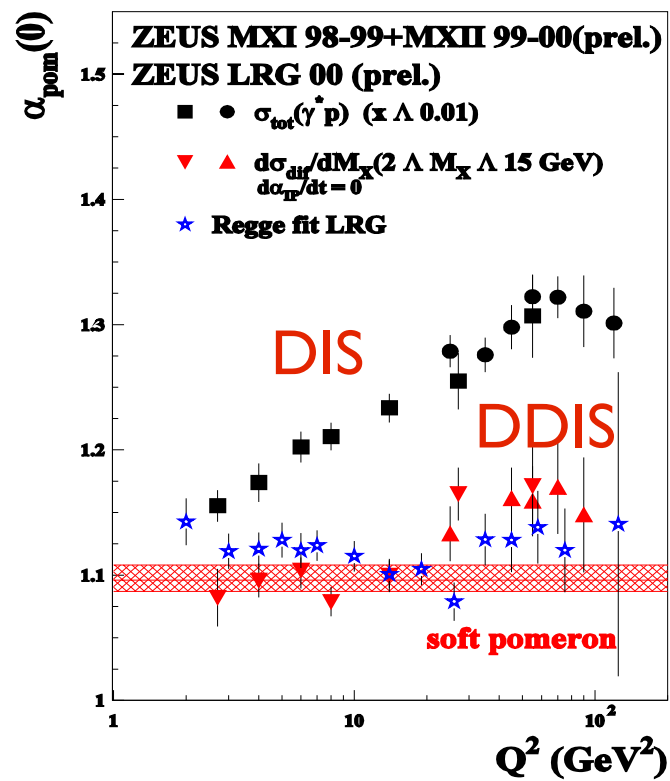
SD and DD at LHC [Trzebinski]

WG5 talk
Thu AM

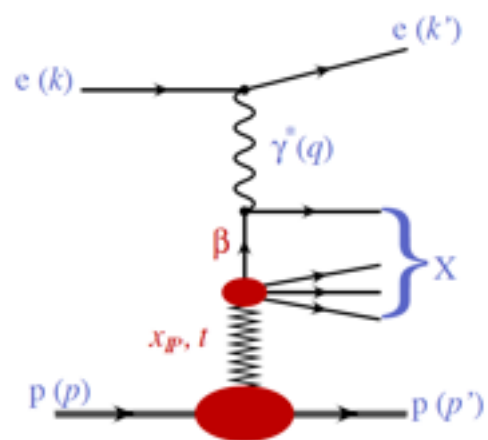


[arXiv:1511.00502]

Perturbative Pomeron ?



- partons from IP can be treated in perturb.
- way to predicted dijet cross section in γ^*p int. at large Q^2
- amazingly the Pomeron stays **non-pert.** even at high Q^2 !
- No rise typical of gluon density evolution
- hard scale is diluted before reaching the IP or in the IP
- Will LHC data see a start of perturb. evolution ?

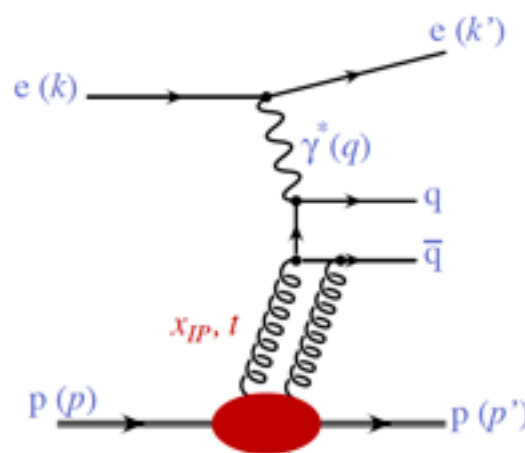


$$\beta \simeq Q^2 / (Q^2 + M_X^2)$$

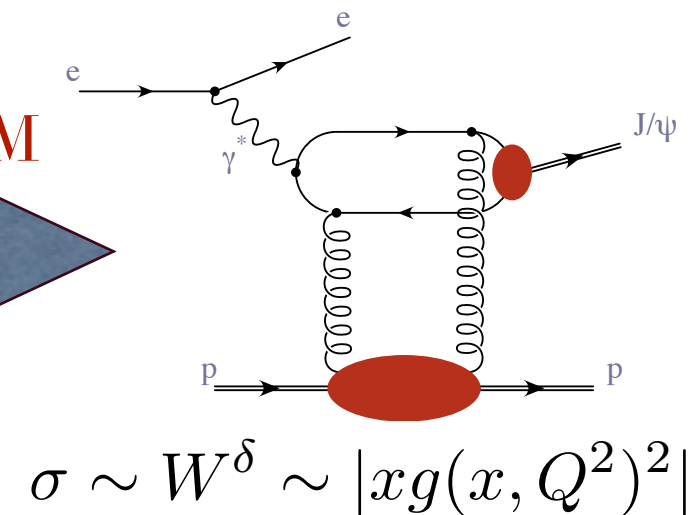
For $M_X^2 \ll Q^2$

Large β

The photon “sees”
the full Pomeron



if $qq=VM$



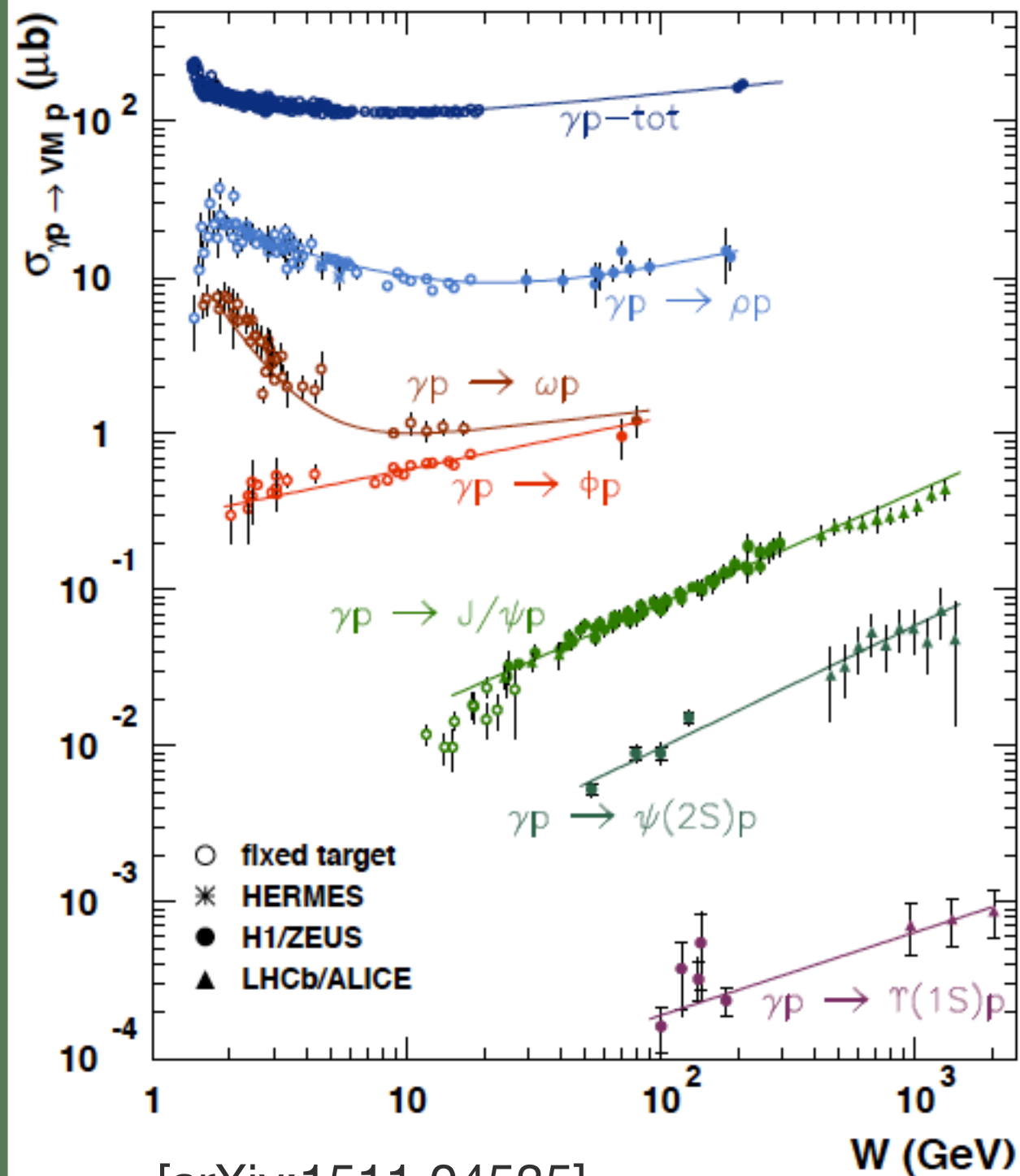
$$\sigma \sim W^\delta \sim |xg(x, Q^2)|^2$$

if in perturb. regime
only valid at small x

- Different possible hard scales: M_X (heavy quarks)
 Q^2
-t (not covered here)

Exclusive VM photoproduction

$$Q^2 = 0$$



[arXiv:1511.04535]

-recent world data compilation

-transition soft \Rightarrow hard regime with masses

-Fit $\sigma \sim N_1 W^{\delta_1} + N_2 W^{\delta_2}$

-high W (=low x) rises similarly to $|x g(x, Q^2)|^2$

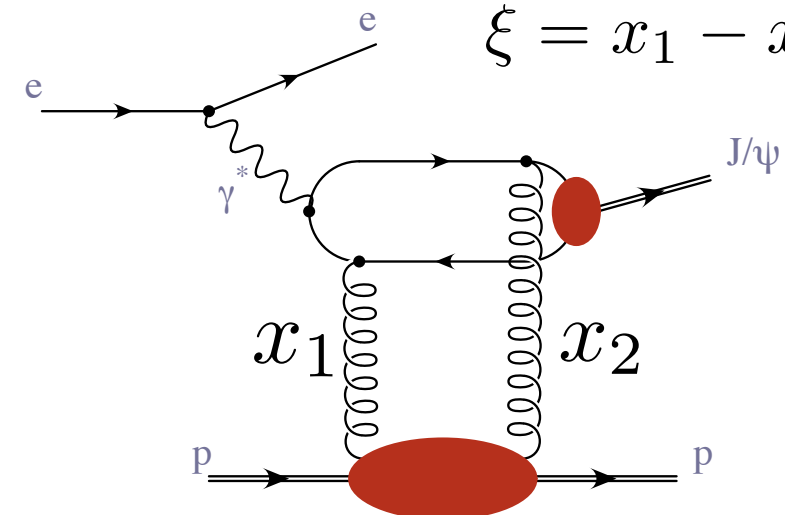
-low W : dipole model not valid

\Rightarrow coll. fact.: Generalized Parton Distr.

GPDs:

$$H(x, \xi, t), E(x, \xi, t)$$

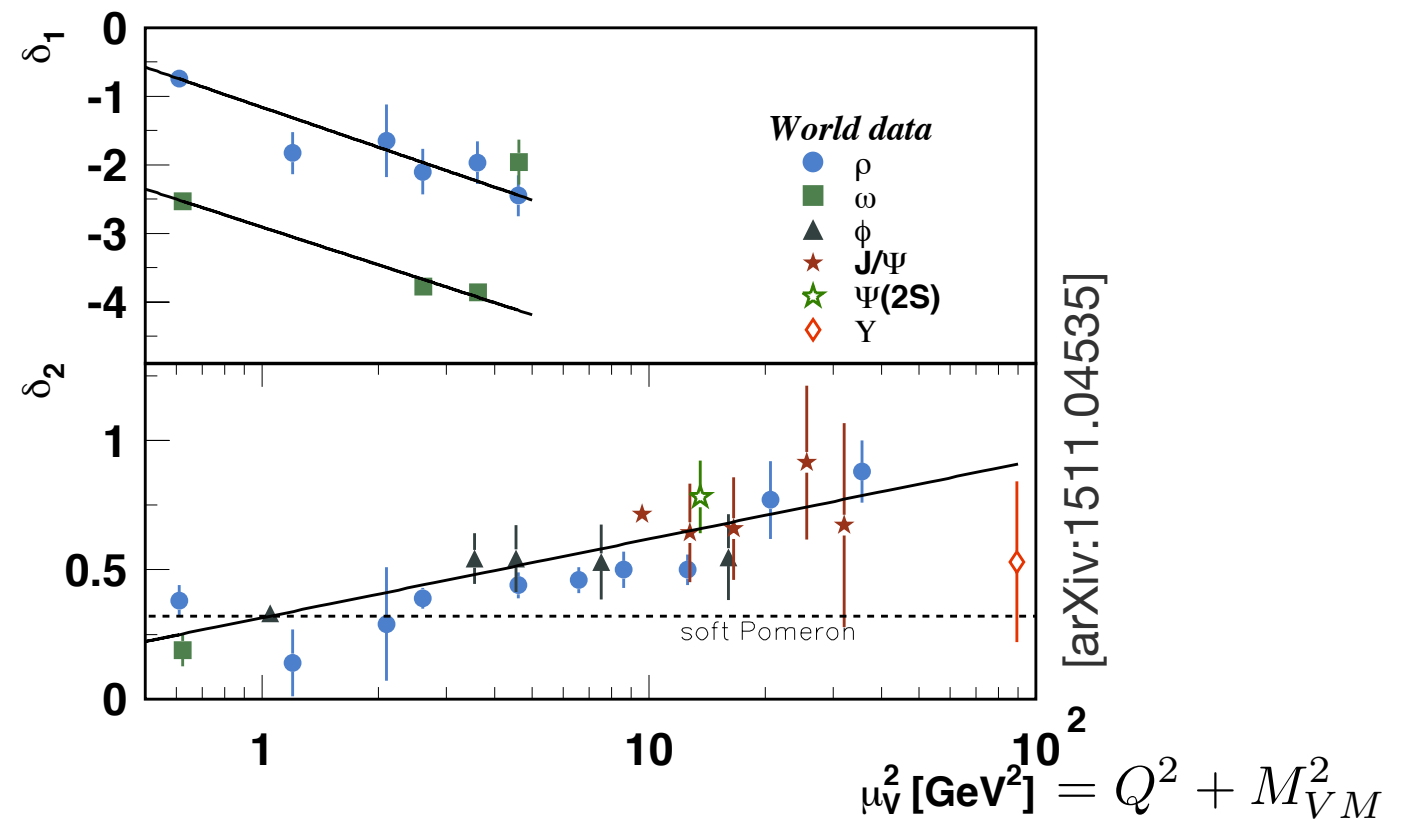
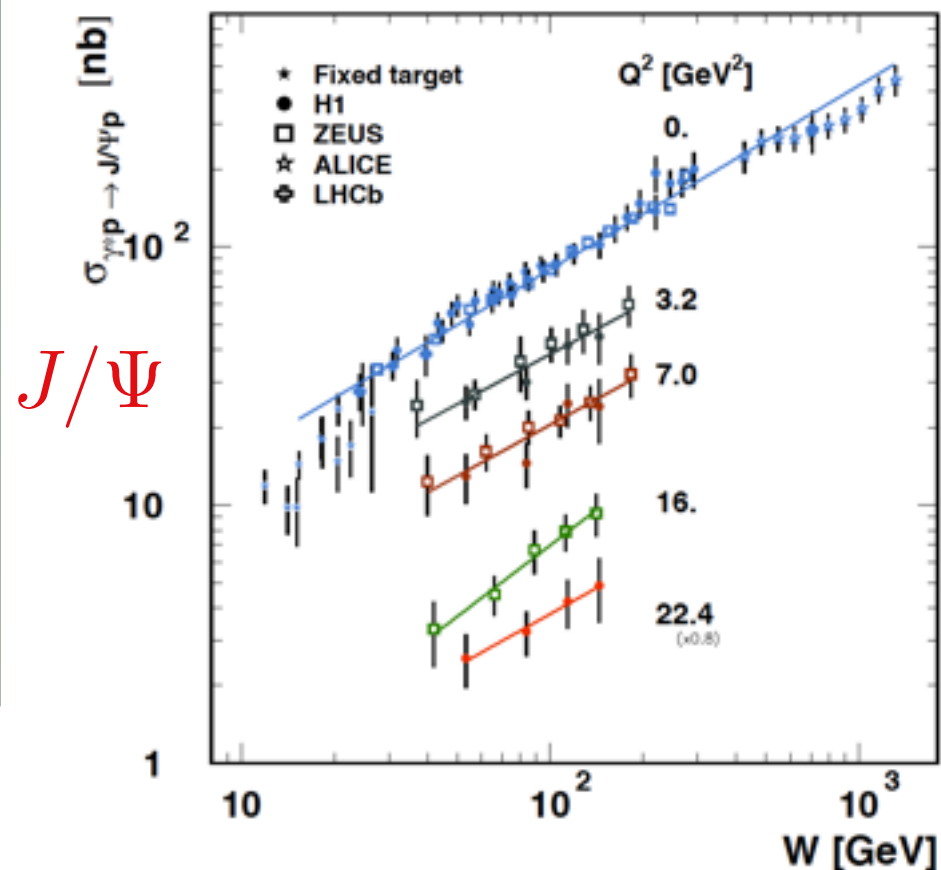
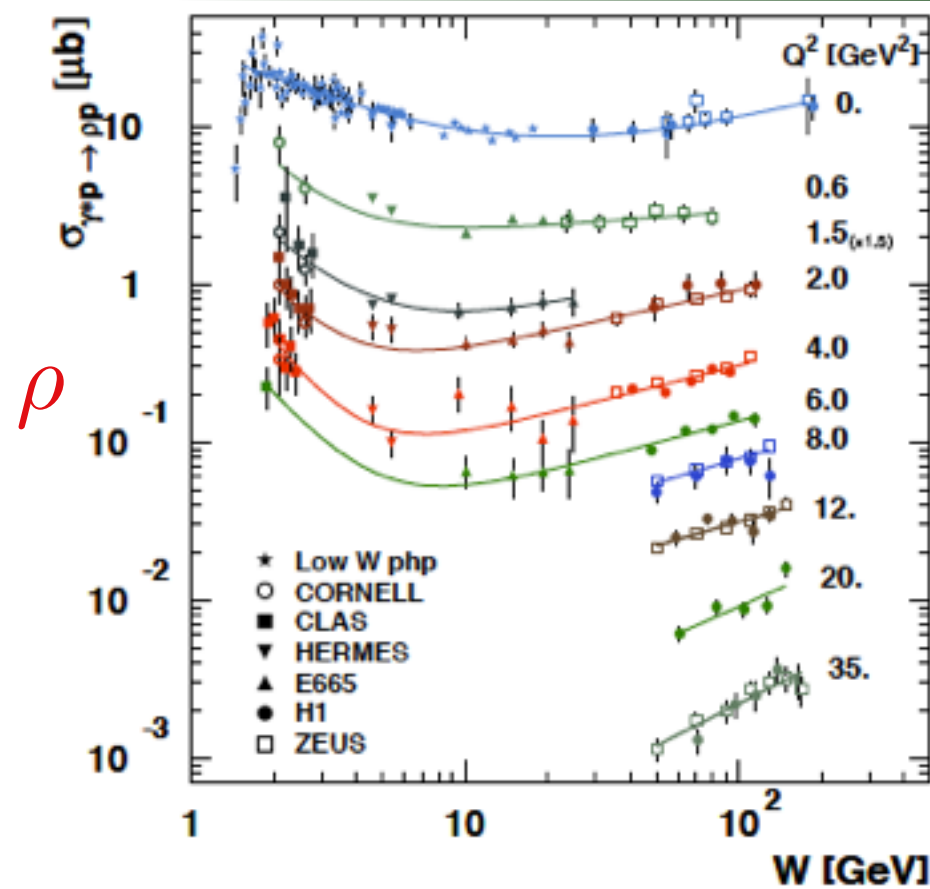
$$\xi = x_1 - x_2$$



-for heavy VM, skewing effect important:

$$x_1 \neq x_2$$

Exclusive VM electroproduction



High W:

- slope increases with $Q^2 + M^2$
- universal behaviour vs scale (for all VM)
- dominated by gluons

Low W:

- |slope| increases with $Q^2 + M^2$
- different behaviour for ρ and ω
- role of valence quarks

Exclusive VM production

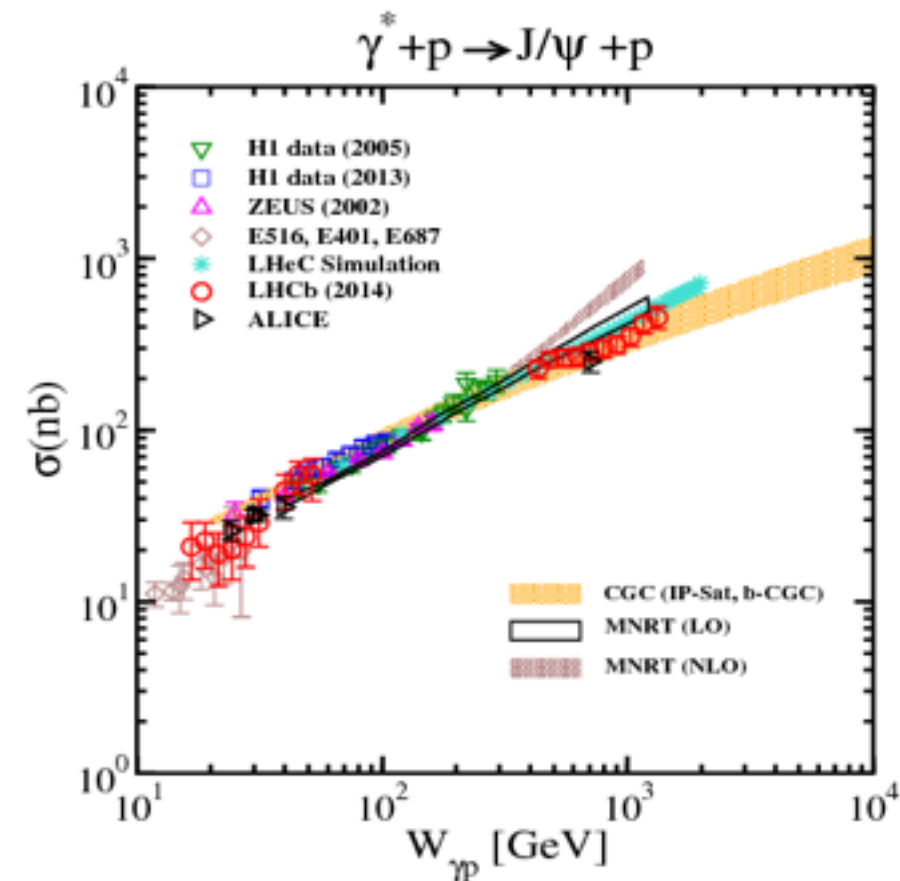
High W:

- access to gluon density
- recent LHC J/Ψ favours models with **gluon saturation**

11
WG5
related
exp.
talks

Tue
&
Wed

- CMS: Υ - from p-Pb [R. Chudasama]
- CMS: J/Ψ - from Pb-Pb [D. Takaki]
- CMS: exclusive $\pi^+\pi^-$ [M. Khahzad]
- LHCb: J/Ψ and Ψ' [R. McNulty]
- ALICE: J/Ψ from p-Pb [J. Adam]
- ALICE: J/Ψ from Pb-Pb [K. Graham]
- ALICE: VM from Pb-Pb [G. Contreras]
- STAR: exclusive $\pi^+\pi^-$ from Au [S. Klein]
- STAR: J/Ψ from Au [W. Schmidke]
- ZEUS: $\Psi(2S)/\Psi(1S)$ ratio [N. Kovalchuk]
- H1: ρ leading neutron [S. Levonian]



[arXiv:1402.4831]

6
WG5
related
theory
talks

Tue
& Wed

- J/Ψ and Υ in pQCD kt-fact [W. Schafer]
- CGC: VM provides evidence for strong geometric fluctuations in p [H. Mäntysaari]
- AdS/QCD prediction for ϕ [M. Ahmadi]
- Sub-femtoscopic with VM [J. Dainton]
- exclusive $\pi^+\pi^-$ with tensor Pomeron approach [P. Lebiedowicz]
- dipole: VM with leading neutrons and leading p - saturation [V. Goncalves]

Exclusive VM electroproduction-GPDs

GPD: important approach

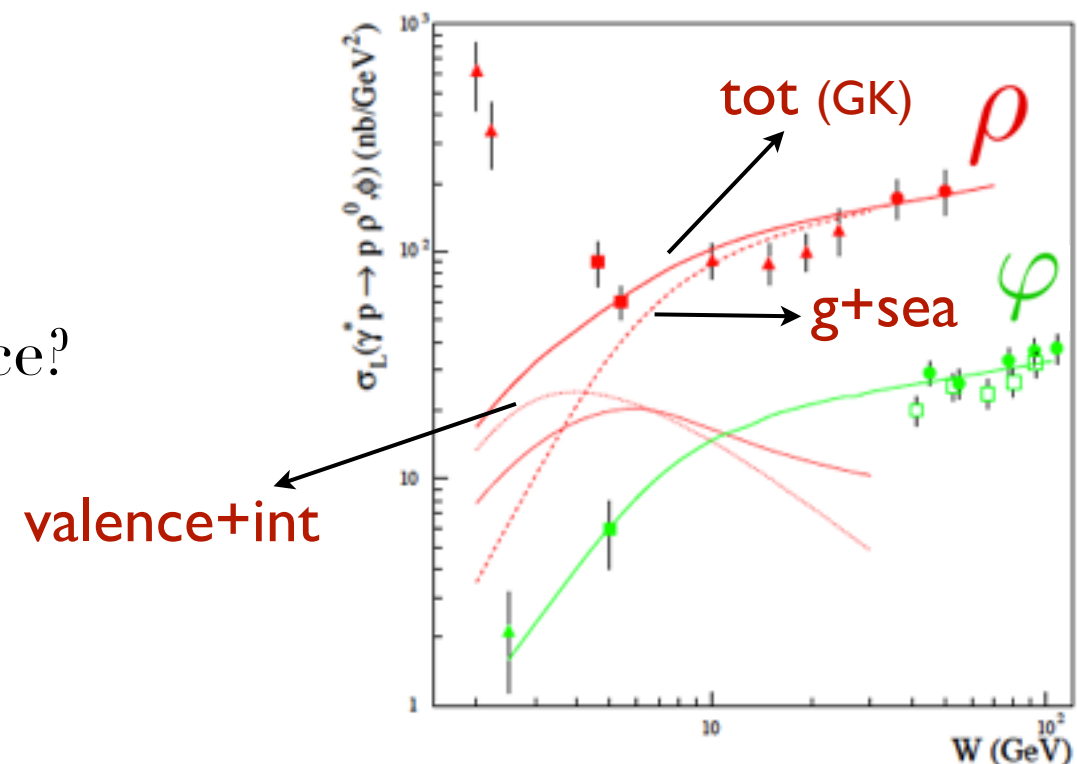
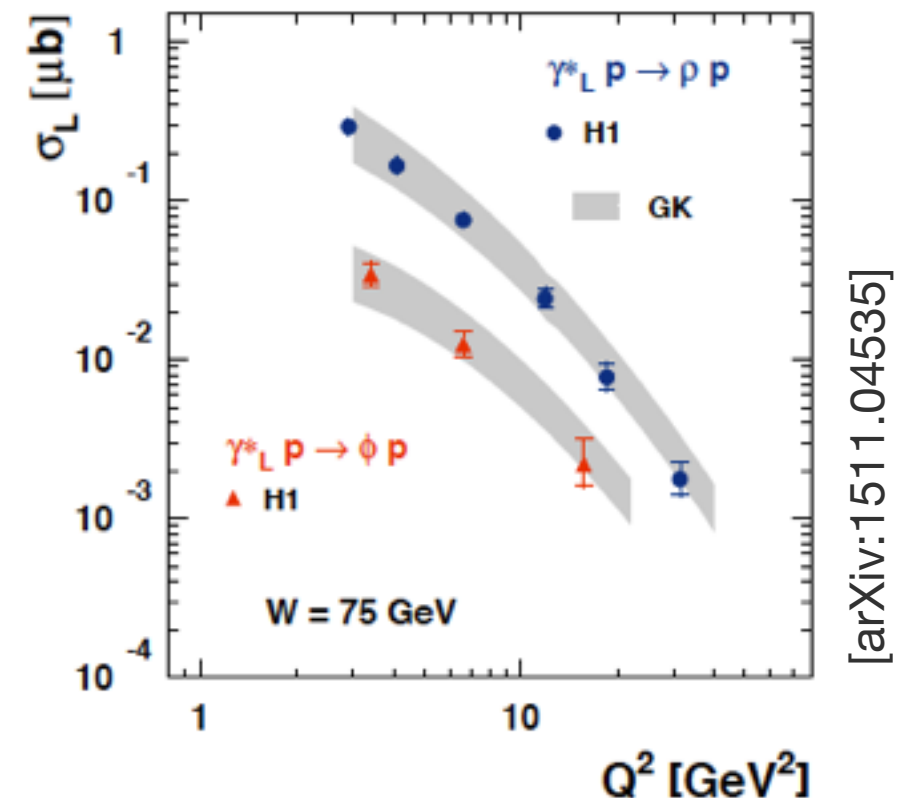
- meas. of where the partons are
- their kinematic correlation
- access to orbital ang. momentum

High W:

- also well described by GPDs based prediction
- separately for σ_L et σ_T
- if higher twist part added (at HERA Q^2)

Low W:

- predictions based on GPDs:
 - describes well the ϕ
 - does not reproduce ρ the at low W
- is there another mechanism taking place?
- while DVCS is well described



Much more details and results
on, VM, DVCS, GPDs,... in Spin
session

Conclusion

- Low- x and diffraction are related by many aspects
- They provide a **particularly challenging phenomenology** to be described by QCD
- where factorisation between pert. and non-pert. aspects plays a major role
- **very active field** both in theory and experimentally for many successes and still many open questions
- while waiting for the EIC and LHeC, **interesting data from**
 - hadron/ion colliders
 - final HERA results
 - soon: CLAS II and COMPASS (DVCS run)
- **disclaimer: for all non covered aspects: ions, large t diffraction,...**