

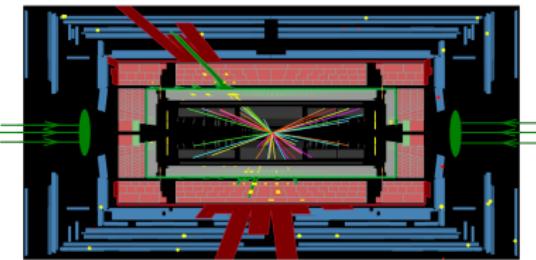


# Introduction to Monte Carlo event generators

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Terascale MC school, March 2017

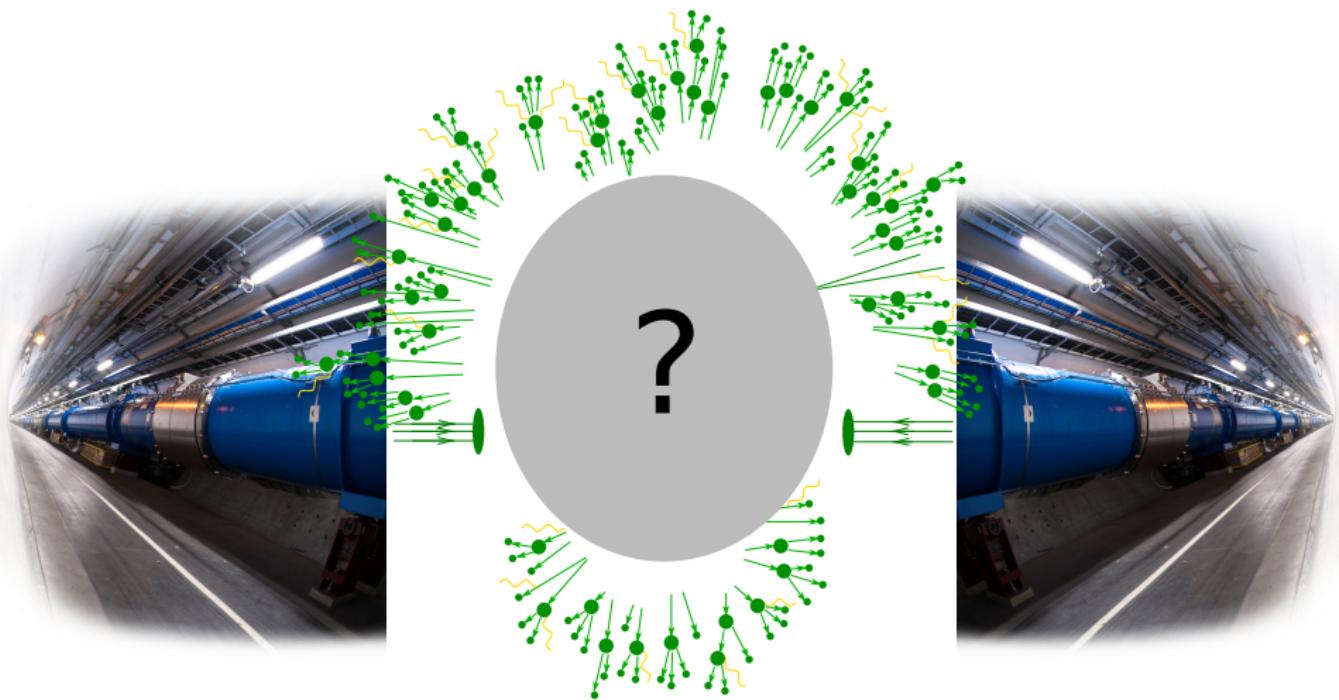




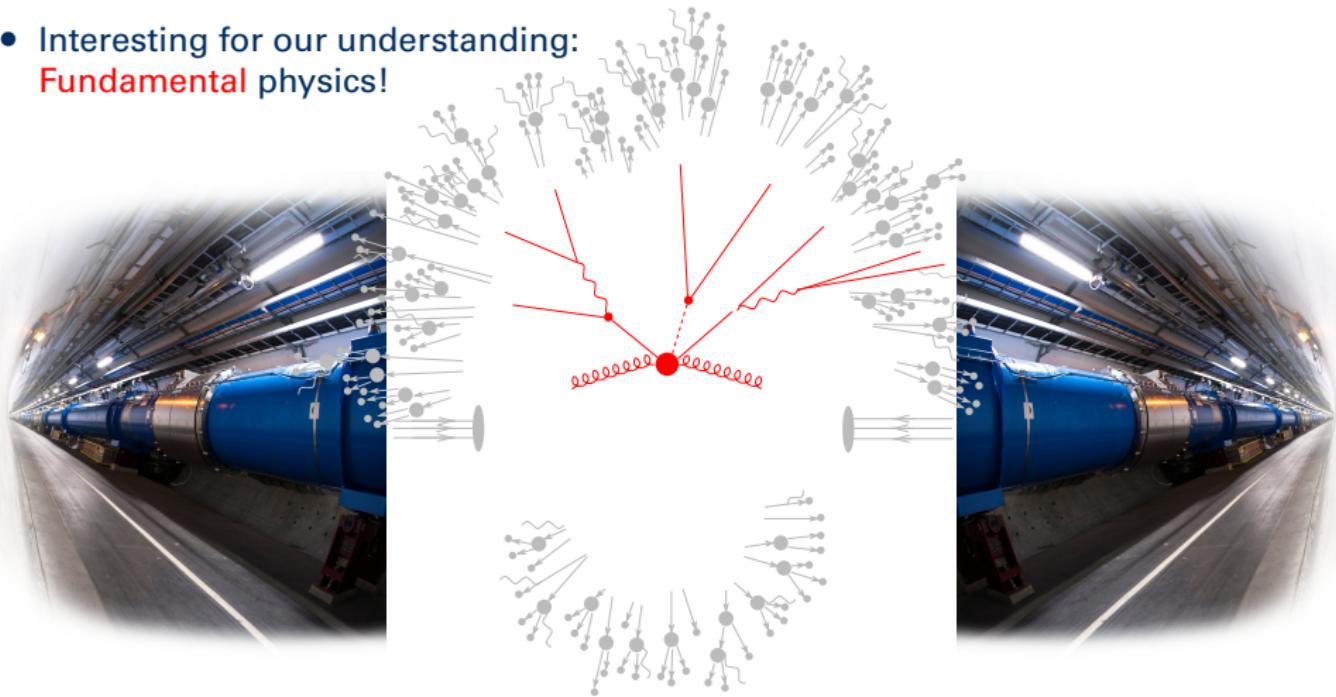
[ATLAS event display from 13 TeV collisions]



- In our detectors:  
stable hadrons

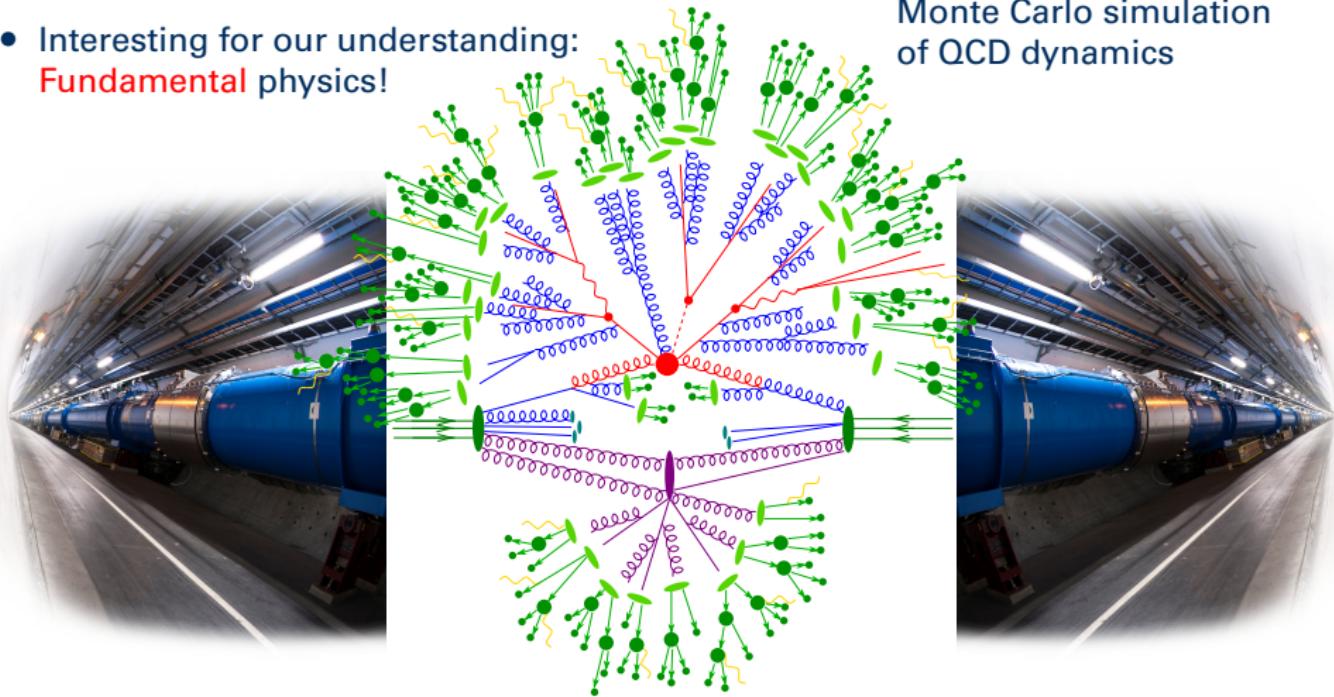


- In our detectors:  
**stable hadrons**
- Interesting for our understanding:  
**Fundamental** physics!



- In our detectors:  
stable hadrons
- Interesting for our understanding:  
**Fundamental** physics!

- Connection:  
Monte Carlo simulation  
of QCD dynamics



- 
- 1 Motivation**
  - 2 Introduction to QCD**
  - 3 Introduction to event generators**
  - 4 Hard interaction**
  - 5 Parton shower**
  - 6 Multiple parton interactions**
  - 7 Hadronization**
  - 8 Hadron decays**
  - 9 Generator programs**

1 Motivation

2 Introduction to QCD

3 Introduction to event generators

4 Hard interaction

5 Parton shower

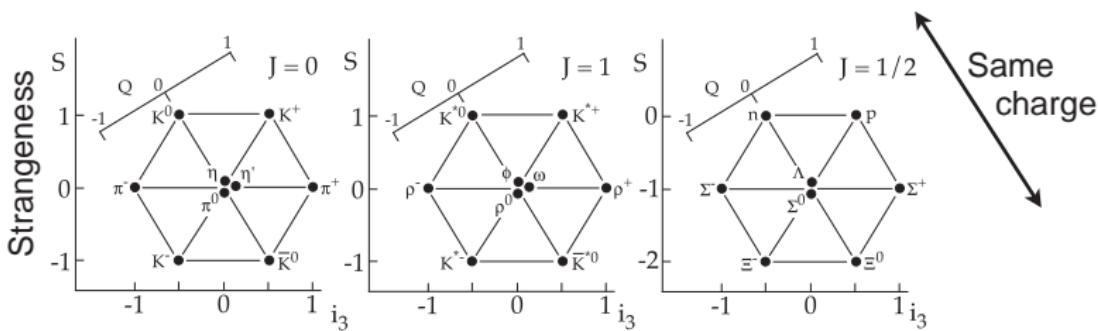
6 Multiple parton interactions

7 Hadronization

8 Hadron decays

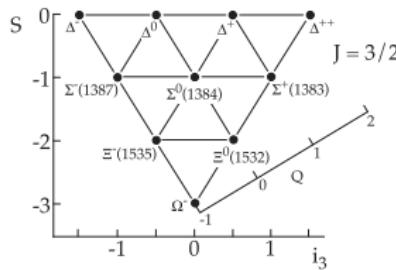
9 Generator programs

- 1960's → large zoo of hadrons discovered, systematization needed
- Gell-Mann, Ne'eman '62 → "eight-fold way," prediction of  $\Omega$



- Use SU(3) of flavor (isospin & strangeness) to classify hadrons
- Describes structure of low-lying multiplets very well

- Decuplets contains state with three identical quarks



Isospin third component

- Corresponds to all symmetric state  $\Delta^{++} = |u^\uparrow u^\uparrow u^\uparrow\rangle$   
→ forbidden by Fermi statistics
  - Rescue by postulating new degree of freedom → “color” charge
- $$\Delta^{++} = N \sum \varepsilon_{ijk} |u_i^\uparrow u_j^\uparrow u_k^\uparrow\rangle$$
- described by **SU(3)** of color, i.e. 3 charges (“red”, “green”, “blue”)
- Color “unobserved” experimentally → SU(3) invariance of Lagrangian

- Lie algebra of  $SU(3)$  is  $[T^a, T^b] = if^{abc}T_c$
- Fundamental representation usually in terms of  $T^a = \lambda^a/2$   
with Gell-Mann matrices

$$\begin{aligned}\lambda_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \lambda_4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} & \lambda_5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda_6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \lambda_7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} & \lambda_8 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}\end{aligned}$$

- $\lambda_a^\dagger = \lambda_a$ ,  $\text{Tr}(\lambda^a) = 0$ ,  $\text{Tr}(\lambda^a \lambda^b) = 2\delta^{ab}$
- Fierz identity

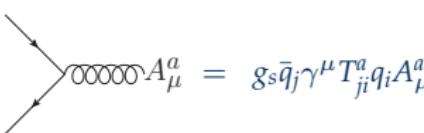
$$\lambda_{ij}^a \lambda_{kl}^a = 2 \left( \delta_{il} \delta_{jk} - \frac{1}{3} \delta_{ij} \delta_{kl} \right)$$

- Adjoint representation:  $F_{bc}^a = -if_{abc}$

- Behaviour of quark field under gauge transformation

$$|u\rangle = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} \rightarrow |u'\rangle = \exp \left\{ ig_s \alpha_a T^a \right\} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix}$$

- Covariant derivative  $D_\mu = \delta_\mu + ig_s T^a A_\mu^a$  with  $A_\mu \rightarrow$ gauge fields  
 → quark kinetic and quark-gluon interaction term:  $\mathcal{L} = \bar{q}(i\cancel{D} - m)q$

  
 $q_j$   
 $A_\mu^a$  =  $g_s \bar{q}_j \gamma^\mu T_{ji}^a q_i A_\mu^a$   
 $q_i$

- Gluon kinetic and self-interaction term from QCD analog  $\mathcal{L} = \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a$

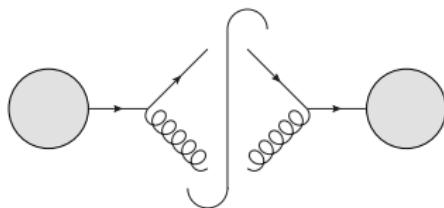
$$F_{\mu\nu}^a = \delta_\mu A_\nu^a - \delta_\nu A_\mu^a - g_s f_{abc} A_\mu^a A_\nu^b$$

→ 3- and 4-gluon interaction terms

- Non-abelian structure of QCD manifests itself in 3- and 4-gluon vertices

$$\begin{aligned}
 & A_{\nu}^{\bar{b}}(p_2) \\
 & \text{---} \quad \text{---} \\
 & \text{---} \quad \text{---} \\
 & A_{\mu}^a(p_1) \quad A_{\rho}^c(p_3) \\
 & \text{---} \quad \text{---} \\
 & A_{\nu}^{\bar{b}} \quad A_{\rho}^c \\
 & \text{---} \quad \text{---} \\
 & \text{---} \quad \text{---} \\
 & A_{\mu}^a \quad A_{\sigma}^d
 \end{aligned}
 = \begin{aligned}
 & g_s f_{abc} [ g_{\mu\nu}(p_1 - p_2)_\rho \\
 & + g_{\nu\rho}(p_2 - p_3)_\mu \\
 & + g_{\rho\mu}(p_3 - p_1)_\nu ] \\
 \\ 
 & -ig_s^2 [ f_{abefcd}(g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho}) \\
 & + f_{adefcb}(g_{\mu\rho}g_{\nu\sigma} - g_{\mu\nu}g_{\rho\sigma}) \\
 & + f_{acefbd}(g_{\mu\sigma}g_{\nu\rho} - g_{\mu\nu}g_{\rho\sigma}) ]
 \end{aligned}$$

- Consider process  $q \rightarrow qg$  attached to some other diagrams

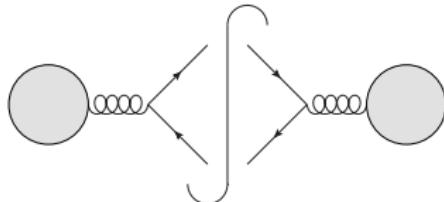


- Proportional to  $g_s^2 T_{ij}^a T_{jk}^a = 4\pi\alpha_s C_F \delta_{ik}$ , where

$$C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3}$$

- Identical to QED case except for color factor  $C_F$

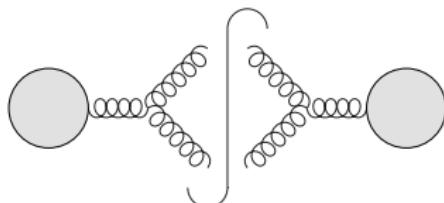
- Consider process  $g \rightarrow q\bar{q}$  attached to some other diagrams



- Proportional to  $g_s^2 T_{ij}^a T_{ji}^b = 4\pi\alpha_s T_R \delta^{ab}$ , where

$$T_R = \frac{1}{2}$$

- Consider process  $g \rightarrow gg$  attached to some other diagrams



- Proportional to  $g_s^2 f^{abc} f^{bcd} = 4\pi\alpha_s C_A \delta^{ad}$ , where

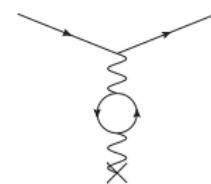
$$C_A = N_c = 3$$

- Gluons couple stronger to gluons than to quarks

- At low energy, QED potential looks like  $V(R) = -\frac{\alpha}{R}$



- When  $R \lesssim 1/m_e$ , vacuum polarization effects set in



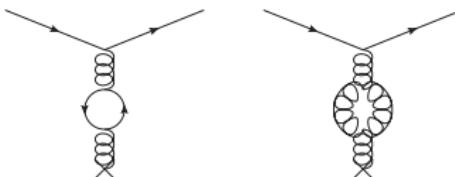
Potential changes to

$$V(R) = -\frac{\alpha}{R} \left[ 1 + \frac{2\alpha}{3\pi} \log \frac{1}{m_e R} + \mathcal{O}(\alpha^2) \right] = \frac{\bar{\alpha}(R)}{R}$$

where  $\bar{\alpha}(R) \rightarrow$  effective (running) coupling

- Understand effective coupling in analogy to solid state physics:
  - In insulators, charge screened by polarization of atoms
  - In QED, charge screened by newly created  $e^+e^-$  pairs

- In QCD, gauge bosons carry color  $\rightarrow$  screening & anti-screening



- Sum of contributions defines running of strong coupling at 1-loop

$$\mu^2 \frac{\partial \alpha_s(\mu^2)}{\partial \mu^2} = \beta(\alpha_s) \quad \text{where} \quad \beta(\alpha_s) = -\alpha_s \sum_{n=0} \frac{\alpha_s}{4\pi} \beta_n$$

- Coefficients known up to four loops

$$\beta_0 = \frac{11}{3} C_A - \frac{4}{3} T_R n_f$$

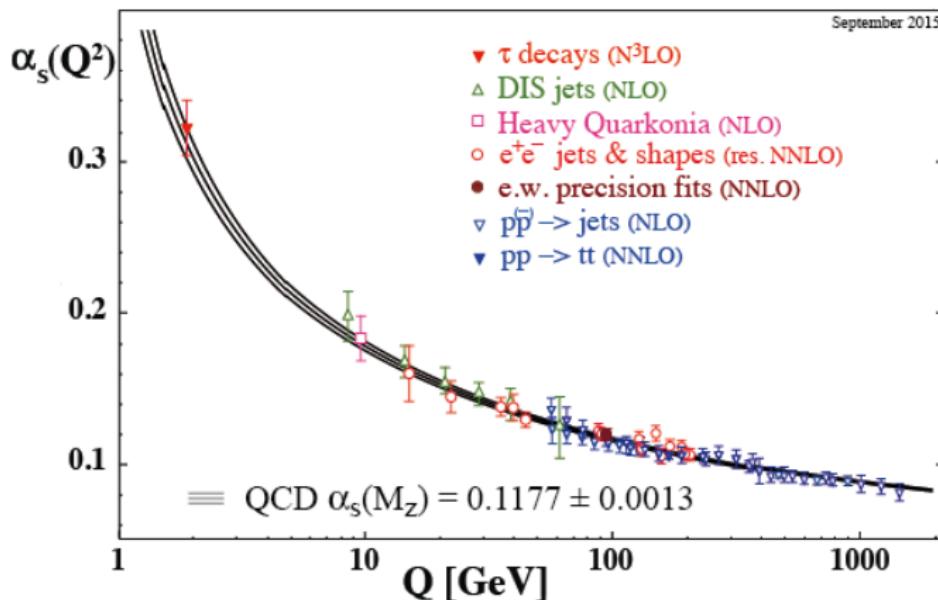
$$\beta_1 = \frac{17}{12} C_A^2 - \left( \frac{5}{6} C_A + \frac{1}{2} C_F \right) T_R n_f$$

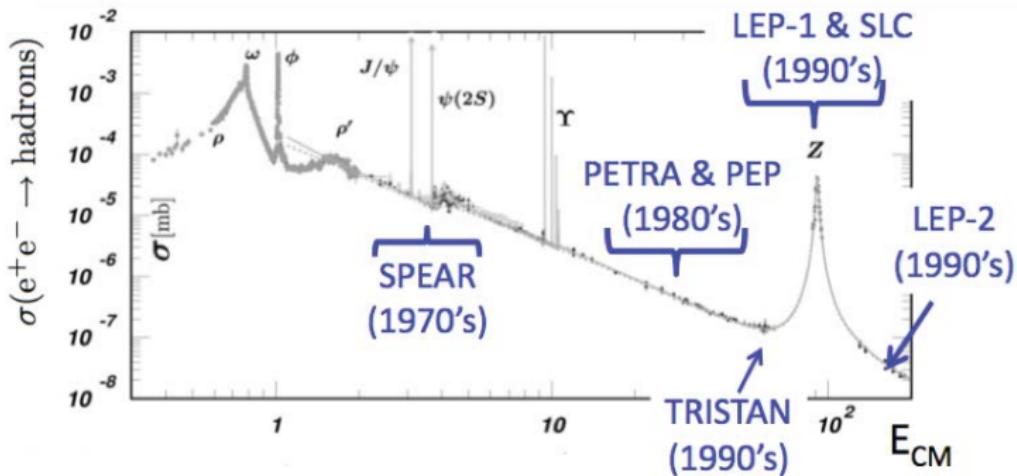
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- Unless  $n_f \geq 17$ , 1-loop beta function is negative  $\rightarrow$  confinement

# Running of the strong coupling

[Bethke] Proc. HP  $\alpha_s$  (2015)

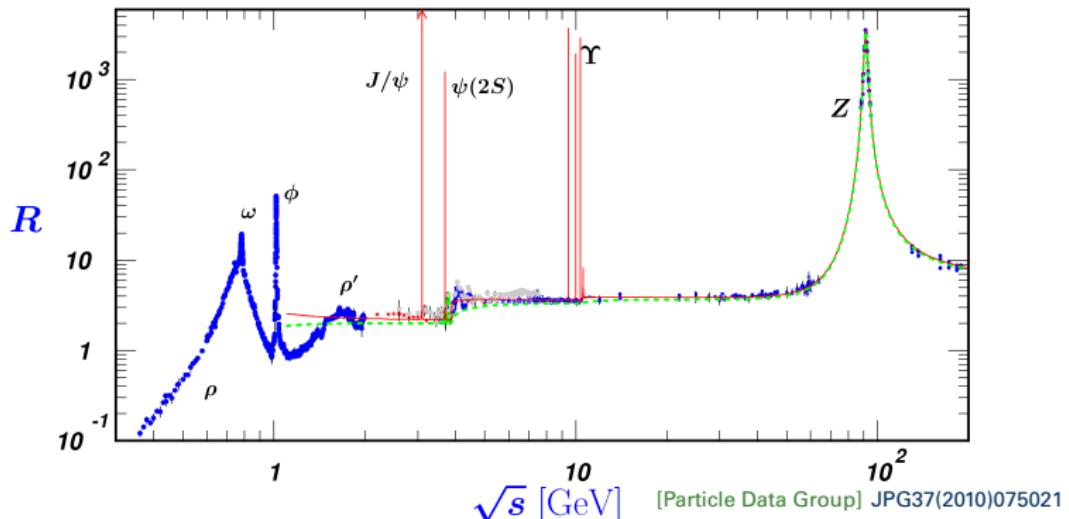
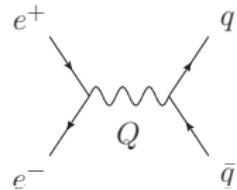




- SPEAR (SLAC): Discovery of quark jets
- PETRA (DESY) & PEP (SLAC): First high energy ( $>10$  GeV) jets Discovery of gluon jets (PETRA) & pioneering QCD studies
- LEP (CERN) & SLC (SLAC): Large energies  $\rightarrow$  more reliable QCD calculations, smaller hadronization uncertainties  
Large data samples  $\rightarrow$  precision tests of QCD

# Basic process for $e^+e^- \rightarrow \text{hadrons}$

- Prediction for  $e^+e^- \rightarrow q\bar{q}$  at leading perturbative order differs from  $e^+e^- \rightarrow \mu^+\mu^-$  only by quark charges
- Define ratio  $R = \frac{\sigma_{e^+e^- \rightarrow \text{hadrons}}}{\sigma_{e^+e^- \rightarrow \mu^+\mu^-}}$   $\xrightarrow{\text{LO}} \sum_i e_{q,i}^2$



# QCD corrections to $e^+e^- \rightarrow \text{hadrons}$

- Kinematic variables  $x_i = \frac{2p_i \cdot Q}{Q^2}$

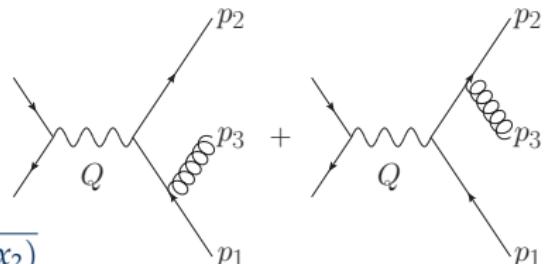
$$\rightarrow x_i < 1, \quad x_1 + x_2 + x_3 = 2$$

- Differential cross section

$$\frac{d^2\sigma}{dx_1 dx_2} = \sigma_0 \frac{\alpha_s}{\pi} C_F \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

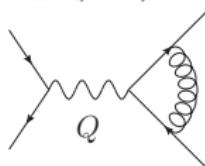
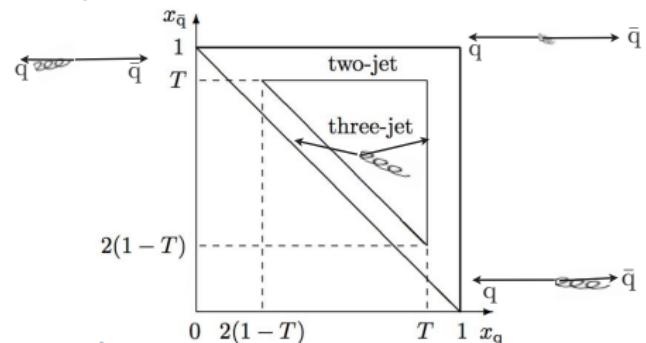
- Divergent as

- $x_1 \rightarrow 1$  ( $p_3 \parallel p_1$ )
- $x_2 \rightarrow 1$  ( $p_3 \parallel p_2$ )
- $(x_1, x_2) \rightarrow (1, 1)$  ( $x_3 \rightarrow 0$ )

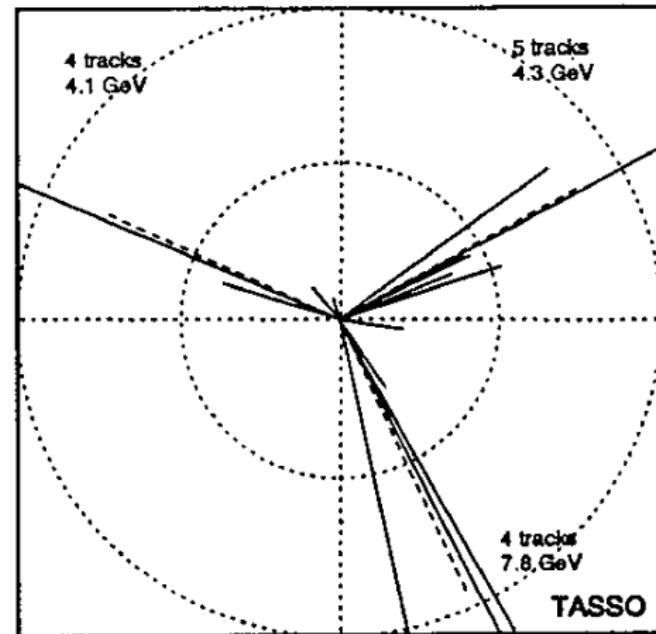
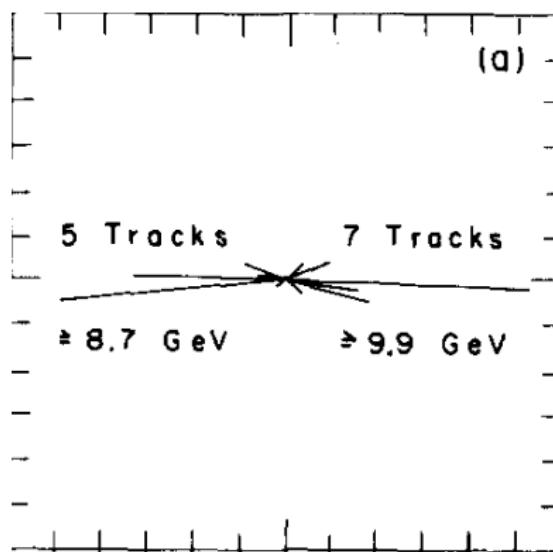


- Divergences canceled by virtual correction  
Total correction to  $e^+e^- \rightarrow \text{hadrons}$ :

$$\sigma^{\text{NLO}} = \sigma_0 \left( 1 + \frac{3}{4} C_F \frac{\alpha_s}{\pi} \right)$$

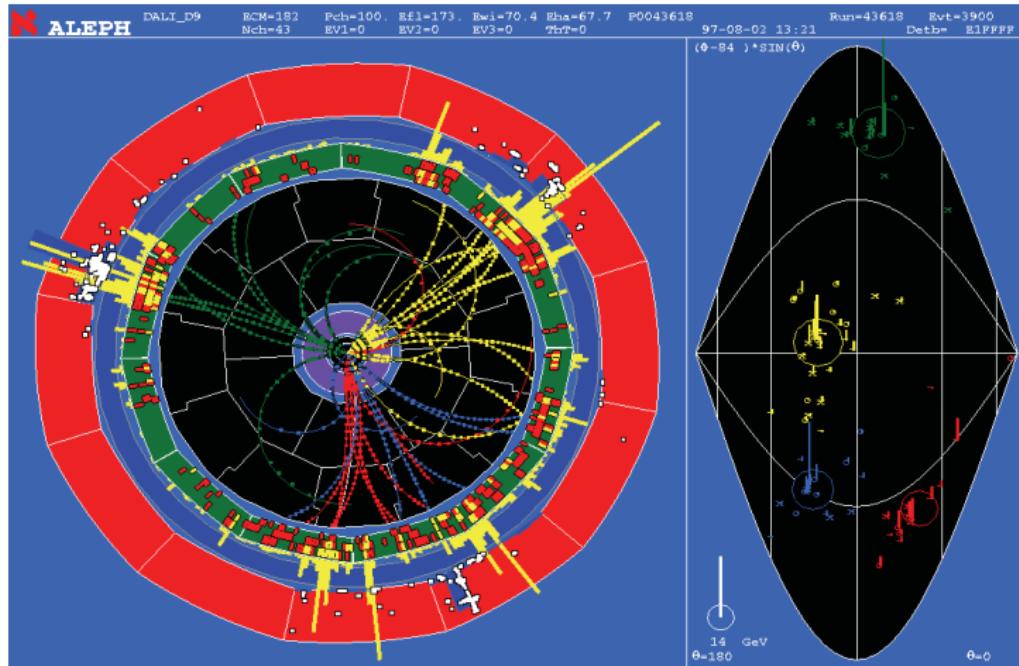


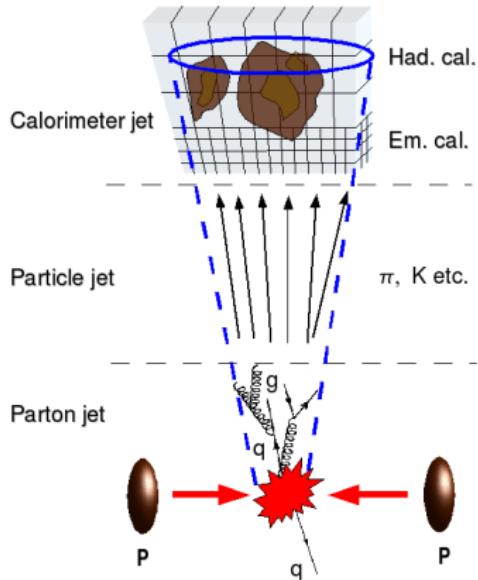
[TASSO] PLB86(1979)243 & Proc. Neutrino '79, Vol.1, p.113



- Gluon discovery at the PETRA collider at DESY
- Typical three-jet event (right) vs. two-jet event (left)

[ALEPH]



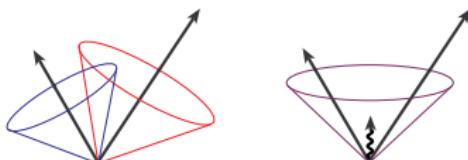


- Identify hadronic activity in experiment with partonic activity in pQCD theory
- ⇒ Requirements
- Applicable both to data and theory
    - partons
    - stable particles
    - measured objects (calorimeter objects, tracks, etc.)
  - Gives close relationship between jets constructed from any of the above
  - Independent of the details of the detector, e.g. calorimeter granularity

## Further requirements from QCD

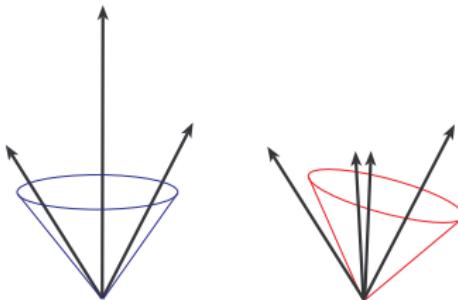
- Infrared safety → no change when adding a soft particle

Counterexample:



- Collinear safety → no change when substituting particle with two collinear particles

Counterexample:



## Cluster sequence of input momenta

Most widely used jet algorithms today of sequential recombination type

- 1 Calculate all  $d_{ij}$  and  $d_{iB}$
- 2 Find their minimum,  $d_{\min}$ 
  - a) If  $d_{\min}$  is a  $d_{ij}$ , combine  $i$  and  $j$
  - b) If  $d_{\min}$  is a  $d_{iB}$ , remove  $i$  from list  $\rightarrow$  jet.
- 3 Return to step 1 or stop when no particle left

### Example: (anti-) $k_t$ algorithm

- Distance between two momenta  $p_i, p_j$ :

$$d_{ij} = \min(p_{ti}^{\pm 2}, p_{tj}^{\pm 2}) \frac{\Delta R_{ij}^2}{R^2}$$

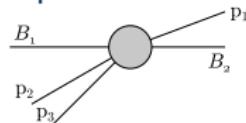
Distance of  $p_i$  to the beams:

$$d_{iB} = p_{ti}^{\pm 2}$$

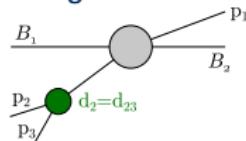
- Further ambiguities: recombination,  $p_{\perp}^{\min}$

### Example

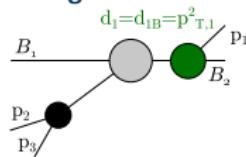
#### Step 0: Input momenta



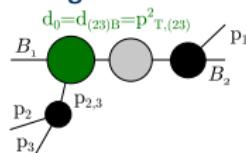
#### Step 1: Merge 3 $\rightarrow$ 2

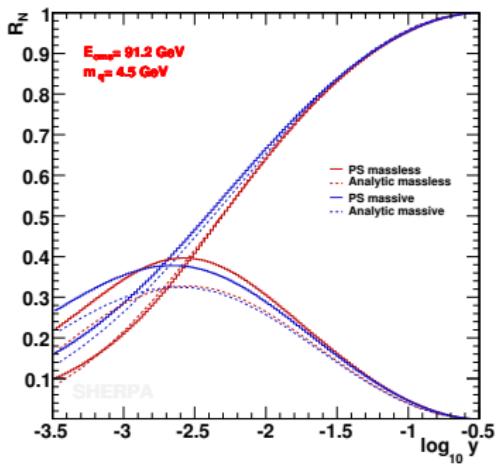
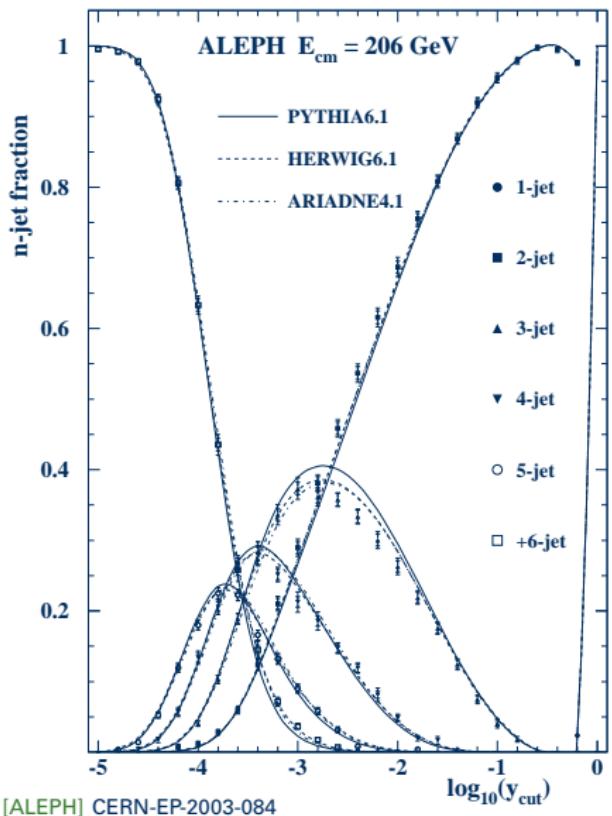


#### Step 2: Merge 2 $\rightarrow$ 1



#### Step 3: Merge 1 $\rightarrow$ 0





- Comparison between theory and Monte-Carlo simulation

- Shape variables characterize event as a whole
- Thrust (introduced 1978 at PETRA)

$$T = \max_{\vec{n}} \frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_j |\vec{p}_j|}$$

- $T \rightarrow 1$  – back-to-back event
- $T \rightarrow 1/2$  – spherically symmetric event

Vector for which maximum is obtained → thrust axis  $\vec{n}_T$

- Thrust major/minor

$$T_{\text{maj}} = \max_{\vec{n}_{\text{maj}} \perp \vec{n}_T} \frac{\sum_i |\vec{p}_i \cdot \vec{n}_{\text{maj}}|}{\sum_j |\vec{p}_j|}$$

$$T_{\text{min}} = \frac{\sum_i |\vec{p}_i \cdot (\vec{n}_T \times \vec{n}_{\text{maj}})|}{\sum_j |\vec{p}_j|}$$

- Jet broadening, computed for two hemispheres w.r.t.  $\vec{n}_T$ :

$$B_i = \frac{\sum_{k \in H_i} |\vec{p}_k \times \vec{n}_T|}{2 \sum_j |\vec{p}_j|}$$

- $B_W = \max(B_1, B_2)$  – Wide jet broadening
- $B_N = \min(B_1, B_2)$  – Narrow jet broadening

- Jet mass

$$M_i^2 = \frac{1}{E_{cm}^2} \left( \sum_{k \in H_i} p_k \right)^2$$

Computed for two hemispheres w.r.t.  $\vec{n}_T$ , then

- $\rho = \max(M_1^2, M_2^2)$  – Heavy jet mass
- $M_L = \min(M_1^2, M_2^2)$  – Light jet mass

- Quadratic momentum tensor

$$M^{\alpha\beta} = \frac{\sum_i p_i^\alpha p_i^\beta}{\sum_j |\vec{p}_j|^2}, \quad \alpha, \beta = 1, 2, 3$$

Eigenvalues  $\lambda_1 \geq \lambda_2 \geq \lambda_3$  used to define

- $S = \frac{3}{2}(\lambda_2 + \lambda_3)$  – Sphericity
- $A = \frac{3}{2}\lambda_3$  – Aplanarity
- $P = \lambda_2 - \lambda_3$  – Planarity

- C-Parameter

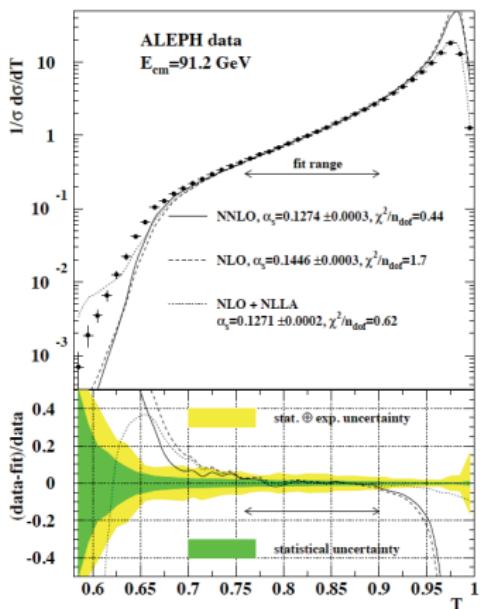
Linearized momentum tensor

$$\Theta^{\alpha\beta} = \frac{1}{\sum_j |\vec{p}_j|} \sum_i \frac{p_i^\alpha p_i^\beta}{|\vec{p}_i|},$$

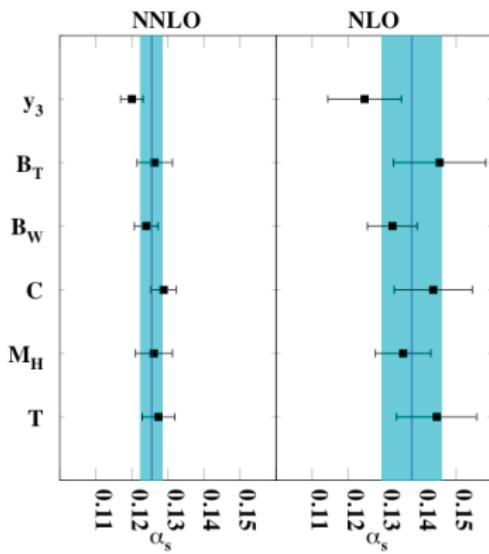
Eigenvalues  $\lambda_i$  define  $C = 3(\lambda_1\lambda_2 + \lambda_2\lambda_3 + \lambda_3\lambda_1)$

# Application of event shape variables

- Discovery of quark and gluon jets – Sphericity & Oblateness
- Measurement of strong coupling constant –  $T, C, B, \rho$ , Durham jet rates

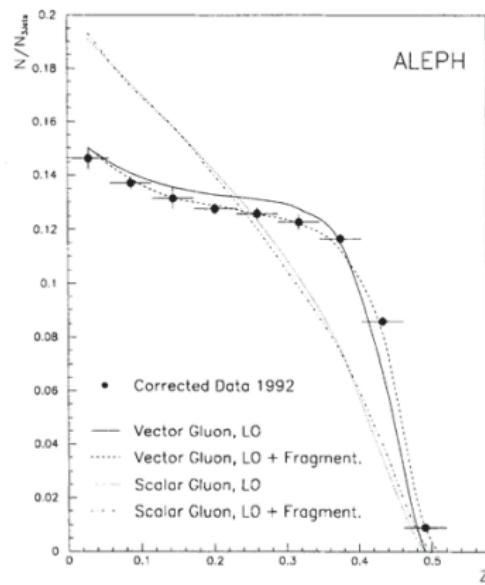
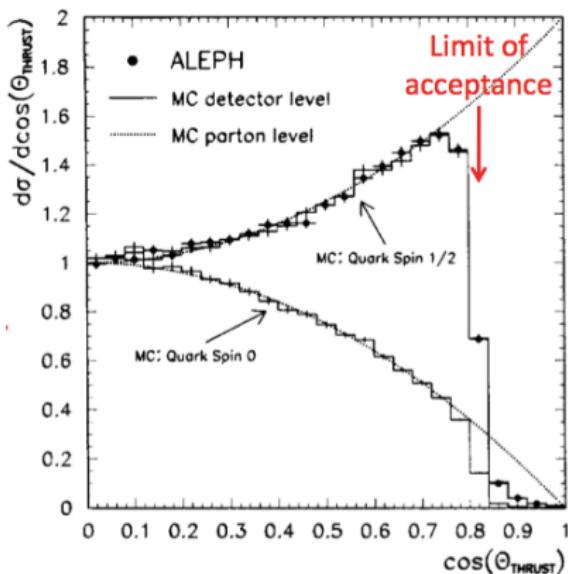


[Dissertori et al.] arXiv:0906.3436



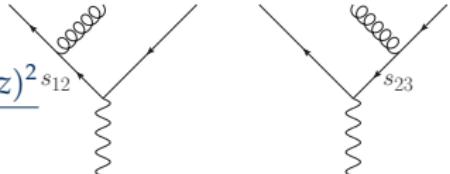
# Application of event shape variables

- Measurement of quark (and gluon) spin – Thrust axis
- Measurement of triple-gluon vertex – BZ angle



- Consider  $e^+e^- \rightarrow 3$  partons

$$\frac{1}{\sigma_{2 \rightarrow 2}} \frac{d\sigma_{2 \rightarrow 3}}{d \cos \theta dz} \sim C_F \frac{\alpha_s}{2\pi} \frac{2}{\sin^2 \theta} \frac{1 + (1-z)^2}{z}$$



$\theta$  - angle of gluon emission

$z$  - fractional energy of gluon

- Divergent in
  - Collinear limit:  $\theta \rightarrow 0, \pi$
  - Soft limit:  $z \rightarrow 0$
- Separate into two independent jets

$$2 \frac{d \cos \theta}{\sin^2 \theta} = \frac{d \cos \theta}{1 - \cos \theta} + \frac{d \cos \theta}{1 + \cos \theta} = \frac{d \cos \theta}{1 - \cos \theta} + \frac{d \cos \bar{\theta}}{1 - \cos \bar{\theta}} \approx \frac{d\theta^2}{\theta^2} + \frac{d\bar{\theta}^2}{\bar{\theta}^2}$$

- Independent evolution with  $\theta$

$$d\sigma_3 \sim d\sigma_2 \sum_{\text{jets}} C_F \frac{\alpha_s}{2\pi} \frac{d\theta^2}{\theta^2} dz \frac{1 + (1-z)^2}{z}$$

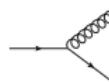
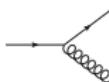
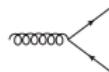
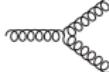
- Same equation for any variable with same limiting behavior

- Transverse momentum  $k_T^2 = z^2(1-z)^2\theta^2 E^2$
- Virtuality  $t = z(1-z)\theta^2 E^2$

- Call this the “evolution variable”

$$\frac{d\theta^2}{\theta^2} = \frac{dk_T^2}{k_T^2} = \frac{dt}{t} \quad \leftrightarrow \quad \text{collinear divergence}$$

- Absorb  $z$ -dependence into flavor-dependent splitting kernel  $P_{ab}(z)$

 $= C_F \frac{1+z^2}{1-z}$	 $= C_F \frac{1+(1-z)^2}{z}$
 $= T_R [z^2 + (1-z)^2]$	 $= C_A \left[ \frac{z}{1-z} + \frac{1-z}{z} + z(1-z) \right]$

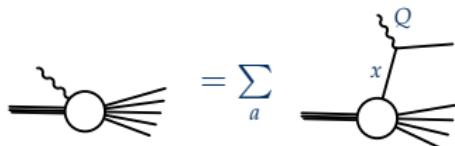
- DGLAP evolution equation emerges, but so far only pQCD, no PDF

$$d\sigma_{n+1} \sim d\sigma_n \sum_{\text{jets}} \frac{dt}{t} dz \frac{\alpha_s}{2\pi} P_{ab}(z)$$

# The DGLAP equation ( $\rightarrow$ PDF talk on Friday)

- Hadronic cross section factorizes into perturbative & non-perturbative piece

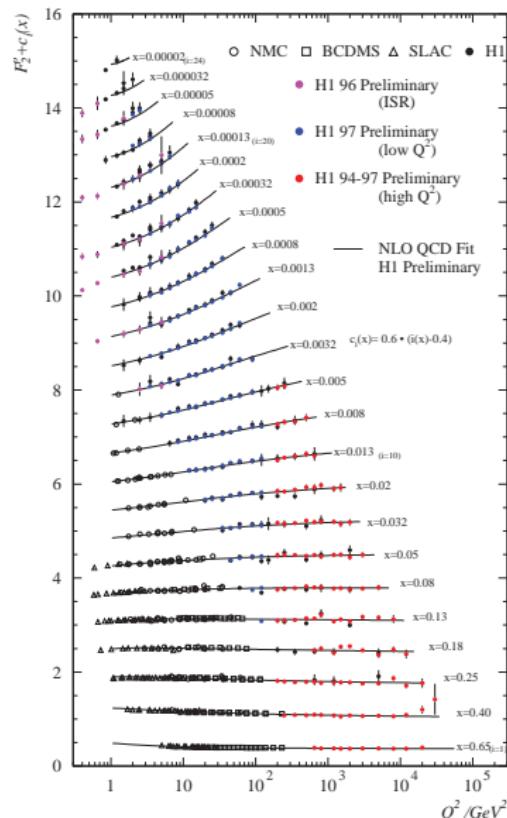
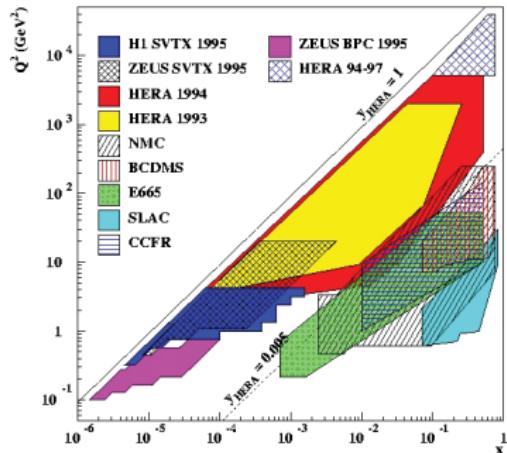
$$\sigma = \sum_{a=q,g} \int dx f_a(x, Q^2) \hat{\sigma}_a(Q^2)$$

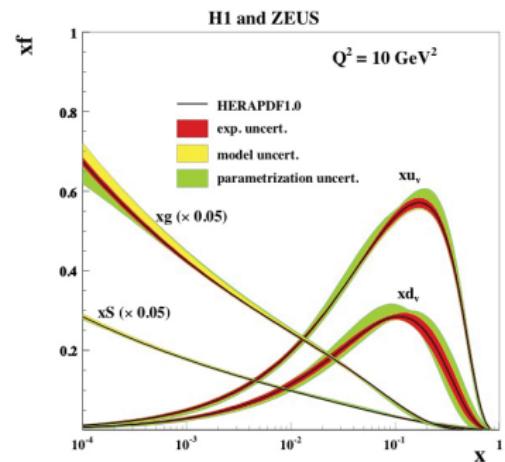
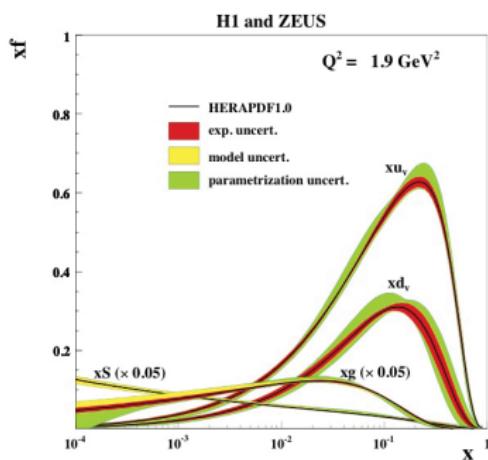


- Evolution from previous slide turns into evolution equation for  $f_a(x, Q^2)$
- $f_a(x, Q^2)$  cannot be predicted as function of  $x$   
but dependence on  $Q^2$  can be computed order-by-order in pQCD
- DGLAP equation

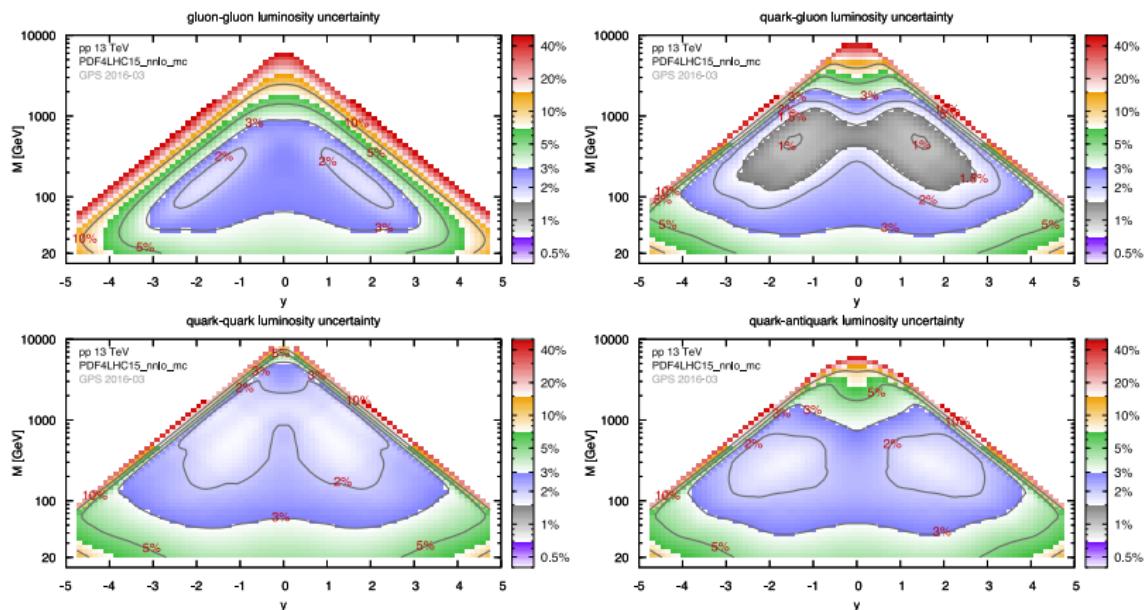
$$\frac{d}{d \log(t/\mu^2)} f_q(x,t) = \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} f_q(x/z,t) P_{qq}(z) + \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} f_g(x/z,t) P_{gq}(z)$$

$$\frac{d}{d \log(t/\mu^2)} f_g(x,t) = \sum_{i=1}^{2 n_f} \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} f_q(x/z,t) P_{qg}(z) + \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} f_g(x/z,t) P_{gg}(z)$$



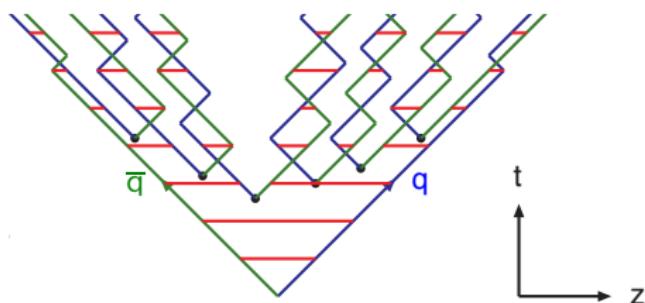
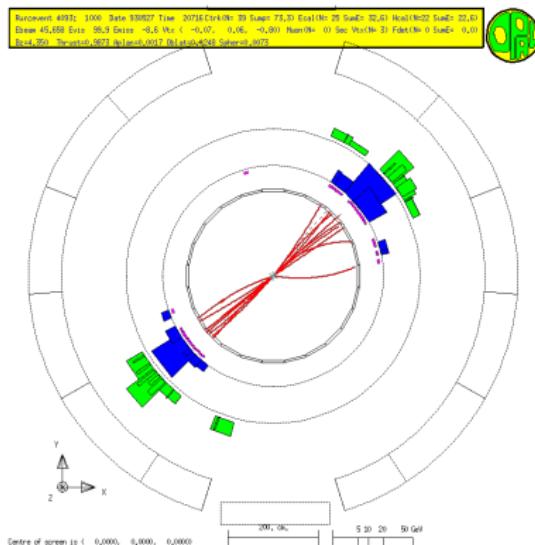


[plots from Gavin Salam]



- 1 Motivation**
- 2 Introduction to QCD**
- 3 Introduction to event generators**
- 4 Hard interaction**
- 5 Parton shower**
- 6 Multiple parton interactions**
- 7 Hadronization**
- 8 Hadron decays**
- 9 Generator programs**

[Andersson,Gustafson,Ingelman,Sjöstrand] Phys.Rept.97(1983)31



- Lund string model:  $\sim$  like rubber band that is pulled apart and breaks into pieces, or like a magnet broken into smaller pieces.
  - Complete description of 2-jet events in  $e^+e^- \rightarrow$  hadrons

[Andersson, Gustafson, Ingelman, Sjöstrand] Phys.Rept.97(1983)31

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SUBROUTINE JETGEN(N)
COMMON /JET/ K(10D+2), P(10D+5)
COMMON /PAR/ PU, PBSI, SIGMA, CX2, EBEQ, WFIN, IFLBEG
COMMON /DATA1/ ME90(9,2), CMIX(6,2), PHAS(19)
IFLSGN=(10-IFLBEG)/2
W=*.EBEQ
I=0
IPD=0
C 1 FLAVOUR AND PT FOR FIRST QUARK
IFL1=1+ARCSIN(PBEQ)
PT1=SIGN(ME90(1,1)-ALOG(RANF(D)))
PH1=1+RANF(D)
FX1=PT1*CSIN(PH1)
FY1=PT1*SIN(PH1)
K=100
C 2 FLAVOUR AND PT FOR NEXT ANTIQUARK
IFL2=1+INT(ME90(1,1)/PU)
PT2=SIGN(ME90(1,1)-ALOG(RANF(D)))
PH2=1+RANF(D)
FX2=PT2*CSIN(PH2)
PY2=PT2*SIN(PH2)
C 3 MAKE FORMATION OF QUARK AND FLAVOUR MIXED
K1=INT(ME90(1,1)+0.1)+IFL2-IFLSGN
PIN=INT(P1*ME90(1,1))
K1*(2)+*1#(PIN*K1)
IF(K1*(2)+*1#(PIN*K1))=60 GOTO 150
TMX=K1*(2)+*1#(PIN*K1)
KMK1(K1)=6+3*IPBN
K1*(2)=8+4*IPBN+INT(TMIX+CMIX(K1,1))+INT(TMIX+CMIX(KM1,2))
C 4 MAKE FORMATION OF BARYON-ANTIBARYON PAIR FROM CONSTITUENTS
110 P1(3,1)=P1*MOD(K1,2)
P1(1,1)=FX1*P2
P1(1,2)=FY1*P2
P1(2,1)=(P1(1,1)+P1(1,2))/2
P1(2,2)=(P1(1,1)-P1(1,2))/2
C 5 RANDOM CHOICE OF P1(2) IF P1(2)>P1(1,2)*P2/AVAILABLE GIVES E AND P2
IF(RANF(D).LT.CE2) E2=-X**1(.3)
P1(2,1)=P1(1,1)+P1(2,1)*E2
P1(2,1)=X*XW*PTMS((XW)/2)
C 6 IF UNSTABLE DECAY CHAIN INTO STABLE PARTICLES
120 IPD=IPD-1
IF(IPD.LT.1) GOTO 960
IF(P1(1,1).LT.0.001) GOTO 120
7 FLAVOUR AND PT OF QUARK FORMED IN PAIR WITH ANTIQUARK ABOVE
IT=1+I2
FX1=FX2
PY1=PY2
C 8 IF ENOUGH E+P2 LEFT: GO TO 2
WE1=.1-X**4
IF(WE1.GT.WFIN.AND.I.L.E.95) GOTO 100
N=1
RETURN
END

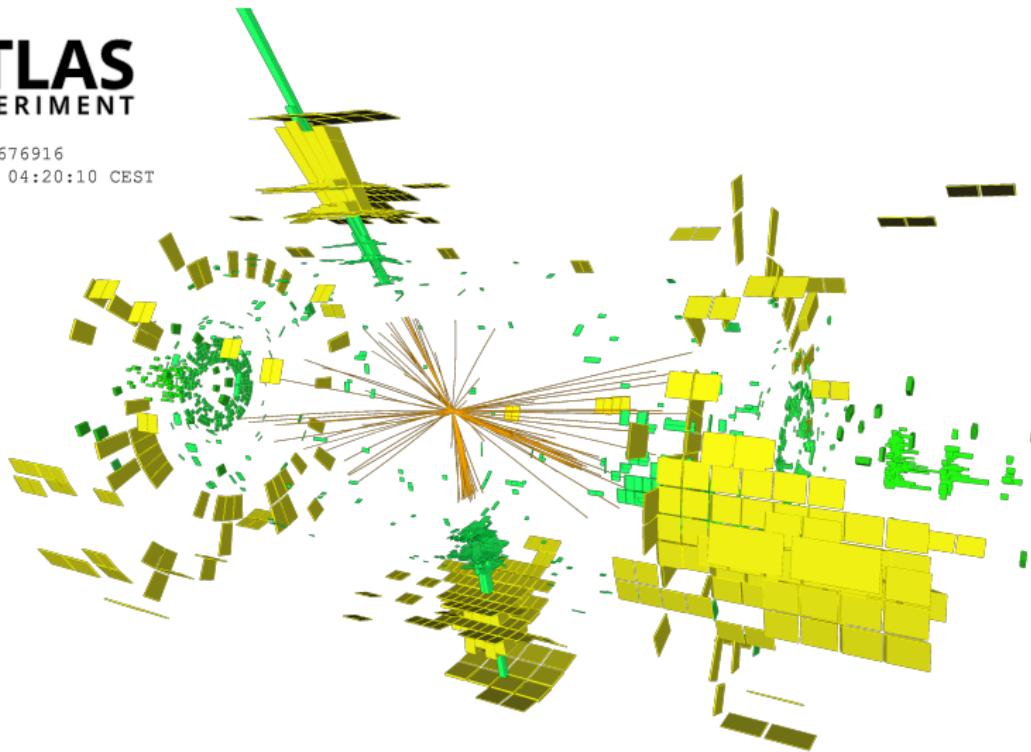
SUBROUTINE LISTIN()
COMMON /JET/ K(10D+2), P(10D+5)
COMMON /DATA1/ CHA(9,19), CHA(19,2)
WRIT=6+1/3
DO ED=1,19
  IF(K(1,1).LT.GT) C1=CHAM(K(1,1))
  IF(K(1,3).LE.0) ICIS=K(1,1)
  C2=CHAM(K(1,2))
  CHA(1,1)=K(1,1)*ED
  CHA(1,2)=K(1,2)*ED
  CHA(1,3)=K(1,3)*ED
  CHA(1,4)=K(1,4)*ED
  CHA(1,5)=K(1,5)*ED
  CHA(1,6)=K(1,6)*ED
  CHA(1,7)=K(1,7)*ED
  CHA(1,8)=K(1,8)*ED
  CHA(1,9)=K(1,9)*ED
  CHA(1,10)=K(1,10)*ED
  CHA(1,11)=K(1,11)*ED
  CHA(1,12)=K(1,12)*ED
  CHA(1,13)=K(1,13)*ED
  CHA(1,14)=K(1,14)*ED
  CHA(1,15)=K(1,15)*ED
  CHA(1,16)=K(1,16)*ED
  CHA(1,17)=K(1,17)*ED
  CHA(1,18)=K(1,18)*ED
  CHA(1,19)=K(1,19)*ED
  CHA(19,1)=K(1,1)*ED
  CHA(19,2)=K(1,2)*ED
  CHA(19,3)=K(1,3)*ED
  CHA(19,4)=K(1,4)*ED
  CHA(19,5)=K(1,5)*ED
  CHA(19,6)=K(1,6)*ED
  CHA(19,7)=K(1,7)*ED
  CHA(19,8)=K(1,8)*ED
  CHA(19,9)=K(1,9)*ED
  CHA(19,10)=K(1,10)*ED
  CHA(19,11)=K(1,11)*ED
  CHA(19,12)=K(1,12)*ED
  CHA(19,13)=K(1,13)*ED
  CHA(19,14)=K(1,14)*ED
  CHA(19,15)=K(1,15)*ED
  CHA(19,16)=K(1,16)*ED
  CHA(19,17)=K(1,17)*ED
  CHA(19,18)=K(1,18)*ED
  CHA(19,19)=K(1,19)*ED
  END

SUBROUTINE DECAY(IPB1)
COMMON /JET/ K(10D+2), P(10D+5)
COMMON /PAR/ PU, PBSI, SIGMA, CX2, EBEQ, WFIN, IFLBEG
COMMON /DATA1/ ME90(9,2), CMIX(6,2), PHAS(19)
COMMON /DATA2/ IBCS(12), KB(29), KBR(29)
DIMENSION UI(3)
BE(3)
C 1 DECAY CHANNEL CHOICE GIVES DECAY PRODUCTS
100 IF(IPB1.EQ.1) GOTO 100
  DO 100 I=1,3
    100 I=1+N
    IF(I>19) N=1,AM(L,K(1,1)-GE,8) GOTO 110
    IF(I>19) N=1,AM(L,K(1,2)-GE,8) GOTO 110
    IF(I>19) N=1,AM(L,K(1,3)-GE,8) GOTO 110
    DO 110 I=1+I-1,I
      K(1,1)=K(2)*IPD(1,1-I)
      K(1,2)=K(2)*IPD(1,1-I)
      K(1,3)=K(2)*IPD(1,1-I)
    110 P1(1,1)=PHAS(1,I)
    110 P1(1,2)=PHAS(2,I)
    110 P1(1,3)=PHAS(3,I)
    110 P1(1,4)=PHAS(4,I)
    110 P1(1,5)=PHAS(5,I)
    110 P1(1,6)=PHAS(6,I)
    110 P1(1,7)=PHAS(7,I)
    110 P1(1,8)=PHAS(8,I)
    110 P1(1,9)=PHAS(9,I)
    110 P1(1,10)=PHAS(10,I)
    110 P1(1,11)=PHAS(11,I)
    110 P1(1,12)=PHAS(12,I)
    110 P1(1,13)=PHAS(13,I)
    110 P1(1,14)=PHAS(14,I)
    110 P1(1,15)=PHAS(15,I)
    110 P1(1,16)=PHAS(16,I)
    110 P1(1,17)=PHAS(17,I)
    110 P1(1,18)=PHAS(18,I)
    110 P1(1,19)=PHAS(19,I)
    110 P1(1,20)=PHAS(1,I)
    110 P1(1,21)=PHAS(2,I)
    110 P1(1,22)=PHAS(3,I)
    110 P1(1,23)=PHAS(4,I)
    110 P1(1,24)=PHAS(5,I)
    110 P1(1,25)=PHAS(6,I)
    110 P1(1,26)=PHAS(7,I)
    110 P1(1,27)=PHAS(8,I)
    110 P1(1,28)=PHAS(9,I)
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    110 P1(1,30)=PHAS(11,I)
    110 P1(1,31)=PHAS(12,I)
    110 P1(1,32)=PHAS(13,I)
    110 P1(1,33)=PHAS(14,I)
    110 P1(1,34)=PHAS(15,I)
    110 P1(1,35)=PHAS(16,I)
    110 P1(1,36)=PHAS(17,I)
    110 P1(1,37)=PHAS(18,I)
    110 P1(1,38)=PHAS(19,I)
    110 P1(1,39)=PHAS(1,I)
    110 P1(1,40)=PHAS(2,I)
    110 P1(1,41)=PHAS(3,I)
    110 P1(1,42)=PHAS(4,I)
    110 P1(1,43)=PHAS(5,I)
    110 P1(1,44)=PHAS(6,I)
    110 P1(1,45)=PHAS(7,I)
    110 P1(1,46)=PHAS(8,I)
    110 P1(1,47)=PHAS(9,I)
    110 P1(1,48)=PHAS(10,I)
    110 P1(1,49)=PHAS(11,I)
    110 P1(1,50)=PHAS(12,I)
    110 P1(1,51)=PHAS(13,I)
    110 P1(1,52)=PHAS(14,I)
    110 P1(1,53)=PHAS(15,I)
    110 P1(1,54)=PHAS(16,I)
    110 P1(1,55)=PHAS(17,I)
    110 P1(1,56)=PHAS(18,I)
    110 P1(1,57)=PHAS(19,I)
    110 P1(1,58)=PHAS(1,I)
    110 P1(1,59)=PHAS(2,I)
    110 P1(1,60)=PHAS(3,I)
    110 P1(1,61)=PHAS(4,I)
    110 P1(1,62)=PHAS(5,I)
    110 P1(1,63)=PHAS(6,I)
    110 P1(1,64)=PHAS(7,I)
    110 P1(1,65)=PHAS(8,I)
    110 P1(1,66)=PHAS(9,I)
    110 P1(1,67)=PHAS(10,I)
    110 P1(1,68)=PHAS(11,I)
    110 P1(1,69)=PHAS(12,I)
    110 P1(1,70)=PHAS(13,I)
    110 P1(1,71)=PHAS(14,I)
    110 P1(1,72)=PHAS(15,I)
    110 P1(1,73)=PHAS(16,I)
    110 P1(1,74)=PHAS(17,I)
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    110 P1(1,76)=PHAS(19,I)
    110 P1(1,77)=PHAS(1,I)
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    110 P1(1,79)=PHAS(3,I)
    110 P1(1,80)=PHAS(4,I)
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    110 P1(1,110)=PHAS(15,I)
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    110 P1(1,115)=PHAS(1,I)
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    110 P1(1,125)=PHAS(11,I)
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    110 P1(1,137)=PHAS(4,I)
    110 P1(1,138)=PHAS(5,I)
    110 P1(1,139)=PHAS(6,I)
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    110 P1(1,144)=PHAS(11,I)
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    110 P1(1,156)=PHAS(4,I)
    110 P1(1,157)=PHAS(5,I)
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    110 P1(1,211)=PHAS(2,I)
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    110 P1(1,247)=PHAS(19,I)
    110 P1(1,248)=PHAS(1,I)
    110 P1(1,249)=PHAS(2,I)
    110 P1(1,250)=PHAS(3,I)
    110 P1(1,251)=PHAS(4,I)
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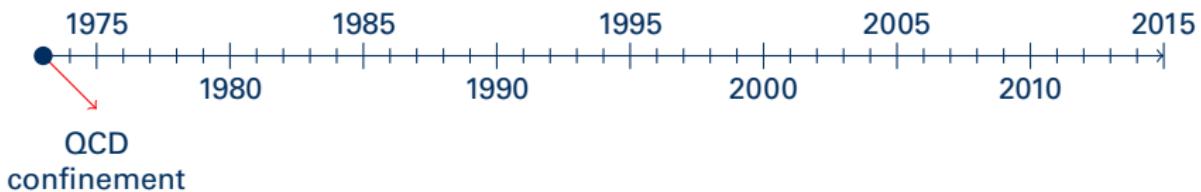
≈ 200 punched cards  
Fortran code



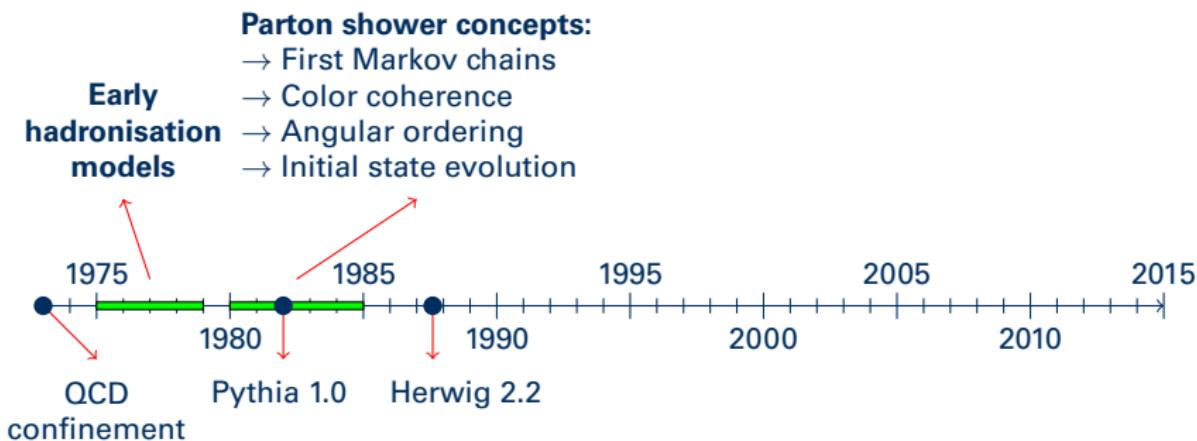
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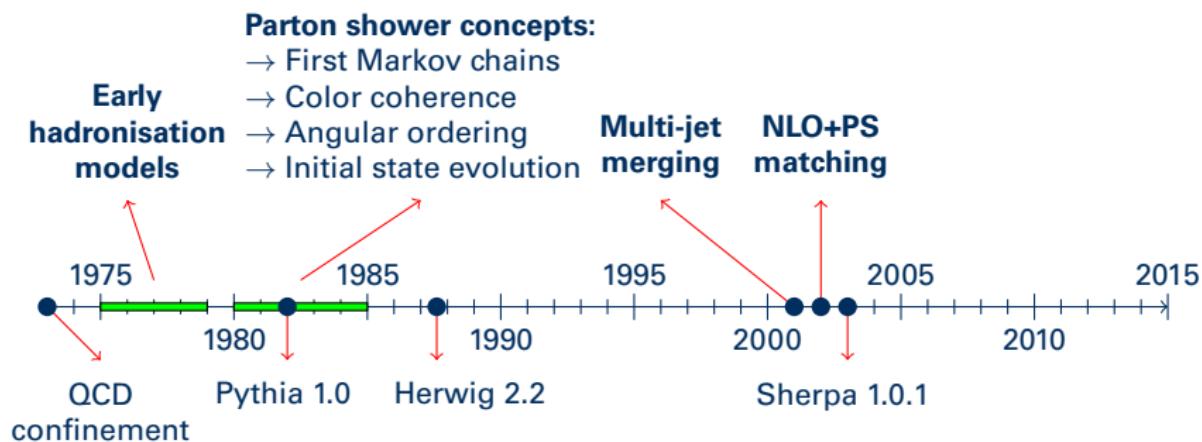
## Event generators over the years



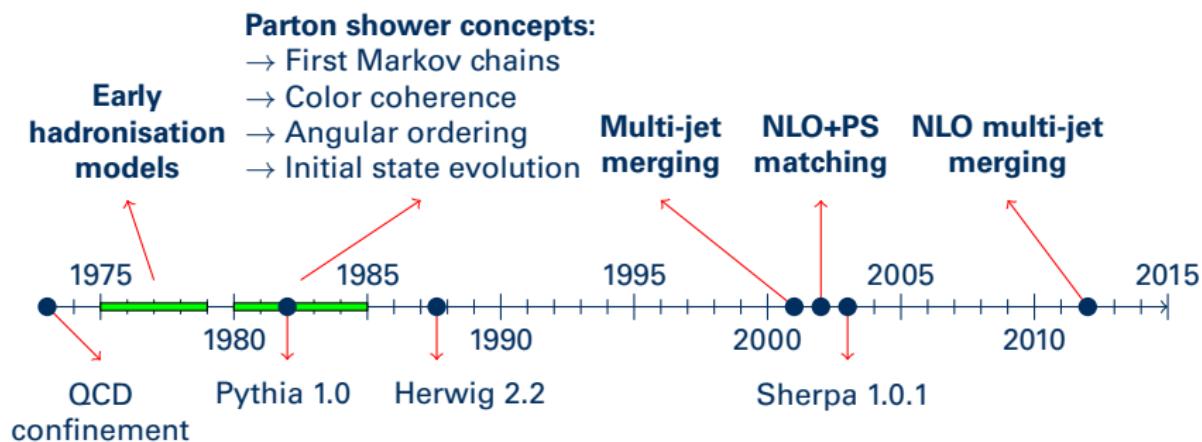
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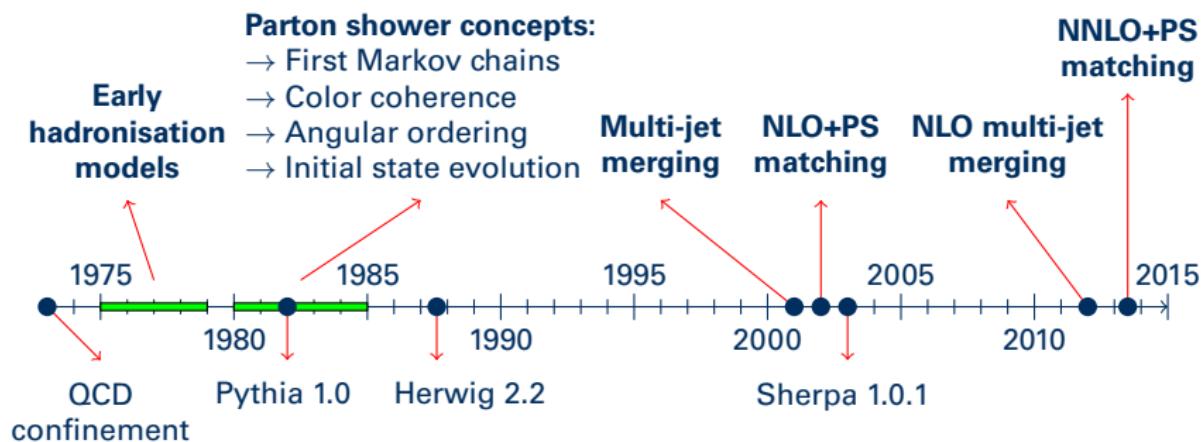
# Event generators over the years



# Event generators over the years

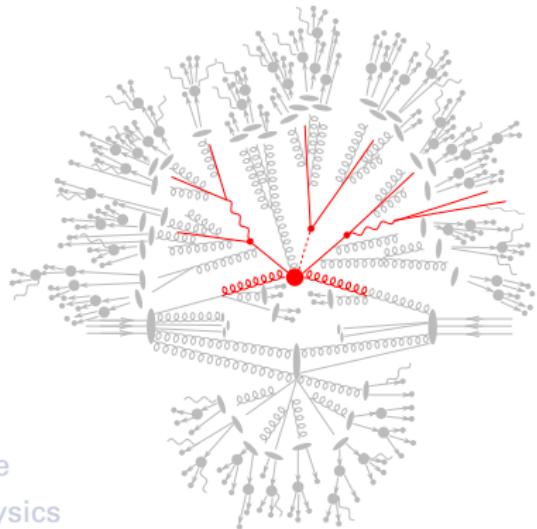


# Event generators over the years



## Need to cover large dynamic range

- Short distance interactions
  - Signal process
  - Radiative corrections
- Long-distance interactions
  - Hadronization
  - Particle decays



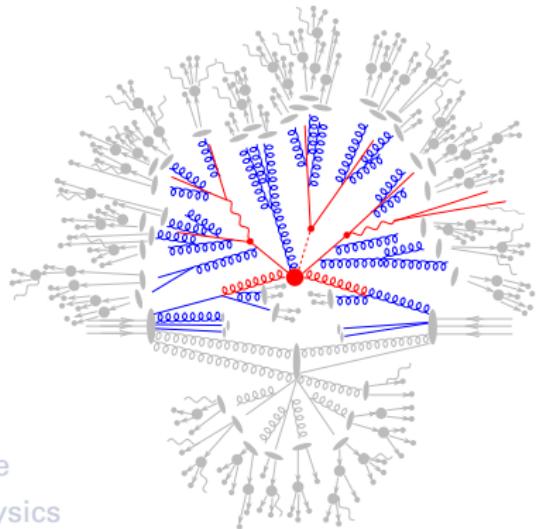
## Divide and Conquer

- Quantity of interest: Total interaction rate
- Convolution of short & long distance physics

$$\sigma_{p_1 p_2 \rightarrow X} = \sum_{i,j \in \{q,g\}} \underbrace{\int dx_1 dx_2 f_{p_1,i}(x_1, \mu_F^2) f_{p_2,j}(x_2, \mu_F^2)}_{\text{long distance}} \underbrace{\hat{\sigma}_{ij \rightarrow X}(x_1 x_2, \mu_F^2)}_{\text{short distance}}$$

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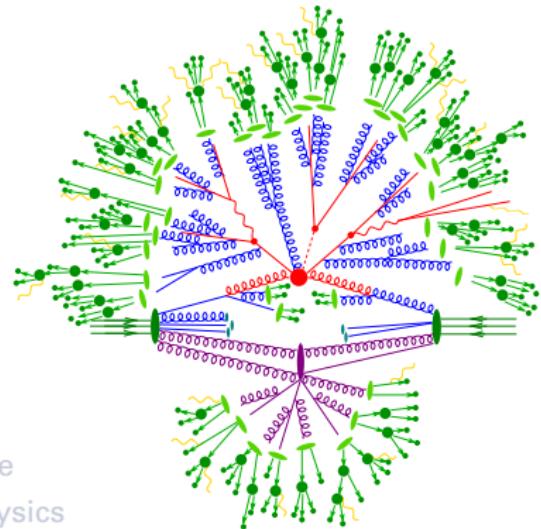
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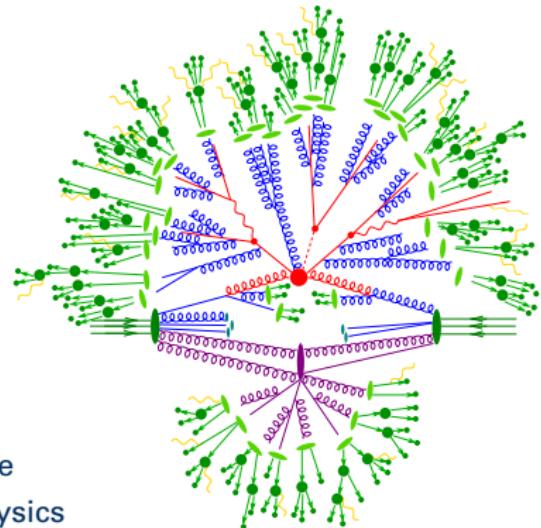


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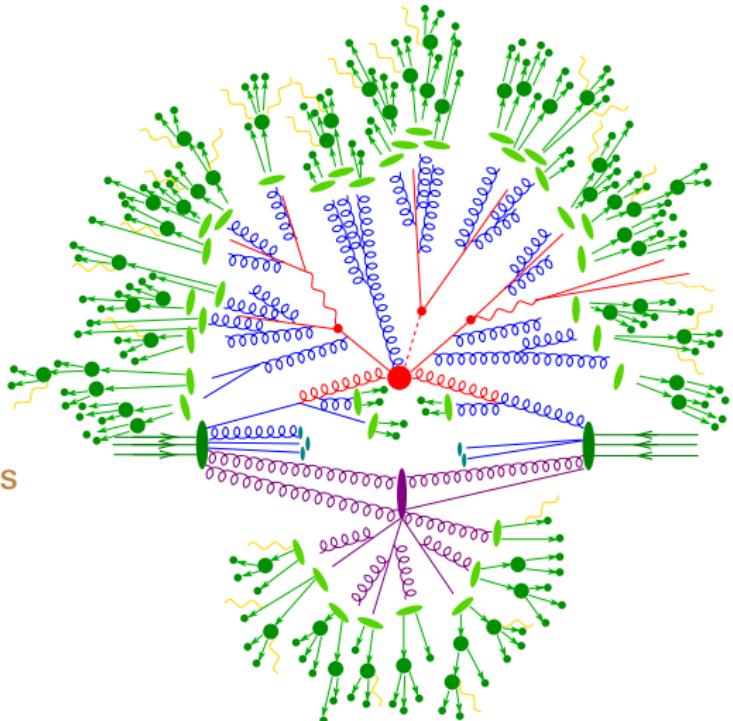
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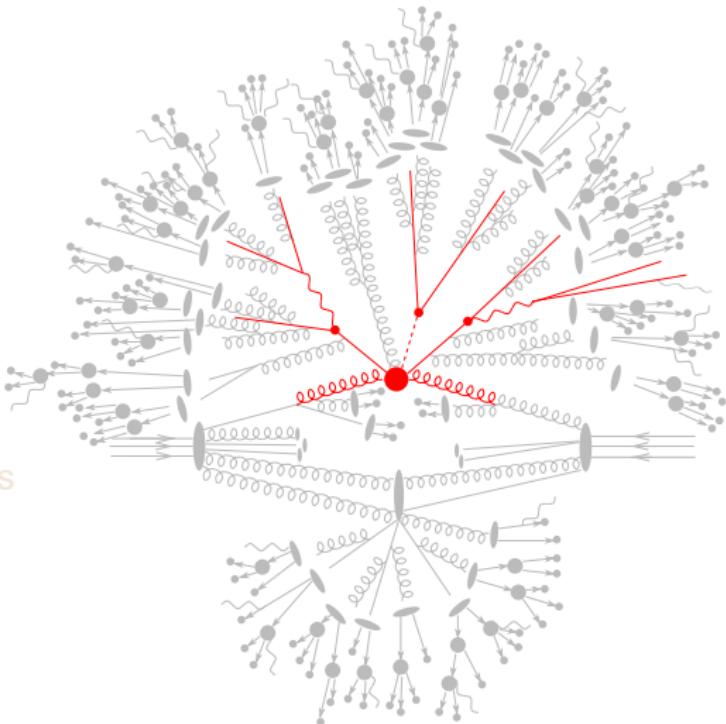


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- Hard interaction
- Parton shower
- Multiple parton interactions
- Hadronisation
- Hadron decays
- Higher-order QED corrections



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## General $2 \rightarrow n$ hard scattering cross section

$$\hat{\sigma}_N = \int_{\text{cuts}} d\hat{\sigma}_N = \int_{\text{cuts}} \left[ \prod_{i=1}^N \frac{d^3 q_i}{(2\pi)^3 2E_i} \right] \delta^4 \left( p_1 + p_2 - \sum_i^N q_i \right) |\mathcal{M}(p_1, p_2, q_1, \dots, q_N)|^2$$

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## Monte Carlo task

- 1 Numerical integration for total cross section
  - Needs MC methods due to **high dimensionality**  $D \gtrsim 4$
- 2 Event generation
  - $(3 \cdot N - 4)$  random numbers
  - $N$  final state momenta
  - natural “event” for  $2 \rightarrow n$  scattering
  - ⇒ Simply **histogram** any observable of interest
  - ⇒ No need for dedicated calculations

## Simple example: $t(\rightarrow bW) \rightarrow b\bar{l}\nu_l$

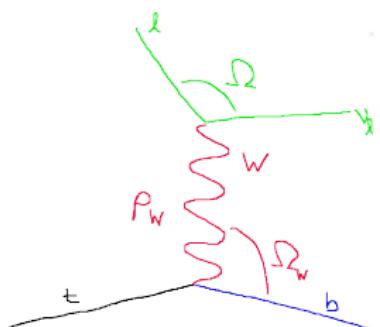
- Matrix element for hard process

$$|\mathcal{M}|^2 = \frac{1}{2} \left( \frac{8\pi\alpha}{\sin^2 \theta_W} \right)^2 \frac{p_t \cdot p_\nu \ p_b \cdot p_l}{(p_W^2 - m_W^2)^2 + \Gamma_W^2 m_W^2}$$

- Phase space integration in 5 dimensions

$$\Gamma = \frac{1}{2m_t} \frac{1}{128\pi^3} \int dp_W^2 \frac{d^2\Omega_W}{4\pi} \frac{d^2\Omega}{4\pi} \left(1 - \frac{p_W^2}{m_t^2}\right) |\mathcal{M}|^2$$

- 5 random nos. for  $p_W^2, \theta_W, \phi_W, \theta_{l,\nu}, \phi_{l,\nu}$   
 $\rightarrow$  3 momenta = event



## Toy model: 1-dimensional integration

$$\int_a^b dx f(x) \approx (b - a) \cdot \langle f \rangle$$

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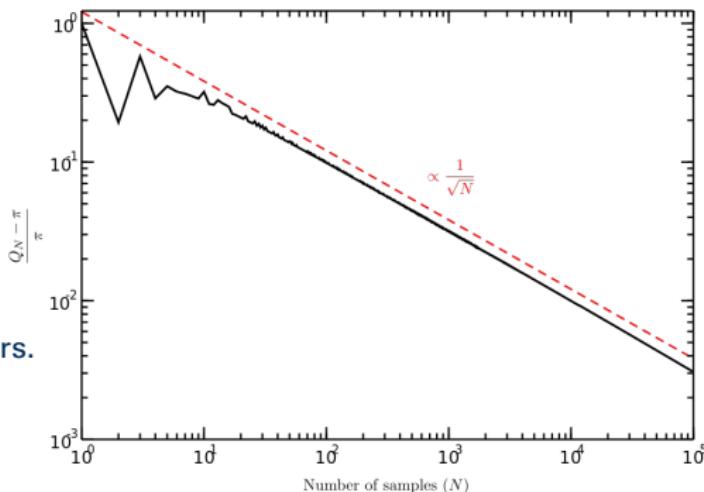
$$\int_a^b dx f(x) \approx (b-a) \cdot \langle f \rangle \pm (b-a) \cdot \sqrt{\frac{\langle f^2 \rangle - \langle f \rangle^2}{N}}$$

with

$$\langle f \rangle = \frac{1}{N} \sum_{i=1}^N f(x_i)$$

$$\langle f^2 \rangle = \frac{1}{N} \sum_{i=1}^N f^2(x_i)$$

$x_i \in [a, b]$  = uniform random numbers.



## Multi-dimensional integration

- What are “uniform random numbers” in

$$\int \left[ \prod_{i=1}^N \frac{d^3 q_i}{(2\pi)^3 2E_i} \right] \delta^4 \left( p_1 + p_2 - \sum_i^N q_i \right) |\mathcal{M}(p_1, p_2, q_1, \dots, q_N)|^2 \quad ?$$

→ **RAMBO** algorithm as generator of flat phase space points

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→ RAMBO algorithm as generator of flat phase space points

## Improving convergence

Several ways to improve the convergence behaviour by reducing the variance:

- Importance sampling

$$\int dx f(x) = \int dx g(x) \frac{f(x)}{g(x)} \equiv \int dG \frac{f(x)}{g(x)}$$

using random numbers distributed according to  $G(x) = \int_0^x dx' g(x')$

- Multi-channel integration
- VEGAS algorithm

## Inverse transformation method

- Goal: Sample  $x$  according to  $f(x)$  using flat random numbers  $y$  as input
- Prescription:  $x = F^{-1}(y)$
- Corresponds to variable transformation of the differential:

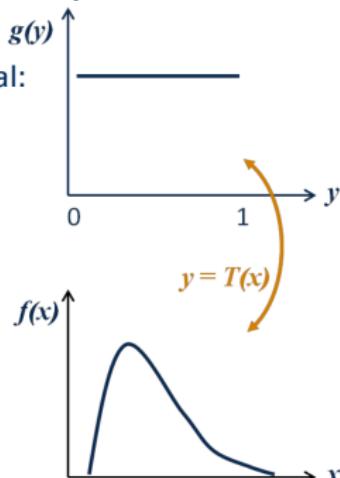
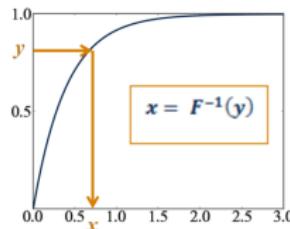
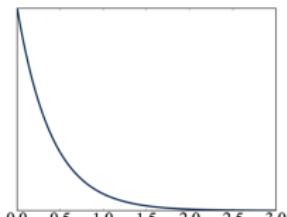
$$|dF(x)| = \\ |f(x)dx| =$$

$$f(x) =$$

$$|dG(x)| = \\ |g(y)dy| \\ g(y) \left| \frac{dy}{dx} \right| = c \left| \frac{dT(x)}{dx} \right|$$

which is solved by  $T(x) = y = F(x)$

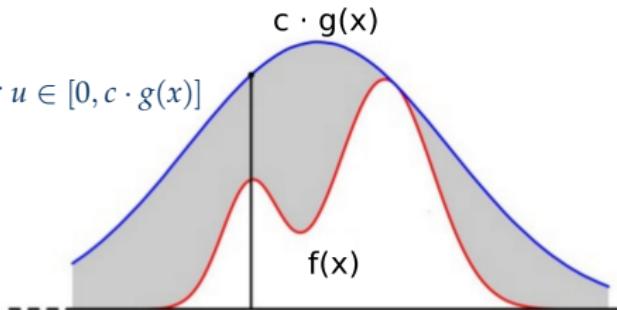
- Example:  $f(x) = \frac{1}{\tau} e^{-x/\tau} \rightarrow F(x) = 1 - e^{-x/\tau}$



## Hit-or-miss method (Rejection method)

- Most often it is not possible to determine  $F^{-1}$
- Workaround: Find simple helper function  $c \cdot g(x)$  which overestimates  $f(x)$  and can be sampled
- Algorithm:

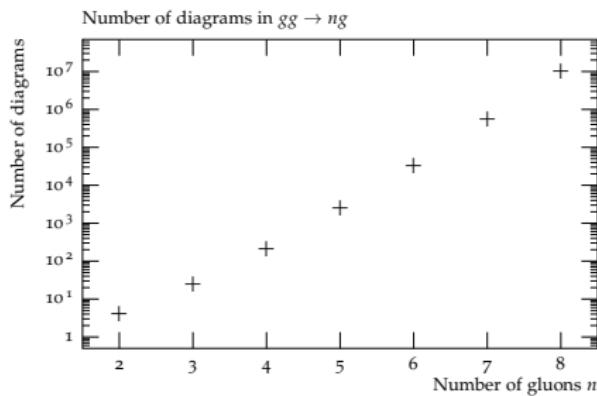
- 1 Draw a sample  $x$  from  $g(x)$
- 2 Draw uniform random number  $u \in [0, c \cdot g(x)]$
- 3 If  $\frac{f(x)}{c \cdot g(x)} \geq u$ :  
**Accept**  $x$
- 4 Else:  
**Reject**, restart at 1



- The closer the functions match, the more efficient  
⇒ can use multiple estimators = multi-channel

Example: Growth of the number of diagrams contributing to the tree-level  $gg \rightarrow ng$  amplitude.

n	#diagrams
2	4
3	25
4	220
5	2485
6	34300
7	559405
8	10525900



- **Textbook:** Use completeness relations to square amplitudes sum/average over external states (helicity and color)  
Computational effort grows quadratically with number of diagrams
- **Real life:** Amplitudes are complex numbers  
first compute them, then add and square  
Effort grows linearly with number of diagrams
- Applies to dynamical degrees of freedom only
  - Consider helicity: Polarizations depend on momenta  
need to recompute for each phase-space point
  - Consider color: Mostly summed over at low multiplicity  
independent of other d.o.f. → no need to recompute

- Weyl-van-der-Waerden spinors for helicity states  $+/-$

$$\chi_+(p) = \begin{pmatrix} \sqrt{p^+} \\ \sqrt{p^-} e^{i\phi_p} \end{pmatrix} \quad \chi_-(p) = \begin{pmatrix} \sqrt{p^-} e^{i\phi_p} \\ -\sqrt{p^+} \end{pmatrix} \quad \begin{aligned} p^\pm &= p^0 \pm p^3 \\ p_\perp &= p^1 + i p^2 \end{aligned}$$

Basic building blocks for all amplitudes

$+, -, \perp$  directions define “spinor gauge”

- Massive Dirac spinors in terms of WvdW spinors

$$u_+(p, m) = \frac{1}{\sqrt{2\bar{p}}} \begin{pmatrix} \sqrt{p_0 - \bar{p}} \chi_+(\hat{p}) \\ \sqrt{p_0 + \bar{p}} \chi_+(\hat{p}) \end{pmatrix} \quad \bar{p} = \text{sgn}(p_0) |\vec{p}|$$

$$u_-(p, m) = \frac{1}{\sqrt{2\bar{p}}} \begin{pmatrix} \sqrt{p_0 + \bar{p}} \chi_-(\hat{p}) \\ \sqrt{p_0 - \bar{p}} \chi_-(\hat{p}) \end{pmatrix} \quad \hat{p} = (\bar{p}, \vec{p})$$

- $\gamma^5$  conveniently defined in Weyl representation

$$\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} -\sigma^0 & 0 \\ 0 & \sigma^0 \end{pmatrix}$$

Projection operator  $P_{R,L} = P_\pm = (1 \pm \gamma^5)/2$  identifies lower/upper component of Dirac spinors as right-/left-handed

- Massless polarizations constructed from  $u_{\pm}(p)$  and  $u_{\pm}(k)$  with external light-like gauge vector  $k$

$$\varepsilon_{\pm}^{\mu}(p, k) = \pm \frac{\bar{u}_{\mp}(k)\gamma^{\mu}u_{\mp}(p)}{\sqrt{2}\bar{u}_{\mp}(k)u_{\pm}(p)}.$$

Defines light-like axial gauge

- For massive particles decompose momentum  $p$  using  $k$

$$b = p - \kappa k \quad \kappa = \frac{p^2}{2pk} \quad \Rightarrow \quad b^2 = 0$$

Transverse polarizations as in massless case ( $p \rightarrow b$ ) plus longitudinal

$$\varepsilon_0^{\mu}(p, k) = \frac{1}{m} (\bar{u}_-(b)\gamma^{\mu}u_-(b) - \kappa \bar{u}_-(k)\gamma^{\mu}u_-(k))$$

- Vertices & propagators already known
- Building blocks for Standard model complete!

[Maltoni,Stelzer,Willenbrock] hep-ph/0209271  
 [Duhr,SH,Maltoni] hep-ph/0607057

- QCD amplitudes can be stripped of color factors
- Fundamental representation for  $n$ -gluons

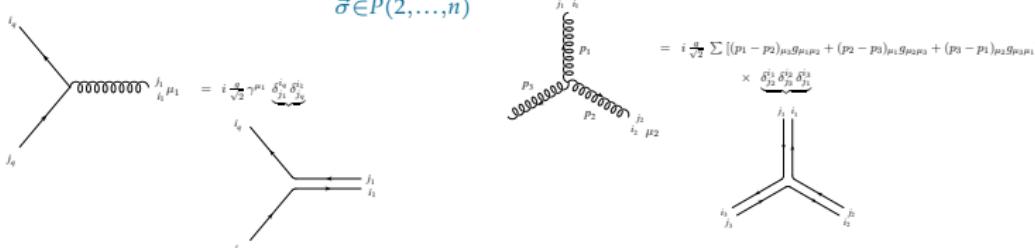
$$\mathcal{A}_n(p_1, \dots, p_n) = \sum_{\vec{\sigma} \in P(2, \dots, n)} \text{Tr}(\lambda^{a_1} \lambda^{a_{\sigma_2}} \dots \lambda^{a_{\sigma_n}}) A(p_1, p_{\sigma_2}, \dots, p_{\sigma_n})$$

- Adjoint representation for  $n$ -gluons

$$\mathcal{A}_n(p_1, \dots, p_n) = \sum_{\vec{\sigma} \in P(2, \dots, n-1)} [F^{a_{\sigma_2}} \dots F^{a_{\sigma_{n-1}}}]_{a_n}^{a_1} A(p_1, p_{\sigma_2}, \dots, p_{\sigma_{n-1}}, p_n)$$

- Color-flow representation for  $n$ -gluons

$$\mathcal{A}_n(p_1, \dots, p_n) = \sum_{\vec{\sigma} \in P(2, \dots, n)} \delta_{j_{\sigma_2}}^{i_1} \delta_{j_{\sigma_3}}^{i_{\sigma_2}} \dots \delta_{j_1}^{i_{\sigma_n}} A(p_1, p_{\sigma_2}, \dots, p_{\sigma_n})$$



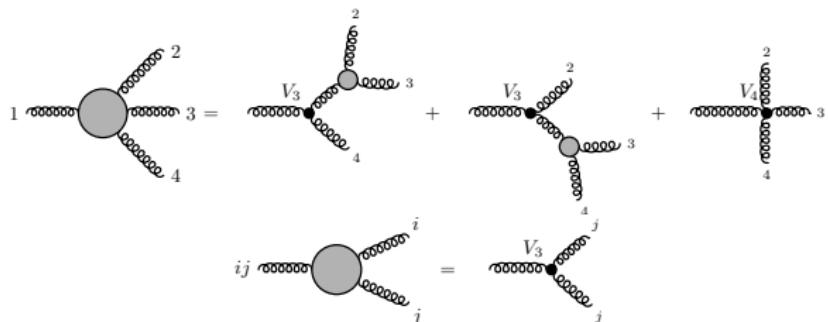
- We can sample colors just like we sample momenta
- Assign one in  $(r, g, b) / (\bar{r}, \bar{g}, \bar{b})$  to each external (anti-)quark & gluon
- Average number of partial amplitudes is then smallest in color-flow basis

$n$	Average # of partials		
	Gell-Mann	Color-flow	Adjoint
4	4.83	1.28	1.15
5	15.2	1.83	1.52
6	56.5	3.21	2.55
7	251	6.80	5.53
8	1280	17.0	15.8
9	7440	48.7	56.4
10	47800	158	243

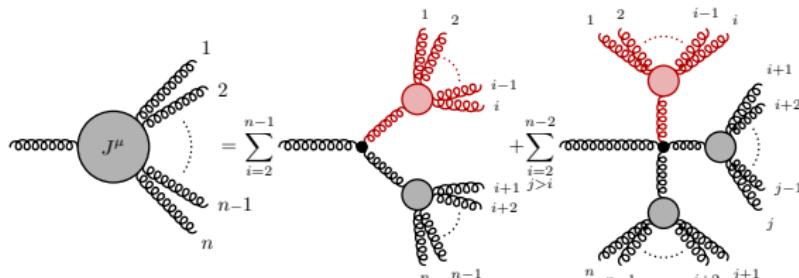
$n$	Time [s/ $10^4$ pt]	
	CO	CD
4	1.20	1.04
5	3.78	2.69
6	14.2	7.19
7	58.5	23.7
8	276	82.1
9	1450	270
10	7960	864

- Computational effort reduced further by not stripping amplitudes of color factors
- Evaluate dynamically at each vertex → straightforward computer algorithm
- Color dressing (CD) vs. color ordering (CO)

Example: Diagrams for  
 $g(1)g(2) \rightarrow g(3)g(4)$



[Berends,Giele] NPB306(1988)759



Example: Currents for  
 $g(1)g(2) \rightarrow g(3)g(4)$

Step 1	$J_1 = \varepsilon(1)$	$J_2 = \varepsilon(2)$	$J_3 = \varepsilon(3)$	$J_4 = \varepsilon(4)$
Step 2	$J_{12}$	$J_{13}$	$J_{23}$	
Step 3	$J_{123}$			
Step 4	$A(1, 2, 3, 4) = J_4^* J_{123}$			

[James] CERN-68-15  
 [Byckling,Kajantie] NPB9(1969)568

- Need to evaluate in a process-independent way

$$d\Phi_n(p_a, p_b; p_1, \dots, p_n) = \left[ \prod_{i=1}^n \frac{d^3 p_i}{(2\pi)^3 2E_i} \right] \delta^4 \left( p_a + p_b - \sum_{i=1}^n p_i \right)$$

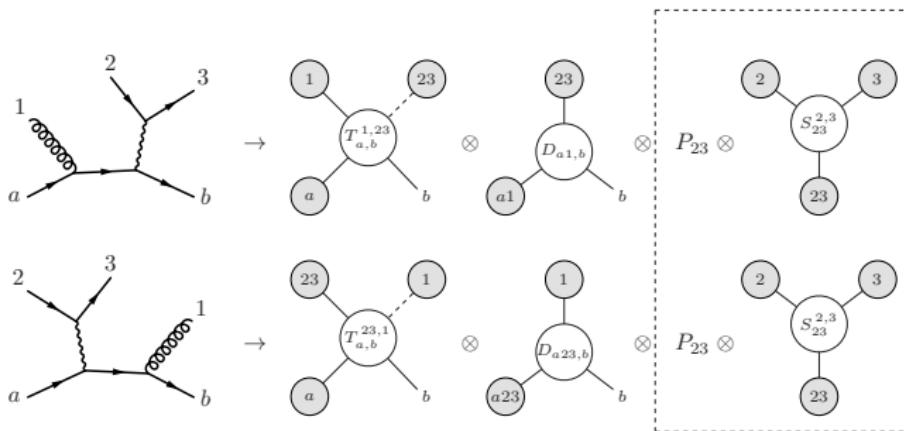
- Use factorization properties of phase-space integral

$$\begin{aligned} d\Phi_n(p_a, p_b; p_1, \dots, p_n) &= d\Phi_{n-m+1}(p_a, p_b; p_{1m}, p_{m+1}, \dots, p_n) \\ &\quad \times \frac{ds_{1m}}{2\pi} d\Phi_m(p_{1m}; p_1, \dots, p_m) \end{aligned}$$

- Apply repeatedly until only 2-particle phase spaces remain

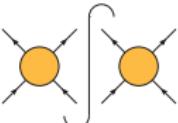
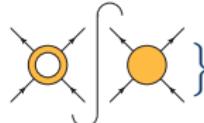
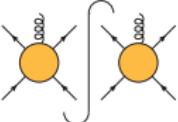
$$d\Phi_2 = \frac{\lambda(s_{ij}, m_i^2, m_j^2)}{16\pi^2 2s_{ij}} d\cos\theta_i d\phi_i$$

$$\lambda^2(a, b, c) = (a - b - c)^2 - 4bc \text{ - Källen function}$$



- Construct one integrator (= importance sampling) per diagram and combine into multi-channel
- Intuitive notion of pole structure, multi-channel determines balance
- Factorial growth with number of diagrams can be tamed by recursion

NLO calculation {

Born term:	$B =$	
Virtual terms:	$V = \sum 2 \operatorname{Re} \{$	
Real terms:	$R = \sum$	

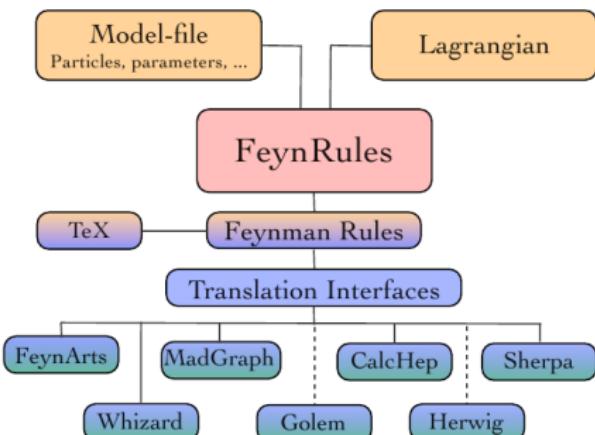
- UV divergences in  $V$  removed by renormalization procedure
- $V$  and  $R$  both still infrared divergent
- IR divergences cancel between  $V$  and  $R$  (KLN theorem)
- Exploit this fact to construct finite integrand for MC  
 $\Rightarrow$  NLO subtraction

- Commonly used ME generators

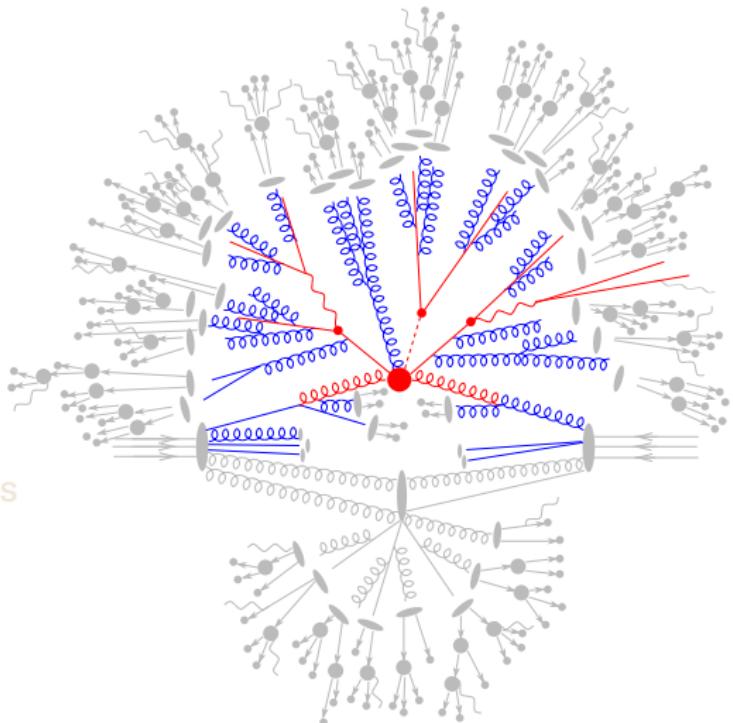
	Built-in models	$2 \rightarrow$	$ M_n ^2$	$d\Phi_n$	NLO
ALPGEN	SM	8	recursive	Multi	-
AMEGIC	SM,MSSM,ADD	6	diagrams	Multi	sub
Comix	SM	8	recursive	Multi	sub
CompHEP	SM,MSSM	4	textbook	Single	-
HELAC	SM	8	recursive	Multi	sub+loop
MadEvent	SM,MSSM,UED	6	diagrams	Multi	sub+loop
Whizard	SM,MSSM,LH	8	recursive	Multi	sub

[Christensen,Duhr] arXiv:0806.4194

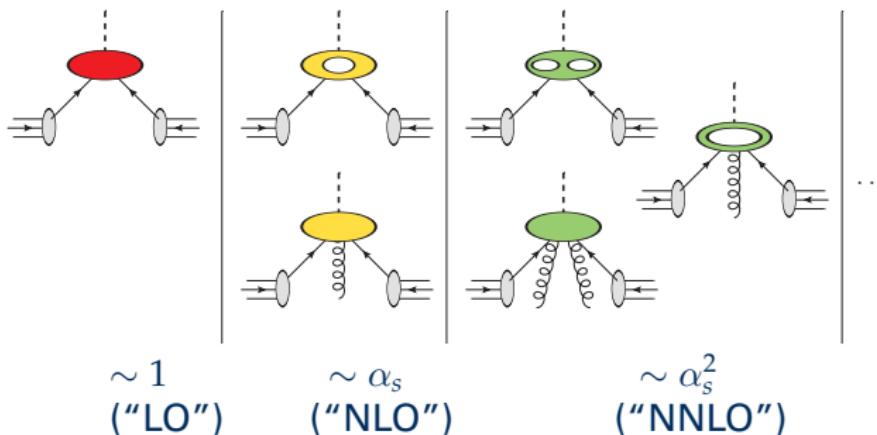
- Most ME generators suited for any physics model, but implementing Feynman rules tedious and error-prone
- Automated by FeynRules package
- Extracts vertices from Lagrangian based on minimal information about particle content
- Writes generator-specific output permitting easy cross-checks



- Hard interaction
- Parton shower
- Multiple parton interactions
- Hadronisation
- Hadron decays
- Higher-order QED corrections



- Cannot solve QCD and calculate e.g.  $pp \rightarrow t\bar{t}H$  exactly
- But can calculate parts of the perturbative series in  $\alpha_s$ :



- Going beyond  $\mathcal{O}(\alpha_s^2)$  non-trivial, only  $gg \rightarrow H$  so far!
- $\alpha_s^2 \approx 1\% \Rightarrow$  high enough precision, right?
- Why is that not always true?

- **Inclusive** observables calculable at fixed-order  
( $\rightsquigarrow$  KLN theorem for cancellation of infrared divergences)
- But if **not inclusive**  $\rightarrow$  finite remainders of infrared divergences:

logarithms of  $\frac{\mu_{\text{hard}}^2}{\mu_{\text{cut}}^2}$  with each  $\mathcal{O}(\alpha_s)$

can become large and spoil perturbative convergence

Examples:

- Observables that resolve soft emissions, like  $p_\perp^Z = \mathcal{O}(1 \text{ GeV})$  in DY
- Hadron-level predictions: confinement at  $\mu_{\text{had}} \approx 1 \text{ GeV}$

$\Rightarrow$  Need to resum the series to all orders

- Problem: We are not smart enough for that.
- Approximation in the collinear limit:

Resum only the logarithmically enhanced terms in the series

- What do they look like and how do we “resum” them?

## Resummation of multiple emissions

- Higher terms  $\equiv$  additional QCD emissions
  - partons survive (no emissions) or split (real emission)
- ⇒ Resummation in analogy to radioactive decay, but:
  - evolution in **energy scale “t”**
  - generalisation to **non-constant** decay probability

## Resummation of multiple emissions

### Radioactive decay

- Constant differential decay probability

$$f(t) = \text{const} \equiv \lambda$$

- Survival probability  $\mathcal{N}(t)$

$$-\frac{d\mathcal{N}}{dt} = \lambda \mathcal{N}(t)$$

$$\Rightarrow \mathcal{N}(t) \sim \exp(-\lambda t)$$

- Resummed decay probability  $\mathcal{P}(t)$

$$\mathcal{P}(t) = f(t) \mathcal{N}(t) \sim \lambda \exp(-\lambda t)$$

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### QCD emissions

- Differential branching probability

$$f(t) \equiv \frac{d\sigma_{n+1}^{(\text{approx})}(t)}{d\sigma_n} (\rightsquigarrow \text{later})$$

- Survival probability  $\mathcal{N}(t)$

$$-\frac{d\mathcal{N}}{dt} = f(t) \mathcal{N}(t)$$

$$\Rightarrow \mathcal{N}(t) \sim \exp \left( - \int_0^t dt' f(t') \right)$$

- Resummed branching probability  $\mathcal{P}(t)$

$$\mathcal{P}(t) = f(t) \mathcal{N}(t) \sim f(t) \exp \left( - \int_0^t dt' f(t') \right)$$

## Universal structure at all orders (cf. $ee \rightarrow 3 \text{ jets}$ )

- Factorisation of QCD real emission ME for **collinear** partons ( $i, j$ ):

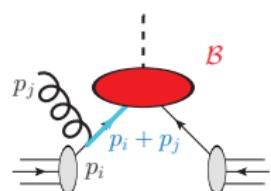
$$|\mathcal{M}_{n+1}|^2 \xrightarrow{\text{approx}} |\mathcal{M}_n|^2 \times \left[ 8\pi\alpha_s \frac{1}{2p_i p_j} \mathcal{K}_{ij}(p_i, p_j) \right]$$

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- $\mathcal{M}_{n+1}$  = real emission matrix element
- $\mathcal{M}_n$  = Born matrix element
- Massless propagator  $\frac{1}{2p_i p_j}$   
 → Evolution variable of shower  $t \sim 2p_i p_j$ , e.g.  $k_{\perp}$ , angle, ...
- $\mathcal{K}_{ij}$  splitting kernel for branching  $(ij) \rightarrow i + j$   
 Specific form depends on factorisation scheme (DGLAP, Catani-Seymour, Antenna, ...)

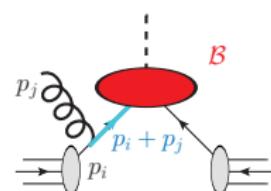


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- Factorisation of phase space element

$$d\Phi_{n+1} \rightarrow d\Phi_n d\Phi_1 = d\Phi_n dt \frac{1}{16\pi^2} dz \frac{d\phi}{2\pi}$$

⇒ Combination gives differential branching probability

$$\frac{d\sigma_{n+1}^{(\text{approx})}}{d\sigma_n} \sim \sum_{ij} dt \left[ 8\pi\alpha_s \frac{1}{2p_i p_j} \mathcal{K}_{ij}(p_i, p_j) \right] \sim \frac{dt}{t} \frac{\alpha_s}{2\pi} \mathcal{K}_{ij}$$

## Summary of main parton shower ingredients

- “Sudakov form factor”  $\equiv$  Survival probability of parton ensemble:

$$\mathcal{N}(t') \sim \exp\left(-\int_0^{t'} dt f(t)\right) \quad \rightarrow \quad \Delta(t', t'') = \prod_{\{ij\}} \exp\left(-\int_{t'}^{t''} \frac{dt}{t} \frac{\alpha_s}{2\pi} \mathcal{K}_{ij}\right)$$

- Evolution variable  $t$ :** not time, but scale of collinearity from hard to soft  
 $t \sim 2p_i p_j \sim$  e.g. angle  $\theta$ , virtuality  $Q^2$ , relative transverse momentum  $k_\perp^2$
- Starting scale  $\mu_Q^2$  (**time  $t = 0$  in radioactive decay**) defined by hard ME
- Cutoff scale related to hadronisation scale  $t_0 \sim \mu_{\text{had}}^2$
- Other variables  $(z, \phi)$  generated directly according to  $d\sigma_{ij}^{(\text{PS})}(t, z, \phi)$

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⇒ Differential cross section (up to first emission)

$$d\sigma^{(\text{B})} = d\Phi_B \mathcal{B}$$

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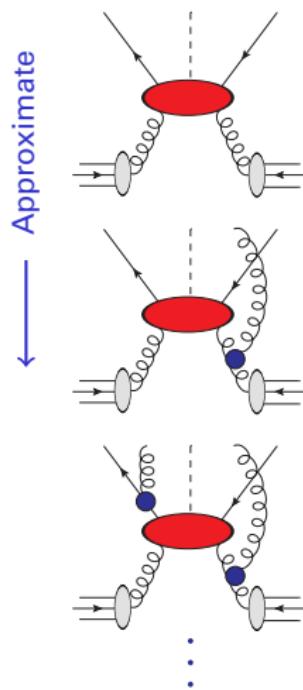
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⇒ Differential cross section (up to first emission)

$$d\sigma^{(\text{PS})} = d\Phi_B \mathcal{B} \left[ \underbrace{\Delta^{(\text{PS})}(t_0, \mu_Q^2)}_{\text{unresolved}} + \underbrace{\sum_{\{ij\}} \int_{t_0}^{\mu_Q^2} dt \frac{d\sigma_{ij}^{(\text{PS})}}{dt} \Delta^{(\text{PS})}(t, \mu_Q^2)}_{\text{resolved}} \right]$$



$$\sigma_{\text{incl}} \left[ \Delta(t_0, \mu_Q^2) \right]$$

$$+ \int_{t_0}^{\mu_Q^2} \frac{dt}{t} \int dz \frac{\alpha_s}{2\pi} P(z) \Delta(t, \mu_Q^2)$$

$$+ \frac{1}{2} \left( \int_{t_0}^{\mu_Q^2} \frac{dt}{t} \int dz \frac{\alpha_s}{2\pi} P(z) \right)^2 \Delta(t, \mu_Q^2)$$

+ ...

## Simulation of parton shower cascade

- Start with parton ensemble from hard scattering
- Recursively generate branchings of each parton according to

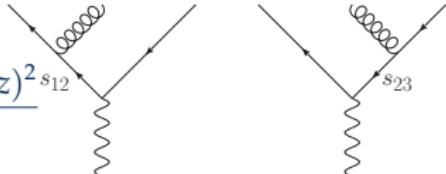
$$\mathcal{P}(t) = f(t) \mathcal{N}(t) \sim f(t) \exp \left( - \int_0^t dt' f(t') \right)$$

## Veto algorithm

- Generate next branching "time"  $t$  with probability
 
$$\mathcal{P}(t, t_{\text{previous}}) = f(t) \exp \left( - \int_{t_{\text{previous}}}^t f(t') dt' \right)$$
- Analytically:
 
$$t = F^{-1} [F(t_{\text{previous}}) + \log(\#_{\text{random}})] \text{ with } F(t) = \int_{t_0}^t dt' f(t')$$
- If integral/its inverse are not known: "**Veto algorithm**" = extension of hit-or-miss
  - Overestimate  $g(t) \geq f(t)$  with known integral  $G(t)$   
 $\rightarrow t = G^{-1} [G(t_{\text{previous}}) + \log(\#_{\text{random}})]$
  - Accept  $t$  with probability  $\frac{f(t)}{g(t)}$  using hit-or-miss

- Consider  $e^+e^- \rightarrow 3$  partons

$$\frac{1}{\sigma_{2 \rightarrow 2}} \frac{d\sigma_{2 \rightarrow 3}}{d \cos \theta dz} \sim C_F \frac{\alpha_s}{2\pi} \frac{2}{\sin^2 \theta} \frac{1 + (1-z)^2}{z} s_{12}$$



$\theta$  - angle of gluon emission

$z$  - fractional energy of gluon

- Divergent in
  - Collinear limit:  $\theta \rightarrow 0, \pi$
  - Soft limit:  $z \rightarrow 0$
- Separate into two independent jets

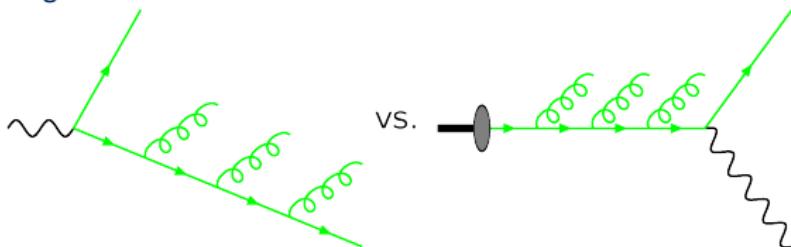
$$\frac{2d \cos \theta}{\sin^2 \theta} = \frac{d \cos \theta}{1 - \cos \theta} + \frac{d \cos \theta}{1 + \cos \theta} = \frac{d \cos \theta}{1 - \cos \theta} + \frac{d \cos \bar{\theta}}{1 - \cos \bar{\theta}} \approx \frac{d\theta^2}{\theta^2} + \frac{d\bar{\theta}^2}{\bar{\theta}^2}$$

- Independent jet evolution

$$d\sigma_3 \sim d\sigma_2 \sum_{\text{jets}} C_F \frac{\alpha_s}{2\pi} \frac{d\theta^2}{\theta^2} dz \frac{1 + (1-z)^2}{z} \rightarrow d\sigma_2 \sum_{\text{jets}} \frac{dt}{t} dz \frac{\alpha_s}{2\pi} P_{ab}(z)$$

[Sjöstrand] PLB175(1985)321

- Iteration leads to tree-like approximation of higher-order configuration
- Slight difference between final-state and initial-state evolution



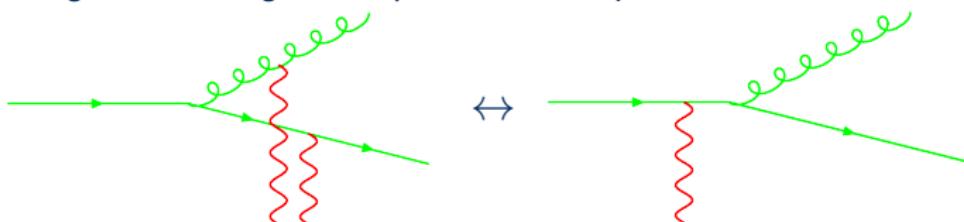
- Initial-state emission probability must account for probability to resolve (different) parton at larger  $x$

$$d\mathcal{P}_{\text{emit}}(x, t) = \frac{dt}{t} \int \frac{dz}{z} \frac{\alpha_s}{2\pi} P_{ab}(z) \frac{f_b(x/z, t)}{f_a(x, t)}$$

- Hard to implement in forward evolution (increasing  $t$ )
- Standard method is to evolve backward in initial state

[Marchesini,Webber] NPB310(1988)461

- Gluons with large wavelength not capable of resolving charges of emitting color dipole individually

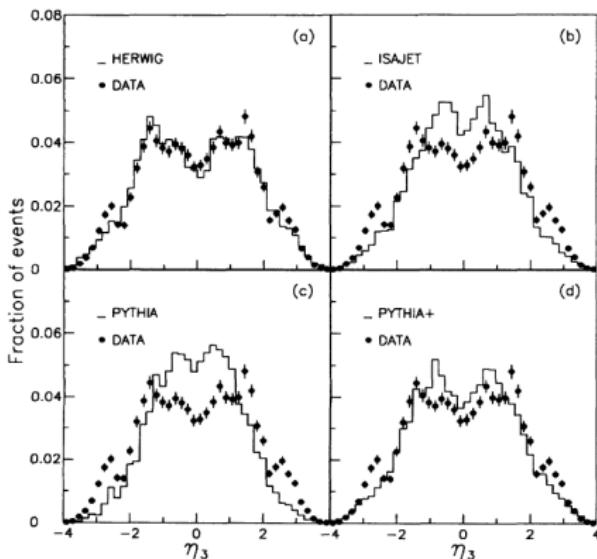
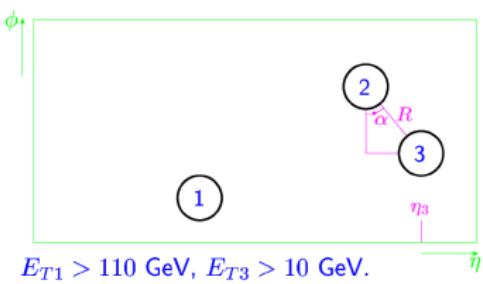


- Emission occurs with combined charge of mother parton instead
- Net effect is destructive interference outside cone with opening angle defined by emitting color dipole
- Can be implemented directly by angular ordering variable or additional ordering criterion in parton showers

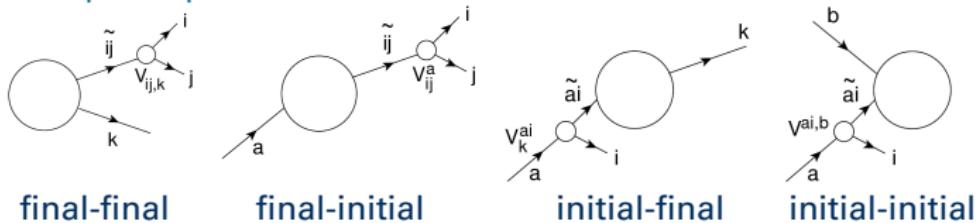
# Color coherence and angular ordering

[CDF] PRD50(1994)5562

- Color coherence observed experimentally in 3-jet events
- Purely virtuality ordered PS's produce too much radiation in central region
- Angular ordered / angular vetoed PS's ok

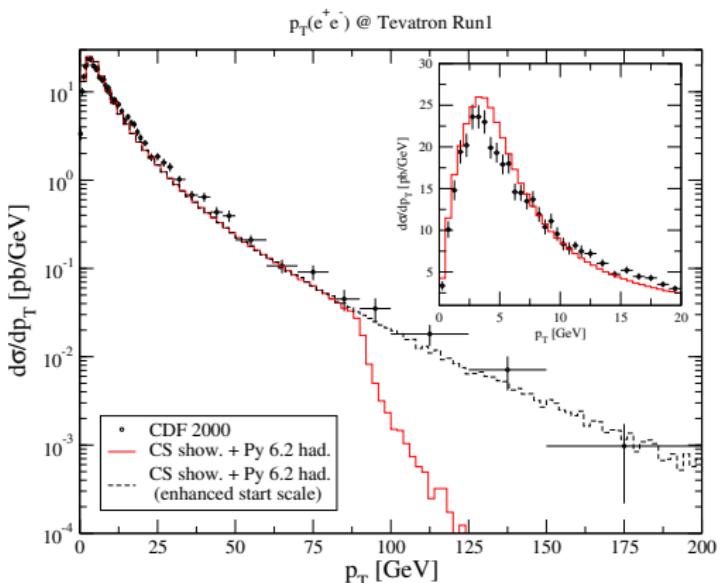


- In parton showers, the collinear/soft limit is never reached  
But who absorbs recoil when a splitting parton goes off mass-shell?
- No answer in DGLAP evolution equations  $\leftrightarrow$  collinear limit  
Ambiguity introduces large uncertainties, especially at large  $t$
- Natural solution provided by  $2 \rightarrow 3$  splittings  
Spectator kinematics enters splitting probability
- Basic concept of dipole showers



- Publicly available generators

	Evolution variable	Splitting variable	Coherence
Ariadne	dipole- $k_{\perp}^2$	Rapidity	Antenna
Herwig	$E^2\theta^2$	Energy fraction	AO
Herwig++	$(t - m^2)/z(1 - z)$	LC mom fraction	AO/Dipole
Pythia <6.4	$t$	Energy fraction	Enforced
Pythia $\geq$ 6.4	$k_{\perp}^2$	LC mom fraction	Enforced
Sherpa <1.2	$t$	Energy fraction	Enforced
Sherpa $\geq$ 1.2	$k_{\perp}^2$	LC mom fraction	Dipole
Vincia	variable	variable	Antenna



- Example: Drell-Yan lepton pair production at Tevatron
- If ME computed at leading order, then  
parton shower is only source of transverse momentum
- Any emissions softer than  $\mu_F$  in terms of ordering parameter
- Significantly harder emissions experimentally, e.g. Drell-Yan

## “Traditional” approach:

$$\delta_{\text{PS}} = |\text{Pythia} - \text{Herwig}|$$

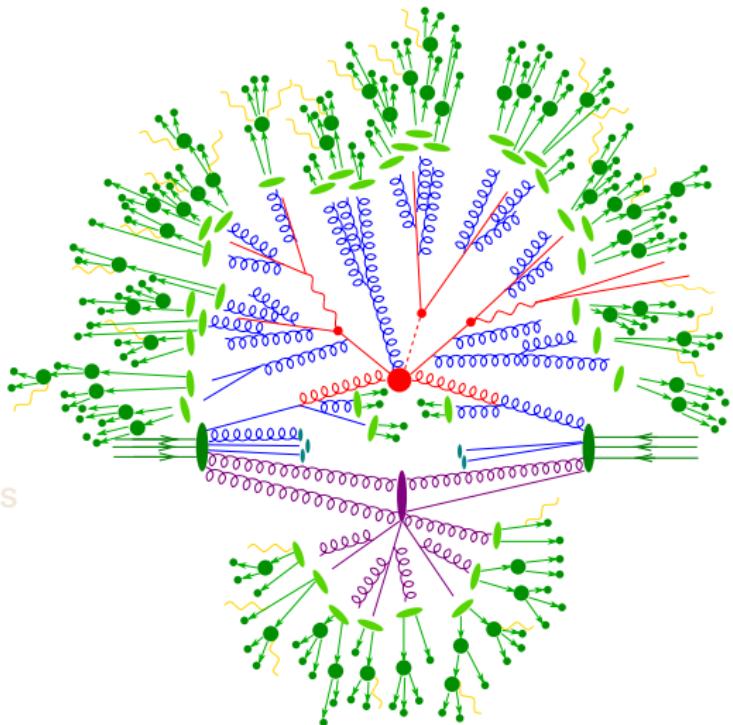
## More rigorous assessment:

Systematic variations of **ambiguities**:

- Splitting kernels  
(finite pieces)
- Recoil scheme  
(onshell  $1 \rightarrow 2$  splittings with 4-mom conservation!)
- Evolution variable  
(with correct collinear behaviour)
- Shower starting scale  
(often connected to  $\mu_f$ )
- Shower cutoff scale  
transition to hadronisation (often part of tuning)
- $\alpha_S$  and PDFs  
(might be connected with tuning)

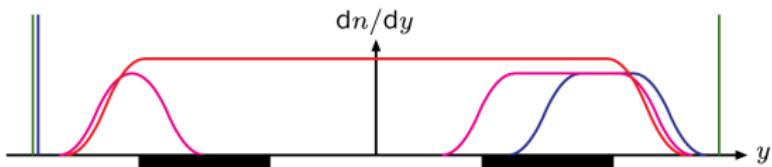
# The structure of MC events

- Hard interaction
- Parton shower
- **Multiple parton interactions**
- Hadronisation
- Hadron decays
- Higher-order QED corrections

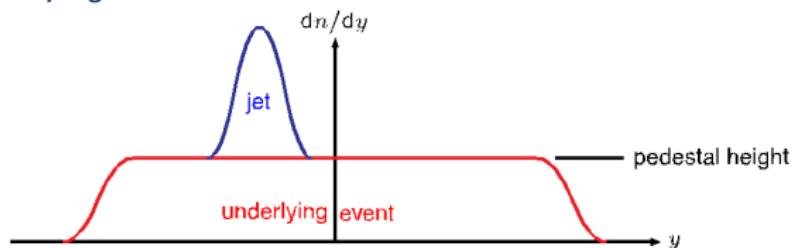


- Soft inclusive collision

$$\sigma_{\text{tot}} = \sigma_{\text{elastic}} + \sigma_{\text{single diffractive}} + \sigma_{\text{double diffractive}} + \sigma_{\text{non-diffractive}}$$



- Underlying event

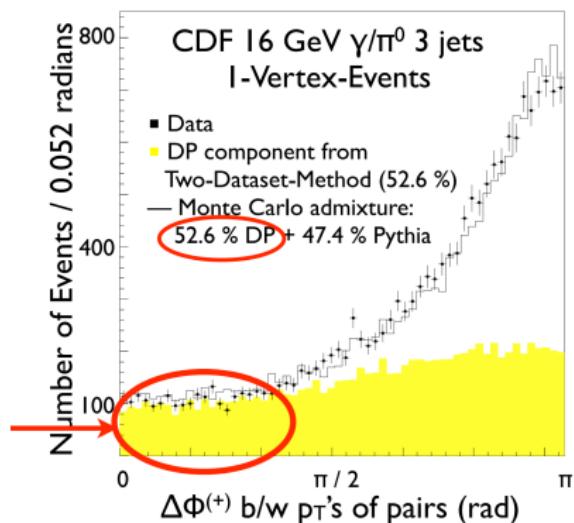


- First experimental evidence for double-parton scattering:  
 $\gamma + 3$  jets in CDF paper (1997)
- DPS component fitted to 53%
- Extraction of DPS cross section

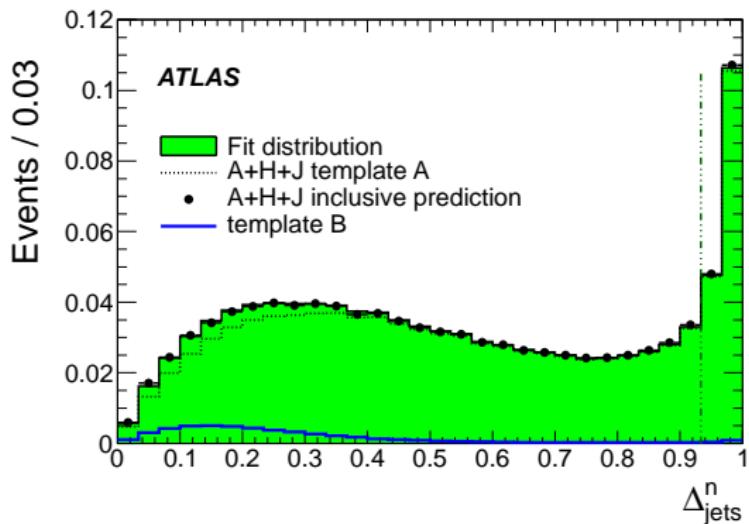
$$\sigma_{\text{DPS}} = \frac{\sigma_{\gamma j} \sigma_{jj}}{\sigma_{\text{eff}}}$$

with

$$\sigma_{\text{eff}} = 14 \pm 4 \text{ mb}$$



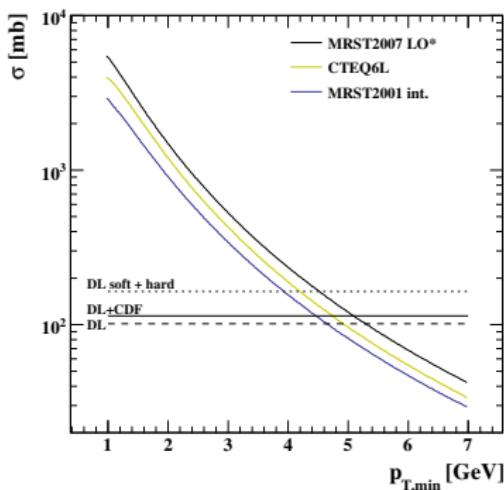
- Example: ATLAS measurement of W + 2 jets (2013)



- Extraction of DPS cross section

$$\sigma_{\text{DPS}} = \frac{\sigma_W \sigma_{jj}}{\sigma_{\text{eff}}} \text{ with } \sigma_{\text{eff}} = 15 \pm 3 \text{ mb}$$

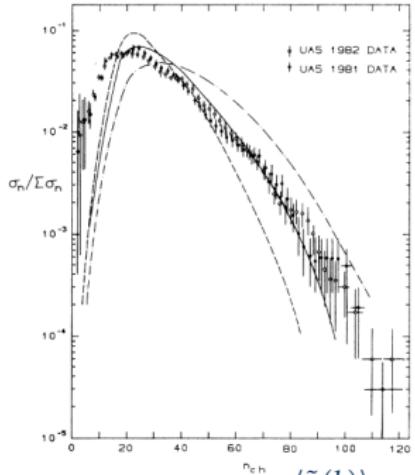
[Sjöstrand,Zijl] PRD36(1987)2019



- Partonic cross sections diverge roughly like  $d p_T^2 / p_T^4$
- Total cross section at LHC exceeded for  $p_T \approx 2\text{-}5$  GeV
- Interpretation as possibility for multiple hard scatters with

$$\langle n \rangle = \frac{\sigma_{\text{hard}}}{\sigma_{\text{non-diffractive}}}$$

- Main free parameter is  $p_{T,\min}$   
Determines size of  $\sigma_{\text{hard}}$



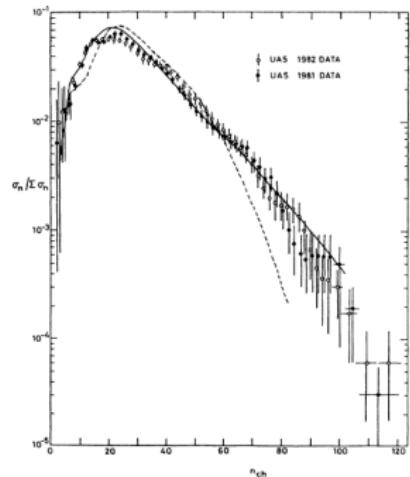
- Despite MPI wrong charged multi distribution Impact parameter dependent model needed
- Various hadron shape models in b-space (Exponential, Gaussian, double Gaussian)

$$\langle n \rangle = \frac{\sigma_{\text{hard}}}{\sigma_{\text{non-diffractive}}}$$

↓

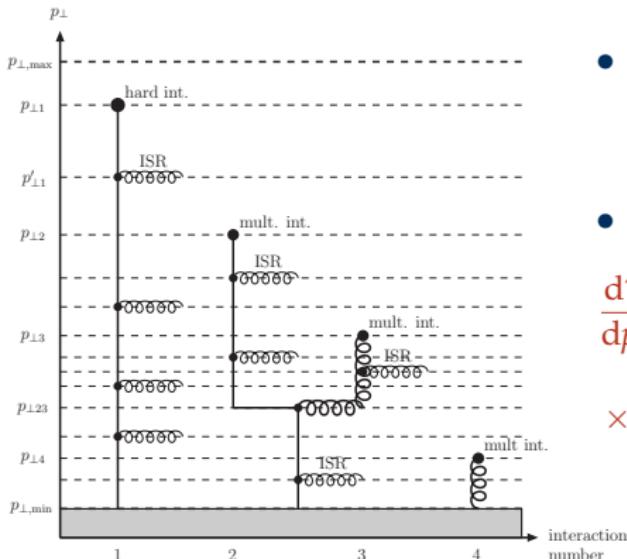
$$\langle \tilde{n}(b) \rangle = f_c f(b) \frac{\sigma_{\text{hard}}}{\sigma_{\text{non-diffractive}}}$$

- Hardness of the collision determines overlap Collisions with large overlap in turn have more secondary interactions



# Combination with the parton shower

[Sjöstrand,Skands] hep-ph/0408302



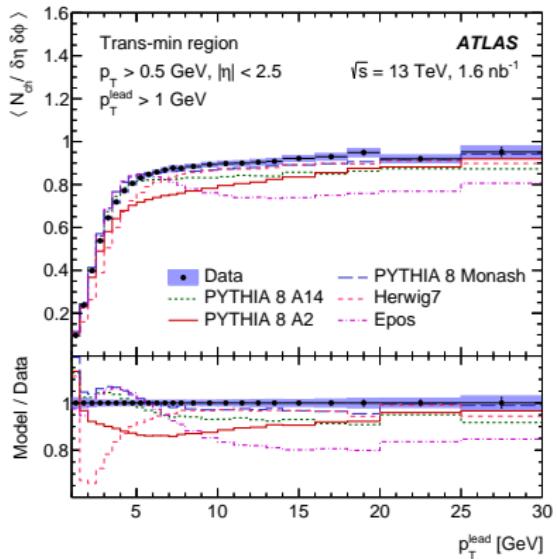
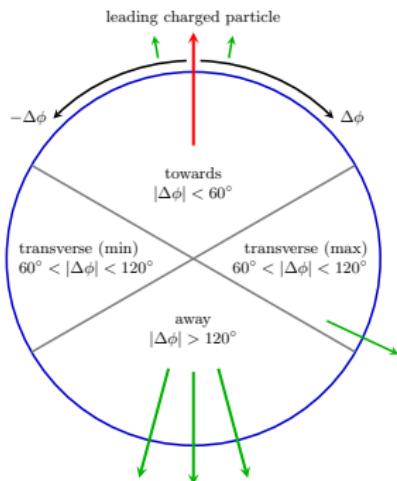
- When attaching IS shower to secondary scattering can ask at each point whether emission or new interaction is more likely

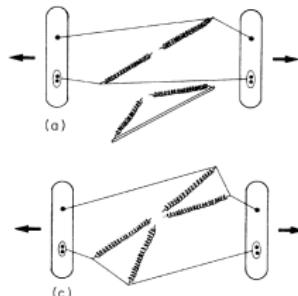
- New evolution equation

$$\frac{d\mathcal{P}}{dp_T} = \left( \frac{d\mathcal{P}_{MI}}{dp_T} + \frac{d\mathcal{P}_{ISR}}{dp_T} \right) \times \exp \left\{ - \int \frac{dp'_T}{p_T} \left( \frac{d\mathcal{P}_{MI}}{dp'_T} + \frac{d\mathcal{P}_{ISR}}{dp'_T} \right) \right\}$$

## Typical UE analysis:

- Select hard direction of event, e.g. leading track
- Define towards/transverse/away regions
- Measure underlying activity in transverse region (uncorrelated)

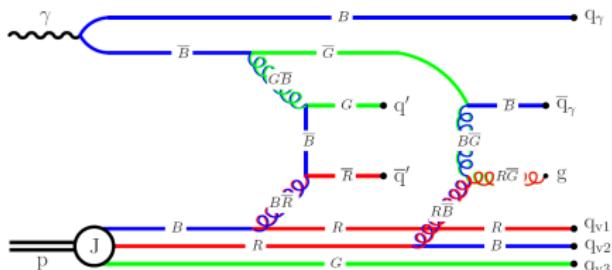




- New models embed scatters into existing color topology
- Three different options for string drawing
  - At random
  - Rapidity ordered
  - String length optimized

[Sjöstrand, Skands] hep-ph/0402078

- Secondary scatterings need to be color-connected to something
- Simplest model would decouple them from proton remnants
- Next-to-simplest model would put all scatters on one color string



[Butterworth,Forshaw,Seymour] hep-ph/9601371  
 [Borozan,Seymour] hep-ph/0207283

- Assume parton distribution within beam hadron is

$$\frac{dn_a(x, \mathbf{b})}{d^2\mathbf{b}dx} = f_a(x) G(\mathbf{b})$$

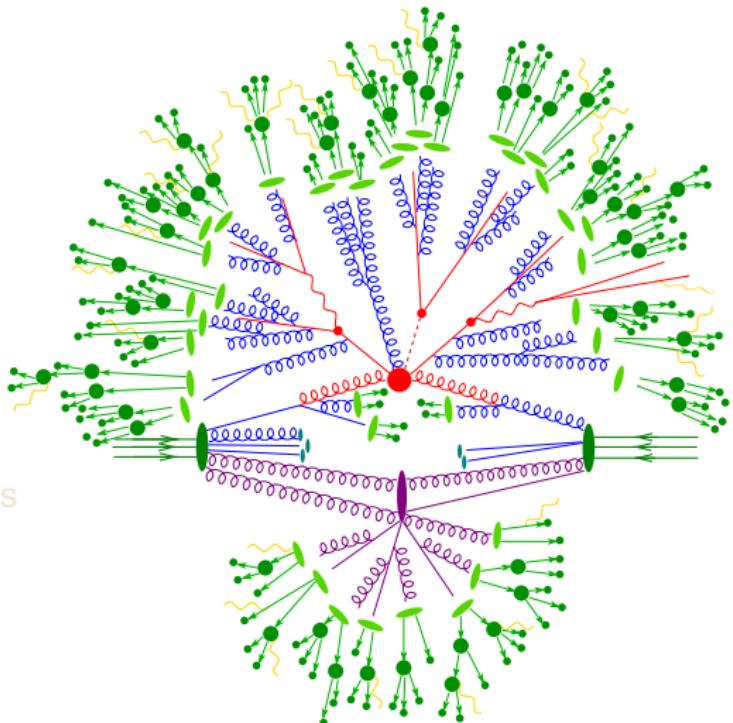
- Use electromagnetic form factor

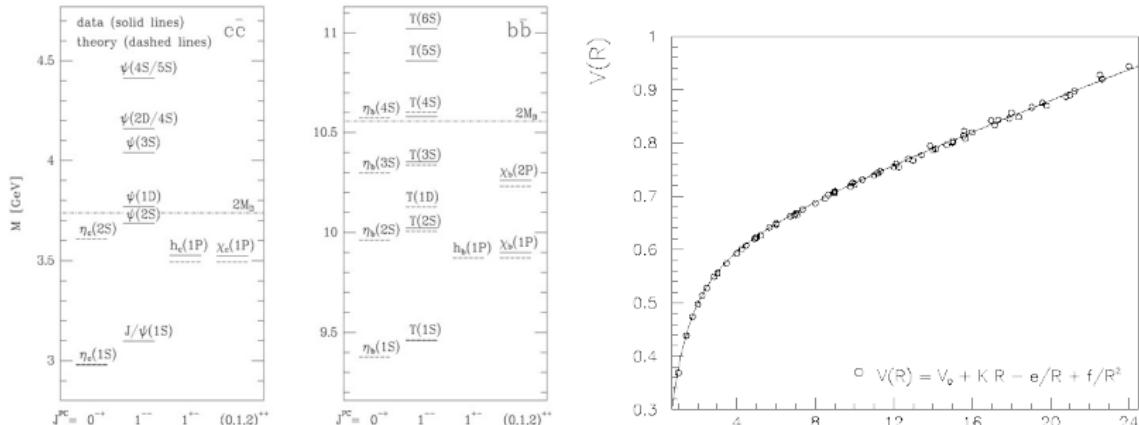
$$G(\mathbf{b}) = \int \frac{d^2\mathbf{k}}{(2\pi)^2} \frac{\exp(\mathbf{k} \cdot \mathbf{b})}{(1 + \mathbf{k}^2/\mu^2)^2}$$

- EM measurements indicate  $\mu_P = 0.71$  GeV  
 $\mu$  is however left free in model → tuning
- Continue model below  $p_{T,\min}$  with same b-space parametrization  
 but cross section as Gaussian in  $p_T$  → inclusive non-diffractive events

# The structure of MC events

- Hard interaction
- Parton shower
- Multiple parton interactions
- Hadronisation
- Hadron decays
- Higher-order QED corrections





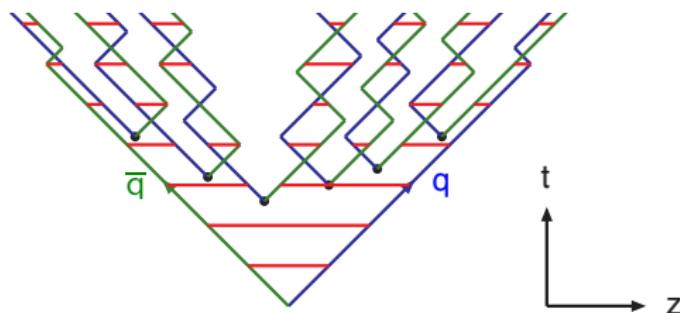
- Measure QCD potential from quarkonia masses
- Or compute using lattice QCD
- Approximately linear potential  $\leftrightarrow$  QCD flux tube

[Andersson,Gustafson,Ingelman,Sjöstrand] PR97(1983)31

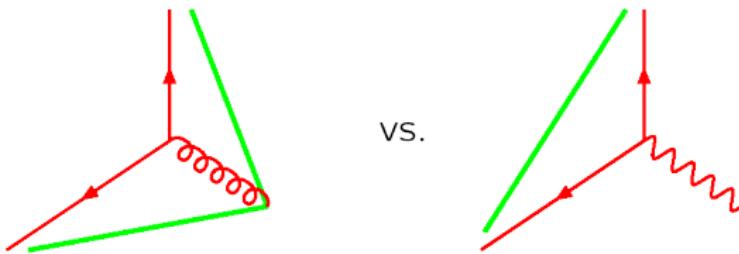
- Start with example  $e^+e^- \rightarrow q\bar{q}$
- QCD flux tube with constant energy per unit rapidity  $\leftrightarrow$  
- New  $q\bar{q}$ -pairs created by tunneling ( $\kappa$  - string tension)

$$\frac{dP}{dxdt} = \exp \left\{ -\frac{\pi^2 m_q^2}{\kappa} \right\}$$

- Expanding string breaks into hadrons, then yo-yo modes
- Baryons modeled as quark-diquark pairs

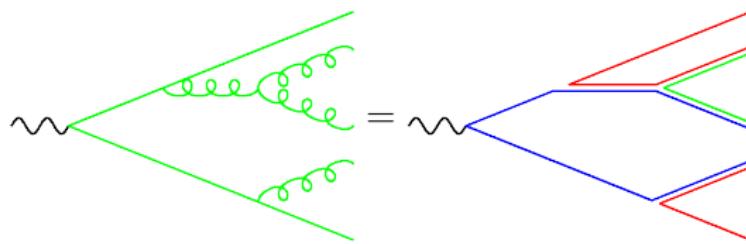


- String model very well motivated, but many parameters
- But also gives genuine prediction of “string effect”
- Gluons are kinks on string  
String accelerated in direction of gluon
- Infrared safe matching to parton showers  
Gluons with  $k_T \lesssim 1/\kappa$  irrelevant

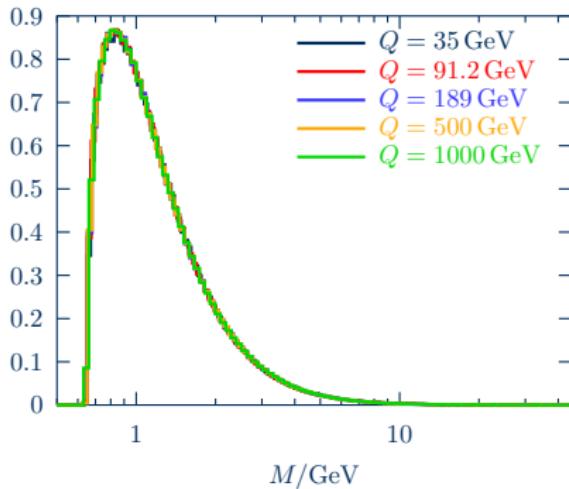


[Webber] NPB238(1984)492

- Underlying idea: Preconfinement
- Follow color structure of parton showers:  
color singlets end up close in phase space
- Mass of color singlets peaked at low scales ( $\approx t_c$ )



Primary Light Clusters



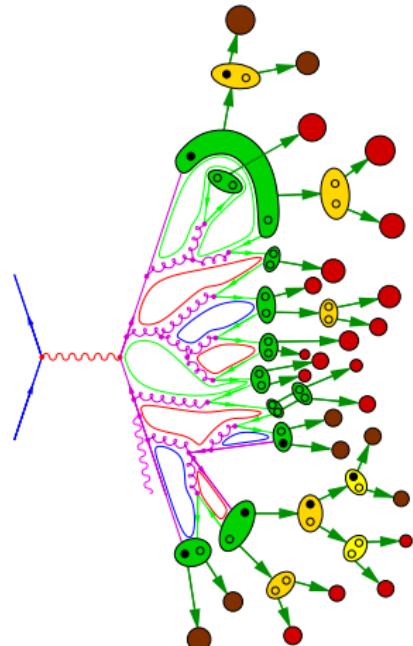
- Mass spectrum of primordial clusters independent of cm energy

## Naïve model

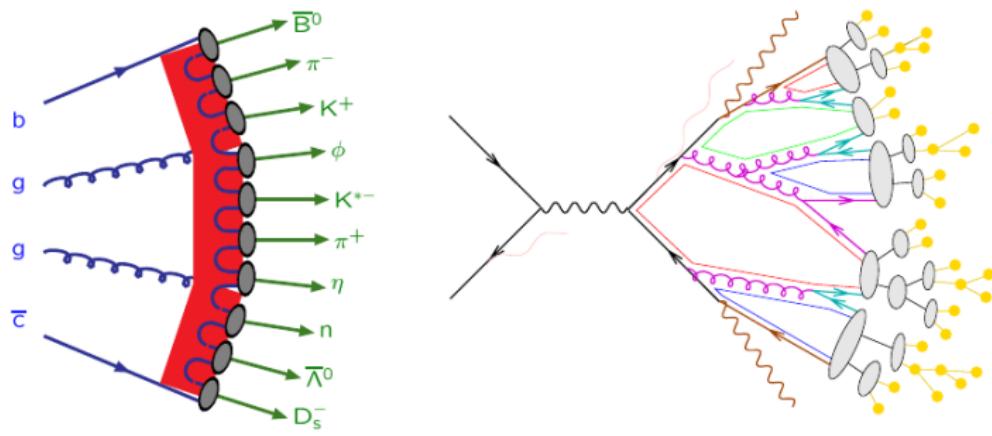
- Split gluons into  $q\bar{q}$ -pairs  
→ flavour distribution important,  
momentum distribution not too much
- Color-adjacent pairs form primordial clusters
- Clusters decay into hadrons  
according to phase space  
→ baryon & heavy quark production  
suppressed

## Improved model

- Heavy clusters decay  
into lighter ones
- Three options: C→CC,  
C→CH & C→HH
- Leading particle effects



[T.Sjöstrand, Durham'09]

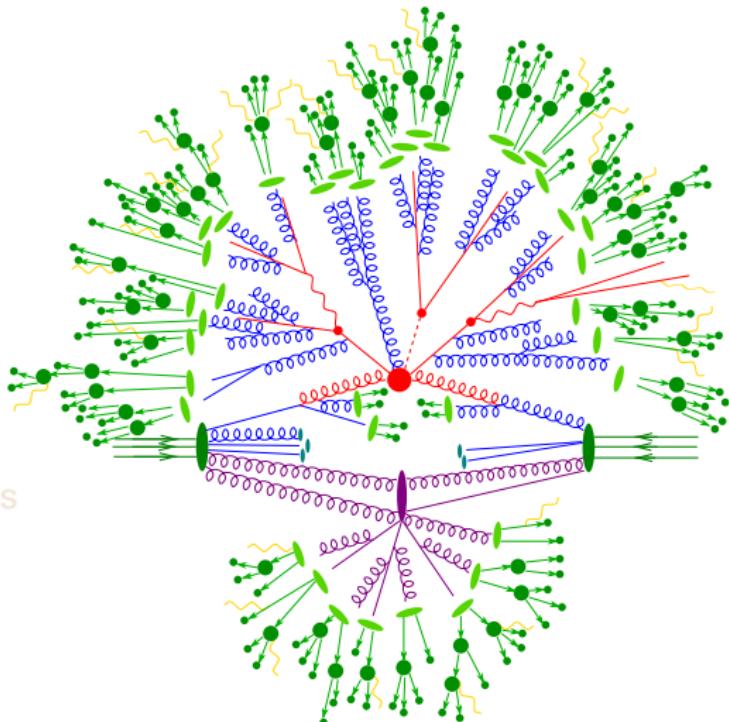


program model	PYTHIA string	HERWIG cluster
energy-momentum picture	powerful predictive	simple unpredictive
parameters	few	many
flavour composition	messy unpredictive	simple in-between
parameters	many	few

"There ain't no such thing as a parameter-free *good* description"

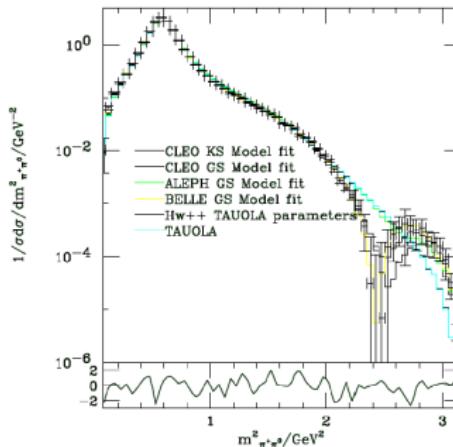
# The structure of MC events

- Hard interaction
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- String and clusters decay to some stable hadrons but main outcome are unstable resonances
- These decay further according to the PDG decay tables
- Many hadron decays according to phase space but also a large variety of form factors known
- Not all branching ratios known precisely plus many BR's in PDG tables do not add up to one
- Significant effect on hadronization yields, hadronization corrections to event shapes, etc.

- Previous generations of generators relied on external decay packages Tauola ( $\tau$ -decays) & EvtGen ( $B$ -decays)
- New generation programs Herwig++ & Sherpa contain at least as complete a description
- Spin correlations and  $B$ -mixing built in
- No interfacing issues
- Previous generations of generators relied on external package Photos to simulate QED radiation
- New generation programs Herwig++ & Sherpa have simulation of QED radiation built in



- 
- 1 Motivation**
  - 2 Introduction to QCD**
  - 3 Introduction to event generators**
  - 4 Hard interaction**
  - 5 Parton shower**
  - 6 Multiple parton interactions**
  - 7 Hadronization**
  - 8 Hadron decays**
  - 9 Generator programs**

[Buckley et al.] arXiv:1101.2599

### Herwig

- Originated in coherent shower studies → angular ordered PS
- Front-runner in development of Mc@NLO and POWHEG
- Simple in-house ME generator & spin-correlated decay chains
- Original framework for cluster fragmentation

### Pythia

- Originated in hadronization studies → Lund string
- Leading in development of multiple interaction models
- Pragmatic attitude to ME generation → external tools
- Extensive PS development and earliest ME $\oplus$ PS matching

### Sherpa

- Started with PS generator APACIC++ & ME generator AMEGIC++
- Current MPI model and hadronization pragmatic add-ons
- Leading in development of automated ME $\oplus$ PS merging
- Automated framework for NLO calculations and Mc@NLO

MadGraph5\_aMC@NLO

[Allwall et al.] arXiv:1405.0301

- Tree-level and virtual matrix elements (NLO)
  - NLO subtraction and matching

PowhegBox

[Alioli et al.] arXiv:1002.2581

- Matrix elements at NLO (excluding virtuals)
  - Hardest emission in Powheg formalism

OpenLoops

[Cascioli et al.] arXiv:1111.5206

- Virtual matrix elements

Alpgen

[Mangano et al.] arXiv:hep-ph/0206293

- Multi-jet matrix elements and merging at LO

EvtGen

[Lange et al.] Nucl Instrum Meth A462 (2001) 152-155

- Dedicated heavy-flavour hadron decays

Tauola

[Jadach et al.] Comput. Phys. Commun. 64 (1990) 275-299

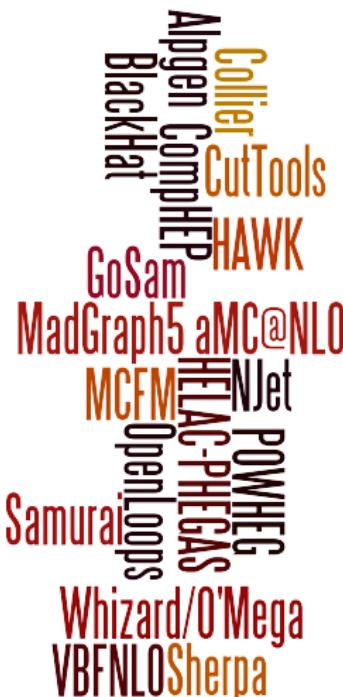
- Dedicated tau decays

## Photos

[Barberio et al.] Comput Phys Commun. 66 (1991) 115-128

- QED radiation from final state leptons

...and many others for specialised purposes



## Rivet [Buckley et al.] arXiv:0103.0694

- LHC-successor to HZTool
- Collection of exp. data & matching analysis routines
- Spirit: “Right MC describes everything at the same time”

## Professor [Buckley et al.] arXiv:0907.2973

- Tuning in multi-dimensional parameter space of MC
- Generate event samples at random parameter points
- Analyze them with Rivet
- Parametrize observables
- Minimize  $\chi^2$  and cross-check

## Tune comparisons

Deviation metrics per gentune and observable group:

Gen	Tune	UE	Dijets	Multijets	Jet shapes	W and Z	Fragmentation	B frag
Alpgen	HERWIG6	—	1.83	5.36	2.48	0.91	—	—
	PYTHIA6-AMBT1	—	1.55	2.80	0.61	0.53	—	—
	PYTHIA6-D6T	—	1.38	2.67	2.31	1.67	—	—
	PYTHIA6-P2010	—	1.09	2.65	2.03	1.48	—	—
	PYTHIA6-P2011	—	1.12	2.60	0.48	0.24	—	—
	PYTHIA6-Z2	—	1.48	2.63	0.55	0.48	—	—
	PYTHIA6-profQ2	—	1.16	2.65	1.43	1.29	—	—
	HERWIG	AUET2-CTEQ6L1	0.43	0.55	0.77	0.35	0.58	22.80 <b>2.38</b>
		AUET2-LOXX	0.25	0.71	0.60	0.39	0.88	22.13 <b>2.29</b>
	Herwig++	2.5.1-UE-EE-3-CTE06L1	0.27	0.87	0.78	0.31	0.98	10.58 <b>1.32</b>
Pythia6	2.5.1-UE-EE-3-MRSTLOxx	0.23	1.05	0.78	0.50	0.65	10.58	1.32
	AMBT1	0.39	1.20	0.54	0.77	0.27	0.93	<b>1.65</b>
	AUET2b-CTE06L1	0.16	0.92	0.44	0.59	0.74	0.67	1.29
	AUET2b-LOXX	0.13	1.33	0.55	0.58	1.15	0.67	1.30
	D6T	0.58	0.79	0.50	0.56	1.25	0.36	<b>2.03</b>
	DW	0.81	0.78	0.61	0.56	1.33	0.36	<b>2.63</b>
	P2010	0.30	0.93	0.82	1.07	0.30	0.44	1.75
	P2011	0.12	0.89	0.67	1.02	0.53	0.43	<b>2.13</b>
	ProfQ2	0.51	0.67	0.81	0.51	0.64	0.30	1.05
	Z2	0.18	0.94	0.73	0.80	0.30	0.95	<b>2.78</b>
Pythia8	4C	0.30	0.97	0.93	0.50	0.90	0.38	1.12
	Sherpa	1.31	0.68	0.47	0.34	0.71	0.36	0.75 <b>2.48</b>

[LH'11 SM WG] arXiv:1203.6803 [hep-ph]

Thank you for your attention  
and active participation!

- L. Dixon, F. Petriello (Editors)  
**Journeys Through the Precision Frontier**  
Proceedings of TASI 2014, World Scientific, 2015
- R. K. Ellis, W. J. Stirling, B. R. Webber  
**QCD and Collider Physics**  
Cambridge University Press, 2003
- R. D. Field  
**Applications of Perturbative QCD**  
Addison-Wesley, 1995
- A. Buckley et al.  
**General-Purpose Event Generators for LHC Physics**  
Phys. Rept. 504 (2011) 145
- T. Sjöstrand, S. Mrenna, P. Z. Skands  
**PYTHIA 6.4 Physics and Manual**  
JHEP 05 (2006) 026