Axion/ALPs in Astrophysics and Cosmology.

Andreas Ringwald

SFB Lecture DESY Hamburg, D 7 July 2017





Reminder

> At very low energies, axion/ALP interactions with photons, electrons, nucleons described by

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} a \partial^{\mu} a - \frac{1}{2} m_a^2 a^2 - \frac{\alpha}{8\pi} \frac{C_{a\gamma}}{f_a} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{1}{2} \frac{C_{af}}{f_a} \partial_{\mu} a \,\overline{\psi}_f \gamma^{\mu} \gamma_5 \psi_f$$

In case of axion:

$$m_A = 57.0(7) \left(\frac{10^{11} \,\mathrm{GeV}}{f_A}\right) \mu\mathrm{eV}$$

$$C_{A\gamma} = \frac{E}{N} - 1.92(4)$$

- $\begin{aligned} C_{Ap} &= -0.47(3) + 0.88(3)C_{Au} 0.39(2)C_{Ad} 0.038(5)C_{As} \\ &\quad -0.012(5)C_{Ac} 0.009(2)C_{Ab} 0.0035(4)C_{At} \,, \\ C_{An} &= -0.02(3) + 0.88(3)C_{Ad} 0.39(2)C_{Au} 0.038(5)C_{As} \\ &\quad -0.012(5)C_{Ac} 0.009(2)C_{Ab} 0.0035(4)C_{At} \,. \end{aligned}$
- Electron coupling very model-dependent

In case of ALP: mass and couplings very model-dependent Andreas Ringwald | Axion/ALPs in Astrophysics and Cosmology, SFB Lecture, DESY, Hamburg, D, 7 July 2017 | Page 2



Astrophysical Signatures for Axion/ALPs

- > Axion/ALPs may
 - lead to excessive energy losses of stars in various evolutionary stages
 - may convert to photons (or vice-versa) in astrophysical magnetic fields



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Evolution of stars (Main Sequence – Red-Giant (RG) – Helium Burning (HB) – White Dwarf (WD)) sensitive to additional energy losses



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- RG cooling rate: Brightness of tip of RG branch in color-magnitude diagram of globular cluster [Viaux et al. 13]
- HB cooling rate: Number of HB stars vs. number of RGs in colormagnitude diagram of globular cluster [Ayala et al. 14]



[Giannotti `16]



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[Giannotti 15]



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- > WD cooling rate:
 - Period decrease of variable
 WDs [Kepler et al. 91,...]









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$$g_{ae} \equiv \frac{m_e}{f_a} |C_{ae}| < 4.3 \times 10^{-13}$$

$$g_{a\gamma} \equiv \frac{\alpha}{2\pi f_a} |C_{a\gamma}| < 6.6 \times 10^{-11} \,\mathrm{GeV}^{-1}$$

$$g_{ae} \equiv \frac{m_e}{f_a} |C_{ae}| < 2.8 \times 10^{-13}$$



However, practically every stellar systems seems to be cooling a bit faster than predicted by models based on SM:



[Giannotti, Irastorza, Redondo, AR (2015); Giannotti, Irastorza, Redondo, AR (in preparation)]

Excessive energy losses of HBs, RG, WDs can be explained at one stroke by production of axion/ALP with coupling to photons and electrons:



$$g_{a\gamma} = C_{a\gamma} \alpha / (2\pi f_a)$$

 $\gamma + Ze \rightarrow Ze + a$
 $g_{ai} = C_{ai} m_i / f_a$
 $e + Ze \rightarrow Ze + e + a$

[Giannotti, Irastorza, Redondo, AR, Saikawa (in preparation)]



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Neutron star in Cas A:



- Measured surface temperature reveals unusually fast cooling rate
- Hint on extra cooling by axion/ALP due to nucleon bremsstrahlung

$$N + N \to N + N + a$$

Required coupling to neutron:

$$g_{an} \equiv \frac{m_n}{f_a} |C_{an}| = (3.8 \pm 3) \times 10^{-10}$$



[Leinson 14]



> SN 1987 A:





 Emission of axion/ALPs would take away energy from neutrino burst and shorten it

 $g_{ap}^2 + g_{an}^2 < 3.6 \times 10^{-19}$

[Raffelt 08; Fischer et al. 16; Giannotti et al. (in prep.)]

 Not very solid bound: sparse data and interaction difficult to model



Excessive energy losses of HBs, RG, WDs, NS can be explained at one stroke by production of axion with coupling to photons, electrons, nucleons, e.g. for DFSZ axion model:



$$f_a = rac{v_{\mathrm{PQ}}}{6},$$

$$C_{ae}^{\rm DFSZ\ I} = \frac{1}{3} \sin^2\beta\,, \qquad C_{ae}^{\rm DFSZ\ II} = \frac{1}{3} (1 - \sin^2\beta)$$

$$\begin{split} C_{a\gamma}^{\rm DFSZ~I} &= \frac{8}{3} - 1.92(4) \,, \qquad C_{a\gamma}^{\rm DFSZ~II} = \frac{2}{3} - 1.92(4) \,, \\ C_{Ap} &= -0.435 \sin^2\beta + (-0.182 \pm 0.025) \,\,, \\ C_{An} &= 0.414 \sin^2\beta + (-0.16 \pm 0.025) \,\,. \end{split}$$





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DES

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Excessive energy losses of HBs, RG, WDs, NS can be explained at one stroke by production of axion with coupling to photons, electrons, nucleons, e.g. for KSVZ axion/majoron model (as in SMASH):



$$\mathcal{L}_Y \supset -\overline{L}_i F_{ij} N_j H - \frac{1}{2} \overline{N}_i^c Y_{ij} N_j \sigma + \text{h.c.}$$

$$C_{ae} \simeq \frac{1}{8\pi^2 N} \left(\kappa_{ee} - \frac{1}{2} \operatorname{tr} \kappa \right)$$

$$C_{aq} \simeq \frac{1}{8\pi^2 N} T_3^q \operatorname{tr} \kappa$$

$$\kappa \equiv \frac{m_D m_D^{\dagger}}{v^2} = \frac{F F^{\dagger}}{2}$$

[Giannotti,Irastorza,Redondo,AR,Saikawa (in preparation)]



Photons propagating in magnetic field experience photon-ALP oscillations:





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$$\begin{bmatrix} E - i\frac{\partial}{\partial x_3} + \begin{pmatrix} \Delta_{\rm pl} + \Delta_{\rm CMB} - \frac{i\Gamma_{\rm abs}}{2} & 0 & 0 \\ 0 & \Delta_{\rm pl} + \Delta_{\rm CMB} - i\frac{i\Gamma_{\rm abs}}{2} & \Delta_{a\gamma} \\ 0 & \Delta_{a\gamma} & \Delta_{a} \end{pmatrix} \end{bmatrix} \begin{pmatrix} A_{\perp} \\ A_{\parallel} \\ a \end{pmatrix} = 0$$

$$\Delta_{a\gamma} \equiv \frac{g_{a\gamma}B_T}{2} \simeq 1.52 \times 10^{-8} \left(\frac{g_{a\gamma}}{10^{-17} {\rm GeV}^{-1}}\right) \left(\frac{B_T}{10^{-9} {\rm G}}\right) {\rm Mpc}^{-1}$$

$$\Delta_{\rm a} \equiv -\frac{m_a^2}{2E} \simeq -7.8 \times 10^5 \left(\frac{m_a}{10^{-10} {\rm eV}}\right)^2 \left(\frac{E}{{\rm keV}}\right)^{-1} {\rm Mpc}^{-1}$$

$$\Delta_{\rm pl} \equiv -\frac{\omega_{\rm pl}^2}{2E} \simeq -1.1 \times 10^{-2} \left(\frac{E}{{\rm keV}}\right)^{-1} \left(\frac{n_e}{10^{-7} {\rm cm}^{-3}}\right) {\rm Mpc}^{-1}$$

$$\Delta_{\rm CMB} \simeq 0.80 \times 10^{-10} \left(\frac{E}{{\rm keV}}\right) {\rm Mpc}^{-1}$$



Photons propagating in magnetic field experience photon-ALP oscillations:



Signatures for ALPs in AGN spectra:

- Apparent boost in photon flux (reduced opacity of Universe)
- Apparent spectral irregularities





Samma ray spectra from distant AGNs should show an energy and redshift dependent exponential attenuation, due to pair production at Extragalactic Background Light (EBL)





- Samma ray spectra from distant AGNs should show an energy and redshift dependent exponential attenuation, due to pair production at Extragalactic Background Light (EBL)
- Indication of anomalous gamma transparency: attenuation observed by IACT and Fermi-LAT too small [Aharonian et al. 07; de Angelis,Roncadelli et al. 07;...;Horns,Meyer 12;Meyer,Horns,Raue 13;Rubtsov,Troitsky 14;Kohri,Kodama 17]



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Possible explanation: photon <-> ALP conversions in magnetic fields

[De Angelis et al 07; Simet et al 08; Sanchez-Conde et al 09; Meyer, Horns, Raue 13; Rubtsov, Troitsky 14; Kohri, Kodama 17]





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Part of parameter space of interest ruled out by

- non-observation of gamma-ray signal from SN 1987 A due to ALP-photon conversion in galactic magnetic field [Brockway et al. 96; Grifols et al. 96; Payez et al. 15]
- non-observation of spectral irregularities in AGN, due to photon-ALP conversions

[Abramowski 13; Ajello et al. 16; Berg et al. 16]





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[Irastorza 17]

DM from vacuum realignment: >

[Preskill, Wise, Wilczek 83; Abbott, Sikivie 83; Dine, Fischler 83,....]

- In early universe, axion frozen at random initial value
- Later, field feels pull of mass towards zero and oscillates around it
- Spatially uniform oscillating classical field = coherent state of many, extremely non-relativistic particles = CDM



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- Crucial QCD input for prediction of axion DM abundance:
 - Equation of state at temperatures around 1 GeV: determines H(T)
 - Topological susceptibility:

 $\chi(T) \equiv \int d^4x \langle q(x)q(0)\rangle_T$ determines $m_A^2(T) = \chi(T)/f_A^2$



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- If PQ symmetry broken during inflation and not restored afterwards (pre-inflationary PQ breaking scenario)
 - Axion CDM density depends on single initial angle during inflation and f_A





Pre-inflationary PQ symmetry breaking scenario

- If PQ symmetry broken during inflation and not restored afterwards (pre-inflationary PQ breaking scenario)
 - Axion CDM density depends on single initial angle during inflation and *f_A*
 - Axion is present during inflation and creates isocurvature fluctuations which are not erased after inflation



[Wilczek,Turner `91; Beltran et al. 06; Hertzberg,Tegmark,Wilczek 08; Visinelli,Gondolo 09; Hamann et al. 09; **Wantz,Shellard 09**]



- If Peccei-Quinn symmetry restored after inflation (post-inflationary PQ breaking scenario)
 - Vacuum realignment contribution depends on spatially averaged initial misalignment angle and f_A

$$\Omega_{A,\text{real}}h^2 = (3.8 \pm 0.6) \times 10^{-3} \times \left(\frac{f_A}{10^{10} \,\text{GeV}}\right)^{1.165}$$

 Upper limit on *f_A* from requirement that realignment contribution should not exceed DM abundance gives lower limit on axion mass:

 $m_A > 28(2) \ \mu eV$

[Borsanyi et al. `16]



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[Saikawa]

- If Peccei-Quinn symmetry restored after inflation (post-inflationary PQ breaking scenario)
 - Additional contributions arise from decay of topological defects (axion strings and axion domain walls)

$$\Omega_{A,\text{tot}}h^2 = \Omega_{A,\text{real}}h^2 + \Omega_{A,\text{string}}h^2 + \Omega_{A,\text{wall}}h^2$$

 Latter determined by lattice simulations of cosmic string and wall networks

[Hiramatsu et al. 12; Kawasaki et al. 15]





[Hiramatsu et al. 12]



- If Peccei-Quinn symmetry restored after inflation (post-inflationary PQ breaking scenario)
 - For $N_{\rm DW} = 1$, string-wall systems short lived, leading to

$$\Omega_{A,\text{tot}}h^2 \approx 1.6^{+1.0}_{-0.7} \times 10^{-2} \times \left(\frac{f_A}{10^{10}\,\text{GeV}}\right)^{110}$$

CDM explained for

 $m_A \approx (50-200) \,\mu \mathrm{eV}$

• For $N_{\rm DW} > 1$, string-wall systems absolutely stable, eventually overclosing Universe, unless PQ symmetry explicitely broken e.g. by Planck suppressed operators $\Delta V = g\sigma^N/M_{\rm P}^{N-4}$

[AR,Saikawa `16]





Axion Dark Matter Direct Detection Experiments

Upcoming generation of axion dark matter direct detection experiments can probe entire mass range:



> $|\sigma| = \rho/\sqrt{2}$ or mixture with Higgs modulus may play role of inflaton, if it has non-minimal coupling to gravity,

$$S \supset -\int d^4x \sqrt{-g} \left[\frac{M^2}{2} + \xi_\sigma \,\sigma^*\sigma\right] R$$

[Fairbairn,Hogan,Marsh `14]



[Ballesteros, Redondo, AR, Tamarit `16]



 $> |\sigma| = \rho/\sqrt{2}$ or mixture with Higgs 10^{0} modulus may play role of inflaton, Planck + WP + BACif it has non-minimal coupling to 0.25 N = 50gravity, 0.20 $S \supset -\int d^4x \sqrt{-g} \left[\frac{M^2}{2} + \xi_\sigma \,\sigma^*\sigma\right] R$ 10⁻¹ N=600.15 ٤ [Fairbairn, Hogan, Marsh `14] 0.1010⁻² CMB observables $A_s = (2.20 \pm 0.08) \times 10^{-9}$, 0.05 $n_s = 0.967 \pm 0.004$, 10⁻³ $0.00 \square$ r < 0.070.97 0.98 0.95 0.96 0.99 0.94 fit by n_{s} [Fairbairn, Hogan, Marsh `14] $\xi \simeq 2 \times 10^5 \sqrt{\lambda} \gtrsim 10^{-3}$



PQ symmetry restored after inflation already in preheating stage when PQ field undergoes Hubble damped oscillations in quartic potential

[Ballesteros, Redondo, AR, Tamarit `16]







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- > Axion mass predicted
 - For $N_{\rm DW} = 1$:

 $50\,\mu\mathrm{eV} \lesssim m_A \lesssim 200\,\mu\mathrm{eV}$

• For $N_{\rm DW} > 1$:

 $100\,\mu\mathrm{eV} \lesssim m_A \lesssim 10\,\mathrm{meV}$



[Borsanyi et al. `16]



> PQ symmetry restored after inflation already in preheating stage when PQ field undergoes Hubble damped oscillations in quartic potential

[Ballesteros, Redondo, AR, Tamarit `16]

- Axion mass predicted
- Large reheating temperature
 - $10^7 \,\mathrm{GeV}$ for pure PQ scalar inflation $(\lambda_{H\sigma} > 0)$
 - $10^{10} \,\mathrm{GeV}$ for mixed PQ scalar/ Higgs inflation ($\lambda_{H\sigma} < 0$)





> Universe expands as in a radiation-dominated era (w = 1/3) from the end of inflation until matter-radiation equality





> Allows to calculate number of e-folds $N(k) = \ln (a_{end}/a(k))$ from the time a given comoving scale k leaves horizon until end of inflation [Liddle,Leach 03] [Andreas Ringwald | Axion/ALPs in Astrophysics and Cosmology, SFB Lecture, DESY, Hamburg, D, 7 July 2017 | Page 43



> Sharp prediction of r vs n_s for fixed pivot scale, e.g. $k_0 = 0.002 \text{ Mpc}^{-1}$

> Can be probed decisively by next generation CMB experiments (e.g. LiteBIRD, PRISM)



Unifying Inflation, Dark Matter, and Seesaw with PQ Field

- > Augmenting axion models with three SM singlet neutrinos, getting their Majorana masses also through the vev $v_{\sigma} = N_{\rm DW} f_A$
 - no strong CP problem
 - dark matter
 - inflation
 - neutrino masses and mixing
 - baryogenesis via leptogenesis

[Dias et al. `14; Ballesteros et al. `16]





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[Dias et al. `14; Ballesteros et al. `16]

Complete and consistent history of the universe from inflation to now



[desy.de]



Summary

> Axion/ALPs may explain puzzles from astrophysics and cosmology:

- Dark matter
- Stellar energy losses
- Anomalous transparency of the universy for gamma rays

Relevant parameter range can will be probed decisively by next generation experiments

