



# Mu3e – ultra-thin pixel tracker using HV-CMOS

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Universität Heidelberg  
on behalf of the **Mu3e** collaboration

April 13, 2017



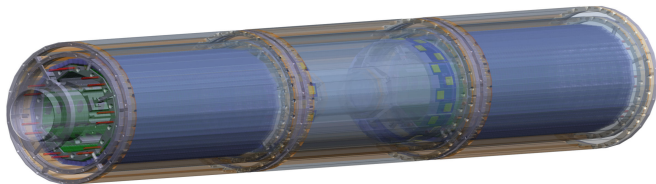
# Mu3e

**Mu3e** is an experiment to search for

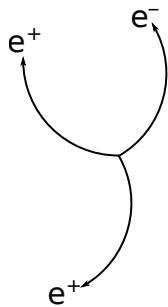
$$\mu^+ \rightarrow e^+ e^- e^+$$

A very rare decay.

- ▶ Hosted at Paul Scherrer Institut (PSI), Switzerland
- ▶ Collaborative effort amongst KIT, U Mainz, U Heidelberg, U Geneva, ETH Zürich and PSI. (UK institutes interested to join)



# Mu3e physics

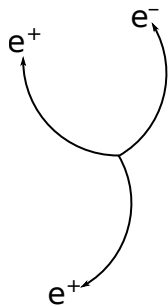


Signal

SM:  $< 1 \times 10^{-54}$



# Mu3e physics



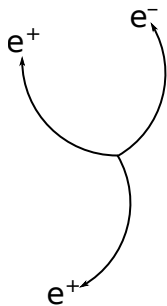
Signal

SM:  $< 1 \times 10^{-54}$

$$\sum p_i = 0$$



# Mu3e physics



Signal

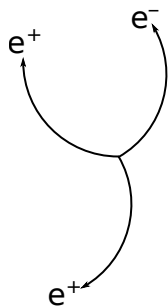
SM:  $< 1 \times 10^{-54}$

$$\sum p_i = 0$$

$$m_{\text{inv}} = m_\mu$$



# Mu3e physics



Signal

SM:  $< 1 \times 10^{-54}$

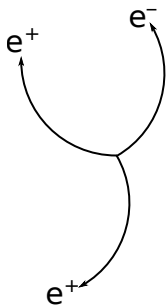
$$\sum p_i = 0$$

$$m_{\text{inv}} = m_\mu$$

$$t_i = t_j \quad \forall i, j$$



# Mu3e physics



Signal

SM:  $< 1 \times 10^{-54}$

$$\sum p_i = 0$$

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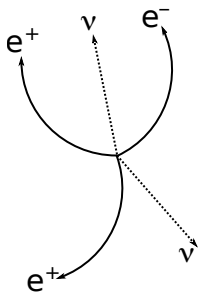
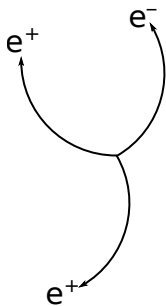
$$t_i = t_j \quad \forall i, j$$

common vertex





# Mu3e physics



Signal

SM:  $< 1 \times 10^{-54}$

$$\sum p_i = 0$$

$$m_{\text{inv}} = m_\mu$$

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common vertex

Radiative decay

SM:  $3.4 \times 10^{-5}$

$$\sum p_i \neq 0$$

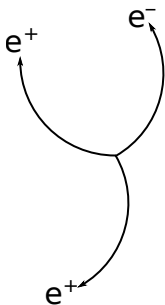
$$m_{\text{inv}} < m_\mu$$

$$t_i = t_j$$

common vertex

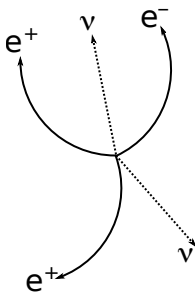


# Mu3e physics



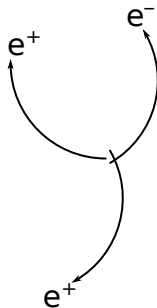
Signal  
SM:  $< 1 \times 10^{-54}$

$\sum p_i = 0$   
 $m_{\text{inv}} = m_\mu$   
 $t_i = t_j \quad \forall i, j$   
common vertex



Radiative decay  
SM:  $3.4 \times 10^{-5}$

$\sum p_i \neq 0$   
 $m_{\text{inv}} < m_\mu$   
 $t_i = t_j$   
common vertex

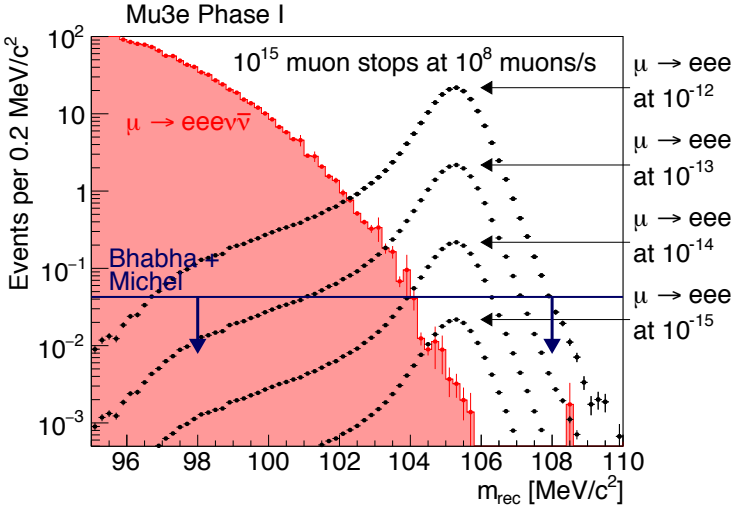


Accidental  
background

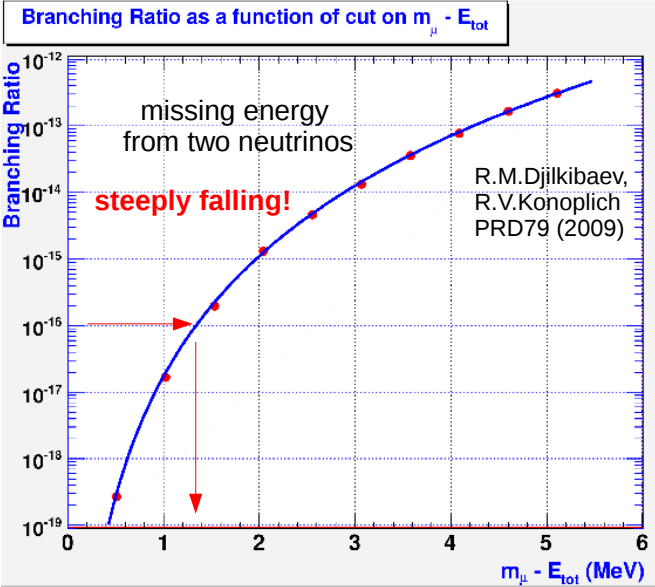
$\sum p_i \approx 0$   
 $m_{\text{inv}} \approx m_\mu$   
 $t_i \approx t_j$   
"bad vertex"



# Mu3e physics



# Mu3e physics



# Mu3e physics

Hence we need:

- ▶ Precise **tracking** (vertexing and momentum)
- ▶ Good **timing** (coincidence, event separation)

Note: Muons are stopped on a target. No bunch structure.

Interesting fact:

Last measurement done by SINDRUM in 1988 (!) at PSI  
(BR  $< 1 \times 10^{-12}$ , 95% C.L.). We want to reach BR  $< 1 \times 10^{-16}$



# Material effects



## Material effects

As you know, PDG gives you the following formula:

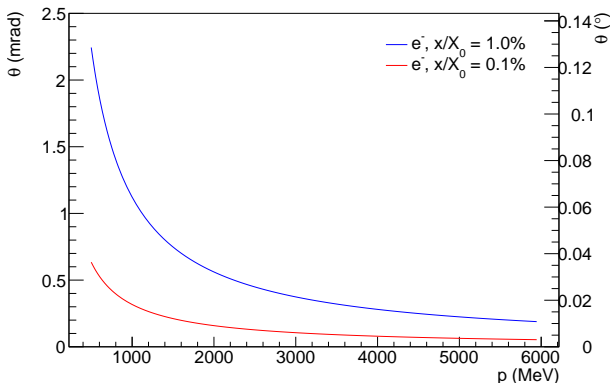
$$\theta = \frac{13.6 \text{ MeV}}{\beta c p} z \sqrt{x/X_0} [1 + 0.038 \ln(x/X_0)]$$

Allow me to illustrate that a bit...



# Material effects

Multiple scattering at LHC energies for an electron:



Thinner is a nice-to-have, but 1%  $x/X_0$  is ok.

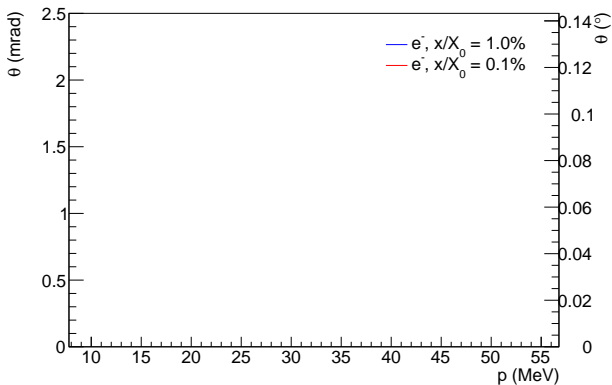
How does this look like at Mu3e energies?





# Material effects

Multiple scattering at Mu3e energies for an electron:

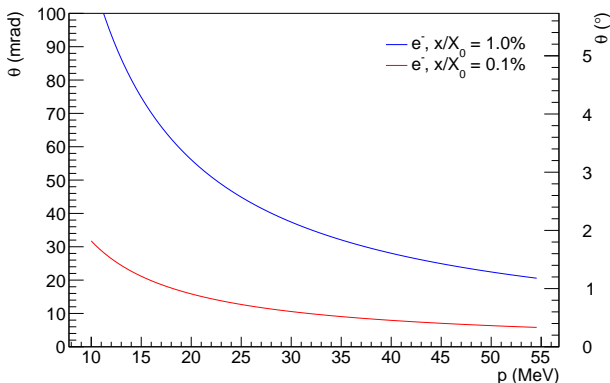


Ooops. Did we loose the curve...?



# Material effects

Multiple scattering at Mu3e energies for an electron:

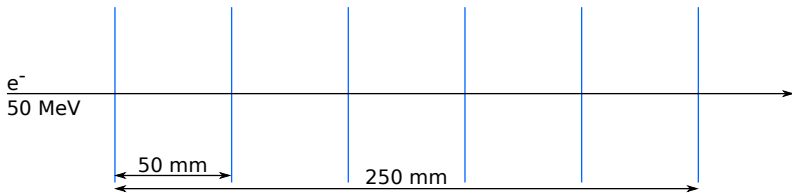


40 $\times$  scale increase. At low energies, matter matters.

What does that mean for a tracking device?



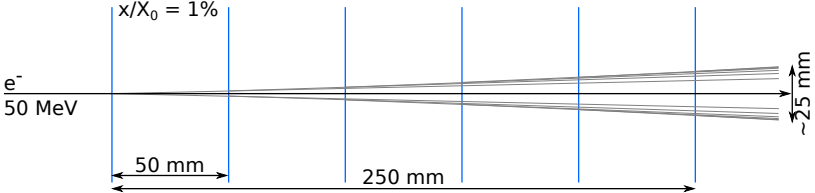
# Material effects



The stage is a simple toy tracker. Particle enters from the left.



# Material effects

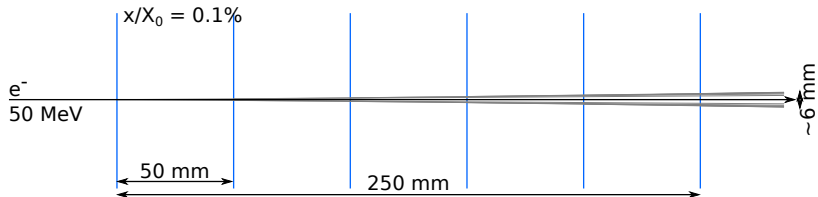


Let's take pixel layers with  $x/X_0 = 1\%$  each. Observe the substantial scattering at such low momenta.

Note: This sketch is to scale. Per-layer contribution added in quadrature.



# Material effects



Reducing  $x/X_0$  to 0.1% helps.



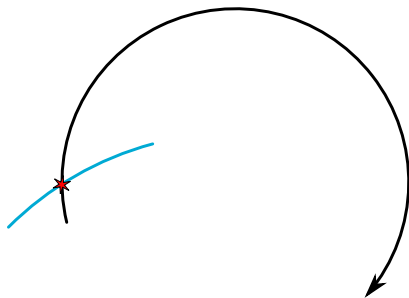
## Material effects

To measure the momentum, a  $B$ -field is present. Hence tracks are helices.

How can we take this to our advantage?



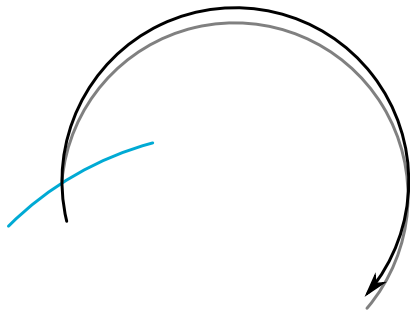
## Material effects



Assume a particle in a B-field scatters at some detector layer (blue)



## Material effects

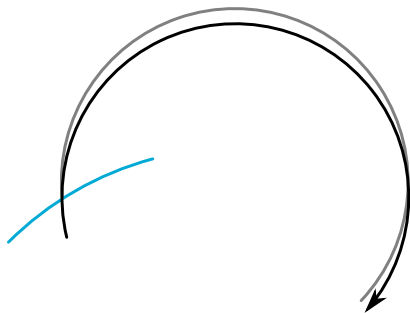


Let it scatter to the right...





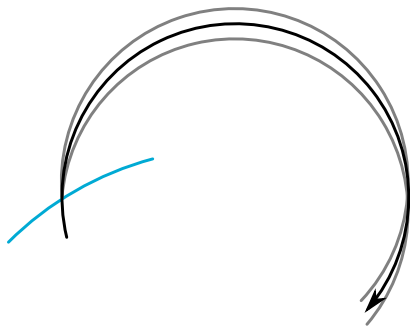
## Material effects



...or to the left...



## Material effects

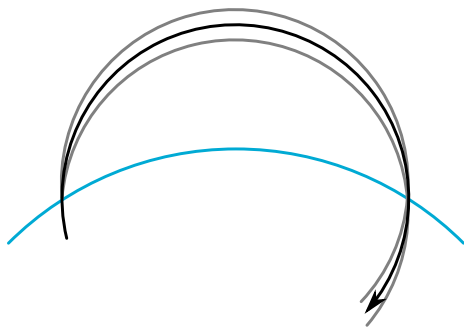


Observe the magic point where the scattering effect cancels.

It is after a half turn.



## Material effects



Choose radii wisely for best performance.



# Material effects

Ok, now you know our basic ingredients to do our job:

- ▶ Optimise the radii of the detector
- ▶ Minimise the material per detector layer



# Material effects

Ok, now you know our basic ingredients to do our job:

- ▶ Optimise the radii of the detector
- ▶ Minimise the material per detector layer
  - ▶ Pixel sensor: MUPIX
  - ▶ Mechanics
  - ▶ Readout
  - ▶ Cooling

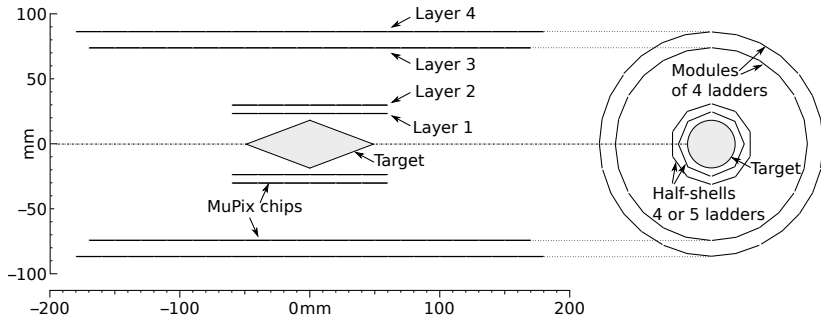


# Detector geometry



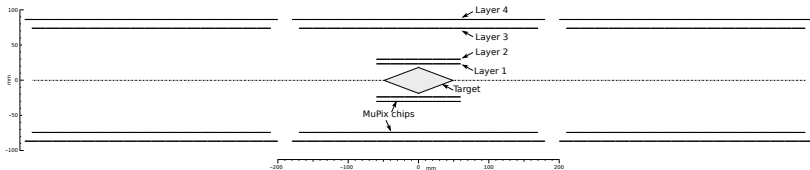
# Detector geometry

Monte-Carlo studies were performed. This led to the following geometry: ( $B = 1\text{ T}$ ,  $x/X_0 = 0.1\%$  per layer):



# Detector geometry

Monte-Carlo studies were performed. This led to the following geometry: ( $B = 1 \text{ T}$ ,  $x/X_0 = 0.1\%$  per layer):



Identical copies of layers 3/4 will extend the detector in z to extend coverage for recoiling tracks.





## Detector geometry

Let's put this into perspective:

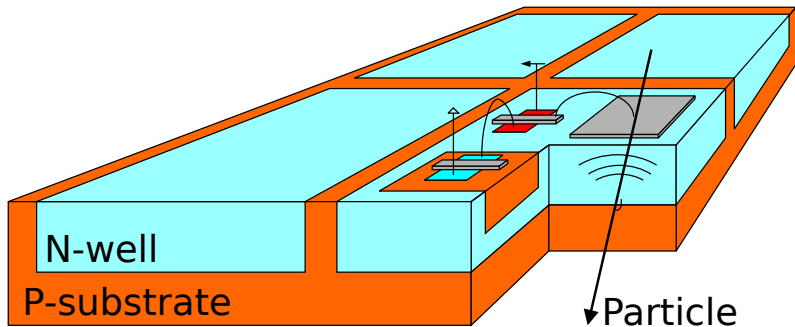
Experiment	Ref.	$x/X_0$ per layer [%]
ATLAS IBL	[1]	1.9
CMS Phase I	[2]	1.1
ALICE upgrade	[3]	0.3
STAR	[4]	0.4
Belle-II IBL	[5]	0.2
<b>Mu3e</b>		<b>0.1</b>



# Pixel sensor



## Pixel sensor

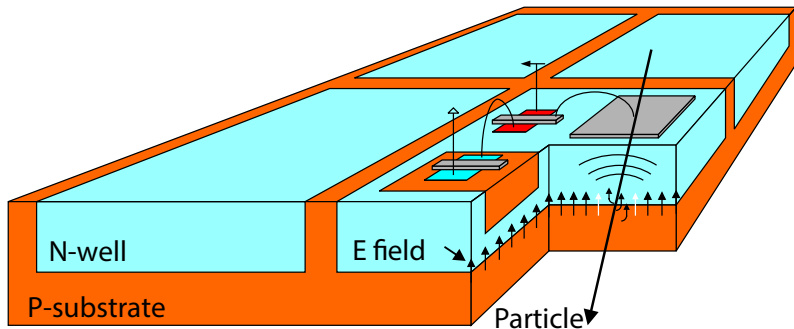


Ivan Perić, Nucl.Instrum.Meth. A582 (2007) 876-885

- ▶ Analog pixel electronics floats on sensor diode: **monolithic design**



# Pixel sensor

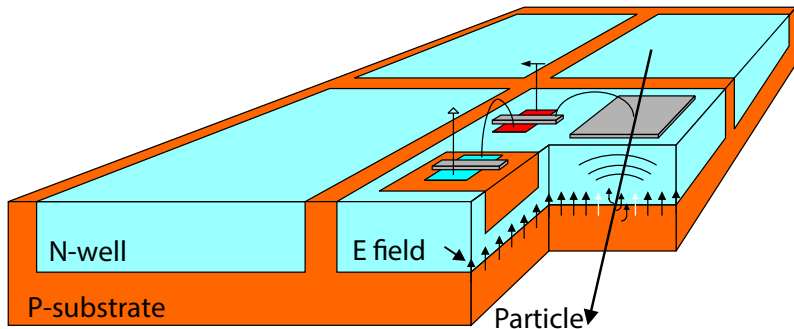


Ivan Perić, Nucl.Instrum.Meth. A582 (2007) 876-885

- ▶ Analog pixel electronics floats on sensor diode: **monolithic design**
- ▶ Industry standard HV CMOS process allows for E-field across diode  $\Rightarrow$  **depletion zone** of about  $15\ \mu\text{m}$   
 $\rightarrow$  drift dominates.



## Pixel sensor

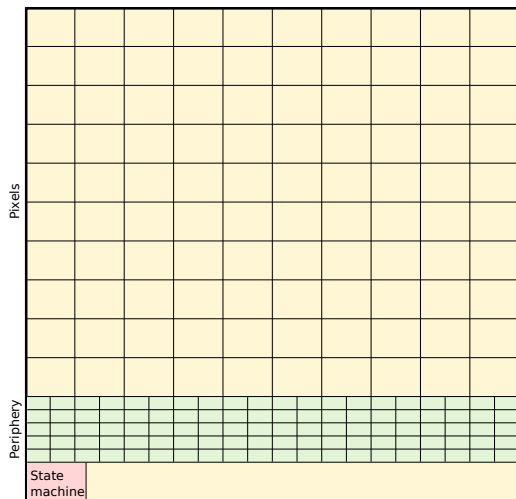


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The MUPIX chip is such a **depleted MAPS**, thinned to  $50\ \mu\text{m} \approx 0.05\% \ x/X_0$



# MUPIX principle

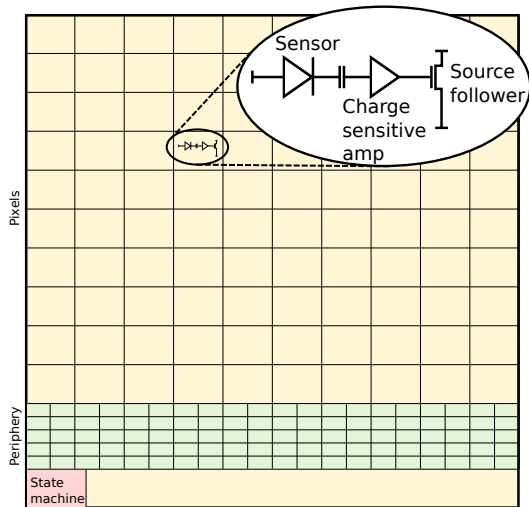


The MUPIX 7 chip is a DMAPS chip and consists of

- ▶ Active pixel matrix
- ▶ Mirror pixel in periphery
- ▶ State machine
- ▶ Plus support circuitry (VCO, PLL, etc., not shown)



# MUPIX principle

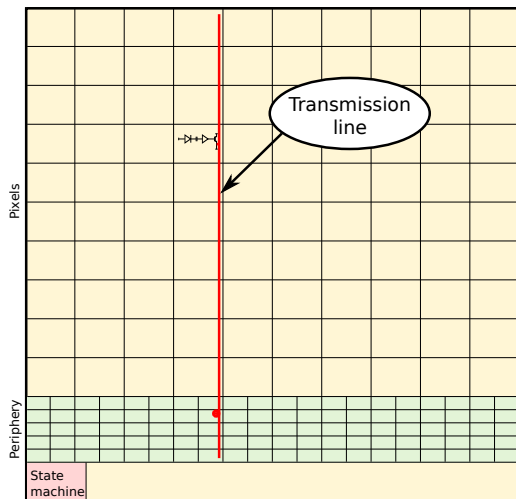


The **analog cell** has

- ▶ a reverse biased sensor ( $\approx -85\text{ V}$ )
- ▶ a charge sensitive amplifier
- ▶ a source follower to drive...



# MUPIX principle

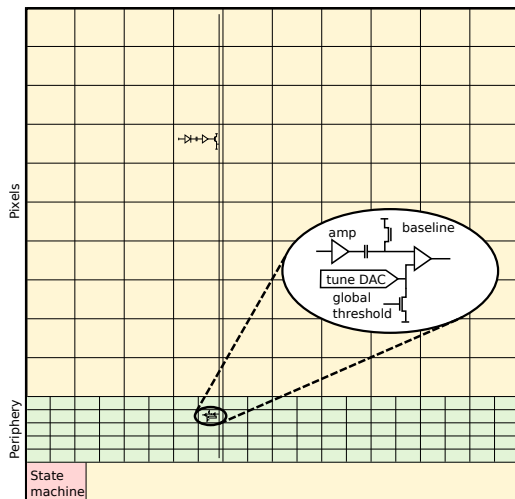


the **transmission line** to the corresponding partner cell in the periphery.





# MUPIX principle



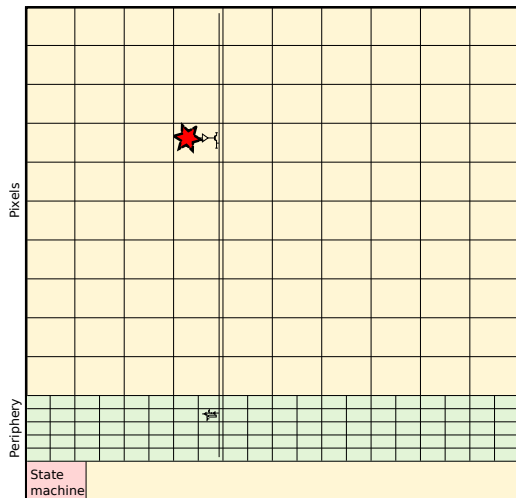
In the **partner cell**, the transition from analog to digital happens:

- ▶ an amplifier
- ▶ a comparator
- ▶ tuning capabilities

This separation protects the analog cell from digital crosstalk.



# MUPIX principle

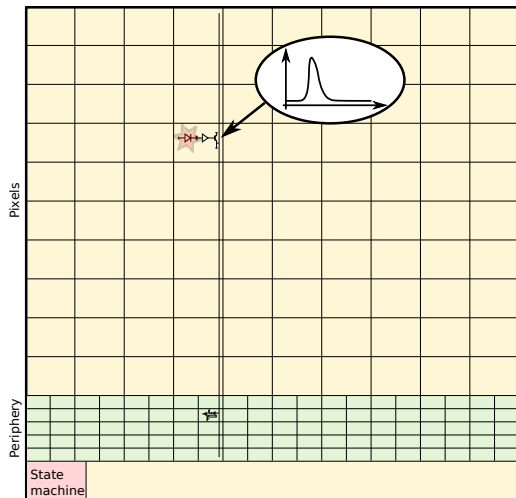


All this results in a  
**non-shuttered,**  
**self-triggered**  
monolithic pixel chip.

Upon a hit. . .



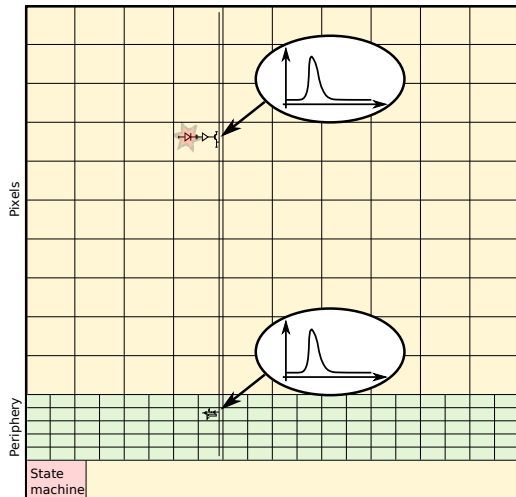
# MUPIX principle



... the charge sensitive amplifier sends a pulse proportional to the charge...



# MUPIX principle

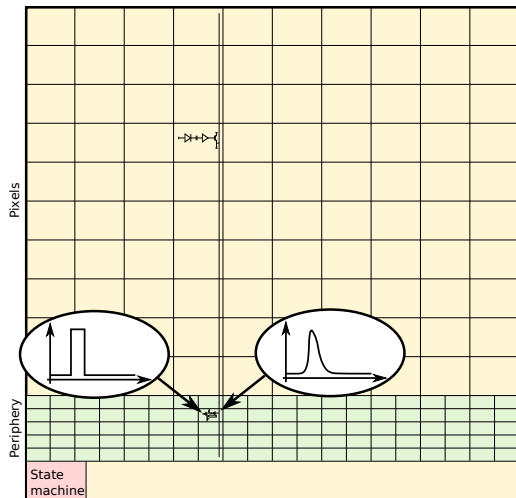


... across the  
transmission line ...

BTW: every pixel has its own  
transmission line



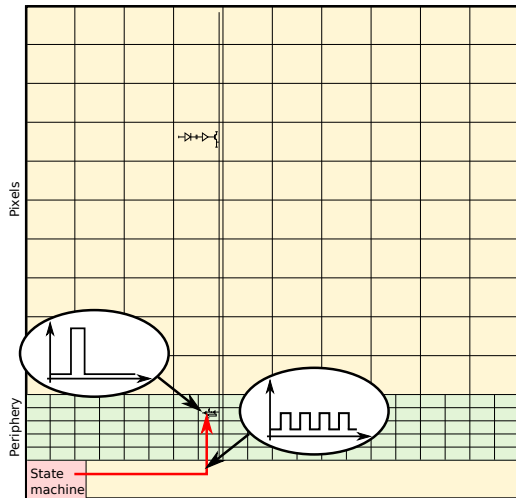
# MUPIX principle



... and the comparator in the periphery creates a digital signal, if above threshold.



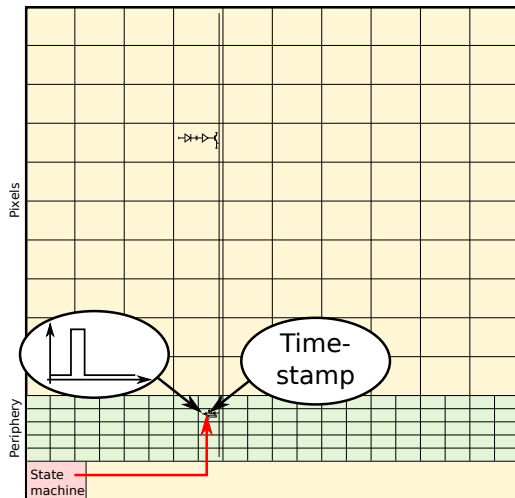
# MUPIX principle



The state machine provides clock for a counter. . .



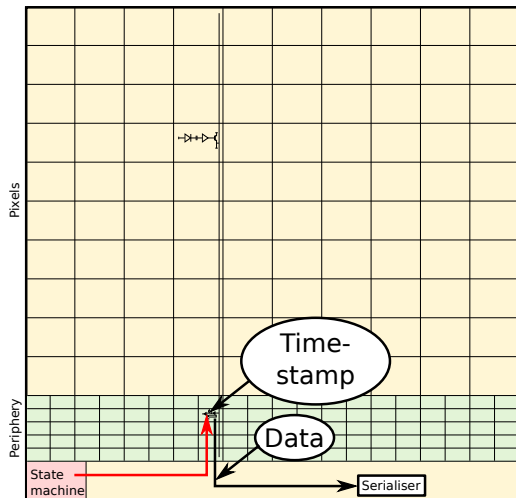
# MUPIX principle



... in order to create a timestamp.



# MUPIX principle

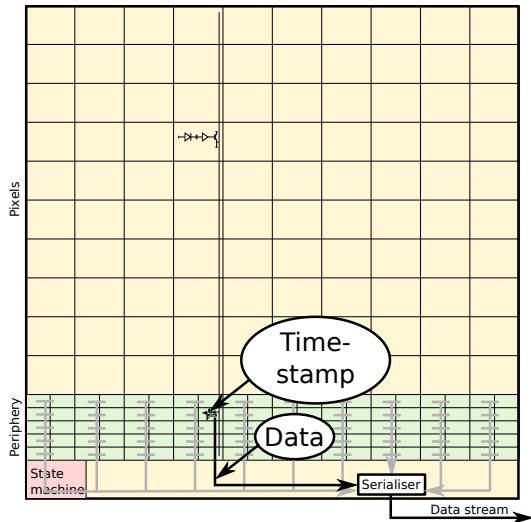


The data (pixel location, timestamp) goes through the serialiser...

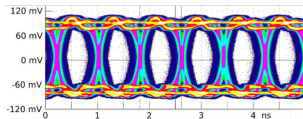




# MUPIX principle



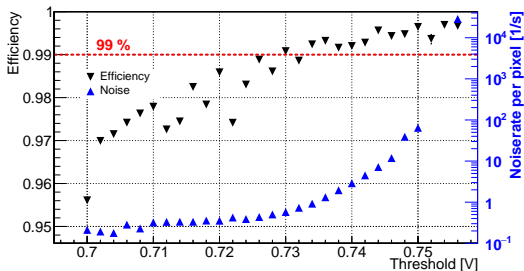
... and all the data is transmitted to the data stream at 1.25 Gbit/s.



# MUPIX7 performance



# MUPIX7 performance

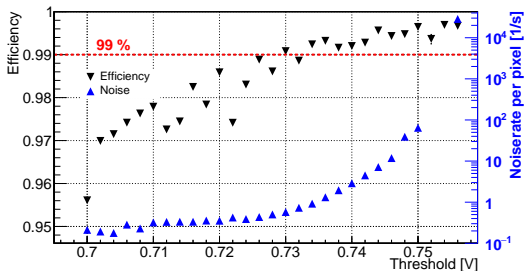


Efficiencies are very good at settings where power dissipation is  $300 \text{ mW/cm}^2$   
Beam:  $4 \text{ GeV } e^+$  (DESY)

Top:  $90^\circ$  incidence

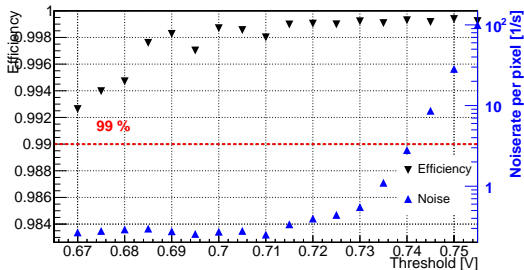


# MuPIX7 performance



Efficiencies are very good at settings where power dissipation is 300 mW/cm<sup>2</sup>  
Beam: 4 GeV e<sup>+</sup> (DESY)

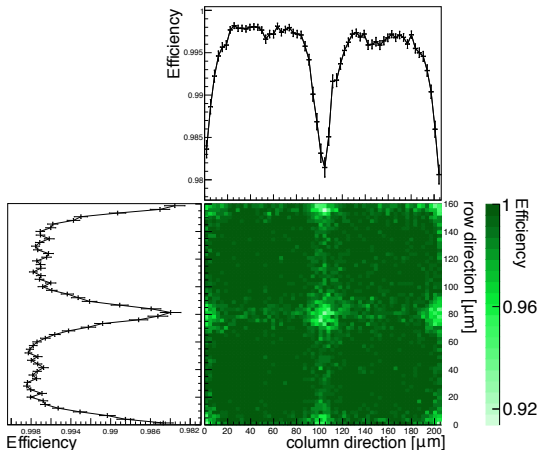
Top: 90° incidence



Bottom: 30° incidence  
(i.e. sensor tilted by 60°)



# MUPIX7 performance



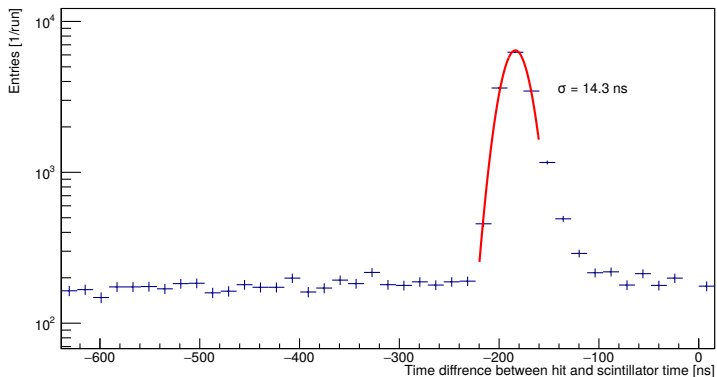
Sub-pixel efficiency study using EUDET telescope at DESY.

Threshold intentionally adjusted to 735 mV for lower overall efficiency to enhance effects.  
Bias: 85 V.



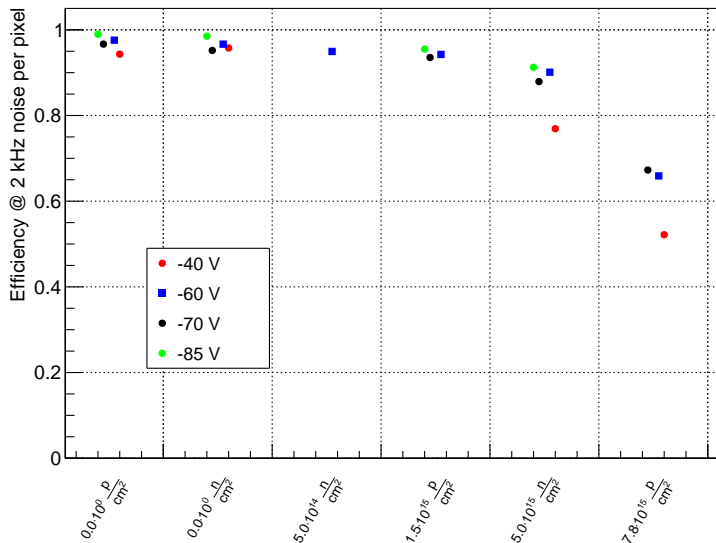
# MuPIX7 performance

Time resolution at same power settings are very good:



# MUPIX7 performance

MUPIX7 is quite radiation hard:



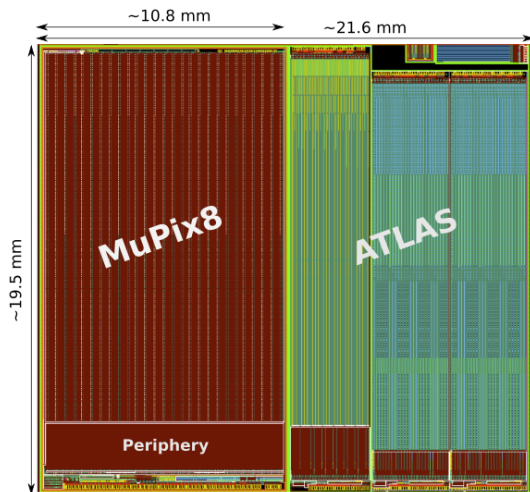
# MUPiX8

- ▶ Results shown were from MUPiX7.
- ▶  $3 \times 3 \text{ mm}^2$  active area, fully functional chip, e.g. used for making telescopes
- ▶ MUPiX8 chip currently in production, expected next week
- ▶ Pixel size  $80 \times 80 \mu\text{m}^2$  achieved
- ▶ Higher resistivity substrate:  $80 \Omega \text{ cm}$  instead of  $20 \Omega \text{ cm}$
- ▶ Power reduction expected
- ▶ Timewalk correction using time-over-threshold





# MuPIX8



MuPIX8 currently in production

The chip will almost match full target size for the experiment but active area is smaller.

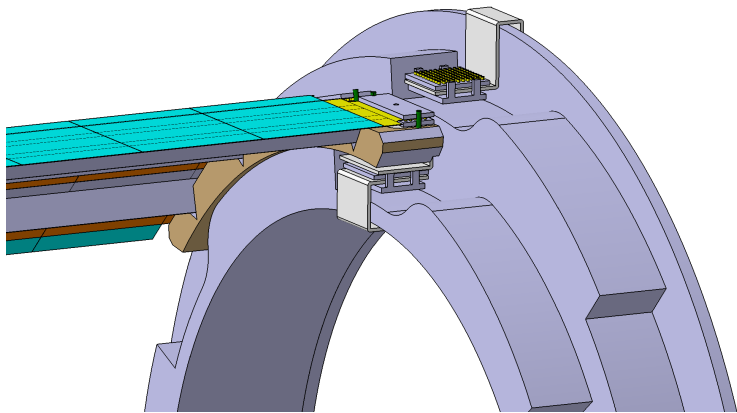
MuPIX7 for comparison:



# Pixel detector mechanics



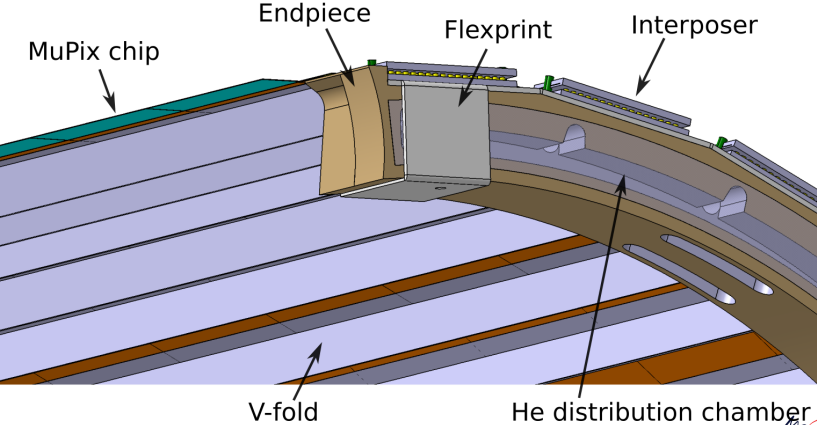
## Pixel detector mechanics



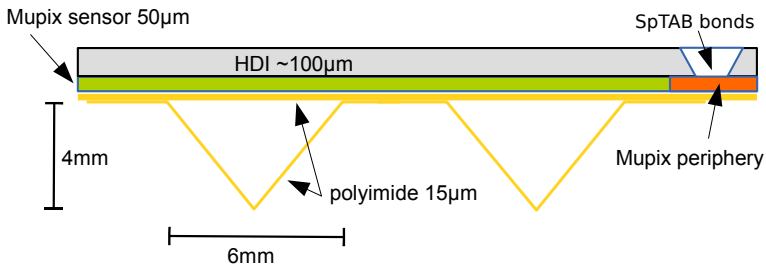
Shown: One layer 3 module inserted.



# Pixel detector mechanics



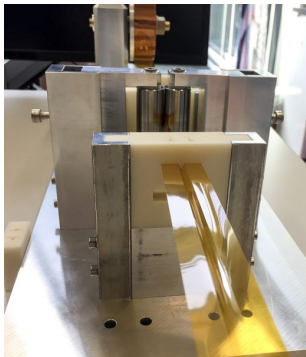
# Pixel detector mechanics



Radiation length:  $\approx 0.1\% x/X_0$



# Pixel detector mechanics



We developed a machine to make V-folds into polyimide film.

Film thickness: 25  $\mu\text{m}$

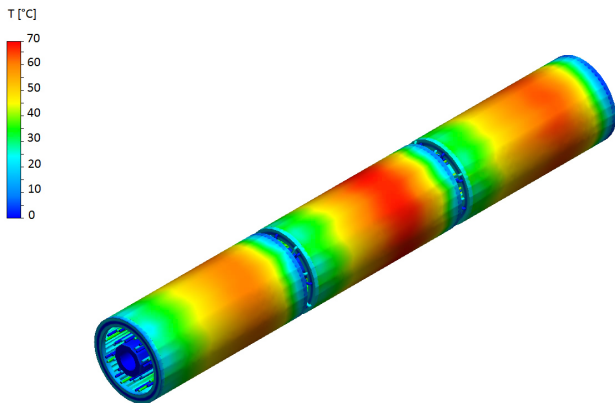


# Pixel detector cooling



## Pixel detector cooling

The V-folds not only give mechanical strength but are used for cooling with gaseous Helium. Simulation results:



$$\frac{P}{A} = 400 \text{ mW/cm}^2 \quad \text{All pixel layers, no target or SciFi}$$
$$v_{\text{global}} = 0.5 \text{ m/s} \quad v_{\text{gap}} = 10 \text{ m/s} \quad v_{\text{v-fold}} = 20 \text{ m/s}$$

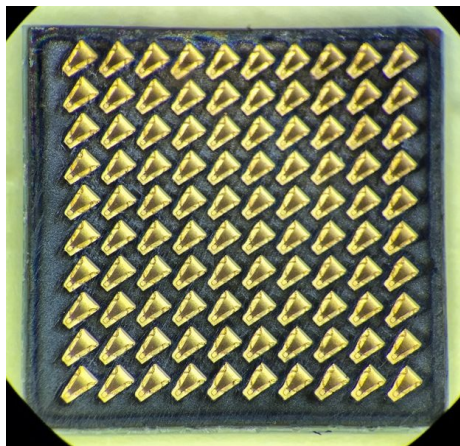




# Electrical connectivity



## Electrical connectivity



Interposer Samtec Z-Ray

Pitch: 0.8 mm

Model	Compressed height
ZA8H	0.3 mm
ZA8	1 mm

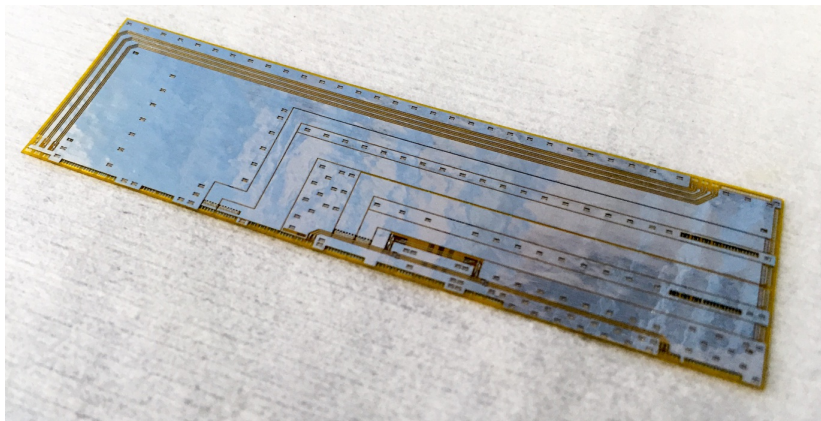
Industry standard component,  
cost 5–10 € a piece.

Allows use of flexes instead of  
cables.



## Electrical connectivity

For the HDI, we go for aluminium/polyimide:



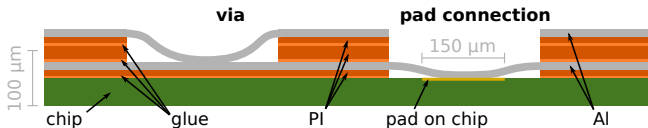
Made by LTU (Kharkiv, Ukraine), used in e.g. ALICE pixels for power strips.



# Electrical connectivity

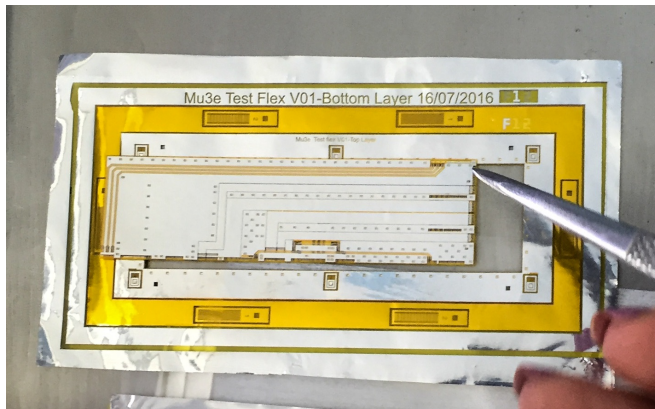
Process steps:

1. Starts with **aluminium foil**, thickness of  $12.5\ \mu\text{m}$  or  $25\ \mu\text{m}$
2. Create **polyimide** layer: spinning of primer, drying and polymerisation
3. **Photolithographic etching** of aluminium traces
4. **Etching** of polyimide
5. **Glueing** of layers to form a stack
6. Additional polyimide foil for added dielectric, if needed
7. **Tab bonding**. Bonds aluminium traces directly, no wire.

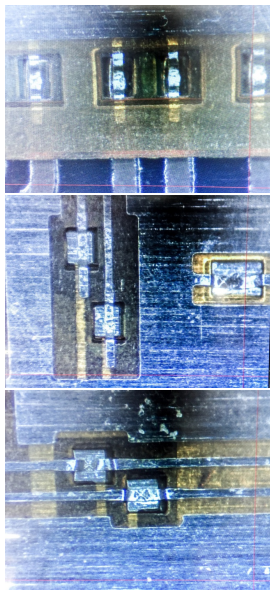


# Electrical connectivity

Flex when cut out from panel:



# Electrical connectivity



Some bond examples under a microscope:

**Top:** Bond to PCB

PCB is visible on the bottom edge of the image

**Center:** Connecting layers (via)

The visible misalignment is a shrinking effect from polymerisation at 350 °C. One trace needs to be wider to absorb the tolerances.

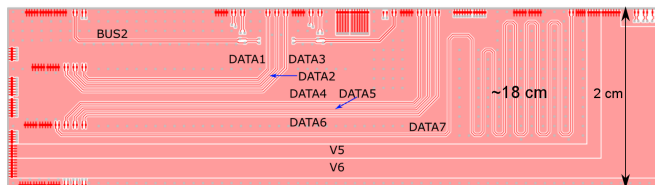
**Bottom:** Vias for a bus



# Electrical connectivity

Bit error rate tests:

Rate Gb/s	Line	BER (95% C.L.)
1.25	all	$\leq 5.5 \times 10^{-13}$
2.5	all	$\leq 5.9 \times 10^{-13}$
3.2	short ones 18 cm	$\leq 4.1 \times 10^{-13}$
4.0	all	fail



Will this pixel detector work?

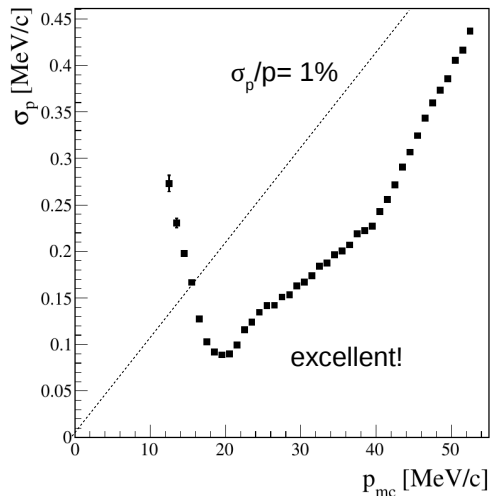




# Will this pixel detector work?

We will see.

But our simulation lets us expect good performance.



# Summary

- ▶ The **Mu3e** experiment is a challenging effort.
- ▶ Novel technologies for  $0.1\% x/X_0$  (per layer):
  - ▶ Thinned chip ( $50\ \mu\text{m}$ )
  - ▶ Support structure made of polyimide film
  - ▶ HDI made of polyimide and aluminium, SpTAB bonding
  - ▶ Small sized industry-standard parts (interposer)
- ▶ **MUPix7** is a full working monolithic DMAPS chip  
NB: Our workhorse since about 2 years
- ▶ Clever detector layout mitigates multiple scattering effects (half-turns)
- ▶ Note: We have additional timing detectors, not covered for brevity

We'd like to acknowledge generous support at test beam facilities at **DESY** Hamburg (Germany), a member of the Helmholtz Association (HGF), **PSI**, **MAMI** and **CERN**.



# References

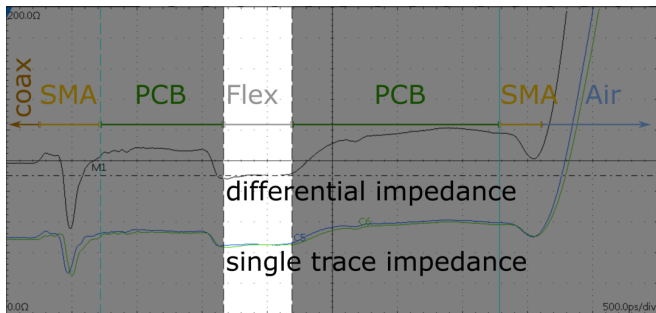
- [1] ATL-INDET-PROC-2015-001
- [2] CERN-LHCC-2012-016, CMS-TDR-11
- [3] arXiv:1211.4494v1
- [4] G. Contin, talk at PIXEL2016
- [5] C. Koffmane, talk at PIXEL2016



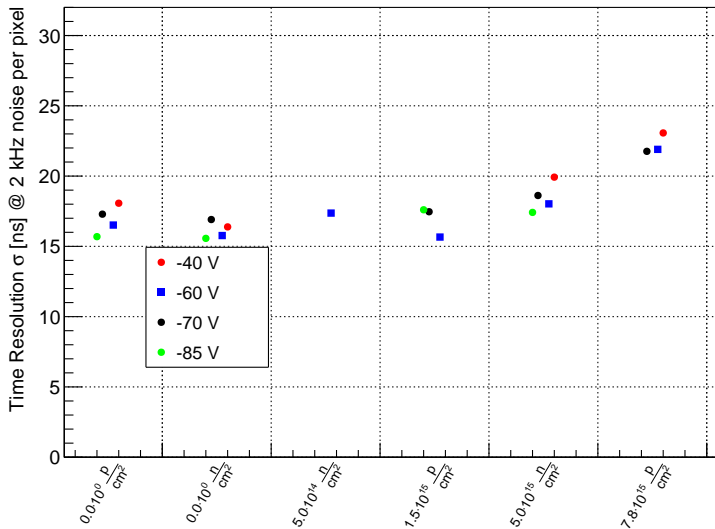
ENCORE



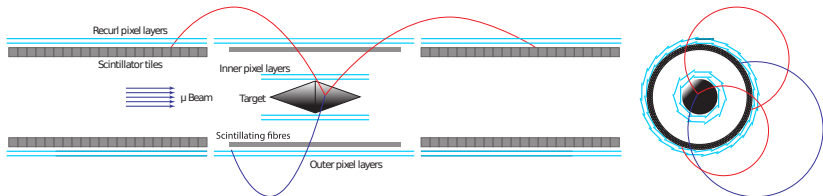
## Time domain reflectometry of LTU HDI differential lines



MUPix7 is quite radiation hard:



## The Mu3e experiment, Phase-I configuration:



### Key requirements:

- ▶ High rate:  $10^8$  muon stops on target per second
- ▶ Time resolution (pixels): 20 ns
- ▶ Vertex resolution: about 200  $\mu\text{m}$
- ▶ Momentum resolution: about 0.5 MeV
- ▶ Low material budget: 1‰  $X_0$  per pixel layer



This translates to the following chip requirements:

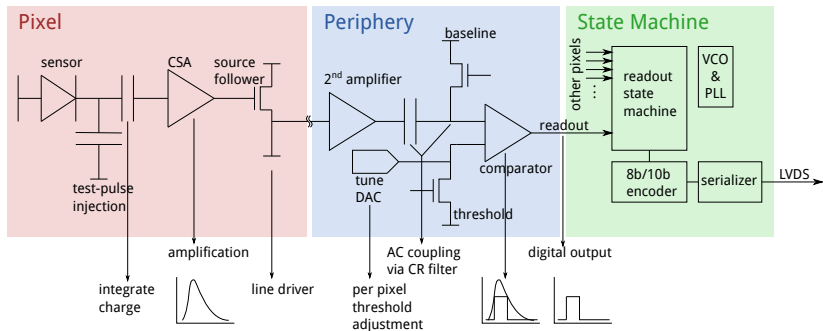
	Requirement	MuPix7	Conclusion
Pixel size ( $\mu\text{m}^2$ )	$80 \times 80$	$103 \times 80$	→ MuPix8
Sensor size ( $\text{mm}^2$ )	$20 \times 20$	$3 \times 3$	→ MuPix8
Thickness ( $\mu\text{m}$ )	50	50	ok
Bandwidth per chip (Gbit/s)	$3 \times 1.25$	$1 \times 1.25$	→ MuPix8
Hit rate ( $\text{MHz}/\text{cm}^2$ )	2.5	5.5	ok
Spatial resolution ( $\mu\text{m}$ )	$< 100$	$103/\sqrt{12}$	ok
Time resolution (ns)	$< 20$	11	ok
Efficiency (%)	$> 99$	99.5	ok
Power ( $\text{mW}/\text{cm}^2$ )	$\leq 300$	$\leq 300$	ok

More on material budget and cooling requirements in the talk by S. Dittmeier tomorrow.

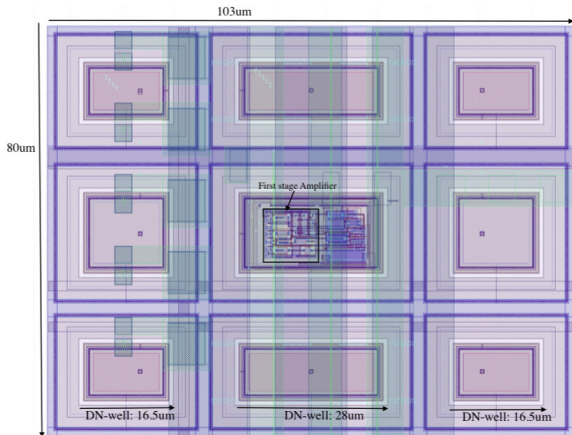




# MuPix7 block diagram



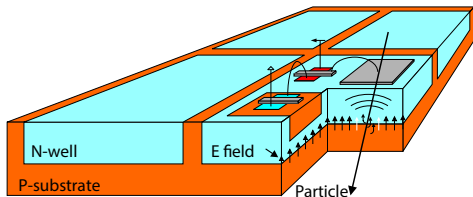
# Pixel unit cell



Observe the  $3 \times 3$  diode design. The analog electronics is on top of the center diode.



We use a High-Voltage **Monolithic** Pixel Sensor (HV-MAPS):



- ▶ HV CMOS technology used automotive and audio industry
- ▶ Reverse biasing up to  $-85\text{ V}$  routinely ( $-93\text{ V}$  tested)
- ▶ Thinning to  $50\text{ }\mu\text{m}$  possible and done
- ▶ Self-triggered, continuous readout (no shutter, darkframe etc.)



Several generations of MuPix chips realised:

Version	Year	Main features
MuPix1/2	2011/12	Analog prototype chips
MuPix3	2013	First digital readout
MuPix4	2013	Working digital readout and timestamping
MuPix6	2014	Readout bugs fixed, double-staged preamplifier
MuPix7	2014	Fast serial readout (1.25 Gbit/s), internal state machine, internal clock generation

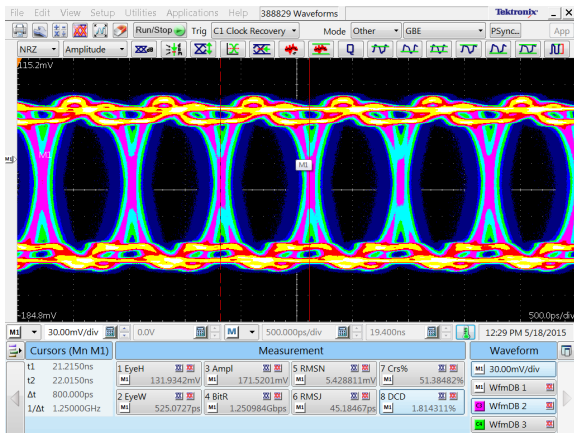
MuPix3–7 have an active area of  $3.2 \times 3.2 \text{ mm}^2$ , chip size is  $\approx 3.5 \times 4 \text{ mm}^2$ .

MuPix7 pixel size:  $103 \times 80 \mu\text{m}^2$ , making up a  $32 \times 40$  matrix.



# MuPix7: Fast serial readout signal

Signal quality of fast readout signal at 1.25 Gbit/s is very good:

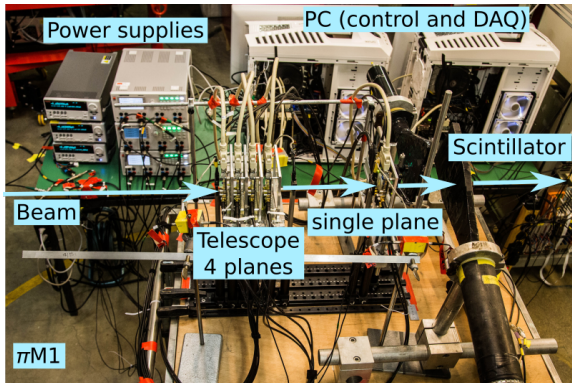


Clock is at 125 MHz, high speed clock internally generated.  
Measured on test bench using chip on standard test board.



# MuPix7: Telescope

Telescope setup, e.g. at PSI  $\pi$ M1:



Telescope with 4 MuPix7 planes, 1 plane elected as DUT

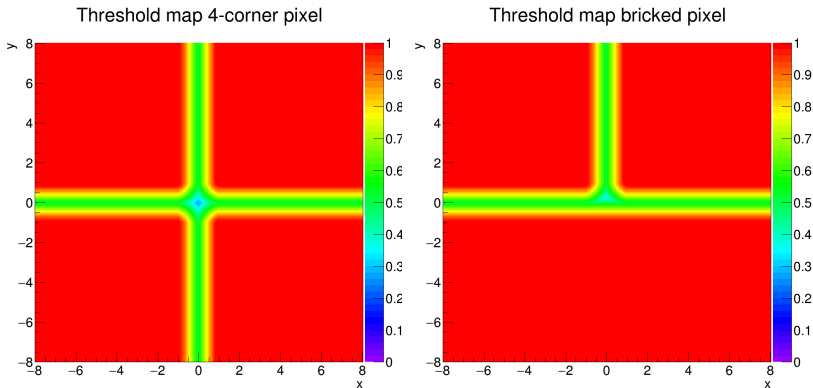


## Integration studies:

- ▶ Build a **prototype of an inner layer module**:  $2 \times 3$  chips.
- ▶ Studies with different **flex print options** (1 signal layer, 2 power layers):
  - ▶ **Traditional**: 3 layer copper: conservative but reliable, too much material for final design ( $2\% X_0$ )  
⇒ Electrical integration studies
  - ▶ **Baseline**: 1 copper layer (signal), 2 aluminium layers (power/GND), sandwiched ( $1.2\% X_0$  possible)  
⇒ Copper technology has nice spacing ( $10 \mu\text{m}$  feature sizes available)
  - ▶ **Optimal**: 2 layer Aluminium, if necessary with one additional layer. Uses pad-bonding ( $1\% X_0$ )  
⇒ Technology implemented by ALICE. Riskier approach, new territory but promising.



## Efficiency of 4-corners vs. bricked layout

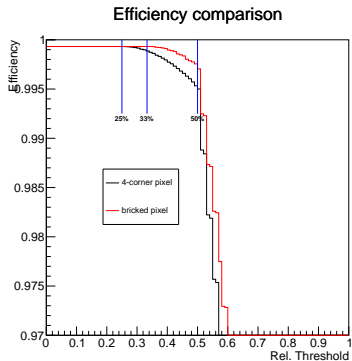


ToyMC for a sample charge cylinder with unit radius. Shows fraction of charge seen in the pixel under the impact center. Range  $[-8, 8]$  corresponds to a pixel of  $80 \mu\text{m}$  size and  $5 \mu\text{m}$  charge radius.





# Efficiency of 4-corners vs. bricked layout



Efficiency vs. threshold (arbitrary units).

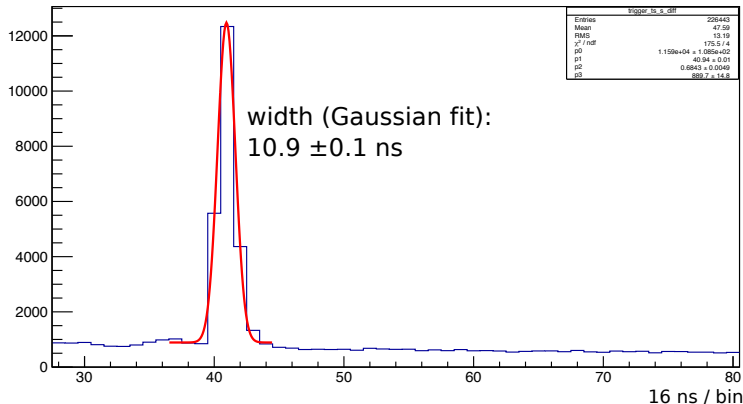
A 4-corner pixel starts to lose hits if threshold is above 25% of full charge generated.

Bricked pixel gives some headroom.



# MuPix7: Time resolution

Trigger TimeStamp Difference Distribution for Single Events



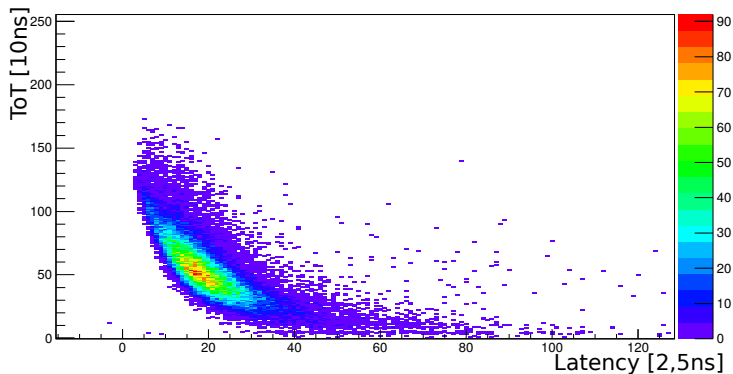
Technique: Scintillator coincidence signal as reference. Plotted timestamp scintillator – timestamp MuPix7 (Settings used: 1000 mW/cm<sup>2</sup>)



# MuPix7: Time resolution

Timewalk:

ToTime Trigger Difference versus ToT



ToT can be measured for one selected pixel. Anticorrelation clearly visible (Settings used: 1000 mW/cm<sup>2</sup>).



## MuPix7: DAQ performance

- ▶ **CERN SPS:** MuPix7 run successfully at rates of about **500 kHz** (on chip)<sup>1</sup>.
  - ▶ Speed limit of MuPix7 telescope: about **1 million tracks per second**. Can be increased by optimising DMA transfer.
  - ▶ Fast data transfer and reconstruction demonstrated (simulation and at DESY).
    - ▶ Hits sorted on FPGA
    - ▶ Transferred to memory using DMA
    - ▶ Processed in GPU for track reconstruction.
- 300 MB/s** with simulated data achieved<sup>2</sup>.

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<sup>1</sup>Exact rate determination difficult due to saturated DAQ.

<sup>2</sup>This is processing speed, not write to disk.



How does the insertion mechanism work?

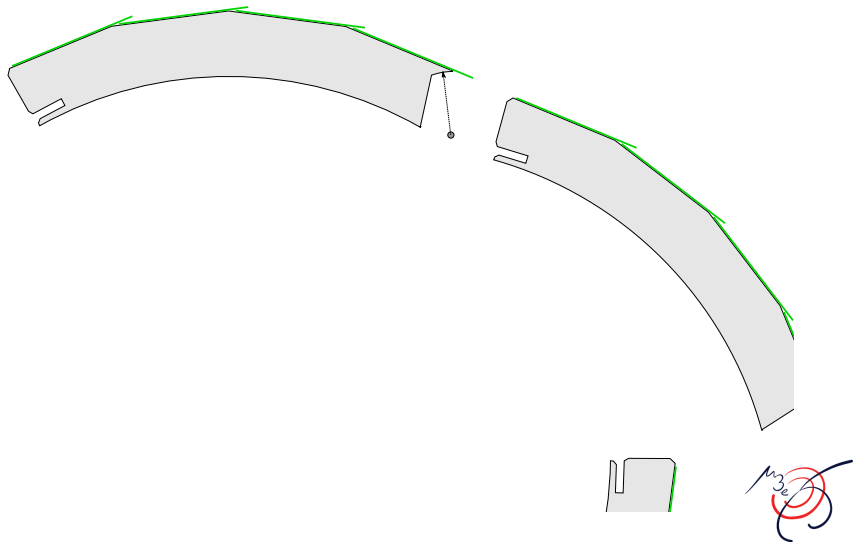
Next few slides show an animation.

Mechanically tested using 3d printed model.

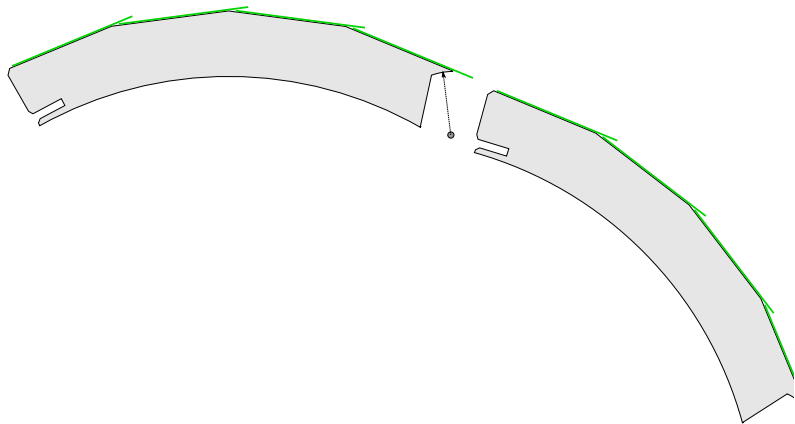
Note: Endpiece shape more matured meanwhile.



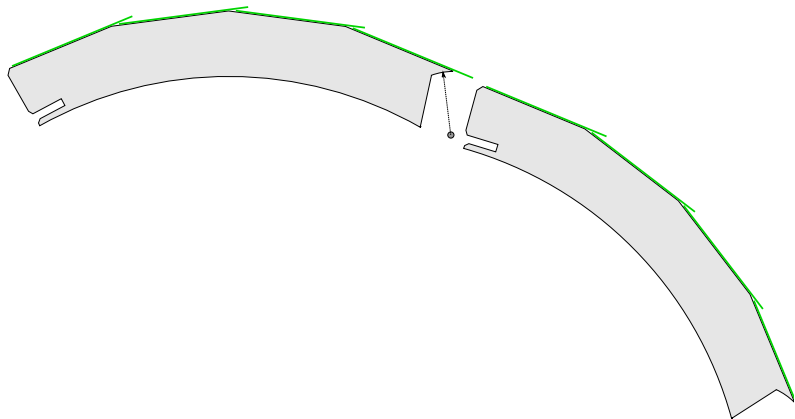
# MuPix7



# MuPix7

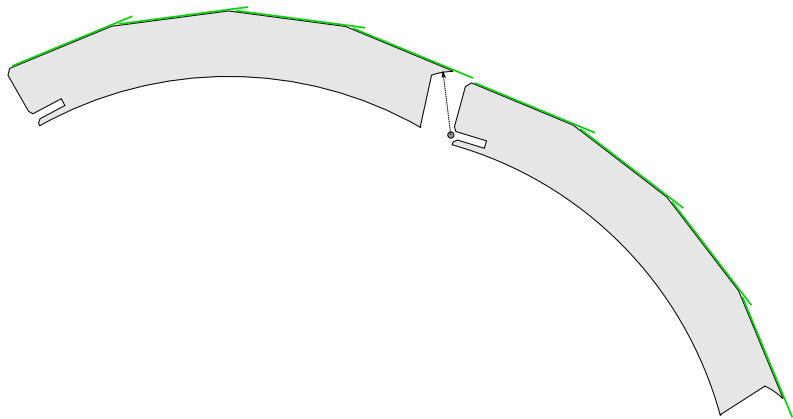


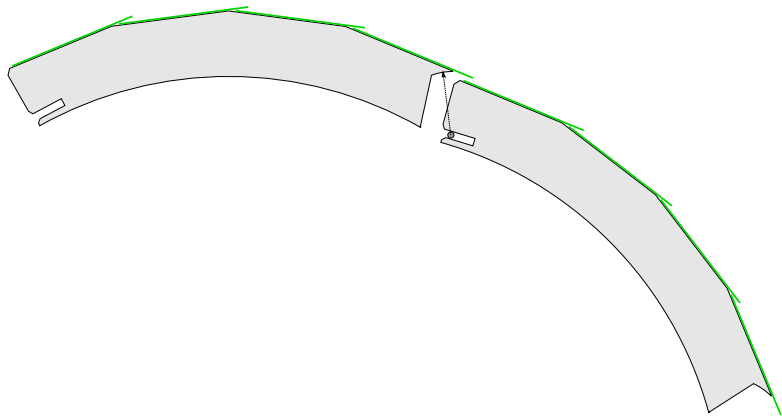
# MuPix7



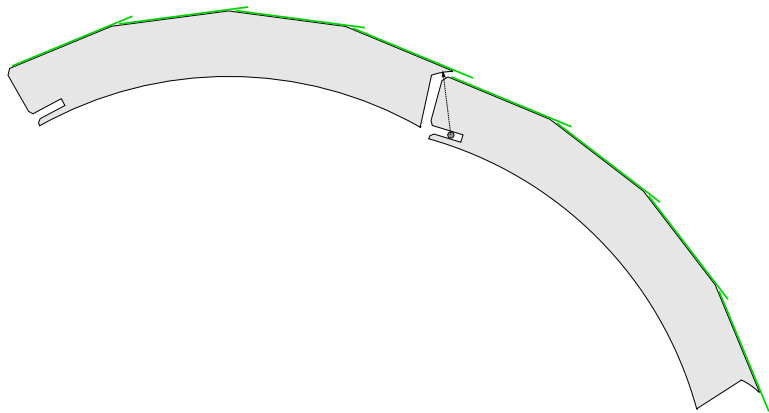


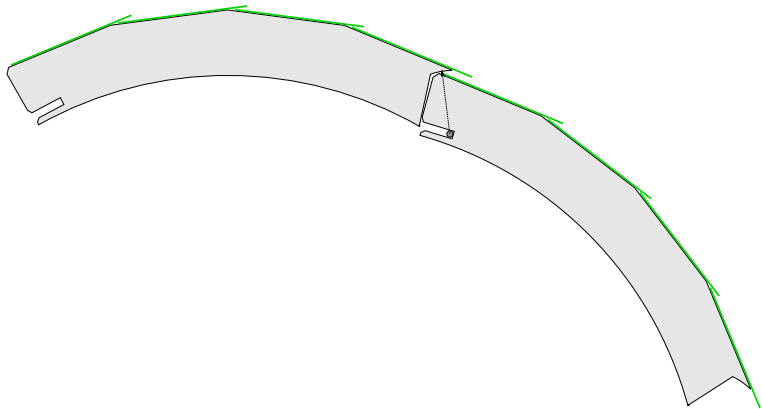
# MuPix7



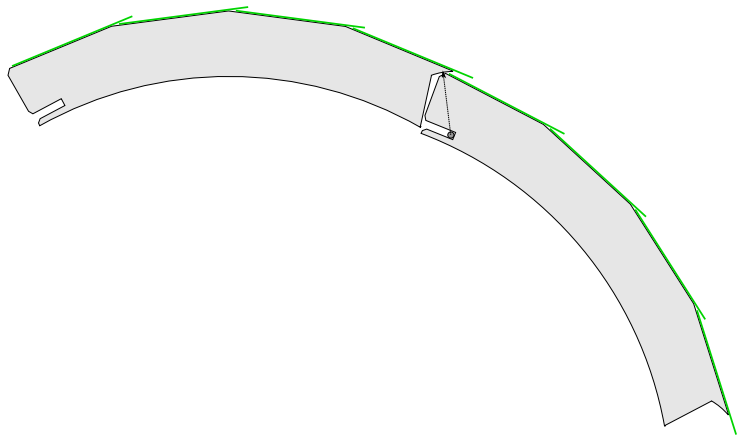


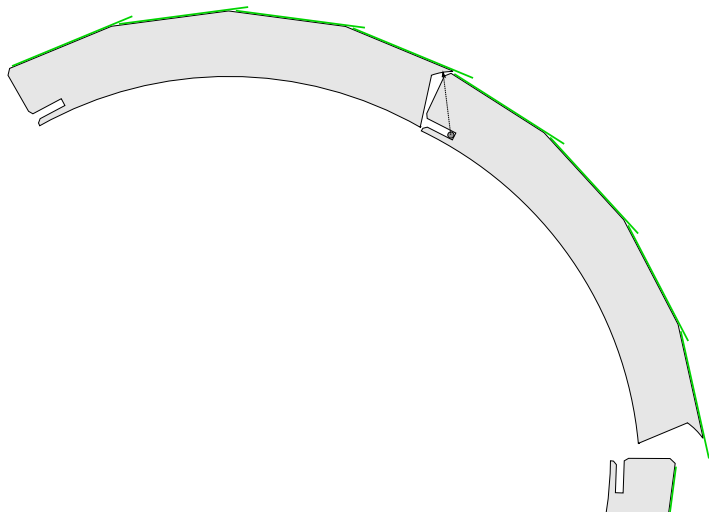
# MuPix7





# MuPix7





# MuPix7

