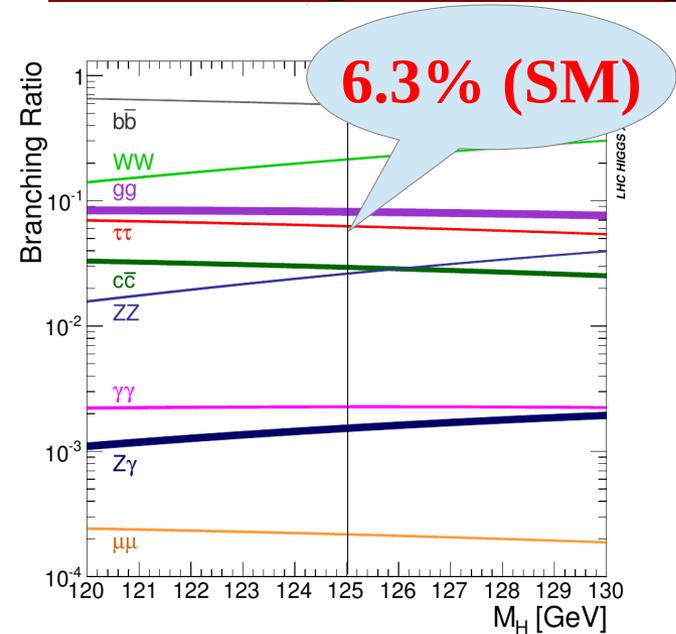


# **Observation of $H \rightarrow \tau\tau$ Decays at CMS**

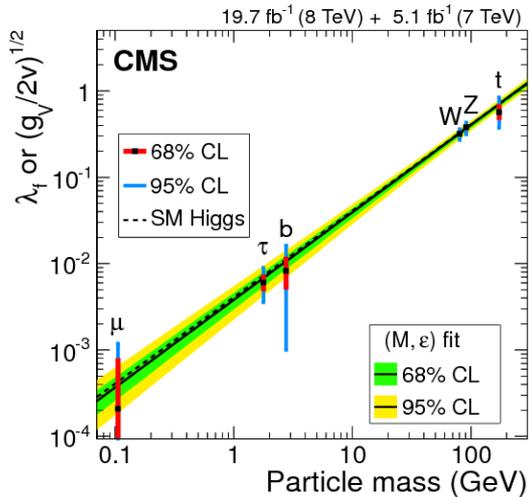
***Alexei Raspereza***

**LHC Physics Discussion, 2017/09/11**

# Why studying $H \rightarrow \tau\tau$ decays ?



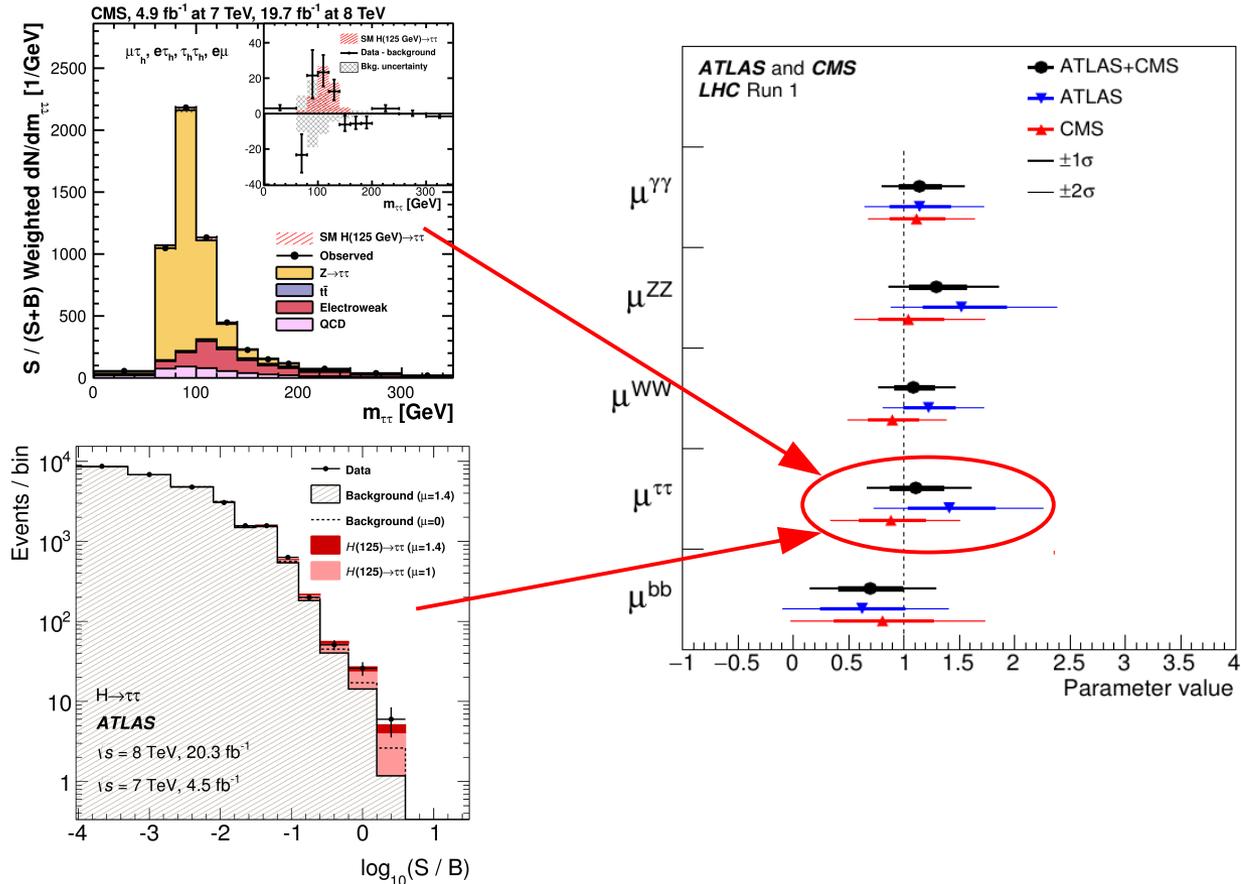
- **sizable BR  $\rightarrow$  high signal rate is expected**
- **probe Yukawa coupling  $\rightarrow$  testing mass-coupling relation for fermions**
- **access to CP quantum numbers (CP mixing)**
- **one of the most sensitive channels to VBF production**
- **boosted Higgs bosons in  $gg \rightarrow H$   $\rightarrow$  sensitivity to new physics**



# Results from Run1 and goals for Run2

## Run1

- signal established with significance of  $5.4\sigma$  in combination of ATLAS and CMS data
- measurements consistent with SM expectations



## Run2

- observation of signal by single experiment
- larger dataset and higher center-of-mass energy  $\rightarrow$  improve precision of coupling measurements (search for possible deviations from the SM)

# Analysed Dataset and Decay Modes

- **Dataset :**

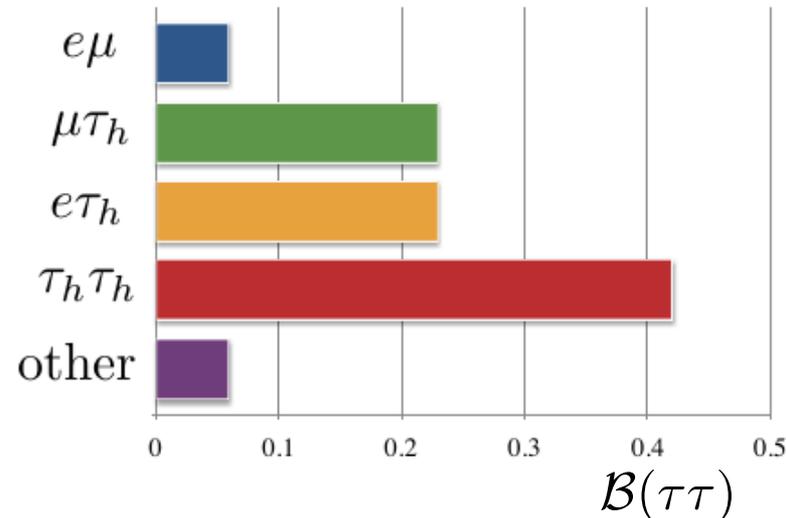
**35.9 fb<sup>-1</sup> collected by CMS  
at c-o-m energy of 13 TeV**

- **four decay modes of tau pairs  
exploited (94% of final states)**

*$e\mu$ ,  $\mu\tau_h$ ,  $e\tau_h$ ,  $\tau_h\tau_h$*

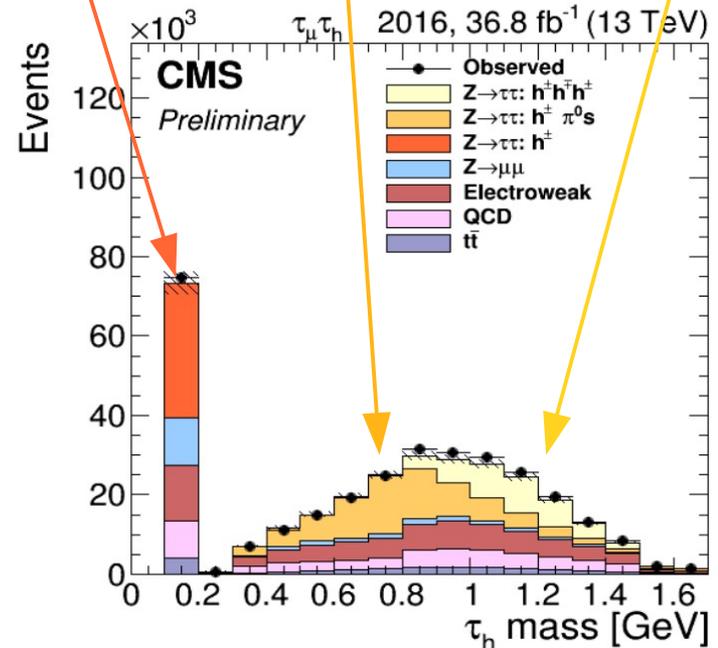
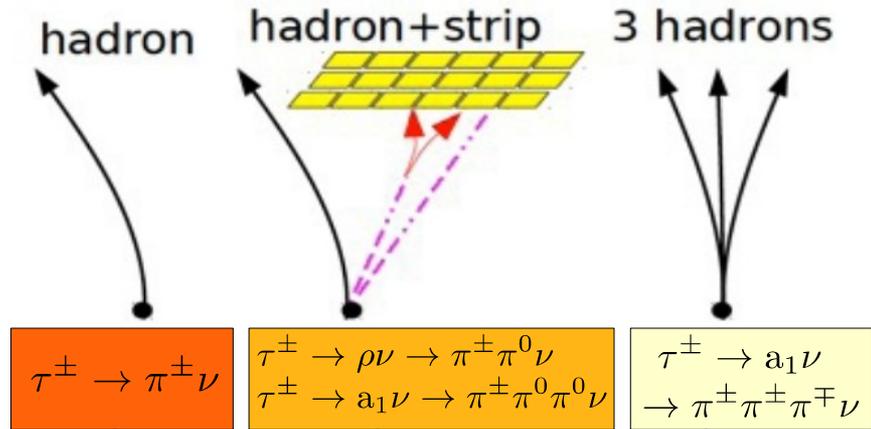
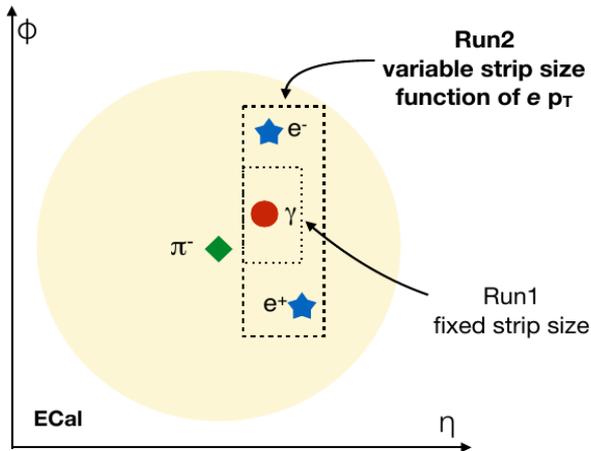
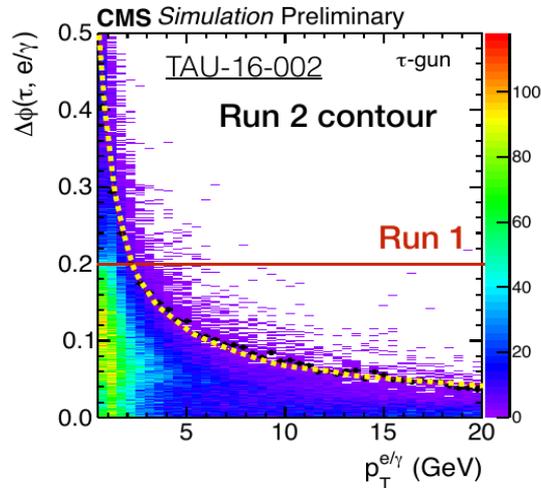
- **Final states with hadronically decaying  
tau leptons amount to 88% of all di-tau decays**

- **efficient triggering and identification of  $\tau_h$  in  
harsh pileup environment is essential**



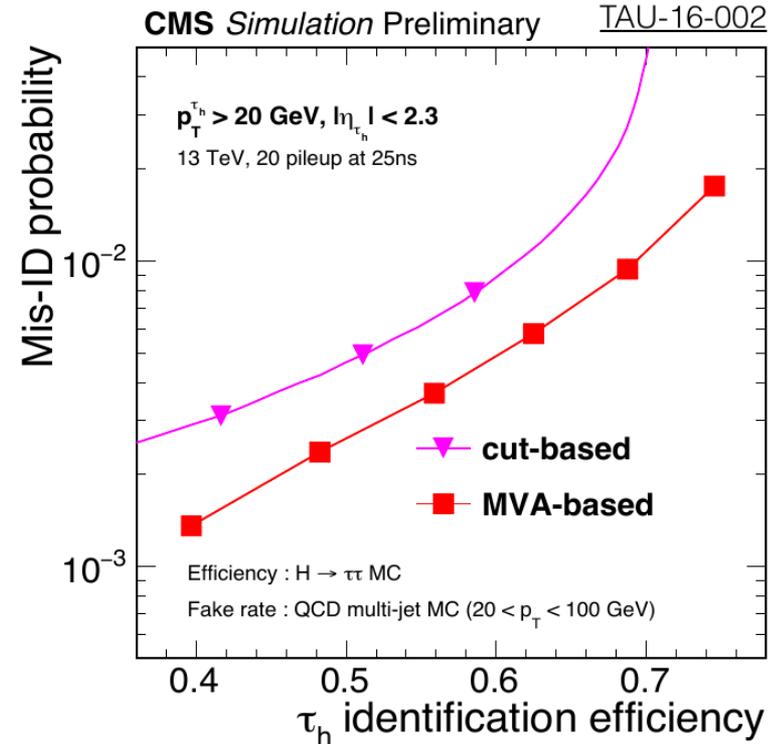
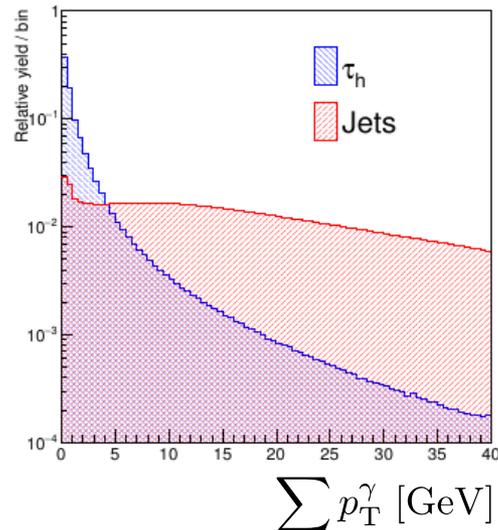
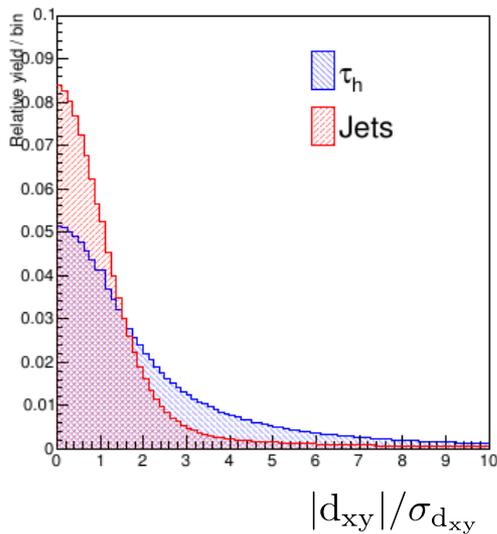
# $\tau_h$ Identification at CMS

- $\tau_h$  seeded by anti- $k_T$  jets ( $\Delta R_{\text{cone}}=0.4$ )
- uses as input particle-flow objects



# $\tau_h$ Identification

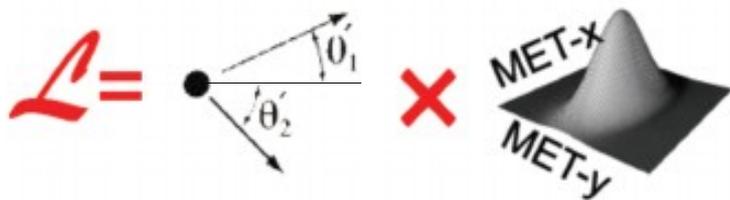
- **Run 1 approach : cut on isolation variables**
- **Run 2 approach : MVA discrimination of  $\tau_h$  against hadronic jets**
  - **isolation variables ( $p_T$  sums)**
  - **$\tau_h$  decay length information (track impact parameters, SV decay length)**
  - **multiplicity of particle-flow objects in signal/isolation cones**
  - **calorimeter cluster shapes**



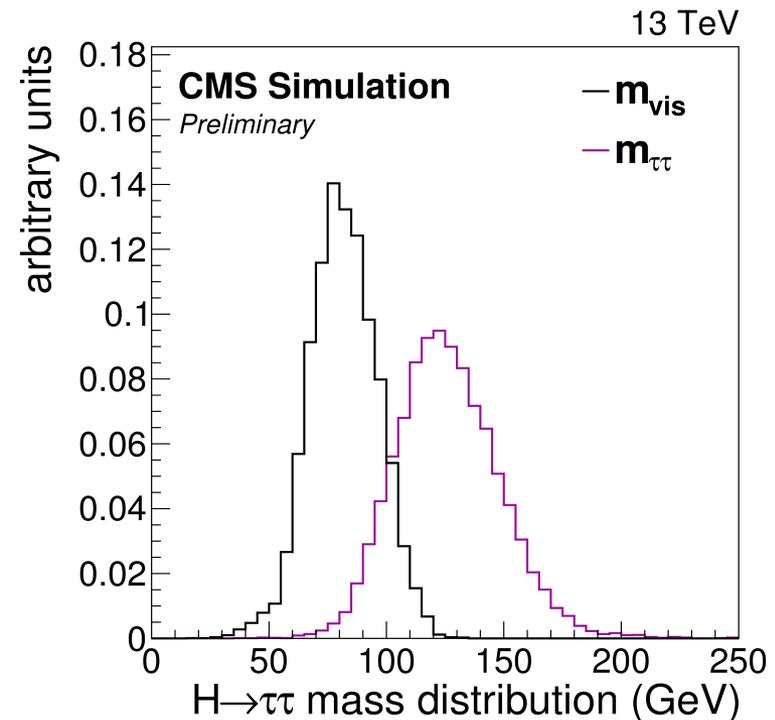
- significant improvement compared to Run 1 approach
- $\tau_h$  efficiency of  $\simeq 45\%$  for mis-ID probability of  $\simeq 2 \cdot 10^{-3}$

# Di-tau Mass Reconstruction

- Fully reconstructed di-tau mass is key variable discriminating signal against dominant  $Z \rightarrow \tau\tau$  background
- Reconstruction of  $m_{\tau\tau}$  with dynamic likelihood algorithm
- Inputs :  $\vec{p}_{\tau_1}, \vec{p}_{\tau_2}, \vec{p}_{\text{mis}}, \text{COV}(\vec{p}_{\text{mis}})$
- Estimate of  $m_{\tau\tau}$  is obtained by maximizing likelihood combining
  - matrix elements of tau decays
  - $\chi^2$  of  $\vec{p}_{\text{mis}}$  measurement



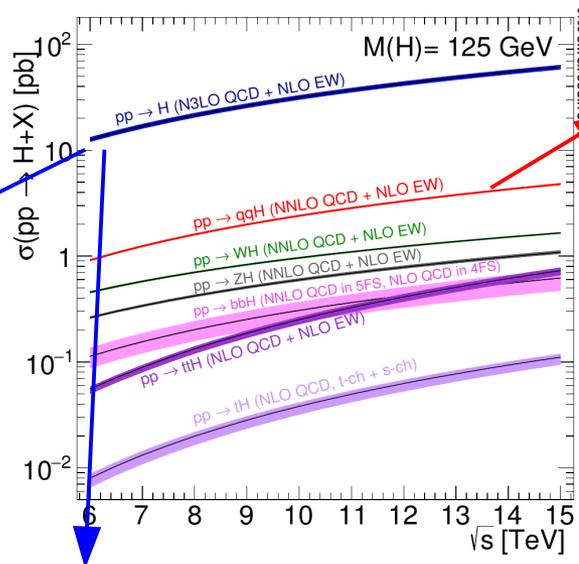
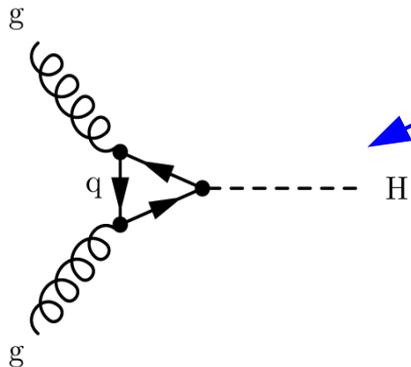
- Better separation of  $H \rightarrow \tau\tau$  signal and  $Z \rightarrow \tau\tau$  background compared to the invariant mass of visible  $\tau$  decay products
  - the peak position is shifted to the nominal value of resonance mass
  - mass resolution : 15-20%



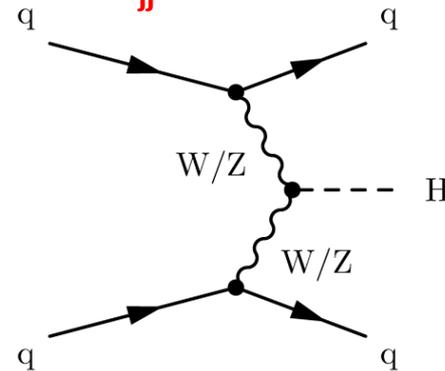
# Event categorization

- Major production mechanisms are targeted with specific event categories

**0-jet** : no jets with  $p_T > 30$  GeV,  $|\eta| < 4.7$

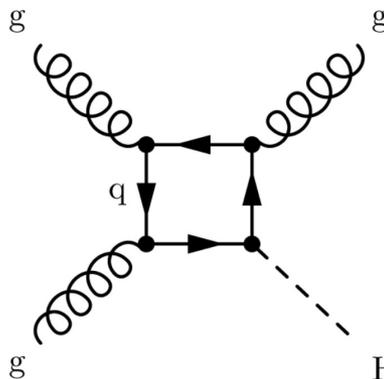


**VBF** : two jets with high  $m_{jj}$



- Small signal yield
- but highest S/B ratio

**boosted** : high  $p_T(H)$   
(not in 0jet or VBF)

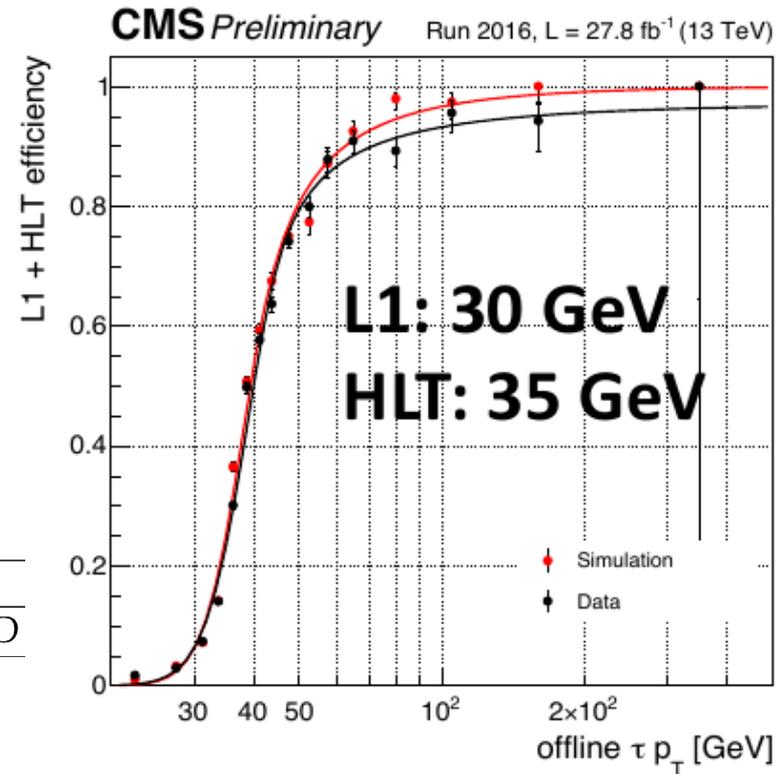


- enhanced S/B compared to 0-jet category
- improved di-tau mass resolution

- largest signal yield
- but also largest bkgd
- calibration of tau reconstruction with  $Z \rightarrow \tau\tau$  standard candle
- constrain uncertainties related to instrumental noise

# Overview of search channels : $\tau_h \tau_h$

- largest branching fraction of 42%
- Triggering is major a challenge
  - Improvements in L1 and HLT
    - higher readout granularity
    - sophisticated ID using dynamic clustering at hardware level
  - same  $p_T$  thresholds as in Run1 but faster turn-on and higher efficiency



Channel	Trigger requirement	Lepton selection		
		$p_T$ (GeV)	$\eta$	Isolation
$\tau_h \tau_h$	$\tau_h(35) \& \tau_h(35)$	$p_T^{\tau_h} > 50 \& 40$	$ \eta^{\tau_h}  < 2.1$	MVA $\tau_h$ ID

- Main backgrounds
  - Irreducible  $Z \rightarrow \tau\tau$ 
    - estimated with simulation with data-driven corrections (kinematics of Z and accompanying jets) derived from  $Z \rightarrow \mu\mu$  control region
  - QCD multijet background (suppressed by tight tau ID)
    - measured exclusively from data (extrapolation from sideband with loose tau ID)

# Overview of search channels : $\mu+\tau_h$ and $e+\tau_h$

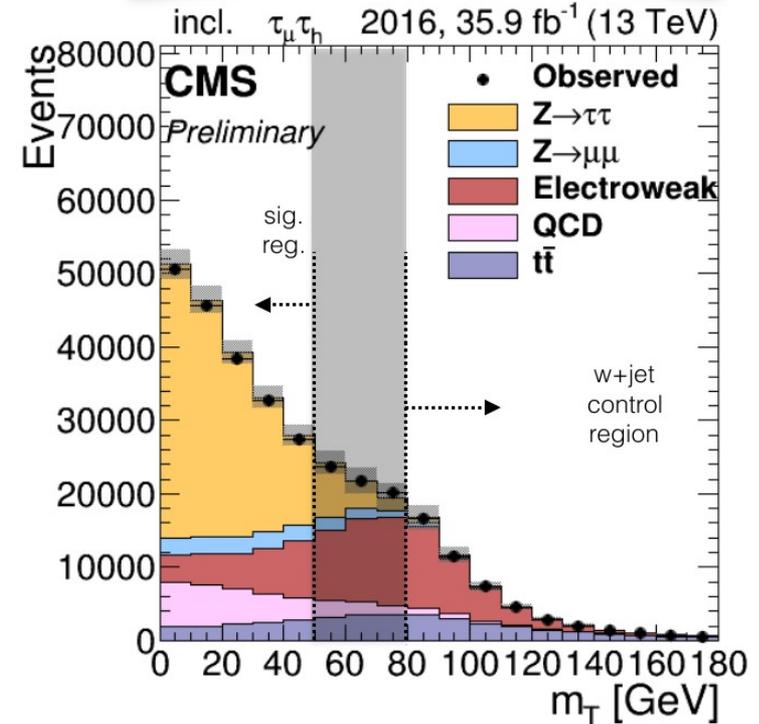
- lower branching fractions (23% +23%) but cleaner signature  
single-lepton triggers with higher  $p_T$  thresholds compared to Run1

$\mu+\tau_h$  channel : combination of single-muon and muon+tau cross trigger → increase in acceptance

Final state	Trigger requirement	Lepton selection		
		$p_T$ (GeV)	$\eta$	Isolation
$\mu\tau_h$	$\mu(22)$	$p_T^\mu > 23$ $p_T^{\tau_h} > 30$	$ \eta^\mu  < 2.1$ $ \eta^{\tau_h}  < 2.3$	$I^\mu < 0.15$ MVA $\tau_h$ ID
	$\mu(19) \& \tau_h(21)$	$20 < p_T^\mu < 23$ $p_T^{\tau_h} > 30$	$ \eta^\mu  < 2.1$ $ \eta^{\tau_h}  < 2.3$	$I^\mu < 0.15$ MVA $\tau_h$ ID
$e\tau_h$	$e(25)$	$p_T^e > 26$ $p_T^{\tau_h} > 30$	$ \eta^e  < 2.1$ $ \eta^{\tau_h}  < 2.3$	$I^e < 0.1$ MVA $\tau_h$ ID

## Major backgrounds :

- **Irreducible  $Z \rightarrow \tau\tau$  (estimated as in  $\tau_h\tau_h$  channel)**
- **$W$ +Jets with jet  $\rightarrow \tau_h$  fakes**
  - suppressed by  $m_\tau$  cut
  - estimated from high  $m_\tau$  sideband
- **QCD multijets (estimated from sideband regions with same sign lepton pairs and relaxed lepton ID)**
- **$Z \rightarrow ee/\mu\mu$  with  $e/\mu \rightarrow \tau_h$  fakes (estimated from simulation corrected for  $e/\mu \rightarrow \tau_h$  misidentification rates measured in data)**



# Overview of search channels : $e+\mu$

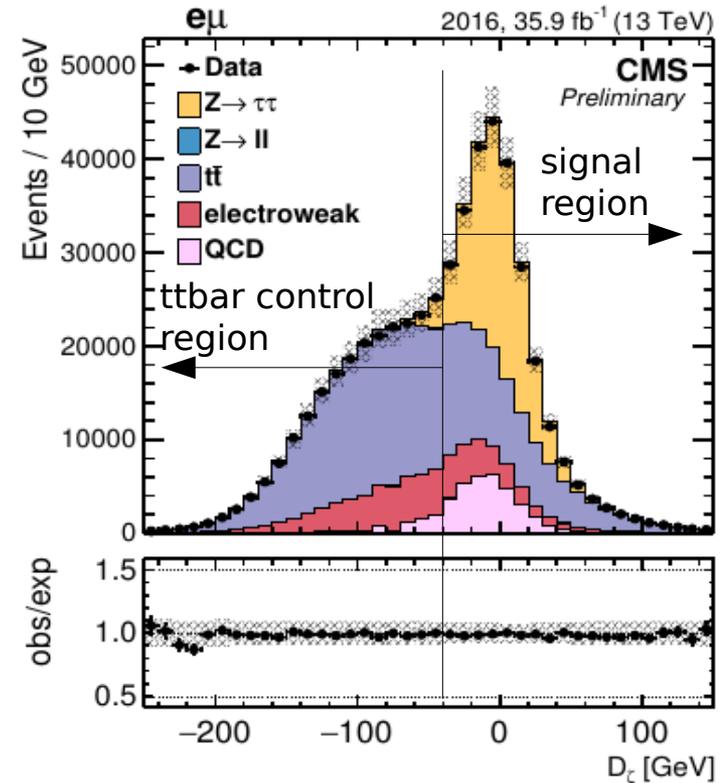
- **Cleanest signature but small branching fraction (6%) → lowest sensitivity**  
inter-calibration of Drell-Yan background w/o  $\tau_h$

**$e+\mu$  cross triggers with asymmetric thresholds are used → high signal acceptance**

Channel	Trigger requirement	Lepton selection		
		$p_T$ (GeV)	$\eta$	Isolation
$e\mu$	$e(12) \ \& \ \mu(23)$	$p_T^e > 13$	$ \eta_e  < 2.5$	$I^e < 0.15$
		$p_T^\mu > 24$	$ \eta_\mu  < 2.4$	$I^\mu < 0.2$
$e\mu$	$e(23) \ \& \ \mu(8)$	$p_T^e > 24$	$ \eta_e  < 2.5$	$I^e < 0.15$
		$p_T^\mu > 15$	$ \eta_\mu  < 2.4$	$I^\mu < 0.2$

- **Major backgrounds :**

- **Irreducible  $Z \rightarrow \tau\tau$  (estimated as in other channels)**
- **top-pairs**
  - **suppressed by b-tag veto and requiring alignment of missing  $p_T$  with visible decay tau products ( $e, \mu$ )**
  - **estimated from simulation corrected for top  $p_T$  distribution**
  - **constrained in the sideband region**
- **QCD multijets (estimated from sideband regions with same sign lepton pairs and relaxed lepton isolation)**



# Signal Extraction

- **Signal is extracted by simultaneous maximum-likelihood fit in 12 signal channels**

**4 final states ( $e\mu$ ,  $e\tau_h$ ,  $\mu\tau_h$ ,  $\tau_h\tau_h$ ) x 3 event category (0-jet, VBF, Boosted)**

**and 12 control regions, constraining W+Jets, QCD and ttbar backgrounds**

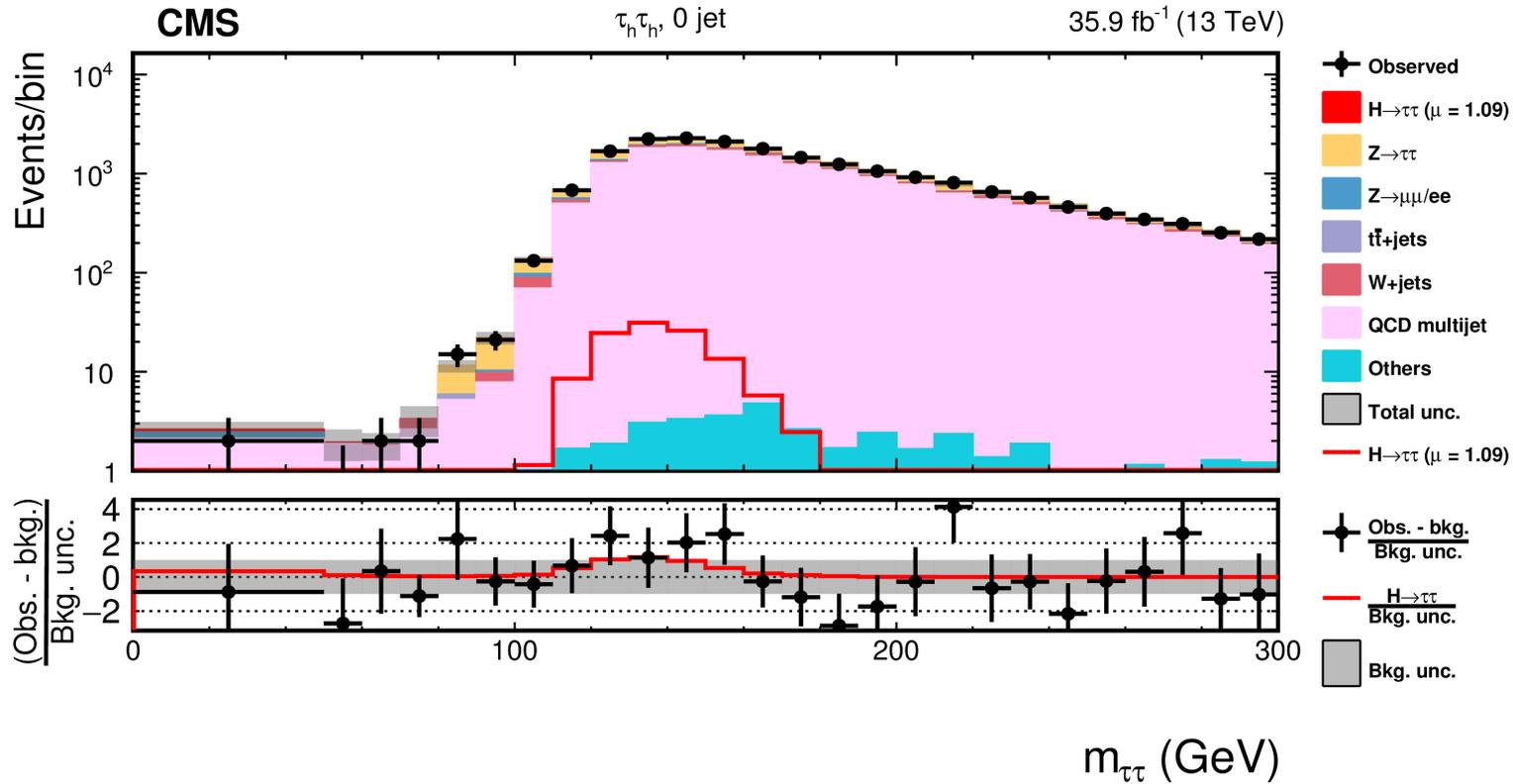
- **In all channels but one fit is performed with 2D distributions**

	0-jet	VBF	Boosted
	Selection		
$\tau_h\tau_h$	No jet	$\geq 2$ jets, $p_T^{\tau\tau} > 100$ GeV, $\Delta\eta_{jj} > 2.5$	Others
$\mu\tau_h$	No jet	$\geq 2$ jets, $m_{jj} > 300$ GeV, $p_T^{\tau\tau} > 50$ GeV, $p_T^{\tau_h} > 40$ GeV	Others
$e\tau_h$	No jet	$\geq 2$ jets, $m_{jj} > 300$ GeV, $p_T^{\tau\tau} > 50$ GeV	Others
$e\mu$	No jet	2 jets, $m_{jj} > 300$ GeV	Others
	Observables used in fit		
$\tau_h\tau_h$	$m_{\tau\tau}$	$m_{jj}, m_{\tau\tau}$	$p_T^{\tau\tau}, m_{\tau\tau}$
$\mu\tau_h$	$\tau_h$ decay mode, $m_{\text{vis}}$	$m_{jj}, m_{\tau\tau}$	$p_T^{\tau\tau}, m_{\tau\tau}$
$e\tau_h$	$\tau_h$ decay mode, $m_{\text{vis}}$	$m_{jj}, m_{\tau\tau}$	$p_T^{\tau\tau}, m_{\tau\tau}$
$e\mu$	$p_T^\mu, m_{\text{vis}}$	$m_{jj}, m_{\tau\tau}$	$p_T^{\tau\tau}, m_{\tau\tau}$

- **Di-tau mass or visible mass is used as 1<sup>st</sup> observable in 2D fit**
- **Choice of 2<sup>nd</sup> observable is motivated by**
  - **production signatures probed in a given category (boosted, VBF)**
  - **calibration of backgrounds specific to a given decay channel (0-jet)**

# Postfit final discriminants : $\tau_h \tau_h$ channel

- 1D  $m_{\tau\tau}$  distribution used to extract signal in 0-jet category
- No gain by adding second variable



- Moderate excess above background prediction at  $m_{\tau\tau} \sim 125$  GeV

# Postfit final discriminants : $\tau_h \tau_h$ channel

- Unrolled 2D distributions in Boosted and VBF categories

- Boosted category

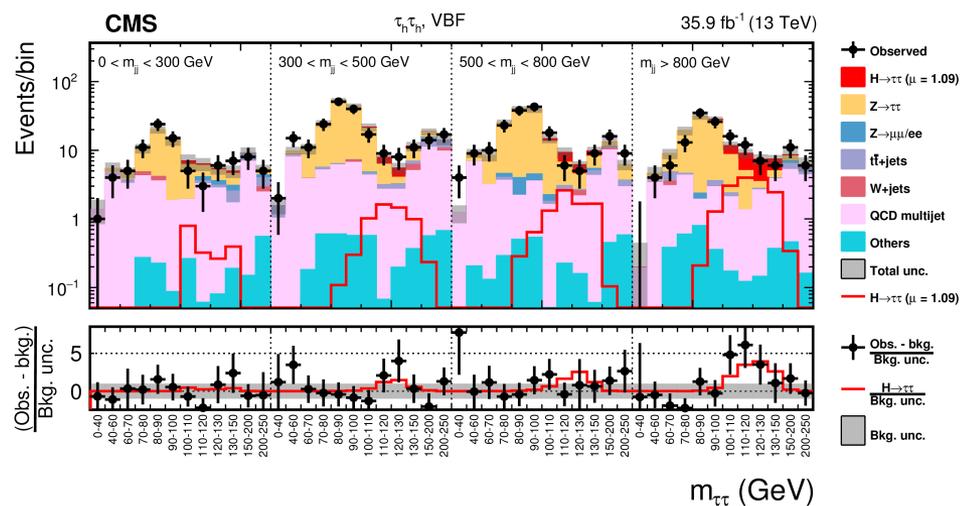
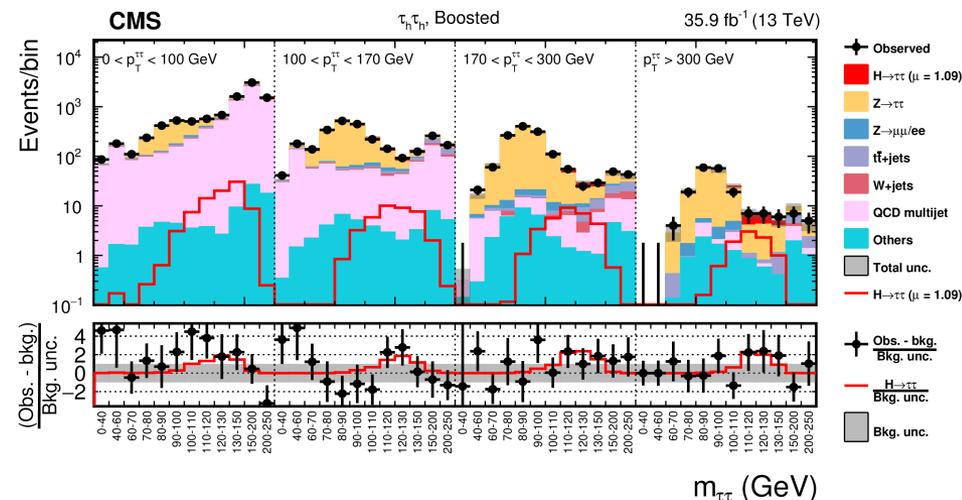
$$(m_{\tau\tau}, p_T^{\tau\tau})$$

- VBF category

$$(m_{\tau\tau}, m_{jj})$$

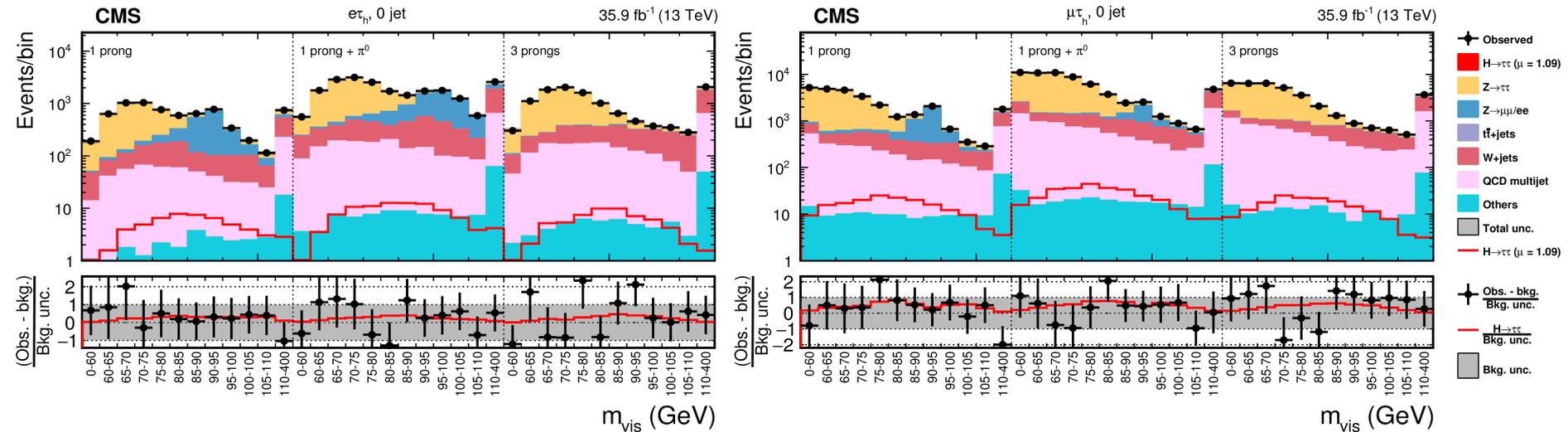
excellent S/B at high  $m_{jj}$   
(most sensitive channel)

- Signal clearly developing in bins with high S/B ratio



# Postfit final discriminants : $\ell + \tau_h$ channels

- the 2D distribution ( $m_{\text{vis}}$  vs.  $\tau_h$  decay mode) used to extract signal in 0-jet category
  - better separation between signal and  $Z \rightarrow ee/\mu\mu$  background



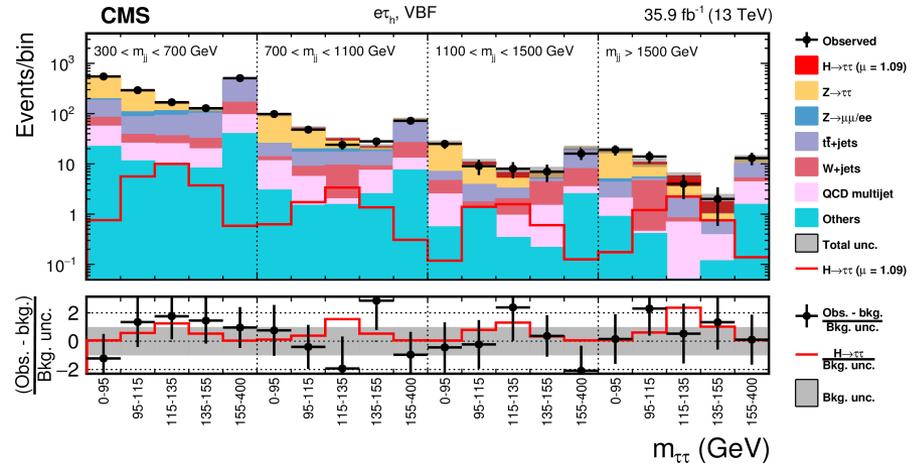
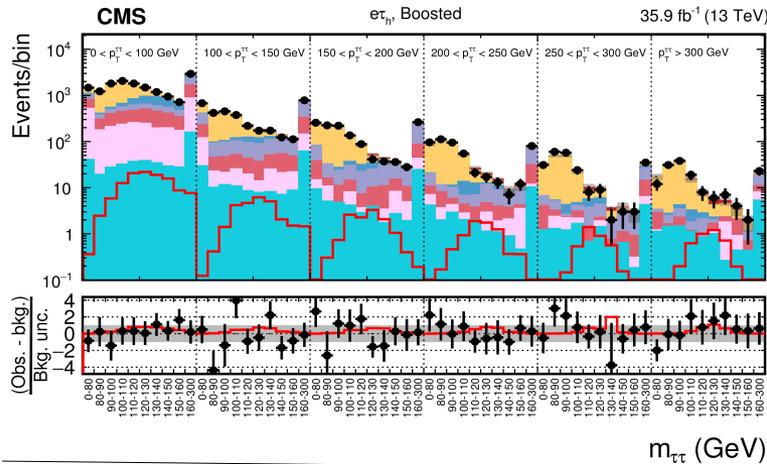
- Low sensitivity to signal
- But category facilitates calibration of Drell-Yan background and constrains instrumental uncertainties
  - $\tau_h$  ID efficiency and momentum scale
  - $e/\mu \rightarrow \tau_h$  fake rate and momentum scale

# Postfit final discriminants : $\ell+\tau_h$ channels

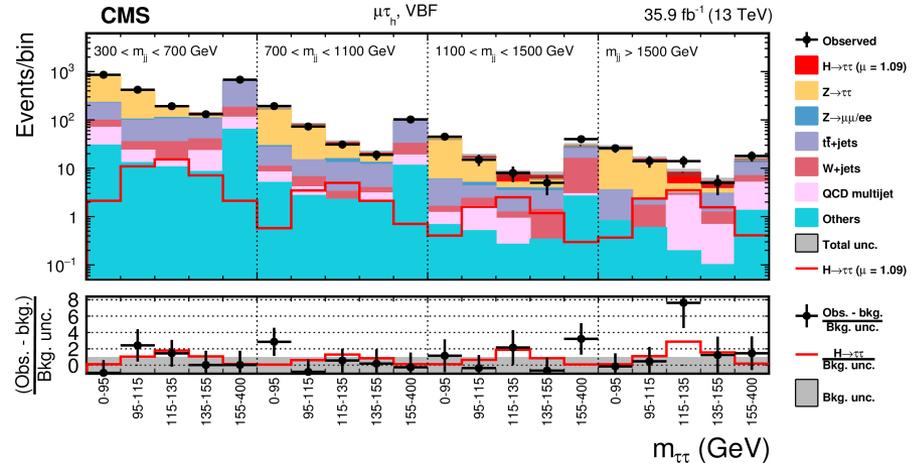
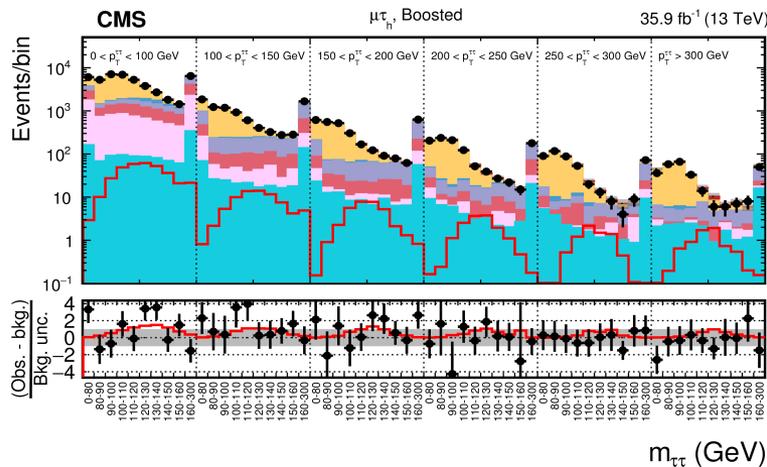
## Unrolled 2D distributions

Boosted :  $(m_{\tau\tau}, p_T^{\tau\tau})$

VBF :  $(m_{\tau\tau}, m_{jj})$



$e\tau_h$



$\mu\tau_h$

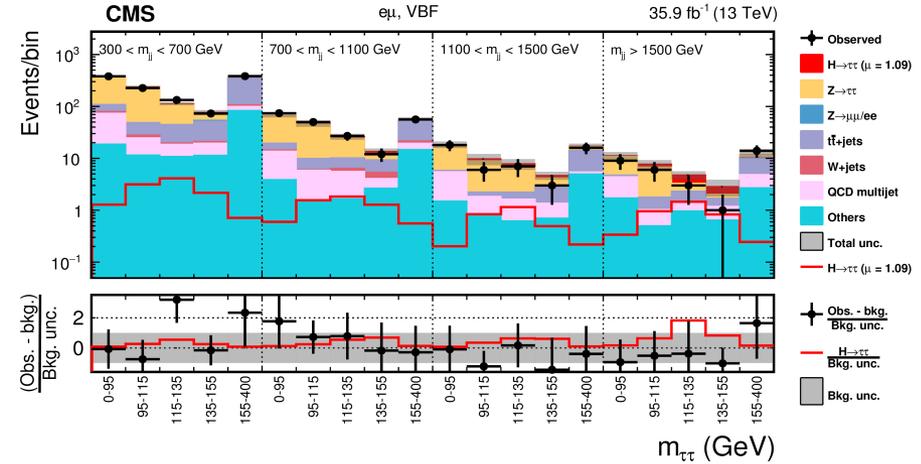
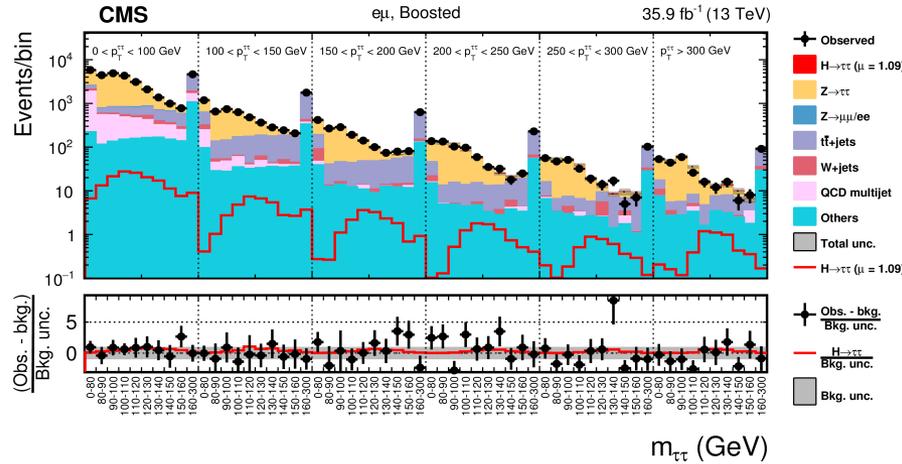
- Observed
- $H \rightarrow \tau\tau$  ( $\mu = 1.09$ )
- $Z \rightarrow \tau\tau$
- $Z \rightarrow \mu\mu ee$
- $t\bar{t}$ -jets
- $W$ -jets
- QCD multijet
- Others
- Total unc.
- $H \rightarrow \tau\tau$  ( $\mu = 1.09$ )
- Obs. - bkg. / Bkg. unc.
- $H \rightarrow \tau\tau$  / Bkg. unc.
- Bkg. unc.

# Postfit final discriminants : e+μ channel

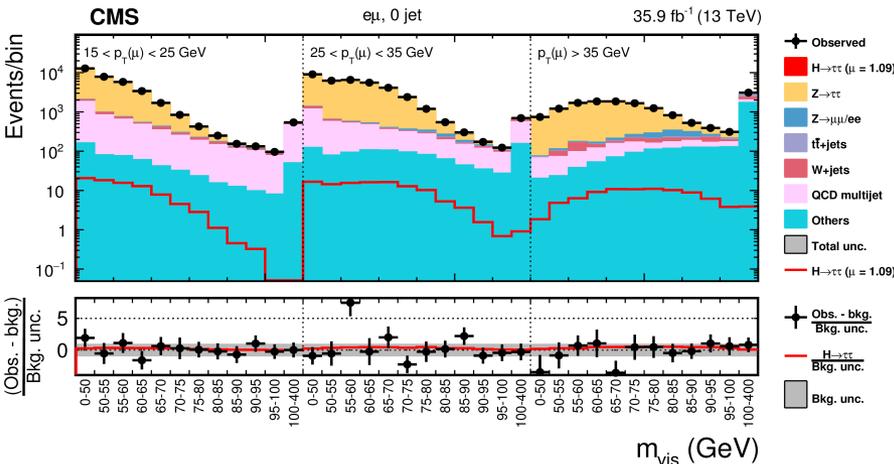
## Unrolled 2D distributions

Boosted :  $(m_{\tau\tau}, p_T^{\tau\tau})$

VBF :  $(m_{\tau\tau}, m_{jj})$



0-jet :  $(m_{\text{vis}}, p_T^\mu)$



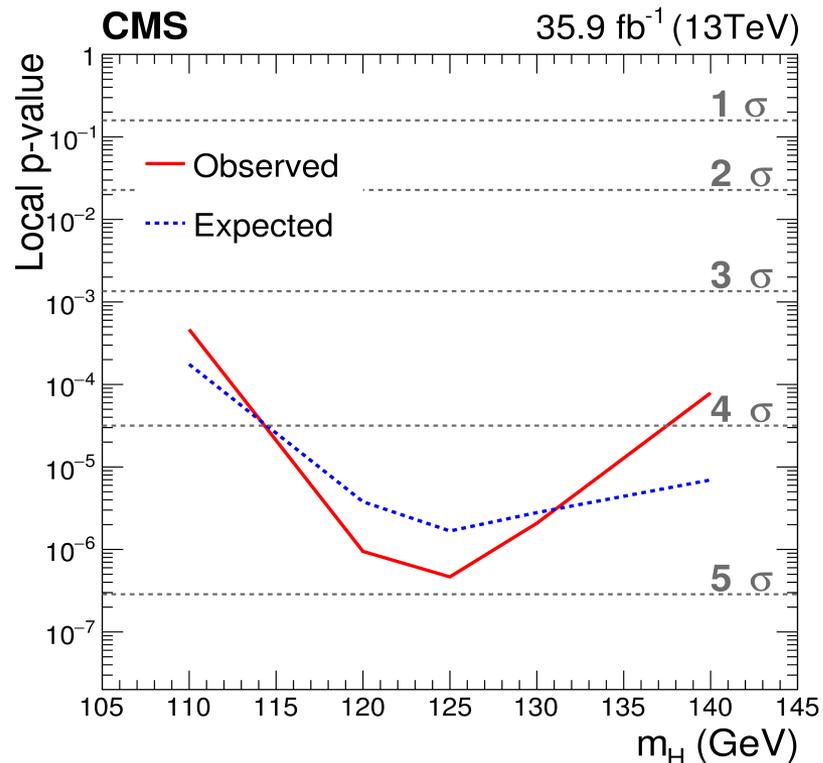
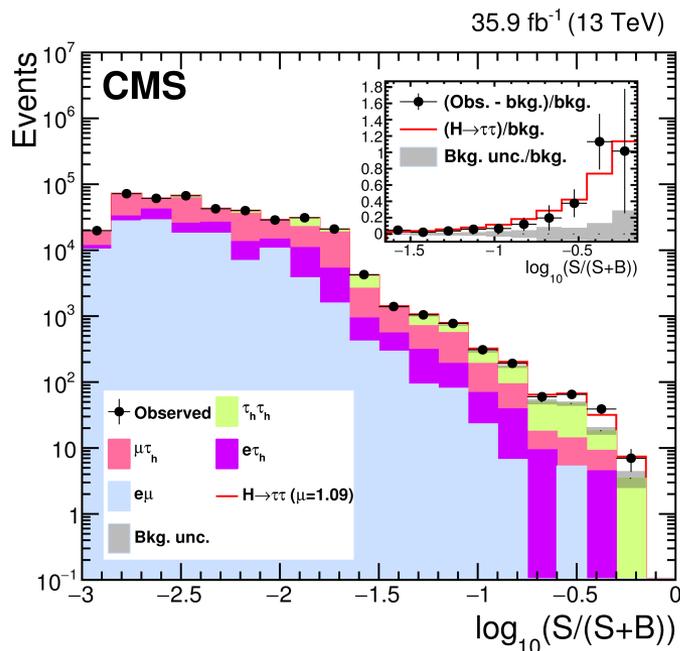
e+μ channel has lowest sensitivity

- smallest yield
- poorer mass resolution

Nonetheless, non-negligible contribution to overall sensitivity

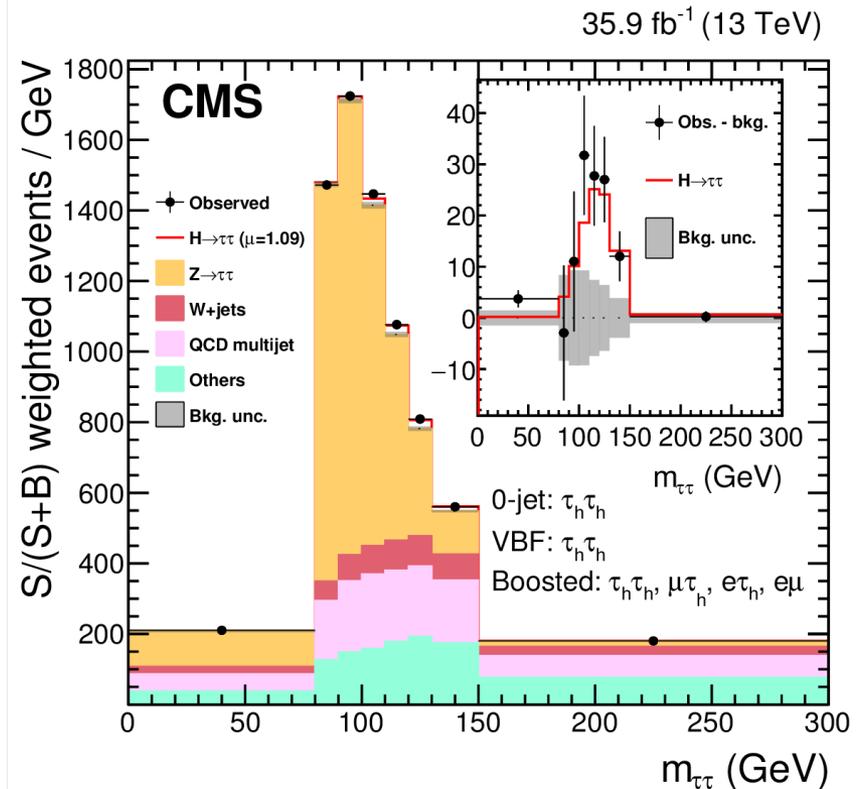
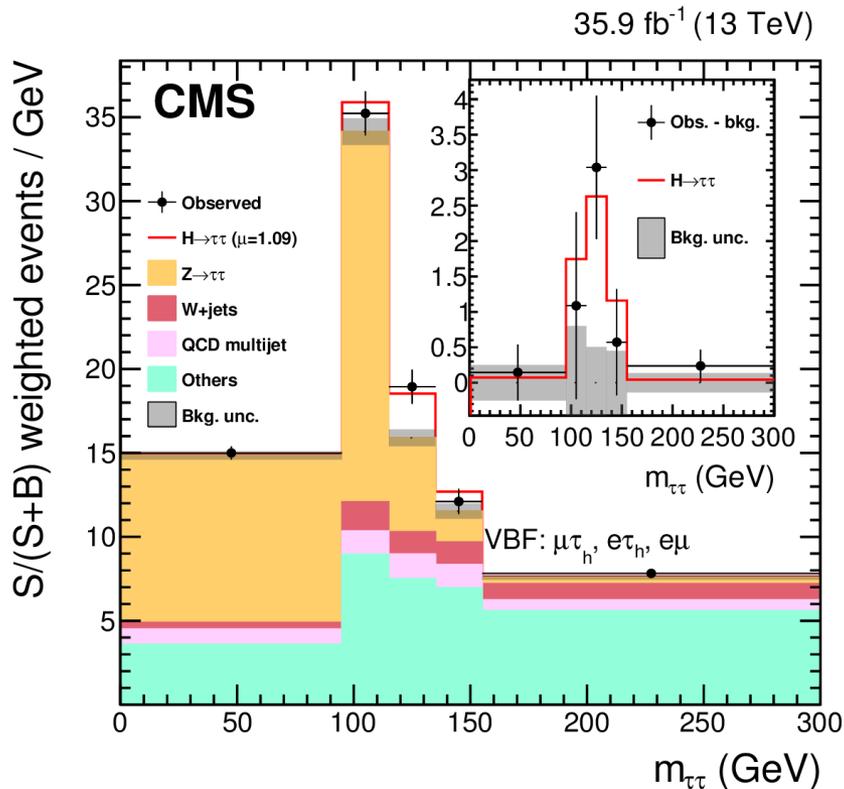
# Observation of $H \rightarrow \tau\tau$ Decays

- **Distribution of event yield in the analysis bins ordered by  $S/(S+B)$**
- **clearly visible excess in data w.r.t. background-only expectation**



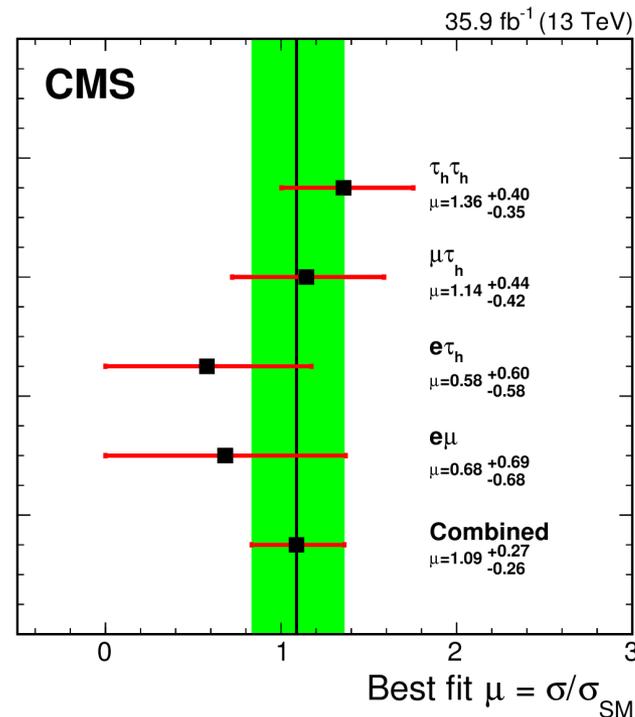
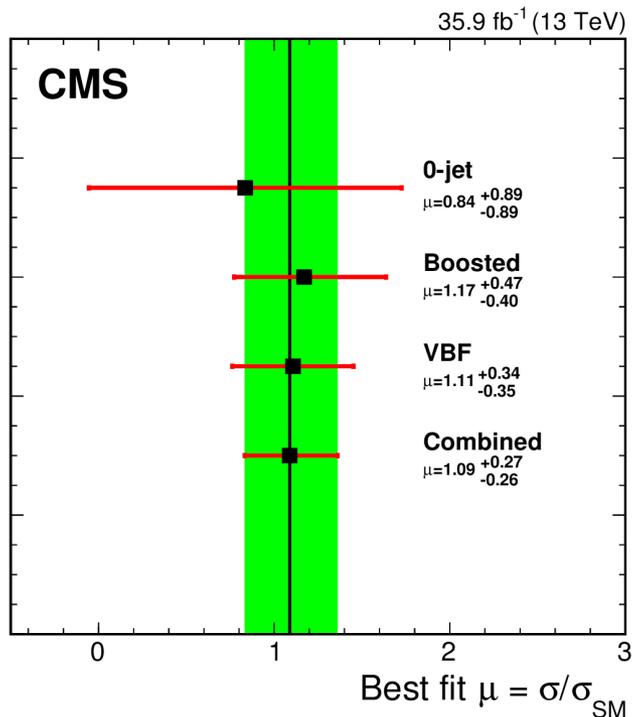
- **obs. (exp.) significance at  $m_H = 125$  GeV**
- **4.9 $\sigma$  (4.7 $\sigma$ ) with Run II data only**
- **Combination with Run I CMS data yields 5.9 $\sigma$  (5.9 $\sigma$ )**
- **first observation of Yukawa coupling in single fermionic decay channel at CMS**

# Visualization of signal : $m_{\tau\tau}$ distribution



- Events are weighted by  $S/(S+B)$  in bins of second variable of 2D distributions → unbiased mass spectrum
- Signal is clearly visible in the distribution of physical observable  $m_{\tau\tau}$

# Measurement of the signal strength



- Measurements are consistent across channels
- Highest sensitivity comes from
  - decay side :  $\tau_h \tau_h$
  - production side : VBF

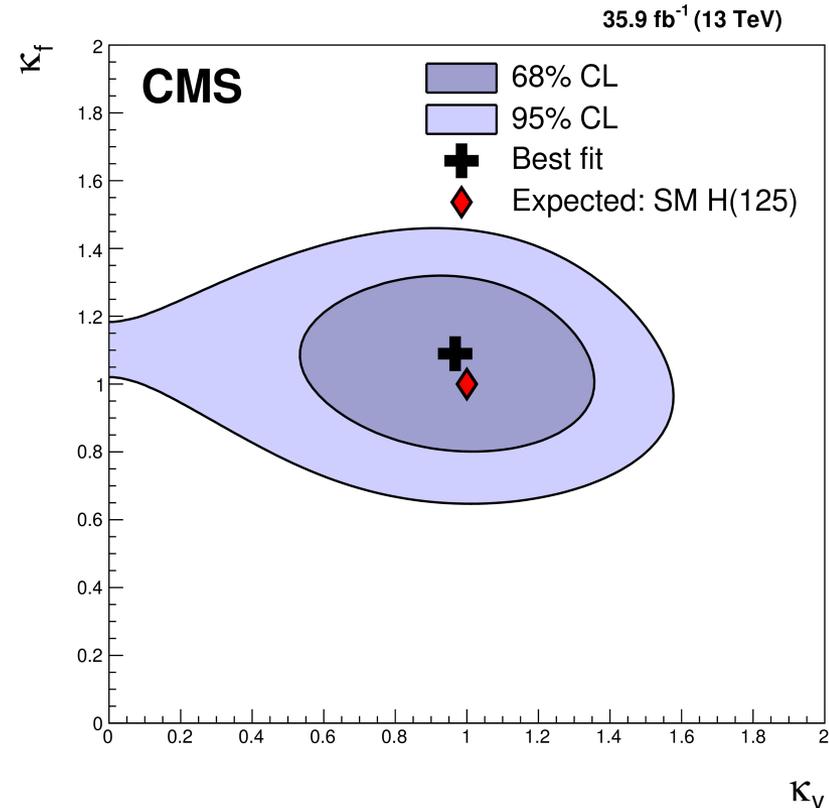
# Measurement of couplings

- probing universal coupling modifiers

$$\kappa_f = \frac{g_{Hf\bar{f}}}{g_{Hf\bar{f}}^{\text{SM}}} \quad \text{affects } gg \rightarrow H \text{ and } t\bar{t}H \text{ production rates and } H \rightarrow b\bar{b}/\tau\bar{\tau} \text{ decay rates}$$

$$\kappa_V = \frac{g_{HVV}}{g_{HVV}^{\text{SM}}} \quad \text{affects VBF and VH production rates and } H \rightarrow VV \text{ decay rates}$$

- Contribution of VH is added but not targeted with specific category
- Contribution from  $H \rightarrow WW$  (significant in  $e\mu$  channel, sub-dominant in other channels) is treated as signal
- Measurement of couplings is compatible with SM expectation



# Summary

- H(125) decays to  $\tau$ -leptons are studied at CMS using Run II data
- H(125)  $\rightarrow \tau\tau$  decay is observed with statistical significance of  $\sim 5.9\sigma$  combining data collected at 7, 8 and 13 TeV
  - **first observation of Yukawa coupling in a single experiment and single decay channel**
- measured H(125) properties in the H  $\rightarrow \tau\tau$  decay channel are consistent at current precision level with SM expectations
- Paper is submitted to PLB and made available in hep archive
  - arXiv:1708.00373 [hep-ex]**
- larger dataset is expected by end of 2017
  - **better measurement precision**
  - **new measurements possible, e.g. probing CP properties**

# Backup : Uncertainty model

- Refined uncertainty model
  - split jet energy uncertainties into 27 various sources
  - fine-grane instrumental uncertainties ( $\tau_h$  Id, momentum scale, fake rate)
- Background yields and shape constrained in a dedicated control regions
- instrumental corrections constrained in 0-jet category and propagated to VBF and Boosted categories
- Most of uncertainties are constrained in global fit
- Largest impact on precision comes from
  - instrumental uncertainties
  - theoretical shape uncertainties
  - limited MC statistics

Source of uncertainty	Prefit	Postfit (%)
$\tau_h$ energy scale	1.2% in energy scale	0.2–0.3
e energy scale	1–2.5% in energy scale	0.2–0.5
e misidentified as $\tau_h$ energy scale	3% in energy scale	0.6–0.8
$\mu$ misidentified as $\tau_h$ energy scale	1.5% in energy scale	0.3–1.0
Jet energy scale	Dependent upon $p_T$ and $\eta$	—
$\vec{p}_T^{\text{miss}}$ energy scale	Dependent upon $p_T$ and $\eta$	—
$\tau_h$ ID & isolation	5% per $\tau_h$	3.5
$\tau_h$ trigger	5% per $\tau_h$	3
$\tau_h$ reconstruction per decay mode	3% migration between decay modes	2
e ID & isolation & trigger	2%	—
$\mu$ ID & isolation & trigger	2%	—
e misidentified as $\tau_h$ rate	12%	5
$\mu$ misidentified as $\tau_h$ rate	25%	3–8
Jet misidentified as $\tau_h$ rate	20% per 100 GeV $\tau_h$ $p_T$	15
$Z \rightarrow \tau\tau/\ell\ell$ estimation	Normalization: 7–15% Uncertainty in $m_{\ell\ell/\tau\tau}$ , $p_T(\ell\ell/\tau\tau)$ , and $m_{jj}$ corrections	3–15 —
W + jets estimation	Normalization ( $e\mu$ , $\tau_h\tau_h$ ): 4–20% Unc. from CR ( $e\tau_h$ , $\mu\tau_h$ ): $\simeq$ 5–15 Extrap. from high- $m_T$ CR ( $e\tau_h$ , $\mu\tau_h$ ): 5–10%	— — —
QCD multijet estimation	Normalization ( $e\mu$ ): 10–20% Unc. from CR ( $e\tau_h$ , $\tau_h\tau_h$ , $\mu\tau_h$ ): $\simeq$ 5–15% Extrap. from anti-iso. CR ( $e\tau_h$ , $\mu\tau_h$ ): 20% Extrap. from anti-iso. CR ( $\tau_h\tau_h$ ): 3–15%	5–20% — 7–10 3–10
Diboson normalization	5%	—
Single top quark normalization	5%	—
$t\bar{t}$ estimation	Normalization from CR: $\simeq$ 5% Uncertainty on top quark $p_T$ reweighting	— —
Integrated luminosity	2.5%	—
b-tagged jet rejection ( $e\mu$ )	3.5–5.0%	—
Limited number of events	Statistical uncertainty in individual bins	—
Signal theoretical uncertainty	Up to 20%	—