

## The KATRIN experiment

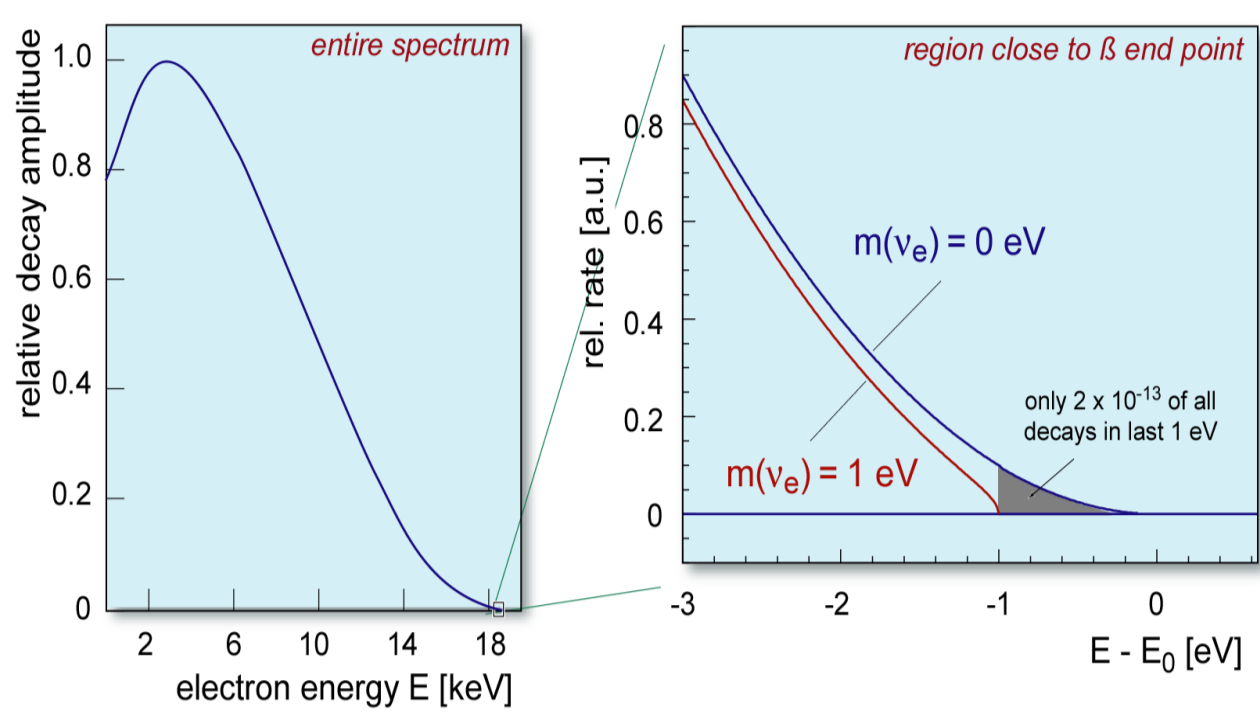
### Kinematic determination of $m(\nu_e)$

$$\frac{d\Gamma}{dE} = C p(E + m_e)(E_0 - E) \sqrt{(E_0 - E)^2 - m_e^2} F(Z + 1, E) \Theta(E_0 - E - m_e) S(E)$$

$$C = \frac{G_F^2}{2\pi^3} \cos^2 \theta_C |M|^2$$

$$m_{\nu_e}^2 = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$$

(modified by final states, recoil corrections, radiative corrections, ...)



- Requirements**
- low endpoint energy
  - high source luminosity
  - high energy resolution
  - very low background
  - stability of experimental parameters on the per mil to ppm level

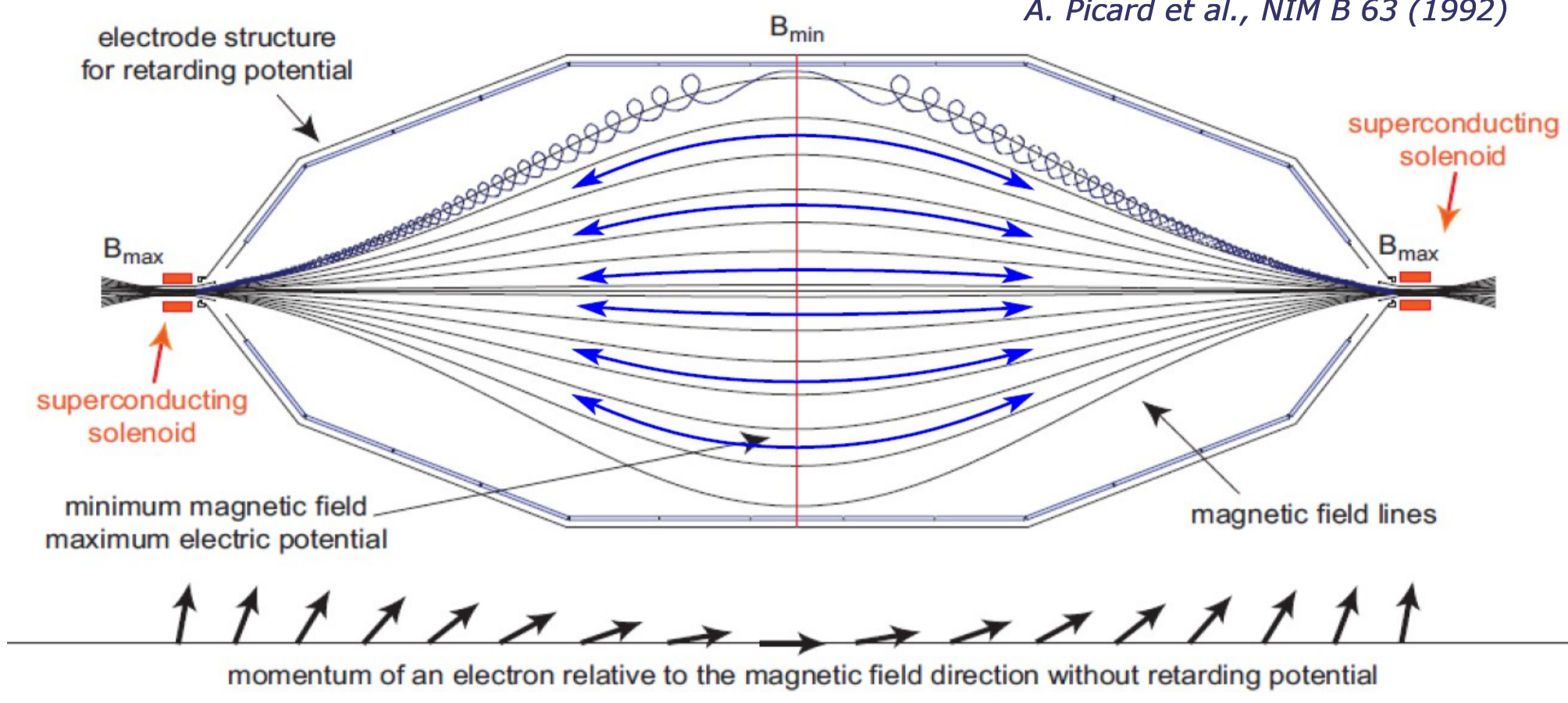
→ **MAC-E filter concept**

**Tritium  $\beta$ -decay**  
 $E_0 = 18.6$  keV,  $T_{1/2} = 12.3$  a  
 $S(E) = 1$  (super-allowed)

### MAC-E filter concept

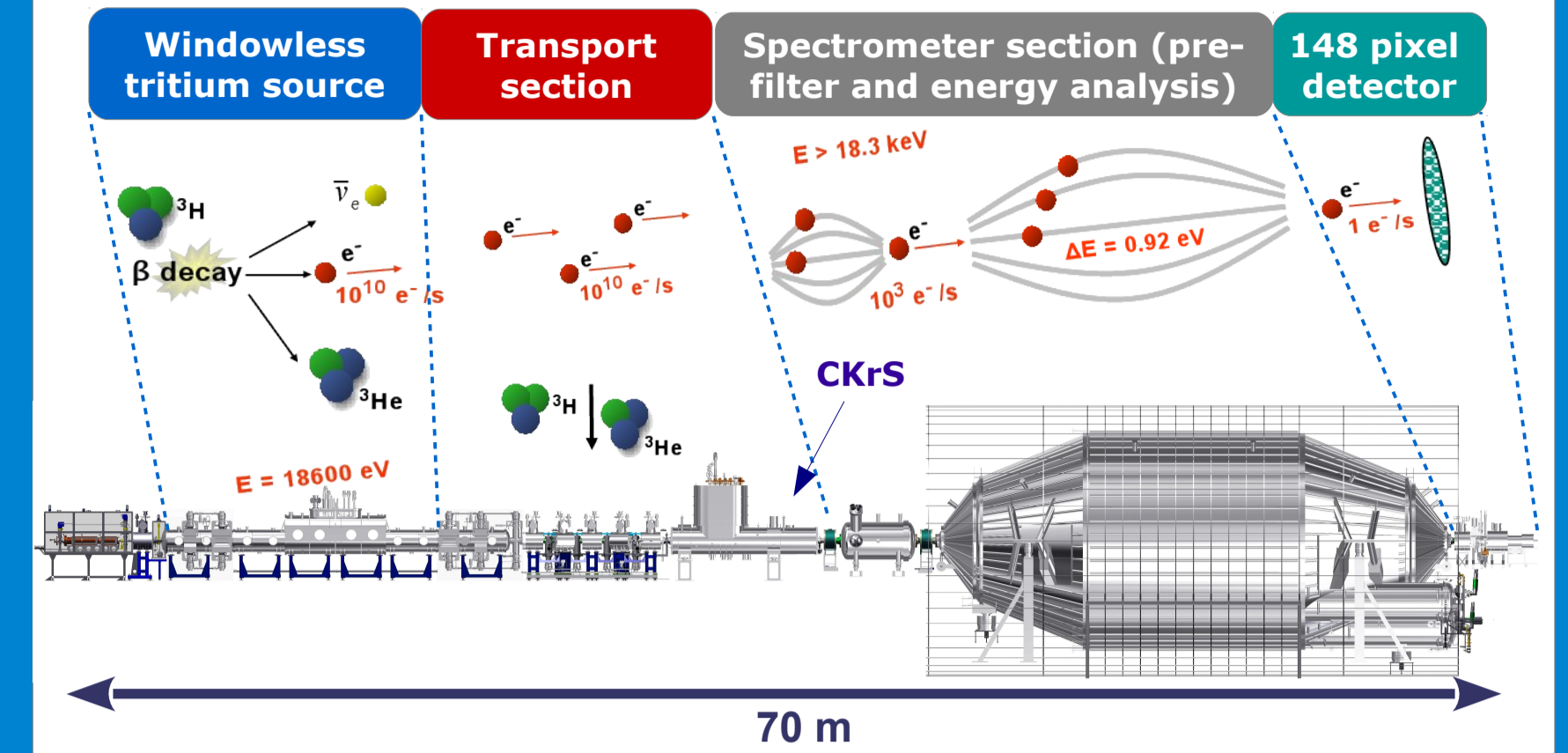
V.M. Lobashev et al., Nucl. Instrum. Methods, A240 (1985)

#### Magnetic Adiabatic Collimation with Electrostatic Filter



- Adiabatic transport,  $\mu = E_{\perp} / B = \text{const.}$
- $B$  drops by  $2 \cdot 10^4$  from solenoid to analyzing plane,  $E_{\perp} \rightarrow E_{\parallel}$
- Only electrons with  $E_{\parallel} > E_0$  can pass the retarding potential
- Energy resolution  $\Delta E = E_{\perp, \text{max, start}} \cdot B_{\text{min}} / B_{\text{max}} \approx 1$  eV

### KATRIN experiment at KIT

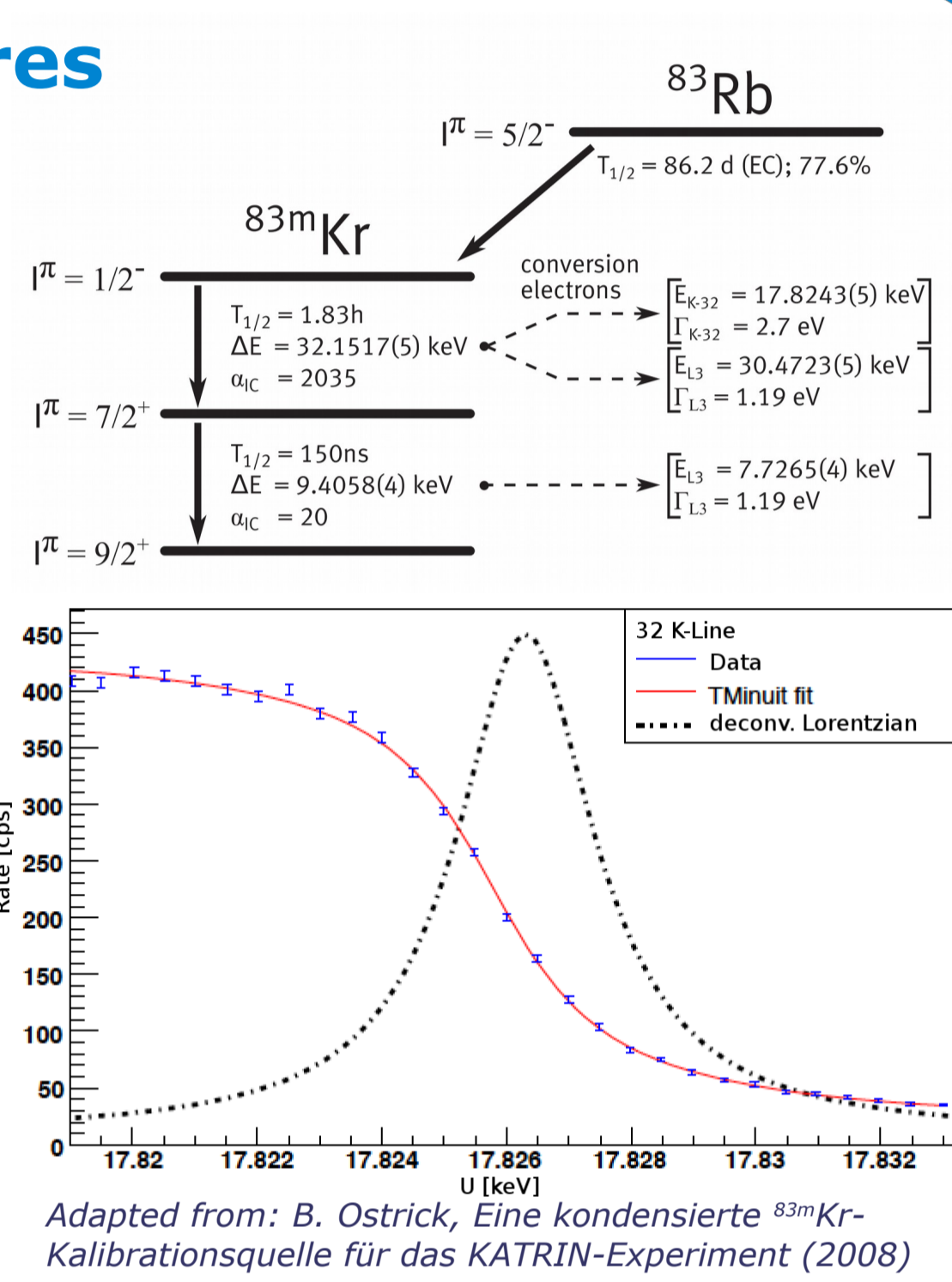


- KATRIN design sensitivity:**
- statistical uncertainty  $\sigma(\text{stat}) \approx 0.018$  eV<sup>2</sup>
  - systematic uncertainty  $\sigma(\text{sys, tot}) \approx 0.017$  eV<sup>2</sup>
  - 5 year measurement (eff. 3 y of data)
  - sensitivity for upper limit  $0.2$  eV/c<sup>2</sup> (90 % C.L.)
  - $5\sigma$  discovery potential:  $m(\nu_e) = 0.35$  eV

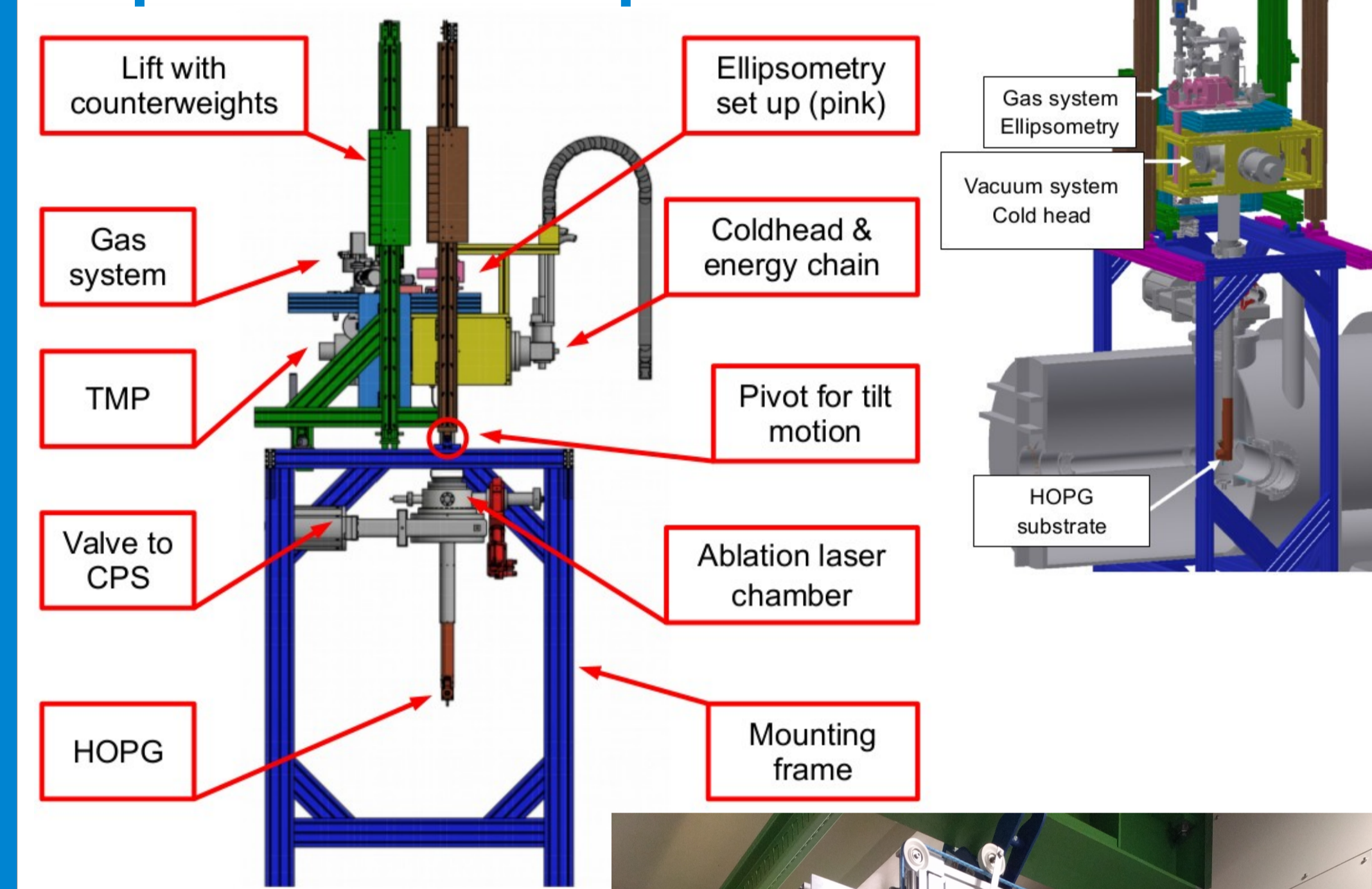
## The Condensed Krypton Source (CKrS)

### Motivation and features

- Energy calibration of KATRIN by a nuclear standard
- Meta stable <sup>83m</sup>Kr is condensed onto a Highly Oriented Pyrolytic Graphite (HOPG) substrate
- Isotropically emitting conversion electron source
- Several nearly mono-energetic lines of different energy to check the transmission function
- K-32 line near the tritium endpoint
- Short life-time of <sup>83m</sup>Kr prevents contamination of spectrometers
- Motion system allows for per-pixel calibration
- Energy stability of conversion lines in the ppm range demonstrated at former Mainz Neutrino experiment
- *In-situ* monitoring of film properties via laser ellipsometry

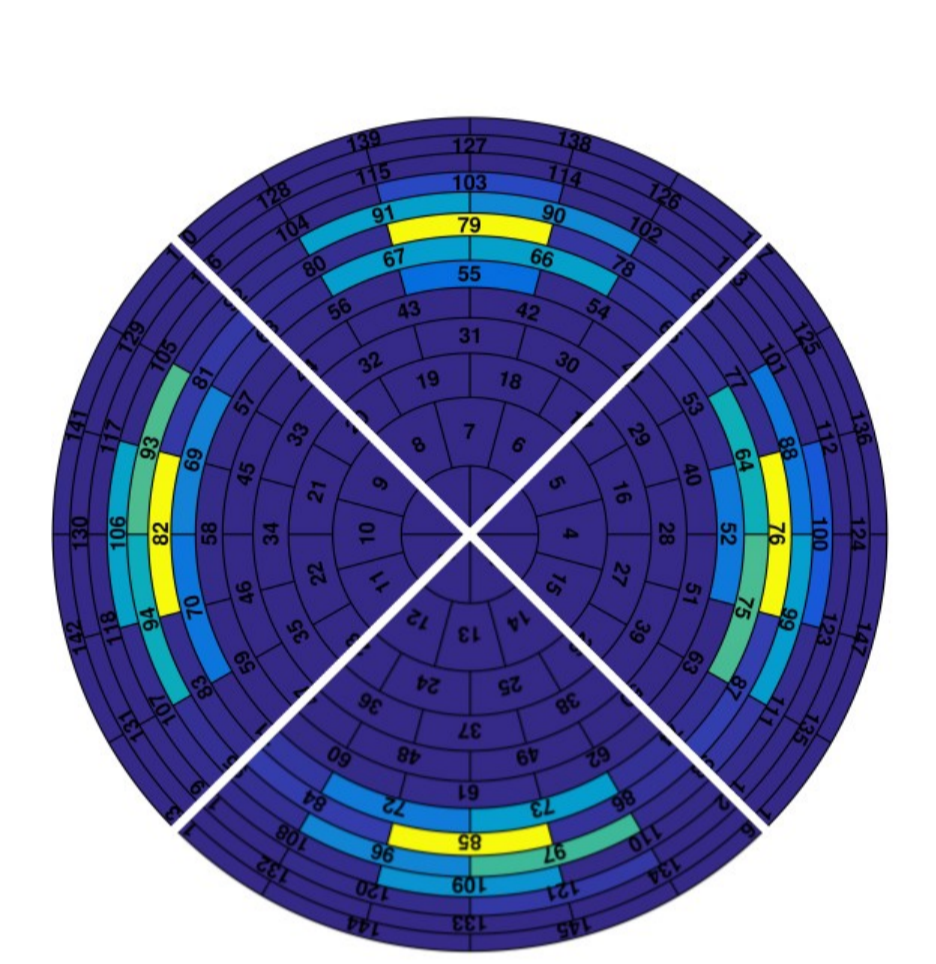


### Experimental setup



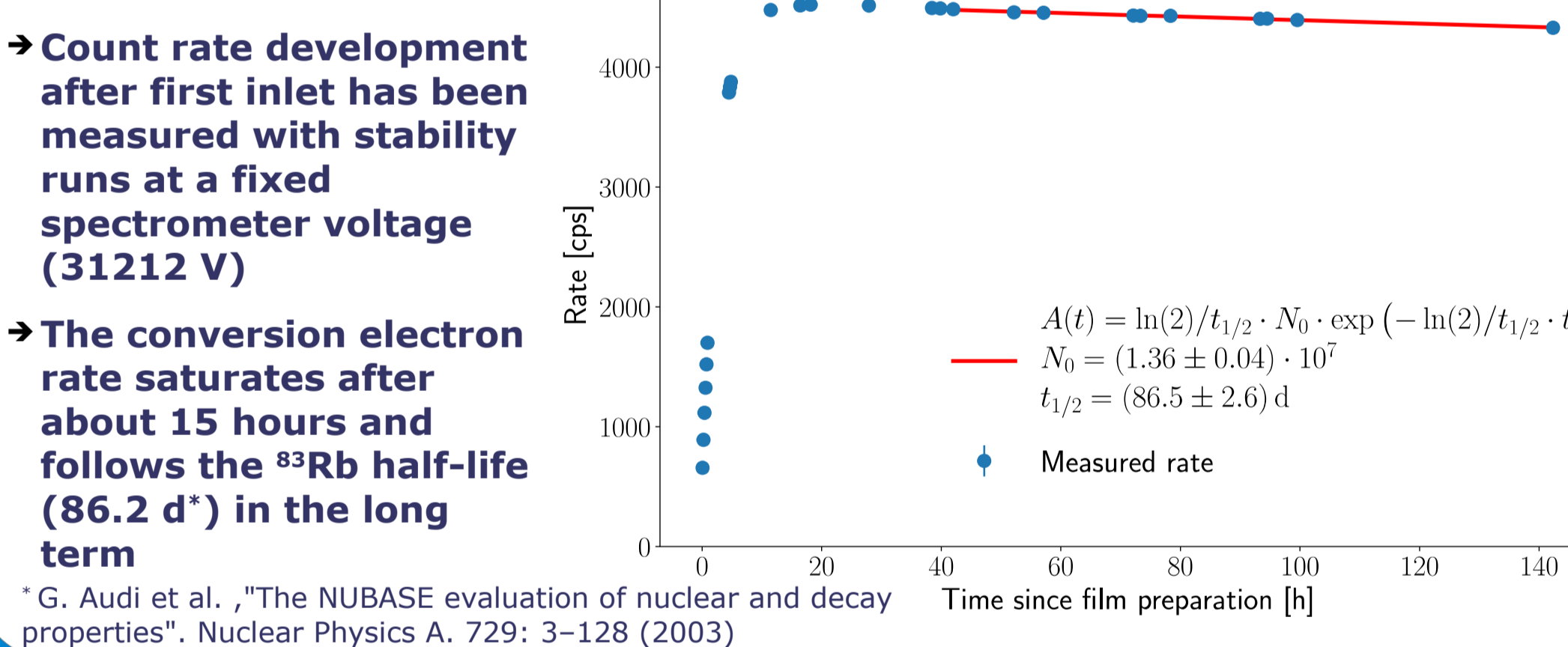
### First measurements

- CKrS positioning inside the flux tube via two motors
- Ability to illuminate each of the 148 detector pixels for full spectrometer characterization
- Safety software and hardware end switches to prevent collisions with the beam-tube



### <sup>83m</sup>Kr film preparation

- For defined starting conditions, the substrate is heated up to around 120 K and then ablated with a frequency doubled Neodym-YAG-Laser (2 W @ 532 nm) before it is cooled down to 27 K
- After opening the valve to the rubidium generator, the gaseous krypton streams through a capillary towards the cold substrate
- Radioactive <sup>83m</sup>Kr is continuously condensed onto the HOPG substrate

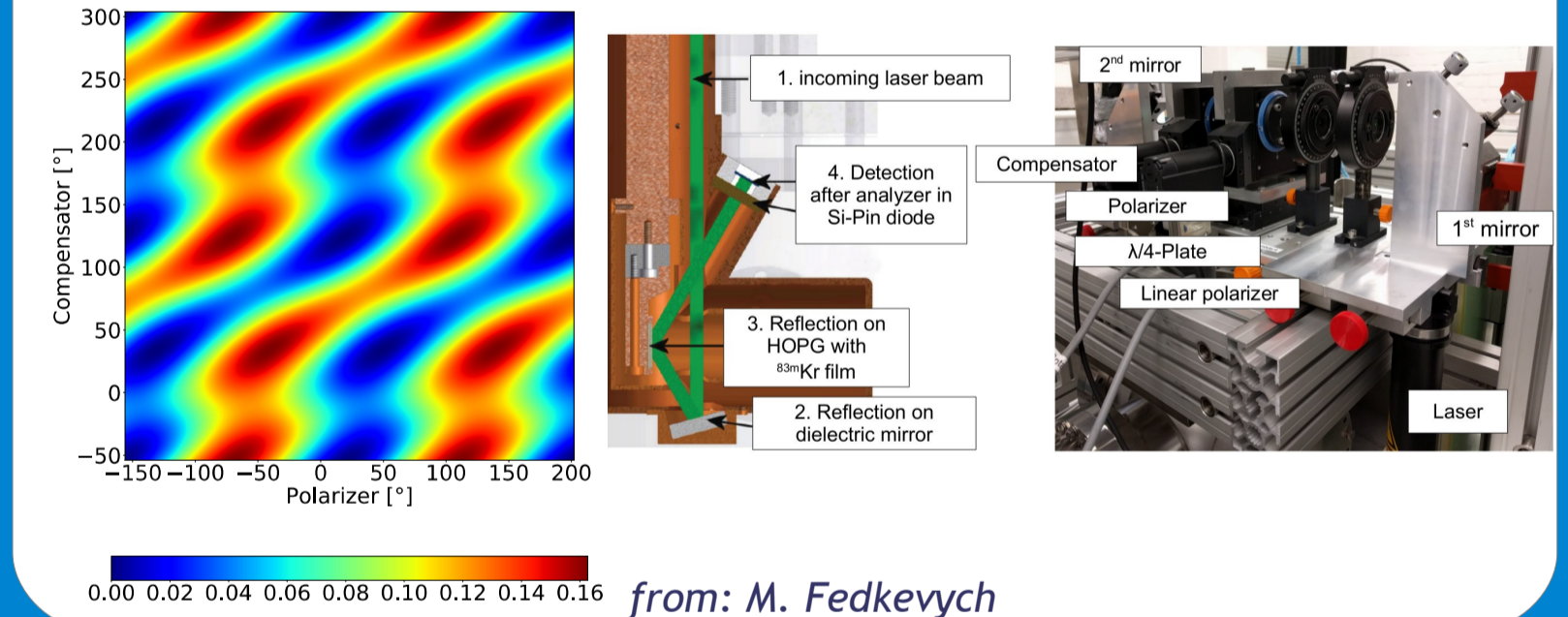


- CKrS is installed at the end of the Cryogenic Pumping Section
- Subsystems are placed on a scaffolding, which can be driven vertically as well as horizontally
- This allows film preparation and ellipsometry measurements outside of the beam-tube
- Insertion and retraction is fast, so calibration runs with the CKrS do not interfere with neutrino mass measurements
- The lift can be put onto high voltage to shift the K-32 conversion line to the tritium endpoint energy



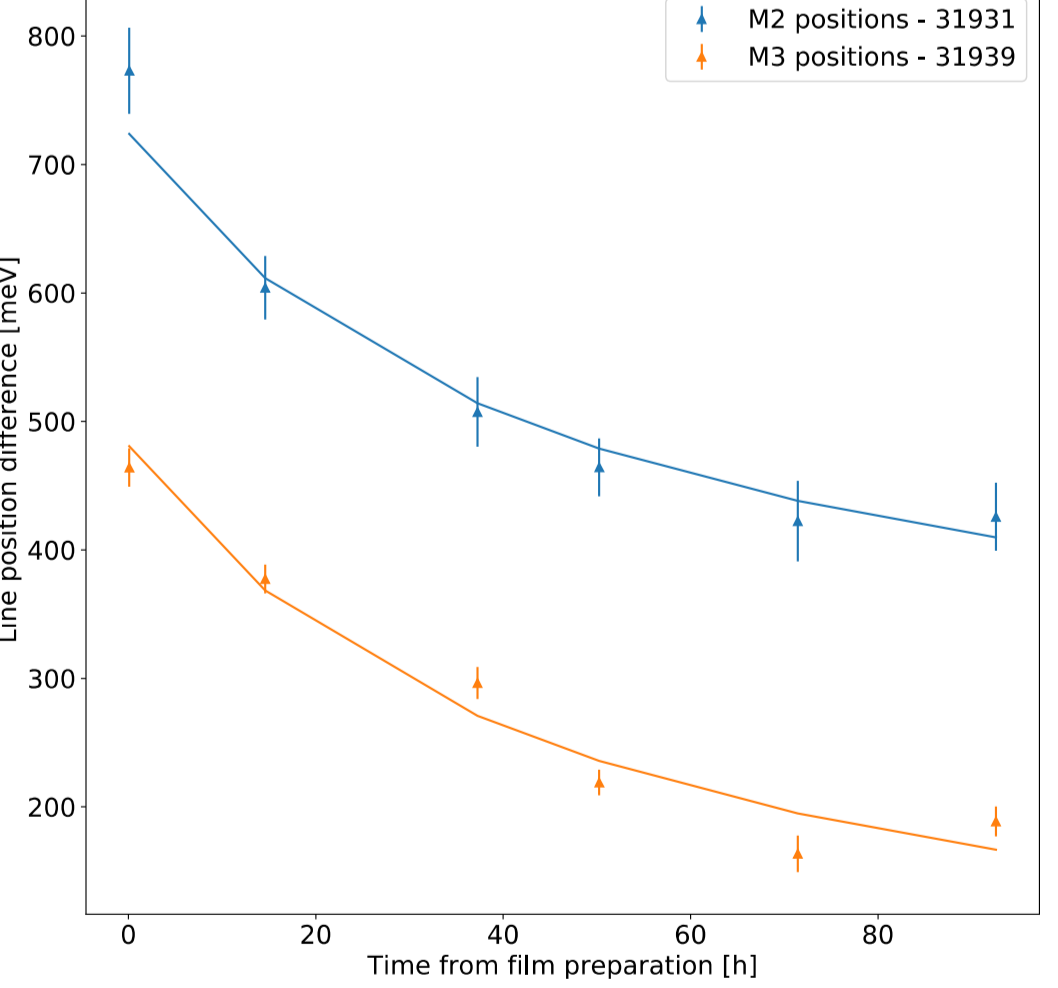
### Laser ellipsometry system

- Thin film investigation by measuring polarization changes upon reflection
- Null ellipsometry: find polarizer and compensator angle for which the reflected light is minimal, this depends on refractive index and film thickness
- Condensation of radioactive krypton alone should not lead to a shift since the used amount is too low to yield an observable effect
- Ellipsometry can be used to monitor the vacuum conditions very precisely and to produce input data for modeling of the film

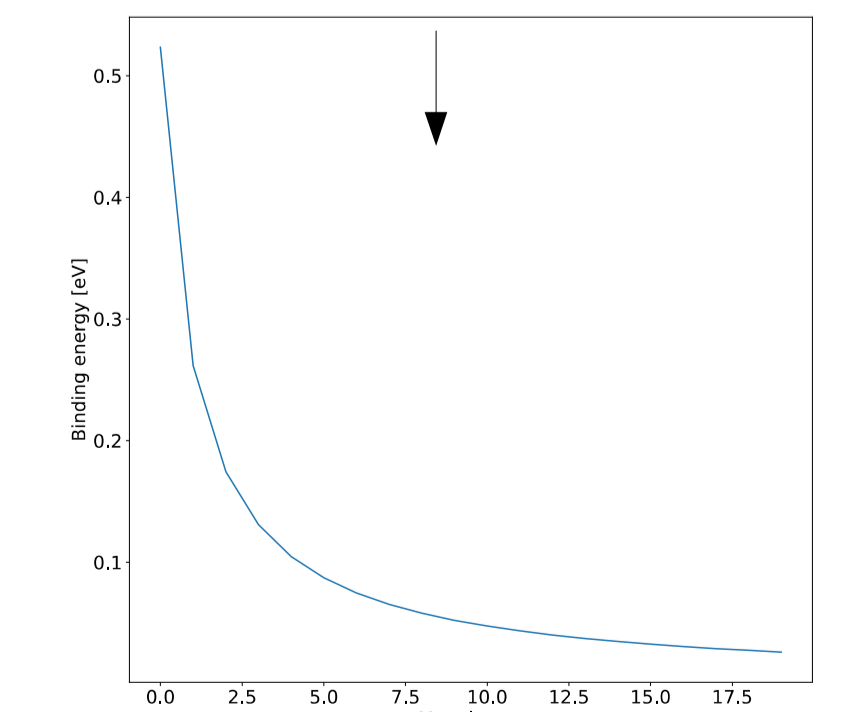


### Line stability

- Drift of line position towards lower energies over time
- Energy seems to stabilize for longer times
- Same behavior for different lines
- Positive krypton ion and its image charge in the substrate form a bound system
- Binding energy is given to the electron as additional kinetic energy
- As more residual gas condenses onto the substrate, the distance between a decaying krypton atom and the substrate becomes larger and the binding energy decreases
- Assuming a linear growth (backed by ellipsometry data) the line position can be fitted with the image charge model
- For use as a calibration source the energy stability must be improved via bake-out and pre-plating

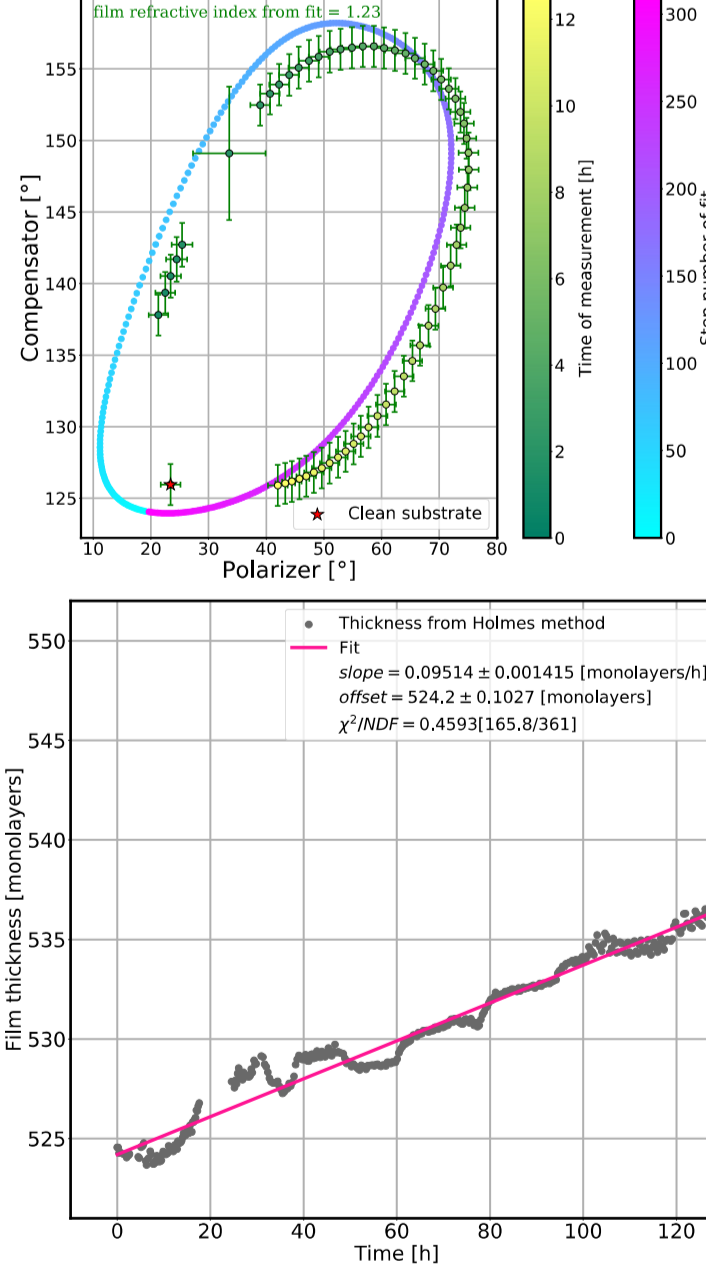


$$V(t) = \frac{1}{24\pi\epsilon_0} \frac{q \cdot q}{(a \cdot t + b) a_{Kr}} + c$$



### Ellipsometry measurements

- Large amounts of stable krypton can be condensed onto the substrate for tests
- Data can be compared to a calculated "ellipse" or film parameters can be extracted via the Holmes method\* (analytical solution of the inverse ellipsometry problem)
- For the radioactive films the growth is linear and the rate decreases for later films
- This indicates an improvement of the vacuum conditions over time
- The ellipsometry system may also provide additional information about the structure of the film



\*D. A. Holmes, "On the Calculation of Thin Film Refractive Index and Thickness by Ellipsometry," Appl. Opt. 6, 168-169 (1967)

### Summary/Outlook

- Successful commissioning of the CKrS @ KATRIN, conversion electrons can be analyzed by the spectrometer and detected at the FPD
- Rate stabilizes around 15 hours after beginning of film preparation
- Drift of line positions with time
- can be explained by the image charge model and residual gas freezing onto the substrate
- backed by ellipsometry data
- need for better vacuum conditions and additional methods (e.g. pre-plating) to stabilize the line energy
- extended bake-out this June
- Ellipsometry measurements provide valuable data and allow for *in-situ* measurements of film properties
- additional data about the residual gas composition from a RGA can be included for the next measurements
- Next measurements with the spectrometer and detector system in July & August with two main goals:
  1. find a configuration where the line position is stable over several hours/days
  2. scan the analyzing plane of the main spectrometer to map inhomogeneities and check the alignment of the subsystems

