

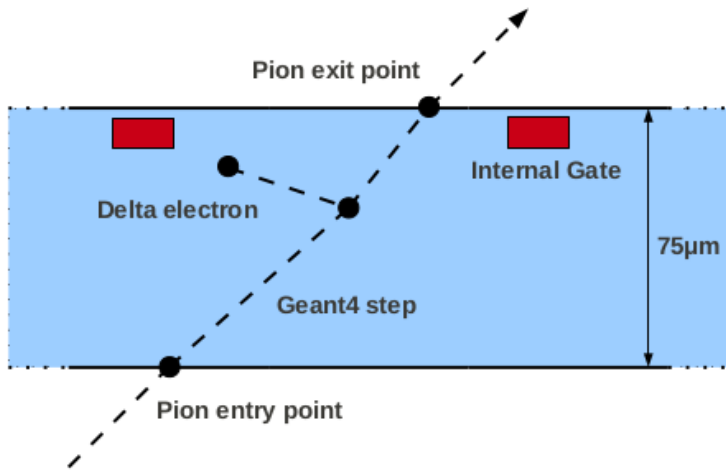
New ideas on PXD hit reconstruction and calibration from beam data

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PXD hit reconstruction

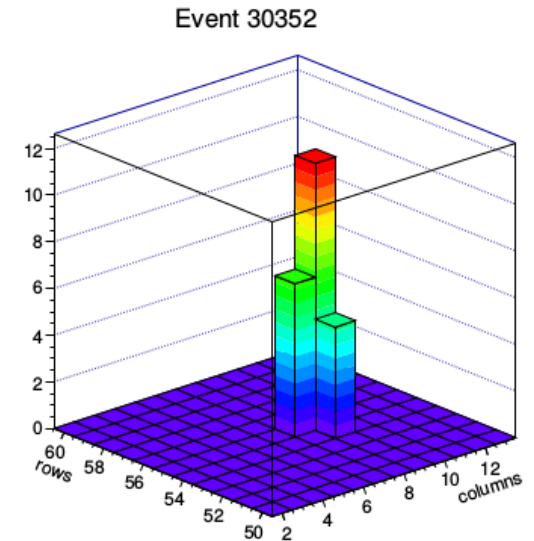
Particle hits sensor



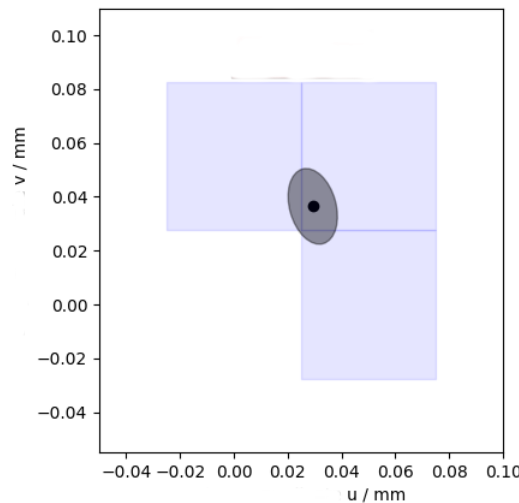
Digitization

[all processes in
sensor and
readout]

Pixel raw data



Pixel Hit



Track fitting [Kalman filter]

[Use pixel hit to improve
estimate of track state]

Clustering

[group neighboring pixels]

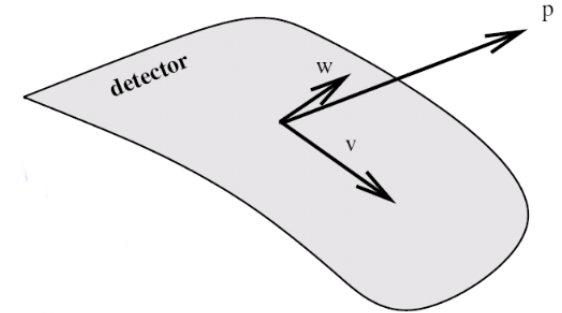
Hit reconstruction

[find confidence ellipse for
intersection]

Pixel hits and the Kalman filter

5D track state on sensor midplane:

$$x = (\tan \theta^u, \tan \theta^v, u^x, v^x, q/p)^T$$



2D pixel hit coordinate + covariance matrix:

$$m = (u^m, v^m) \quad V = \text{Cov}(u^m - u^x, v^m - v^x) = \begin{pmatrix} V_{uu} & V_{uv} \\ V_{vu} & V_{vv} \end{pmatrix}$$

Improve predicted track state using pixel hit from sensor k:

$$S_k = H P_k^- H^T + V_k$$

$$K_k = P_k^- H^T S_k^{-1}$$

$$\bar{x}_k = \bar{x}_k^- + K_k [m_k - H \bar{x}_k^-]$$

$$P_k = P_k^- - K_k S_k K_k^T$$

:- The Kalman filter needs unbiased hit coordinates

:- and consistent (not too large and not too big) hit covariance matrix

:- The Kalman filters does not tell us how to get these numbers.

Looking for some guidance

:- We have our digitizers:

Detector response = random numbers + detector physics

Energy loss straggling, Lorentz effect, drift + diffusion, el. Noise, ADC ...

:- One can formalize this idea using recursive Bayesian filters

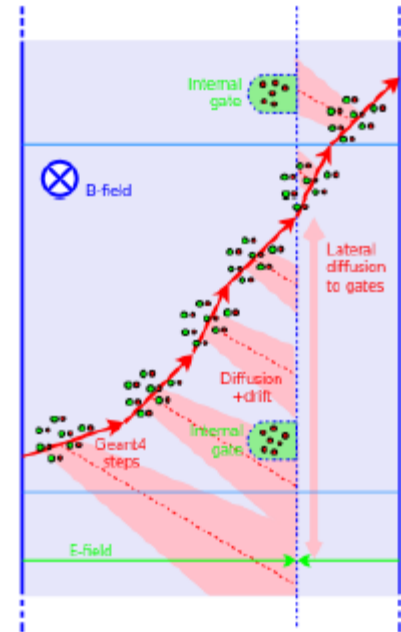
Given a cluster c_k at plane k , the 'filtered' distribution for the track State can be computed by Bayes rule:

$$p(x_k | c_{1:k}) = \frac{1}{Z_k} p(c_k | x_k) p(x_k | c_{1:k-1})$$

Filtered distribution

Measurement model (cond. Pdf)
= digitizer

Predicted distribution, using clusters
on past sensors.



[PXDDigitizer]

Bayesian cluster shape filter

:- The 'typical' tracking scenario:

- The KF predicted track state has imprecise information on the intersection point (relative to precision of the pixel hit)
- The KF contains precise information on momentum and incidence angles into sensor.

:- Hit reconstruction can be conditioned on 'beam' condition data from KF

$$\beta_k = (\tan \theta_k^u, \tan \theta_k^v, q/p_k)^T \quad \longrightarrow \quad [\text{already available on master (P. Kodys)}]$$

:- We can compute cluster moments from measurement model (in principle)

$$m_k(c_k|\beta_k) = \int H x_k p(c_k|x_k) du_k^x dv_k^x, \quad \longrightarrow \quad [\text{input to KF for track fitting}]$$

$$V_k(c_k|\beta_k) = \int (H x_k - m_k(c_k|\beta_k)) (H x_k - m_k(c_k|\beta_k))^T p(c_k|x_k) du_k^x dv_k^x.$$

Bayesian cluster shape filter

- This looks infeasible, but we have discrete translation symmetry to our help

Shift cluster by m,n pixel units

$$p(c|x) = p(c'|x')$$

$$c' = \mathbf{T}(m, n)c = \{vc_i + m, uc_i + n, s_i\}_{i=0, \dots, n}$$

$$x' = \mathbf{T}(m, n)x = (\tan \theta^u, \tan \theta^v, u^x + nP_u, v^x + mP_v, q/p)^T$$

Shift intersection by m,n pixel pitches

- This will only hold for well designed and well calibrated detector → other topic ;)

- In case symmetry holds, we only need cluster moments for a much smaller subset of clusters called shapes.

Shape == Cluster with min(ucells) = 0 && min(vcells=0)

Training data and bootstrapping

- We can do all computations from sufficiently large training data for some beam condition.

$$D(\beta) = \{\bar{x}_i^-; P_i^-; c_i\}$$

Pred. track state
+ covariance

Cluster caused by
track.

- Training data can originate from real experiment or generated from simulation

TrueHits + related Digits | 'fitted' track states + close-by Digits

- Number of tracks in training data should not be too large (<1Mio).

- The PXD uses 8bit ADC codes → the number of shapes is too large

- In order to reduce the number of shapes, we need some sort of 'shape clustering'

Digital labels and their moments

:- One very robust shape clustering is simply ignoring the signals → digital labels

$l_D(s) = \text{'-'}.join(\text{'V:'} + \text{str}(d[0]) + \text{'U:'} + \text{str}(d[1]) \text{ for } d \text{ in } s)$

Here a label is really a string literal. For example: V:0.U:0 == one digit cluster

:- The number of digital labels is typically rather small (<<100) for a given beam condition

Label probability

$$p(l|\beta) = \frac{|D(\beta, l)|}{|D(\beta)|}$$

#tracks / labels in data

Label hit coordinate

$$o(l|\beta) = \frac{1}{|D(\beta, l)|} \sum_{i \in D(\beta, l)} H \bar{x}_i^- - \mathbf{F}(c_i)$$

Shifts from cluster to shape

Label covariance matrix

$$V(l|\beta) = \frac{1}{|D(\beta, l)| - 1} \sum_{i \in D(\beta, l)} (H \bar{x}_i^- - \mathbf{F}(c_i) - o(l|\beta))(H \bar{x}_i^- - o(l|\beta))^T - A(\beta)$$

Some examples

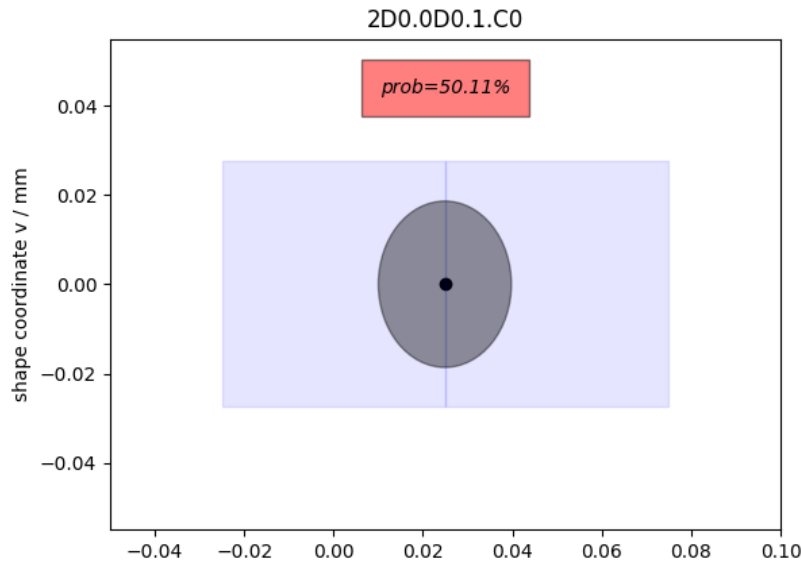
- We take parameters from angular scan in a PXD test beam as reference

- 4GeV electrons, 200k single track events, B=0T

- PXDDigitizer parameters: (Pixelkind 55x50um²)

ADCFineMode	: False
Gq	: 0.77nA/e
SourceBorder	: 6.3um
DrainBorder	: 6.3um
ClearBorder	: 4.2um
El. Noise	: 150e
ChargeThreshold	: 5ADU

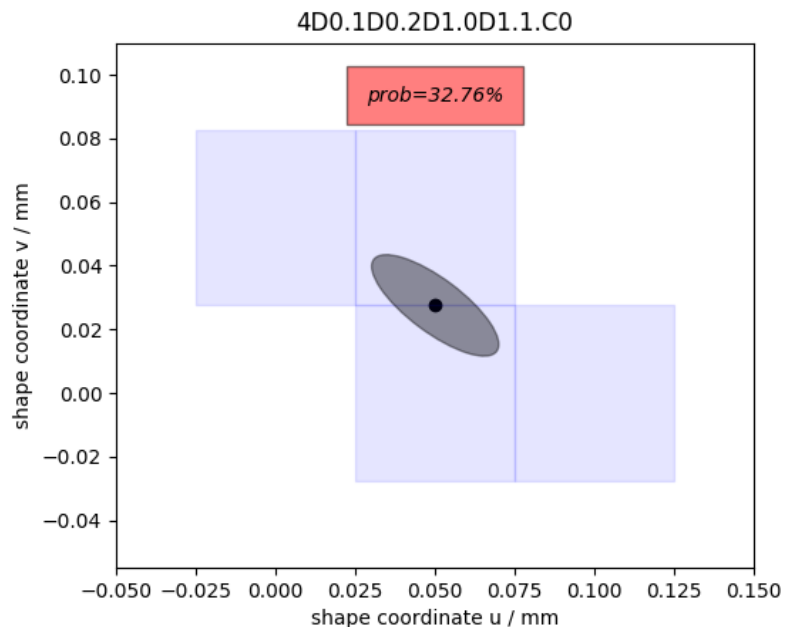
Some examples



:- Sim data for test beam situation
($\theta=90^\circ$ / $\phi=60^\circ$)

:- ~50% of all digital labels are like that

:- Bayesian filter gives positions and
2x2 covariance matrix



:- Sim data for larger incidence angles
($\theta=60^\circ$ / $\phi=30^\circ$)

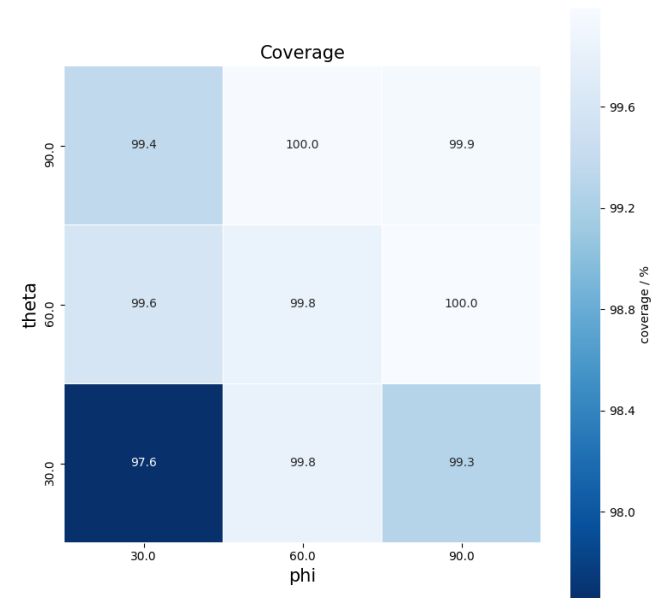
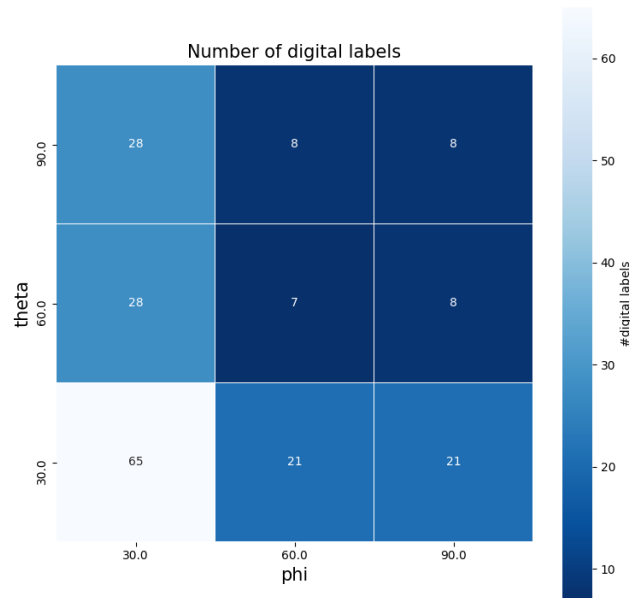
:- most important single label (~33%)

:- Remember: Estimate of UV correlation
Based on:

:- Geometry of firing pixel cells

:- Conditioned on incidence angles

Overview: Results from digital labels

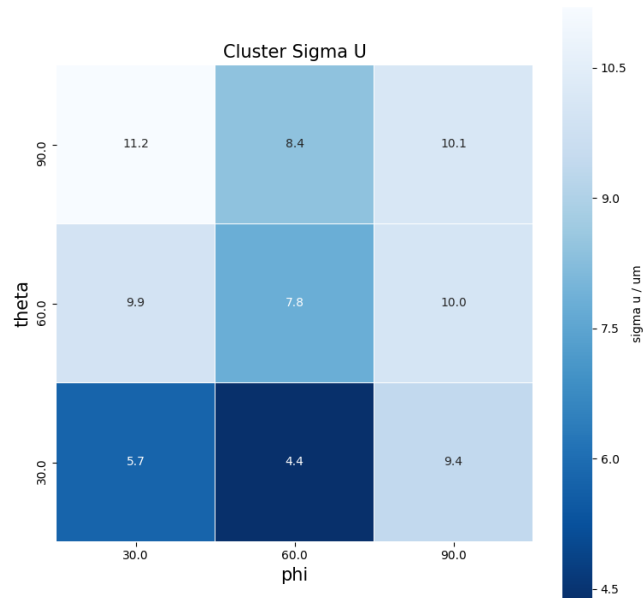


:- Number of labels grows with incidence angles into sensor

:- Require >200 to accept label and estimate corrections

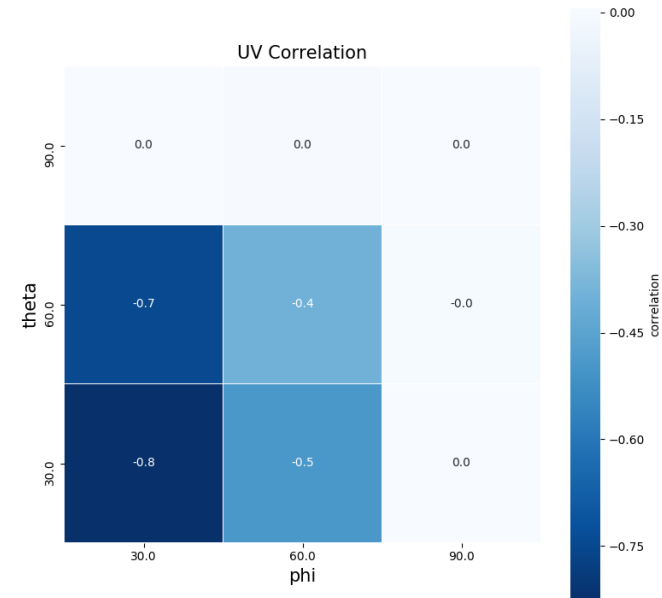
:- Coverage = Prob to find correction given some cluster

Overview: Results from digital labels



- Weighted average of cluster sigmaU over all digital labels

- Weight = Label probability



- Average uv correlations when both incidence angles non zero

- Correlations significant for certain beam conditions.

How to incorporate digit signals?

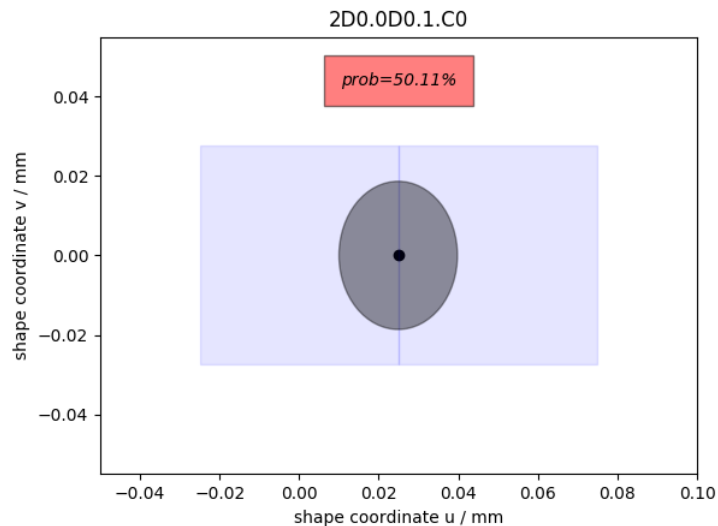
- Digital labels provide useful clusters of shape, but sometimes too big.

→ too many shapes in digital label → significant loss of resolution

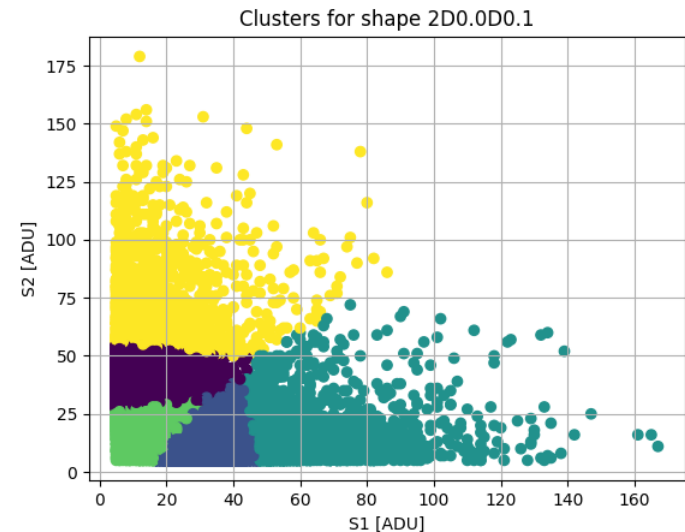
- Idea: further sub division of shapes inside the same digital label

→ for example using k-means clustering

- Example: '2u' cluster at $\theta=90^\circ$ / $\phi=60^\circ$

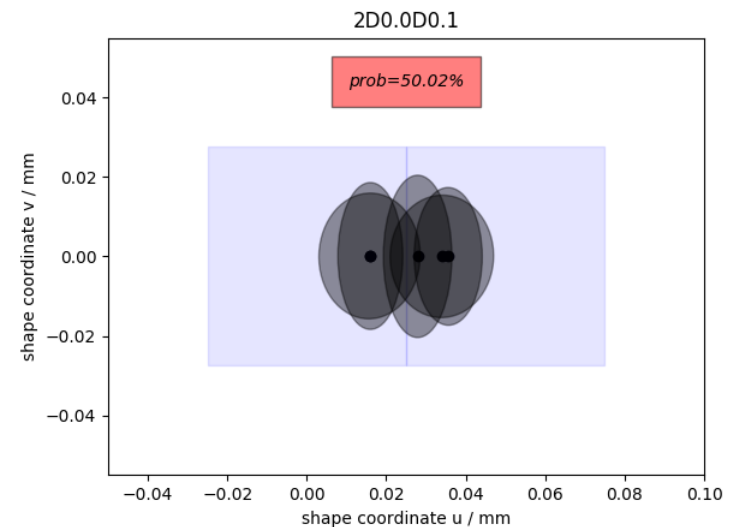
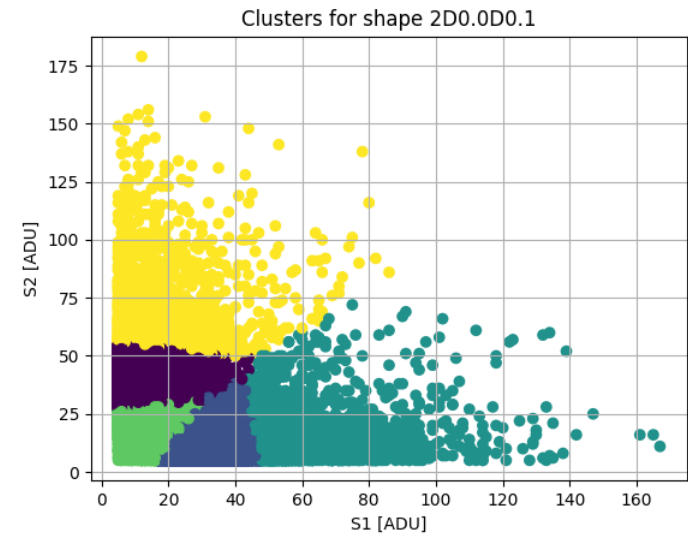
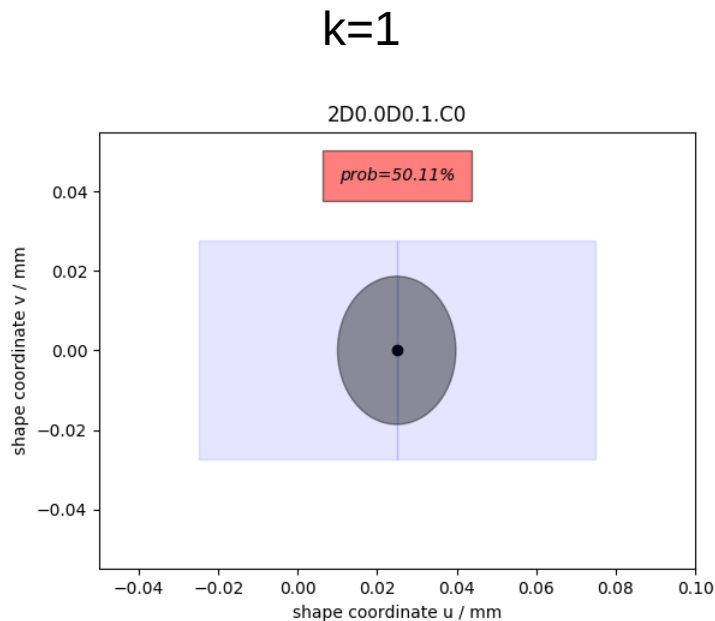


k=5



How to incorporate digit signals?

k=1

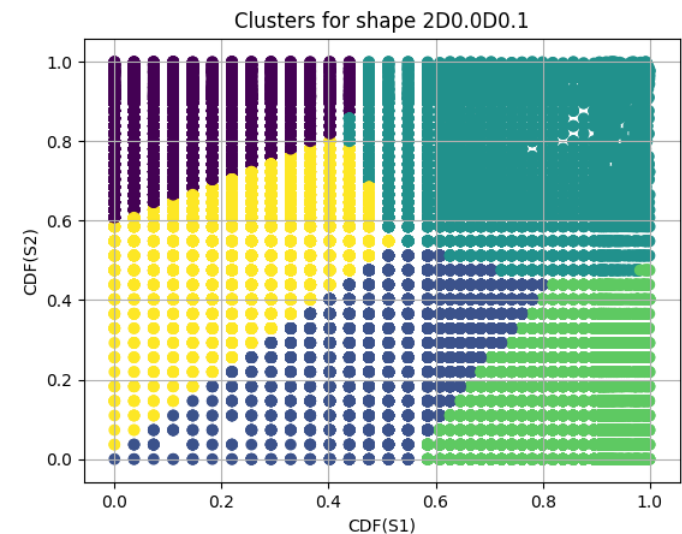
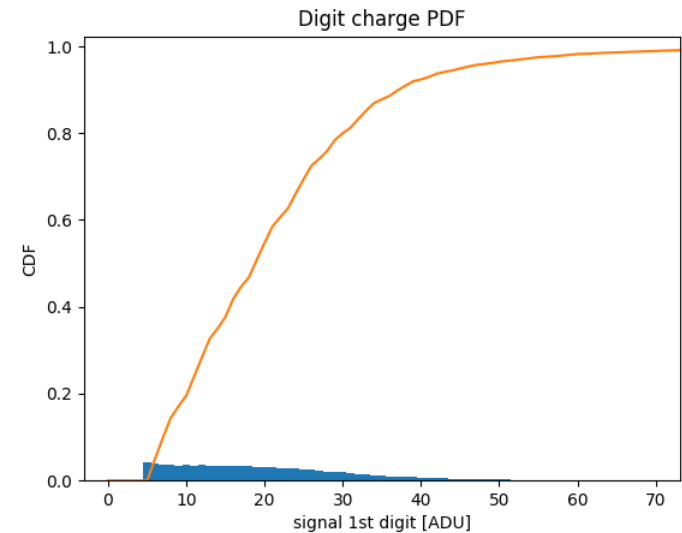
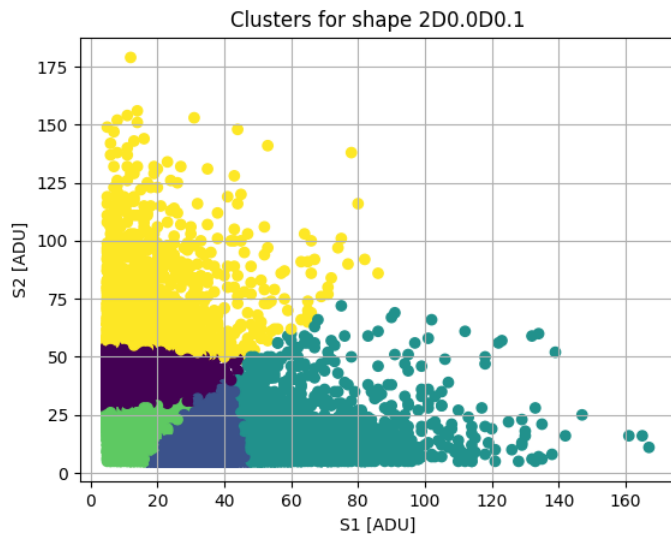


:- Consider now the results of K-means clustering as labels

Some pit falls of K-means

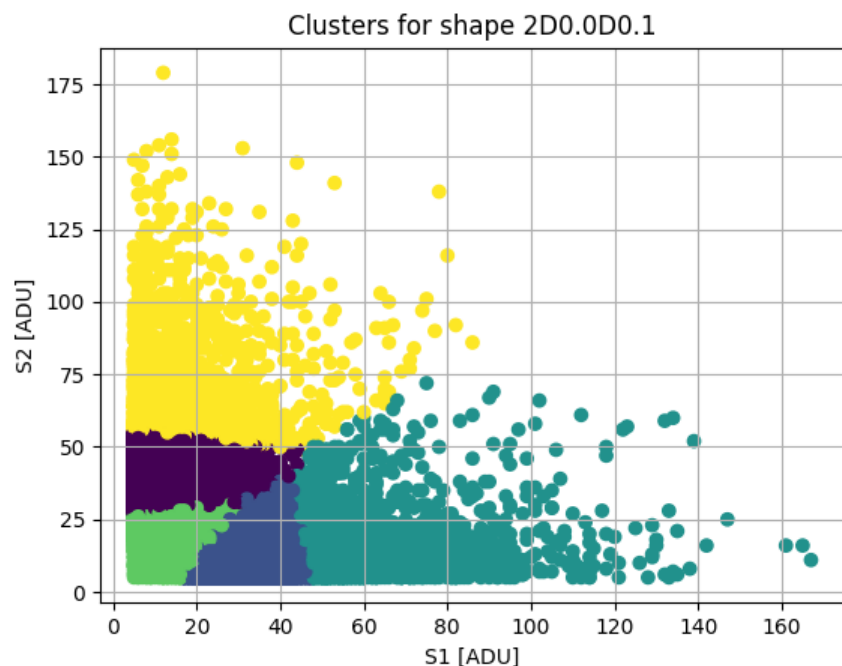
K means works best when density of points is constant → we have Landau tails

- Transform digit signals before clustering
- Not fully implemented yet.

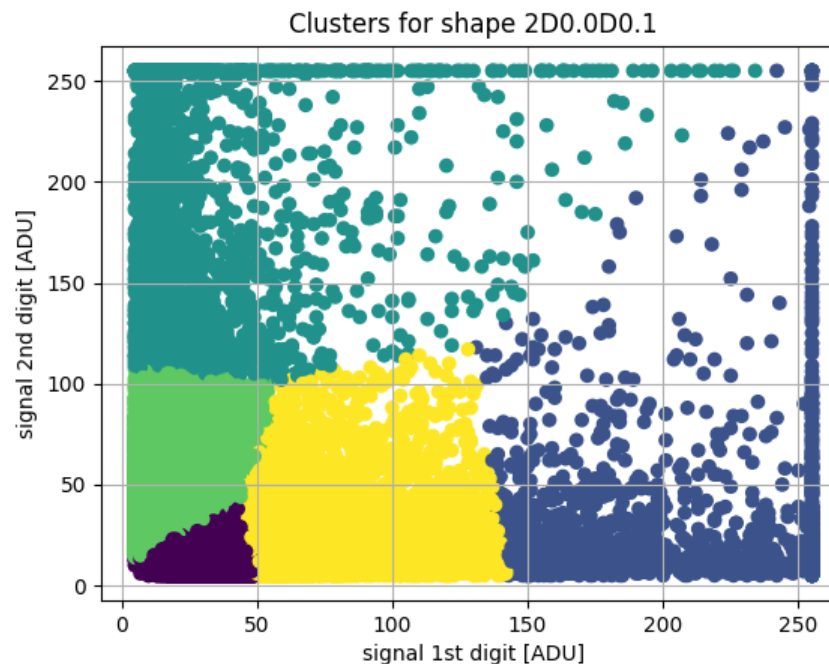


Some artefacts in simulation

TB data (Nov 15)



Basf2 simulation



- basf2 simulation tends to produce too many very large signals
- probably happens when PXDSimHits are produced ...

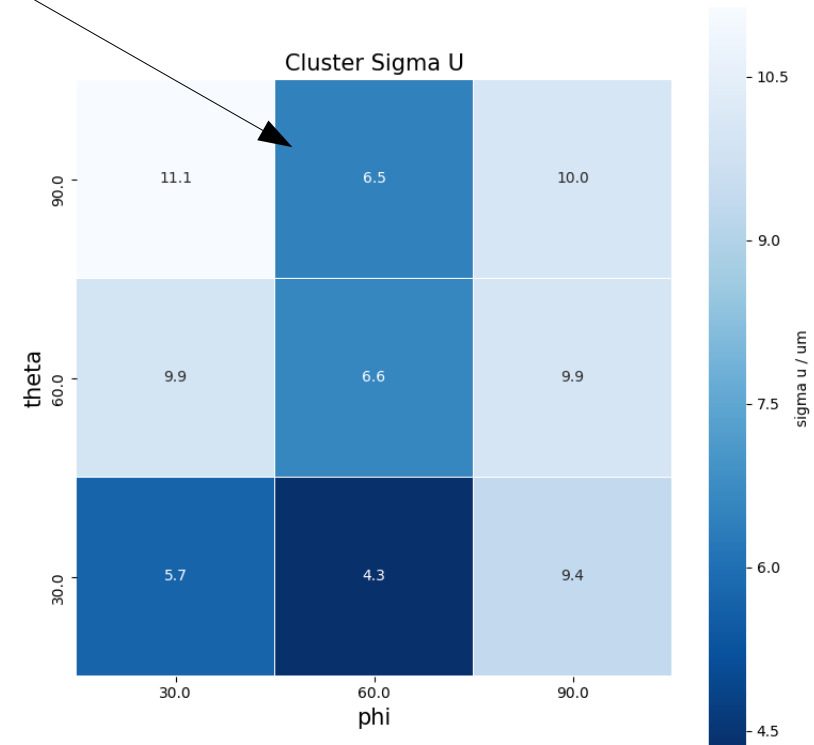
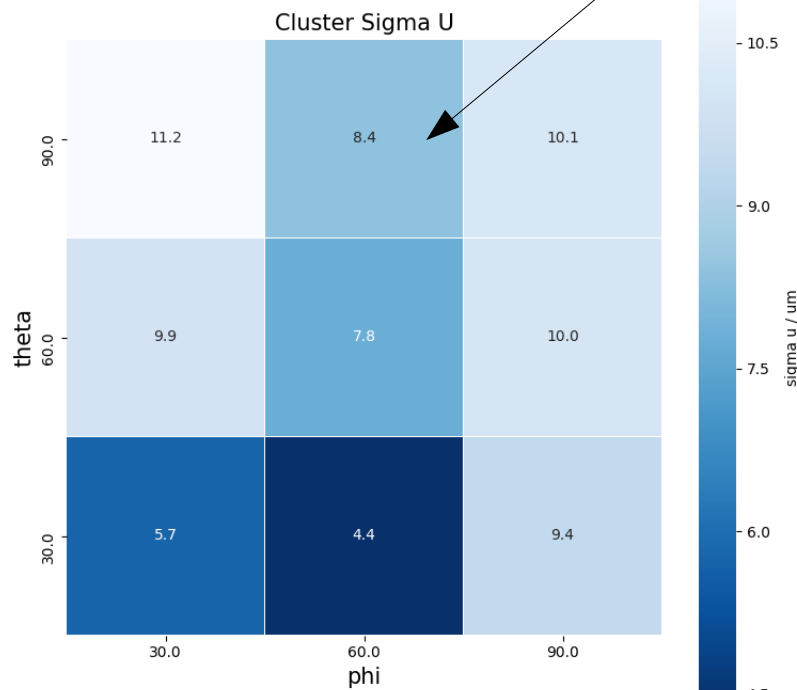
Improvements from K means (using signals directly)

K = 1

Improvements visible

(but in many cases simpl clustering is unreliable ...)

K = 5



Summary & Conclusion

- Presented new approach for hit reconstruction in pixel (strip) detectors

- estimates full 2x2 covariance matrix
- training on real data and simulation possible
- no 'heuristics' needed; instead method is data driven

- Some aspects still need a bit of work

- shape clustering directly with K means is not ideal way
- pre-processing needed: normalize signals before clustering
- different clustering methods other than K means (???)

- Full blown implementation in pxd sw needs to be considered

- Current cluster shape correction by P. Kodys works differently

PXD calibration from beam data

- PXDDigitizer parameters:

ADCFineMode	: False
Gq	: 0.77nA/e
SourceBorder	: 6.3um
DrainBorder	: 6.3um
ClearBorder	: 4.2um
El. Noise	: 150e
ChargeThreshold	: 5ADU

- All of these parameters affect cluster shapes (\rightarrow hit reconstruction)

- Need a data driven way to estimate these parameters from beam data

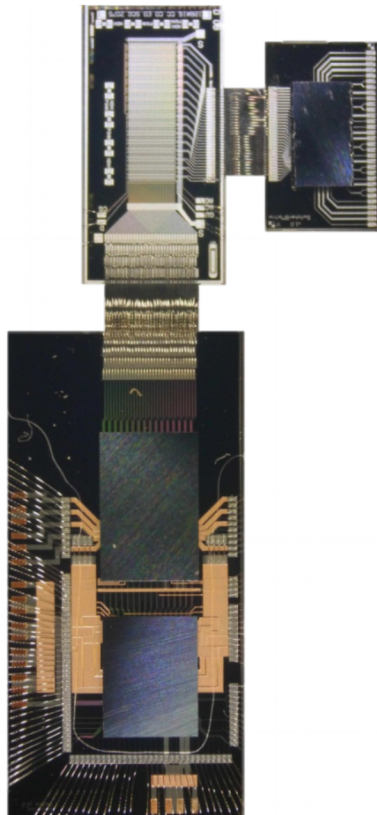
- Tweak parameters q until label probabilities from reference data (from experiment) and simulated data match:

$$M(q) = \sum_i \sum_l |p(l|\beta_i) - p'(l|\beta_i, q)|^2$$

- Initial implementation working and tested with beam data from Nov. 15

Backup

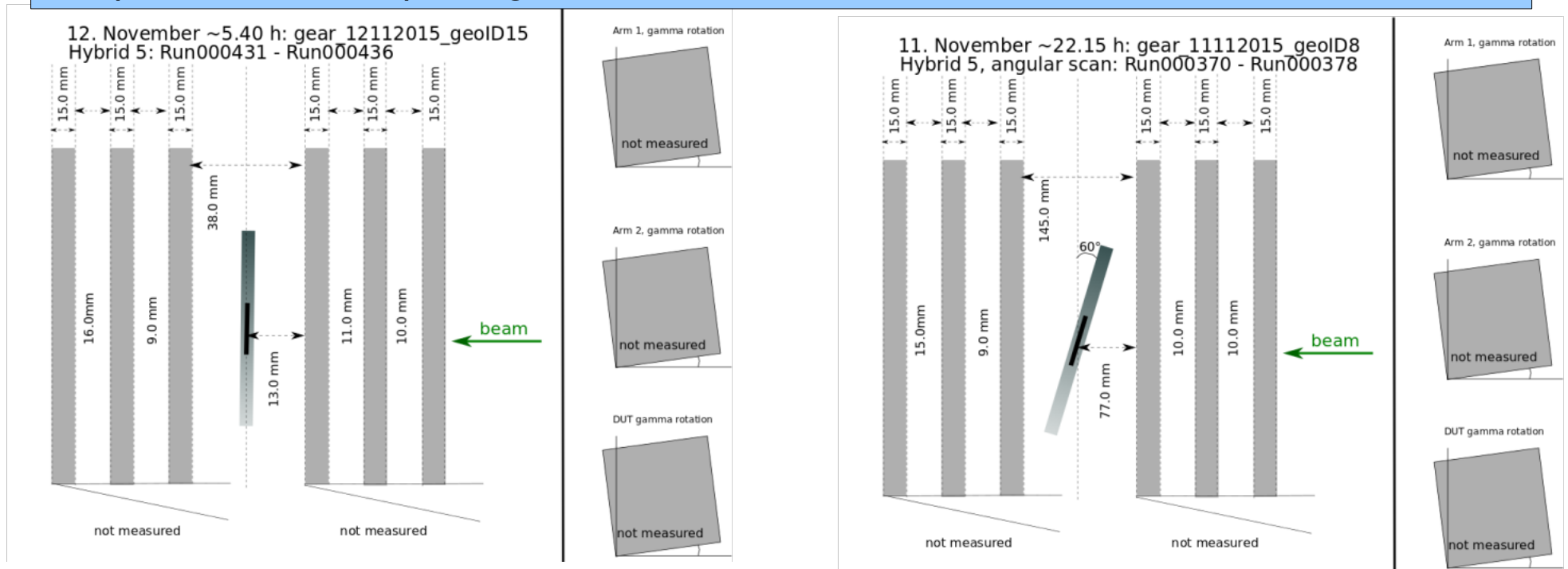
Small PXD9 @ DESY (Nov. 2015)



- First Belle II type matrix in a test beam with EUDET telescope
- Called Hybrid5 (H5)
- PXD9 small Belle II type matrix
 - Pixel pitch: $50 \times 55 \mu\text{m}^2$ (\rightarrow layer 1 PXD)
 - Gate length: $5 \mu\text{m}$ (\rightarrow like PXD)
 - thin gate oxide (\rightarrow like PXD)
- Still a very valuable data set
 - High resolution telescope (in-pixel study)
 - High statistics: Millions of (precise) tracks matched to PXD cluster
 - Angular scan: Tilt of PXD sensor against beam (up to 60 degree)

Telescope geometries

<https://docs.google.com/spreadsheets/d/1Ob5KCRMYu0HW5TROi7iMACItBA29Jw7i2kWqMmwhCbA/edit?pli=1#gid=491395880>



: small distances to keep tel. interpolation error small.

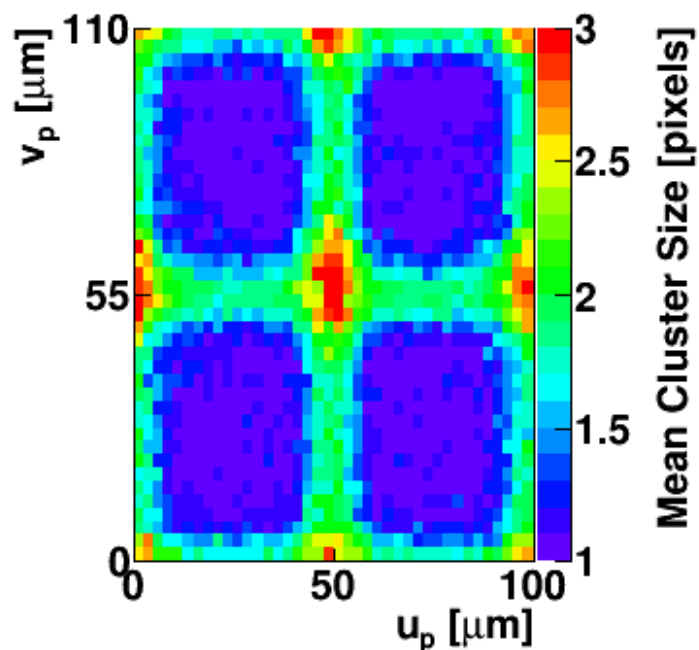
: Hybrid 5 mechanics a bit bulky → larger distances to PXD

: Rotating Hybrid 5 implies moving arms away and increases material.

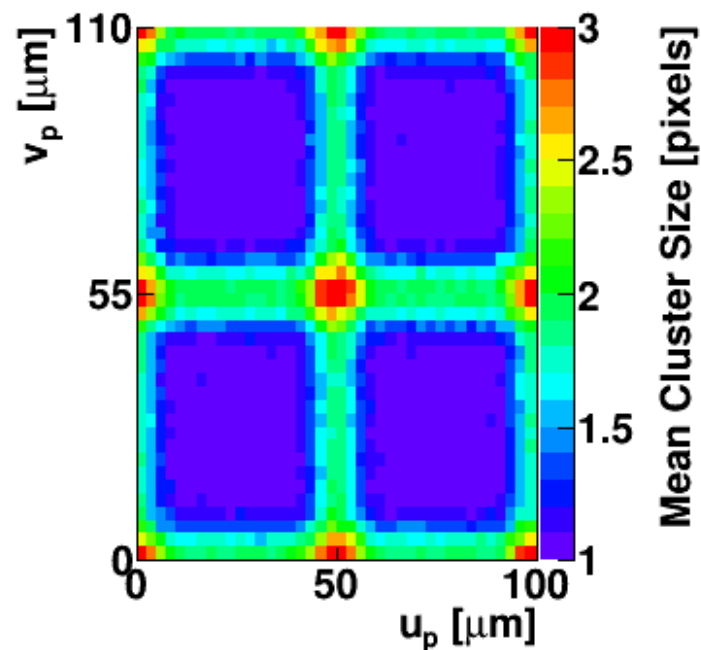
: Different distances for all angles, still interpolation errors @ PXD grows

H5: Inter pixel charge sharing

Small PXD9 in test beam



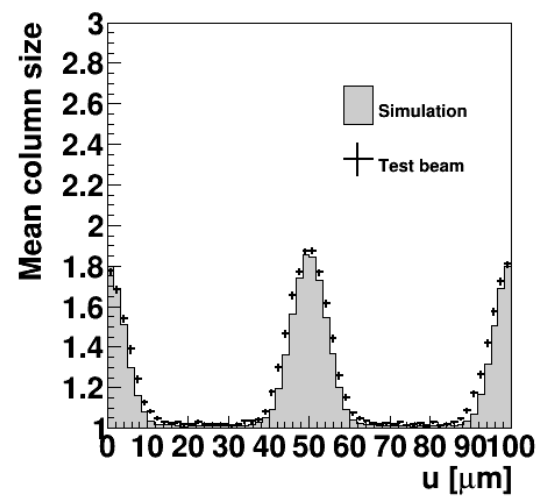
“Tuned” PXD9 Digitizer



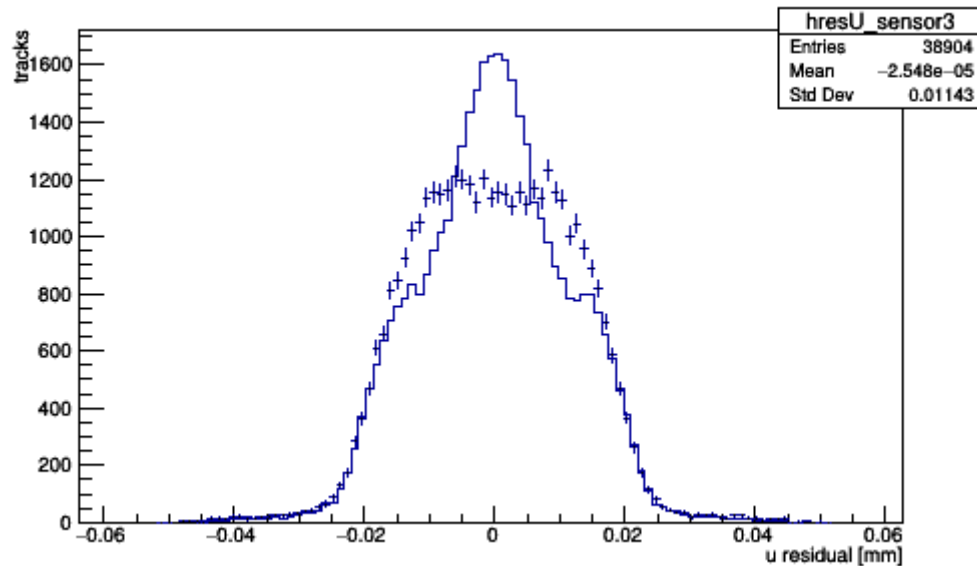
Summary of “tuned” digitizer parameters PXD9 50x55:

- Charge sharing region between rows: $\sim 12\mu\text{m}$
- Charge sharing region between columns: $\sim 12\mu\text{m}$

Expected resolution for two row cluster $\sim 3.5\mu\text{m}$



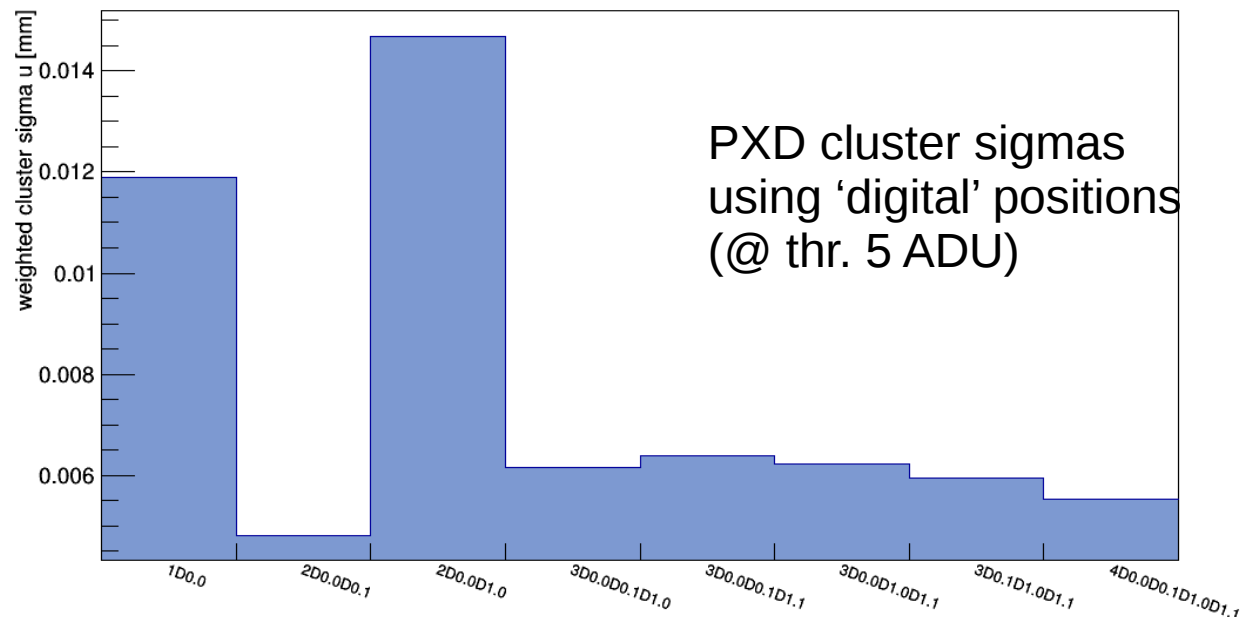
H5: Residuals at perp. incidence



- compare u residuals using different position reconstructions (PXD)
 → center-of-gravity (crosses)
 → digital (solid line)

- 'Digital': using same method as for M26 sensors (hit thr. 5ADU)

- Cog performs worse than digital
 → charge sharing restricted to ~10um region between pixels
 → true for close to perp. incidence



- Cluster sigmas obtained after subtracting tel. Interpolation error

- double column cluster have sigma ~5um.

- single pixel cluster ~12um