

# MSW effect, solar neutrinos and searches for new physics

**A. Yu. Smirnov**

*Max-Planck Institute  
für Kernphysik,  
Heidelberg, Germany*

*5<sup>th</sup> Int. Solar neutrino conference  
Dresden, June 13, 2018*



# Content

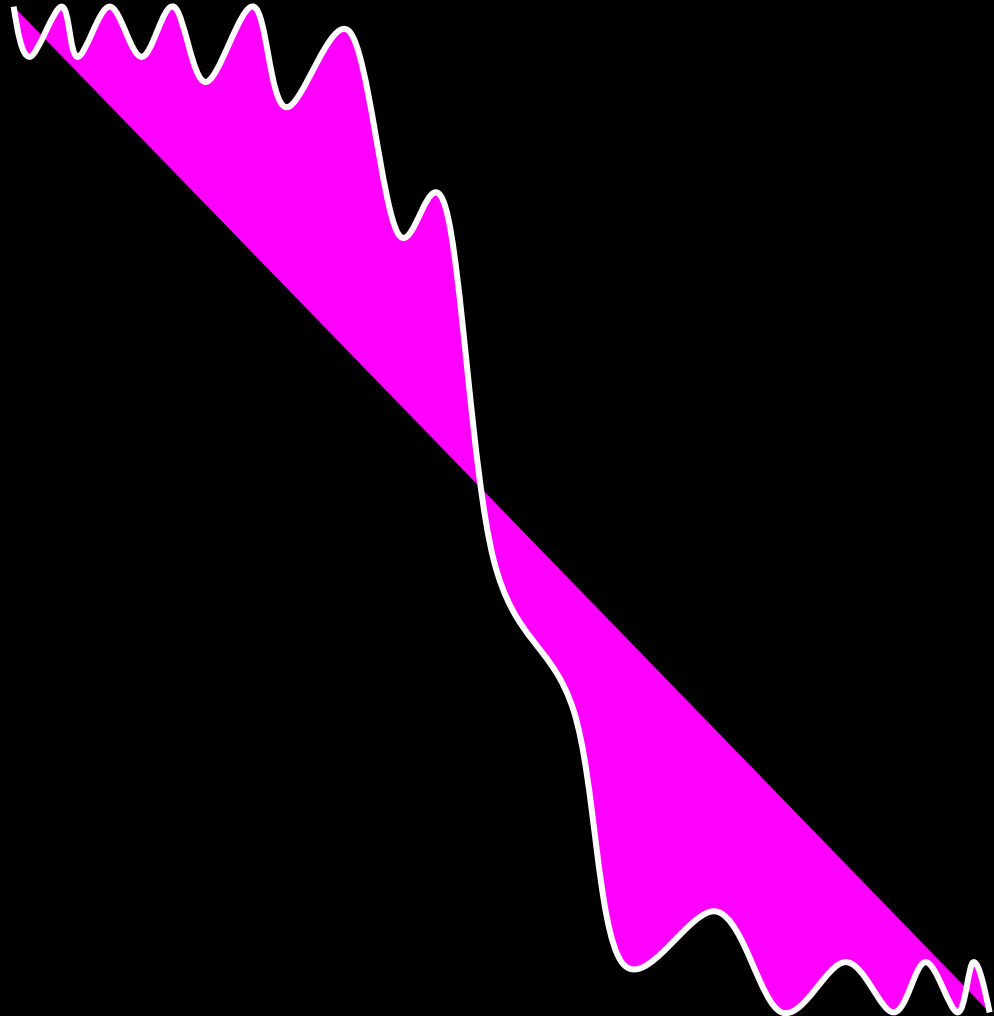
The MSW effect and the LMA solution

Oscillations in the Earth

Searches for new physics

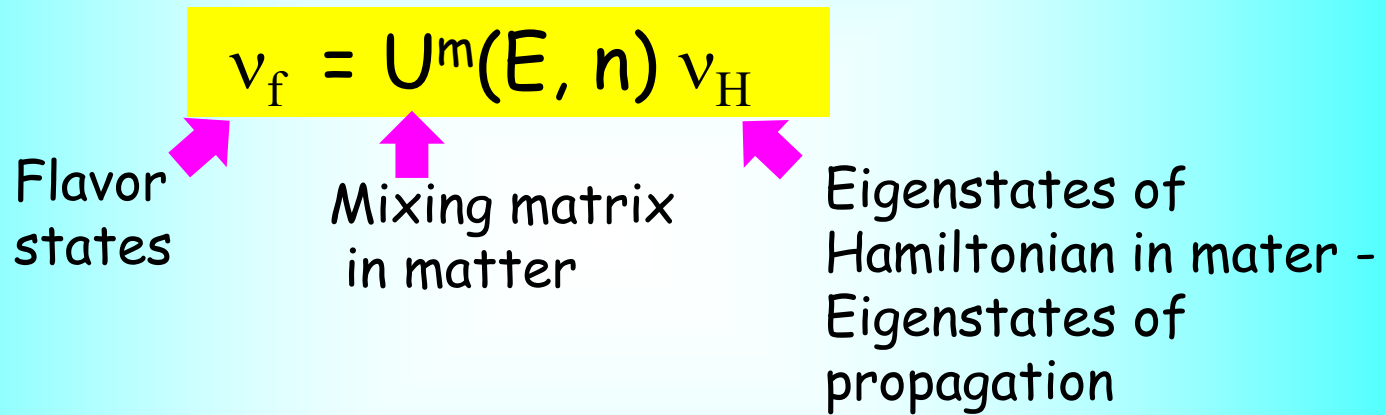
*A. Y. Smirnov, Solar neutrinos and matter effects.  
p. 149 - 209. In "The state of the Art of Neutrino  
physics". World Scientific, 2018*

**The MSW effect  
the LMA solution**



# The MSW effects

- the flavor transformations driven by the energy and density dependence of the mixing in matter



Mixing angles  $\theta^m(E, n)$  become dynamical variables  
in contrast, to mixing in vacuum

Dependence of  $\sin^2 2\theta^m$  on  $E$  and  $n$  has resonance character

# Mixing in matter

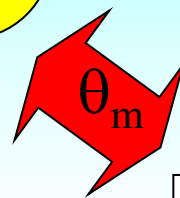
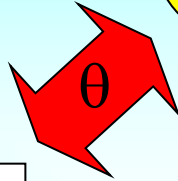
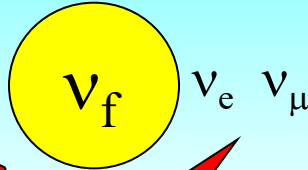
*in vacuum:*

$$H_0$$

$\nu_1 \nu_2$

$$V_{\text{mass}}$$

Eigenstates of  $H_0$  in vacuum



*in matter:*

$$H(n_e, E) = H_0 + V$$

$$V_H$$

$\nu_{1m} \nu_{2m}$

Eigenstates of  $H$  in medium

$\theta_m$  depends on  $n_e, E$

Mixing angle determines flavor content of eigenstates of propagation

$\nu_{2m}$



High density  
mixing suppressed

Resonance:  $I_\nu = I_0 \cos^2 2\theta$   
- maximal mixing

Low density  
Vacuum mixing

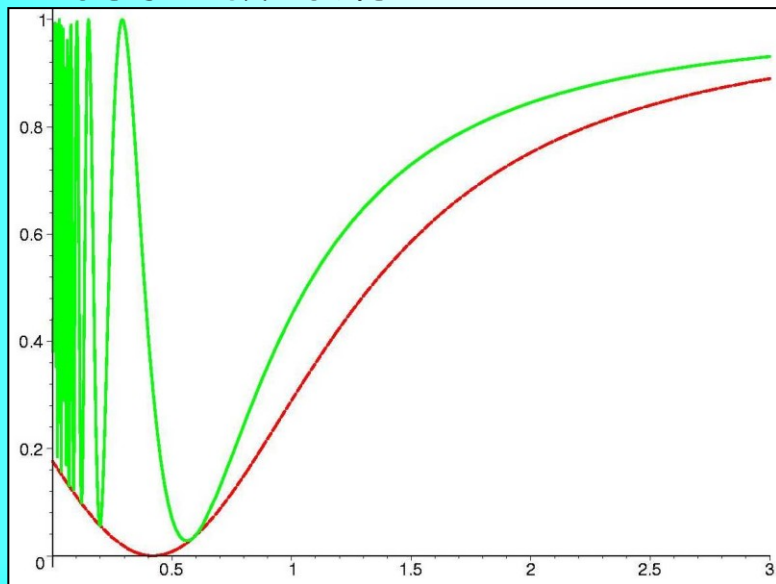
# Two realizations

Constant density

Different  $E$

Resonance  
enhancement of  
oscillations

$$\frac{F(E)}{F_0(E)}$$

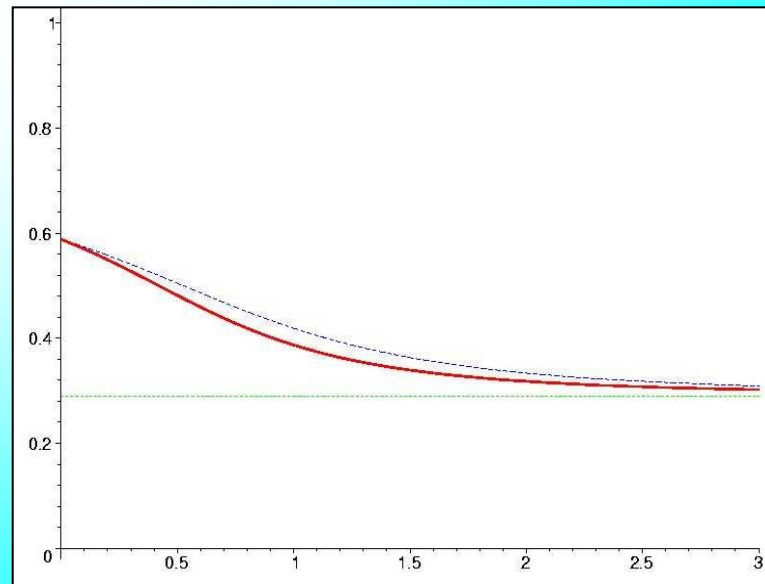


$E/E_R$

Slowly changing density

Fixed  $E$

Adiabatic flavor  
conversion

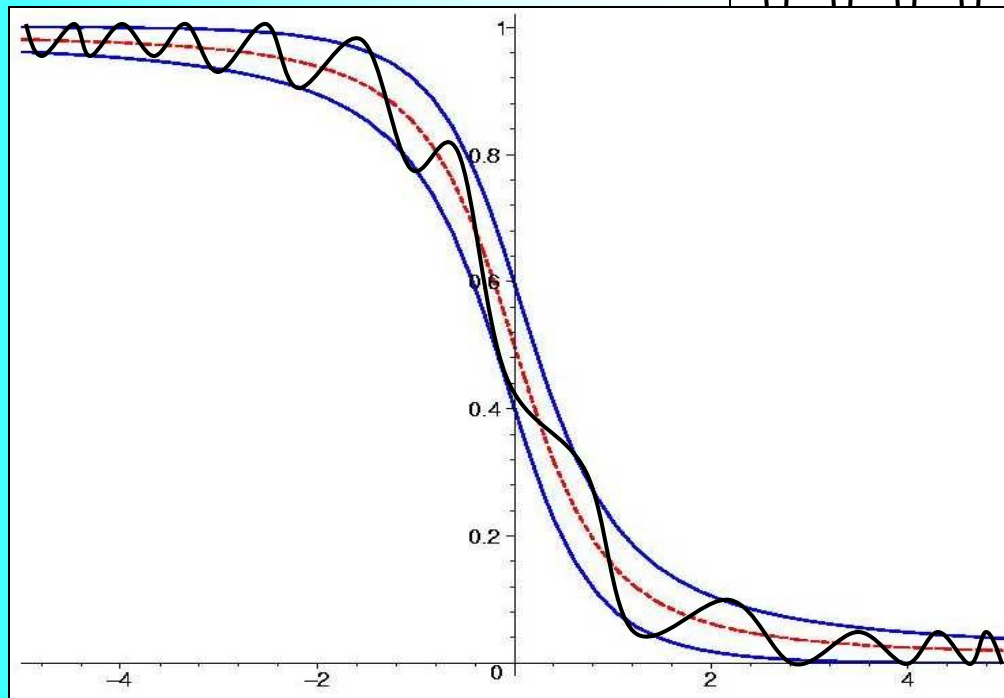


$E/E_R$

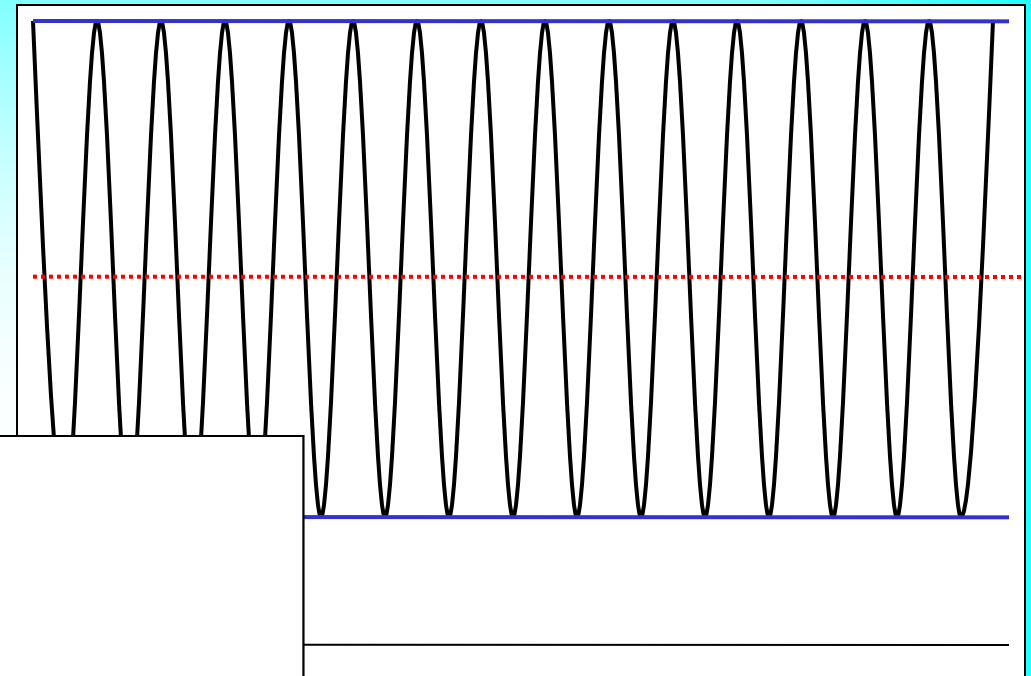
# Spatial picture

Adiabatic conversion

survival probability



Oscillations



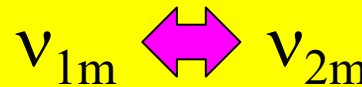
distance

distance

# Adiabaticity

For varying density -another degree of freedom:  
eigenstates composition of a given propagating state

It can change which  
is related to transitions



Efficiency of the transitions is  
determined by the degree of adiabaticity.

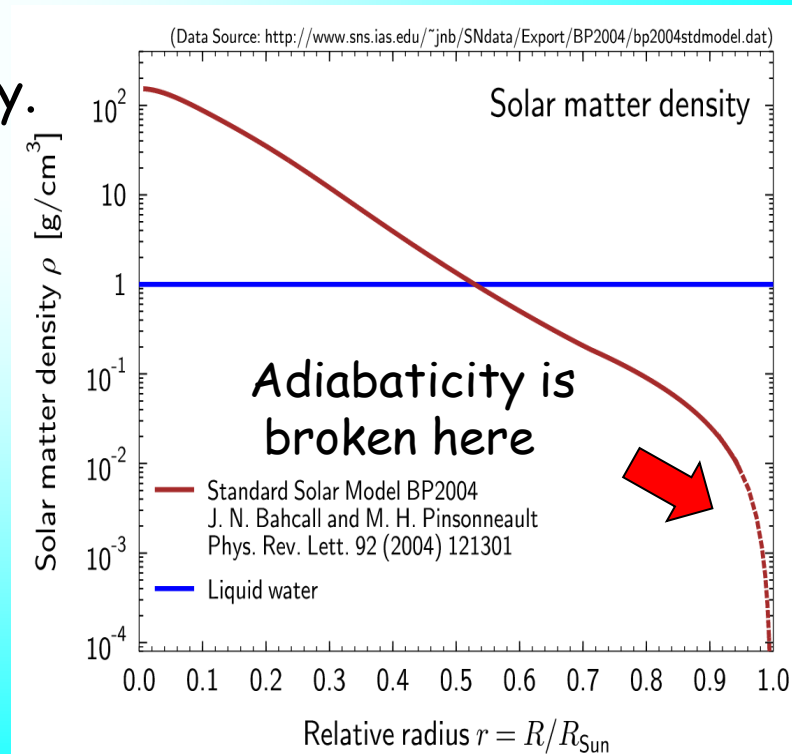
If density changes slowly enough,  
so that

$$\left| \frac{d\theta_m}{dt} \right| \ll H_{2m} - H_{1m}$$

Difference of  
eigenvalues

"adiabaticity condition"

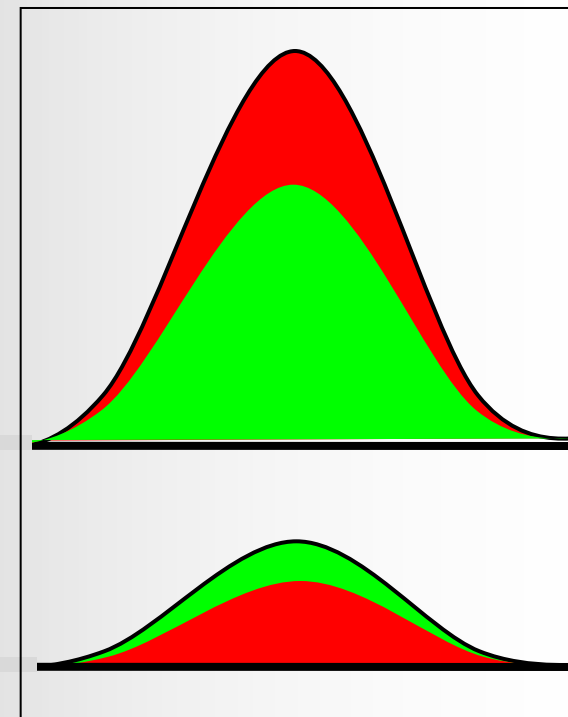
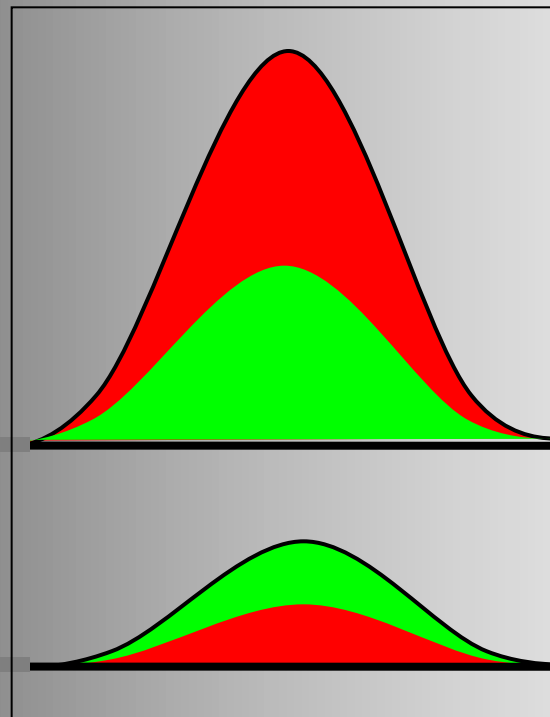
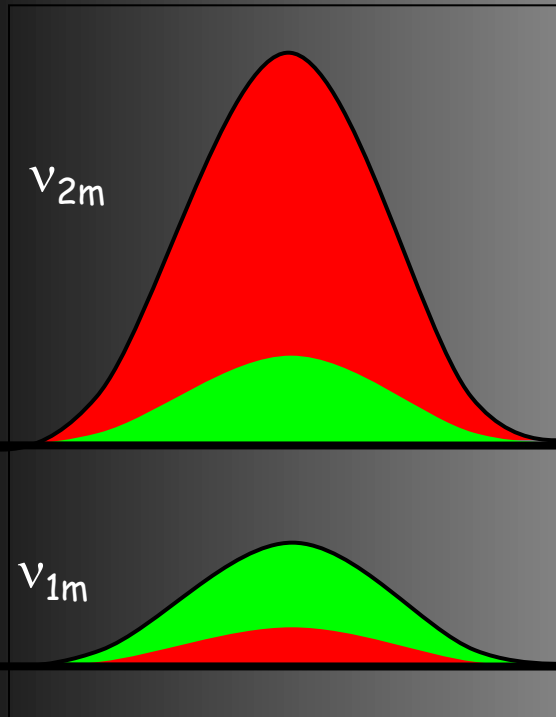
The transitions between eigenstates  
can be neglected





# Adiabatic conversion

in general if density changes slowly (adiabatically)



resonance

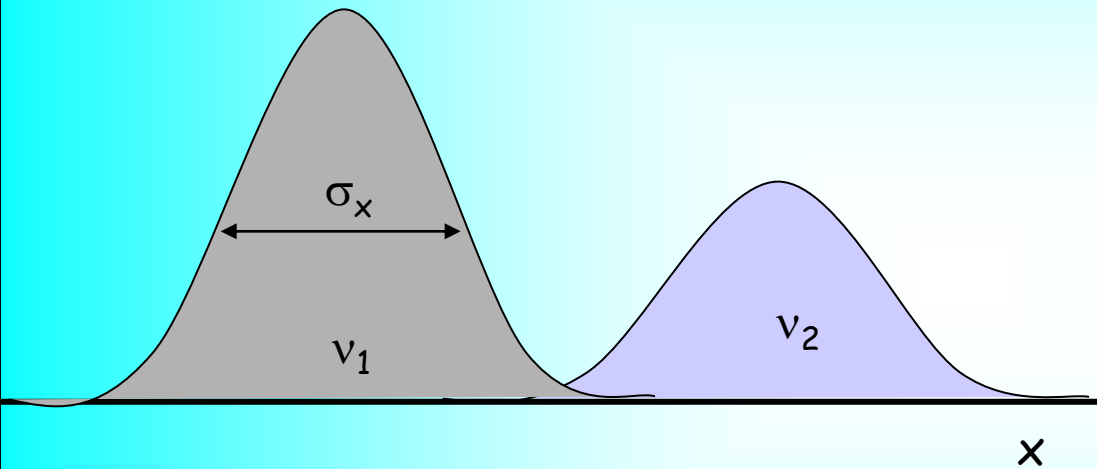
x

if initial density is not very big:  
mixing is not suppressed  
→ both eigenstates are produced → interference  
→ oscillations

- the amplitudes of the wave packets do not change
- flavors of the eigenstates being determined by mixing angle follow the density change

# Coherence in propagation

In the configuration space: separation of the wave packets due to difference of group velocities



$$\Delta v_{gr} = \Delta m^2 / 2E^2$$

$$\text{separation: } \Delta v_{gr} L = \Delta m^2 L / 2E^2$$

no overlap:  $\Delta v_{gr} L > \sigma_x$   
coherence length:

$$L_{coh} = \sigma_x E^2 / \Delta m^2$$

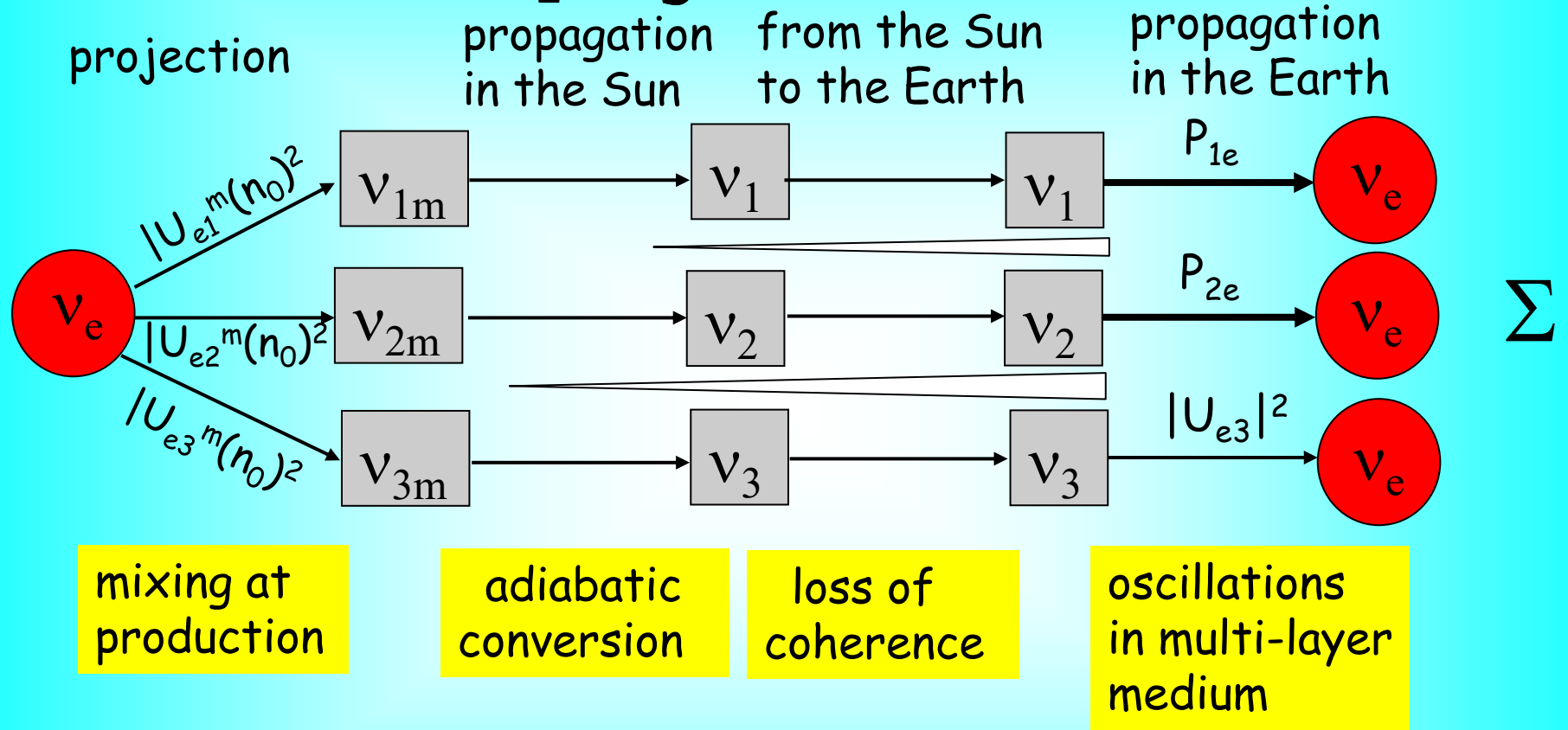
In the energy space: averaging over oscillations

Oscillatory period in the energy space  $E^T = 4\pi E^2 / (\Delta m^2 L)$

Averaging (loss of coherence) if energy resolution  $\sigma_E$  is  $E^T < \sigma_E$

→ leads to the same coherence length

# LMA-MSW physics



$$P_{ee} = \sum_i |U_{ei}^m(n_0)|^2 P_{ie}$$

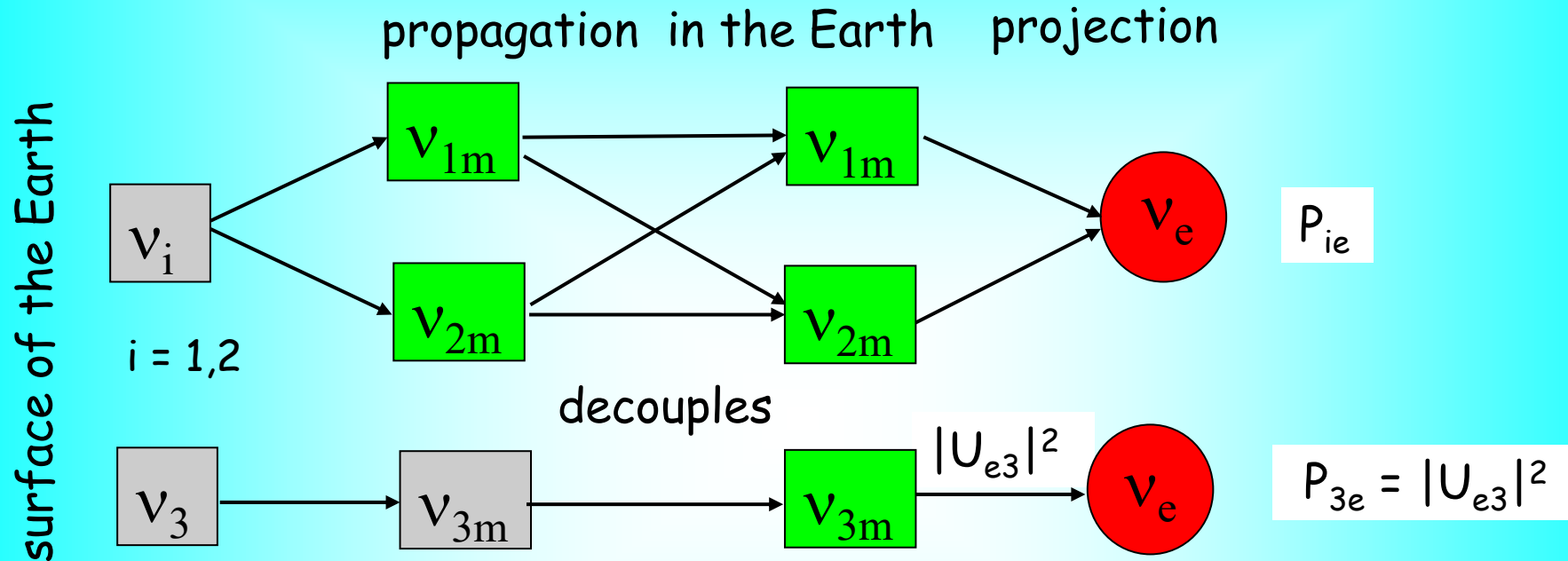
during a day

$$P_{ie} = |U_{ei}|^2$$

In the Sun: scale invariance:  
no dependence of  $P_{ee}$  on  
distance and phase -  
oscillations irrelevant

# Oscillations in the Earth

Distance and phase matter



mixing of mass states in matter

oscillations in multi-layer medium

flavor mixing in matter

$$P_i = |U_{ei}^m(n_0)|^2$$

mixing at production in the Sun

Adiabaticity violation at the border between layers

# Properties of LMA: scaling

$$P_{ee} = P_{ee}(\theta_m^0) \quad (\text{day}) \quad \theta_m^0 = \theta_m^0 \left( \theta, \frac{2VE}{\Delta m_{21}^2} \right)$$

Invariance:  $\Delta m_{21}^2 \rightarrow a \Delta m_{21}^2, \quad V \rightarrow a V$

$P_{ee}$  is scale invariant - no dependence on distance, scales of density profile

$a = -1$  flip of the mass hierarchy implies the change of sign of  $V$

(NSI)

Oscillations in the Earth break scaling: phase

$$\phi_E = \frac{\Delta m_{21}^2 L}{2E} f \left( \frac{2VE}{\Delta m_{21}^2} \right) \quad L - \text{the length of the trajectory in the Earth}$$

If oscillations in the Earth are averaged (valid for the present accuracy)  
- the scaling is restored

Also invariance  $\Delta m_{ij}^2 \rightarrow b \Delta m_{ij}^2, \quad E \rightarrow b E$

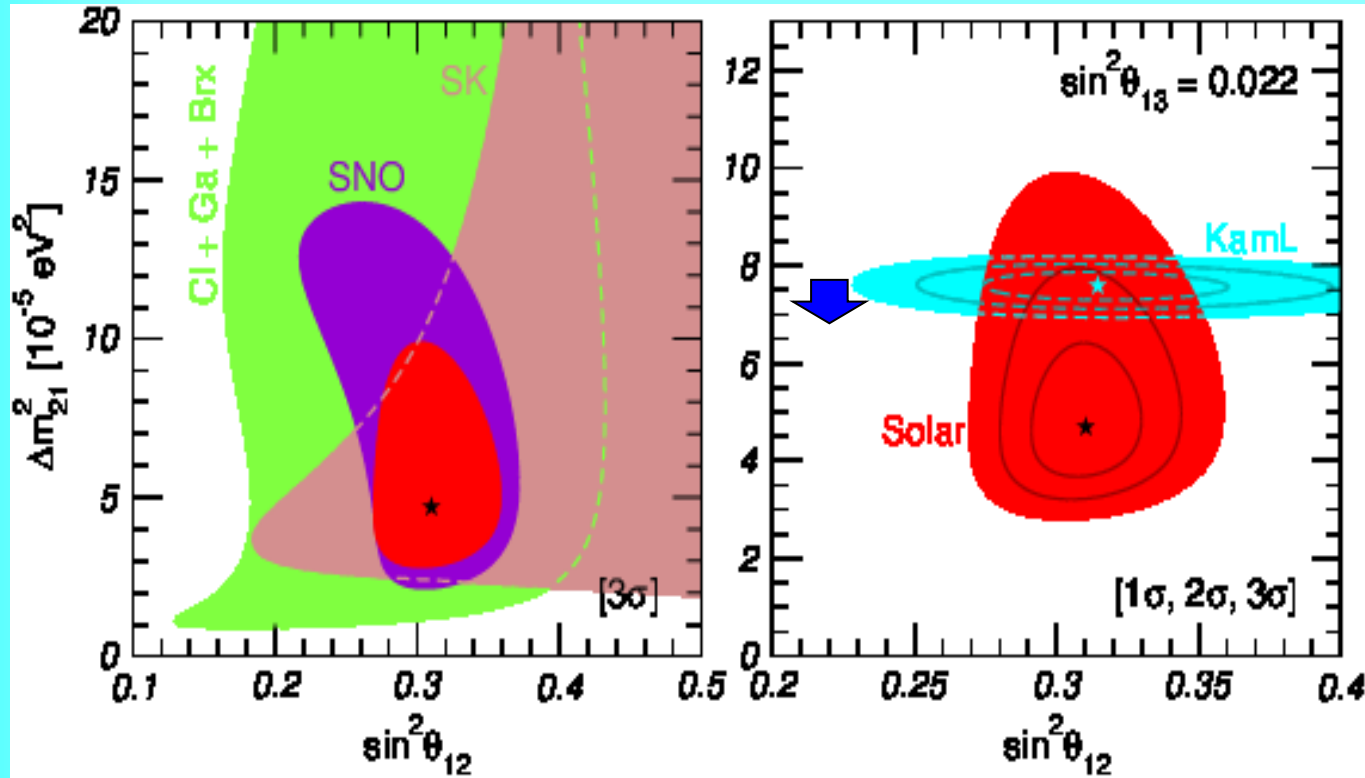
# Status of LMA-MSW

Good agreement between



# Neutrino parameters

M. Maltoni, A.Y.S.  
1507.05287 [hep-ph]



Red: all solar neutrino data

$$\Delta m^2_{21}(\text{KL}) > \Delta m^2_{21}(\text{solar}) \quad 2\sigma$$

KamLAND data reanalyzed in view of reactor anomaly (no front detector) bump at 4 -6 MeV

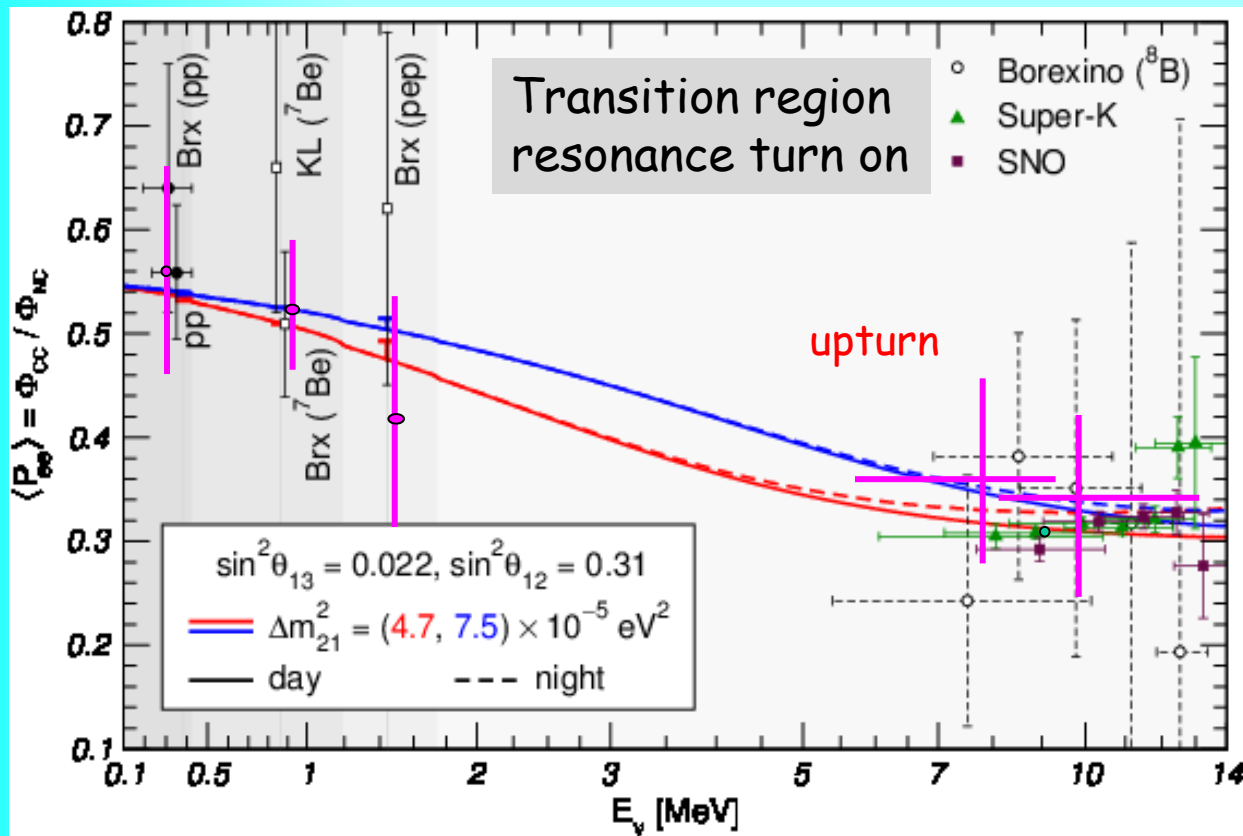


$\Delta m^2_{21}$  decreases by  $0.15 \cdot 10^{-5} \text{ eV}^2$

# Spectroscopy

M. Maltoni, A.Y.S. 1507.05287 [hep-ph]

Borexino Collaboration  
(Agostini, M. et al.)  
arXiv:1707.09279 [hep-ex]



LMA MSW prediction  
for two different  
values of  $\Delta m_{21}^2$

— best fit value  
from solar data  
— best global fit

Reconstructed  
exp. points for  
SK, SNO and  
BOREXINO  
at high energies

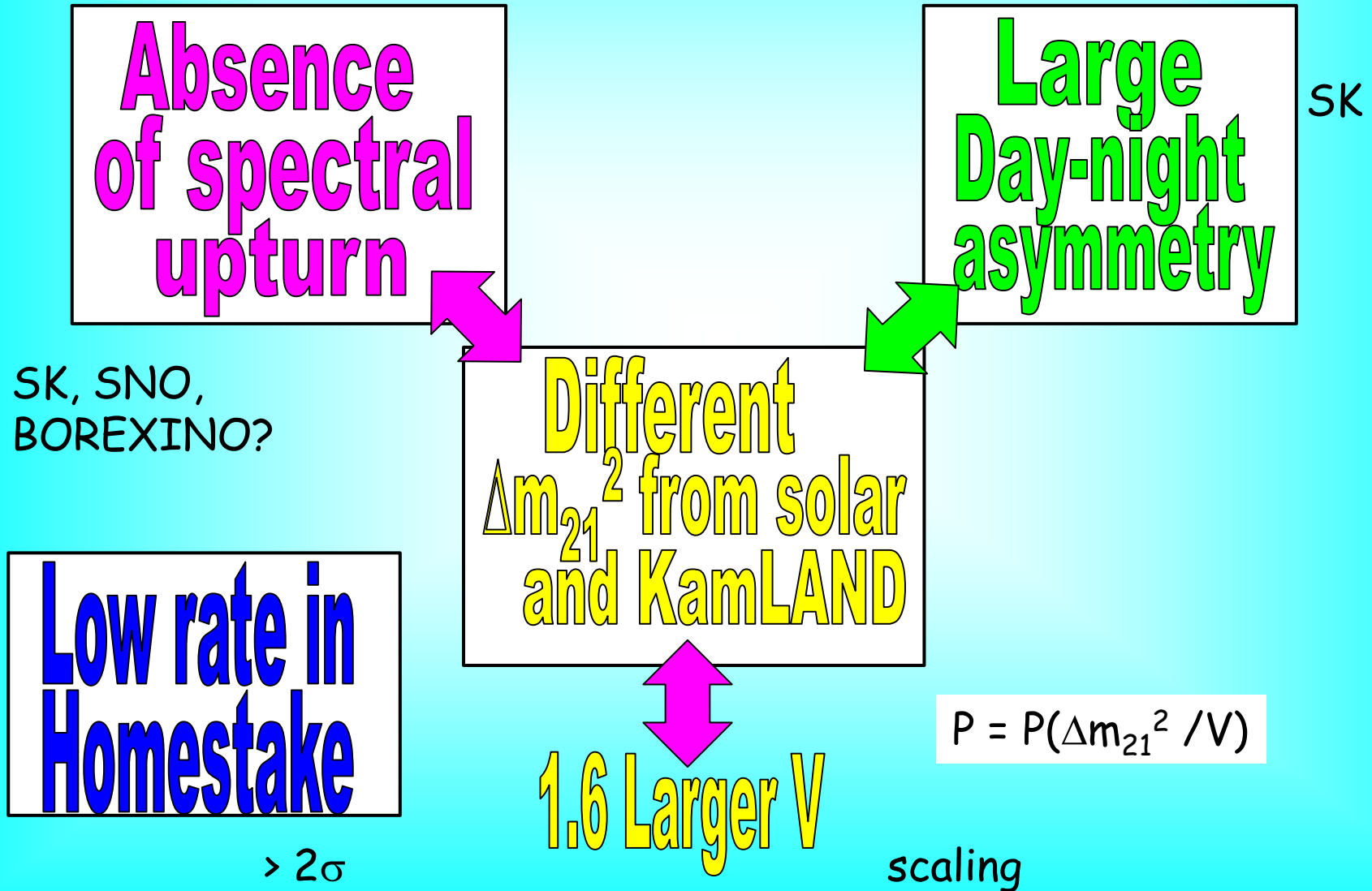
BOREXINO

pep: (phase I + phase II - ideal agreement)  
B: 2 times smaller errors, upturn...

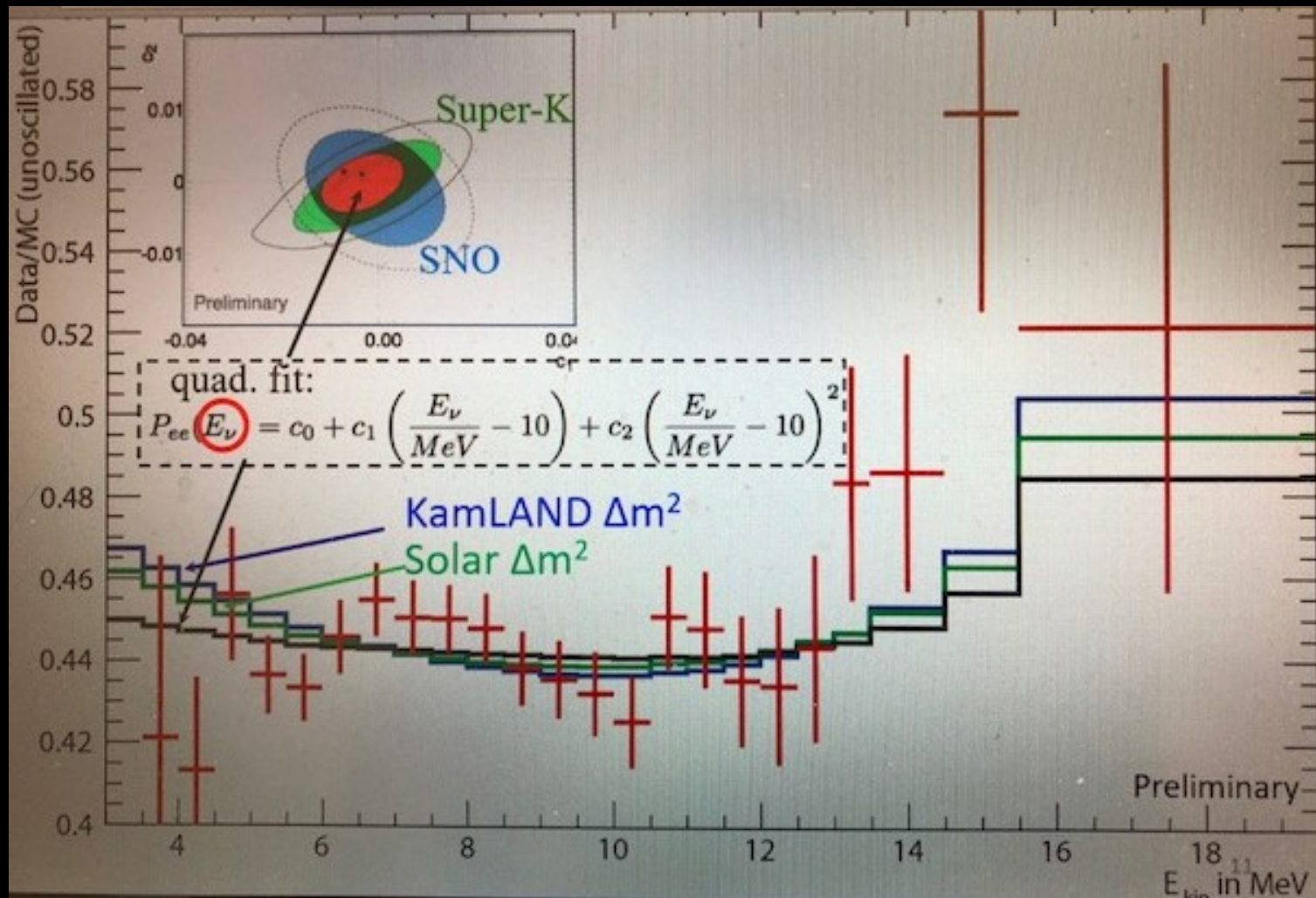
Precision  
measurements  
in 5 - 10 MeV



# Tensions



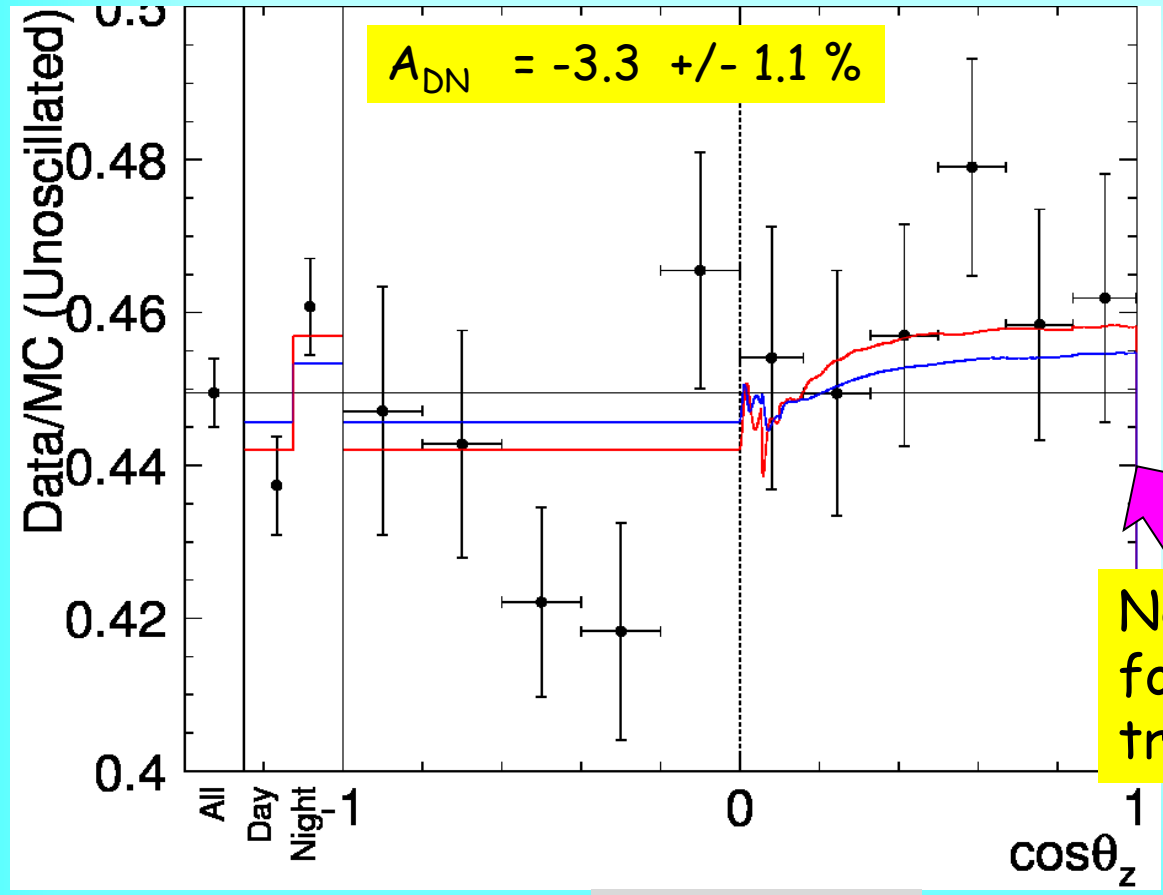
# Spectrum of all SK data



# SK: Earth matter effect

SK Collaboration (Abe, K. et al.)  
arXiv:1606.07538 [hep-ex]

SK-IV solar zenith angle dependence



Strong fluctuations

No enhancement for core crossing trajectories

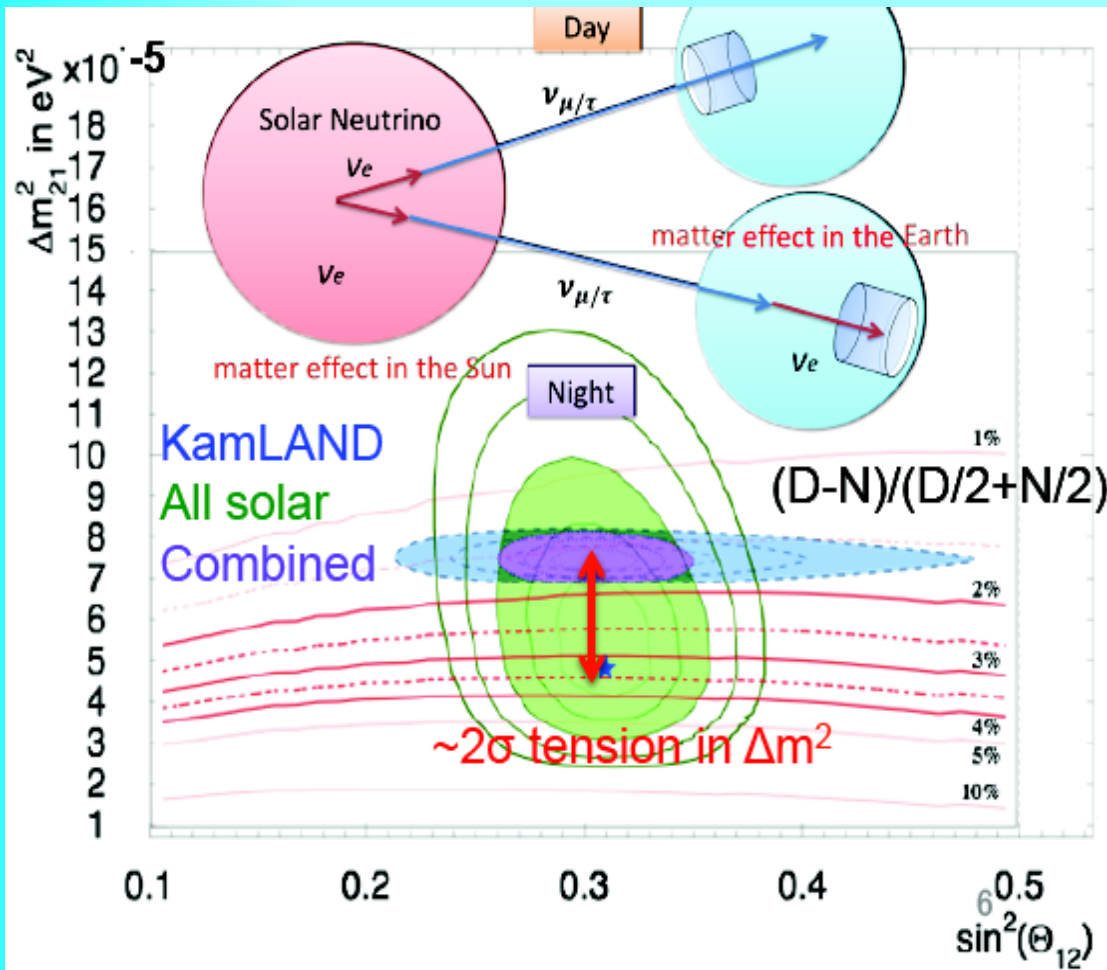
Generic features:

Oscillatory pattern

dip

Explained by the Attenuation effect

# SK analysis



T. Yano. ICRC 2017

$$A_{\text{DN}} = -3.3 \pm 1.1 \%$$

Expected for global mass difference

$$A_{\text{DN}} = -1.8$$

M. Smy: improve Sensitivity to D-N  
 Lower bkgr- increase F.V for high energy Solar neutrinos

# How things may develop

Solar value  $\Delta m_{21}^2 = 7 \times 10^{-5} \text{ eV}^2$  (before 2010) was reduced after SK data on D-N asymmetry and measurements of spectrum

- JUNO: precise measurements of  $\Delta m_{21}^2$  with (0.7 - 1)% accuracy

$$\sigma(\Delta m_{21}^2) = (0.05 - 0.07) \times 10^{-5} \text{ eV}^2$$

Difference of solar and KamLAND

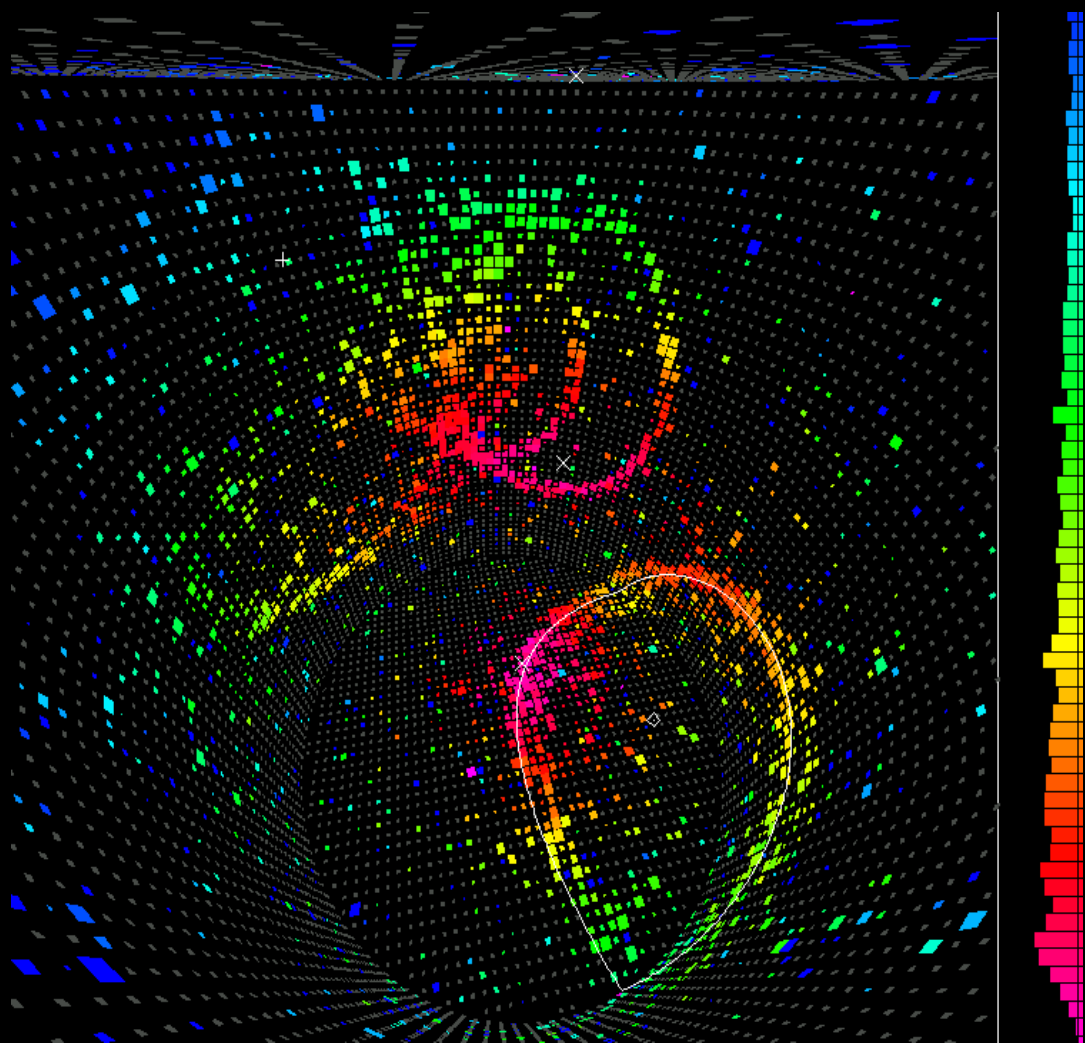
$$\Delta(\Delta m_{21}^2) = 2.5 \times 10^{-5} \text{ eV}^2$$

If

$$\Delta m_{21}^2(\text{JUNO}) = \begin{cases} \Delta m_{21}^2(\text{solar}) & \text{problem solved} \\ \Delta m_{21}^2(\text{KL}) & \text{problem sharpens} \end{cases}$$

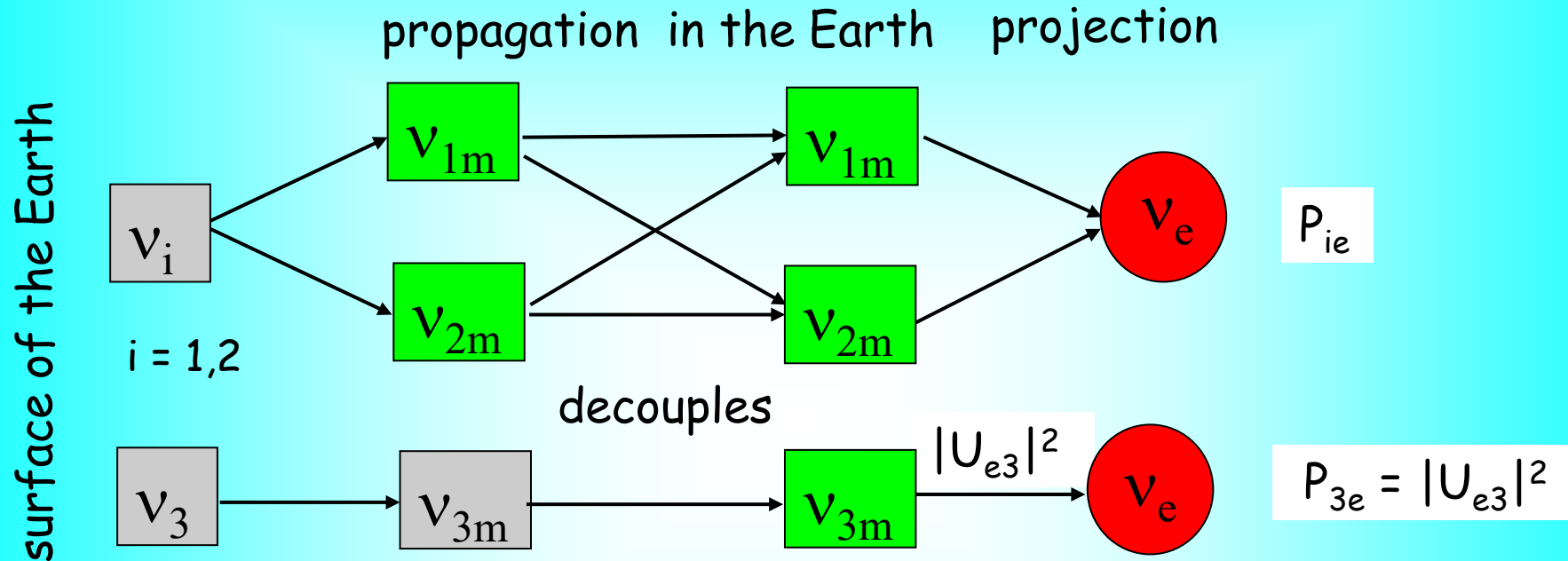
- Stronger bounds on NSI from COHERENT and other experiments
- SNO+ spectrum measurements above 3 MeV (testing upturn)
- Hyper-Kamiokande Day-night asymmetry spectrum
- DUNE

# Oscillations In the Earth



# Oscillations in the Earth

Distance and phase matter



mixing of mass states in matter

oscillations in multi-layer medium

flavor mixing in matter

$\Sigma$

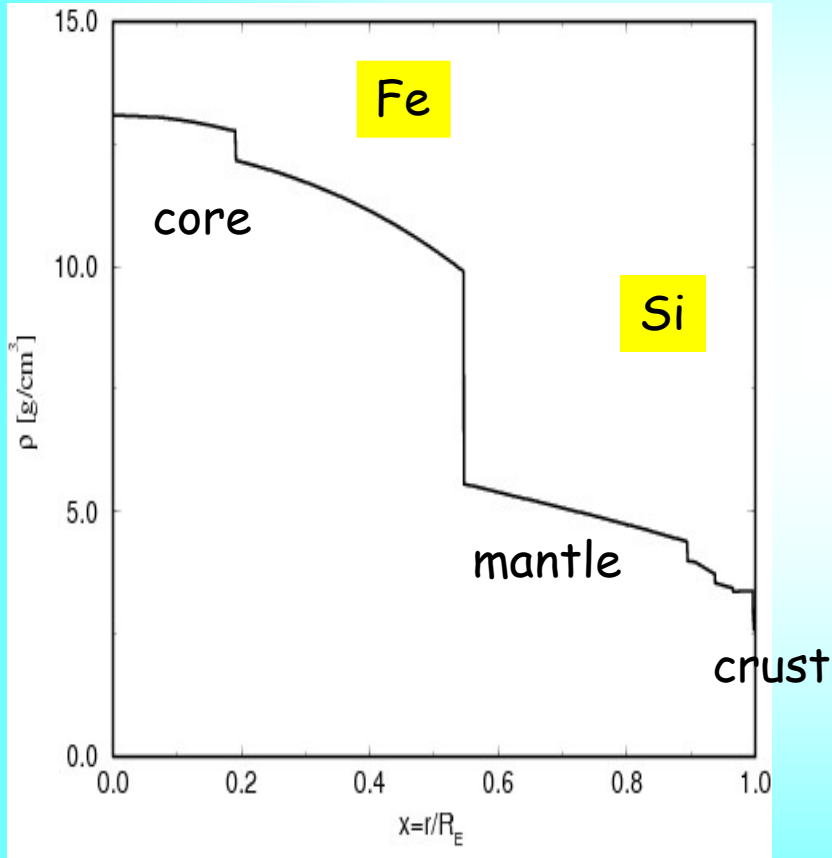
$$P_i = |U_{ei}^m(n_0)|^2$$

mixing at production in the Sun

Adiabaticity violation at the border between layers

# The earth density profile

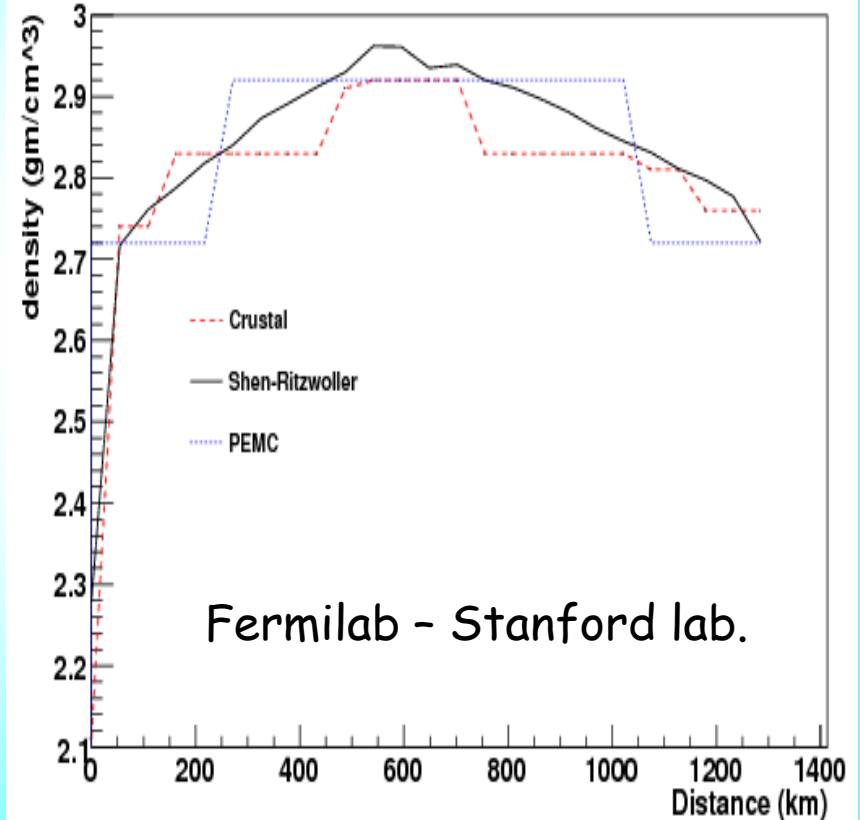
PREM model



A.M. Dziewonski  
D.L. Anderson 1981

$R_E = 6371$  km

density vs distance for 3 density maps



Byron Roe, *Phys.Rev. D95*  
(2017) no.11, 113004  
[arXiv:1707.02322 \[hep-ex\]](https://arxiv.org/abs/1707.02322)



# Oscillations in the Earth

Incoherent fluxes of mass state arrive at the Earth.  
They split into eigenstates in matter and oscillate.

Mixing of the mass states in matter

$$U^{\text{mass}} = U_{\text{PMNS}} + U^{\text{m}}$$

For 2ν case

$$\sin 2\theta' = \frac{c_{13}^2 \varepsilon \sin 2\theta_{12}}{\sqrt{(\cos 2\theta_{12} - c_{13}^2 \varepsilon)^2 + \sin^2 2\theta_{12}}} = c_{13}^2 \varepsilon \sin 2\theta_{12}^{\text{m}}$$

$$\varepsilon = \frac{2V E}{\Delta m_{21}^2} = 0.03 E_{10} \rho_{2.6}$$

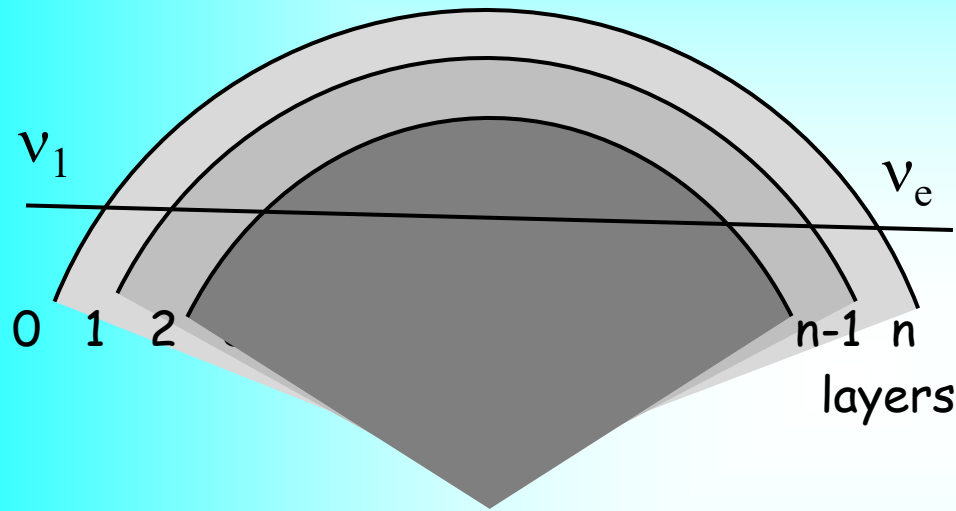
MeV g/cm<sup>3</sup>

determines smallness of effects  
Low density regime

# Regeneration

*A. Ioannisian, A. Smirnov, D. Wyler,  
Phys.Rev. D96 (2017) no.3, 036005  
arXiv:1702.06097 [hep-ph]*

Layers with slowly changing  
density and density jump



Evolution matrix (matrix  
of transition amplitudes)

$$S = U_n^m \prod_k D_k U_{k,k-1}$$

flavor mixing matrix,  
at the detector

$D_k$  - describe the adiabatic evolution within layers

$$D_k = \text{diag} (e^{-0.5i\phi_k}, e^{0.5i\phi_k})$$

$$\phi_k = \int dx (H_{2m} - H_{1m})$$

adiabatic phase  
acquired in k layer

$U_{k,k-1}$  - describes change of basis of eigenstates between k and k-1 layers

$$U_{k,k-1} = U(-\Delta\theta_{k-1})$$

$\Delta\theta_{k-1}$  - change of the mixing angle in matter after k-1 layer

# Oscillation waves

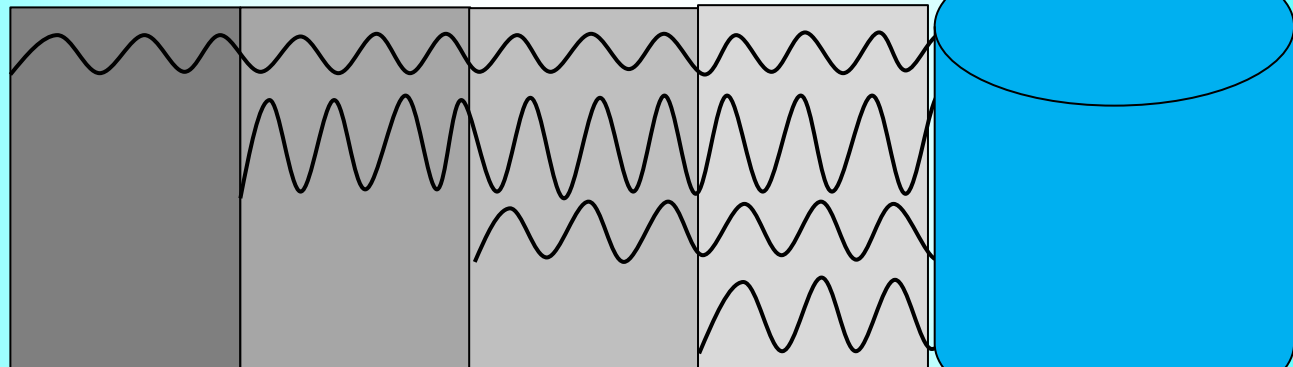
The lowest order plus waves emitted from different jumps

$$P_{1e} \sim c_{13}^2 \cos^2 \theta_n^f + c_{13}^2 \sin 2\theta_n^f \sum_{j=0 \dots n-1} \sin \Delta \theta_j \cos \phi_j^{\text{after}}$$

initial wave  
without density  
jumps

sum over  
density  
jumps

total phase  
acquired after  
jump j



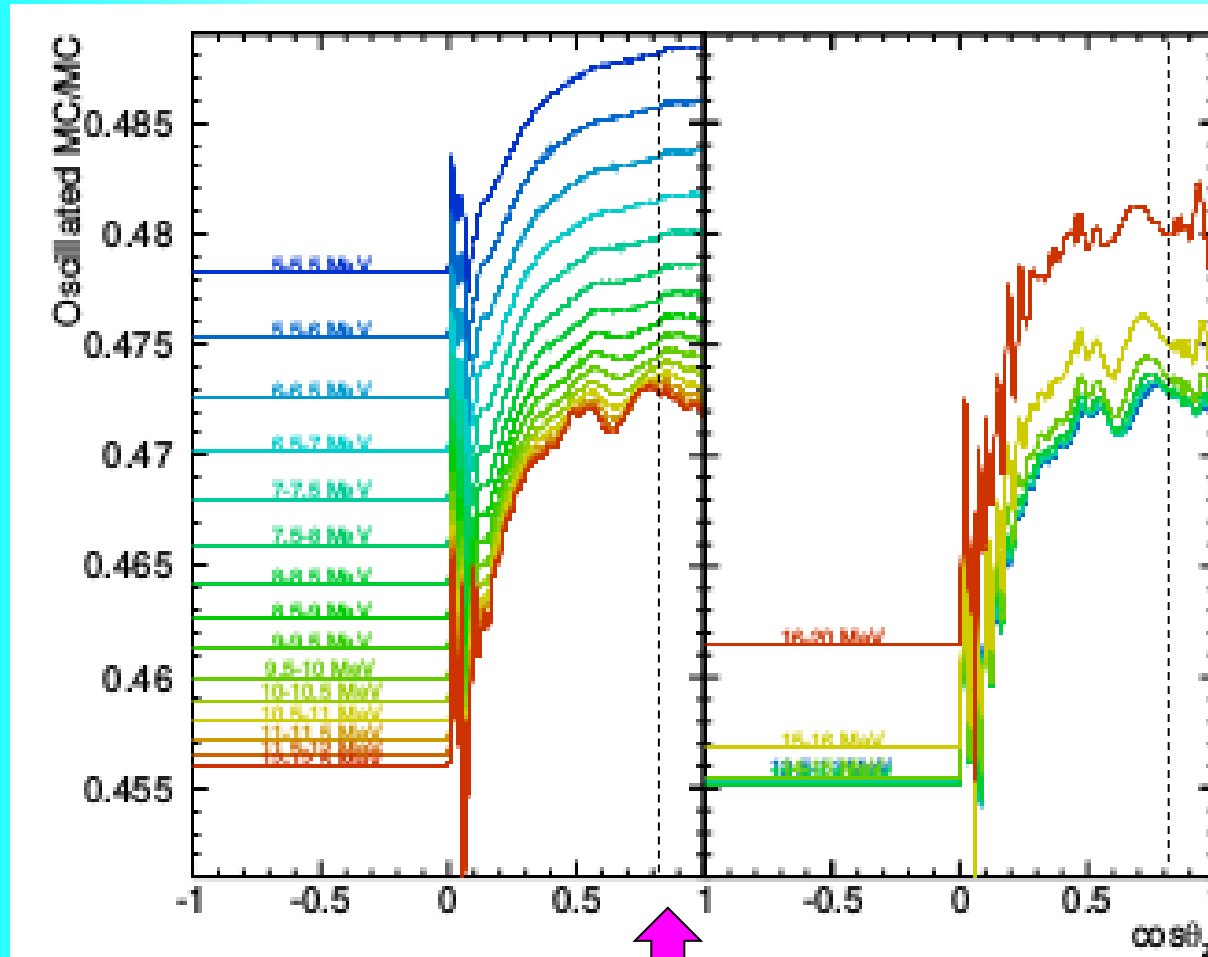
$$\sim \Delta \theta_j$$

the amplitude of wave:  $c_{13}^2 \sin 2\theta_n^f \sin \Delta \theta_j$

superposition  
of waves

$$\sin \Delta \theta_j - c_{13}^2 \sin 2\theta_{12} \Delta V_j \frac{E}{\Delta m_{21}^2}$$

# Attenuation effect



Core crossing trajectories

*M. Smy*

Predicted solar zenith angle variations of SK the signal  
 $\Delta m^2 = 6.3 \times 10^{-5} \text{ eV}^2$ ,  
 $\tan 2\theta = 0.52$

No enhancement of the effect for core-crossing trajectories

# Attenuation effect

*A. Ioannisian, A. Y. Smirnov,  
Phys.Rev.Lett., 93, 241801 (2004),  
0404060 [hep-ph]*

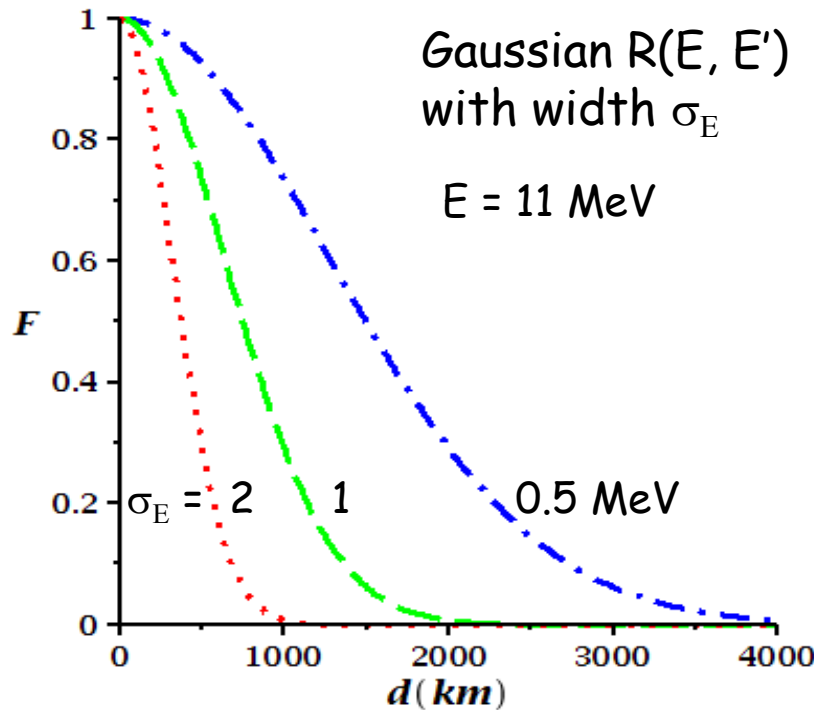
Integration with the energy resolution function  $R(E, E')$ :

$$\langle f_{\text{reg}} \rangle = \int dE' R(E, E') f_{\text{reg}}(E')$$

$$\langle f_{\text{reg}} \rangle = 0.5 \sin^2 2\theta \int_{x_0}^{x_f} dx F(x_f - x) V(x) \sin \Phi^m(x \rightarrow x_f)$$

$F(d)$

Attenuation factor



The sensitivity to remote structures  $d > \lambda_{\text{att}}$  is suppressed

Attenuation length

$$\lambda_{\text{att}} = l_v \frac{E}{\pi \sigma_E}$$

$l_v$  is the oscillation length

The better the energy resolution, the deeper structures can be seen

# Attenuation and decoherence

The oscillation phase acquired along the attenuation length:

$$\phi = 2\pi \frac{E}{\lambda_{att}}$$

Difference

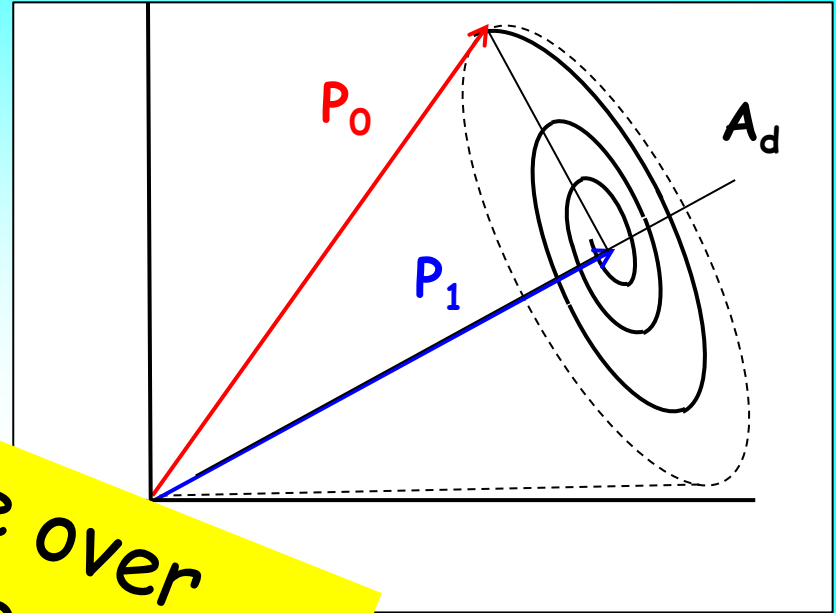
$$\Delta E$$

For  $\Delta E = \pi \sigma_E$

integration over the resolution interval leads to averaging of oscillations



$\lambda_{att}$  is the distance over which oscillations observed with the energy resolution  $\sigma_E$  are averaged



loss of coherence

$$P_0 \rightarrow P_1$$

changes to its projection axis of eigenstates  $A_d$

A.N. Ioannisian, A. Yu. S.  
 Phys.Rev. D96 (2017) no.8,  
 083009, 1705.04252 [hep-ph]

# Paradoxes of attenuation

*A.N. Ioannisian, A. Yu. Smirnov  
Phys.Rev. D96 (2017) no.8, 083009  
arXiv:1705.04252 [hep-ph]*

Not only decoherence: effect does not disappear completely.  
Even for very large distances: it survives in the  $\varepsilon^2$  level

Info. about structure is still stored in spite of averaging

$\nu_1 \rightarrow \nu_e$  - channel

Remote structures are attenuated to the  $\varepsilon^2$  level;  
near structures are seen at  $\varepsilon$

$\nu_e \rightarrow \nu_1$  - channel

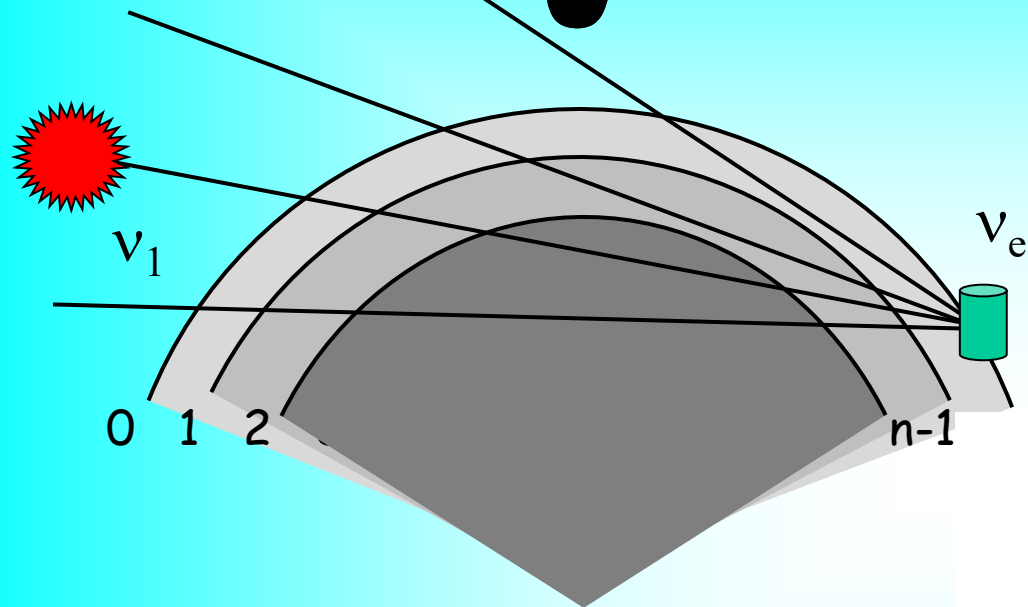
Near structures are attenuated the  $\varepsilon^2$  level;  
remote structures are seen at  $\varepsilon$

T-symmetry

$\nu_e \rightarrow \nu_e$  - channel

Three layer case: first layer prepare incoherent states:  
applications for flavor - flavor transitions

# Scanning the Earth



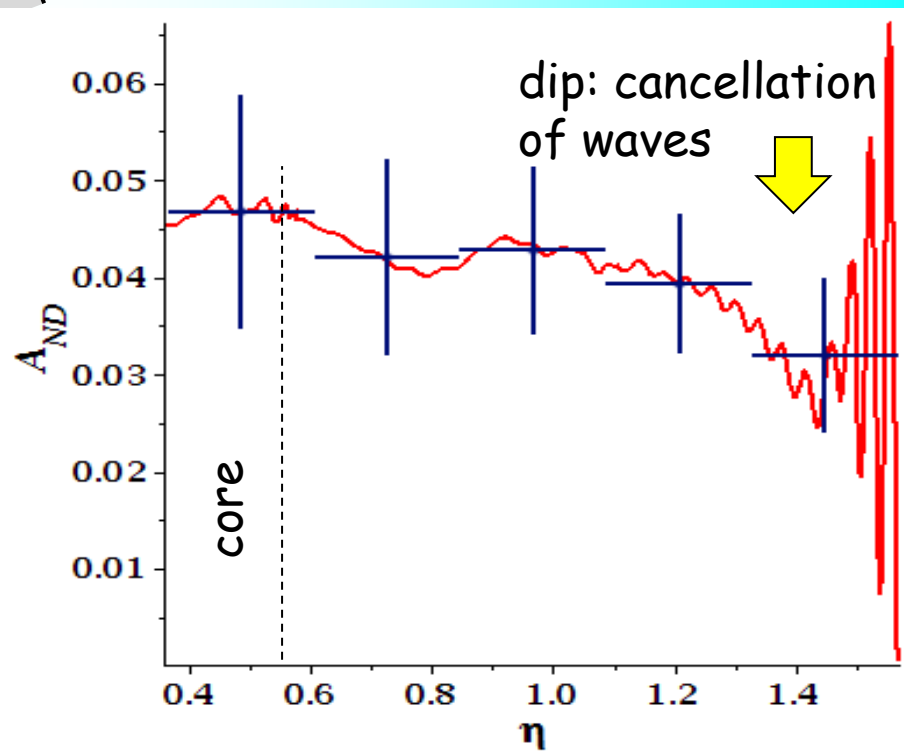
Day -Night asymmetry

$$A_{\text{DN}} = \frac{N(\eta)}{D} - 1$$

nadir angle  $\rightarrow$

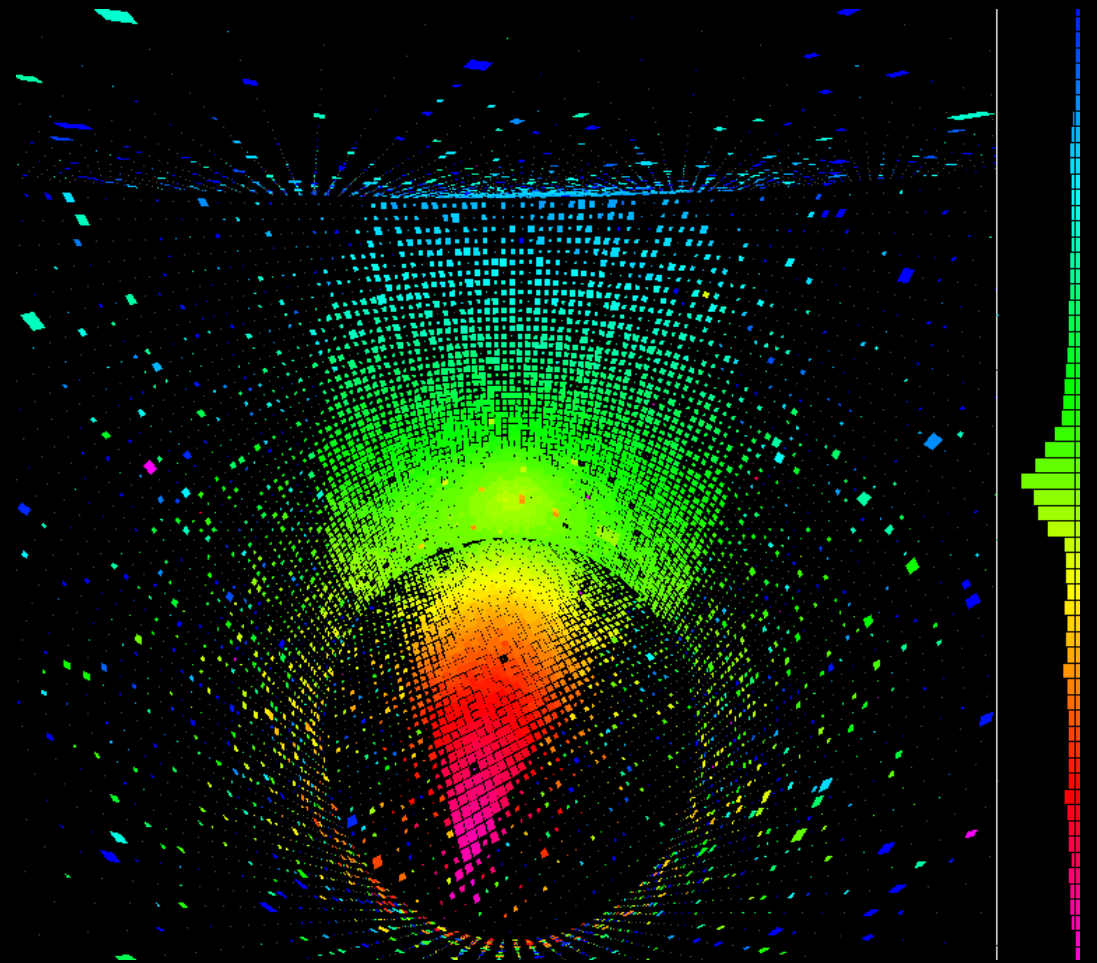
*A. Ioannisian, A. Smirnov,  
D. Wyler, Phys.Rev. D96  
(2017) no.3, 036005  
arXiv:1702.06097 [hep-ph]*

Integration above 11 MeV





# Searches for New physics



# “Standard set”

of non-standard physics

Steriles\*

NSI\*

Interactions with DM

Decays



Magnetic moments <sup>W</sup>

Decoherence

Long range forces

Violation of fundamental symmetries

Lorentz CPT

~ E<sup>n</sup>

<sup>W</sup>

\* - motivated by the present tensions

# New physics

$$H = H_{st} + H_{np} \quad \text{in most of the cases}$$

Decoherence - density matrix

## Classification:

Modification of the potential

More neutrino States: steriles, anti- $\nu$

Decoherence  
Inelastic collisions  
absorption

## Signatures:

Spectra distortions  
Time dependence  
Appearance of antineutrinos

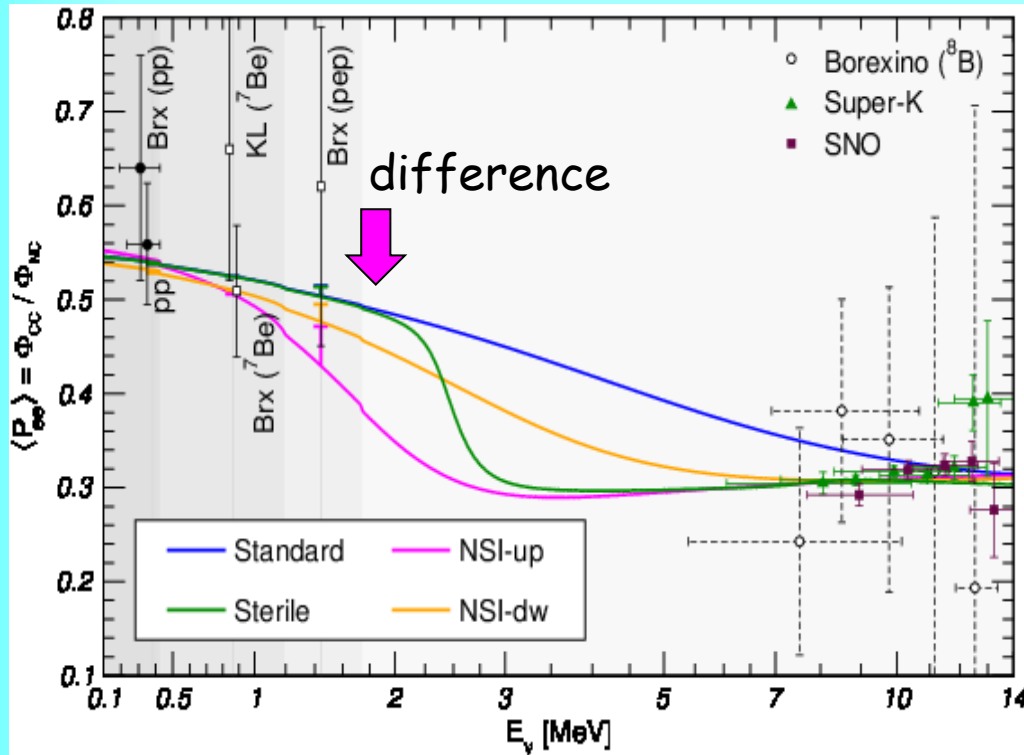
Previous bound, essentially:

$$H_{NP} < H_{st}$$

Now

$$H_{NP} \ll H_{st}$$

# New physics effects



*M. Maltoni, A.Y.S.  
1507.05287 [hep-ph]*

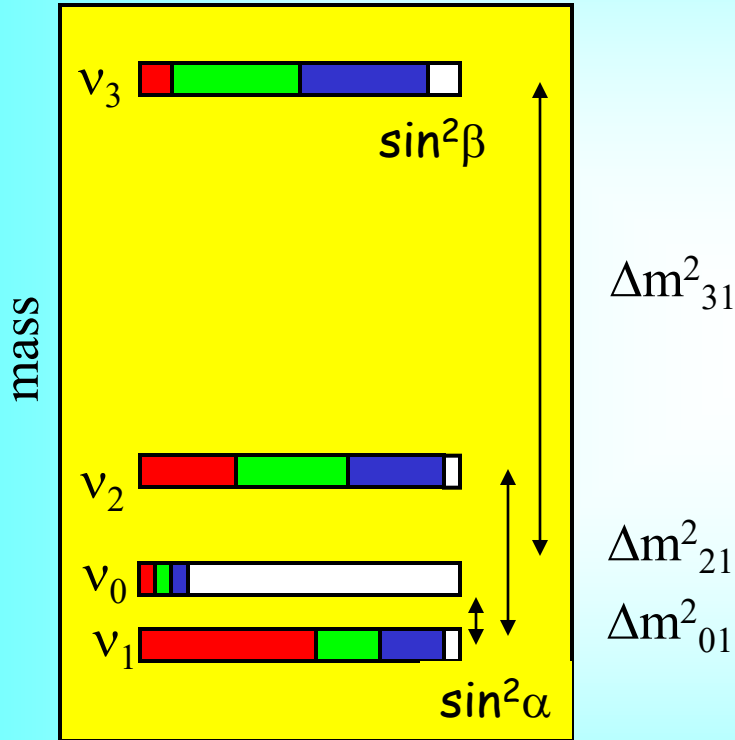
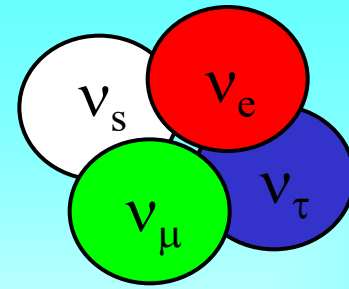
Extra sterile neutrino with  
 $\Delta m^2_{01} = 1.2 \times 10^{-5} \text{ eV}^2$ , and  
 $\sin^2 2\alpha = 0.005$

Non-standard interactions with  
 $\varepsilon^u_D = -0.22, \varepsilon^u_N = -0.30$   
 $\varepsilon^d_D = -0.12, \varepsilon^d_N = -0.16$

Also enhances the  
D-N asymmetry

# meV sterile neutrino

sterile neutrino  $m_0 \sim 0.003 \text{ eV}$



For solar nu:  $\sin^2 2\alpha \sim 10^{-3}$

Conversion for small mixing angle -  
Adiabaticity violation

Allows to explain absence of upturn and reconcile solar and KAMLAND mass splitting but not large D-N asymmetry

Additional radiation in the Universe

$$\Delta N_{\text{eff}} \sim 0.1$$

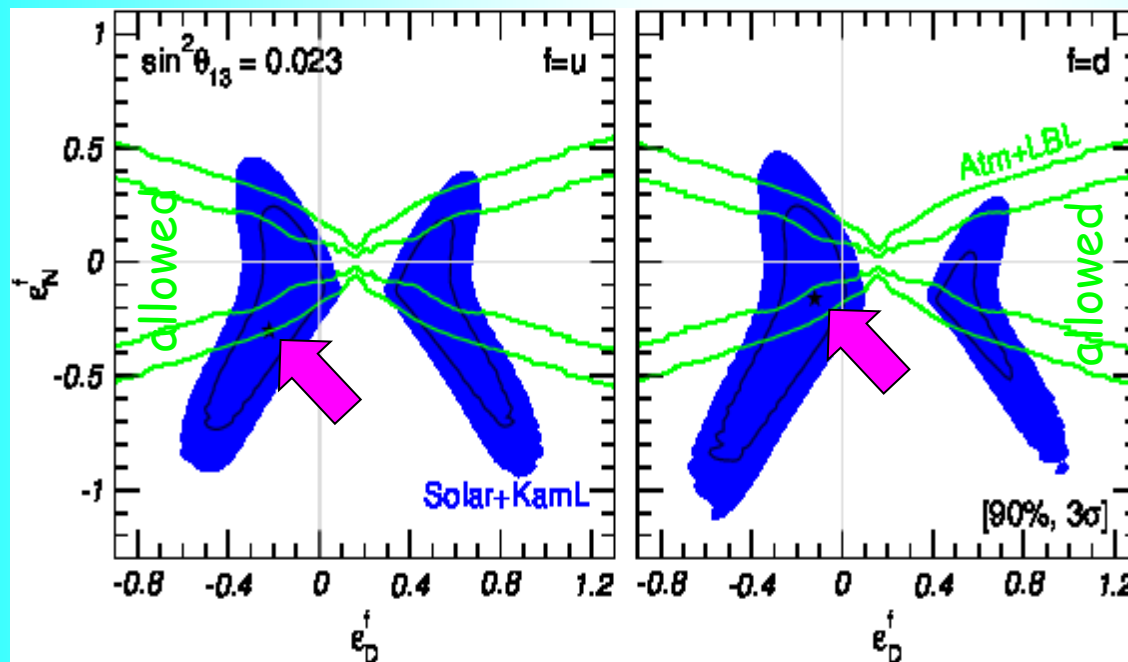
Searches for this sterile in atmospheric neutrinos if mixes with  $\nu_3$

# Non-standard interactions

Additional contribution to the matrix of potentials in the Hamiltonian

*M. C. Gonzalez-Garcia ,  
M. Maltoni arXiv 1307.3092*

$$V_{\text{NSI}} = \sqrt{2} G_F n_f \begin{pmatrix} \epsilon_D^f & \epsilon_N^f \\ \epsilon_N^f & \epsilon_D^f \end{pmatrix} \quad f = e, u, d$$



Allowed regions of parameters of NSI

Removing tension:

$$V_{\text{NSI}} = 0.6 V_e$$

→  $\epsilon \sim 0.2$

In the best fit points the D-N asymmetry is 4 - 5%

# NSI

Update including  
COHERENT

*I. Esteban, et al.*  
*arXiv:1805.04530*

The  $1\sigma$ , 90%,  $2\sigma$ , 99% and  $3\sigma$  CL (2 dof.) allowed regions for the NSI parameters from solar and KamLAND data for different values of the quark composition parameter  $\eta$

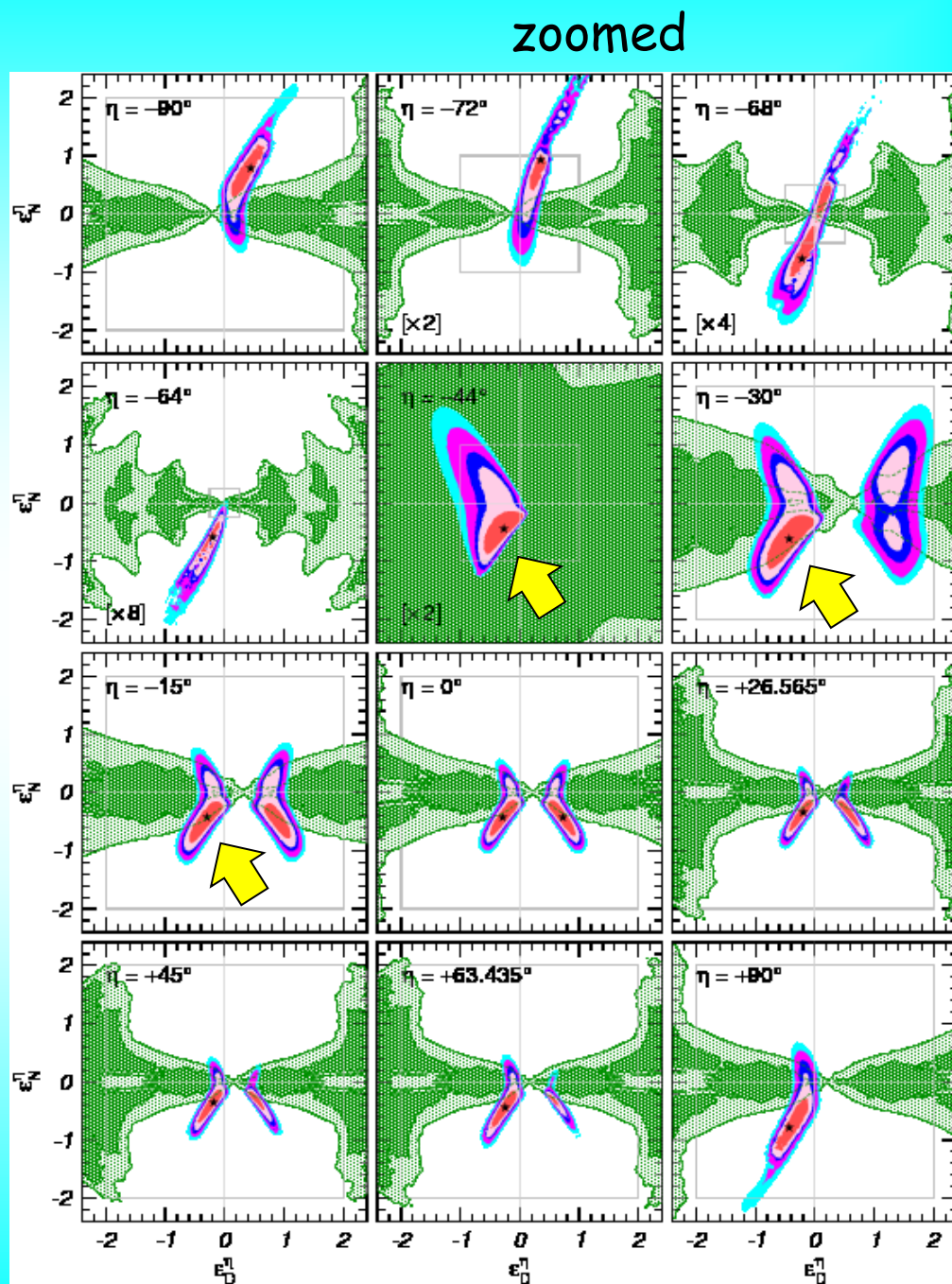
$$\varepsilon_{\alpha\beta}^f = \varepsilon_{\alpha\beta}^\eta \xi_5^f$$

$$\tan \eta = \frac{1}{2} \rightarrow \xi_5^u = 1$$

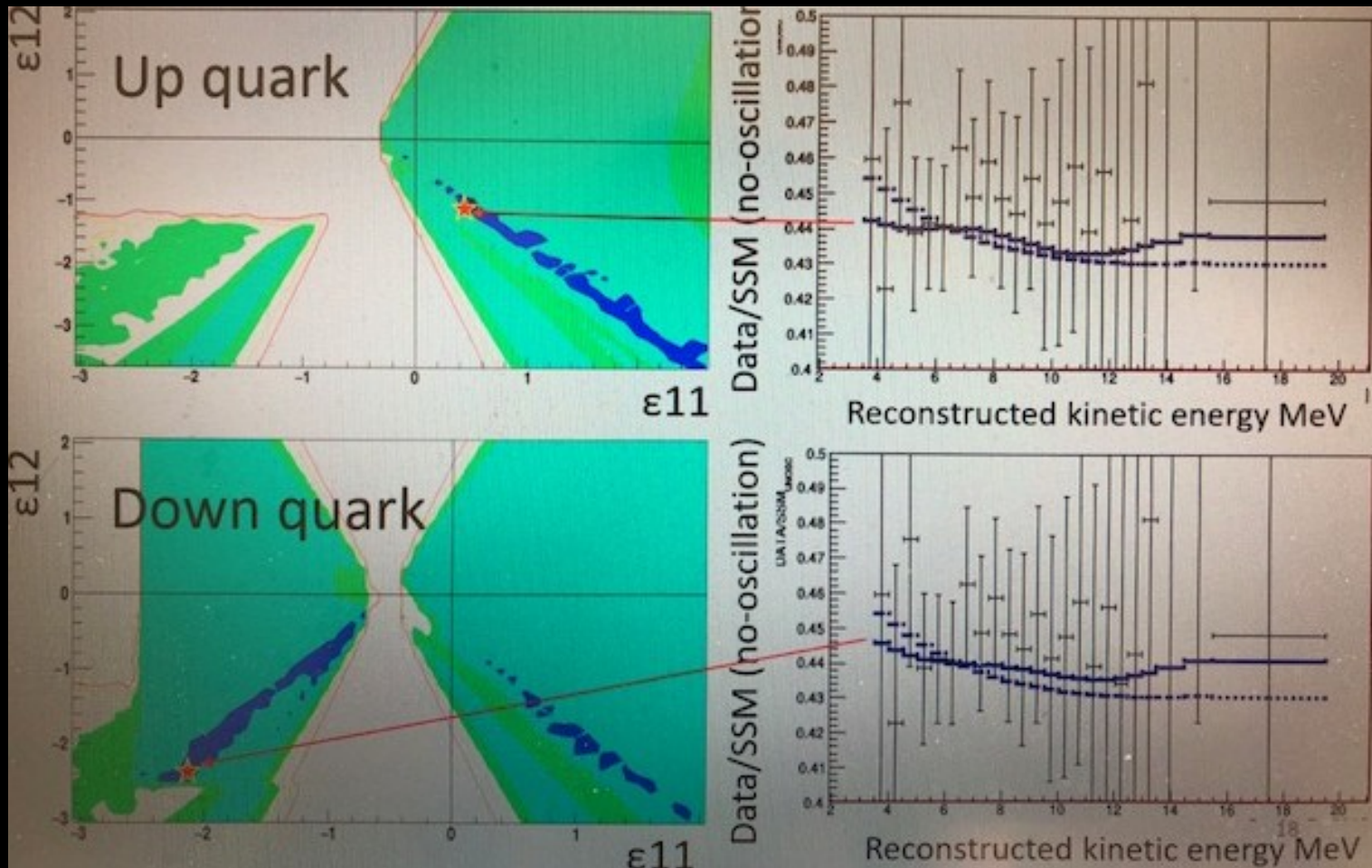
$$\tan \eta = 2 \rightarrow \xi_5^d = 1$$

Shaded green areas -  
90% and  $3\sigma$  CL allowed regions  
from the atmospheric and LBL  
data.

$$\sin^2 2\theta_{13} = 0.022$$



# SK-analysis of NSI





# LMA-Dark solution

Scaling:

$$\Delta m_{21}^2 \rightarrow -\Delta m_{21}^2, \quad V \rightarrow -V$$

→ inversion 1-2 ordering

Equivalently, mixing is not changed if

$$\cos 2\theta_{12} \rightarrow -\cos 2\theta_{12}, \quad V \rightarrow -V$$

$$\sin^2 \theta_{21} \rightarrow 1 - \sin^2 \theta_{21} \quad \text{dark side: } 0.69$$

Change of sign of the potential requires NSI

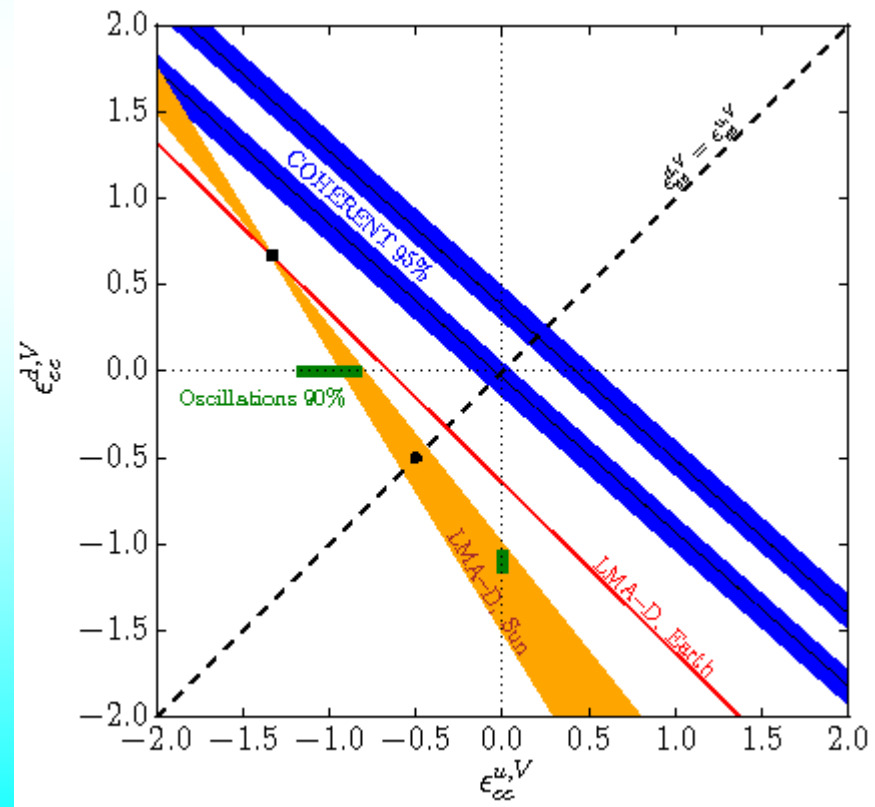
$$V = V_e + V_{\text{NSI}}$$

$$V_{\text{NSI}} = -2V_e$$

*O.G. Miranda, M.A. Tortola,  
J. W. F. Valle, JHEP 19 (2006) 008  
hep-ph/0406280*

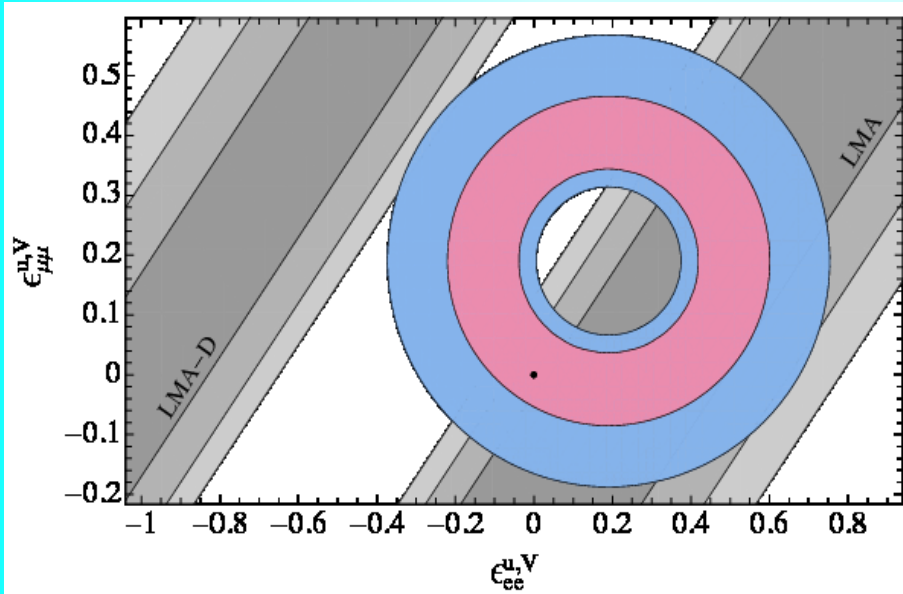
*P. B. Denton, et al.  
arXiv:1804.03660 [hep-ph]*

$$V_{\alpha\beta}^f = 2V_e \varepsilon_{\alpha\beta} \frac{n_f}{n_e}$$



# Bounds on NSI

*P. Coloma, M.C. Gonzalez-Garcia, M. Maltoni, T. Schwetz, 1708.02899 [hep-ph]*

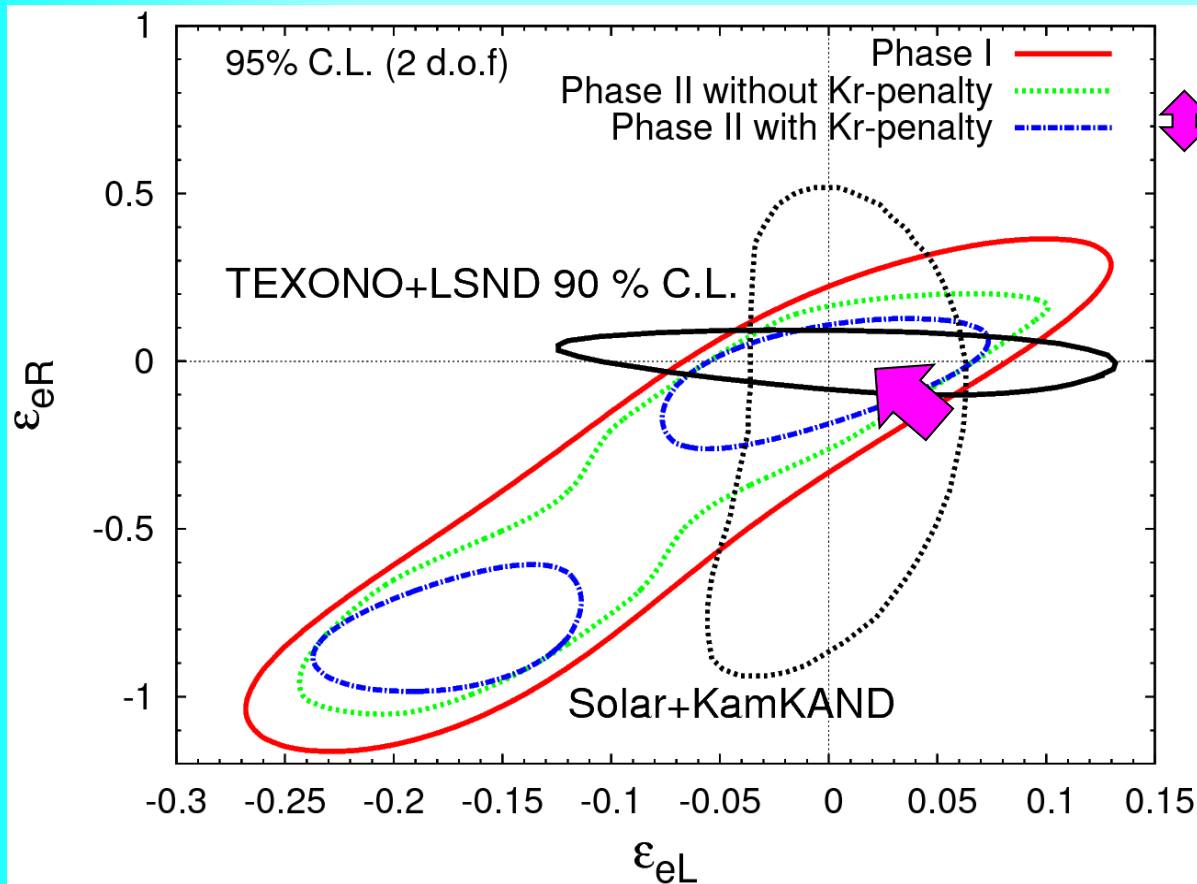


Allowed regions from the COHERENT experiment and allowed regions from the global oscillation fit.

Diagonal shaded bands correspond to the LMA and LMA-D regions as indicated, at  $1\sigma$ ,  $2\sigma$ ,  $3\sigma$  ( $2\sim\text{dof}$ ). The COHERENT regions are at  $1\sigma$  and  $2\sigma$  only.  $3\sigma$  region extends beyond the boundaries of the figure

MSW-Dark can agree with data at  $3\sigma$  - level

# BOREXINO: NSI interactions



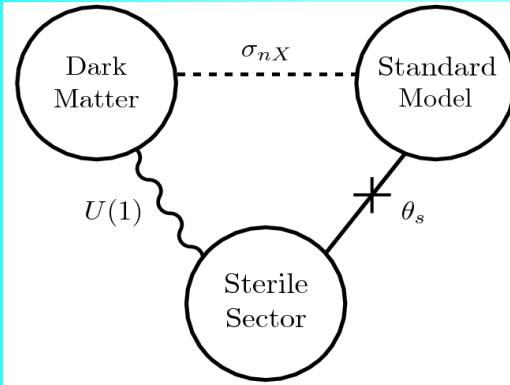
*S. Agarwalla*

Excludes  
1.6 bigger potential,  
dark solution on  
electrons

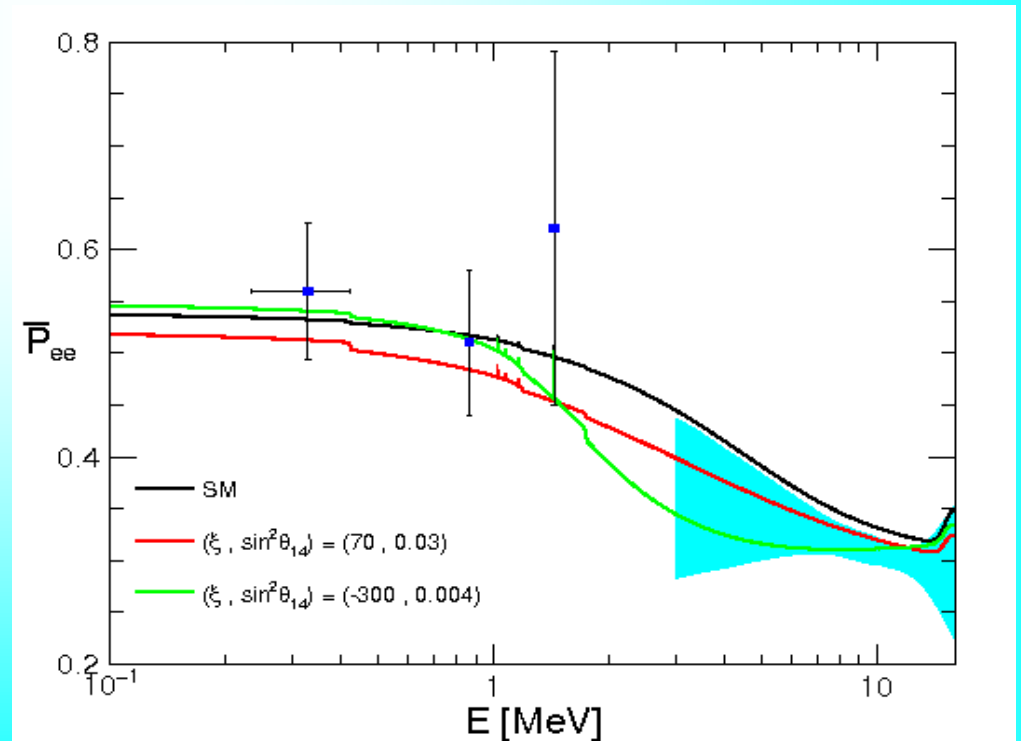
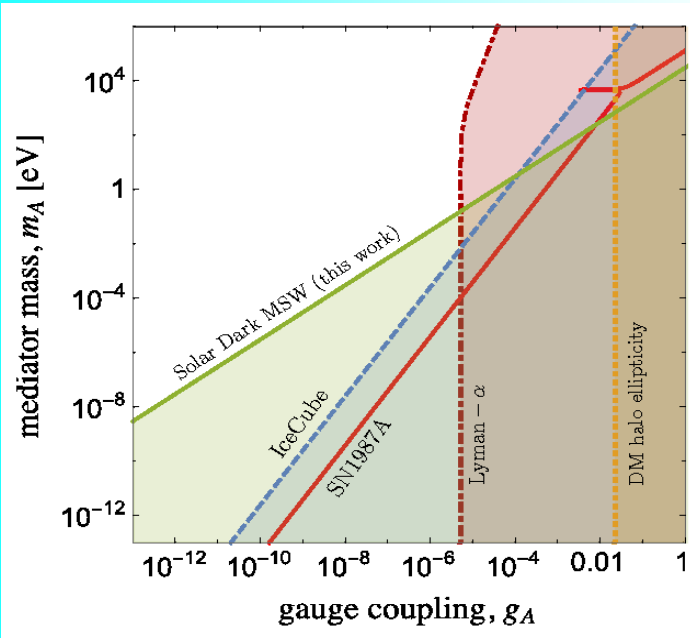
$$\epsilon_e < 0.05 - 0.1$$

# NSI on DM + mixing with sterile

*Solar Neutrinos as a Probe of Dark Matter-Neutrino Interactions - F. Capozzi, et al. JCAP 1707 (2017) no.07, 021 arXiv:1702.08464 [hep-ph]*



$$\xi = \frac{G_X n_X(0)}{V_e(0)} \quad \text{potential on DM}$$



# Neutrino decay

Decays of neutrino eigenstates in vacuum and matter

$$\nu_i \rightarrow \nu_x + \phi \quad x = j, s \quad \phi - \text{light scalar}$$

Neglecting decay in

- the central regions of the Sun and
- the Earth

$$P_{ee} = \sum_{i=1,2,3} |U_{ei}^m(n_0)|^2 P_{ie} e^{-d_i L / E}$$

$$d_i = m_i / \tau_i \quad \text{life time at rest} \quad P_{ie} = |U_{ie}|^2$$

L - distance from the central parts of the Sun to a detector

$$E/L = 10^{-12} \text{ eV}^2 (E / 1 \text{ MeV}) \quad \text{determines sensitivity to } d_i :$$

for  $d_i = E / L$  the  $\nu_i$  flux is suppressed by 2.7

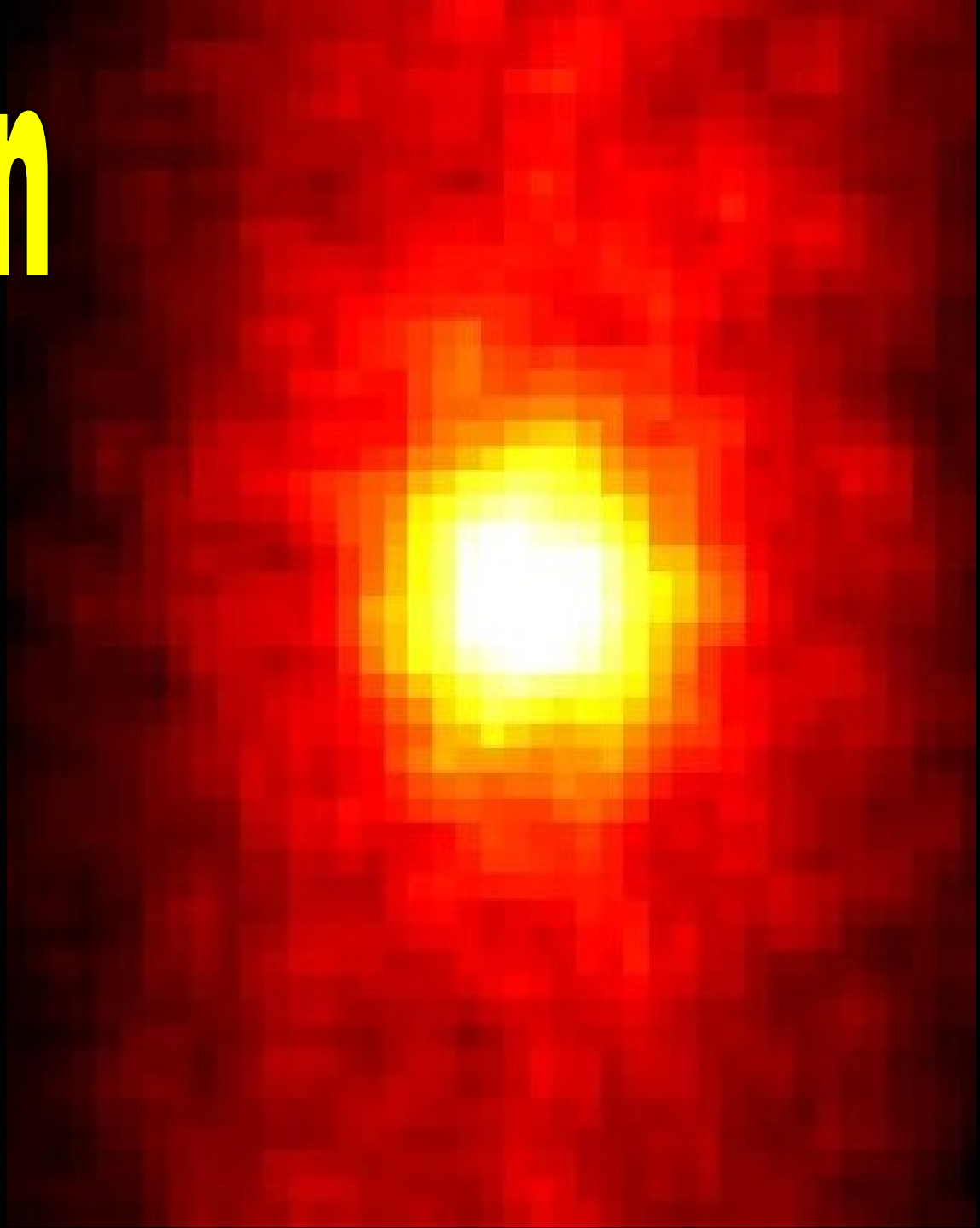
$$d_1 < 1.3 \cdot 10^{-13} \text{ eV}^2$$

$$d_2 < 1.2 \cdot 10^{-12} \text{ eV}^2$$

$$\tau > 10^{-5} \text{ sec}$$

$d_3$  is essentially unsuppressed due to small 1-3 mixing

# Conclusion



LMA MSW is in a good agreement with all available data

Future: detailed study of oscillations in the Earth - interesting physics (multilayer medium, parametric effects, interference of waves "emitted from different borders between layers", attenuation. Potentially: tomography of the Earth

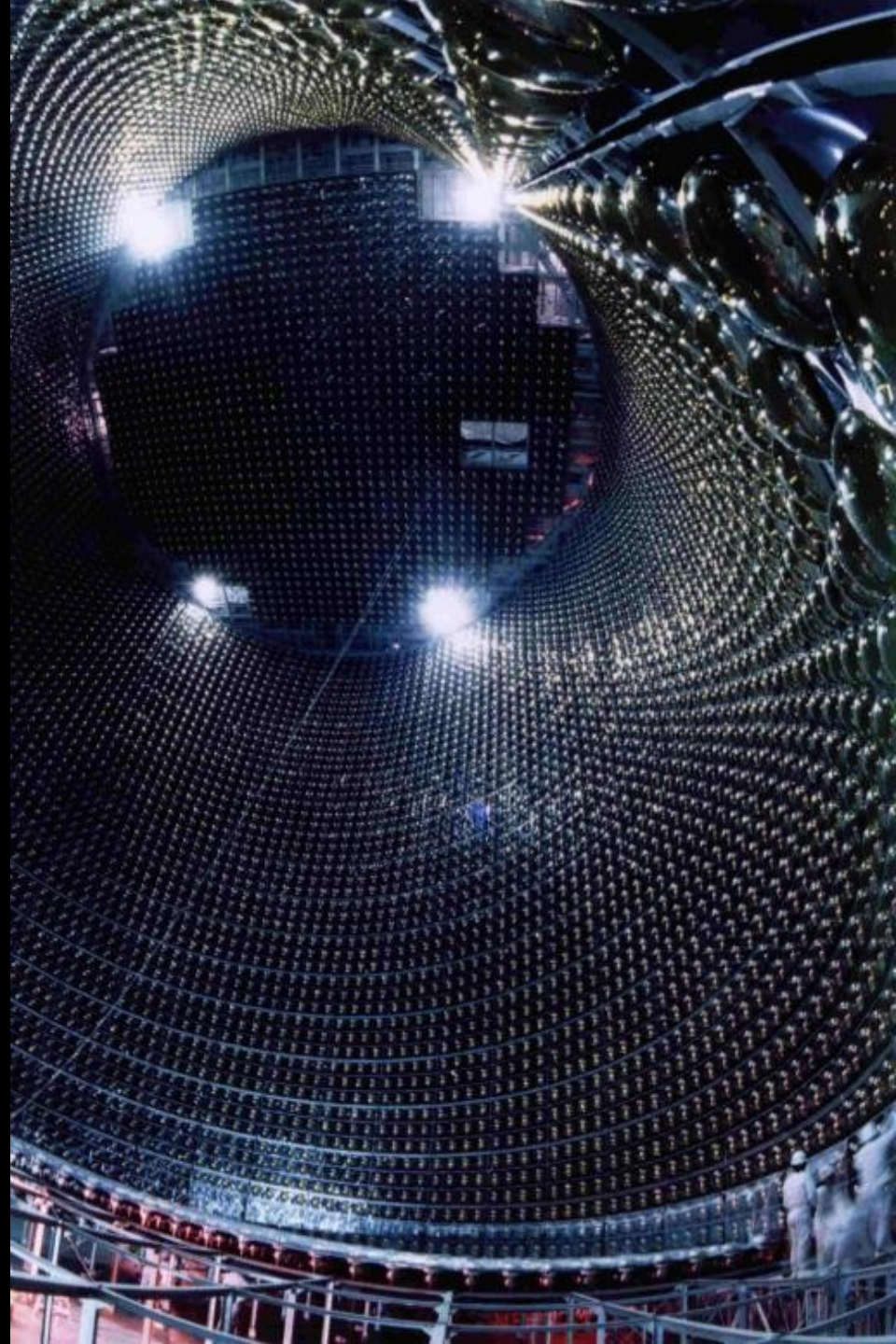
$(1.8\sigma)$  - tension related to absence of the spectral up-turn and larger DN asymmetry  $(1.3\sigma)$  can be expressed as difference of  $\Delta m^2_{21}$  or equivalently, 1.6 times larger matter potential

Still sub-leading effects are possible.  $O(1)$  can be realized with certain fine tuning.

NSI can remove both tensions light sterile neutrino - correct the upturn only. More precise measurements of the DN asymmetry can distinguish two possibilities

With achieved precision new physics effects at the level of 10% or smaller can be studied with the solar neutrinos

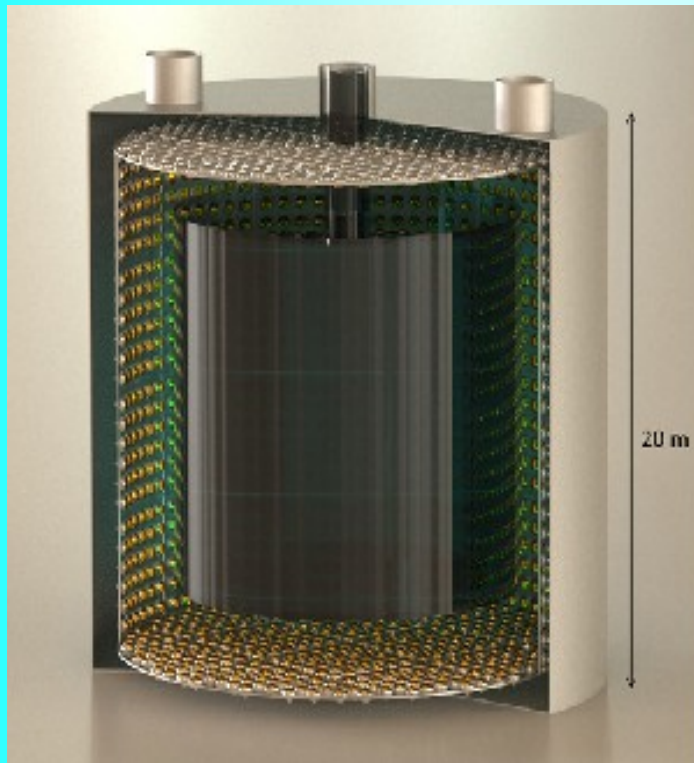
# Attenuation effect





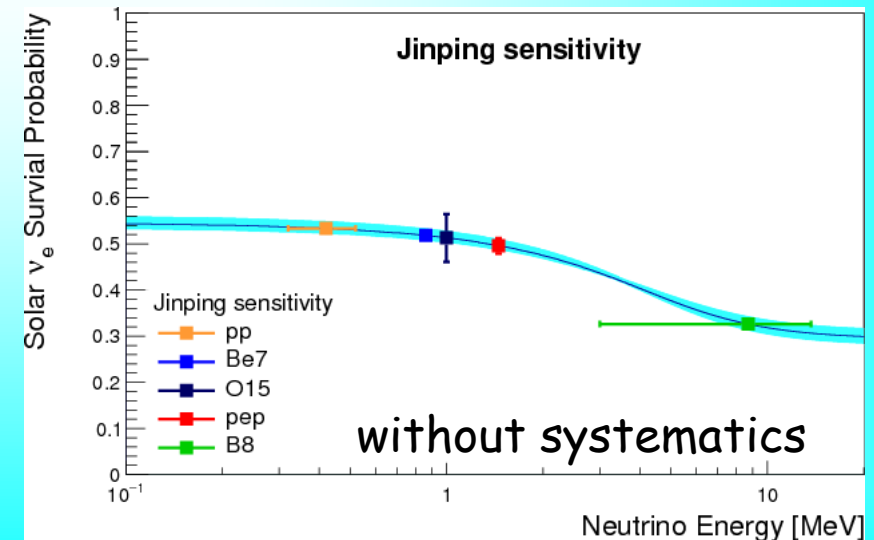
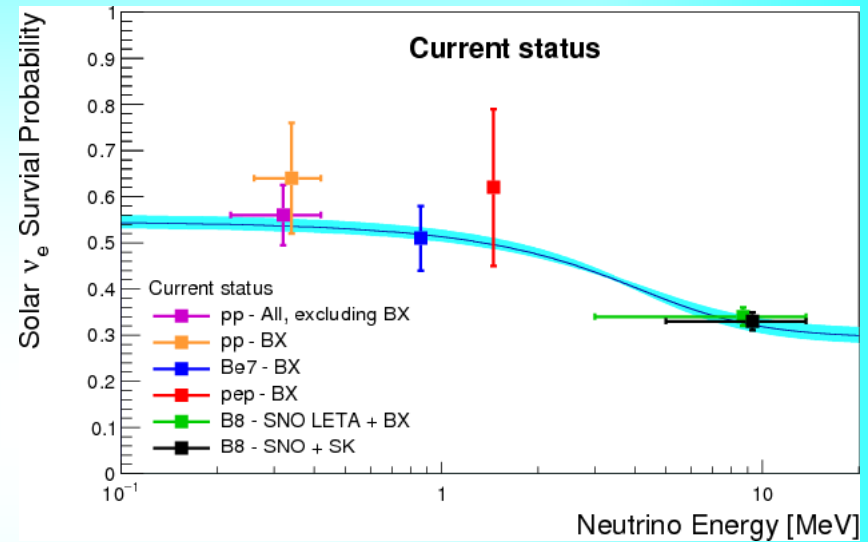
# JinPing underground lab

scintillator upgraded  
water detectors?



FV: 100 times bigger than  
BOREXINO

Deeper than SNO



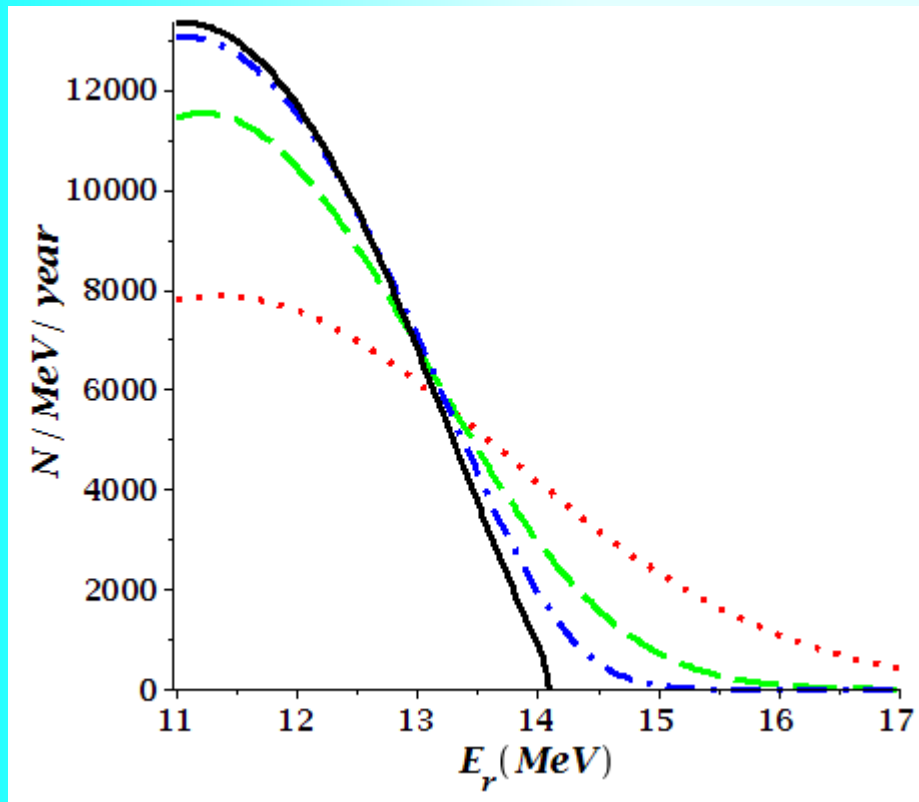
# References

*A. Ioannisian, A. Smirnov, D. Wyler,  
Phys.Rev. D96 (2017) no.3, 036005  
arXiv:1702.06097 [hep-ph]*

*A.N. Ioannisian, A. Yu. Smirnov  
Phys.Rev. D96 (2017) no.8, 083009  
arXiv:1705.04252 [hep-ph]*

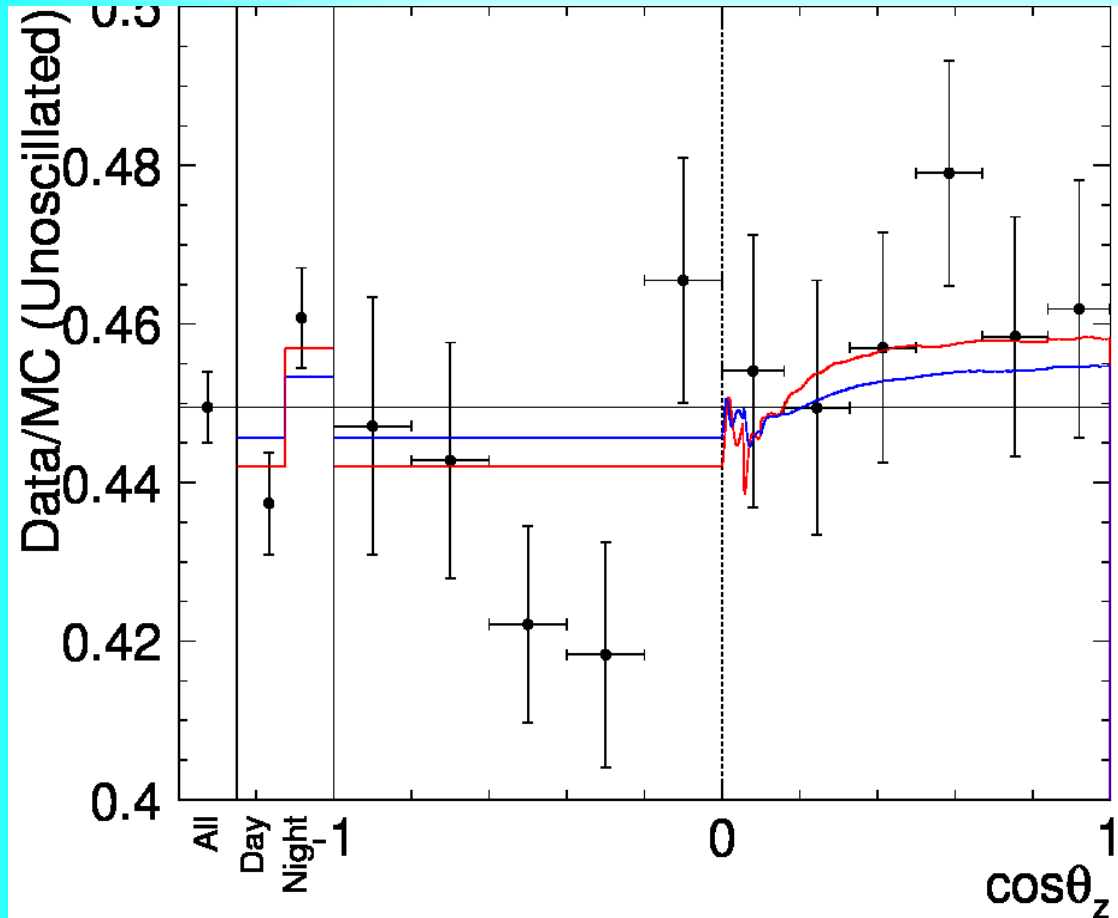
# DUNE solar neutrino events

A. Ioannisian, A. Smirnov, D. Wyler,  
*Phys.Rev. D96 (2017) no.3, 036005*  
*arXiv:1702.06097 [hep-ph]*



The energy ( $E_r$ ) distribution of the annually detected events at DUNE for different energy resolutions  $\sigma E$ . The solid (black) line represents perfect resolution,  $\sigma E=0$ , the other lines correspond to  $\sigma E=0.5$  MeV - dash-dotted (blue) line,  $\sigma E=1$  MeV dashed (green) line,  $\sigma E=2$  MeV dotted (red) line. The distributions are normalized to annual number of events 27000 at  $E_r > 11$  MeV.

# Day-Night asymmetry



SK-IV solar zenith angle dependence of the solar neutrino data/MC (unoscillated) interaction rate ratio (4.49-19.5 MeV). Red (blue) lines are predictions when using the solar neutrino data (solar neutrino data+KamLAND) best-fit oscillation parameters. The error bars are statistical uncertainties only.

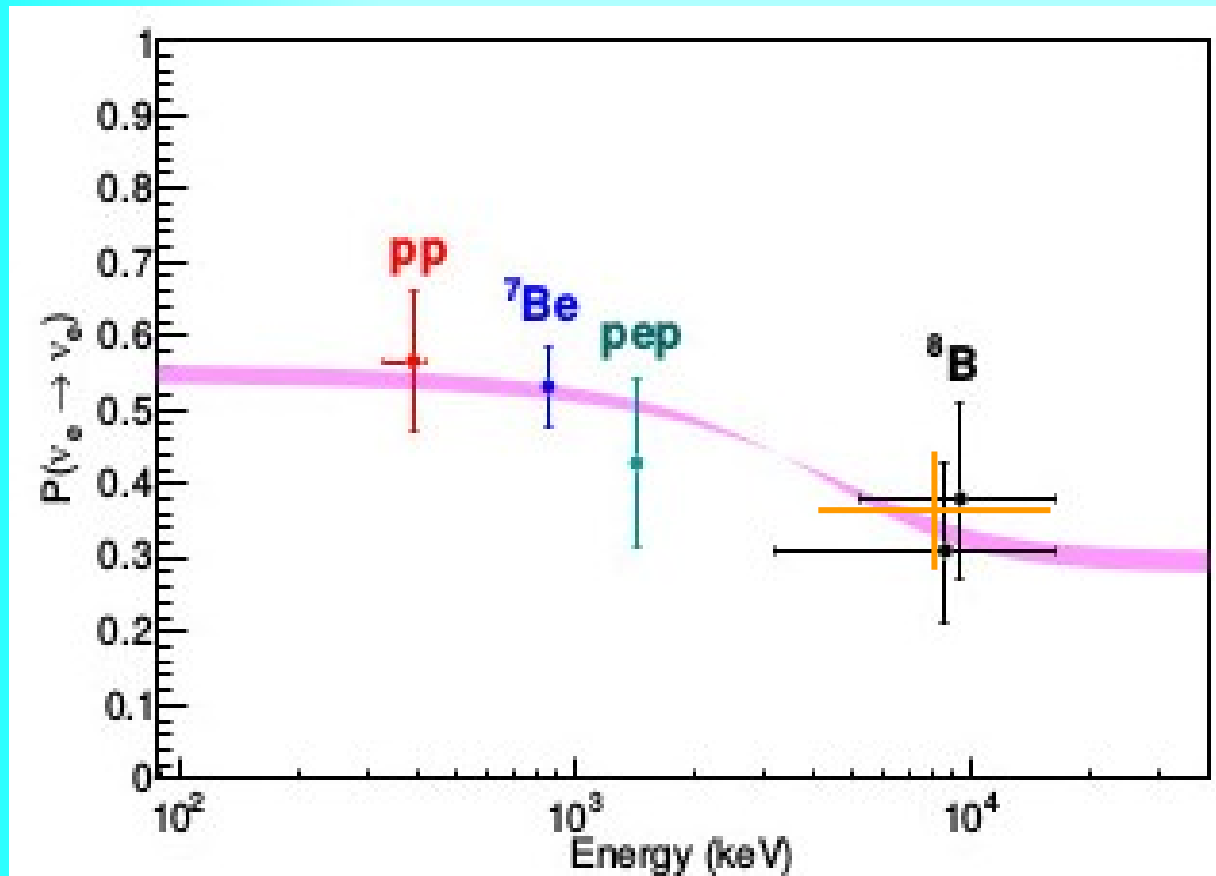
# BOREXINO - Solar

Borexino Collaboration  
(Agostini, M. et al.)  
arXiv:1707.09279 [hep-ex]

G. Bellini  
B. Caccianiga

Energy profile of the effect is determined by mixing in matter in production point + oscillations inside the Earth

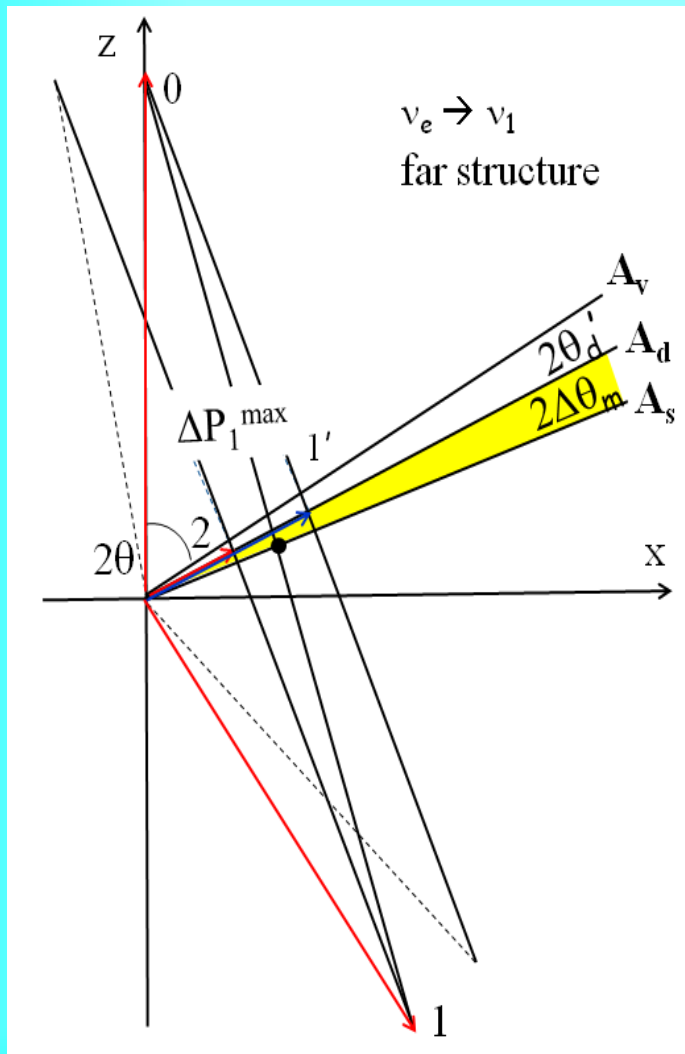
D. Franco



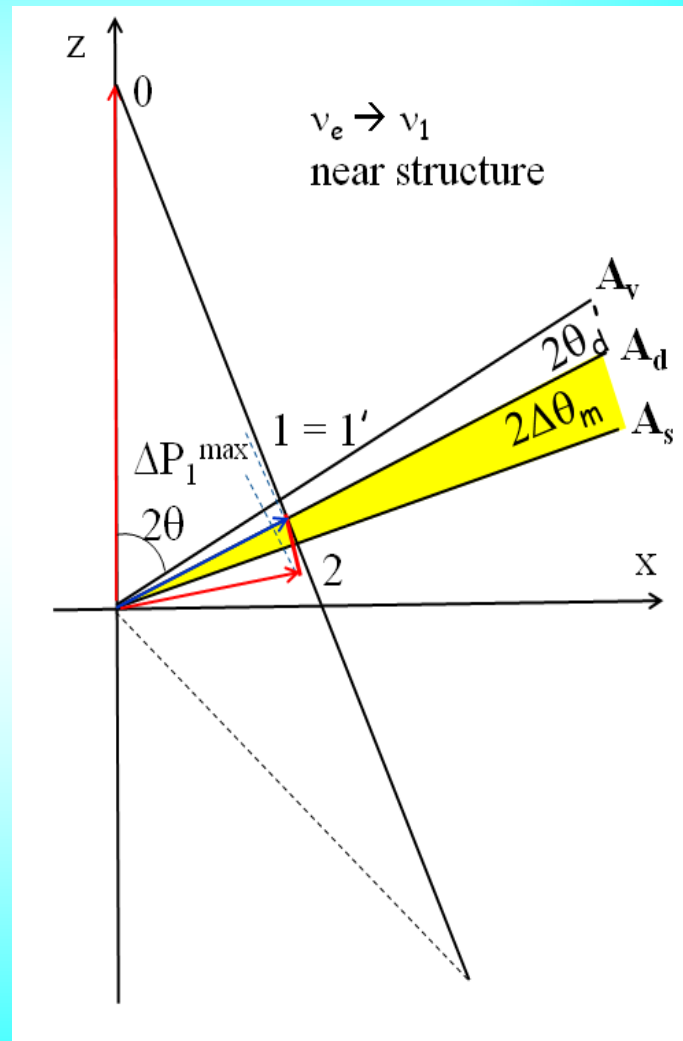
Scaring agreement

Problems in details  
% level precision

# Attenuation



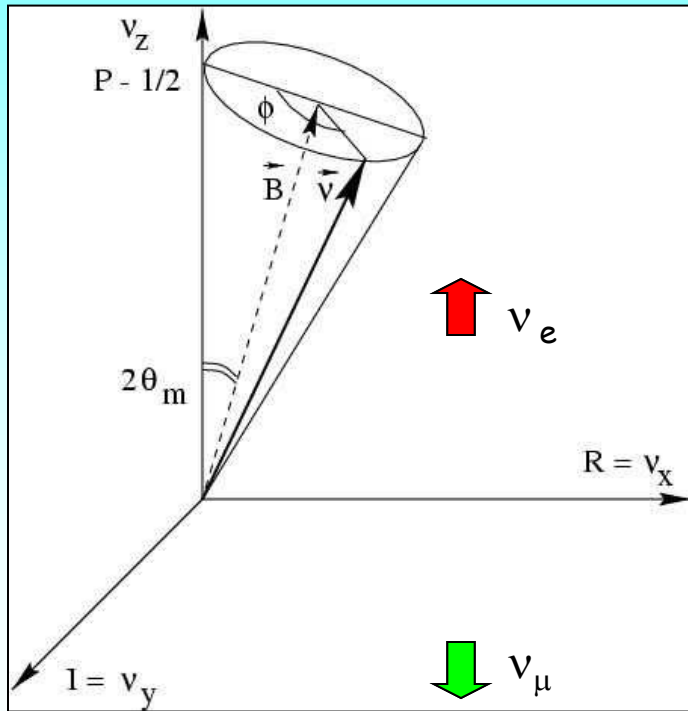
no attenuation



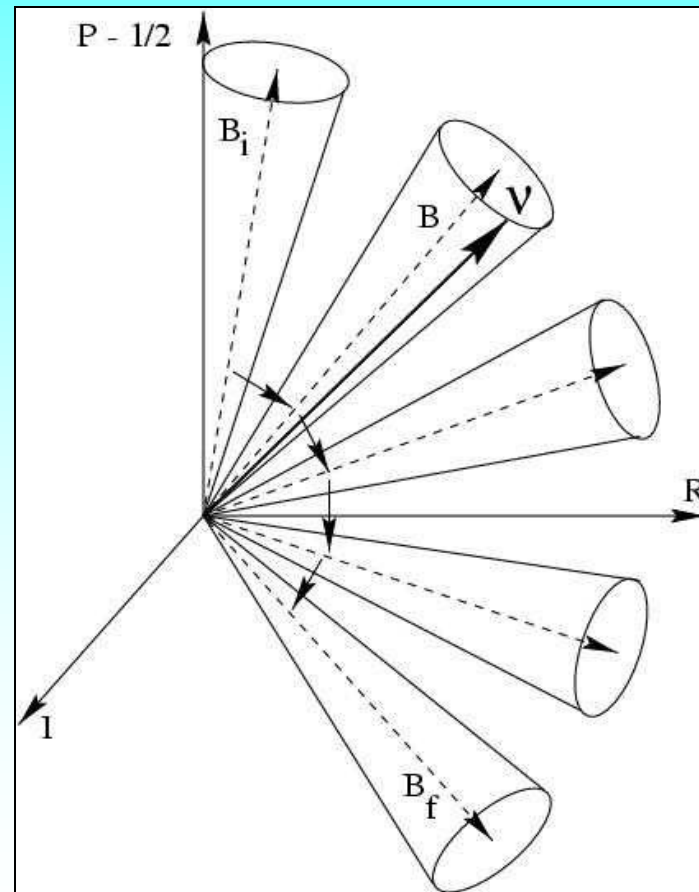
# Graphic representation

Spin of electron  
in magnetic field

## Oscillations

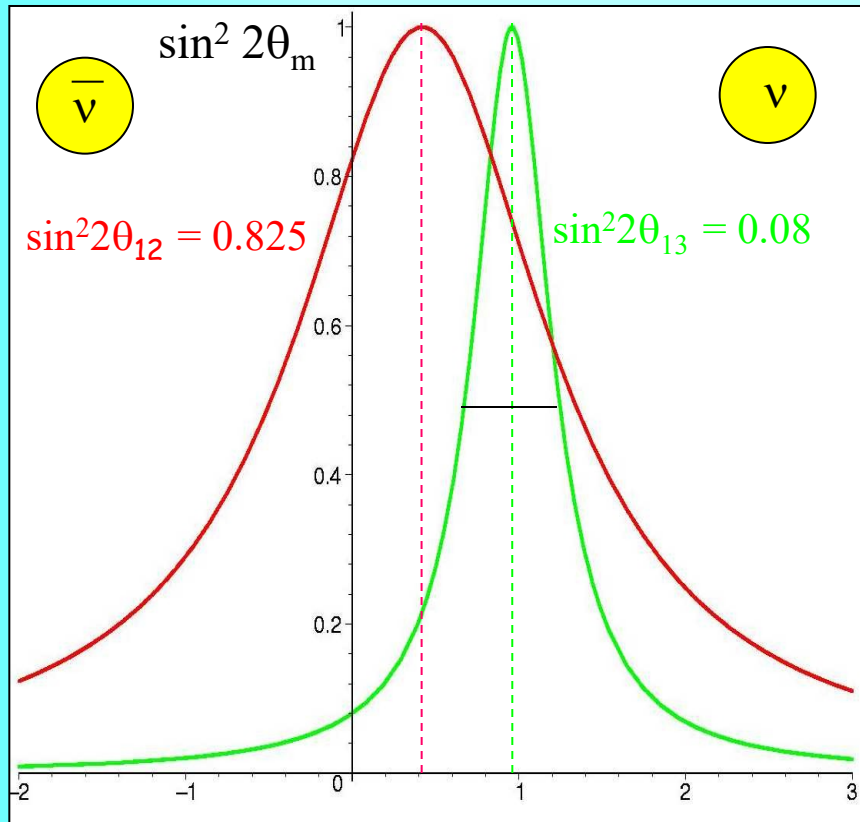


## Adiabatic conversion



# Resonance

Dependence of mixing on density, energy has a resonance character



In resonance:  $\sin^2 2\theta_m = 1$

Flavor mixing is maximal

$$I_\nu = I_0 \cos 2\theta$$

Vacuum oscillation length

$\approx$

Refraction length

Resonance width:  $\Delta n_R = 2n_R \tan 2\theta$

density  $I_\nu / I_0 \sim n E$

$\theta_m \rightarrow 0$

$\theta_m = \theta$

$\theta_m = \pi/4$

$\theta_m \rightarrow \pi/2$

Mixing is suppressed at high densities

$$|V| \gg \frac{\Delta m^2}{2E}$$



Flavor states coincide with eigenstates and vice versa



# Oscillations versus MSW

Adiabatic  
conversion

Different degrees  
of freedom involved

## Oscillations

Vacuum or uniform medium  
with constant parameters

Phase difference increases  
between the eigenstates

$$\phi(t)$$

Mixing  
does not  
change

## Adiabatic conversion

Non-uniform medium or/and medium  
with varying in time parameters

Change of mixing in medium  $\rightarrow$   
change of flavor of the eigenstates

$$\theta_m(t)$$

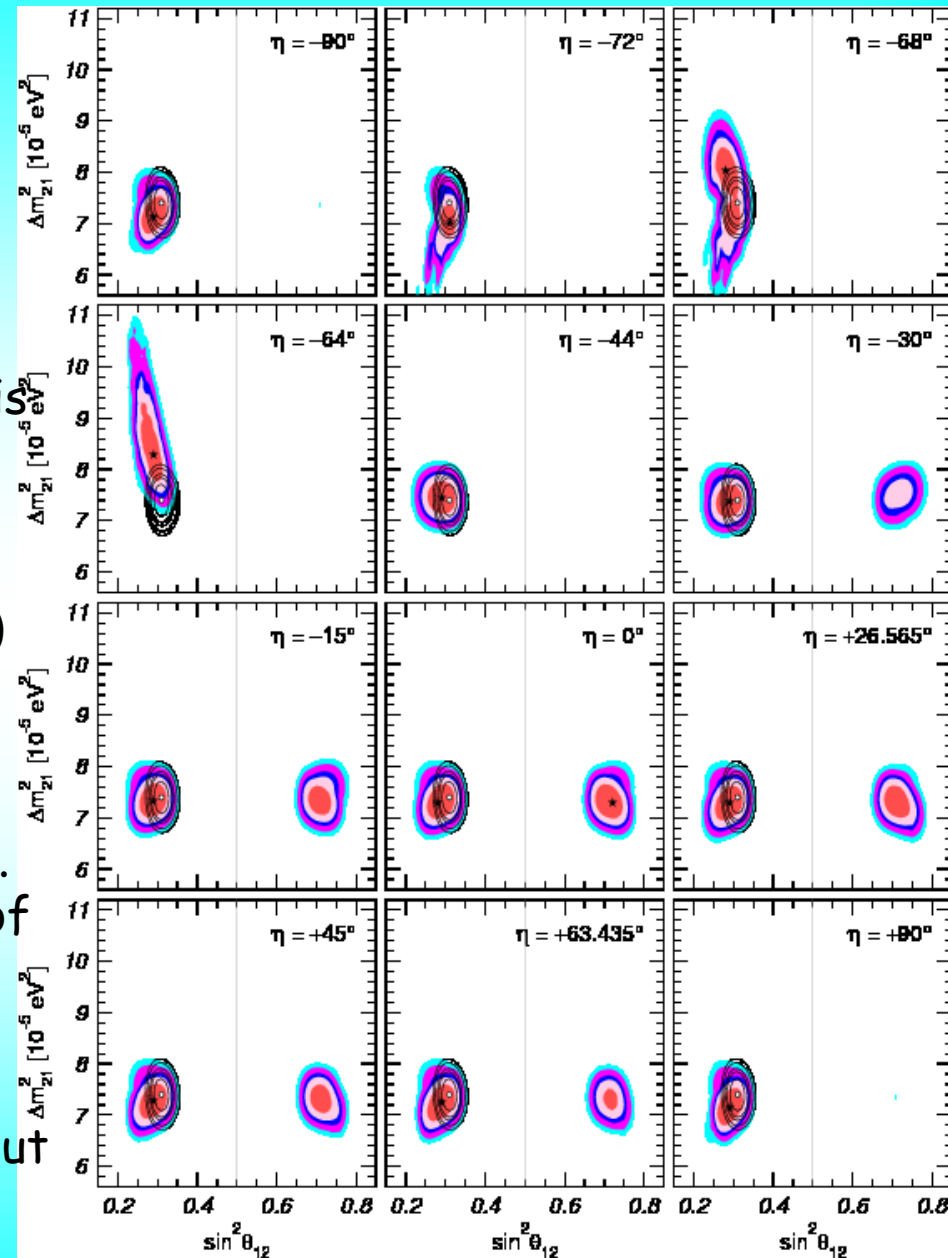
Phase is  
irrelevant

In non-uniform medium:  
interplay of both processes

# NSI

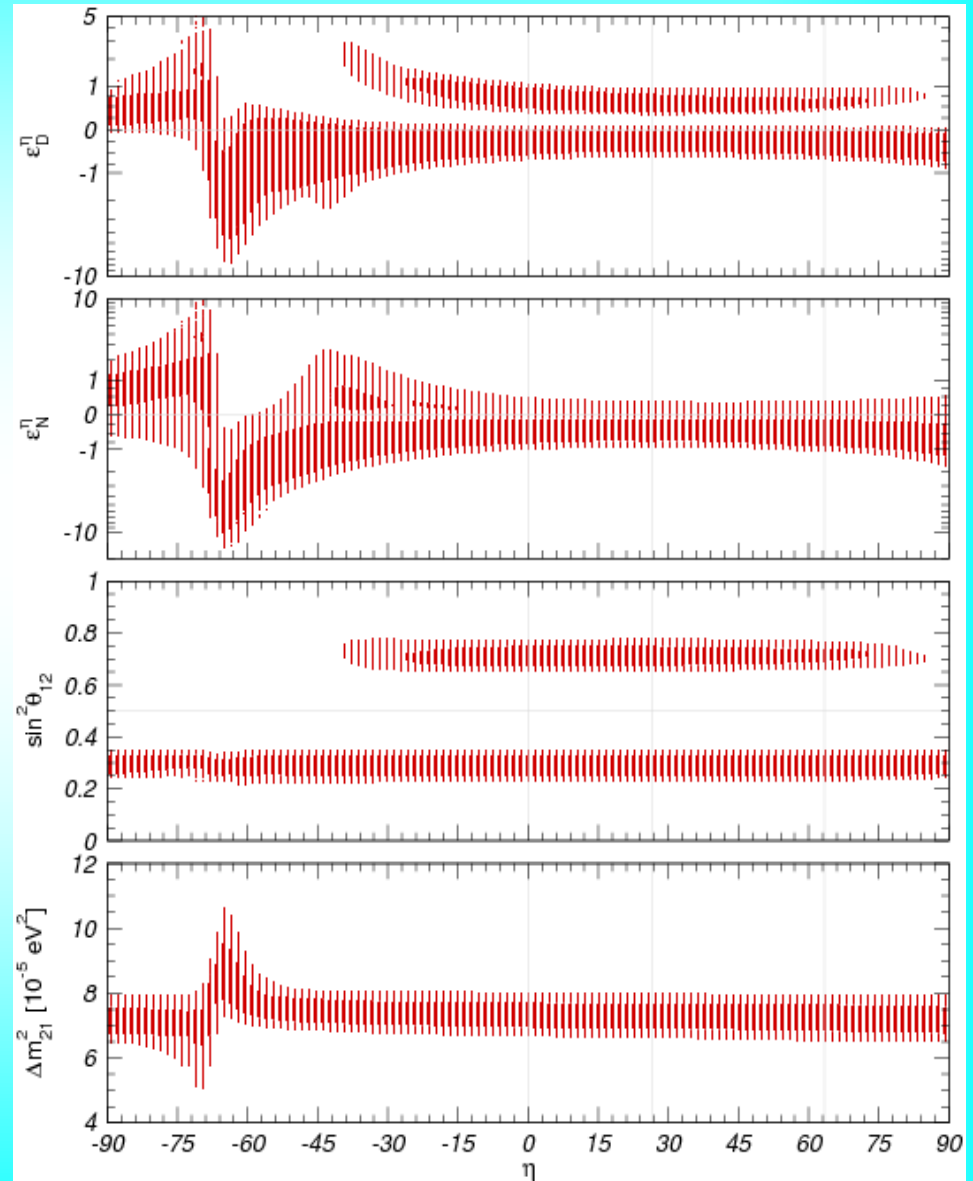
Two-dimensional projections of the  $1\sigma$ , 90%,  $2\sigma$ , 99% and  $3\sigma$  CL (2~dof) allowed regions from the analysis of solar and KamLAND data in the presence of non-standard matter potential for the oscillation parameters ( $\theta_{12}$ ,  $\Delta m_{21}^2$ ) after marginalizing over the NSI parameters and for  $\theta_{13}$  fixed to  $\sin^2\theta_{13}=0.022$ .

The best-fit point is marked with a star. The results are shown for fixed values of the NSI quark coupling parameter  $\eta$ . For comparison the corresponding allowed regions for the analysis in terms of  $3\nu$  oscillations without NSI are shown as black void contours.

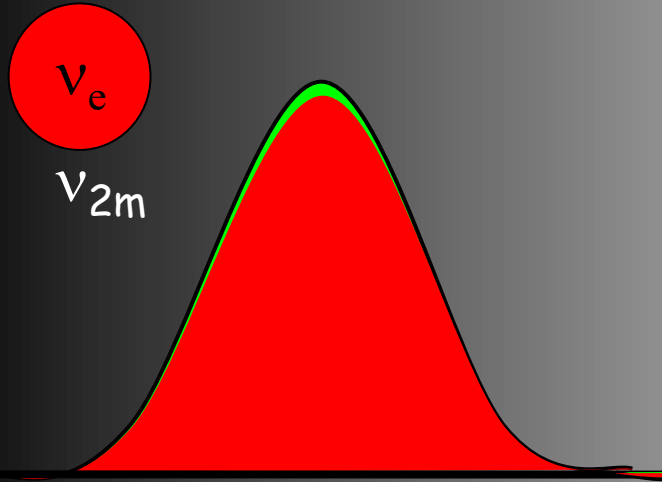


# NSI

90% and  $3\sigma$  CL ( $1\sim$ dof) allowed ranges from the analysis of solar and KamLAND data in the presence of non-standard neutrino-matter interactions, for the four relevant parameters (the matter potential parameters  $\epsilon_D^N$  and  $\epsilon_N^N$  as well as the oscillation parameters  $\Delta m_{21}^2$  and  $\sin^2\theta_{12}$ ) as a function of the NSI quark coupling parameter  $\eta$ , for  $\sin^2\theta_{13}=0.022$ . In each panel the three undisplayed parameters have been marginalized.



# Non-oscillatory transition



Single eigenstate:

- no interference
- no oscillations
- phase is irrelevant

This happens when  
mixing is very small  
in matter with very  
high density

# Adiabaticity

Adiabaticity condition

$$\left| \frac{d\theta_m}{dt} \right| \ll H_{2m} - H_{1m}$$

External conditions (density) change slowly the system has time to adjust them

transitions between the neutrino eigenstates can be neglected

$$\nu_{1m} \leftrightarrow \nu_{2m} \rightarrow$$

The eigenstates propagate independently

Shape factors of the eigenstates do not change

Crucial in the resonance layer:

- the mixing changes fast
- level splitting is minimal

Adiabaticity condition

$$\Delta r_R > l_R$$

if vacuum mixing is small

$$\Delta r_R = n_R \tan 2\theta / (dn/dx)_R$$

width of the resonance layer

$$l_R = l_v / \sin 2\theta$$

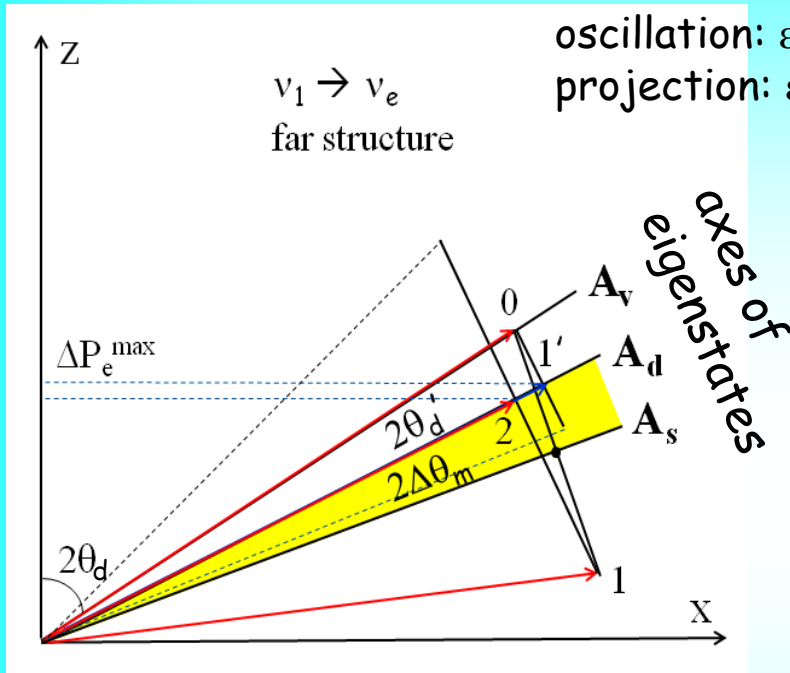
oscillation length in resonance

# Attenuation and focusing?

$$2\Delta\theta_m = 2\theta_s' - 2\theta_d'$$

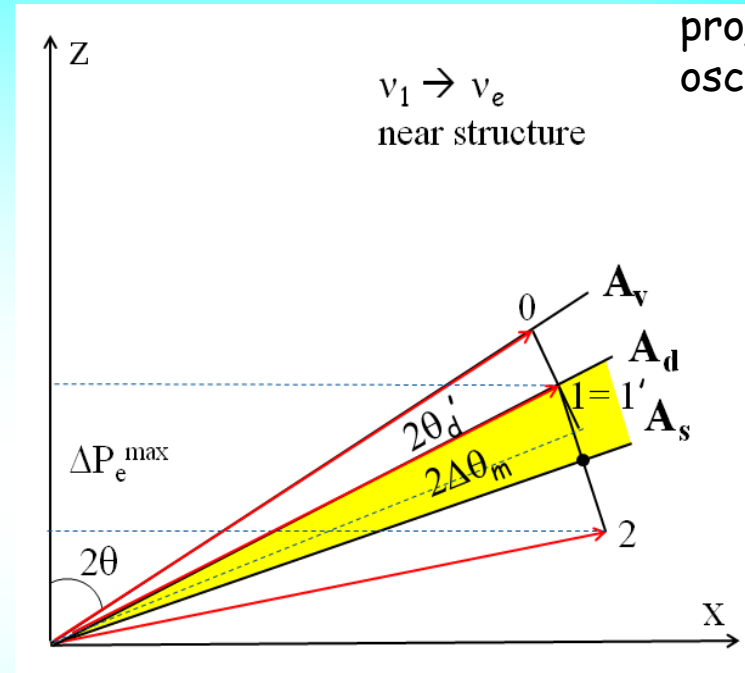
$$J_m = \sin 2\Delta\theta_m \sim \varepsilon$$

mass  
oscillation:  $\varepsilon$   
projection:  $\varepsilon$

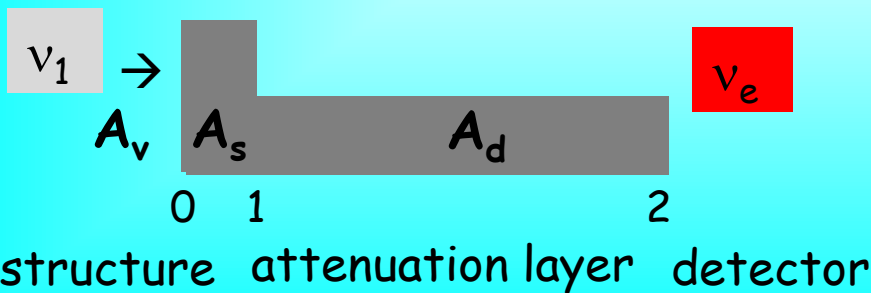


$$\Delta P_e^{\max} = \cos 2\theta_d \sin 2\theta_s' J_m \sim \varepsilon^2$$

mass  
projection: 1  
oscillation:  $\varepsilon$

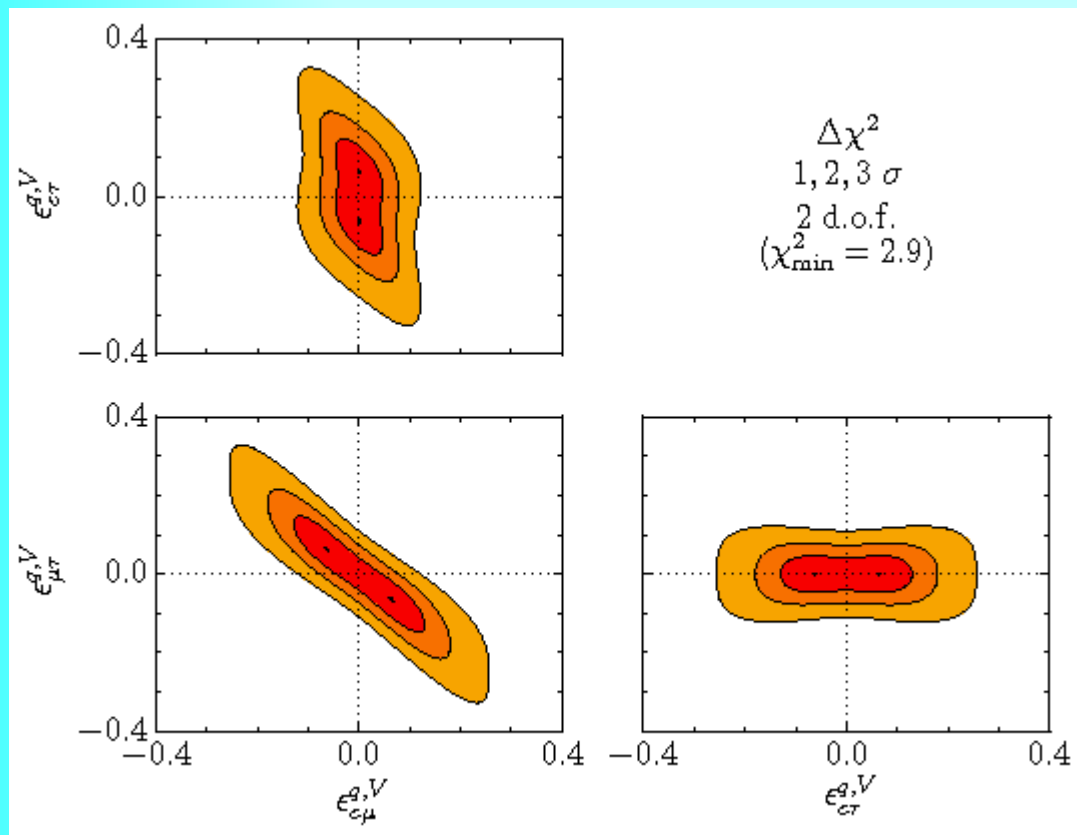


$$\Delta P_e^{\max} = \cos 2\theta_d' \sin 2\theta_s J_m \sim \varepsilon$$

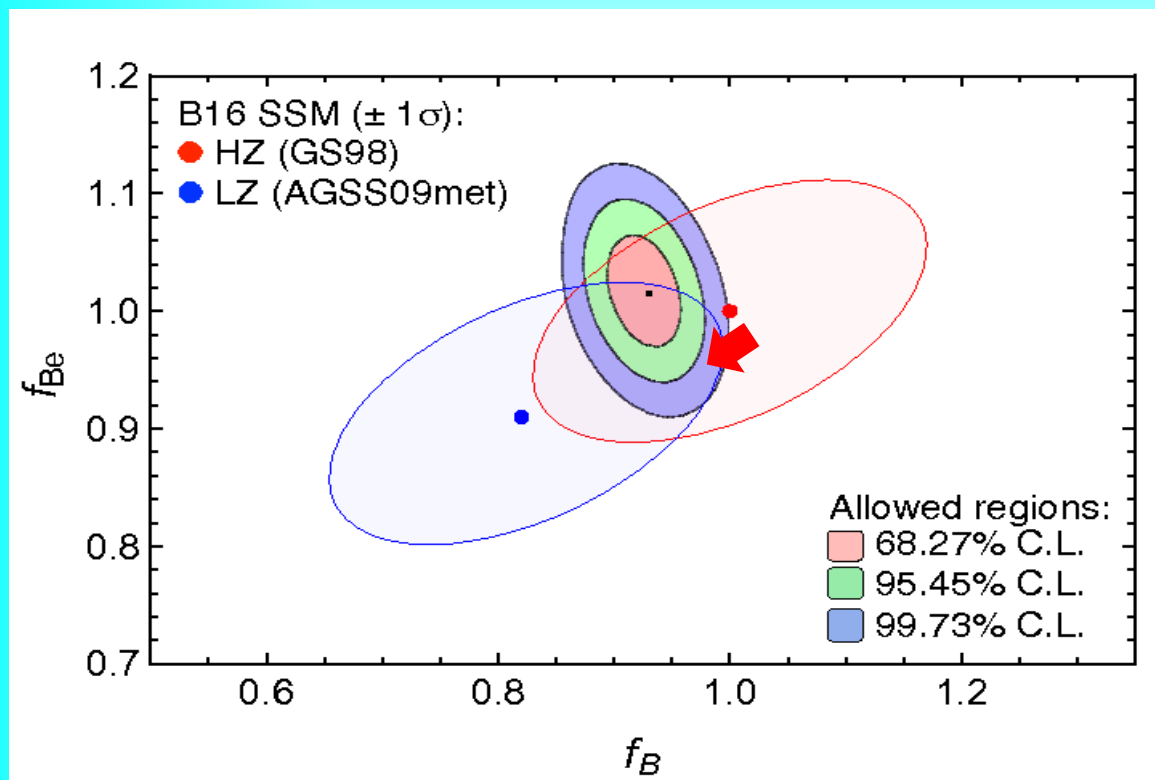


# LMA-D

Data Denton, Peter B. et al.  
arXiv:1804.03660 [hep-ph]



# Distinguishing metallicity models with neutrinos



*Borexino Collaboration*  
(Agostini, M. et al.)  
*arXiv:1707.09279 [hep-ex]*

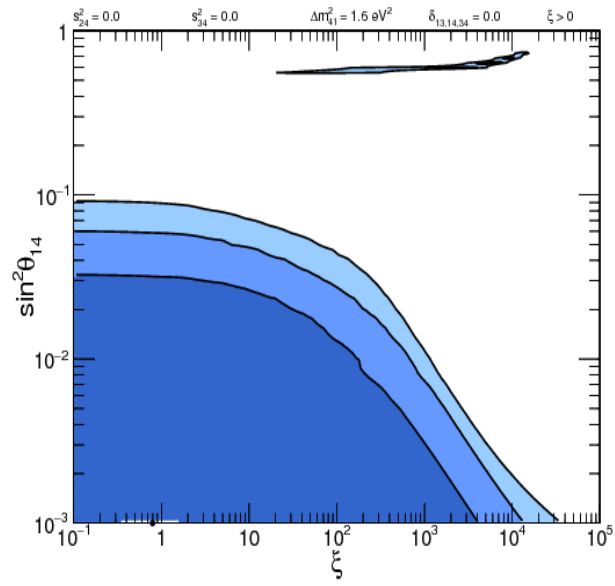
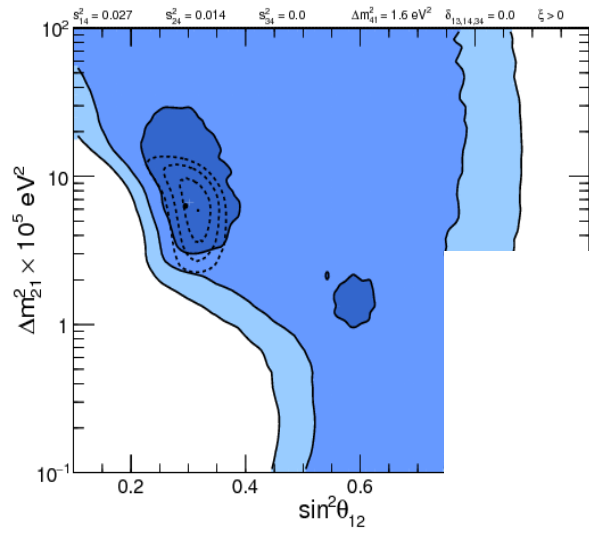
Theoretical uncertainties  
should be reduced

LZ is disfavored  
at  $3.1\sigma$  level

Allowed contours obtained by combining  
the new result on  $7\text{Be}$   $\nu$ 's with solar and  
KamLAND data.  $\sin^2\theta_{13} = 0.02$



# NSI



# Status of LMA MSW solution

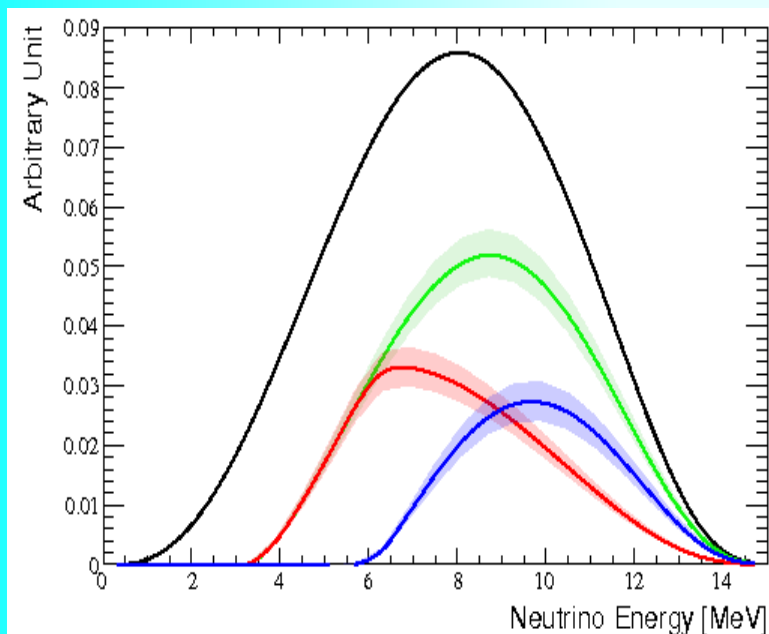


# BOREXINO-II

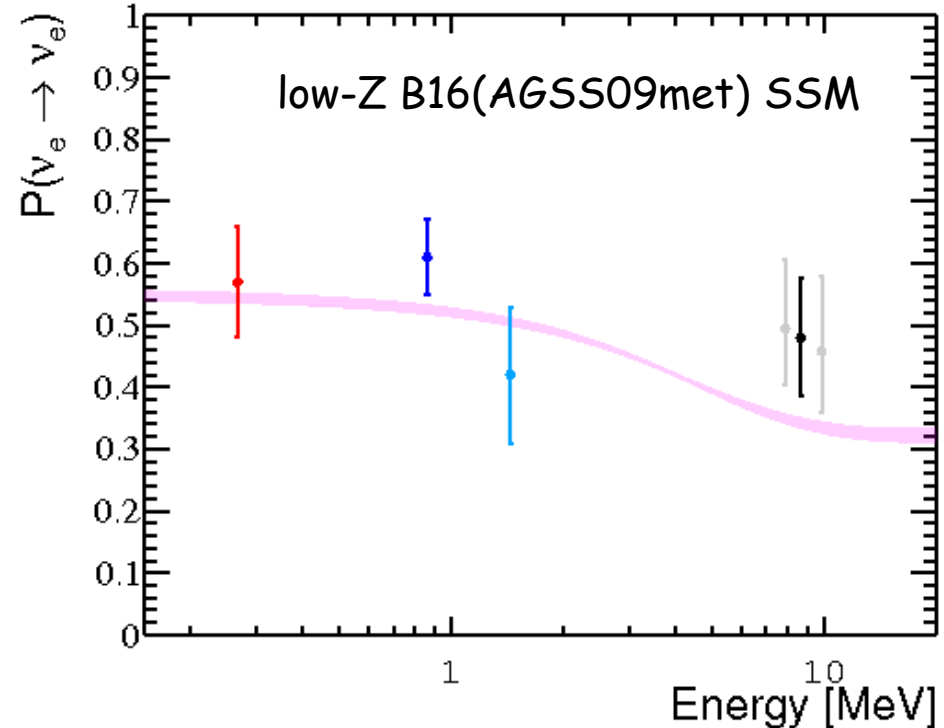
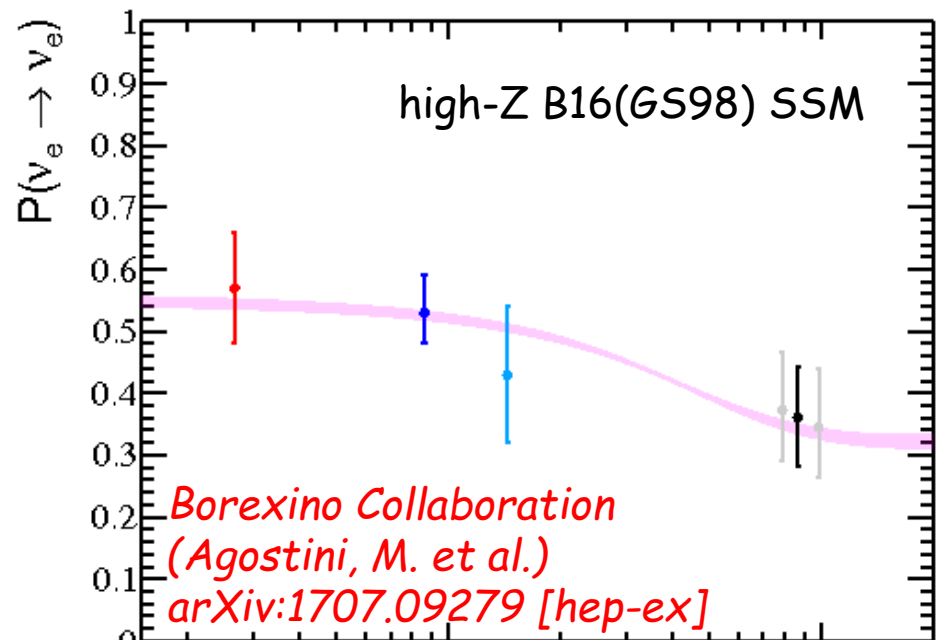
8B spectrum with 1.5 kton y exposure

*Borexino Collaboration*

*(Agostini, M. et al.) 1709.00756 [hep-ex]*



the portions of the nu spectrum contributing to the LE (red), HE (blue), and LE+HE (green) energy windows used in the analysis.



# KamLAND and bump

Subtracting 5 MeV bump

Different flux assumptions:

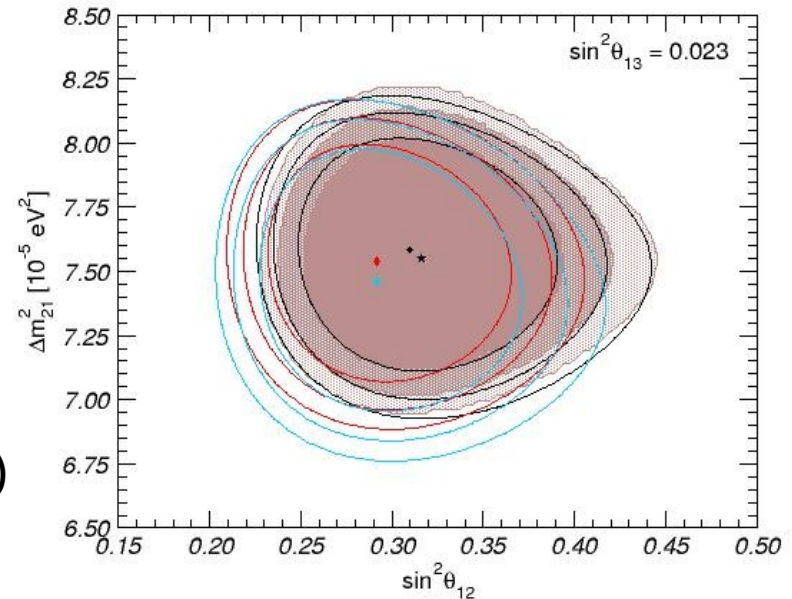
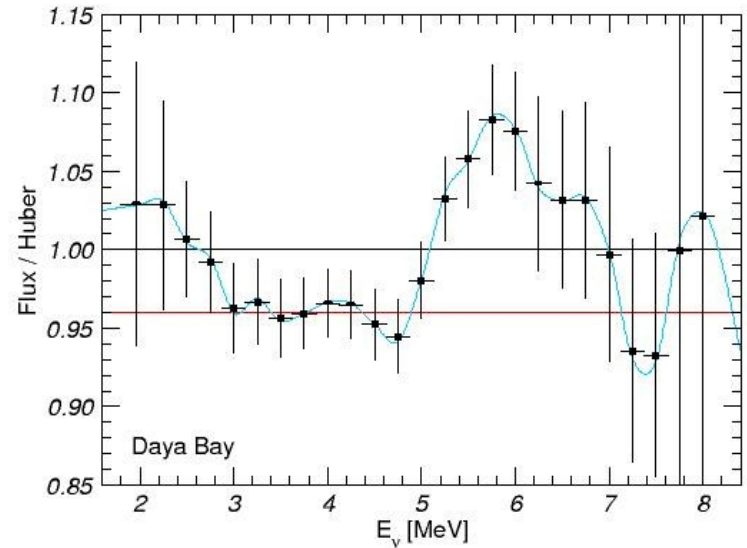
- Huber (black),
- Huber reduced by 4% (red),
- Daya-Bay (cyan) measurements of the reactor spectrum (arXiv:1607.05378) (cyan).

- The shaded brown areas - the KamLAND 2013 matched well the fit with Huber's fluxes (black).

- Reducing the flux by 4% (red) induces a shift of the region to lower  $\theta_{12}$ : the lower flux is compensated larger probability.

- Inclusion of the Daya-Bay spectrum (cyan) with the 5-MeV bump leads to extension of the KamLAND region to lower  $\Delta m_{21}^2$ . The tension with solar slightly reduced

*M. Maltoni 2017*



# Properties of LMA: scaling

Inside the Sun highly adiabatic conversion  $\rightarrow$

the averaged survival probability is scale invariant =  
no dependence on distance, scales of the density profile, etc.

Function of the  
combinations

$$\varepsilon_{12} = \frac{2VE}{\Delta m_{21}^2}$$

$$\varepsilon_{13} = \frac{2VE}{\Delta m_{31}^2}$$

Very weak  
dependence

With oscillations  
in the Earth

$$P_{ee} = P_{ee}(\varepsilon_{12}, \varepsilon_{13}, \phi_E)$$

$$\phi_E = \Delta m_{21}^2 L / 2E$$

L - the length of the trajectory in the Earth

If oscillations in the  
Earth are averaged

$$P_{ee} = P_{ee}(\varepsilon_{12}, \varepsilon_{13}) = P_{ee}(\varepsilon_{12})$$

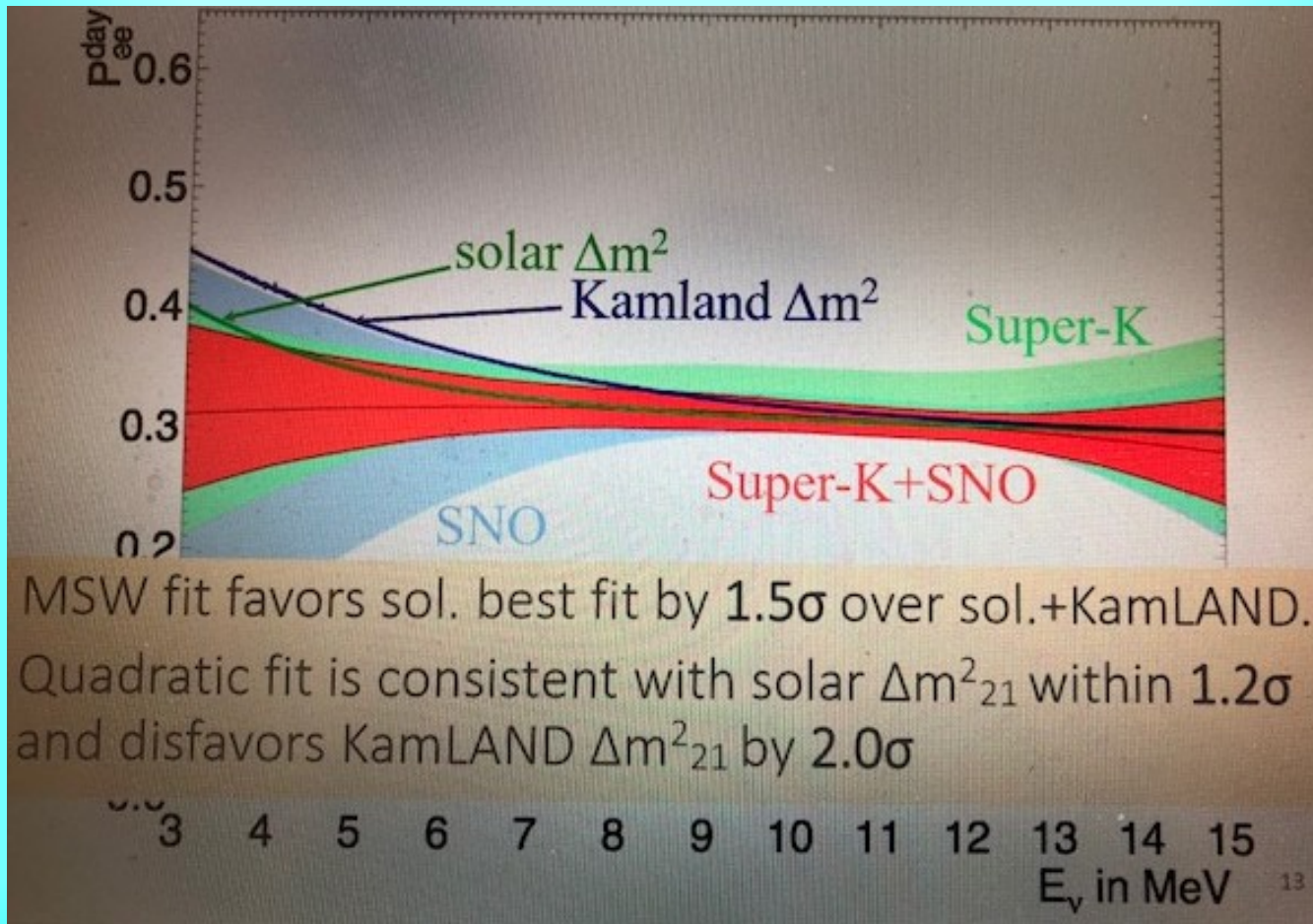
Invariance:

$$\Delta m_{ij}^2 \rightarrow a \Delta m_{ij}^2, V \rightarrow a V$$

$$\Delta m_{ij}^2 \rightarrow b \Delta m_{ij}^2, E \rightarrow b E$$

$a = -1$  flip of the  
mass hierarchy

# Upturn?



# CPT, Lorentz violation

# Long range forces



# Magnetic moment

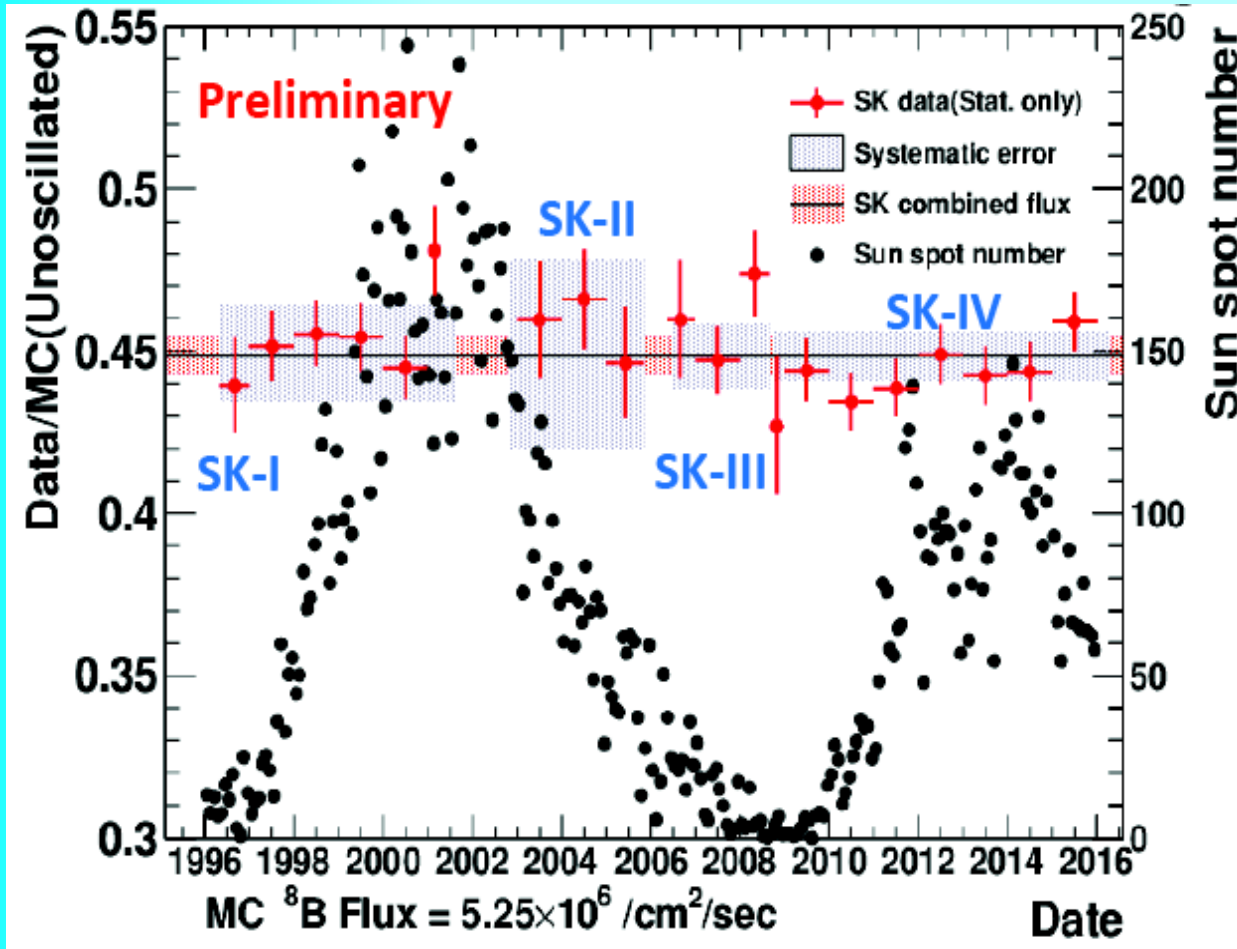
Time variations

Appearance of antineutrinos, KamLAND

Effect at the detection BOREXINO

# Super-Kamiokande

Y. Koshio



Compare with Homestake signal anti-correlations with solar activity

Related to lower Ar production rate?

$$Q_{\text{Ar}}^{\text{LMA}} = 3.1 \text{ SNU}$$

$$Q_{\text{Ar}}^{\text{Hom}} = 2.56 \pm 0.25 \text{ SNU}$$

# Neutrino magnetic moment

Borexino Collaboration, M. Agostini et al., arXiv:1707.09355

O. Smirnov

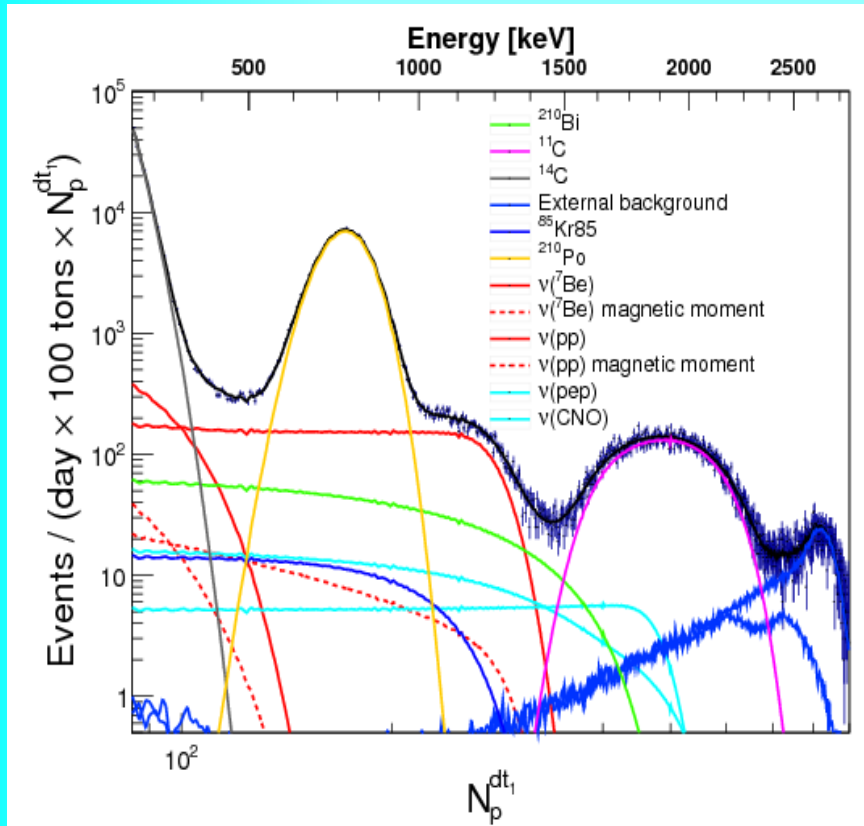
data from 1291.5 days exposure during phase II of the Borexino. No significant deviations from the expected shape of the electron recoil spectrum have been found.

upper limit on the effective neutrino magnetic moment:

$$\mu_{\text{eff}} < 2.8 \cdot 10^{-11} \mu_B, 90\% \text{ C.L.}$$

Spectral fit with the neutrino effective moment fixed at the upper limit

(constraints on the sum of the solar neutrino fluxes implied by gallium experiments has been used)



# Oscillation waves

~

$$P_{1e} = c_{13}^2 |S_{e1}|^2$$

 due to transition to 3v basis

Approximate (lowest order in  $\varepsilon$ ) result


$$U_{k,k-1} \approx \mathbb{I} - i\sigma_2 \sin \Delta\theta_{k-1}$$

Inserting this expression into formula for  $S$  and taking the lowest order terms in  $\sin\Delta\theta_{k-1} \sim \varepsilon$

$$P_{1e} \sim c_{13}^2 \cos^2\theta_n^f + c_{13}^2 \sin 2\theta_n^f \sum_{j=0}^{n-1} \sin\Delta\theta_j \cos \phi_j^{\text{after}}$$

 the 1-2 angle in matter near detector

 sum over jumps

 total phase acquired after jump  $j$

$$\sin \Delta\theta_j \approx c_{13}^2 \sin 2\theta_{12} \Delta V_j \frac{E}{\Delta m_{21}^2}$$

$\Delta V_j$  -  $j$  density jump

# Integral formula

Regeneration factor

$$f_{\text{reg}} = P_{1e} - P_{1e}^0 = P_{1e} - c_{13}^2 \cos^2 \theta_{12}$$

determines the day-night asymmetry

In the integral form:

$$f_{\text{reg}} = -\frac{1}{2} c_{13}^4 \sin^2 2\theta_{12} \int_{x_0}^{x_f} dx V(x) \sin \phi^m(x \rightarrow x_f)$$

*A. Ioannisian and A Y S,  
PRL 93, 241801 (2004),  
hep-ph/0404060*



The phase acquired from the point  $x$  to the final point of trajectory

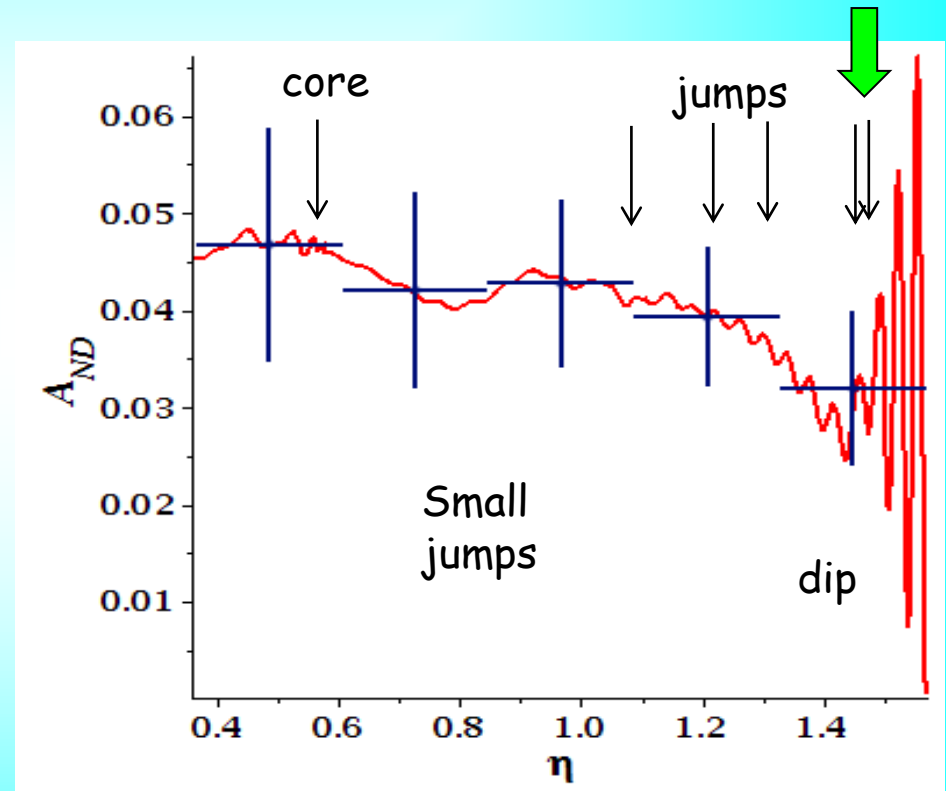
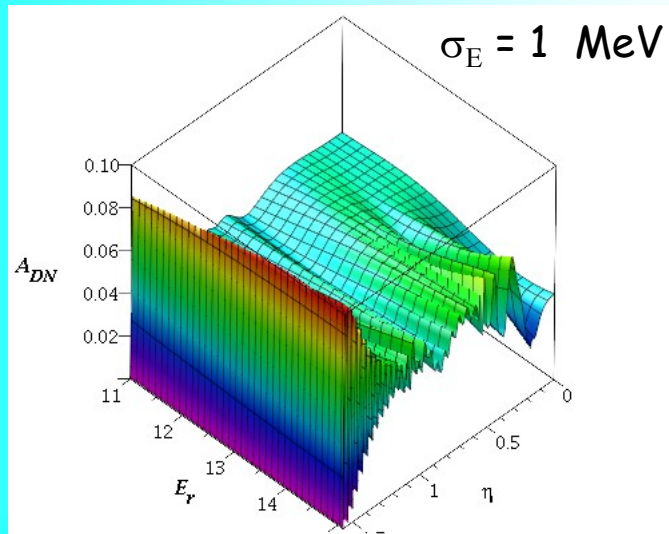
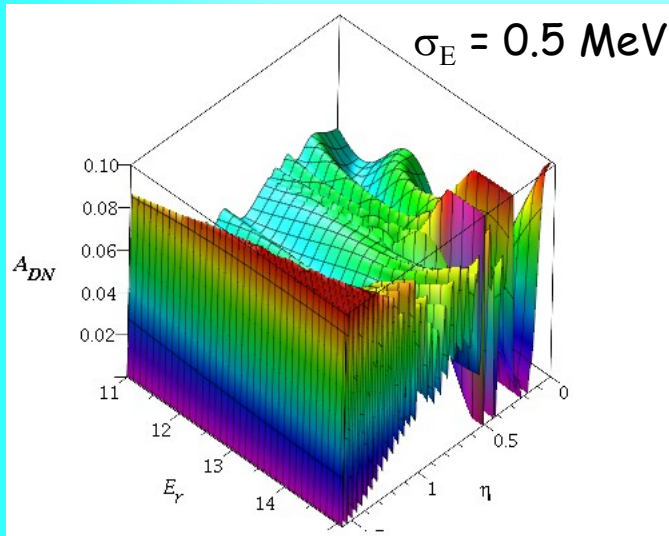
$$\phi^m(x \rightarrow x_f)(E) = \int_x^{x_f} dx \Delta_{12}^m(x)$$

For potential with jumps explicit integration in  $f_{\text{reg}}$  reproduces the result of sum of waves emitted from the jumps

# Relative D-N asymmetry

A. Ioannian,  
B. A.Y.S., D. Wyler  
1702.06097 [hep-ph]

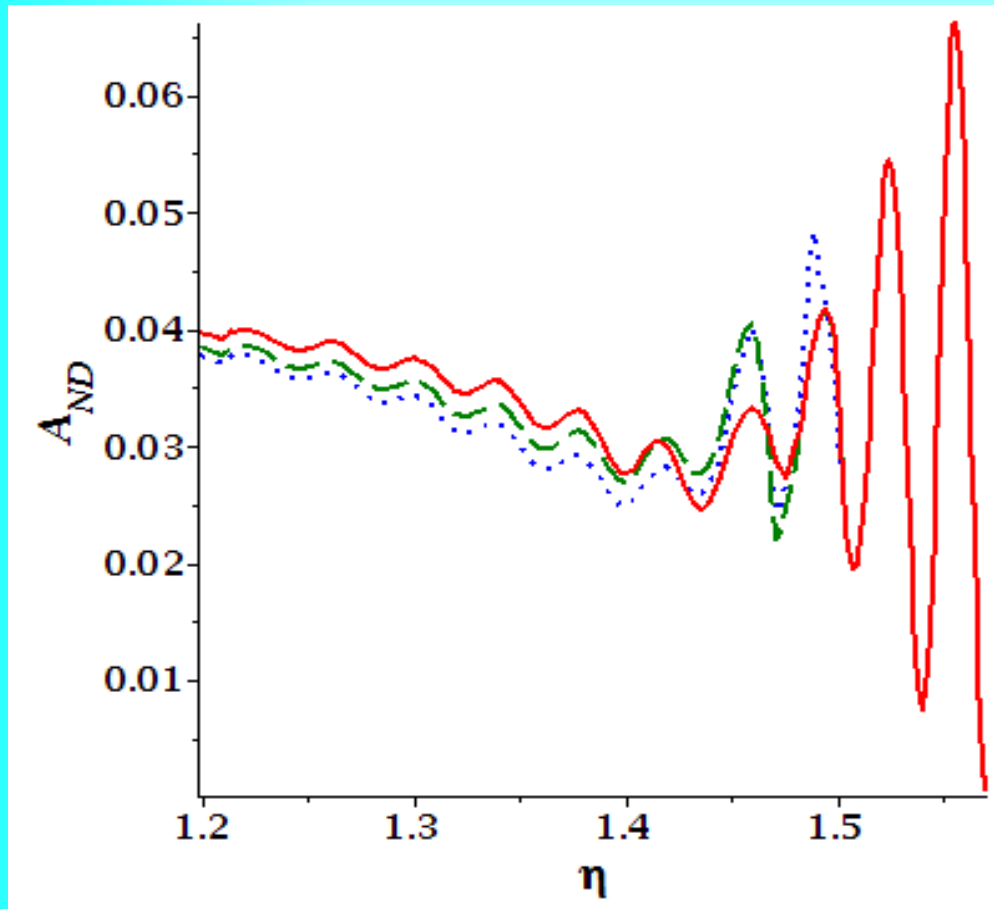
$$A_{DN} = \frac{N - D}{D}$$



Relative excess of the night events  
integrated over  $E > 11$  MeV  
Sensitivity of DUNE experiment  
40 kt, 5 years

# Tomography

*A. Ioannisian, A. Smirnov, D. Wyler,  
Phys.Rev. D96 (2017) no.3, 036005  
arXiv:1702.06097 [hep-ph]*



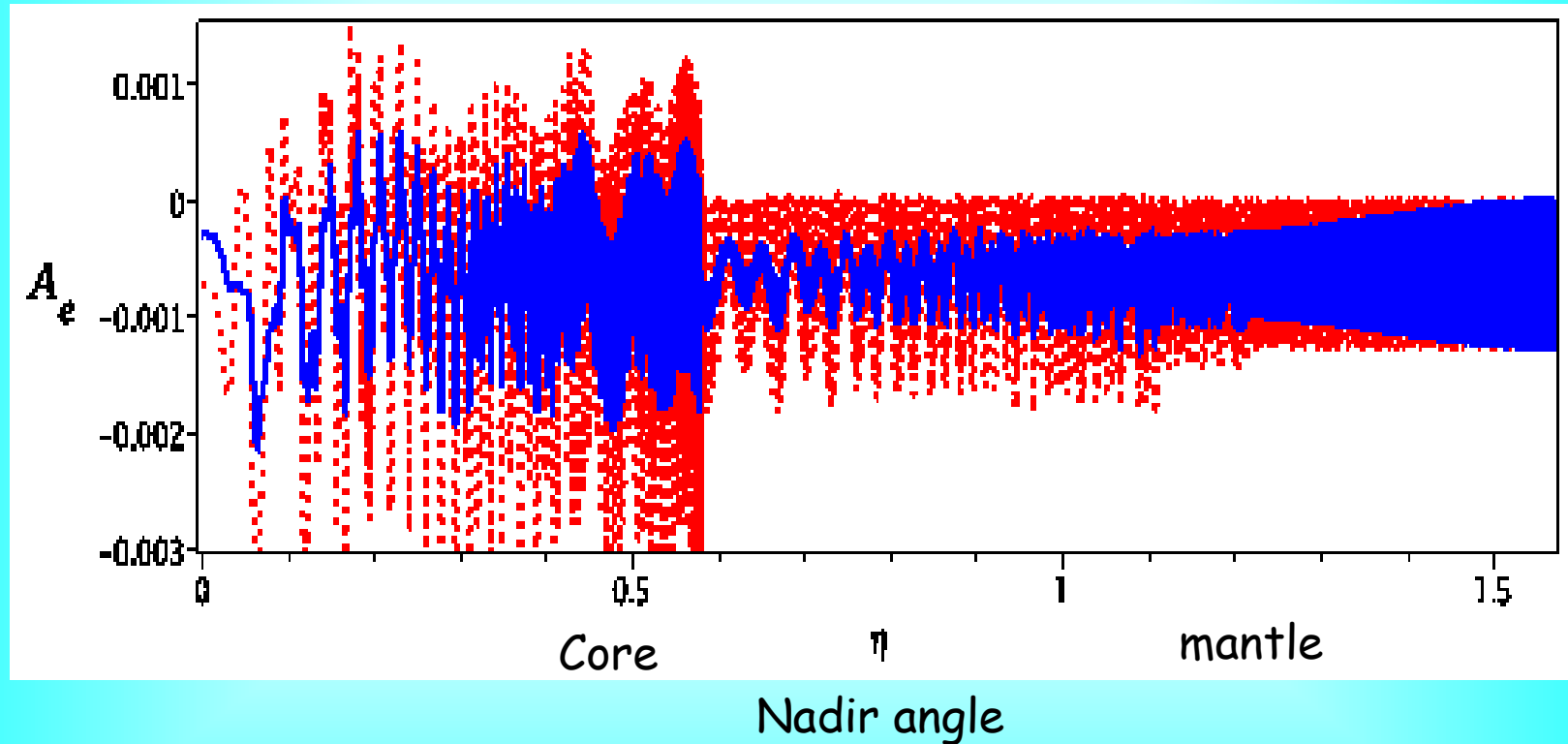
The relative excess of night events integrated over  $E > 11$  MeV as function of the nadir angle for different positions of the density jumps. Jumps at

15 km and 25 km (red)  
20.5 km and 30 km (blue dotted)  
15 km and 30 km (green-dashed).

Parametric enhancement of oscillations is seen in the 3rd and 4th periods.

# Variations of the $\nu_{Be}$ -flux

*A. Ioannisian, AYS*

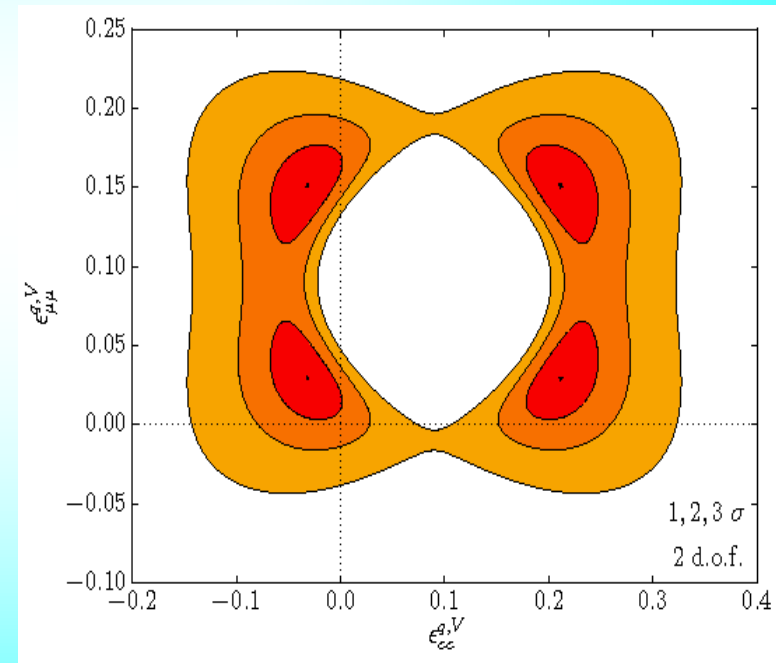
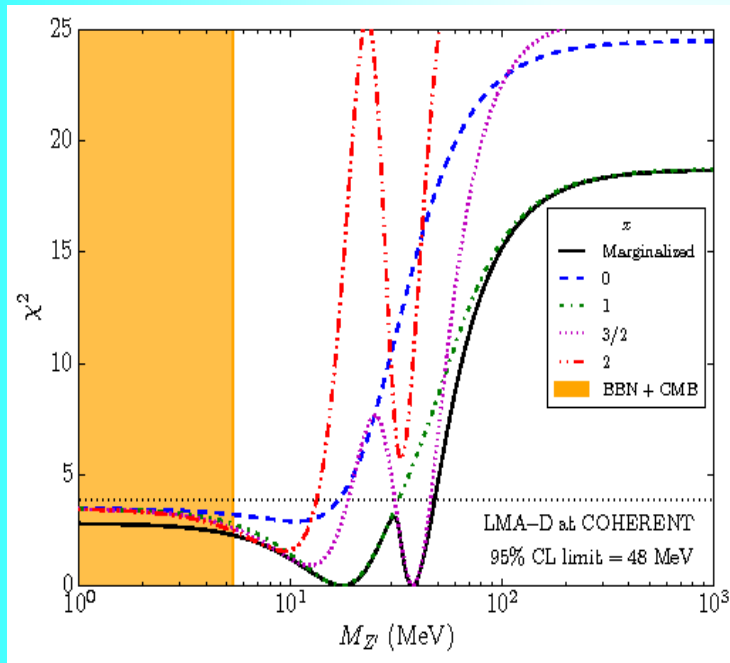


Again 0.1% effect



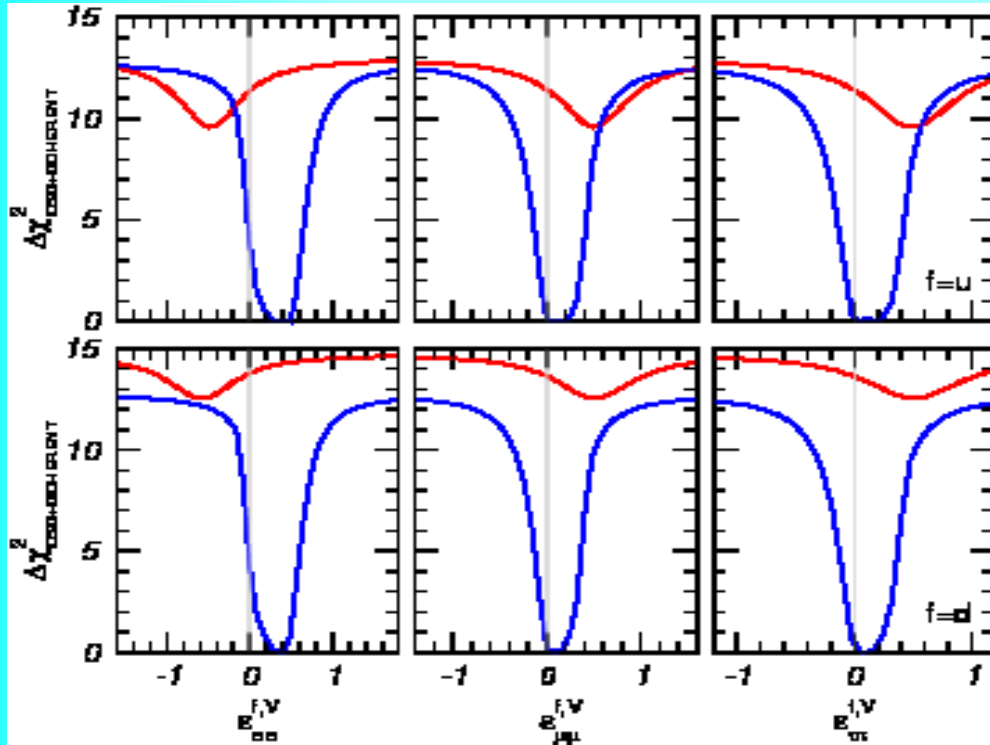
# LMA-D

*A Plan to Rule out Large Non-Standard Neutrino Interactions After COHERENT Data Denton, Peter B. et al. arXiv:1804.03660 [hep-ph]*



# Bounds on NSI

*P. Coloma, M.C. Gonzalez-Garcia, M. Maltoni, T. Schwetz, 1708.02899 [hep-ph]*



Bounds on the flavour diagonal NSI parameters from the global fit to oscillation plus COHERENT data.

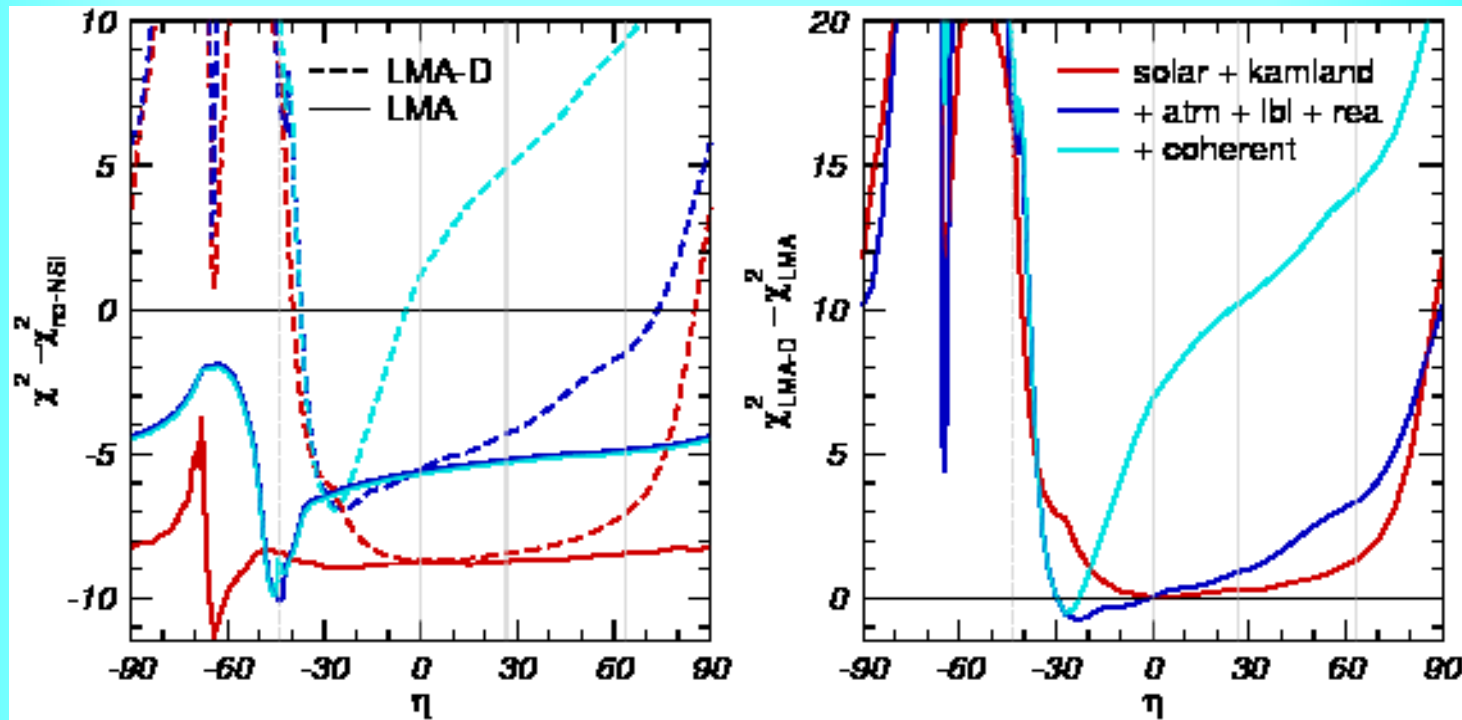
Blue lines - the LMA solution

red lines LMA-D solution

COHERENT experiment, in combination with global oscillation data, excludes the NSI degeneracy at the  $3.1\sigma$  ( $3.6\sigma$ ) CL for NSI with up (down) quarks.

# LMA-Dark

Esteban, Ivan et al.  
arXiv:1805.04530



Left:  $\chi^2_{LMA}(\eta) - \chi^2_{no-NSI}$  (full lines) and  $\chi^2_{LMA-D}(\eta) - \chi^2_{no-NSI}$  (dashed lines) for the analysis of different data combinations as a function of the NSI quark coupling parameter  $\eta$ .

Right:  $\chi^2_{LMA-D}(\eta) - \chi^2_{LMA}(\eta)$  as a function of  $\eta$ .

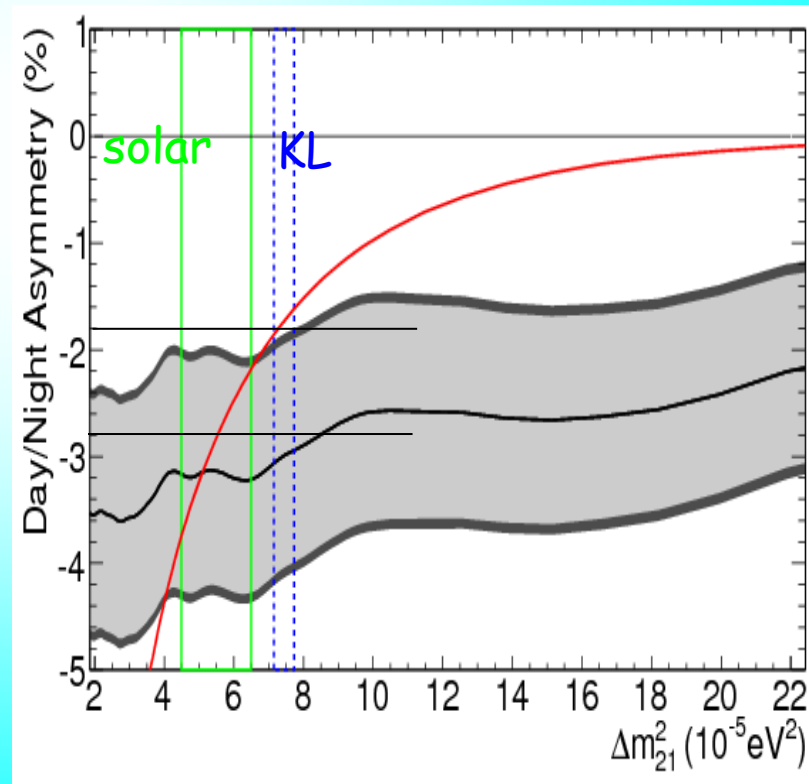
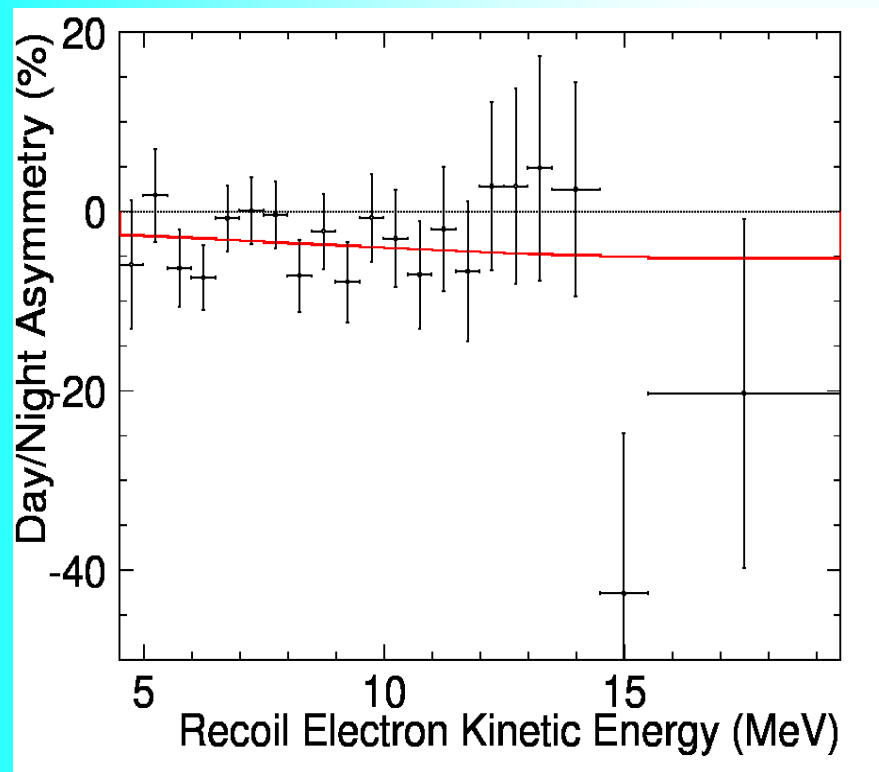
# Day-Night effect

First Indication of Terrestrial Matter Effects on Solar Neutrino Oscillation

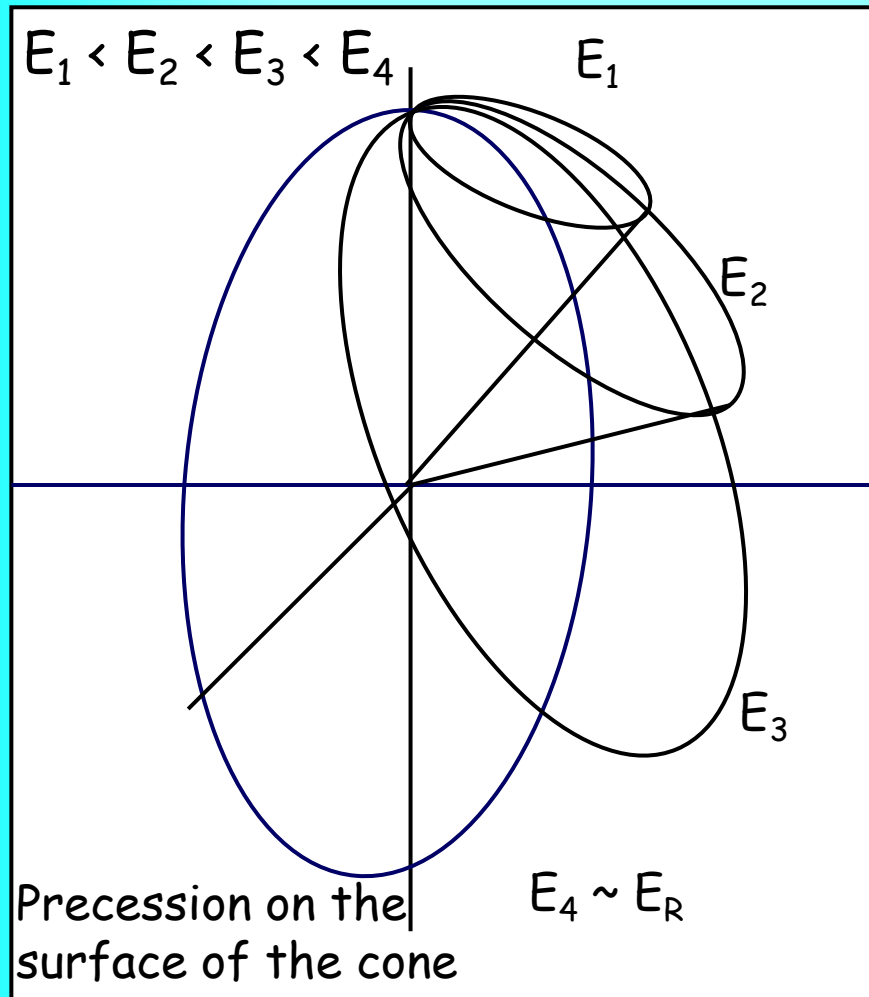
$> 3 \sigma$

*Super-Kamiokande collaboration  
(Renshaw, A. et al.)*

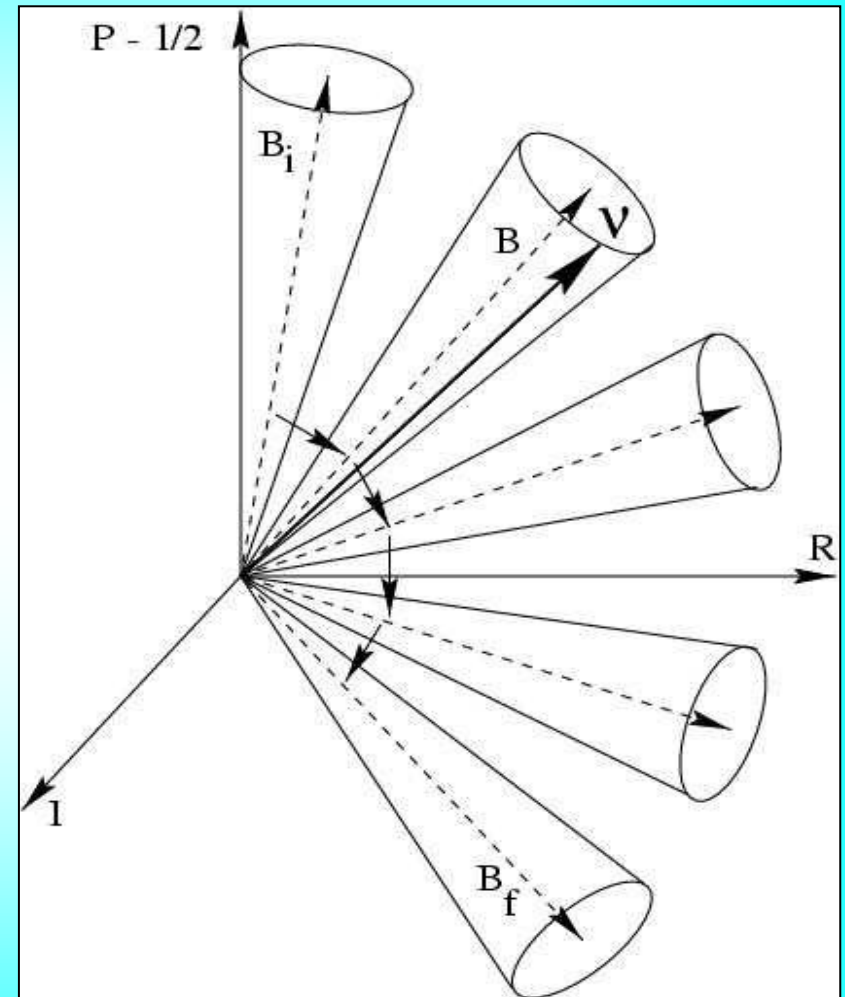
*Phys.Rev.Lett. 112 (2014)  
091805 arXiv:1312.5176*



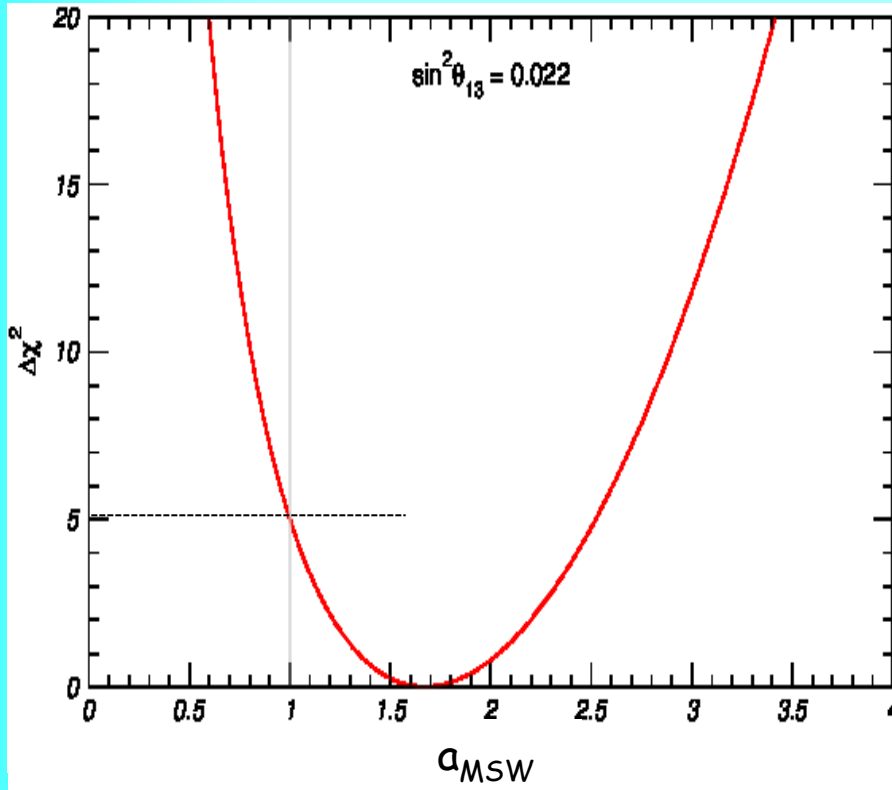
# Resonance enhancement



# Adiabatic conversion



# Matter potential



Determination of the matter potential from the solar plus KamLAND data using  $a_{\text{MSW}}$  as free parameter

*G. L Fogli et al hep-ph/0309100*  
*C. Pena-Garay, H. Minakata, hep-ph 1009.4869 [hep-ph]*  
*M. Maltoni, A.Y.S. 1507.05287 [hep-ph]*

$$V = a_{\text{MSW}} V_{\text{stand}}$$

$a_{\text{MSW}} = 0$  is disfavoured by  $> 15 \sigma$

the best fit value  $a_{\text{MSW}} = 1.66$

$a_{\text{MSW}} = 1.0$  is disfavoured by  $> 2 \sigma$

related to discrepancy of  $\Delta m^2_{21}$  from solar and KamLAND:

$$\frac{\Delta m^2_{21} (\text{KL})}{\Delta m^2_{21} (\text{Sun})} = 1.6$$

Potential enters the probability in combination

$$\frac{V}{\Delta m^2_{21}}$$

# Neutrino decay

## Signatures

Suppression (energy dependent) of number of the NC events - SNO

Distortion of the energy spectrum:

In the standard case: energy dependent mass composition of the flux:

Low energies

$$\cos^2\theta_{12} \nu_1, \sin^2\theta_{12} \nu_2$$

High energies

$$\nu_2$$

$$P_{ee} = \cos^4\theta_{12} + \sin^4\theta_{12}$$

$\nu_2$  - decay

$$\cos^4\theta_{12}$$

$$0$$

$\nu_1$  - decay

$$\sin^4\theta_{12}$$

$$\sin^2\theta_{12}$$