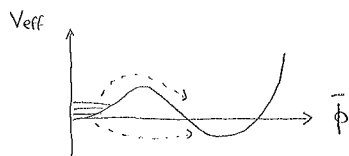


## 6. Bubble nucleation and expansion

We now assume that the system has a first order transition, and consider how it proceeds in real time. Idea:



Let us use boundary conditions at spatial infinity,  $\lim_{|\vec{x}| \rightarrow \infty} \phi(\vec{x}) = 0$ , to define metastable energy eigenstates, and look at their time evolution:

$$\begin{aligned} |\phi(t)\rangle &= e^{-iEt} |\phi(0)\rangle = e^{-i[\text{Re}E + i\text{Im}E]t} |\phi(0)\rangle \\ \Rightarrow \langle \phi(t) | \phi(t) \rangle &= e^{2\text{Im}Et} \langle \phi(0) | \phi(0) \rangle \\ \Rightarrow \Gamma(E) &= -2\text{Im}E \end{aligned}$$

In a thermal ensemble, we might similarly expect  $\langle \Gamma \rangle \approx -2\text{Im}F$ .  
Look at the imaginary-time path integral:

$$F = -T \ln \int_{\text{b.c.}} \mathcal{D}\phi e^{-S_E[\phi]}$$

Let us assume that there are two saddle points:  $\phi \equiv 0$  and  $\phi = \hat{\phi}(\tau, \vec{x})$ :

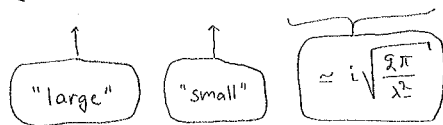
$$\left. \frac{\delta S_E}{\delta \phi} \right|_{\phi=\hat{\phi}} = 0; \quad \hat{\phi}(0, \vec{x}) = \hat{\phi}(\beta, \vec{x}); \quad \lim_{|\vec{x}| \rightarrow \infty} \hat{\phi}(\tau, \vec{x}) = 0.$$

The fluctuation operator around  $\hat{\phi}$  could have a negative eigenmode:

$$\left. \frac{\delta^2 S_E}{\delta \phi^2} \right|_{\phi=\hat{\phi}} f_-(\tau, \vec{x}) = -\lambda_-^2 f_-(\tau, \vec{x}).$$

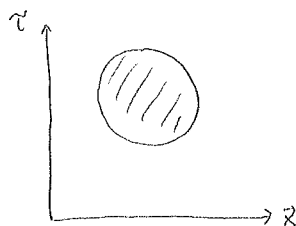
Then

$$F \approx -T \ln \left\{ Z[\phi=0] + e^{-S_E[\hat{\phi}]} \int df_- e^{\frac{1}{2}\lambda_-^2 f_-^2} \int_{n \geq 0} \pi df_n e^{-\frac{1}{2}\lambda_n^2 f_n^2} \right\}$$

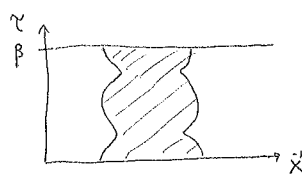


$$\Rightarrow \Gamma \approx \frac{T}{Z[\phi=0]} \frac{e^{-S_E[\hat{\phi}]}}{\left| \det \left( \frac{\delta^2 S_E[\hat{\phi}]}{\delta \phi^2} \right) \right|^{\frac{1}{2}}}$$

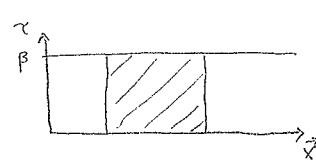
It turns out that the precise computation (or even definition) of the "prefactor" is delicate. Here we focus on  $S_E[\hat{\phi}]$ , often sufficient for practical purposes.  
Schematically:



$T=0$   
 $\Rightarrow 4d$  symmetry  
 $\Rightarrow$  "instanton" for quantum tunnelling



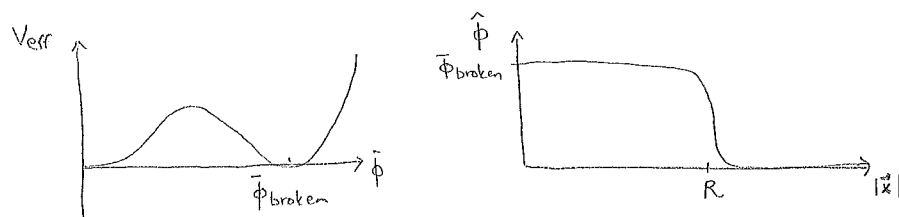
$T \neq 0$   
 $\Rightarrow (3+1)d$  symmetry  
 $\Rightarrow$  "caloron" for thermally modified quantum tunnelling



$T \gg 0$   
 $\Rightarrow 3d$  symmetry  
 $\Rightarrow S_E[\hat{\phi}] = \beta \int d^3x L_E^{(n=0)}$   
 $\Rightarrow$  classical thermal tunnelling

# Classical tunnelling action in the thin-wall limit

Consider the situation just below  $T_c$ :



Euclidean action:  $L_E^{(n=0)} = \frac{1}{2} (\partial_i \hat{\phi})^2 + V_{\text{eff}}(\hat{\phi})$ .

Equation of motion, assuming 3d spherical symmetry ( $r \equiv |\vec{x}|$ ):

$$\frac{d^2 \hat{\phi}}{dr^2} + \frac{2}{r} \frac{d\hat{\phi}}{dr} = V'_{\text{eff}}(\hat{\phi}) ; \quad \hat{\phi}'(0) = 0 ; \quad \hat{\phi}(\infty) = 0.$$

Let us first inspect the region  $r \approx R$ , where the term  $2\hat{\phi}'/R$  is small:

$$\frac{d^2 \hat{\phi}}{dr^2} \approx V'_{\text{eff}}(\hat{\phi}) \Rightarrow \frac{1}{2} \left( \frac{d\hat{\phi}}{dr} \right)^2 \approx V_{\text{eff}}(\hat{\phi}) - V_{\text{eff}}(\bar{\phi}_{\text{broken}}).$$

Multiply both sides by  $\hat{\phi}'$  and integrate

We can now write the nucleation action as

$$\begin{aligned} S_E[\hat{\phi}] &= \int d^3x \left\{ \frac{1}{2} \hat{\phi}'^2 + V_{\text{eff}}(\hat{\phi}) \right\} \\ &\approx 4\pi \left\{ \int_0^{R-\delta} dr r^2 [V_{\text{eff}}(\bar{\phi}_{\text{broken}})] + \int_{R-\delta}^{R+\delta} dr r^2 \left[ \frac{1}{2} \hat{\phi}'^2 + V_{\text{eff}}(\hat{\phi}) \right] + \int_{R+\delta}^{\infty} dr r^2 [0] \right\} \\ &\approx 4\pi \left\{ \int_0^{R+\delta} dr r^2 [V_{\text{eff}}(\bar{\phi}_{\text{broken}})] + \int_{R-\delta}^{R+\delta} dr r^2 \left[ \frac{1}{2} \hat{\phi}'^2 + V_{\text{eff}}(\hat{\phi}) - V_{\text{eff}}(\bar{\phi}_{\text{broken}}) \right] \right\} \\ &\approx R^2 \int_{R-\delta}^{R+\delta} dr \left( \frac{d\hat{\phi}}{dr} \right)^2 \approx R^2 \int_0^{\bar{\phi}_{\text{broken}}} d\hat{\phi} \frac{d\hat{\phi}}{dr} \\ &\approx R^2 \int_0^{\bar{\phi}_{\text{broken}}} d\hat{\phi} \sqrt{2 [V_{\text{eff}}(\hat{\phi}) - V_{\text{eff}}(\bar{\phi}_{\text{broken}})]} \end{aligned}$$

Let  $\delta \equiv \int_0^{\bar{\phi}_{\text{broken}}} d\hat{\phi} \sqrt{2 [\dots]}$  be the surface tension.

Moreover the free energy density can be written as

$$V_{\text{eff}}(\bar{\phi}_{\text{broken}}) = -L \left( 1 - \frac{T}{T_c} \right), \quad L \equiv \text{latent heat}.$$

Then  $S_E[\hat{\phi}] \approx -\frac{4\pi}{3} R^3 L \left( 1 - \frac{T}{T_c} \right) + 4\pi R^2 \delta.$

Now extremize with respect to  $R \Rightarrow R = \frac{8\pi\delta}{4\pi L \left( 1 - \frac{T}{T_c} \right)} = \frac{2\delta}{L \left( 1 - \frac{T}{T_c} \right)}.$

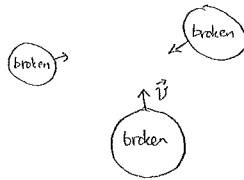
Insert back into  $S_E[\hat{\phi}] \Rightarrow S_E[\hat{\phi}] = 4\pi \left( -\frac{8}{3} + 4 \right) \frac{\delta^3}{L^2 \left( 1 - \frac{T}{T_c} \right)^2} = \frac{16\pi}{3} \frac{\delta^3}{L^2 \left( 1 - \frac{T}{T_c} \right)^2}.$

Summary:  $S_E[\hat{\phi}] \rightarrow \infty$  for  $T \rightarrow T_c$

$\Rightarrow$  nucleation can only happen after some supercooling.

## Nucleation dynamics

Overall picture:



Denote the nucleation probability per time and volume by  $p = \frac{\Gamma}{V} \equiv p_0 e^{-\hat{S}_E}$ .

Let us expand  $\hat{S}_E(t)$  around an effective "nucleation time"  $t_n$ :

$$\hat{S}_E(t) \approx \hat{S}_E(t_n) + \hat{S}'_E(t_n)(t-t_n), \quad \hat{S}'_E(t_n) < 0 \quad (\text{cf. p. 10})$$

Let  $v$  be an effective velocity at which further nucleations are stopped. (could be that of a shock wave,  $v \approx c_s$ ). Then  $t_n$  is determined from

$$1 \approx \int_{-\infty}^{t_n} dt \frac{4\pi v^3 (t_n - t)^3}{3} p_0 e^{-\hat{S}_E(t)}$$

$$\approx \frac{4\pi v^3 p_0}{3} e^{-\hat{S}_E(t_n)} \int_{-\infty}^{t_n} dt (t_n - t)^3 e^{-|\hat{S}'_E(t_n)|(t_n - t)}$$

$$x = t_n - t$$

$$\int_0^{\infty} dx x^3 e^{-|\hat{S}'_E(t_n)|x} = \frac{3!}{|\hat{S}'_E(t_n)|^4}$$

$$\Rightarrow \frac{8\pi v^3 p_0}{|\hat{S}'_E(t_n)|^4} e^{-\hat{S}_E(t_n)} \quad (*)$$

We can also estimate the number density of the bubbles:

$$\frac{N}{V} \approx \int_{-\infty}^{t_n} dt p_0 e^{-\hat{S}_E(t)} \approx \frac{p_0}{|\hat{S}'_E(t_n)|} e^{-\hat{S}_E(t_n)} \stackrel{(*)}{\approx} \frac{|\hat{S}'_E(t_n)|^3}{8\pi v^3}$$

Distance scale:  $l \equiv \left(\frac{N}{V}\right)^{-1/3} \sim \frac{v}{|\hat{S}'_E(t_n)|}$

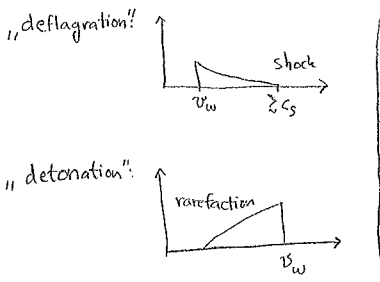
Time scale:  $\Delta t \equiv \frac{l}{v} \sim \frac{1}{|\hat{S}'_E(t_n)|}$

Hubble rate at  $T=T_n$

Therefore an important parameter is  $|\hat{S}'_E(t_n)| \stackrel{!}{=} 3c_s^2 T_n H_n \hat{S}'_E(T_n)$

Summary: the main characteristics of the transition dynamics are

- \* energy released:  $\alpha \equiv \frac{L}{e(T_n)}$
- \* inverse duration:  $\beta \equiv |\hat{S}'_E(t_n)|$
- \* nucleation temperature  $T_n$
- \* heating velocity for nucleation ( $v$ ) vs. wall velocity ( $v_w$ ).



Exercise:

Basic thermodynamic functions are energy density ( $e$ ), pressure ( $p$ ) which equals minus free energy density ( $p = -f$ ), entropy density ( $s$ ). These are related by  $s = \partial p / \partial T$  and  $e = Ts - p$ . Show that the latent heat defined on p.10 equals the discontinuity of  $e$  across a first order transition. Moreover, denoting by  $c_s^2 = \frac{p'(T)}{e'(T)}$  the speed of sound squared, make use of Einstein equations to verify that  $\frac{dT}{dt} = -3c_s^2 TH$ , where  $H$  is the Hubble rate ( $= \frac{\dot{a}}{a}$ ).

## 7. Gravitational waves from phase transition dynamics

Though we cannot go to details, let us work through a simple "linear response" relation for how weakly interacting particles ( $\equiv$  gravitons) are produced from a statistical system. Let

$$\hat{H} = \hat{H}_{\text{plasma}} + \hat{H}_{\text{gravitons}} + \hat{H}_{\text{int}}, \quad \hat{H}_{\text{int}} = \int \vec{x} \varepsilon \hat{h} \hat{J}, \quad \varepsilon \ll 1$$

On-shell field:  $\hat{h} = \int \frac{d^3 \vec{k}}{\sqrt{(2\pi)^3 2\varepsilon_k}} (\hat{a}_k e^{-ik \cdot x} + \hat{a}_k^\dagger e^{ik \cdot x})$ .

↑ "graviton"      ↑ operator containing plasma fields

States:  $|\vec{k}\rangle = \hat{a}_k^\dagger |0\rangle$ ;  $|I\rangle \equiv |i\rangle \otimes |0\rangle$ ;  $|F\rangle \equiv |f\rangle \otimes |\vec{k}\rangle$ .

Transition matrix element:  $T_{FI} = \langle F | \int_0^t dt' \hat{H}_{\text{int}}(t') | I \rangle$ .

Phase space rate:  $\frac{\dot{f}(\vec{k})}{(8\pi)^3} = \lim_{t, V \rightarrow \infty} \sum_{f, i} \frac{e^{-\beta E_i}}{Z} \frac{|T_{FI}|^2}{tV}$ .

Inserting the on-shell field operator we find  $(\langle \vec{k} | \hat{a}_q^\dagger | 0 \rangle = \delta^{(3)}(\vec{k} - \vec{q}))$

$$T_{FI} = \varepsilon \int_{x'} \frac{e^{ik \cdot x'}}{\sqrt{(2\pi)^3 2\varepsilon_k}} \langle f | \hat{J}(x') | i \rangle$$

$$\Rightarrow |T_{FI}|^2 = \frac{\varepsilon^2}{(2\pi)^3 2\varepsilon_k} \int_{x', y'} e^{ik \cdot (x' - y')} \langle f | \hat{J}(x') | i \rangle \langle i | \hat{J}(y') | f \rangle$$

Now sum over  $i$  with the Boltzmann weight, and make use of translational invariance to cancel  $tV$ . Also, denote  $x' \rightarrow x$ .

$$\Rightarrow \dot{f}(\vec{k}) = \frac{\varepsilon^2}{2\varepsilon_k} \int_x e^{ik \cdot x} \langle \hat{J}(0) \hat{J}(x) \rangle$$

For gravitational waves, we replace  $\hat{J} \rightarrow \hat{T}^{\mu\nu}$ ,  $\varepsilon \rightarrow \frac{\sqrt{8\pi}}{m_{\text{Pl}}}$ ; sum over transverse-traceless polarizations ( $\Pi$ ); and weigh by  $\varepsilon_k = k$  to get energy density.

$$\Rightarrow \frac{d\varepsilon_{\text{GW}}}{dt d^3 \vec{k}} = \frac{2}{\pi^2 m_{\text{Pl}}^2} \int_{(t, \vec{x})} e^{ik(t-\vec{x})} \langle \hat{T}^{xy}(0, \vec{0}) \hat{T}^{xy}(t, \vec{x}) \rangle$$

Here, for a plasma with a scalar field, (employing "gravity signature"  $-+++$ )

$$T^{\mu\nu} = w u^\mu u^\nu + p g^{\mu\nu} + \phi'^\mu \phi'^\nu - \frac{g^{\mu\nu} \phi_\alpha \phi^\alpha}{2},$$

$$p = p_0(T) - V_{\text{eff}}(\phi, T), \quad w \equiv T \partial_T p.$$

Normally numerical simulations are needed for a reliable result!