PIER Graduate Week 2017

Interdisciplinary lecture and workshop week for PhD students

9 – 12 OCT 2017 CFEL / Bahrenfeld Campus / Hamburg

Ambient Pressure X- Ray Photoelectron Spectroscopy of catalytically active interphases

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Outline

Photoelectron Spectroscopy: General Principle Instrumentation Surface sensitivity Background subtraction Binding energy calibration PES peaks, loss features Peak fitting Chemical state Ambient pressure XPS Example XPS at the liquid-solid interface

Problem: What is the (chemical) composition of a surface

Goal:

Elemental composition

- Species of atoms on surface
- Concentration of surface atoms
- Vertical distribution
 - \rightarrow on surface (adsorbate)
 - → near surface

Molecular state of adsorbates

Oxidation state of surface species • $Me^0 \Leftrightarrow Me^{n+}$



Photoelectron Spectroscopy: General Principle



General Principle



 E_{v}^{sa} E_{v}^{sa} E_{v}^{sa} E_{B} E_{B}

- Detection of photoelectrons from the valence band region and core levels

 Detection of Auger electrons and X-Ray Fluorescence

Binding Energy out of: $hv = E_v^{sp} + E_{kin}$ $hv = E_{kin} + E_v^{sa} + \Phi_{sp} - \Phi_{sa}$ $hv = E_{kin} + E_B + \Phi_{sp}$

X-ray twin anode



XP spectra: two different anode materials



Synchrotron Radiation



Synchrotron Radiation Facility- BESSY II



Parallel plate mirror analyser



Halbkugelanalysator



Halbkugelanalysator

Elektronen werden zunächst auf einen bestimmten Energiebetrag **abgebremst**

Nur Elektronen mit bestimmter **"Passenergie"** können den Detektor erreichen

Je kleiner die "Passenergie" desto besser die Energieauflösung



Detector: Channeltron



Detector: Microchannel Plate



Where do the electrons come from?

Distance electron can travel in solids depends on:

- Material
- Electron kinetic energy

→ Measure attenuation of electrons by covering surface with known thickness of element



Sampling Depth



Sampling depth:

For normal takeoff angle, $\cos\Theta=1$:

- When d= λ : -ln(I/I₀)=0.367, i.e. 63.3% from within λ
- When d= 2λ : -ln(I/I₀)=0.136, i.e. 86.4% from within 2λ
- When d=3 λ : -ln(l/l₀)=0.050, i.e. 95.0% from within 3 λ

Mean Free Path of Electrons in Solids

• IMFP is average distance between inelastic collisions

• Minimum λ of 5-10Å for KE ~ 50-100 eV

→ Maximum surface sensitivity



Origin of background



Background of scattered electrons due to limited IMFP → Higher for low KE

Background Correction



→ Choose suitable energy range for substraction
→ Baseline on high KE side

Background Correction



Several ways to substract background:

- Stepwise
- Linear
- Method of Tougaard

→Most common:

Method of Shirley et al.:

$$b_i = k \sum_{j=i+1}^{N} p_j$$

Always use same background substraction method for all peaks!

D.A. Shirley, Phys. Rev. B, 5, S. 4709, 1972.

Spectral features: PE Peaks





Orbital peaks used to identify different elements in sample

Observation:

- s orbitals are not spin-orbit splitted \rightarrow singlet in XPS
- p, d, f.. Orbitals are spin-orbit splitted \rightarrow doublets in XPS

Spectral features: PE Peaks



Spectral features: Auger Peaks



Auger peaks:

Result from excess energy of atom during relaxation (after core hole creation)

- always accompany XPS
- broader and more complex structure than PES peaks

KE energy independent of incident hv

Spectral features: Loss Peaks







N(E) E

Energy loss (plasmon) lines associated with the 2s line of aluminum



After Emission of PE the remaining electrons rearrange (<u>Secondary peaks)</u>:

• Relaxation by excitation of valence electron to higher state (*shake up*)

→ loss of kinetic energy of PE leads to new peaks shifted in BE with respect to main peak

- Relaxation by ionisation of valence electron (*shake off*)
- \rightarrow broad shoulder to main peak
- Plasmon losses

Spectral features: Loss Peaks



Figure 9.5 Core level spectra of poly-1- and 2-vinylnaphthalene, polyacenaphthalene and polyvinylcarbazole.

(Clark et al., Copyright Elsevier Science Publishers)

Loss peaks can be used to identify chemical composition

Problem: References needed (theoretical or experimental)

Analyzing the data: Calibration of Binding Energies



Identification of FE:

Easy for high density of states near E_F , but may be ambigeous for samples with low DOS near E_F , band gap or charged samples

Analyzing the data: Calibration of Binding Energies





(Handbook of Photoelectron Spectroscopy, Perkin-Elmer-Corporation)

Identification of FE:

In case of low DOS near E_F , band gap or charged samples (spectrum will move in this case!)

→ Look for reference peaks with <u>known</u> BE (be sure about that!!!)
→ Works for any PE or Auger peak, e.g. peaks originating from substrate

Line profile modification by charging



Mo oxide on silica

real catalyst is powder sample after impregnation and calcination.

Chemical Shift

BE of core electrons depends on the electron density at the atom, affected by the electronegativity of neighboring atoms



For unknown BE:

- Calculation of Pauling's Charge (easy, but not always true)
- More elaborate calculations (theorists, also not always true)

Analyzing the data: Chemical States

Table 3.6N1sbinding energies. (Reproduced from Wagner et al.27 by
permission of Perkin-Elmer Corporation)

COMPOUND		1s BIND	ING ENER	GY, eV		
	394	398	402	406	410	
BuNH ₂ PhNH ₂ pyridine H ₂ NC ₄ H ₄ NO ₅ H ₂ NSO ₃ C ₆ N ₄ NO ₂ tetracyanoguinodimethane						ilone Ann earn airte airte
PhCN PhNHCSNHPh guanidine HCI phthalocyanine PhNNPh H _a N+CHRCOO-		-				nan 144 (d)
EtNH ₃ CI Me ₄ NBr Me ₄ NCI p-NH ₃ +C ₈ H ₄ SO ₃ - chioranil-pyridine						
AmONO MeNO ₂ PhNO ₂ WN						
BN NaSCN K₄Fe(CN)₅ KCN S₂N₂		4				
Co(NH ₃) ₈ Cl ₃ N ₂ H ₈ SO ₄ (NH ₃ OH) ⁺ Cl ⁻			1			dend o Dogodi National
NH ₄ NO ₃ Na ₂ N ₂ O ₂ NaNo ₂ NaNO ₂		1				

References:

- Use of data bases (NIST, Handbook of Photoelectron Spectroscopy etc.*)
- Clean materials (pure metals, well defined substrates, "untreated" samples etc.)
- Literature about similar topics
- *"Handbook of Photoelectron Spectroscopy", Perkin-Elmer, 1992
- G. Ertl, J. Küppers;
- "Low Energy Electrons and Surface Chemistry"; VCH Verlag, 1985
- S. Hüfner; 'Photoelectronspectroscopy'; Springer, 1995.
- D. Briggs, M.P. Seah;
- "Practical Surface Analysis", Vol. 1; Wiley + Sons, 1990.

Relationship between the degree of core level asymmetry and the density of states at the Fermi level (BE=0)



Analyzing the data: Peak Fitting

Most fitting programs provide useful tools:

Levenberg-Marquardt algorithm to minimize the χ^2

$$\chi^{2}(p) = \frac{1}{N-P} \sum_{i} \left[\frac{M(i) - S(i;p)}{\sqrt{M(i)}} \right]^{2}$$

- M: measured spectrum
- N: energy values
- S: synthesized spectrum
- P: parameter values

Asymmetric Peak shapes modeled by Doniach-Sunjic functions (convoluted with Gaussian profiles)

$$DS(E) = \beta \cdot \frac{\cos\left\{\pi\frac{\alpha}{2} + (1-\alpha)\arctan\left[\frac{E-E_0}{\beta}\right]\right\}}{\left[(E-E_0)^2 + \beta^2\right]^{\frac{1-\alpha}{2}}}$$

r

r _ _ _])

β: peak parameter M: mixing ratio α: asymmetry parameter h: peak height α → 0: Lorentzian profile

Convolution of Lorentz (or D.S.) Gaussian profiles suited best!!

$$f(E) = f(L * G) = \int_{-\infty}^{\infty} L(E')G(E - E')dE'$$

\rightarrow Voigt function

Analyzing the data: Peak Fitting



Several influences on peak shape:

- Broadening mainly due to excitating light (natural), structural and thermal effects
- Asymmetry due to final state effects
- Species with similar BE



These effects have to be considered when fitting by reasonable chemical/physical model of the sample!!!

Analyzing the data: Peak Fitting



But: both may differ for different components → discrepancy for different peaks should always be based on physical reasons

Quantitative Analysis

Goal:

Elemental composition

- Species of atoms on surface
- Concentration of surface atoms
- Vertical distribution
 - \rightarrow on surface (adsorbate)
 - → near surface

Molecular state of adsorbates

Oxidation state of surface species • Me⁰ ⇔ Meⁿ⁺



Quantitative Analysis: First Steps

In general:

 $I_{X} = B \times \sigma \times \lambda_{tot} \times T \times n_{X}$

B: all instrumental contributions σ : ionisation cross section for given photon energy λ_{tot} : total escape depth T: transmission through surface n_x : atomic density of analyzed species in sample

 σ = $\sigma_{tot} \times f(X, \alpha)$

with $f(X,\alpha) = 1 + (\beta(X)/4) (1 - 3\cos^2 \alpha)^*$

 σ_{tot} : total ionisation cross section

f: form function accounting for asymmetry of peak

β: asymmetry parameter

 α : angle between photon beam and emitted electron (different for standard x-ray source and synchrotron)

*Yeh and Lindau, Atomic data nucl. data tables32(1985)1

$\lambda_{tot} = E(X) \times 1/a[In(E(X)-b)^*$

E: KE of electron

a, b: parameters dependent on dielectric function and concentration of host

*Penn, J.of Electr.Spectr. And Rel. Phen. 9(1976)29

Cross section changes with energy of incident beam!!!

Quantitative Analysis: Cross Section



Calculated changes of Cross section beam energy

(Yeh, Lindau; Atomic data and nuclear data tables 32 (1985) 1)

Quantitative Analysis

In most cases the sample surface is quite heterogeneous

→ Need of *models* to extract an accurate approximation of composition out of spectral intensities



Ru 3d5/2-map of the chemical states on Ru(0001) after pre-treatment with O performed with Scanning Photoelectron Microscopy (ELETTRA):

Quantitative Analysis: Useful Examples

<u>A. Heterogeneous mixture (e.g. alloy):</u>



with the formulas above follows	$\frac{I_{A}}{I_{B}} \cdot \frac{I_{B}^{0}}{I_{A}^{0}} = \frac{N_{A}}{N_{B}} \cdot \frac{N_{B}^{0}}{N_{A}^{0}} \cdot \frac{\lambda_{AB}(E_{A})}{\lambda_{AB}(E_{B})} \cdot \frac{\lambda_{B}(E_{A})}{\lambda_{A}(E_{B})}$	$\left(\frac{B}{A}\right)$
and	$\begin{split} \lambda_{A} &= 0.41 \cdot a_{A}^{1.5} \cdot E_{A}^{0.5} \\ \frac{N_{A}}{N_{B}} \cdot \frac{N_{B}^{0}}{N_{A}^{0}} &= \frac{X_{A}}{X_{B}} \cdot \left(\frac{a_{A}}{a_{B}}\right)^{3} \end{split}$	a _A : "radius of A" → Mol fraction
follows	$\frac{X_A}{X_B} = \left(\frac{a_B}{a_A}\right)^{1,5} \cdot \frac{I_A / I_A^0}{I_B / I_B^0}$	I _{A,B} ⁰ : reference of clean material

Quantitative Analysis: Useful Examples

B. Partial Coverage (e.g. adsorbat):



Quantitative Analysis: Useful Examples

<u>C. Thin layer of A on B (e.g. oxide):</u>

Contribution of B:

$$I_{B} = I_{B}^{0} \exp\left(-\frac{d_{A}}{\lambda_{A}(E_{B})} \cdot \cos\Theta\right)$$

Contribution of A:

$$I_{A} = I_{A}^{0} \exp \left[1 - \exp \left(-\frac{d_{A}}{\lambda_{A}(E_{A})} \cos \Theta \right) \right]$$





➔ Special case:

$$\lambda_A^{}(E_A^{})\sim\lambda_A^{}(E_B^{})\quad \text{ i.e. }\quad E_A^{}\sim E_B^{}$$

follows

$$d_{A} \approx \frac{\lambda_{A}}{\cos\Theta} \cdot \ln \left[1 + \frac{I_{A}}{I_{B}} \cdot \frac{I_{B}^{0}}{I_{A}^{0}} \right]$$

General problem here: proper background substraction

In situ XPS: obstacles

Fundamental limit:

elastic and inelastic scattering of electrons in the gas phase



<u>Technical issues:</u> - Differential pumping to keep analyzer in high vacuum - Sample preparation and control in a flow reactor

In situ XPS: basic concept



- Photons enter through a window
- Electrons and a gas jet escape through an aperture to vacuum

In situ XPS instruments: previous designs



- H. Siegbahn et al. (1973-)
- M.W. Roberts et al. (1979)
- M. Faubel et al. (1987)
- M. Grunze et al. (1988)
- P. Oelhafen (1995)



In situ XPS using differentially pumped electrostatic lenses



D.F. Ogletree, H. Bluhm, G. Lebedev, C.S. Fadley, Z. Hussain, M. Salmeron, Rev. Sci. Instrum. 73 (2002) 3872.

Close-up of sample-first aperture region



In situ XPS system





e



Differential pumping via apertures combined with electrostatic lens system



lens system to maintain high electron acceptance

Application of in situ XPS to catalysis: methanol oxidation on Cu



What is the state of the surface under reaction conditions?

Partial oxidation of methanol

UHV XPS I.E. Wachs & R.J. Madix, Surf. Sci. 76, 531 (1978); A. F. Carley et al., Catal. Lett. 37, 79 (1996). $O^{-}(a) + 2CH_{3}OH(g) \longrightarrow 2CH_{3}O^{-}(a) + H_{2}O(g)$ $CH_{3}O^{-}(a) \longrightarrow CH_{2}O(g) + H^{+}(a)$



Questions for in situ XPS: - Quantitative analysis of surface species - Carbon species on the surface - Depth-dependent analysis

Experimental conditions

sample: polycrystalline Cu foil

<u>Variations of mixing ratios</u>: $CH_3OH : O_2 = 1:2, 3:1, 6:1;$ T = 400 °C; p = 0.6 mbar <u>Temperature series</u>: gas mixture at room temperature: $CH_3OH : O_2 = 3:1;$ p = 0.6 mbar; temperature: $25 \ ^\circ C \rightarrow 450 \ ^\circ C$ <u>flow rates</u>: 10 ... 20 sccm

XPS measurements Beam line U49/2-PGM1 at Bessy Energy range 100...1500 eV total spectral resolution 0.1 eV @ 500 eV

> O 1s, C 1s, Cu 3p, Cu 2p: KE ~ 180 eV Valence Band: KE ~ 260 eV

Depth profiling with KEs 180 eV, 350 eV, 500 eV, 750 eV

Methanol oxidation on Cu: Ols spectra



Ols depth profiling



Bluhm et a. JPC 2004

Methanol oxidation on Cu: C1s spectra



Metastability of the Sub-Surface Oxygen



Correlation of catalytic activity and surface species



CH₂O yield vs sub-surface oxygen peak area

Bluhm et a. JPC 2004

Depth profiling

(calculated from Cu 3p and sub-surface O 1s)

Reducing conditions



Open questions: What is the nature of the sub-surface oxygen species? What is its role in the catalytic reaction?



Ethylene epoxidation





- 25 million ton/year (2012)



feedstock chemical

intermediate for various consumer products

After 50+ years of research, **Ag** is still the **ONLY catalyst**!

% conversion

Hodnet, Heterogeneous ictalytic oxidation (2000)







main goal is to understand fundamental issues

what controls the selectivity?

Different mechanisms proposed in the literature:

1) Different "forms" of oxygen on Ag

- derived from surface science
- Oelec => attack C=C
- Onucl => attack C-H
- selectivity = distribution of O species

2) Common intermediate

- reinforced by DFT
- OMC intermediate
- limited experimental evidences
- selectivity = energetics of TS

We have to look at surface intermediates!





Catalytic performance



O1s shows two features which evolve in

Different forms of Oxygen, Oelec and On How to correlate changes in O1s with selectivity?

Surface spectroscopy

C1s shows no signal. No measurable C-intermediates





The Ag-O interaction



Are there different forms of O on Ag? O1s XPS spectra

Ag(110) at 0.5 mbar O2Ag nanopowder at 0.5 mbar O2 Agfoil at 0.5 mbar O2











O1s assignments



What are these species?

Assignments

Dynamics/kinetics

spectroscopic features (BE, Ag^{ŏ+}, XAS,VB)

Desorption/stability



J. Schnadt et al PRB 80, 075424 (2009)

Lable	BE (eV)	Ag ^{ŏ+} / O	VB (eV)	locatio n	DFT	reactivity	
Οα1	528.1-528.7	4.7	2.1		reconstructions		
Οα₂	529.2-529.4	2.3	-	surrace	(surface oxides)	nucleophilic	
Ογ	529.5-529.8	0.9	2.6	lattice	substitutional		
Oα₃	530.1-530.4	0.2	3.0	surface	? (surface O modifed by sub)		
Οβ	530.8-531.1	0.2	3.3	sub- surface	octahedral	electrophilic	



Rocha et al PCCP 14 4554 (2012)



Back to epoxidation





Promotion of Ag catalysts





Rocha et al JACS. (submitted)



Promotion effect of CI





At high CI coverages: poisoning effect = blocks oxygen activation



Summary





Oelec/Onucl as a selectivity descriptor





CI promotion

removes *Onucl* (site blocking)

enhances **Oelec** (electronic effect)



Summary

core states atom specific quantitative complex final state effects chemical shift concept theoretically difficult accessible can be applied in the mbar range surface sensitive depth profiling

Literature

1. W. Göpel, Chr. Ziegler: Struktur der Materie: Grundlagen, Mikroskopie und Spektroskopie, Teubner Verlagsgesellschaft, Stuttgart-Leipzig, 1991 2. M. Henzler, W. Göpel: Oberflächenphysik des Festkörpers, Teubner Verlagsgesellschaft, Stuttgart-Leipzig, 1991 3. W. Göpel, Chr. Ziegler : *Einführung in die Materialwissenschaften*, Teubner Verlagsgesellschaft, Stuttgart-Leipzig, 1996 4. D. Briggs, M. P. Seah: Practical Surface Analysis, Volume 1: Auger and X-Ray Photoelectron Spectroscopy, 2. Auflage, John Wiley & Sons, Chichester, 1990 5. C. D. Wagner, W. M. Riggs, L. E. Davis, J. F. Moulder, G. E. Mullenberg: Handbook of X-Ray Photoelectron Spectroscopy, Physical Electronics Division, Perkin-Elmer Corporation, Eden Prairie, Minnesota, 1979 6. H. Lüth: Surfaces and Interfaces of Solid Materials, 3. Auflage, Springer Verlag, Berlin, 1995 7. G. Ertl, J. Küppers: Low Energy Electrons and Surface Chemistry, VCH Verlagsgesellschaft, Weinheim, 1985 8. K. Siegbahn, C. Nording et al.: ESCA Applied to Free Molecules, North-Holland, Amsterdam, 1971 9. M. Cardona, L. Ley: *Photoemission of Solids*, Topics in Applied Physics, Band 26 und 27, Springer Berlin, 1978 10. M. Grasserbauer, H. J. Dudek, M. F. Ebel: Angewandte Oberflächenanalyse mit SIMS, AES und XPS, Springer Berlin, 1979 11. D. Briggs and J. T. Grant: Surface Analysis by Auger and Photoelectron **Spectroscopy**, Surface Spectra and IM Publications 2003