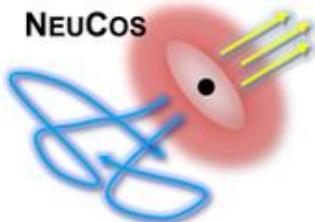


# Cosmogenic neutrinos challenge the proton dip model

NEUCOS-Workshop

JH, Boncioli, Bustamante, Winter  
ApJ 825:122 (2016) [arXiv:1512.05988]

Jonas Heinze  
THAT  
Zeuthen, 1.6.2017



European Research Council

Established by the European Commission

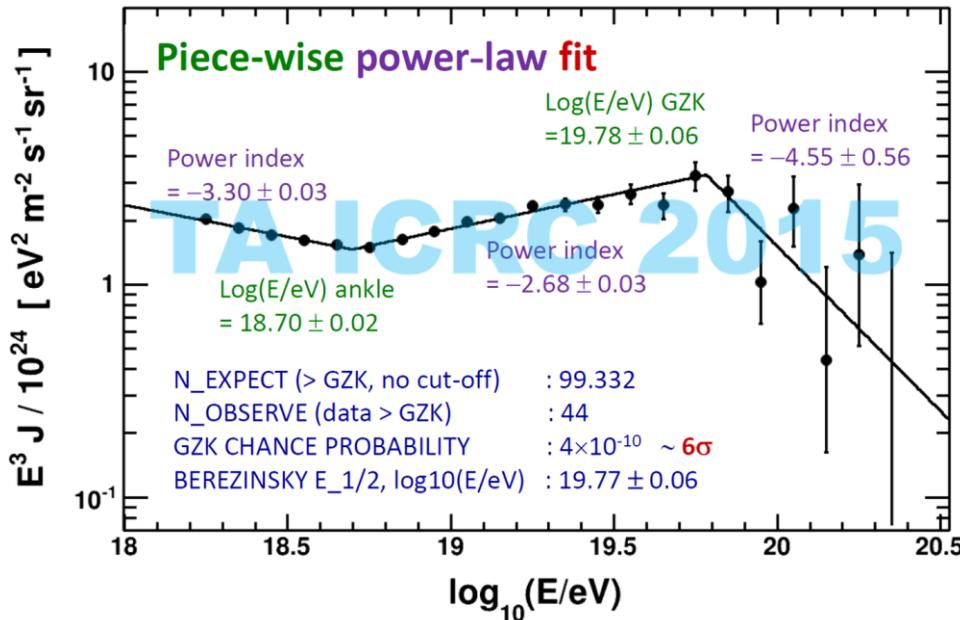
Supporting top researchers  
from anywhere in the world



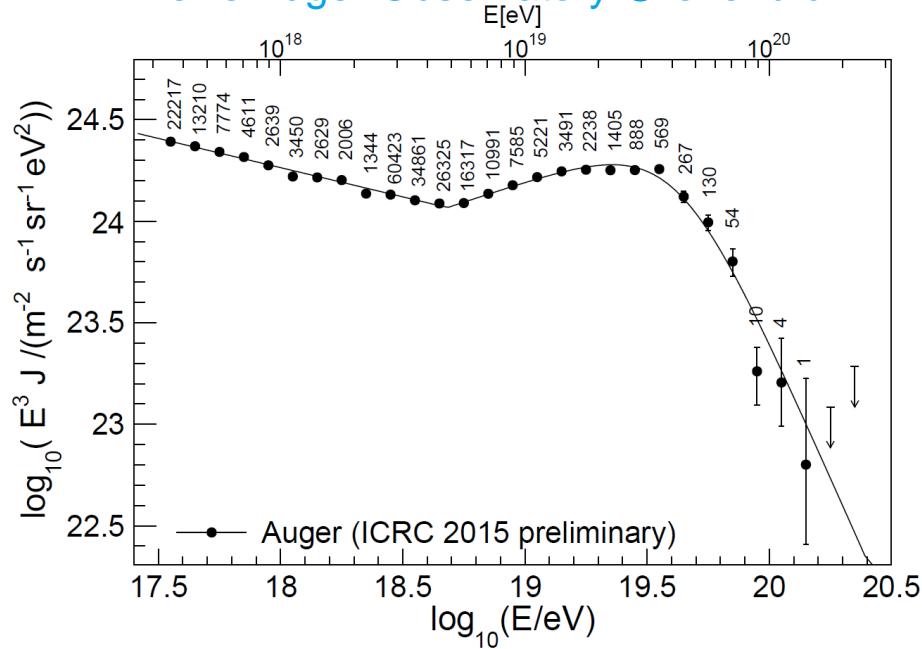
# Observations: Spectrum

- Very low flux → needs extensive air shower experiments

Telescope Array @ ICRC 2015



Pierre Auger Observatory @ ICRC 2015

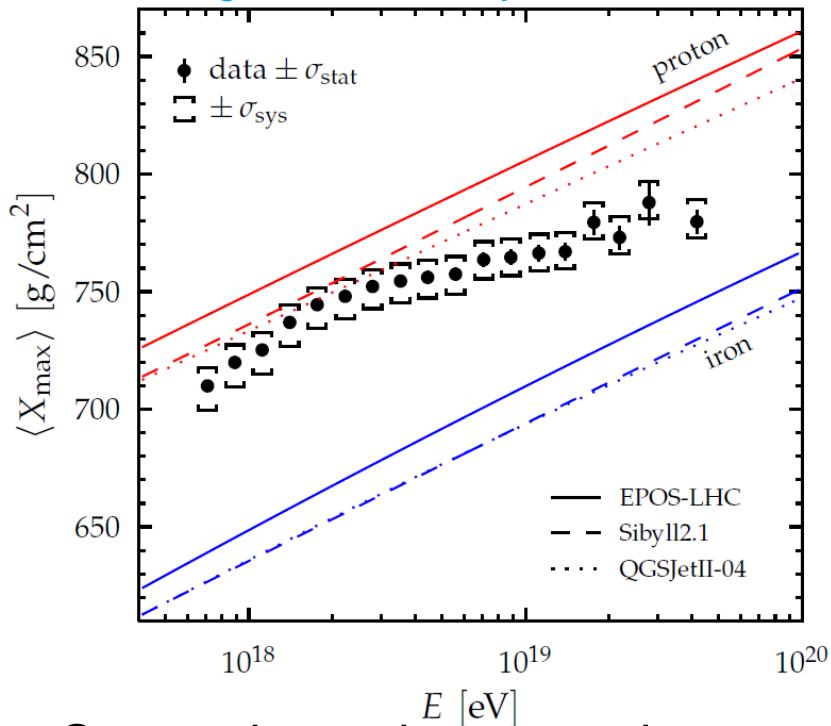


- Important features: Ankle at  $5 \cdot 10^{18}$  and suppression at  $5 \cdot 10^{19}$ 
  - Source properties? or propagation effects?
- Large energy scale uncertainties → spectra in agreement (except cutoff region)

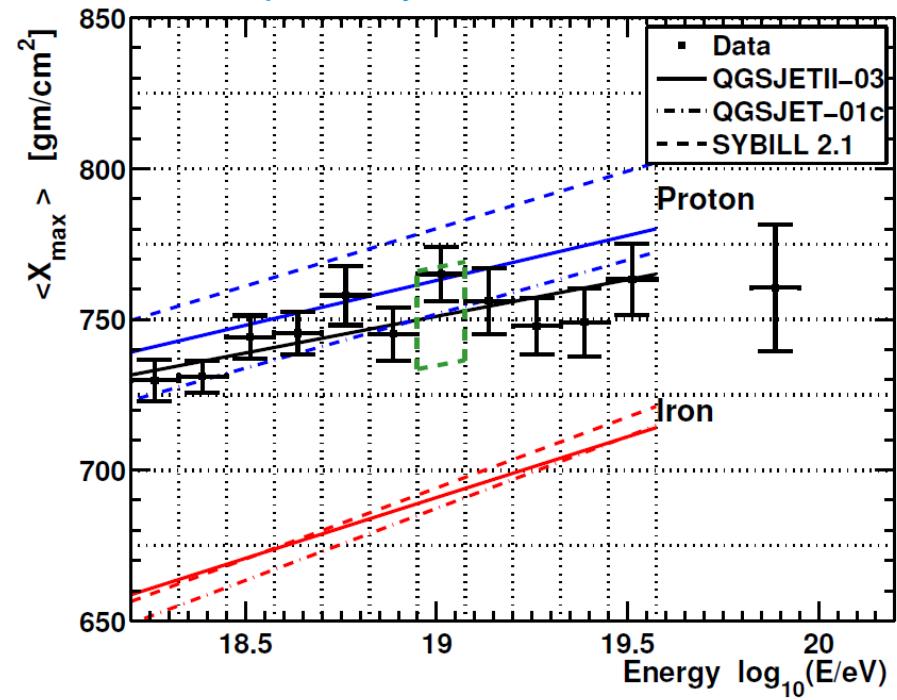
# Observations: Mass composition

► Observable: Average depth of shower maximum  $\langle X_{max} \rangle$

Pierre Auger Observatory @ ICRC 2015



Telescope Array @ ICRC 2015



► Strong dependence on shower models

- Auger: trend toward heavier nuclei
- TA: compatible with protons or light nuclei
- In agreement when compared to same model

Settle the argument by independent observable

# Different Models

## > Dip Model: UHECRs are extragalactic protons

- Ankle due to pair production and suppression due to GZK effect
- Simple but convenient model: all features due to propagation

## > Ankle Model: gal. - extragal. transition at the ankle

- Protons at the highest energies: GZK effect

## > Mixed composition models:

- Motivated by Auger data
- Usually transition at the ankle as in the Ankle model
- E.g. rigidity dependent injection cut-off at the source

Secondary messengers can help disentangle this!



# Assumptions

1. Pure Proton composition as in Dip Model
2. Simple injection model: power – law with energy cutoff

$$\mathcal{L}_p^{\text{inj}}(E, z) \propto H(z) E^{-\gamma} \exp(-E/E_{\max})$$

- Source Evolution relative to star formation rate

$$H(z) = (1+z)^m \cdot \begin{cases} (1+z)^{3.44}, & z \leq 0.97 \\ 10^{1.09}(1+z)^{-0.26}, & 0.97 < z \leq 4.48 \\ 10^{6.66}(1+z)^{-7.8}, & z > 4.48 \end{cases}$$

3. Normalization by  $\chi^2$  - fit to TA-spectrum (proton composition)

- Fit parameters:  $E_{\max}$  (max. Energy)  $\gamma$  (spectral index)  $m$  (source evol.)
- Energy scale shift allowed (20% exp. uncertainty, penalty on large shifts)

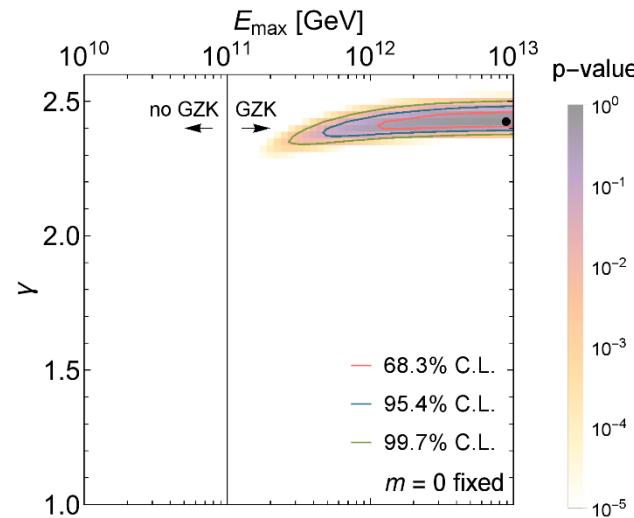
Has to satisfy IceCube upper limit  
on cosmogenic neutrinos! (none detected)

IceCube Collaboration, Phys. Rev. Lett. 117 (2016)

# 2D scan

- Typically found in literature
- Allowed parameter space
  - High  $E_{max}$  → GZK – effect
  - Strong  $\gamma$  – m correlation
  - Small energy scale shifts <10%

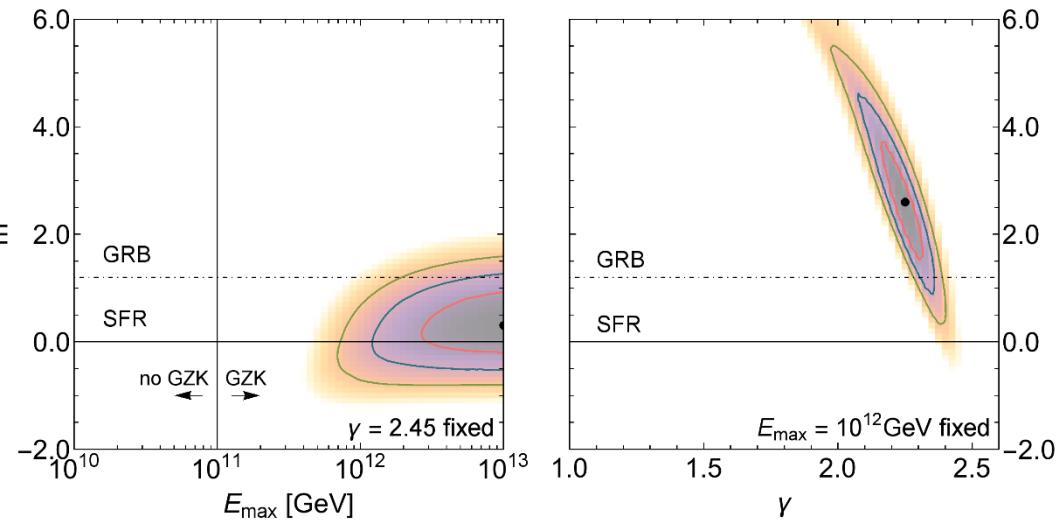
2D  
Scans



Choice of fixed 3<sup>rd</sup> parameter  
reasonable, but biased?

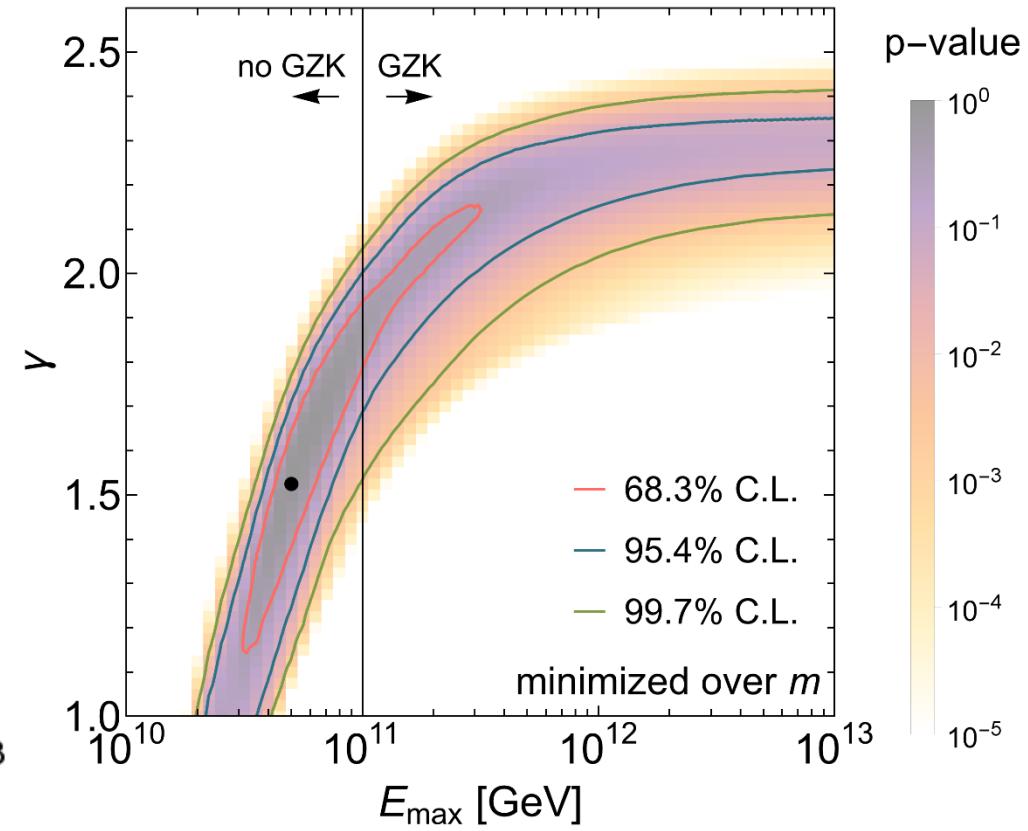
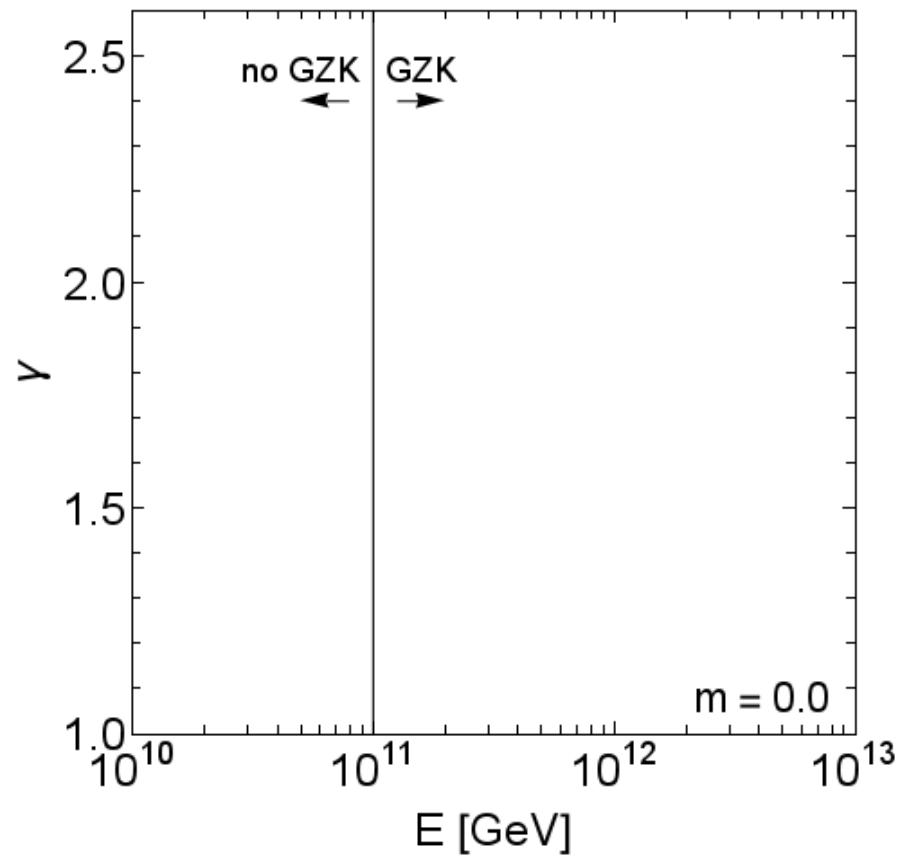
Best fits:

|                                 | 2D scan |         |         |
|---------------------------------|---------|---------|---------|
| $\gamma$                        | 2.25    | *2.45   | 2.42    |
| $\log_{10}(E_{max}/\text{GeV})$ | *12.0   | 13.0    | 12.9    |
| $m$                             | 2.6     | 0.3     | *0.0    |
| $\chi^2_{min}$                  | 34.7/17 | 47.8/17 | 47.8/17 |



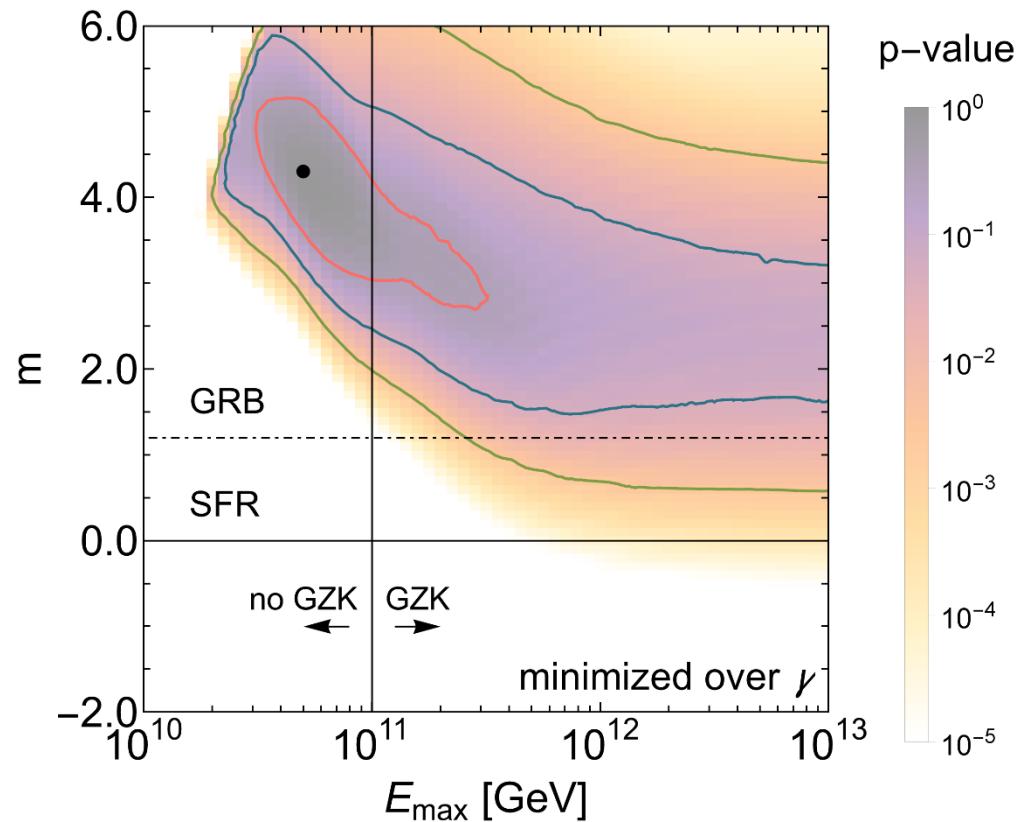
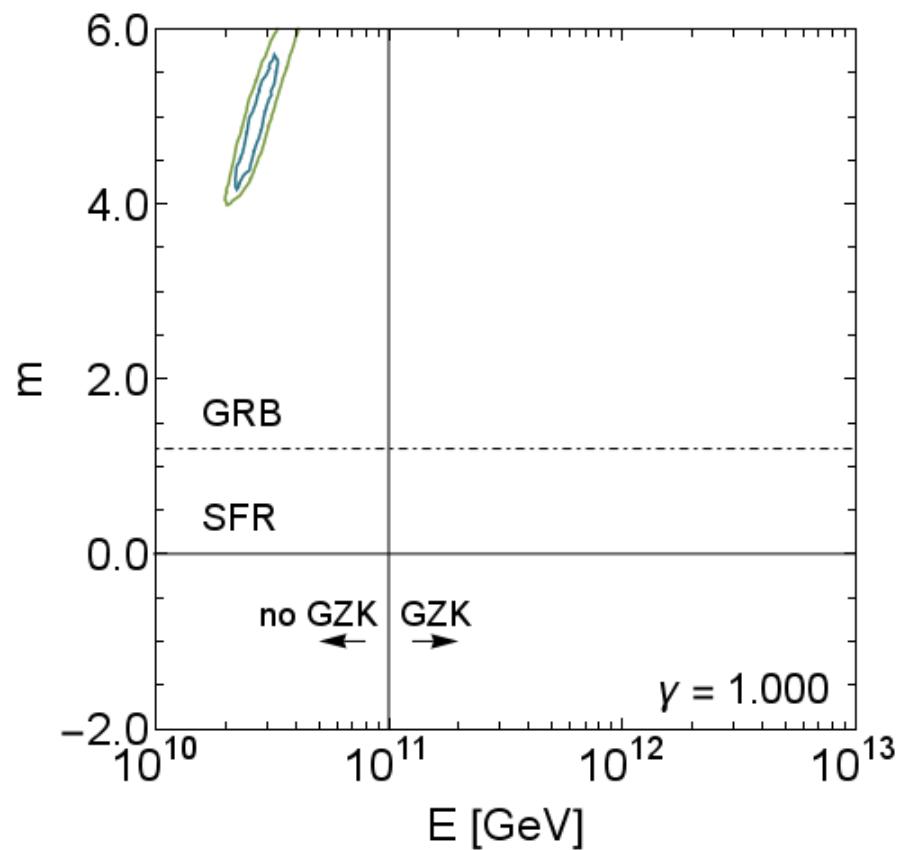
# 3D scan

- Three dimensional “banana”-shape
- Shown as two dimensional projections



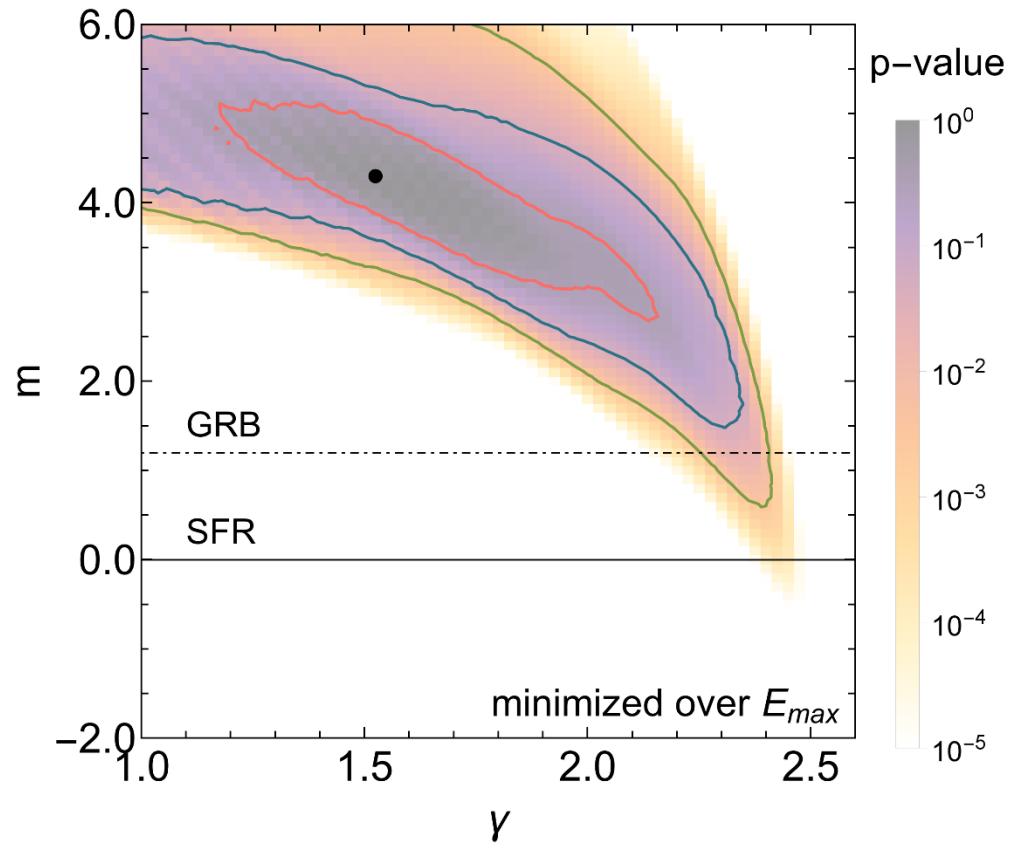
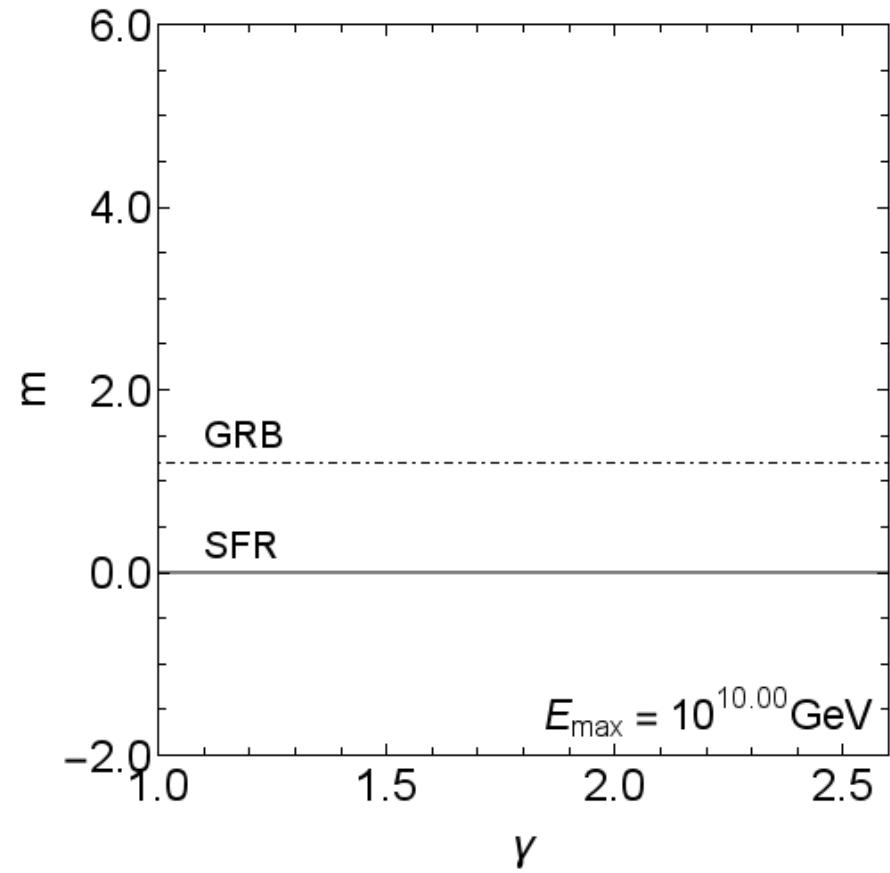
# 3D scan

- Three dimensional “banana”-shape
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# 3D scan

- Three dimensional “banana”-shape
- Shown as two dimensional projections



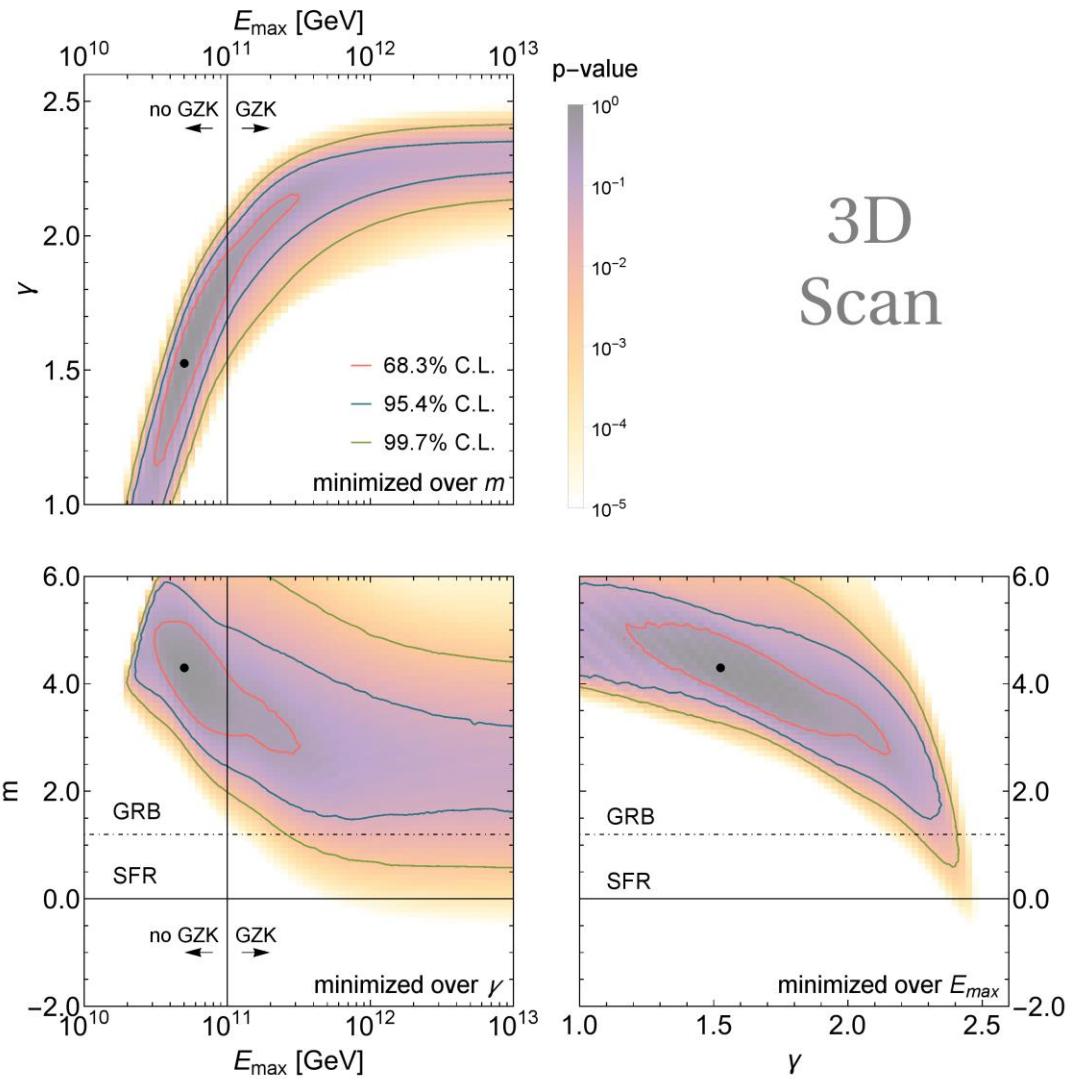
# 3D scan

- > Parameter space enlarged compared to 2D scan
  - Multi-parameter correlations
  - Harder spectrum and stronger evolution
  - Low max. energy allowed  
→ suppression as source effect

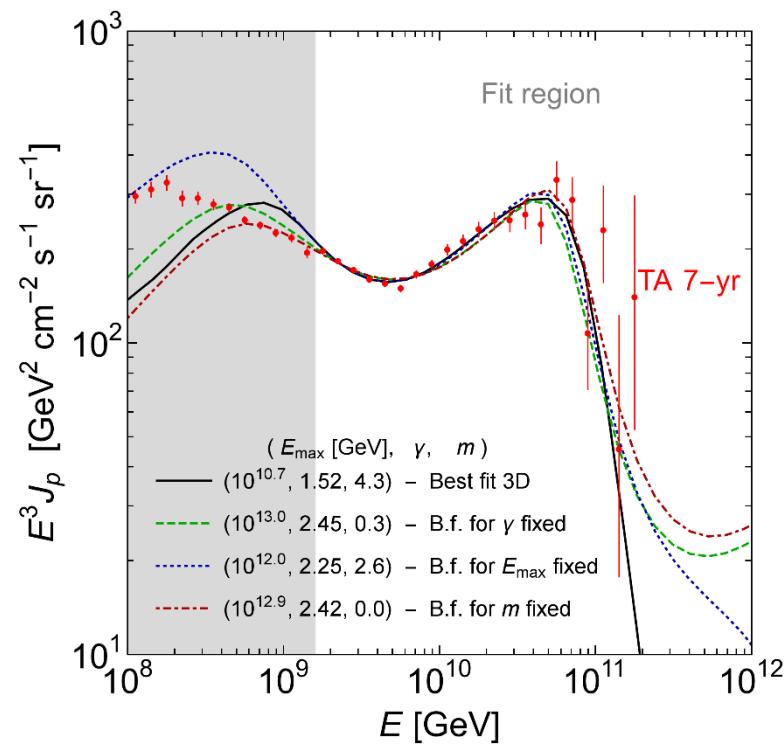
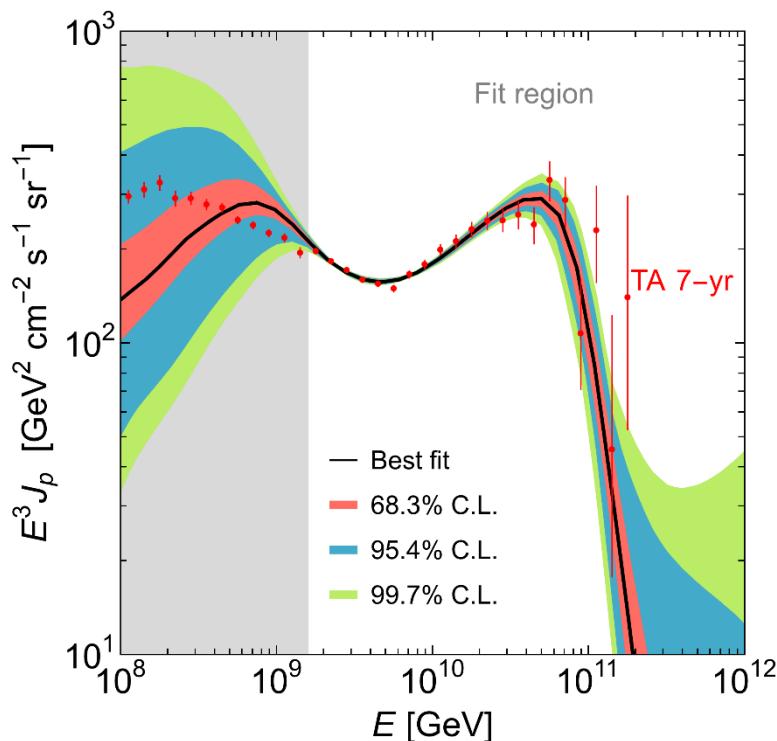
Problem: large energy shift needed for low cutoff energies

Best fits:

|                                 | 2D scan |         | 3D scan |
|---------------------------------|---------|---------|---------|
| $\gamma$                        | 2.25    | *2.45   | 2.42    |
| $\log_{10}(E_{max}/\text{GeV})$ | *12.0   | 13.0    | 12.9    |
| $m$                             | 2.6     | 0.3     | *0.0    |
| $\chi^2_{min}$                  | 34.7/17 | 47.8/17 | 47.8/17 |
|                                 |         |         | 30.8/16 |



# Best fit spectra



➤ Low statistics cannot distinguish source- or GZK effect

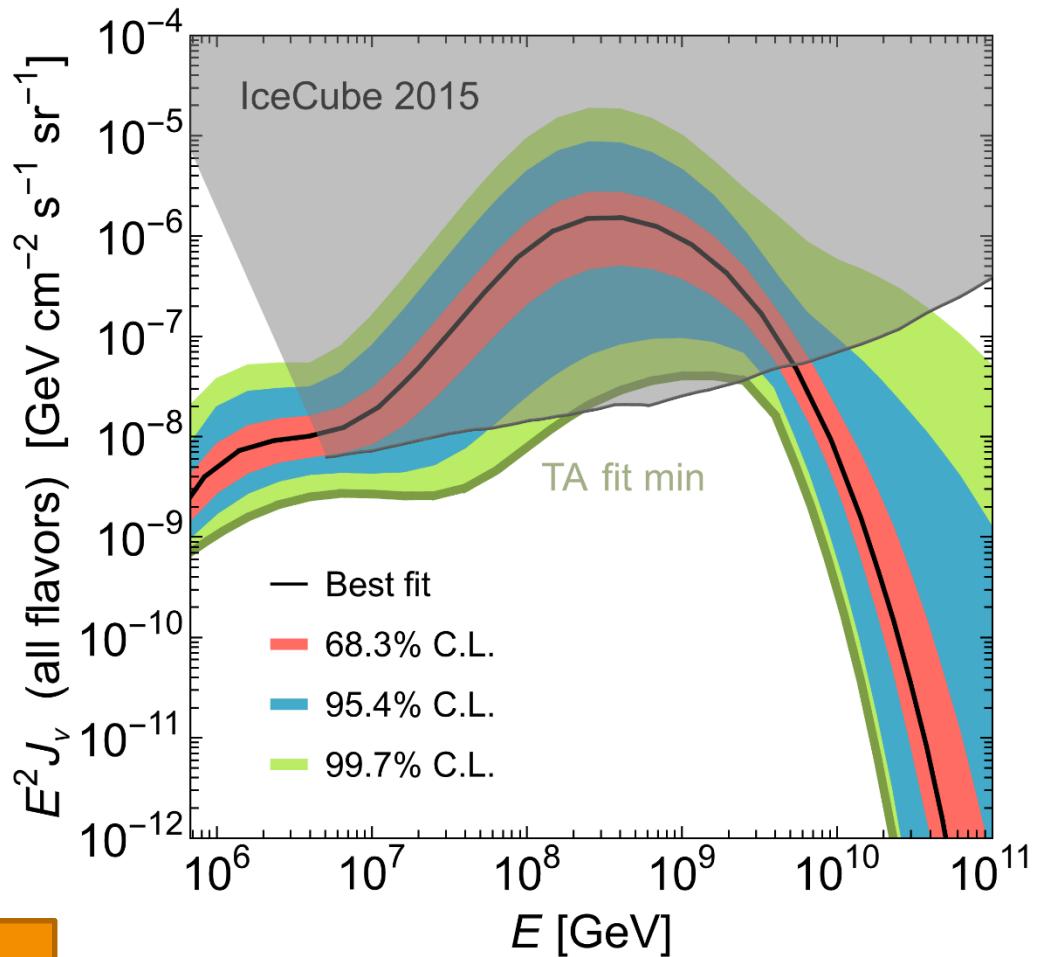
- Fit driven by ankle region
- Favours hard spectra....
  - ...and strong source evolution

➤ Overshoot: below fit range

- Minimal escape energy?
- Magnetic field diffusion?
- Or further constraint on Dip model?

# Cosmogenic Neutrinos

- Ranges: min/max over allowed parameter space
- Exceeds recent IceCube upper limit
  - Mainly due to high source evol.
- Minimal number of expected events: 5.4
  - Challenged at more than 95% C.L.
  - ... already in stress with TA data

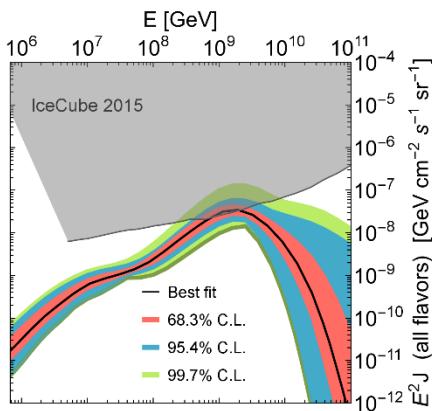
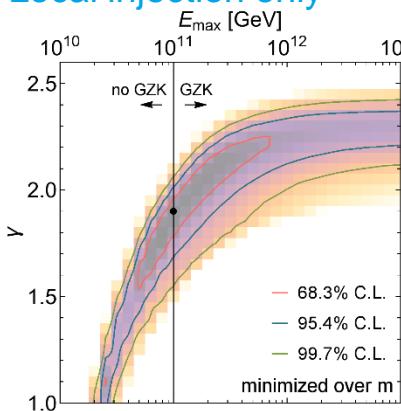


Dip model excluded for reasonable source evolution!

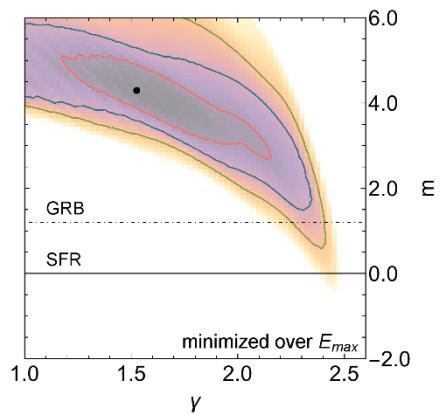
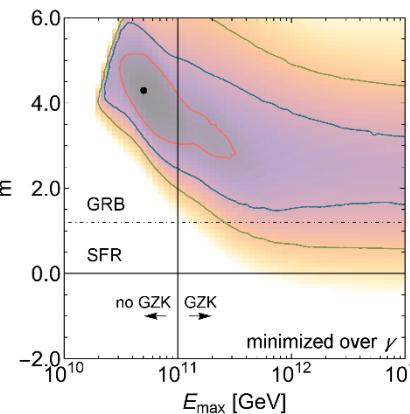
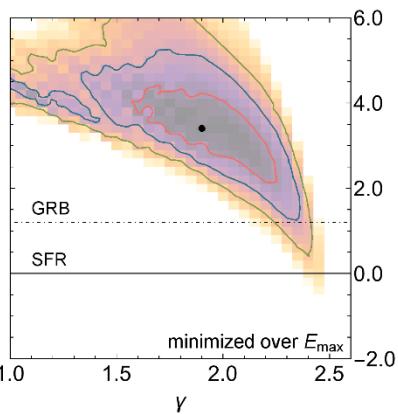
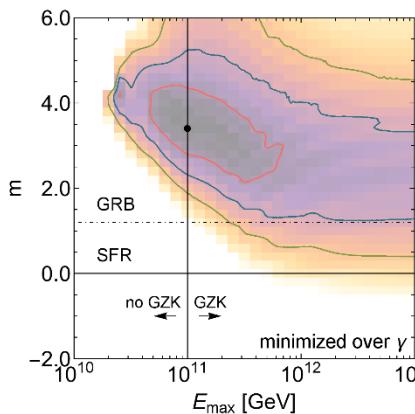
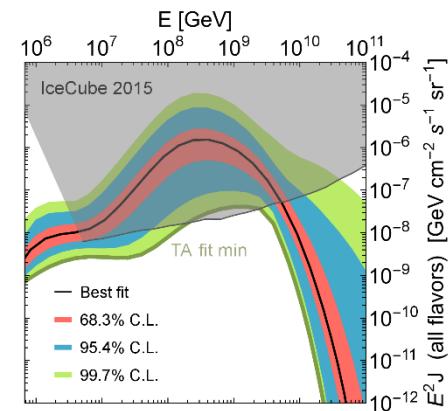
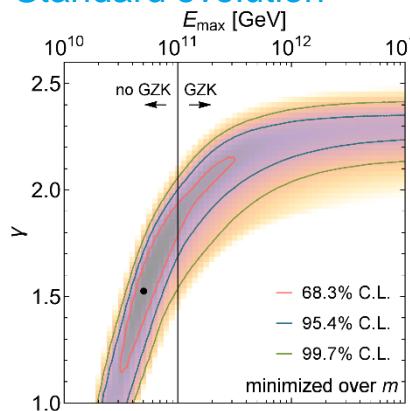
# Extreme test case

- No injection above redshift  $z = 1$
- UHECRs only sensitive to local universe  
→ fit not qualitatively changed!

Local injection only

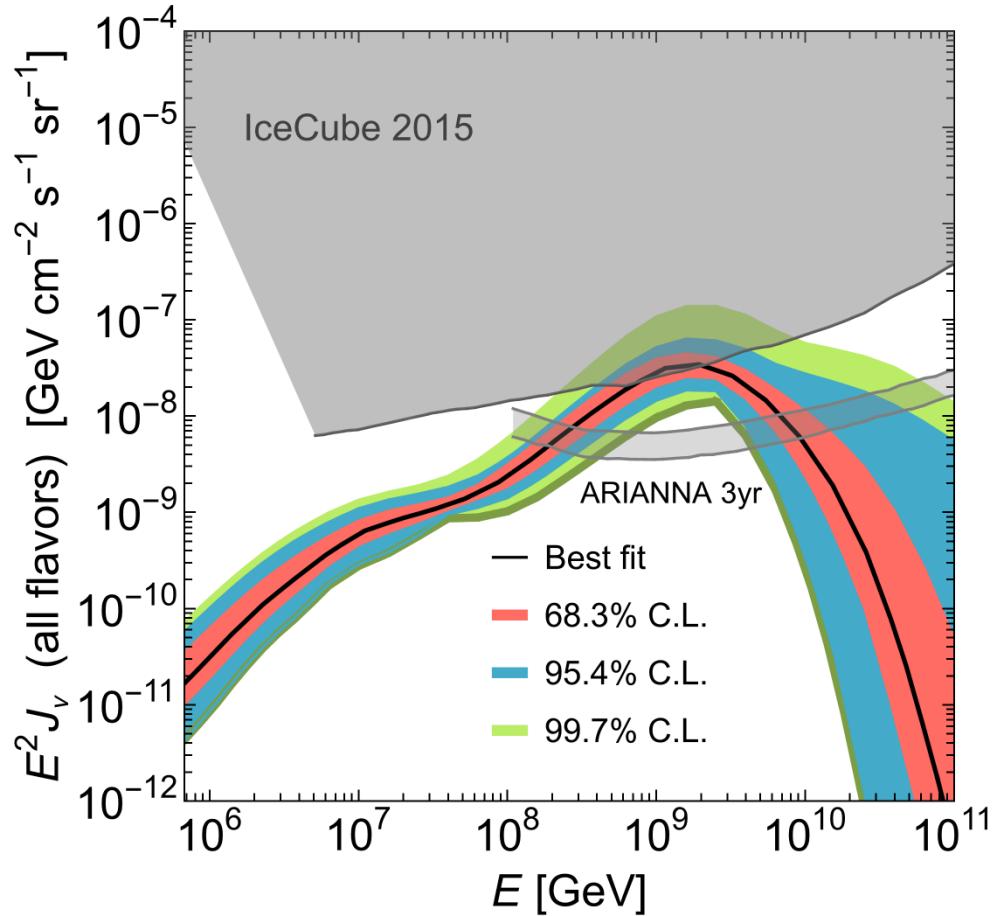


Standard evolution



# Extreme test case

- Much lower neutrino flux
- ... but cutoff at  $z = 1$  is an unrealistic test case
- Any more realistic source evol. within reach of IceCube
- ARIANNA 3 years sensitivity will settle any doubt!



# Constraints from cosmogenic gamma rays!

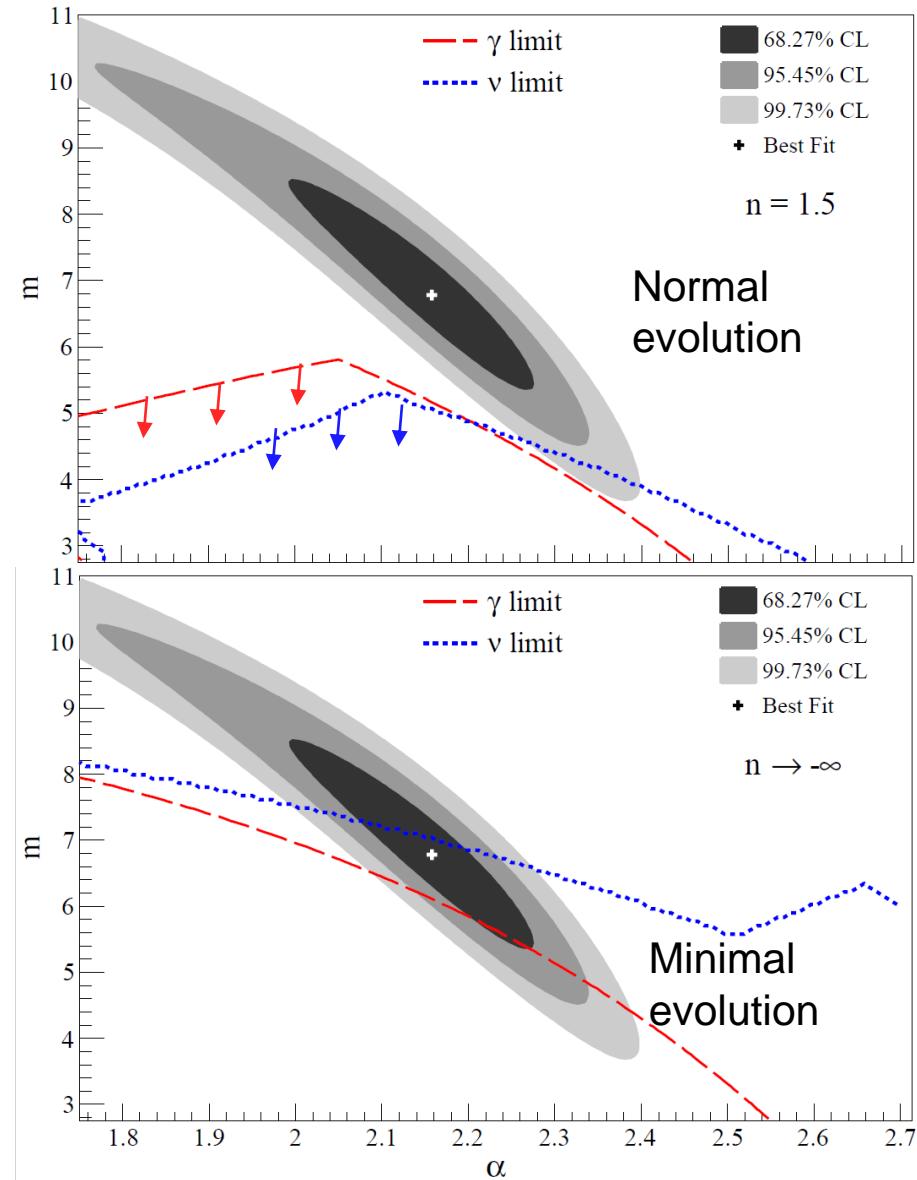
Supanitsky Phys. Rev. D94 (2016)

- > Fixed  $E_{\max} = 10^{19.5}$  eV
- > Source evolution broken at  $z = 1$

$$S(z) = \begin{cases} (1+z)^m & z \leq 1 \\ 2^{m-n} (1+z)^n & z > 1 \text{ \& } z \leq 6 \\ 0 & z > 6 \end{cases}$$

- > Neutrino constraints consistent with ours

Gamma-ray constraints strong for local sources!



# Conclusion

- > Extending UHECR-fit to three parameters yields new insight
  - Hard spectra, strong source evolution and low maximal energy favoured
  - ... but still includes parameters from 2D-scan
  - Large energy scale norm (TA) favoured by fit
- > Expected neutrino events from Dip model above IceCube limit
  - Holds even for changed assumptions (fixed energy scale, changed fit range...)

Dip model strongly challenged!  
Complementary to composition measurements

- > Additional support for Auger like composition of UHECRs

# Backup slides

# Injection Model

- > Use simple injection model as test case:

$$\mathcal{L}_p^{\text{inj}}(E, z) \propto H(z) E^{-\gamma} \exp(-E/E_{\max})$$

- > Three main model parameters

- $E_{\max}$  : Maximal Energy
- $\gamma$  : Spectral index
- $H(z)$  : Redshift source evolution

- > Source evolution relative to star formation rate

$$H(z) = (1+z)^m \cdot \begin{cases} (1+z)^{3.44}, & z \leq 0.97 \\ 10^{1.09}(1+z)^{-0.26}, & 0.97 < z \leq 4.48 \\ 10^{6.66}(1+z)^{-7.8}, & z > 4.48 \end{cases}$$

- > Propagation computed numerically

# Statistical analysis

- Fit each set of parameters to the observed flux:

$$\chi^2 = \sum_i \frac{(f J^{\text{mod}}(E'_i; \gamma, E_{\max}, m) - J^{\text{TA}}(E_i))^2}{\sigma_i^2} + \left(\frac{\delta_E}{\sigma_E}\right)^2$$

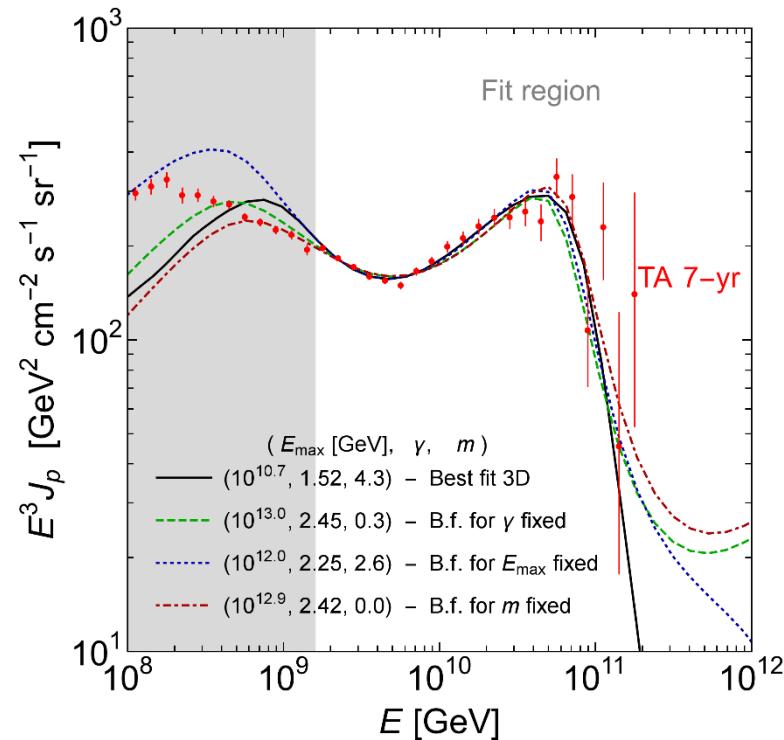
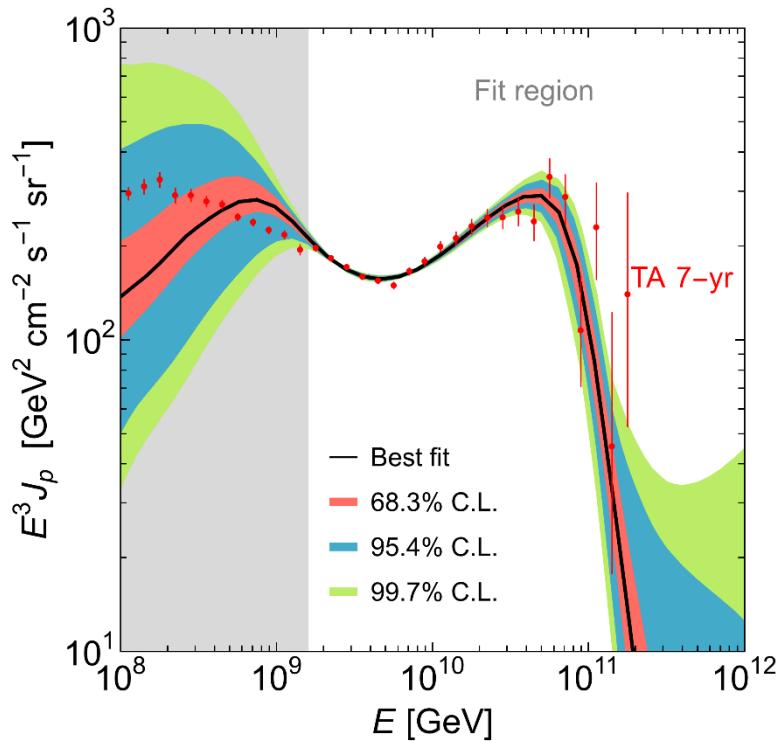
- Fit parameters:

- $f$  normalization free parameter related to source luminosity and density
- $\delta_E$  energy scale shift systematic uncertainty 20% for TA

- Allowed regions from  $\Delta\chi^2$

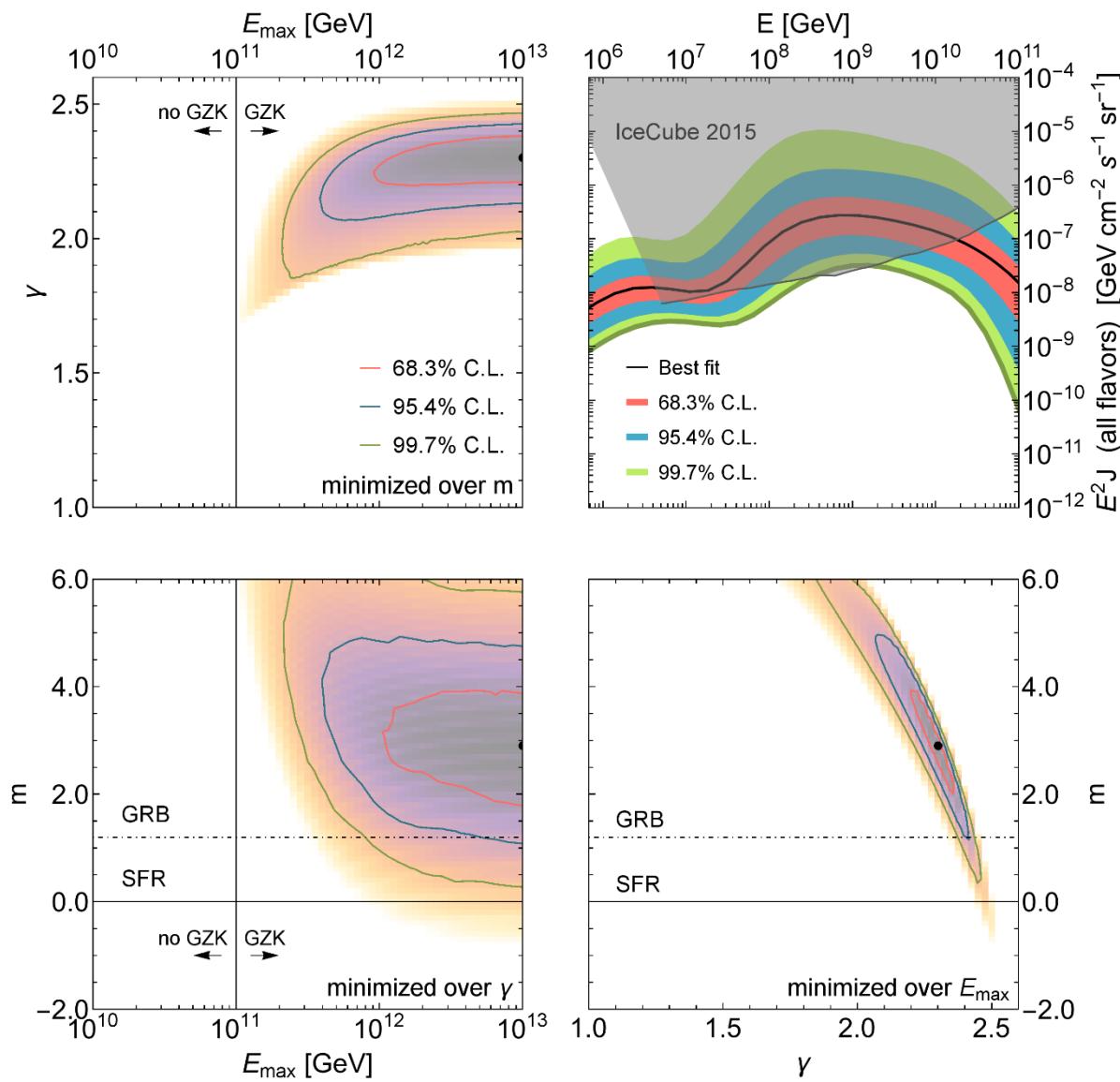
$$\Delta\chi^2(\gamma, E_{\max}, m) = \chi^2(\gamma, E_{\max}, m) - \chi^2_{\min}$$

# UHECR - Spectra

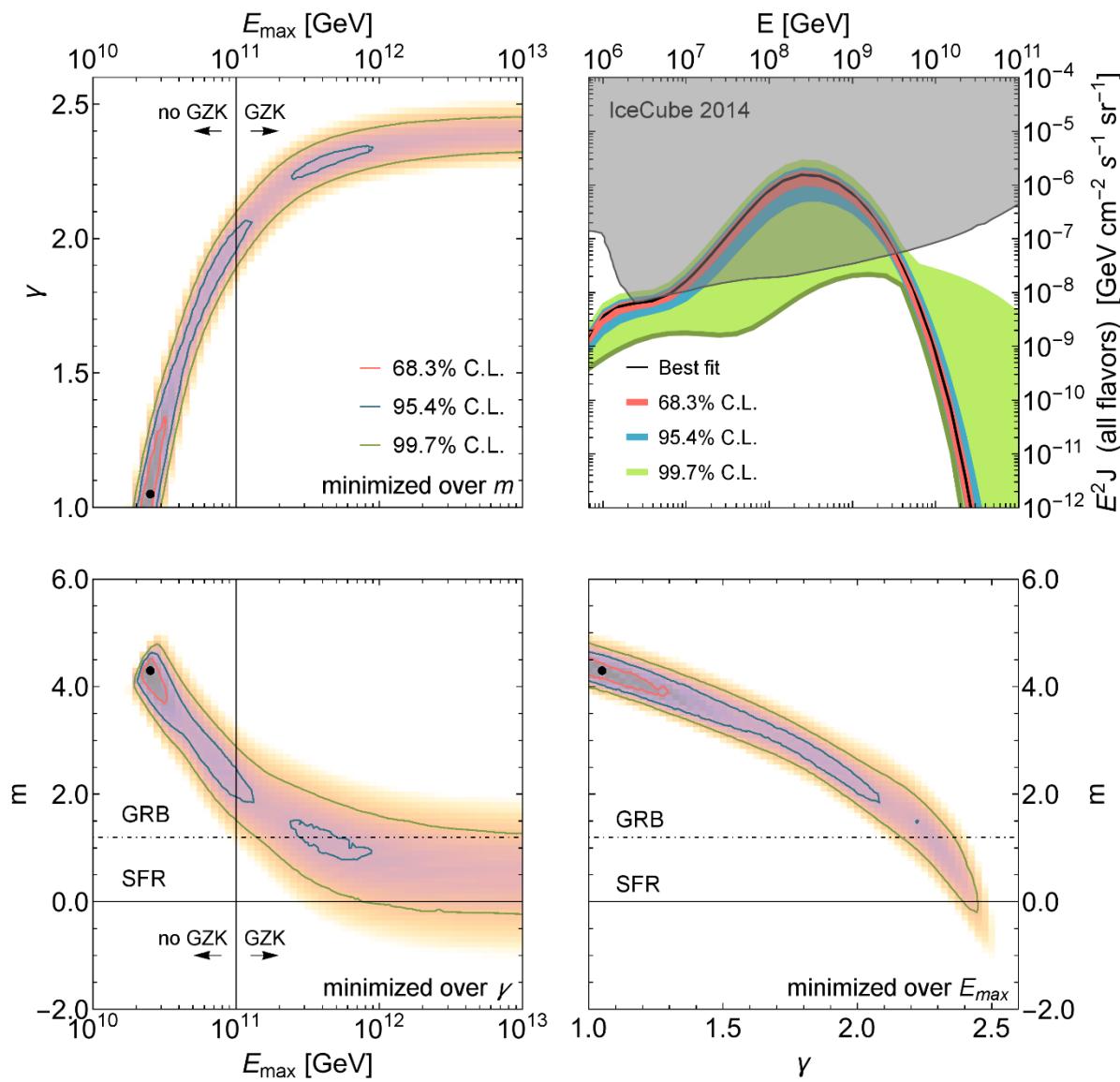


- Fit is driven by ankle region due high statistics
- Cutoff statistics too low to distinguish source- or GZK-effect
- Strong overshoot below fit range (due to high source evolution)

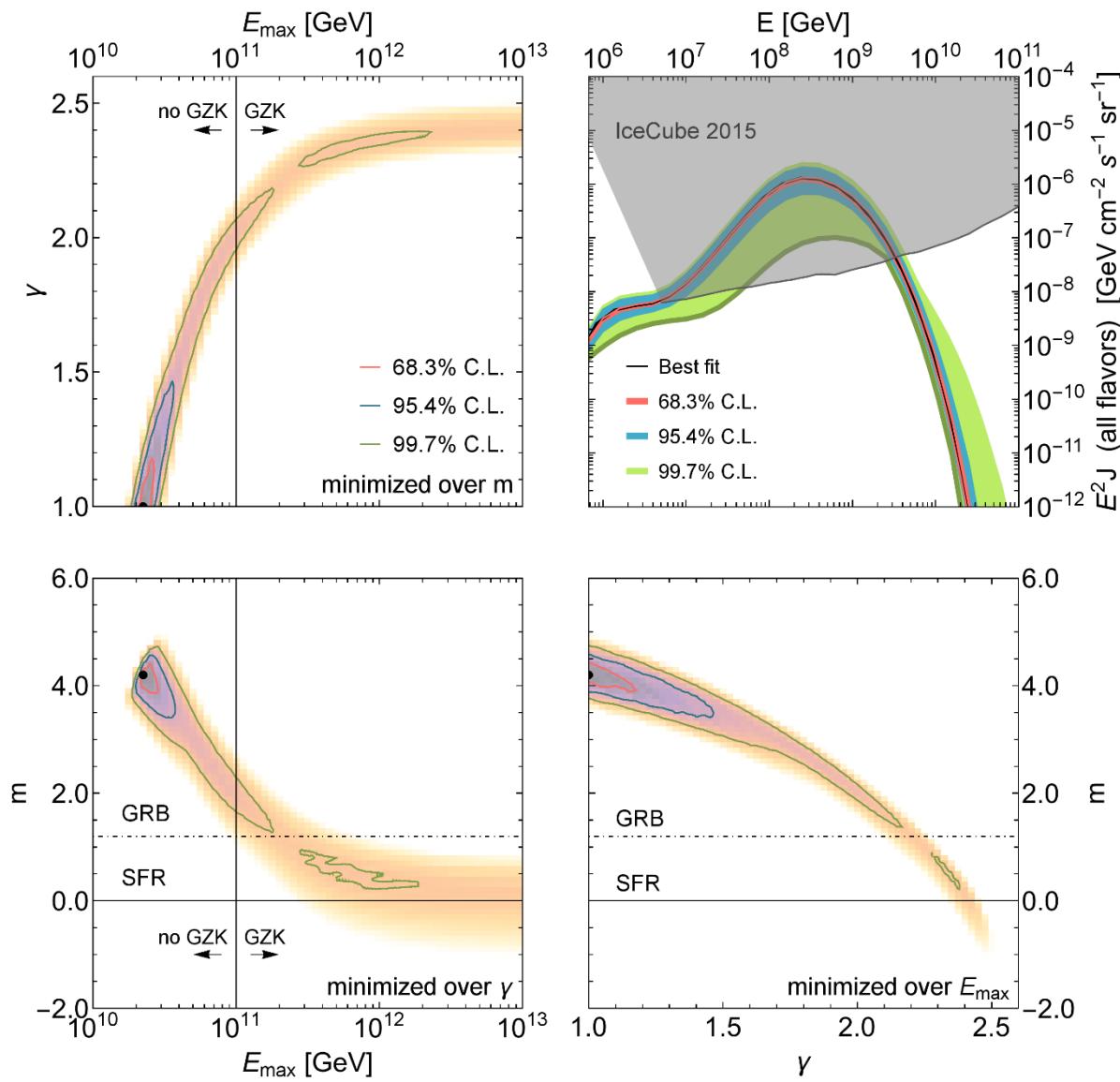
# Fixed energy scale



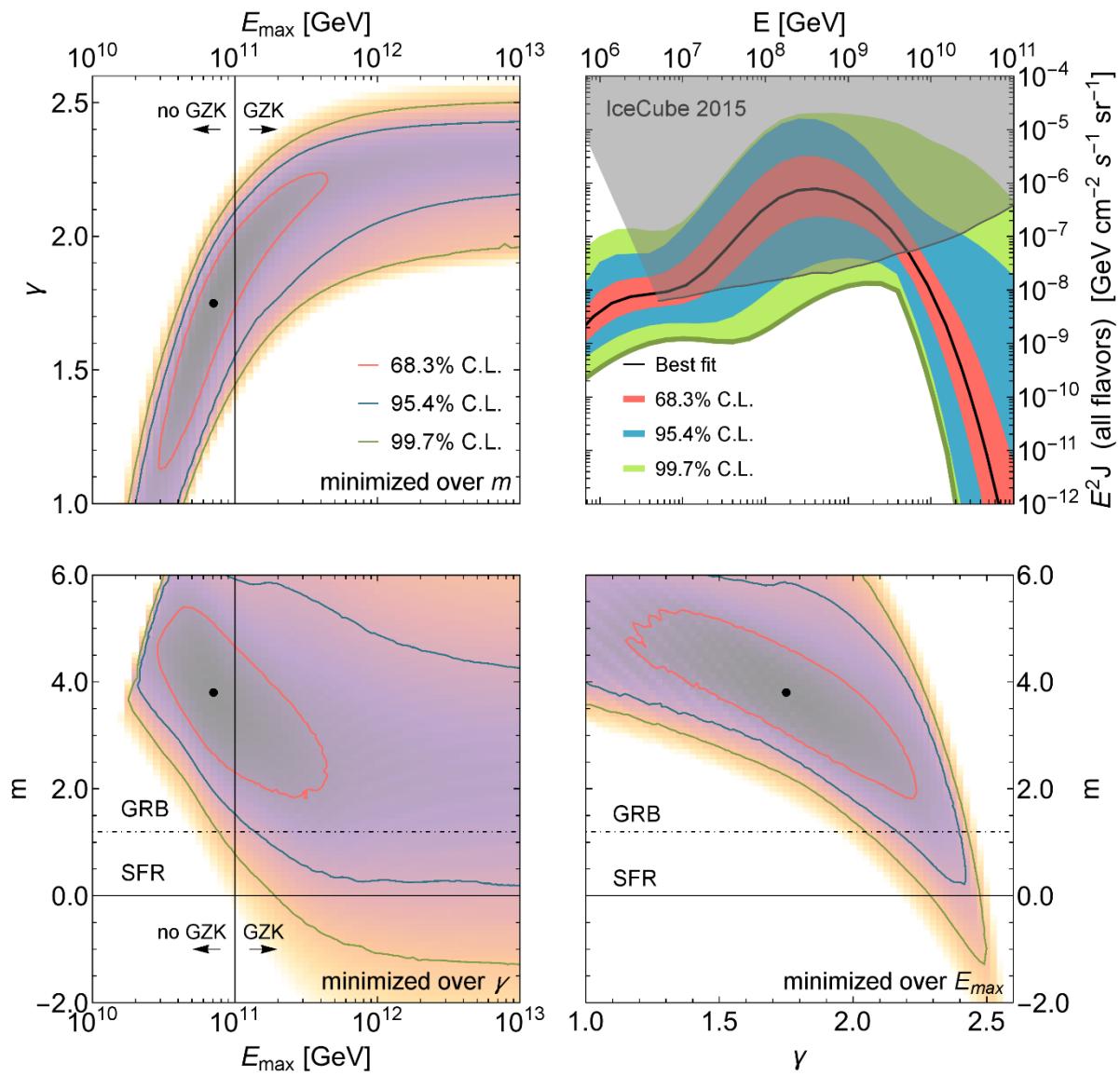
# Extended fit range



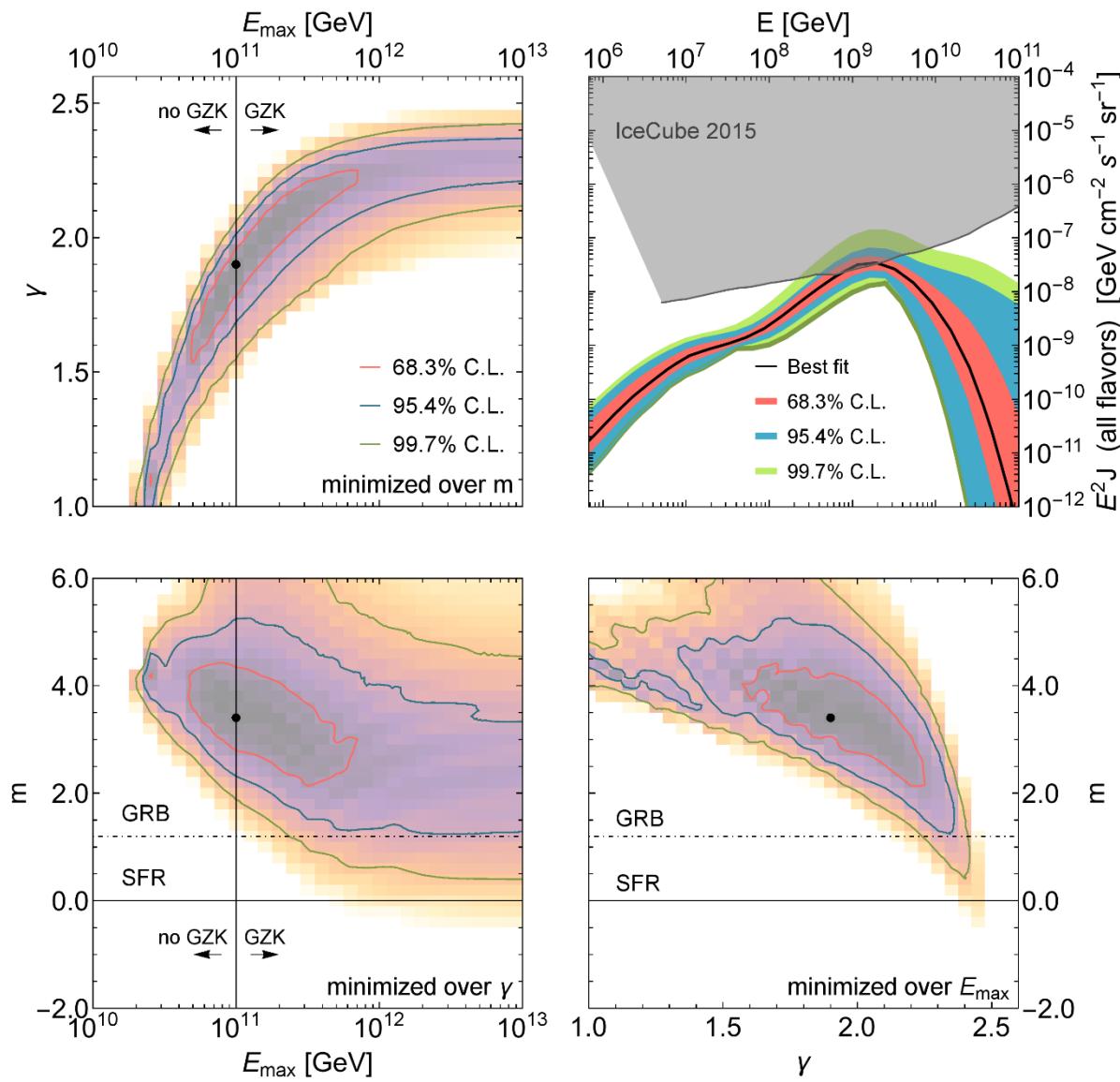
# Overshoot penalty



# Additional 3% systematics

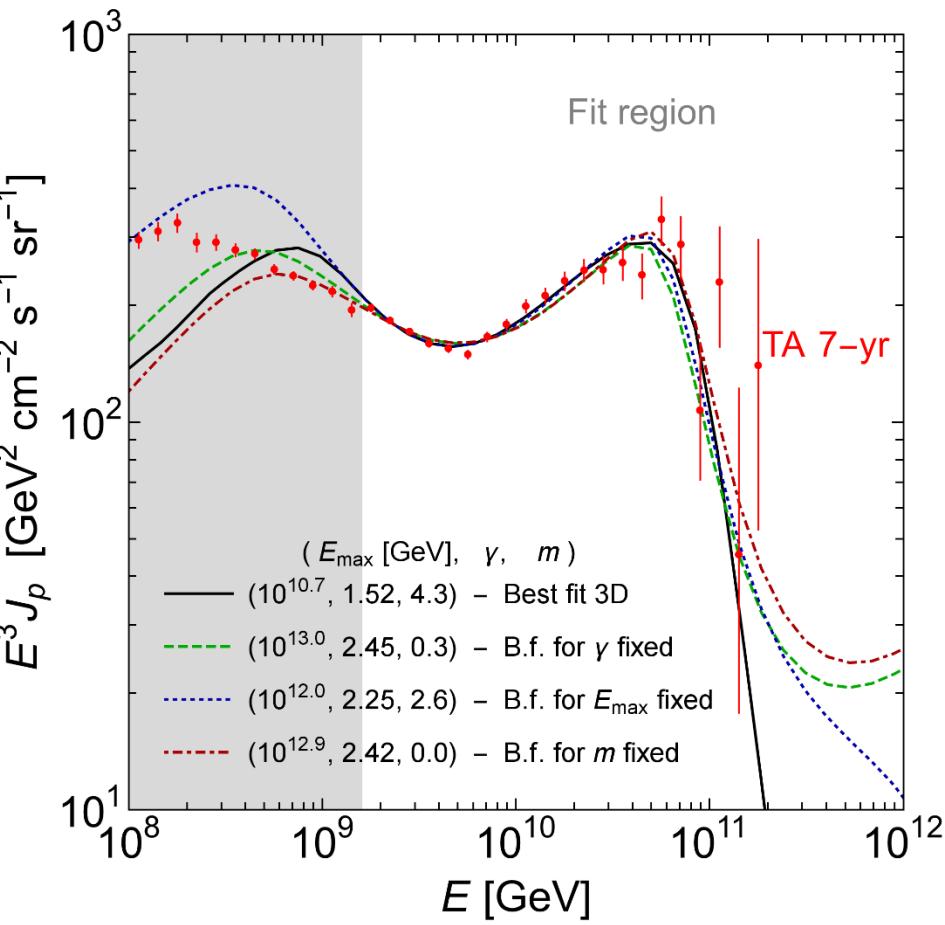


# Only local injection $z < 1$



# Best fit spectra for 2D and 3D

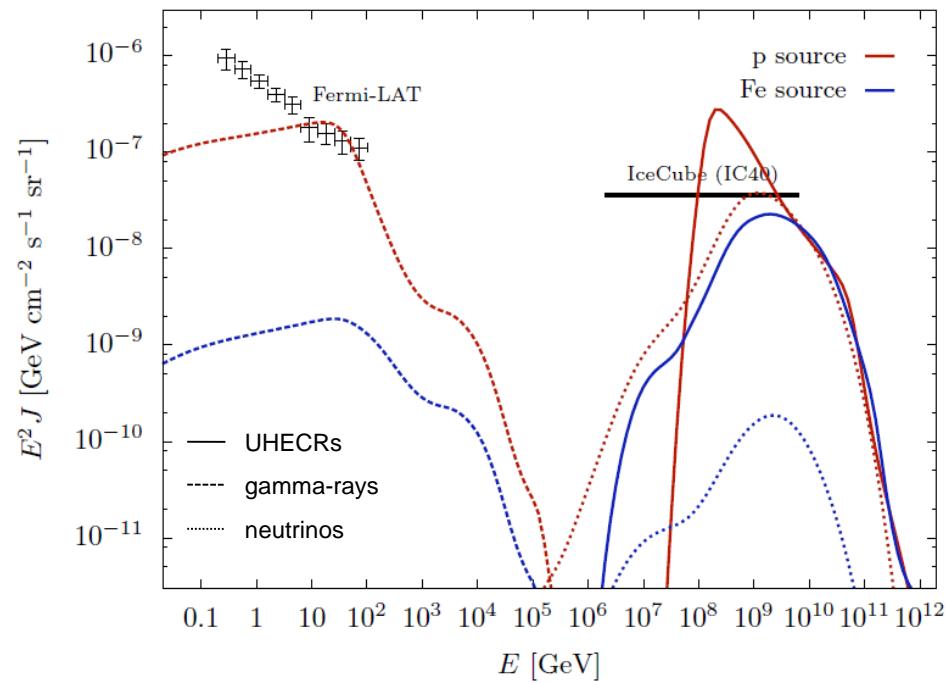
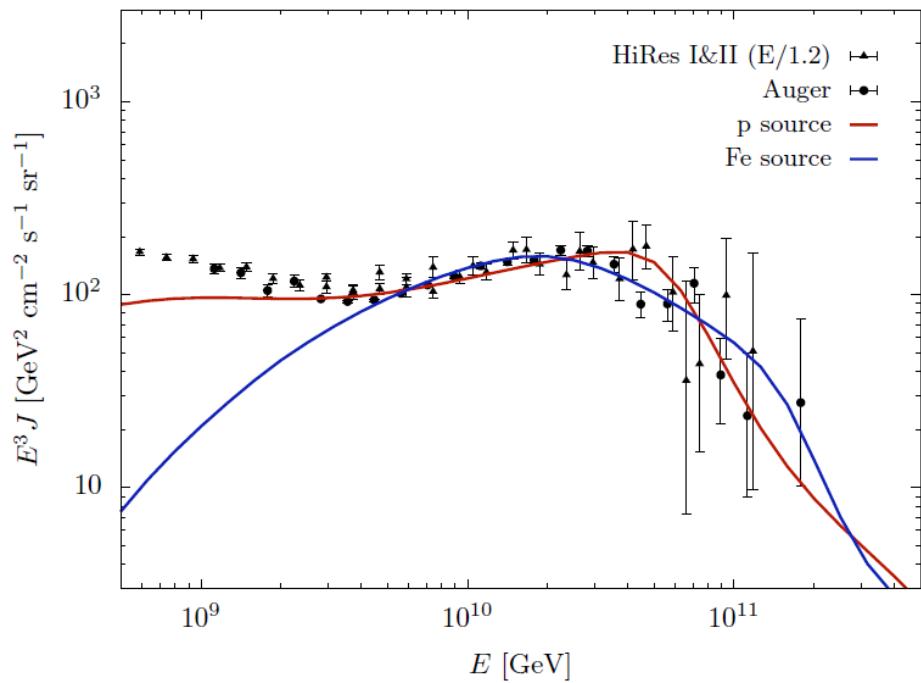
- > High energy cutoff:  
Low statistics cannot distinguish source- or GZK effect
- > Ankle region:
  - 3D: due to hard injection and pile up from high redshift ...
  - ... **not** classical pair-prod.-dip
  - 2D: classical pair-prod.-dip
- > Overshoot: below fit range
  - Minimal escape energy?
  - Magnetic field diffusion?
  - Or further constraint on Dip model?



# Alternative model: mixed composition

- Favoured by Auger composition data
- Lots of degrees of freedom
  - need to make assumptions about injected composition
- Pion production suppressed
  - cosmogenic neutrinos and gamma-rays suppressed

Ahlers, Salvador  
Phys. Rev. D84, 085019 (2011)



# Combined fit to spectrum and composition

Pierre Auger Collaboration, arXiv: 1612.07155

## > Auger approach:

- Rigidity depended cutoff

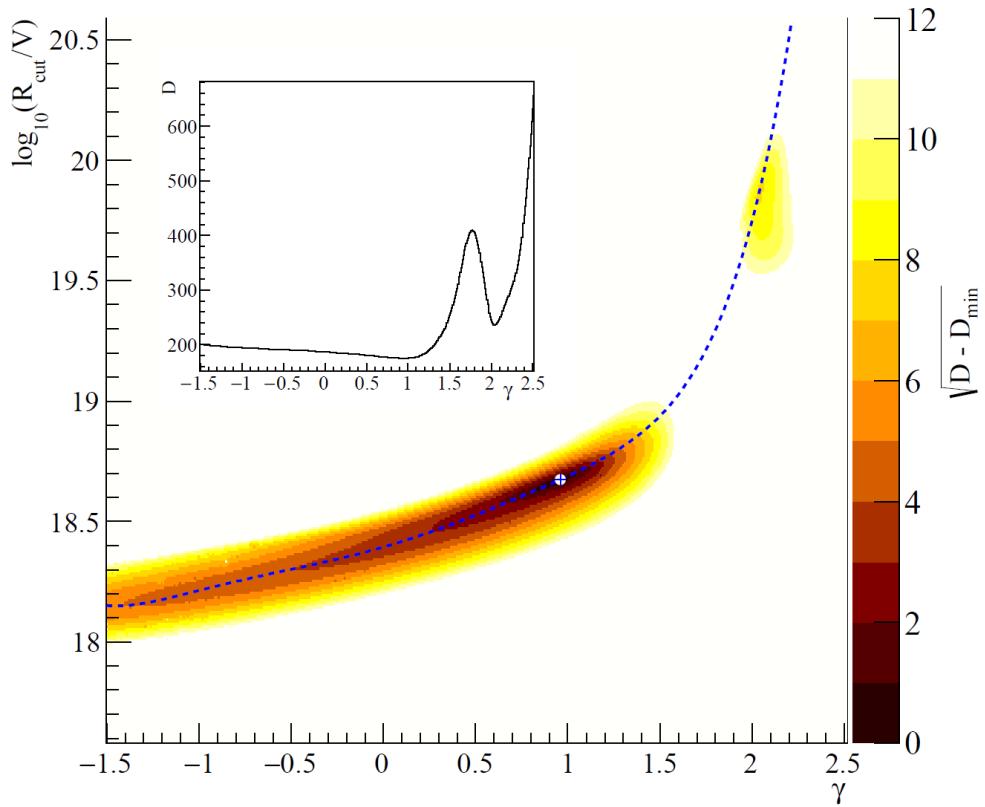
$$f_{\text{cut}}(E, Z_A R_{\text{cut}}) = \begin{cases} 1 & (E < Z_A R_{\text{cut}}) \\ \exp\left(1 - \frac{E}{Z_A R_{\text{cut}}}\right) & (E > Z_A R_{\text{cut}}) \end{cases}$$

- Combined fit to composition

## > Good fit to primary UHECR spectrum and composition

- ...but only above the ankle

## > Secondary messengers not (yet) included



# Combined fit to spectrum and composition

## > Auger approach:

- Rigidity depended cutoff

$$f_{\text{cut}}(E, Z_A R_{\text{cut}}) = \begin{cases} 1 & (E < Z_A R_{\text{cut}}) \\ \exp\left(1 - \frac{E}{Z_A R_{\text{cut}}}\right) & (E > Z_A R_{\text{cut}}) \end{cases}$$

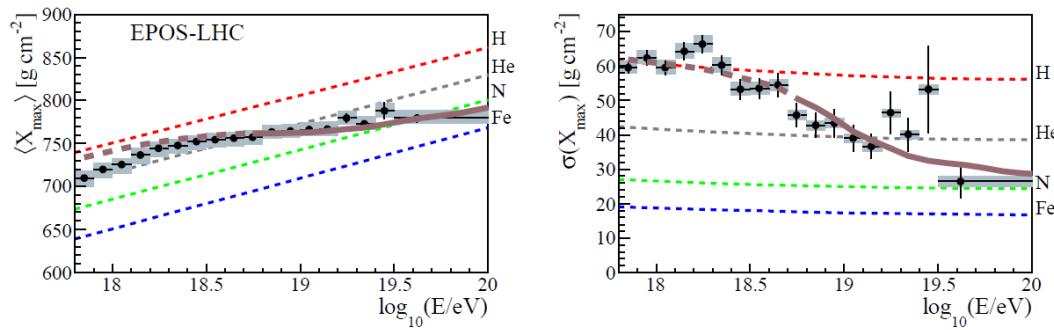
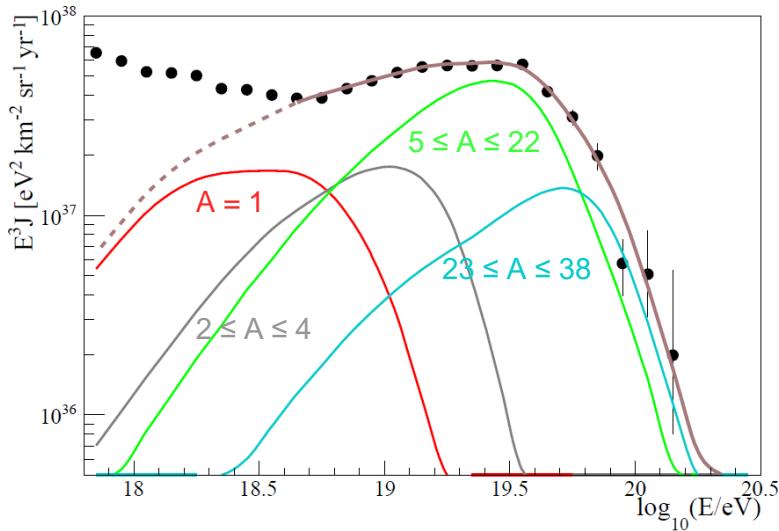
- Combined fit to composition

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## > Secondary messengers not (yet) included

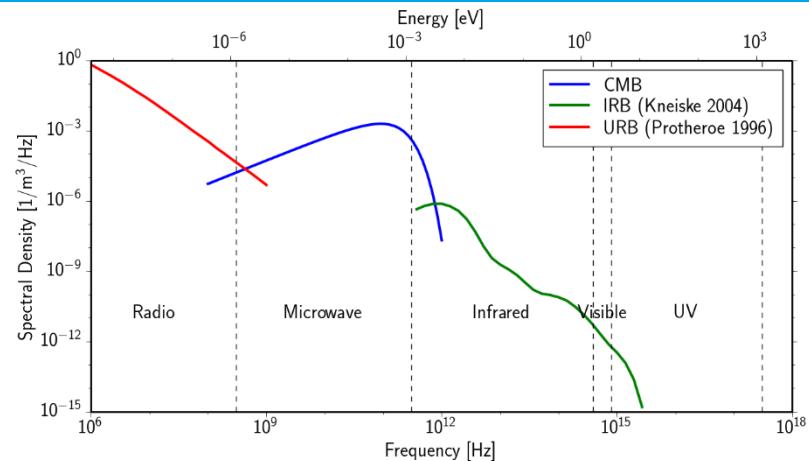
Pierre Auger Collaboration, arXiv: 1612.07155



# Extragalactic propagation of UHECR-Protons

## > Flux suppression

- Photo-pion-prod.
- “GZK” - cutoff at  $6.8 \cdot 10^{19}$  eV
- ... or source effect ?

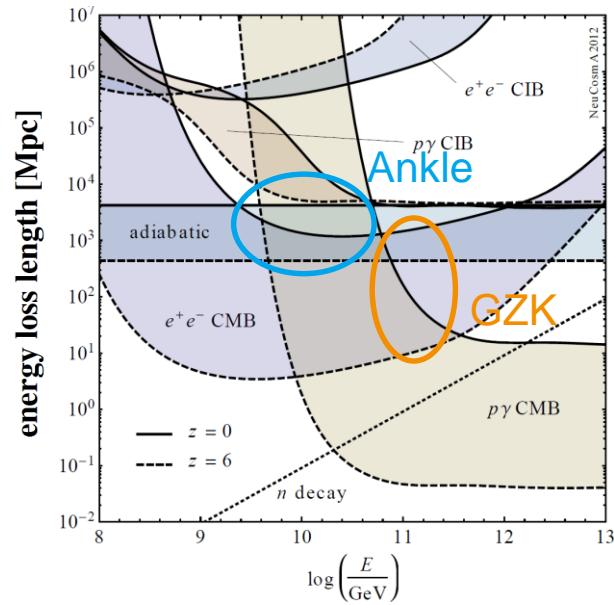


## > Ankle

- Pair production dip or...
- ... gal. – extragal. Transition

## > Dip Model

- UHECRs are extragalactic protons
- Simple but convenient model:  
all features due to propagation



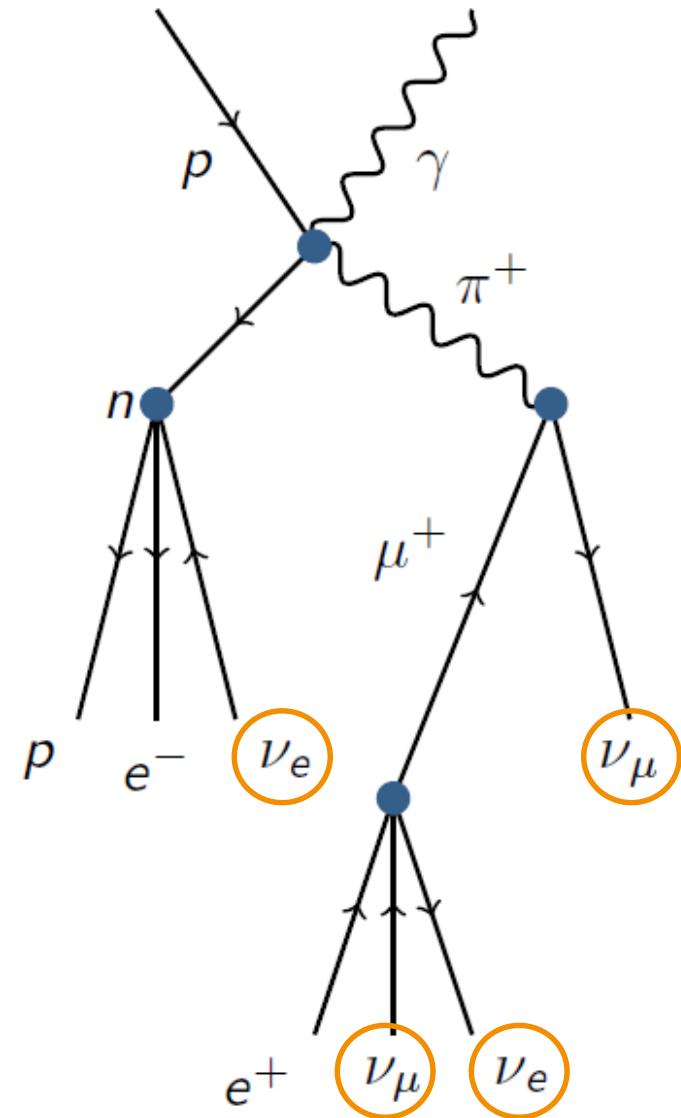
# Cosmogenic Neutrinos

- > Photo-pion-production: Delta resonance

$$p + \gamma \rightarrow \Delta^+ \rightarrow \begin{cases} n + \pi^+, & \frac{1}{3} \text{ BR} \\ p + \pi^0, & \frac{2}{3} \text{ BR} \end{cases}$$

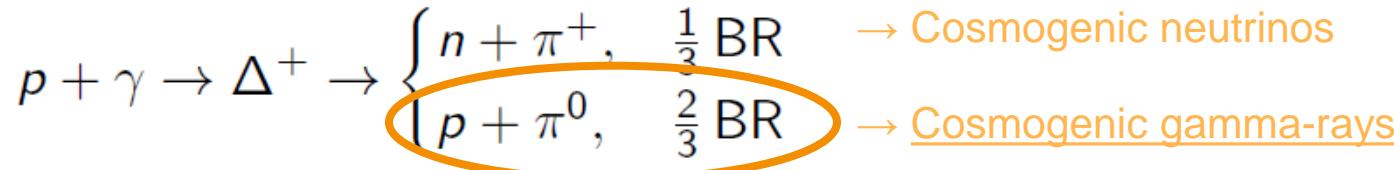
- > Neutrinos only from  $\pi^+$  decay-channel

- Average energy:  $E_\nu = E_p / 20$
- Different thresholds for CMB and CIB...
- ...peaks at different neutrino energies



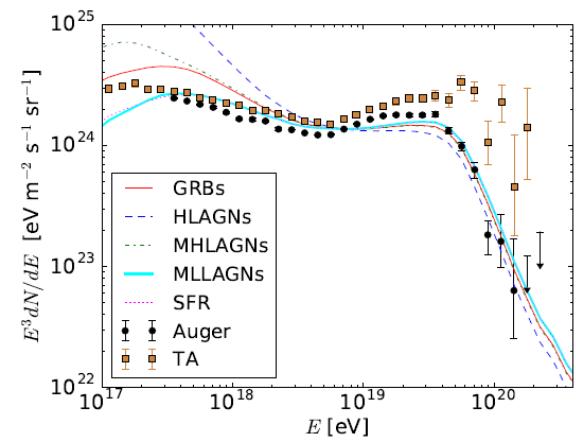
# Constraints from cosmogenic gamma rays?

- > Cosmogenic gamma-rays: produced in  $\pi^0$  – decay

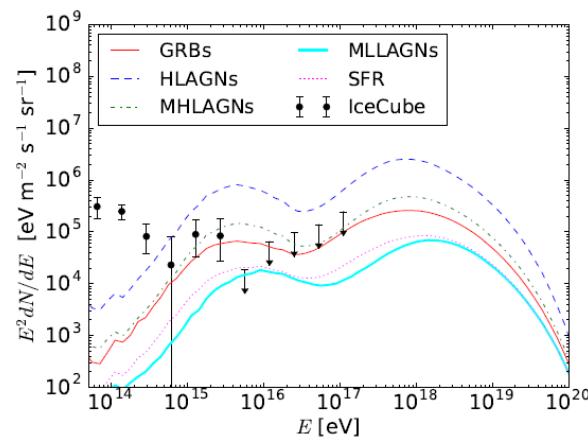


- > E.M. cascade → detected at lower energies
- > Third messenger, can also restrict UHECRs

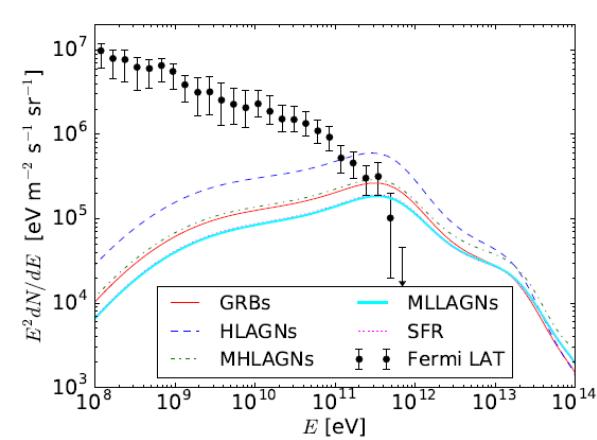
van Vliet  
arXiv: 1609.03336



(a) Cosmic rays



(b) Neutrinos



(c) Photons

# Extragalactic cosmic rays

## > Cosmic Rays

- Charged particles
- Approximate  $E^{-3}$  spectrum
- Little distinct features

## > Ultra High Energies

- Above  $10^9$  GeV
- Low anisotropy
- Large Lamour radius
- → extragalactic!

Origin?

Composition?

Transition?

Blumer, Engel, Horandel,  
Prog. Part. Nucl. Phys. 63, 293 (2009)

