

# Algorithms for overlap fermions

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# Overview

1. Chiral symmetry
2. Construction of the overlap operator
3. Solution of linear equations

# Chiral symmetry in the continuum

$$D(m=0)\gamma_5 + \gamma_5 D(m=0) = 0$$

- ▶ spontaneously broken by interactions:  $\langle \Sigma \rangle = -\langle \bar{q}q \rangle \neq 0$
- ▶ explicitly broken by quark mass
- ▶ index theorem

Important for understanding of low-energy physics

- ▶ light meson spectrum
- ▶ chiral perturbation theory
- ▶ instantons

$\implies$  Want to keep it on the lattice

# Chiral symmetry on the lattice

Ginsparg, Wilson '82

$$D_0 \gamma_5 + \gamma_5 D_0 = \frac{a}{R_0} D_0 \gamma_5 D_0$$

$D_0$  : massless Dirac operator

$a$  : lattice spacing

$R_0$  : radius of the GW-circle

- ▶ correct chiral and flavor symmetries
- ▶  $\mathcal{O}(a)$  improvement
- ▶ topology can be defined by index of the Dirac operator
- ▶ simplified renormalization
- ▶ strictly positive fermion determinant

# Solutions to the Ginsparg–Wilson Equation

- ▶ overlap fermions (Neuberger)
- ▶ Domain Wall fermions (Kaplan, Shamir)
- ▶ Perfect Action (Hasenfratz, Niedermayer,..)
- ▶ approximate solutions (Gattringer et al, Bietenholz)

Use the overlap if you want chiral symmetry to machine precision.

# Neuberger's overlap operator

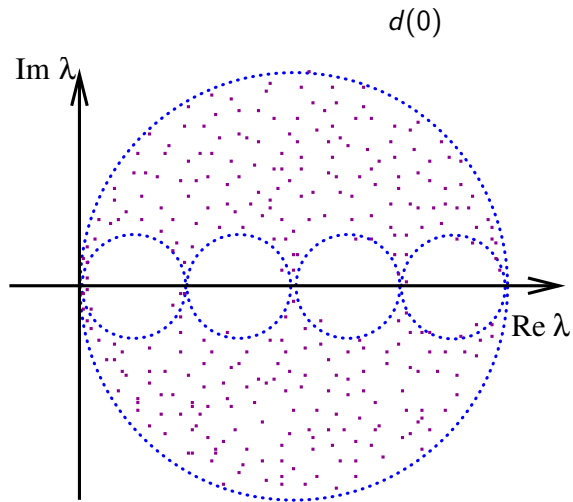
Neuberger '97, '98

$$\begin{aligned} D_{ov}(m=0) &= R_0 \left[ 1 + d(-R_0) \frac{1}{\sqrt{d^\dagger d(-R_0)}} \right] \\ &= R_0 \left[ 1 + \gamma_5 \epsilon(h(-R_0)) \right] \end{aligned} \quad (1)$$

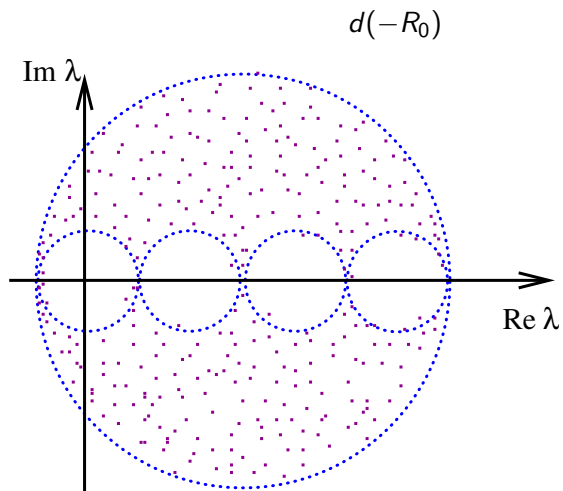
with

- ▶  $h = \gamma_5 d$  Hermitian (Wilson) Dirac operator
- ▶  $-R_0$  negative mass shift  $\propto 1/a$
- ▶  $\epsilon$  is the matrix sign function

## Construction of the overlap



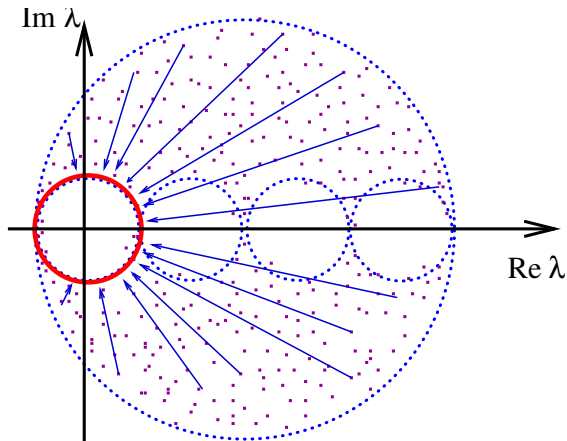
## Construction of the overlap





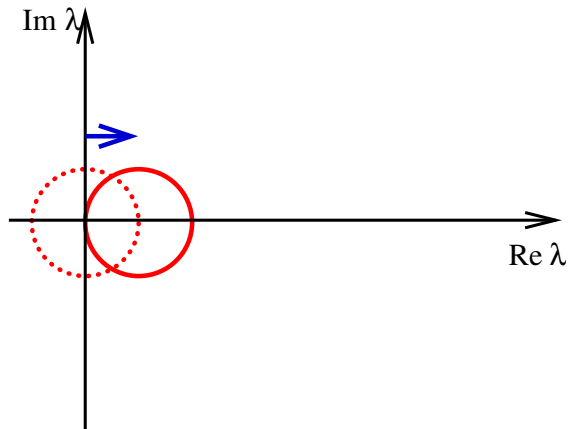
## Construction of the overlap

$$d(-R_0) \frac{1}{\sqrt{d(-R_0)^\dagger d(-R_0)}} = \gamma_5 \frac{h(-R_0)}{\sqrt{h(-R_0)^2}} = \gamma_5 \epsilon(h(-R_0))$$



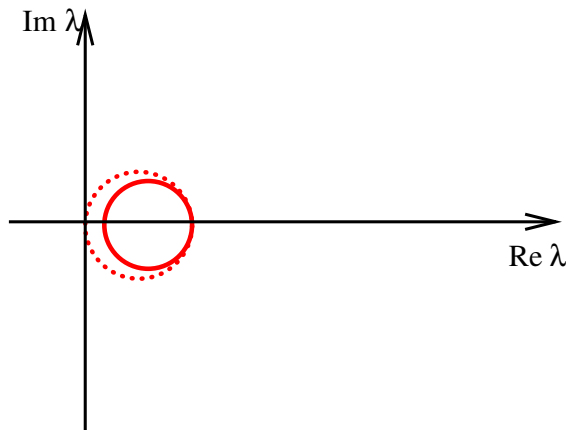
## Construction of the overlap

$$D(m=0) = R_0(1 + \gamma_5 \epsilon(h(-R_0)))$$



## Construction of the overlap

$$D(m) = (R_0 - \frac{m}{2})(1 + \epsilon(h(-R_0)) + m$$

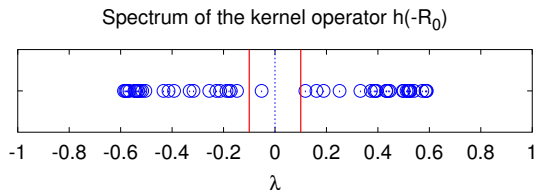
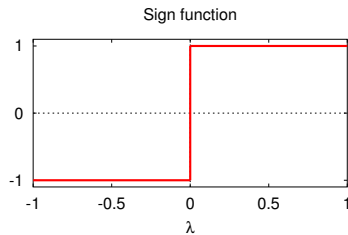


# Definition of the matrix sign function

$$\epsilon(h) = \frac{h}{\sqrt{h^2}} = \sum_i \text{sign}(\lambda_i) |\lambda_i\rangle \langle \lambda_i|$$

$\lambda_i$  : eigenvalues of  $h$

$|\lambda_i\rangle$  : associated eigenvectors

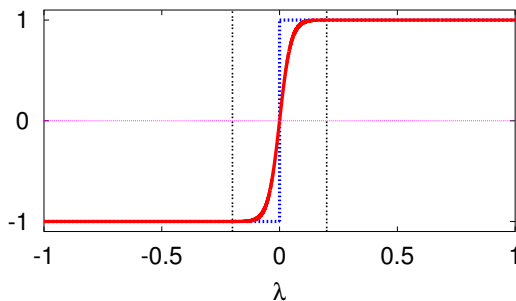


# Implementation of the sign function

- ▶ Use spectral representation for  $|\lambda| < |\lambda_{\min}|$
- ▶ Use approximation for the rest of the spectrum.
- ▶ Cost of approximation increases for smaller  $\lambda_{\min}$

$$\epsilon(h) = \sum_{n=1}^N \text{sign}(\lambda_i) |\lambda_i\rangle \langle \lambda_i| + (1 - \sum_{n=1}^N |\lambda_i\rangle \langle \lambda_i|) \epsilon_{\text{app}}(h)$$

Approximation to the sign function

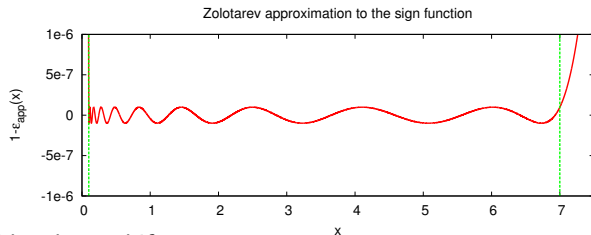


# The sign function: Rational approximations

$$\epsilon(h) = h \sum_{i=1}^M \frac{b_i}{h^2 + c_i}$$

- ▶ coefficients determined by accuracy and range of the approximation
- ▶ need to solve systems  $(h^2 + c_i) x = v$
- ▶ multi-mass
- ▶ interplay between accuracy of the solver & precision of the scalar approximation
- ▶ need several hundred  $h$  on vector multiplications for on  $D_{\text{ov}}$ .

## Example: Zolotarev approximation



n	residue $b_n$	shift $c_n$
0	0.037	0.0008
1	0.050	0.009
2	0.078	0.037
3	0.132	0.124
4	0.229	0.395
5	0.406	1.240
6	0.741	3.941
7	1.472	13.244
8	3.841	54.340
9	32.04	601.173

$$\epsilon_{app}(x) = x \sum_n \frac{b_n}{x^2 + c_n}$$

- ▶ 10 shifts,  $x \in [0.1, 7] \Rightarrow 1 \cdot 10^{-7}$  accuracy
- ▶ cost determined by smallest shift
- ▶ smallest shift decreases with larger range of approx.

# Chebyshev Polynomials

Approximate  $1/\sqrt{h^2}$  by a sum over Chebyshev polynomials

$$\epsilon(h) \approx h \sum_{n=0}^N c_n T_n(h^2)$$

- ▶ sum is computed with the (recursive) Clenshaw algorithm:  
numerically very stable
- ▶ need several hundred terms
- ▶ one parameter determines the accuracy of the approximation



## Summary I: Contruction of the overlap operator

$$D_{\text{ov}}(m) = (R_0 - \frac{m}{2})[1 + \gamma_5 \epsilon(h(-R_0))] + m$$

- ▶ expensive part is the application of the sign function on a vector
- ▶ project low modes of the kernel operator  $h(-R_0)$
- ▶ use rational or polynomial approximation for the rest of the spectrum
- ▶ several hundred times more expensive than applying Wilson operator
- ▶ for larger volume, there are more modes to project

# Solving systems of linear equations

$$D_{\text{ov}}^{\dagger}(m)D_{\text{ov}}(m)x = b$$

- ▶ use an iterative solver: many tried, best depends on physical situation
- ▶  $D_{\text{ov}}^{\dagger}D_{\text{ov}}$  has strict upper bound for conditioning number
$$\kappa = \frac{\lambda_{\text{max}}}{\lambda_{\text{min}}} = \frac{R_0^2}{m^2}$$
- ▶ total cost  $n_{\text{iter}} \times n_{\text{construct}}$
- ▶ application of  $D^{\dagger}D$  as expensive as application of  $D$

# Improvements I: adaptive / relaxed precision

Strategy for improvement:

- ▶ Krylov methods build solution from  $\{(D^\dagger D)^n v; n = 0, \dots, N\}$

$$x = \sum_{n=0}^N c_n (D^\dagger D)^n v$$

- ▶ identify less important contributions and compute  $\epsilon(h)w$  with reduced accuracy
  - ▶ lower order polynomial
  - ▶ less accurate rational approximation / reduced inner solver precision

Recent publications:

Cundy et al, CPC 165:221-242,2005

Chiarappa et al, hep-lat/0609023

## Example RelCG

Taken from Cundy et al, CPC 165:221-242,2005

compute  $x$  such that  $\|D_{\text{ov}}^\dagger D_{\text{ov}} x - b\| \leq \epsilon \cdot \|b\|$

$x = 0$ ;  $r = b$ ;  $p = r$ ;

$\gamma_{\text{old}} = \gamma = r^\dagger \cdot r$ ;  $\zeta = 1/\gamma$ ;

**while**  $\sqrt{\gamma} > \epsilon \cdot \|b\|$  **do**

    compute  $q$  with  $\|D_{\text{ov}}^\dagger D_{\text{ov}} p - q\| \leq \epsilon \cdot \|b\| \cdot \|p\| \cdot \sqrt{\zeta}$ ;

$\beta = q^\dagger \cdot p$ ;

$\alpha = \gamma/\beta$ ;

$x = x + \alpha \cdot p$ ;

$r = r - \alpha \cdot q$ ;

$\gamma = r^\dagger \cdot r$ ;

$\zeta = \zeta + 1/\gamma$ ;

$p = r + \gamma/\gamma_{\text{old}} \cdot p$ ;

$\gamma_{\text{old}} = \gamma$ ;

**end while**

- ▶ Many algorithms can be modified in this way
- ▶ key is to find a strategy to relax accuracy and keep convergence under control
- ▶ gain depends on the situation and the solver: up to factor 5 reported
- ▶ Cundy et al, CPC 165:221-242,2005
- ▶ Chiarappa et al, hep-lat/0609023 (computation of inverse 30-120 times more expensive than TM)

## Improvements II: Low mode preconditioning

Same trick as used for the construction of the sign function

$$(D_{\text{ov}}^\dagger(m)D_{\text{ov}}(m))^{-1}v = \sum_{i=1}^N \frac{1}{\lambda_i} \psi_{\lambda_i} \psi_{\lambda_i}^\dagger v + (1 - P)(D_{\text{ov}}^\dagger D_{\text{ov}}(m))^{-1}v$$

- ▶ Can be made exact by Schur complement technique (Chiarappa et al, hep-lat/0609023)
- ▶ even relatively poor eigenvectors can lead to significant speed-up
- ▶ best suited if system is solved for many sources

# Conclusions

- ▶ chiral symmetry simplifies many lattice computations
- ▶ chiral fermions are expensive: need to construct sign function
- ▶ state of the art: low-mode projection + rational (Zolotarev) or polynomial (Chebychev) approximation
- ▶ solver can be greatly improved by relaxing the accuracy of the sign function
- ▶ many solvers have been tested; best setup depends on physical situation
- ▶ total cost 30–100 times of twisted mass fermions — in quenched
- ▶ dynamical simulations: coarse lattice should be possible