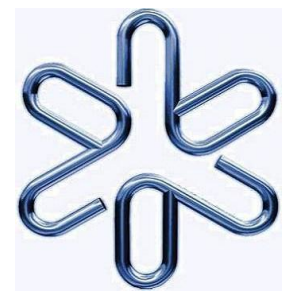


FUTURE DUNE CONSTRAINTS ON EFT

Giovanni Grilli di Cortona



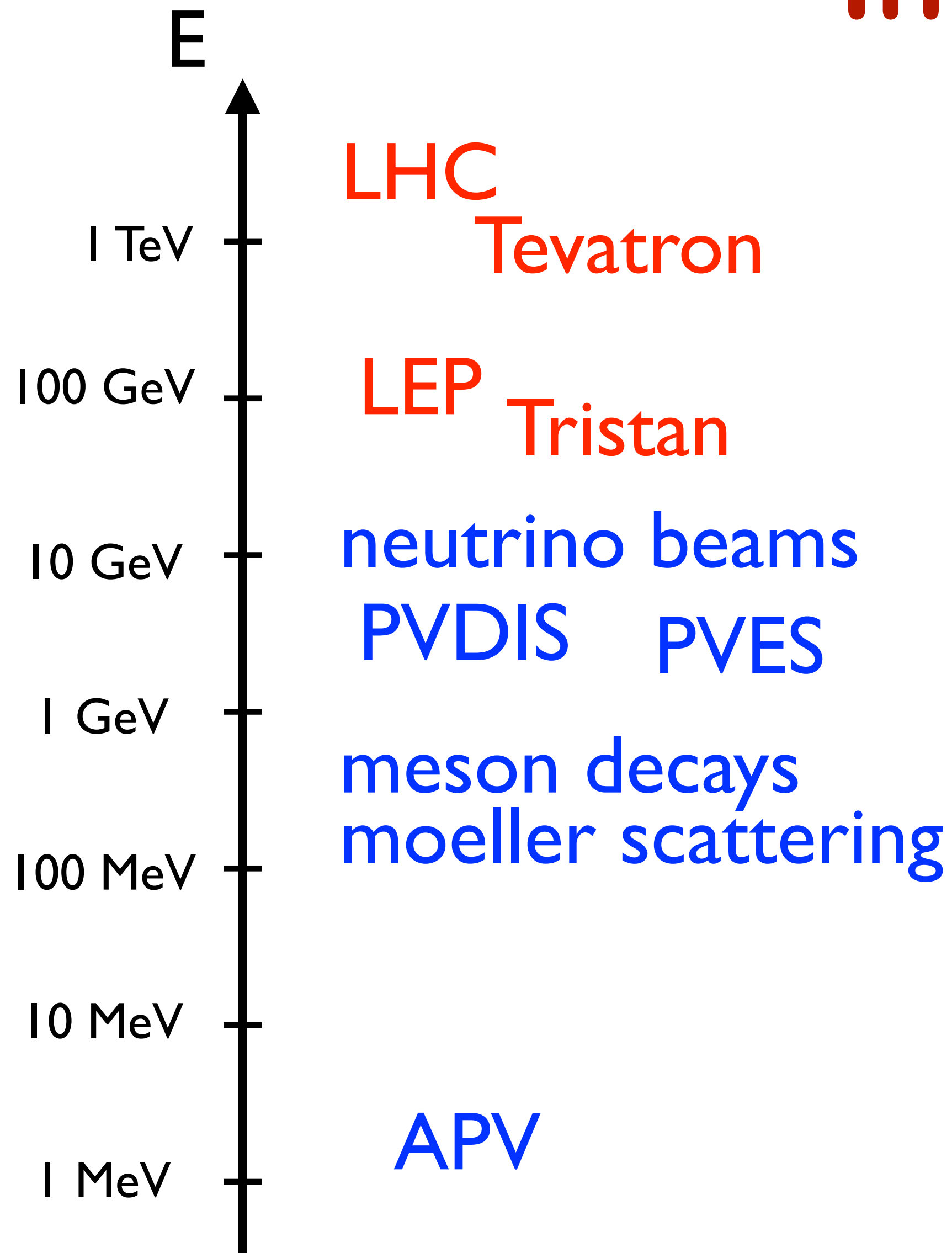
Instituto de Física
Universidade de São Paulo



based on: A. Falkowski, GGdC, Z. Tabrizi,
JHEP04(2018)101, arXiv:1802.08296

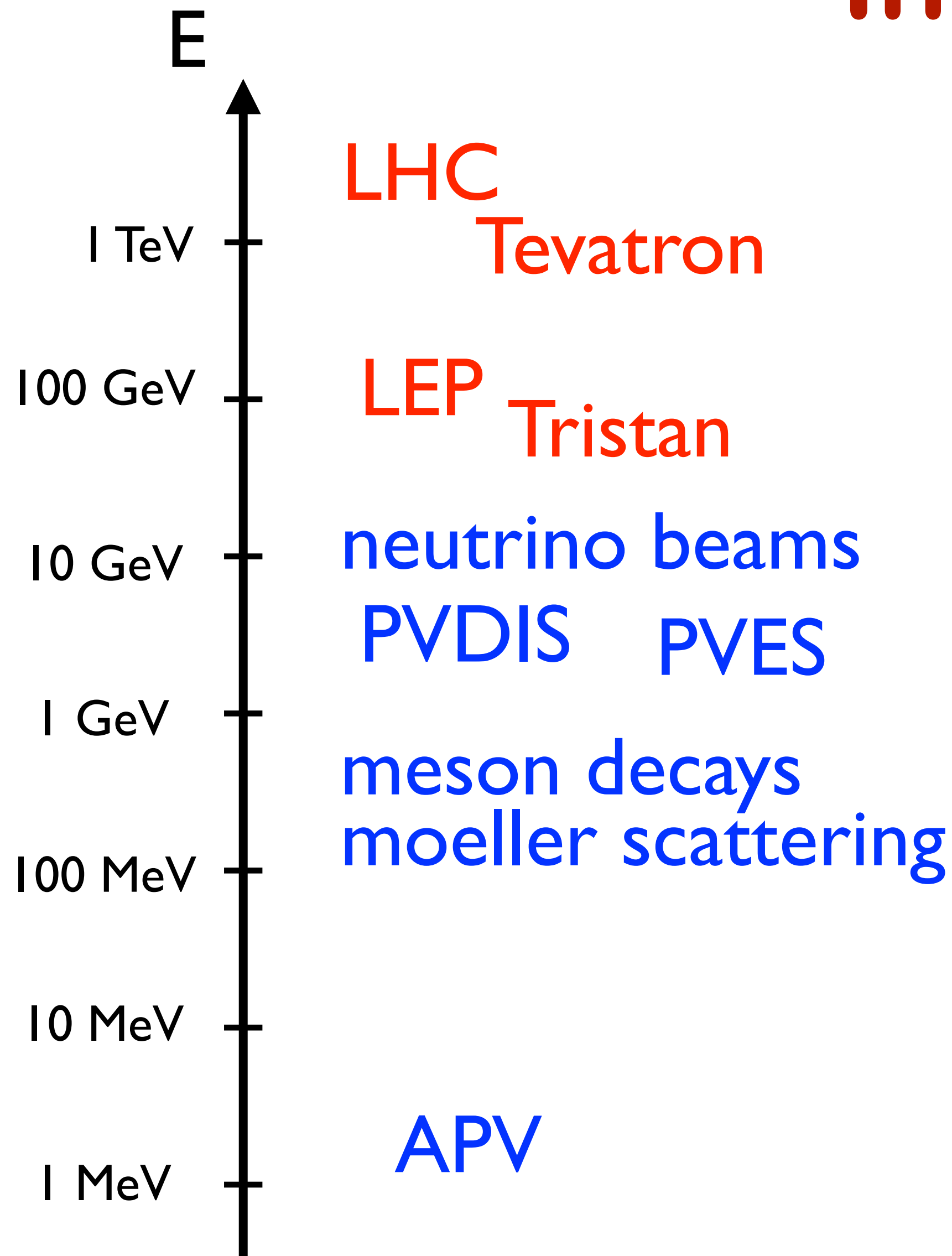
Planck 2018, Bonn

Introduction



Consistent with the SM

Introduction



Consistent with the SM

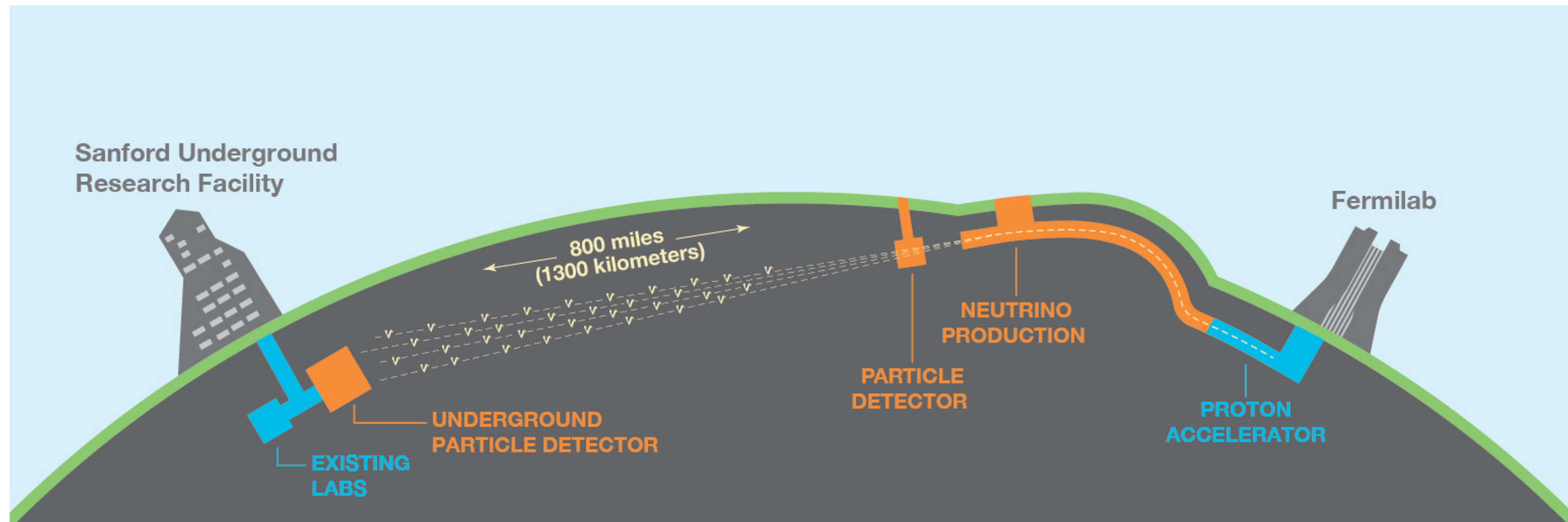
New Physics?

Neutrino masses, dark matter,
inflation, baryon asymmetry

+

Strong CP problem, flavour hierarchy,
gauge coupling unification, naturalness

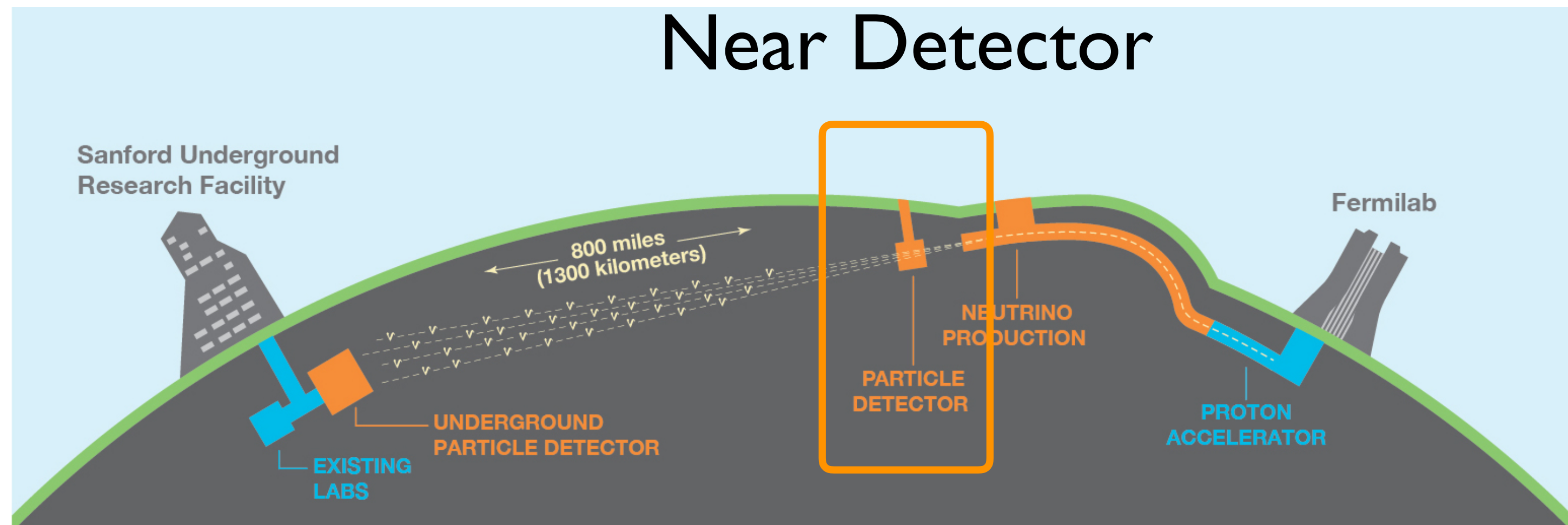
Introduction



Main goals:

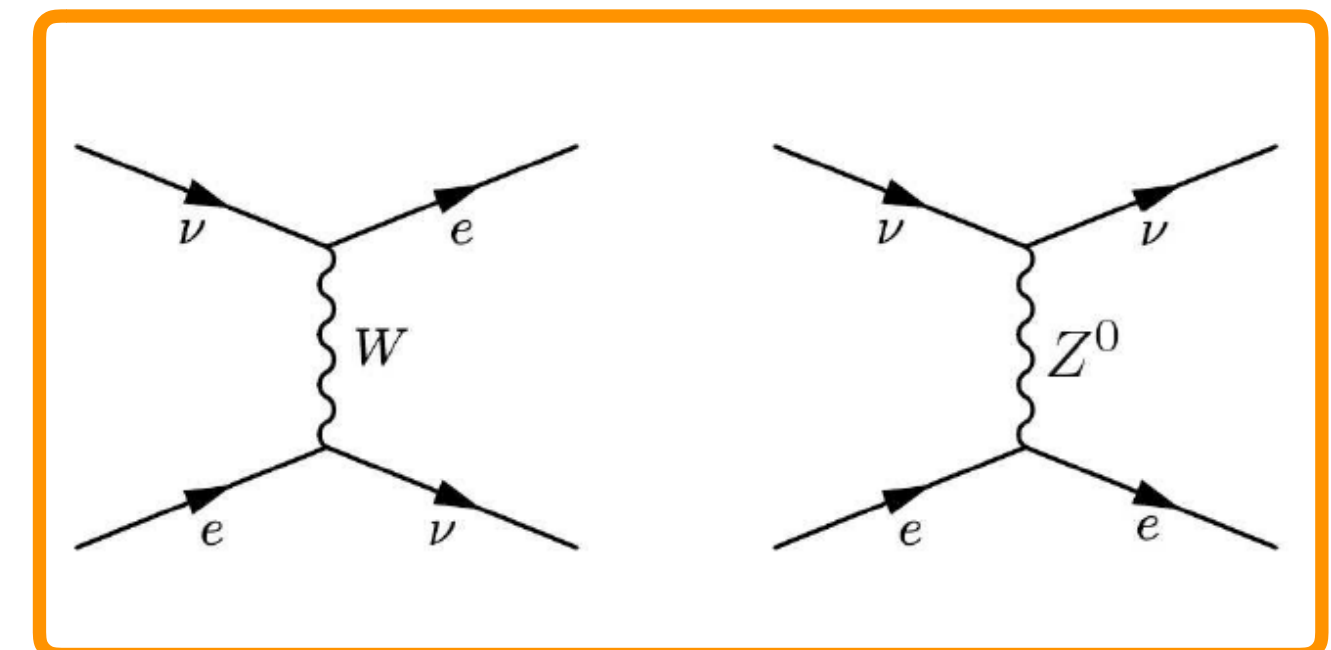
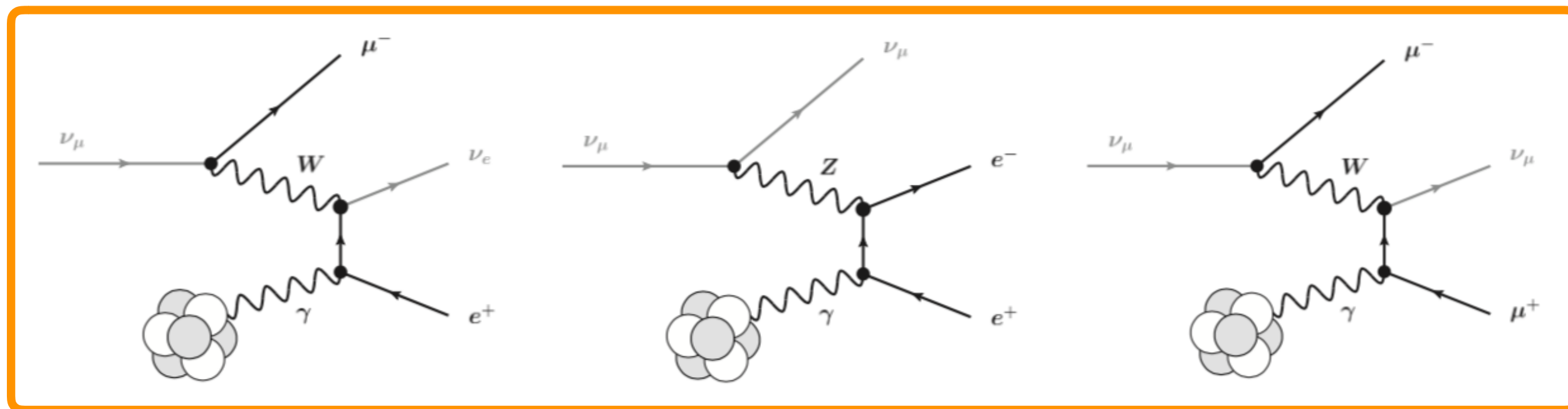
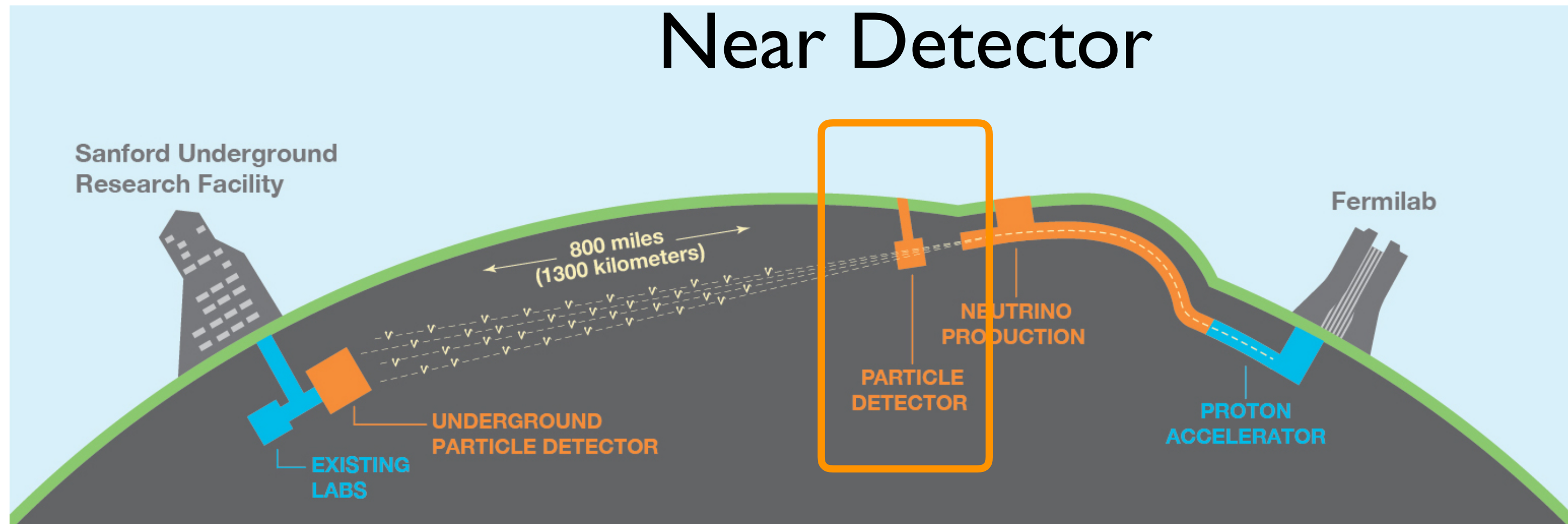
measure the parameters governing neutrino oscillations (CP violating phase in the PMNS matrix and neutrino mass ordering), searches for proton decay and for neutrino from core-collapse supernovas

Introduction

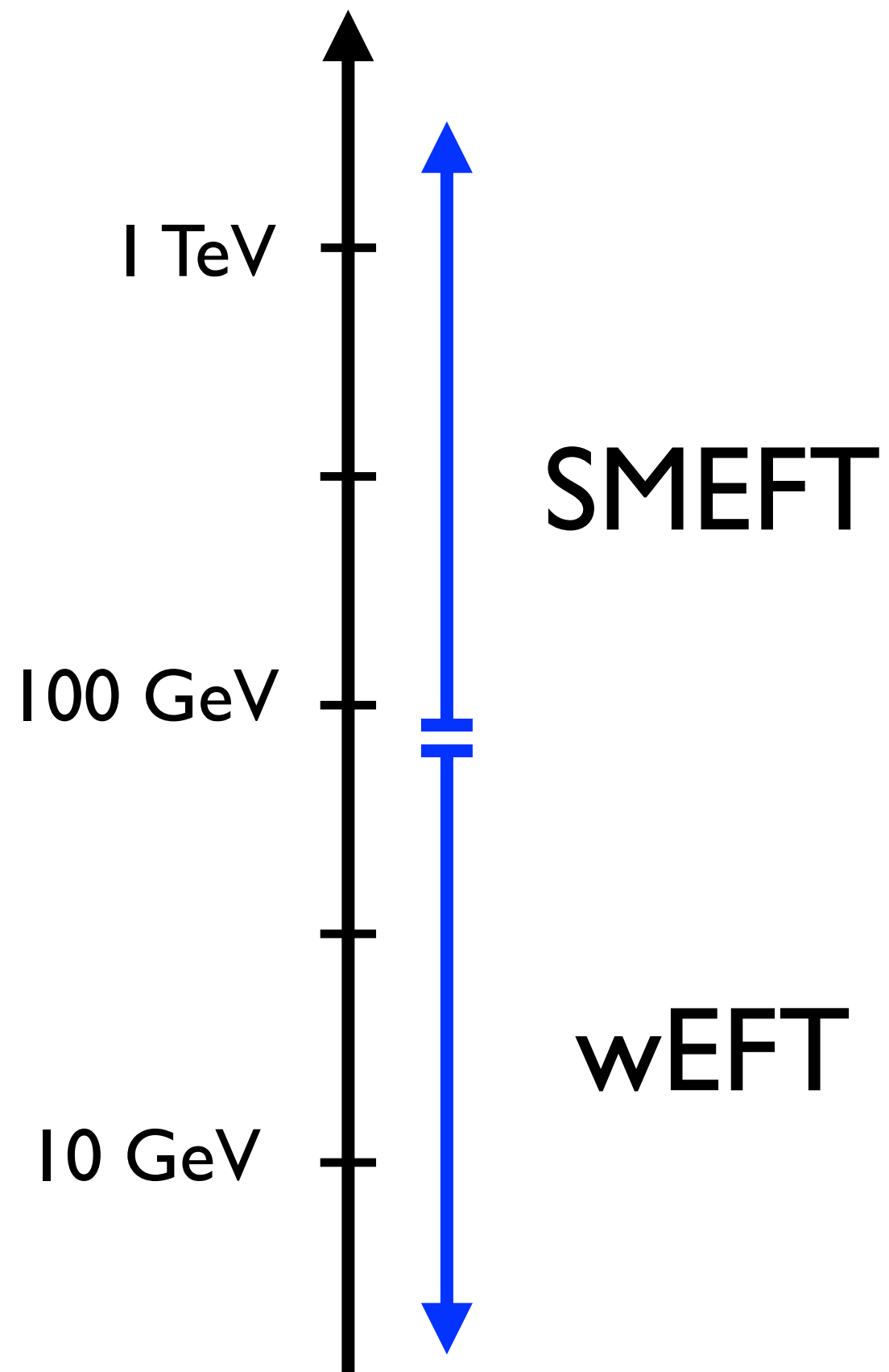


- 1) Constrain systematic uncertainties in neutrino flux and neutrino scattering cross section;
- 2) neutrino - nucleus/electron scattering measurement and BSM searches;

Introduction

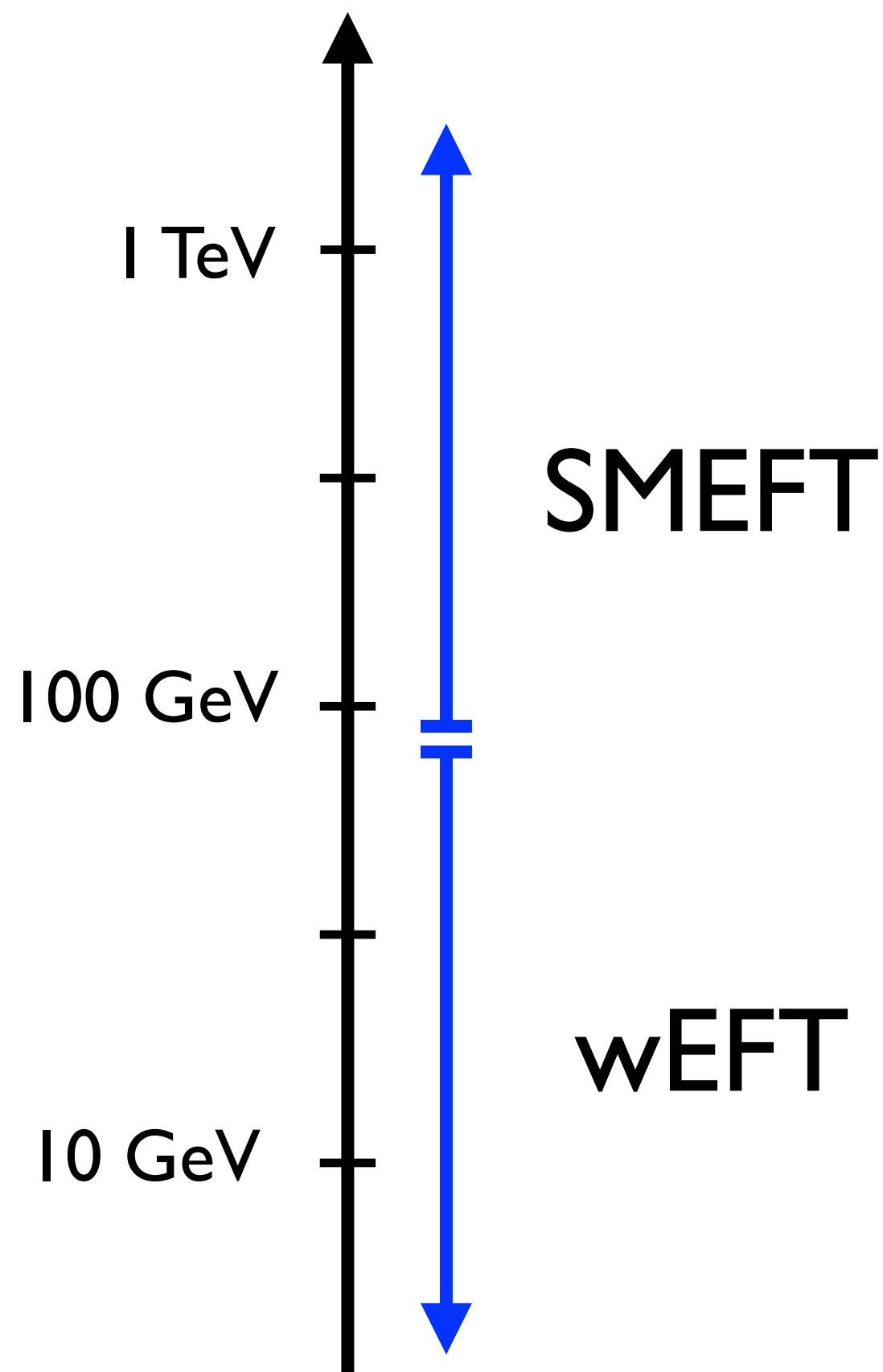


Formalism



Formalism

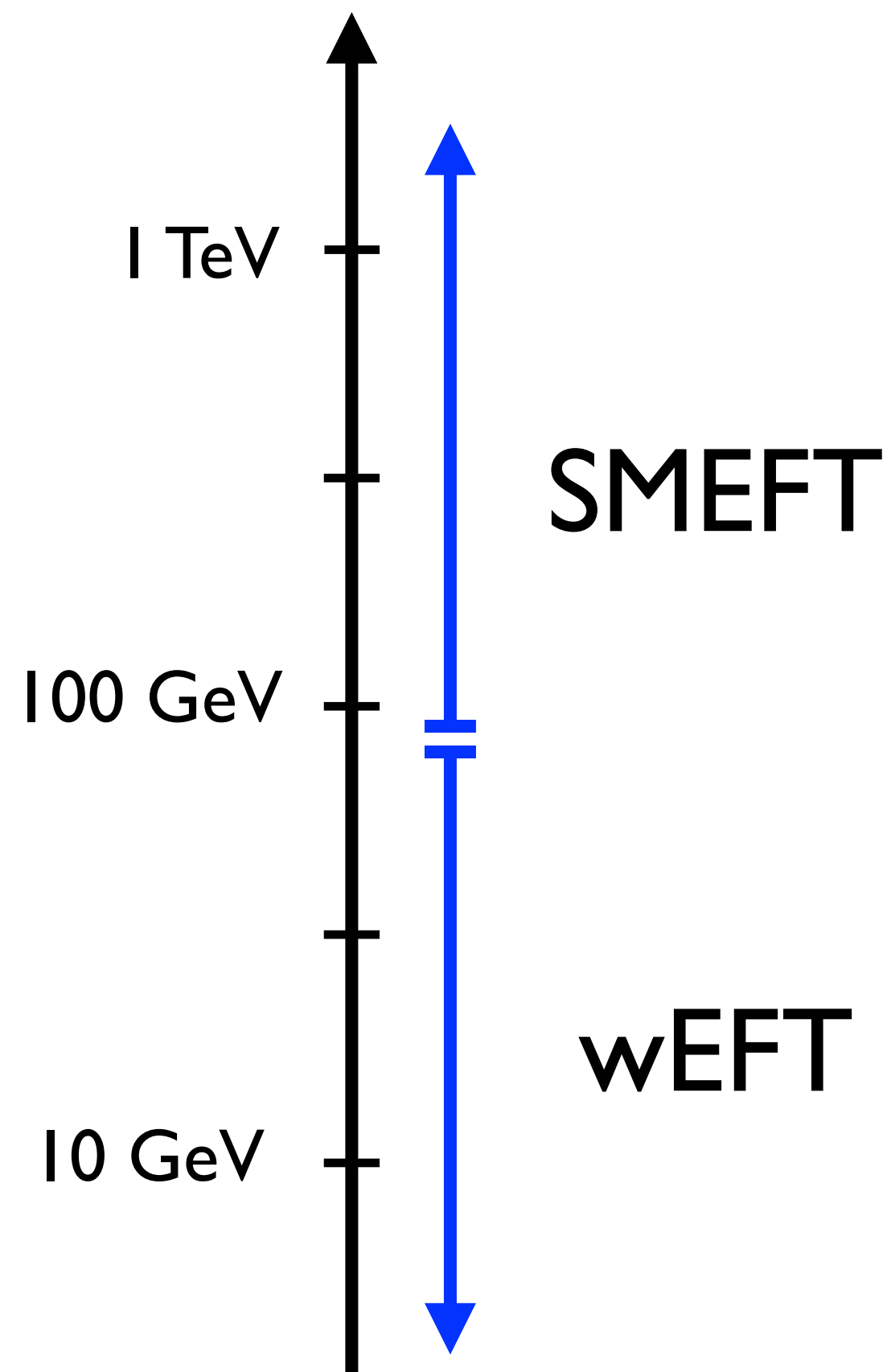
Neutrino interactions with charged leptons



$$\mathcal{L}_{\text{wEFT}} \supset -\frac{2}{v^2} (\bar{\nu}_a \bar{\sigma}_\mu \nu_b) \left[g_{LL}^{abcd} (\bar{e}_c \bar{\sigma}_\mu e_d) + g_{LR}^{abcd} (e_c^c \sigma_\mu \bar{e}_d^c) \right]$$

Formalism

Neutrino interactions with charged leptons



$$\begin{aligned}
 \mathcal{L}_{\text{SMEFT}} \supset & \frac{g_L}{\sqrt{2}} \left[W^{\mu+} \bar{\nu}_a \bar{\sigma}_\mu (1 + \delta g_L^{W e_a}) e_a + \text{h.c.} \right] \\
 & + \sqrt{g_L^2 + g_Y^2} Z^\mu e_a^c \sigma_\mu \left(-s_\theta^2 Q_f + \delta g_R^{Z e_a} \right) \bar{e}_a^c \\
 & + \sqrt{g_L^2 + g_Y^2} Z^\mu \sum_{f=e,\nu} \bar{f}_a \bar{\sigma}_\mu \left(T_3^f - s_\theta^2 Q_f + \delta g_L^{Z f_a} \right) f_a
 \end{aligned}$$

$$\mathcal{L}_{\text{wEFT}} \supset -\frac{2}{v^2} (\bar{\nu}_a \bar{\sigma}_\mu \nu_b) \left[g_{LL}^{abcd} (\bar{e}_c \bar{\sigma}_\mu e_d) + g_{LR}^{abcd} (e_c^c \sigma_\mu \bar{e}_d^c) \right]$$

Formalism

Neutrino interactions with charged leptons

1 TeV

$$\mathcal{L}_{\text{SMEFT}} \supset \frac{g_L}{\sqrt{2}} \left[W^{\mu+} \bar{\nu}_a \bar{\sigma}_\mu (1 + \delta g_L^{W e_a}) e_a + \text{h.c.} \right] \\ + \sqrt{g_L^2 + g_Y^2} Z^\mu e_a^c \sigma_\mu \left(-s_\theta^2 Q_f + \delta g_R^{Z e_a} \right) \bar{e}_a^c \\ + \sqrt{g_L^2 + g_Y^2} Z^\mu \sum_{f=e,\nu} \bar{f}_a \bar{\sigma}_\mu \left(T_3^f - s_\theta^2 Q_f + \delta g_L^{Z f_a} \right) f_a$$

wEFT

$$\mathcal{L}_{\text{wEFT}} \supset -\frac{2}{v^2} (\bar{\nu}_a \bar{\sigma}_\mu \nu_b) \left[g_{LL}^{abcd} (\bar{e}_c \bar{\sigma}_\mu e_d) + g_{LR}^{abcd} (e_c^c \sigma_\mu \bar{e}_d^c) \right]$$

10 GeV

One flavor ($a = 1, 2, 3$)	Two flavors ($a < b = 1, 2, 3$)
$[O_{\ell\ell}]_{aaaa} = \frac{1}{2}(\bar{\ell}_a \bar{\sigma}_\mu \ell_a)(\bar{\ell}_a \bar{\sigma}^\mu \ell_a)$	$[O_{\ell\ell}]_{aabb} = (\bar{\ell}_a \bar{\sigma}_\mu \ell_a)(\bar{\ell}_b \bar{\sigma}^\mu \ell_b)$
$[O_{\ell e}]_{aaaa} = (\bar{\ell}_a \bar{\sigma}_\mu \ell_a)(e_a^c \sigma^\mu \bar{e}_a^c)$	$[O_{\ell e}]_{abba} = (\bar{\ell}_a \bar{\sigma}_\mu \ell_b)(\bar{\ell}_b \bar{\sigma}^\mu \ell_a)$
	$[O_{\ell e}]_{aabb} = (\bar{\ell}_a \bar{\sigma}_\mu \ell_a)(e_b^c \sigma^\mu \bar{e}_b^c)$
	$[O_{\ell e}]_{bbaa} = (\bar{\ell}_b \bar{\sigma}_\mu \ell_b)(e_a^c \sigma^\mu \bar{e}_a^c)$
	$[O_{\ell e}]_{abba} = (\bar{\ell}_a \bar{\sigma}_\mu \ell_b)(e_b^c \sigma^\mu \bar{e}_a^c)$
$[O_{ee}]_{aaaa} = \frac{1}{2}(e_a^c \sigma_\mu \bar{e}_a^c)(e_a^c \sigma^\mu \bar{e}_a^c)$	$[O_{ee}]_{aabb} = (e_a^c \sigma_\mu \bar{e}_a^c)(e_b^c \sigma^\mu \bar{e}_b^c)$

Formalism

Neutrino interactions with charged leptons

$$\delta g_L^{Z\nu_a} = \delta g_L^{W^{e_a}} + \delta g_L^{Z^{e_a}}$$

SM

Shifted Z couplings

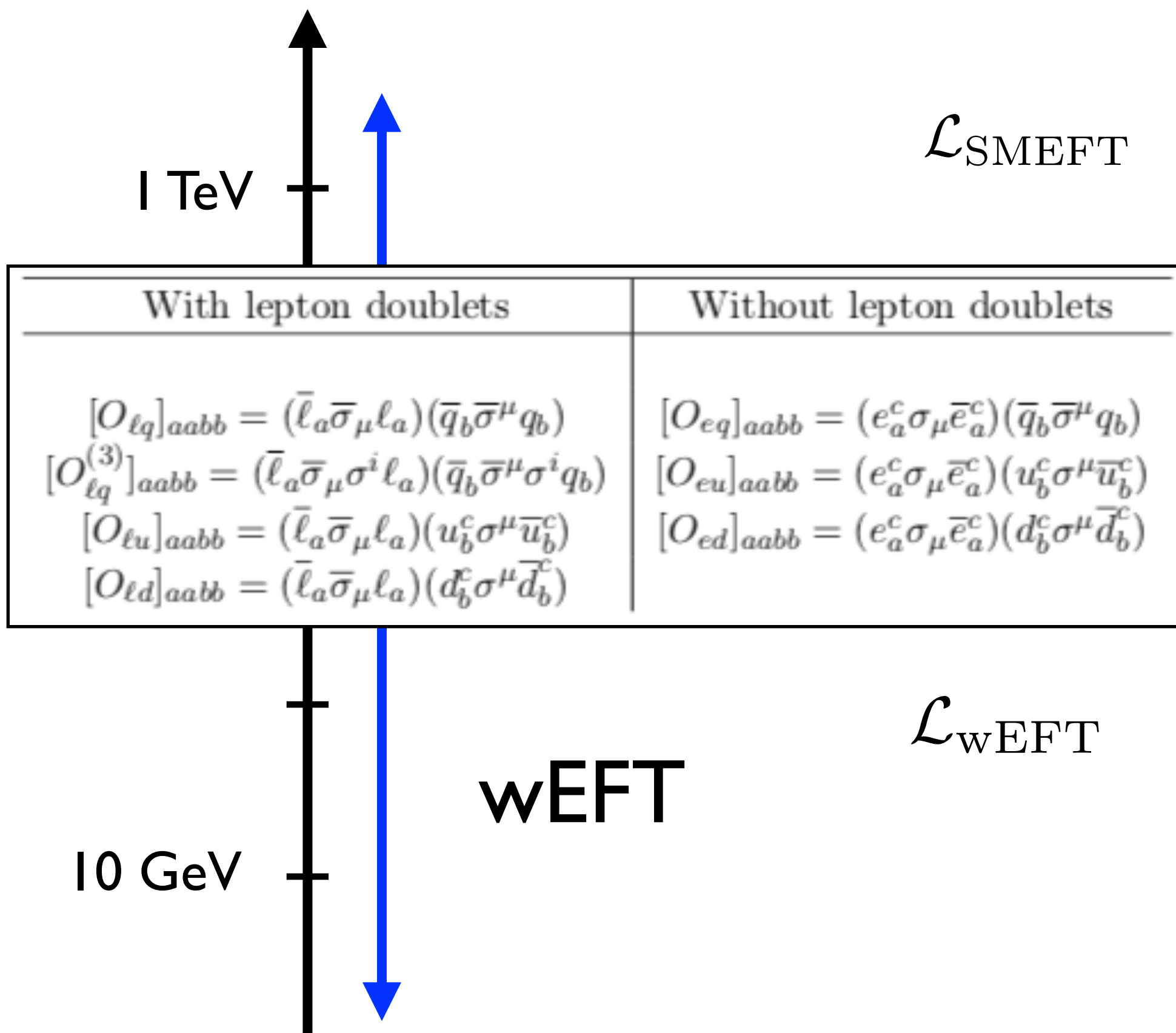
$$g_{LL}^{1111} = \left(\frac{1}{2} + s_\theta^2 \right) - \frac{1}{2} [c_{\ell\ell}]_{1111} + \frac{1}{2} [c_{\ell\ell}]_{1221} - \delta g_L^{W^\mu} + 2s_\theta^2 (\delta g_L^{W^e} + \delta g_L^{Z^e})$$

$$g_{LR}^{1111} = s_\theta^2 - \frac{1}{2} [c_{\ell e}]_{1111} + \delta g_R^{Z^e} + 2s_\theta^2 (\delta g_L^{W^e} + \delta g_L^{Z^e})$$

Tree-level matching of
4-fermion operators

Formalism

Neutrino interactions with quarks



$$\begin{aligned}
 \mathcal{L}_{\text{SMEFT}} \supset & \sqrt{g_L^2 + g_Y^2} Z^\mu \sum_{q=u,d} [\bar{q} \bar{\sigma}_\mu \left((T_3^q - s_\theta^2 Q_q) + \delta g_L^{Zq} \right) q \\
 & + q^c \sigma_\mu \left(-s_\theta^2 Q_q + \delta g_R^{Zq} \right) \bar{q}^c] \\
 & + \left[W^{\mu+} \bar{u} \bar{\sigma}_\mu \left(V_{ud} + \delta g_L^{Wq1} \right) d + \text{h.c.} \right] \\
 \mathcal{L}_{\text{wEFT}} \supset & -\frac{2\tilde{V}_{ud}}{v^2} (1 + \bar{\epsilon}_L^{de_a}) (\bar{e}_a \bar{\sigma}_\mu \nu_a) (\bar{u} \bar{\sigma}^\mu d) \\
 & - \frac{2}{v^2} (\bar{\nu}_a \bar{\sigma}_\mu \nu_a) \sum_{q=u,d} [g_{LL}^{\nu_a q} \bar{q} \bar{\sigma}^\mu q + g_{LR}^{\nu_a q} (q^c \sigma^\mu \bar{q}^c)]
 \end{aligned}$$

Neutrino scattering in DUNE

3 years neutrino mode +
3 years antineutrino mode

Efficiency: 85% for ν_μ
($\bar{\nu}_\mu$), 80% for ν_e ($\bar{\nu}_e$)

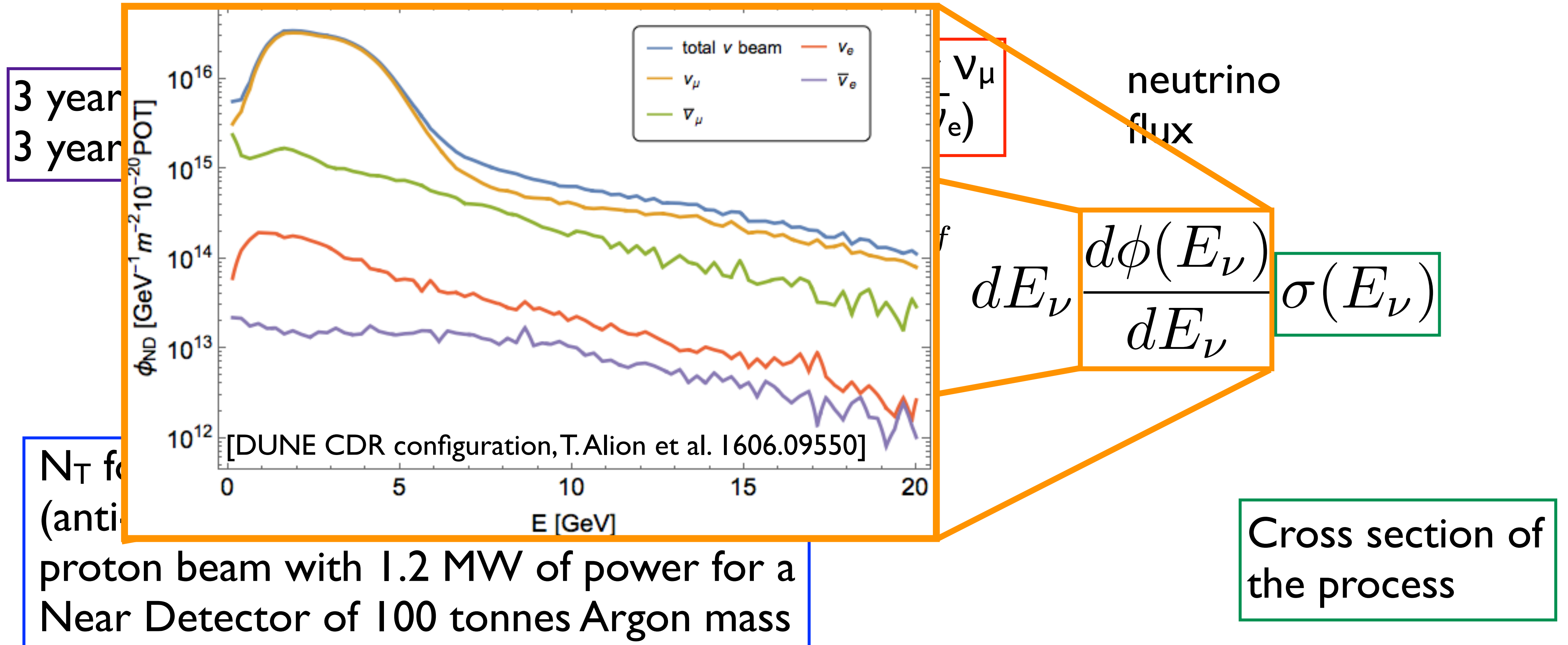
neutrino
flux

$$N_{\text{events}} = \text{time} \times N_T \times \epsilon \times \int_{E_i}^{E_f} dE_\nu \frac{d\phi(E_\nu)}{dE_\nu} \sigma(E_\nu)$$

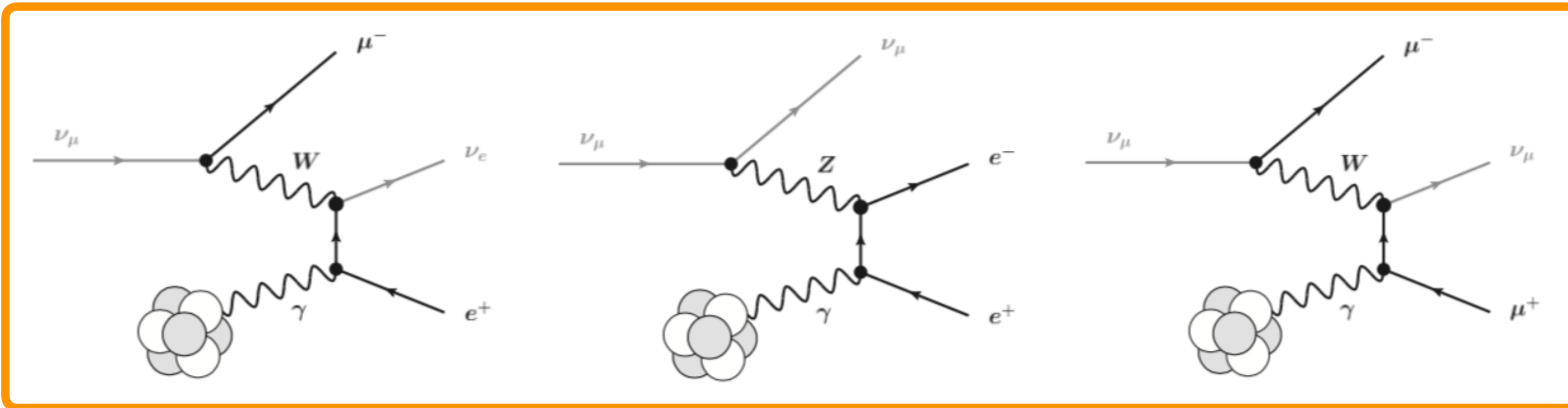
N_T for 1.1×10^{21} proton on target (POT) in
(anti-)neutrino mode with a 120 GeV
proton beam with 1.2 MW of power for a
Near Detector of 100 tonnes Argon mass

Cross section of
the process

Neutrino scattering in DUNE



Neutrino trident production



[Phys.Lett. B245, 271(1990)]

$$\sigma_{\text{CHARMII}}/\sigma_{\text{SM}} = 1.58 \pm 0.57$$

[Phys.Rev.Lett. 66, 3117(1991)]

$$\sigma_{\text{CCFR}}/\sigma_{\text{SM}} = 0.82 \pm 0.28$$

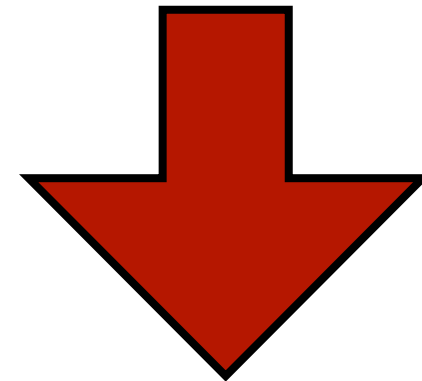
ν beam		$\bar{\nu}$ beam	
$\nu_{\mu} \rightarrow \nu_{\mu} \mu^{-} \mu^{+}$	357	$\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu} \mu^{-} \mu^{+}$	305
$\nu_e \rightarrow \nu_e \mu^{-} \mu^{+}$	1.27	$\bar{\nu}_e \rightarrow \bar{\nu}_e \mu^{-} \mu^{+}$	1.03

$$\frac{\sigma(\nu_b \gamma^* \rightarrow \nu_a \ell_c^- \ell_d^+)}{\sigma_{\text{SM}}(\nu_b \gamma^* \rightarrow \nu_a \ell_c^- \ell_d^+)} = \frac{\sigma(\bar{\nu}_a \gamma^* \rightarrow \nu_b \ell_c^- \ell_d^+)}{\sigma_{\text{SM}}(\bar{\nu}_a \gamma^* \rightarrow \nu_b \ell_c^- \ell_d^+)} \approx 1 + 2 \frac{g_{LL,\text{SM}}^{abcd} \delta g_{LL}^{abcd} + g_{LR,\text{SM}}^{abcd} \delta g_{LR}^{abcd}}{(g_{LL,\text{SM}}^{abcd})^2 + (g_{LR,\text{SM}}^{abcd})^2}$$

Neutrino trident production

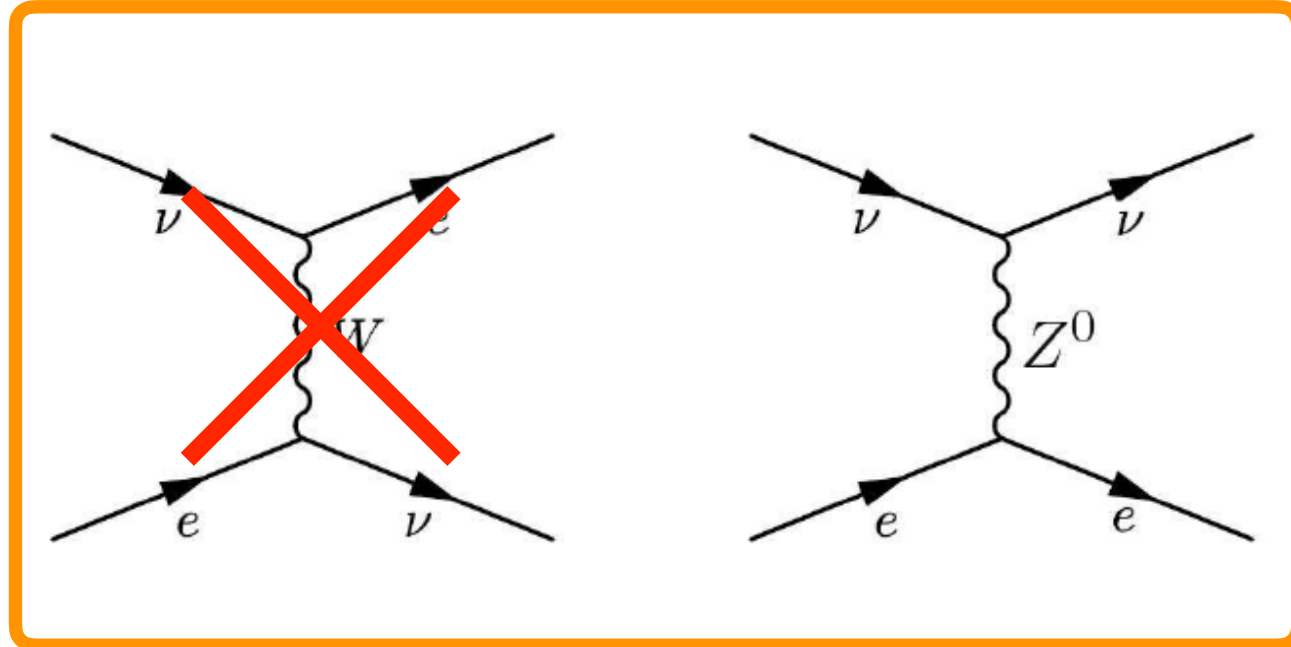
ν beam		$\bar{\nu}$ beam	
$\nu_\mu \rightarrow \nu_\mu \mu^- \mu^+$	357	$\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \mu^- \mu^+$	305
$\nu_e \rightarrow \nu_e \mu^- \mu^+$	1.27	$\bar{\nu}_e \rightarrow \bar{\nu}_e \mu^- \mu^+$	1.03

$$R_\mu \equiv \frac{\sigma(\nu_\mu \rightarrow \nu_\mu \mu^- \mu^+) + \sigma(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \mu^- \mu^+)}{\sigma(\nu_\mu \rightarrow \nu_\mu \mu^- \mu^+)_{\text{SM}} + \sigma(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \mu^- \mu^+)_{\text{SM}}} = 1 \pm 0.039$$



$$-0.039 < 2 \frac{g_{LL,\text{SM}}^{2222} \delta g_{LL}^{2222} + g_{LR,\text{SM}}^{2222} \delta g_{LR}^{2222}}{(g_{LL,\text{SM}}^{2222})^2 + (g_{LR,\text{SM}}^{2222})^2} < 0.039$$

Neutrino scattering off electrons



	$N_{\text{tot}}^{\nu-e}$	$r_{\nu_\mu}^{\nu-e}$	$r_{\bar{\nu}_\mu}^{\nu-e}$	$r_{\nu_e}^{\nu-e}$	$r_{\bar{\nu}_e}^{\nu-e}$
ν -mode	1.69×10^6	0.898	0.059	0.040	0.003
$\bar{\nu}$ -mode	1.29×10^6	0.103	0.867	0.013	0.017

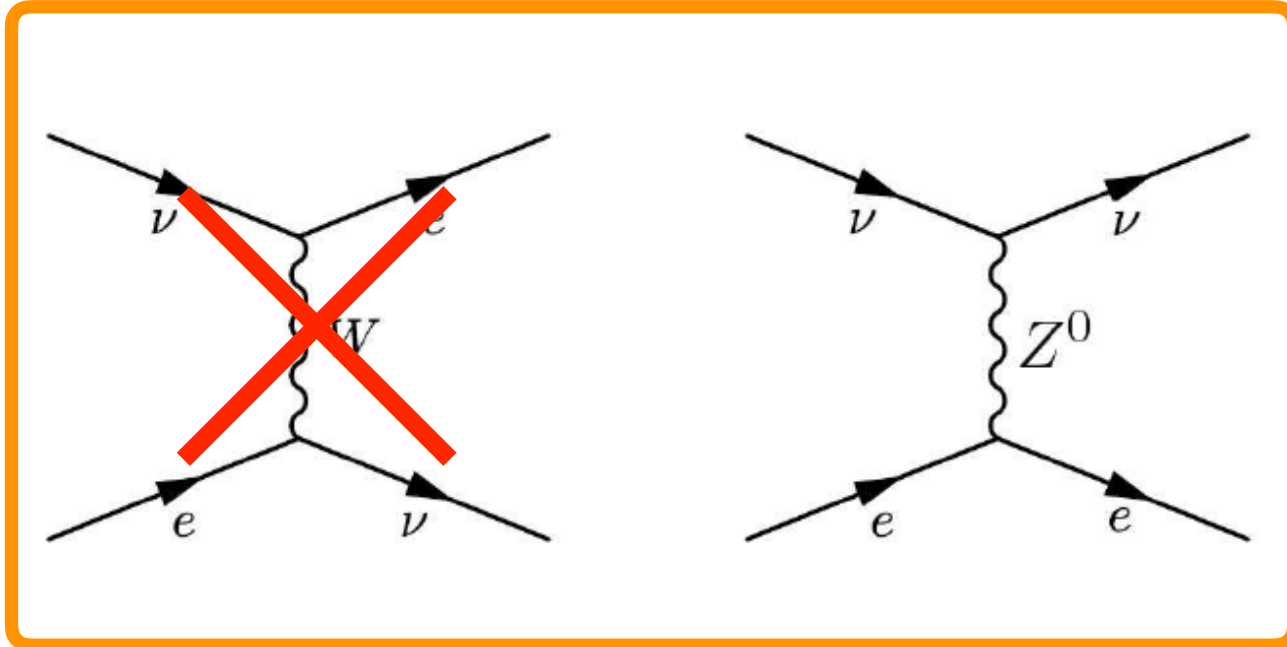
$$E_{\text{th}}^{\text{CC}} = \frac{m_\mu^2}{2m_e} = 10.9 \text{ GeV}$$

$\nu_\mu e^- \rightarrow \nu_e \mu^-$

$$\sigma_{\nu_\mu e} = \frac{s}{2\pi v^4} \left[(g_{LL}^{2211})^2 + \frac{1}{3} (g_{LR}^{2211})^2 \right] \approx \frac{m_e E_\nu}{\pi v^4} \left[(g_{LL}^{2211})^2 + \frac{1}{3} (g_{LR}^{2211})^2 \right]$$

$$\sigma_{\bar{\nu}_\mu e} = \frac{s}{2\pi v^4} \left[(g_{LR}^{2211})^2 + \frac{1}{3} (g_{LL}^{2211})^2 \right] \approx \frac{m_e E_\nu}{\pi v^4} \left[(g_{LR}^{2211})^2 + \frac{1}{3} (g_{LL}^{2211})^2 \right]$$

Neutrino scattering off electrons



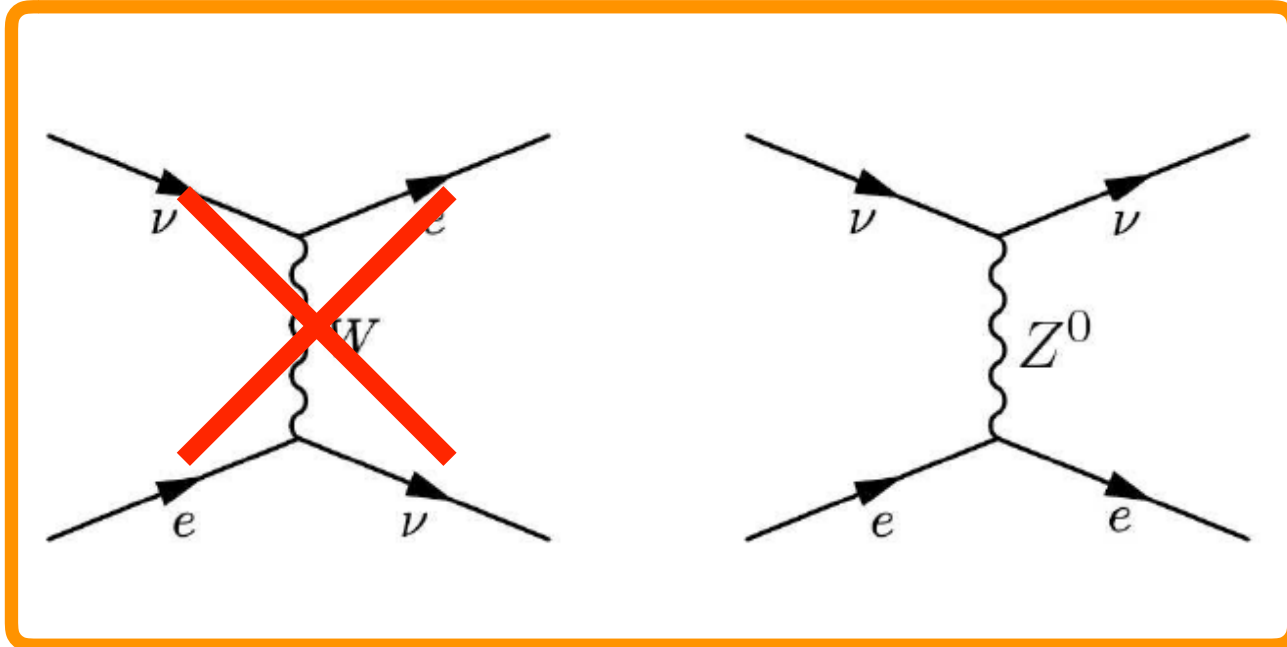
	$N_{\text{tot}}^{\nu-e}$	$r_{\nu_\mu}^{\nu-e}$	$r_{\bar{\nu}_\mu}^{\nu-e}$	$r_{\nu_e}^{\nu-e}$	$r_{\bar{\nu}_e}^{\nu-e}$
ν -mode	1.69×10^6	0.898	0.059	0.040	0.003
$\bar{\nu}$ -mode	1.29×10^6	0.103	0.867	0.013	0.017

$$R_{\nu e}^i \equiv \frac{x_i \sigma_{\nu_\mu e} + \bar{x}_i \sigma_{\bar{\nu}_\mu e}}{x_i \sigma_{\nu_\mu e}^{\text{SM}} + \bar{x}_i \sigma_{\bar{\nu}_\mu e}^{\text{SM}}}$$



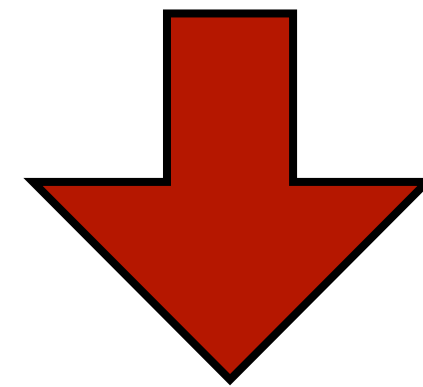
$$\begin{cases} x_\nu &= 0.9 \\ \bar{x}_i &= 1 - x_i \end{cases}$$

Neutrino scattering off electrons



	$N_{\text{tot}}^{\nu-e}$	$r_{\nu_\mu}^{\nu-e}$	$r_{\bar{\nu}_\mu}^{\nu-e}$	$r_{\nu_e}^{\nu-e}$	$r_{\bar{\nu}_e}^{\nu-e}$
ν -mode	1.69×10^6	0.898	0.059	0.040	0.003
$\bar{\nu}$ -mode	1.29×10^6	0.103	0.867	0.013	0.017

$$\delta R_{\nu_e}^i = 2 \frac{(1 + 2x_i) \delta g_{LL}^{2211} g_{LL,\text{SM}}^{2211} + (3 - 2x_i) \delta g_{LR}^{2211} g_{LR,\text{SM}}^{2211}}{(1 + 2x_i) (g_{LL,\text{SM}}^{2211})^2 + (3 - 2x_i) (g_{LR,\text{SM}}^{2211})^2}$$



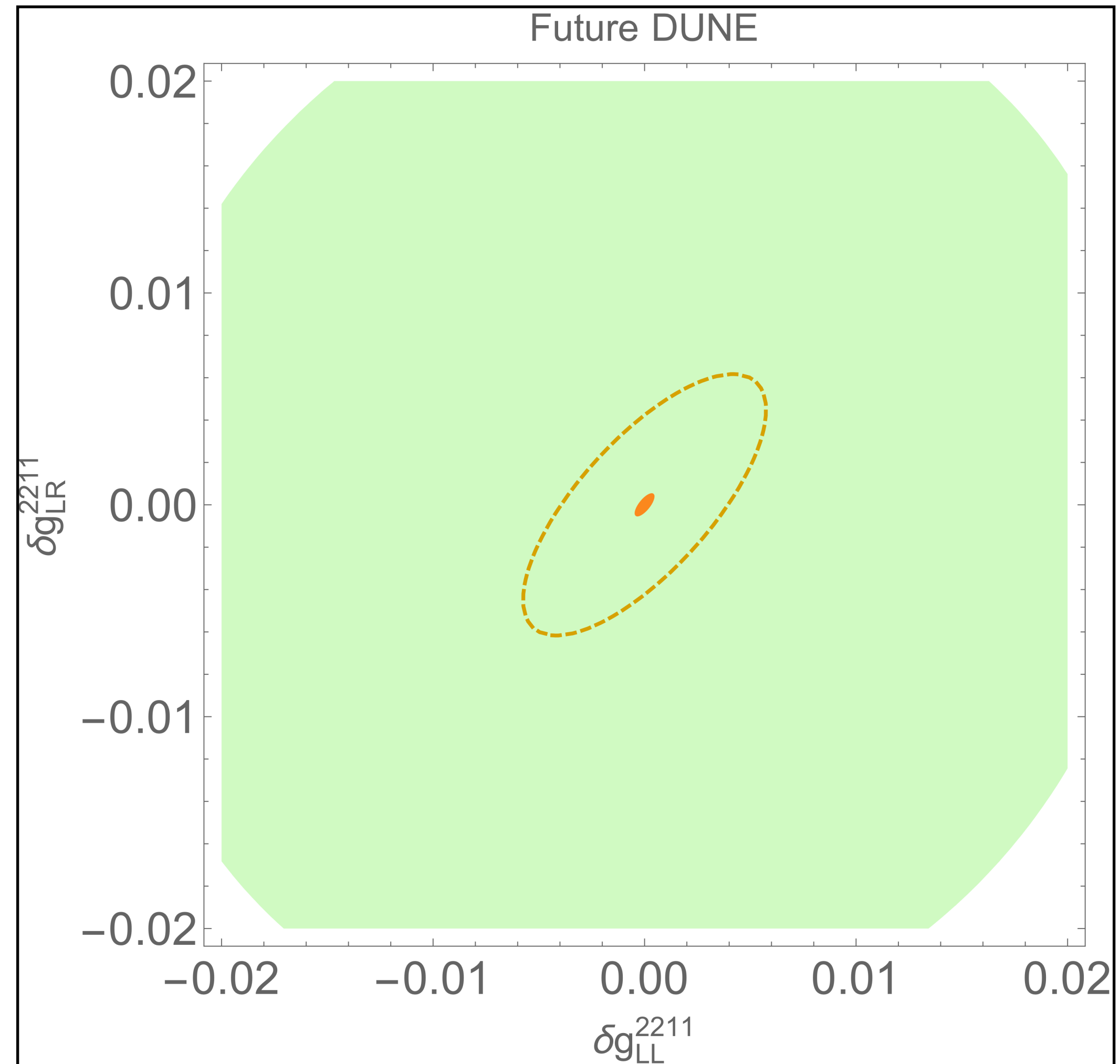
$$-8.0 \times 10^{-4} < \delta R_{\nu_e}^\nu < 8.0 \times 10^{-4} \quad -9.1 \times 10^{-4} < \delta R_{\nu_e}^{\bar{\nu}} < 9.1 \times 10^{-4}$$

Neutrino scattering off electrons

CHARM +
CHARM II +
BNL-E734

stat. dominated

1% syst. Error



Neutrino scattering off nuclei

$$R_{\nu_a N} \equiv \frac{x\sigma_{\nu_a N \rightarrow \nu_a N} + \bar{x}\sigma_{\bar{\nu}_a N \rightarrow \bar{\nu}_a N}}{x\sigma_{\nu_a N \rightarrow e_a^- N} + \bar{x}\sigma_{\bar{\nu}_a N \rightarrow e_a^+ N}} = (g_L^{\nu_a})^2 + r^{-1}(g_R^{\nu_a})^2$$

$$r = \frac{x\sigma_{\nu_a N \rightarrow e_a^- N} + \bar{x}\sigma_{\bar{\nu}_a N \rightarrow e_a^+ N}}{x\sigma_{\nu_a N \rightarrow e_a^- N} + \bar{x}\sigma_{\bar{\nu}_a N \rightarrow e_a^+ N}}$$

generalised
Llewellyn-Smith
formula

	N_{tot}^{CC}	$r_{\nu\mu}^{CC}$	$r_{\bar{\nu}\mu}^{CC}$	$r_{\nu e}^{CC}$	$r_{\bar{\nu}e}^{CC}$
ν -mode	4.25×10^8	0.964	0.028	0.007	0.001
$\bar{\nu}$ -mode	1.74×10^8	0.201	0.790	0.004	0.005
	N_{tot}^{NC}	$r_{\nu\mu}^{NC}$	$r_{\bar{\nu}\mu}^{NC}$	$r_{\nu e}^{NC}$	$r_{\bar{\nu}e}^{NC}$
ν -mode	1.48×10^8	0.956	0.037	0.006	0.001
$\bar{\nu}$ -mode	7.58×10^7	0.157	0.835	0.003	0.005

only dependence on
the nuclear structure



$$r_{\nu} \sim 2.5$$

$$r_{\bar{\nu}} \sim 0.4$$

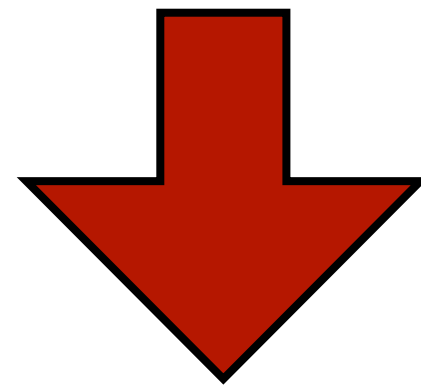
but

- 1) the ^{40}Ar target nuclei are not isoscalar and the LS formula has to be corrected
- 2) neglected admixture of electron neutrinos

Cannot neglect systematics!

Neutrino scattering off nuclei

$$R_{\nu_{\mu}N}^i = R_{\nu_{\mu}N,\text{SM}}^i (1 + \delta R_{\nu_{\mu}N}^i)$$

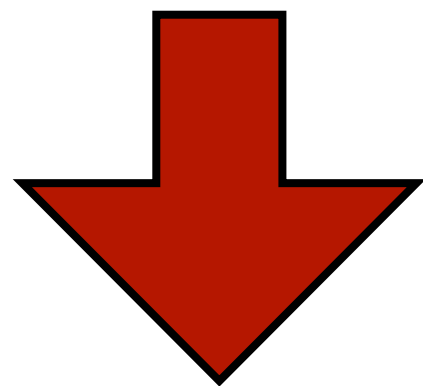


$$-9.6 \times 10^{-5} < \delta R_{\nu_{\mu}N}^{\nu} < 9.6 \times 10^{-5}$$

$$-1.4 \times 10^{-4} < \delta R_{\nu_{\mu}N}^{\bar{\nu}} < 1.4 \times 10^{-4}$$

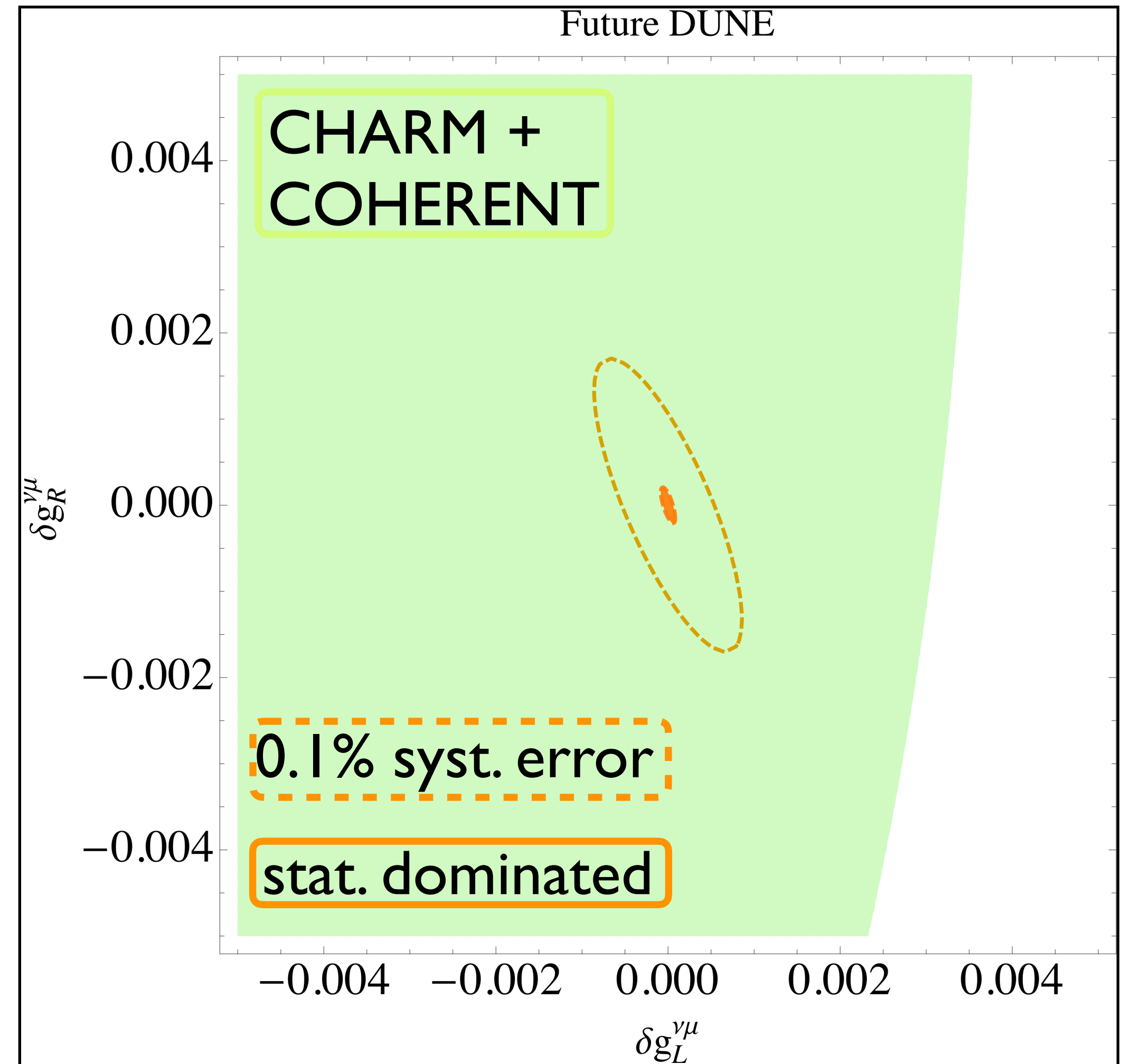
Neutrino scattering off nuclei

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Results

[Falkowski et al.,
1706.03783]

Coefficient	$\Delta(\text{current})$	$\Delta \text{ (no sys.)}$	$\Delta \text{ (0.1\% sys.)}$	$\Delta \text{ (1\% sys.)}$
δg_L^{We}	3.5	0.37	2.5	3.4
$\delta g_L^{Z\mu}$	3.7	0.18	1.1	3.5
δg_L^{Zu}	1.9	0.34	1.4	1.5
δg_R^{Zu}	9.5	0.57	2.0	2.3
δg_L^{Zd}	1.9	0.28	1.4	1.6
δg_R^{Zd}	9.7	1.1	3.0	3.1
$\delta g_R^{Wq_1}$	1.9	0.36	1.7	1.9
$[c_{\ell\ell}]_{1122}$	28	2.6	2.6	21
$[c_{\ell e}]_{2211}$	45	3.1	3.1	27
$[c_{\ell\ell}]_{2222}$	2100	310	310	310
$[c_{\ell e}]_{2222}$	6300	970	970	970
$[c_{\ell q}^{(3)}]_{1111}$	1.9	0.36	1.7	1.9
$[c_{\ell q}^{(3)}]_{2211}$	12	1.8	10	12
$[c_{\ell q}]_{2211}$	210	3.0	30	180
$[c_{\ell u}]_{2211}$	190	1.2	9.5	85
$[c_{\ell d}]_{2211}$	370	2.4	19	170

One SMEFT
parameter at
a time

$\times 10^{-4}$

Results

[Falkowski et al.,
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$[c_{\ell q}^{(3)}]_{1111}$	1.9	0.36	1.7	1.9
$[c_{\ell q}^{(3)}]_{2211}$	12	1.8	10	12
$[c_{\ell q}]_{2211}$	210	3.0	30	180
$[c_{\ell u}]_{2211}$	190	1.2	9.5	85
$[c_{\ell d}]_{2211}$	370	2.4	19	170

One SMEFT
parameter at
a time

$$\times 10^{-4}$$

Results

[Falkowski et al.,
1706.03783]

Coefficient	$\Delta(\text{current})$	$\Delta \text{ (no sys.)}$	$\Delta \text{ (0.1\% sys.)}$	$\Delta \text{ (1\% sys.)}$
δg_L^{We}	3.5	0.37	2.5	3.4
$\delta g_L^{Z\mu}$	3.7	0.18	1.1	3.5
δg_L^{Zu}	1.9	0.34	1.4	1.5
δg_R^{Zu}	9.5	0.57	2.0	2.3
δg_L^{Zd}	1.9	0.28	1.4	1.6
δg_R^{Zd}	9.7	1.1	3.0	3.1
$\delta g_R^{Wq_1}$	1.9	0.36	1.7	1.9
$[c_{\ell\ell}]_{1122}$	28	2.6	2.6	21
$[c_{\ell e}]_{2211}$	45	3.1	3.1	27
$[c_{\ell\ell}]_{2222}$	2100	310	310	310
$[c_{\ell e}]_{2222}$	6300	970	970	970
$[c_{\ell q}^{(3)}]_{1111}$	1.9	0.36	1.7	1.9
$[c_{\ell q}^{(3)}]_{2211}$	12	1.8	10	12
$[c_{\ell q}]_{2211}$	210	3.0	30	180
$[c_{\ell u}]_{2211}$	190	1.2	9.5	85
$[c_{\ell d}]_{2211}$	370	2.4	19	170

One SMEFT
parameter at
a time

$\times 10^{-4}$

Conclusions

We investigated the **precision reach** in the determination of the **SMEFT Wilson coefficients** relevant for the **DUNE experiment**.

We studied observables related to **trident production**, **neutrino scattering off electrons** and **neutrino scattering off nuclei** at the DUNE Near Detector.

The results show the **importance of precision measurements** in DUNE and the **importance of the effort to reduce the experimental and theoretical source of systematic errors**.

Backup

Systematic uncertainties

statistical
uncertainty

$$\chi^2 = \sum_{\nu \& \bar{\nu}} \delta R^2 \left(\frac{1}{\sigma_{\delta R}^2} + \frac{1}{\sigma_{\text{sys}}^2} \right)$$

systematic
uncertainty

Result

