# Introduction to lattice field theory

..not really (1 hr!), but a few points hopefully of interest here: 12 th SFB meeting 23.3.2009

BY ULLI WOLFF

Humboldt Universität, Berlin

# Rôle of the functional integral

$$\langle \varphi(x_1)\varphi(x_2)\cdots\varphi(x_n)\rangle = \frac{1}{Z}\int D\varphi e^{-S[\varphi]}\varphi(x_1)\varphi(x_2)\cdots\varphi(x_n)$$

Formal:

- infinite Euclidean space time (Wick  $\rightarrow$  Minkowski)
- Feynman rules from  $S[\varphi] \longrightarrow$  all orders PT
  - depends on split  $S[\varphi] = S_0[\varphi] + g_0 S_I[\varphi]$ ,  $S_0$  Gaussian
  - regularization  $(D \neq 4, \mu, ...)$ , renormalization  $(g_0 \rightarrow g_R, ...)$ , limit  $(D \rightarrow 4, ...)$
- exists (renormalizability, finite correlations): known to all orders PT
- similar for gauge fields, fermions, ..., standard model
- $\Rightarrow$  coefficients of [expected] asymptotic expansion in  $g_R$ :
- $|\operatorname{truth} \sum_{k=0}^{l_{\operatorname{sweat}}} c_k(g_R)^k| \propto (g_R)^{l_{\operatorname{sweat}}+1} \text{ as } g_R \to 0$ 
  - $\circ$   $\,$  a priori limited precision and limited sweat
- finite  $g_R$ : no convergence,  $c_k$  useful only up to some order
- $\int D\varphi$ ... has never been defined, PT of what? NP contributions?

$$\langle \varphi(x_1)\varphi(x_2)\cdots\varphi(x_n)\rangle = \frac{1}{Z}\int D\varphi e^{-S[\varphi]}\varphi(x_1)\varphi(x_2)\cdots\varphi(x_n)$$

Lattice: define  $\int D\varphi$ ... as a limit of finite dimensional integrals

- as Feynman did for QM  $\equiv$  QFT with D = 1
- finite volume V and finite spacing a
- evaluate exactly (if possible) or numerically or PT-expand
- in the end  $a \to 0$  (and usually also  $L \to \infty$ ) is necessary and difficult

lattice:  $x = (x_0, x_1, x_2, x_3) = a n_\mu \in a \mathbb{Z}^4$  [x] = [a] = cmtorus:  $\varphi(x)$  T-periodic in  $x_0$ , L-periodic in  $x_{1,2,3}$  T/a, L/a integer integrate over independent fields in volume  $V = TL^3$ 

$$\int D\varphi.... \equiv \underbrace{\prod_{x} \int_{-\infty}^{\infty} d\varphi(x)}_{\frac{V}{a^4} - \text{fold}} d\varphi(x)....$$

- $S[\varphi]$  discretized.  $\partial_{\mu} \rightarrow$  difference quotient, e.g. nearest neighbour
- continuum limit = critical point: universality

### Scales on the lattice

- a: UV-cutoff; L, T: IR-cutoff; phys. scale: mass  $m \sim \text{decay 2-point fct.}$
- it is usually assumed(?) that limits  $a \to 0$  and  $T, L \to \infty$  commute
- sometimes:  $a \to 0$  at mL = #, mT = # fixed: finite size scaling limit
- exists, universal under the same renormalization (counter terms)
- therefore: finite size effects = predictions of the continuum theory
  - $\circ$  realizable: finite T, Casimir situations, ?
- special case: mT finite,  $mL = \infty$ : finite temperature in  $\infty$  volume
- limit  $L \to \infty$  reached up to  $\exp(-mL) \Rightarrow mL \approx 5$  usually okay
- limit  $a \to 0$  reached up to  $(am)^n, n = 1 \text{ or } 2 \Rightarrow \text{more problematic}$

# **Discretization of gauge fields**

gauge-covariant derivative (continuum):

$$D_{\mu}\psi = \partial_{\mu}\psi + iA_{\mu}\psi = \lim_{\varepsilon \to 0} \frac{1}{\varepsilon} \left[ \underbrace{\operatorname{e}^{i\varepsilon A_{\mu}}}_{p.\operatorname{trans.}} \psi(x + \varepsilon\hat{\mu}) - \psi(x) \right]$$

has curvature

$$(\mathrm{e}^{i\varepsilon A_{\mu}(x)}\mathrm{e}^{i\delta A_{\nu}(x+\varepsilon\hat{\mu})} - \mathrm{e}^{i\delta A_{\nu}(x)}\mathrm{e}^{i\varepsilon A_{\mu}(x+\delta\hat{\nu})})\psi \simeq (\mathrm{e}^{i\varepsilon\delta F_{\mu\nu}(x)} - 1)\psi$$

gauge invariant action

$$S[A_{\mu}] = \int d^4x \, \frac{1}{2} \operatorname{tr} \left( F_{\mu\nu} F_{\mu\nu} \right)$$

Lattice:

- smallest parallel transport:  $x \leftarrow x + a\hat{\mu}$ , nearst neighbor
- $e^{i a A_{\mu}} \rightarrow U(x, \mu)$ , algebra  $\rightarrow$  in group SU(3), living on links
- smallest measure of curvature, Wilson action:



U(x, μ)

- continuum limit at  $g_0^2 \rightarrow 0 \leftrightarrow$  asymptotic freedom
- confinement of static quarks  $\leftrightarrow$  area decay of Wilson loop observable
- string tension vanishes in PT, trivial to show analytically in the strong coupling expansion valid for large lattice spacing (≠continuum limit)
- very precise numerical 'proof' close to the continuum (Yang Mills)

# **Discretization of fermions (quarks)**

continuum,  $T = L = \infty$ , Grassmann:

$$S[\overline{\psi},\psi] = \int d^4x \,\overline{\psi} \,(\not\!\!\!D + m)\psi, \quad \not\!\!\!D = \gamma_\mu D_\mu, \quad \{\gamma_\mu,\gamma_\nu\} = 2\delta_{\mu\nu}, \quad \gamma_\mu = (\gamma_\mu)^\dagger$$

free,  $D_{\mu} = \partial_{\mu}$ :

$$\langle \psi(x)\overline{\psi}(0)\rangle = \int \frac{d^4p}{(2\pi)^4} e^{ip \cdot x} \frac{-ip + m}{p^2 + m^2}$$

pole  $\leftrightarrow$  particle:  $(-iE, \vec{p})^2 = -m^2 \rightarrow E^2 = \vec{p}^2 + m^2$ 

lattice, naive,  $T = L = \infty$ :

$$S[\overline{\psi},\psi] = a^4 \sum_x \overline{\psi} \left(\gamma_\mu \tilde{\partial}_\mu + m\right) \psi, \quad \tilde{\partial}_\mu \psi = \frac{1}{2a} \left[\psi(x+a\hat{\mu}) - \psi(x-a\hat{\mu})\right]$$

•  $\tilde{\partial}_{\mu}$  symmetric  $\rightarrow \tilde{\mathcal{J}}$  antihermitian

but also (doubler):

$$\sin^2(i\underbrace{aE}_{\text{small}} \pm \pi) + \sum_k \sin^2(\underbrace{ap_k}_{\text{small}} \pm \pi) = -a^2m^2$$

 $\implies$  16 fermions appear in loops

### Wilson fermions

modify the action:

$$\begin{split} S[\overline{\psi}\,,\psi] &= a^4 \sum_x \,\overline{\psi}\,(\gamma_\mu \tilde{\partial}_\mu + m - a \frac{r}{2} \underbrace{\partial_\mu \partial^*_\mu}_{\text{Laplacian}})\psi, \\ \langle \psi(x)\overline{\psi}\,(0) \rangle &= \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4} \,\mathrm{e}^{i\,p\cdot x} \frac{-i\,\overset{\circ}{p} + M(p)}{\overset{\circ}{p}^2 + M^2(p)} \\ M(p) &= m + a \frac{r}{2} \hat{p}^2, \quad \hat{p}_\mu = \frac{2}{a} \mathrm{sin}(a p_\mu/2) = \begin{cases} p_\mu + \mathcal{O}(a^2) & \text{for } a p_\mu \approx 0\\ 2/a & \text{for } a p_\mu \approx \pi \end{cases} \end{split}$$

- at physical momenta: O(a) modification
- at doubler momenta:  $O(a^{-1})$  mass
- $\{\gamma_5, M(p)\} \neq 0$  even at  $m = 0 \Rightarrow$  chiral symmetry broken by cutoff
- recovered in the continuum limit up to O(a) violations of Ward identities if we tune  $am = am_c(g_0) \neq 0$
- value  $r \neq 0$  irrelevant for continuum limit (but enters in counterterms)
- r = 1 standard

### Worked example, trivial but explicit...

...for  $a \to 0, T, L \to \infty$  and all that... free Wilson fermion, first a, L, T finite, periodic with L, antiperiodic with T (1/T = temperature)

$$\breve{\psi}(x_0, \vec{p}) = a^3 \sum_{\vec{x}} e^{-i\vec{p}\cdot\vec{x}} \psi(x), \quad \breve{\psi}(x_0, \vec{p}) = \dots, \quad \text{time/momentum}$$

$$\langle \breve{\psi}(t,-\vec{p})\breve{\psi}(0,\vec{p})\rangle \sim \operatorname{tr}[\underbrace{\mathrm{e}^{-(T-t)\mathbb{H}}}_{\rightarrow|0\rangle\langle0|}c(-\vec{p})\mathrm{e}^{-t\mathbb{H}}c^{\dagger}(\vec{p})] \sim \exp[-E(\vec{p})t]$$

necessary:  $\vec{p} \in \frac{2\pi}{L} \mathbb{Z}^3$ 

$$G(t, \vec{p}) = L^{-3} \langle \breve{\psi}(t, -\vec{p}) \breve{\psi}(0, \vec{p}) \rangle = \frac{1}{T} \sum_{p_0} e^{i p_0 t} \frac{-i \not p + M(p)}{\dot{p}^2 + M^2(p)}$$

 $p_0 = (2\pi/T)n_0, \quad n_0 = 1/2, 3/2, \dots, T/a - 1/2,$  Matsubara

exact summation (e.g. contour integral),

scalar: tr 
$$G(t, \vec{p}) = 2 \frac{M - a\hat{\omega}^2/2}{(1 + aM)\hat{\omega}} \sinh(\omega(T/2 - t))/\cosh(\omega T/2)$$
 (0 < t < T)  
•  $\omega = \sqrt{m^2 + \vec{p}^2} + O(a), \quad \hat{\omega}, \hat{\omega} = \omega + O(a^2)$   
•  $M = m + \frac{a}{2}\hat{\vec{p}}^2 = m + O(a)$ 

send  $a \rightarrow 0$ :

$$\operatorname{tr} G(t, \vec{p}) = 2\frac{m}{\omega} \sinh(\omega(T/2 - t)) / \cosh(\omega T/2), \quad \omega = \sqrt{m^2 + \vec{p}^2}$$

send  $T \to \infty$  [and  $a \to 0$ ]

$$\operatorname{tr} G(t, \vec{p}) = 2 \frac{M - a\hat{\omega}^2/2}{(1 + aM)\hat{\omega}} \exp(-\omega t) \left[ \rightarrow 2 \frac{m}{\omega} \exp(-\omega t) \right]$$

- $a \rightarrow 0$  convergence linear ( $\rightarrow$  improvement, see below)
- $T \rightarrow \infty$  convergence exponential
- the limits commute indeed

more lessons to be learnt:

for 
$$\vec{p} = 0$$
:  $\omega = m_R = a^{-1} \ln(1 + am) = m - \frac{1}{2}am^2 + O(a^2)$ 

- $m_R$  is the pole-mass  $\leftrightarrow \exp(-m_R t)$  and differs from bare m at O(a)
- if we eliminate  $m \to m_R$ , then also for  $\vec{p} \neq 0$ :

$$\omega = \sqrt{m_R^2 + \vec{p}^2} + \mathcal{O}(a^2)$$

• the ugly prefactor:

$$[1+am] \times \frac{M-a\hat{\omega}^2/2}{(1+aM)\hat{\omega}} = \frac{m_R}{\sqrt{m_R^2 + \vec{p}^2}} + \mathcal{O}(a^2)$$

overall upshot:

$$-Z^{-1}L^{-3}\langle \breve{\psi}(0,\vec{p})\breve{\psi}(t,-\vec{p}\rangle = \frac{m_R}{\omega}\sinh(\omega(T/2-t))/\cosh(\omega T/2) + \mathcal{O}(a^2)$$
$$Z^{-1} = 1 + am = \text{wavefunction `renormalization'}$$

# Symanzik O(a) improvement

we have just encountered an example of it:

renormalization	improvement
action: all terms up to $D = 4$	action: all terms up to $D = 5$
compatible with symmetries	compatible with symmetries
eliminate divergencies from	eliminate $O(a)$ terms from
relations between physical	relations between physical
quantities	quantities

we had the free theory only!

- $m \to m_R \text{ or } m \,\overline{\psi}\psi \to m(1 + \frac{1}{2}am) \,\overline{\psi}\psi$ , then  $m_{\text{pole}} = m + \mathcal{O}(a^2)$
- $\psi \rightarrow Z^{-1/2} \psi$
- also in interacting QCD [scope of a proof: all orders PT]
- renormalization- and improvement constants are needed NP (at least in principle)
- they can (and should!) be determined with finite  $T, L \rightarrow SF$
- equally nontrivial as renormalizability

### Staggered fermions with and without rooting

'naive' fermions:

$$S[\overline{\psi}, \psi] = a^4 \sum_x \overline{\psi} (\gamma_\mu \tilde{D}_\mu + m) \psi \quad \rightarrow 16 \text{ species}$$
$$(\tilde{D}_\mu \psi)(x) = \frac{1}{2} \left[ U(x, \mu) \psi(x + \hat{\mu}) - U^{\dagger}(x - \hat{\mu}, \mu) \psi(x - \hat{\mu}) \right] \quad (a = 1 \text{ here})$$
$$S = S_m + \frac{1}{2} \sum_{x, \mu} \left\{ \overline{\psi} (x) \gamma_\mu U(x, \mu) \psi(x + \hat{\mu}) - \overline{\psi} (x + \hat{\mu}) \gamma_\mu U^{\dagger}(x, \mu) \psi(x) \right\}$$

'spin-diagonalization',  $x = (x_0, x_1, x_2, x_3)$ ,  $x_\mu \equiv x_\mu/a$  integer

$$\begin{split} \psi(x) &\to \gamma_0^{x_0} \gamma_1^{x_1} \gamma_2^{x_2} \gamma_3^{x_3} \psi(x), \quad \overline{\psi}(x) \to \overline{\psi}(x) \gamma_3^{x_3} \gamma_2^{x_2} \gamma_1^{x_1} \gamma_0^{x_0} \\ \text{the} \ \Rightarrow \end{split}$$

$$\overline{\psi}(x)\gamma_{\mu}U\psi(x+\hat{\mu}) \rightarrow \eta_{\mu}(x)\overline{\psi}(x)U\psi(x+\hat{\mu})$$

 $\eta_0(x) = 1, \quad \eta_1(x) = (-1)^{x_0}, \quad \eta_2(x) = (-1)^{x_0 + x_1}, \quad \eta_3(x) = (-1)^{x_0 + x_1 + x_2}$ 

- now  $\eta_{\mu}(x)\overline{\psi}(x)U\psi(x+\hat{\mu}) = \text{same term for all 4 spinor components}$
- erase 3/4 of them: 16 species  $\rightarrow 4$  species  $(4 \rightarrow 2 \text{ in } D = 2)$

- field  $U(x, \mu)$  translation invariance  $x \to x + a\hat{\mu}$
- field  $\psi(x)$  translations  $x \to x + 2a\hat{\mu}$  because of 'staggered'  $\eta_{\mu}(x)$
- $\psi, \overline{\psi}$  have only one component per site
- 4 spinor fields 'spread out' over the 16 sites of a 4D hypercube
- translations  $x \to x + a\hat{\mu} \leftrightarrow \text{discrete subgroup of (broken) SU(4)}_{\text{taste}}$

Transformation,  $U(1) \times U(1)$ :

$$\psi(x) \to e^{i\alpha + i\beta\eta_5(x)}\psi(x), \quad \overline{\psi}(x) \to e^{-i\alpha + i\beta\eta_5(x)}\overline{\psi}(x)$$
$$\eta_5(x) = (-1)^{x_0 + x_1 + x_2 + x_3}$$

- $\alpha$ : fermion number
- $\beta$ : only a symmetry for  $m = 0 \ [\overline{\psi}\psi(x) \to e^{i2\beta\eta_5(x)}\overline{\psi}\psi(x)]$
- 'remnant chiral symmetry'
- sufficient to protect (distinguish by symmetry incl. cutoff) m = 0
- remember: Wilson  $m_c(g_0)$  fine tuning for chiral point

idea A: use taste as flavor

- 4 'naturally degenerate' flavours not ideal
  - $\circ$  1977 Banks et al.: Hamiltonian, only 2 'Kogut-Susskind' tastes
- mixing of broken taste and space  $\Rightarrow$  big mess to build operators to 'tickle' well-defined quantum numbers (clear for Wilson)

more recent idea B, rooting:

$$\int D\psi D\overline{\psi} \,\mathrm{e}^{-\sum_{x} \overline{\psi} \,M[U]\psi} = \det M[U] \to \left(\det M[U]\right)^{1/4}$$

 $\rightarrow$  one flavor, independent *m*, problem:

- predictive power of QFT, universality of critical points, artefacts only  $\propto (am_{\rm phys.})^2$ , depend on:
- local action/Hamiltonian (strict or exponentially decaying coefficients), a = 'size' of the bare action
- although in simulations we (almost) always use det M[U] (nonlocal F[U]) the existence of the local-action integral is relevant

$$\left(\det \mathbf{M}[U]\right)^{1/4} = \int D \psi D \overline{\psi} \, \mathrm{e}^{-\sum_{x} \overrightarrow{\psi} X[U] \psi}$$

### **Twisted mass fermions**

Wilson:

$$D_W(m) = (\gamma_\mu \tilde{D}_\mu + m - \frac{a}{2} D_\mu D_\mu^*), \quad m > m_c(g_0)$$

Although the Grassmann integral (and det) on any finite lattice

$$\int D\psi D\overline{\psi} \,\mathrm{e}^{-\sum_x \overline{\psi} D_W \psi}$$

is a polynomial in the elements of the matrix  $D_W$ , standard methods spend all their time with *inverting*  $D_W$  in the *fluctuating*  $U(x, \mu)$ 

In the continuum  $\not\!\!\!D + m$  has eigenvalues  $i\lambda + m$ , never 0 if m > 0,

- nothing strictly protects  $D_W$  from zero EVs at  $m > m_c$
- this is an article in the sense that for  $\lim_{a\to 0} \operatorname{at} m_{\pi} > 0$  this problem eventually goes away ('measure zero' (?) = does not occur in simulations of  $\leq 10$  yrs duration?..)
- problem in practice

one way out:

- consider a degenerate doublet, set  $m = m_c$  (simplified..)
- but introduce a different mass term:

 $D_W(m_c) 1_{\rm iso} + i\mu\gamma_5 \tau_3 \quad \Rightarrow \det(D_W(m_c) 1 + i\mu\gamma_5\tau_3) = \det(D_W^{\dagger} D_W + \mu^2)$ 

- in the continuum  $i\mu\gamma_5 \tau_3$  could be transformed into a normal mass term
- not on the lattice  $\Rightarrow$  different regularization, should have the same continuum limit
- different counter term structure, seems advantageous to study certain 4-fermion composite operators in QCD
- simplifications in Symanzik O(a) improvement
- cutoff breaks Isospin, Goldstones split up (a bit like taste..)

# **Ginsparg-Wilson-Neuberger** fermions

No go theorem:

• we cannot have  $\{\gamma_5, \not D_{\text{discretized}}\} = 0$  without doubling under reasonable assuptions

the long forgotten Ginsparg Wilson relation had replaced this by

$$\{\gamma_5, \not\!\!D\} = a \not\!\!D \gamma_5 \not\!\!D \quad \Rightarrow \quad \{\gamma_5, \not\!\!D^{-1}(x, y)\} = a \underbrace{\gamma_5 a^{-4} \delta_{x, y}}_{\text{contact term}}$$

Neuberger realization:

$$D = a^{-1} \{ 1 - A(A^{\dagger}A)^{-1/2} \}, \quad A = 1 - a D_W(-s)$$

- obeys GW (simple algebra)
- local (not ultralocal, though)
- spectrum on a circle, with a massterm added it is protected
- the mere application requires  $(A^{\dagger}A)^{-1/2} \rightarrow$  ultraexpensive in HMC...

# Lüscher modified chiral symmetry

$$\delta\psi = \varepsilon\gamma_5(1 - \frac{1}{2}a\not\!\!\!D)\psi, \quad \delta\overline{\psi} = \varepsilon\overline{\psi}\left(1 - \frac{1}{2}a\not\!\!\!D\right)\gamma_5$$

• is an exact symmetry of 
$$a^4 \sum_x \overline{\psi} \not\!\!\!D \psi$$

• if  $\not\!\!D$  obeys Ginsparg Wilson

there are more fermion discretizations

- domain wall (chiral fermions from 5D)
- various of the above together with various kinds of
- smearing [plug links averaged in a gauge-covariant way into the fermion matrix]

critical remark:

there are few studies significantly varying the cutoff a [and nothing else at the same time] to check, if we are talking about continuum physics...

# Concluions

• let's have coffee.....