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# Phase space effects on dipole showers and the DGLAP equation

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# Outline

## Introduction

Is the Dipole Cascade Inconsistent with DGLAP Evolution?

## DGLAP evolution

Timelike cascades

## $e^+e^-$ -annihilation

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## Conclusions



# Is the Dipole Cascade Inconsistent with DGLAP Evolution?

**DGLAP**: Renormalization group equation. A single scale.

Jet evolution: Scale = virtuality  $\sim p_{\perp}^2$ . Energy  $\approx \infty$

Dipole cascade: Two scales: Dipole mass and virtuality  $\sim p_{\perp}^2$

In  $e^+e^-$ -annihilation high  $p_{\perp}$  gluons have a very limited range in rapidity

$\Rightarrow$  Phase space limitations are important



# DGLAP evolution in timelike cascades

Renormalization group equation describes the modification when **a single scale parameter** is varied.

*Example:* Gluon emission in a jet with virtuality  $M$  but infinite energy (i.e. energy  $\gg M$ )

In  $e^+e^-$ -annihilation this corresponds to events where there is **no** jet with transverse momentum  $q_t > M$ .





$z_g \equiv$  the fraction of the positive lightcone momentum  $P_+$ .

The DGLAP evolution equation reads (in LL approximation):

$$D(Q, x) = \delta(1-x) + \frac{\bar{\alpha}}{2} \int_{Q_0^2}^{Q^2} \frac{dk^2}{k^2} \int_0^1 \frac{dz}{z} [D(k, x/(1-z)) - D(k, x)]$$

The  $k^2$ -integral has a cutoff  $Q_0^2$

The  $z$ -integral extends down to 0.



# Moments

The contributions to the moments  $D_N(Q) = \int dx x^N D(Q, x)$  for 1 and 2 loops:

**1 loop:**  $\bar{\alpha} \ln N \ln(Q/Q_0)$

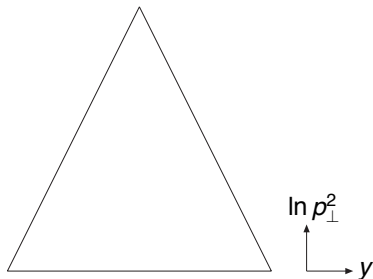
**2 loops:**  $\frac{1}{2} (\bar{\alpha} \ln N \ln(Q/Q_0))^2$

The sum exponentiates



# $e^+e^-$ -annihilation

With no restriction on  $p_\perp$  (“unbiased” events) phase space boundaries become important

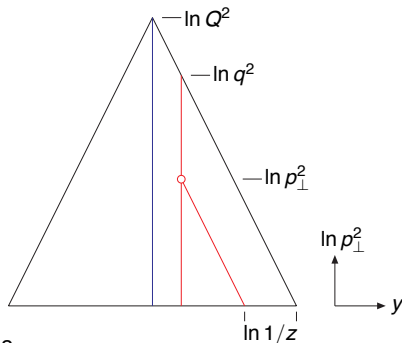


Large  $p_\perp \Rightarrow$  limited rapidity range



# Angular ordered cascade à la HERWIG

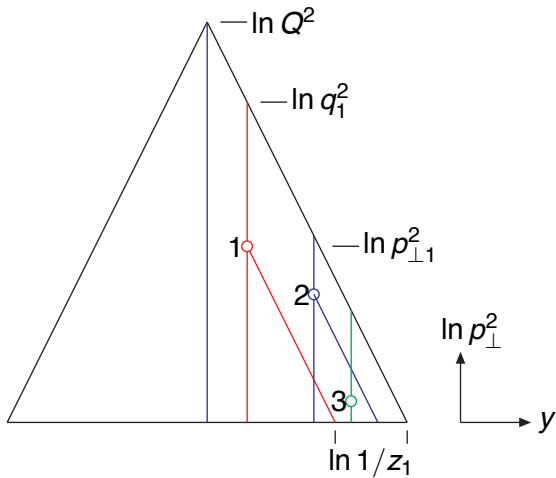
(Marchesini-Webber, NPB238, 1, 1984)



$q^2$  defines the angle (rapidity). Fixed angle  $\Rightarrow$  the  $z$ -integral gets a lower limit  $Q_0/q$ .



## Cascade



## Integral equation:

$$D(q, x) = \delta(1-x) + \frac{\bar{\alpha}}{2} \int_{Q_0^2}^{q^2} \frac{dk^2}{k^2} \int_{Q_0/k}^1 \frac{dz}{z} [D(k, x/(1-z)) - D(k, x)]. \quad (2)$$

Note that  $q$  or  $k$  is not the transverse momentum of an emitted gluon, but the **maximum  $p_\perp$**  possible for that rapidity (angle).

Lower limit for  $z$ -integral:  $Q_0/k$



**1 loop** contribution to the  $N$ th moment (if  $N < Q/Q_0$ ):

$$\begin{aligned}\bar{\alpha} \int_{1/N}^1 \frac{dz}{z} \int_{Q_0/z}^Q \frac{dk}{k} &= \bar{\alpha} \int_{1/N}^1 \frac{dz}{z} \ln(Qz/Q_0) \\ &= \bar{\alpha} \left( \ln N \ln(Q/Q_0) - \frac{1}{2} \ln^2 N \right)\end{aligned}\quad (3)$$

**2 loop** contribution:

$$\begin{aligned}\bar{\alpha}^2 \int_{Q_0}^Q \frac{dk_1}{k_1} \int_{Q_0}^{k_1} \frac{dk_2}{k_2} \int_{Q_0/k_1}^1 \frac{dz_1}{z_1} \int_{Q_0/k_2}^1 \frac{dz_2}{z_2} \\ = \frac{1}{2} \bar{\alpha}^2 \left( \int_{1/N}^1 \frac{dz}{z} \int_{Q_0/z}^Q \frac{dk}{k} \right)^2 = \frac{1}{2} (1 \text{ loop})^2\end{aligned}\quad (4)$$



# $k_t$ -ordered dipole cascade à la ARIADNE

(G.G.-U.Pettersson NPB306, 746, 1988)

Emissions factorize only for **strongly ordered** cascades

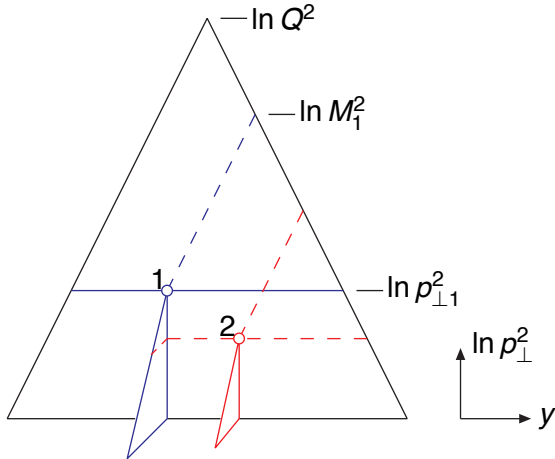
The event structure is dominated by hardest emissions

Aim: Find best factorizing approximation when the emissions are **not** strongly ordered

In the  $k_t$ -ordered dipole cascade implemented in ARIADNE a dipole emission depends on **two scales**

**Dipole mass** and **dipole virtuality**





The quark recoil is given by

$$D(s, k_{t,max}, x) = \delta(1-x) S + \\
+ \sum_n \prod_{i=1}^n \left\{ \frac{\bar{\alpha}}{2} \int \frac{dk_{t,i}^2}{k_{t,i}^2} \theta(k_{t,i-1} - k_{t,i}) \int_{k_{t,i-1}^2/(k_{t,i}^2 z_{i-1})}^1 \frac{dz_i}{z_i} S_i \right\} \times \\
\times \delta(x - \prod_i (1 - z_i)) \theta(k_{t,n} - Q_0) S_{n+1} \quad (5)$$

$$S_i = \exp \left\{ -\frac{\bar{\alpha}}{4} \ln(k_{t,i-1}^2/k_{t,i}^2) \ln(k_{t,i-1}^2/(k_{t,i}^2 z_{i-1}^2)) \right\}, \\
\text{with } k_{t,0} \equiv k_{t,max}, \quad k_{t,n+1} \equiv Q_0, \quad z_0 \equiv k_t^2/s. \quad (6)$$

**2 scales**  $\Rightarrow$  No simple renormalization group equation

No simple exponentiation



## 1 loop contribution

Single gluon: Full phase space allowed.

$$Q_0 < k_t < Q, \quad |y| < \ln(Q/k_t) \\ \text{or } k_t^2/Q^2 < z < 1 \quad (7)$$

Change order of integration  $\Rightarrow$

$$\begin{aligned} \frac{\bar{\alpha}}{2} \int_{1/N}^1 \frac{dz}{z} \int_{Q_0^2}^{Q^2 z} \frac{dk_t^2}{k_t^2} &= \frac{\bar{\alpha}}{2} \int_{1/N}^1 \frac{dz}{z} \ln(Q^2 z / Q_0^2) \\ &= \bar{\alpha} \left( \ln N \ln(Q/Q_0) - \frac{1}{4} \ln^2 N \right). \end{aligned} \quad (8)$$



## 2 loop contribution:

Add contribution from the “forward” dipole  $M_{\text{forward}}^2 = k_{t1}^2/z_1$

Limits:  $k_{t1}^2/Q^2 < z_1 < 1$ ,  $k_{t2} < k_{t1}$ ,  $k_{t2}^2 z_1/k_{t1}^2 < z_2 < 1$

Adding contributions from  $z_2 > z_1$  and  $z_2 < z_1$  gives:

$$\begin{aligned} & \frac{\bar{\alpha}^2}{2} \left( \ln^2(Q/Q_0) \ln^2 N - \frac{2}{3} \ln(Q/Q_0) \ln^3 N + \frac{5}{48} \ln^4 N \right) \\ &= \frac{\bar{\alpha}^2}{2} \left( \ln N \ln(Q/Q_0) - \frac{1}{4} \ln^2 N \right) \left( \ln N \ln(Q/Q_0) - \frac{5}{12} \ln^2 N \right) \quad (9) \end{aligned}$$



# Conclusions

In timelike cascades as  $e^+e^-$ -ann. phase space boundaries are important. Limits emission of soft gluons

More important for quark recoil are non-singular terms in the splitting function and non-factorizing contributions from hard gluon emissions

(See B. Andersson - GG - C. Sjögren, NPB380, 391, 1992)





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