

# Color Unified Dynamical Axion

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# Strong CP problem

$$\mathcal{L}_{QCD} \supset \mathcal{L}_{CP} = -\bar{q}m e^{i\beta} q + \theta_{QCD} \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

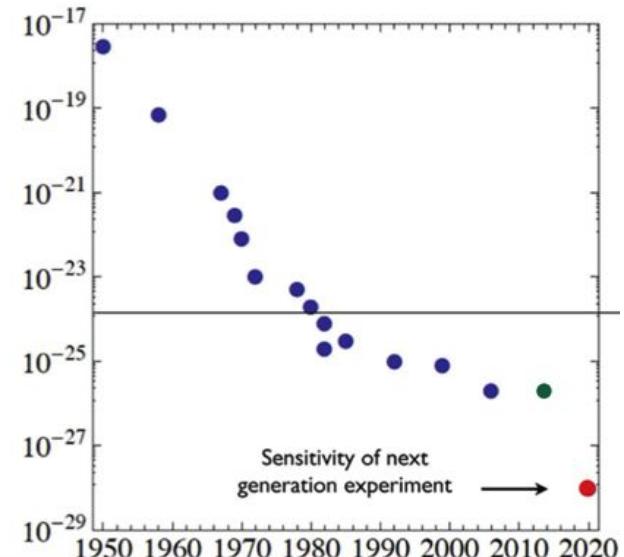
One physical CPV phase:  $\bar{\theta} = \theta_{QCD} + \arg \det M$

$$d_n \approx 10^{-16} |\bar{\theta}| \text{e} \cdot \text{cm} \quad \bar{\theta} \lesssim 10^{-10}$$



Why is it so small?

Neutron EDM (Electric Dipole Moment)



[B.Fillipone via diLuzio]

# Strong CP problem: massless quark solution

- Under a chiral rotation:  $U(1)_A : q \rightarrow e^{\frac{\alpha}{2} i \gamma_5} q$

$$\mathcal{L} \supset -m_q \bar{q} q - \theta \frac{\alpha_s}{8\pi} G \tilde{G} \longrightarrow -m_q \bar{q} e^{i\alpha \gamma_5} q - (\theta - \alpha) \frac{\alpha_s}{8\pi} G \tilde{G}$$

- If  $m_q = 0$ , the phase  $\theta$  can be fully reabsorbed  $\implies$  **Strong CP Problem solved!**

- No different from usual PQ solution: [Peccei+Quinn 77]  $\rightarrow U(1)_{PQ}$  classically conserved  
 $\rightarrow$  Explicitly broken by the QCD anomaly  
 $\rightarrow$  Spontaneously broken at  $f_a$  (by  $\langle \bar{q}q \rangle$ )

- Most economical option: Massless up quark, disfavored by lattice data [Manohar et al 16, PDG]

# Dynamical Axion

[Choi+Kim 85]

- Exotic massless quark  $\psi$       **How do we hide it?**

Massless quark content

- New confining group  $\tilde{\Lambda} \gg \Lambda_{QCD}$

◆  $SU(\tilde{N})$  confines, bound states  $m \sim \tilde{\Lambda}$

◆ **Problem:** new CP violating phase  $\tilde{\theta}$

	$SU(3)_c$	$SU(\tilde{N})$
$\psi$	□	□
$\chi$	1	□

- Add another massless quark  $\chi$

- $SU(\tilde{N})$  Confines + Chiral Symmetry Breaking:

$$U(4)_L \times U(4)_R \xrightarrow[\langle\bar{\psi}\psi\rangle]{\langle\bar{\chi}\chi\rangle} U(4)_V$$

pseudo-Goldstone Bosons (pGB):  $16 = 8 + 3 + \bar{3} + 1 + 1$

The  $\eta'$  become dynamical axions



$$4\pi f_a \sim \tilde{\Lambda}$$

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**My definition of axion:**

**“Any Goldstone Boson of a global U(1) symmetry, exactly conserved at the classical level but explicitly broken by instantons”**

# Dynamical Axion: masses

[Choi+Kim 85]

→ Two axions:  $\bar{\psi}\psi$   $\bar{\chi}\chi$

2  $PQ$  instantons  $\begin{cases} \Lambda_{QCD} \\ \tilde{\Lambda} \end{cases}$



3 pseudoscalars  $\begin{cases} \bar{\psi}\psi \\ \bar{\chi}\chi \\ \eta'_{QCD} \end{cases}$

→ One axion remains light:

$$m_{a_1}^2 f_a^2 \sim \tilde{\Lambda}^4$$

$$m_{\eta'}^2 f_\pi^2 \sim \Lambda_{QCD}^4$$

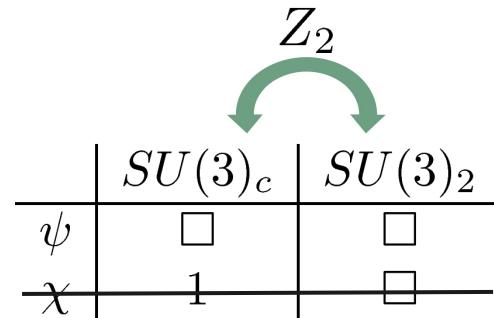
$$m_{a_2}^2 f_a^2 \sim m_\pi^2 f_\pi^2 \frac{m_u m_d}{(m_u + m_d)^2}$$

UV completion of a usual invisible axion

# Alternatives to the dynamical Axion

- Only one massless quark and a  $Z_2$  [Hook 15]
- Complete copy of the SM
- The  $Z_2$  ensures  $\theta_2 = \theta_{QCD}$
- No light axion:
- The mirror EW sector has a large vev:

$$m^2 \sim \frac{\Lambda_2^4}{f_a^2}$$



$$v_2 \gg v \implies m'_q \gg m_q, \implies \Lambda' \gg \Lambda_{QCD}$$

## In our work: UNIFICATION

# Color Unified Dynamical Axion: First try

- Unification allows to identify the two phases: only one massless quark needed

$$SU(6) \xrightarrow{\Lambda_{\text{CUT}}} SU(3)_c \times SU(\tilde{3}) \times U(1)$$

- SM quarks are embedded in fundamentals of SU(6)

$$Q_L \equiv (q, \tilde{q})_L, \quad U \equiv (u, \tilde{u})_R, \quad D \equiv (d, \tilde{d})_R$$

**Problem:** Need to decouple the SM quark partners

1. Without spoiling SM quark masses
2. Without breaking EW at a high scale
3. In order to separate the running of the two groups .

Over Unification scale:

	$SU(6)$	$SU(2)_L$	$U(1)$
$\Psi_L$	20	1	0

Bellow unification scale:

	$SU(3)_c$	$SU(\tilde{3})$
$\psi_L$	□	□
$\psi_L^c$	□	□
$2 \times \psi_\nu$	1	1

## We need an extra prime sector

# Color Unified Dynamical Axion: The real thing

$$SU(6) \times SU(3') \xrightarrow{\Lambda_{\text{CUT}}} SU(3)_c \times SU(3)_{\text{diag}}$$

→ Spontaneously broken by the vev of  $\Delta$

$$\langle \Delta \rangle = \Lambda_{\text{CUT}} \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

**Allows for a large mass for the tilde sector without spoiling the SM quark masses**

$$\kappa_q q'_L \Delta^* Q_L \longrightarrow \Lambda_{\text{CUT}} \kappa_q q'_L \tilde{q}_L$$

	$SU(6)$	$SU(3')$
$Q_L$	□	1
$U_L^c$	□	1
$D_L^c$	□	1
$\Psi_L$	20	1
$q'_L$	1	□
$u_L'^c$	1	□
$d_L'^c$	1	□
$\Delta$	□	□

	$SU(3)_c$	$SU(3)_{\text{diag}}$	$SU(2)_L$	$U(1)_Y$
$q_L$	□	1	□	$\frac{1}{6}$
$\tilde{q}_L$	1	□	□	$\frac{1}{6}$
$u_L^c$	□	1	1	$-\frac{2}{3}$
$\tilde{u}_L^c$	1	□	1	$-\frac{2}{3}$
$d_L^c$	□	1	1	$\frac{1}{3}$
$\tilde{d}_L^c$	1	□	1	$\frac{1}{3}$
$\psi_L$	□	□	1	0
$\psi_L^c$	□	□	1	0
$2 \times \psi_\nu$	1	1	1	1
$q'_L$	1	□	□	$-\frac{1}{6}$
$u_L'^c$	1	□	1	$\frac{2}{3}$
$d_L'^c$	1	□	1	$-\frac{1}{3}$
$\Delta$	—	—	1	0

# Color Unified Dynamical Axion

$$\mathcal{L} \ni \Lambda_{\text{CUT}} \left\{ \kappa_q q'_L \tilde{q}_L + \kappa_u u_L'^c \tilde{u}_L^c + \kappa_d d_L'^c \tilde{d}_L^c \right\} + \text{h.c.}$$

- Successfully decoupled tilde and prime quarks  $m \sim \Lambda_{CUT}$
- **Problem:** with an extra gauge group  $\implies$  extra CP phase, two options:



- ◆ **Model I:** Add an extra massless quark  $\chi$

	$SU(6)$	$SU(3)'$
$\psi$	□	□
$\chi$	1	□

- ◆ Model II: Add an extra scalar  $\Delta_1, \Delta_2$   
Hybrid solution with a dynamical axion and a fundamental one.

# Running of the gauge couplings

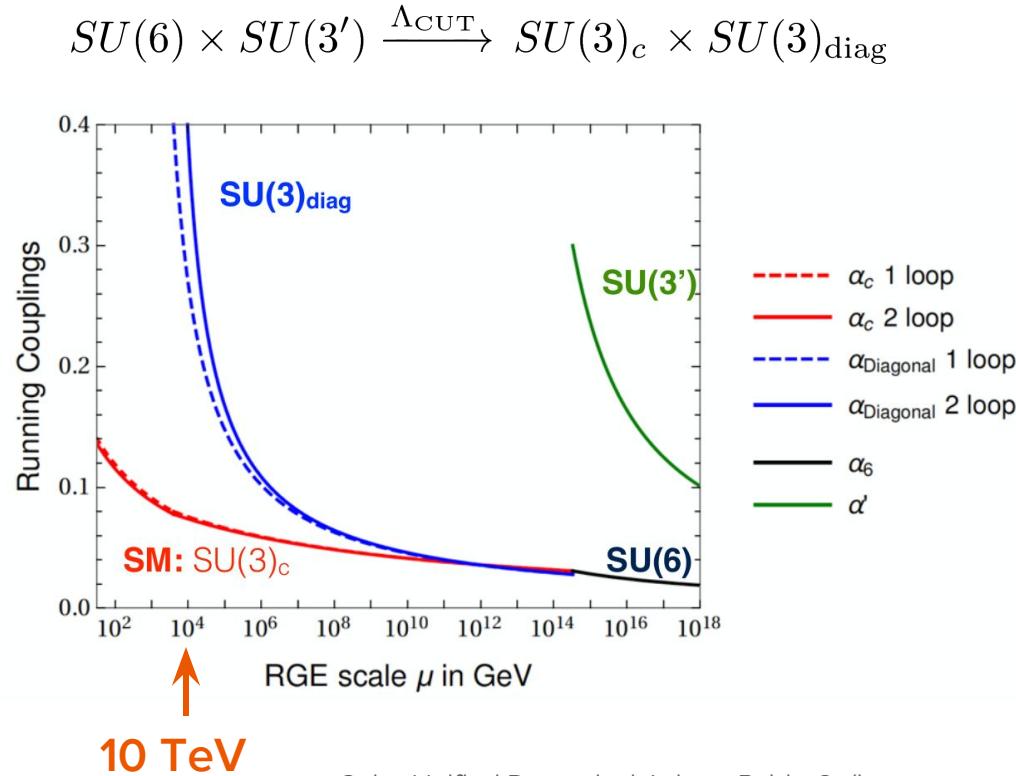
→ At the breaking scale:

$$\frac{1}{\alpha_{\text{diag}}(\mu)} = \frac{1}{\alpha_6(\mu)} + \frac{1}{\alpha'(\mu)}$$

$$\alpha_c(\Lambda_{\text{CUT}}) = \alpha_6(\Lambda_{\text{CUT}})$$

$SU(3)_{\text{diag}}$  confines before QCD

$$\Lambda_d \gg \Lambda_{QCD}$$

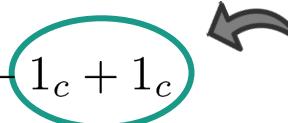


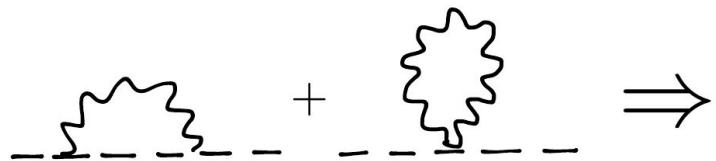
# Confinement and $\chi$ SB of the $SU(3)_{\text{diag}}$

- Chiral symmetry breaking by the condensates:  $\langle \bar{\psi}\psi \rangle, \langle \bar{\chi}\chi \rangle$

$$U(4)_L \times U(4)_R \xrightarrow{\Lambda_d} U(4)_V$$

	$SU(3)_c$	$SU(3)_{\text{diag}}$
$\psi$	□	□
$\chi$	1	□

- Results in 16 pGB's:  $16 = 8_c + 3_c + \bar{3}_c + 1_c + 1_c$   **become dynamical axions**
- The “axipions”, through quadratically divergent gluon loops, get a large mass:

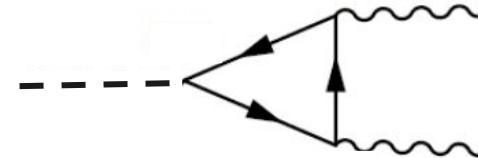

$$m^2(8_c) \approx \frac{9\alpha_c}{4\pi} \Lambda_{\text{diag}}^2 \quad \bar{\psi} t^a \psi,$$
$$m^2(3_c) \approx \frac{\alpha_c}{\pi} \Lambda_{\text{diag}}^2 \quad \bar{\psi} \chi, \bar{\chi} \psi$$

# The $\eta'$ pseudoscalars

→ Anomalous currents  $\implies$  Anomalous couplings of the pseudoscalars:

$$j_{\psi_A}^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi \equiv f_d \partial^\mu \eta'_\psi ,$$

$$j_{\chi_A}^\mu = \bar{\chi} \gamma^\mu \gamma^5 \chi \equiv f_d \partial^\mu \eta'_\chi ,$$



$$\mathcal{L} \supset -\frac{\alpha_6}{8\pi} \frac{\sqrt{6} \eta'_\psi}{f_d} G_6 \tilde{G}_6 - \frac{\alpha'}{8\pi} \frac{2 \eta'_\chi}{f_d} G' \tilde{G}'$$

$\Lambda_{CUT}$

Confinement

$$\mathcal{L} \supset -\frac{\alpha_c}{8\pi} \frac{\sqrt{6} \eta'_\psi}{f_d} G_c \tilde{G}_c - \frac{\alpha_{\text{diag}}}{8\pi} \left( 2 \frac{\eta'_\chi}{f_d} + \sqrt{6} \frac{\eta'_\psi}{f_d} \right) G_{\text{diag}} \tilde{G}_{\text{diag}}$$

$$\mathcal{L} \supset \Lambda_{\text{diag}}^4 \cos \left( 2 \frac{\eta'_\chi}{f_d} + \sqrt{6} \frac{\eta'_\psi}{f_d} \right) + \Lambda_{\text{QCD}}^4 \cos \left( 2 \frac{\eta'_{\text{QCD}}}{f_\pi} + \sqrt{6} \frac{\eta'_\psi}{f_d} \right)$$

Incorporating  $\eta'_{\text{QCD}}$

- 3 anomalous pseudoscalars
- 2 sources of mass/breaking

**Another light axion model?**

# Small Size Instantons and axion mass

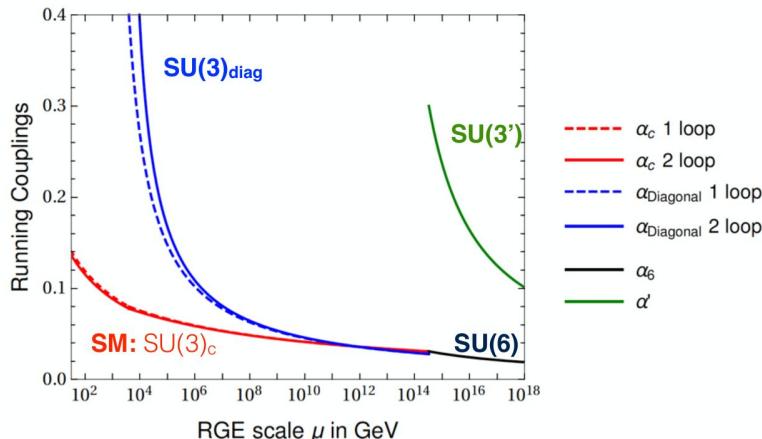
- Typically, at high energies (= small size) couplings are very small.
- The instanton density has an exponential suppression:

$$D[\alpha'(\mu)] \propto e^{-2\pi/\alpha'(\mu)}$$

Usually sizable only at  
the confinement scale

$$\left(e^{-2\pi/0.1} \sim 10^{-28}\right)$$

- New Physics can change the RG flow and induce a new source of axion mass



- Large coupling  $\alpha' \sim 0.3$
- Large breaking scale

$$\Lambda_{CUT} \sim 10^{14-18} \text{ GeV}$$

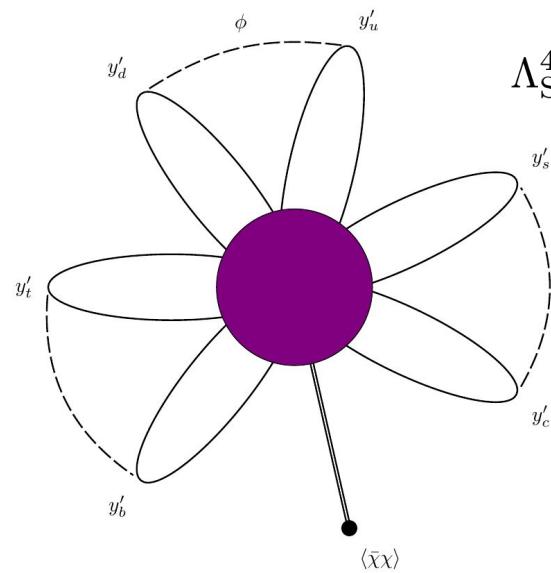
New sizable contribution  
to the axion mass!!

[Holdom+Peskin, 82]  
[Dine+Seiberg, 86]  
[Flynn+Randall, 87]  
[Agrawal+Howe, 17]

# Small Size Instantons and axion mass

→ Dilute Instanton Gas approximation:

[t'Hooft, 73]  
[Callan+Dashen+Gross, 77]  
[Shifman+Vainshtein+Zakharov, 80]



$$\Lambda_{SSI}^4 = -C_{inst} \int \frac{d\rho}{\rho^5} \left( \frac{2\pi}{\alpha'(\rho)} \right)^{2N_c} e^{-2\pi/\alpha'(\rho)} \underbrace{\left( \frac{2}{3}\pi^2 \rho^3 \langle \bar{\chi} \chi \rangle \right)}_{\text{Pure Yang-Mills Instanton}} \underbrace{\frac{1}{(4\pi)^6} \prod_i y_u'^i y_d'^i}_{\text{Fermionic suppression}}$$

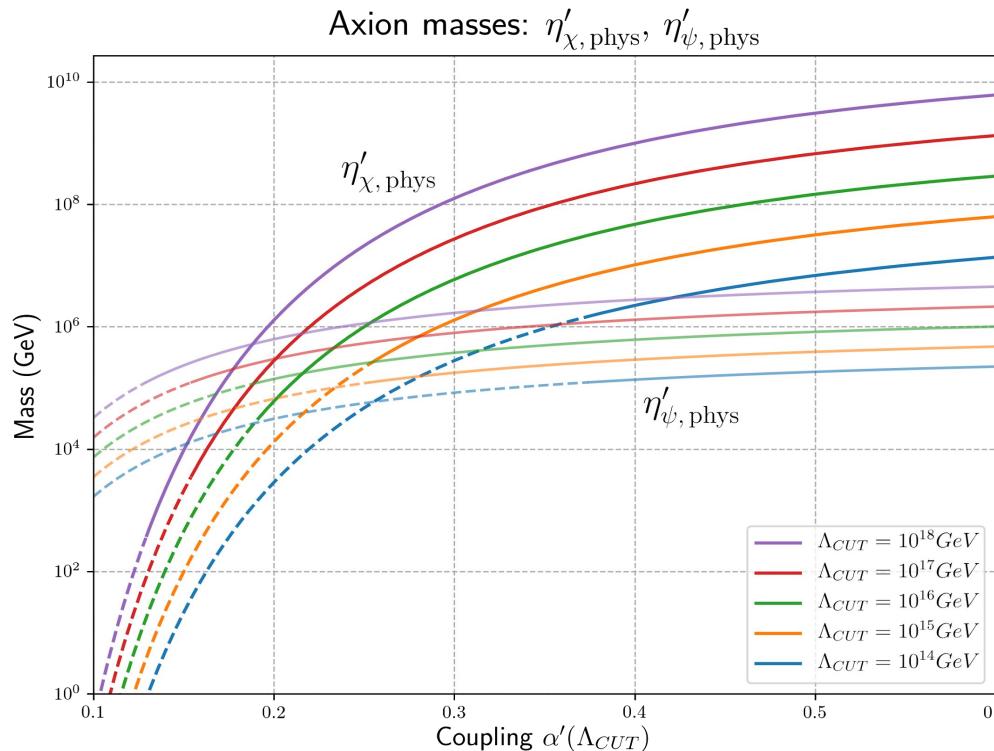
$$\mathcal{L}_{eff} = \Lambda_{SSI}^4 \cos \left( 2 \frac{\eta'_\chi}{f_d} \right) \quad \Lambda_{SSI} \gtrsim 20 \text{ TeV}$$

# Dynamical axion potential and masses

$$\mathcal{L}_{eff} = \underbrace{\Lambda_{SSI}^4 \cos\left(2 \frac{\eta'_\chi}{f_d}\right)}_{SU(3') \text{ ssi Instantons}} + \underbrace{\Lambda_{diag}^4 \cos\left(2 \frac{\eta'_\chi}{f_d} + \sqrt{6} \frac{\eta'_\psi}{f_d}\right)}_{SU(3)_{diag} \text{ Instantons at conf.}} + \underbrace{\Lambda_{QCD}^4 \cos\left(2 \frac{\eta'_{QCD}}{f_\pi} + \sqrt{6} \frac{\eta'_\psi}{f_d}\right)}_{SU(3)_c \text{ Instantons at conf.}}$$

$$M_{\eta'_\chi, \eta'_\psi, \eta'_{QCD}}^2 = \begin{pmatrix} 4 \frac{(\Lambda_{SSI}^4 + \Lambda_d^4)}{f_d^2} & 2\sqrt{6} \frac{\Lambda_d^4}{f_d^2} & 0 \\ 2\sqrt{6} \frac{\Lambda_d^4}{f_d^2} & 6 \frac{(\Lambda_d^4 + \Lambda_{QCD}^4)}{f_d^2} & 2\sqrt{6} \frac{\Lambda_{QCD}^4}{f_\pi f_d} \\ 0 & 2\sqrt{6} \frac{\Lambda_{QCD}^4}{f_\pi f_d} & 4 \frac{\Lambda_{QCD}^4}{f_\pi^2} \end{pmatrix}$$

# Dynamical axion masses



# Solution to the Strong CP problem

- Any source of axion mass breaks the PQ symmetry, **do SSI spoil the Strong CP solution?**
- Breaking pattern imposes:

$$\mathcal{L} \supset \bar{\theta}_6 \frac{\alpha_6}{8\pi} G_6 \tilde{G}_6 + \bar{\theta}' \frac{\alpha'}{8\pi} G' \tilde{G}' \longrightarrow (\bar{\theta}_6 + \bar{\theta}') \frac{\alpha_{\text{diag}}}{8\pi} G_{\text{diag}} \tilde{G}_{\text{diag}} + \bar{\theta}_6 \frac{\alpha_c}{8\pi} G_c \tilde{G}_c$$

- Therefore the potential reads:

$$\mathcal{L}_{eff} = \Lambda_{SSI}^4 \cos \left( -2 \frac{\eta'_\chi}{f_d} + \bar{\theta}' \right) + \Lambda_{\text{diag}}^4 \cos \left( -2 \frac{\eta'_\chi}{f_d} - \sqrt{6} \frac{\eta'_\psi}{f_d} + \bar{\theta}' + \bar{\theta}_6 \right) + \Lambda_{\text{QCD}}^4 \cos \left( -\sqrt{6} \frac{\eta'_\psi}{f_d} + \bar{\theta}_6 \right)$$

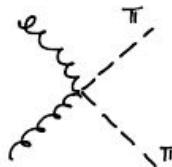
- The alignment of the 3 terms in the potential result in a CP-conserving minimum

$$\left\langle \bar{\theta}' - 2 \frac{\eta'_\chi}{f_d} \right\rangle = 0, \quad \left\langle \bar{\theta}_6 - \sqrt{6} \frac{\eta'_\psi}{f_d} \right\rangle = 0$$

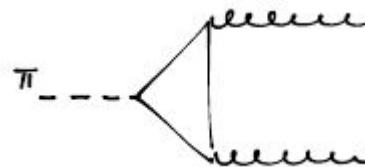
**Strong CP problem solved**

# Low energy Spectrum and Phenomenology

- No light axion.
  - ◆ Both axions too heavy for colliders
- Sterile fermions  $\psi_\nu$  with couplings suppressed by  $\Lambda_{CUT}$  (basically invisible)
- QCD Coloured “axipions” are collider accessible, octets:



Pair produced

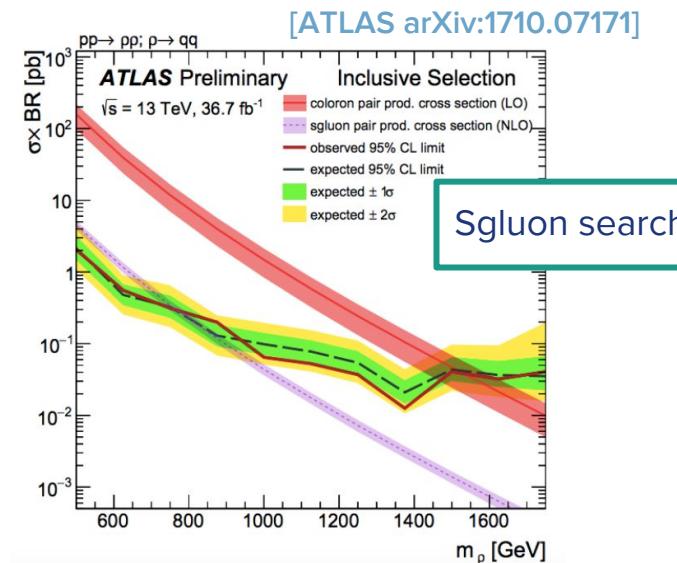


Anomalous decay

$$m(8_c) \gtrsim 770 \text{ GeV}$$

$$m^2(8_c) \approx \frac{9\alpha_c}{4\pi} \Lambda_{\text{diag}}^2$$

$$\Lambda_{\text{diag}} \gtrsim 3 \text{ TeV}$$



# Conclusions

- Strong CP problem solved with **massless quarks** and **unification**.

$$SU(6) \times SU(3') \xrightarrow{\Lambda_{\text{CUT}}} SU(3)_c \times SU(3)_{\text{diag}}$$

- Unified partners of the SM quarks are **successfully decoupled**.
- New gauge group confines the massless quarks into heavy bound states  $O(\text{TeV})$ .
- Small size instantons provide a new source of axion mass:
  - ◆ **No light axion** results.
- The three scales  $\Lambda_{\text{CUT}} \gg \Lambda_{\text{diag}} \gg \Lambda_{\text{QCD}}$  are naturally separated by the running.
- Most promising collider signals come from the exotic pion color octet.

**Thank you!**