

Aspects of SUSY Breaking in String Theory

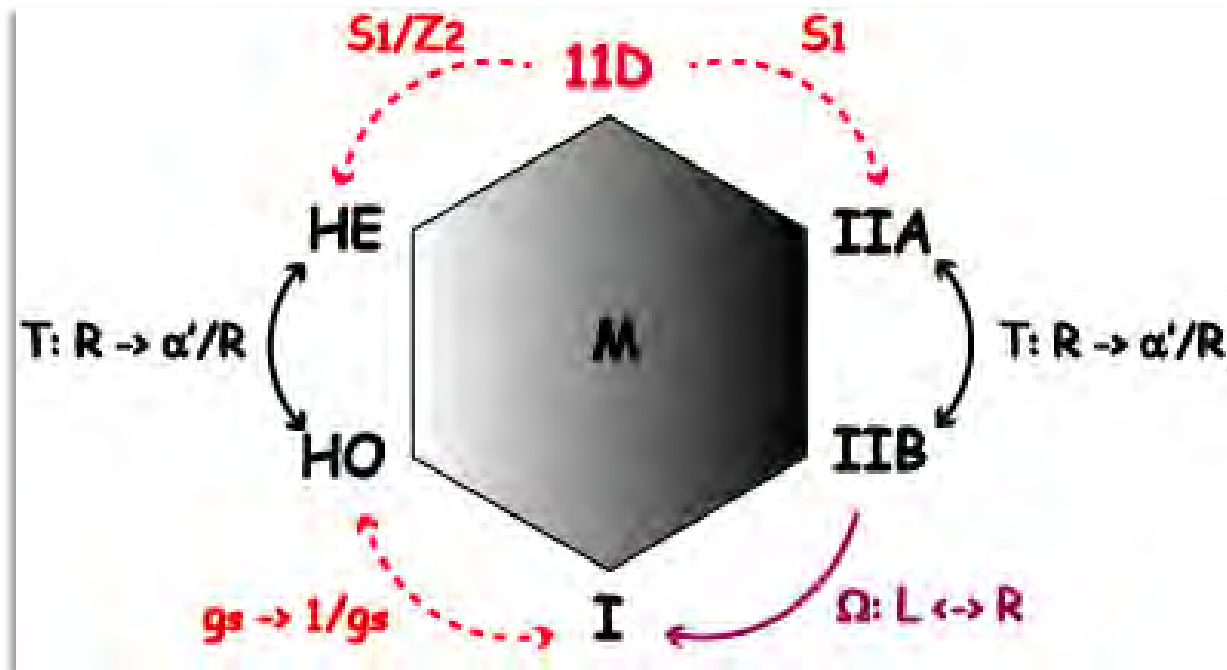
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10D Superstrings
And
(Brane) SUSY Breaking

The 10-11 Duality Hexagon



- **Highest point** reached by (SUSY) String Theory
- Exhibits **dramatically** the **narrow limits** of our understanding
- **Solid arrows:** perturbative links
- **Dashed arrows:** non-perturbative links suggested by 10&11D supergravity
- **HERE:** SUSY eliminates tachyons and stabilizes the 10D Minkowski vacua

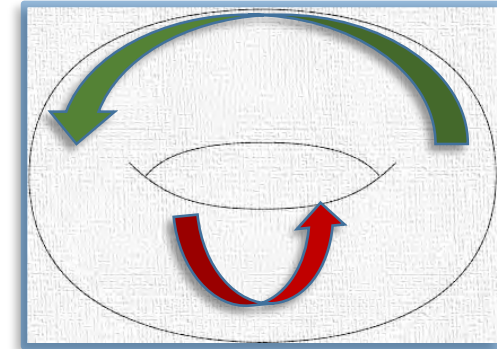
BROKEN SUSY?

Ten-Dimensional (Closed) Superstrings

❖ Building principles of (closed) string spectra and the vacuum energy:

- spin-statistics (*GSO projections*)
- modular invariance

(Gliozzi, Scherk, Olive, 1977)



$$\begin{pmatrix} O_8 \\ V_8 \\ S_8 \\ C_8 \end{pmatrix} ; \quad \tau \rightarrow -\frac{1}{\tau} : S = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{pmatrix} \quad \tau \rightarrow \tau + 1 : T = e^{-i\frac{\pi}{3}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

$$\tau \rightarrow -\frac{1}{\tau} : \eta(-1/\tau) = (-i\tau)^{\frac{1}{2}} \eta(\tau) \quad \tau \rightarrow \tau + 1 : \eta(\tau + 1) = e^{i\frac{\pi}{12}} \eta(\tau)$$

• IIA, IIB:

$$\mathcal{T}_{IIA} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{(V_8 - S_8)(\bar{V}_8 - \bar{C}_8)}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{IIB} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8}$$

$$IIA : (e_\mu^a, B_{\mu\nu}, \phi, \psi_{\mu L}, \psi_{\mu R}, \psi_L, \psi_R, A_\mu, C_{\mu\nu\rho})$$

$$IIB : (e_\mu^a, B_{\mu\nu}^{1,2}, \phi^{1,2}, \psi_{\mu L}^{1,2}, \psi_R^{1,2}, D_{\mu\nu\rho\sigma}^+)$$

• HE, HO:

$$\mathcal{T}_{HE} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{(V_8 - S_8)(\bar{O}_{16} + \bar{S}_{16})^2}{Im\tau^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{HO} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{(V_8 - S_8)(\bar{O}_{32} + \bar{S}_{32})}{Im\tau^4 \eta^8 \bar{\eta}^8}$$

$$HE : (e_\mu^a, B_{\mu\nu}, \phi, \psi_{\mu L}, \psi_R) \oplus (E_8 \times E_8 \text{ super YM})$$

$$HO : (e_\mu^a, B_{\mu\nu}, \phi, \psi_{\mu L}, \psi_R) \oplus (SO(32) \text{ super YM})$$

$$\text{SUSY: } V_8 = S_8 = C_8$$

• OA, OB:

$$\mathcal{T}_{0A} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + S_8 \bar{C}_8 + C_8 \bar{S}_8}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{0B} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8}$$

$$0A : (e_\mu^a, B_{\mu\nu}, \phi, T, A_\mu^{1,2}, C_{\mu\nu\rho}^{1,2})$$

$$0B : (e_\mu^a, B_{\mu\nu}^{1,2,3}, \phi^{1,2,3}, T, D_{\mu\nu\rho\sigma})$$

• $H_{16 \times 16}$:

$$\mathcal{T}_{SO(16) \times SO(16)} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{1}{Im\tau^4 \eta^8 \bar{\eta}^8} [O_8(\bar{V}_{16} \bar{C}_{16} + \bar{C}_{16} \bar{V}_{16}) + V_8(\bar{O}_{16} \bar{O}_{16} + \bar{S}_{16} \bar{S}_{16}) - S_8(\bar{O}_{16} \bar{S}_{16} + \bar{S}_{16} \bar{O}_{16}) - C_8(\bar{V}_{16} \bar{V}_{16} + \bar{C}_{16} \bar{C}_{16})]$$

$$H_{16 \times 16} : (e_\mu^a, B_{\mu\nu}, \phi) \oplus A_\mu^{(120,1) \oplus (1,120)} \oplus \psi_L^{(128,1) \oplus (1,128)} \oplus \psi_R^{(16,16)}$$

(Dixon, Harvey, 1986)

(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1986)

IIA & IIB on a Circle

❖ **COMPACTIFY IIA and IIB** on a circle of radius **R**:

$$\begin{aligned}\mathcal{T}_{IIA} &= \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{(V_8 - S_8)(\bar{V}_8 - \bar{C}_8)}{(Im\tau)^{\frac{7}{2}} \eta^8 \bar{\eta}^8} \times \sum_{m,n} q^{\frac{\alpha'}{4}(\frac{m}{R} + \frac{nR}{\alpha'})^2} \bar{q}^{\frac{\alpha'}{4}(\frac{m}{R} - \frac{nR}{\alpha'})^2} \\ \mathcal{T}_{IIB} &= \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^{\frac{7}{2}} \eta^8 \bar{\eta}^8} \times \sum_{m,n} q^{\frac{\alpha'}{4}(\frac{m}{R} + \frac{nR}{\alpha'})^2} \bar{q}^{\frac{\alpha'}{4}(\frac{m}{R} - \frac{nR}{\alpha'})^2}\end{aligned}$$

❖ **CORRECTION factor:**

$$\sum_{m,n} \Lambda_{m,n} \equiv \sum_{m,n} q^{\frac{\alpha'}{4}(\frac{m}{R} + \frac{nR}{\alpha'})^2} \bar{q}^{\frac{\alpha'}{4}(\frac{m}{R} - \frac{nR}{\alpha'})^2}$$

❖ **NOTICE** the invariance of the (stringy) sum in the Kaluza-Klein factor under **R** $\rightarrow$ **α'/R**

❖ **BUT:** this combines into a "R-moving parity": **$X_L(\tau+\sigma) \rightarrow X_L(\tau+\sigma)$, $X_R(\tau-\sigma) \rightarrow -X_R(\tau-\sigma)$, ...**
which flips the relative chirality of the two Ramond vacua: **IIA $\leftrightarrow$ IIB** as the dual **R $\rightarrow \infty$**

SUSY BREAKING?

Scherk-Schwarz reduction: (Bose periodic & Fermi antiperiodic)

(Circle) Scherk-Schwarz

(Scherk, Schwarz, 1979)

(Rohm, 1984)

(Ferrara, Kounnas, Porrati, Zwirner, 1987)

.....

❖ Scherk-Schwarz (R') = "shift-orbifold ($2R'=R$) [$X \rightarrow X + \pi R$ combined with $(-1)^F X$]

$$\begin{aligned} \mathcal{T}_{IIA} &\rightarrow \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{1}{(Im\tau)^{\frac{7}{2}} \eta^8 \bar{\eta}^8} \times \left[(V_8 \bar{V}_8 + S_8 \bar{C}_8) \sum_{m,n} \frac{1+(-1)^m}{2} \Lambda_{m,n} - (V_8 \bar{C}_8 + S_8 \bar{V}_8) \sum_{m,n} \frac{1-(-1)^m}{2} \Lambda_{m,n} \right. \\ &\quad \left. (O_8 \bar{O}_8 + C_8 \bar{S}_8) \sum_{m,n} \frac{1+(-1)^m}{2} \Lambda_{m,n+\frac{1}{2}} - (O_8 \bar{S}_8 + C_8 \bar{O}_8) \sum_{m,n} \frac{1-(-1)^m}{2} \Lambda_{m,n+\frac{1}{2}} \right] \\ \mathcal{T}_{IIB} &\rightarrow \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{1}{(Im\tau)^{\frac{7}{2}} \eta^8 \bar{\eta}^8} \times \left[(V_8 \bar{V}_8 + S_8 \bar{S}_8) \sum_{m,n} \frac{1+(-1)^m}{2} \Lambda_{m,n} - (V_8 \bar{S}_8 + S_8 \bar{V}_8) \sum_{m,n} \frac{1-(-1)^m}{2} \Lambda_{m,n} \right. \\ &\quad \left. (O_8 \bar{O}_8 + C_8 \bar{C}_8) \sum_{m,n} \frac{1+(-1)^m}{2} \Lambda_{m,n+\frac{1}{2}} - (O_8 \bar{C}_8 + C_8 \bar{O}_8) \sum_{m,n} \frac{1-(-1)^m}{2} \Lambda_{m,n+\frac{1}{2}} \right] \end{aligned}$$

❖ NOW: "twisted" sectors where as $R \rightarrow 0$ TACHYONS appear

❖ A VACUUM ENERGY is generated

❖ AFTER a T-duality $R \rightarrow 0$ translates into $R \rightarrow \infty$, and

$$\begin{aligned} \mathcal{T}_{IIB} &\rightarrow \mathcal{T}_{0A} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + S_8 \bar{C}_8 + C_8 \bar{S}_8}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \\ \mathcal{T}_{IIA} &\rightarrow \mathcal{T}_{0B} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \end{aligned}$$

(Blum, Dienes, 1998)

Open Descendants, or "Orientifolds"

(AS, 1987)

- ❖ Models with OPEN strings DESCEND from closed ones via orientifold projections. In particular: the $SO(32)$ type-I superstring descends from type-IIB

→ MIX L and R string MODES



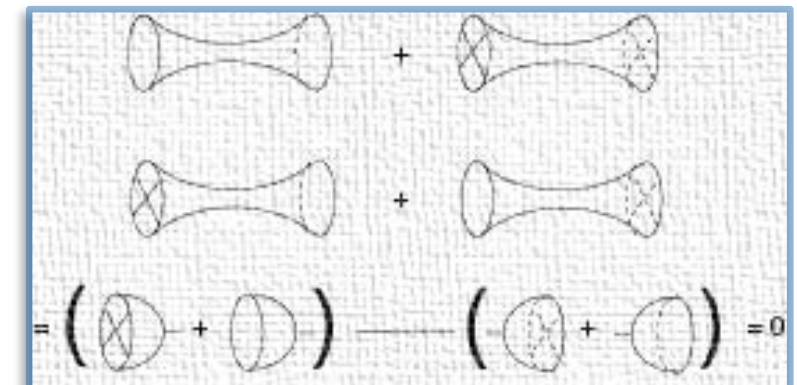
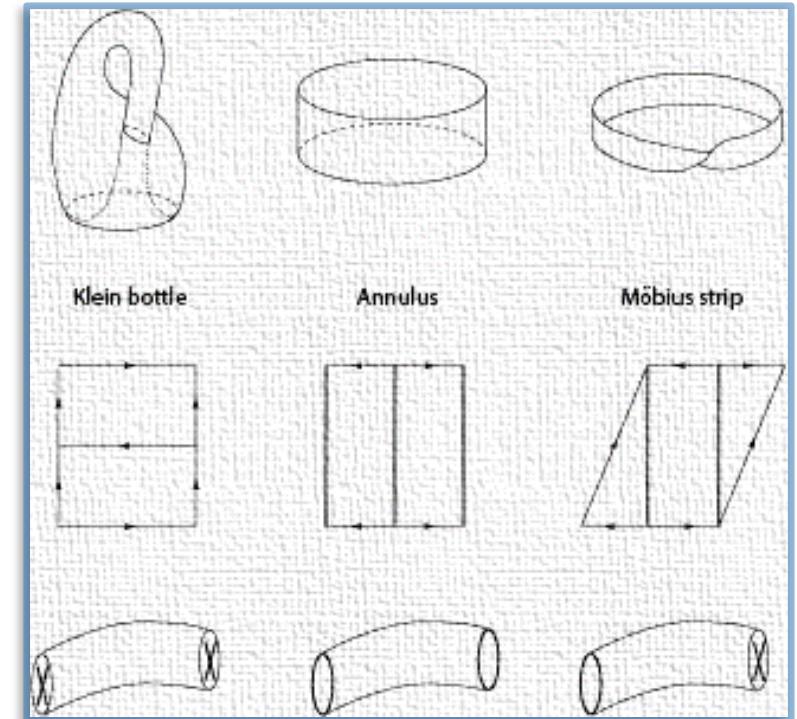
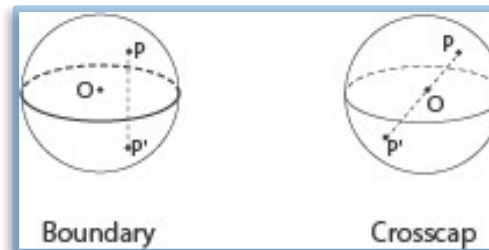
(+ M. Bianchi, G. Pradisi, 1988- ; D. Fioravanti, 1993; Y. Stanev, 1994-)

- ❖ New 2D ingredients:

- ❖ RR tadpole(s): neutrality conditions

- ❖ The procedure fills vacua with D-branes and O-planes

(Polchinski, 1995)

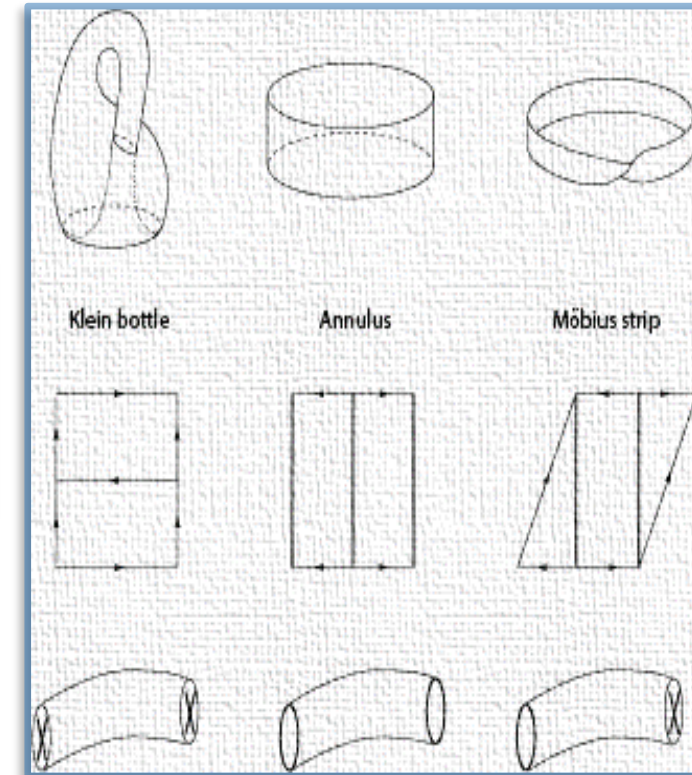
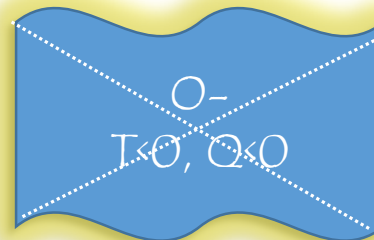


10D Tachyon-Free Orientifolds

- 1) **SO(32) type-I**: in vacuum **BPS** combination (**O₋ orientifold** ($T < 0, Q < 0$) and **D-branes** ($T > 0, Q > 0$)). Massless Weyl fermions in the adjoint of SO(32)

$$\begin{aligned} \mathcal{T}_{SO(32)} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{SO(32)} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{SO(32)} &= \frac{\mathcal{N}^2}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{SO(32)} &= -\frac{\mathcal{N}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{(\hat{V}_8 - \hat{S}_8)}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2] \\ & & \mathcal{N} &= 32 \end{aligned}$$

$$(e_\mu^a, B_{\mu\nu}, \phi, \psi_\mu, \psi) \oplus (A_\mu^{[ab]}, \lambda^{[ab]}), \quad \text{SO(32) gauge group}$$

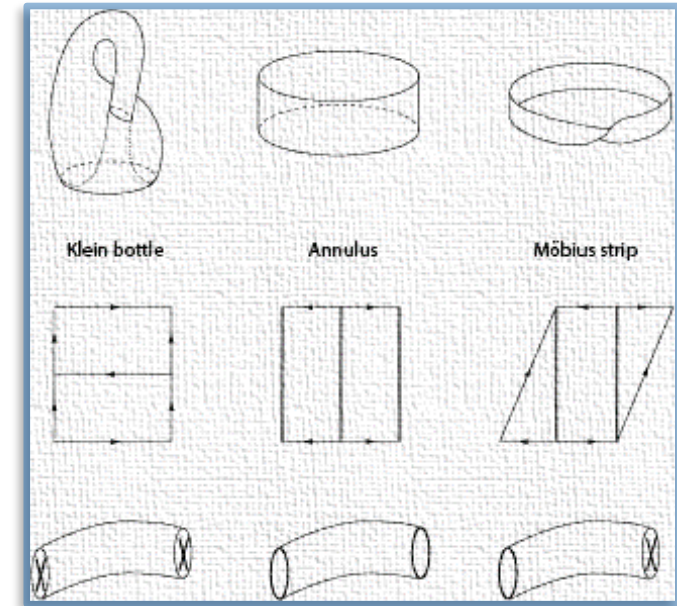


10D Tachyon-Free Orientifolds

1. **U(32) type-O'b:** $(e_\mu^a, B_{\mu\nu}, D_{\mu\nu\rho\sigma}^+, \phi, \phi') \oplus (A_{\mu a}^b, \lambda^{[ab]}, \lambda_{[ab]})$, U(32) gauge group

[NO SUSY, $T > 0$, NO TACHYONS after orientifold projection] (AS, 1995)

$$\begin{aligned} \mathcal{T}_{O'B} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{O'B} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{-O_8 + V_8 + S_8 - C_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{O'B} &= \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\mathcal{N} \bar{\mathcal{N}} V_8 - \frac{\mathcal{N}^2 + \bar{\mathcal{N}}^2}{2} C_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{O'B} &= -\frac{\mathcal{N} + \bar{\mathcal{N}}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\hat{C}_8}{(\tau_2)^4 \eta^8} [i\tau_2/2 + 1/2] \\ & & \mathcal{N} = \bar{\mathcal{N}} = 32 & \end{aligned}$$



2. **USp(32) type-I:** $(e_\mu^a, B_{\mu\nu}, \phi, \psi_\mu, \psi) \oplus (A_\mu^{(ab)}, \lambda^{[ab]})$, USp(32) gauge group

[non-linear SUSY, $T > 0$] (Sugimoto, 1999)

$$\begin{aligned} \mathcal{T}_{USp(32)} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{USp(32)} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{USp(32)} &= \frac{\mathcal{N}^2}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{USp(32)} &= -\frac{\mathcal{N}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{(-) \hat{V}_8 - \hat{S}_8}{(\tau_2)^4 \eta^8} [i\tau_2/2 + 1/2] \\ & & \mathcal{N} = 32 & \end{aligned}$$

D-
antibranes
 $T > 0, Q < 0$

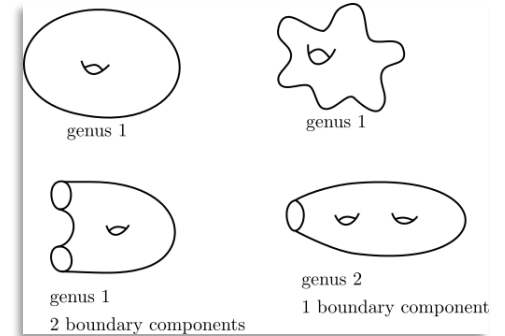
~~Q+~~
 ~~$T > 0, Q > 0$~~

Only sign of V
flipped w.r.t. type-I
SO(32)!

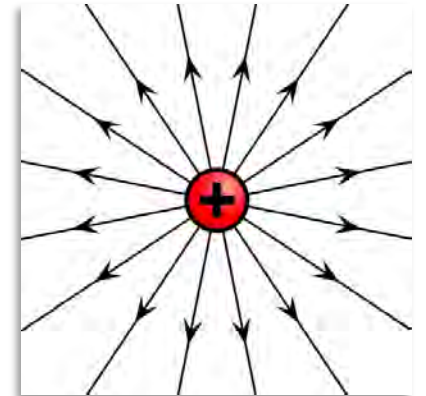
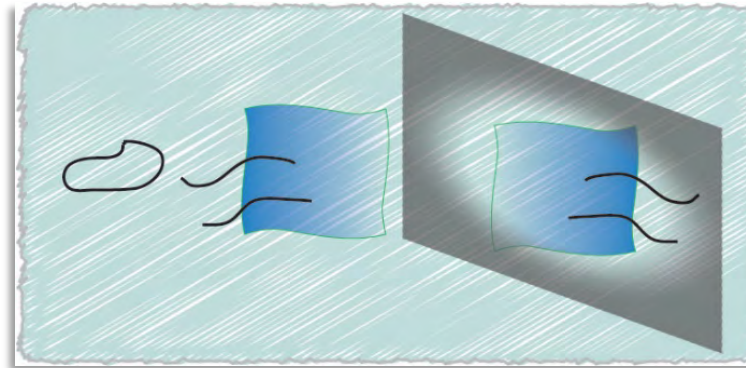
Orientifolds and Brane SUSY Breaking

❖ String spectra: CLOSED or OPEN+CLOSED

- [Unified $\rightarrow$ "orientifold" construction] (AS, 1987)
[+M. Bianchi, G. Pradisi, 1988-96; Y. Stanev, 1994-96]
- [Vacuum filled with D-branes and Orientifolds (mirrors)] (Polchinski, 1995)



❖ Different options to fill the vacuum:



- SUSY collections of D-branes and Orientifolds $\rightarrow$ Superstrings

❖ (Tachyon-free) Non-SUSY $\rightarrow$ BSB

❖ BSB : D+O Tensions $\rightarrow$ "critical" exponential potential $V = V_0 e^{2\varphi}$

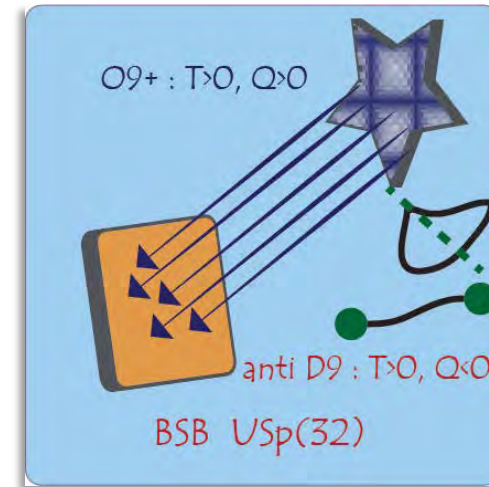
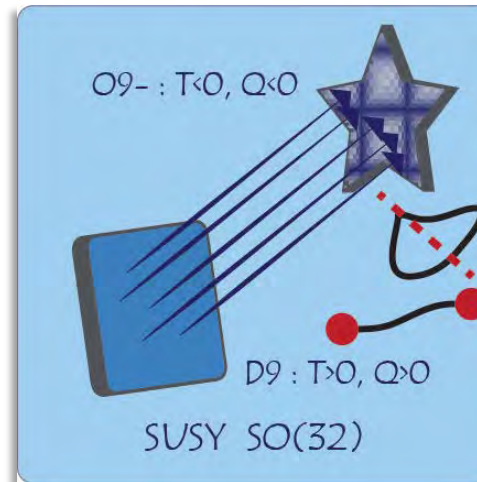
(Sugimoto, 1999)
(Antoniadis, Dudas, AS, 1999)
(Angelantonj, 1999)
(Aldazabal, Uranga, 1999)

Brane SUSY Breaking (BSB)

(Sugimoto, 1999)
(Antoniadis, Dudas, AS, 1999)
(Angelantonj, 1999)
(Aldazabal, Uranga, 1999)

BSB

- ❖ SUSY **BROKEN** at string scale in open sector, **EXACT** in closed sector
- ❖ Stable vacuum (classically)



Tension unbalance → "critical" exponential (runaway) potential

$$S_{10} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-g} \{ e^{-2\phi} (-R + 4(\partial\phi)^2) - T e^{-\phi} + \dots \}$$

[SAME exponential (from D – anti D): *KKLT uplift (2003)*]

(Kachru, Kallosh, Linde, Trivedi, 2003)

NON-LINEAR SUSY:

- ❖ **COSMOLOGY:** hints of a pre-inflationary phase
- ❖ **STATIC Solutions:** puzzles related to (in)stability

Pre-Inflation

From

(Brane) SUSY Breaking

Cosmological Potentials

- What potentials lead to slow-roll, and where?

$$ds^2 = -dt^2 + e^{2A(t)} d\mathbf{x} \cdot d\mathbf{x}$$



$$\ddot{\phi} + 3\dot{\phi} \sqrt{\frac{1}{3} \dot{\phi}^2 + \frac{2}{3} V(\phi)} + V' = 0$$

Driving force from V' vs friction from V

- If V does not vanish: convenient gauge "makes the damping term neater"

$$ds^2 = e^{2B(t)} dt^2 - e^{\frac{2A(t)}{d-1}} d\mathbf{x} \cdot d\mathbf{x}$$

$$V e^{2B} = V_0$$

$$\tau = t \sqrt{\frac{d-1}{d-2}}, \quad \varphi = \phi \sqrt{\frac{(d-1)}{2(d-2)}}$$

$$\begin{aligned} \dot{A}^2 - \dot{\phi}^2 &= 1 \\ \ddot{\varphi} + \dot{\varphi} \sqrt{1 + \dot{\varphi}^2} + \frac{V_\varphi}{2V} (1 + \dot{\varphi}^2) &= 0 \end{aligned}$$

- Now driving from $\log V$ vs $O(1)$ damping

$$V = \varphi^n \rightarrow \frac{V'}{2V} = \frac{n}{2\varphi}$$

❖ Quadratic potential? Far away from origin

(Linde, 1983)

❖ Exponential potential? YES or NO

$$V(\varphi) = V_0 e^{2\gamma\varphi} \rightarrow \frac{V'}{2V} = \gamma$$

$V = e^{-2\gamma\phi}$ & Climbing Scalars

- $\gamma < 1$? Both signs of speed
- a. "Climbing" solution (ϕ climbs, then descends):

(Halliwell, 1987;..., Dudas and Mourad, 1999; Russo, 2004; Dudas, Kitazawa, AS, 2010)

$$\dot{\phi} = \frac{1}{2} \left[\sqrt{\frac{1-\gamma}{1+\gamma}} \coth\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \tanh\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) \right]$$

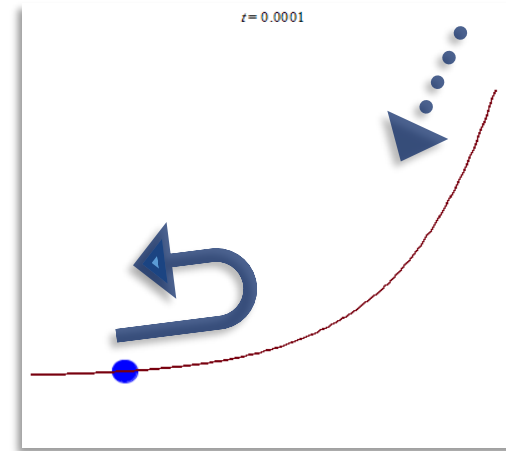
- b. "Descending" solution (ϕ only descends):

$$\dot{\phi} = \frac{1}{2} \left[\sqrt{\frac{1-\gamma}{1+\gamma}} \tanh\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \coth\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) \right]$$

Limiting τ - speed (LM attractor):

(Lucchin and Matarrese, 1985)

$$v_{lim} = -\frac{\gamma}{\sqrt{1-\gamma^2}}$$



$\gamma = 1$ is "critical": LM attractor & descending solution disappear there and beyond!

CLIMBING: in ALL asymptotically exponential potentials with $\gamma \geq 1$!

BSB in STRING THEORY HAS PRECISELY $\gamma = 1 \rightarrow$ WEAK coupling ($g_s = e^\phi$)

- $\gamma = 1$:

$$\begin{aligned} \phi(\tau) &= \phi_0 + \frac{1}{2} \left[\log |\tau - \tau_0| - \frac{1}{2} (\tau - \tau_0)^2 \right] \\ \mathcal{A}(\tau) &= \mathcal{A}_0 + \frac{1}{2} \left[\log |\tau - \tau_0| + \frac{1}{2} (\tau - \tau_0)^2 \right] \end{aligned}$$

Critical Exponentials and BSB

- ❖ BSB in STRING THEORY PREDICTS the exponent in $V = V_0 e^{2\varphi}$

(Dudas, Kitazawa, AS, 2010)
(AS, 2013)
(Fré, AS, Sorin, 2013)

- D=10 : Polyakov expansion and dilaton tadpole

$$\mathcal{S} = \frac{1}{2k_N^2} \int d^{10}x \sqrt{-\det g} \left[R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - T e^{\frac{3}{2}\phi} + \dots \right] \longrightarrow \gamma = 1 \text{ (for } \varphi)$$

- $D < 10$: two combinations of ϕ and "breathing mode" $\sigma \rightarrow (\Phi_s, \Phi_t)$
- $\Phi_t \rightarrow$ "critical" potential ($\gamma = 1$) & CLIMBING, IF Φ_s is stabilized

$$S_d = \frac{1}{2\kappa_d^2} \int d^d x \sqrt{-g} \left[R + \frac{1}{2} (\partial\Phi_s)^2 + \frac{1}{2} (\partial\Phi_t)^2 - T_9 e^{\sqrt{\frac{2(d-1)}{d-2}} \Phi_t} + \dots \right]$$

- If Φ_s is stabilized: a SOLITON (p-brane) that couples via $(g_s)^{-\alpha}$ yields:

[D9-brane of 10D BSB: $p=9, \alpha=1$]

∀ Broken SUSY in STRING THEORY: $\gamma \geq 1$!

$$\gamma = \frac{1}{12} (p + 9 - 6\alpha)$$

[NOTE: all multiples of $\frac{1}{12} \simeq 0.08 \rightarrow n_s \simeq 0.96$]

BSB: Pre-Inflation with a bounce?

(Duda, Kitazawa, AS, 2010)

$$\mathcal{S} = \frac{1}{2 k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr} \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

1. **"Critical" tadpole exponent:** precisely at onset of the **"climbing phenomenon"**.

$$ds^2 = |\alpha t^2|^{\frac{2}{9}} e^{\frac{1}{2}\phi_0} e^{-\frac{1}{4}\alpha t^2} \delta_{ij} dx^i dx^j - |\alpha t^2|^{-\frac{1}{3}} e^{-\phi_0} e^{\frac{3}{4}\alpha t^2} dt^2$$

$$e^\phi = e^{\phi_0} |\alpha t^2|^{\frac{1}{3}} e^{-\frac{3}{4}\alpha t^2}$$

(Lucchin, Matarrese, 1985)
(Halliwell, 1987)

.....
(Duda, Mourad, 2000)
(Russo, 2004)
(Duda, Kitazawa, AS, 2010)

2. **Scalar** → emerges from initial singularity **"climbing up"** ANY potential **$V(\phi)$** that ADDS to BSB SOFTER TERMS
 $t = 0.0001$

Now: **bounded string loop corrections** [NOT SO curvature corrections, however]

$$V(\varphi) = V_0 \left(e^{2\varphi} + e^{2\gamma\varphi} + \tilde{V}(\varphi) \right)$$

3. **Slow-roll after bounce and deceleration:** Last stages of deceleration imprinted in CMB?

→ **Low- ℓ lack of power** [& **Low- ℓ enhancement of tensor-to-scalar ratio r**].

Fast-Roll and the Mukhanov-Sasaki Equation

- MS equation :

- Limiting W_s :

- Power :

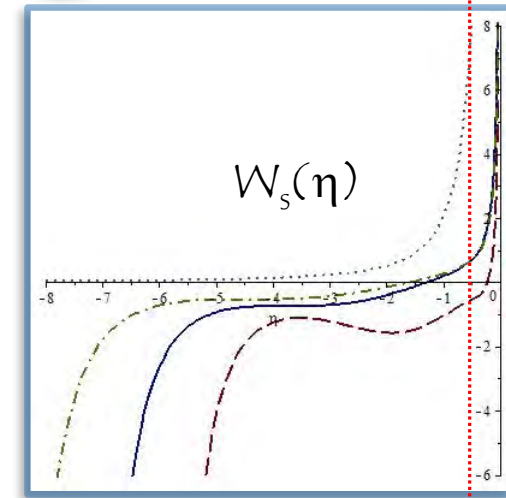
$$\left(\frac{d^2}{d\eta^2} + k^2 - W_s(\eta) \right) v_k(\eta) = 0$$

$$W_s \underset{\eta \rightarrow -\eta_0}{\sim} -\frac{1}{4} \frac{1}{(\eta + \eta_0)^2}, \quad W_s \underset{\eta \rightarrow -0}{\sim} \frac{\nu^2 - \frac{1}{4}}{\eta^2} \quad \left(\nu = \frac{3}{2} \frac{1 - \gamma^2}{1 - 3\gamma^2} \right)$$

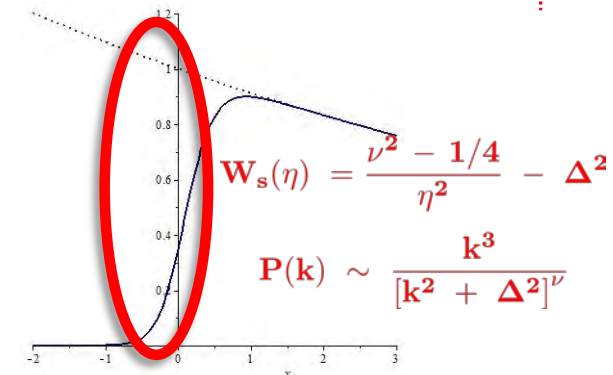
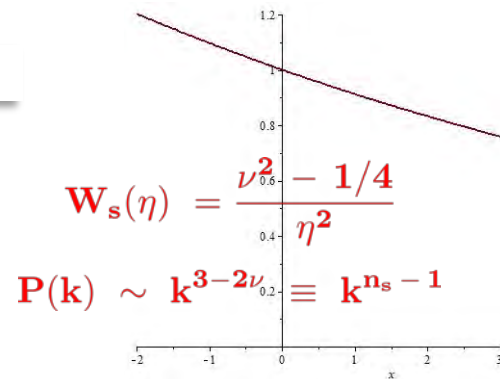
$$P(k) = \frac{k^3}{2\pi^2} \left| \frac{v_k(-\epsilon)}{z(-\epsilon)} \right|^2$$

❖ Pre - inflationary fast roll : $P(k) \sim k^3$

$$\text{WKB : } v_k(-\epsilon) \sim \frac{1}{\sqrt[4]{|W_s(-\epsilon) - k^2|}} \exp \left(\int_{-\eta^*}^{-\epsilon} \sqrt{|W_s(y) - k^2|} dy \right)$$



(Chibisov, Mukhanov, 1981)



Fast-Roll and the Mukhanov-Sasaki Equation

- MS equation :

$$\left(\frac{d^2}{d\eta^2} + k^2 - W_s(\eta) \right) v_k(\eta) = 0$$

- Limiting W_s :

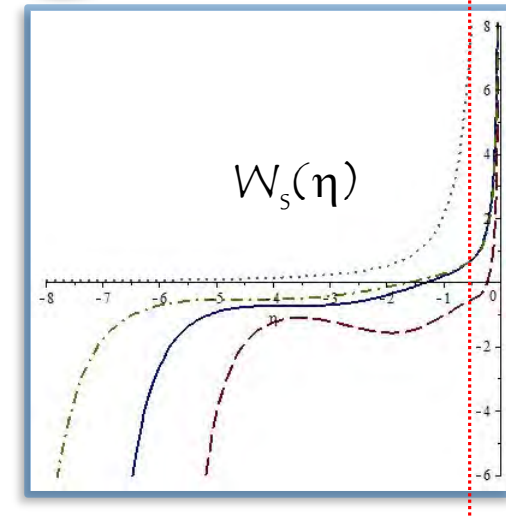
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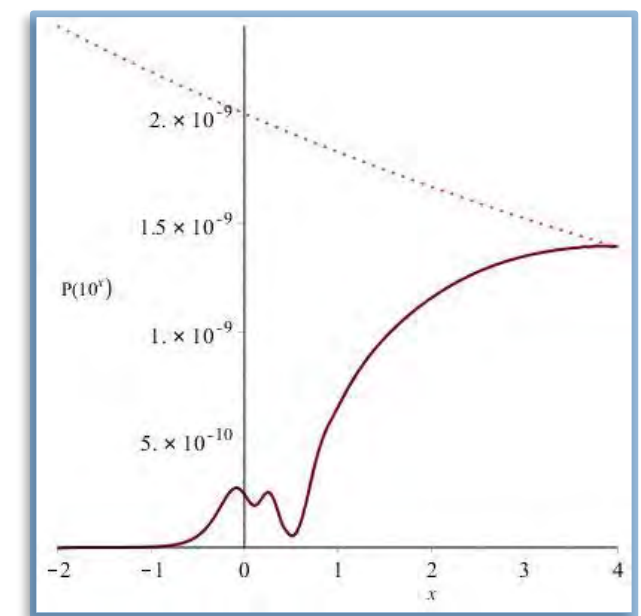
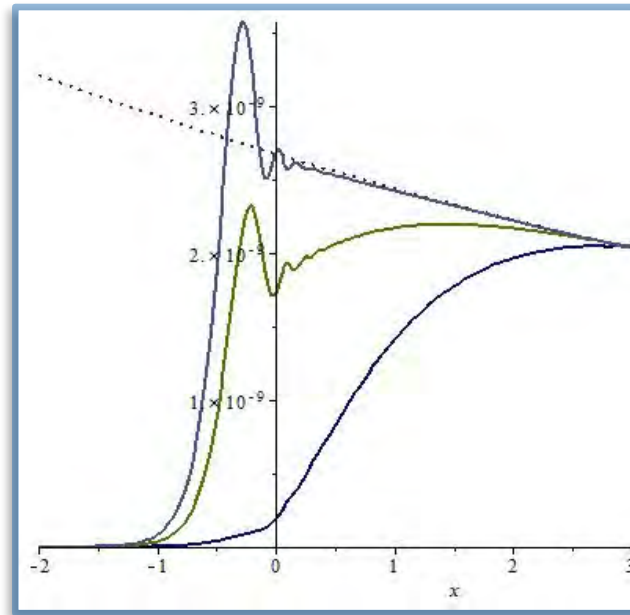
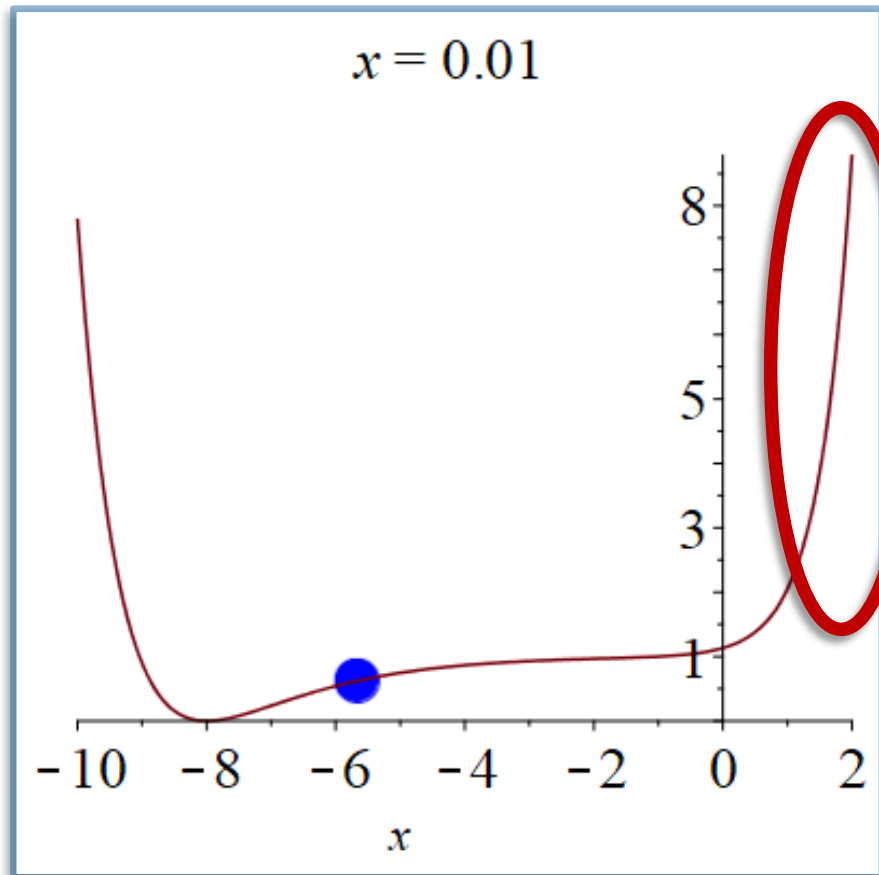


LOW CMB QUADRUPOLE FROM THIS PHENOMENON ?

Additional signature → pre-inflationary peak !

Pre-Inflation with a Bounce

(Dudas, Kitazawa, AS, 2010)
(Dudas, Kitazawa, Patil, AS, 2012)

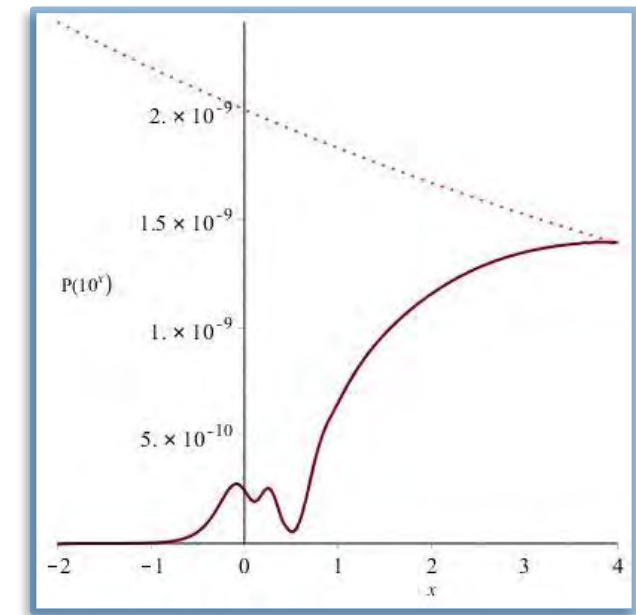
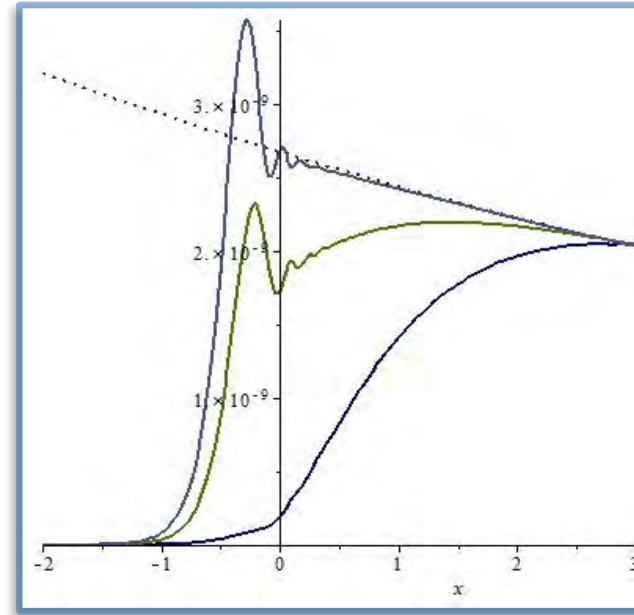
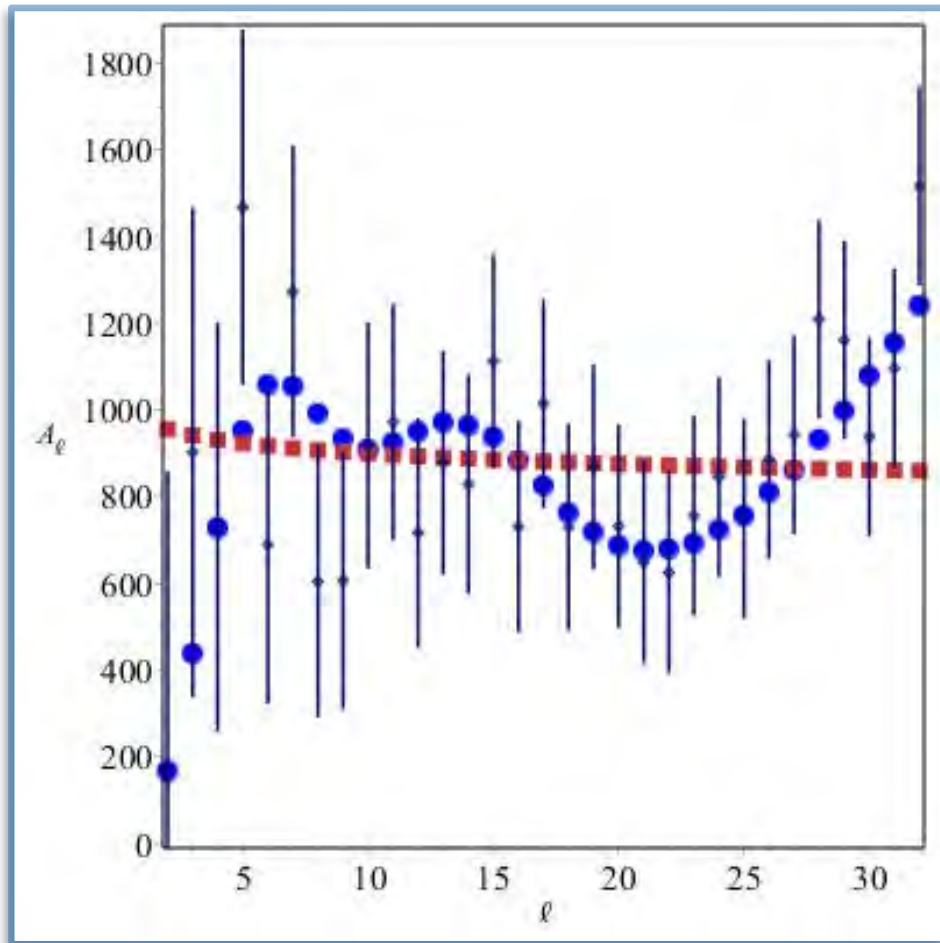


$$V(\varphi) = V_0 \left\{ e^{2\varphi} + \frac{1}{2} e^{2\gamma\varphi} + a_1 e^{-a_2(\varphi+a_3)^2} + \left[1 - e^{-\frac{2}{3}(\varphi+\Delta)} \right]^2 \right\} - v_0$$

Low- l lack of power in CMB from a decelerating inflaton ?

Pre-Inflation with a Bounce

(Dudaš, Kitazawa, AS, 2010)
(Dudaš, Kitazawa, Patil, AS, 2012)

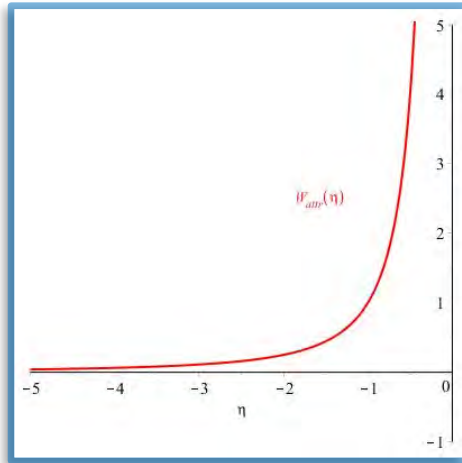


$$V(\varphi) = V_0 \left\{ e^{2\varphi} + \frac{1}{2} e^{2\gamma\varphi} + a_1 e^{-a_2(\varphi+a_3)^2} + \left[1 - e^{-\frac{2}{3}(\varphi+\Delta)} \right]^2 \right\} - v_0$$

Low- ℓ lack of power in CMB from a decelerating inflaton ?

Pre-Inflationary Relics
In the CMB?

Analytic Power Spectra



$$\frac{d^2 v_k(\eta)}{d\eta^2} + [k^2 - W_s(\eta)] v_k(\eta) = 0$$

$$W_s = \frac{\nu^2 - \frac{1}{4}}{\eta^2} \longrightarrow P(k) \sim k^3 \times k^{-2\nu}$$

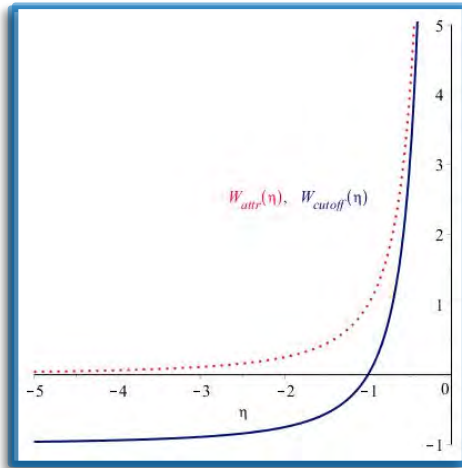
- IF W_s crosses the real axis $\rightarrow$ **POWER CUTOFF**
- One can also produce a “**caricature**” **PRE-INFLATIONARY PEAK**
- ❖ **Tensor-to-scalar ratio r** : typically **grows by about one order of magnitude** in region of power cut

$$W_s = \frac{\nu^2 - \frac{1}{4}}{\eta^2} \left[c \left(1 + \frac{\eta}{\eta_0} \right) + (1 - c) \left(1 + \frac{\eta}{\eta_0} \right)^2 \right]$$

(Dudaš, Kitazawa, Patil, AS, 2012)

$$P_{\mathcal{R}}(k) \sim \frac{(k \eta_0)^3 \exp \left(\frac{\pi \left(\frac{c}{2} - 1 \right) \left(\nu^2 - \frac{1}{4} \right)}{\sqrt{(k \eta_0)^2 + (c - 1) \left(\nu^2 - \frac{1}{4} \right)}} \right)}{\left| \Gamma \left(\nu + \frac{1}{2} + \frac{i \left(\frac{c}{2} - 1 \right) \left(\nu^2 - \frac{1}{4} \right)}{\sqrt{(k \eta_0)^2 + (c - 1) \left(\nu^2 - \frac{1}{4} \right)}} \right) \right|^2} \left[(k \eta_0)^2 + (c - 1) \left(\nu^2 - \frac{1}{4} \right) \right]^\nu$$

Analytic Power Spectra



$$\frac{d^2 v_k(\eta)}{d\eta^2} + [k^2 + \Delta^2 - W_s(\eta)] v_k(\eta) = 0$$

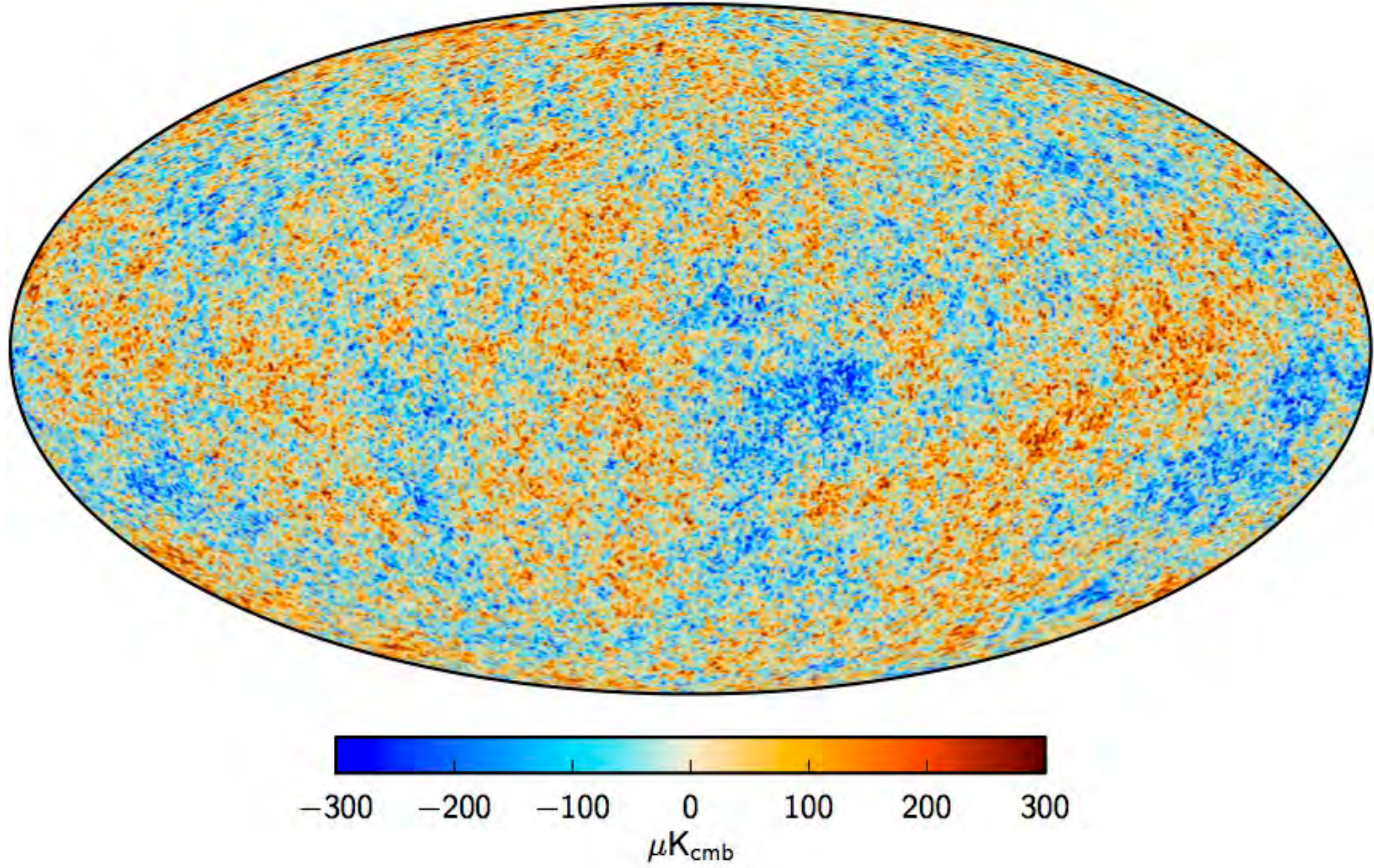
$$W'_s = \frac{\nu^2 - \frac{1}{4}}{\eta^2} - \Delta^2 \longrightarrow P(k) \sim \frac{k^3}{[k^2 + \Delta^2]^\nu}$$

- IF W_s crosses the real axis $\rightarrow$ **POWER CUTOFF**
- One can also produce a “**caricature**” **PRE-INFLATIONARY PEAK**
- ❖ **Tensor-to-scalar ratio r** : typically **grows by about one order of magnitude** in region of power cut

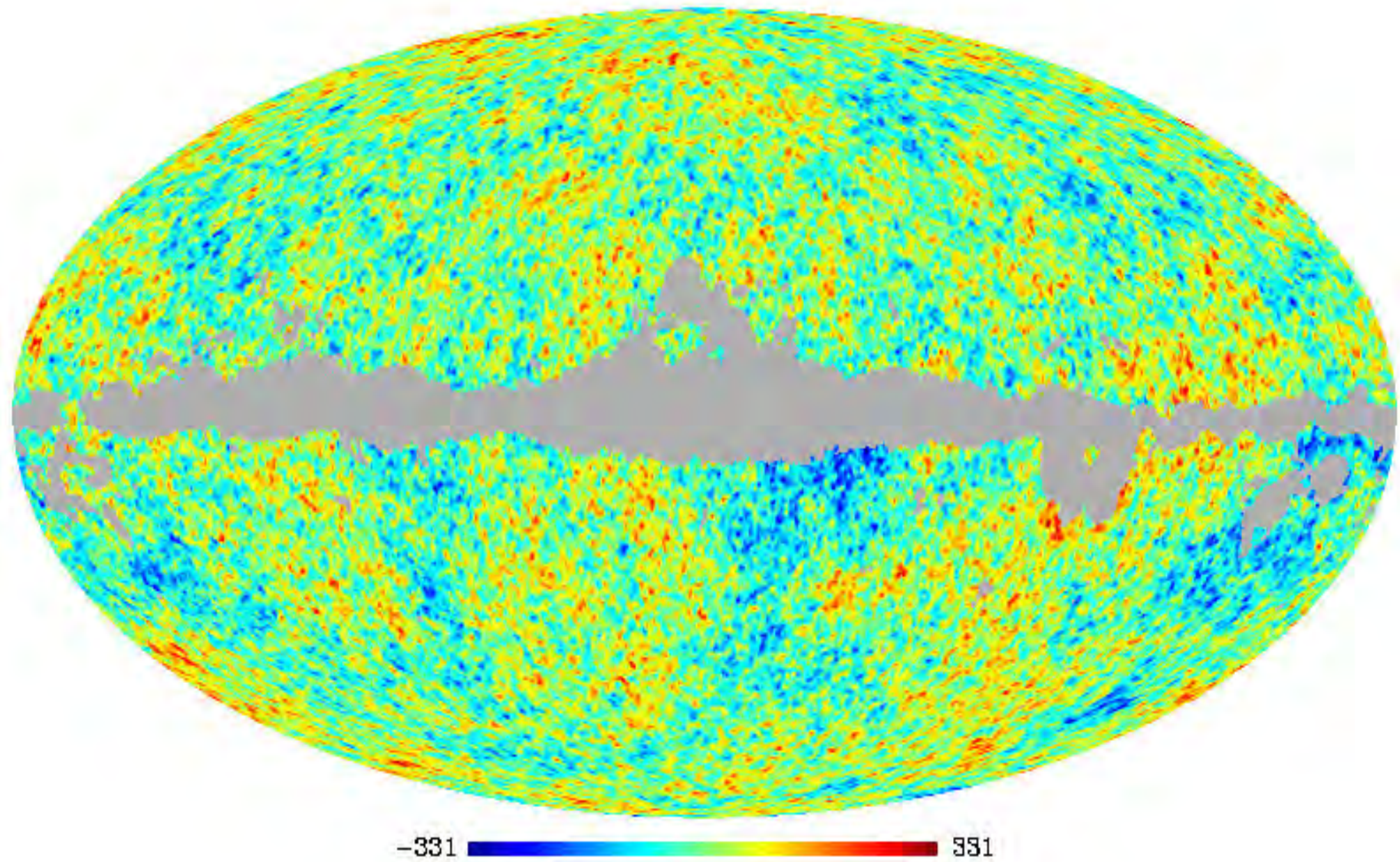
$$W_S = \frac{\nu^2 - \frac{1}{4}}{\eta^2} \left[c \left(1 + \frac{\eta}{\eta_0} \right) + (1 - c) \left(1 + \frac{\eta}{\eta_0} \right)^2 \right]$$

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Planck CMB



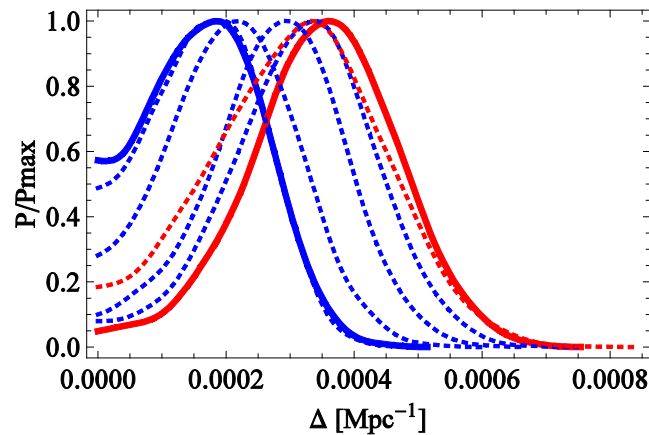
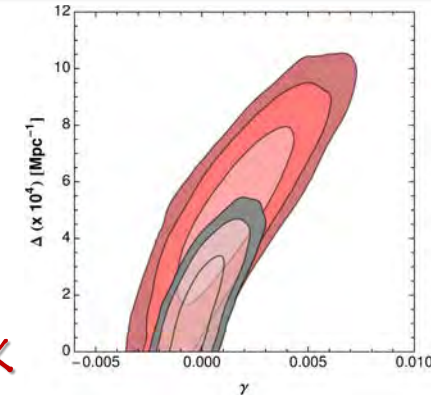
Pre-Inflationary Relics in the CMB?

(Gruppuso, Mandolesi, Natoli, Kitazawa, AS, 2015)

- Extend Λ CDM to allow for low- ℓ suppression:

$$\mathcal{P}(k) = A (k/k_0)^{n_s-1} \rightarrow \frac{A (k/k_0)^3}{\left[(k/k_0)^2 + (\Delta/k_0)^2\right]^\nu}$$

- ❖ NO effects on standard Λ CDM parameters (6+16 nuisance)
- ❖ A new scale Δ . Preferred value? Depends on GALACTIC MASK



$$\Delta = (0.351 \pm 0.114) \times 10^{-3} \text{ Mpc}^{-1}$$

RED : + 30-degree extended mask
> 99% confidence level

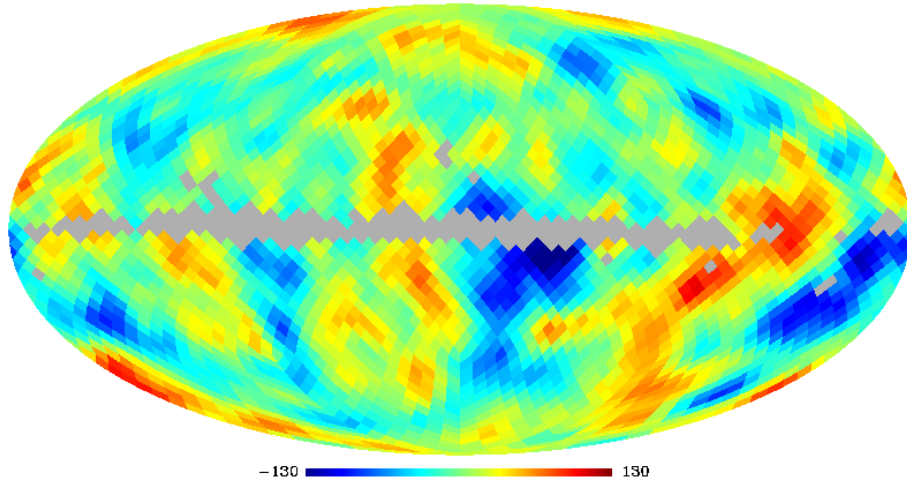
- What is the corresponding energy scale at onset of inflation?

$$\Delta^{Infl} \sim 2.4 \times 10^{12} e^{N-60} \text{ GeV} \sim 10^{12} - 10^{14} \text{ GeV} \text{ for } N \sim 60 - 65$$

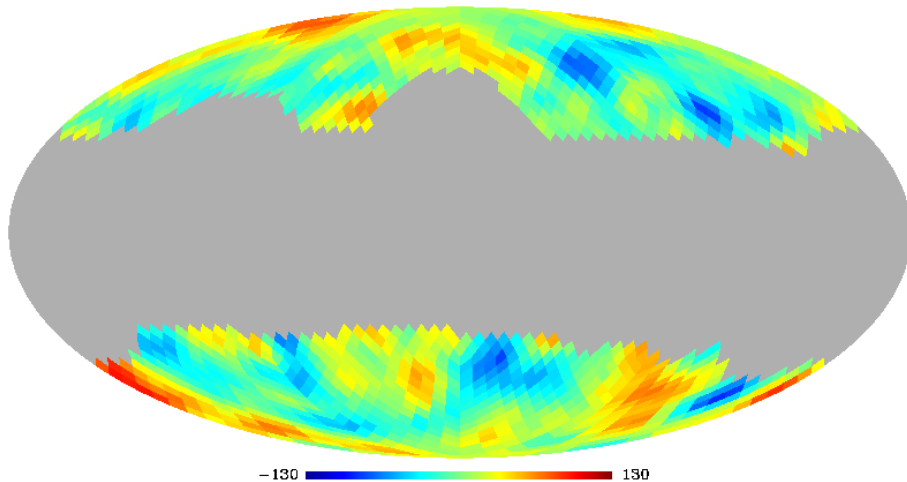
Pre-Inflationary Relics in the CMB?

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)

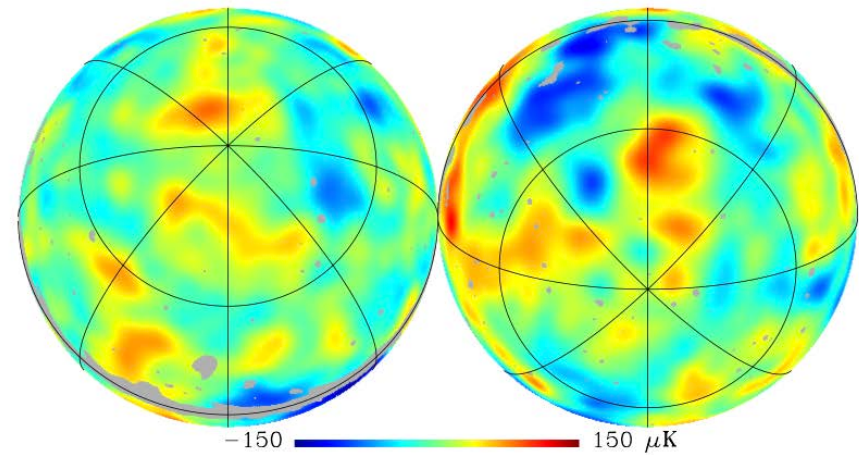
Commander, standard mask



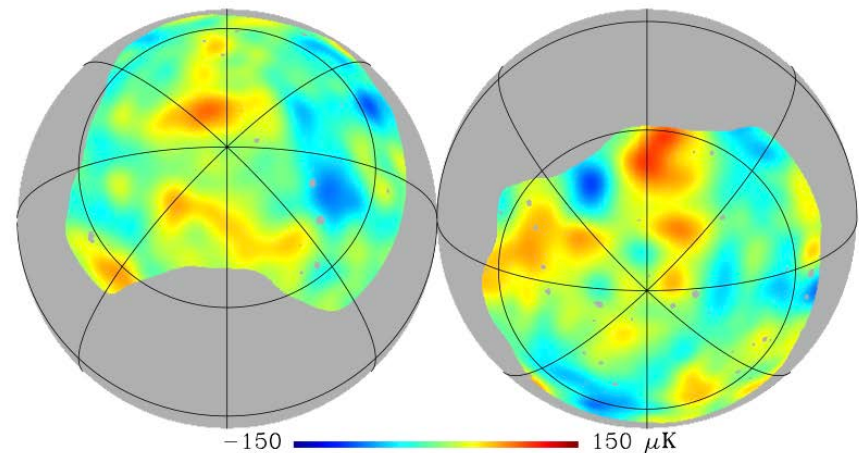
Commander, ext-30 mask



standard mask

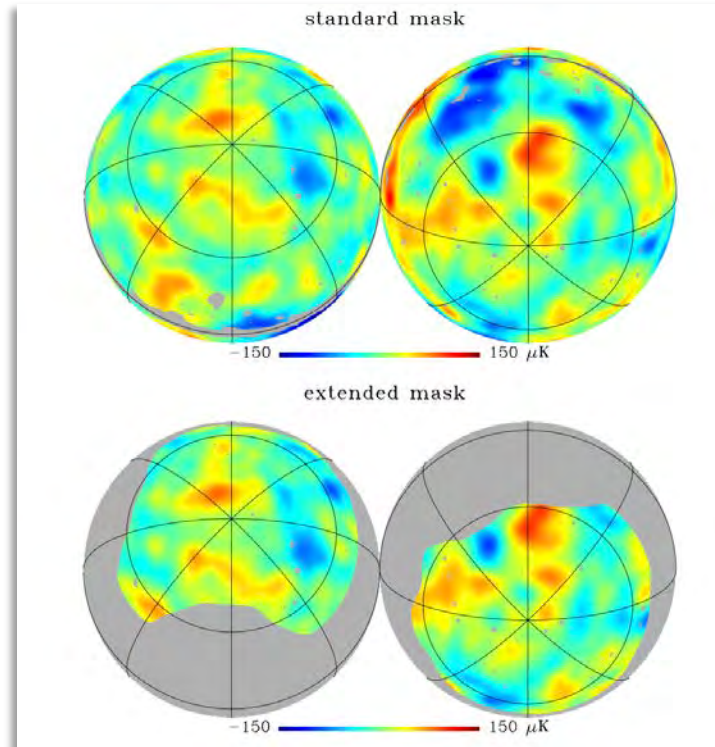
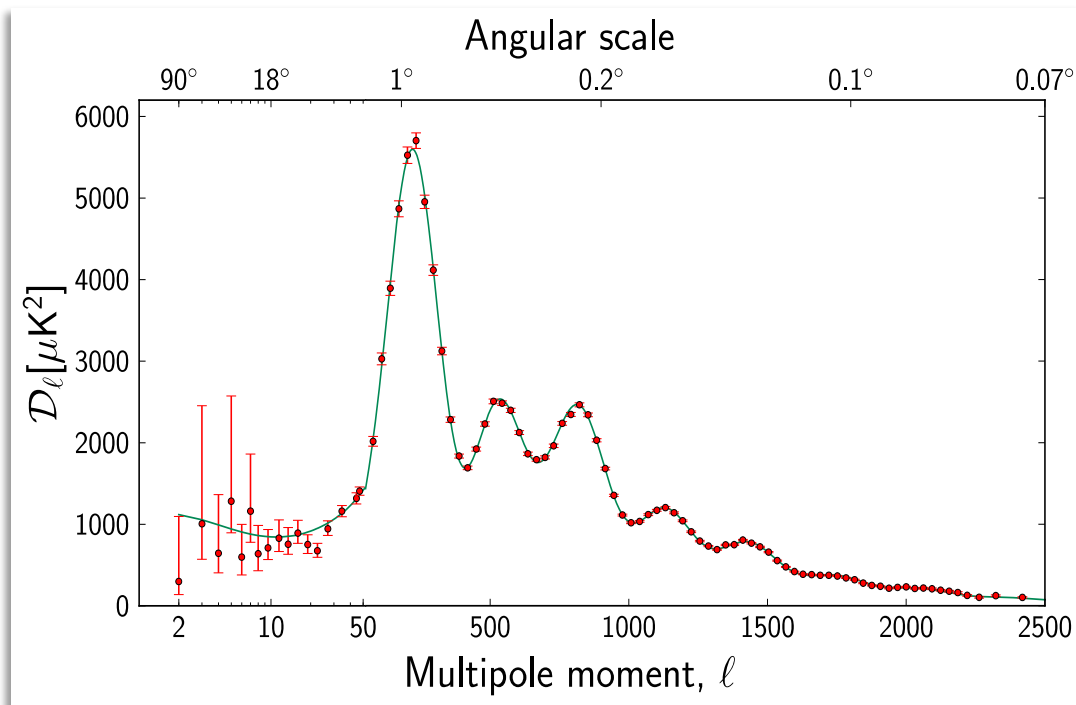
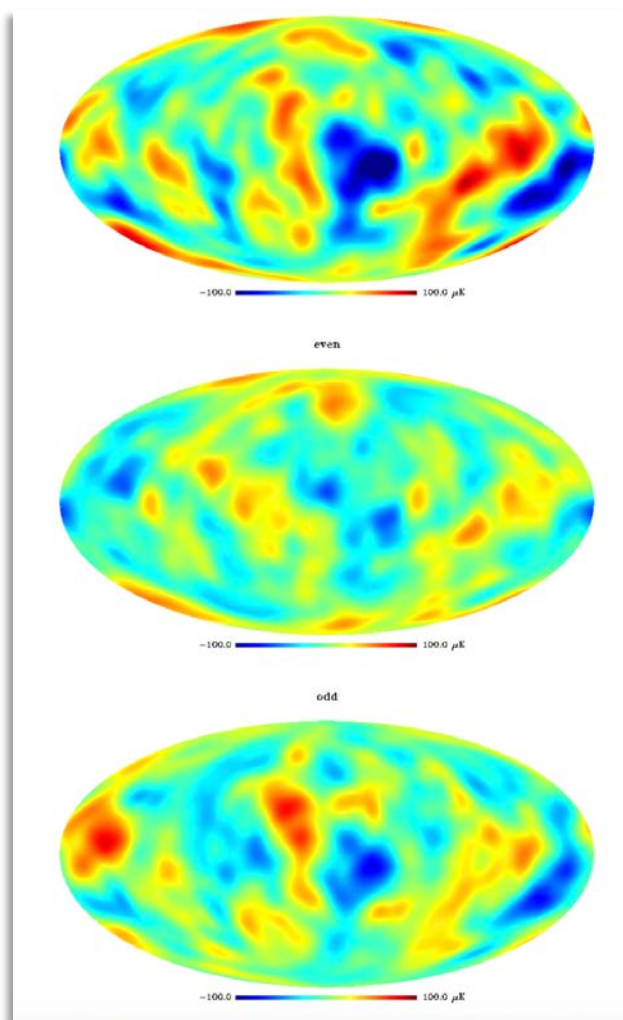


extended mask



Even-Odd Asymmetry

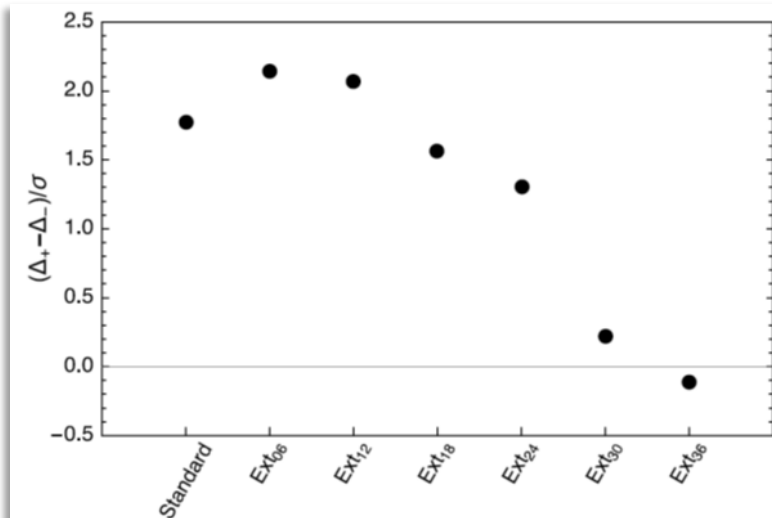
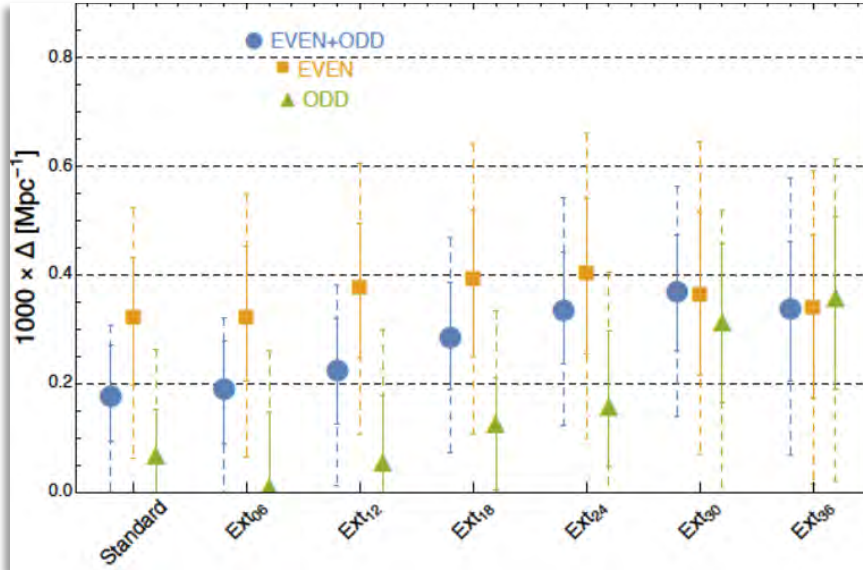
(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)



- **As we have seen:** Δ better detected **away from the GALACTIC PLANE**
- Where does the lack-of-power come from? **EVEN MULTIPOLES**
- **NOTE:** “even” map (middle left) far smoother than all (up) or odd (down) !
- ❖ **[EVEN vs ODD:** signature of oscillations near the transition? **]**

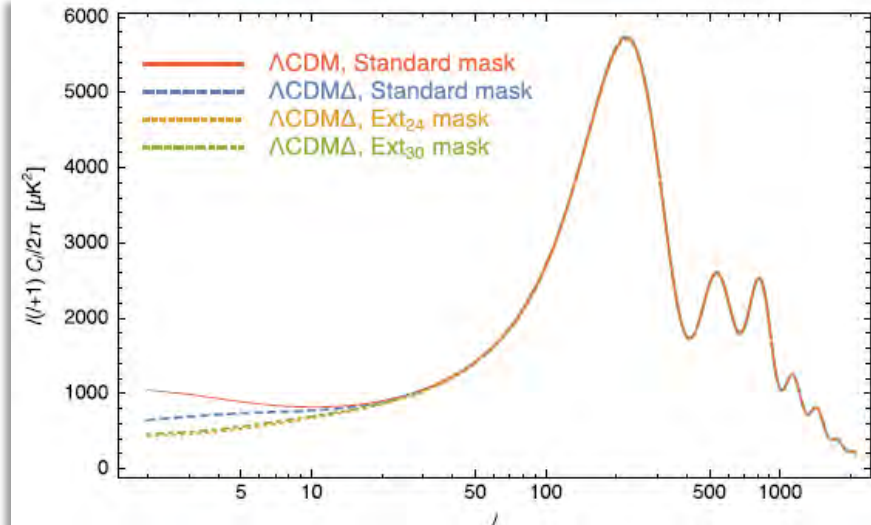
(Even & Odd) Detections of Δ

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)

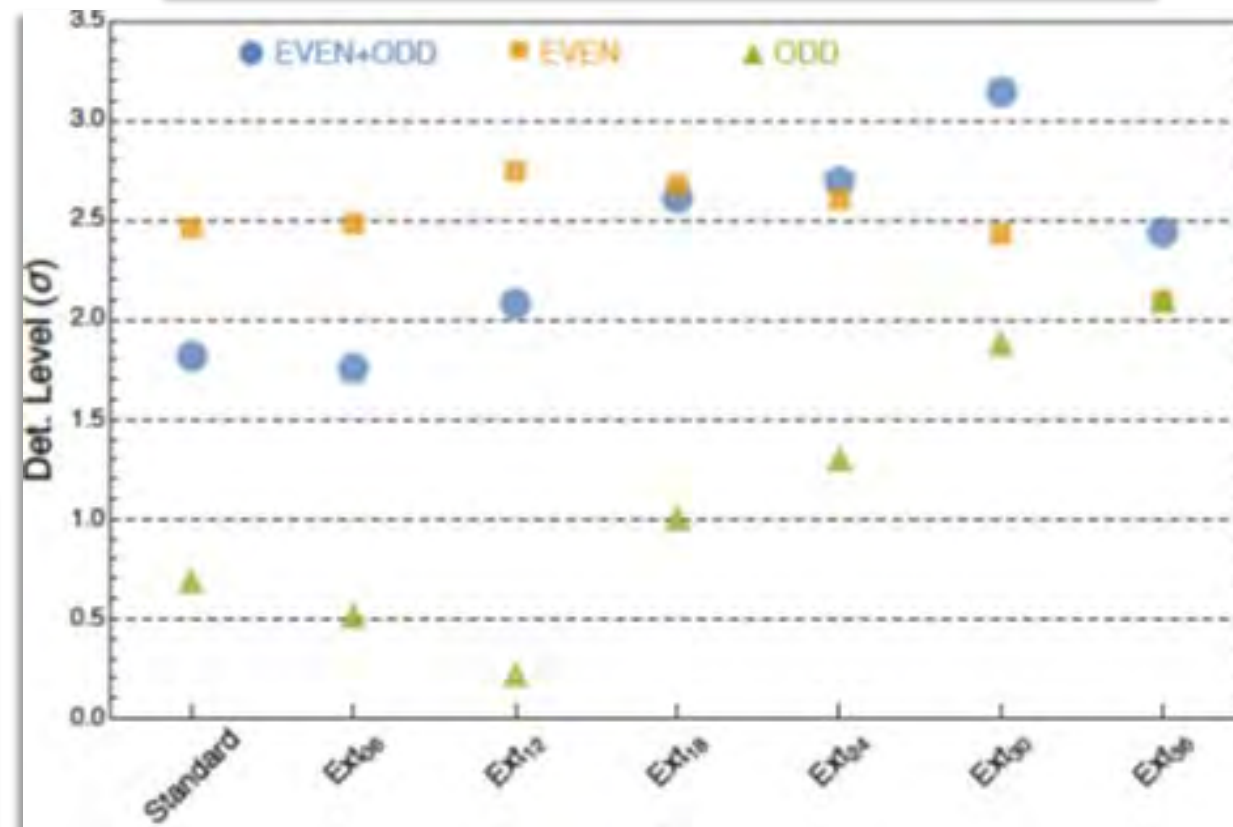


Case	Label	dataset	Detection level (%)	Detection level (σ)
a	Standard	full	93.26	1.83
a	Standard	even	98.59	2.46
a	Standard	odd	52.52	0.72
b	Ext ₀₆	full	92.30	1.77
b	Ext ₀₆	even	98.65	2.47
b	Ext ₀₆	odd	41.03	0.54
c	Ext ₁₂	full	96.41	2.10
c	Ext ₁₂	even	99.39	2.74
c	Ext ₁₂	odd	18.93	0.24
d	Ext ₁₈	full	99.15	2.63
d	Ext ₁₈	even	99.23	2.67
d	Ext ₁₈	odd	69.80	1.03
e	Ext ₂₄	full	99.32	2.71
e	Ext ₂₄	even	99.05	2.59
e	Ext ₂₄	odd	81.57	1.33
f	Ext ₃₀	full	99.84	3.16
f	Ext ₃₀	even	98.47	2.43
f	Ext ₃₀	odd	94.37	1.91
g	Ext ₃₆	full	98.60	2.46
g	Ext ₃₆	even	96.27	2.08
g	Ext ₃₆	odd	96.60	2.12

Future Prospects, I



(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)



- ❖ Δ does not affect standard Λ CMB parameters

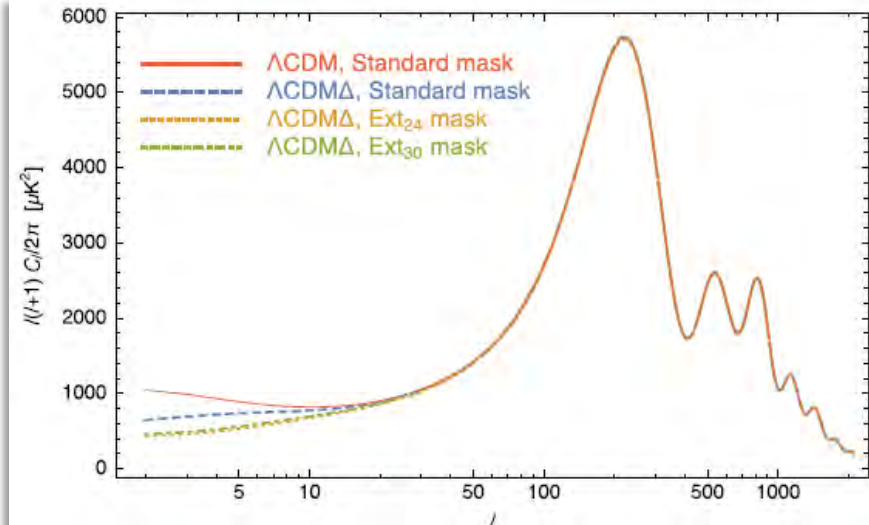
WHAT NEXT?

POLARIZATION

- ❖ cosmic-variance limited E-mode could lead to a 5–6 σ detection of Δ (or could rule it out)

Future Prospects, I

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, 2017)

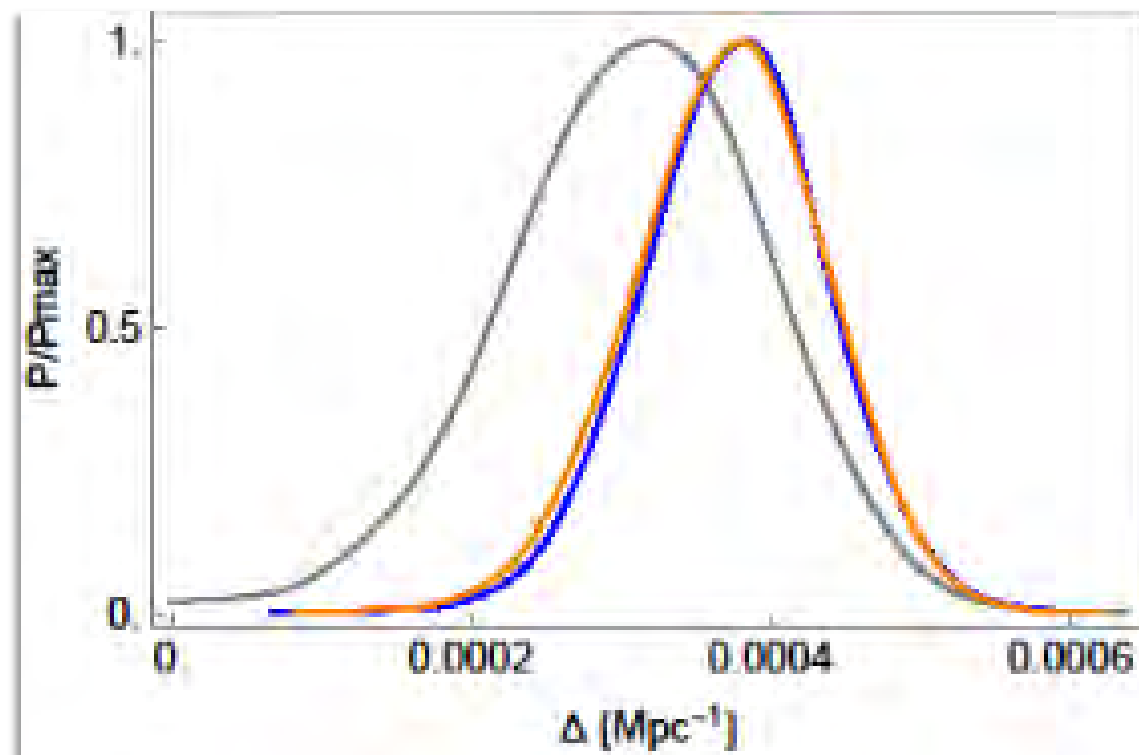


- ❖ Δ does not affect standard Λ CMB parameters

WHAT NEXT?

POLARIZATION

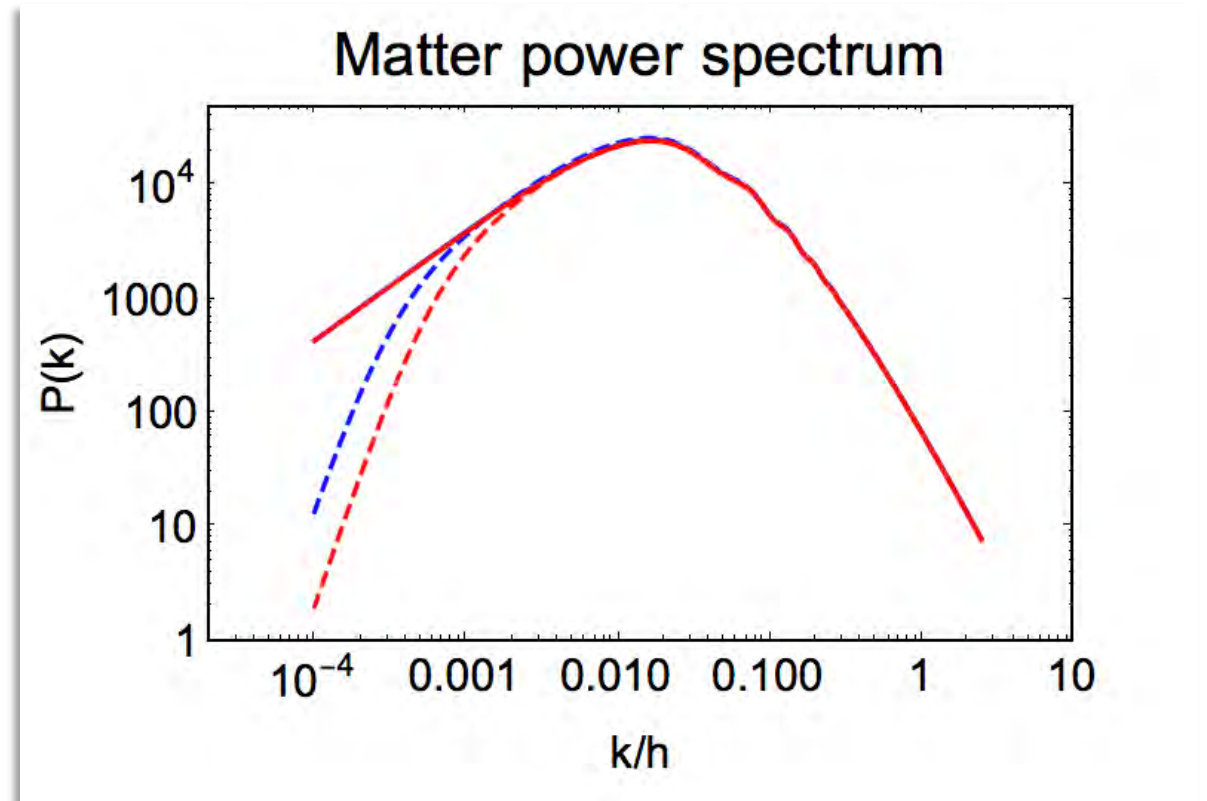
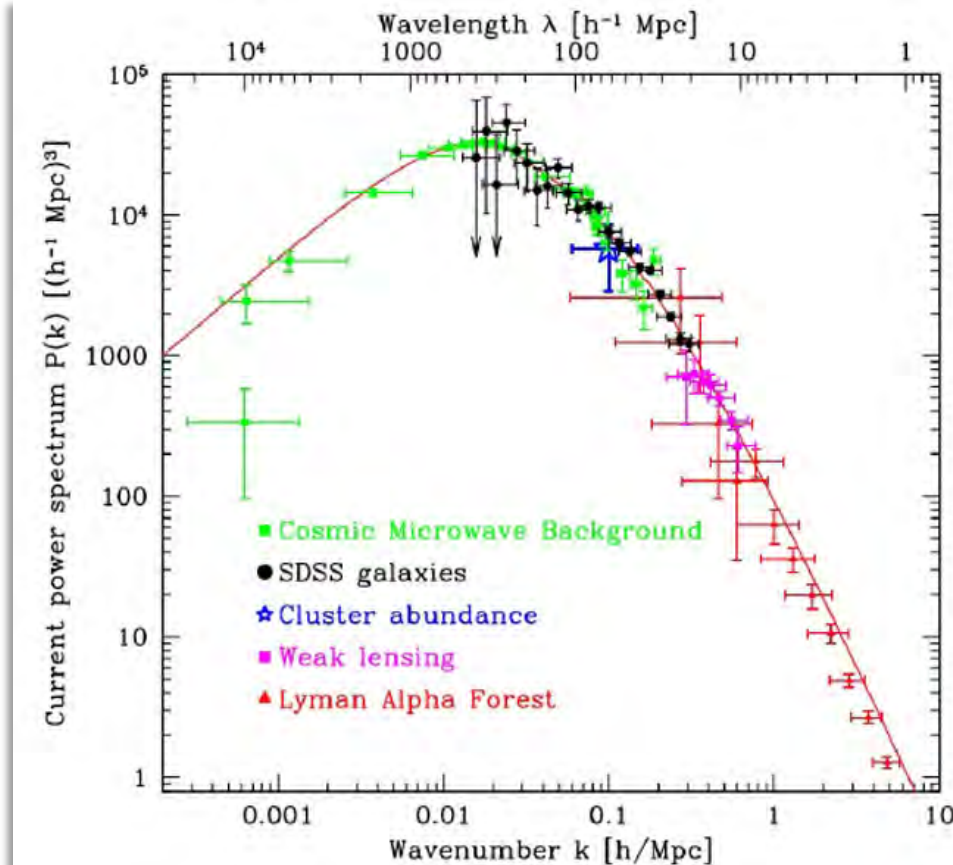
- ❖ cosmic-variance limited E-mode could lead to a 5–6 σ detection of Δ (or could rule it out)



Future Prospects, II

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, in progress)

OTHER IMPRINTS OF Δ ? LARGEST - SCALE STRUCTURES



*Constrained Superfields
and 4D Models
(SUSY branes & BSB)*

BSB: 10D non-linear supergravity

(Dud s, Mour d, 2001)
(Pr disi, Riccioni, 2001)

❖ PROBLEM: couple a Volkov-Akulov-like goldstino to 10D Supergravity (+YM), ADAPTING

(Akulov, Volkov, 1973)

- (Off-shell) 4D SUSY transformations on the goldstino can be induced, by analogy, from superspace shifts:

$$\begin{aligned}\delta \lambda_\alpha &= \frac{1}{k} \xi_\alpha - i k \left(\theta^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\xi}^{\dot{\alpha}} - \xi^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\theta}^{\dot{\alpha}} \right) \partial_\mu \lambda_\alpha \\ \delta \bar{\lambda}_{\dot{\alpha}} &= \frac{1}{k} \bar{\xi}_{\dot{\alpha}} - i k \left(\theta^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\xi}^{\dot{\alpha}} - \xi^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\theta}^{\dot{\alpha}} \right) \partial_\mu \bar{\lambda}_{\dot{\alpha}} \\ [\delta_2, \delta_1] \lambda_\alpha &= 2i \left(\xi_1^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\xi}_2^{\dot{\alpha}} - \xi_2^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\xi}_1^{\dot{\alpha}} \right) \partial_\mu \lambda_\alpha\end{aligned}$$

- SUSY transformations: act as special diffeomorphisms on $\hat{e}_\mu^a = \delta_\mu^a - i k^2 \partial_\mu \lambda^\alpha \sigma_{\alpha\dot{\alpha}}^a \bar{\lambda}^{\dot{\alpha}} + i k^2 \lambda^\alpha \sigma_{\alpha\dot{\alpha}}^a \partial_\mu \bar{\lambda}^{\dot{\alpha}}$

- Invariant (flat) goldstino action: $\mathcal{S} = -\frac{1}{2k^2} \int d^4x \det \left(\delta_\mu^a + i k^2 \lambda^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \partial_\mu \bar{\lambda}^{\dot{\alpha}} + \text{h.c.} \right) + \dots$

- Can extend the methods for coupling to 4D supergravity, extending the work in (Samuel, Wess, 1973) and then, in 10D:

$$\mathcal{S} = \mathcal{S}_0 - \int d^{10}x \sqrt{-g} e^{-\phi} \left[1 + \frac{1}{4} \bar{\lambda} \Gamma^M D_M \lambda - \frac{1}{4} \bar{\lambda} \Gamma^M \psi_M + \frac{1}{\sqrt{2}} \bar{\lambda} \chi \right] + \dots$$

- All orders in fermions ? Complicated since transformations are redefined ...

(Bonnetoy, Dud s, Mour d, in progress)

Constrained Superfields in $D=4$

❖ Consider an $O(N)$ invariant scalar model:

- For $\lambda \rightarrow \infty$: a σ -model obtains, which describes the dynamics in the valley of minima

$$\mathcal{S} = \int d^D x \left[-\frac{1}{2} \partial_\mu \phi^T \partial^\mu \phi - \lambda (\phi^T \phi - \rho^2)^2 \right]$$

$$\mathcal{S} = - \int d^D x \frac{1}{2} \partial_\mu \phi^T \partial^\mu \phi, \quad \phi^T \phi = \rho^2$$

❖ In the Wess-Zumino multiplet of 4D SUSY, when the scalar becomes very massive

- Only a fermion with broken SUSY ($\lambda \rightarrow \infty$ below)

Volkov-Akulov (1973) model (up to field redefinitions)

(Rocek, 1978)

(Casalbuoni, De Curtis, Dominici, Feruglio, Gatto, 1989)

(Komargodski, Seiberg, 2009)

$$\mathcal{S} = \int d^4 x d^2 \theta d^2 \bar{\theta} \left[\bar{\Phi} \Phi + \lambda (\bar{\Phi} \Phi)^2 \right] + \int d^4 x d^2 \theta f \Phi + \text{h.c.}$$

$$\lambda \rightarrow \infty : \quad \Phi = \frac{\psi^\alpha \psi_\alpha}{2F} + \theta^\alpha \psi_\alpha + \frac{\theta^2}{2} F, \quad \Phi^2 = 0 \quad [F \neq 0]$$

- Several $N=1 \rightarrow N=0$ constraints were investigated in recent years.

4D Supergravity with Constrained Superfields

❖ Constrained superfields: a powerful and instructive tool in Supergravity

- they allow to resort directly to the general N=1 expression for the potential

$$\mathcal{V} = e^{\mathcal{G}} \left[(\mathcal{G}^{-1})^{i\bar{j}} \mathcal{G}_i \mathcal{G}_{\bar{j}} - 3 \right] \quad \text{with} \quad \mathcal{G} = \mathcal{K} + \log |\mathcal{W}|^2$$

while enforcing in it the constraints. **Simple to build interesting examples: NO** extra fields

- Example 1 (Volkov-Akulov supergravity): ($X^2=0$)

$$\begin{aligned} \mathcal{K} &= -3 \log (1 - X \bar{X}) \\ \mathcal{W} &= f X + \mathcal{W}_0 \\ \mathcal{V} &= \frac{1}{3} |f|^2 - 3 |\mathcal{W}_0|^2 \end{aligned}$$

(Antoniadis, Dudas, Ferrara, AS, 2014)

(Dudas, Ferrara, Kehagias, AS, 2015)

(Bergshoeff, Freedman, Kallosh, Van Proeyen, 2015)

(Antoniadis, Markou, 2015)

- Example 2 (Volkov-Akulov-Starobinsky supergravity): ($X^2=0$, with some redefinitions)

$$\begin{aligned} \mathcal{K} &= -3 \log (T + \bar{T} - X \bar{X}) \quad T = e^{\phi\sqrt{\frac{2}{3}}} + i a \sqrt{\frac{2}{3}} \\ \mathcal{W} &= f X + M X T + \mathcal{W}_0 \\ \mathcal{L} &= \frac{R}{2} - \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} e^{-2\phi\sqrt{\frac{2}{3}}} (\partial a)^2 - \frac{M^2}{12} \left(1 - e^{-\sqrt{\frac{2}{3}}\phi}\right)^2 - \frac{M^2}{18} e^{-2\phi\sqrt{\frac{2}{3}}} a^2 \end{aligned}$$

- Important additions by many authors:** exit from inflation, other cosmological models,...

■ [BSB:
$$\mathcal{K} = -3 \log (T + \bar{T}) + \frac{X \bar{X}}{1 + \left(\frac{T+\bar{T}}{2}\right)^2 v\left(\frac{T+\bar{T}}{2}\right)}, \quad \mathcal{W} = f X + \mathcal{W}_0]$$

$$\mathcal{V} = e^{-\sqrt{6}\phi} + v(\phi)$$

(Ferrara, Kallosh, Linde, 2014)

(Dall'Agata, Zwirner, 2014)

(Bergshoeff, Freedman, Kallosh, Van Proeyen, 2015)

(Antoniadis, Markou, 2015)

.....

4D Toy Models with Constrained Superfields

(BPS-like: 2 $\rightarrow$ 1 breaking)

(Bagger, Galperin, 1997)
(Rocek, Tseytlin, 1999)
.....

❖ N=2 vector multiplet (1 vector + 1 chiral multiplet in N=1 language):

- one can consider the **decoupling limit** for a very massive chiral multiplet
- partial breaking N=2 $\rightarrow$ N=1** occurs, with "magnetic" coupling m
- non-linear constraint:

$$W^\alpha W_\alpha - 2\Phi \left(\frac{1}{4} \bar{D}^2 \bar{\Phi} - m \right) = 0 \longrightarrow \Phi^2 = 0 \quad \Phi W_\alpha = 0$$

(Hughes, (Liu), Polchinski, 1986)
(Antoniadis, Partouche, Taylor, 1996)
(Ferrara, Girardello, Porrati, 1996)

- N=2 language:

$$\mathcal{X} = \Phi + i\sqrt{2} \tilde{\theta}^\alpha W_\alpha - \tilde{\theta}^2 \left(\frac{1}{4} \bar{D}^2 \bar{\Phi} - m \right) \longrightarrow \mathcal{X}^2 = 0$$

- highest component yields **SUSY Born-Infeld theory**:

$$D^2 + 2F(\bar{F} + m) - \frac{1}{2} F_{\mu\nu} F^{\mu\nu} - \frac{i}{2} F_{\mu\nu} \tilde{F}^{\mu\nu} = 0$$

- can extend to a number n of **abelian** vector multiplets:

$$d_{ABC} \left[W^{\alpha B} W_\alpha^C - 2\Phi^B \left(\frac{1}{4} \bar{D}^2 \bar{\Phi}^C - m^C \right) \right] = 0$$

(Ferrara, Porrati, AS, 2014)
(Ferrara, Porrati, AS, Stora, Yeranyan, 2014)

❖ **MICROSCOPICALLY:** toy models for separated D-branes. **Non-Abelian lessons?**

4D Models with Constrained Superfields

(BSB-like: $2 \rightarrow 0$ breaking)

❖ N=2 vector multiplet (1 vector + 1 chiral multiplet in N=1 language):

(Dudas, Ferrara, AS, 2017)

- one can consider a different **decoupling limit**, leaving behind a vector and two goldstini

- Non-linear constraint:**
$$\Phi W^\alpha W_\alpha - \Phi^2 \left(\frac{1}{4} \bar{D}^2 \bar{\Phi} - m \right) = 0 \longrightarrow \Phi^3 = 0 \quad \Phi^2 W_\alpha = 0$$

- In N=2 language:
$$\mathcal{X} = \Phi + i\sqrt{2} \tilde{\theta}^\alpha W_\alpha - \tilde{\theta}^2 \left(\frac{1}{4} \bar{D}^2 \bar{\Phi} - m \right) \longrightarrow \mathcal{X}^3 = 0$$

- Away from a singular $2 \rightarrow 1$ corner:** complex scalar in terms of the vector and gaugini

$$\begin{aligned} Z &= \mathcal{O} + u \square (\bar{u} \square \mathcal{O}) \\ \mathcal{O} &= \frac{b}{a} - u \square \left(\frac{\bar{b}}{\bar{a}} \right) \\ u &= \frac{(\psi \psi) (\lambda \lambda)}{a_0^2} \\ a &= D^2 + 2F(\bar{F} + m) - \frac{1}{2} F_{\mu\nu} (F^{\mu\nu} + i \tilde{F}^{\mu\nu}) - 2i \psi \sigma^\mu \partial_\mu \bar{\psi} - 2i \lambda \sigma^\mu \partial_\mu \bar{\lambda} \\ a_0 &= D^2 + 2F(\bar{F} + m) - \frac{1}{2} F_{\mu\nu} (F^{\mu\nu} + i \tilde{F}^{\mu\nu}) \\ b &= (\bar{F} + m) \psi \psi - i\sqrt{2} \psi (D + i \sigma^{\mu\nu} F_{\mu\nu}) \lambda + F \lambda \lambda \end{aligned}$$

Thank You