

# Distinguishing Dirac/Majorana Sterile Neutrinos at the LHC

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Based on [Phys. Rev. D **94** (2016) 013005]

# Outline

- ★ Introduction of sterile neutrinos
  - ◆ Simplified Model
  - ◆ Productions @ LHC
  - ◆ Current limits
  
- ★ Distinguishing Dirac/Majorana
  - ◆ Basic idea
  - ◆ Collider simulation & background
  - ◆ Results
  
- ★ Summary

# Theory Model

Discovery of neutrino oscillations => neutrinos have mass

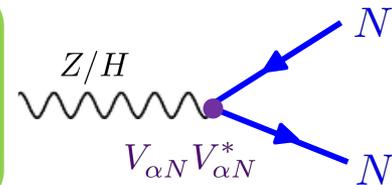
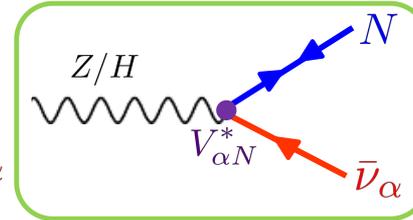
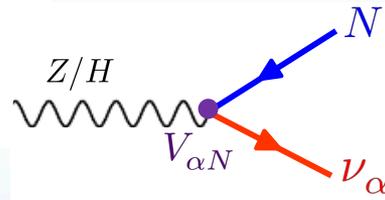
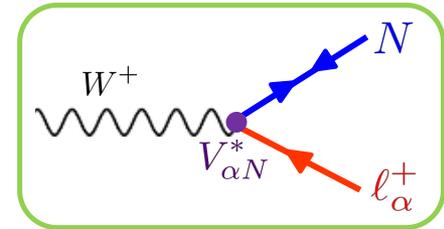
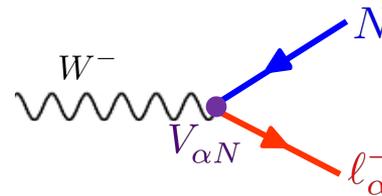
→ In SM, neutrinos are massless

→ A window to BSM physics

Type-I see-saw: Singlet (Sterile) Fermions      Interactions: [0901.3589]

$$-\mathcal{L} = h_{\ell\alpha} \bar{L}_\ell \tilde{\Phi} N_\alpha + \frac{1}{2} M_{N\alpha\beta} \bar{N}_\alpha^C N_\beta + \text{H.c.}$$

$$M_\nu = \begin{pmatrix} 0 & M_D \\ M_D^T & M_N \end{pmatrix}$$



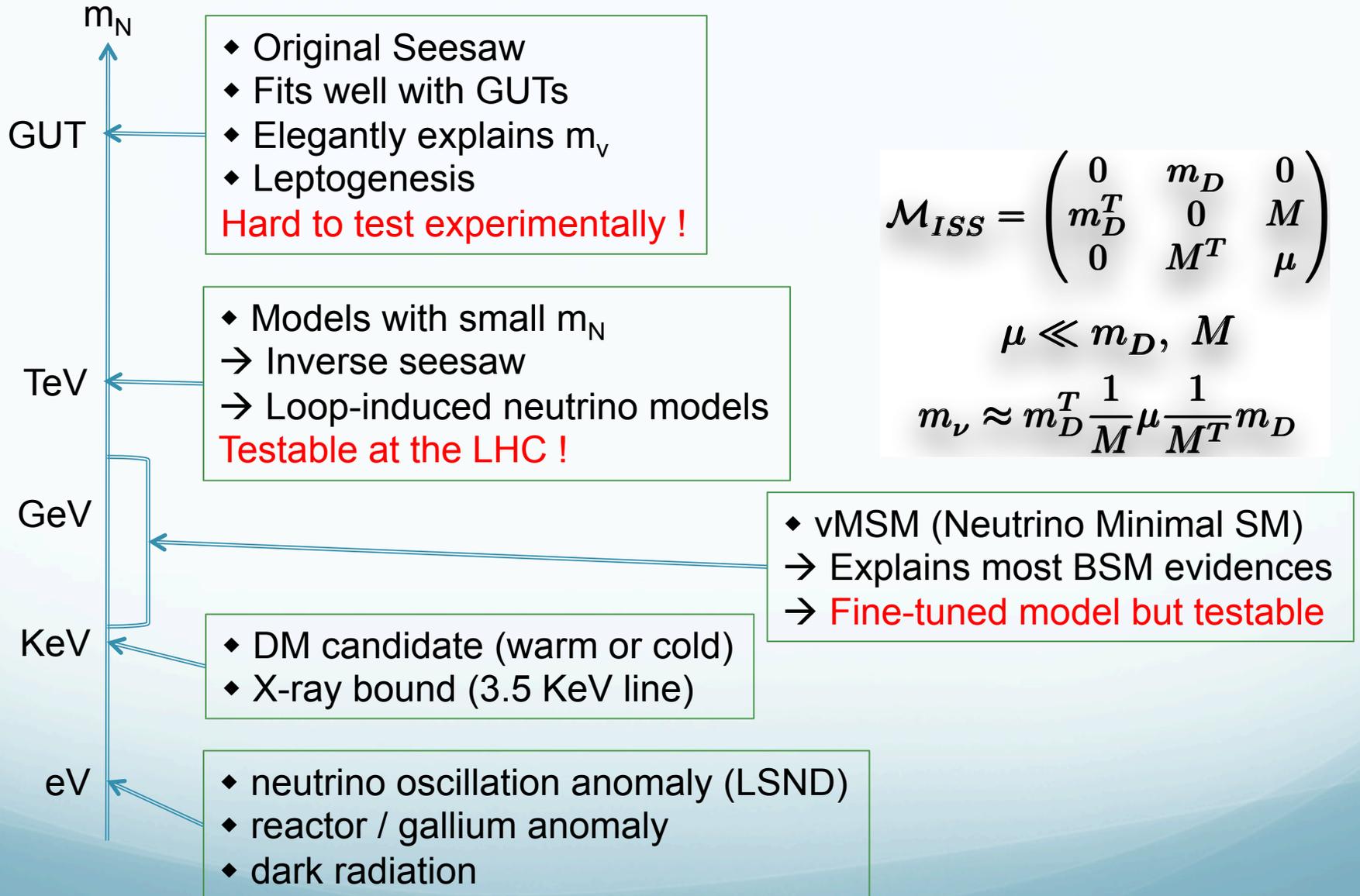
Simplified model with assumption:

Only 1 generation of sterile neutrinos is light & within experimental reach;

$$U_{N\tau} = 0;$$

**3 free parameters:**  $m_N$ ,  $U_{Ne}$ ,  $U_{N\mu}$ , Dirac/Majorana.

# Interesting Mass Scales of $m_N$



$$\mathcal{M}_{ISS} = \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & 0 & M \\ 0 & M^T & \mu \end{pmatrix}$$

$$\mu \ll m_D, M$$

$$m_\nu \approx m_D^T \frac{1}{M} \mu \frac{1}{M^T} m_D$$

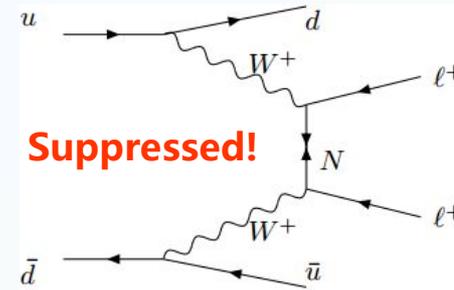
# Productions @ LHC

$$q\bar{q} \rightarrow Z^{(*)} \rightarrow \nu N$$

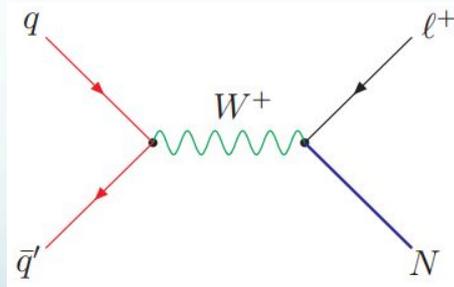
$$gg \rightarrow H^{(*)} \rightarrow \nu N$$

**almost unobserved**

(final states  $l^+l^-$ ,  $l^\pm$  suffer from huge background)

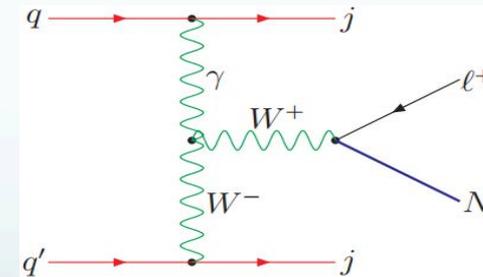


(no resonance enhancement)



**Mostly studied**

(important for  $m_N < 1$  TeV)



More important for  $m_N > 1$  TeV

# Studies @ LHC

Main Search Channels:

$2l + 2j$

need well isolated energetic 2 jets;  
need SS di-lepton to suppress BG;

→ better for Majorana N with  $m_N > m_W$ .

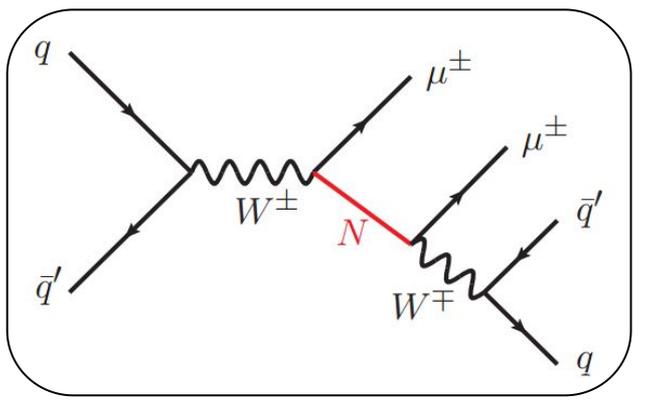
[CMS: 1207.6079, 1501.05566]  
[ATLAS-CONF-2012-139]

Majorana:

$$pp \rightarrow W^\pm \rightarrow l^\pm N \rightarrow \boxed{l^\pm l^\pm jj} \quad (l = e, \mu)$$

Dirac:

$$pp \rightarrow W^\pm \rightarrow l_1^\pm N \rightarrow \boxed{l_1^\pm l_2^\mp jj} \quad (l_{1,2} = e, \mu)$$



$3l + \text{MET}$

→ better for Majorana or Dirac N with  $m_N < m_W$

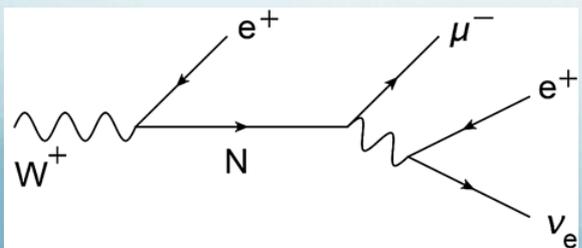
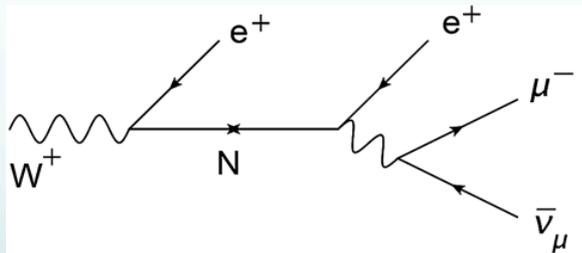
$m_N < m_W$ : [1504.02470]  
 $m_N > m_W$ : [0809.2096, 0910.2720, 1112.6419 ...]

Majorana:  $W^+ \rightarrow e^+ e^+ \mu^- \bar{\nu}_\mu$

distinguishable?

Today !

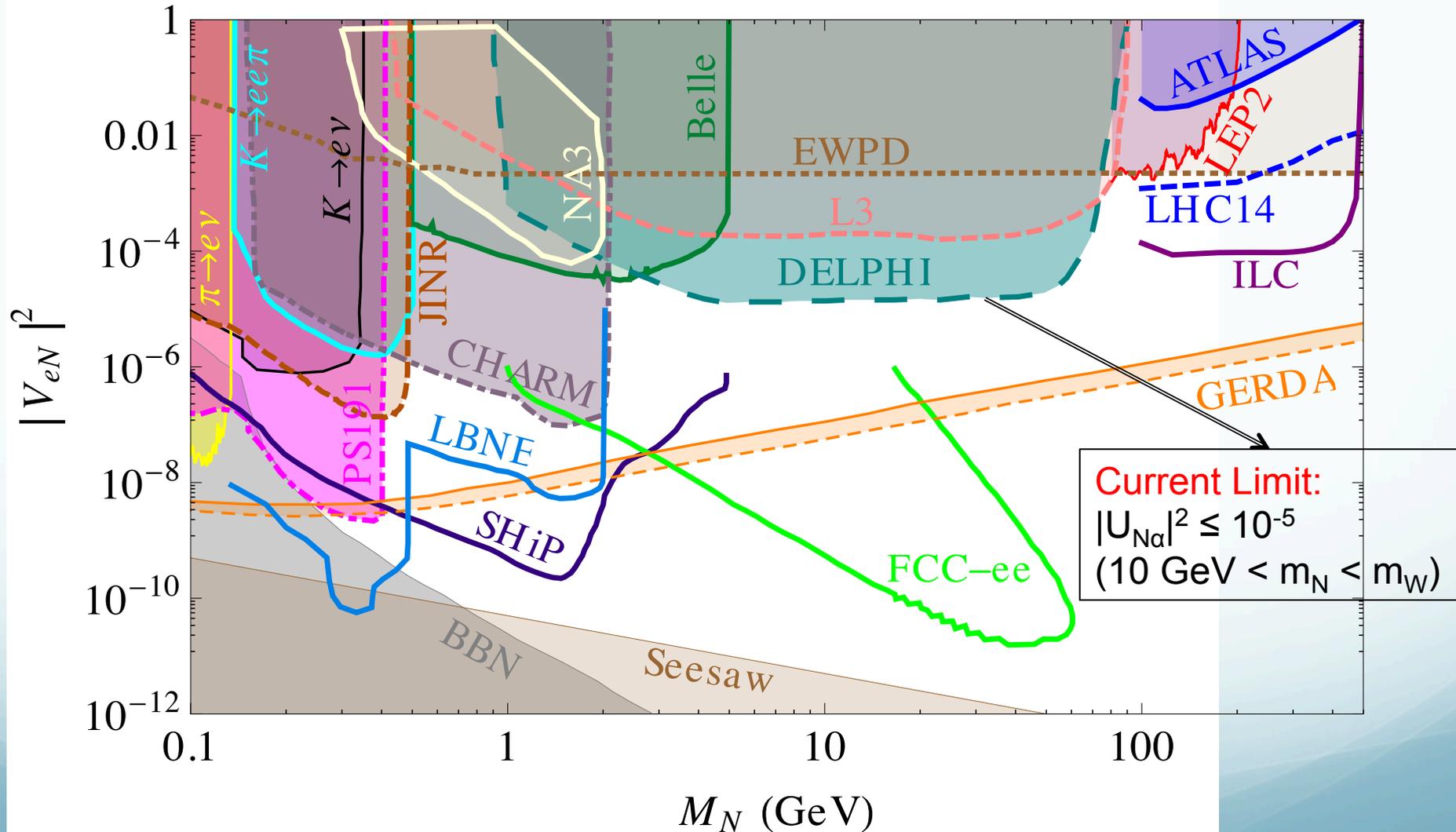
Dirac:  $W^+ \rightarrow e^+ e^+ \mu^- \nu_e$  [0809.2096, 1509.05981]



# Global Constraints

from [Deppisch, Dev and Pilaftsis, New J. Phys. 17 (2015) 085019]

$m_N$ : 0.1 ~ 500 GeV

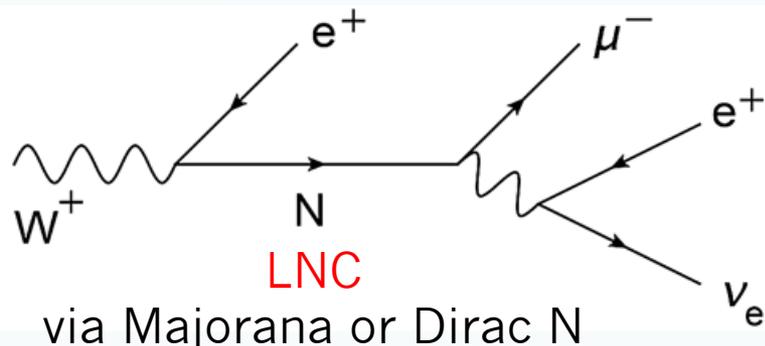


# Outline

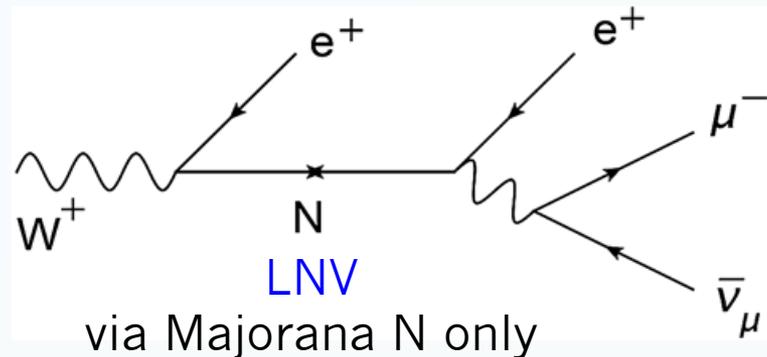
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# Basic Idea

tri-lepton + MET with no OSSF lepton pairs



$$\text{Br}(W^+ \rightarrow e^+ e^+ \mu^- \nu_e) \propto \frac{|U_{Ne} U_{N\mu}|^2}{|U_{Ne}|^2 + |U_{N\mu}|^2}$$



$$\text{Br}(W^+ \rightarrow e^+ e^+ \mu^- \bar{\nu}_\mu) \propto \frac{|U_{Ne}|^4}{|U_{Ne}|^2 + |U_{N\mu}|^2}$$

Scale factors for different tri-lepton states

	Dirac (LNC)	Majorana (LNC+LNV)
$e^+ e^+ \mu^- \nu$	$s$	$s(1+r)$
$\mu^+ \mu^+ e^- \nu$	$s$	$s(1+\frac{1}{r})$

normalization factor

$$s \equiv 2 \times 10^6 \times \frac{|U_{Ne} U_{N\mu}|^2}{|U_{Ne}|^2 + |U_{N\mu}|^2}$$

disparity factor  $r \equiv \frac{|U_{Ne}|^2}{|U_{N\mu}|^2}$

For benchmark point

$$|U_{Ne}|^2 = |U_{N\mu}|^2 = 10^{-6} \rightarrow r = s = 1$$

Basic idea: Distinguish Dirac/Majorana sterile neutrinos

**by counting and comparing events in different channels !**

# Collider Simulation

## Simulation

MadGraph (jet matching up to 2 extra partons) + PYTHIA + Delphes

## Signal:

tri-lepton + MET with no OSSF lepton pairs

$e^+ e^+ \mu^- / \mu^+ \mu^+ e^- / e^- e^- \mu^+ / \mu^- \mu^- e^+ + \text{MET}$ .

## SM background:

→ Leptonic  $\tau$  decay:

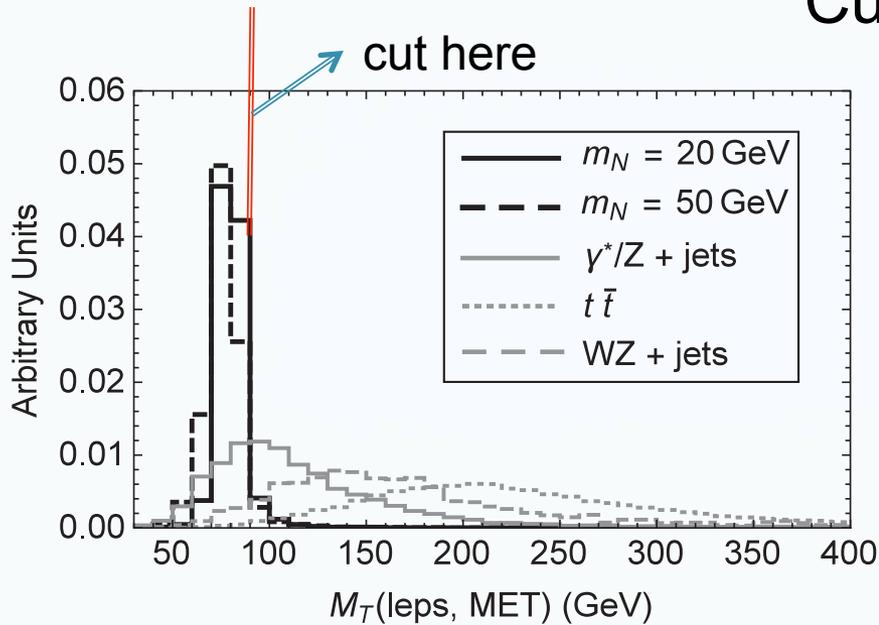
$WZ \rightarrow (l \nu) (\tau \tau) \rightarrow 3 l + \text{MET}$

→ Fake leptons from jets containing heavy-flavor mesons:

$\gamma^*/Z + \text{jets}$ :  $\gamma^*/Z \rightarrow \tau \tau$  + a 3<sup>rd</sup> faked lepton

$t \bar{t} + \text{jets}$ : prompt decay of  $t \bar{t}$  + a 3<sup>rd</sup> fake lepton

# Cuts



Apply various cuts to reduce BG

→ Basic cuts:

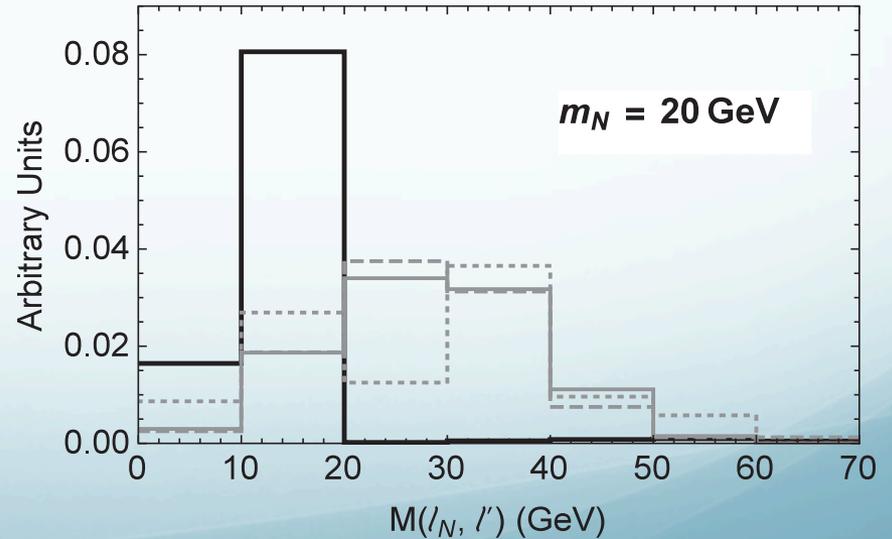
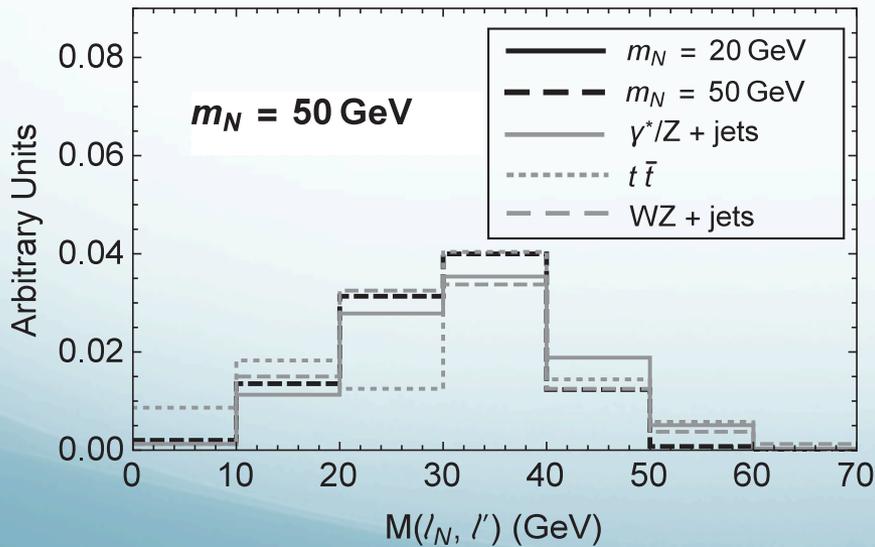
leptons,  $p_{T,l} > 10$  GeV &  $|\eta_l| < 2.5$ ;  
 jets,  $p_{T,j} > 20$  GeV &  $|\eta_j| < 5.0$ .

→  $M_T(3l, \text{MET}) < 90$  GeV

→ MET < 40 GeV

→ b-jet veto &  $H_T < 50$  GeV

→ For  $m_N = 20$  GeV,  
 $M(l_N, l'_N) < 20$  GeV



# Cut Flow Tables

Signal 14 TeV, 3000 fb<sup>-1</sup>

Cuts	$m_N = 50 \text{ GeV}$		$e^+e^+\mu^-$		$\mu^+\mu^+e^-$		$e^-e^-\mu^+$		$\mu^-\mu^-e^+$	
	LNC	LNV	LNC	LNV	LNC	LNV	LNC	LNV	LNC	LNV
Basic cuts	27.7	30.7	30.7	33.3	23.7	26.6	26.3	29.8		
$M_T(\text{leps, MET}) < 90 \text{ GeV}$	26.4	29.0	29.2	31.7	22.5	25.1	25.0	28.1		
$\text{MET} < 40 \text{ GeV}$	26.1	28.7	28.9	31.4	22.3	25.1	24.8	28.1		
$N(\text{b-jets}) = 0, H_T < 50 \text{ GeV}$	23.7	26.0	26.2	28.4	20.1	22.8	22.4	25.5		

Cuts	$m_N = 20 \text{ GeV}$		$e^+e^+\mu^-$		$\mu^+\mu^+e^-$		$e^-e^-\mu^+$		$\mu^-\mu^-e^+$	
	LNC	LNV	LNC	LNV	LNC	LNV	LNC	LNV	LNC	LNV
Basic cuts	13.6	19.5	15.0	22.0	12.1	18.2	13.3	19.5		
$M_T(\text{leps, MET}) < 90 \text{ GeV}$	12.7	18.3	13.9	20.3	11.3	17.0	12.3	18.3		
$\text{MET} < 40 \text{ GeV}$	12.5	18.3	13.8	20.3	11.2	17.0	12.3	18.3		
$N(\text{b-jets}) = 0, H_T < 50 \text{ GeV}$	11.1	16.6	12.2	18.5	10.0	15.6	11.0	16.6		
$M(\ell_N, \ell') < 20 \text{ GeV}$	10.8	16.3	11.8	17.8	9.8	15.1	10.7	16.1		

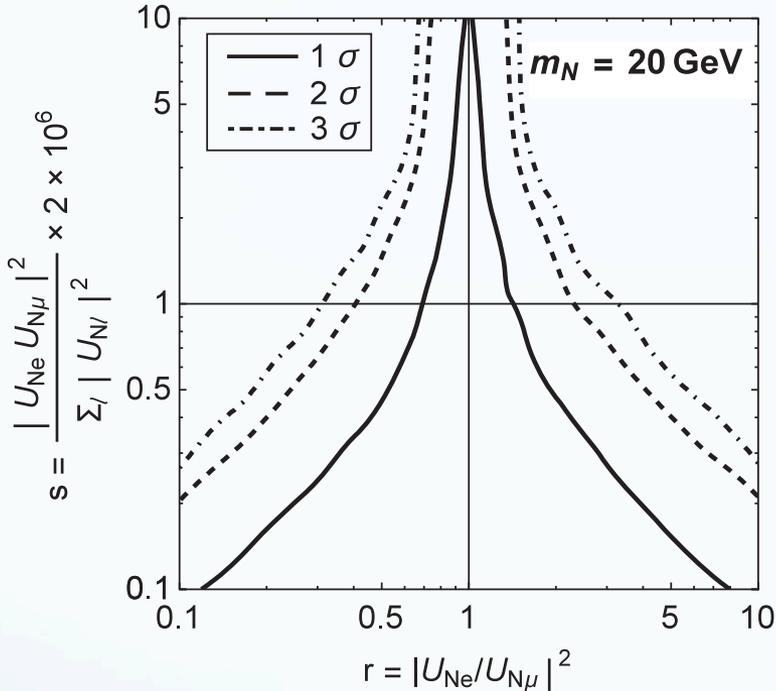
SM background  $l = e \text{ or } \mu$

Cuts	WZ		$\gamma^*/Z + \text{jets}$	$t\bar{t}$
	$\ell^+\ell^+\ell'^-$	$\ell^-\ell^-\ell'^+$	$\ell^\pm\ell^\pm\ell'^\mp$	$\ell^\pm\ell^\pm\ell'^\mp$
Basic cuts	779	550	1055	17147
$M_T(\text{leps}, \vec{E}_T) < 90 \text{ GeV}$	52	34	374	160
$\vec{E}_T < 40 \text{ GeV}$	46	28	356	113
$N(\text{b-jets}) = 0, H_T < 50 \text{ GeV}$	39	23	323	15
$M(\ell_N, \ell') < 20 \text{ GeV}$	7.4	4.4	62	2.7

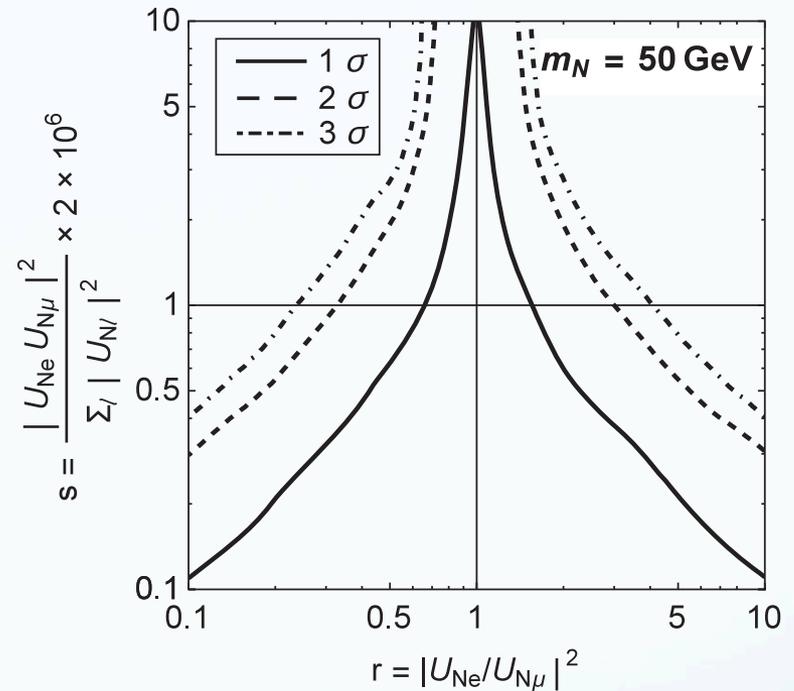
dominant !

# Sensitivity of Excluding Dirac

(assuming Majorana)



$$|U_{Ne}|^2 = \frac{1}{2 \times 10^6} \times (1 + r) \times s$$



$$|U_{N\mu}|^2 = \frac{1}{2 \times 10^6} \times \left(1 + \frac{1}{r}\right) \times s$$

→ 3σ level exclusion

Provided  $s > 5$ ,  $r = |U_{Ne}|^2 / |U_{N\mu}|^2 < 0.7$  (or  $> 1/0.7 = 1.4$ ),

→  $|U_{Ne}| / |U_{N\mu}| < 0.84$  or  $> 1.20$

# Summary

- ★ Sterile neutrinos may exist over a wide range of masses



- ★ A simple method to discriminate Dirac / Majorana

- @ LHC,  $m_N < m_W$  &  $r \neq 1$

- trilepton channel

- excluding the Dirac by counting and comparing the numbers of events in the  $e e \mu$  and  $\mu \mu e$  channels

- ★ Sensitivities @ 14 TeV LHC, 3000 fb<sup>-1</sup>

- $m_N = 20, 50$  GeV

- 3 $\sigma$  exclusion on Dirac for  $|U_{Ne}|^2 < 0.7 |U_{N\mu}|^2$  or  $|U_{Ne}|^2 > 1.4 |U_{N\mu}|^2$

- provided  $|U_{Ne}|^2 > 2 \times 10^{-6}$

- ★ Further directions

- $r \sim 1$ , challenging, kinematical distributions of final state leptons to enhance discriminating power

The background of the slide is a light yellow color with a subtle pattern of faint, overlapping postmarks and stamps in various colors (red, blue, black). Some of the visible text in the stamps includes "PAR AVION", "POSTAGE", "AIR MAIL", and "257A".

*Thank you for your attention !*

*Any Questions ?*

# Backup Slide

## Fake-lepton Simulation

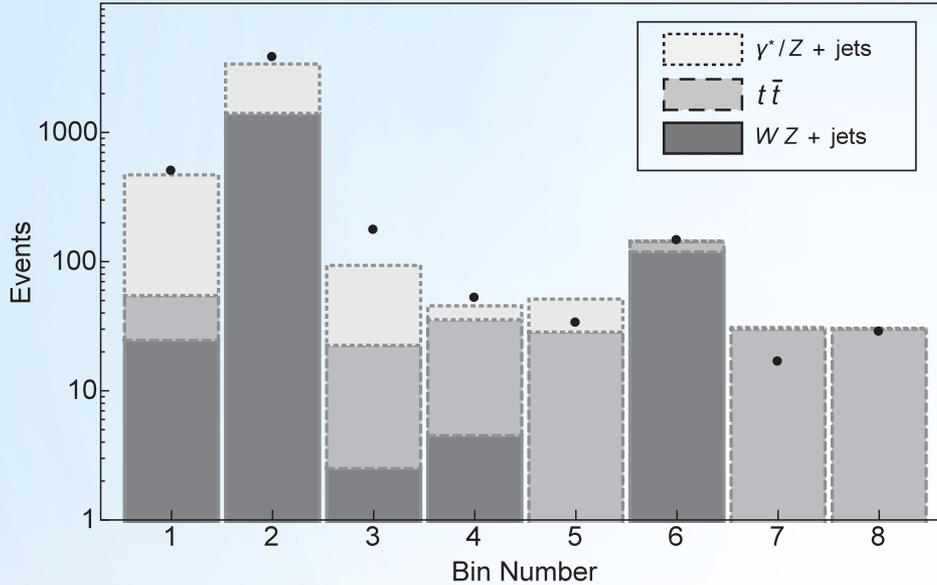


FIG. 6. Validation results for fake lepton simulation. Black dots indicate experimental results in Ref. [31]. Our simulated results for  $\gamma^*/Z + \text{jets}$ ,  $t\bar{t}$ , and  $WZ + \text{jets}$  are given by upper light gray bars, middle brown bars, and bottom pink bars, respectively. Eight bin categories are (1) 0-bjet, 1-OSSF,  $M_{\ell^+, \ell^-} < 75$  GeV, (2) 0-bjet, 1-OSSF,  $|M_{\ell^+, \ell^-} - M_Z| < 15$  GeV, (3) 0-bjet, 1-OSSF,  $M_{\ell^+, \ell^-} > 105$  GeV, (4) 0-bjet, 0-OSSF, (5–8) are the same as the first four bins, but with at least one b-jet.

A pheno. FL simulation method

→ data-driven methods to estimate the fake lepton contributions

→ modeling parameters, pinned down by validating simulated results against actual experimental ones.

### 1. Mistag rate

(probability of converting a jet to a lepton)

$$\epsilon_{j \rightarrow \ell}(p_{Tj}) = \epsilon_{200} \left[ 1 - (1 - r_{10}) \frac{200 - p_{Tj}/\text{GeV}}{200 - 10} \right]$$

### 2. Transfer function

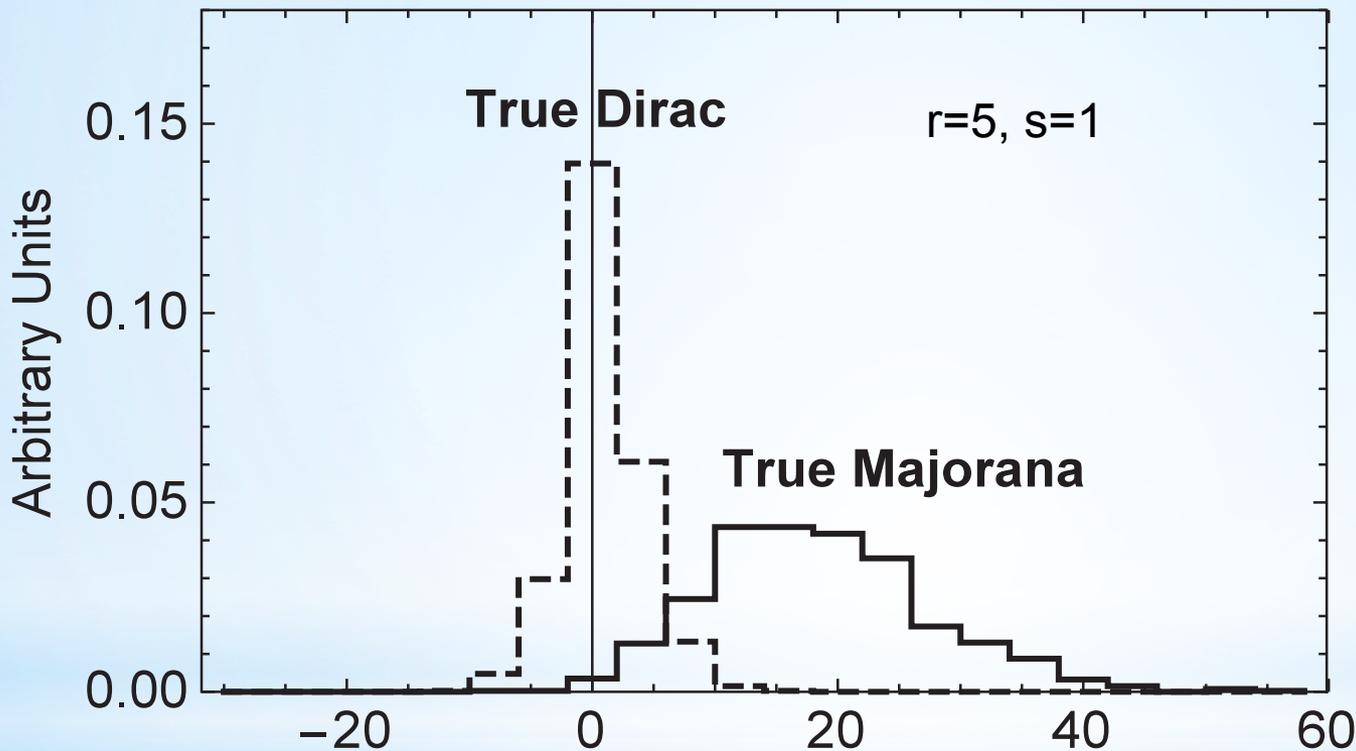
(how much  $p_T$  is transferred into the lepton)

$$p_{T\ell} \equiv (1 - \alpha)p_{Tj}$$

$$\mathcal{T}_{j \rightarrow \ell}(\alpha) = \frac{1}{\mathcal{N}} \exp \left[ -\frac{(\alpha - \mu)^2}{2\sigma^2} \right]$$

# Backup Slide

## Calculating the Confidence Level of Excluding Dirac



Statistical fluctuation  
→ spread of 2 distributions

Level of overlap  
→ CL of discriminating  
2 hypotheses

Median discrimination  
→  $T = 0$ , median possible  
value for Dirac

$CL = 1 - \alpha$   
→  $\alpha$ , area under the Maj.  
curve for  $T < 0$ .

A test statistic  $T = \chi^2_{\text{Dirac}} - \chi^2_{\text{Maj}}$   $\chi^2_H = -2 \min_{s,r,CH} \left\{ \ln \left( \prod_i \text{Poiss}[N_i^{\text{expc}}(s, r; H), N_i^{\text{obs}}] \right) \right\}$

→ To quantify how well the data sets are described within a given hypothesis.