

3 July 2009

29 June

DESY, Hamburg,

First LHC

Detector Understanding with

Introduction To Jet & Missing Transverse Energy Reconstruction at LHC

Peter Loch University of Arizona Tucson, Arizona USA



Scope

Focus on explanation of features, algorithms and methods Including some pointers to underlying principles, motivations, and expectations

Some reference to physics

Everything is based on simulations

Experiments may tell a (very?) different story in some cases Restricted to published material (mixed CMS/ATLAS audience)

i.e., we think we know more!!

To the audience

Clearly introductory character

Please – ask questions!

And please, do not try to compare ATLAS and CMS performances in detail from the plots shown here!

Hopefully useful even for people already working on the topics

If you are bored – that's what you get when you ask a research scientist to do this!



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Roadmap







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Fragmentation of gluons and (light) quarks in QCD scattering

Most often observed interaction at LHC

Decay of heavy Standard Model (SM) particles Prominent example:

 $t \rightarrow bW \rightarrow jjj$ $t \rightarrow bW \rightarrow lv jj$

Associated with particle production in Vector Boson Fusion (VBF) E.g., Higgs

 $q\tilde{q} \rightarrow q'\tilde{q}'WW \rightarrow Hjj$

Decay of Beyond Standard Model (BSM) particles E.g., SUSY



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top mass

150

b

b

200

a

α

250

g

0000000000000000

reconstruction

300

350

M_{iii} [GeV]

MMM W+

W with

→tt 15%

b

b



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1.a Fragmentation of gluons and GeV / 1fb⁻¹ 1.b (light) quarks in QCD scattering Most often observed interaction at 2.b LHC **Decay of heavy Standard** 3.b Model (SM) particles Prominent example: 4.a $t \rightarrow bW \rightarrow jjj$ 4.b $t \rightarrow bW \rightarrow bv$ ji 4.C Associated with particle production in Vector Bos 5.b **Fusion (VBF)** E.g., Higgs CERN-OPEN-2008-020 $q\tilde{q} \rightarrow q'\tilde{q}' W W \rightarrow H j j$ Decay of Beyond Standar Model (BSM) particles E.g., SUSY



Final States with Missing ${\rm E}_{\rm T}$

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1.a Important signature for many standard model channels

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2.b

3.b

4.a

4.b

4.C

5.b

CERN-OPEN-2008-

020

- Neutrino carry missing transverse energy
 - W mass measurement in leptonic final state
 - Z decays to tau pairs Higgs decays to tau or W pairs

New physics signatures Final states with neutrinos MSSM Higgs (A) decays into tau pairs Associated with W or invisible Z decays

> New non-interacting particles Neutralinos, gluinos

- Long lived particles
 - Decay outside detector trigger window



$$m_T = \sqrt{2 p_T \mathcal{E}_T (1 - \cos \Delta \varphi_{\ell v})}$$

Final States with Missing ${\bf E}_{\rm T}$

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1.a Important signature for many standard model channels

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2.b

3.b

4.a

4.b

4.C

5.b

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Neutrino carry missing transverse energy

W mass measurement in leptonic final state

Z decays to tau paris

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New physics signatures

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Associated with W or invisible Z decays

New non-interacting particles

Neutralinos, gluinos

Long lived particles

Decay outside detector trigger window



Underlying Event

Collisions of other partons in the protons generating the signal interaction

Unavoidable in hadron-hadron collisions

Independent soft to hard multiparton interactions

No real first principle calculations

Contains low pT (nonpertubative) QCD Tuning rather than calculations Activity shows some correlation with hard scattering (radiation) pTmin, pTmax differences Typically tuned from data in physics generators

Carefully measured at Tevatron

Phase space factor applied to LHC tune in absence of data One of the first things to be measured at LHC Interleaved Multiple Interactions



Underlying Event

Collisions of other partons in the protons generating the signal interaction Unavoidable in hadron-hadron

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Look at activity (pT, # charged tracks) as function of leading jet pT in transverse region

Underlying Event



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Phase space factor applied to LHC tune in absence of data One of the first things to be measured at LHC CDF data: Phys.Rev, D, 65 (2002)



Model depending extrapolation to LHC:

 $\sim \ln^2 \sqrt{s}$ for PYTHIA

 $\sim \ln \sqrt{s}$ for PHOJET but both agree Tevatron/SppS data!



Pile-Up



Multiple interactions between partons in other protons in the same bunch crossing

Consequence of high rate (luminosity) and high proton-proton total crosssection (~75 mb)

Statistically independent of hard scattering

Similar models used for soft physics as in underlying event

Signal history in calorimeter increases noise

Signal 10-20 times slower (ATLAS) than bunch crossing rate (25 ns)

Noise has coherent character

Cell signals linked through past shower developments



60:484-551,2008



Pile-Up

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Pile-Up



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Prog.Part.Nucl.Phys. 60:484-551,2008



Jet calibration requirements very stringent

Systematic jet energy scale uncertainties to be extremely well controlled

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Top mass reconstruction Relative jet energy resolution requirement

Inclusive jet cross-section

Di-quark mass spectra cut-off in SUSY

Event topology plays a role at 1% level of precision

Extra particle production due to event color flow Color singlet (e.g., *W*) vs color octet (e.g., gluon/quark) jet source

Small and large angle gluon radiation

Quark/gluon jet differences

$$\frac{\Delta m_T}{m_T} < 1 \text{ GeV} \implies \frac{\Delta E_{jet}}{E_{jet}} < 1\%$$

$$\frac{\sigma}{E} = \begin{cases} \frac{50\%}{\sqrt{E(\text{GeV})}} \oplus 3\% & |\eta| < 3\\ \frac{100\%}{\sqrt{E(\text{GeV})}} \oplus 5\% & |\eta| > 3 \end{cases}$$





Jet Algorithm Guidelines (1)

1.a Very important at LHC

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Often LO (or even NLO) not sufficient to understand final states

Potentially significant K-factors can only be applied to jet driven spectra if jet finding follows theoretical rules

E.g., jet cross-section shapes

Need to be able to compare

experiments and theory

Comparison at the level of distributions

ATLAS and CMS will unfold experimental effects and limitations independently – different detector systems

Theoretical guidelines

Infrared safety

Adding or removing soft particles should not change the result of jet clustering

Collinear safety

Splitting of large pT particle into two collinear particles should not affect the jet finding



infrared sensitivity (soft gluon radiation merges jets)



collinear sensitivity (1) (sensitive to E_t ordering of seeds)



collinear sensitivity (2) (signal split into two towers below threshold)

1.b

2.a

2.b

3.b

4.a

4.b

4.C

5.b

20

1.a

1.b

2.a

2.b

3.b

4.a

4.b

4.C

5.b

Detector technology independence

Jet efficiency should not depend on detector technology Final jet calibration and corrections ideally unfolds all detector effects

Minimal contribution from spatial and energy resolution to reconstructed jet kinematics

Unavoidable intrinsic detector limitations set limits

Stability within environment

(Electronic) detector noise should not affect jet reconstruction within reasonable limits

Energy resolution limitation

Avoid energy scale shift due to noise

Stability with changing (instantaneous) luminosity at LHC

Control of underlying event and pile-up signal contribution

"Easy" to calibrate

Small algorithm bias for jet signal Probably means high signal stability

High reconstruction efficiency

Identify all physically interesting jets from energetic partons in perturbative QCD

Jet reconstruction in resonance decays

High efficiency to separate close-by jets from same particle decay Least sensitivity to boost of particle

.a Seeded fixed cones

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Geometrically motivated jet finders

Collect particles or detector signals into fixed sized cone of chosen radius R

$$R = \sqrt{\Delta \eta^2 + \Delta \varphi^2}$$

Basic parameters are seed pT threshold and cone size

Theoretical concerns

Seed introduces collinear instability

One particle above seed splits into two collinear particles below seed

Infrared safety

Cone formation sensitive to low energetic particles

Can ignore large signal due to change of direction at each iteration (e.g., "dark towers" @ CDF)

Addressed by split and merge

One more parameter – see later

Seedless fixed cones

No seeds

Collect particles around any other particle into a fixed cone of chosen radius

Otherwise similar to seeded cone

Theoretical issues

Collinear stability - no issue

Infrared safety

Higher stabilty but still needs split and merge

Often considered "simple"

Implementation obvious Especially seeded cone Computational limitations on seedless cone LHC O(1000) particles possible

Provide an area

Careful – split and merge makes shape less regular

4.C

5.b

22

1.b

2.a

2.b

3.b

4.a

4.b

4.C

5.b

1.a Attempt to undo parton fragmentation

QCD branching happens all the time

$$\left[dk_{j}\right]\left|M_{g \to g_{i}g_{j}}^{2}(k_{j})\right| \approx \frac{2\alpha_{s}C_{A}}{\pi} \frac{dE_{j}}{\min(E_{i},E_{j})} \frac{d\theta_{ij}}{\theta_{ij}}, \quad (E_{j} \ll E_{i},\theta_{ij} \ll 1)$$

Pair with strongest divergency between them likely belongs together

kT/Durham first used in e⁺e⁻

Longitudinal invariant version for hadron colliders

Catani, Dokshitzer, Seymour & Webber '93

S.D. Ellis & D. Soper '93

Modern implementations

kT with clustering sequence using pT and distance parameter Ordered in kT, sequence follows jet structure

Durham/Aachen clustering sequence using angular distance

Ordered in angular distance, sequence follows jet structure

Anti-kT using pT and distance parameter with inverted sequence

No particular ordering, sequence not meaning full

Valid at all orders!

Recursive Recombination Algorithms

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Clustering Algorithms

CTEQ-MCnet school 2008 Gavin Salam Lectures on Jets

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Clustering Algorithms:

Define a distance d₁₁ between two objects *i, j* : $\Delta R_{ii}^{2} = (y_{i} - y_{j})^{2} + (\phi_{i} - \phi_{j})^{2}$ $d_{ij}=\min(k_{ti}^2\ ,k_{tj}^2\)\Delta R_{ij}^2/R^2$ and a distance d_{iB} between one object i and the beam direction B: $d_{iB} = k_{ti}^2$ Find the smallest of **d**₁₁, **d**₁₈. If **d**₁₁ recombine **i**, **j**; If **d**_{in}, **i** is a jet.



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 Recursive Recombination Algorithms

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 Clustering Algorithms

 CTEQ-MCnet school 2008

1.b
 2.a
 2.b
 3.a
 3.b
 3.c
 4.a
 4.b
 4.c
 5.a
 5.b
 5.c

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1.a



Paolo Francavilla

Jet Algorithms













P. Loch THE UNIVERSITY **Recursive Recombination Algorithms** 31 U of Arizona June 30, 2009 1.a **Clustering Algorithms** 1.b **CTEQ-MCnet school 2008** 2.a **Gavin Salam Lectures on Jets** 2.b AntiKt Kt (Cacciari/Salam/Soyez) (Catani/Dokshitzer/Seymour/Webber - S.Ellis/Soper) E_T E_T 3.b 4.a 4.b 4.C 5.b



THE UNIVERSITY Recursive Recombination Algorithms

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1.a 1.b 2.a 2.b 3.b 4.a 4.b 4.C 5.b



2.a

2.b

3.b

4.a

4.b

4.C

5.b

Algorithm flow

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Find seed with Et > Etmin Collect all particles within R of seed

Typical R = 0.4, R = 0.7 Recalculate new cone direction Collect particles in new cone and find new direction

Stop if cone is stable

There may not be a stable solution!

Interative cone with removal (CMS)

- Remove particles assigned to the cone from list and find next seed
- Find next surviving seed and new cone
- Stop if no more seeds or stable cones

Overlapping cones (ATLAS)

Find next seed and new cone until all seeds are exhausted

Apply split and merge procedure

Split and merge

Merge jets

Use pT of overlapping constituents above fraction f of pT of lower pT jet f = 50% in ATLAS

Otherwise split lower pT jet

Assign split constituents to higher pT jet

Theoretical concerns

Collinear safety

"Tower signal" can split seeds

Infrared safety

Additional soft particles affect cone formation and stability Stable solution may leave significant energy unclustered

Addressed by variations

E.g., Mid-point cone at Tevatron places seeds between particles THE UNIVERSITY Limitations of Cone Algorithms

Limitations for physics final state calculations

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1.a

1.b

2.a

2.b

3.b

4.a

4.b

4.C

5.b

| | Last meaningful order | | |
|------------------------|-----------------------|------------------------|--------------|
| | ATLAS cone | MidPoint | CMS it. cone |
| | [IC-SM] | [IC _{mp} -SM] | [IC-PR] |
| Inclusive jets | LO | NLO | NLO |
| W/Z+1 jet | LO | NLO | NLO |
| 3 jets | none | LO | LO |
| W/Z + 2 jets | none | LO | LO |
| $m_{ m jet}$ in $2j+X$ | none | none | none |

G. Salam, 2008

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Infrared safe seedless cone SISCone (Salam, Soyez 2007) No collinear issue in the absence of seed thresholds Available for use in ATLAS & CMS Finds all stable cones in all particles Avoid infrared safety issues Apply split and merge to those Recommended high split & merge fraction f = 75%suppresses merging Uses geometry principles for faster execution Time $\sim N^2 \log N$ not as fast as latest kT but at least manageable

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We need to be able to talk to each other

CMS ↔ ATLAS, Tevatron ↔ LHC experiments, experiments ↔ theory Need to agree on a jet definition Simple proposal out since Les Houches 2007

Specify algorithm, all parameters, signal choice, cuts, and recombination strategy Obvious but rarely done at required level of completeness and precision

Requires common software implementations

Cannot allow misunderstandings in private implementations – no way to compare!!

ATLAS & CMS use same code for most algorithms

FastJet libraries (Salam et al.)

Provides kT flavours, SISCone and legacy cones

Includes CMS and ATLAS specific implementations of cone algorithms

"Snowmass"

$$E_T^{jet} = \sum E_{T,i}$$

$$\eta_{jet} = \frac{1}{E_T^{jet}} \sum E_{T,i} \cdot \eta_i$$

$$\varphi_{jet} = \frac{1}{E_T^{jet}} \sum E_{T,i} \cdot \varphi_i$$

4-momentum

 $(E_{jet}, \vec{p}_{jet}) = (\sum E_i, \sum \vec{p}_i)$


Bibliography

a Interesting recent papers on jet issues at LHC

Towards Jetography

G.P. Salam, **arXiv-0906.1833v1** [hep-ph] 10 June 2009 Quantifying the Performance of Jet Definitions for Kinematic Reconstruction at LHC

M. Cacciari, J. Rojo, G.P. Salam, G. Soyez, **JHEP 0812:032,2008** Standard Model Handles and Candles Working Group: Tools and Jets Summary Report

C. Buttar *et al.*, **arXiv:0803.0678** [hep-ph] March 2008 The Anti-k(t) Jet Clustering Algorithm

M. Cacciari, G.P. Salam, G. Soyez, **JHEP 0804:063,2008** (original idea by P.A. Delsart, ATLAS)

Non-perturbative QCD effects in jets at hadron colliders

M. Dasgupta, L. Magnea, G.P. Salam, JHEP 0802:055,2008 Pileup subtraction using jet areas

M. Cacciari, G.P. Salam, Phys.Lett.B659:119-126,2008 A Practical Seedless Infrared-Safe Cone jet algorithm G.P. Salam, G. Soyez, JHEP 0705:086,2007.

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0.5

0.4

0.3

0.2

0.6

ATLAS

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 $\blacksquare \pi^{\pm}$

ΔK[±] ۲K

οр

□n

What are jets for experimentalists?

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A bunch of particles generated by hadronization of a common source

Quark, gluon fragmenation As a consequence, the particles in this bunch have correlated kinematic properties

Reflecting the source by sum rules/conservations

The **interacting** particles in this bunch generated an observable signal in a detector

The **non-interacting** particles do not generate a directly observable signal Neutrinos, mostly

Fraction of the total jet energy

What is jet reconstruction, then?

Model/simulation: particle jet

Attempt to collect the final state particles described above into objects (jets) representing the original parton kinematic

Re-establishing the correlations

Experiment: detector jet

Attempt to collect the detector signals from these particles to measure their original kinematics

Usually not the parton!



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1.a

1.b

2.a

2.b

3.b

4.a

4.b

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Change of composition

Radiation and decay inside detector volume

"Randomization" of original particle content

Defocusing changes shape in lab frame

Charged particles bend in solenoid field

Attenuation changes energy

Total loss of soft charged particles in magnetic field Partial and total energy loss of charged and neutral particles in inactive upstream material

Hadronic and electromagnetic cacades in calorimeters

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Hadronic and electromagnetic cacades in calorimeters

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Total loss of soft charged particles in magnetic field Partial and total energy loss of charged and neutral particles in inactive upstream material

Hadronic and electromagnetic cacades in calorimeters



Jet Reconstruction Tasks

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Experiment ("Nature")



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Jet Reconstruction Tasks

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Jet Reconstruction Task Overview

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Jet Reconstruction Task Overview

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Jet Reconstruction Task Overview

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Experiment ("Nature")

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Jet Reconstruction Challenges longitudinal energy leakage detector signal inefficiencies (dead channels, HV...) pile-up noise from (off- and in-time) bunch crossings electronic noise calo signal definition (clustering, noise suppression...) dead material losses (front, cracks, transitions...) detector response characteristics (e/h ≠ 1) jet reconstruction algorithm efficiency lost soft tracks due to magnetic field

added tracks from underlying event added tracks from in-time (same trigger) pile-up event jet reconstruction algorithm efficiency

physics reaction of interest (interaction or parton level)





The ATLAS Detector

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The CMS Detector

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Calorimeters Side-by-Side

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from "Jets & Heavy Flavour at LHC", A.-M. Magnan, Photon09, May 2009

P. Loch THE UNIVERSITY **Quick Look at Hadronic Showers**

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More complex than EM showers 1.a Visible EM O(50%) 1.b e^{\pm} , γ , $\pi^{o} \rightarrow \gamma \gamma$ 2.a Visible non-EM O(25%) 2.b Ionization of π^{\pm} , p, μ^{\pm} 3.a Invisible O(25%) 3.b Nuclear break-up Nuclear excitation Escaped O(2%) 4.a Neutrinos produced in shower 4.b Only part of the visible energy is 4.C sampled into the signal No intrinsic compensation for losses 5.b in ATLAS or CMS Both are non-compensating calorimeters Electron signal on average larger than pion signal at same deposited energy (e/h > 1) Introduces complex jet response Mixture of electromgnetic and hadronic signals in a priori unknown fluctuations

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RD3 note 41, 28 Jan 1993



Grupen, Particle Detectors

Showers Side-by-Side

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Electromagnetic

Hadronic

Scattered, significantly bigger Growths in depth $\sim \log(E)$

Longitudinal extension scale is interaction length λ

Average distance between two inelastic interactions in matter Varies significantly for pions, protons, neutrons

Weak correlation between longitudinal and lateral shower development

Large intrinsic shower-toshower fluctuations Very irregular development

Can be simulated with reasonable precision ~2-5% depending on feature

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Compact

Growths in depth $\sim \log(E)$

Longitudinal extension scale is radiation length X_0 Distance in matter in which ~50% of electron energy is radiated off

Photons 9/7 X₀

Strong correlation between lateral and longitudinal shower development

Small intrinsic shower-toshower fluctuations Very regular development

Can be simulated with high precision

> 1% or better, depending on features

Signals from Hadronic Showers

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Need additional corrections to measure hadron or jet energy

Typically non-linear response corrections to restore scale

Additional attempt to reduce fluctuations Increased complexity due to

$$\frac{e}{\pi} = \frac{1}{1 - (1 - h/e) (E/E_0)^{m-1}}$$

0.80 \le m \le 0.85
$$E_0 = \begin{cases} 1 \text{ GeV } \pi^{\pm} \\ 2.6 \text{ GeV } p \end{cases}$$

T.A. Gabriel, D.E. Groom, Nucl. Instr. Meth. A338 (1994) 336



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Smallest signal collection volume 1.a Defines resolution of spatial structures 1.b Finest granularity depends on direction and sampling layer in ATLAS 2.a Each is read out independently 2.b Can generate more than one signal (e.g., ATLAS Tile cells feature two 3.a readouts) 3.b Individual cell signals Sensitive to noise (different for CMS and ATLAS) Fluctuations in electronics gain and shaping 4.a Time jitters 4.b Physics sources like multiple proton interaction history in pile-up 4.C Hard to calibrate for hadrons No measure to determine if electromagnetic or hadronic in cell signal 5.b alone, i.e. no handle to estimate e/h Need signal neighbourhood and/or other detectors to calibrate Basic energy scale Use electron calibration to establish basic energy scale for cell signals **Cell geometry** Quasi-projective by pointing to the nominal collision vertex in ATLAS and CMS Lateral sizes scale with pseudo-rapidity and azimuthal angular opening Non-projective in ATLAS Forward Calorimeter

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4.b

4.C

5.b

ATLAS Calorimeter Towers

- Impose a regular grid view on 1.a event 1.b $\Delta \eta \times \Delta \phi = 0.1 \times 0.1$ grid 2.a Motivated by particle Et flow in hadron-hadron collisions 2.b Well suited for trigger purposes 3.a **Collect cells into tower grid** 3.b Cells EM scale signals are summed with geometrical weights Depend on cell area containment ratio 4.a
 - Weight = 1 for projective cells of equal or smaller than tower size

Summing can be selective

See jet input signal discussion

Towers have massless fourmomentum representation

Fixed direction given by geometrical arid center

$$\begin{pmatrix} E_{\eta\varphi}, \eta, \varphi \end{pmatrix} \mapsto \begin{pmatrix} E = p, p_x, p_y, p_z \end{pmatrix}$$

$$p = \sqrt{p^2 + p^2 + p^2}$$

$$p = \sqrt{p_x^2 + p_y^2 + p_z^2}$$



η

$$E_{\eta\varphi} = \sum_{\substack{\left(A_{cell}^{\eta\varphi} \cap A_{\eta\varphi}\right) \neq 0}} w_{cell} E_{0,cell}$$
$$w_{cell} = \begin{cases} 1 & \text{if } A_{cell}^{\eta\varphi} \leq \Delta \eta \times \Delta \varphi \\ < 1 & \text{if } A_{cell}^{\eta\varphi} > \Delta \eta \times \Delta \varphi \end{cases}$$



ATLAS Topological Cell Clusters (1)

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| 1.a | |
|------|---|
| 1.b | |
| 2.a | |
| 2.b | Reconstruct 3-dim clusters of |
| 3.a | Use shape of these clusters to |
| 3.b | |
| 3.c | |
| 4.a | electromagnetic and hadronic |
| 4.b | shower development and select $\vec{m} = 0 0.5 1 1.5 2 2.5 3 3.5 4 4.5 5$ |
| 4.c | best suited calibration |
| 5 a | Supress noise with least bias on physics signals |
| J.a | Often less than 50% of all cells in an event with "real" signal |
| 5.0 | Some implications of jet environment |
| 5.c | Shower overlap cannot always be resolved |
| _ | Clusters represent merged particle showers in dense jets |
| 003 | Clusters have varying sizes |
| 080 | No simple jet area as in case of towers |
| 3: S | Clusters are mass-less 4-vectors (as towers) |
| 8 T | No "artificial" mass contribution due to showering |
| 200 | Issues with IR safety at very small scale insignificant |
| | Pile-Up environment triggers split as well as merge |
| t | Note that calorimeters themselves are not completely IR safe |
| hŝ | |

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OF ARIZONA. **Cluster seeding** 1.a Cluster seed is cell with significant signal above a primary threshold 1.b **Cluster growth: direct neighbours** 2.a Neighbouring cells (in 3-d) with cell signal significance above some basic threshold are collected 2.b **Cluster growth: control of expansion** 3.a Collect neighbours of neighbours for cells above secondary signal 3.b significance threshold Secondary threshold lower than primary (seed) threshold 4.a **Cluster splitting** 4.b Analyze clusters for local signal maxima and split if more than one found 4.C 5.b

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Signal hill & valley analysis in 3-d Final "energy blob" can contain low signal cells

Cells survive due to significant neighbouring signal Cells inside blob can have negative signals

ATLAS also studies "TopoTowers"

Use topological clustering as noise suppression tool only Distribute only energy of clustered cells onto tower grid Motivated by DZero approach



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ATLAS Topological Cell Clusters (3)

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2.a

2.b

3.a

3.b

4.a

4.b

4.C

5.b

1.a Local hadronic energy scale restauraion depends on origin of calorimeter signal

- Attempt to classify energy deposit as electromagnetic or hadronic from the cluster signal and shape
 - Allows to apply specific corrections and calibrations

Local calibration approach

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Use topological cell clusters as signal base for a hadronic energy scale

Recall cell signals need context for hadronic calibration

Basic concept is to reconstruct the locally deposited energy from the cluster signal first

This is not the particle energy

Additional corrections for energy losses with some correlation to the cluster signals and shapes extend the local scope

True signal loss due to the noise suppression in the cluster algorithm (still local)

Dead material losses in front of, or between sensitive calorimeter volumes (larger scope than local deposit)

After all corrections, the reconstructed energy is on average the isolated particle energy

E.g., in a testbeam

But not the jet energy (see later)





ATLAS Local Scale Sequence

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CMS Energy Flow Signal

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Attempt to reconstruct each particle separately

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- Start with topological calorimeter signal clusters
 - Clusters formed in similar way as in ATLAS
 - Hadronic cluster calibration depends on energy sharing between sections







PFT-09/001

CMS PAS



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Links between detector signals

- Based on pseudo-rapidity and azimuth difference between
- clusters and tracks
 - Between clusters and tracks
 - Between clusters in ECAL and in HCAL
 - Establish all links
 - Direction overlap criteria apply

Particle-flow charged hadrons

- Cluster(s) linked to tracks have less signal than track pT suggests Charged hadron hypothesis: momentum and energy from track Pion mass assumption
- Cluster(s) and tracks have comparable signal
 - Total charged hadron energy from error-weighted mean of track and calorimeter signals

Particle flow photons and neutral hadrons

Cluster(s) have significant energy excess with respect to closests track

If excess larger than ECAL energy, photon is created from ECAL energy and neutral hadron is created from HCAL

5.b



65

1.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

Electromagnetic energy scale signals in calorimeters 1.a

- ATLAS basic towers
 - No noise suppression, all cells (~190,000) used
- 2.a Noise cancellation by re-summation of negative tower signals with 2.b near-by positive signals
 - Et(tower) > 0 threshold
 - **ATLAS topological clusters & towers**
 - Noise suppressed by topological cell cluster formation
 - Different signal geometry
 - Et(tower) > 0 threshold
 - CMS towers
 - No cell noise suppression
 - Et(tower) > 500 MeV(1 GeV?) threshold

Particle or hadronic scale signals

- CMS particle flow
 - Fully reconstructed particle flow with charged and neutral hadrons, electrons and photons (isolated/non-isolated), photons, muons Follow event particle flow as much as possible
- ATLAS local hadronic scale
 - Locally calibrated topological cell clusters
 - Correlated with event particle flow but no explicite particle reconstruction



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| 66 | THE UNIVERSITY Jet In |
|----------|---|
| 1.a | ATLAS |
| 1.b | Basic 0.1 x 0.1 towers |
| 2.a | All cells (~190,000) projected |
| 2.b | Flectromagnetic energy scale |
| 3.a | signal |
| 3.b | No noise suppression but noise |
| 3.c | cancellation attempt |
| 4.a | Topological 0.1 x 0.1 towers |
| 4.b | Cells from topological clusters only |
| 4.c | Electromagnetic energy scale |
| b.c | Signal Neise summerseien like for |
| 5.b | topological clusters |
| 0.0 | Topological cell clusters |
| | Electromagnetic and local hadronic scale signals |
| | Noise suppressed |
| CMS | Tower and clusters create different jets |
| | Cluster sequences different |
| A | Shapes different |

CMS

Basic towers 0.1 x 0.1 Electromagnetic scale signal Et > 1 GeV (500 MeV) noisesuppression for each tower Energy flow objects provide particle level signal representation Charged hadrons Neutral hadrons Muons Electrons (isolated and nonisolated) **Photons**

Both:

Reconstructed tracks Track jets Stable truth particles in simulations Truth jets Fast simulation pseudo-cells, towers, -clusters







Jet Input Signals (3)

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1.a 1.b 2.a 2.b 3.a 3.b 3.c 4.a 4.b 4.C 5.b



from K. Perez, Columbia U.



THE UNIVERSITY Jets in the ATLAS Calorimeters

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S.D. Ellis, J. Huston, K. Hatakeyama, P. Loch, M. Toennesmann, Prog.Part.Nucl.Phys.60:484-551,2008



| 69 | P. Loch THE UNIVERSITY "How-to" of Jet Reconstruction U of Arizona June 30, 2009 |
|------------|---|
| 1.a | Sequential process |
| 1.b | Input signal selection |
| 2.a | Get the best signals out of your detector on a given signal scale |
| 2.b | Preparation for jet finding |
| 3.a 3.b | Suppression/cancellation of "unphysical" signal objects with E<0 (due to noise) |
| 3.c | Possibly event ambiguity resolution (remove reconstructed electrons, photons, taus, from detector signal) |
| 4.b | Pre-clustering to speed up reconstruction (not needed anymore) |
| 4.c | Jet finding |
| 5.a | Apply your jet finder of choice |
| 5.b | Jet calibration |
| 5.c | Depending on detector input signal definition, jet finder choices, references |
| | Jet selection |
| | Apply cuts on kinematics etc. to select jets of interest or significance |
| | Objective |
| | Reconstruct particle level features |
| | Test models and extract physics |

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1.b

2.a

2.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

1.a **Typical Monte Carlo based normalization**

- Match particle level jets with detector jets in simple topologies (fully simulated QCD di-jets)
 - Use same specific jet definition for both
 - Match defined by maximum angular distance
 - Can include isolation requirements

Determine calibration function parameters using truth particle jet energy constraint

Fit calibration parameters such that relative energy resolution is best Include whole phase space into fit (flat in energy)

Correct residual non-linearities by jet energy scale correction function

Numerical inversion technique applied here

Little factorization here

Magnitudes of calibrations and corrections depend on signal choices

Electromagnetic energy scale signals require large corrections while particle level or local hadronic signal have much less corrections

Effect on systematic errors

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Numerical Inversion





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2.a

2.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

1.a **Cell signal weighting** 1.b Statistically determine

Statistically determined cell signal weights try to compensate for e/h>1 in jet context Motivated by H1 cell weighting High cell signal density indicates on average electromagnetic

$$\chi^{2} = \sum_{jets} \left(\frac{E_{rec} - E_{true}}{E_{true}} \right)^{2}$$
$$E_{rec} = \sum_{i=1}^{N_{cells}} w_{i} (\rho_{i} = E_{i} / V_{i}, \vec{X}_{i}) E_{i}$$

Ideally weight = 1

Low cell signal indicates hadronic deposit

Weight > 1

signal origin

Cell weights are determined as function of cell signal density Use truth jet matching in fully simulated QCD di-jet events Crack regions not included in fit

Residual jet energy scale corrections – see next slides


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Cell signal weighting functions do not restore jet energy scale for all jets

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Crack regions not included in fits

Only on jet context used for fitting weights

Cone jets with R=0.7 Only one calorimeter signal definition used for weight fits

CaloTowers

Additional response corrections applied to restore linearity

> Non-optimal resolution for other than reference jet samples can be expected

Changing physics environment not explicitly corrected Absolute precision limitation $\left(E^{calo},p_x^{calo},p_y^{calo},p_z^{calo}
ight)$

 $\sum_{cells} w(\rho_{cell}, \vec{X}_{cell}) \cdot \left(E^{cell}, p_x^{cell}, p_y^{cell}, p_z^{cell}\right)$

 $\alpha_{DM} \sqrt{E_{t,EMB3}^{calo} \cdot E_{t,Tile0}^{calo}}$

+

Х

 $\left(\left.p^{\mathit{calo}}\left/p^{\mathit{calo}}_{\mathit{T}},p^{\mathit{calo}}_{\mathit{x}}\left/p^{\mathit{calo}}_{\mathit{T}},p^{\mathit{calo}}_{\mathit{v}}\left/p^{\mathit{calo}}_{\mathit{T}},p^{\mathit{calo}}_{\mathit{v}}\left/p^{\mathit{calo}}_{\mathit{T}},p^{\mathit{calo}}_{\mathit{z}}\left/p^{\mathit{calo}}
ight
ight
angle$



ATLAS Cell Weight Calibration For Jets THE UNIVERSITY OF ARIZONA. June 30, 2009

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Cell signal weighting functions do not restore jet energy scale for all jets

- Crack regions not included in fits
- Only on jet context used for fitting weights
- Cone jets with R=0.7 Only one calorimeter signal definition used for weight fits

CaloTowers

Additional response corrections applied to restore linearity

Non-optimal resolution for other than reference jet samples can be expected

Changing physics environment not explicitly corrected Absolute precision limitation

$$\left(E^{\text{final}}, p_x^{\text{final}}, p_y^{\text{final}}, p_z^{\text{final}}
ight)$$

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 $f(p_T^{calo}, \eta^{calo}) \cdot (E^{calo}, p_x^{calo}, p_v^{calo}, p_z^{calo})$



THE UNIVERSITY ATLAS Cell Weight Calibration For Jets

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Cell signal weighting functions do not restore jet energy scale for all jets

Crack regions not included in fits

Only one jet context used for fitting weights

Cone jets with R=0.7 Only one calorimeter signal definition used for weight fits

CaloTowers

Additional response corrections applied to restore linearity

Non-optimal resolution for other than reference jet samples can be expected

Changing physics environment not explicitly corrected

Absolute precision limitation



Response for jets in ttbar (same jet finder as used for determination of calibration functions with QCD events)

1.a Jet energy scale (JES) for first data

- Fully Monte Carlo based calibrations hard to validate quickly with initial data
 - Too many things have to be right, including underlying event tunes, pile-up activity, etc.
 - Mostly a generator issue in the beginning
 - Need flat response and decent energy resolution for jets as soon as possible
 - Data driven scenario a la DZero implemented both for ATLAS and CMS

Additional jet by jet corrections

Interesting ideas to use all observable signal features for jets to calibrate

Geometrical moments

Energy sharing in calorimeters

Concerns about stability and MC dependence to be understood

Can consider e.g. truncated moments using only prominent constituents for stable signal

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THE UNIVERSITY CMS Factorized Jet Calibration

1.a Factorized calibration allows use of collision data

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1.b

2.a

3.b

3.c

4.a

4.b

4.C

5.b

CMS sequence applies factorized scheme with required and optional corrections

2.b Required corrections can initially be extracted from collision data 3.a

- Average signal offset from pile-up and UE can be extracted from minimum bias triggers
- Relative direction dependence of response can be corrected from dijet pT balance
 - The absolute pT scale correction can be derived from prompt photon production

Optional corrections refine jet calibration

Use jet by jet calorimeter or track observables to reduce fluctuations Includes energy fractions in EMC, track pT fractions, underlying event corrections using jet areas, flavor dependencies and others...

May need very good simulations!



ATLAS JES Correction Model for First Data

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THE UNIVERSITY Data Driven JES Corrections (1) UNIVERSITY Data Driven JES Corrections (1)

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1.b

2.a

2.b

3.a

3.b

3.c

4.a

4.b

4.c

5.b

Goal:

Correct in-time and residual out-of-time pile-up contribution to a jet on average

Tools:

Zero bias (random) events, minimum bias events

Measurement:

Et density in $\Delta \eta \times \Delta \phi$ bins as function of # vertices

TopoCluster feature (size, average energy as function of depth) changes as function of # vertices

Remarks:

Uses expectations from the average Et flow for a given instantaneous luminosity

Instantaneous luminosity is measured by the *#* vertices in the event

Requires measure of jet size (AntiKt advantage)

Concerns:

Stable and safe determination of average



Determination of the Absolute Jet Energy Scale in the D0 Calorimeters. NIM A424, 352 (1999)

$$\rho_{UE} = E_T^{UE} / (\Delta \eta \times \Delta \varphi)$$
$$E_{offset}^{UE} = (\rho_{UE} A_{jet}) \cosh \eta_{jet}$$

Note that magnitude of correction depends on calorimeter signal processing!



1.a Absolute response

Goal:

Correct for energy (pT) dependent jet response

Tools:

Direct photons, Z+jet(s),...

Measurement:

pT balance of well calibrated system (photon, Z) against jet in central region

$$f_{p_t} = \frac{p_t^{jet} - p_t^{\gamma}}{p_t^{\gamma}}$$

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Remarks:

Usually uses central reference and central jets (region of flat reponse)

Concerns:

Limit in precision and estimates for systematics w/o well understood simulations not clear

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THE UNIVERSITY **Data Driven JES Corrections (3)**

81

Direction response corrections Goal: Equalize response as function of jet (pseudo)rapidity **Tools:** QCD di-jets **Direct photons** 0.88 Measurement: 0.86 0.84 Di-jet pT balance uses 0.82 reference jet in well calibrated 0.8 (central) region to correct second jet further away Measure hadronic response 0.74 variations as function of the jet 0.72 0.7 direction with the missing Et 0.68 projection fraction (MPF) method 0.66 **Remarks**: MPF only needs jet for direction reference Bi-sector in di-jet balance explores different sensitivities Concerns:

MC quality for systematic uncertaunty evaluation

Very different (jet) energy scales between reference and probed jet









1.a Jet performance evaluation

2.a

2.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

Proof of success for each method

Closure tests applied to calibration data source

Strong indications that one jet reconstruction approach is not sufficient

Evaluation needs to be extended to different final states Systematic errors and corrections for alternative jet finders and configurations need to be evaluated



G. Salam, talk at ATLAS Hadronic Calibration Workshop, Tucson, Arizona, USA, March 2009

THE UNIVERSITY Jet Performance Evaluations (2)

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Jet signal linearity and resolution

Closure tests for calibration determination

- Ultimate precision and resolution for given method applied to calibration sample
- Response comparisons for different signal definitions
 - Need to reduce exploration phase space
 - Real data needed for final decision

Effect of calibration on inclusive jet cross-section

One the first physics results expected from ATLAS & CMS



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SBM-07-001

CMS PAS

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Jet signal linearity and resolution

Closure tests for calibration determination

- Ultimate precision and resolution for given method applied to calibration sample
- Response comparisons for different signal definitions
 - Need to reduce exploration phase space
 - Real data needed for final decision

Effect of calibration on inclusive jet cross-section

One the first physics results expected from ATLAS & CMS



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1.a Photon+jet(s)

1.b

2.a

2.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

CERN-OPEN-2008-

020

Well measured electromagnetic system balances jet response
 Central value theoretical uncertainty ~2% limits precision Due to photon isolation requirements
 But very good final state for evaluating calibrations
 Can test different correction levels in factorized calibrations
 E.g., local hadronic calibration in ATLAS

Limited pT reach for 1-2% precision

25->300 GeV within 100 pb⁻¹

Z+jet(s)

Similar idea, but less initial statistics

Smaller reach but less background



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2.a

2.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

CERN-OPEN-2008-

020

1.a **Photon+jet(s)** 1.b Woll moasure



25->300 GeV within 100 pb-1

Z+jet(s)

Similar idea, but less initial statistics

Smaller reach but less background





2.b

3.a

3.b

3.c

4.a

4.b

4.C

5.b

CERN-OPEN-2008-

1.a In-situ calibration 1.b validation handle 2.a

Precise reference in ttbar events

Hadronically decaying Wbosons

Jet calibrations should reproduce W-mass

Note color singlet source

No color connection to rest of collision – different underlying event as QCD

Also only light quark jet reference

Expected to be sensitive to jet algorithms

Narrow jets perform better – as expected



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Jets Not From Hard Scatter

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Hard signal in calorimeters 1.a Fully reconstructed & calibrated particles and jets 1.b Not always from hard interaction! 2.a Hard signal in muon spectrometer 2.b Fully reconstructed & calibrated muons 3.a May generate isolated or embedded soft calorimeter signals 3.b Care needed to avoid double counting 3.C Soft signals in calorimeters 4.a Signals not used in reconstructed physics objects 4.b I.e., below reconstruction threshold(s) Needs to be included in MET to reduce scale biases and improve 4.C resolution 5.a Need to avoid double counting 5.b Common object use strategy in ATLAS Find smallest available calorimeter signal base for physics objects (cells or cell clusters) Check for exclusive bases Same signal can only be used in one physics object Veto MET contribution from already used signals Track with selected base Priority of association is defined by reconstruction uncertainties Electrons (highest quality) \rightarrow photons \rightarrow muons* \rightarrow taus \rightarrow jets (lowest quality)

| 1.a | MET is determined by hard signals in event |
|------------|---|
| 1.b | Reconstructed particles and jets above threshold |
| 2.a | All objects on well defined energy scale, e.g. best reconstruction for individual object type |
| 2.b | Really no freedom to change scales for any of these objects |
| 3.a | Little calibration to be done for MFT |
| 3.b | Note that detector inefficiencies are corrected for physics objects |
| 3 0 | Some freedom for soft MET contribution |
| 1.2 | Signals not used in physics objects often lack corresponding |
| 4.a 1 b | context to constrain calibration |
| 4.0 | ATLAS has developed a low bias "local" calibration for the |
| 4.C | calorimeters based on signal shapes inside calorimeters |
| 5.a | Some degree of freedom here |
| 5.b | But contribution is small and mostly balanced in Et anyway |
| 5.c | Source here often UE/pile-up! |
| | and overall acceptance limitations |
| | Detector "loses" particles in non-instrumented areas or due to magnetic field in inner cavity |
| | Same remarks as above, very small and likely balanced signals |
| | Event topology dependent adjustments to MET are imaginable to recover these losses |
| | T prefer "validation" rather than "calibration" |
| A | Discrepancies in MET need to be isolated for systematic control |
| hŝ | |

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1.2

MET scale can be checked 1.a CERN-OPEN-2008-020 with physics 1.b Z Mass (GeV) 100 100 pb-1 Look for one hadronic and ATLAS 2.a one leptonic tau from Z Ŧ Ŧ Ŧ 2.b decays 95 , $\pm 3\sigma$ 3.a Can be triggered nicely II with lepton + MET 3.b 90 requirement 3.c Use collinear approximation $\pm 8\%$ 85 to reconstruct invariant 4.a mass 4.b 80 Massless taus 4.c 0.8 0.9 1.1 Neutrinos assumed to be ETmiss scale 5.a collinear to observable tau decay products 5.b **Check dependence of** $m_{\tau\tau} = \sqrt{2(E_{had} + E_{v_1})(E_{\ell} + E_{v_2})(1 - \cos\theta)}$ invariant mass on MET CERN-OPEN-2008scale variations **Expect correlation!** Determined from two reconstructed MET components and directions of detectable decay products 020



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Fake Missing Et

CERN-OPEN-2008-020



MET Resolution From MC

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1.a **MET resolution**

- Driven by calorimeter Expect sqrt(E) dependence
 - Studied as function of scalar Et
 - Systematically evaluated with MC
 - No direct experimental
 - access



- Minimum bias with limited reach/precision?
- Concern is pile-up effect on scalar Et

Will discuss experimental access on next slide(s)

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96 THE UNIVERSITY Data Driven MET Scale & Resolution (1) U of Arizona OF ARIZONA. U of ARIZONA.

1.a **Experimental access**

1.b

3.a

3.b

3.c

4.a

4.b

4.C

5.a

5.b

5.c

Use bi-sector signal

2.a projections in Z decays
2.b

Longitudinal projection sensitive to scale

Calibration of hadronic recoil

Perpendicular projection sensitive to angular resolution ${\cal E}$

Neutrinofication

Assumed to be very similar in Z and W

One lepton in Z decay can be "neutrinofied"

Access to MET resolution and scale

Hadronic recoil contribution

hadronic recoil nic recoil on solution E_t milar in

perpendicular

THE UNIVERSITY Data Driven MET Scale & Resolution (2)

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MET scale

Folds hadronic scale with acceptance

Note: no jets needed!

D Experimental tool to validate

calibration of "unused"

calorimeter signal

Hard objects can be removed from recoil

- One possible degree of freedom in MET "calibration"
- Relevance for other final states to be evaluated

Otherwise purely experimental handle!

MET resolution

Can be measured along perpendicular and longitudinal axis

> Resolution scale is scalar Et sum of hadronic calorimeter signal Biased by UE and pile-up (MC needed here)

Qualitatively follows calorimeter energy resolution



| 1.a | Missing ET is a complex experimental quantity |
|-----|---|
| 1.b | Sensitive to precision and resolution of hard object reconstruction |
| 2.a | Easily affected by detector problems and inefficiencies |
| 2.b | Careful analysis of full event topology |
| 3.a | Signal shapes in physics and detector |
| 3.b | Known unknown (1): effect of underlying event |
| 3.c | Insignificant contribution?? |
| 4.a | To be confirmed early with di-jets |
| 4.b | Known unknown (2): effect of pile-up |
| 4.c | Level of activity not so clear |
| 5.a | Minimum bias first and urgent experimental task |
| 5.b | Detector signal thresholds/acceptance potentially introduce asymmetries |
| 5.c | Need to know the "real" detector |
| | Considerable contribution to MET fluctuations |
| | Severe limitation in sensitivity for discovery |
| | |



5.b

5.c





This was a mere snapshot

- Jet reconstruction at LHC deserves a book
 - Complex environment, complex signals, lots of information content both in ATLAS and CMS events

Both experiments are on their way

- Expected performance could be confirmed or exceeded with full simulations in large LHC phase space
 - No real problems expected, but data can always bring a few surprises

Missing Et performance expectations well developed

Complex quantity likely needs most time to be understood Collects all systematics from all other physics object reconstruction!

We are waiting for data!





Jet Composition

We expected clusters to represent individual particles

- Cannot be perfect in busy jet environment!
 - Shower overlap in finite calorimeter granularity
- Some resolution power, though
 - Much better than for tower jets!
- ~1.6:1 particles: clusters in central region
 - This is an average estimator subject to large fluctuations
- ~1:1 in endcap region
 - Best match of readout granularity, shower size and jet particle energy flow Happy coincidence, not a design feature of the ATLAS calorimeter!





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Jet Shapes







(from G. Salam's talk at the ATLAS Hadronic Calibration Workshop Tucson 2008)



Calibration Flow





CaloTower Jets









Calorimeter issues

About 70-90% of all cells have no true or significant signal Depending on final state, of course Applying symmetric or asymmetric noise cuts to cell signals Reduces fluctuations significantly But introduces a bias (shift in average missing Et)



Topological clustering applies more reasonable noise cut Cells with very small signals can survive based on the signals

- in neighboring cells
- Still small bias possible but close-to-ideal suppression of noise




Cluster Overlap

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TopoClusters and Sliding Window clusters representing the same em shower are different

Different shapes

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TopoCluster size determined by cell signal topology

SW cluster size fixed by client

Both clusters will have different cell content!

Different signal fluctuations

No noise suppression in SW clusters

But less direct contributions from small signal "marginal" cells Noise suppression in TopoClusters

Potentially more small signal cells with relatively large fluctuations

Possible variables to measure overlap (under study)

Total raw signal

Affected by different noise characteristics

Relative signal distribution in sampling layers

Could be better as some noise is unfolded in the ratios

Geometrical distance (barycenter-to-barycenter in 3-d)

Not available for SW (could easily be implemented!)

Subject to detector granularity changes (?)

Measure common cell content

Similar motivation as for ESD Adds several other (more stable) measures to overlap resolution, see next slides



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Geometrical Features Of Clusters

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 $\Delta \alpha$

TopoClusters have 3-d geometry

Barycenter (*x*,*y*,*z*) In AOD, from (R, η, φ) Extension along and perpendicular to "direction of flight" Measured by 2nd geometrical moments Ni Vertex assumption (0,0,0) for right now Principal axis available for large enough clusters Can calculate envelop around barycenter Presently ellipsoidal Could include apparent "longitudinal asymmetry" of em showers Use simple model of longitudinal profile

х



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Associating Cells With TopoClusters

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AOD TopoClusters have no cells

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Need to come up with some geometrical measure Use the envelop!

Can calculate likelihood that cell is within envelop

Introduces two parameters with typical values:

$$p_r = 3, p_\lambda = 3$$

May need some tuning! Define cell i is inside topo cluster c when...





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Shared cells between SW and TopoCluster provide:

Fractional number of cells shared

Can be calculated for both clusters

Likely more useful for TopoCluster

Energy density measure

Fraction of cluster signal in shared cells

Raw signal reference

Relative profiles

...

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Re-summation of raw sampling energies allows to calculate fractions by sampling for both types of clusters

When used with muons:

Fraction of cells associated with a muon inside a TopoCluster Discard TopoCluster signal in MET calculation if muon corrected for calo energy loss



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