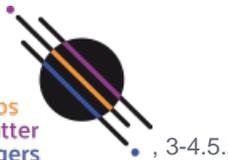


Direct neutrino mass and neutrinoless double beta decay

Stefan Schönert | TU München

Strategieworkshop Teilchenphysik
Universitätsclub Bonn

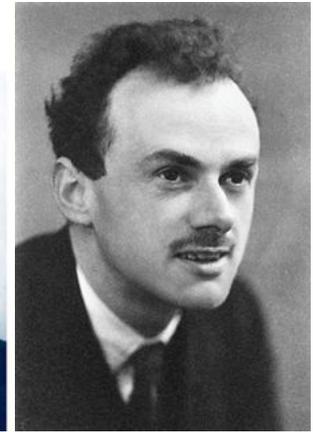
3-4 Mai 2018
Bonn



The Quests

What is the neutrino mass scale?

Are neutrinos their own anti-particles?

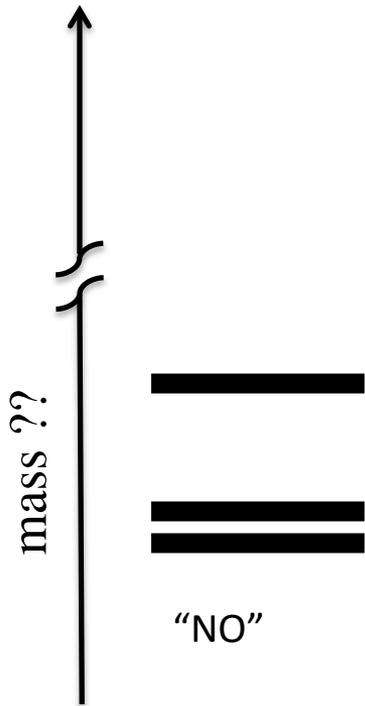


Is lepton number violated?

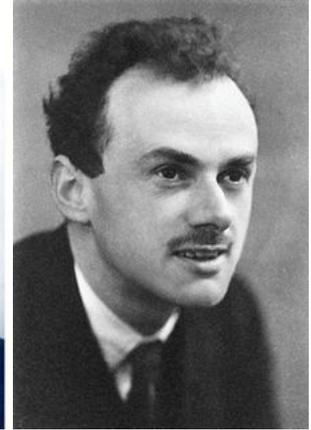
Why are neutrinos so much lighter than charged leptons ?
What is the origin of the matter anti-matter asymmetry ?
Neutrinos portal to BSM ?

The Quests

What is the neutrino mass scale?



Are neutrinos their own anti-particles?

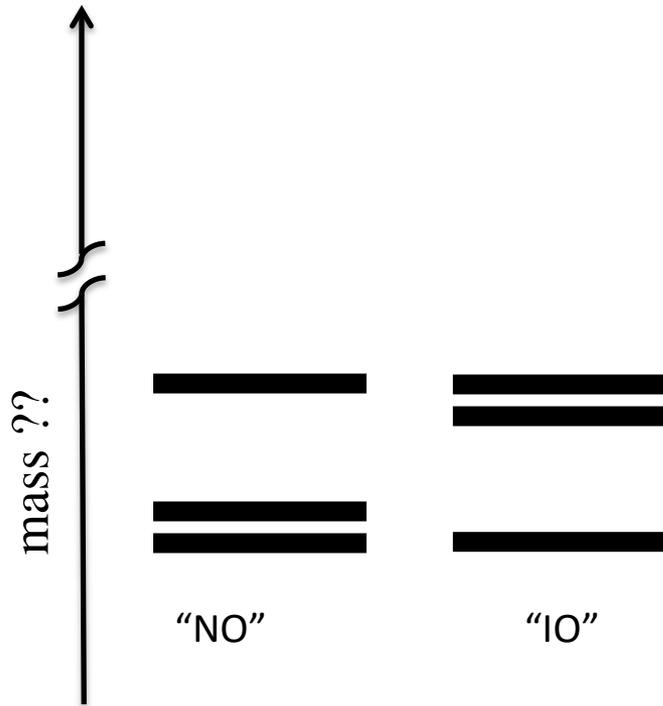


Is lepton number violated?

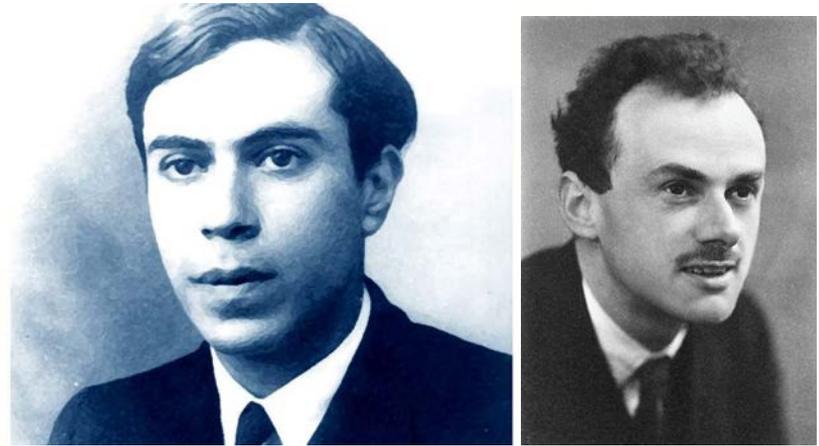
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Are neutrinos their own anti-particles?

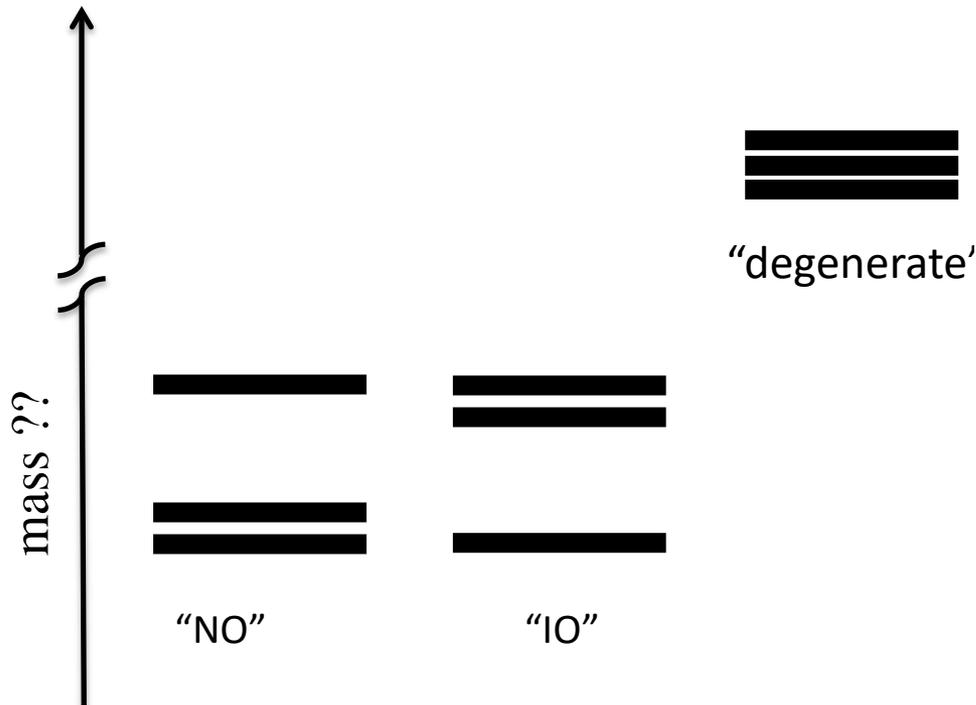


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Are neutrinos their own anti-particles?

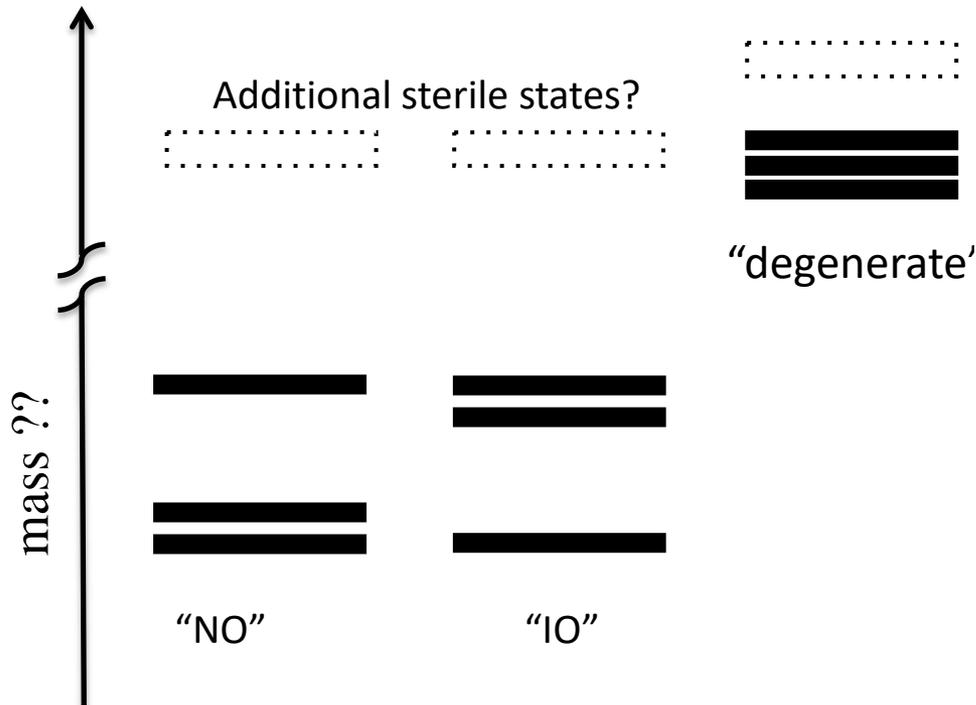


Is lepton number violated?

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Are neutrinos their own anti-particles?

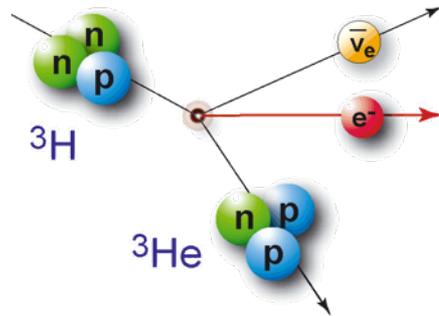


Is lepton number violated?

Why are neutrinos so much lighter than charged leptons ?
 What is the origin of the matter anti-matter asymmetry ?
 Neutrinos portal to BSM ?

ν -mass observables

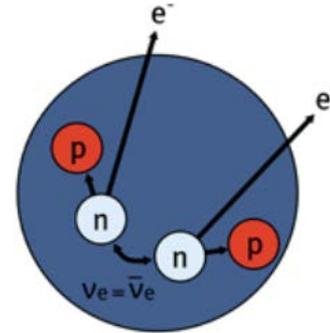
β -decay



$$m_\beta = \sqrt{\sum_i |U_{ei}|^2 \cdot m_i^2}$$

(Dirac or Majorana)

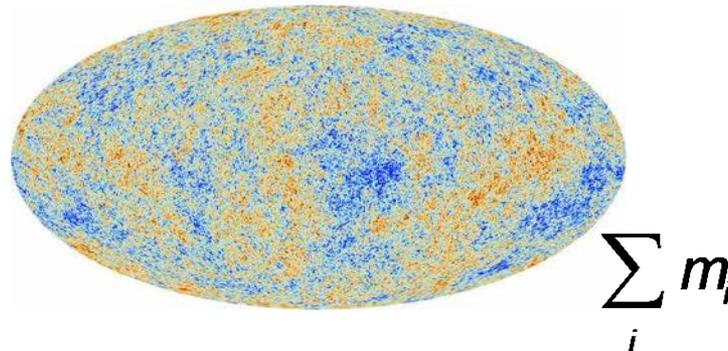
$0\nu\beta\beta$ -decay



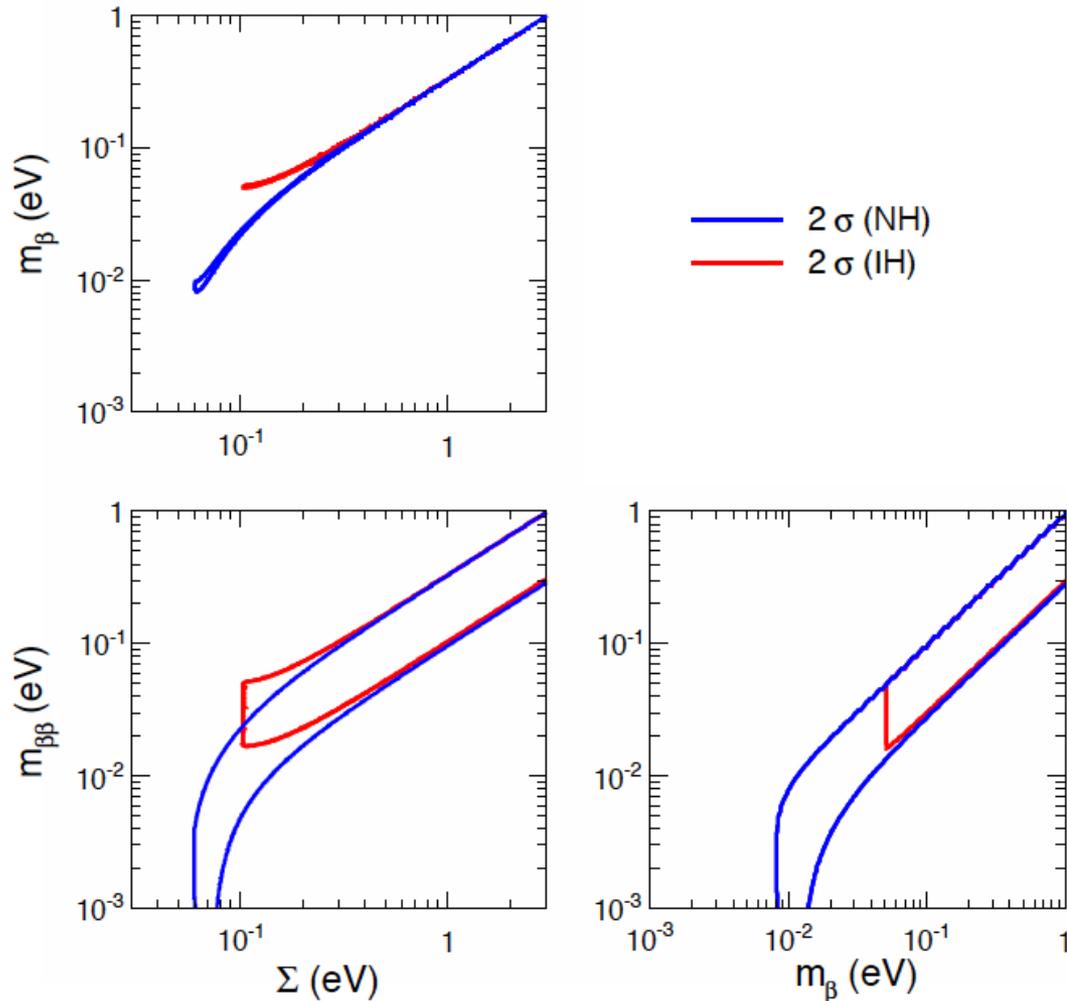
$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

(Majorana)

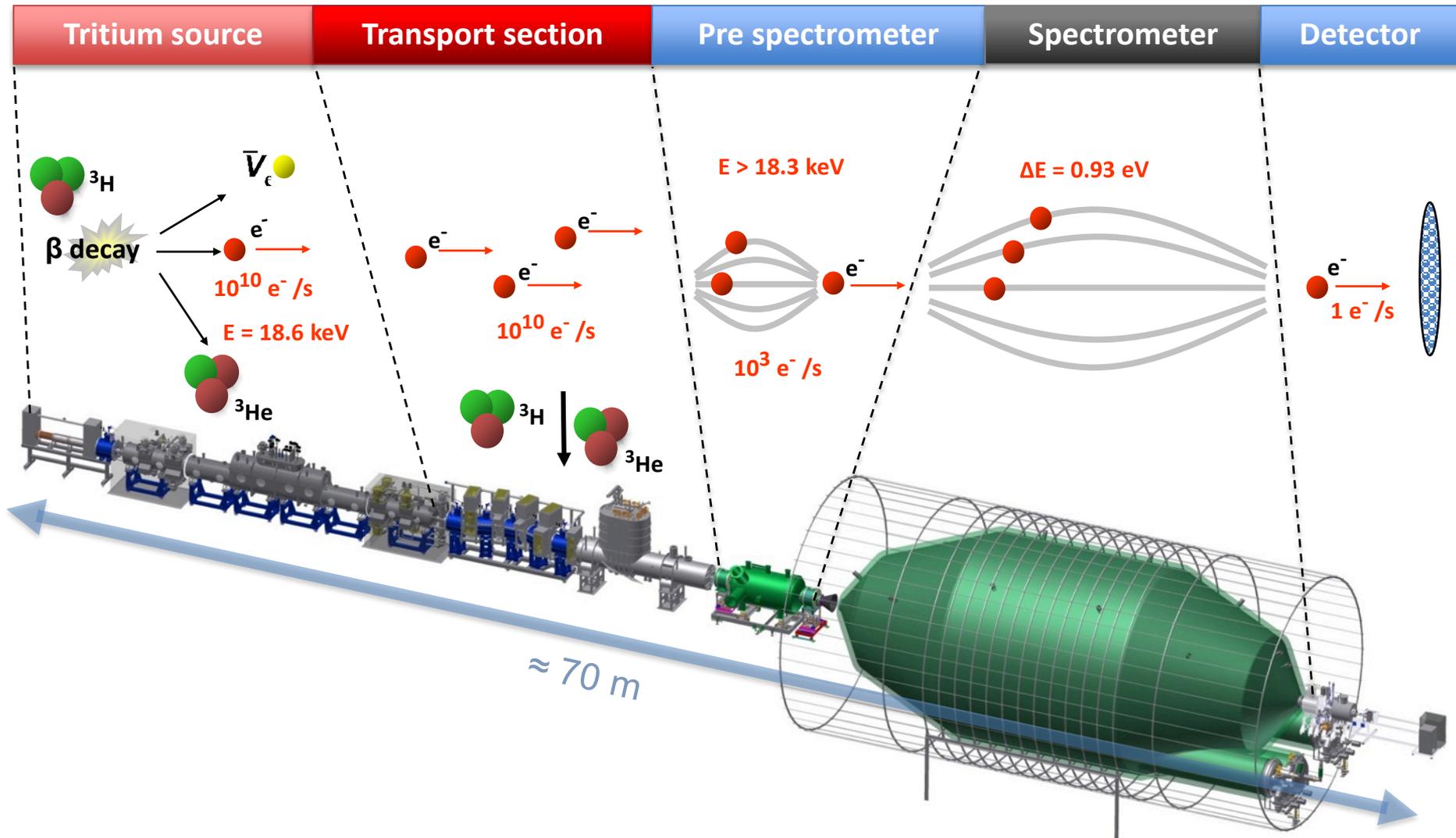
Cosmology



Predictions from oscillation experiments for mass observables



ν -mass from ${}^3\text{H}$ decay: KATRIN



KATRIN milestone 2017: krypton campaign

■ monoenergetic conversion electrons from ^{83m}Kr sources to investigate **MAC-E filter spectroscopic properties**

- gaseous Kr: > 10 m long, full flux tube
- condensed Kr: sub-monolayer, spot-like



Rez group

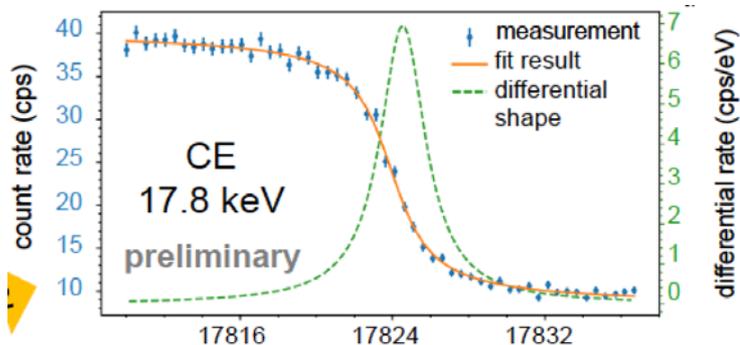
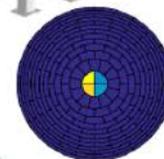


gaseous Kr-source in WGTS (T=100 K)



Münster group

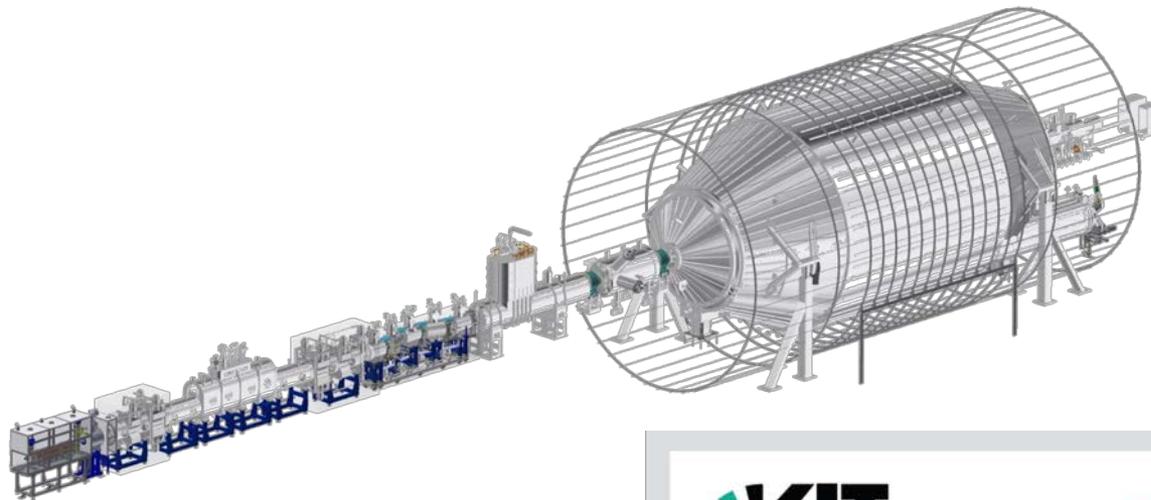
condensed Kr-source at CPS (T=25 K)



$$\frac{\Delta E}{E} \approx \frac{B_{\min}}{B_{\max}} \quad (1.15 \text{ eV @ } 17.83 \text{ keV})$$

KATRIN milestone 2018: first tritium & inauguration

May/June '18 – first tritium runs (1%)



June 11: Inauguration



KIT
Karlsruhe Institute of Technology

**Official Inauguration of the
Karlsruhe Tritium Neutrino (KATRIN) Experiment**
Monday, June 11, 2018 10:30 – 16:00
KIT Campus Nord, FTU

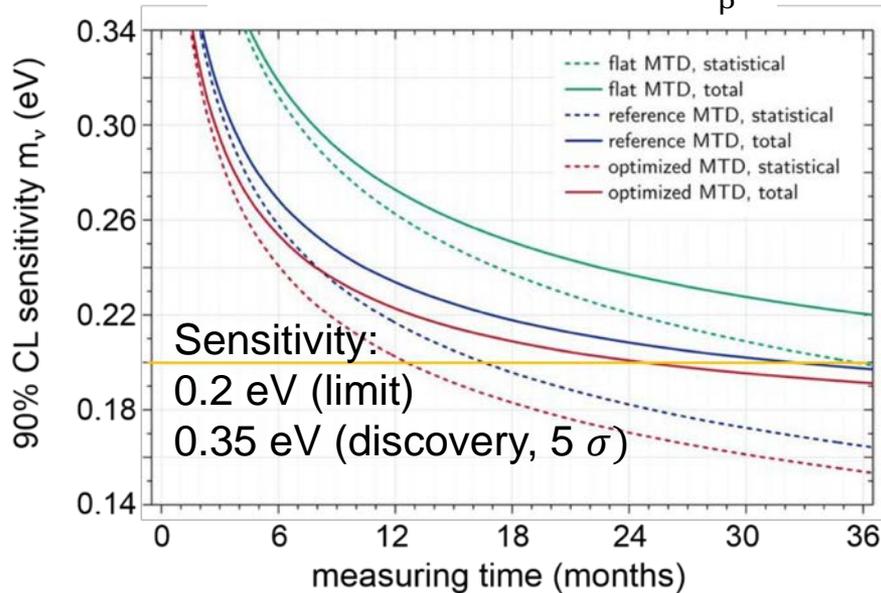
THE INTERNATIONAL KATRIN COLLABORATION

KIT – The Research University in the Helmholtz Association

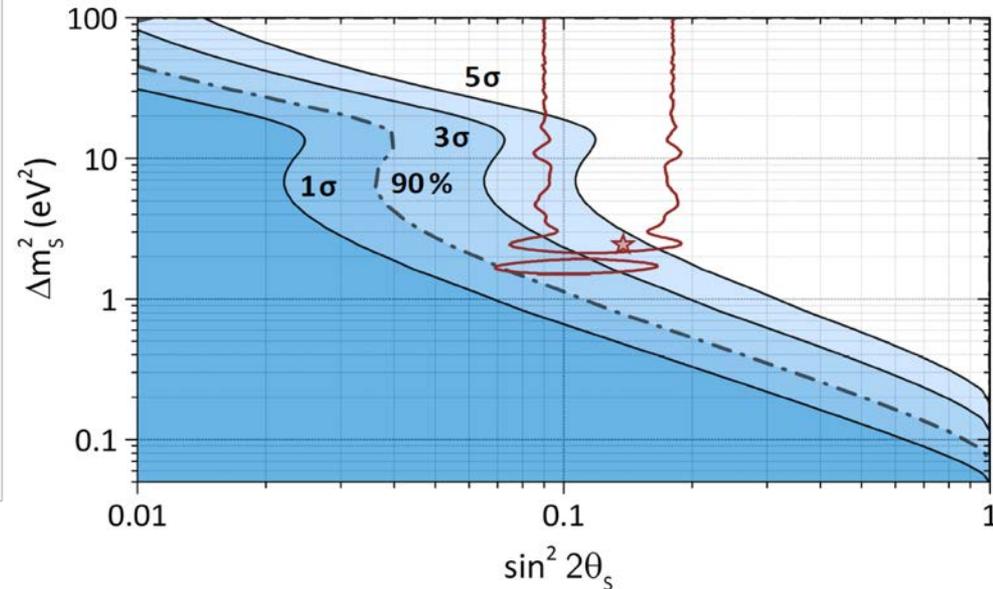
www.kit.edu

KATRIN: 2019-2023 regular tritium data taking

measure / constrain m_β



eV-scale sterile neutrinos

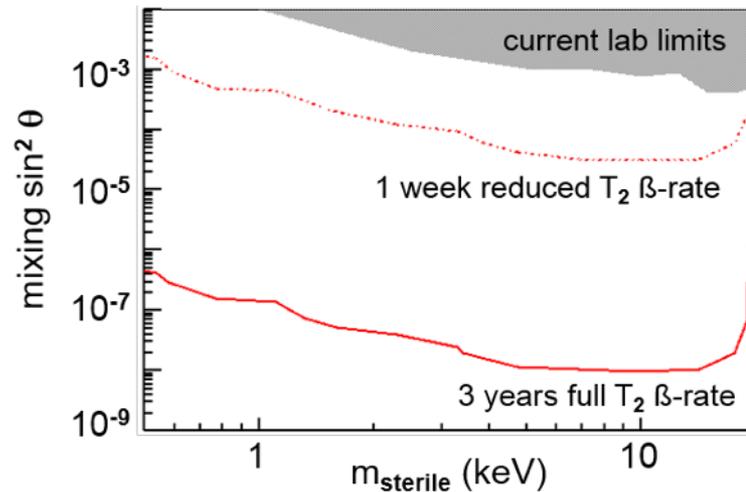
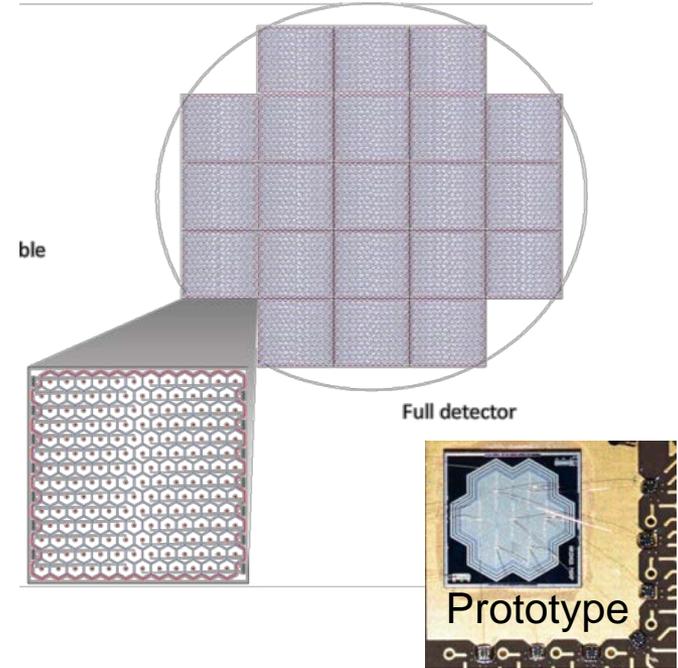
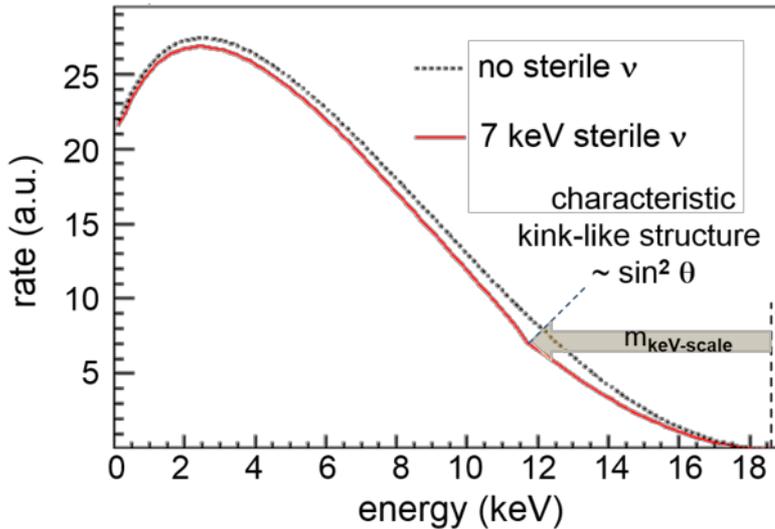


R&D to reach ~ 0.1 eV:

- differential read-out via ToF-technique or cryo-bolometer
- novel source concepts: atomic tritium

KATRIN future: TRISTAN – search for keV scale ν 's

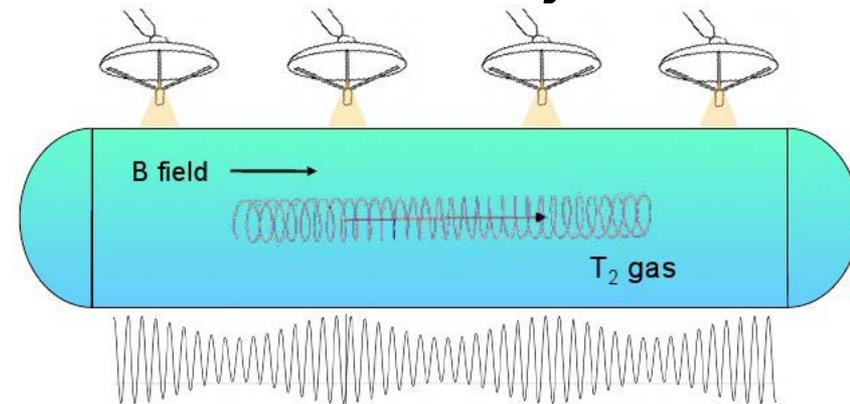
New Si-array (TRISTAN) to search for kink in β -spectrum over entire tritium spectrum (0-18.6 keV)



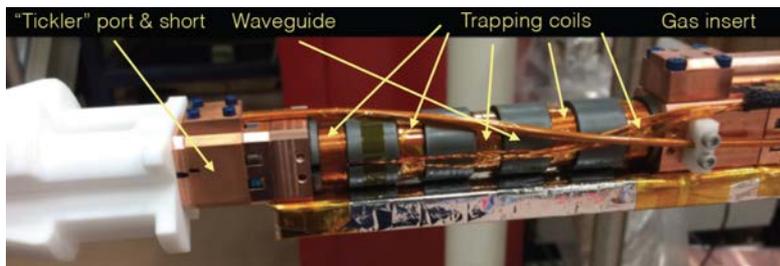
Project 8

Measurement of cyclotron radiation of tritium electrons

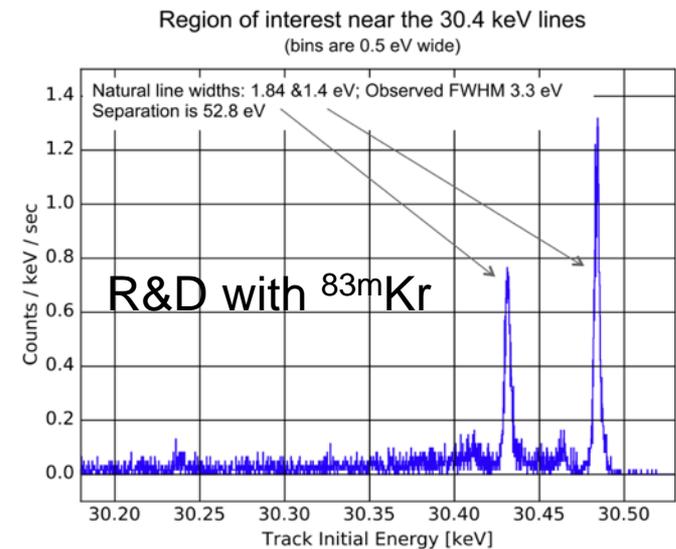
- B-field: 1 Tesla
- $\omega(18 \text{ keV}) \sim 26 \text{ GHz}$
- $P(18 \text{ keV}) = 1.2 \text{ fW}$



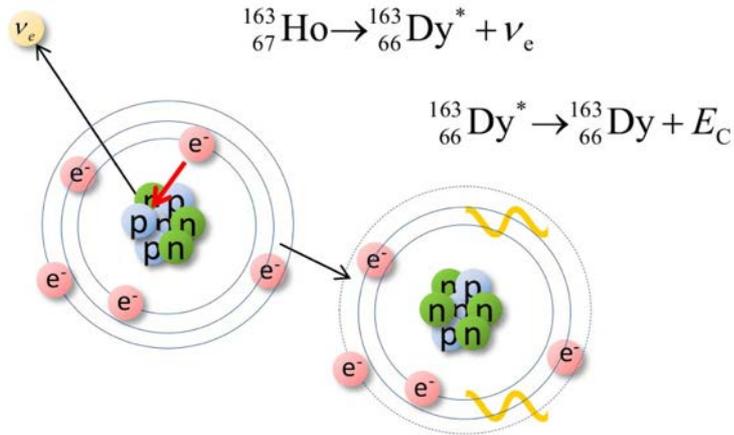
R&D with tritium



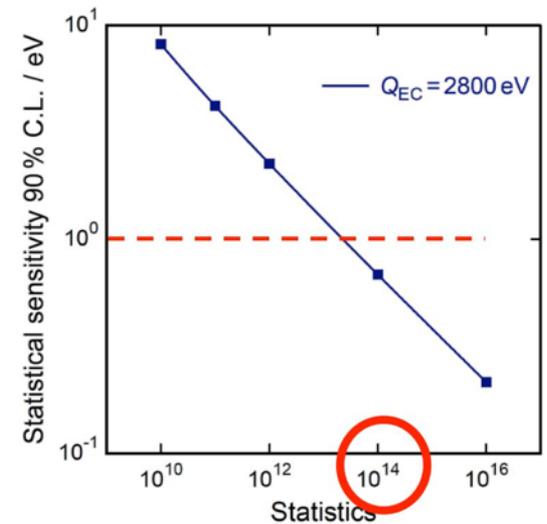
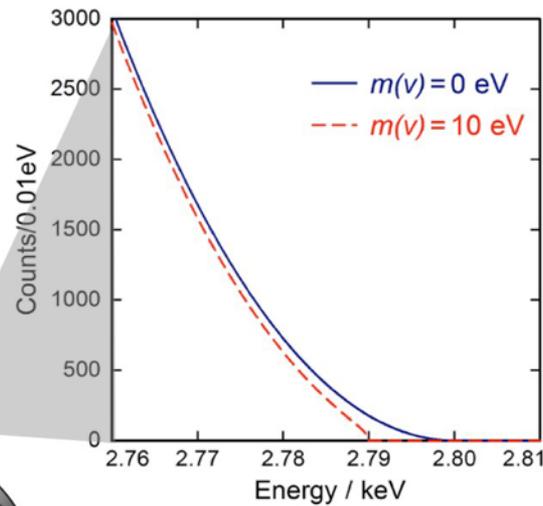
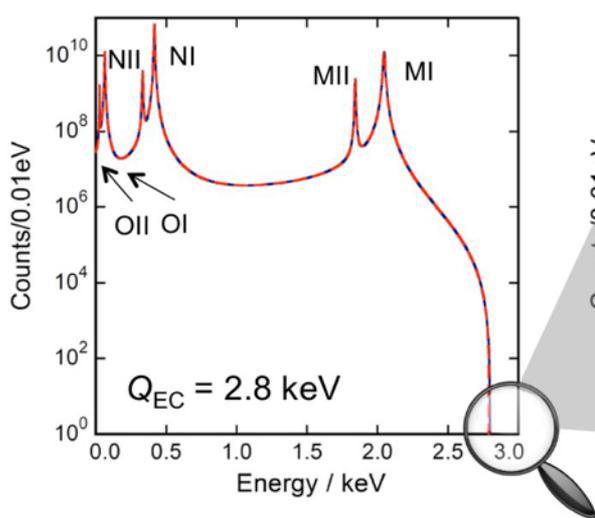
- 10^{11} molecules/cm³, 10 m³, 1 year: optimistically 100 meV
- If 100 m³ atomic tritium source possible: 40 meV



ECHo



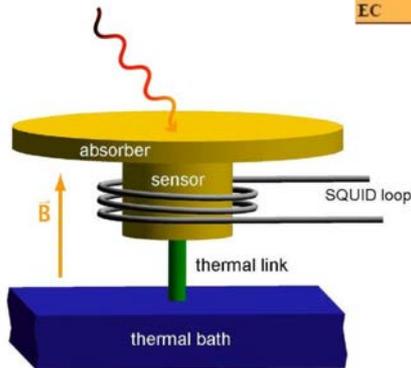
^{163}Ho decays by electron capture (EC) with $T_{1/2} \approx 4570 \text{ y}$
 $(2 \times 10^{11} \text{ atoms for } 1 \text{ Bq})$



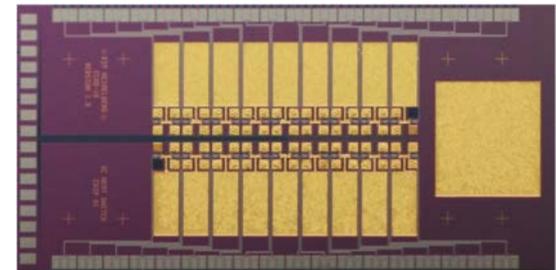
ECHo

@ISOLDE-CERN

Er161 3.21 h 3/2-	Er162 0+	Er163 75.0 m 5/2-	Er164 0+	Er165 10.36 h 5/2-	Er166 0+
EC	0.14	EC	1.61	EC	33.6
Ho160 25.6 m 5+	Ho161 2.48 h 7/2-	Ho162 15.0 m 1+	Ho163 4570 y 7/2-	Ho164 29 m 1+	Ho165 7/2-
EC *	EC *	EC *	EC *	EC,β *	100



Read out of MMC with microwave SQUID multiplexing



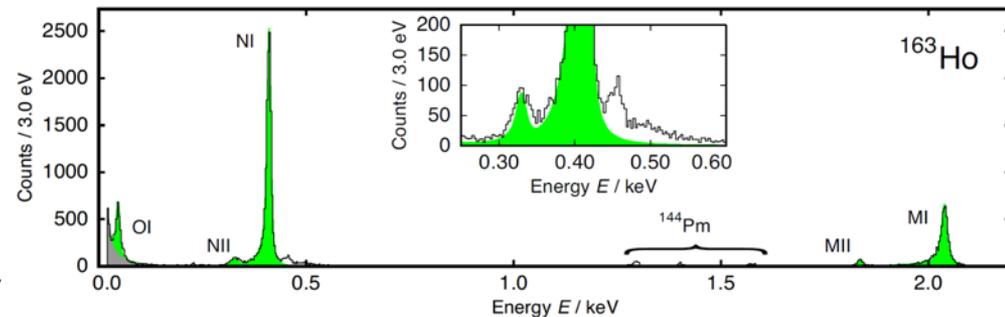
$$\Delta\Phi_s \propto \frac{\partial M}{\partial T} \Delta T \rightarrow \Delta\Phi_s \propto \frac{\partial M}{\partial T} \frac{E}{C_{\text{sens}} + C_{\text{abs}}}$$

Q-value measurement:

$$Q_{\text{EC}} = (2.858 \pm 0.010_{\text{stat}} \pm 0.05_{\text{syst}}) \text{ keV}$$

Phys. Rev. Lett. 119 (2017) 122501

ECHo-1K (2015-2018): $m < 10 \text{ eV}$
 Future: ECHo-10M for sub-eV sensitivity



A neutrino mass network: KATRIN + ECHo

March 2017: MoU on „absolute neutrino mass scale from nuclear β -decay and electron capture“

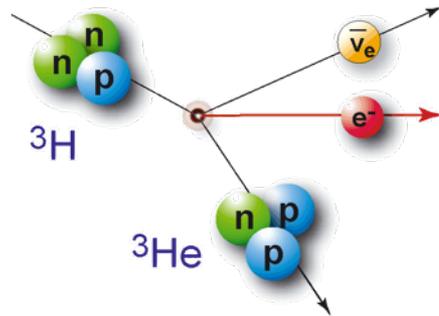


Spokespersons: K. Valerius & L. Gastaldo

Biannual Trento meetings of worldwide direct neutrino mass community at ECT*

ν -mass observables

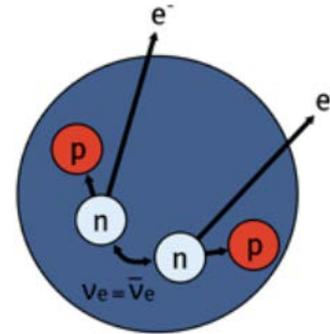
β -decay



$$m_\beta = \sqrt{\sum_i |U_{ei}|^2 \cdot m_i^2}$$

(Dirac or Majorana)

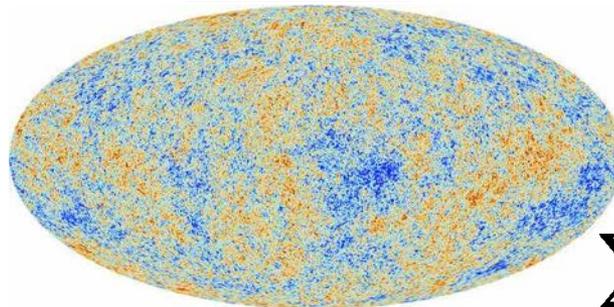
$0\nu\beta\beta$ -decay



$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

(Majorana)

Cosmology

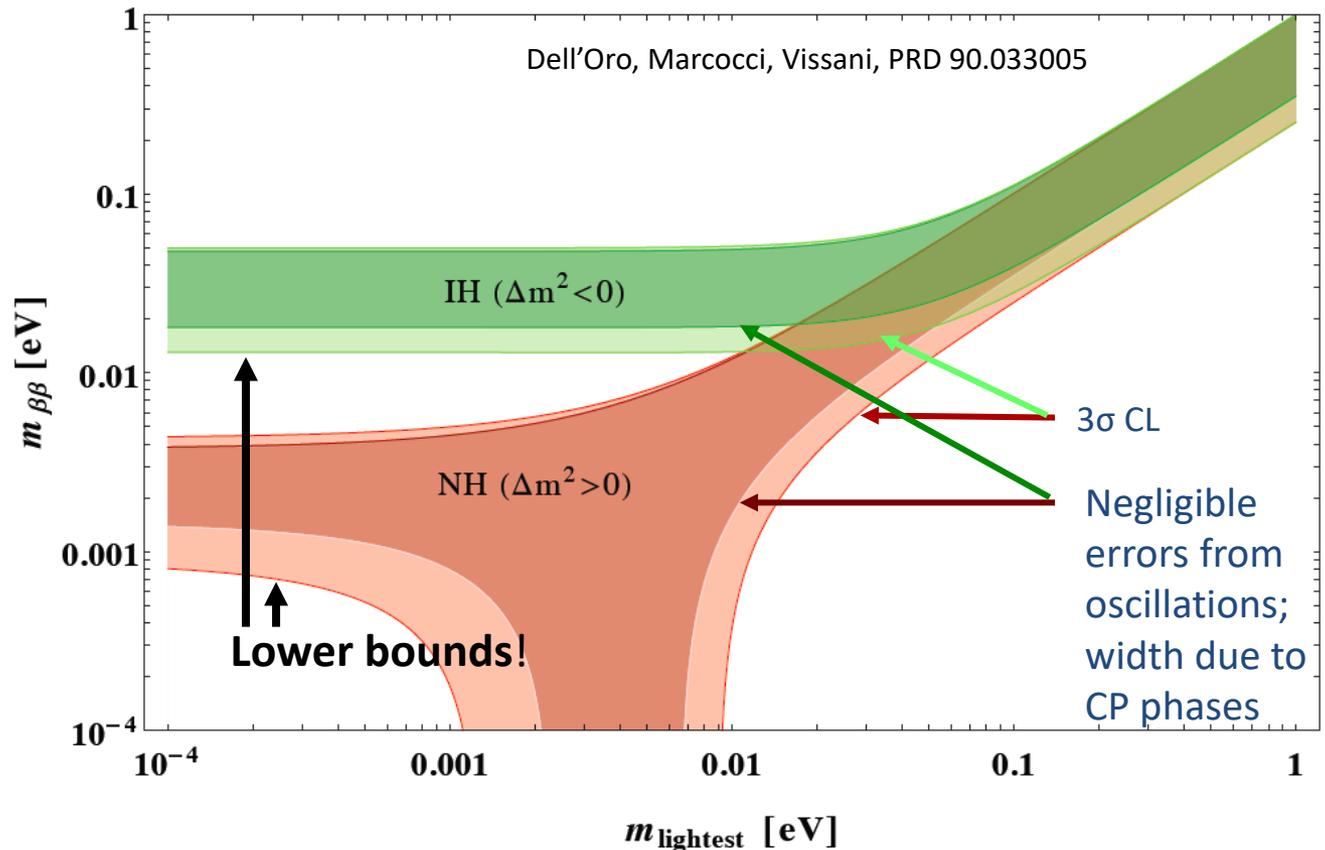


$$\sum_i m_i$$

$0\nu\beta\beta$: Range of m_{ee} from oscillation experiments

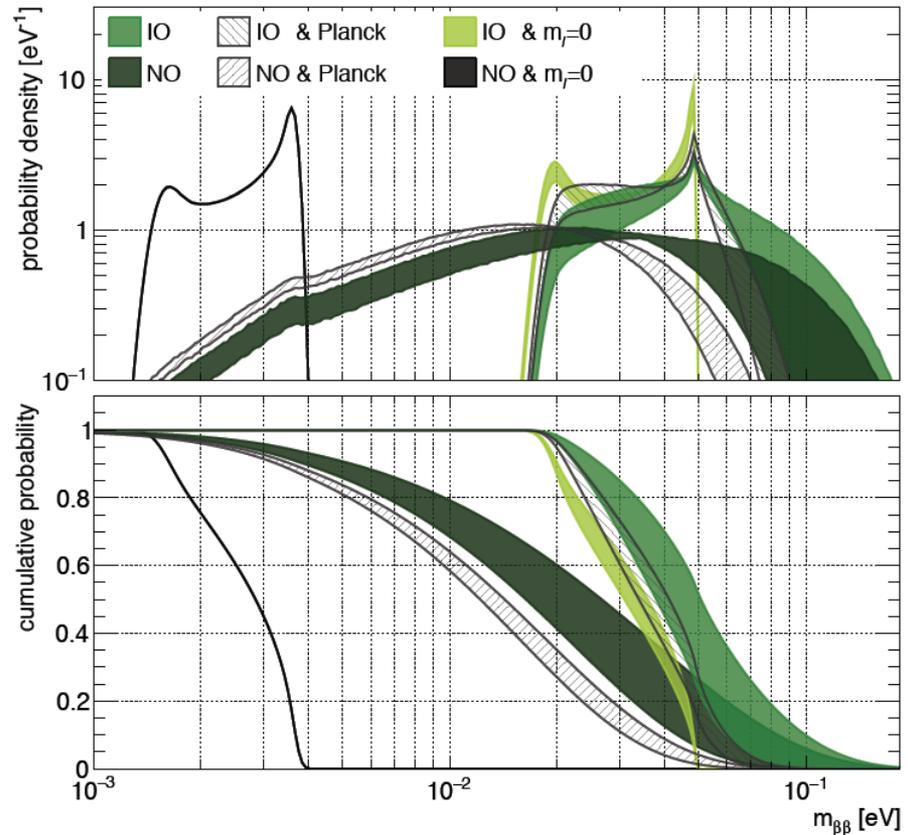
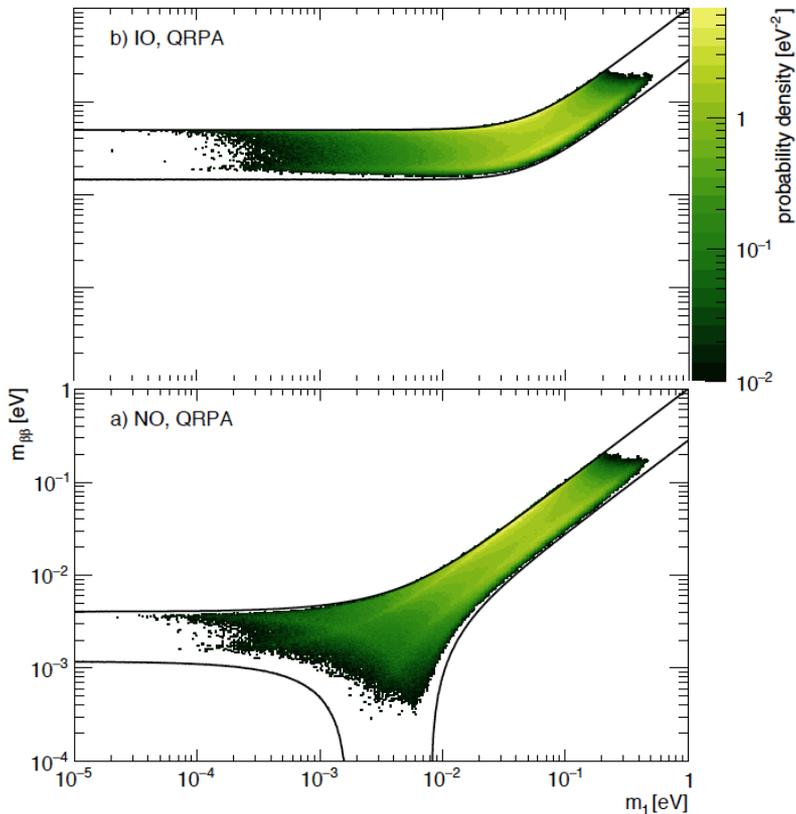
$$m_{ee} = f(m_1, \underbrace{\Delta m_{sol}^2, \Delta m_{atm}^2, \theta_{12}, \theta_{13}}_{\text{from oscillation experiments}}, \alpha - \beta)$$

Goal of next generation experiments: 

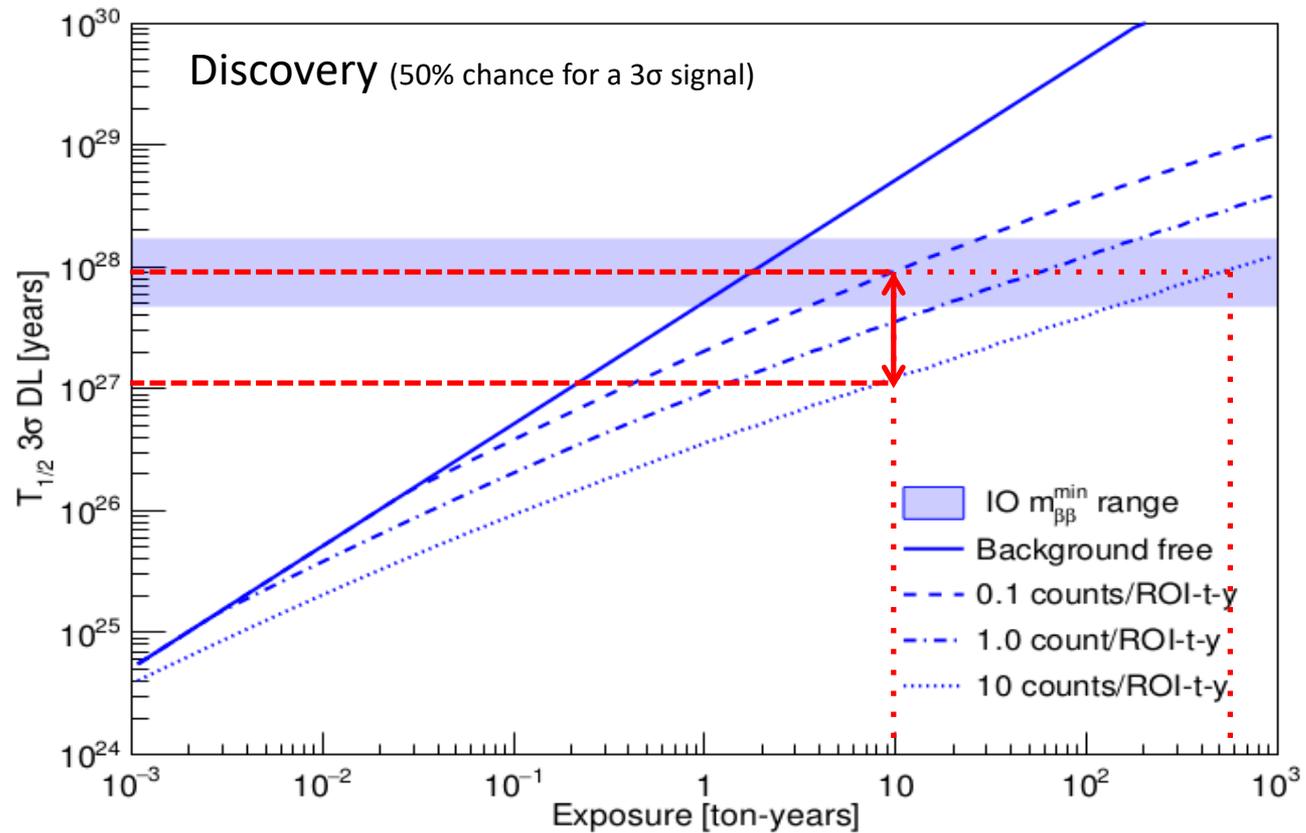


Discovery probabilities

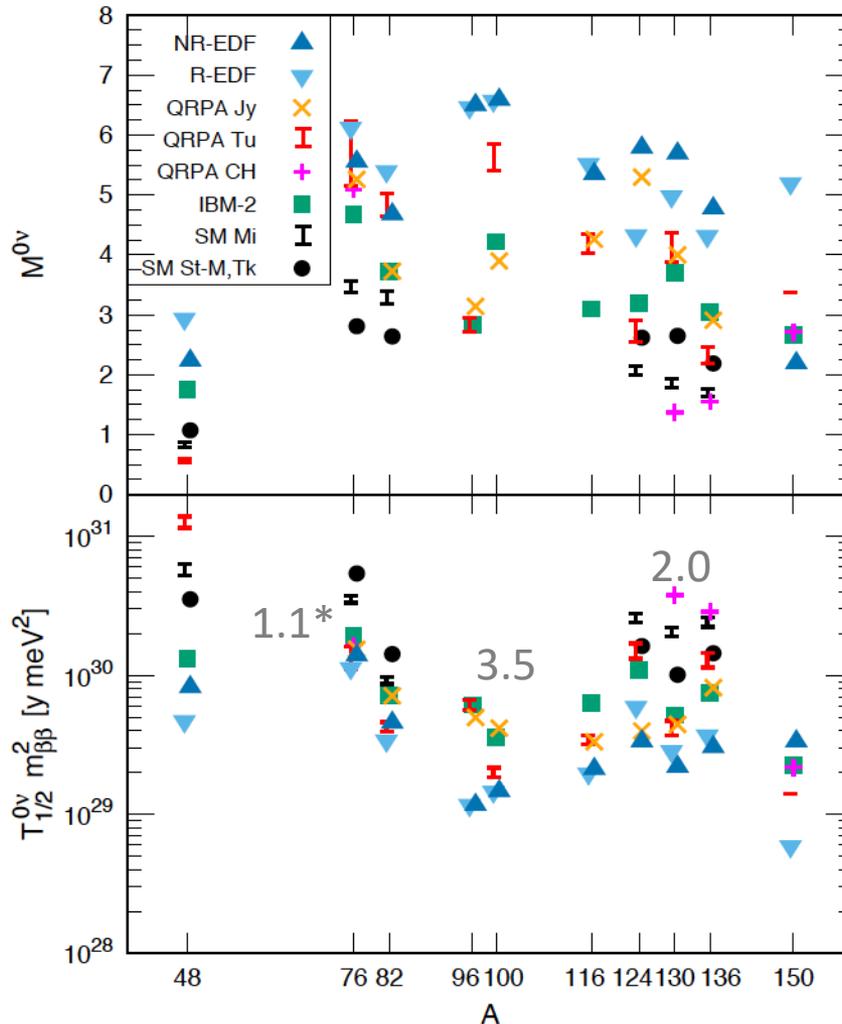
- Global Bayesian analysis including ν -oscillation, m_β , $m_{\beta\beta}$, Σ
- Priors:
 - Majorana phases (flat)
 - m_1 (scale invariant)



Discovery sensitivity vs. background



Nuclear matrix elements



Spread about x2

No isotope significantly preferred when comparing decay rate per mass

Choice mainly driven by experimental considerations

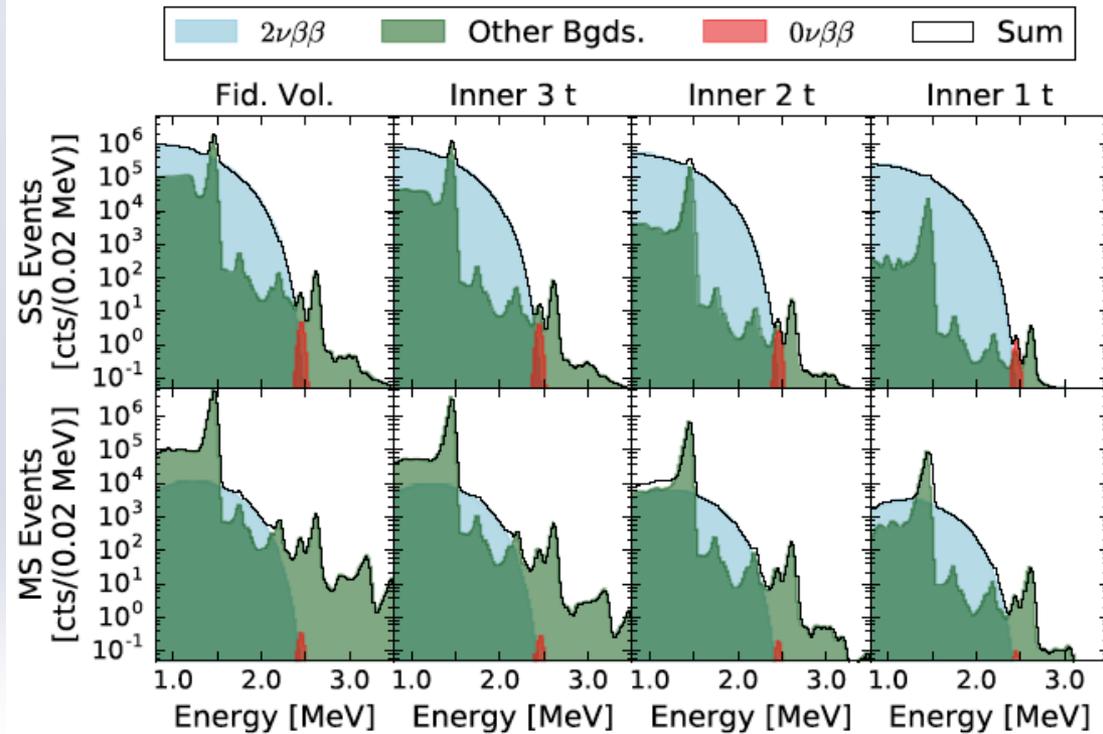
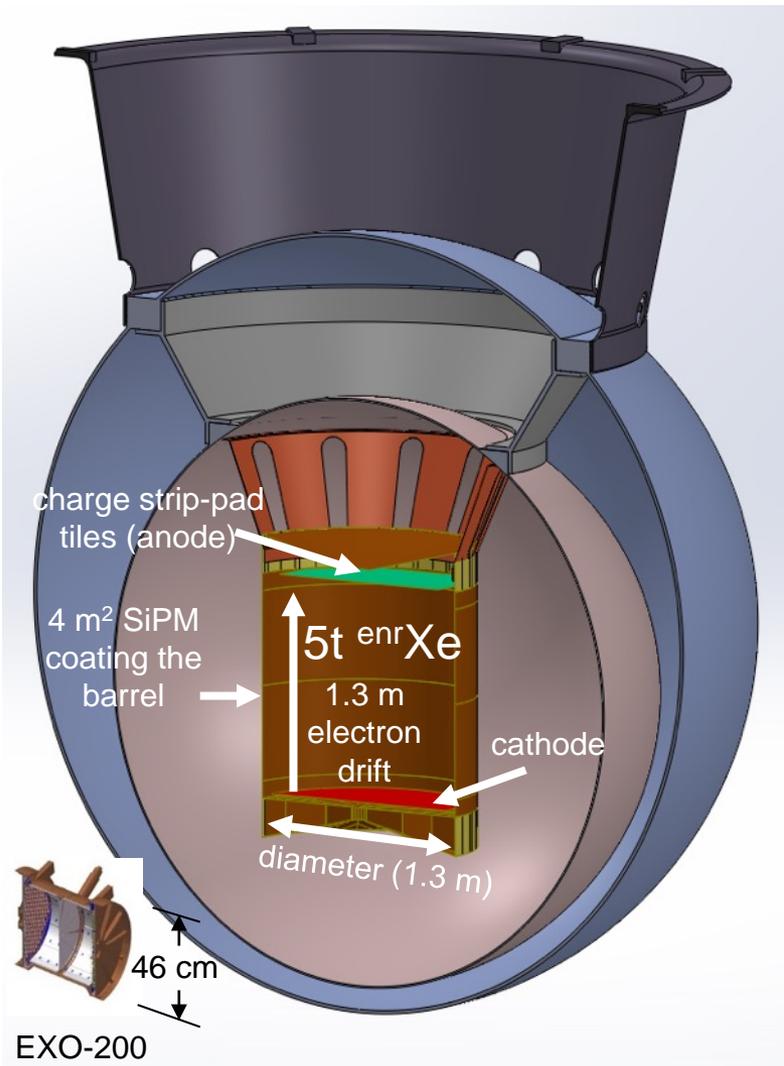
*number = signal rate per 1000 kg yr exposure & for middle of NME values for
 For $\langle m_{ee} \rangle = 17.5$ meV ('bottom of IH' for $g_A=1.25$, $\sin^2\theta_{12} = 0.318$)

Engel & Menéndez

Experimental status: sensitivity & limits on half-life

experiment	isotope	M_i [kg]	NME	sensitivity		limit	
				$T_{1/2}^{0\nu}$ [10^{25} yr]	$m_{\beta\beta}$ [eV]	$T_{1/2}^{0\nu}$ [10^{25} yr]	$m_{\beta\beta}$ [eV]
GERDA	^{76}Ge	31	2.8-6.1	5.8	0.14–0.30	8.0	0.12–0.26
MAJORANA	[13] ^{76}Ge	26	2.8-6.1	2.1	0.23–0.51	1.9	0.24–0.53
KamLAND-Zen	[24] ^{136}Xe	343	1.6-4.8	5.6	0.07–0.22	10.7	0.05–0.16
EXO	[25, 26] ^{136}Xe	161	1.6-4.8	1.9	0.13–0.37	1.1	0.17–0.49
CUORE	[27, 28] ^{130}Te	206	1.4-6.4	0.7	0.16–0.73	1.5	0.11–0.50

Xenon Experiments: nEXO



Discovery sensitivity (3σ , 50%) after 10 yr

$$T_{1/2}^{0\nu\beta\beta} = 5.5 \times 10^{27} \text{ yr}$$

If ^{136}Ba -tagging can be implemented:

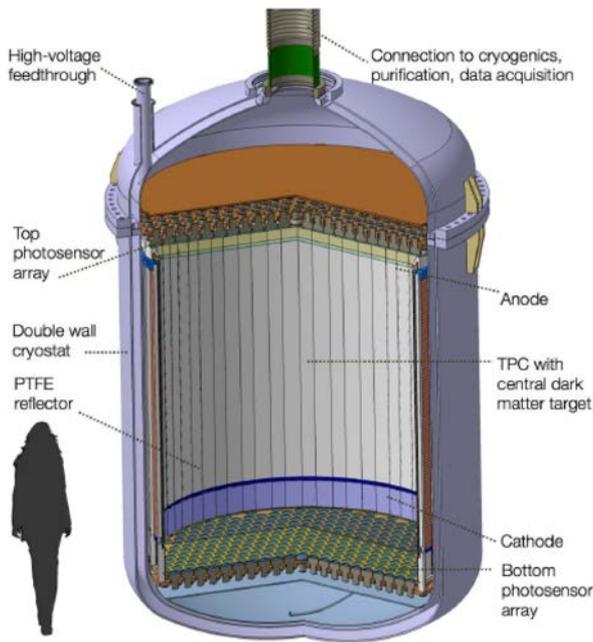
$$T_{1/2}^{0\nu\beta\beta} = 1.6 \times 10^{28} \text{ yr}$$

Participation from Germany: Univ. Erlangen

Courtesy G. Gratta

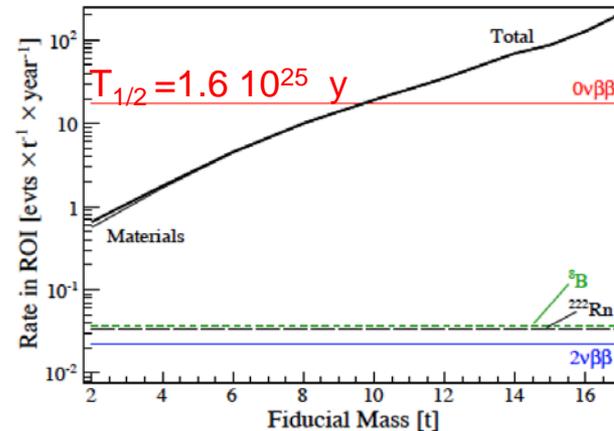
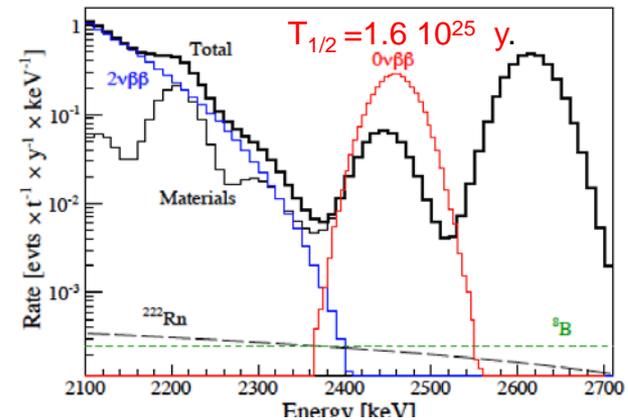
Xenon Experiments: DARWIN

- Primary goal direct dark matter detection

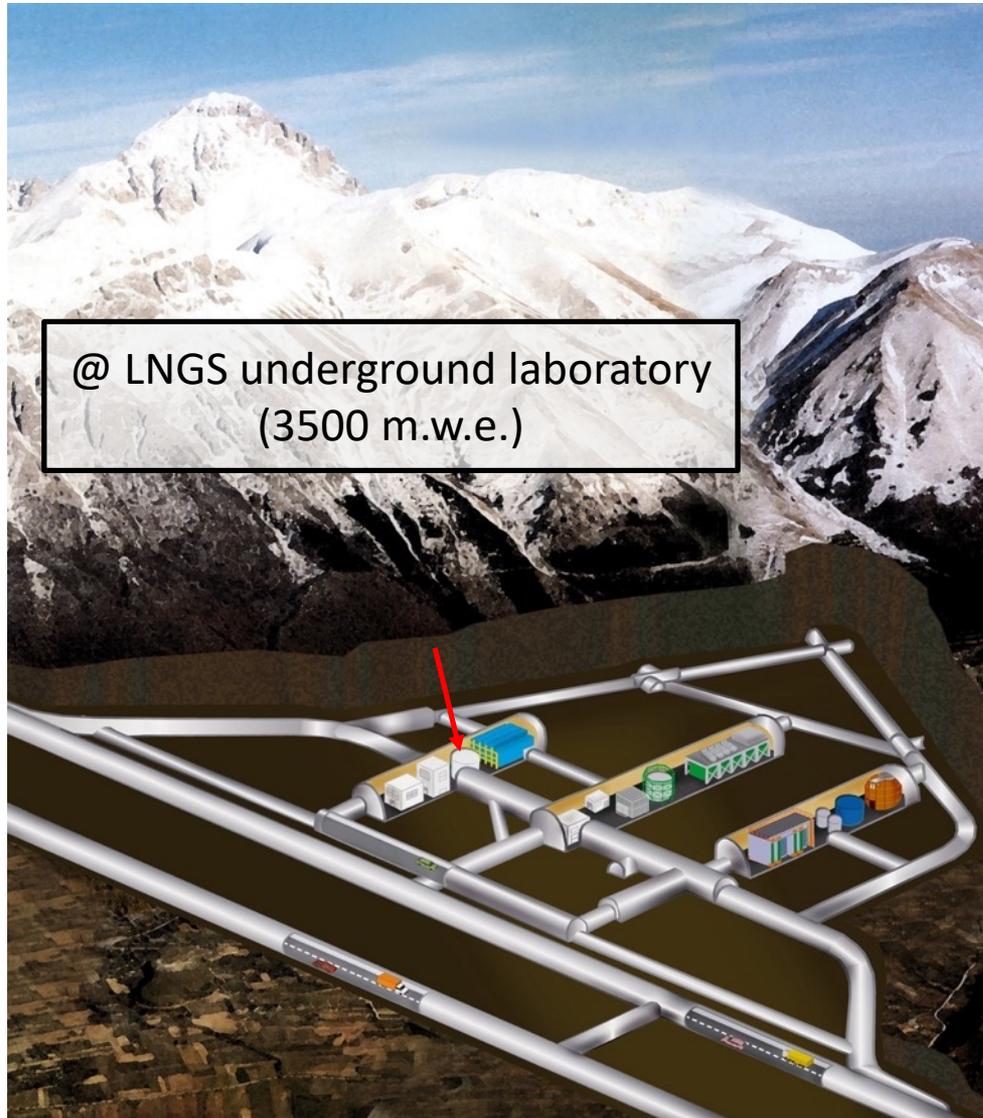


50 t natural Xenon (8.9% Xe-136)

Challenge: keV threshold for DM and $\Delta E/E (\sigma) < 1\%$ at $Q_{\beta\beta}$

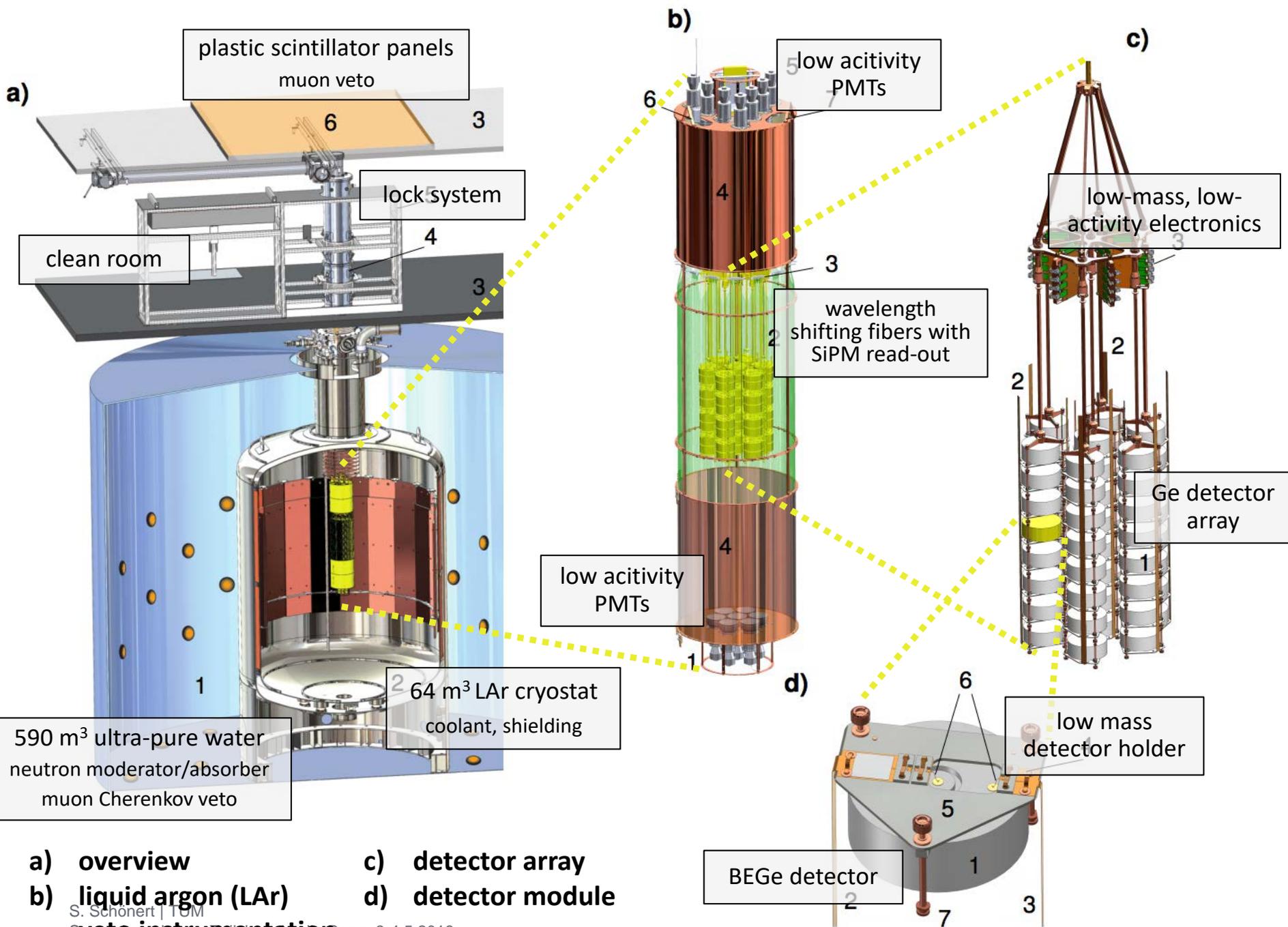


GERDA Phase II experimental setup at LNGS

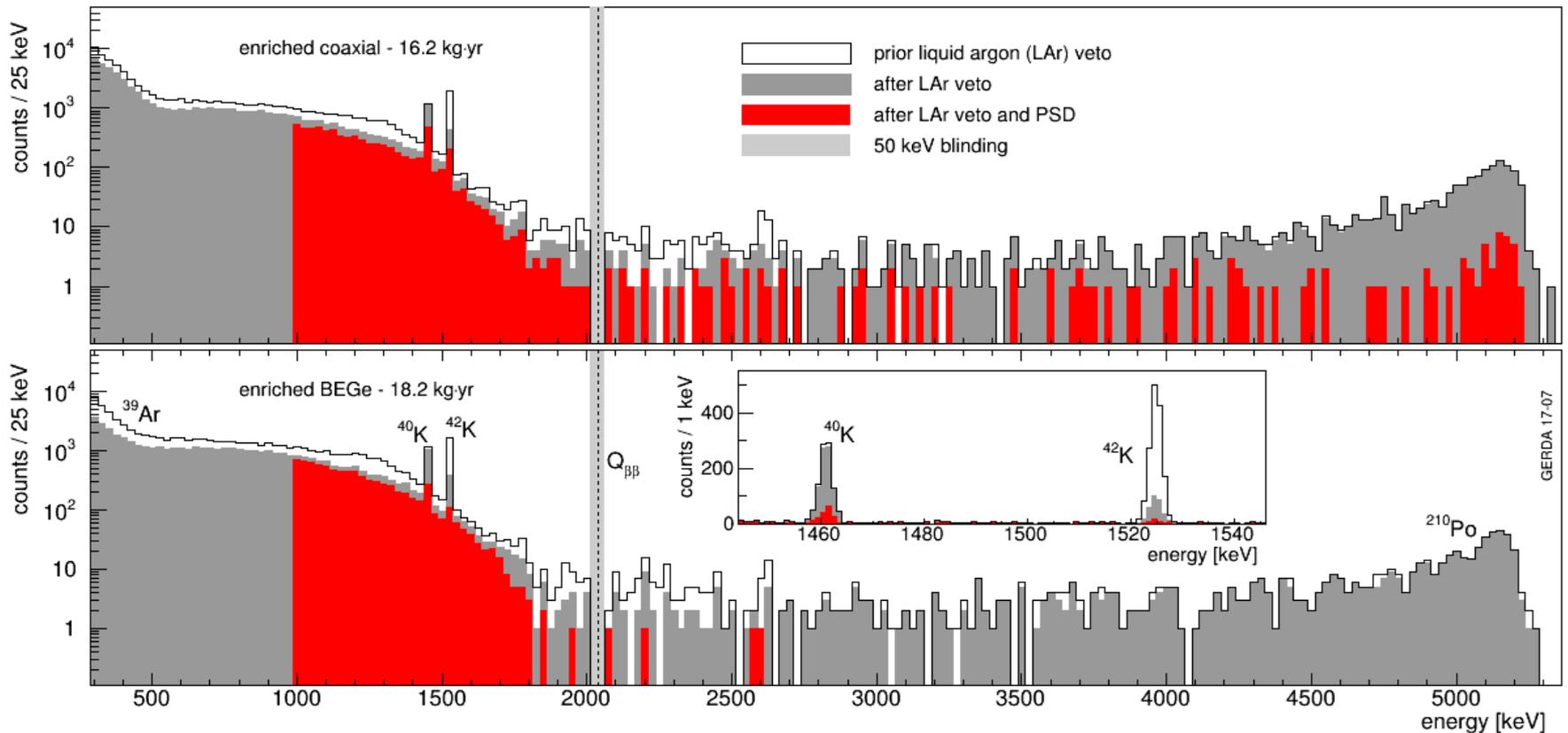


@ LNGS underground laboratory
(3500 m.w.e.)





Spectra / background suppression by LAr & PSD



- **Suppression by LAr veto**
 -> *pure 2νββ continuum*

S. Schönert | TUM

Strategieworkshop Teilchenphysik, Bonn, 3-4.5.2018

Neutrinomass & $0\nu\beta\beta$ decay

- **LAr veto and PSD complementary**
- *no alphas in BEGe's after PSD*

Statistical analysis

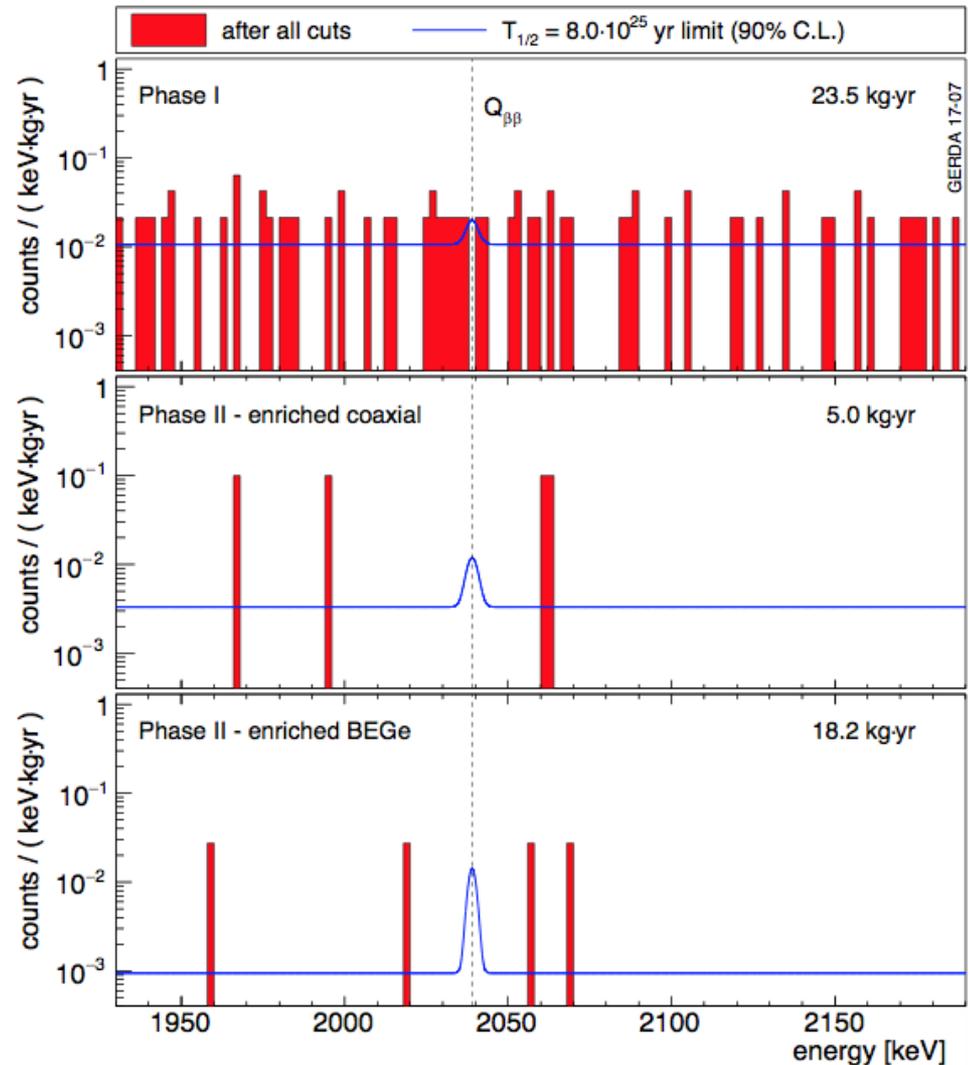
- unblinding of **18.2 kg*yr** BEGe data

background index @ $Q_{\beta\beta}$

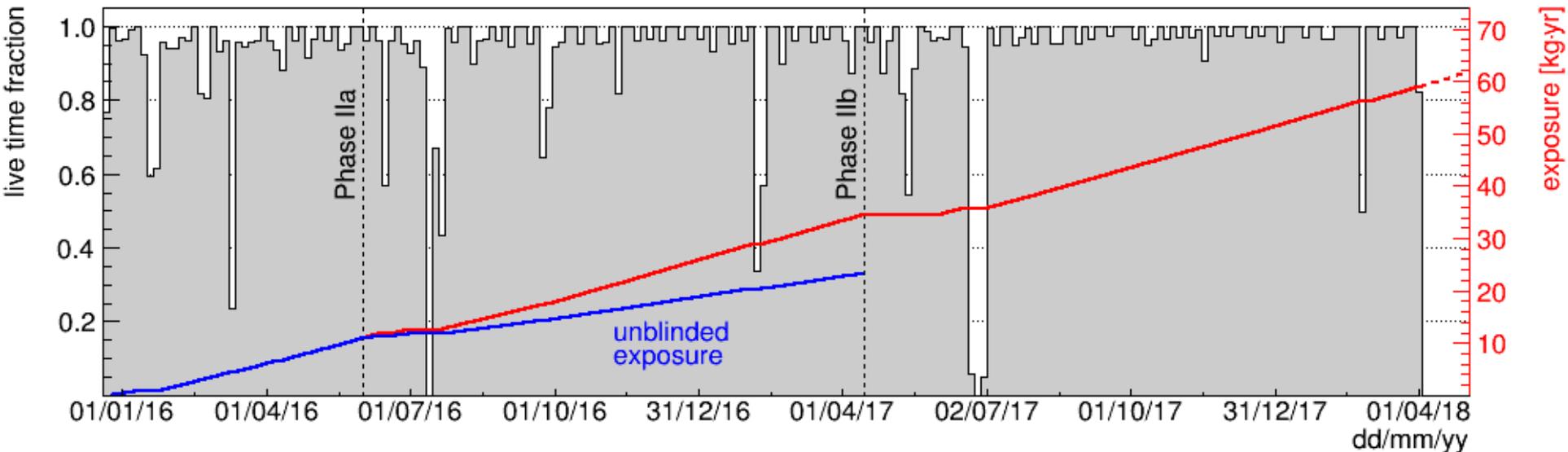
4 cts \rightarrow $1.0^{+0.6}_{-0.4} \cdot 10^{-3}$ cts/(keV*kg*yr)

- combined (Phase I, Phase IIa/b) **unbinned maximum likelihood fit**

	Profile likelihood	Bayesian
$T_{1/2}$ lower limit [10^{25} yr]	8.0 (90% CL)	5.1 (90% CI)
$T_{1/2}$ median sensitivity [10^{25} yr]	5.8 (90% CL)	4.5 (90% CI)



GERDA unblinding on Mai 3



significant fraction of fresh data!
(**23.3** (+23.5) **kg*yr** vs. **35.7 kg*yr**)

Sensitivity 10^{26} yr and background goals reached!

New results will be presented at Neutrino 2018

Univ. New Mexico
 L'Aquila Univ. and INFN
 Gran Sasso Science Inst.
 Lab. Naz. Gran Sasso
 Univ. Texas
 Tsinghua Univ.
 Lawrence Berkeley Natl. Lab.
 Leibniz Inst. Crystal Growth
 Comenius Univ.
 Lab. Naz. Sud
 Univ. of North Carolina
 Sichuan Univ.
 Univ. of South Carolina
 Jagiellonian Univ.
 Banaras Hindu Univ.
 Univ. of Dortmund
 Tech. Univ. – Dresden
 Joint Inst. Nucl. Res. Inst.
 Nucl. Res. Russian Acad. Sci.
 Joint Res. Centre, Geel

LEGEND the collaboration



Chalmers Univ. Tech.
 Max Planck Inst., Heidelberg
 Dokuz Eylul Univ
 Queens Univ.

Univ. Tennessee
 Argonne Natl. lab.
 Univ. Liverpool
 Univ. College London

Los Alamos Natl. Lab.
 Lund Univ.
 INFN Milano Bicocca
 Milano Univ. and Milano INFN
 Natl. Res. Center Kurchatov Inst.
 Lab. for Exper. Nucl. Phy. MEPhI
 Max Planck Inst., Munich
 Technical Univ. Munich
 Oak Ridge Natl. Lab.
 Padova Univ. and Padova INFN
 Czech Tech. Univ. Prague
 Princeton Univ.
 North Carolina State Univ.
 South Dakota School Mines Tech.
 Univ. Washington
 Academia Sinica
 Univ. Tuebingen
 Univ. South Dakota
 Univ. Zurich



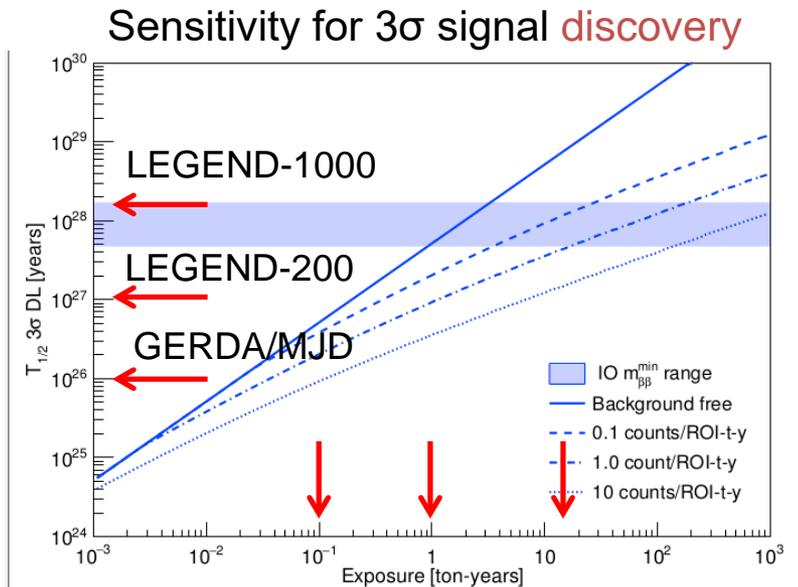
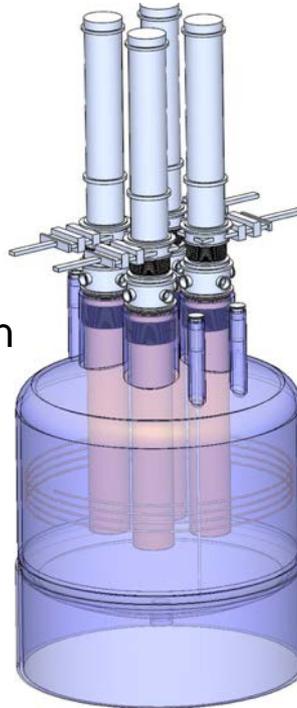


LEGEND-200 (first phase):

- up to 200 kg of detectors
- BI ~ 0.6 cts/(FWHM \cdot t \cdot yr)
- upgrade existing GERDA infrastructure at LNGS (2019-2021)
- design exposure: 1 t \cdot yr
- Sensitivity 10^{27} yr

LEGEND-1000 (second phase):

- 1000 kg of detectors (deployed in stages)
- BI < 0.1 cts/(FWHM \cdot t \cdot yr)
- Location tbd
- Design exposure 12 t \cdot yr
- $1.2 \cdot 10^{28}$ yr



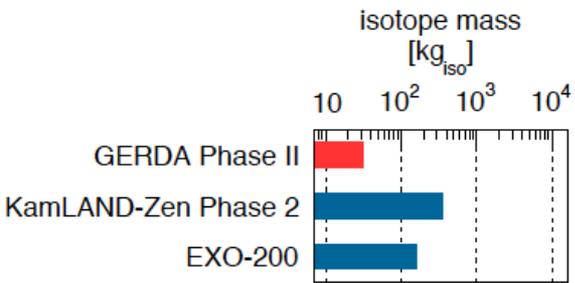
17 meV discovery sensitivity for “worst case” NME of 3.5

Summary & Outlook

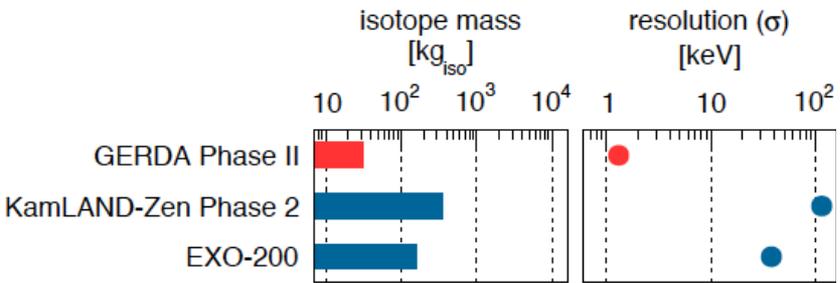
- Key results from **KATRIN 2019-2023**: $m_{\beta} = 0.2$ eV after 5 yr, eV-sterile, keV-sterile
- **0.1 eV sensitivity** in reach with upgrades (tof, cryogenic detectors)
- ECHo-1k < 10 eV => **ECHo-1M: sub-eV sensitivity**
- **Project 8**: could in principle reach 40 meV sensitivity (100m³)
- Worldwide **community forming**: KATRIN, ECHo / Holmes, Project 8
- Strong activities world-wide for preparation of **ton-scale $0\nu\beta\beta$** experiments
- **Very high discovery** potential for IO
- **Reasonable high discovery** potential also for NO (assuming absence of mechanism driving $m_{\beta\beta}$ or m_1 to zero)
- **Several DBD isotopes** and techniques required, given NME uncertainties and low signal rates
- Formidable **experimental challenges** to acquire ton yr exposure quasi **background free**
- Community now ready to move to **ton-scale experiments** with mostly **reasonable extrapolations** w.r. to detector performance and background reduction
- **Staging** largely adopted to produce physics results & minimize (project) risks
- GERDA has **lowest background** and **highest sensitivity** world-wide
- **GERDA, Majorana** and new groups formed **LEGEND**; LEGEND-200 at LNGS starts **2021**
- Experimental design for **discovery** (not limit setting!)

Extra slides

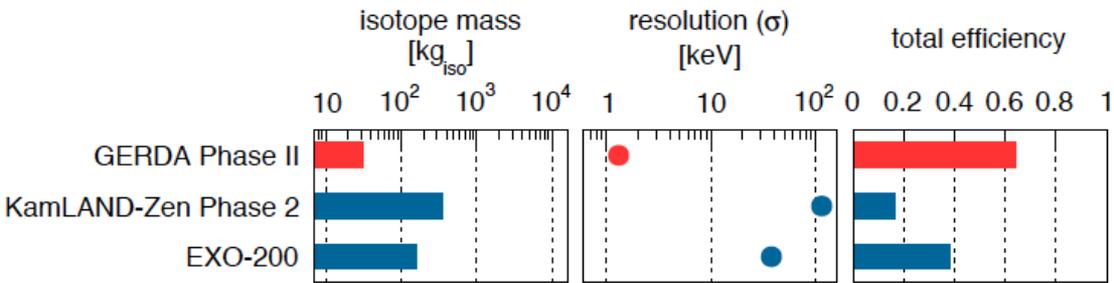
Comparison of Experiments



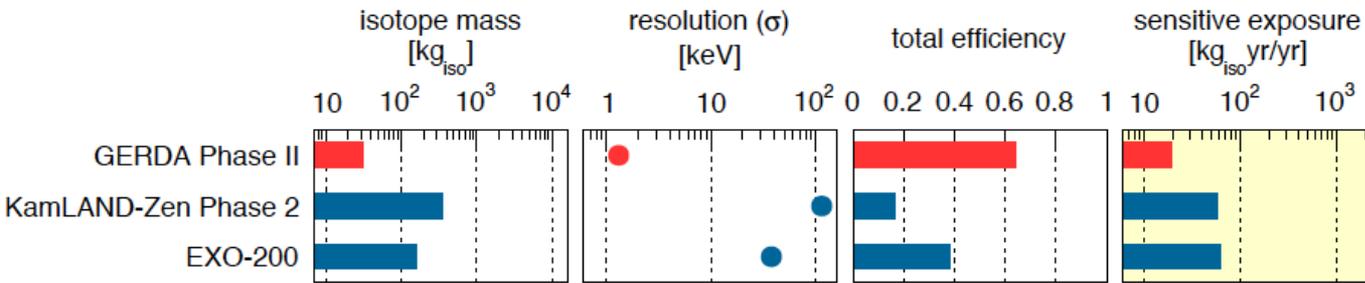
Comparison of Experiments



Comparison of Experiments

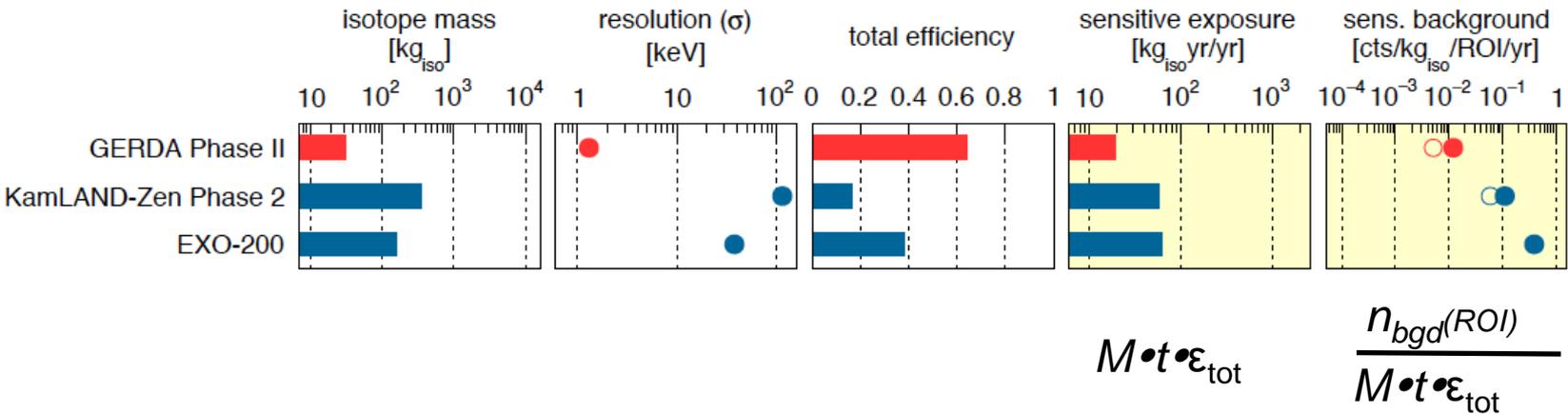


Comparison of Experiments

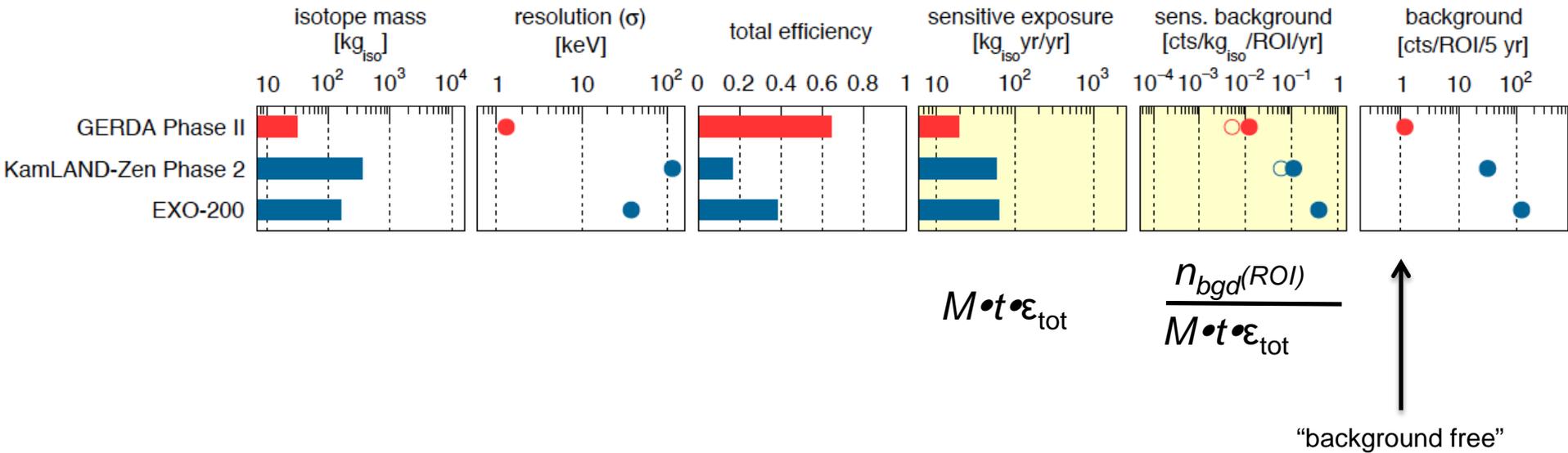


$$M \cdot t \cdot \epsilon_{\text{tot}}$$

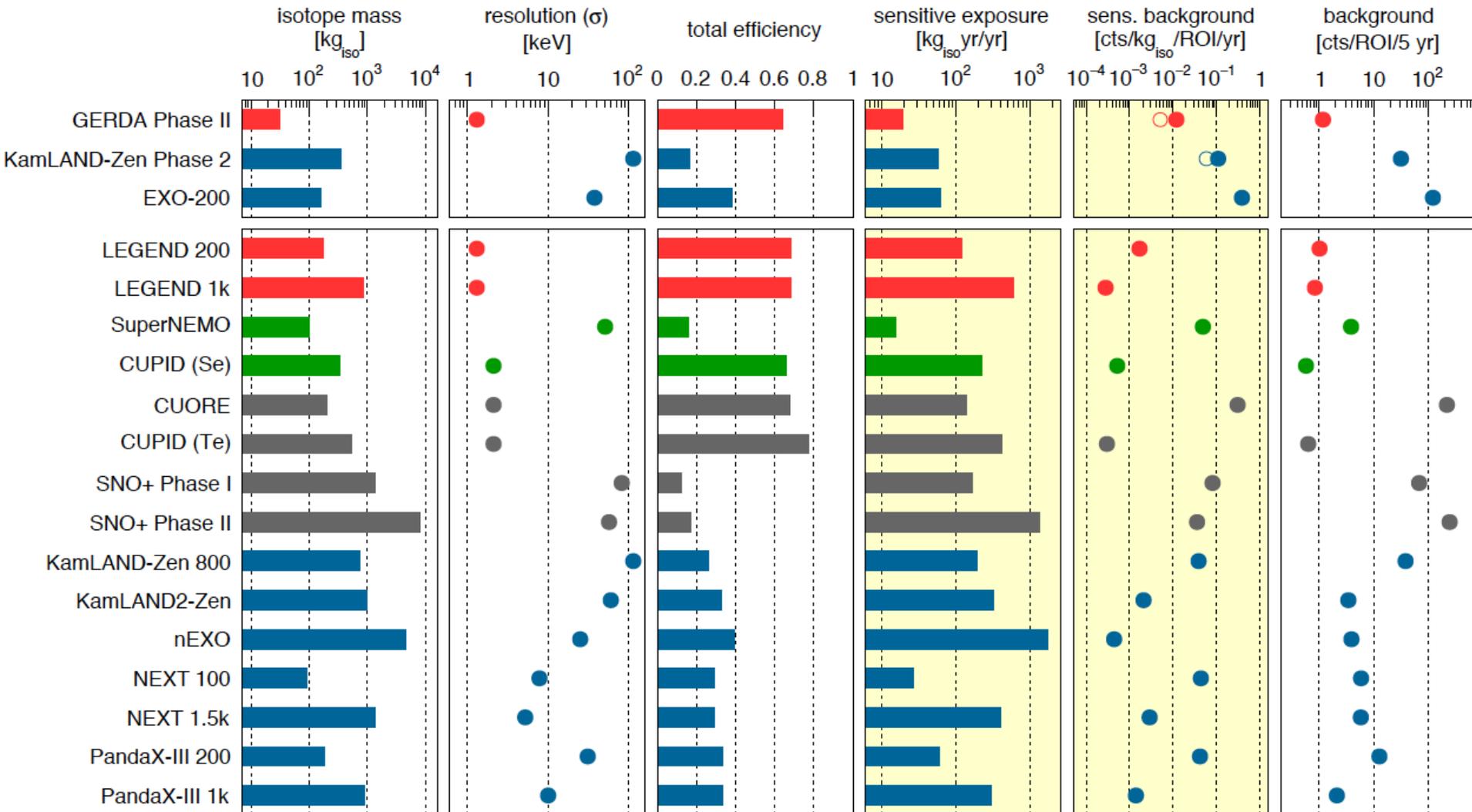
Comparison of Experiments



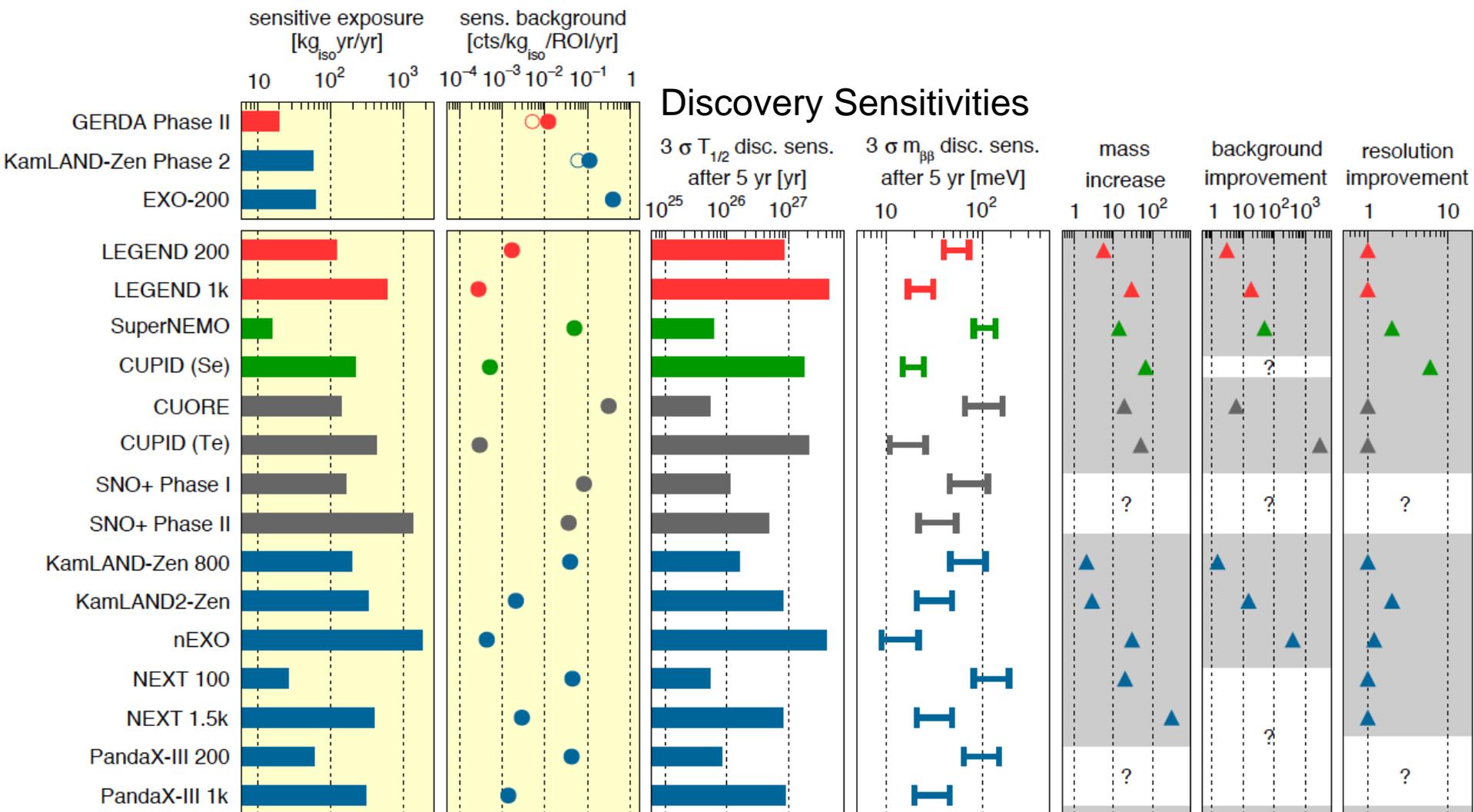
Comparison of Experiments



Comparison of Experiments



Comparison of Experiments



Discovery sensitivities

(5 yr live time)

