

# Single layer mirrors and multilayer mirrors for current and next-generation light sources

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**Member of the Helmholtz  
Foundation (HGF)**

**700 employees: 4 research fields  
150 people from the Institute of  
Materials Research**

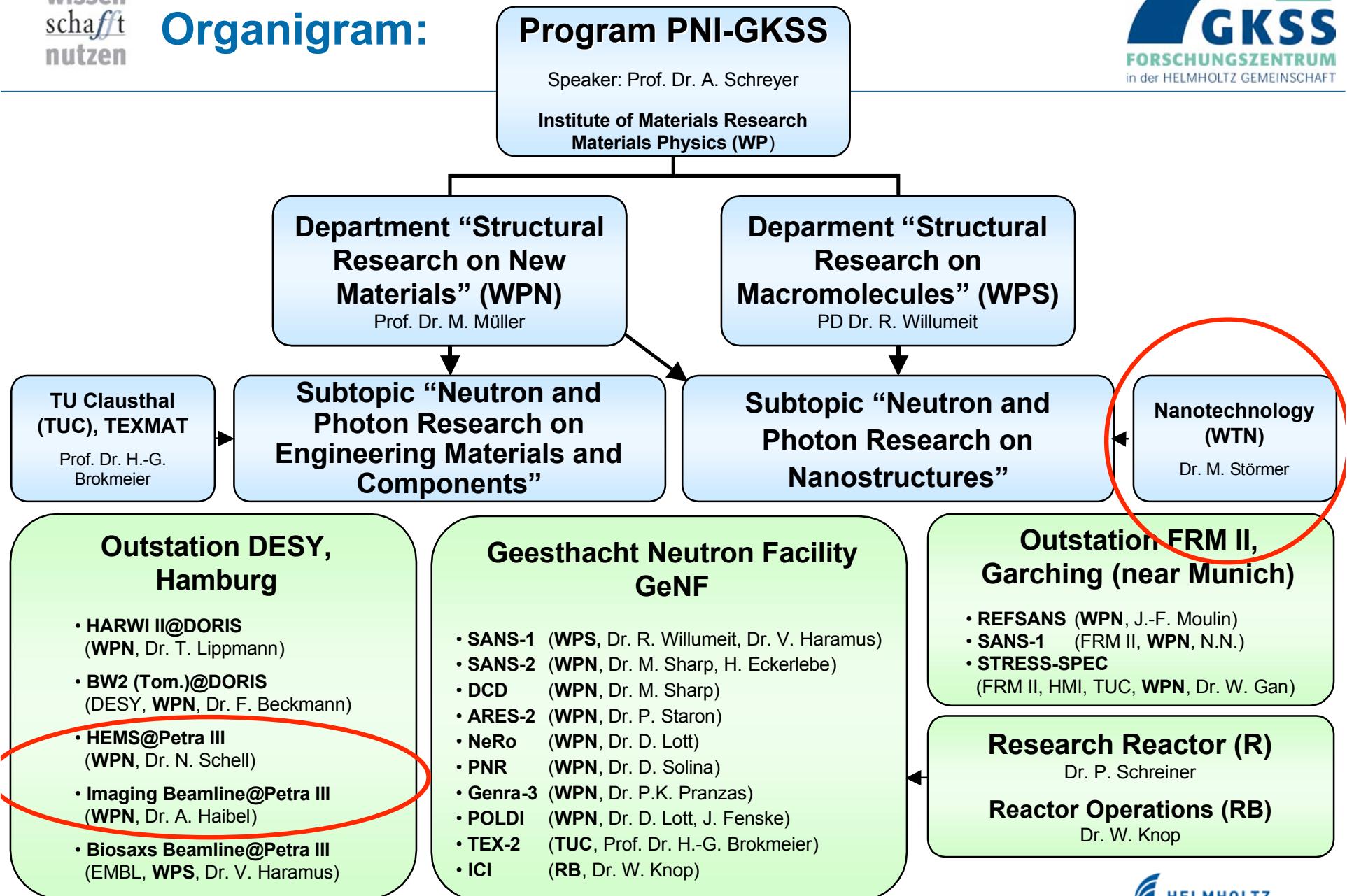
**Neutron Research Reactor  
FRG-1**



**HGF Research Fields:**  
**Key Technologies**  
**Structure of Matter**  
**Health**  
**Earth and Environment**

**Core Programme:**  
**Advanced Engineering Materials**  
**Research with Photons, Neutrons and Ions (PNI)**  
Regenerative Medicine  
Coastal Dynamics and Causes of Changes

# Organigram:



# Department Nanotechnology Group: Thin film technology

**XRR**

**XRF**

**AFM**

Carbon Coating on Silicon Substrate

**Multilayer preparation:  
Magnetron-Sputtering**

Inst. of Physics  
Academy of Sciences  
Prag

Soft X-ray beamline

**PTB**

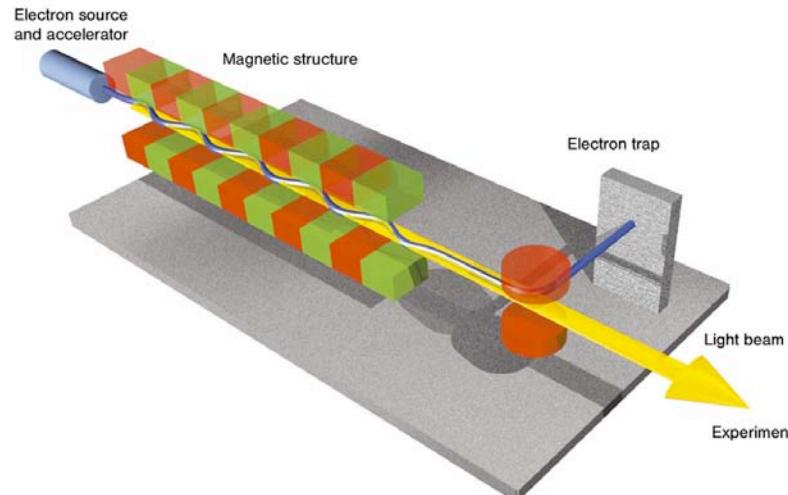
**TEM  
(Uni Kiel)**

— 40 nm

- Research in the field of X-ray optics for different wavelengths
- Large number of experimental methods
- Characterization of thin films: single layer mirrors and multilayer mirrors
- Previous focus: mirrors for lab equipment => 2002: foundation of a company: Incoatec
- Now: development of new X-ray optics, for instance large FEL optics

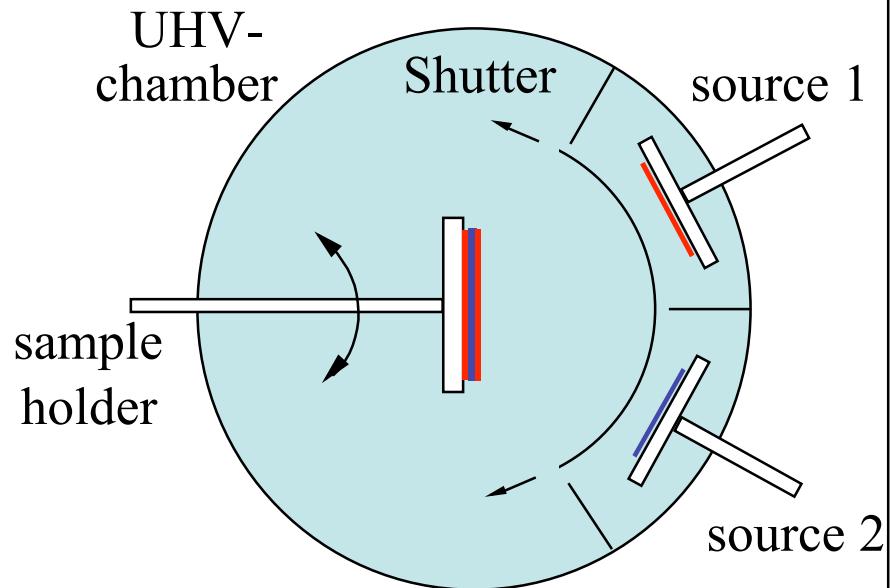
# History of the “GKSS carbon coatings”

## Free-Electron-Laser (FLASH)



Tasks of the Mirrors:  
-Beam Guidance  
-Beam Alignment  
-Monochromatisation

## Magnetron sputtering system



working pressure: 0.1 - 0.5 Pa  
Ar gas (purity: 99.9999%)

**Development of Mirrors for the “Light of the Future“ successful  
Contract with Incoatec: collaboration in the field of nanostructures**

low energy range of 50-200eV (FLASH)  
=> elements with a low atomic number

Carbon: absorption edge C<sub>K</sub> 284 eV

Measurement: 40 nm C-coating

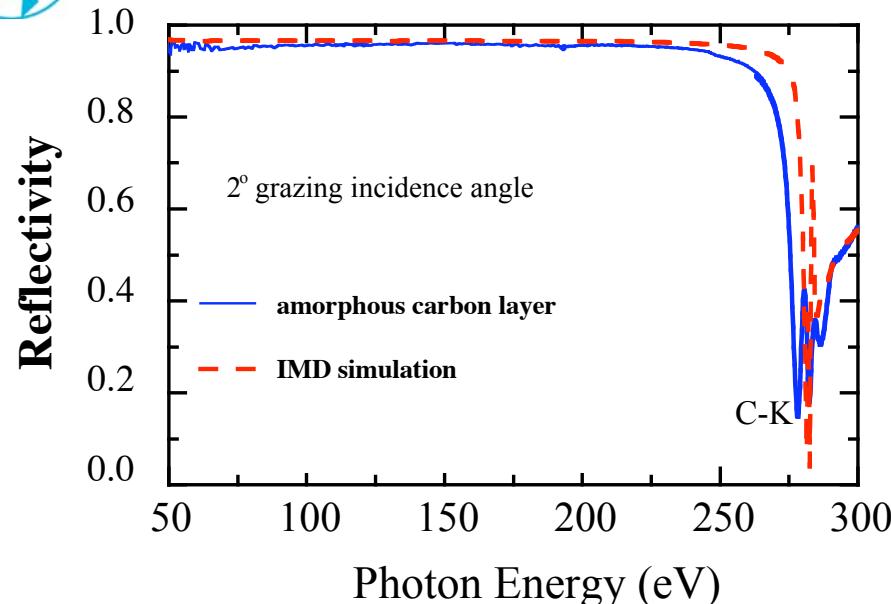
### IMD Simulation:

carbon layer, density of diamond, zero roughness

( IMD -Software for modeling the optical properties of multilayer films,  
Windt, Computers in Physics, 12 (4) 1998)



VUV-reflectometer G1, HASYLAB

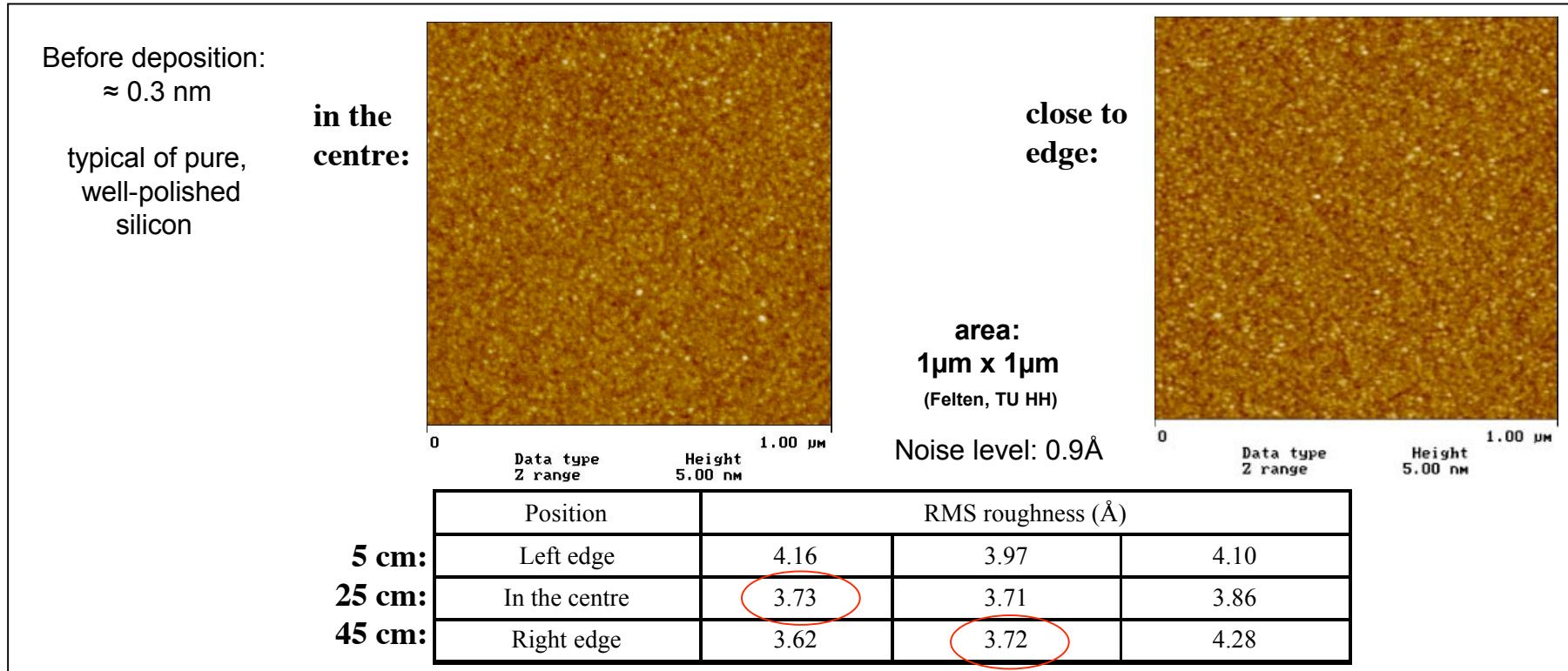


Between 50 - 250 eV at 2°: R(E) > 95 %

(Jacobi et al. SPIE Proc.  
4700, 2001)

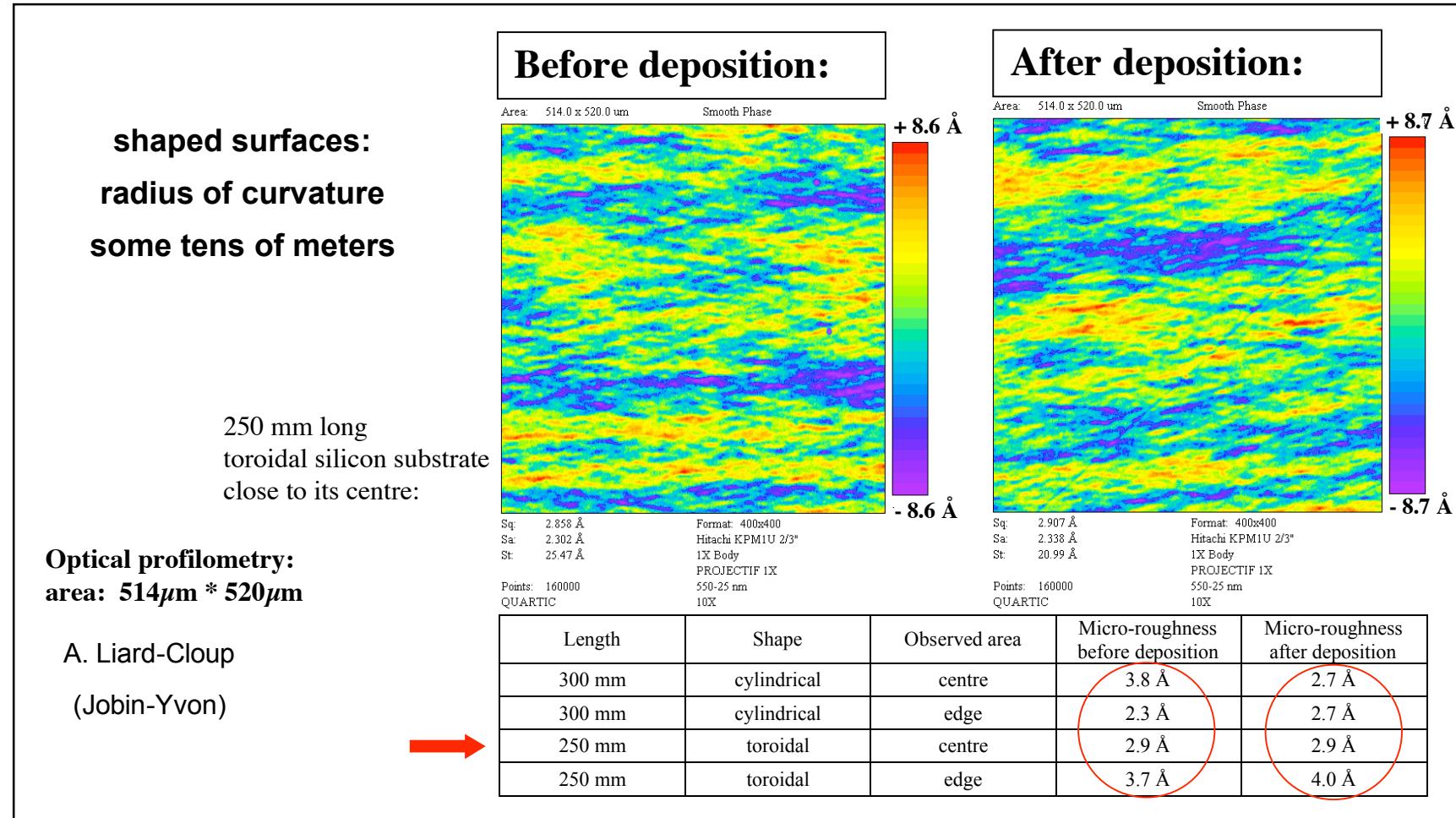
- Reflectivity is near the reachable theoretical reflectivity of 96.5%
- Differences in the region of the absorption edge

# a-C Films: Atomic Force Microscopy (AFM)



After deposition: - mean rms roughness  $\approx 0.4 \text{ nm}$   
- no difference in roughness over the whole length of the mirror  
→ precise deposition process for large X-ray optics

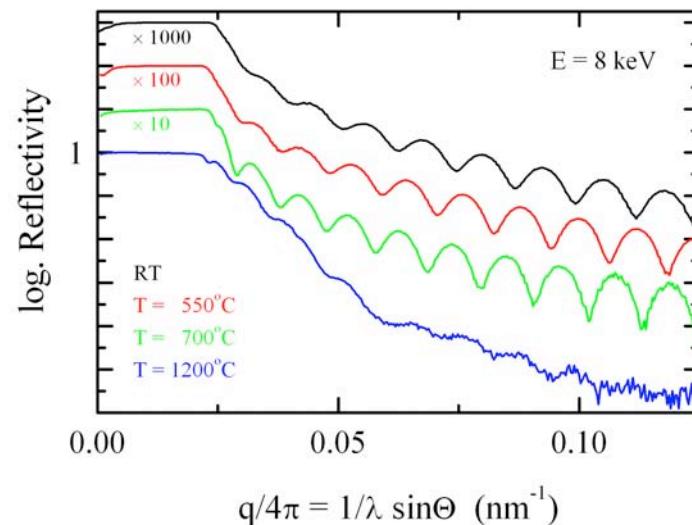
# Cylindrical and toroidal substrates



→ Micro-roughness varied from 0.2- 0.4 nm (tolerance: 0.04 nm)  
 → It remained more or less unchanged

(SPIE Proc. 5533,  
2004)

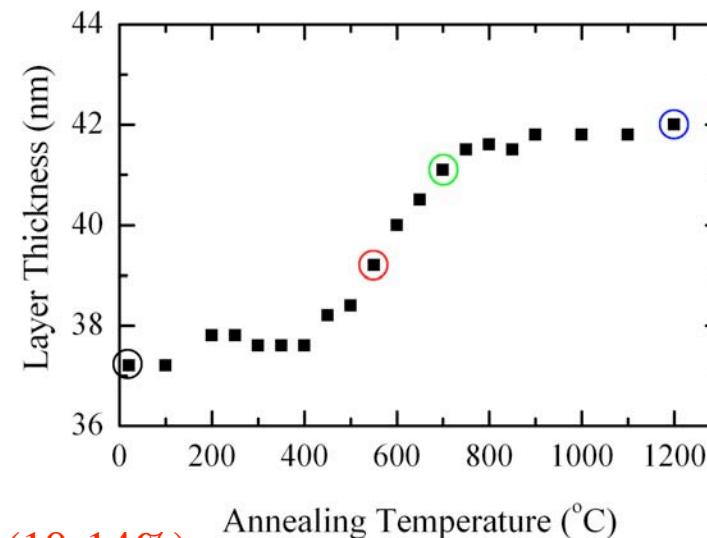
# Thermal Stability I



Up to 400°C: film remains constant  
400 - 750°C: increase in film thickness (10-14%)  
Above 750°C: constant thickness  
At 1200°C: layer structure is destroyed

XRR: Cu-K $\alpha$  (8048 eV)

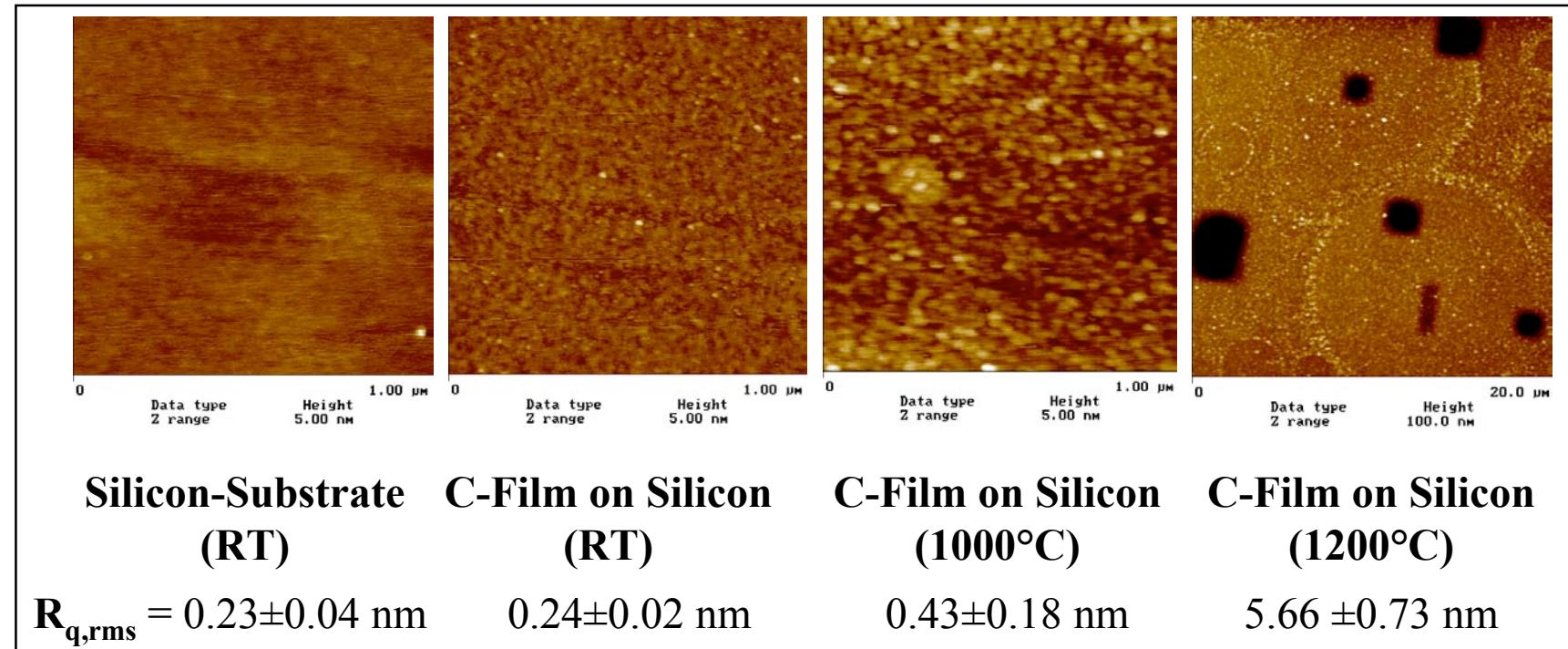
ex-situ annealing RT - 1200°C  
for 80 min (30 min constant)



(Jacobi et al. SPIE Proc. 4782,  
p. 113, 2002)

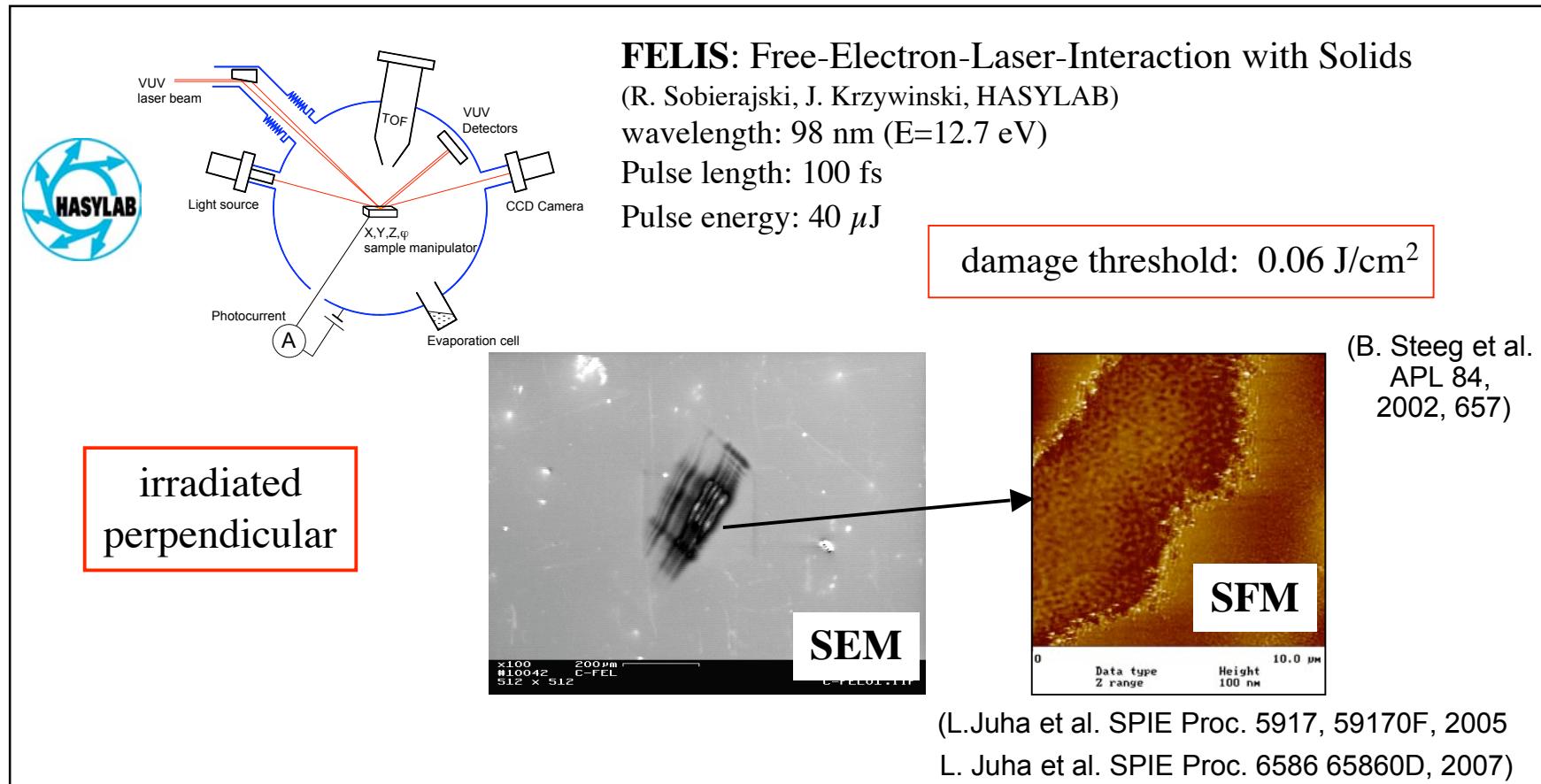
Mirrors are cooled in the FEL  
→ Good thermal stability under application conditions

## Thermal stability II: AFM



- Roughness unchanged after film deposition
- Roughness increases during heating
- After 1000°C: surface roughness is still smaller than 0.5 nm →  $R(E) \approx 87\%$
- After 1200°C: holes in the film → layer structure destroyed

# Radiation Stability



Mirrors: stable under FLASH radiation:

1. incidence angle: 2°
2. photon energy: 200 eV

=> irradiation under grazing incidence!  
=> absorption is by two orders of magnitude lower!

# 510mm plane mirror for FEL-beamline at FLASH / DESY



Measurement result for center line:

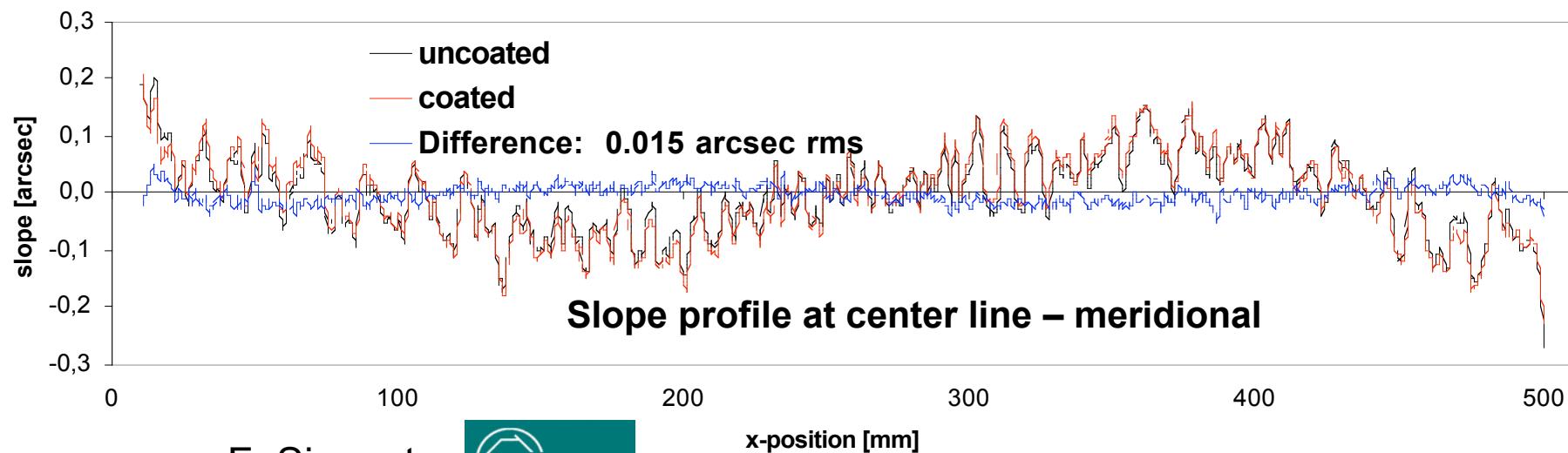
Residual slope - uncoated:  $0.33 \mu\text{rad rms}$

- coated:  $0.33 \mu\text{rad rms}$

Radius - uncoated:  $> 450 \text{ km}$

- coated:  $> 800 \text{ km}$

Excellent stability of shape !!



F. Siewert

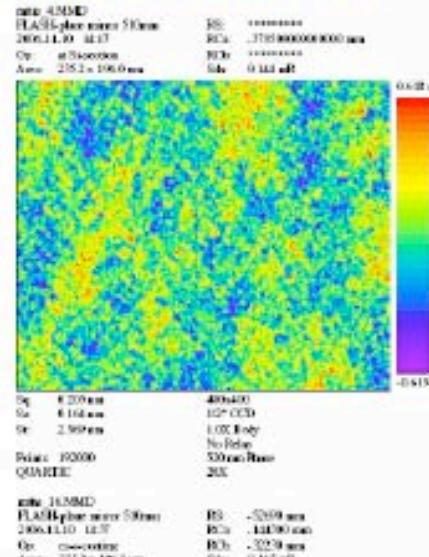


## Micromap measurements:

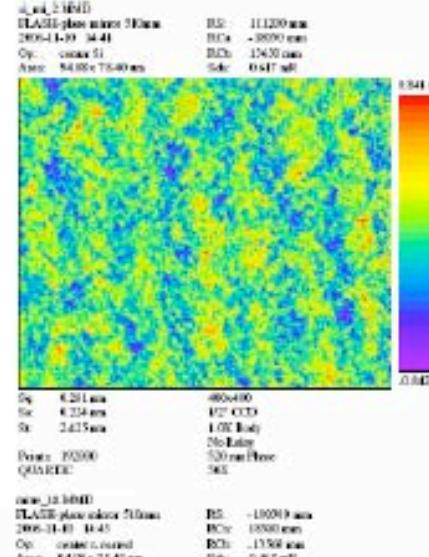
On Silicon:

Sq = 0.20 nm rms (20x)  
0.28 nm rms (50x)

Magnification: 20x

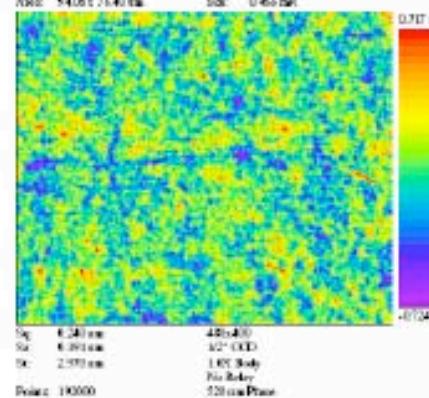
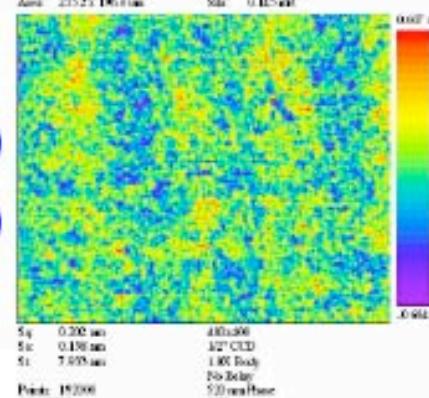


50x



At C-Coating:

Sq = 0.19–0.25 nm rms (20x)  
0.18–0.27 nm rms (50x)



No significant damage is  
found !!!

F. Siewert



### Single Layer Mirrors and Multilayer Mirrors

+

### Deposition length of 1500mm

# Why is a deposition length of 1.5m needed?

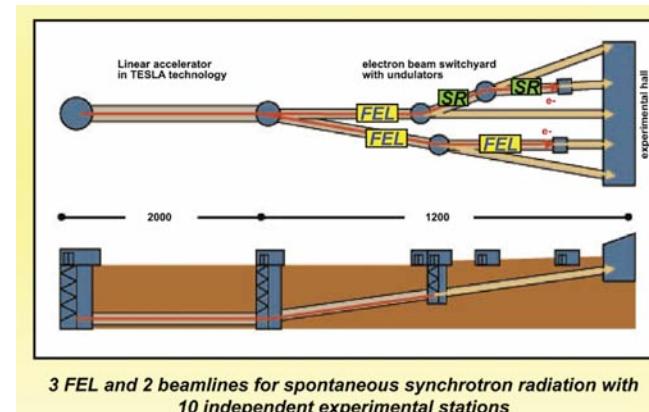
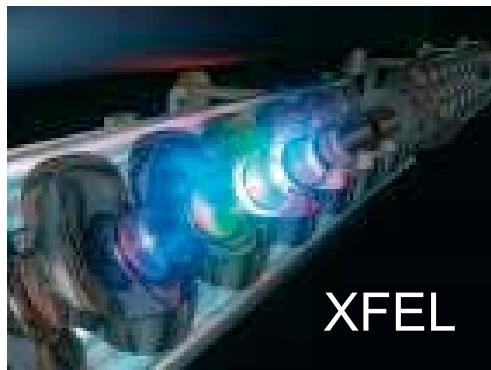
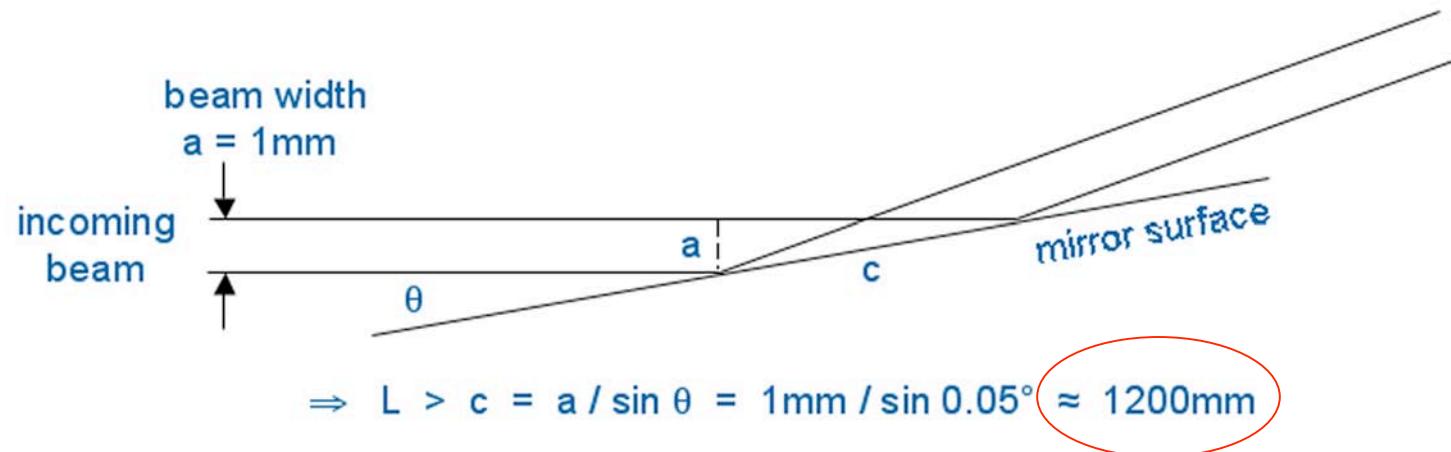
## 1. X-FEL:

total reflection of a single layer

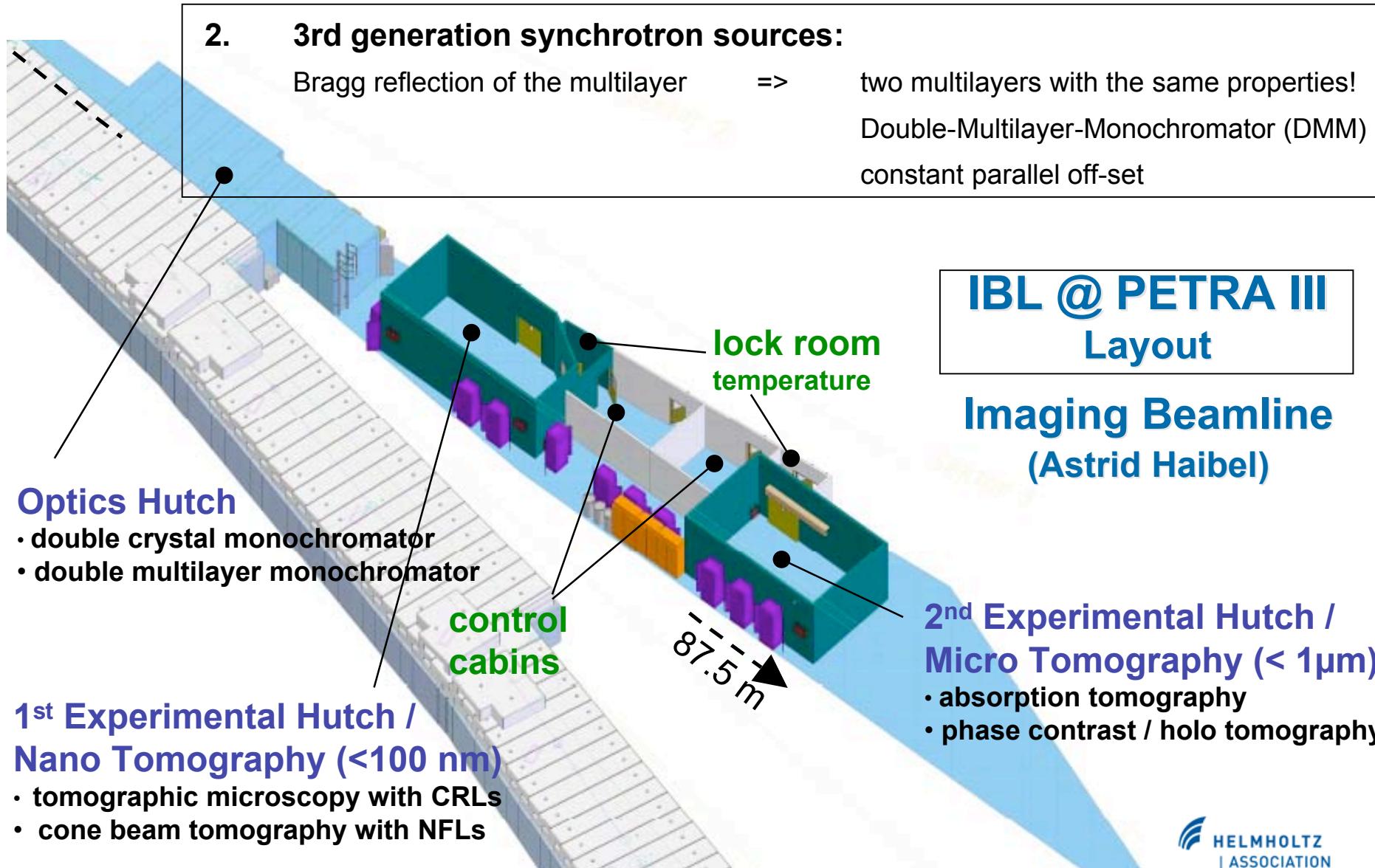
=> at 12.4 keV radiation

critical angle of C and W:  $\theta_C = 2.4$  and  $6.3$  mrad

=> incidence angle  $\approx 0.05^\circ$



# Parallel deposition => 2 identical mirrors

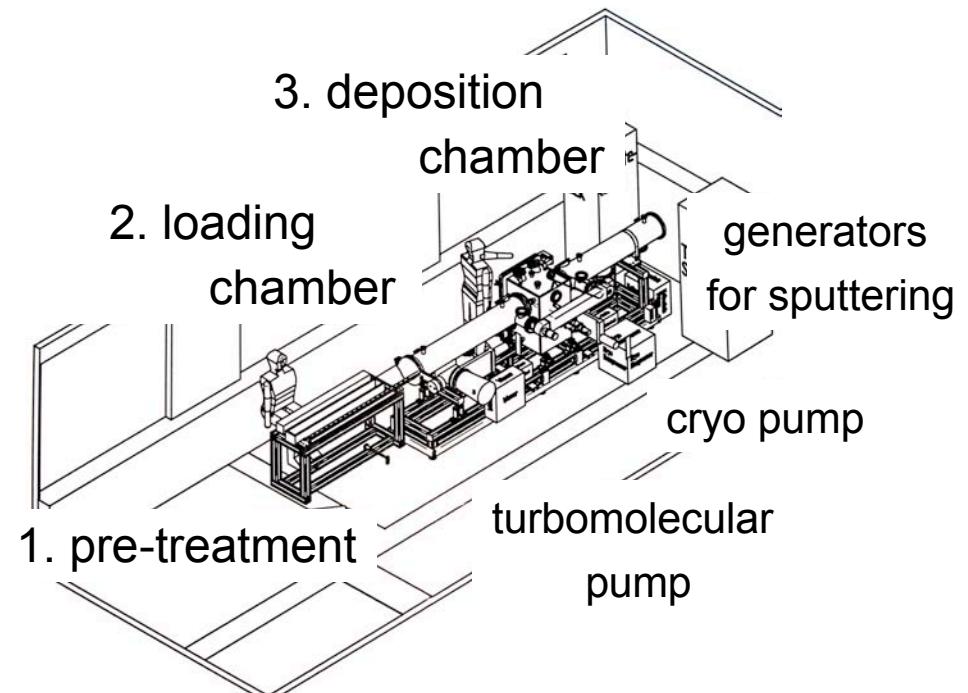


# New Sputtering System

## Challenge:

Enlargement of the deposition length  
to manufacture  

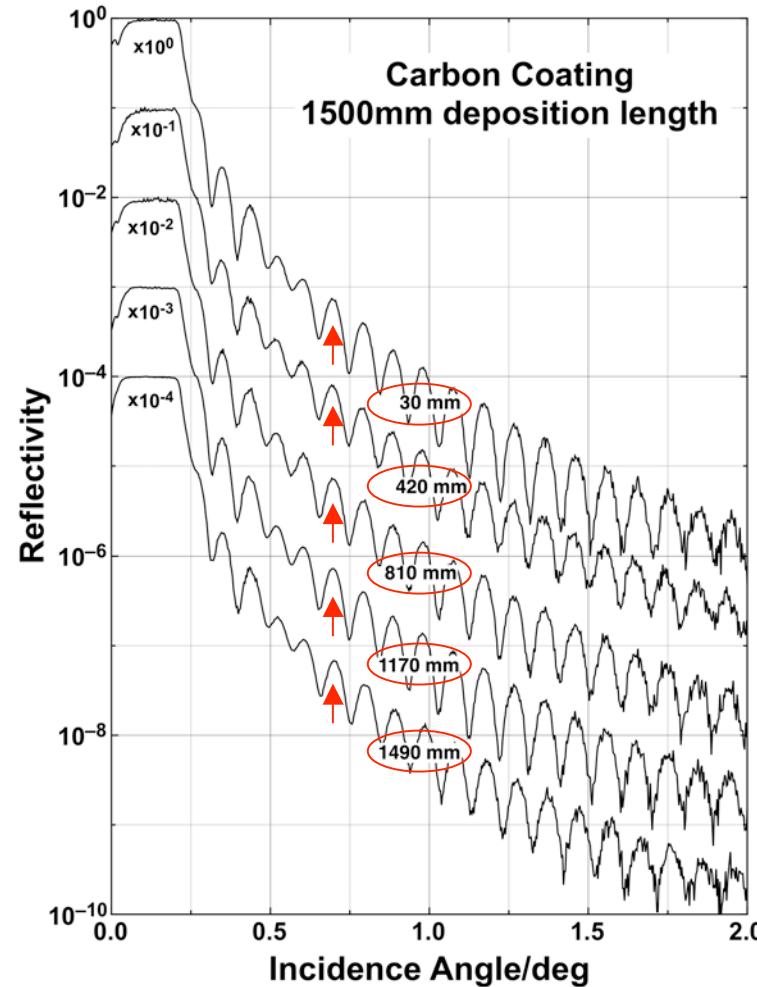
- Single layers and  
    Mirror length of 1.5 meters !
- Multilayers:  
    Simultaneous deposition of mirror pairs  
    to achieve the same properties



M. Störmer, C. Horstmann, D. Häussler, E. Spiecker, F. Siewert, F. Scholze, F. Hertlein, W. Jäger, R. Bormann,  
*Single-layer and multilayer mirrors for current and next-generation light sources*,  
Proc. SPIE 7077 (2008) 707705.

<http://dx.doi.org/10.1117/12.798895>

# 1. Single layer Mirrors: a-C coatings



- XRR measurements with Cu radiation (lab)
- investigations in tangential direction
- thickness oscillations
- fringe orders at the same angles

IMD simulations:

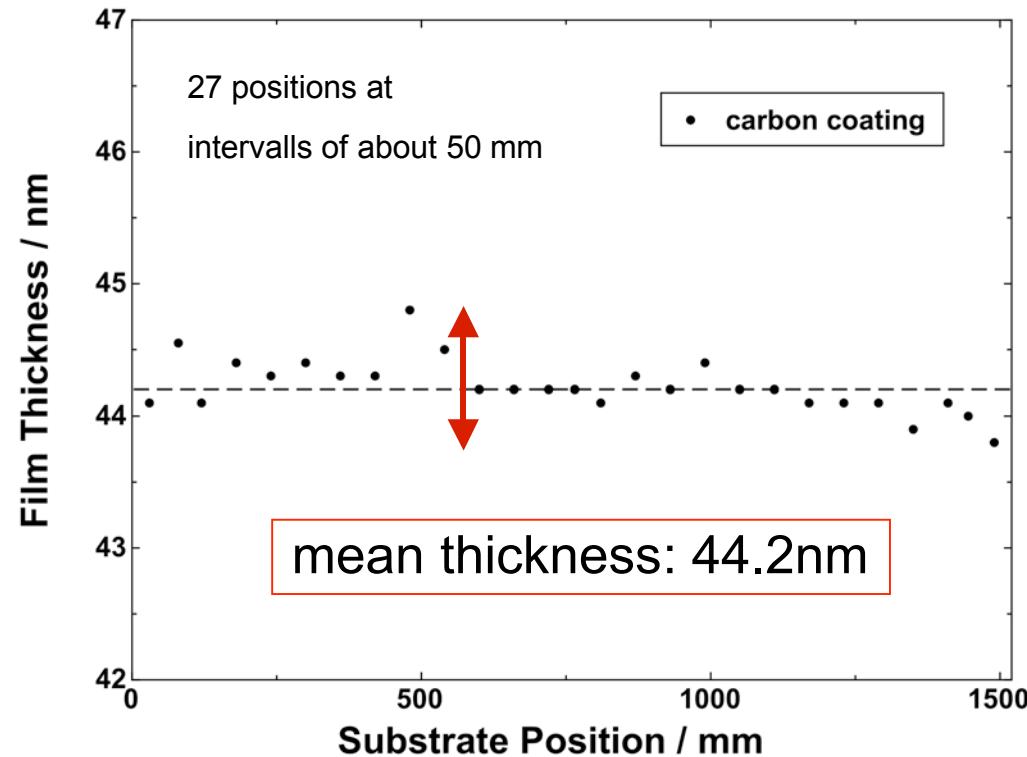
film thickness: 44nm,

film roughness: 0.5nm,

film density: 2.2 g/cm<sup>3</sup>.

High precision of the coating process  
over a deposition length of 1.5m

# Variation in layer thickness

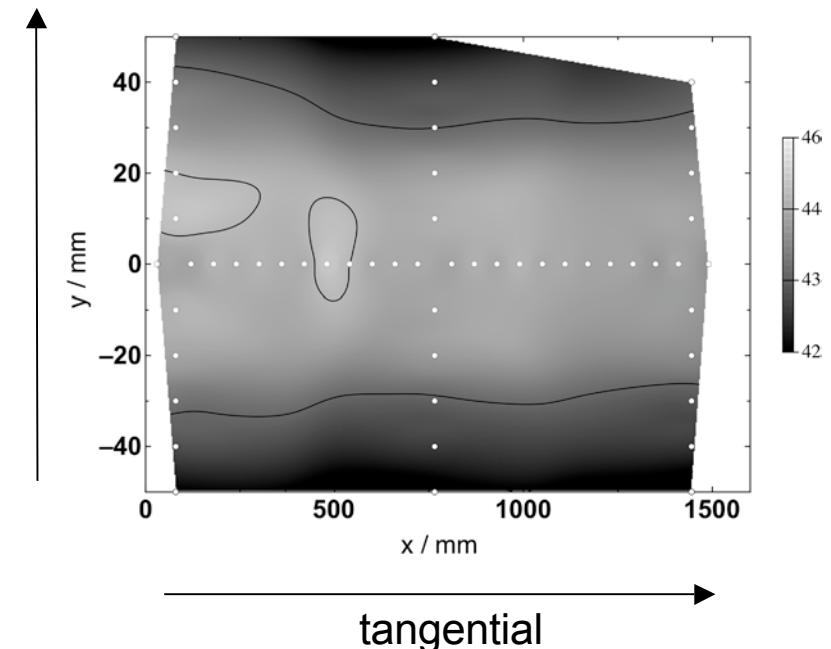


mean thickness: 44.2 nm

Variation in film thickness:

PV = 1.0 nm and  $\sigma = 0.2 \text{ nm}$

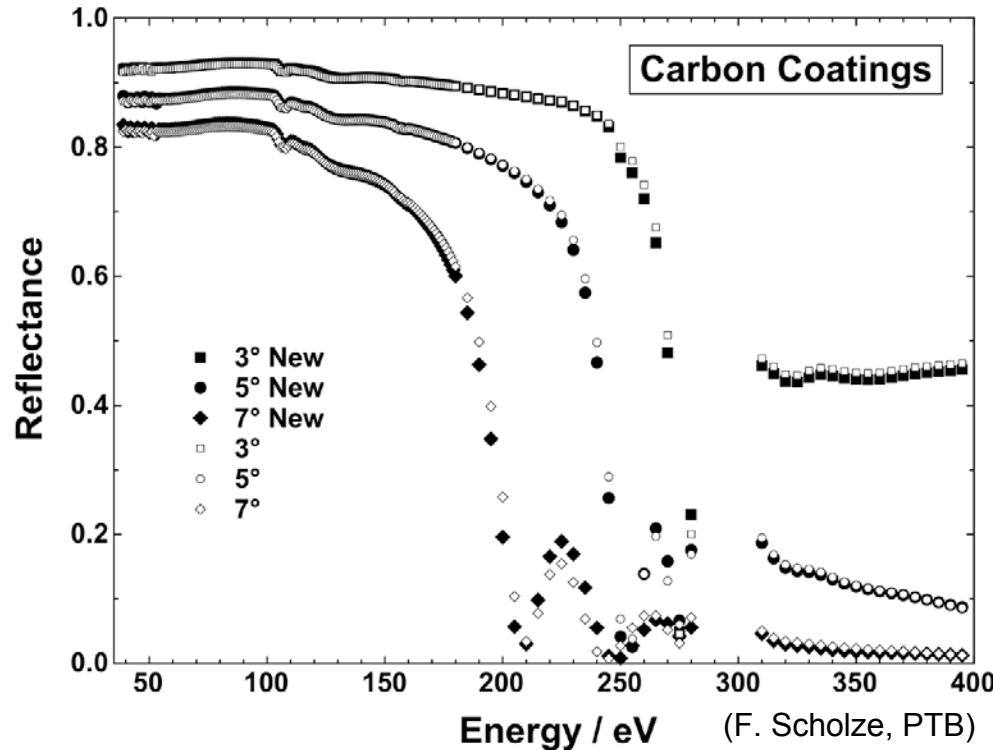
sagittal



thickness difference of 3 nm  
over a width of 80 mm

Good uniformity in thickness over the whole optical area of the mirror!

# Energy-dependent reflectivity



3°: R > 88%      50-200eV  
5°: R > 77%      50-200eV  
7°: R > 70%      E > 162eV

BESSY II:  
PTB soft x-ray radiometry beamline  
photon energy range: 35-400eV  
various incidence angles: 3°, 5° and 7°

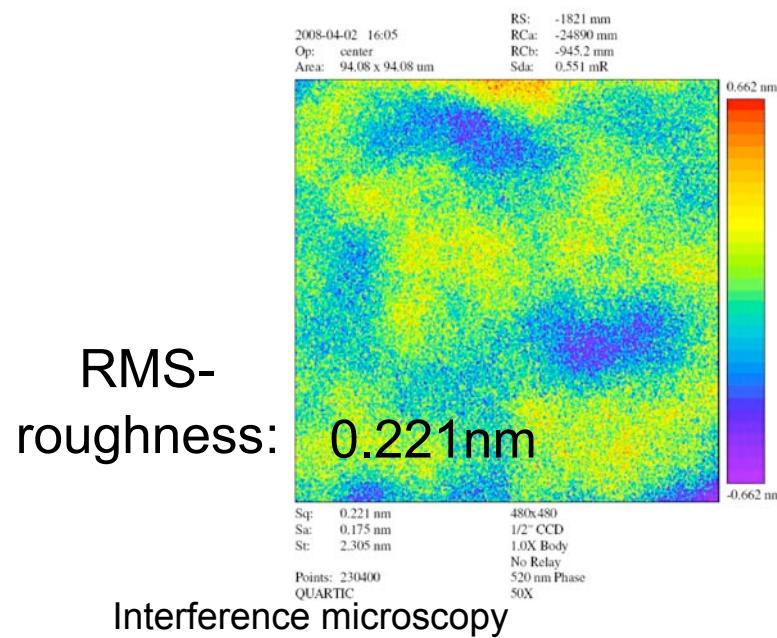
Comparison of two carbon coatings:  
- former and new sputtering systems

No difference!

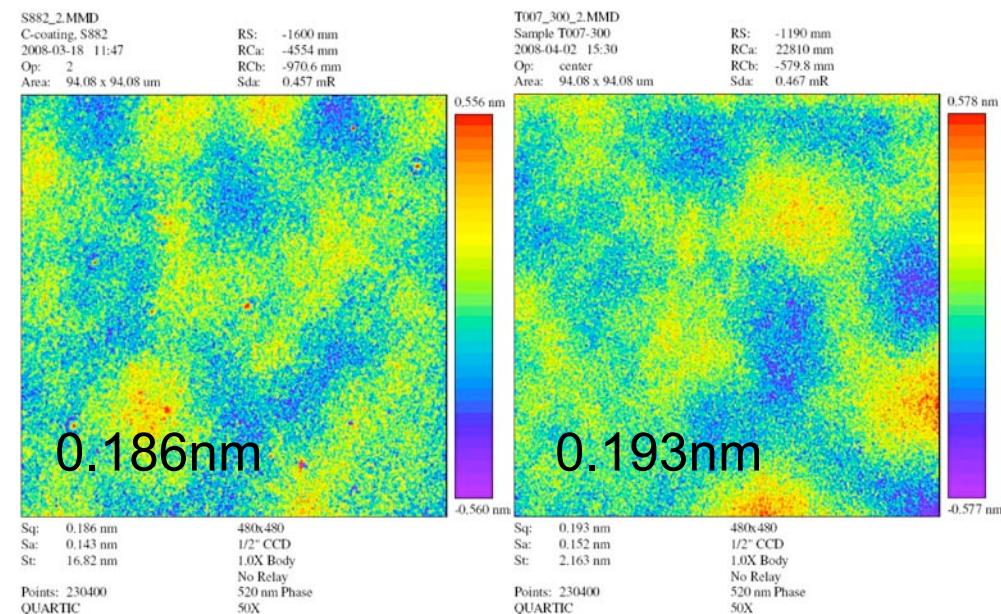
The development of this enlarged system is  
a success for single layer mirrors !

# Micro-Roughness Measurements

Si-Substrate



45nm amorphous C coating on Si substrate  
former and new sputtering system



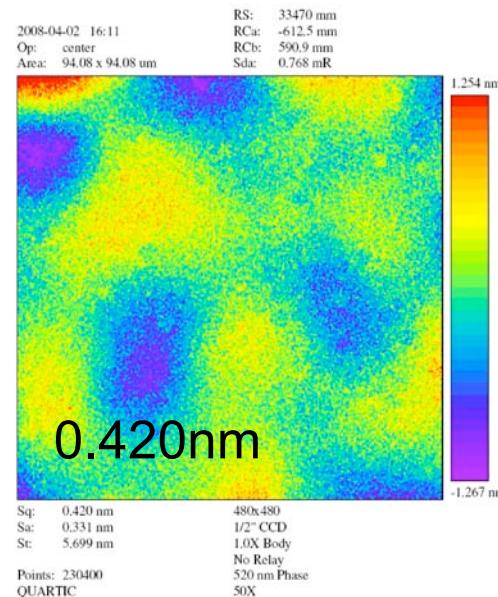
(F. Siewert, BESSY)

measuring area: 94 $\mu\text{m}$  x 94 $\mu\text{m}$

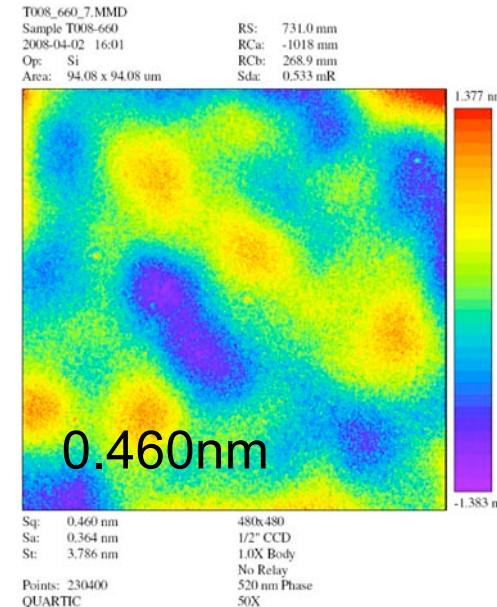
- RMS roughness: 0.2nm before and after the deposition
- Magnetron sputtering replicates the substrate roughness

# Rougher Si-Substrates

Before deposition:



After carbon deposition:



substrate roughness:  
0.4 nm

carbon film roughness:  
0.35-0.46 nm

The micro-roughness remains the same over the whole deposition length of 1.5m.

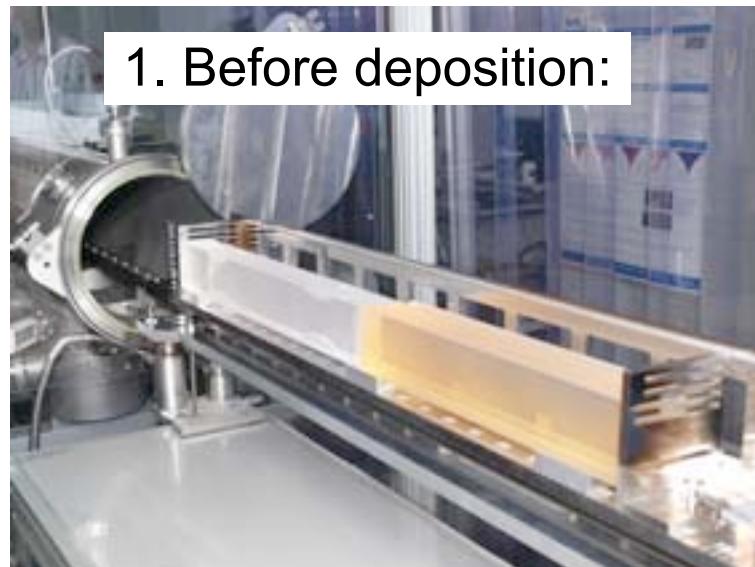
# First Application: Single layer mirrors

Two flat substrates:

1. 630mm Quartz
2. 460mm Zerodur

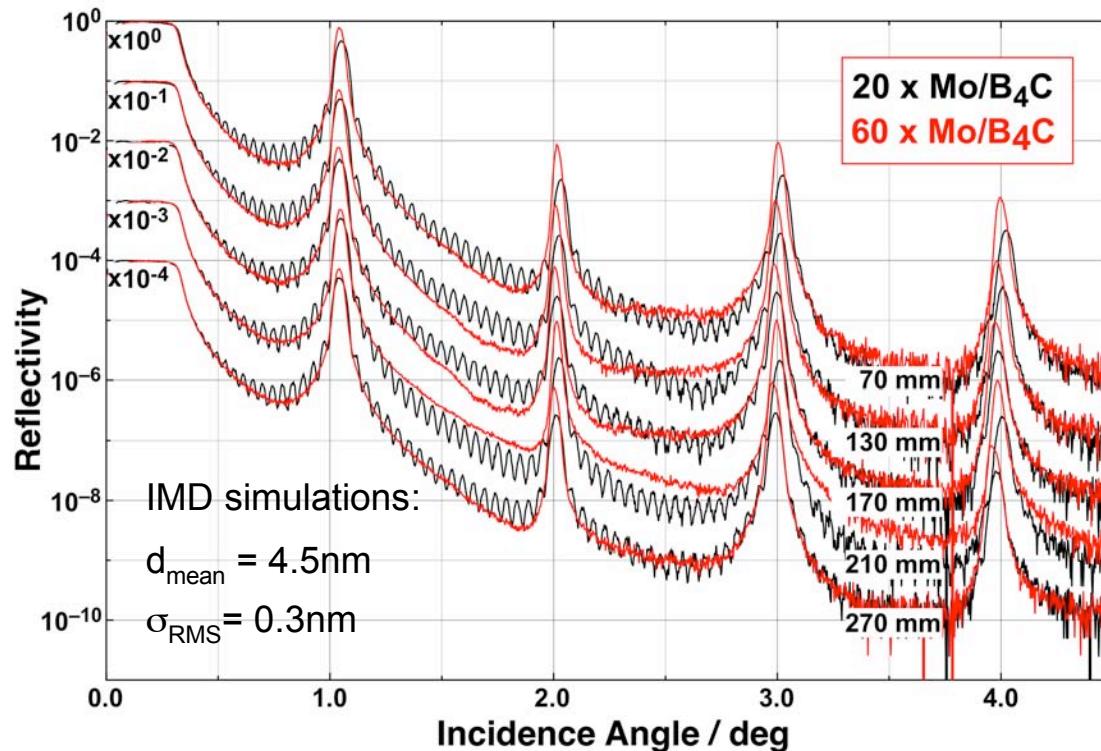
After deposition:

Both substrates are coated with a  
45nm thick, amorphous carbon layer



(F. Hertlein, Incoatec)

## 2. Multilayer mirrors



Mo/B<sub>4</sub>C: 10keV  
substrate length of 300mm  
20 Pairs: 18 Kiessig fringes  
dominant Bragg-Peaks:

R > 50% (theor. 60%) 20 pairs

R > 70% (theor. 85%) 60 pairs

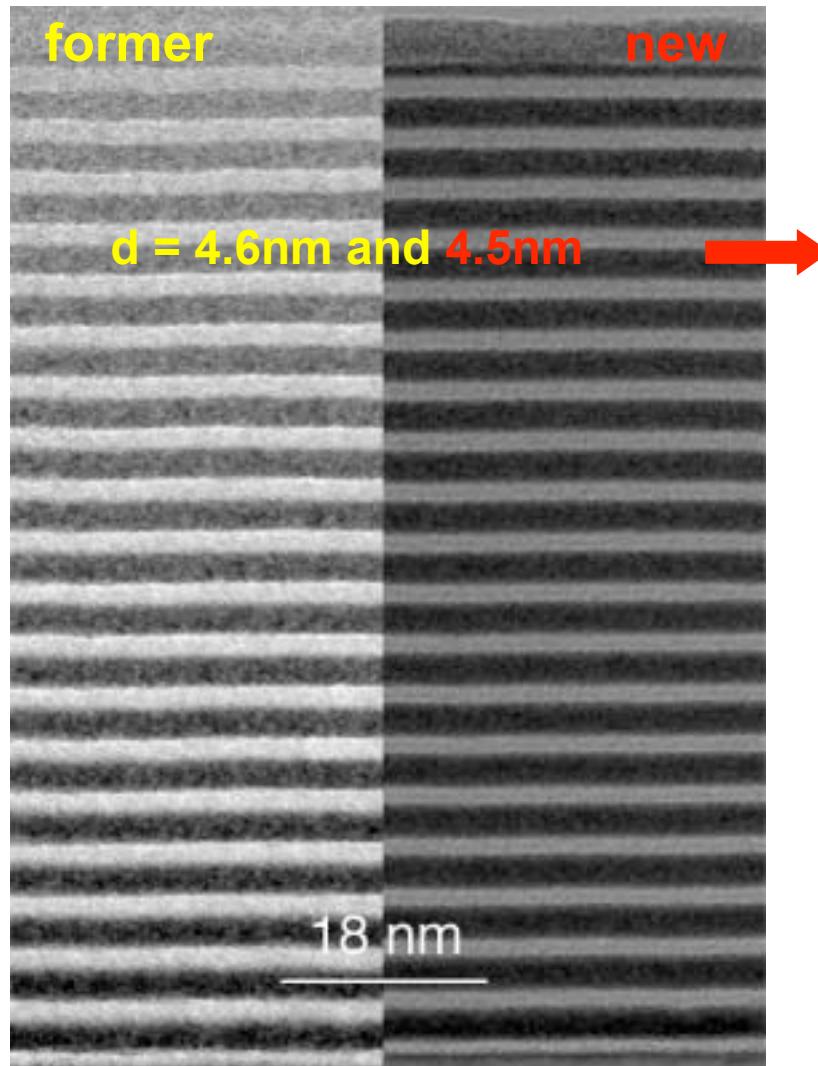
Percentage variation of the d-spacing over the whole mirror length:  $\approx 3\%$

This demonstrates

- => Good uniformity of the multilayer
- => High precision
- => Excellent run-to-run stability

Deposition length of 1500 mm => coat two mirrors simultaneously (with identical properties!)

# HR-TEM investigations of Mo/B<sub>4</sub>C



(D. Häußler, CAU Kiel)

## Comparison of two multilayers:

- high-Z material Mo is dark (HRTEM image)
- In agreement with XRR measurements

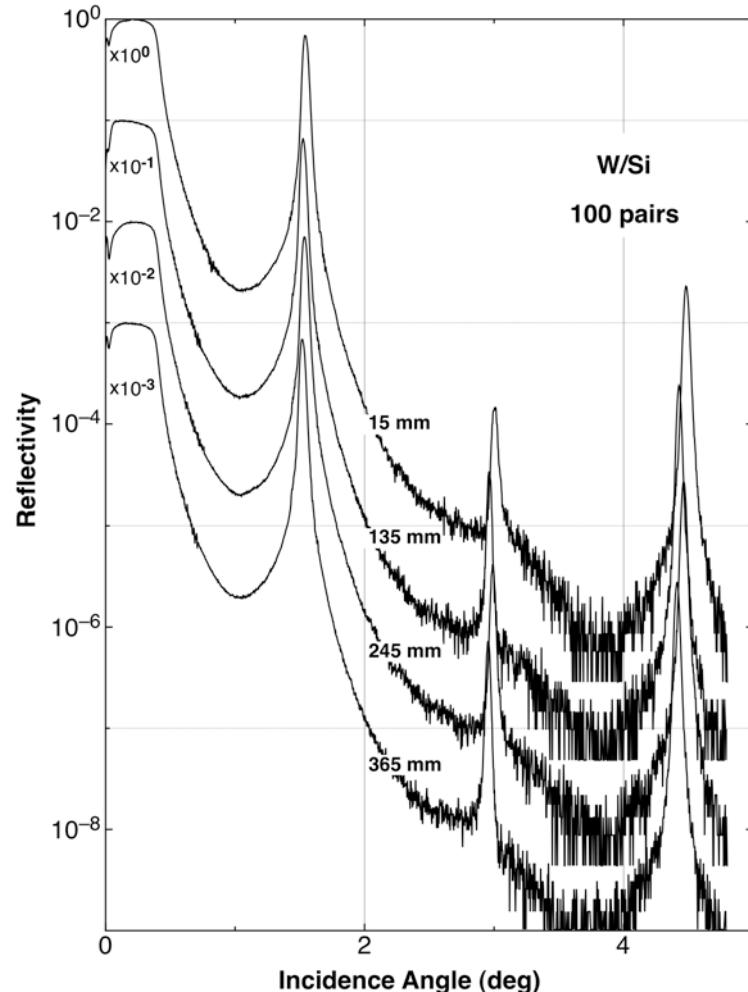
## Inner structure

- both layers are amorphous  
(absence of crystalline Bragg spots)

## Interfaces

- intermetallic phases: no evidence!
- smooth and abrupt

# X-Ray Reflectivity measurements (XRR) of a Multilayer Mirror



**Former sputtering system (< 550mm)**

- at Cu-radiation (8048eV)
- 100 pairs W/Si
- deposition length: ca. 380mm
- 3 Bragg-Peaks
- Second order suppressed  $\Rightarrow d_W = d_{Si}$

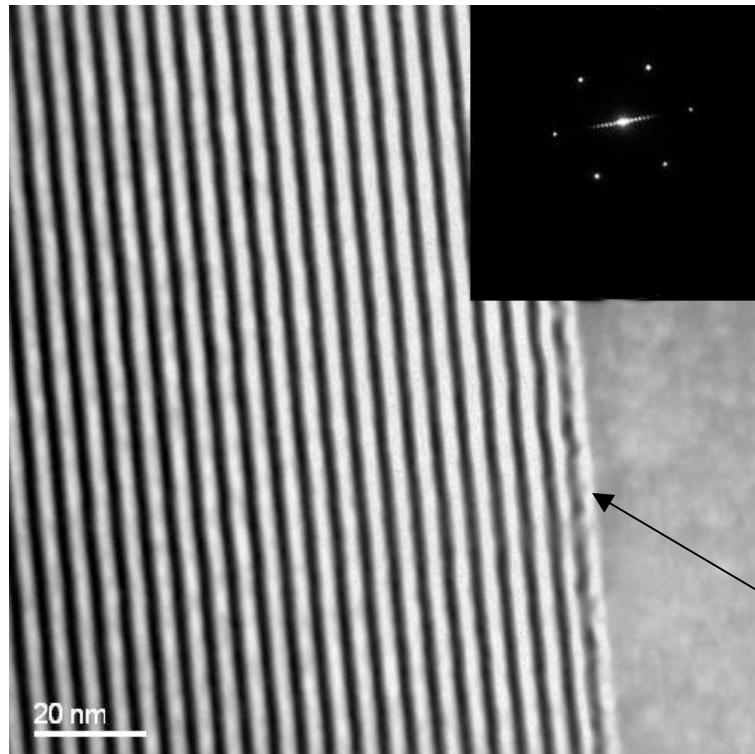
**Refsim simulations**

$$\begin{aligned} \text{reflectivity: } R &\approx 66\% \\ d\text{-spacing } d &\approx 3\text{\AA} \\ \Gamma &\approx 0.5 \end{aligned}$$

M. Störmer, D. Häußler, W. Jäger, R. Bormann,  
*Large X-ray Optics: Fabrication and Characterization of Single and Multilayer Mirrors*,  
2007 Sino-German High Level Expert Symposium on X-ray Optics,  
Optics and Precision Engineering 15(12) (2007) 1869-1877. ISSN 1004-924X.

- Uniformity of the multilayer coating: d-spacing and reflectivity
- Precision of the multilayer process over a length of 400 mm

# TEM measurements of W/Si



(University Kiel)

**multilayer mirror**

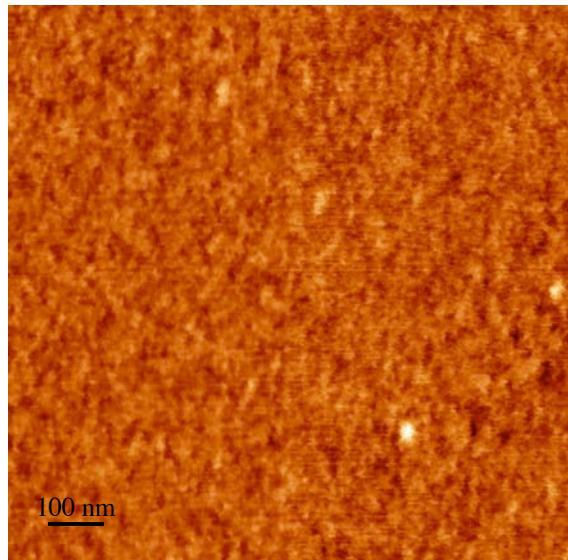
**100 pairs**

**dark layer: metal  
bright layer: non-metal**

**native Si-oxide layer (1-2nm)**

- perfect growth of the stack: low layer roughness
- Si-on-W interfaces look sharper than the W-on-Si
- no formation of a compound at the interface

# AFM measurement of a multilayer mirror



**W/Si multilayer mirror**

**surface roughness measurements  
at 6 positions on the whole mirror**

**before deposition:**

**RMS roughness: 0.2 - 0.3 nm**

**after deposition:**

**RMS roughness: 0.2 - 0.4 nm**

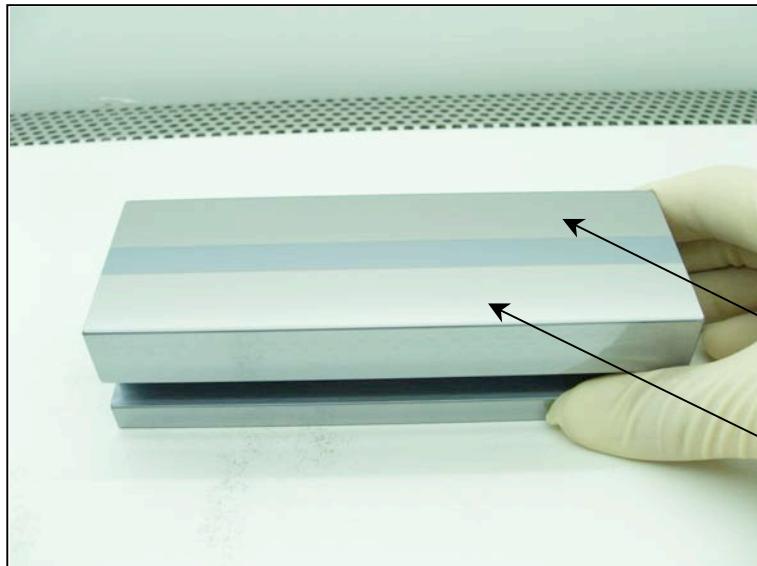
**$\sigma = 0.18 \text{ nm RMS}$**

scan area:  $1 \mu\text{m} \times 1 \mu\text{m}$

Replication of the substrate roughness:  $\sigma < 0.3 \text{ nm}$

## Second application: Tomography

Large X-ray multilayer mirror after deposition at GKSS sputtering system



(In collaboration with Incoatec GmbH)

**typical multilayer mirror**

**dimensions 160 x 55 x 40 mm<sup>3</sup>**

**plane geometry**

**upper area: W/Si, 100 pairs**  
**22-45 keV**

**lower area: Ru/C, 100 pairs**  
**10-22 keV**

Synchrotron beamline: monochromatic or white beam

# Conclusions

- Single layers and multilayers
- Build up a new sputtering system:
  - Deposition over a length of 1500 mm => XFEL
  - Preparation of pairs simultaneously => IBL: DMM
- Properties of the mirrors:
  - high uniformity in thickness: 3%
  - film roughness of about 0.3 nm
    - Magnetron sputtering replicates the substrate roughness!
  - high reflectivity: > 88% at 3° and 50-200 eV (C single layers)  
> 70% at 1° and 8 keV (Mo/B<sub>4</sub>C multilayers)
  - good layer quality of the stack:
    - layers: amorphous
    - interfaces: abrupt and smooth
    - intermetallic phases: no evidence

## Thanks to ...

- D. Häußler, E. Spieker, W. Jäger (CAU University of Kiel)
- S. Jacobi, V. Küstner, H. Hagen, C. Horstmann, and R. Bormann (GKSS)
- B. Steeg, J. Feldhaus (HASYLAB)
- F. Hertlein, J. Wiesmann and C. Michaelsen (Incoatec GmbH)
- F. Felten (TU-Hamburg)
- A. Liard-Cloup (Jobin-Yvon SAS)
- R. Mitzner, F. Siewert (BESSY)
- F. Scholze (PTB)
- L. Juha (Laser Plasma Department, Prague)
- ...

**Thank you very much for your attention!**

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<http://dx.doi.org/10.1117/12.798895>