

Strong thermal SO(10)-inspired leptogenesis in the light of recent results from long- baseline neutrino experiments

Marco Chianese

Conference PLANCK 2018
Bonn, May 23

Based on:

- M.C. and P. Di Bari, JHEP 1805
- Buccella, M.C., Mangano, Miele, Morisi, Santorelli, JHEP 1704

UNIVERSITY OF
Southampton

Neutrino oscillations data

Neutrino oscillations are due to the mismatch between the flavour and the mass bases, which is encoded in the matrix:

$$\mathcal{U}_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}$$

NuFIT 3.2 (2018)

	Normal Ordering (best fit)		Inverted Ordering ($\Delta\chi^2 = 4.14$)	
	bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range
$\sin^2 \theta_{12}$	$0.307_{-0.012}^{+0.013}$	$0.272 \rightarrow 0.346$	$0.307_{-0.012}^{+0.013}$	$0.272 \rightarrow 0.346$
$\theta_{12}/^\circ$	$33.62_{-0.76}^{+0.78}$	$31.42 \rightarrow 36.05$	$33.62_{-0.76}^{+0.78}$	$31.43 \rightarrow 36.06$
$\sin^2 \theta_{23}$	$0.538_{-0.069}^{+0.033}$	$0.418 \rightarrow 0.613$	$0.554_{-0.033}^{+0.023}$	$0.435 \rightarrow 0.616$
$\theta_{23}/^\circ$	$47.2_{-3.9}^{+1.9}$	$40.3 \rightarrow 51.5$	$48.1_{-1.9}^{+1.4}$	$41.3 \rightarrow 51.7$
$\sin^2 \theta_{13}$	$0.02206_{-0.00075}^{+0.00075}$	$0.01981 \rightarrow 0.02436$	$0.02227_{-0.00074}^{+0.00074}$	$0.02006 \rightarrow 0.02452$
$\theta_{13}/^\circ$	$8.54_{-0.15}^{+0.15}$	$8.09 \rightarrow 8.98$	$8.58_{-0.14}^{+0.14}$	$8.14 \rightarrow 9.01$
$\delta_{\text{CP}}/^\circ$	234_{-31}^{+43}	$144 \rightarrow 374$	278_{-29}^{+26}	$192 \rightarrow 354$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.40_{-0.20}^{+0.21}$	$6.80 \rightarrow 8.02$	$7.40_{-0.20}^{+0.21}$	$6.80 \rightarrow 8.02$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.494_{-0.031}^{+0.033}$	$+2.399 \rightarrow +2.593$	$-2.465_{-0.031}^{+0.032}$	$-2.562 \rightarrow -2.369$

Squared mass differences:

$$\Delta m_{21}^2 = m_2^2 - m_1^2$$

$$\Delta m_{3\ell}^2 = m_3^2 - m_1^2$$

Two possible orderings:

- **Normal Ordering (NO)**

$$m_1 < m_2 < m_3$$

- **Inverted Ordering (IO)**

$$m_3 < m_1 < m_2$$

Neutrino oscillations data

Neutrino oscillations are due to the mismatch between the flavour and the mass bases, which is encoded in the matrix:

$$\mathcal{U}_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}$$

NuFIT 3.2 (2018)

	Normal Ordering (best fit)		Inverted Ordering ($\Delta\chi^2 = 4.14$)	
	bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range
$\sin^2 \theta_{12}$	$0.307_{-0.012}^{+0.013}$	$0.272 \rightarrow 0.346$	$0.307_{-0.012}^{+0.013}$	$0.272 \rightarrow 0.346$
$\theta_{12}/^\circ$	$33.62_{-0.76}^{+0.78}$	$31.42 \rightarrow 36.05$	$33.62_{-0.76}^{+0.78}$	$31.43 \rightarrow 36.06$
$\sin^2 \theta_{23}$	$0.538_{-0.069}^{+0.033}$	$0.418 \rightarrow 0.613$	$0.554_{-0.033}^{+0.023}$	$0.435 \rightarrow 0.616$
$\theta_{23}/^\circ$	$47.2_{-3.9}^{+1.9}$	$40.3 \rightarrow 51.5$	$48.1_{-1.9}^{+1.4}$	$41.3 \rightarrow 51.7$
$\sin^2 \theta_{13}$	$0.02206_{-0.00075}^{+0.00075}$	$0.01981 \rightarrow 0.02436$	$0.02227_{-0.00074}^{+0.00074}$	$0.02006 \rightarrow 0.02452$
$\theta_{13}/^\circ$	$8.54_{-0.15}^{+0.15}$	$8.09 \rightarrow 8.98$	$8.58_{-0.14}^{+0.14}$	$8.14 \rightarrow 9.01$
$\delta_{\text{CP}}/^\circ$	234_{-31}^{+43}	$144 \rightarrow 374$	278_{-29}^{+26}	$192 \rightarrow 354$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.40_{-0.20}^{+0.21}$	$6.80 \rightarrow 8.02$	$7.40_{-0.20}^{+0.21}$	$6.80 \rightarrow 8.02$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.494_{-0.031}^{+0.033}$	$+2.399 \rightarrow +2.593$	$-2.465_{-0.031}^{+0.032}$	$-2.562 \rightarrow -2.369$

Squared mass differences:

$$\Delta m_{21}^2 = m_2^2 - m_1^2$$

$$\Delta m_{3\ell}^2 = m_3^2 - m_1^2$$

Two possible orderings:

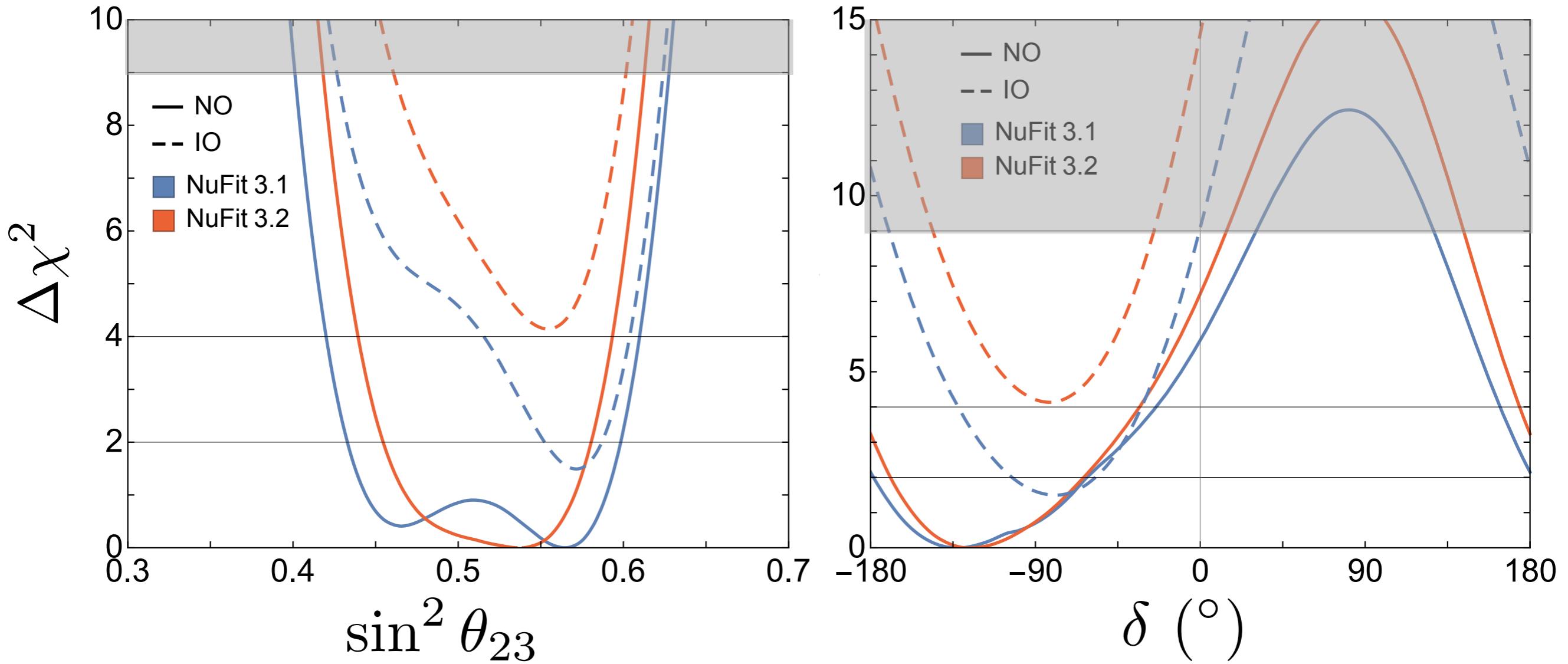
- **Normal Ordering (NO)**

$$m_1 < m_2 < m_3$$

- **Inverted Ordering (IO)**

$$m_3 < m_1 < m_2$$

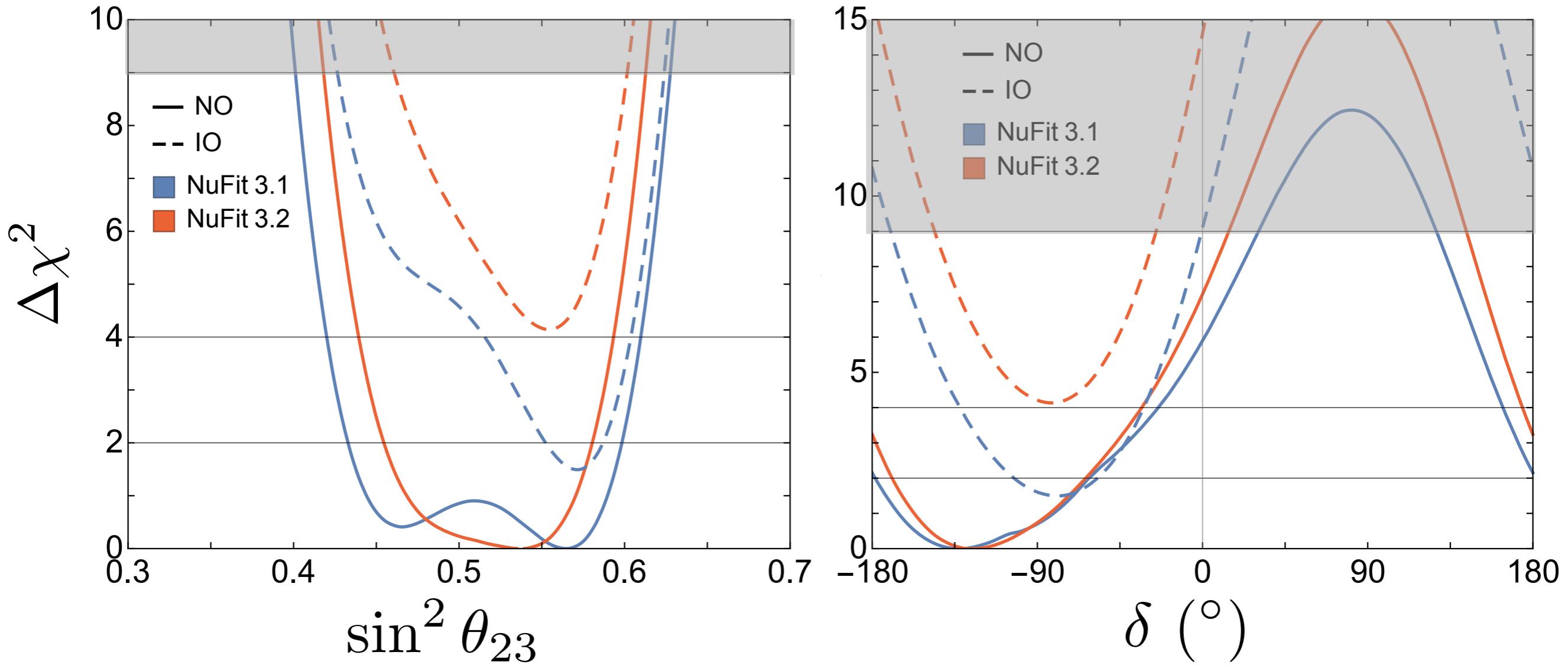
NuFIT 3.1 vs NuFIT 3.2



Highlights:

- NO ν A–T2K agreement with $\sin^2 \theta_{23} \gtrsim 0.5$
- more precise measurement $\delta \lesssim 0^\circ$
- Normal Ordering at 2σ

NuFIT 3.1 vs NuFIT 3.2



Highlights:

- NO ν A–T2K agreement with $\sin^2 \theta_{23} \gtrsim 0.5$
- more precise measurement $\delta \lesssim 0^\circ$
- Normal Ordering at 2σ



**Strong Thermal
SO(10)-inspired
Leptogenesis
(STSO10)**

SO(10)-inspired model

In minimal SO(10) GUT, neutrino masses and mixings are naturally explained by [type-I seesaw](#) mechanism, while the type-II contribution is in general subdominant.

$$m_\nu = -m_D \frac{1}{M_R} m_D^T$$

Assuming a particular Higgs scalar sector, the [neutrino Dirac mass matrix](#) has approximatively the same structure of up-quark mass matrix:

$$m_D = V_L^\dagger D_{m_D} U_R \quad \text{with} \quad D_{m_D} = (\alpha_1 m_{\text{up}}, \alpha_2 m_{\text{charm}}, \alpha_3 m_{\text{top}})$$

SO(10)-inspired condition

Parameters	$I \leq V_L \leq V_{\text{CKM}}$	$\alpha_i = \mathcal{O}(0.1 - 10)$	
Benchmark values	$\theta_{12}^L \leq 13^\circ$ $\theta_{23}^L \leq 2.4^\circ$ $\theta_{13}^L \leq 0.2^\circ$	$-\pi \leq \delta_L \leq \pi$ $-\pi \leq \alpha_L \leq \pi$ $-\pi \leq \beta_L \leq \pi$	$\alpha_{1,3} = 1 \quad \alpha_2 = 5$

Strong Thermal Leptogenesis

We impose two independent conditions to the paradigm of thermal leptogenesis:

Fukugita and Yanagida, PLB 174 (1986)

- successful condition

$$\eta_B = \alpha_{\text{sph}} \frac{N_{B-L}^f}{N_\gamma^{\text{rec}}} = (6.10 \pm 0.04) \times 10^{-10}$$

*Planck Collaboration,
Astron. Astrophys. 594 (2016)*

- strong thermal condition (or independence of initial conditions)

$$N_{B-L}^f = N_{B-L}^{\text{lep},f} + N_{B-L}^{\text{p},f} \simeq N_{B-L}^{\text{lep},f}$$

contribution from
thermal leptogenesis



contribution from a pre-existing
asymmetry that has to be **washed-out!**

- GUT bosons decays [Yoshimura, PRL 41 (1978)]
- Affleck-Dine mechanism [Affleck and Dine, Nucl. Phys. B (1985)]
- Gravity [Kallosh et al., PRD 52 (1995)]

Tauonic N₂-dominated scenario

The strong thermal leptogenesis naturally works for **hierarchical** RH mass spectrum:

$$M_{R_3} \gg 10^{12} \text{ GeV}, \quad 10^{12} \text{ GeV} \gg M_{R_2} \gg 10^9 \text{ GeV}, \quad 10^9 \text{ GeV} \gg M_{R_1}$$

leptogenesis scale wash-out scale

The two contributions read

$$\begin{aligned} N_{B-L}^{\text{p,f}} &= \left[p_e e^{-\frac{3\pi}{8} K_{1e}} + p_\mu e^{-\frac{3\pi}{8} K_{1\mu}} + p_\tau e^{-\frac{3\pi}{8} (K_{1\tau} + K_{2\tau})} \right] N_{B-L}^{\text{p,i}} \\ N_{B-L}^{\text{lep,f}} &= f_1(K_{2e}, K_{2\mu}) e^{-\frac{3\pi}{8} K_{1e}} + f_2(K_{2e}, K_{2\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} \\ &\quad + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}} \end{aligned}$$

Definitions:

$K_{i\alpha} \equiv$ flavoured decay rates

$\varepsilon_{i\alpha} \equiv$ flavoured CP asymmetries

$\kappa \equiv$ efficiency factor

$p_\alpha \equiv$ flavour ratios

$f_{1,2} \equiv$ suitable functions

Bertuzzo, Di Bari, Marzola, NPB 849 (2011)

Di Bari, King, Re Fiorentin, JCAP 1403

Di Bari, Marzola, Re Fiorentin, NPB 893 (2015)

Di Bari and Re Fiorentin, JHEP 1710

5/12

Tauonic N₂-dominated scenario

The strong thermal leptogenesis naturally works for **hierarchical** RH mass spectrum:

$$M_{R_3} \gg 10^{12} \text{ GeV}, \quad 10^{12} \text{ GeV} \gg M_{R_2} \gg 10^9 \text{ GeV}, \quad 10^9 \text{ GeV} \gg M_{R_1}$$

leptogenesis scale wash-out scale

The two contributions read

$$\begin{aligned} N_{B-L}^{\text{p,f}} &= \left[p_e e^{-\frac{3\pi}{8} K_{1e}} + p_\mu e^{-\frac{3\pi}{8} K_{1\mu}} + p_\tau e^{-\frac{3\pi}{8} (K_{1\tau} + K_{2\tau})} \right] N_{B-L}^{\text{p,i}} \simeq 0 \\ N_{B-L}^{\text{lep,f}} &= f_1(K_{2e}, K_{2\mu}) e^{-\frac{3\pi}{8} K_{1e}} + f_2(K_{2e}, K_{2\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} \\ &\quad + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}} \simeq \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}} \end{aligned}$$

We have to require **tauonic N₂-dominated scenario**:

$$N_{B-L}^{\text{p,f}} \ll N_{B-L}^{\text{lep,f}} \implies K_{1e}, K_{1\mu}, K_{2\tau} \gg 1 \text{ and } K_{1\tau} \lesssim 1$$

Definitions:

$K_{i\alpha} \equiv$ flavoured decay rates

$\varepsilon_{i\alpha} \equiv$ flavoured CP asymmetries

$\kappa \equiv$ efficiency factor

$p_\alpha \equiv$ flavour ratios

$f_{1,2} \equiv$ suitable functions

Bertuzzo, Di Bari, Marzola, NPB 849 (2011)

Di Bari, King, Re Fiorentin, JCAP 1403

Di Bari, Marzola, Re Fiorentin, NPB 893 (2015)

Di Bari and Re Fiorentin, JHEP 1710

5/12

Tauonic N₂-dominated scenario

The strong thermal leptogenesis naturally works for **hierarchical** RH mass spectrum:

$$M_{R_3} \gg 10^{12} \text{ GeV}, \quad 10^{12} \text{ GeV} \gg M_{R_2} \gg 10^9 \text{ GeV}, \quad 10^9 \text{ GeV} \gg M_{R_1}$$

leptogenesis scale wash-out scale

The two contributions read

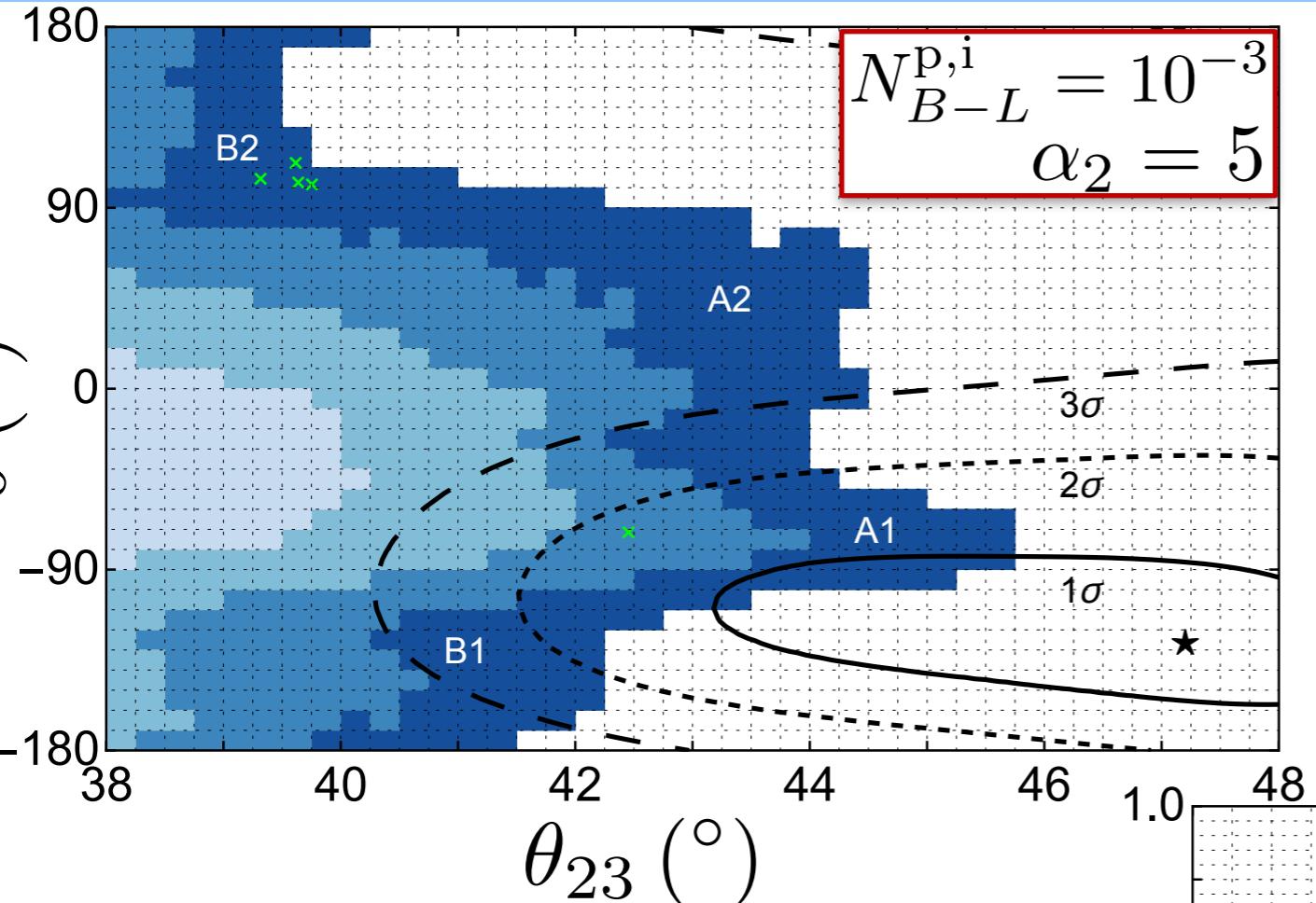
$$\begin{aligned} N_{B-L}^{\text{p,f}} &= \left[p_e e^{-\frac{3\pi}{8} K_{1e}} + p_\mu e^{-\frac{3\pi}{8} K_{1\mu}} + p_\tau e^{-\frac{3\pi}{8} (K_{1\tau} + K_{2\tau})} \right] N_{B-L}^{\text{p,i}} \simeq 0 \\ N_{B-L}^{\text{lep,f}} &= f_1(K_{2e}, K_{2\mu}) e^{-\frac{3\pi}{8} K_{1e}} + f_2(K_{2e}, K_{2\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} \\ &\quad + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}} \simeq \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}} \end{aligned}$$

We have to require **tauonic N₂-dominated scenario**:

$$N_{B-L}^{\text{p,f}} \ll N_{B-L}^{\text{lep,f}} \implies K_{1e}, K_{1\mu}, K_{2\tau} \gg 1 \text{ and } K_{1\tau} \lesssim 1$$

We perform a MonteCarlo procedure to obtain the allowed regions in the parameters space, providing **successful strong thermal** leptogenesis.

Benchmark results

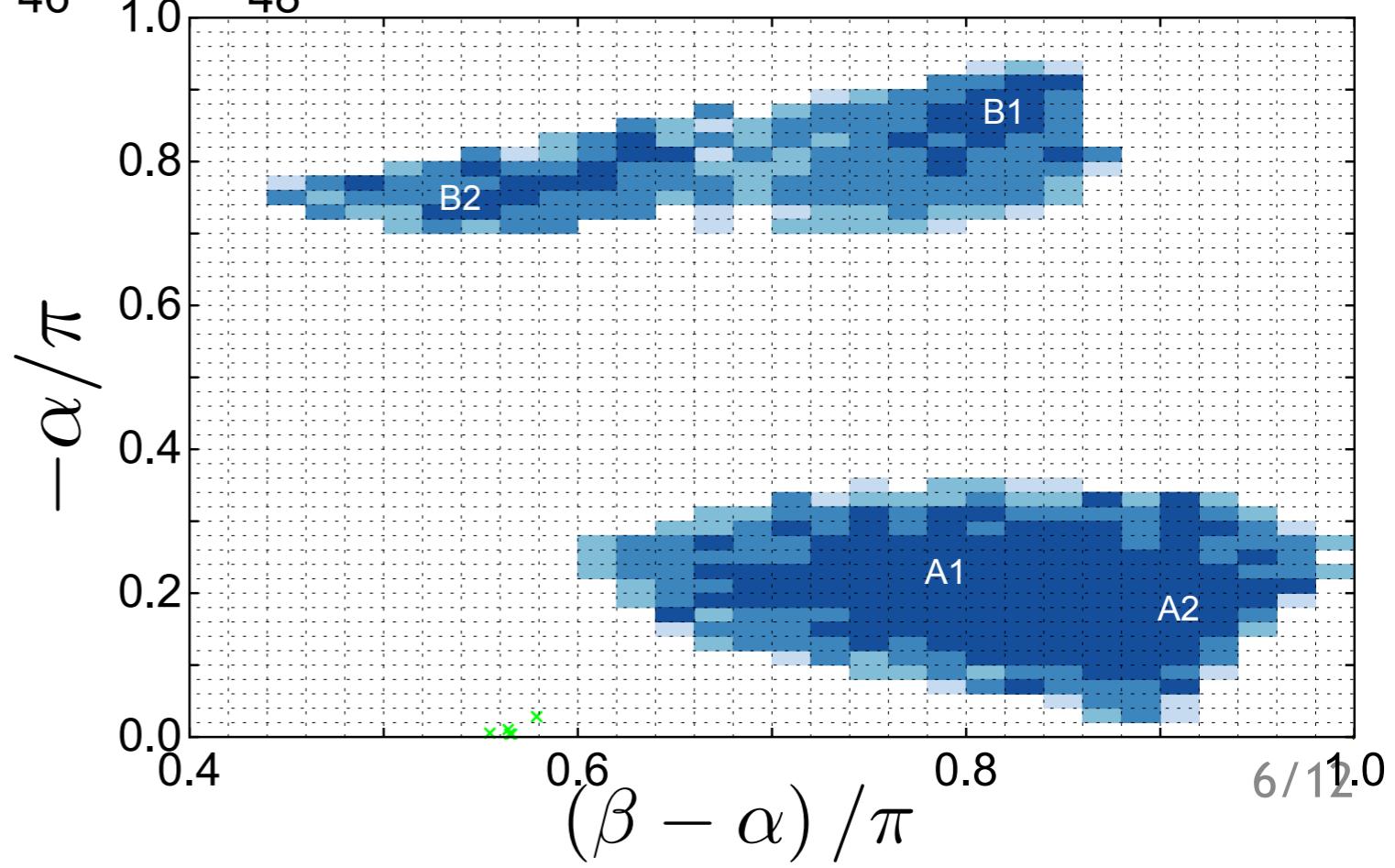


Main Results

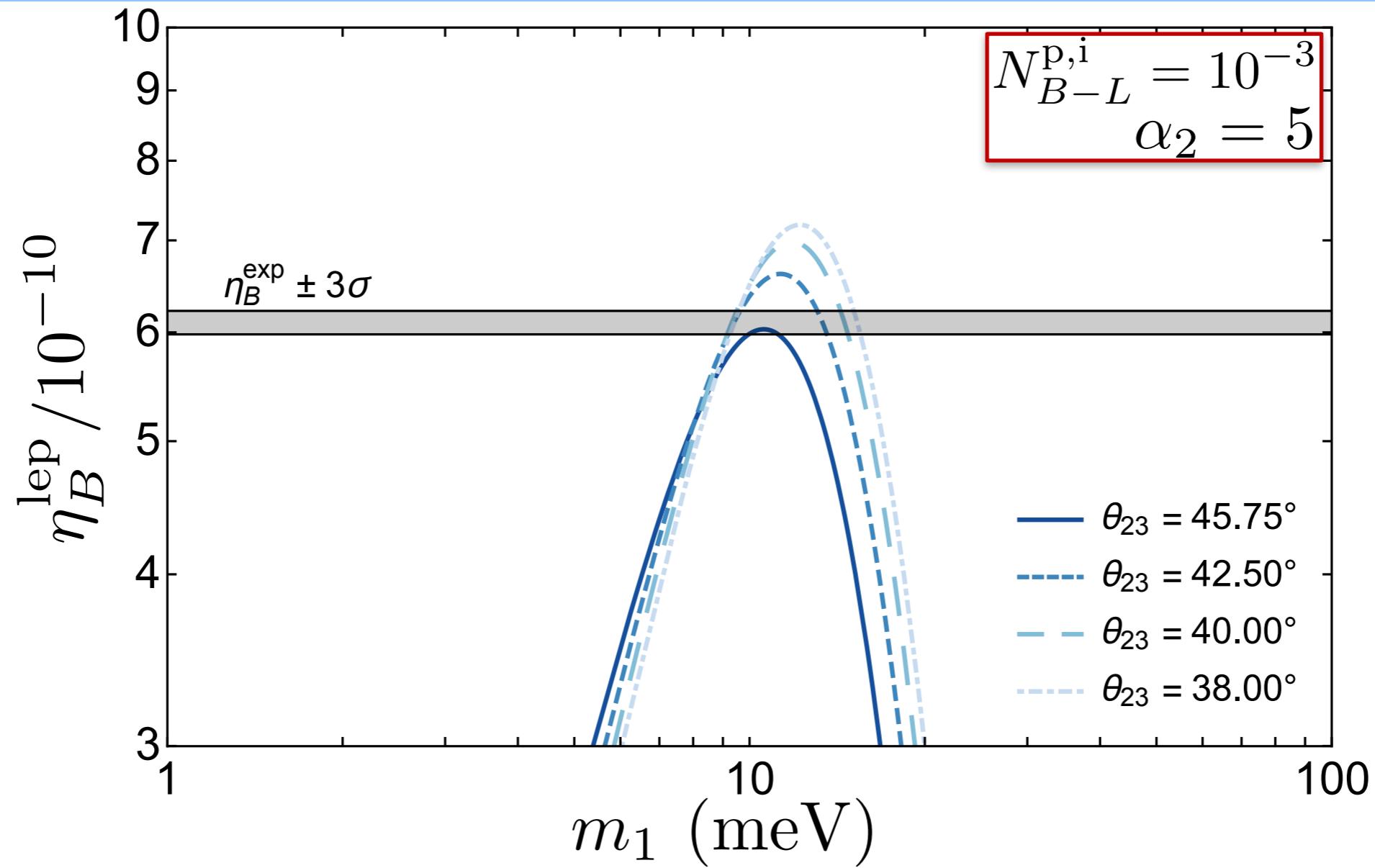
- The maximum allowed value is $\theta_{23}^{\max} \simeq 45.75^\circ$ for $\delta \simeq -75^\circ$
- Only the region A₁ is in agreement with experimental neutrino data at 2σ .

From lightest to darkest blue, the regions contain 68%, 95%, 99.7% and 100% of the solutions.

We have found a few **muonic solutions**, highlighted with green crosses.



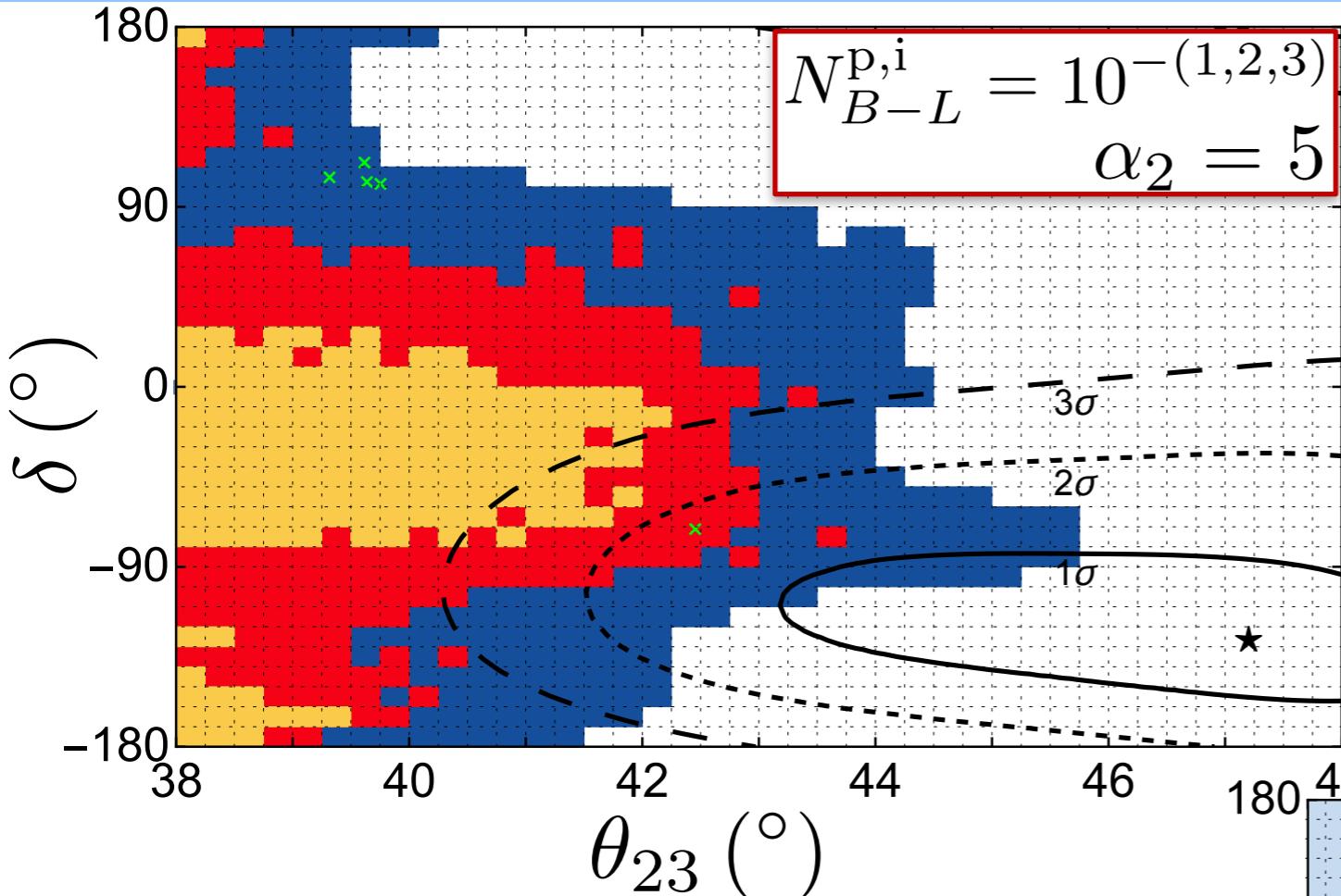
Fine-tuning



Increasing the values of the atmospheric angle implies a higher and higher **fine-tuning** of all parameters.

$$\varepsilon_{2\tau} \sim \frac{3}{16\pi} \frac{m_{D_2}^2}{v_{\text{SM}}^2} \frac{m_1}{m_{\text{sol}} m_{\text{atm}}} \frac{|m_{\text{sol}} s_{12}^2 c_{13}^2 + m_{\text{atm}} s_{13}^2 e^{2i(\beta - \alpha - \delta)}| \sin \theta_L}{s_{12}^4} \frac{1 - s_{23}^2}{s_{23}^4}$$

Relaxing the assumptions



The three colours represent:

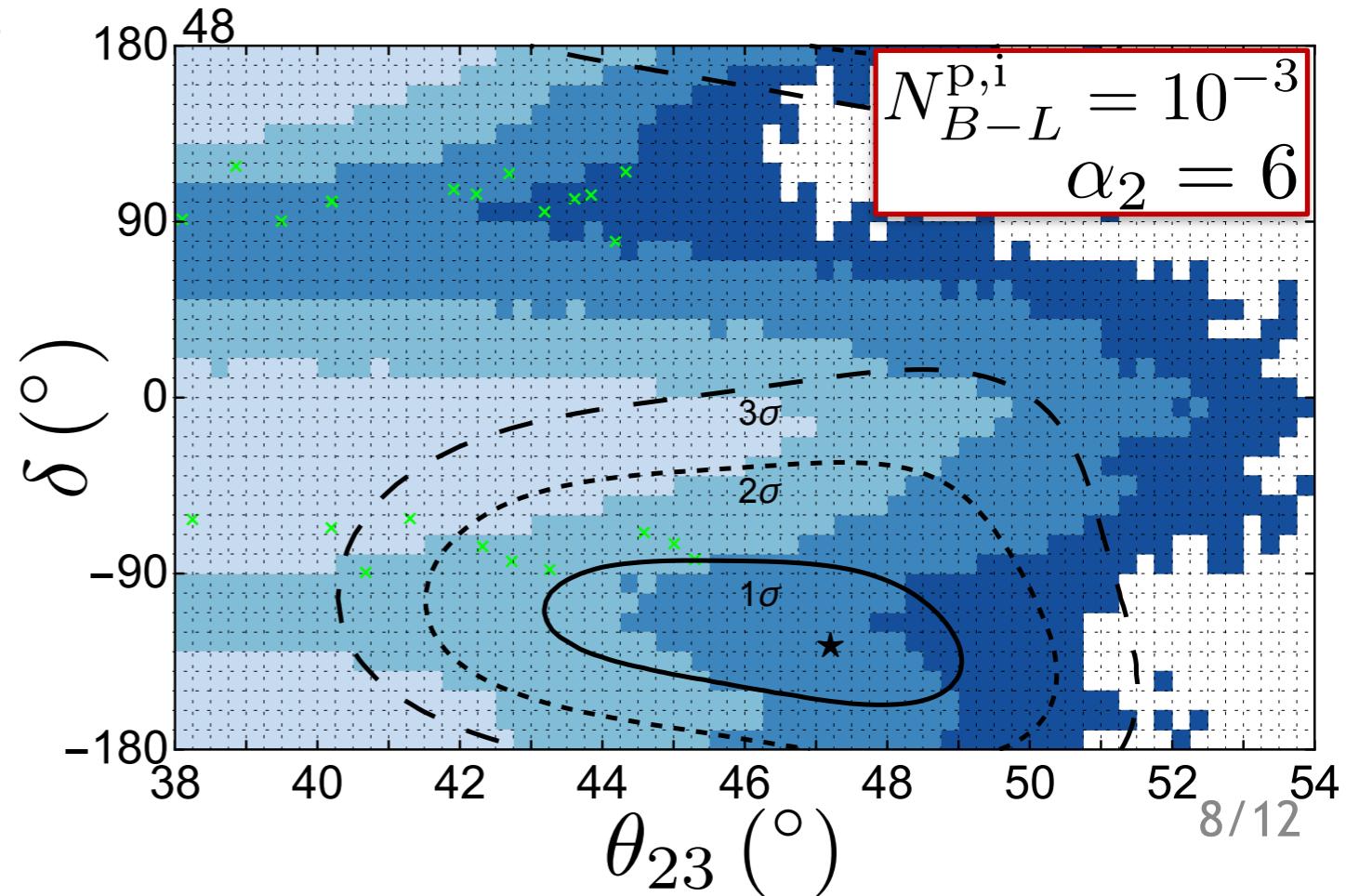
- █ $N_{B-L}^{p,i} = 10^{-1}$
- █ $N_{B-L}^{p,i} = 10^{-2}$
- █ $N_{B-L}^{p,i} = 10^{-3}$

The larger the initial pre-existing asymmetry, the smaller the allowed regions.

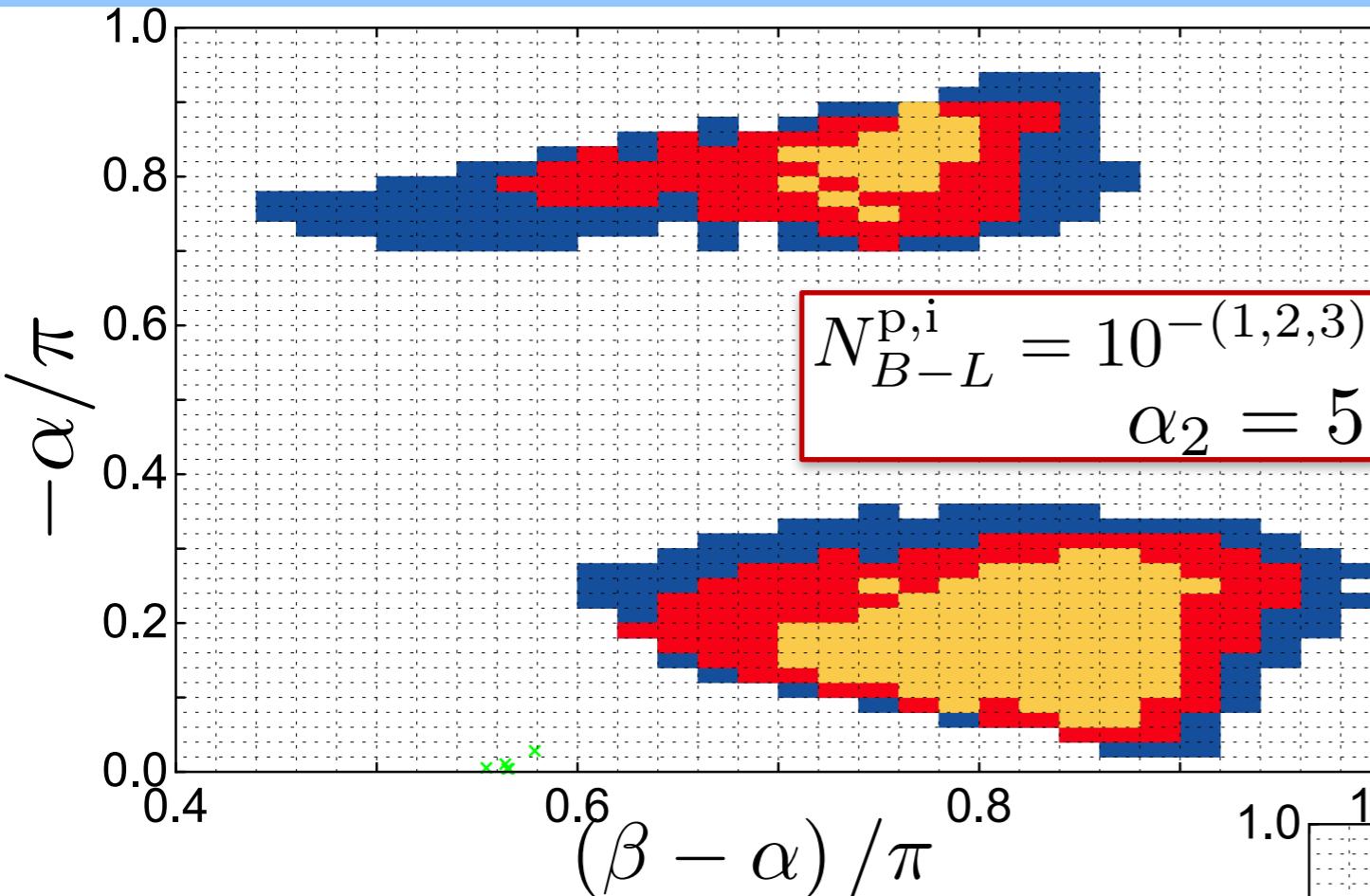
The scenario is strongly dependent on α_2 , while it is almost independent of $\alpha_{1,3}$.

Indeed, we have

$$\varepsilon_{2\tau} \propto m_{D_2}^2 \implies \eta_B^{\text{lep}} \propto \alpha_2^2 m_{\text{charm}}^2$$



Relaxing the assumptions



The three colours represent:

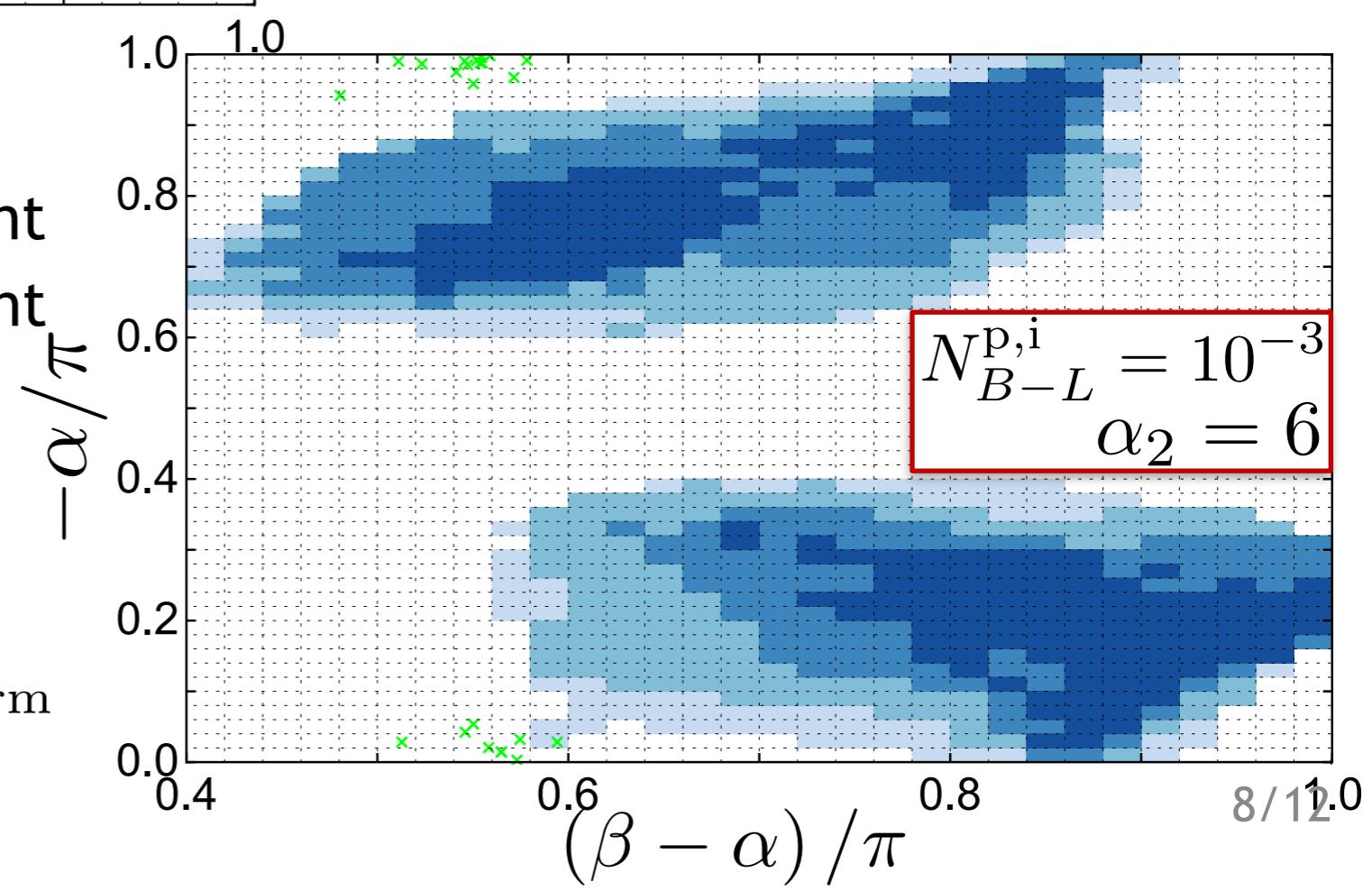
- █ $N_{B-L}^{p,i} = 10^{-1}$
- █ $N_{B-L}^{p,i} = 10^{-2}$
- █ $N_{B-L}^{p,i} = 10^{-3}$

The larger the initial pre-existing asymmetry, the smaller the allowed regions.

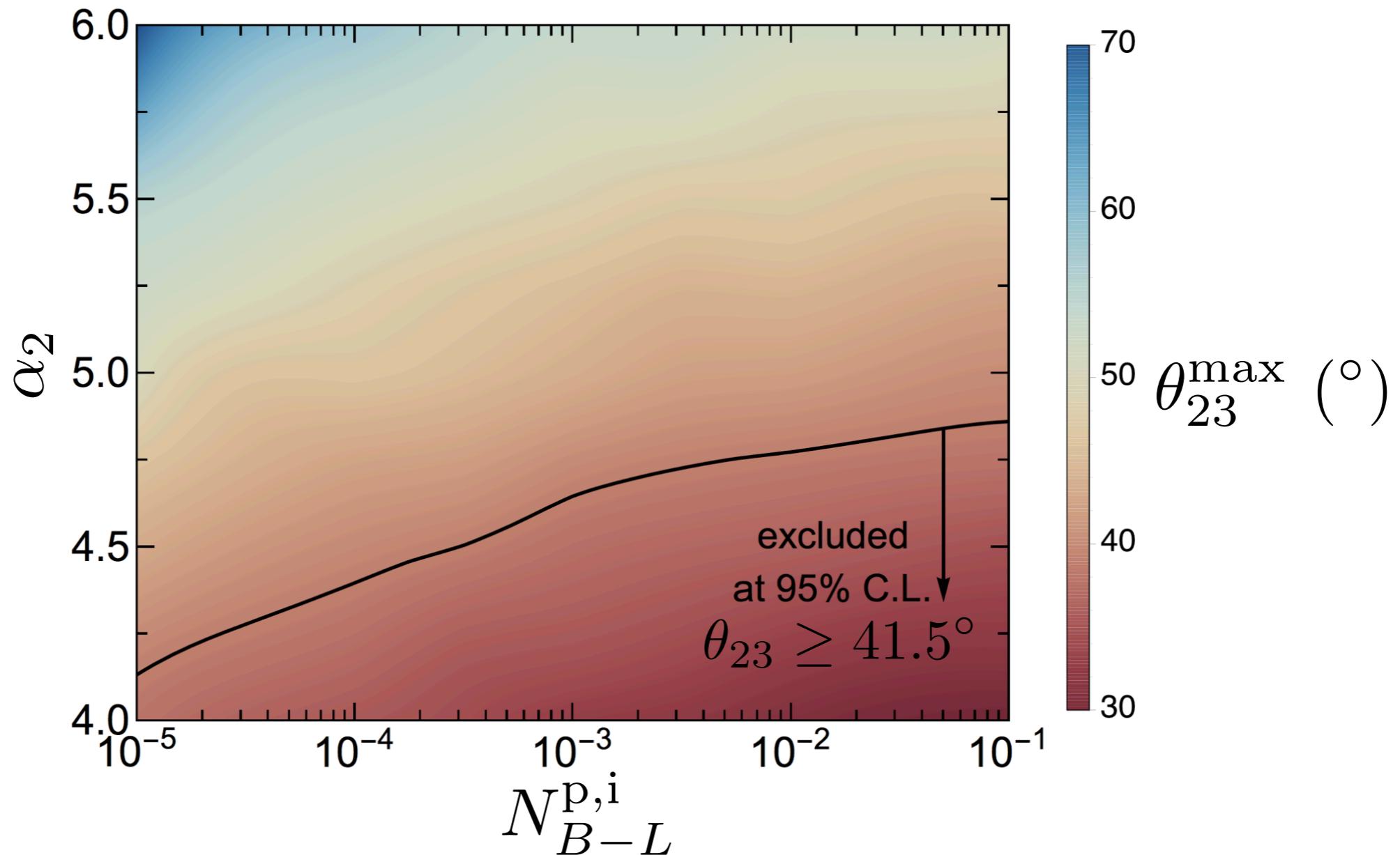
The scenario is strongly dependent on α_2 , while it is almost independent of $\alpha_{1,3}$.

Indeed, we have

$$\varepsilon_{2\tau} \propto m_{D_2}^2 \implies \eta_B^{\text{lep}} \propto \alpha_2^2 m_{\text{charm}}^2$$



Relaxing the assumptions



Latest neutrino oscillations data disfavour small values for α_2 in Strong Thermal SO(10)-inspired leptogenesis scenario, providing **additional constraints** to GUT global realistic fits of fermion masses.

$0\nu\beta\beta$ and SO(10) mass-mixing sum rule

The Majorana nature of neutrinos can be tested by looking for the neutrinoless double beta decay whose rate is proportional to

$$\langle m_{ee} \rangle = |U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{2i\alpha} + U_{e3}^2 m_3 e^{2i\beta}|$$

The **neutrino sum rules** are simple relations among neutrino oscillation parameters appearing once an additional symmetry is imposed.

Horizontal/Flavour			
Fields	1st family	2nd family	3rd family
Quarks	u	c	t
	d	s	b
Leptons	e	μ	τ
	ν_e	ν_μ	ν_τ

Mass and Mixing sum rules

$$\kappa_1 m_1^h + \kappa_2 (m_2 e^{-2i\alpha})^h + \kappa_3 (m_3 e^{-2i\beta})^h = 0$$

$$(1 - \sqrt{2} \sin \theta_{23}) = \rho_{\text{atm}} + \lambda (1 - \sqrt{2} \sin \theta_{13}) \cos \delta$$

$$\theta_{12} = \rho_{\text{sol}} + \theta_{13} \cos \delta$$

See Refs: King, JHEP 0508; Antusch and King, PLB 631 (2005); Barry and Rodejohann, Nucl. Phys. B 842 (2011); King et al., New J. Phys. 16 (2014)

$0\nu\beta\beta$ and $SO(10)$ mass-mixing sum rule

The Majorana nature of neutrinos can be tested by looking for the neutrinoless double beta decay whose rate is proportional to

$$\langle m_{ee} \rangle = |U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{2i\alpha} + U_{e3}^2 m_3 e^{2i\beta}|$$

The **neutrino sum rules** are simple relations among neutrino oscillation parameters appearing once an additional symmetry is imposed.

Horizontal/Flavour

Fields	1st family	2nd family	3rd family
Quarks	u	c	t
	d	s	b
Leptons	e	μ	τ
	ν_e	ν_μ	ν_τ

Mass-Mixing sum rule in $SO(10)$

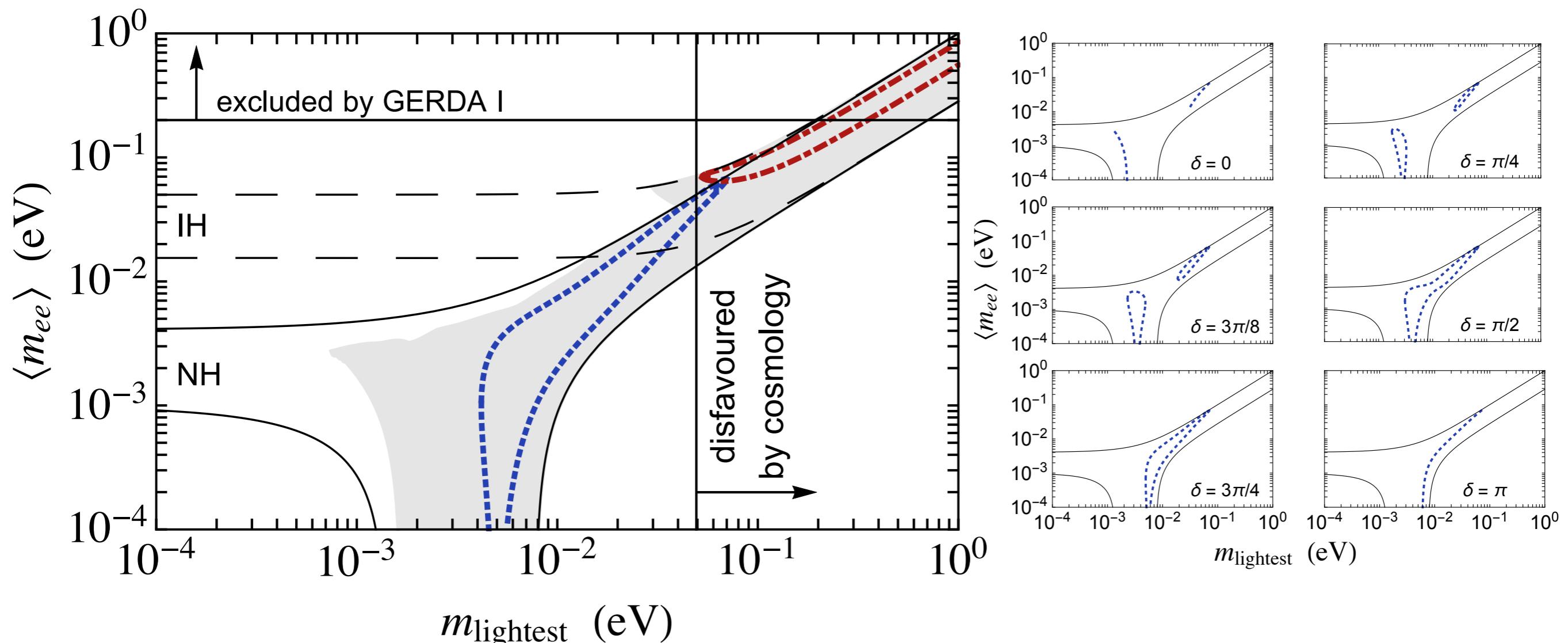
$$\left| \frac{A^2}{m_1} + \frac{B^2}{m_2 e^{-2i\alpha}} + \frac{C^2}{m_3 e^{-2i\beta}} \right| \lesssim \varepsilon$$

$$A = c_{12} c_{23} s_{13} e^{i\delta} - s_{12} s_{23}$$

$$B = s_{12} c_{23} s_{13} e^{i\delta} + c_{12} s_{23}$$

$$C = c_{13} c_{23}$$

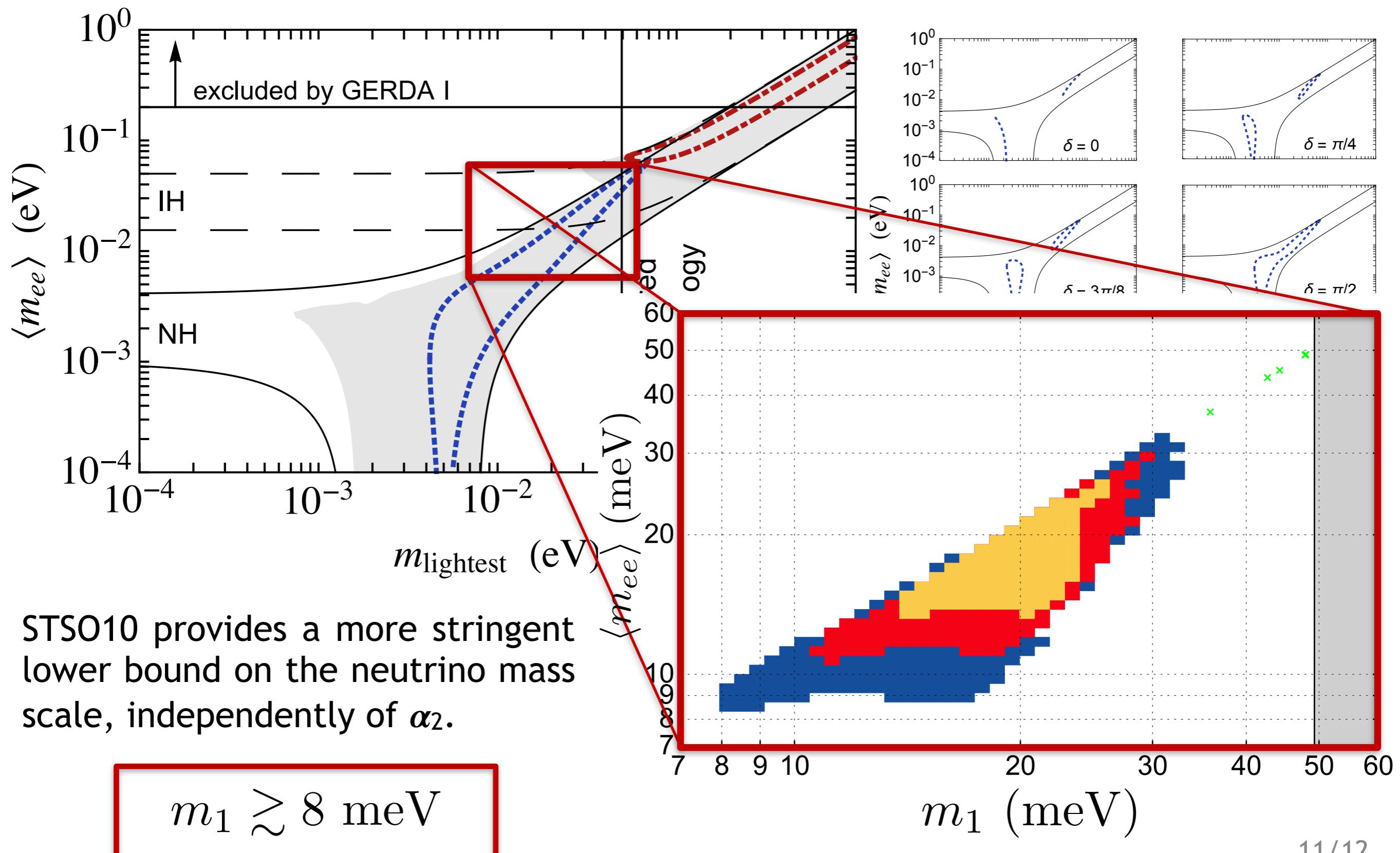
Predictions on $0\nu\beta\beta$ plane



The mass-mixing neutrino sum rule predicts **Normal Ordering** and a lower limit for the lightest neutrino mass:

$$m_{\text{lightest}} \gtrsim 0.75 \text{ meV} \text{ at } 3\sigma$$

Predictions on $0\nu\beta\beta$ plane



Conclusions

By using the latest neutrino data, we have determined the allowed regions in the parameter space satisfying Strong Thermal SO(10)-inspired (STSO10) leptogenesis.

The main results are:

- the benchmark scenario is still allowed at 2σ .
- the larger (smaller) the second Dirac neutrino mass (pre-existing asymmetry), the larger the maximum allowed value for θ_{23} .
- the $0\nu\beta\beta$ plane is further constrained with respect to the predictions of the SO(10) neutrino mass-mixing sum rule, providing

$$m_1 \gtrsim 8 \text{ meV}$$

The measurement of the neutrinoless double beta decay would be the ultimate powerful test of SO(10)-inspired models and STSO(10) leptogenesis.

Conclusions

By using the latest neutrino data, we have determined the allowed regions in the parameter space satisfying Strong Thermal SO(10)-inspired (STSO10) leptogenesis.

The main results are:

- the benchmark scenario is still allowed at 2σ .
- the larger (smaller) the second Dirac neutrino mass (pre-existing asymmetry), the larger the maximum allowed value for θ_{23} .
- the $0\nu\beta\beta$ plane is further constrained with respect to the predictions of the SO(10) neutrino mass-mixing sum rule, providing

$$m_1 \gtrsim 8 \text{ meV}$$

The measurement of the neutrinoless double beta decay would be the ultimate powerful test of SO(10)-inspired models and STSO(10) leptogenesis.

Thanks for your attention