

HIGGS INFLATION AS A MIRAGE

Bethe Forum
Beyond the Standard Higgs-system
1/12/16, Bonn

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OUTLINE

★ Context after LHC

★ Review of the Higgs-inflation idea

- Virtues

- Challenges

 - Vacuum instability

 - EFT cutoff / Unitarity problem

★ Simple UV Completion

- what is gained / lost

Based on Barbón, Casas, Elias-Miró, J.R.E. '15

BSM STATUS

- Higgs discovered, close to SM-like

+

- No trace of BSM so far $\Rightarrow \Lambda > \text{few TeV} ?$

+

- Holding on to naturalness

$$V = \frac{1}{2} m^2 h^2 + \frac{1}{4} \lambda h^4 \quad \Rightarrow \quad \langle h \rangle^2 \sim \frac{m^2}{\lambda} \sim E_W$$

$\uparrow \sim \frac{1}{(4\pi)^2} \Lambda^2$

BSM STATUS

- Higgs discovered, close to SM-like

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- No trace of BSM so far $\Rightarrow \Lambda > \text{few TeV} ?$

+

- Holding on to naturalness



$\Lambda \sim \text{few TeV}$

BSM STATUS / THIS TALK

- Higgs discovered, close to SM-like

+

- No trace of BSM so far $\Rightarrow \Lambda \gg \text{few TeV} ?$

+

- Disregarding naturalness



$$\Lambda \sim M_{Pl} ?$$

A non-trivial possibility.

BSM STILL NEEDED

- ★ Neutrino masses
- ★ Matter-antimatter asymmetry
- ★ Dark Matter
- ★ Dark Energy
- ★ Inflation

$$\Lambda \gg m_{EW} \quad \text{OK}$$

BSM STILL NEEDED

★ Neutrino masses

★ Matter-antimatter asymmetry

★ Dark Matter

★ Dark Energy

★ Inflation

← Can we get it
within the SM?

HIGGS INFLATION PROPOSAL

SLOW-ROLL INFLATION

Can explain flatness, homogeneity and isotropy of our universe through a period of exponential expansion driven by a scalar field ϕ with nearly constant $V(\phi)$

$$\ddot{\phi} + 3H\dot{\phi} = -V'$$

$$H^2 = \frac{1}{3M_P^2} \left(V + \frac{1}{2} \dot{\phi}^2 \right)$$

Slow-roll

$$3H\dot{\phi} \simeq -V'$$

$$H^2 \simeq \frac{V}{3M_P^2}$$

Holds if

$$\epsilon \equiv \frac{1}{2} M_P^2 \left(\frac{V'}{V} \right)^2$$

$$\eta \equiv M_P^2 \frac{V''}{V} \ll 1$$

Scale factor: $a \rightarrow e^{Ht} a_0$

$$H \equiv \dot{a}/a$$

* e-folds of expansion $N_e = \int_{t_i}^{t_e} H dt = \frac{1}{\sqrt{2}} \int \frac{d\phi/M_P}{\sqrt{\epsilon}} \simeq 60$

HIGGS INFLATION??

Can the only SM scalar play the role of inflaton?

Looks hopeless as the potential

$$V_{SM}(h) \sim \frac{1}{4}\lambda h^4$$

is too steep ($\lambda \sim 10^{-13}$ is required)

Only hope: $V_{SM}(h)$ deviates from this simple behaviour at high field values.

Minimality wants this to happen in the SM

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Higgs inflation idea:

Non-minimal coupling of h to gravity can flatten $V(h)$

HIGGS AS INFLATON

Bezrukov, Shaposhnikov '07

(Spokoing '84)

(Salopek, Bond, Bardeen '89)

$$\text{SM+Gravity: } S = \int d^4x \sqrt{-g} \left[-\frac{1}{2} M_P^2 R + \mathcal{L}_{\text{SM}} \right]$$
$$g^{\mu\nu} (D_\mu H)^\dagger D_\nu H - V(H)$$

We can also add for the Higgs a direct coupling to R :

$$\delta S = -\int d^4x \sqrt{-g} \xi |H|^2 R$$

ξ New dimensionless coupling

$$\text{Note } M_P^2 \rightarrow M_P^2 + \xi v^2$$

Impact on low-energy suppressed by E/M_P , v/M_P

BOUND ON ξ

Atkins, Calmet '12

From LHC Higgs physics

$$g_{\mu\nu} = \eta_{\mu\nu} + \frac{1}{M_P} \gamma_{\mu\nu} \quad \leftarrow \text{Graviton}$$

$$\xi |H|^2 R \Rightarrow \xi \frac{v}{M_P} h \square \gamma_{\mu}^{\mu} \quad \frac{h}{\times} \xrightarrow{\text{grav}} \sim \xi \frac{mh}{M_P}$$

Graviton (singlet) admixture in h

$\Rightarrow$ Reduction of all rates

$$\left(\xi \frac{mh}{M_P} \right)^2 < O(0.1) \Rightarrow |\xi| \lesssim 10^{15}$$

Room for improvement! Can you do better?

HIGGS AS INFLATON

But $\xi h^2 R$ can be very important at large h

Most transparent way to see the effect :

Remove $\xi h^2 R$ using a re-scaling of the metric :

$$g_{\mu\nu}^J \rightarrow g_{\mu\nu}^E / \underbrace{(1 + \xi h^2 / M_P^2)}_{e^\sigma}$$

How this works :

$$\sqrt{-g_J} \rightarrow \sqrt{-g_E} e^{-2\sigma}$$

$$g_J^{\mu\nu} (\partial_\mu h)^2 \rightarrow e^\sigma g_E^{\mu\nu} (\partial_\mu h)^2$$

$$R_J \rightarrow e^\sigma \left(R_E + 3 g_E^{\mu\nu} \sigma_{,\mu\nu} - \frac{3}{2} g_E^{\mu\nu} \sigma_{,\mu} \sigma_{,\nu} \right)$$

HIGGS AS INFLATON

$$\int d^4x \sqrt{-g_J} \left\{ -\frac{1}{2} \underbrace{(M_P^2 + \xi h^2)}_{m_P^2 e^\sigma} R_J + \frac{1}{2} g_J^{\mu\nu} \partial_\mu h \partial_\nu h - V_{SH}(h) \right\}$$

"Jordan frame"

$$g_{\mu\nu}^J \rightarrow g_{\mu\nu}^E e^{-\sigma}$$

$$\int d^4x \sqrt{-g_E} e^{-2\sigma} \left\{ -\frac{1}{2} M_P^2 e^\sigma \cdot e^\sigma \left[R_E + 3 g_E^{\mu\nu} \sigma_{;\mu\nu} - \frac{3}{2} g_E^{\mu\nu} \sigma_{;\mu} \sigma_{;\nu} \right] + \frac{1}{2} e^\sigma g_E^{\mu\nu} \partial_\mu h \partial_\nu h - V_{SH}(h) \right\}$$

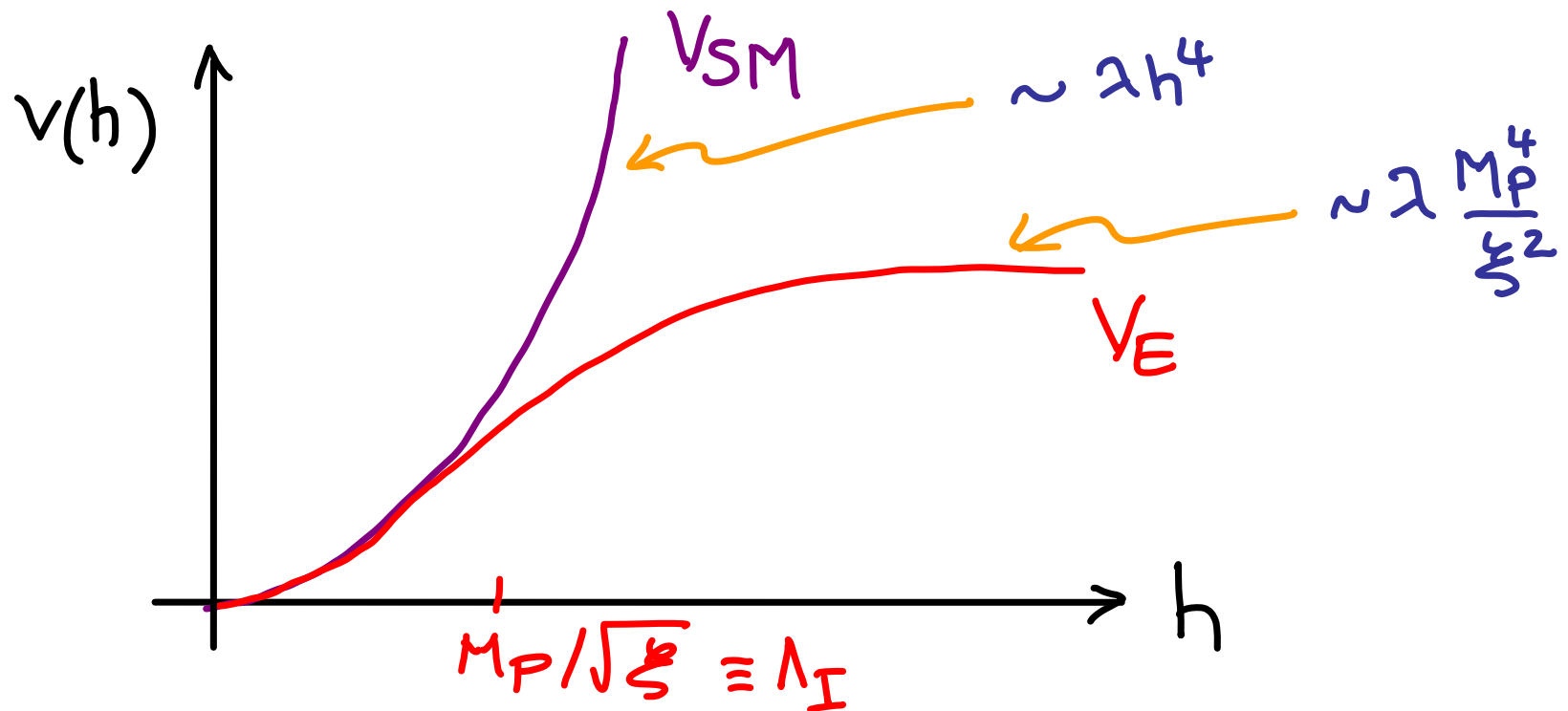
"Einstein frame"

$$= \int d^4x \sqrt{-g_E} \left\{ -\frac{1}{2} M_P^2 R_E + \frac{1}{2} K^2(h) \partial_\mu h \partial^\mu h - e^{-2\sigma} V_{SH}(h) \right\}$$

Minimally coupled h with modified action

HIGGS AS INFLATON

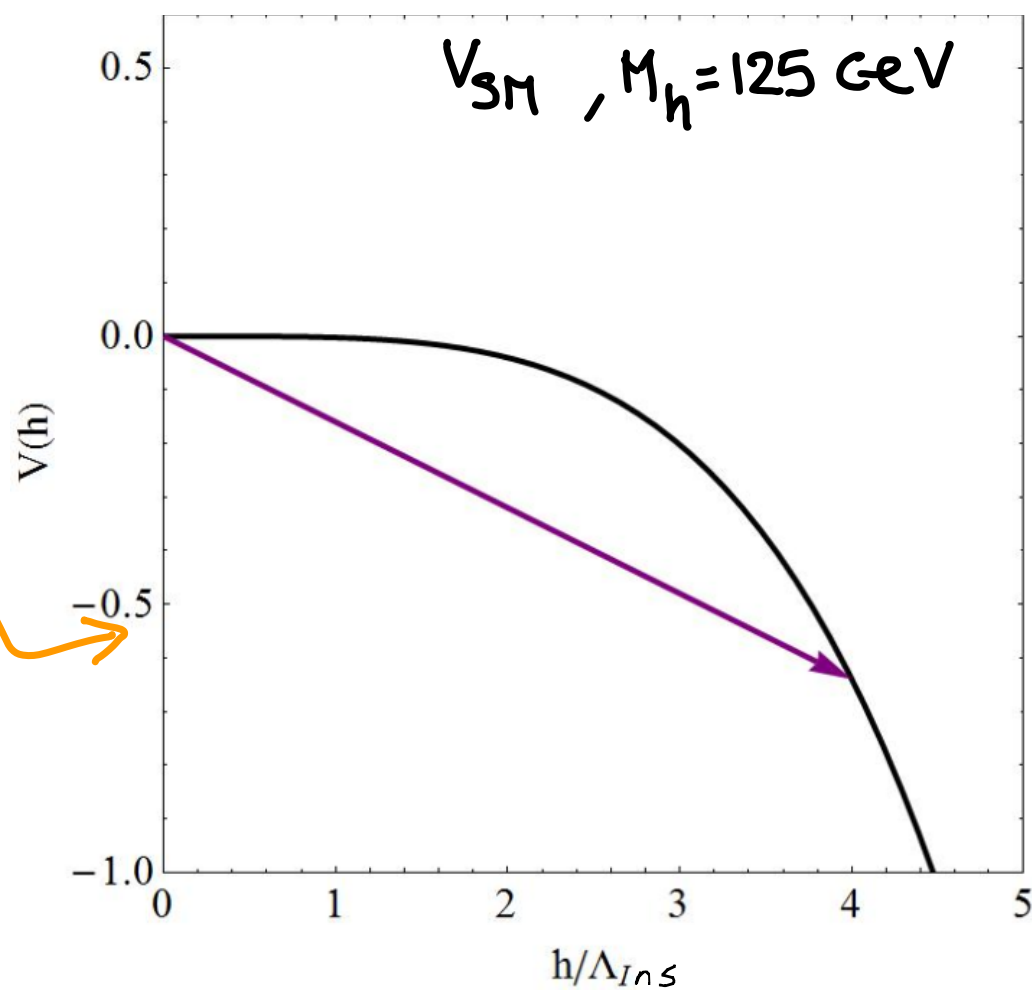
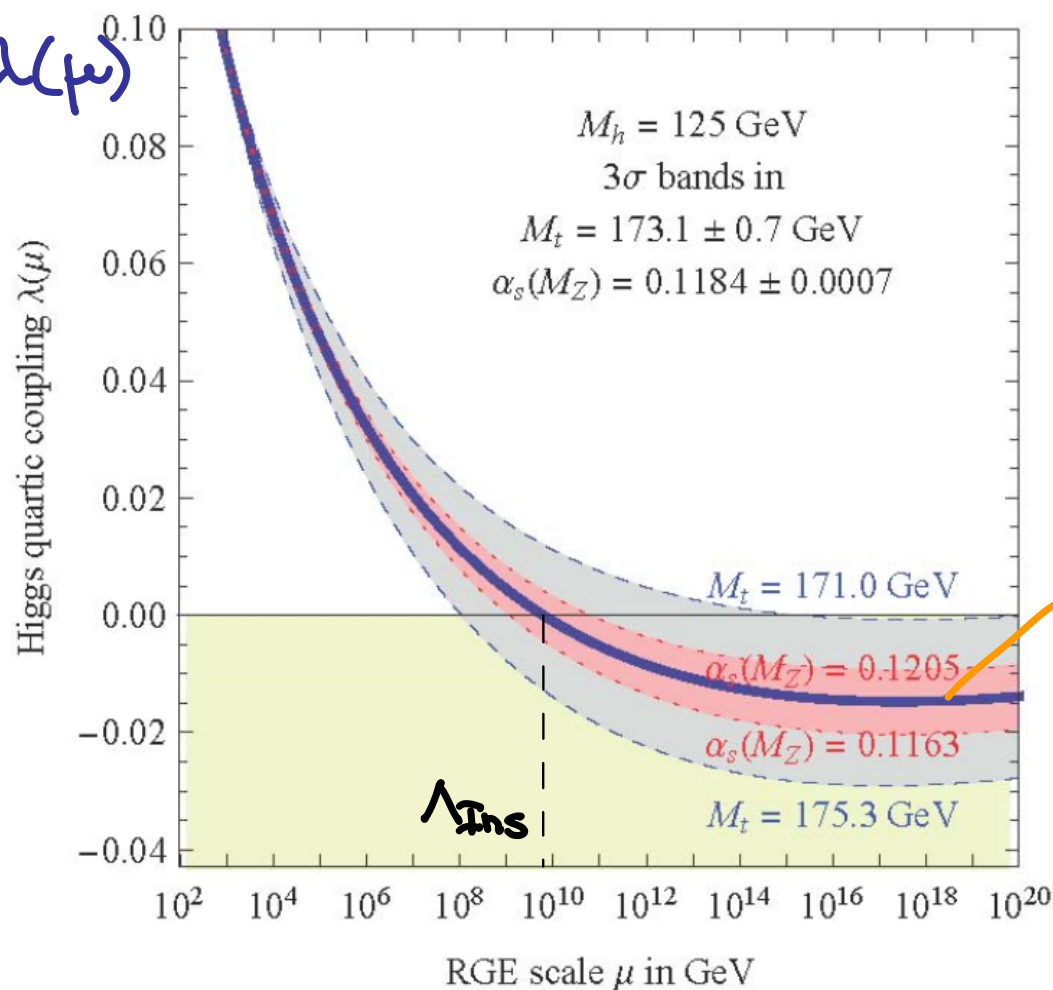
Potential:
$$e^{-2\sigma} V_{SM}(h) = \frac{V_{SM}(h)}{(1 + \xi h^2/M_P^2)^2}$$



A very predictive model of inflation!

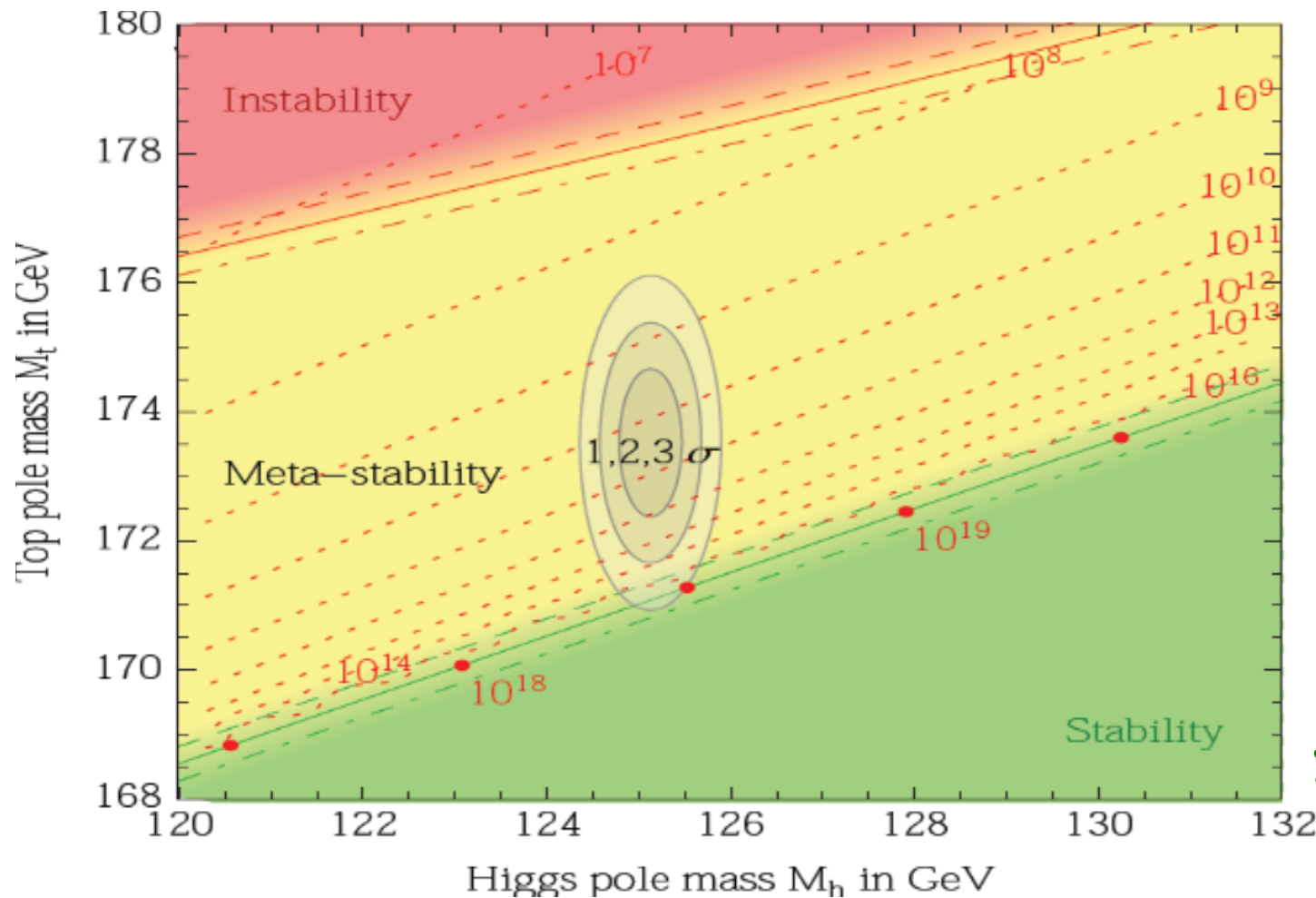


SM POTENTIAL INSTABILITY



Degrassi et al '12

EW VACUUM META STABILITY



Degrassi et al'12
Buttazzo et al'13

Higgs inflation requires stability

stability requires marginal values of M_h & M_t

INFLATIONARY OBSERVABLES

Primordial origin of inhomogeneities by inflationary stretching of the quantum fluctuations of the inflaton and the metric

Spectrum of scalar perturbations

$$P_s(k) \sim \left(\frac{\delta\phi}{f}\right)^2 \propto C \left(\frac{V}{\epsilon}\right) \cdot \left(\frac{k}{k_*}\right)^{n_s-1}$$

$$n_s = 1 - 6\epsilon + 2\eta \quad (n_s \approx 1)$$

Spectrum of tensor perturbations

$$P_t(k) \propto C V \left(\frac{k}{k_*}\right)^{n_T} \quad (n_T \approx 0)$$

INFLATION

Spectrum of scalar perturbations

$$P_s(k) \sim \left(\frac{\delta\rho}{\rho}\right)^2 \propto C \left(\frac{V}{\epsilon}\right) \cdot \left(\frac{k}{k_*}\right)^{n_s-1}$$

Amplitude $\sim 10^{-5}$ $\Rightarrow \frac{V}{\epsilon} \approx (0.0276 \text{ Mpl})^4$ Spectral index

$$n_s = 1 - 6\epsilon + 2\eta \approx 0.968$$

Spectrum of tensor perturbations

$$P_t(k) \propto C V \left(\frac{k}{k_*}\right)^{n_T}$$

Amplitude

$$V < (2 \times 10^{16} \text{ GeV})^4 \quad \text{or}$$

t-to-s ratio:

$$r = \frac{P_t(k_*)}{P_s(k_*)} = 16\epsilon < 0.10$$

HIGGS INFLATION PREDICTIONS

The slow-roll conditions are easy to satisfy

near $h \gtrsim \frac{M_P}{\sqrt{\xi}} \equiv \Lambda_I \Rightarrow V(x) \simeq \frac{\lambda M_P^4}{4\xi^2} \left(1 - e^{-\frac{2x}{\sqrt{6}M_P}}\right)^2$

$$\Rightarrow \epsilon \simeq \frac{4M_P^4}{3\xi^2 h^4} \simeq 2 \times 10^{-4} \text{ at } h_*$$

Inflation $\left\{ \begin{array}{l} \text{ends at } h_{\text{end}} \simeq \frac{M_P}{\sqrt{\xi}} \\ 55 \text{ e-folds } h_* \simeq 9 \frac{M_P}{\sqrt{\xi}} \end{array} \right.$

$$\frac{\delta p}{\delta \phi} \Rightarrow \frac{V}{\epsilon} \simeq \frac{\lambda}{\xi^2} \text{ fixed}$$

$$n_s = 1 - 6\epsilon + 2\eta$$

$$r = 16\epsilon \quad \text{quite small}$$

$$\xi \sim 10^4 \sqrt{\lambda}$$

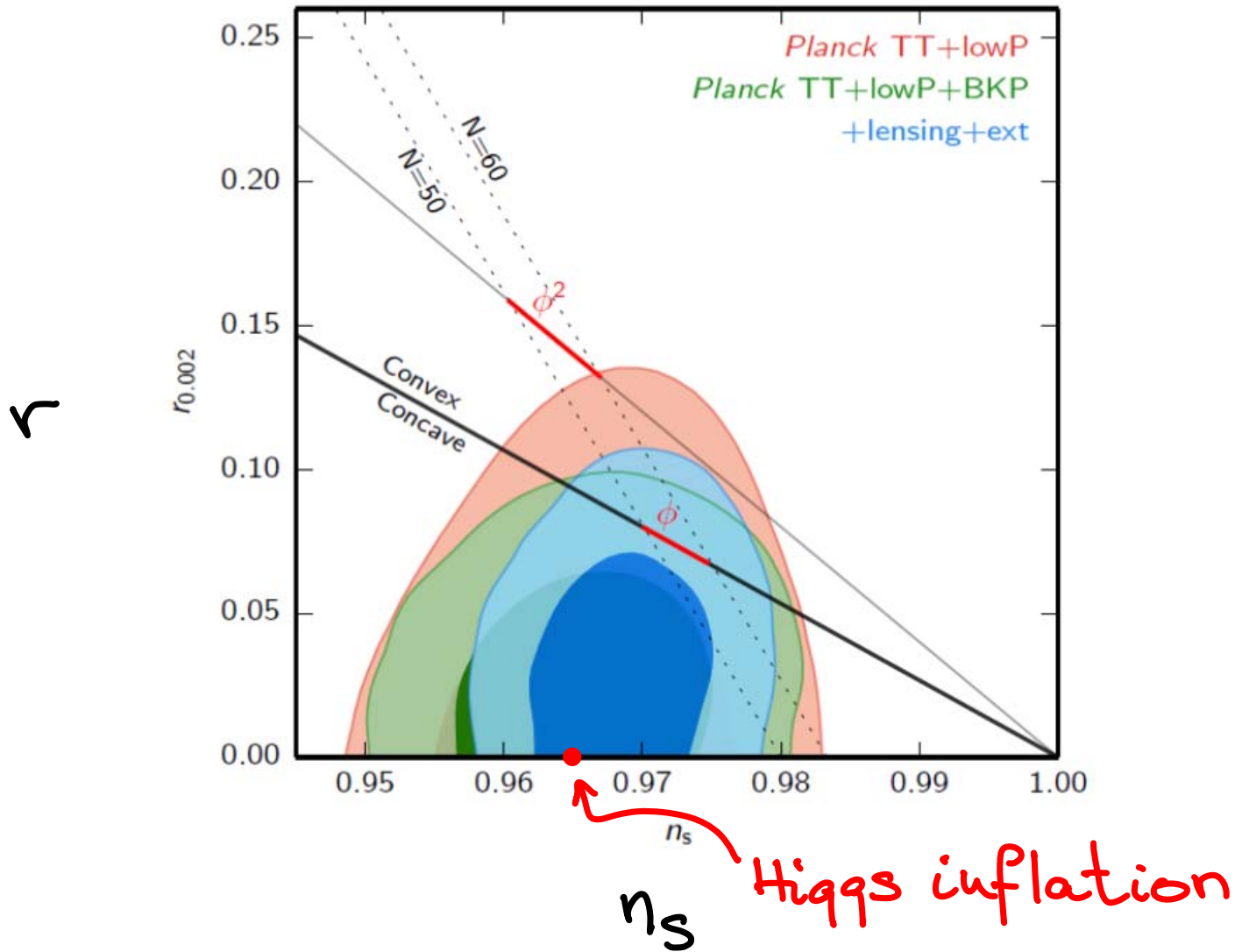
$$\xi \gg 1$$

$$n_s \sim 0.965$$

$$r \sim 0.003$$

PREDICTIONS

Planck '15



GREAT SUCCESS!!



BUT, WAIT...

A HIDDEN ASSUMPTION

The plateau is caused by a functional tuning

$$\delta\mathcal{L} \sim \xi f(h) R - V_{SM}(h) \rightarrow V_E(h) = \frac{V_{SM}(h)}{(1 + \xi f(h))^2}$$

works only if $f(h) \sim h^2 \Rightarrow V_E(h) \xrightarrow{h \rightarrow \infty} \text{constant}$

This restriction is equivalent to assuming a

SHIFT SYMMETRY

for h in the UV theory (at large field values).

$\Rightarrow$ The plateau is not an automatic result

HIGGS AS INFLATON

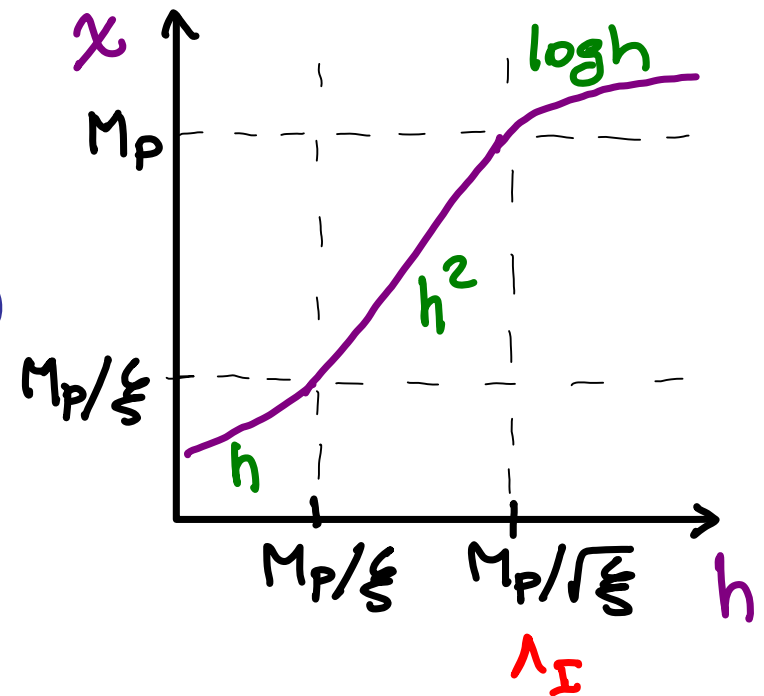
Kinetic term:

$$\frac{1}{2} \frac{[1 + (\xi + 6\xi^2) h^2/m_P^2]}{[1 + \xi h^2/m_P^2]^2} (\partial h)^2 \longrightarrow \frac{1}{2} (\partial \chi)^2$$

$\underbrace{\hspace{10em}}_{K^2(h)}$

by the field redefinition $\chi(h)$

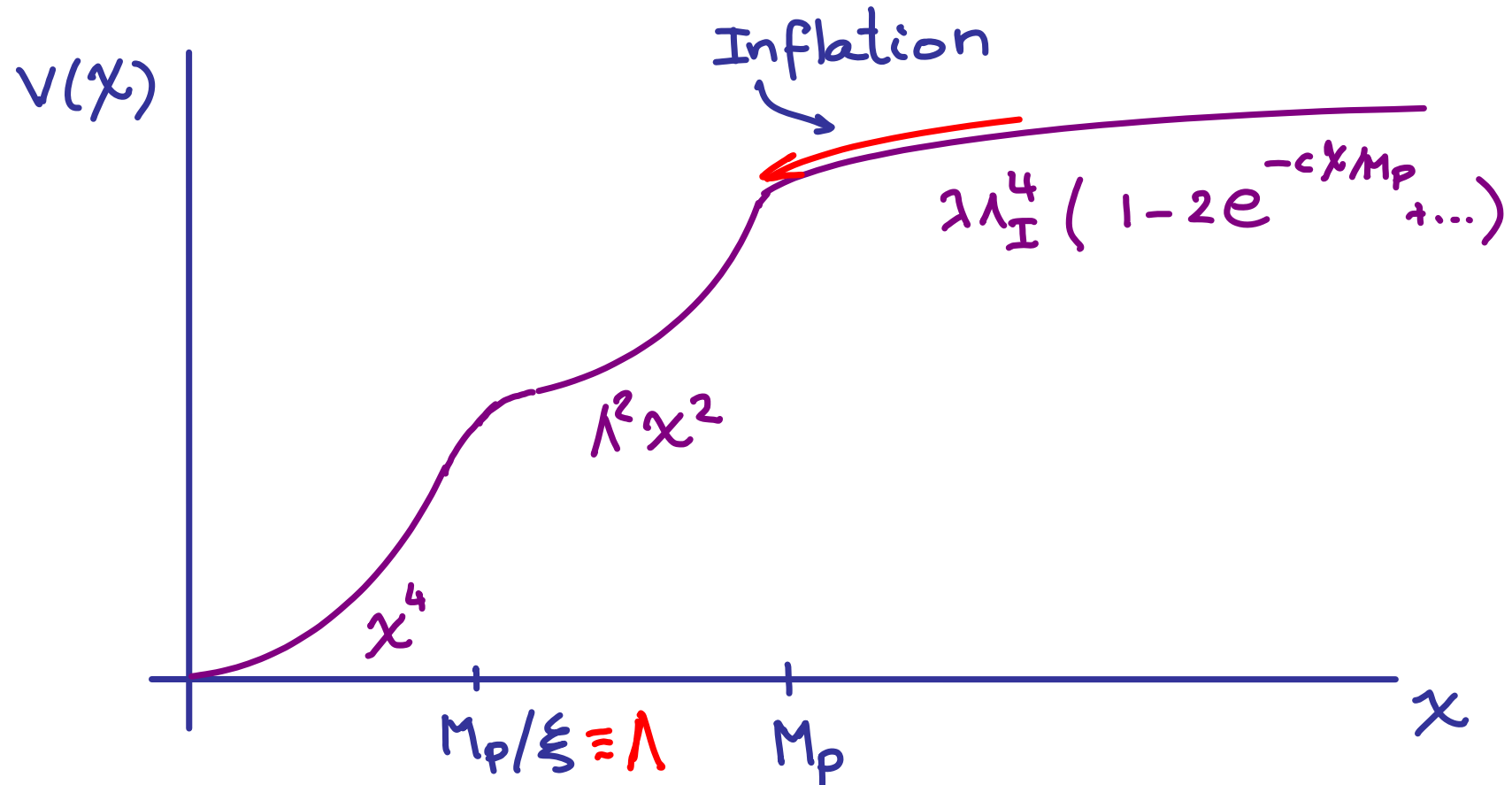
with $\frac{d\chi}{dh} = K(h)$



- New scale M_P/ξ
- $h = M_P/\sqrt{\xi} \Rightarrow \chi = M_P$
- χ couplings $\neq$ h couplings ($\rightarrow 0$ at high χ)

SCALES

As a function of the canonical field χ



Non-trivial RG improvement. Postma et al '13 '14 '15 '16

In the plateau, χ decouples asymptotically $\rightarrow$ Higgsless SM!



EFT CUTOFF ($\xi \gg 1$)

Burgess, Lee, Trott '09. Barbón, JRE '09

We are dealing with a non renormalizable effective theory with a UV cutoff:

Jordan frame: $g_{\mu\nu} = \eta_{\mu\nu} + \frac{1}{M_P} \gamma_{\mu\nu}$ ← graviton

$$\xi h^2 R = \frac{\xi}{M_P} h^2 \eta^{\mu\nu} \partial^2 \gamma_{\mu\nu} + \dots \Rightarrow \Lambda \sim \frac{M_P}{\xi}$$

Einstein frame:

$$\frac{1}{2} \kappa^2(h) (\partial h)^2 \supset -3 \frac{\xi^2}{M_P^2} h^2 (\partial h)^2 \Rightarrow \Lambda \sim \frac{M_P}{\xi}$$

$$\Lambda \sim \frac{M_P}{\xi} \ll \Lambda_I \sim \frac{M_P}{\sqrt{\xi}}$$

Can't trust the plateau region

FIELD-DEPENDENT CUTOFF ?

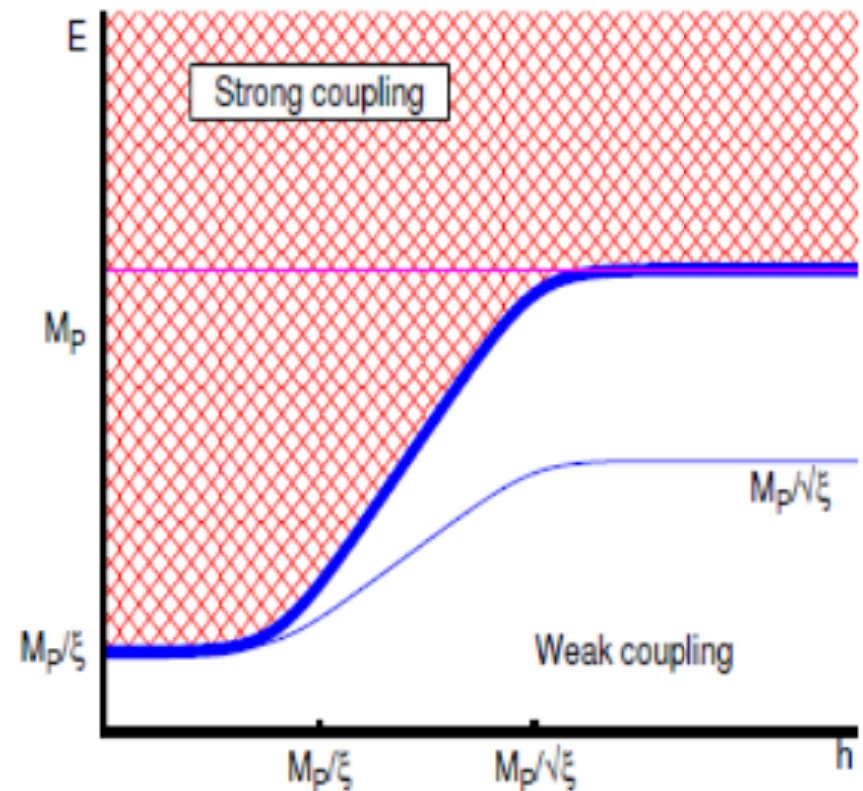
Bezrukov, Magnin, Shaposhnikov, Sibiryakov '10

Previous analysis done at EW vacuum $h \ll M_P/\xi$

Isn't the cutoff different at large h ?

$$\Lambda = \frac{M_P + (\xi + 6\xi^2)h^2/M_P}{\xi(1 + \xi h^2/M_P^2)}$$

growing to safe values



Bezrukov

FIELD-DEPENDENT CUTOFF ?

Really ?? cutoff $\equiv$ threshold of ignorance.

$\Lambda = \frac{M_P}{\xi} \Rightarrow$ New physics enters at Λ , either
new degrees of freedom or strong coupling

How could raising the h background change that ?

Maybe that new physics is sensitive to $h \dots$

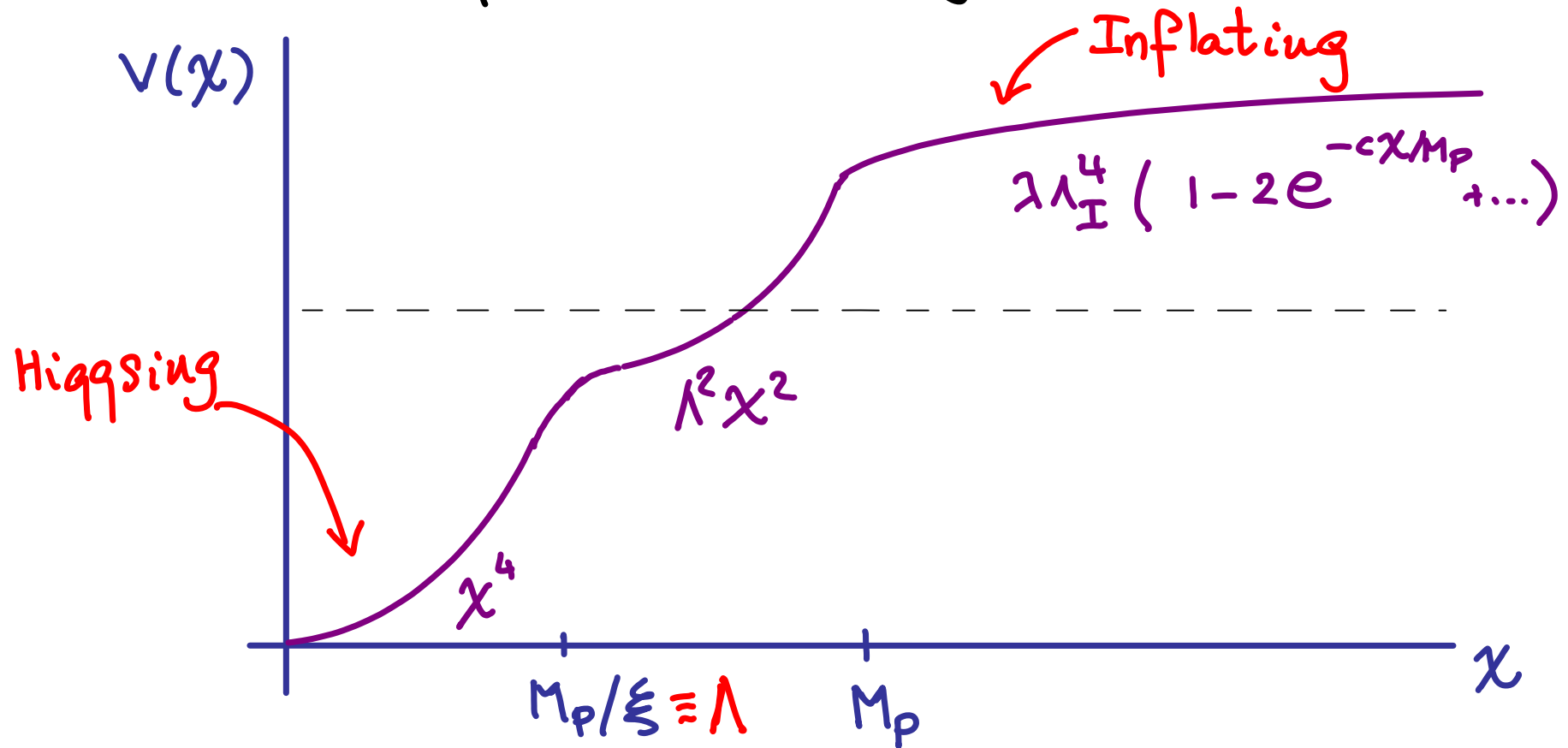
$$\text{e.g. } M_i^2 \sim \Lambda^2 + \kappa_i h^2$$

That is precisely the danger:

Such new physics will have an impact on $v(h)$
above Λ !

UNITARITY LOST

Root of the problem: the Higgs serves double duty:



Higgsing requires unitarizing $W_L W_L$ scattering and works if Higgs has SM couplings. True only below $(4\pi)\Lambda$

UNITARIZING HIGGS INFLATION

Barbón, Casas, Elias-Miró, JRE '15

Model that UV completes H.I. above Λ with the following ingredients/achievements :

- 1) New massive d.o.f. at/below Λ , decoupling which
⇒ Low-energy EFT $\simeq$ Higgs inflation
- 2) Unitarizes Goldstone scatterings above Λ
- 3) No large ξ as input
- 4) As simple as possible
- 5) Can help with the stability challenge for H.I.

Alternative to the Giudice-lee model

UNITARIZING HIGGS INFLATION

Barbón, Casas, Elias-Miró, JRE '15

Massive field ϕ , a singlet

$$\mathcal{L}_J = -\frac{1}{2} M_P^2 R + \mathcal{L}_{SM} - g M_P \phi R + \frac{1}{2} (\partial_\mu \phi)^2 - U(\phi, H)$$

$\downarrow$

$$\frac{1}{2} m^2 \phi^2 - \mu \phi |H|^2$$

All irrelevant ops. controlled by M_P ✓ $(g \sim 0/1)$

No strong coupling thresholds below M_P

⇒ Unitarity under control up to M_P



Mass parameters m^2, μ :

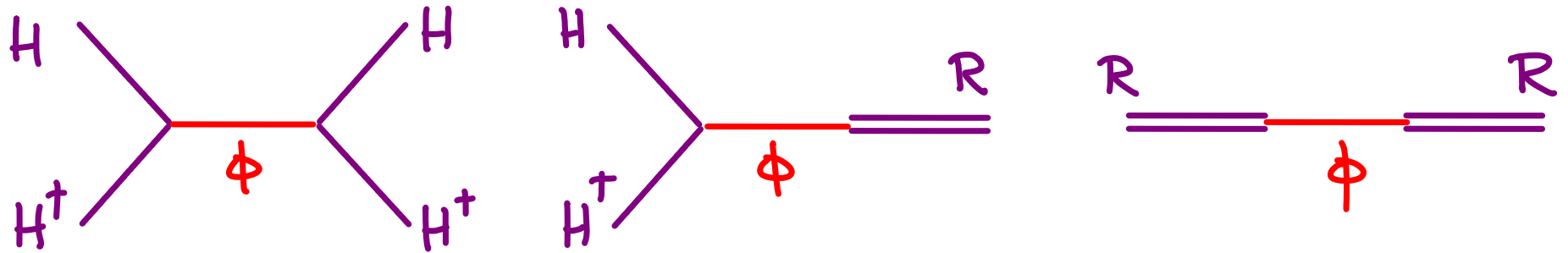
$$m_{EW} \ll \mu \approx m \ll M_P$$

USES OF THE MODEL / PLAN

- Decouple heavy $\phi \Rightarrow$ EFT Higgs inflation
- Compare inflationary predictions of EFT potential with complete model
- Address challenges of original Higgs inflation
- What do we gain / lose

EFT BELOW m

Integrating out ϕ produces the operators :



$$\delta\lambda = -\frac{\mu^2}{2m^2}$$



$$\lambda = \lambda_{UV} + \delta\lambda$$

$$\xi |H|^2 R$$



$$\xi = \frac{\mu g M_P}{m^2}$$

$$\gamma R^2$$



$$\gamma = \frac{g^2 M_P^2}{m^2}$$

ξ calculable in terms of UV parameters ✓

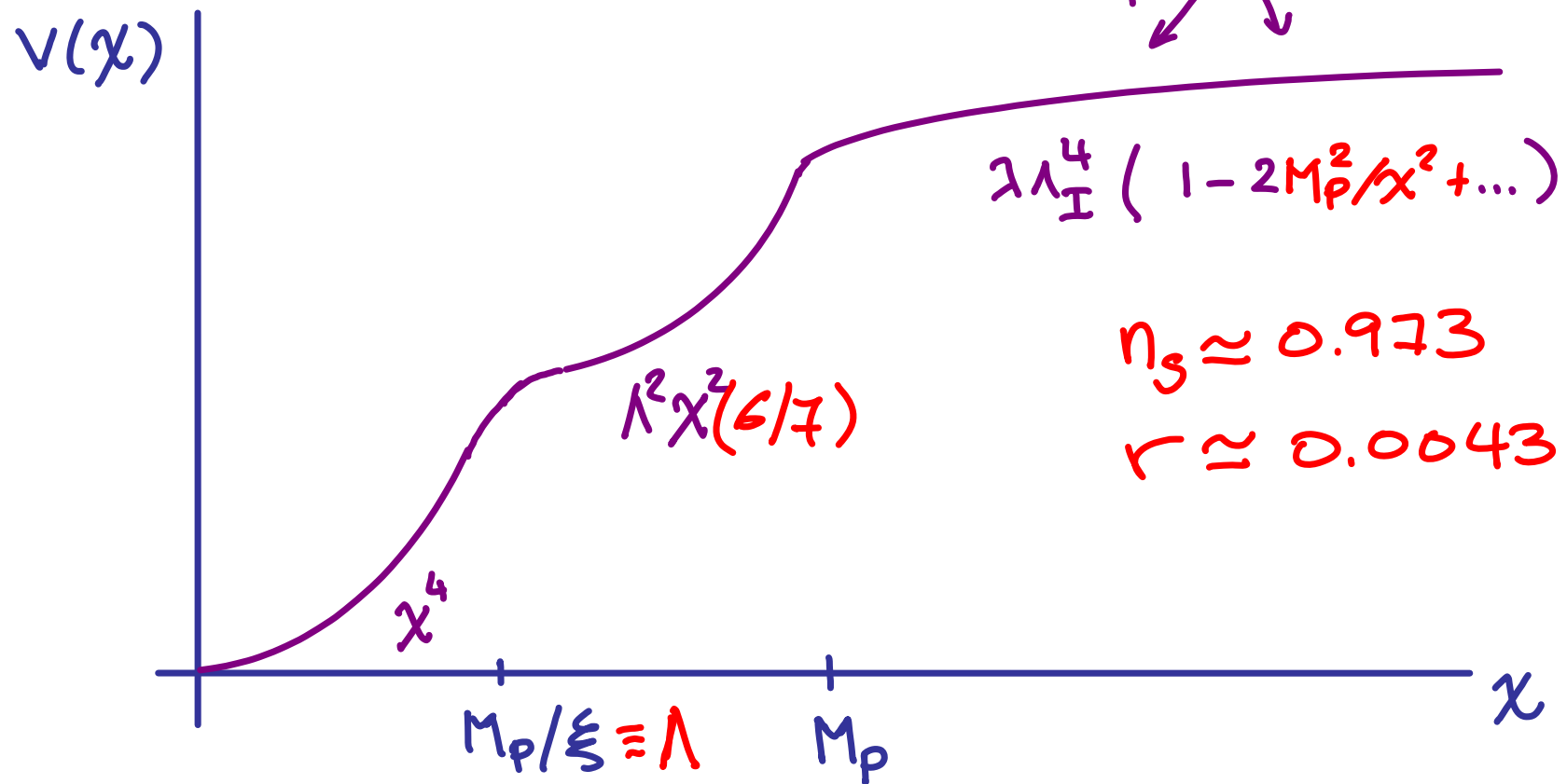
$\xi \gg 1$ easy to achieve ✓

$\Lambda \equiv \frac{M_P}{\xi} = \frac{m^2}{\mu g} \gtrsim m$ ϕ indeed appears below Λ

EFT BELOW m

$$\mathcal{L}_{\text{eff}} = -\frac{1}{2} (M_P^2 + \xi h^2) R + \frac{1}{2} \left(1 + \xi \frac{h^2}{M_P^2} \right) (\partial_\mu h)^2 - \frac{\lambda}{4} h^4 + \dots$$

↑ departure from H.I.



$$\eta_s \simeq 0.973$$

$$r \simeq 0.0043$$

(R^2 subleading impact during inflation for small λ)

EFT vs. TRUE DYNAMICS

The previous EFT analysis is done extrapolating for $h > \Lambda$: a dangerous procedure in general.

Now we can compare with the UV theory

Two-field model: h, ϕ

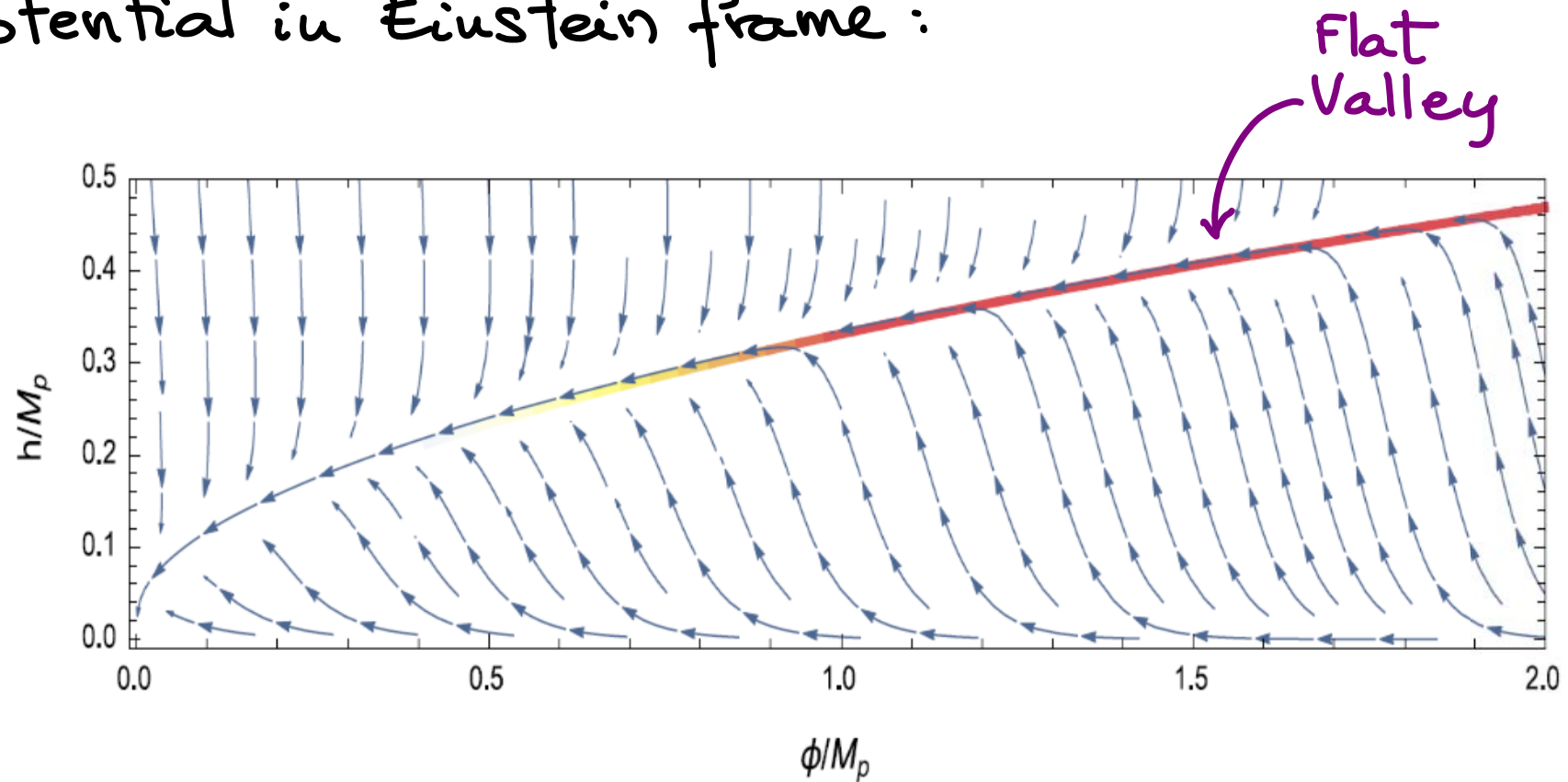
$$\mathcal{L}_E = \frac{1}{2} \sum_{i,j=h,\phi} G_{ij} \partial_\mu \Phi_i \partial^\mu \Phi_j - V_E(h, \phi)$$

where now

$$g_{\mu\nu}|_J \rightarrow \frac{1}{1+2\phi/M_P} g_{\mu\nu}|_E \quad V_E = \frac{V_J(h, \phi)}{(1+2\phi/M_P)^2}$$

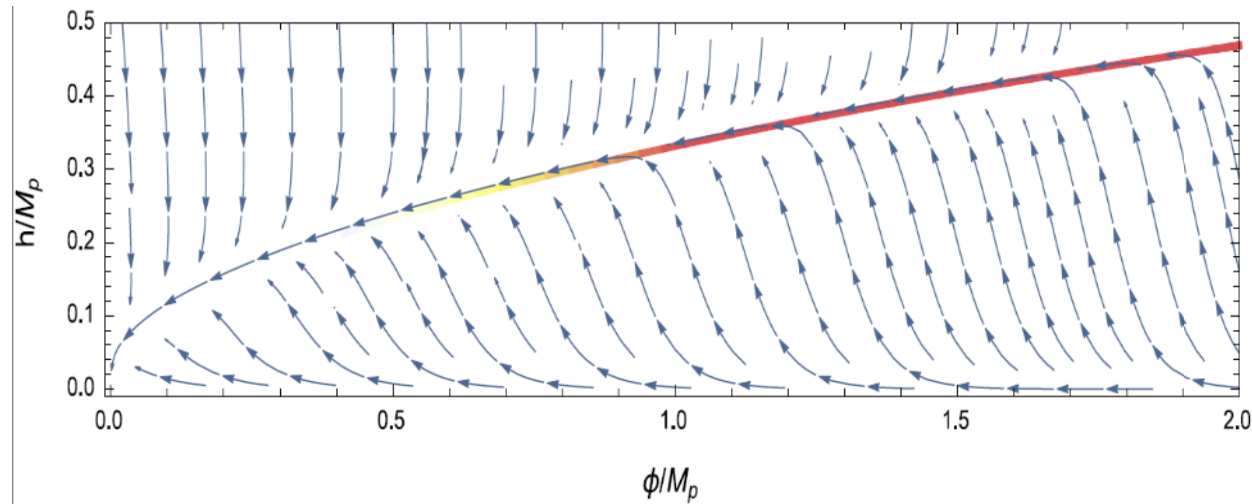
HIGH ENERGY THEORY

Potential in Einstein frame :



Flatness results from $\phi R \leftrightarrow m^2 \phi^2$ interplay.
UV shift symmetry still assumed but for ϕ ✓

HIGH ENERGY THEORY



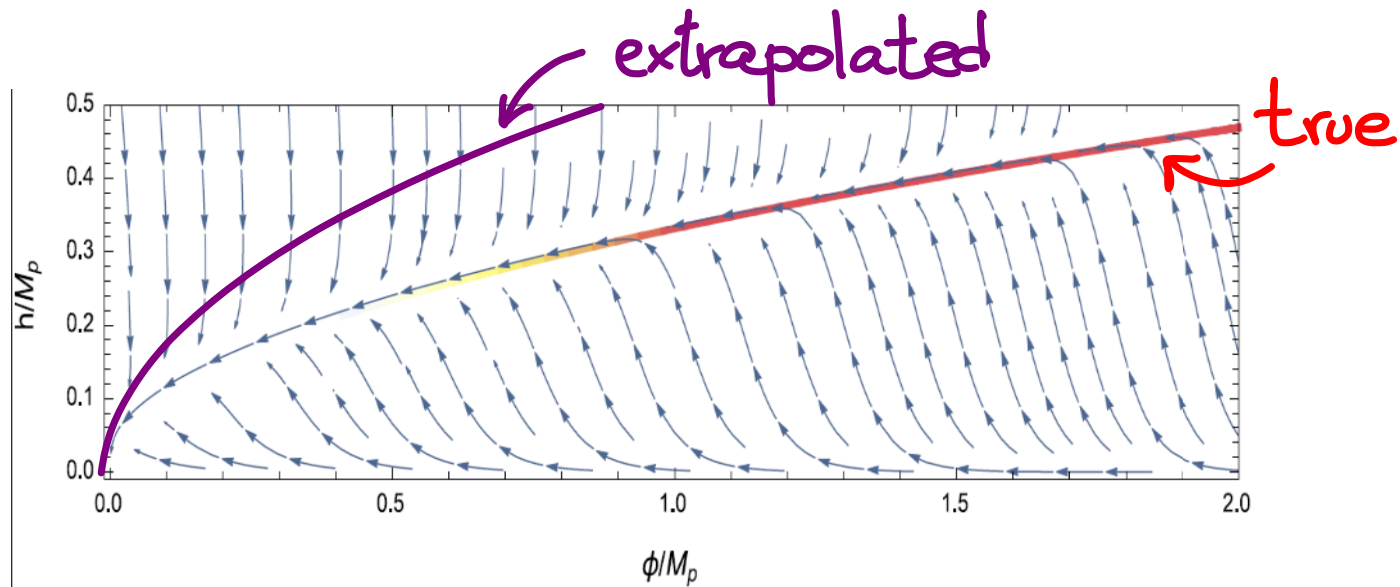
★ Inflationary valley is narrow $m_I^2 \sim M_P \mu \gg H_I^2 \sim \frac{M_P^2}{\xi^2}$
⇒ single field inflation

★ Can parametrize in terms of h or ϕ but clearly the inflaton field is mostly ϕ , not h .

This seems inescapable if h still takes care of unitarizing Goldstone scattering (It was the same for Giudice-Lee)

HIGH ENERGY THEORY

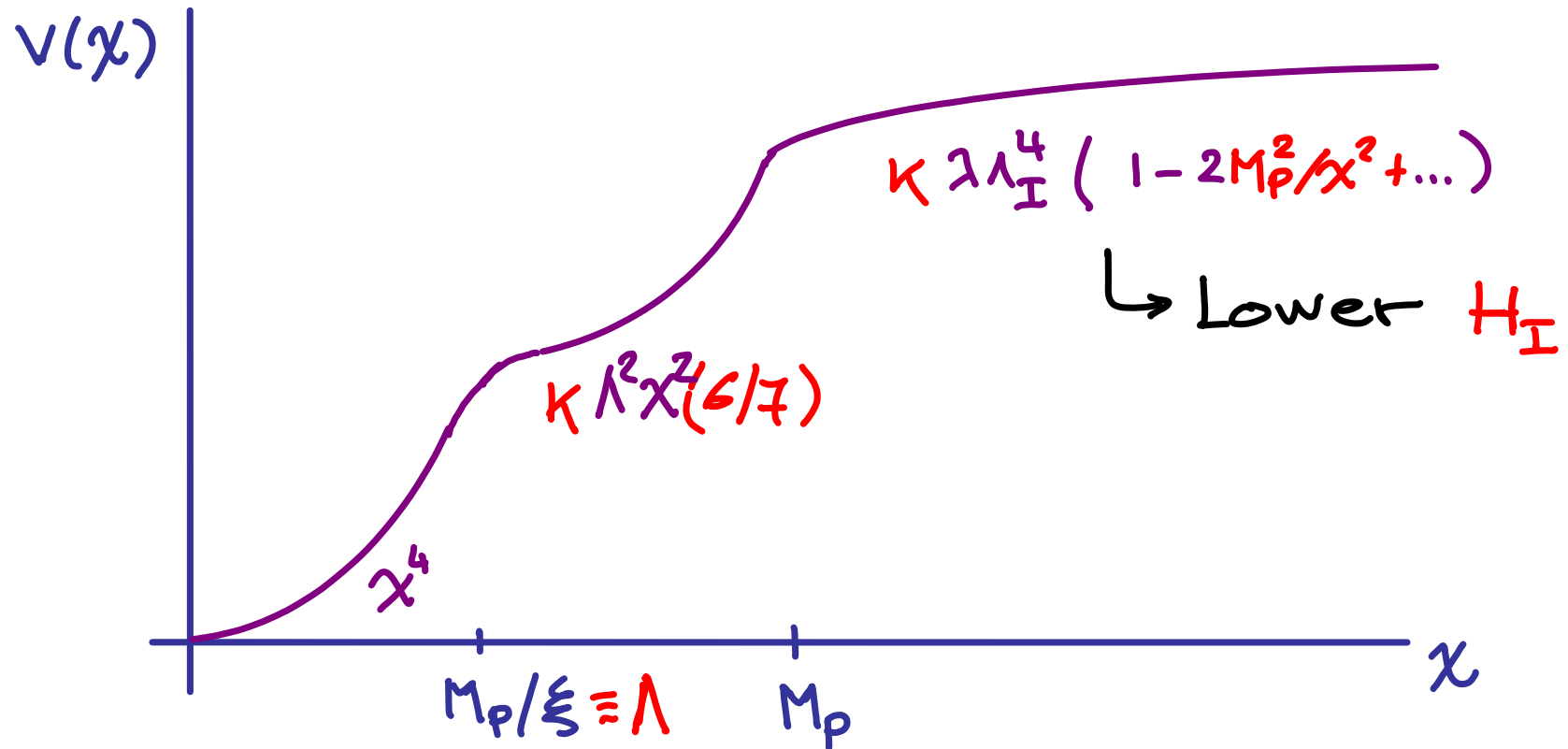
- ★ Deep inside the valley the heavy field is mostly h while the EFT is constructed by decoupling ϕ ...
- ⇒ Deviation between the true inflationary trajectory and the extrapolated one (from $\partial V / \partial \phi = 0$)



The extrapolated path probes higher potential values.

HIGH ENERGY THEORY

Missmatch factor wrt EFT: $\kappa \equiv 1 - \lambda/\lambda_{uv}$



Artifact of truncating EFT at two-derivatives.

But same slow-roll parameters: $\eta_s \simeq 0.973$
 $r \simeq 0.0043$

EFT vs HIGH ENERGY THEORY

EFT

Higgs inflation

H_I

n_s, r

Requires knowledge of additional NR operator

Can't be included

HIGH ENERGY THEORY

ϕ -inflation

H_I

n_s, r

Can be Included

STABILITY PROBLEM

Singlet field ϕ modifies the running of λ

$$\frac{d\lambda}{d\log Q} = \beta_{\lambda}^{\text{SM}} + \underbrace{\frac{1}{2\pi^2} (\lambda_{uv} - \lambda)(\lambda_{uv} + 2\lambda)}_{>0}$$

This can stabilize the potential, provided

$$m < \Lambda_{\text{inst}} \sim 10^{11} \text{ GeV}$$

which requires $\mu < \xi \frac{\Lambda_{\text{inst}}^2}{g_{\text{MP}}}$



CONCLUSIONS

★ Can the SM scalar be responsible for cosmological inflation?

NO!

Inflation $\Rightarrow$ BSM

★ The unitarity problem of Higgs inflation requires new dofs. at or below the scale $M_P/\xi \ll M_P$

CONCLUSIONS

★ Very simple model curing this problem

$$\Delta \mathcal{L} \supset M_P \phi R - \mu \phi |H|^2 - \frac{1}{2} m^2 \phi^2$$

- Shows how to get $\xi \gg 1$
- Can help with the instability problem
- Agrees with measured n_s and r
- Still requires a shift symmetry in the UV

★ Such dof takes care of inflating so that h can unitarize $W_L W_L$

Higgs not really the inflaton

CONCLUSIONS

★ Predictions deviate from original Higgs inflation and from the naive EFT extrapolation

⇒ UV sensitivity

"Higgs inflation" just a mirage single-field projection from a more complicated landscape-like potential.

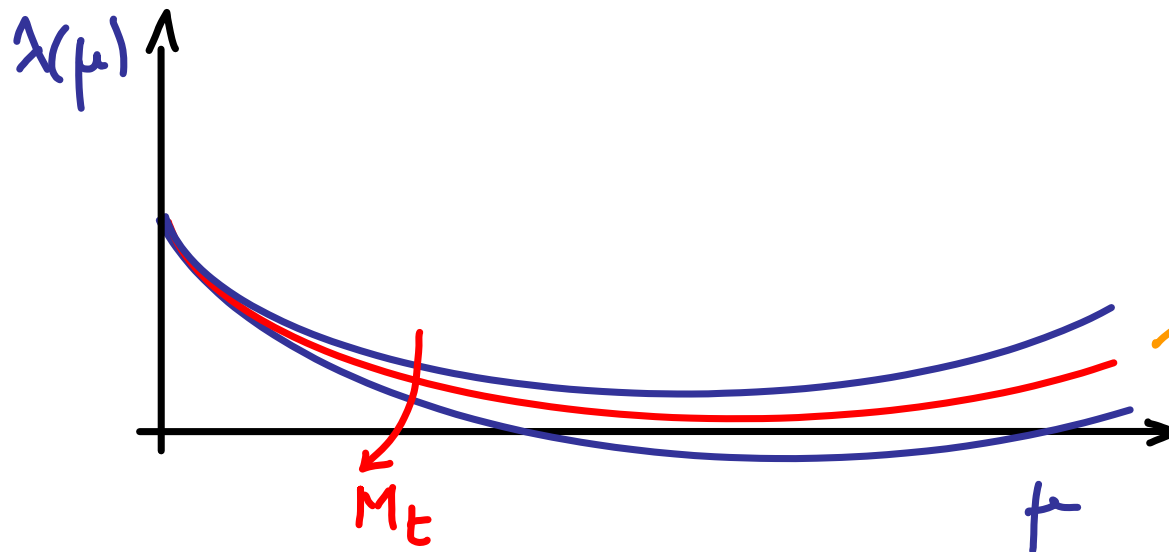
Finding out that potential will be tough!



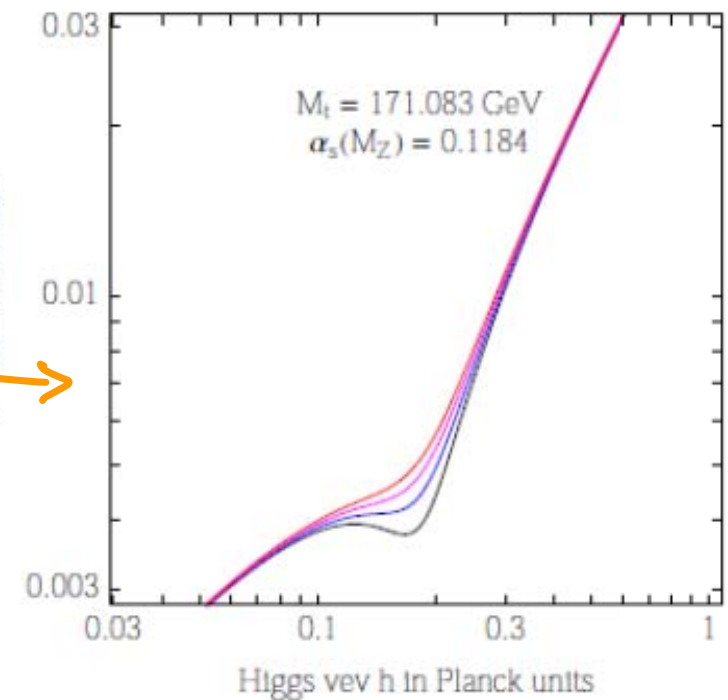
HIGGS AS INFLATON (KINK)

Use kink in Higgs potential

Isidori et al. '07



SM Higgs potential, $M_h = 125$ GeV



Requires exquisite tuning ($1/10^6$) in M_t

HIGGS AS INFLATON (KINK)

First try : Slow-roll in the small plateau

$$\epsilon = \frac{1}{2} M_P^2 \left(\frac{V'}{V} \right)^2 \quad \eta = M_P^2 \left(\frac{V''}{V} \right) \ll 1$$

Very predictive scenario

Given V , $\delta\rho/\rho \sim 10^{-5} \Rightarrow \frac{V}{\epsilon} \approx (0.0276 M_P)^4 \Rightarrow \epsilon \gtrsim 10^{-3}$

$$N_e = \frac{1}{\sqrt{2}} \int \frac{dh/M_P}{\sqrt{\epsilon}} \approx 60 \text{ requires sizeable } \Delta h \gtrsim M_P$$

while the small plateau is much shorter

Use $\xi \sim \mathcal{O}(10)$ for further flatenning Bezrukov, Shaposhnikov'14
Hamada et al'14

Still: plateau at $h \lesssim M_P$: UV sensitive

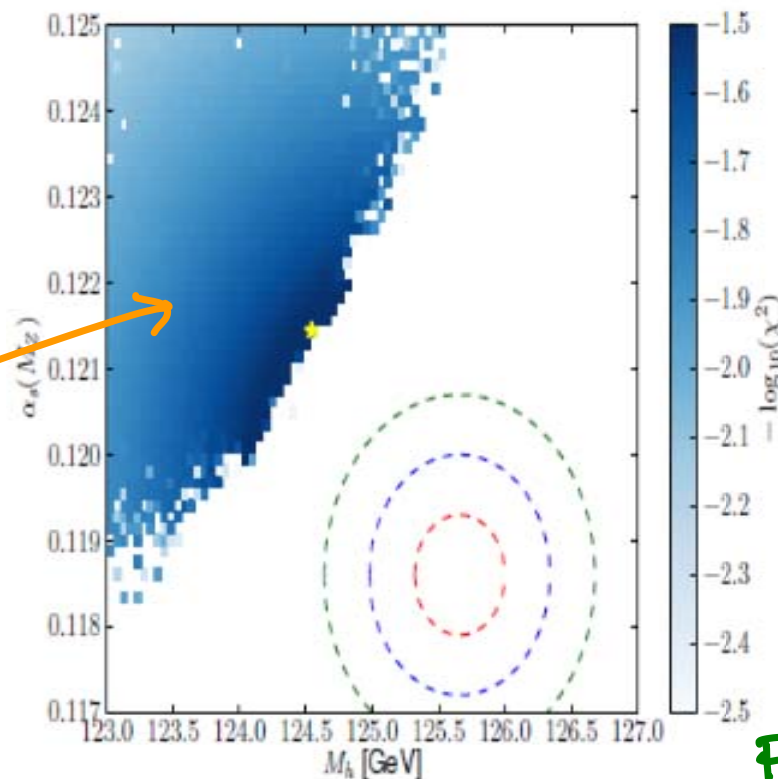
HIGGS AS INFLATON (KINK)

2nd try : False vacuum inflation ? Masina, Notari '12
...

Similar to old inflation $\Leftrightarrow$ Graceful exit problem

Need to add extra fields to the SM to solve this
and even then:

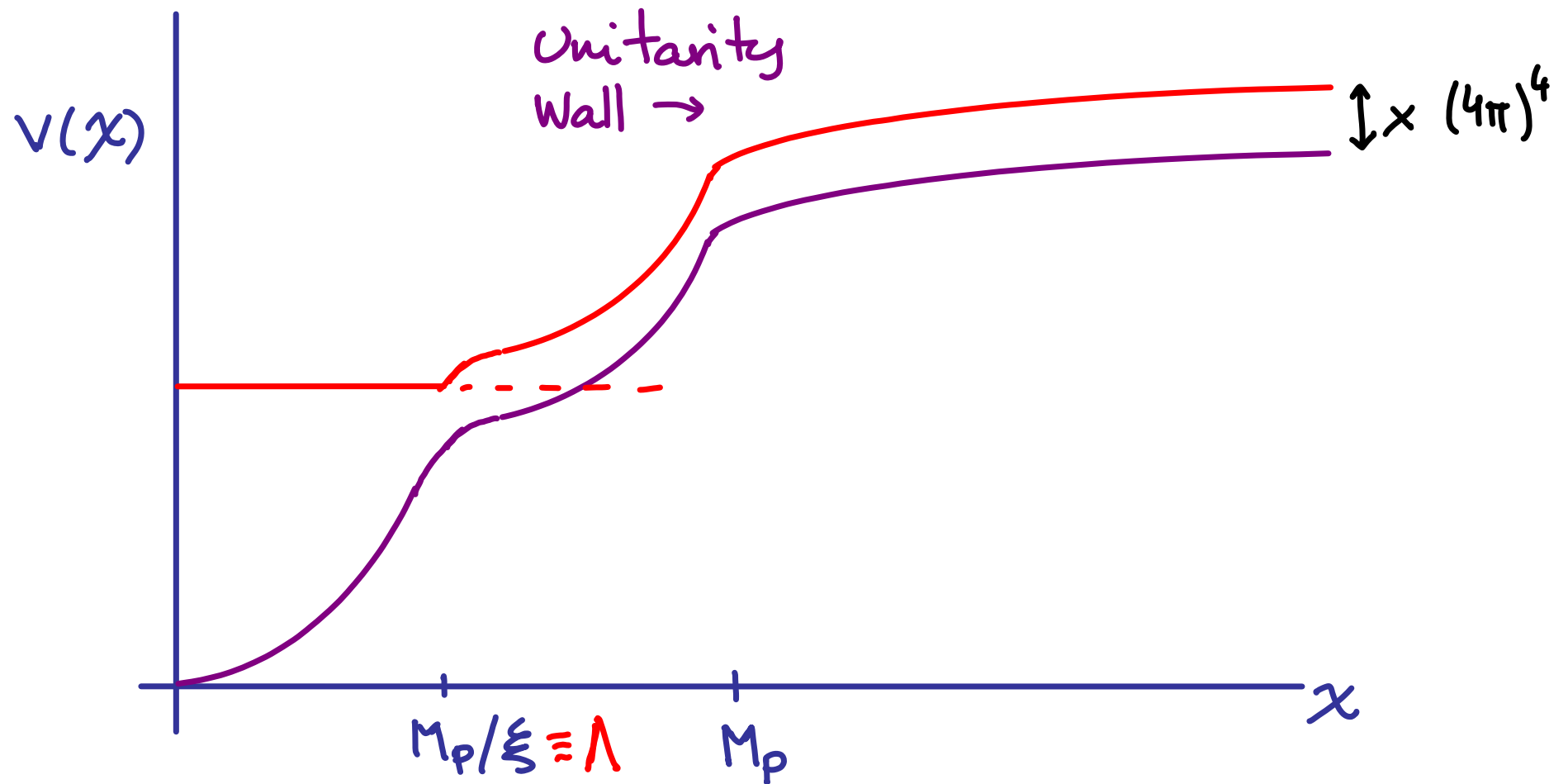
Good
inflation
predictions



SM+Singlet model

Fairbairn '14

UNITARITY LOST



Problem in the intermediate region. Can study it decoupling gravity ($M_p \rightarrow \infty$, $\xi \rightarrow \infty$, $\Lambda = M_p/\xi$ fixed). Should have a QFT solution.

GIUDICE-LEE MODEL

New d.o.f. σ , a singlet

$$\mathcal{L} \supset \frac{1}{2}(M^2 + \xi \sigma^2)R - V(\sigma) - \lambda_{H\sigma} \sigma^2 |H|^2$$

$$\xi \gg 1$$

$$\langle \sigma \rangle \neq 0 : M_P^2 = M^2 + \xi \langle \sigma \rangle^2$$

Induces $\xi |H|^2 R$ in the low-energy EFT

Modifies the unitarity cutoff to

$$\Lambda = \left(1 + 6r\xi\right) \frac{M_{Pl}}{\xi}$$

with $r = \xi \langle \sigma \rangle^2 / M_{Pl}^2 \in (0, 1)$ so that $\Lambda \sim M_{Pl}$ for $r \sim 1$.