

# Massenordnung (Massenhierarchie) der Neutrinos

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# Neutrino Mass & Mixing

Basic features of 3 Neutrino Mixing confirmed in numerous experiments

- 2 independent mass differences:  $\Delta m^2_{12}$ ,  $\Delta m^2_{23}$  (**measured**)
- Pontecorvo-Maki-Nakagawa-Sakata (PMNS) Matrix:
- 3 mixing angles:  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$  (**measured**)
- 1 Dirac-phase (CP violating):  $\delta$  (**measurement in next decade**)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Really maximal?  
Octant?

$$\Theta_{23} \approx 45^\circ$$

$$\Theta_{13} \approx 9^\circ, \delta ?$$

$$\Theta_{12} \approx 33^\circ$$

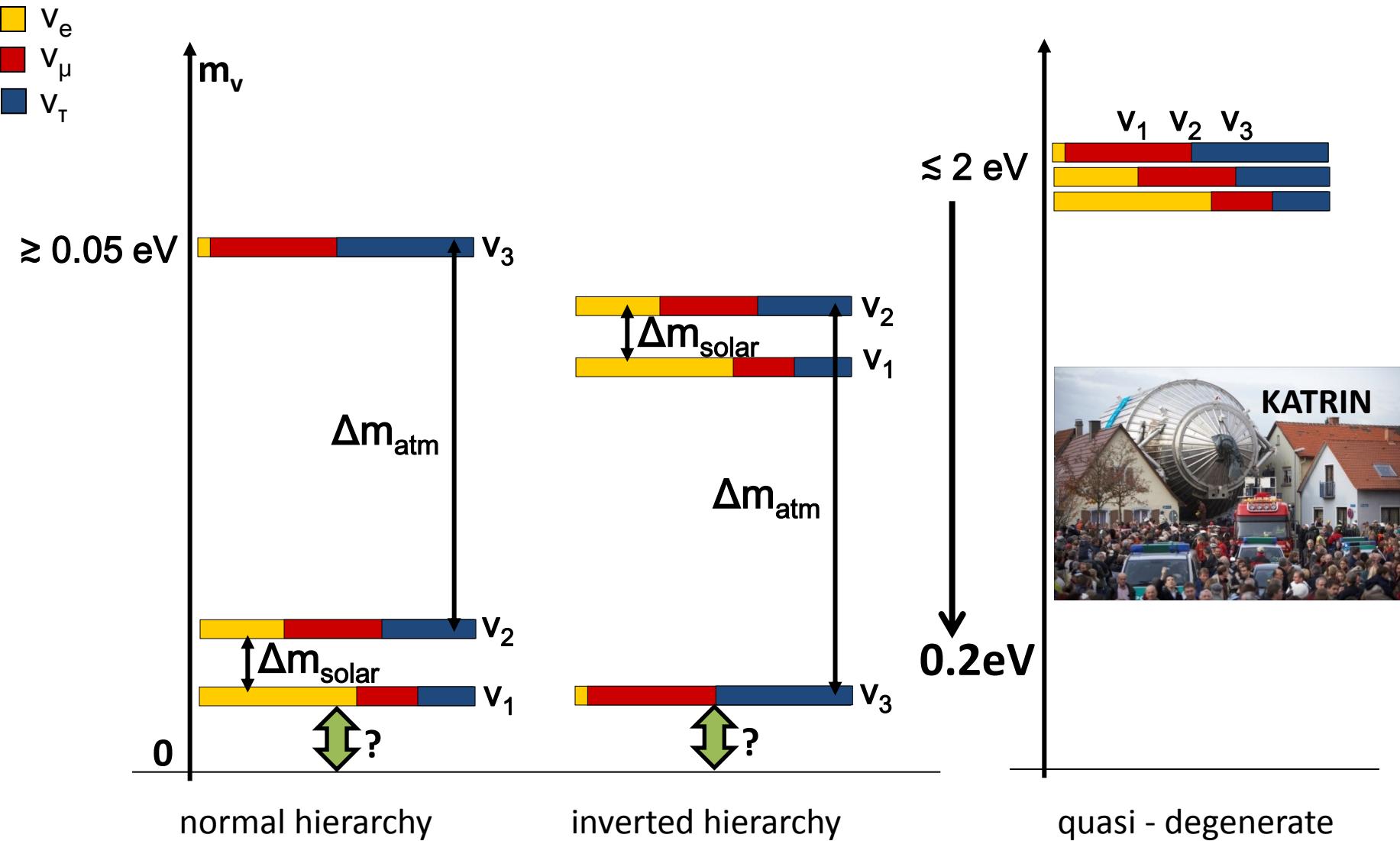
atmospheric neutrinos,  
neutrino beams

reactor neutrinos,  
neutrino beams,  
atm. neutrinos

solar neutrinos,  
reactor neutrinos

# What do we know about neutrino masses?

$$\Delta m_{\text{solar}}^2 \approx 7.4 \cdot 10^{-5} \text{ eV}^2, \quad \Delta m_{\text{atm}}^2 \approx 2.5 \cdot 10^{-3} \text{ eV}^2$$



# Status of Global Fits of Oscillation Parameters

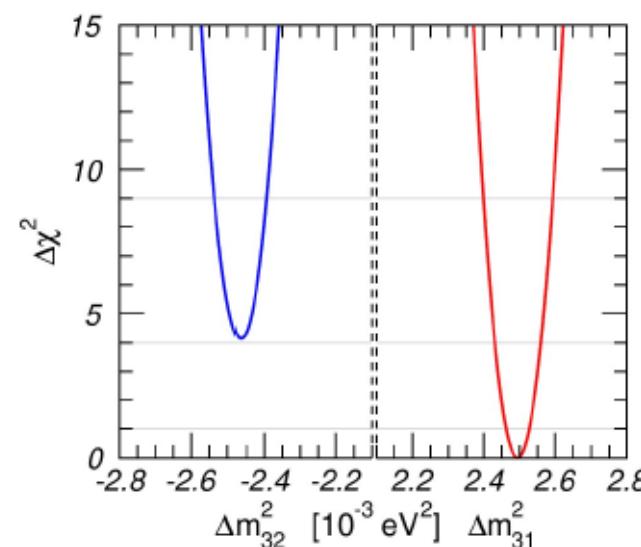
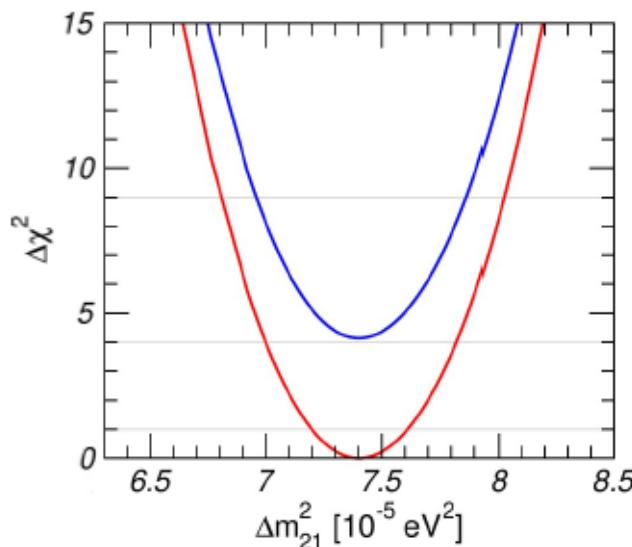
one example

[NuFIT 3.2 (2018), [www.nu-fit.org](http://www.nu-fit.org); T. Schwetz @ CERN Neutrino Platform Week, 1 Feb 2018]

[See also: Capozzi et al., Phys.Rev. D95 (2017) 096014, arXiv:1703.04471; de Salas et al., arXiv:1708.01186]

SOL:  $\Delta m_{21}^2 = 7.40^{+0.21}_{-0.20} \times 10^{-5} \text{ eV}^2$  precision  $\simeq 2.8\%$

ATM:  $\left\{ \begin{array}{l} \text{NO : } \Delta m_{31}^2 = 2.494^{+0.033}_{-0.031} \times 10^{-3} \text{ eV}^2 \text{ precision } \simeq 1.3\% \\ \text{IO : } \Delta m_{32}^2 = -2.465^{+0.032}_{-0.031} \times 10^{-3} \text{ eV}^2 \text{ precision } \simeq 1.3\% \end{array} \right.$



Normal Ordering is preferred by  $\Delta\chi^2 = 4.1$

Slide from Giunti  
LaThuile 2018

# Open Questions

## Oscillation Experiments:

- Is  $\Theta_{23}$  maximal ( $45^\circ$ ) ?  
If not, which octant (e.g.  $41^\circ$  or  $49^\circ$ )?
- **What is the mass ordering (hierarchy)?**
- **What is the value of  $\delta_{CP}$  ?**
- Are there additional light (eV) sterile neutrinos?  
(LSND result, reactor neutrino anomaly)
- Unitarity of mixing matrix?
- Are there sum rules?

## Other:

- **Mass of lightest neutrino?**  
(KATRIN, cosmology)
- **Majorana Neutrinos?**  
(Double Beta Decay)
- ...

# Ergebnis Neutrino Strategie Workshop

## Abschlusserklärung

des von den drei gewählten Komitees KAT, KET und KHuK organisierten Workshops

**The Future of Neutrino Physics -**

**A German Perspective on Topics, Opportunities and Challenges**

**23-24 February 2017, MPIK Heidelberg**

### **Neutrinomassenhierarchie und Oszillationsparameter:**

Es ist zu erwarten, dass bis 2025 die Massenhierarchie durch das Reaktorneutrinoexperiment JUNO, die Beschleunigerneutrinoexperimente NOvA und T2K-II, sowie durch ein Neutrino-Teleskop mit sehr niedriger Energieschwelle wie PINGU oder ORCA hinreichend klar bestimmt werden kann. Ebenfalls ist eine Verbesserung der übrigen Neutrinooszillationsparameter zu erwarten. Die Teilnehmer\*innen begrüßen sehr die komplementären und ergänzenden Messungen mit verschiedenen Quellen (Reaktor-, Beschleuniger-, atmosphärische Neutrinos), um dieses Ziel durch eine gemeinsame Analyse aller Daten zu erreichen, und halten deshalb die signifikante deutsche Beteiligung an einem der beiden Neutrino-Teleskope für sehr wünschenswert.

# How to determine the mass hierarchy:

1. Disappearance of anti- $\nu_e$  from nuclear reactor (vacuum oscill.)  
China: JUNO @53km with 20kt Liquid Scintillator

2. Appearance of  $\nu_e$  in beam of  $\nu_\mu$  (matter effects)

Atmospheric Neutrinos  
Ice: PINGU  
Water: ORCA

Long Baseline Oscillations  
Detectors: Liquid Argon, Water Cerenkov, Liquid Scintillator  
USA: NOvA, LBNF/DUNE, Japan: T2K, HyperK

# Determination of Mass Hierarchy in a Reactor Neutrino Experiment

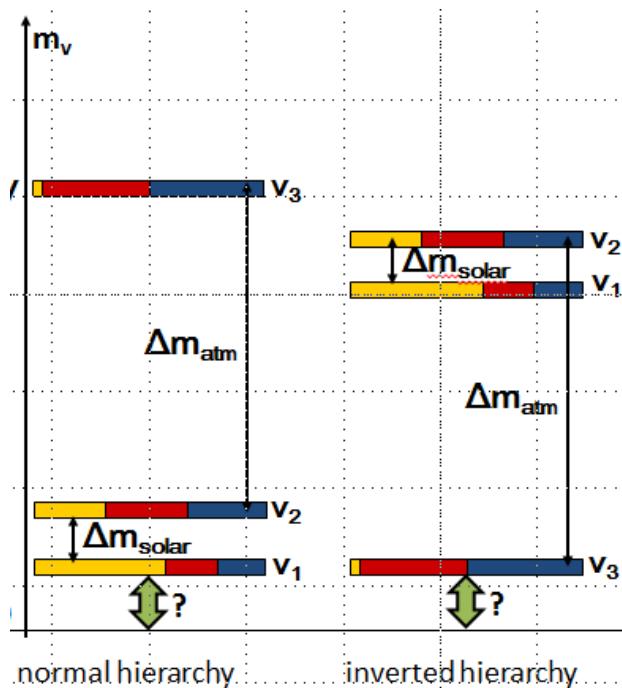
$$P_{ee}(L/E) = 1 - P_{21} - P_{31} - P_{32}$$

$$P_{21} = \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2(\Delta_{21})$$

$$P_{31} = \cos^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2(\Delta_{31})$$

$$P_{32} = \sin^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2(\Delta_{32})$$

With  $\Delta_{ij} = 1.27 |\Delta m_{ji}^2| (\text{eV}^2) | L(\text{m}) / E(\text{MeV})$

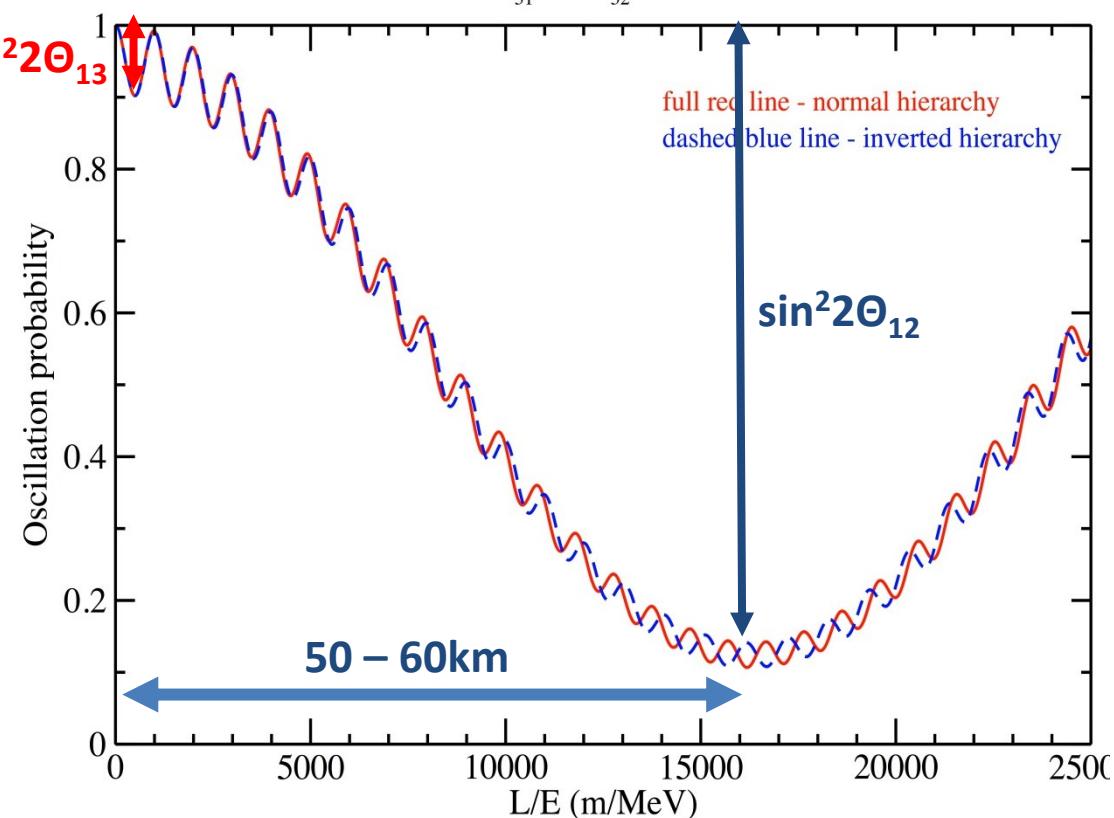


$$\Delta m_{31}^2 = \Delta m_{32}^2 + \Delta m_{21}^2$$

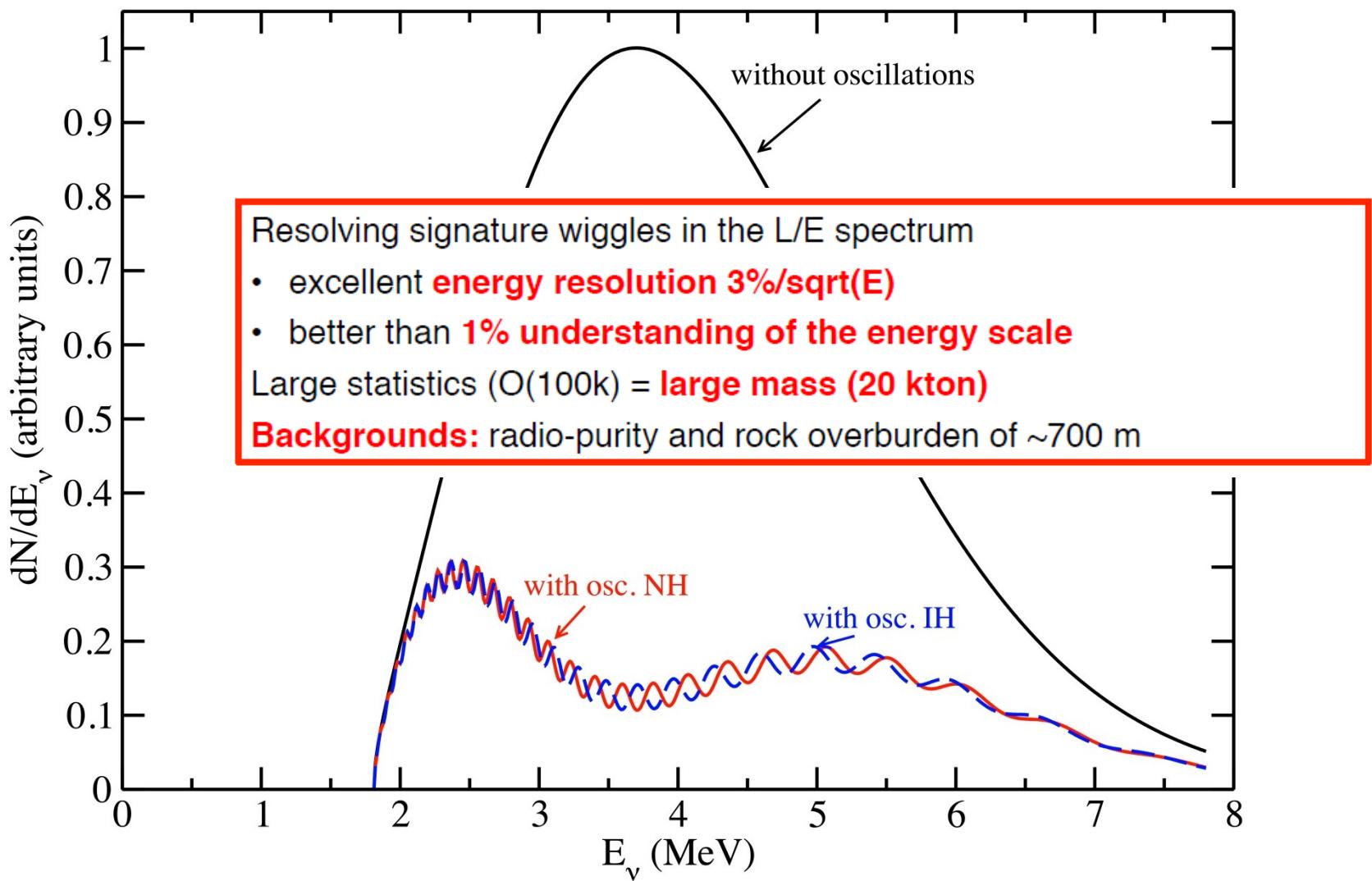
NH :  $|\Delta m_{31}^2| = |\Delta m_{32}^2| + |\Delta m_{21}^2|$

IH :  $|\Delta m_{31}^2| = |\Delta m_{32}^2| - |\Delta m_{21}^2|$

Vacuum oscillation probability  $P(\nu_e \rightarrow \nu_e)$   
Here for  $\Delta m_{31}^2 + \Delta m_{32}^2 = 2 \times 2.49 \times 10^{-3} \text{ eV}^2$



# Expected Reactor Antineutrino Spectrum





# Jiangmen Underground Neutrino Observatory

*the first multi-kton liquid scintillator detector ever*

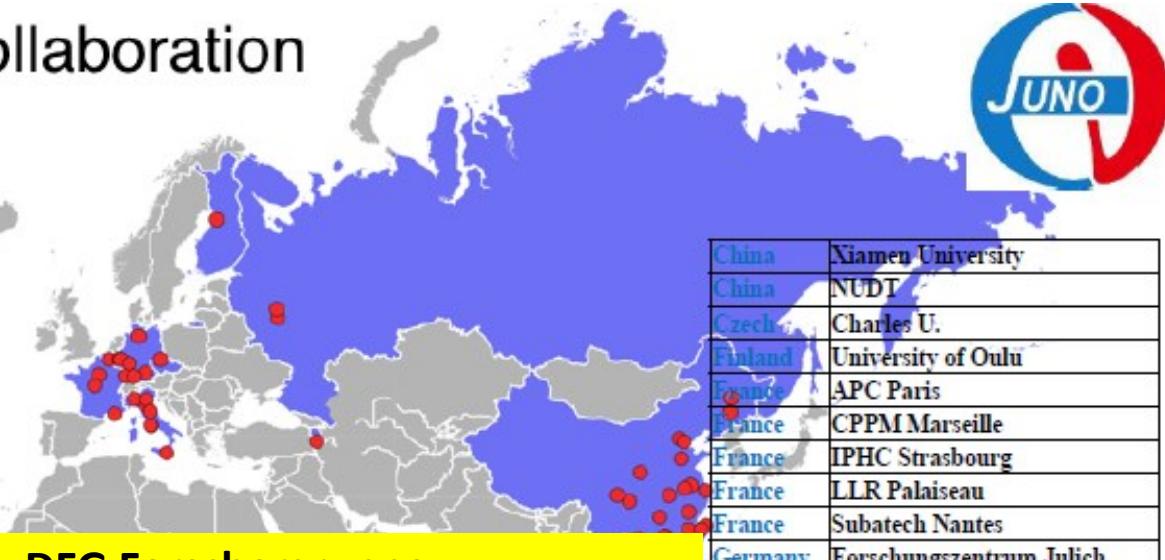


**Start Data Taking in 2021  
mass hierarchy to 3-4 sigma in 6 years**

# JUNO Collaboration



Country	Institute
Armenia	Yerevan Physics Institute
Belgium	Universite libre de Bruxelles
Brazil	PUC
Brazil	UEL
Chile	PCUC
Chile	BISEE
China	Beijing Normal U.
China	CAGS
China	ChongQing University
China	CIAE
China	DGUT
China	ECUST
China	Guangxi U.
China	Harbin Institute of Technology
China	IHEP
China	Jilin U.
China	Jinan U.
China	Nanjing U.
China	Nankai U.
China	NCEPU
China	Pekin U.
China	Shandong U.
China	Shanghai JT U.
China	IMP-CAS
China	SYSU
China	Tsinghua U.
China	UCAS
China	USTC
China	U. of South China
China	Wu Yi U.
China	Wuhan U.
China	Xi'an JT U.



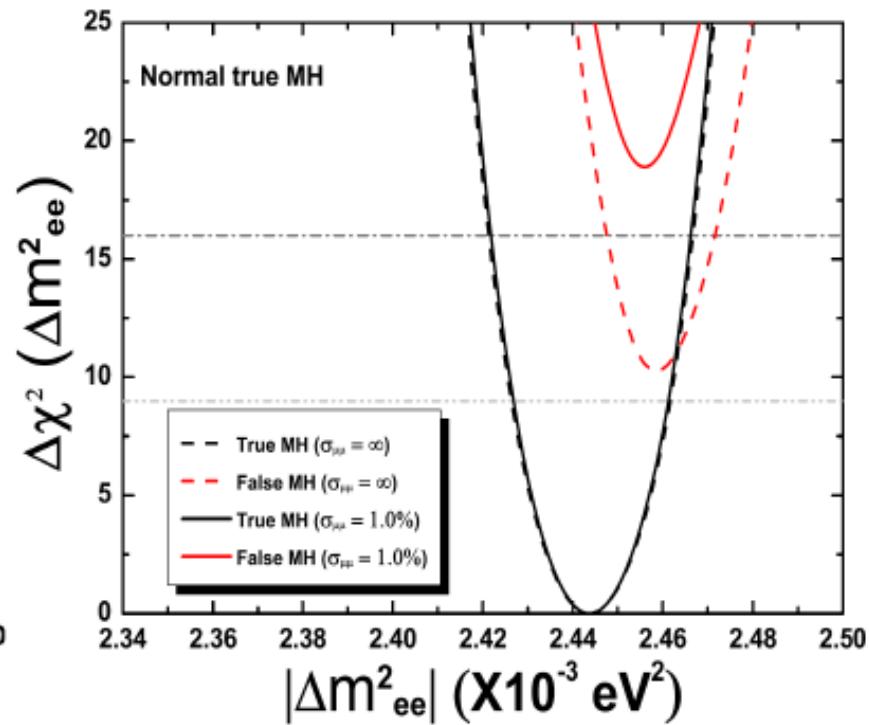
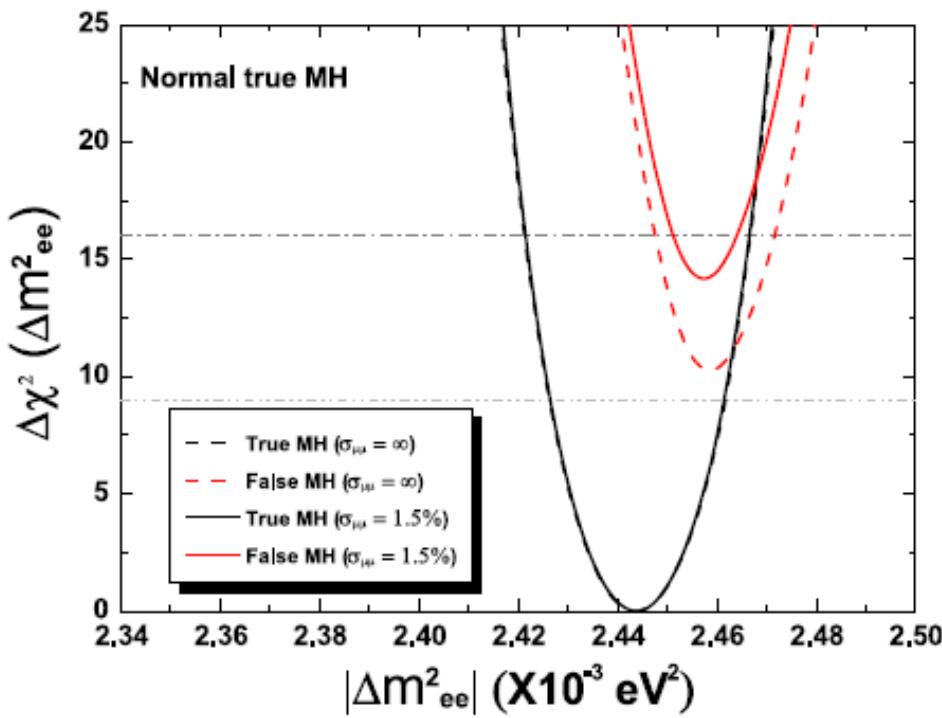
**DFG Forschergruppe  
„Neutrino Massenhierarchie mit JUNO“:  
RWTH Aachen, FZ Jülich, U Mainz,  
U Tübingen, TUM, U Hamburg**



**Collaboration established in July 2015  
ca. 70 Institutions  
ca. 500 Collaborators**

China	Niamen University
China	NUDT
Czech	Charles U.
Finland	University of Oulu
France	APC Paris
France	CPPM Marseille
France	IPHC Strasbourg
France	LLR Palaiseau
France	Subatech Nantes
Germany	Forschungszentrum Julich
Germany	RWTH Aachen U.
Germany	TUM
Germany	U. Hamburg
Germany	IKP FZI Jülich
Germany	U. Mainz
Germany	U. Tuebingen
Italy	INFN Catania
Italy	INFN di Frascati
Italy	INFN-Ferrara
Italy	INFN-Milano
Italy	INFN-Milano Bicocca
Italy	INFN-Padova
Italy	INFN-Perugia
Italy	INFN-Roma 3
Pakistan	PINSTECH
Russia	INR Moscow
Russia	JINR
Russia	MSU
Taiwan	National Chiao-Tung U.
Taiwan	National Taiwan U.
Taiwan	National United U.
Thailand	SUT
USA	UMD1
USA	UMD2

# Mass Hierarchy with JUNO



- Reactor neutrino survival spectrum can tell MH to  $\sim 3\sigma$
- JUNO can use help: If T2K+NOvA tells  $\Delta m^2_{\mu\mu} \sim 1\%$ ,  $\sim 4\sigma$ 
  - *T2K+NOvA  $\Delta m^2_{\mu\mu} \sim 1\%$ , S.K. Agarwalla, S. Prakash, WW, arXiv:1312.1477*



# Precision Physics with JUNO

	$\Delta m_{21}^2$	$ \Delta m_{31}^2 $	$\sin^2 \theta_{12}$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{23}$
Dominant Exps.	KamLAND	MINOS	SNO	Daya Bay	SK/T2K
Individual $1\sigma$	2.7% [121]	4.1% [123]	6.7% [109]	6% [122]	14% [124, 125]
Global $1\sigma$	2.6%	2.7%	4.1%	5.0%	11%

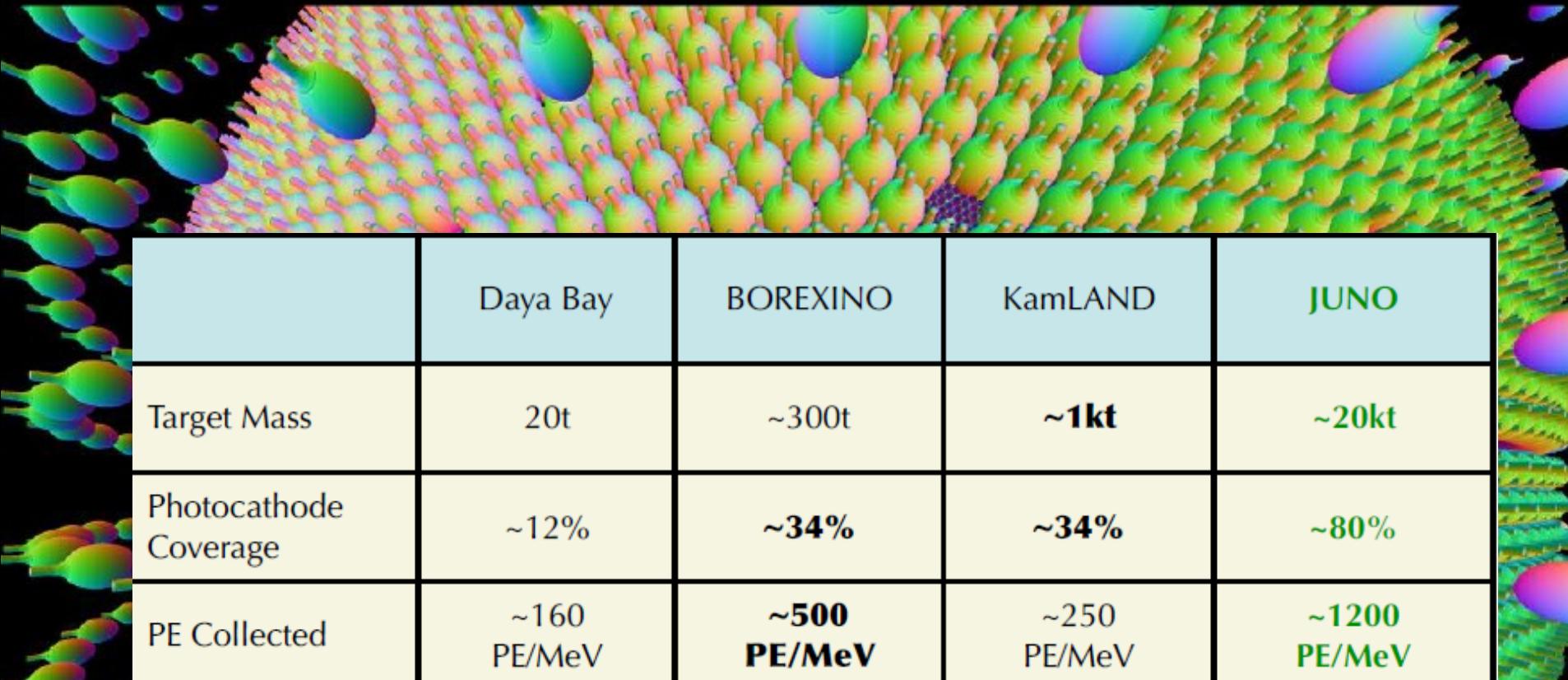
Probing the unitarity of  $U_{PMNS}$  to  $\sim 1\%$

	Statistics	+BG +1% b2b +1% EScale +1% EnonL
$\sin^2 \theta_{12}$	0.54%	0.67%
$\Delta m_{21}^2$	0.24%	0.59%
$\Delta m_{ee}^2$	0.27%	0.44%

JUNO: 100k evts, arXiv:1507.05613

# Detector Requirements

## (Development of Large Liquid Scintillator Detectors)



	Daya Bay	BOREXINO	KamLAND	JUNO
Target Mass	20t	~300t	<b>~1kt</b>	<b>~20kt</b>
Photocathode Coverage	~12%	<b>~34%</b>	<b>~34%</b>	<b>~80%</b>
PE Collected	~160 PE/MeV	<b>~500 PE/MeV</b>	~250 PE/MeV	<b>~1200 PE/MeV</b>
Energy Resolution	~7.5%/ $\sqrt{E}$	<b>~5%/<math>\sqrt{E}</math></b>	~6%/ $\sqrt{E}$	<b>3%/<math>\sqrt{E}</math></b>
Energy Calibration	~1.5%	<b>~1%</b>	~2%	<b>&lt;1%</b>



# JUNO: Detector Concept

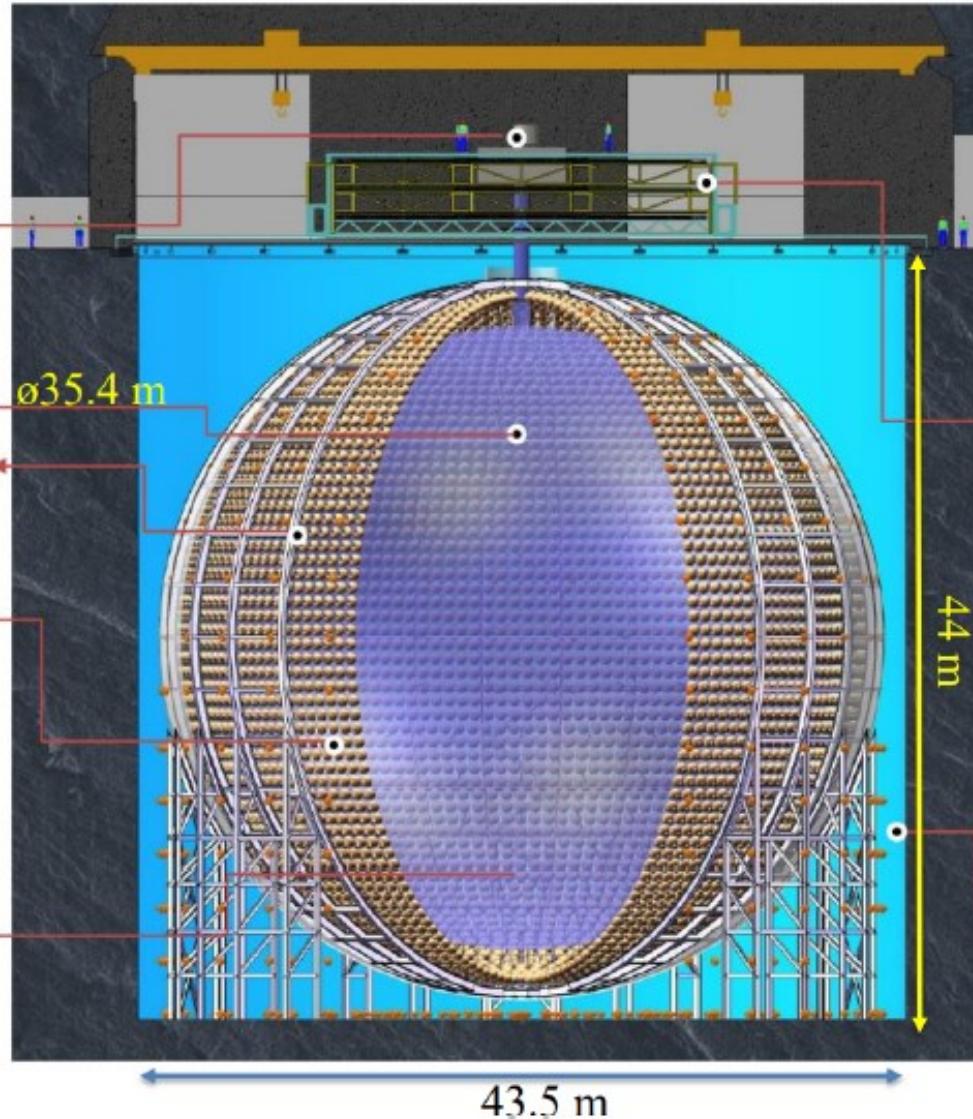
## Central detector

Calibration  
-ACU, ROV, etc.

Acrylic sphere  
Stainless-steel truss

PMT  
-18,000 20" PMTs  
-25,000 3" PMTs

Liquid scintillator  
-20 kton LS



## VETO detector

Top Tracker  
-62 Plastic scintillator  
walls

Water Cherenkov  
-35 kt high-purity water  
-2000 20" PMTs



# JUNO Site

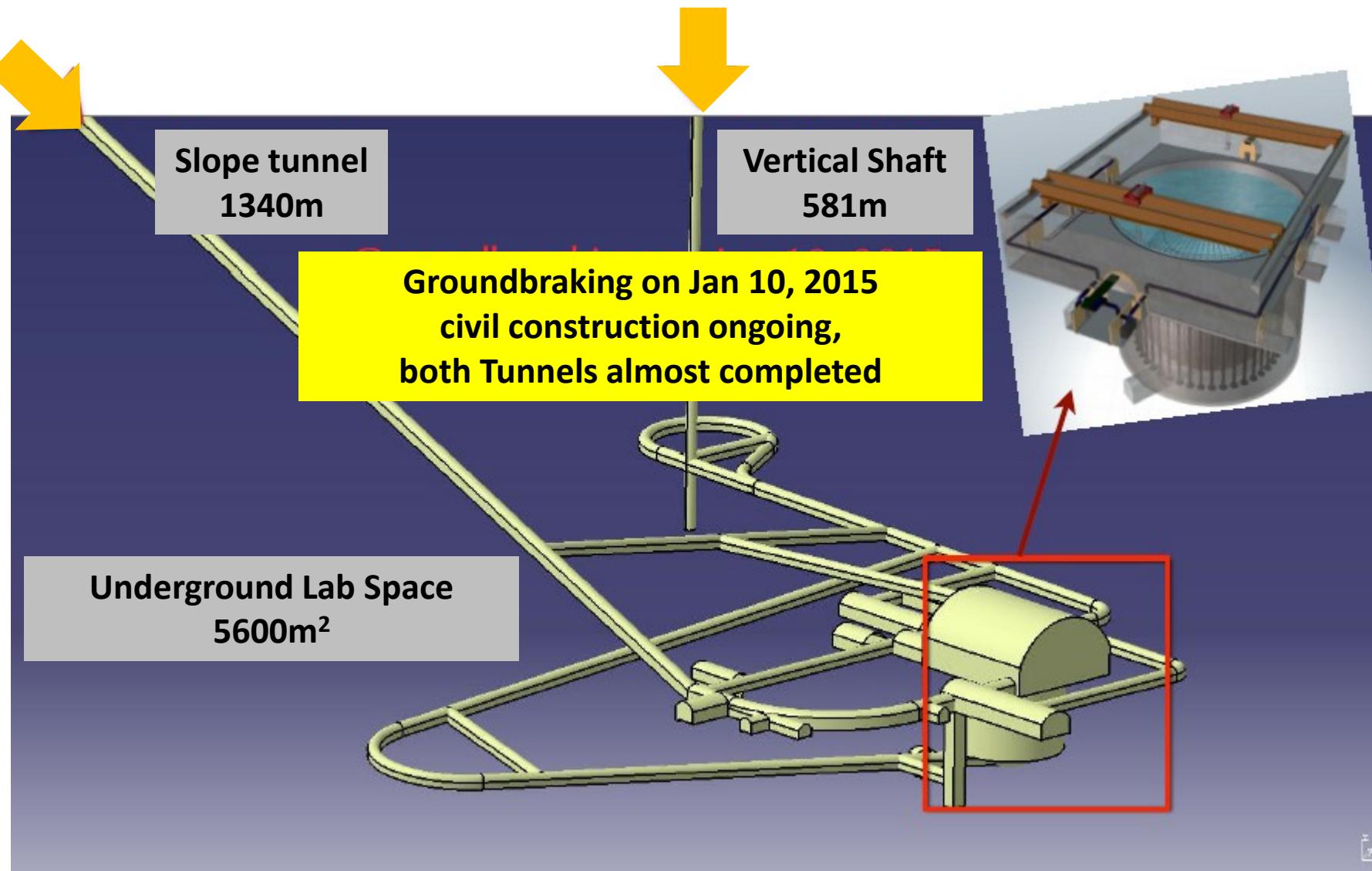


by 2020: 26.6 GW

both reactors have same distance (within 0.5km)

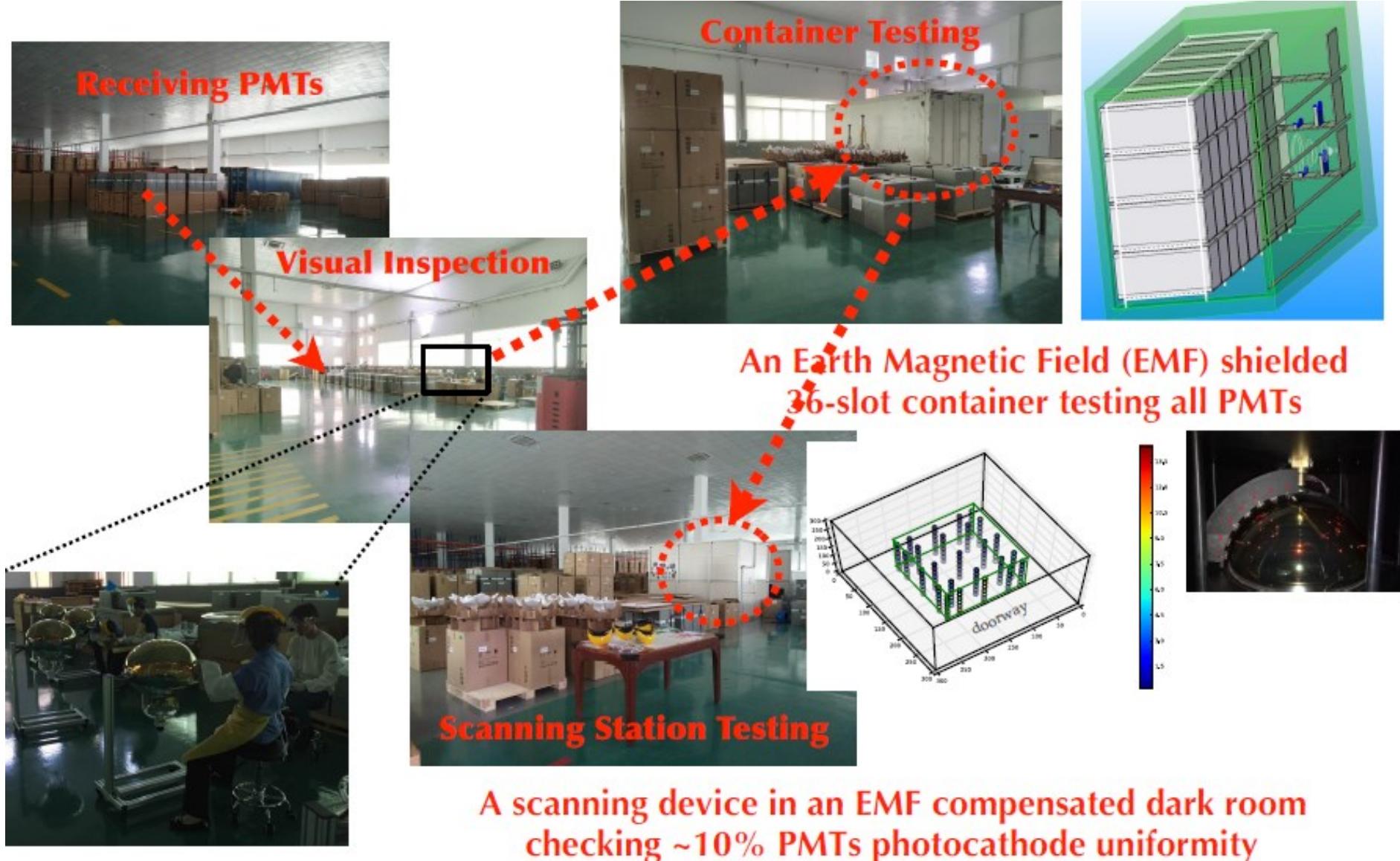


# JUNO Lab @700m Underground





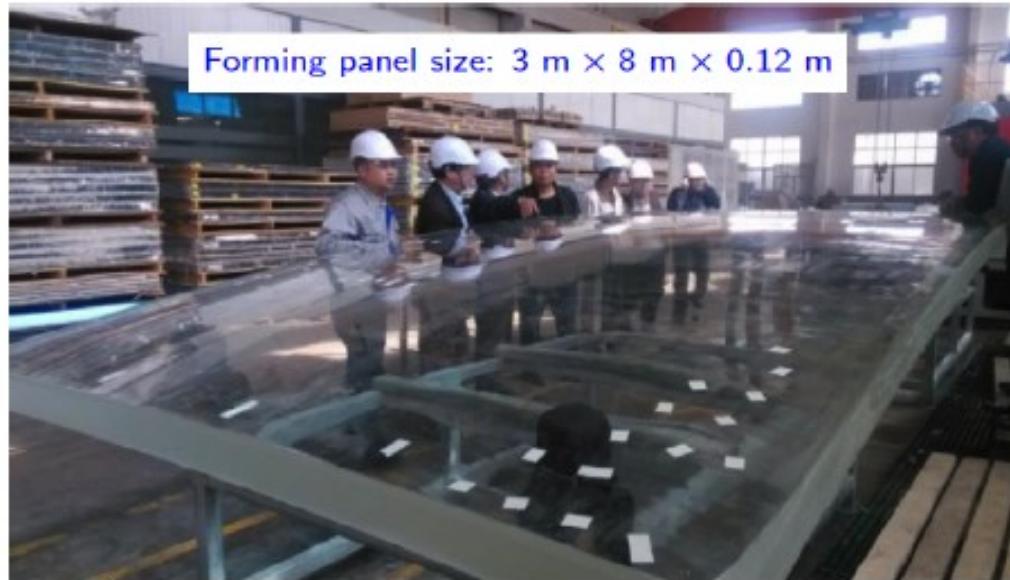
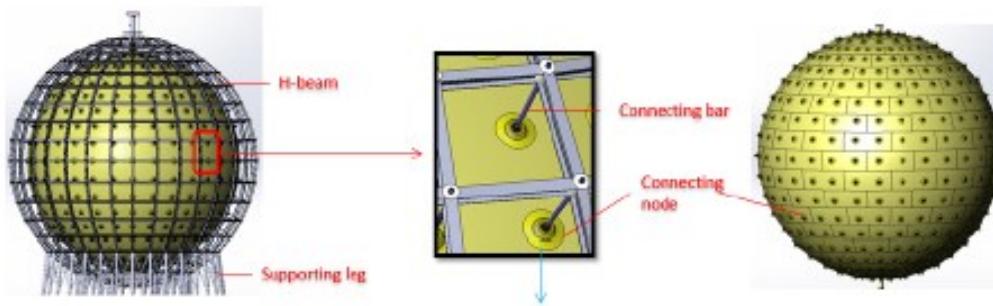
# JUNO PMTs: Quality Control and Characterization ongoing





## Acrylic Sphere and Stainless Steel truss

- ✓ safety was given a priority
- ✓ 260 acrylic panels of 120 mm thickness
- ✓ Total weight: ~600 t of acrylic and ~600 t of steel





# Liquid Scintillator Pilot Plant

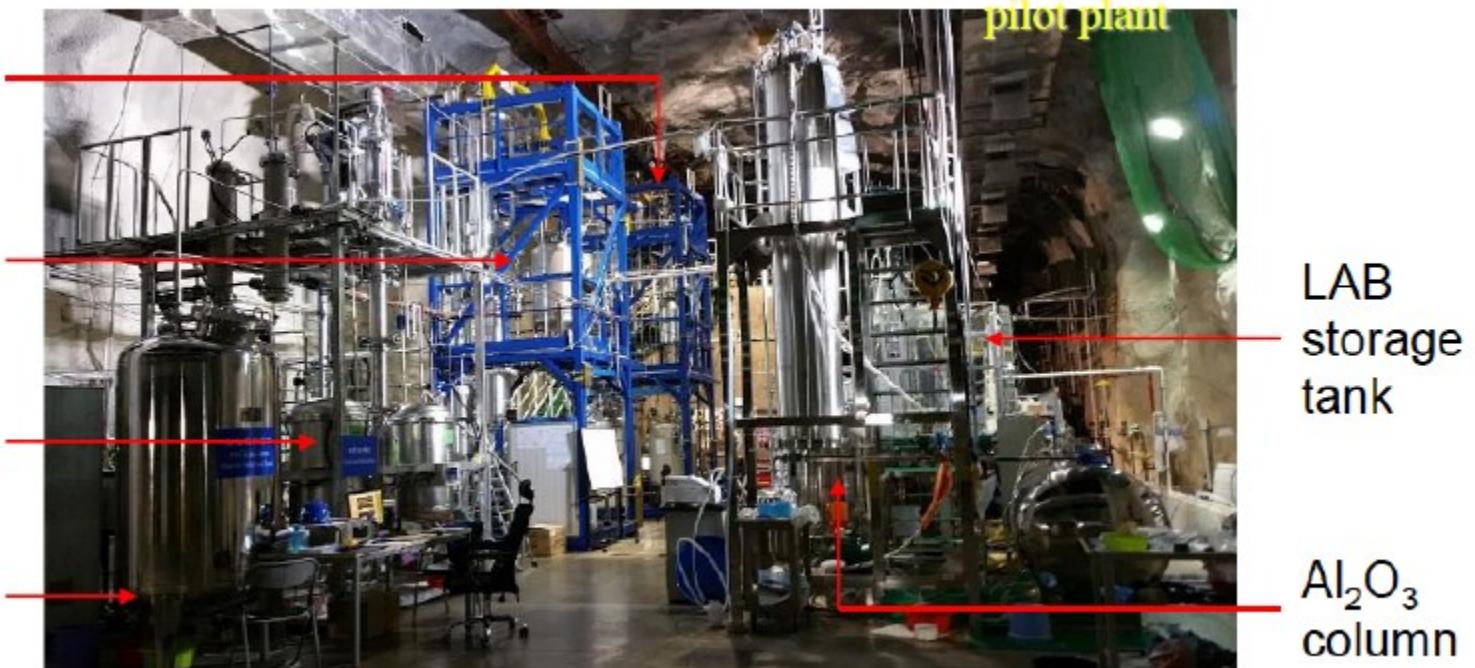
Use Pilot Plant @ Daya Bay to test purification system:  
Purification of 20ton LAB (replacement of target LS in one Daya Bay Detector)

Distillation  
system

Steam  
stripping  
system

Water  
extraction

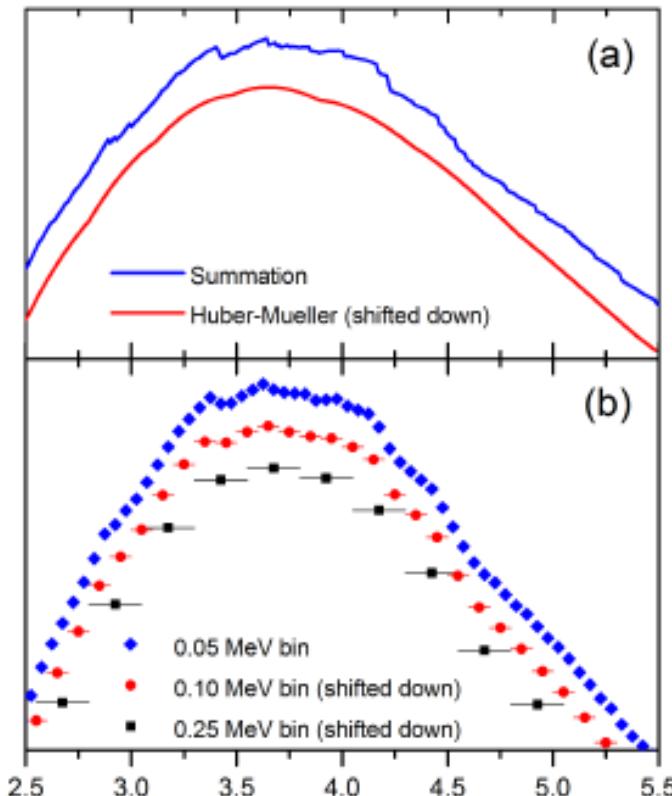
Ultra-pure  
nitrogen





# Do we need a Near Detector for JUNO?

Recent discussion whether (unknown) fine structure of reactor neutrino spectrum could worsen systematics.



If necessary build **Near Detector**:

Basic parameters of a Gd-LS near detector:

- **Fiducial Volume: 1 ton**
  - Event rate 400k/year @ 50m
  - 3 years data taking yields **10x JUNO 6-year data**



# JUNO Milestones & Schedule

2014:-2015  
International  
collaboration  
established  
• start civil  
construction



2015: PMT  
production  
line setup;  
CD parts  
R&D



2016: Start  
PMT  
and  
CD parts  
production



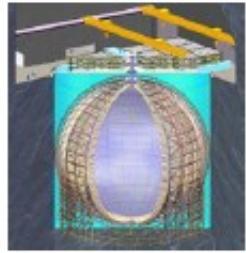
2017: Start  
PMT  
testing; TT  
arrived



2018: PMT  
potting  
starts;  
Electronics  
production  
starts

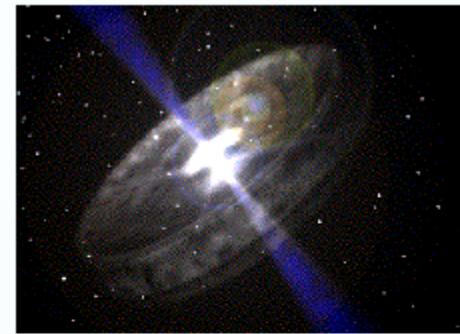
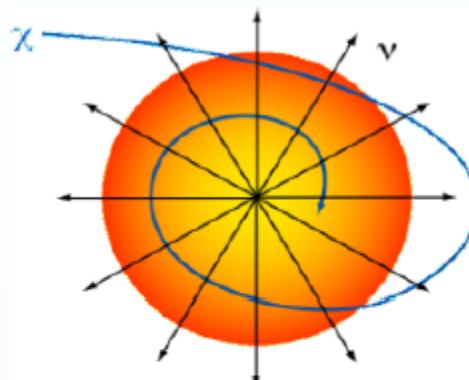
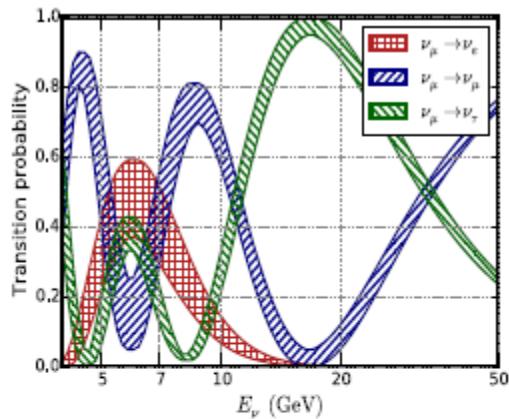


2019 - 2020:  
Civil work  
and lab  
preparation  
completed;  
Detector  
Constructing



2021:  
**Detector  
Ready;  
DATA!**

# Neutrino Telescopes: Physics Overview



Low Energy  
 $3 \text{ GeV} < E_\nu < 50 \text{ GeV}$

Medium Energy  
 $10 \text{ GeV} < E_\nu < 1 \text{ TeV}$

High Energy  
 $E_\nu > 1 \text{ TeV}$

✓ Oscillations  
✓ Mass Hierarchy

Dark Matter search

✓ from extra-terrestrial sources  
Origin and production mechanism of HE CR

KM3NeT-ORCA, PINGU

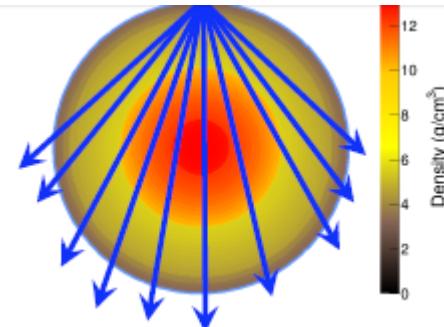
Antares, Deep Core

KM3NeT-ARCA, IceCube

# Mass Hierarchy with Atmospheric Neutrinos

- Oscillation pattern distorted by Earth matter effects (hierarchy-dependent):

maximum difference IH  $\leftrightarrow$  NH at  $\theta=130^\circ$  (7645 km) and  $E_\nu = 7$  GeV

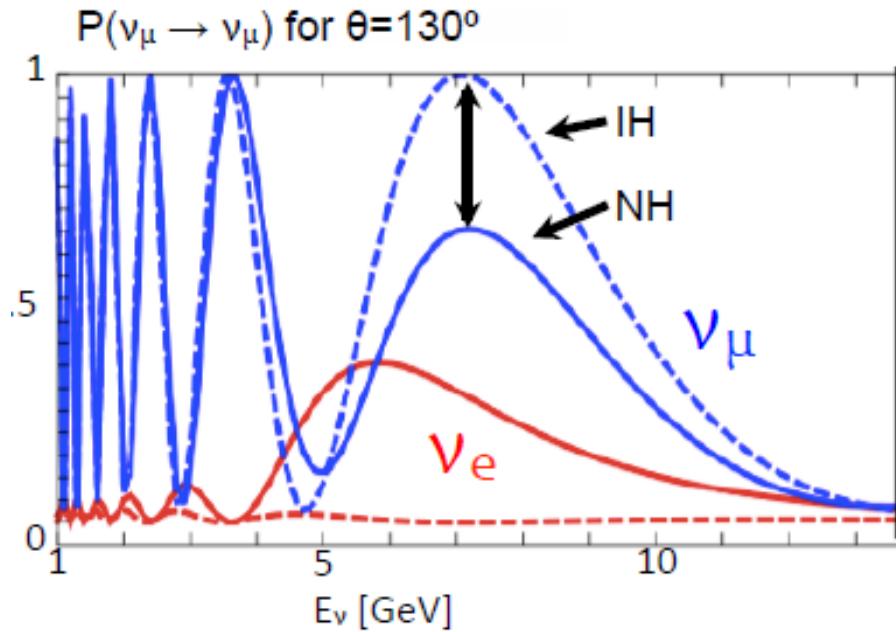


- Opposite effect on anti-neutrinos:  $IH(\bar{\nu}) \approx NH(\bar{\nu})$   
BUT differences in flux and cross-section:

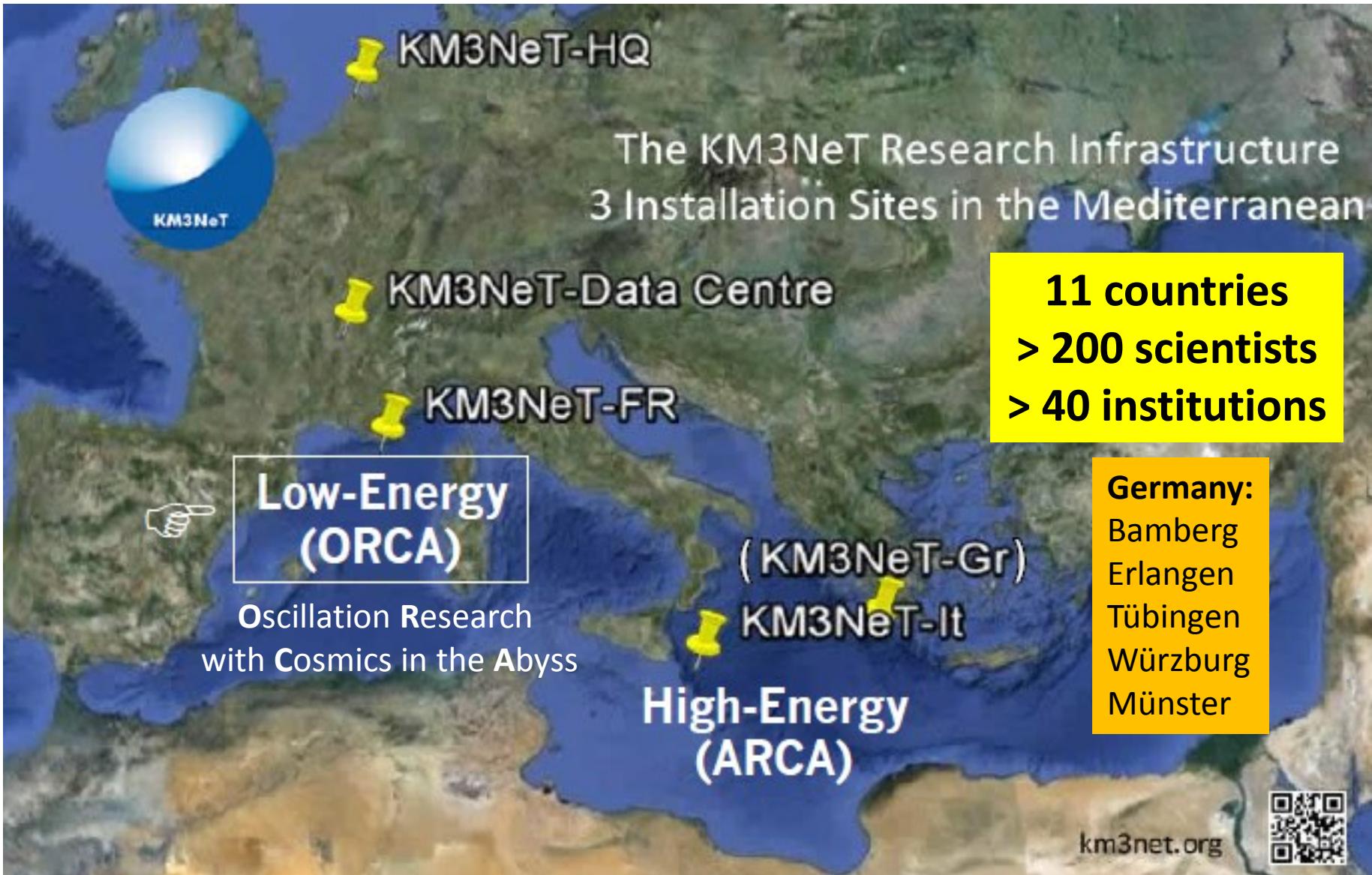
$$\Phi_{\text{atm}}(\nu) \approx 1.3 \times \Phi_{\text{atm}}(\text{anti-}\bar{\nu})$$

$\sigma(\nu) \approx 2\sigma(\text{anti-}\bar{\nu})$  at low energies

- Measure zenith angle and energy of upgoing atmospheric GeV-scale neutrinos, identify and count muon and electron channel events



# KM3NeT





# ORCA detector

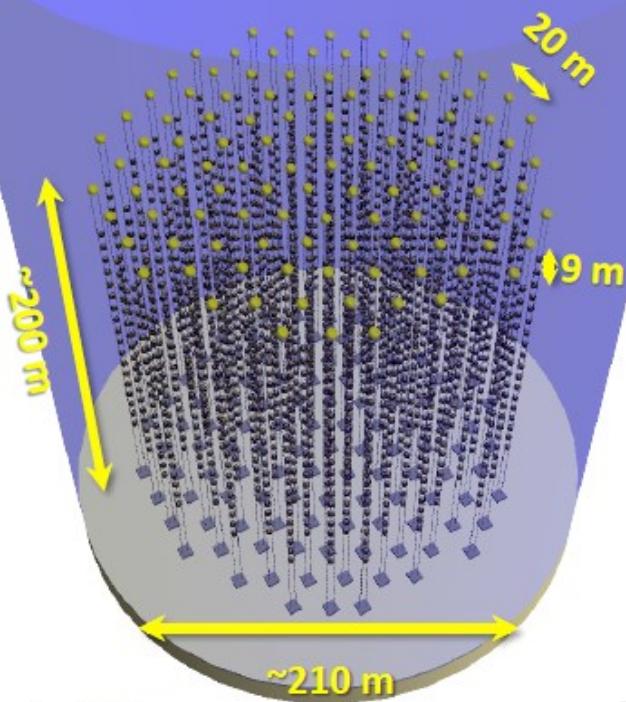
- ~5.7 Mt instrumented

- 115 strings

- 18 DOMs / string (~50 kt ~ 2 × SK)

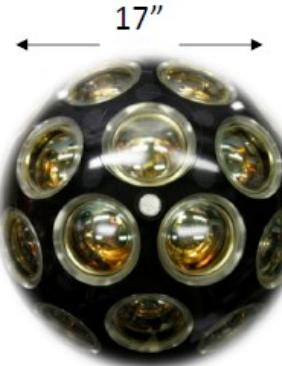
- 31 PMTs / DOM (~3 kt ~ MINOS)

- Total: 64k\*3" PMTs



Depth=2475m

## Digital Optical Module



– 31 x 3" PMTs

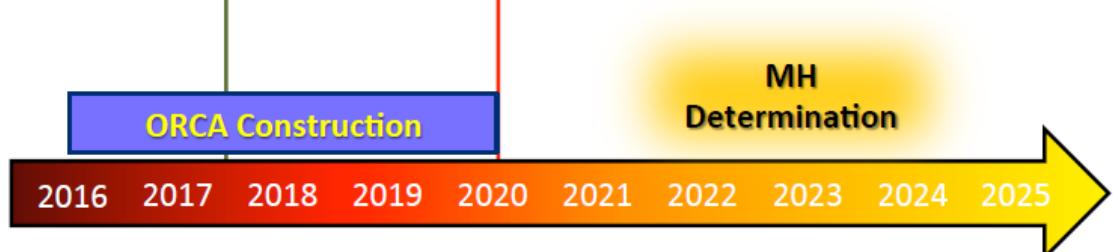
17"

1<sup>st</sup> ORCA string: Autumn 2016



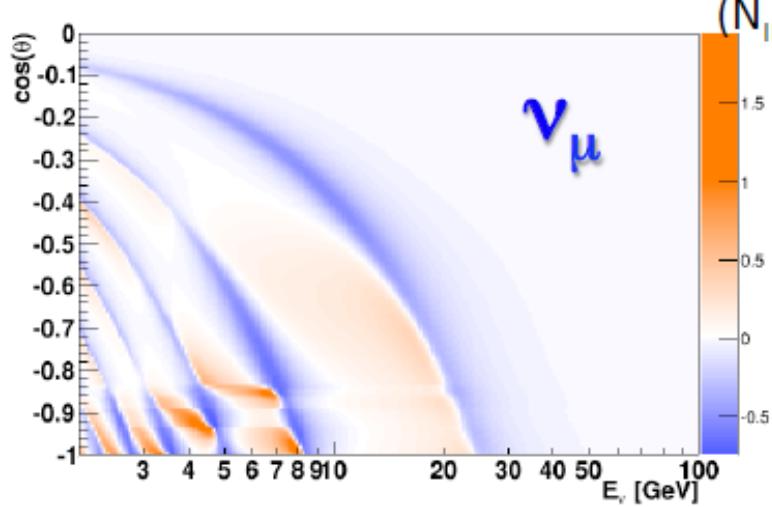
7 Strings  
Operational

115 Strings  
Full Detector

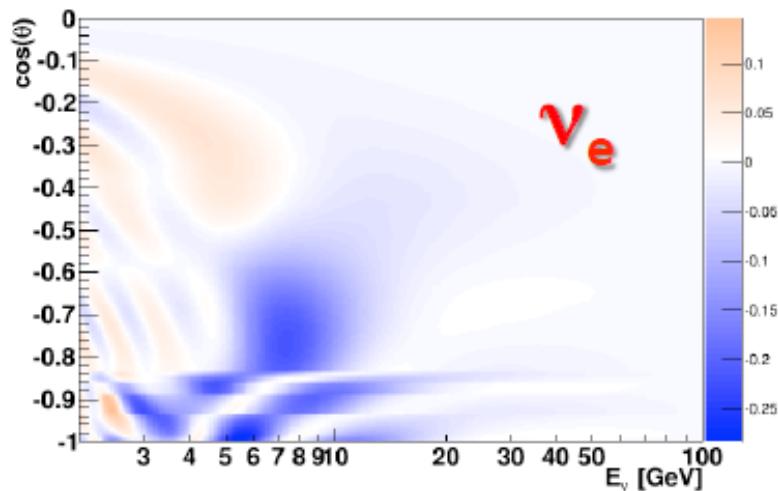




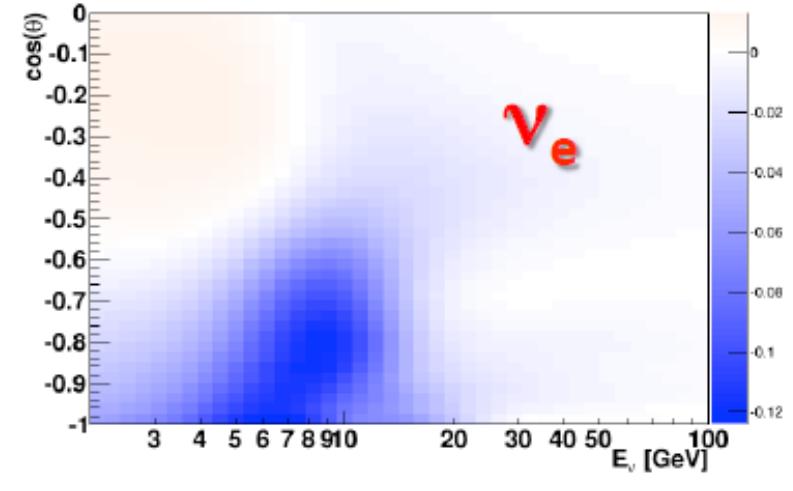
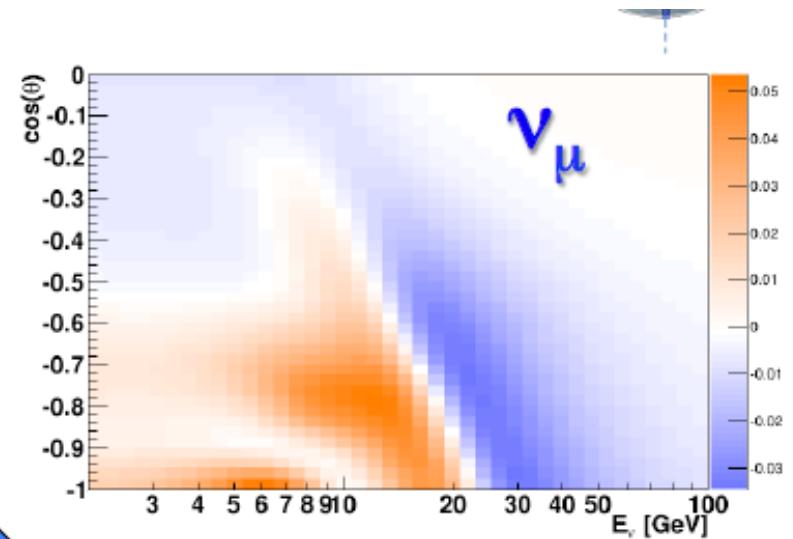
# Mass Hierarchy @ ORCA



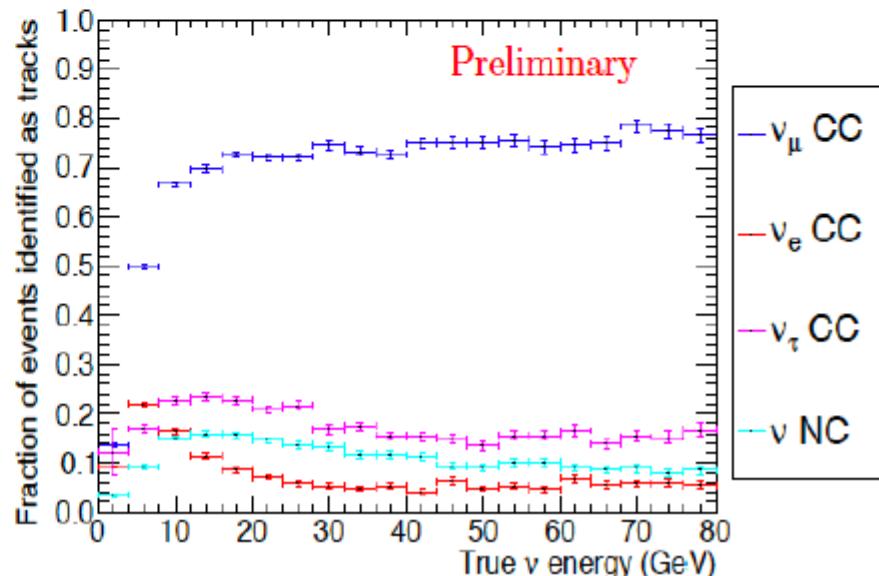
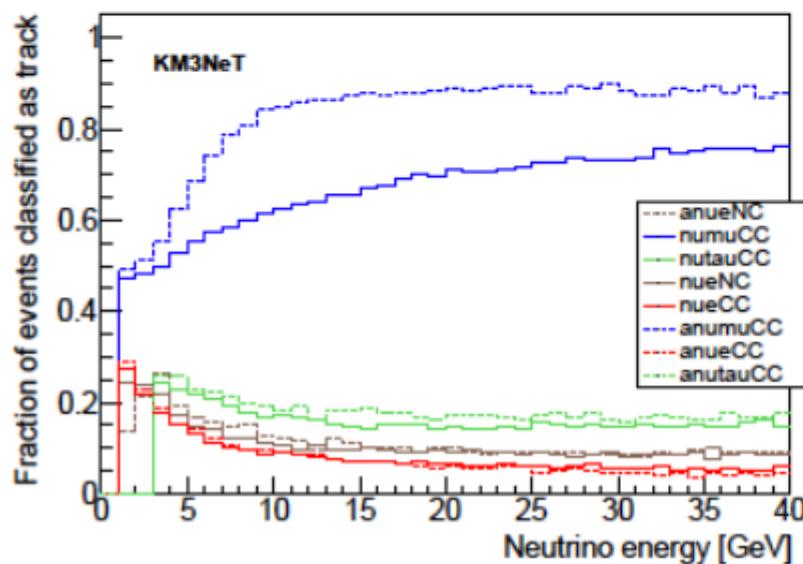
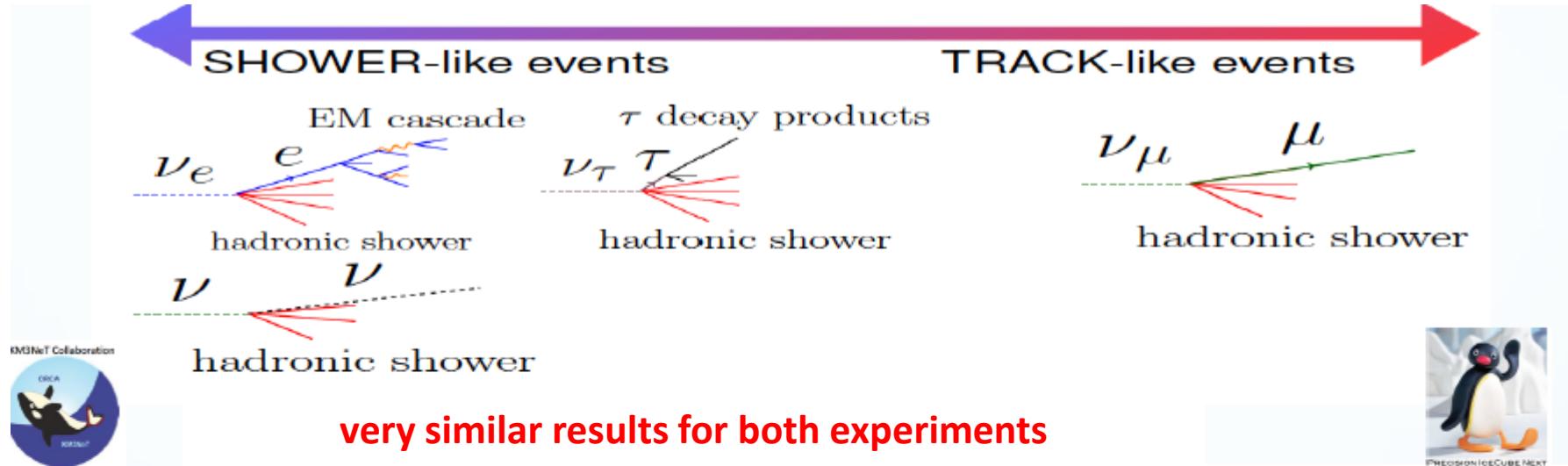
$$(N_{IH} - N_{NH})/N_{NH}$$



ORCA E,θ  
resolutions



# Crucial: Flavor Identification in ORCA and PINGU



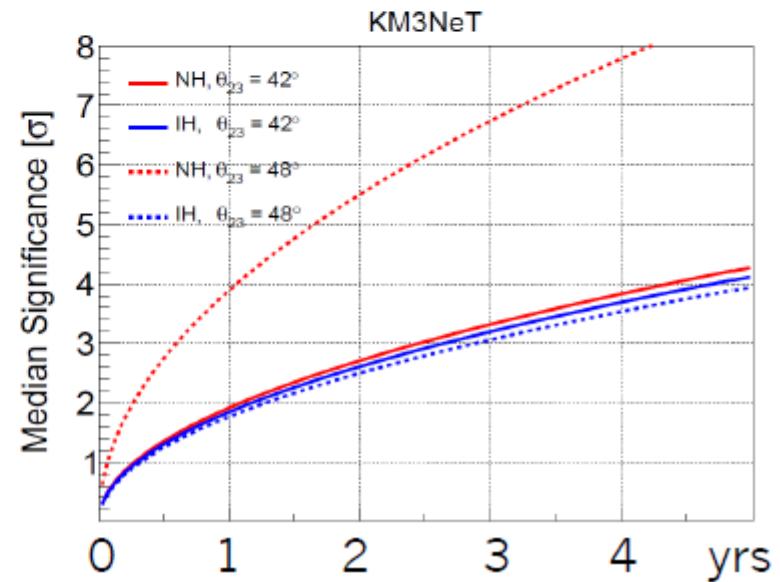
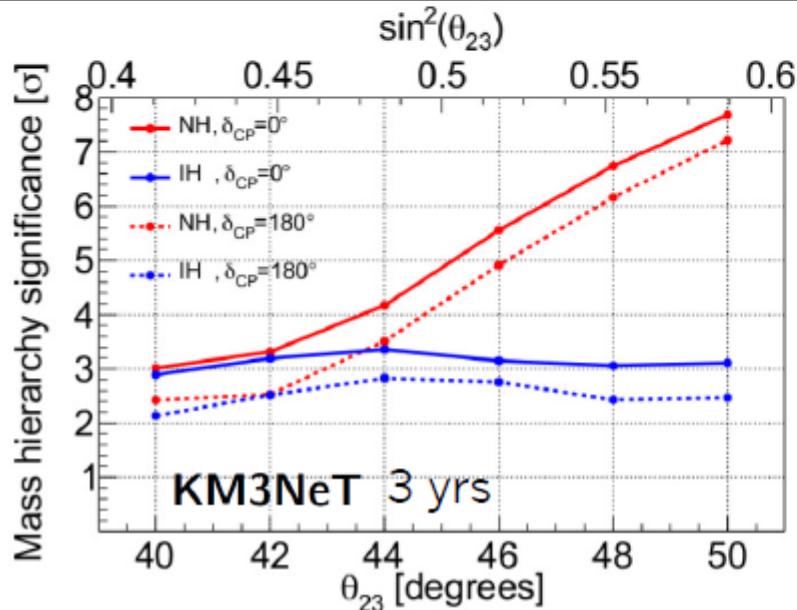


# ORCA Sensitivity

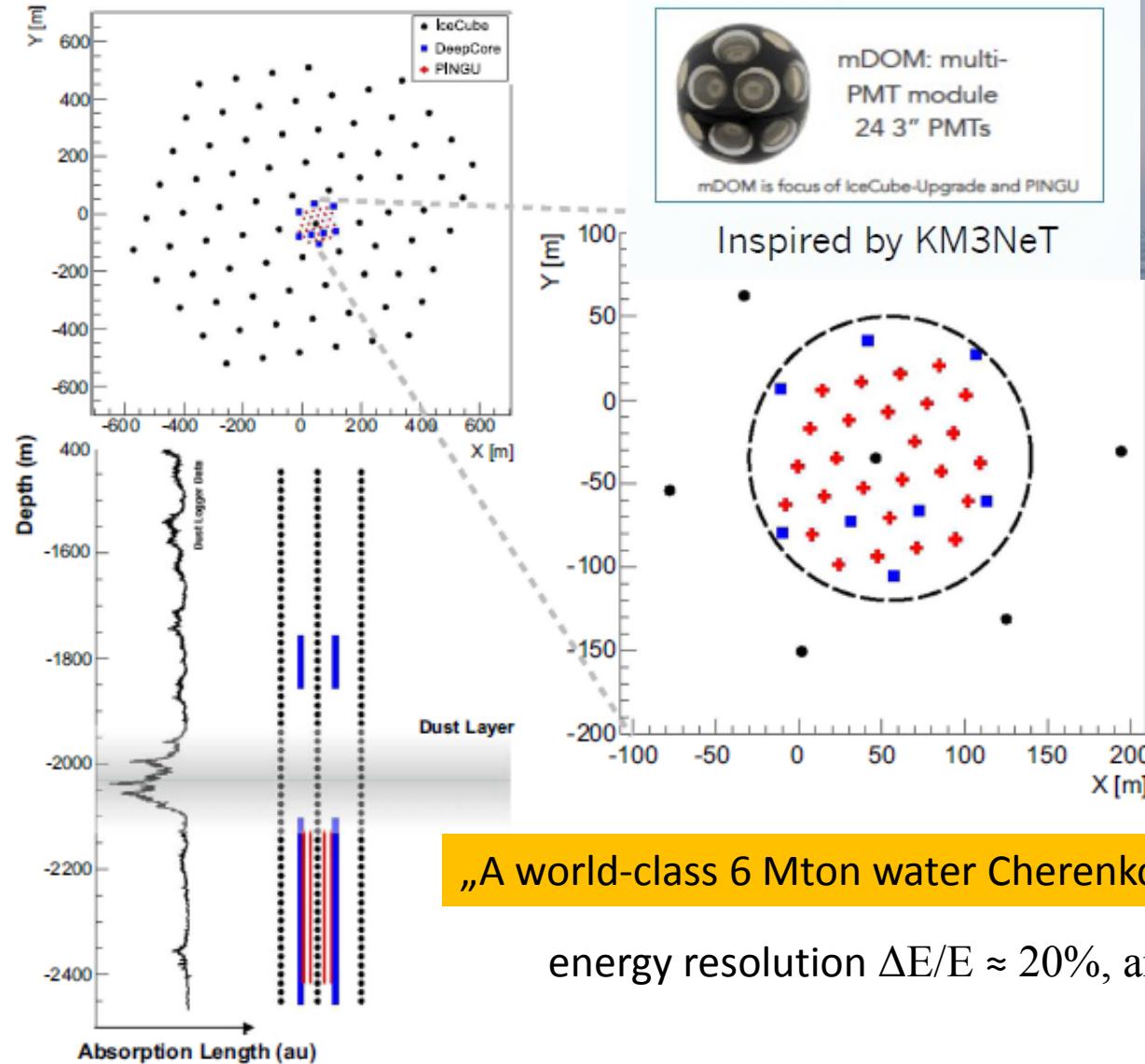
parameter	true value distr.	initial value distr.	treatment	prior
$\theta_{23}$ [°]	{40, 42, ..., 50}	uniform over [35, 55] †	fitted	no
$\theta_{13}$ [°]	8.42	$\mu = 8.42, \sigma = 0.26$	fitted	yes
$\theta_{12}$ [°]	34	$\mu = 34, \sigma = 1$	nuisance	N/A
$\Delta M^2$ [10 <sup>-3</sup> eV <sup>2</sup> ]	$\mu = 2.4, \sigma = 0.05$	$\mu = 2.4, \sigma = 0.05$	fitted	no
$\Delta m^2$ [10 <sup>-5</sup> eV <sup>2</sup> ]	7.6	$\mu = 7.6, \sigma = 0.2$	nuisance	N/A
$\delta_{CP}$ [°]	0	uniform over [0, 360]	fitted	no
overall flux factor	1	$\mu = 1, \sigma = 0.1$	fitted	yes
NC scaling	1	$\mu = 1, \sigma = 0.05$	fitted	yes
$\nu/\bar{\nu}$ skew	0	$\mu = 0, \sigma = 0.03$	fitted	yes
$\mu/e$ skew	0	$\mu = 0, \sigma = 0.05$	fitted	yes
energy slope	0	$\mu = 0, \sigma = 0.05$	fitted	yes

Worst case  $3\sigma$  in 4 years

$\delta_{CP}$  small but non-negligible  $\sim 0.5\sigma$  impact on sensitivity



# PINGU: Precision IceCube Next Generation Upgrade



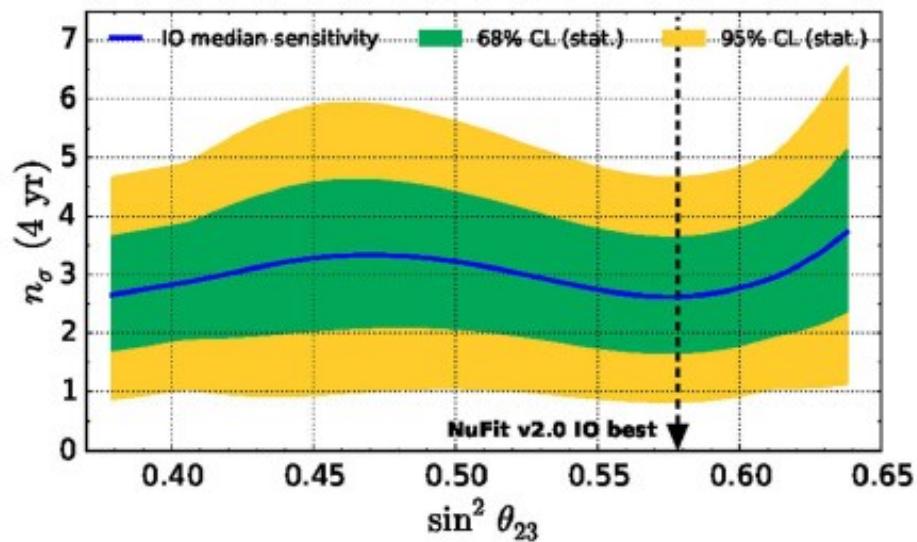
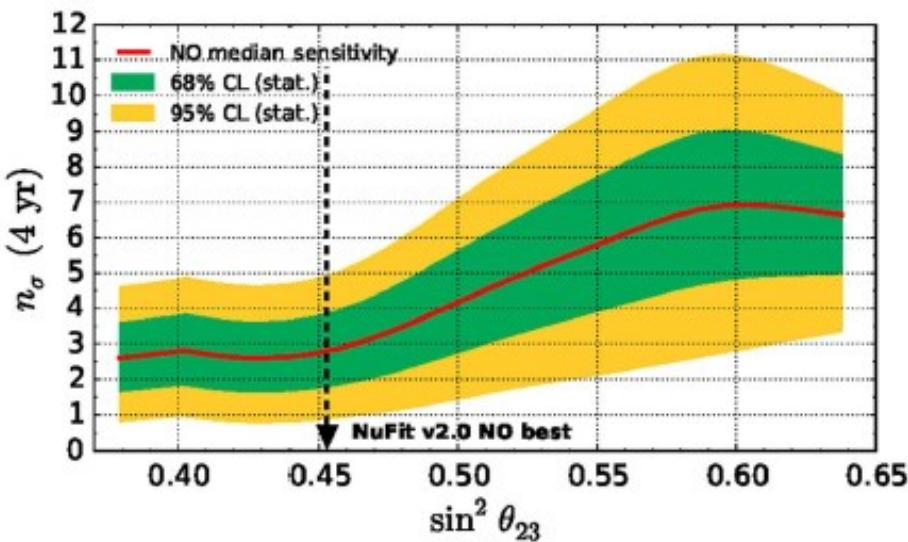
Original proposal:  
40 strings,  
each 96 optical modules

New Design:  
26 strings,  
each 192 optical modules

energy resolution  $\Delta E/E \approx 20\%$ , angular resolution  $\approx 15^\circ$



# PINGU Sensitivity on Mass Hierarchy



from M.G.Aartsen et al., J. Phys. G. Nucl. Part. Phys. 44 (2017) 054008

# Conclusion

Status 2018: Global fits favor normal hierarchy @  $2\sigma$

**JUNO** construction ongoing.

Some delays → start data taking in 2021.

Potential to reach  $3 - 4 \sigma$  on mass hierarchy

**ORCA** construction (deployment of strings) started.

Start data taking in 2021

Potential to reach  $3 - 5\sigma$  on mass hierarchy

**PINGU** has similar potential for mass hierarchy.

More than  $3\sigma$  after 5 years of measurement.

German groups have made significant contributions (expertise in key technologies) to all 3 experiments.

Vacuum oscillation:

Interference of  $\Delta m^2_{32}$ ,  $\Delta m^2_{21}$



**highly complementary methods**

Matter effects:

Interference of  $\Delta m^2_{32}$ ,  $\sqrt{2} G_F N_e$