| Jan | 09, | 18 | 15:08 |
|-----|-----|----|-------|
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iso\_list.txt

| Con | ments | recei    | ived  | (status | : 11             | December 12:00)                       |
|-----|-------|----------|-------|---------|------------------|---------------------------------------|
| 1   | (page | 2)<br>5) | 2017  | 1124-18 | <br>0604<br>2632 | Mandy Cooper-Sarkar                   |
| 3   | (page | 6)       | 2017  | 1127-10 | 4013             | Iris Abt                              |
| 4   | (page | 7)       | 2017  | 1127-13 | 3650             | Sergey Levonian                       |
| 5   | (page | 8)       | 2017  | 1130-18 | 4207             | Joerg Gayler                          |
| 6   | (page | 13)      | 2017  | 1201-13 | 3658             | Misha Lisovyi (plus attachment)       |
| 7   | (page | 14)      | 2017  | 1202-17 | 0022             | Brian Foster                          |
| 8   | (page | 24)      | 2017  | 1203-17 | 4808             | Dietrich Wegener                      |
| 9   | (page | 25)      | 2017  | 1204-13 | 5338             | Stefan Schmitt                        |
| 10  | (page | 28)      | 2017  | 1205-12 | 1157             | Ewald Paul                            |
| 11  | (page | 29)      | 2017  | 1207-21 | 4529             | Boris Levchenko.txt                   |
| 12  | (page | 30)      | 2017  | 1208-00 | 4918             | Katja Krueger                         |
| 13  | (page | 32)      | 2017  | 1208-05 | 5147             | Dieter Haidt (plus attachment)        |
| 14  | (page | 33)      | 2017  | 1208-17 | 3515             | Jan Olsson and Nelly Gogitidze        |
| 15  | (page | 37)      | 2017  | 1208-18 | 4645             | Achim Geiser: answer to Jan and Nelly |
| 16  | (page | 38)      | 2017  | 1210-11 | 5907             | Max Klein                             |
| 17  | (page | 41)      | 2017  | 1211-00 | 0318             | Oleg Kuprash                          |
| 18  | (page | 43)      | 2017  | 1211-13 | 1140             | Peter Truoel (plus attachment)        |
| 19  | (page | 44)      | 2017  | 1211-14 | 1058             | Daniel Britzger                       |
| Att | ached | at th    | ne en | d of th | e pdf            | file:                                 |

page 51: Attachment by Misha Lisovyi (pdf draft with comments in yellow)
page 106: Attachment by Dieter Haidt (word document)
page 111: Attachment by Peter Truoel (pdf document)

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iso 20171124-180604 cooper-sarkar.txt Page 1/3 Jan 09, 18 15:08 From Amanda.Cooper-Sarkar@physics.ox.ac.uk Fri Nov 24 19:06:18 2017 Date: Fri, 24 Nov 2017 18:06:04 +0000 From: Amanda Cooper-Sarkar <Amanda.Cooper-Sarkar@physics.ox.ac.uk> To: Karin Daum <karin.daum@desy.de>, "h1zeus-eb17bc@desy.de" <h1zeus-eb17bc@desy .de> Subject: RE: [hlzeus-eb17bc] Draft 1.0 \_Native English speaker check This is mostly about English style-- but there are just a few comments and quest ions inside it! Line 28 no comma after 'momenta' Line 29 'scale' should be 'scales' Line 34 say either 'different' or 'various' but not both Line 46 don't need the word 'further' and it sounds clumsy Line 52 'given above' sounds better than 'given before' Line 55/57 re-arrange sentence ' Therefore the charm and beauty contributions ca n be disentangled by using observables directly sensitive to th lifetime of the decaying heavy flavoured hadrons' then it reads smoothly Line 58 suggest a colon after 'heavy flavoured hadron' Line 60 suggest a semi colon before 'the number of tracks' and again before 'the invariant mass; Otherwise this last sentence of the paragraph is difficult to follow. Line 68 'B mesons' Line 73 suggest that we don't need the words 'under consideration' Line 76 'and the large statistical correlations' Line 82 something looks odd in the spacing of 'data set' Line 98 the flow of the sentence does not need the word 'predictions' before 'us ing ABMP16' Line 102 I think this says the FONLL-C scheme extended by low-x resummation is u sed, but FONLL-C without low-x resummation is also used, so after the bracket it needs to say 'both with and without low-x resummation'. Line 105/6 I think it would flow better as 'from HERA to make and NLO determinat ion of the running charm and beauty quark masses, as defined in the QCD Lagrangi an in the modified minimum-subtraction scheme.' Line 111 'frameworks for' Line 113/4/5 to improve the flow 'in section 4 and in section 5 they are compare d....and VFNS. Line 117 'dependence' Line 118 'Finally, the conclusions are given in Section 7' Section 2 'combined analyses' Line 126 cut the word 'only' Line 147 'in[26] using scale dependent (running) heavy quark masses' Line 158 the phrase 'in the MSbar scheme' is redundant Line 168 don't need the word 'also' since you began the sentence with 'In additi on′ Section 3 Line 213/4 'The different forms of the convolution integral for \sigma\*\* and \si gma\*\* necessitate the consideration of different sets of theory parameters' Line 216 '....limits to estimate the....' Line 231 'kept fixed' Just one physics question here - the conditions for the HERAPDF1.0 are mostly th e same as those for the present analysis, which seems appropriate- but this is n ot so for the renormalisation and factorisation scales... you don't comment on t he extent to which this matters/or doesn't matter? I don't quite see how a corre sponding PDf can be used if the settings are not quite the same? Line 237 comma after 'assumptions' and Line 239 comma after 'tagging' makes the sentence easier to read. Line 242 'in addition to those needed for \sigma^{th}\_{red}' Line 273 'to any order provided these calculations include uncertainties for pot

enetial deviations from the 'true' result'

### Printed by Stefan Schmitt iso 20171124-180604 cooper-sarkar.txt Jan 09, 18 15:08 Page 2/3 Section 4 Line 321 ' are reduced significantly - by up to factors of two or more' Line 329 'and these reductions are independent of X\_{Bj} and Q^2' Line 337 'and reaches about 15% at small $x_{Bj}$ ...' Line 342 'in precision of about 20%..' Line 343 'reaches about 30% in the range..' Table 2 caption: typo in 'uncertainties' in the last line Table 4 caption: 'in units of \sigma after the first iteration of the combinatio n′ A question the Tables give total systematic uncertainties. Shouldn't we tell the reader that the full correlations are also available, and where to get them at this point in the text?- at least in a footnote, or refer to where we do tell th em? Figures 4 and 5 captions: I think the phrase 'For better visibility' is better t han 'For presentation purposes' Section 5 Line 362/3- don't we also compare to the NNPDF calculation without the low-x res ummation? The text reads as if we only compare to the low-s resummation version. Line 372-I suppose you may be asked to justify why the uncertainties of the HERA PDF FF that you consider are only the experimental and not also the ALL model/pa ram and why you include scale uncertainty but not say alphas uncertainty?? Fig 14 caption: 'similar size as those shown for the FONLL calculations' (no nee d for the word 'plain' Line 429 'agree well with the previous measurement given that the theoretical ca lculations show tension in describing the underlying process' Table 5 caption: says the \chi^2 and d.o.f are given, but the d.o.f is not given what is given is the number of data points and the p-values. Also in the final sentence of the caption after the comma say 'reducing the numb er of data points to 47' Section 6 Line 439 'The theory description of the $x_{Bj}$ dependence of the reduced cross section of charm production is also investigated' Line 473 no need for the word 'independently' Line 486 'noticeably' is misspelt Line 499 'to the inclusive data only fixing ... ' leave out the word 'with' Line 515 'typically a few MeV' Line 517 'from all other variations of the parameters' Line 534 'The sensitivity to..' Line 535 'demonstrate that' (there are two parts to the subject so the verb must be the plural) Line 536 'from inclusive HERA data alone' -the word 'data ' is missing...and I t hik you mean 'are not sensitive in this framework' athough it is also tru that t hey are not sensible! Line 540 'by this fit' rather than 'by the fit' makes it clearer Line 544 'especially that of the gluon and because the description of the data i s similar... Fig 15: caption 'obtained from the present QCD fit' Fig 16: caption 'determined by the present fit' Fig 17: same as for Fig 16 Line 551 'which are more precise than the heavy flavour measurements in the kine matic region of overlap' Section 6.3

Just a comment while reading paragraph 566/579- it seems to me we have now refer red to 'the present fit' or 'the fit of this analysis' many times and it is gett ing a bit clumsy. Why don't we give 'the fit of this analysis' a name we can ref er to it by? Like HERAPDF FFmcmb.

Line 581 drop the word 'with' and 'reqiting different values of the minimum  $x_{B}$  j' don't repeat the word 'values' Line 582 comma before 'in the range'

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| Jan 09, 18 15:08 iso_20171124-180604_cooper-sarkar.txt  | Page 3/3  |
|---|---|
| Line 589/590 put commas around the phrase 'shown inat the scale<br>Fig 20 caption: 'from the present fit'-unless we give it a name<br>Fig 22 caption: 'from the present QCD fits' the caption mentions<br>or the yellow fit and says nothing about the graphics of the blue f<br>is need to be clarified for black and white?   | GeV^2'<br>full lines f<br>it-doesn't th   |
| Paragraph beginning Line 593 at this point the reader is getting re<br>as to which fit is which. If we don't name our fits then we will n<br>mething like (note the re-arranged order) :<br>Line 594/6 'obtained from the present fit to the heavy flavour data<br>inclusive data set and from the alternative fit in which inclusive<br>ject to the cut $x_{Bj} > 0.01$ , to the reference cross-sections of H<br>A' Yes I think you need to specify what the reference cross section<br>ce the reader has so MANY fits to keep in mind.<br>Line 597 'imposed on''are rising more strongly' (adverb not adje<br>Line 599 'an $x_{Bj}$ cut' | ally confused<br>eed to say so<br>and the full<br>data are sub<br>ERAPDf2.0 FF3<br>is again sin<br>ctive) |
| Line 602 ' three PDF sets discussed in the last paragraph'<br>Line 603 drop 'also'Figure 24 rather than Figures<br>Line 608 'predict' since calculations is plural  |   |
| Line 612 'it does not seem possible to resolve the ~3\sigma tension<br>g' (no need to say 'in theory' when the sentence starts with 'In<br>al framework'<br>Line 613 'from HERA using this simple approach of changing'<br>Line 616 'than that observed at NLO'<br>Line 617/8 'some tensions in the theoretical description of the inc  | in describin<br>the theoretic<br>lusive DIS da  |
| <pre>ta'<br/>Line 620 'However, a dedicated investigation shows ''' you may be a<br/>bit more about this? Like what did you actually do?<br/>Fig 24 caption: 'from the present fits'</pre>  | sked to say a   |
| Section 7<br>Line 647 cut the word 'mainly' - it is said in the text, it sounds<br>conclusion<br>Line 648 I would also cut 'especially the' here, it reads better, d<br>in the text.  | clumsy in the<br>etail can be   |
| That's it   |   |
| Mandy   |   |
| Original Message<br>From: hlzeus-eb17bc-request@desy.de [mailto:hlzeus-eb17bc-request@d<br>half Of Karin Daum<br>Sent: 23 November 2017 09:37<br>To: hlzeus-eb17bc@desy.de<br>Subject: [hlzeus-eb17bc] Draft 1.0  | esy.de] On Be   |
| Dear EB members,  |   |
| please find attached the version 1.0 of the draft ready for circula he collaborations   | tion within t   |
| Best regards  |   |
| Karin   |   |
|   |   |

### Jan 09, 18 15:08

# iso\_20171124-192632\_geiser.txt

From geiser@mail.desy.de Fri Nov 24 19:26:37 2017
Date: Fri, 24 Nov 2017 19:26:32 +0100 (CET)
From: Achim Geiser <geiser@mail.desy.de>
To: "h1zeus-eb17bc@desy.de" <h1zeus-eb17bc@desy.de>
Subject: RE: [h1zeus-eb17bc] Draft 1.0

Dear all,

Since I had the privilege to contribute a bit to the editing, most of my comments were accounted for already.

One additional one which we discussed during the presentation: I suggest to add a table with the breakdown of the uncertainties for the mass measurements, e.g. similar to the one given in Table 20 of DESY-14-083 (beauty mass measurement).

And a minor textual suggestion after rerereading: Line 105/6: Please add a comma after "Lagrangian", since the scheme refers to the mass running derived from the Lagrangian and not to the Lagrangian itself, which doesn't have a scheme.

Best regards, Achim

### Jan 09, 18 15:08

# iso 20171127-104013 abt.txt

From isa@mppmu.mpg.de Mon Nov 27 10:40:23 2017
Date: Mon, 27 Nov 2017 10:40:13 +0100
From: Iris Abt <isa@mppmu.mpg.de>
To: "hlzeus-eb17bc@desy.de" <hlzeus-eb17bc@desy.de>
Subject: [hlzeus-eb17bc] Suggestions

Dear Karin,

I strongly support Mandy's suggestion to name the fits.

As for all the parameters of the fits and the question what is what. How about a table with all the settings?

Best, Iris

### Jan 09, 18 15:08

### iso 20171127-133650 levonian.txt

From levonian@mail.desy.de Mon Nov 27 13:37:01 2017
Date: Mon, 27 Nov 2017 13:36:50 +0100 (CET)
From: Sergey Levonian <levonian@mail.desy.de>
To: Karin Daum <karin.daum@desy.de>
Cc: hlzeus-eb17bc@desy.de
Subject: Re: [hlzeus-eb17bc] Draft 1.0

Dear Karin, I have only few minor remarks to the new draft.

1. Now we use three different "jargon names" for the same theory
 calculation:
 -- low-x resummation (e.g. in Intro, line 102)
 -- log x resummation (l. 432)
 -- log 1/x resummation (l. 634, Conclusions)
 Showld it be unified?

- Figures 2,3 look redundant to me. All necessary information is present in Fig.4-6 anyway.
- 3. Cosmetics:
  - I suggest to move column "N\_b" immediately after the column "N\_c" in table 1.
  - 1. 100:  $O(as)^3 \rightarrow O(as^3)$  (move power 3 inside the brackets)
  - 1. 160: O(ds) 5 > O(ds 5) (move power 5 inside the blackets)
     1. 163: here you use \cal{0} for the "order of", while before that (lines 95-108) and after (l. 102) plain O is used.
     Figures displaying PDFs (fig. 15, 22) do not have global
  - Figures displaying PDFs (fig. 15, 22) do not have global
     "H1 and ZEUS" title. Is that by purpose?
     To show that we have nothing to do with that? ))
  - Concerning Fig.20 we already discussed this during T0 meeting: (label ccbar, bbar for sigmas; less number of x-grid lines; etc.)

Sergey

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### iso 20171130-184207 gayler.txt

From gayler@mail.desy.de Thu Nov 30 18:42:13 2017
Date: Thu, 30 Nov 2017 18:42:07 +0100 (CET)
From: Joerg Gayler <gayler@mail.desy.de>
To: h1zeus-eb17bc@desy.de
Cc: joerg.gayler@desy.de
Subject: [h1zeus-eb17bc] Combination and QCD analysis of beauty and charm

Dear editors of the paper on combined charm and bottom,

congratulations that you could finish this complex analysis. I appreciate very much that this final combination was possible leading to improved HERA results.

I wonder why the parameters of the main fit are not given.

The discussion with Fig. 24 I find a bit overdoing. That a quite different gluon distribution does not describe the NC inclusive any more can be expected.

Several points are unclear to me and I hope they can be phrased more clearly. I have many questions and suggestions.

At several places I find some confusion of the content of figures, main text and captions.

Going through the draft in sequence:

1 Introduction

29 scale --> scales

- 54, 63 not very clear what the "fragmentation fractions" mean in this context.
- 84 meaning a bit unclear due to the length: "suitable for comparison" may be intended to refer to the "consistent dataset", but it reads at first like referring to the cross-correlations, in spite of the comma.
- 95-103 The acronyms RTOPT, FONLL-C have some meaning, different from acronyms of names like ABKM09. The meaning should be given as you do e.g. for FFNS, VFNS, NLO, NNLO.

311 suggest frameworks are ---> framework is

2 Open heavy flavor production in DIS

- 119 + 2 suggest: analyses combined ---> combined analyses
   (more easy to understand)
- equation 1: I find alpha<sup>2</sup>(Q<sup>2</sup>) difficult to read, at first sight somewhat misleading. Suggest (alpha(Q<sup>2</sup>))<sup>2</sup>
- 126 Ref[42] gives a calculation for F\_L. The way it is quoted here, one expects a solid experimental result. Suggest: In charm production it is expected to reach Or to make it weaker in some other way.

158 there is an extra "in the MS bar scheme." in this line.

163  $O(m_Q^2)$  has unusual O.

168 NLL: "next-to-leading log" somehow clear, especially with next line,

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# iso 20171130–184207 gayler.txt

but better explained already together with FONLL in line 101.

3 Combination

- 188 may be somewhat confusing. Not very clear whether data set 8 of present table 1 is superseded, but still listed? Data set 9 refers to present table 1, data set 8 not?
- 204 HVQDIS is a name not a scheme, but I still suggest to write The program for heavy quark production in DIS HVQDIS [43]
- 250 fro ---> from
- 253, 254 the difference to 244-252 should be more obvious. It took me quite some time to notice, that now we talk about fractions. May be bring only fractions in bolt or include these lines in the upper bullet.
- 270-274 somewhat difficult to read. Maybe it helps if in the sentence the theoretical ratio of eq. (3) appears, to make clear that the mentioned cross sections have nothing to do with experiment. Suggest e.g.: While the central values of sigma\_red^th(x\_Bj,Q^2)/sigma^th\_vis,bin (see eq. 3) are obtained Suggest also to write "deviations from the unknown "true" QCD result" to help understanding.
- 287 which compreiseS ---> which comprise

\_\_\_\_\_

- eq.4 the delta\_i,e,statmu^i,e and delta\_i,e,uncorrm^i look like deltas.
   I wondered some time, why the deltas are the relative uncertainties
   and not the sigmas.
   Suggest to write
   (mu^i,e \cdot delta\_i,e,stat)^2 and (m^i \cdot delta\_i,e,uncorr)^2.
   I find this much more easy to grasp.
- 292,293 I have the impression that this sentence is not correct or difficult to understand. What refers "they change" to? What is changing? The uncertainties? The cross sections? Why changing? To say "they are assumed to be proportional to the expected central values" seems more clear to me. Is this what is meant?

4 Combined cross sections

\_\_\_\_\_

319 I have problems to understand. I take the second row in table 4. I guess these are mu\_r, mu\_f variations. Nominal 1 sigma corresponds to factor 2 variation. Following the description of the caption of Table 4: 0.82 sigma = 0.82 \* 2 = 1.64. If I shift by that: 2-1.64 = 0.36 Thus the effective (fitted) upward varied scale is  $mu_r$ , nominal (1 + 0.36). Looking to the downward variation:  $0.5 \times 0.82 = 0.41$ . A shift by that: 0.5 - 0.41 = 0.09Then the new variation would be from (1-0.09) mu\_r, nominal to (1 + 0.36) mu\_r, nominal. I am sure this is not what you do. Is it rather that you use as the new uncertainty (1-0.41) mu\_r, nominal to (1.64) mu\_r, nominal? But then 0.82 is not a shift, rather a scale factor. And what is the reduction factor? The reduction of the uncertainty range? In the last case I get 0.8, not 0.45.

So what is exactly done? For the time being I assume that the text should be more clear or explicit (here or in table 4 caption).

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|--|--|------------------|
| 321 "reduced to factors smaller"   | 2 or larger": suggest "reduced by factors  | 1/2 or           |
| 5 Comparison with theory   | y predictions  |                  |
| 397 see points to Fig.   | 10 (11), 12 (13) below.  |                  |
| 412 prefer here: which   | aims FOR   |                  |
| 420 To the data?   |  |                  |
| 421 "p-values" is a bit  | t jargon like. fit probabilities?  |                  |
| 429 "if"? Logic? Meant   | like "as"?   |                  |
| 6 QCD analysis   |  |                  |
| I wonder why the PDF pa:<br>The paper is long and e:<br>but not the results.                                   | rameters of the central fit are not given.<br>xplicit and presents the detailed equation   | (6),             |
| 457 The chi^2 definition be given fully within the respect to eq. (4).   | on is a central issue of the analysis and s<br>the present paper explaining the difference   | hould<br>with    |
| 466 Naive question: whe  | re are the non-valence, non-anti $u(x)$ , $d(x)$   | , s(x)?          |
| 473,474 Procedure is un<br>"independently" and of<br>arrive at more than of                                    | nclear, because you include the parameters<br>only "one at a time". How can the procedure<br>one of these parameters?  | then             |
| 479 what is the basis of   | of this alpha_s variation? A reference?  |                  |
| 514 suggest: effects on<br>effects on<br>(the somewhat d   | the model uncertaintieS><br>the model uncertainty<br>ifficult sentence more easily to understand   | 1)               |
| 527 a bit disappointing<br>and claim agreement   | g that we just state that we have done some<br>t but give not the result of the study.   | thing            |
| 536-578 I do not under<br>What I see is that<br>away from 1.29 (ev<br>inconsistency in t<br>regrets not to get | stand: The uncertainties are covered?<br>t the result 1.8 +0.14-0.13 is quite far<br>ven more from PDG value). This indicates so<br>the fit of inclusive data only. So the read<br>t other uncertainties beyond "fit". | me<br>ler        |
| 545 one could also add the ones previously   | that the fitted quark masses are not far fr<br>used.   | om               |
| 549-552 A bit difficult<br>More precise than cha<br>kinematic region?  | to understand: "which are more precise"?<br>arm and beauty data or more precise in this  | i                |
| You mention then the<br>"dominance of inclus:<br>region of large x in<br>data. A comment?                      | "few per cent" differences, but in spite c<br>ive" data there are sizable differences in<br>Fig. 19, where there are no bottom (and ch   | f<br>the<br>arm) |
| 573 Unclear what this a<br>over the acceptance<br>Fig. 20 and caption t  | verage for a given x,Q2 point means. You av<br>of the detector contributing to the cross s<br>tell nothing about averaging.  | erage<br>ection? |
| 577,578 it may still be<br>but O2 > 200 does b   | e consistent with being independent of Q2, not support this and looks different.   |                  |

Footnote 7 "the data have been used in the fit": this is always the case.

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# iso 20171130-184207 gayler.txt

- Suggest "the data have been used in the fit to adjust theoretical uncertainties. Therefore the theory"
- 587 if this shallow minimum of c+b only is worth mentioning, then we can not say that DIS+c+b is increasing in this region, it is rather falling again.
- 588 it would be convenient to have the degrees of freedom together with the 91 here available.
- 602-608 I find Fig. 24 a bit overdoing. It is fairly obvious that a much steeper gluon distribution as shown in Fig.22 has also influence on the inclusive NC cross section. I suggest to skip this plot.
- 613 it reads a bit naive that one may expect to describe the inclusive NC data using a gluon distribution which is clearly outside the uncertainty band of NC gluon fits.
- 620, 621 Some indication what in this "dedicated investigation" is done? Now it reads a bit strange. The mass measurement is considered by the authors as important, they show some problems with theory and then just tell that these are unimportant for the main result (at least the abstract gives the impression that this is the main result).
- 647 reads a bit naive, see 613.
- Table 1: why N\_b not put directly right of Nc? caption: Tagging not mentioned. It should also get some explanations, especially VTX.

Tables 2,3: caption: I am afraid, also Q2 and x must be mentioned

- Table 4: second row: theory, scales. I guess these are mu\_r, mu\_f. Should be indicated for clarity. Caption: reduction of what? Suggest: reduction of correlated uncertainties. Also main text is short here. See also comment to line 319.
- Table 5 : Suggest to add in first column to "HERA 2012 c" the reference [36] (to make understanding more easy). But the publication is 2013.

Prefer in first column "Present" instead of "New".

- caption: fit probability should be mentioned. ("p-value" as in text is a bit jargon like. at the end: reduces --> reduced (or no "is")
- Fig.2: the data points are rather large, so the inner error bars and even the outer ones are mostly invisible. Suggest either smaller dots or different symbols e.g. x.
- Fig. 10 and 12: legend and colours consistant? Comparing the figures, I think that in Fig. 12 the colour choice for appr. should be the same as in Fig.10. Is the labeling correct?. I expected to see the drop of appr. NNLO at large x also in Fig. 12(?). Similar for Fig. 11 and 13.
- Fig. 12 caption: last word: calculationS ---> calculation Only one is presented with uncertainties.
- Fig. 13 caption: similar size AS those last word: calculationS ---> calculation

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|--------|--|--|------------|
| Fig.   | 15:<br>The l<br>curve<br>Legen<br>This | egend DIS+c+b with yellow fork suggests that also the yello<br>is a band. Should be removed.<br>d DIS only is too close to mu_f^2<br>x Fitter Logo needed for license reasons? Can it be removed | wc<br>d?   |
|        | captio                                 | on: I see no broken lines.<br>The blue error band is given according the figure for DIS<br>only, but the caption tells that inclusive DIS has no erro<br>band.                                   | or         |
|        |  | "experimental/fit" looks like either or, or respectively.<br>What is actually meant?   |            |
| Figs.  | 16, 1                                  | 7 solid and dashed the other way round than in caption.<br>Also for error band plot, legend and caption not consistent   | ī.         |
| Figs.  | 18,19<br>the                           | Which uncertainty do we want to show? From the new fit or reference?   | of         |
| Fig.   | 20: th                                 | e caption tells nothing on averaging x in contrast to main   | text       |
| Fig.   | 22: "f                                 | ull lines"? Both cases have full lines.  |            |
| Fig.   | 24: Be<br>bu                           | tter: Combined reduced NC cross sections<br>It the abbreviation NC is not yet introduced.  |            |
|        | T<br>S                                 | The dashed dotted line within the red band is hardly visible<br>see also comment at 602-608  | <b>Э</b> • |
|        |  |  |            |

Have a nice time in advent season, Joerg

### Jan 09, 18 15:08

# iso\_20171201-133658\_lisovyi.txt

From mikhaylo.lisovyi@desy.de Fri Dec 1 13:37:08 2017
Date: Fri, 1 Dec 2017 13:36:58 +0100 (CET)
From: "Lisovyi, Mikhaylo" <mikhaylo.lisovyi@desy.de>
To: "Zenaiev, Oleksandr" <oleksandr.zenaiev@desy.de>
Cc: hlzeus-eb17bc@desy.de
Subject: [hlzeus-eb17bc] Comments to the c+b combination paper

Dear Sasha, Karin and EB member,

I'm happy to see this important result coming out. Both results and text and in a very good shape.

I have mostly small comments, that are added as in-text notes in the draft itsel f: https://cernbox.cern.ch/index.php/s/KynFt4Hqrp0eA5B.

The only proposal for addition, that i have, is to add a figure of charm-to-incl usive and beauty-to-inclusive ratios of reduced cross sections, similar to what H1 has published in the past and what was also extracted for the previous combin ed data in Fig 49 on page 74 of arXiv:1506.07519. This plot is not difficult to produce and it is a very nice textbook plot showing the charge^2 asymptotic when HF mass does not play a role in kinematics (moderate Q2 and low x.)

Most likely a web browser will not display them, but it works in most pdf viewer s, when you download the file. The comments are on pages(starting from 0 as the title page): 0,1,5,8,15,16,31,54.

Best regards, misha.

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| Jan 09, 18 15:08  | iso_20171202-170022   | _foster.txt   | Page 1/10                          |
|---|---|---|------------------------------------|
| From Brian.Foster@physics.<br>Date: Sat, 2 Dec 2017 17:0<br>From: Brian Foster <brian.<br>To: "h1zeus-eb17bc@desy.de<br/>Subject: [h1zeus-eb17bc] C</brian.<br> | ox.ac.uk Sat Dec 2 18:<br>0:22 +0000<br>Foster@physics.ox.ac.uk<br>" <h1zeus-eb17bc@desy.d<br>Comments on the draft</h1zeus-eb17bc@desy.d<br> | 00:44 2017<br>><br>.e>  |                                    |
| General comment. I find the<br>detail and the important r<br>I have not attempted to ma<br>throughout but have tried<br>to correct the most obviou              | his paper very difficult<br>results are obscured.<br>The issues of hyphenatic<br>as inconsistencies where                                     | to understand. It l<br>n and punctuation control the they cannot be ignored | nas too much<br>onsistent<br>ored. |
| The introduction is far to<br>experimental method<br>not the introduction.  | oo long and detailed. Mu  | ch of the text below  | ngs in the                         |
| line 21: no hyphen in deep<br>28: no comma after momenta  | ) inelastic   |   |                                    |
| 29: hard scales not hard s  | cale  |   |                                    |
| 32,33: no hyphen before so  | cheme   |   |                                    |
| 34: either different or va  | rious but not both  |   |                                    |
| 36: longevity is the wrong enough.  | word in this context.   | lifetime is probably  | y clear                            |
| 47: that not which  |   |   |                                    |
| 48: is small, so that the   | mesons  |   |                                    |
| 54: I dont understand what it be fragmentation functi   | is meant by fragmentat<br>ons?  | ion fractions here  | - should                           |
| 55: "Therefore the charm a observables directly sensi hadrons   | and beauty contributions<br>tive to the lifetime of   | can be disentangle<br>the decaying heavy                                    | ed by using<br>flavoured           |
| 58/59: "of the particle wi<br>doesnt make sense   | th lifetime information   | w.r.t. the flight of  | direction                          |
| 60: neither does " the num<br>being tried here to give p<br>does  | ber of tracks with life<br>bairs of variables that  | time information - :<br>can be used? If so,                                 | is what is<br>then why             |
| the last one, the invarian  | it mass, not have a seco  | nd variable?  |                                    |
| 62: no comma  |   |   |                                    |
| 65: B mesons  |   |   |                                    |
| 66: comma after mass  |   |   |                                    |
| 67: delete being  |   |   |                                    |
| 72: comma after sample; ph<br>replace with losses or ine  | ase space limitations i<br>efficiency"  | s a vey strange con   | cept -                             |
| 74: Fully inclusive or sem<br>both charm and beauty prod<br>They are however affected   | ni-inclusive lepton anal<br>Nuction, profit from lar<br>by a worse signal-to-ba   | yses, which are sen<br>ger.polar angle.<br>ckground ratio and.              | sitive to                          |
| 78: comma after paper - a<br>combination is meaningless   | simultaneous determinat   | ion – a simultaneou:  | S                                  |
| 80: comma after [36]  |   | ÷   |                                    |

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|-----|-----|----|-------|--|
|     |     |    |       |  |

81: comma after result

84: delete suitable for.

86: The procedure use is based on that described in

90: ..this procedure leads to a significant reduction of systematic uncertainties.

98-100: respectively cannot be used like this. Replace with: "In addition, QCD calculations in the RTOPT VFNS at NLO [32] and NNLO are compared with the data. The NLO calculations are at O(^2\_s) for PDFs and massive parts of the coefficient functions, O(\_s) for massless parts of the coefficient functions; the NNLO calculations split identically but are one order of a\_s higher. Why is there no reference for the NNLO calculation? If it is part of [32], move the reference to the end of the sentence.

110: comma after 2

111: framework not frameworks

112: Section 3, not 3.1

115: comma after 6"

116: charm and beauty quarks

118: Section 7 contains the conclusions.

120: I dont understand what Open is supposed to imply in this context - it is just confusing. We dont mention quarkonia, for obvious reasons. Delete.

119.1 deep inelastic

119.2: the analyses combined makes no sense. Replace by the combined analysis

119.3: .exchange dominates

124: comma after addressed

129: comma after QCD

131: are realised is not correct. Probably can be used is what is meant

136: a correct theoretical treatment is always mandatory. Replace with a careful theoretical

139: comma after paper

140: the before full- delete the comma before and. Comma after scheme"

147: comma after [26]

148: comma after paper

150: delete respectively - I have no idea what it means in this context.

157: comma before heavy

158: delete in the MSbar scheme

161: what is meant here by approximate NNLO ?

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The sentence starting at 160 is completely impenetrable. Rewrite. 163: comma after schemes 164: comma before with 165: comma after calculations 178: dont use different and individual - it sounds like we want to mean different things - use either different twice of individual twice. 180: "from both not both from 186: datasets 187: are included for the first time in this analysis 187: no comma after note" 188: dataset - and throughout decide whether it is data set or dataset and use whichever consistently! I prefer dataset 192: comma after measurements - this sentence is however unintelligible. Rewrite. 195: In the case of inclusive D meson cross sections, small 197: delete the removal of - and in 199 203.1: .. in the full phase space 205: replace in terms of by as a function of 208: in pQCD, \sigma etc etc 211: comma after \sigma^th\_vis 212: In the case ofprogramme, non-perturbative... 223: comma after constant and after chosen" 226: No heavy flavour measurements were included in the determination of these PDF sets 230: comma after PDFs 231: ..was kept fixed at 233: I dont understand what this sentence means 235: [40]; the differences are found to be smaller than the cross-section uncertainties 247: comma after system" 249: what does have been transported mean? 250: "Transverse fragmentation is modelled by assigning to the charmed hadron a transverse momentum kT with respect to the direction of the charmed quark 259: no hyphen 263: PYTHIA 263: Why is this bullet in past tense while the others are present? In my view

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|--|---|---|
| ALL these bullets and ind  | deed all the text in this section shoul   | d be past tense   |
| -<br>they describe what we did   | d.  |   |
| 272: I have no idea what supposed to mean.   | the sentence starting The resulting re  | duced. is   |
| 278: comma after [36]  |   |   |
| 284. This sentence is imp<br>but I am not sure I under<br>it is trying to say: "The<br>table 1. The (xBj,Q^2) gr<br>are combined<br>with the cross sections m<br>standard deviations of the<br>correlated<br>systematic uncertainties<br>beauty cross section mean | possibly complicated. Here is an attemp<br>rstand precisely what<br>e three sums run over the input data se<br>rid points i for which the measured cro<br>m^i. The sources j of the shifts b_j ar<br>he correlated uncertainties, which are<br>and the statistical correlation betwee<br>surements. | t to split it up,<br>ts e listed in<br>ss sections µ^i,e<br>e in units of<br>obtained from the<br>n the charm and |
| 290: "The components of those of the vector b are  | the vector m are the combined cross sec<br>e the shifts b_j.  | tions m_i, while  |
| 292/3: In the present and<br>uncertainties vary propos   | alysis, the correlated and uncorrelated<br>rtionally to the expected central value  | systematic<br>s."   |
| 296: comma after table 1   |   |   |
| 297: comma after necessa:  | ry  |   |
| 305/6: commas before toge  | ether" and after uncertainties  |   |
| 308: delete respectively   |   |   |
| 309: comma after combinat  | tion"   |   |
| lst paragraph of section<br>mention of conservative e<br>last sentence of the para   | 4- dont repeat information - delete ei<br>estimates of the uncertainties of delet<br>agraph.  | ther the first<br>e the   |
| 318: comma after 4 and as  | fter listed"  |   |
| 321: .reduced by factors   | of 2 or more.   |   |
| 323: comma after the clos  | se bracket  |   |
| 326: combination; some as<br>of new precise data [19-2   | re further significantly reduced due to<br>21].   | the inclusion   |
| 328: comma after observed  | d   |   |
| 329: what is independent   | ?   |   |
| 334: comma after 6   |   |   |
| 347: comma after section:  | 5   |   |
| 348: Predictions using no  | ot predictions of   |   |
| 351: In the case of VNFS   | , recent calculations.  |   |
| 357: comma after 9   |   |   |
| 372: comma after set   |   |   |
| 377: comma after precise   |   |   |

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378: comma after considered

380: For beauty production (figure 9), the predictions

- 385: comma after GeV^2
- 386: comma after region
- 387: comma after x\_bj"

388: comma after second GeV^2

389: comma after second x\_bj

- 391: comma after 11
- 392: comma after HERA

393: comma after uncertainties

- 395: comma after 12
- 397: comma after 10

399: delete within their uncertainties

400: differences, about 10% smaller than the reference.

405: In figure 13, the same ratios discussed in the preceding paragraph are shown for beauty production."

406: comma after HERA

Paragraph beginning at line 408: What is actually being used here, and what is shown on Fig. 14? The key to Fig. 14 shows "NNPDF31sx\_nnlo\_as\_0118 FONLL-C and "NNPDF31sx\_nnlonllx\_as\_0118 FONLL-C but the text says "(NLLO+NLLsx) and without (NNLO) low-x resummation This doesnt seem consistent. Should it perhaps say NLLO and (NNLO+NLLsx) without (NNLO) low-x resummation? That at least is consistent with the figure caption and matches my recollection of what Ball et al. actually did. Anyway, I dont understand what we say about this figure. However, the predictions lie significantly below the data in most of the phase space. That is true for the dashed purple line, presumably the leading log one, but not for the solid purple band, which is generally speaking a better fit to the data than either the dashed or the HERAPDF band - at least up to 32 GeV^2, after which there is little to choose between them. So a) I dont know what models the text is actually commenting on and b) irrespective of that, what we have written is wrong.

413: The charm data from the previous combination have already been used for the determination of the NNPDF3.1sx PDFs.

417. I dont understand the sentence Overall, the description is not improved It surely is from Fig. 14 alone - does Overall mean looking at other variables that we dont discuss?

425: comma after combination

426: comma after 4

428: I cant understand the sentence starting "The observed changes

430: comma after considered

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432: comma after sections

434: comma after cases

439: The theory description of .production is also investigated."

442: comma after program

452: usually rather than commonly

453: comma after applicable

454: comma after data

Why are we showing Figs. 18 & 19 anyway? As far as I can see, the data in there and the HERAPDF fit is identical to Figs 13 and 14. The only addition is Figs 18 and 19 is the purple dashed line labelled NLO fit in the legend, but this is referred to neither in this paragraph nor in the figure caption. What in fact is the chisq between the HERAPDF fit and this data - it looks pretty terrible - yet we make no comment about it - nor did we for Figs 13,14? And finally, why is the order of Figs 18, 19 reversed compared to 13, 14?

459.2: comma before the density

460: "where x is the momentum fraction transferred to the struck parton in the infinite momentum frame of the incoming proton.

475: delete the comma

476: comma after [40]

486: insert that before with.

486: ..parameter; changing this parameter noticeably affects the mass determination.

487: furthermore doesnt make sense here - perhaps you mean In addition?

488: /... uncertainty is determined at each x\_Bj value from the maximum differences

489: delete the sentence "This uncertainty. - this is obvious and adding this statement just makes the reader wonder why it is there.

494: comma after data

498: comma after 15

498: I am having to guess what is meant here - I think it should be Also shown are the PDFs whose experimental uncertainties arise from a fit to the inclusive data only, with the heavy quark masses fixed to their PDG values [51]. No significant differences between the two PDFs are observed.

501: functions doesnt make sense. Do you mean regions?

502: density, a slight enhancement compared to that determined from the inclusive data only can be observed around  $x^{-10^{-3}}$  when including the heavy flavour data in the fit.

Actually, just delete from 501: "When comparing to 505: uncertainties. If it isnt significant we shouldnt be commenting on it.

iso 20171202-170022 foster.txt Page 7/10 Jan 09, 18 15:08 506: comma after the first data 508: comma after analysis Footnote 5 - this has to be a proper sentence : This did not include scale. 513: comma after mass - also yields not yields also - comma after contribution 515: delete of" 524: delete given - comma after uncertainties 529: comma after case 530: comma after parameters 531: comma after the second GeV 533: comma after 0 534: to not on 536: from inclusive HERA data alone 537: unclear what covered means here 539: what PDF set? 539: This sentence is too complicated - there are 3 separate combineds involved. 543: can be observed. This is to be expected because of the similarities of the PDFs, especially of the gluon. The description of the data. 546: Another impossibly complicated sentence! Figure 18 show the ratio of data and predictions from the results of this analysis as well as the ratio of data to predictions based on the fixed HERAPDF2.0 FF3A PDF set, for charm quarks. Figure 19 shows the same ratios for beauty. 549: delete the rest of this paragraph after calculations. 556: This section seems to me to have the wrong title - almost all of its content is about various fits to all the DIS data and c+b as a function of  $x_Bj$ . I dont understand the thrust of the discussion either. It seems to me that  $\tilde{F}$ ig 14 indicates that the purple band of FONLL-C is an improved description giving a reasonable overall chisq for the charm data - and presumably from the inclusive data, from which it was mostly derived. It is fine to examine why our HERAPDF formalism doesnt work well, but we leave the impression that QCD fits are failing here - while it seems as if at least one approach, FONLL-C, gives a reasonable description. 559: delete "with the fitted parameters and the PDF parametrisation chosen. 560: comma after [36] 563: the font seems to have changed briefly here 563: no hyphen

564: paper. All calculations/

567: "The contribution to charm production at HERA arising from light flavours

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amounts to five to eight per cent '

570: accessible by" is wrong but I dont know if you mean accessible to or accessible from

575: ...the beauty data is limited to a higher x range, 0.004 0.1 because of."'

576: comma after data

In fact, I cant tell anything of the sort from Fig. 20 - what "steeper slope is referred to in line 577?? Figure 20 is very difficult to interpret.

577: comma after evident and before consistent

578: " Due to the larger experimental uncertainties, no conclusion can be drawn for the beauty data.

Footnote 7: ...p-value given here do not.

580: comma after function"

581: delete with

587: delete the

592: Delete within experimental uncertainties.

593: "In figure 23, a comparison is presented of the ratios of the combined reduced charm cross section, cc red and the cross-section predictions obtained from the fit to the heavy-flavour data and the inclusive data fulfilling xBj 0.01 to the reference cross sections. The predictions from the fit to the heavy flavour data and the full inclusive data set are also shown. As expected, the charm cross sections inclusive data rise more strongly towards "

598: In general, the ..

599: "A similar study for beauty was also made but no significant differences were observed. Delete the rest of the paragraph.

603: In figure 24, these predictions are compared to the inclusive reduced cross sections

605/6: ... obtained in this analysis by the fit to the combined heavy flavour and inclusive data agree with the inclusive measurement. What? Is there some distinction here between inclusive data and inclusive measurement? Is this too different sets of inclusive data? Please clarify!

608: predict not predicts. Larger - than what?

609: Delete comma

610: "within the framework for PDFs applied by excluding the low-xBj inclusive data in the fit. What does this mean? What framework for PDFs? And what is being applied?

612: 3 sigma tension in theory Does this mean that the tension is theoretical not real? I can have a guess at what this is supposed to mean: " In the theoretical framework used in this analysis, it seems impossible using only variation in the gluon density to resolve the 3 tension between the fits to the inclusive and charm data. However, it does seem possible for FONNL!

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614. Delete the rest of the paragraph starting from However. and replace with As shown in section 5, this tension between the charm and inclusive data is unlikely to be resolved at NNLO, which gives a worse fit to the charm data. However, the quark mass measurements are not significantly affected by . By what? The current text implies that we have investigated the tensions but it is

unclear how or what we have investigated. Please clarify.

623: no hyphen

624: Now that we are actually summarising what we HAVE written about what we HAVE done, to use the present tense is incomprehensible. experiments have been combined. The beauty cross sections have been combined.

629: ..combined data have been compared Hyphen between leading and order

630: the charm data. The beauty data, which have larger experimental uncertainties, are well described by the QCD predictions.

632: The next-to-leading-order calculations in the fixed-flavour-number scheme

We cannot end this very important paper with an inconclusive discussion about disagreements between inclusive and charm QCD fits at NLO and NNLO. I serious doubt whether this whole subject should be included at all since our discussion of it in the main body of the paper seems to me to be confusing and inconclusive. It detracts from the important results of the paper. If it remains, then the order of the final two paragraphs must be swapped so that we end with the determination of the running masses.

636: HERA are analysed in next-to-leading-order QCD in the fixed-flavour-number scheme

641: "The QCD analysis reveals some tensions in describing both the inclusive and the charm HERA DIS data in the same fit.

643: delete the theoretical framework of - A study in which inclusive data with  $x_bj < 0.01$  were excluded from the fit was carried out.

645: could be achieved in this way. However, the resulting PDFs fail to describe the inclusive data in the excluded xBj region, a situation that is not improved at higher orders in QCD. Delete the rest of the paragraph from This points."

Reference [32] is missing authors' names

Reference [44] - there should be an and: before the third authors name in both references

Reference [59] Sjoestrands name is incorrectly spelled.

Caption to Table 1: .. For each dataset, the Q^2

Caption to Table 4: add comma after For each source and after simultaneously. Delete to the data set number

Caption to Table 5: is reduced not is reduces

Caption to Fig. 4, 5. Shifted by what amount in x\_bj?

Caption to Fig. 6. Shifted by what in  $x_bj$ ? Towards larger values makes no sense - delete.

The captions until Fig 5 had commas around the \sigmas. Make the captions of Fig 22/50 Tuesday January 09, 2018

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7 and the rest consistent and add commas.

Captions to Figs. 12, 13: They are of similar size to those presented for

Caption to Fig. 14 - the text is completely unintelligible unless one is also looking at the main text. This is not how figure captions should be written. The models shown should be spelled out, not using acronyms at least the first time they are used, and if necessary a reference should be given.

Caption to Fig. 15 - there arent any dashed lines - just diagonally shaded.I dont understand the last sentence - so what uncertainties are shown? And how?

Captions to Figs. 18 & 19 - what is the purple dashed line labelled NLO fit and why is it not referred to either in the caption or the main text?

Figure 20 is not a proper figure - it should be labelled a) and b) and it should be stated in the axis labels that the top one is charm and the bottom one beauty. The right hand labels should be indicated to be Q^2. The caption should be changed accordingly.

Caption to Fig. 21 - again, the caption can only be understood in conjunction with the main text - we need to spell out the details, such as what the fit is.

Figure 22. Please label on the figure that there are u\_v, d\_v etc - otherwise they are useless for people giving talks.

Figure 24 is too small. We make ourselves look foolish by pretending we believe people can see the difference between dashed and dashed-dotted lines when in fact they cant see the lines at all. Splitting it into (at least) two parts is essential. We could leave the current figure with just the data and red and blue features as it is quite a useful visualisation - then have additional split plots containing these dot-dashed etc lines.

Cheers, Brian

Brian Foster Alexander von Humboldt Professor University of Hamburg/DESY Gruppe FLA Notkestrasse 85 22607 Hamburg Germany Tel: +49 40 89983201

iso 20171203-174808 wegener.txt Jan 09, 18 15:08 Page 1/1 From wegener@physik.tu-dortmund.de Sun Dec 3 17:48:18 2017 Date: Sun, 3 Dec 2017 17:48:08 +0100 From: Dietrich Wegener <wegener@physik.tu-dortmund.de> To: h1zeus-eb17bc@desy.de Subject: [hlzeus-eb17bc] comments draft 2 combination and qcd analysis of bwaty and charm production Dera collegues, its really an interesting paper, well presented and should be published soon. Here a few commenst and corrections 1.line 17/ 18: ..(fit) ...(mod) ...(par): puzzling -> eliminate, explanation in formula (7) on page 14 sufficient 2.line 100 : O(alpha\*\*3) 3.line 144 massive coefficient function - slang 4. lines 223/224 reference for values 5. line 250 fro -> from 6. line 252: reference for the value of  $k_T$ 7. line 268: corresponding clustering algorithm: not clear which 8. line 348: FFNS [24 -31] and the VFNS [32-35] 9. line 372.. set theory uncertainties are given .. not visible in fig8 and fig 9 10. line 634: .. do not improve the overall dscription, with and without the inclusion of log(1/x) resummation: Where was this shown? 11. ref 18: Measurement of .... missing 12. ref [25]: The 3,4,5 - flavor NNLO ...
13. ref [26]: Running Heavy Quark Masses in DIS 14. ref[28]: Phys. Rev. D96(2017)014011 15 ref[29]:On the value of heavy flavor distributins at high energy colliders 16 ref [32] R.S. Thorne ... Phys. Rev. D86(2012) 074017 17. ref [34] Impact of Heavy Quark Masses on parton distributions at LHC phenomenology Nucl. Phys. b855... unbiased global.... 18. ref [36] Aaron -> H. Abramovicz [41] A. Behring et al Phys. Rev D92 (2015)11405 19. ref 20. ref [46,48,49,50,52] titles of publications missing (be consistent!) 21. ref [59] High energy event generation with PYTHIA 6.1 hep-ph/0010017 22 ref [60] BELLE title and hep-ex BABAR Phys. Rev. D67( 2003) 031101 hep-ex/0208018 23 fig 7: fig caption "HERA 2012" -> [36] 24 fig 15: shading for DIS+c+b hardly visible; true? 25 fig 20: " brown colors" hardly separable 2 fig 24:dashed lines-> not visible Regards Dietrich

iso 20171204-135338 schmitt.txt Jan 09, 18 15:08 Page 1/3 From sschmitt@mail.desy.de Mon Dec 4 13:53:45 2017 Date: Mon, 4 Dec 2017 13:53:38 +0100 (CET) From: Stefan Schmitt <sschmitt@mail.desy.de> To: h1zeus-eb17bc@desy.de Subject: [h1zeus-eb17bc] Comments to the combined c+b paper Dear Sasha and Karin, congratulations for releasing this nice paper draft. I only have minor comments to draft V1 as listed below. I am looking forward to a timely publication. Best regards, Stefan General: \_\_\_\_\_ I would suggest to reduce the number of figures. My proposal is as follows: - remove figure 2 and 3. In the text, remove the second part of line 330 (after "are") and the first part of line 331 (up to "are"). - remove figure 16 and 17. In the text, remove end of line 541 (" in figures ... ") and the rest of this paragraph. [this may require some small further adjustments in the new paragraph] Of couse we should keep the removed figures as extra material Lines 593-601: as others mentioned as well, for this paragraph it may enhance clarity to have name tags for the different fits which are compared. The tags will have to be used consistently in the figures. Figures: \_\_\_\_\_ Figure 1: remove the "statistics" box. Add a legend which says something like this: Gaussian fit: mu=0.03+/-0.05sigma=0.77+/-0.03 Figure 15: change blue hatched style to filled area (or reverse hatching direction) Color: can we use a color code for the DIS+c+b fit which is consistent with figure 18/19 Consistent colors with fig 21? Figure 20: remove the grid. I like the horizontal line at unity but the other dotted lines are too much for my taste For the x-axis, I would prefer the label: <x> (maybe:) try to use the same y-axis range for charm and beauty Figure 21: choose Colors consistent with figure 15,22 The horizontal axis is labelled x\_min but in the text (line 587) it is named x\_Bj,min (same comment holds for figure caption) Figure 22: choose consistent colors with fig 21 choose consistent color of DIS+c+b with fig 18/19

small text comments: \_\_\_\_\_

# iso 20171204–135338 schmitt.txt Page 2/3 Jan 09, 18 15:08 73: add comma: ... limitations, because ... 92-93: too many times "reduced" "reduces" proposal: fullstop after measurements and remove: "and thereby ... further" 140-141: "... at all scales..." I do not understand, should this read "at all orders"??? 188-189: I find it hard to read without confusing dataset 8 of [36] with the present dataset 8. Proposal: put the details to the end, all in a bracket: ... data set 9 supercedes a data set of the previos combination (data set 8 in table 1 of [36]). 212: ... "the corresponding fragmentation functions" 250: (typo): "... originating from ..." 346-347: I think it is not recessary to explain that we do this "Before" we do someting else. -> remove line 346, start with: "The combined heavy flavor data are compared ..." 348: replace: "pre-existing" by: "various" 371: remove the statement "The theory predictions are obtained without fitting the data." (this is obvious in this section) 448: "if not" -> "unless" 455: ... are above 3.5 GeV^2 for all these measurements. 467: remove "the": ... determined by QCD sum rules". (otherwsie we will have to be more specific about which sum rules we use, but it is too much for this paper) 468-469: The parameter C'\_g=25 is fixed [64]. (this avoids the odd sequence of number and [ref]: ... 25 [64].) 486: "... parameter while the change ... " 502: ... around $x \sim 3x 10^{-3}$ ... (This is what I get from figure 20) 514/515: ".. typically of a few MeV" -> "typically a few MeV in size" page 14, footnote 5: (use a complete sentence) The previous charm mass result did not ... 556: maybe try this to make x\_Bj bold: begin{boldmath} section{ ... } $label{...}$ end{boldmath} 563: "equivalent to" has a different font? 563,589,612: please unify

2.9sigma without space 1.8 sigma with space 3 sigma with space (I prefer to have no space or a small space only) 609: remove comma: ... study shows that ... "

634: ... description, neither with nor without ...

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647-650**:** 

... by changing only the PDFs of the proton. The alternative next-to-leading order or next-to-next-to leading order QCD calculations considered are not able to provide a better description of the combined heavy flavour data either.

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# iso\_20171205-121157\_paul.txt

From paul@physik.uni-bonn.de Tue Dec 5 12:12:02 2017
Date: Tue, 5 Dec 2017 12:11:57 +0100 (CET)
From: paul <paul@physik.uni-bonn.de>
To: h1zeus-eb17bc@desy.de
Cc: paul <paul@physik.uni-bonn.de>
Subject: [h1zeus-eb17bc] comments

> Dear all,

> The current analysis is based on considerably more charm data than in [36] > Published charm and beauty cross sections are combined separately. Are the > possible correlations between charm and beauty cross sections somewhere > quantified and taken into account in the systematics considered in this > paper? How large are those? How do our published charm cross sections in [36] compare to the current charm cross sections? > > Table5 and caption do not fit together: the values of "d.o.f." mentioned in > the caption, are missing in the table! And for "HERA 2012 c" the proper > reference should be included. > > I have spend some time to study figure 18 and the discussion of it in section > 6.3 and in the conclusions. In figure 18, we see that the > description by NLO FFNS is rather poor in some Q^2 bins, either in shape > or in magnitude or in both. The corresponding > b-results in Fig.19 look somewhat better. This might be partially explained > by the larger uncertainty of the data points, however I think we cannot rule > out that this is not only a question of the difference event > statistics. I suggest to discuss this observation in more detail in the > text! > In lines 557/558 is noted that the dependence on x\_JB is steeper than the > predicted flat distributions. I think this is not the whole truth. We see > that the magnitude value is also significantly different in at > least two Q^2 bins. In line 561, a "partial chi^2-value" is quoted which is > not explaind at all. In case that this number is an overall estimate for all > Q^2-bins together, it makes no sense. Moreover I cannot see that to quantify > an overall deviation of 2.9 sigma without ideas about the origin delivers > any meaningful information. Would it make sense to give chi^2 for each Q^2 > range sepaparately? > There is another observation which worries me. Looking into [36] at figures 6 > to 8, the data are well described by various predictions. In > lines 559/560 is written that in  $[3\overline{6}]$  we see a similar behaviour, whereas > have the impression that the new data are changed significantly, e.g. for  $> Q^2=2.5$  GeV<sup>2</sup>. In lines 609/610 and 642-645 is explained that the inclusive > data with x\_BJ<0.01 are causing the disagreements. I wonder if it makes sense > to present also results without the inclusive data. > In lines 565/566 is said "show some tensions desribing the combined data". > "Some tensions" is also mentioned as a conclusion (in line 641) > The "tension" should be somewhat quantified and the relation to > the inclusive data should be discussed in the text. > Not having been close to this analysis, from going through the paper > draft only, I have the general impression that important problems with > the problems with the current results, e.g. the difference to previously > published charm data, are not discussed in a convincing manner.

Best regards, Ewald

```
iso 20171207-214529 levchenko.txt
 Jan 09, 18 15:08
                                                                                        Page 1/1
From levtchen@mail.desy.de Thu Dec
                                           7 21:45:39 2017
Date: Thu, 7 Dec 2017 21:45:29 +0100 (CET)
From: Boris Levchenko <levtchen@mail.desy.de>
To: h1zeus-eb17bc@desy.de
Subject: [h1zeus-eb17bc] remarks
Dear All,
Here is a collection of detected misprints:
1.100, replace )^3 by ^3)
1.481, replace 2.5 by 2.5 GeV^2
1. befor 512, Eq.7, 2-nd line, -0.033 is not the same as in Abstract and
Conclusions
1. 531, Is it really the fit gives mb(mb)=8.45 GeV ?
1.665, 668, 671: replace ," by ",
1.673, the article title is not complete
1. 678, 680, 683, 685, 690, 693, 696, 699: replace ," by ",
1. 0,0, 000, 000, 000, 090, 093, 096, 699:
1.701, the article title is not complete
1.717, 720, 722, 724, 731: replace ," by ",
1.793, replace ". by ",
1.807, replace ...," by ",
1. 827, replace HERA, by HERA",
1.827, replace ...," by "...,"
1.832, replace ," by ",
1.834, replace ," by ",
p. 45, in Fig 15 insert: more space between lines with an inserted text to
avoid the text overlap
p. 52, Fig 22, the same as p.45
p. 54, Fig 24, second line from top. One need to avoid overlap 'FF3A' and
'----NLO fit'. Add a shift to left
With best regards,
              Boris
   Dr. B.B. Levchenko
                                              boris.levtchenko@desy.de
  DESY, ZEUS
                                               https://istina.msu.ru/profile/bblevchenko
  Experimental High Energy Physics Department
  Institute of Nuclear Physics,
  Moscow State University
                                                   Tel:+007 495 939 5881
                                                  Fax:+007 495 939 3064
  RU-119991 Moscow, Russia
```

Printed by Stefan Schmitt

iso 20171208-004918 krueger.txt Page 1/2 Jan 09, 18 15:08 From katja.krueger@desy.de Fri Dec 8 00:49:26 2017 Date: Fri, 8 Dec 2017 00:49:18 +0100 From: Katja Krüger <katja.krueger@desy.de> To: h1zeus-eb17bc@desy.de Subject: Re: [hlzeus-eb17bc] Draft 1.0 Dear All, I only have very few comments to the new draft, most of my comments have been an swered before. Cheers, Katja - general: will we provide a table with the detailed breakdown of the uncertaint ies as a data file? if yes, should we mention that somewhere? - 1. 41: mesons samples -> meson samples - 1. 54: I think the on average fits better earlier in the sentence, e.g. The pr oper lifetime of B mesons is on average - 1. 101: I think you cannot compare to a scheme, but only to predictions or cal culations in a scheme - 1. 112: section 3.1 -> section 3 - 1. 116: measurementS - 1. 218,232: use the same order of mu\_r and mu\_f in all the equations, so swap them in "mu\_f=mu\_r=sqrt(Q^2+4m\_Q^2) - eq. 4, text below it, and tables 2 and 3: to me the treatment of the correlate d stat. unc. is not fully clear. In 1. 267 it says that the correlated unc. comp rise systematic and statistical components. But then in the next sentence I read that the gamma are correlated syst., the delta\_stat statistical and the delta\_u ncorr the uncor. syst. unc. Which one of the three contains the statistical corr elations? And in tables 2 and 3 we use a slightly different notation with delta\_ stat, delta\_uncor and delta\_cor 328: Im a bit surprised that this effect of cross-calibration between charm - 1. and beauty is so small (or maybe I misunderstand the sentence). I assumed that the significantly smaller uncertainties on the charm cross section due to the co mbination would lead to a sizeable (more than 10%) reduction of the beauty uncer tainties since the VTX measurement is one of the most precise beauty measurement s, and for this the (anti-) correlation is large. - 1. 360: remove program - 1. 401ff: many calculations -> use prediction instead for one or two - 1. 480: the \_variation of the\_ strangeness fraction 1. 496: The ratio \chi2 -> The ratio \chi^2 (exponent!) - 1. 498: as discussed in the last meeting: I think the differences between the new fit to the inclusive data only, fixing the heavy quark masses, to HERAPDF2.0 FF3A are rather small (running mass vs. pole mass, ), and we use HERAPDF2.0 FF3 A in the previous section as a reference, so I think it makes sense to point out these differences here. - section 6.2: I think it would make some parts easier to read if we give names/ abbreviations to the variants of the fit, instead of having to write a long stat ement describing the fit every time - 1. 563: equivalent to is in a different font - table 5: abm11\_3n\_nlo is the only PDF not written with capital letters - caption of table 5: remove one the in line 4; reduces -> reduced in line 5 > On 23 Nov 2017, at 10:36, Karin Daum <karin.daum@desy.de> wrote: > > Dear EB members, > > please find attached the version 1.0 of the draft ready for circulation within the collaborations > > Best regards > > Karin

# Jan 09, 18 15:08

Page 2/2

> > <cbcomb.pdf>

### Jan 09, 18 15:08

### iso 20171208-055147 haidt.txt

From dieter.haidt@desy.de Fri Dec 8 05:51:50 2017
Date: Fri, 8 Dec 2017 05:51:47 +0100
From: "Haidt, Dieter" <dieter.haidt@desy.de>
To: Oleksandr Zenaiev <oleksandr.zenaiev@desy.de>, Karin Daum <karin.daum@desy.d
e>
Cc: Stefan Schmitt <sschmitt@mail.desy.de>, Erich Lohrmann <erich.lohrmann@desy.
de>, Dieter Haidt <haidt@mail.desy.de>
Subject: Comments to cb-draft

Dear Authors,

thank you very much for the draft on the analysis of the charm and beauty data. I have attached some comments. t may be most convenient to discuss the various issues orally. Please feel free to distribute my comments to those interested.

Best whishes Dieter

[ Part 2, ]

- [ Application/VND.OPENXMLFORMATS-OFFICEDOCUMENT.WORDPROCESSINGML.DOCUM ]
- [ ENT (Name: "Comments to cb-analysis draft Nov 2016.docx") 28 KB. ]
- [ Unable to print this part. ]

#### Jan 09, 18 15:08

### iso 20171208–173515 olsson.txt

From olsson@desy.de Fri Dec 8 17:35:21 2017
Date: Fri, 8 Dec 2017 17:35:15 +0100 (CET)
From: Jan-Erik Olsson <olsson@desy.de>
To: h1zeus-eb17bc@desy.de
Cc: Jan-Erik Olsson <olsson@desy.de>
Subject: [h1zeus-eb17bc] Comments to c,b paper

Dear Editors and Referees of the paper "Combination and QCD Analysis of Beauty and Charm Production Cross Section Measurements in Deep-Inelastic ep Scattering at HERA"

We are happy to congratulate you to this paper, and to the completion of this analysis! We have read the present draft from 23.11.2017 and find that you have given a very consise description of the analysis and its results, as presented in several meetings in the last couple of years.

We have no questions to the first part of the paper, the cross section measurements. However, in the second part of the paper, the QCD analysis with the expressed focus on the determination of the running quark masses, a question arises: We are aware that the ZEUS collaboration already published a "prerunner" of this paper, namely DESY 14-083 (also quoted as ref. 21 in the present paper), arXiv.1405.6915

and we are also aware that the result on the charm mass presented there was subsequently critized by Richard Ball, in arXiv.1612.03790

If we understand correctly, the criticism points to an incorrect or incomplete treatment of pole quark mass vs. running quark mass, leading to unjustified small errors on the obtained running mass.

We now wonder if this criticism is valid also for the present paper. Indeed, we do miss a introduction/discussion in the present paper, in which the roles of pole and running quark masses in the used Monte Carlo simulations and in the used fit procedures are detailed. We think that such an introduction/discussion would be very valuable for the general reader and that such an introduction/discussion is also appropriate in a paper which focuses on the c and b running masses determination.

Maybe this would also give increased weight to the physics message of this paper, beyond the cross section measurements and agreement or disagreement with selected models, which now constitute the sole physics message?

Independent of this criticism, we also think that the message of the paper would gain from the addition in the Conclusions, of a paragraph which points to the possible improvements in the LHC cross section predictions, due to these new c and b running mass measurements.

A small point, which was heavily discussed in the last H1/ZEUS meeting: We support the suggestion to quantify the deviation, which is remarked on in lines 642-3, Conclusions.

The paper is very well written, and we found only very few mistakes in spelling, or choice of words. One general remark is of course that the paper has a tendency to formulate very long sentences, using "German grammar, verb at the very far end", which makes the reading sometimes very tedious. This tendency is worsened by the lack of commas in many sentences, leading to ambivalence in the actual meaning of the text. Thus, we hope that an English native will have a serious go with the

paper text, making it more smooth and fluent, before the final publication! We make a few suggestions in this direction below.

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#### Jan 09, 18 15:08

# iso 20171208-173515\_olsson.txt

We wish all success in the further publication procedure, and congratulate again to the paper and the tremendous work behind it!

Our detailed text comments follow below.

Best greetings, Jan and Nelly

Line

- 14: Perturbative QCD predictions are compared to the combined data. The latter are used together with the combined inclusive deepinelastic scattering... (We reverse the order in the comparison: Theory is always compared to the data, never the other way around)
- 26: "the mass of the heavy quark involved"

28: "momenta, of the" --> "momenta of the"

29: "several hard scales" (plural !)

- 35: Why no charge indication on "D", but indicating charges on D\* ?
- 45: "significantly suppressed further" -->
   "further significantly suppressed"
- 51-52: "Although the first two reasons given above for the suppression of beauty production relative to charm production also hold in this case,"
- 54: "is on average about a factor of 2 to 3 larger than that of D mesons, when taking..."

55-57: "Therefore, using... flavoured hadrons, the charm..."

- 67: "are on average harder" --> "have on average higher momenta"
- 68: "relative to the production cross section" -->
   "relative to the observed \$c\$-induced fraction"
- 73: "limitations because" --> "limitations, because"

74: "inclusive or lepton" --> "inclusive and lepton"

94: "by the data" "by the new results"

108: "have not yet been fully" --> "are not fully"

- 113: Better: "... charm cross sections are given in section 4. In section 4 theoretical calculations based... VFNS are compared to these cross sections."
- 116: "charm and beauty quark" --> "charm and beauty quarks"
- 118: "are presented" --> "is presented"
   "dependance" --> "dependence"

119+2: "analyses combined" --> "combined analyses"

125: "reaches up to" --> "reaches"

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# iso\_20171208-173515\_olsson.txt

"occur for" --> "are involved in" 129: 131: "are realised" --> "are used", or "are applied" "used for" --> "used in" 139: 168: "NLL" --> "next-to-leading-log (NLL)" "next-to-leading-log" --> "NLL" 169: "interaction point" --> (better) "interaction region" 175: 208: "in general sigma can be" --> "sigma can in general be" "assumptions on ... tagging have to be made." --> 237-239: "assumptions have to be made on ... tagging. 264: "programme" --> "program" (cf. computer program, government programme) 281: "directly taken" --> "taken directly" "(dataset 1) using" --> "(dataset 1), using" 299: "and below" --> "and lower" 336: "data of" --> "results of" 340: "previous measurement." --> "previous measurements." 342: 377: "show a somewhat steeper xBj dependence than" --> "show an xBj dependence somewhat steeper than"  $% \left( {{{\mathbf{x}}_{i}}} \right)$ --> 408: "show some tension in general" "in general show some tension" "noticabely" --> "noticeably 486: "fit, model, and" --> "fit, model and " 491: "parameterisation" --> "parametrisation" 517: Note: You are almost everywhere using the spelling "parametrisation". This is OK, as would also be the spelling "parameterisation", which now occurs in a few places. We suggest to make a search in the latex-source, and use only one of these spellings. (plural actor!) 535: "demonstrates" --> "demonstrate" "PDFs especially of the gluon and the" --> 544: "PDFs, in particular the gluon PDF. The" 570: "to see the ranges of x accessible by" --> "to determine which ranges of x are accessible" 575: "0.1 the" --> "0.1, the" 577: "evident showing" --> "evident, showing" footnote 7: "does not" --> "do not" "chi2 or p-value" --> "chi2 and p-value" 581: "performed with requiring different values of the minimum xBj values"

"performed, varying the values of the minimum xBj"

### Tuesday January 09, 2018

Jan 09, 18 15:08

Page 4/4

# iso 20171208-173515 olsson.txt Jan 09, 18 15:08 "as function" --> "as a function" "0.04 while" --> "0.04, while"

597: "imposed to" --> "imposed on" 597: "rising stronger towards small xBj" "rising stronger for smaller xBj-values" 602: "calculated for inclusive DIS also." --> "calculated also for inclusive DIS." "figures 24" --> "figure 24" 603:

"shows, that" --> "shows that" 609:

"excluding ... in" --> "excluding ... from" 610:

Ref. 44: "S.Alekhin, J.Bluemlein and S.Moch" "I.Bierenbaum, J.Bluemlein and S.Klein"

Ref. 59: "Sj\"ostrand et al."

584:

586:

Ref. 61: "G.Curci, W.Furmanski and R.Petronzio" "S.Moch, J.A.M.Vermaseren and A.Vogt" "A.Vogt, S.Moch and J.A.M.Vermaseren"

"obtained by" --> "obtained through" Tables 2,3 captions: "uncetrainties" --> "uncertainties"

Table 4: "luminosity" --> "integrated luminosity" 6 times

- Table 4, caption: "extracted...sections simultaneously a" --> "simultaneously extracted ... sections a"
- "the the" --> "the" Table 5, caption: "is reduces" --> "is reduced" or "reduces"

Figure 12, caption "uncertianties" --> "uncertainties"

- Figure 13, caption" "They are of similar size than those" --> "These are in size similar to those"
- Figure 14, caption: "They are of similar size as those" --> "These are in size similar to those"
- "data as" --> "data, as" Figure 20, caption: "for the different" --> "for different"

We also suggest to use labels a) and b) in this figure, instead of "upper and lower panel". Who knows what orientation this figure will have in the final publication?

- Figure 21, caption: "data (triangles) only" --> "data only (triangles)"
- Figure 23, caption: "shaded band" --> "shaded bands" (like in fig.22)

Figure 23,24 captions: "(full line)" --> "(full lines)"
Page 1/1

#### Jan 09, 18 15:08

# iso 20171208-184645 geiser.txt

From geiser@mail.desy.de Fri Dec 8 18:46:52 2017
Date: Fri, 8 Dec 2017 18:46:45 +0100 (CET)
From: Achim Geiser <geiser@mail.desy.de>
To: Jan-Erik Olsson <olsson@desy.de>
Cc: hlzeus-eb17bc@desy.de
Subject: Re: [hlzeus-eb17bc] Comments to c,b paper

Dear Jan and Nelly,

Thanks a lot for your comments and in particular for making me aware of the conference report by Richard Ball, which was not known to me. I must say that I do not agree with his arguments in several places, of which I want to discuss only one here. I think everyone agrees that the conversion between running mass and pole mass is not converging well (as he points out), but the conclusion known and plausible to me is just the opposite: so-called renormalons affect the pole mass (and not the running mass), and this is one of the reasons why (proven by many), FFNS heavy flavour cross section predictions converge better in the running mass than in the pole mass scheme. VFNS approaches are then yet another story, yet see arXiv:1605.01946 of which several of Richard Balls usual collaborators happily are authors.

I don't think that we should have ourselves lured into this dispute by an unpublished conference report contradicting several published papers most of which we already cite, but we will of course discuss this at the EB.

Best regards, Achim

On Fri, 8 Dec 2017, Jan-Erik Olsson wrote:

>

> Dear Editors and Referees of the paper

> "Combination and QCD Analysis of Beauty and Charm Production

- > Cross Section Measurements in Deep-Inelastic ep Scattering
- > at HERA"
- > ...

Page 1/3

#### Jan 09, 18 15:08

# iso 20171210-115907 klein.txt

From mklein@hep.ph.liv.ac.uk Sun Dec 10 12:59:21 2017
Date: Sun, 10 Dec 2017 11:59:07 +0000 (GMT)
From: Max Klein <mklein@hep.ph.liv.ac.uk>
To: h1zeus-eb17bc@desy.de
Cc: Max Klein <mklein@hep.ph.liv.ac.uk>
Subject: [h1zeus-eb17bc] Comments on Fcc,bb - Max Klein

Dear Colleagues

congratulations to this combination and analysis, a monument to the strong c,b and QCD efforts of our Collaborations, many thanks.

Below please find some comments on the paper draft 1.0 (Nov23) with apologies for being somewhat late.

Best regards, Max

p0 l12 is the photon virtuality Q or Q2 or -Q2? I would term Q2 once as what it is the negative 4-momentum transfer^2. at high Q2 it may also be the Z virtuality, and we measure to 2000 GeV2

 $117/18~{\rm I}$  would term the 'fit' error source 'exp' because there sits all the experimental uncertainty, even if we use a fit to determine these for mc,mb

p1 I would move 4 paragraphs, 138-177 from the introduction to data samples 3.1. it is a long, qualitative discussion, important, but in my view not for the general introduction.

p2 199-102 I would delete the statements in parentheses (O(alphas2.. respectively) and (O(alphas3... functions) as they are very technical and not important at this point. you cite [32] for NLO but then talk abut NNLO, is there no/a ref to that?

p3 1108 delete 'ongoing'

eq 1 and elsewhere, probably too late, I know, but I would use 'x' instead of 'x\_Bj' as in DIS x\_Bj is known to be x, there is no x\_F to confuse the notation

eq 1 and eq 2 I would write alpha, not alpha(Q2) but in 1123 then write elm coupling alpha=alpha(Q). the finestructure constant running is less dramatic than the one of alphas as we all know.

p4 1158 delete .in the MSbar scheme

1163 there is a Landau O before in a different style, 199ff also I would write Q2=O(mQ2) because the O already implies  $\sum \left( \frac{1}{2} \right)$ 

p5 1188 data set --> dataset

p10 1366 and figures: I would delete ABM09, it is past and brings nothing to the discussion, even if by any reason it is higher at Q2 2.5 for cc, to have ABM11 and 16 should suffice. the fact that it has not used HERA HQ data is fine, but it neither used DIS data or LHC, so a comparison cannot be leading to any real conclusion.

p12 eq 6 and discussion: do we know what happens to the x dependence if A'\_g is set to zero, and the gluon is not allowed to 'disappear'? the steepness of Fcc,bb will have to do with xg and this negative term we obtained from RT has improved the chi2 but it puts also epWZ16 to an extreme xg prediction and should perhaps be questioned in the context of the discussion that is following in our paper, see also remarks below

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# iso 20171210-115907 klein.txt

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p13 1471 i guess fs = s/(dbar+ubar) .??

p14 1529-538 I would delete that exercise because if you only fit Fcc and Fbb (which is what I conclude is described here) you must fail, and you do.

#### p15+16 6.3

I find there is not enough motivation, from fig 21 (chi^2 vs xmin) to go on for a study with x>0.01 for the DIS data. that is really extreme. it is obvious that such a fit cannot describe the data at  $x=10^{-4}$ , it has no handle. Moreover, you even see that the full DIS+c,b chi2 is improving! when going to lower xmin. I thus would stop the paper at fig21 and state that one observes a trend that the x dependence is somewhat! steeper in Fcc than the fit wants it to be. give it more freedom and it follows, but do not cut all the HERA NC+CC data out. of course, if the only constraint at small x is Fcc (and bb) then you reproduce them better, fig 23, but that is almost a trivial statement: you let the inclusive cross sections go to whereever and fit the low x HQ data. this in my view is not illustrating or telling us anything really. we observe certain tension between Fcc,bb and inclusive DIS, which we could not resolve in our framework, that is an interesting result, not the x<0.01 toy fit study.

p17 perhasp call it a Summary rather than Conclusions

1630 i would list the QCD predictions here which were used

1640 perhaps one needs here a line to say what HERAPDF2.0 FF3A is

1641 'some tension' needs to be quantified here, is it a valid fit or is not? if indeed you accepted a bit of my reservation against the high weight given to the x>0.01 approach, the conclusion would basically be that in a joint NC+CC + c,b fit the x dependence of Fcc cannot be reproduced well, neither in NLO nor NNLO.

Acknowledgements: perhaps one thanks SA, JB, SM?

p19 [28] is: Published in Phys.Rev. D96 (2017) no.1, 014011

p22 [59] Sjoestrand

p30 are the nr of points 52,47 really the same in the 2012 set and now?

what about stating that the inclusion of the PDF uncertainties has a negligible effect on the chi2 and delete the rather repetetive last column?

p52 the gluon is too sensitive to the radical 0.01 cut. it does one good thing, it indicates that Fcc wants it to rise. since the inclusive DIS data seem not to want that, Fcc^thy is less steep than the data. therefore i would think that  $A'_g=0$  may be an interesting case study. one may argue that xg has to be positive and exclude the negative term, perhaps this helps and it is a less radical cure or case study than the 0.01 thing.

a very nice result and very important paper, thank you!

Prof. Max Klein University of Liverpool Department of Physics L69 7ZE UK tel: +44 (0) 151 794 3353 CERN, 1211 Geneva 23 Switzerland tel: +41 (0) 22 767 1319

Tuesday January 09, 2018

Page 3/3

iso 20171211-000318 kuprash.txt Jan 09, 18 15:08 Page 1/2 From oleg.kuprash@cern.ch Mon Dec 11 01:03:34 2017 Date: Mon, 11 Dec 2017 00:03:18 +0000 From: Oleg Kuprash <oleg.kuprash@cern.ch> To: "hlzeus-eb17bc@desy.de" <hlzeus-eb17bc@desy.de> Subject: [h1zeus-eb17bc] Comments for the paper draft Dear Analysis Team, Congratulations with performing the cross section combination and QCD analysis. The paper draft is nicely detailed. Please find my proposed textual comments below. Sorry for sending them after the deadline. I hope they could still be considered. With best regards, Oleq L28: Probably, "\$p\_{T}\$, " was meant to be inserted between "momenta, " and "of the outgoing quarks"? Otherwise the comma seems to be not needed. L29: "several hard scale" -> "several hard scales". L34: It seems "different various" needs to be replaced with only one of the words. L100:  $"O(\alpha_s)^3" \rightarrow "O(\alpha_s^3)"$ . L102: "low-x" -> "low-\$x\$". L112: "section 3.1" -> "section 3". L117: "dependance" -> "dependence" (?) L118: Is it a study of the x\_Bj-dependence of the measurement, or of the x\_Bj-dependence of the cross section? L150: ", respectively" seems to be redundant. L156: Is it "programme" or "program"? Different versions are used throughout the draft. L158: Please remove ". in the MS-bar scheme". L178: I was for a moment confused reading the sentence. Could the number of data sets entering the combination be also quoted? E.g. "The 13 data sets included in the combination are listed in table 1 and correspond to 209 individual charm and 57 beauty cross section measurements." L192: For consistency, it is better to use Roman "red" in the notation for the reduced cross section. L193: Same as above for "vis, bin". L206: Capital "E" in "Eq" is used for denoting the equation in this line, but small "e" is used in the rest of the draft. It would be good to consistently use the same style (HERAPDF 2.0 paper was using "Eq.", "Tab.", "Fig.", "Section"). L231: "kept fix" -> "kept fixed". L231: There seem to be a whitespace between "GeV" and "." Please remove it. L231: "factorisation were" -> "factorisation scales were". L250: "fro" -> "from". L263: Since the { $\sc }$  environment seems to be used for xFitter and HERAFitter, would it make sense also to use it for other programs, like Pythia, OPENQCDRAD, QCDNUM, and HVQDIS? L264: Move "MC" closer to "Monte Carlo". L344: "( data" -> "(data". L359: It might sound better (and more fair to our theory colleagues) to replace "with" -> "within". L360: Probably "program" can be safely removed. It is already called framework. The two sentences in L358-360 and L361-363 might be combined into one sentence, and kept in the place of the first one. E.g.: "The theory predictions are obtained within the open-source QCD fit framework for PDF determination {\sc xFitter} [45] (version 1.2.0), which uses the program {\sc OPENQCDRAD} [44] for the calculation of reduced cross sections." L453: The comma between "applicable" and "the" would make the reading a bit easier. L496: The "(d.o.f.)" has already been introduced earlier in the text. L507: Is the word "form" used in the meaning of "shape"? L511: Full stop is missing in the end of the sentence. The text of the footnote should probably start from a capital letter. L519: Is "both masses" denoting the values of charm quark mass determined in

| Jan 09, 18 15:08   | iso_20171211-0   | 000318_kuprash.txt   | Page 2/2             |
|--|--|--|----------------------|
| this and previous [36] papers? Or is it about charm and beauty masses? If it's the latter, I'd suggest to replace "both" -> "both charm and beauty".   |  |  |                      |
| L563: The text "equival<br>(Adobe Acrobat Reader o<br>L585,586,587: Please us  | -> "do not".<br>ent to" is display<br>n Windows 10), com<br>e Roman font for " | ed in a different font on<br>pared to the rest of the t<br>min", as in L582. | my system<br>ext.    |
| L660: Can the symbols "<br>L661: The whitespace in   | )" and "+-" go as<br>"e p" could be re   | superscripts for "D"?<br>moved.  |                      |
| L808: To be consistent,<br>Fig. 15 caption: "Q_0"  | finish with a sem<br>-> "Q^{2}_{0}".   | icolon instead of full sto   | p.                   |
| Fig. 15: Maybe it's my screen only, but both PDF sets look as continuous lines, while the caption says that one of them is dashed.   |  |  |                      |
| Fig. 15: Caption says that the uncertainties for the fit to inclusive data only are not shown. But they are shown on the plot (and the opposite is for DIS+c+b data). Is the legend correct? |  |  |                      |
| Fig. 20: Are the data p printed in grayscale?  | pints in this figu   | re distinguishable from ea   | ch other when        |
| <pre>Fig. 22: In the caption Fig. 23,24: In the capt \$x_{\mathrm{Bj,min}}\$.</pre>  | , "(full lines)" c<br>ion, use Roman fon                                       | ould be removed (all lines<br>t for "min" in the notatio                     | look full).<br>n for |
| Thanks a lot!  |  |  |                      |

iso 20171211-131140 truoel.txt Jan 09, 18 15:08 Page 1/1 From truoel@physik.uzh.ch Mon Dec 11 13:12:08 2017 Date: Mon, 11 Dec 2017 13:11:40 +0100 From: Peter Truöl <truoel@physik.uzh.ch> To: h1zeus-eb17bc@desy.de Cc: Peter Truöl <truoel@physik.uzh.ch> Subject: [hlzeus-eb17bc] Comments to Charm/Beauty-Kombination Dear colleagues, with a bit of delay caused by internet-breakdown in our mountain house here are my minor comments to the report on your apparently rather elaborate work. Thanks and kind regards Peter Truöl [ Part 2, ] [ Application/VND.OPENXMLFORMATS-OFFICEDOCUMENT.WORDPROCESSINGML.DOCUM ] ENT (Name: "Comments\_H1\_ZEUS\_11\_2017.docx") 135 KB. ] [ Unable to print this part. ] [ Part 3, Application/PDF (Name: "Comments\_H1\_ZEUS\_11\_2017.pdf") 53 ] [ KB. ] [ Unable to print this part. ]

[ Part 4, Text/X-VCARD (Name: "truoel.vcf") 14 lines. ]
[ Unable to print this part. ]

iso 20171211–141058 britzger.txt Jan 09, 18 15:08 Page 1/7 From daniel.britzger@desy.de Mon Dec 11 14:08:21 2017 Date: Mon, 11 Dec 2017 14:10:58 +0100 From: Daniel Britzger <daniel.britzger@desy.de> To: h1zeus-eb17bc@desy.de Subject: [h1zeus-eb17bc] Comments to the paper. Please ignore if too late... Hi Sasha, Karin, et al. please excuse the late sending of the comments. Please ignore my comments, if those are considered as 'too late'. The analysis is in a very well shape and the results are also very well presented. Congratulations ! I have only minor general remarks, but a number of smaller corrections and improvements of consistency. Thanks for this impressive work. It is really a great paper. Cheers, Daniel General comments The introduction appears to be too long. It may be appropriate to have a subsection "3.0 discussion of data sets", or "measurement techniques" and mention in the introdction only, that there have been different measurement techniques employed, which is then benefitial for the combination (138-177). -> E.g. 171: This paragraph has no references and cannot be understood as it is. -> E.g. 195-1103. All these details have not to be discussed in the introduction \times -> \cdot or '' Often, the subscript or superscripts are not in roman fonts  $x_{\{\m}$ Bj, min} -> x\_{\rm Bj, min} etc... The sections 6.2 + 6.3 appear like, that you were lazy to write the paper in a more compact form. Section 6.2 should be shortened. It is difficult to get the relevant information. Section 6.3 should be sharpened as well. A table of the tests performed would maybe help. All appearances of \alpha, have a larger font. Very strange. datasets -> data sets (multiple times) Title \_\_\_\_ Maybe add the mass determination, as this is also more prominent in the abstract than the QCD analysis: Combination of ... and determination of charm and beuaty quark masses

Abstract

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\_\_\_\_\_

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L9 \_all\_ measurements ?

L9 'neutral current' is missing

113 \times -> '' or \times -> '\cdot'

L14 vice-versa: Perturbative QCD corrections are compared to data

115. New sentence for 'together with combined inclusive...'

116 It should be mentioned, how these masses are obtained

116 beauty and charm -> Order consistently throughout the paper 121  $^{\wedge \wedge}$ 

L17,18 (fit) is not a reasonable uncertainty. I suppose, this is just a linear error propagation of exp. uncertainties. Thus, it should be called (exp). Only in case, it is NOT just an error propagation of exp. uncertainties, one may consider other terminologies. -> In case, it is (fit), it would be interesting to have a split-up into exp and 'fit' uncertainties -> In 1500, and 501 it is correctly named, 'experimental' uncertainties.

L17,18 (fit) (mod) (par) are not defined

L21. electron-proton -> lepton-proton ??

L21. It may be appropriate to add a footnote, that "beauty" denotes the "bottom" quark.

L24. Did the measurements showed that boson-gluon fusion is dominating, or rather the calculations ??

L21-137. I propose to have one paragraph for the data, and one paragraph for the theory introduction

L42. is suppressed by about a factor of 1/4 (this does not hold for NNLO)

L46. "... is significantly suppressed further". -> "... is significicantly suppressed further for the accessible kinematic ranges at HERA."

L49 "often escape detection": a bit colloquial: maybe somethine along: "are outside the acceptance of the HERA detectors" ??

154 of the D mesons~\cite{add reference}

L58 p\_T^{rel} -> P\_{\rm T}^{\rm rel} -> large or small 'p' ??

159 w.r.t. -> with respect to

166. that of the D meson~\cite{add reference}

182 in DIS -> in NC DIS

184 cross-correlations -> colloquial
 -> including the resulting correlations of systemtic uncertainties

184. remove 'suitable for comparison..." (this is obvious)

186-194. This paragraph appears not to belong to the introduction, or can be significantly shortened.

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iso 20171211-141058 britzger.txt Jan 09, 18 15:08 Page 3/7 L95-1103. For the introduction it is sufficient, that different PDFs are studied in NLO and aNNLO, and different heavy-flavor schemes are studied. 1107. An NNLO ... -> this sentence does not belong to the introduction 1111. What doe mean: 'briefly introduced' as there is already a 1.5-page introduction of these data in the introduction 1117 \xbj-dependance -> \xbj dependence ('hyphen' and typo) L118. Finally, ... (remove this sentence), or add. "this introduction ends herewith. L110-L118, It would be convenient to have click-able cross-references. Section 2 \_\_\_\_\_ L119+1 'neutural-current deep-inelastic ep scattering' -> NC DIS (as already introdcued earlier (if NC is introduced in 121)) L119+2 'the virtuality of the exchanged boson is small'  $\rightarrow$  \Qsq is small 1119. is dominating?! dominating over what?! -> Is the data probably corrected for gZ and ZZ exchange? 1119+4, 125. particles are mainly abrevieated with small letters: u,d,c,b,t,g,gamma, etc... (but weak bosons Z,W,H) l119+6: F\_L -> F\_{\rm L} eql. There, the nomenclature of using 'Q' for heavy-quarks is ambigious with 'Q2' ( $\alpha(Q^2) * F_2^{QQ}$ ) 1121 'heavy QQbar pair' -> of a heavy quark pair (qqbar) 1122 electro-weak -> electroweak eq1. I think, there is some problem with this definition: alpha\_em(Q) can be taken out of the structure functions only + if gZ and ZZ exhange are excluded, or + alpha\_em(0) if data are corrected for running alpha\_em(Q) This is because the gZ and ZZ terms are proportional to kappa\_z, with  $kappa_z \sim alpha_em(0)$ , but not to  $alpha_em(Q)$ , because  $alpha_em(Q)$  is a purely QED correction. It is sufficient, to mention explicitly that not gammaZ and ZZ contributions are not considered in this paper. (see also 1199) 1 132 At photon virtualities not -> At \Qsq not 1138 'a correct theoretical treatment of the h f masses is mandatory' -> 'a correct treatment of the h f masses in the calculations is mandatory' 1139. FFNS~\cite{reference needed to review or original article}, or it has to be introduced first, and then abbreviated. 1149.  $mur^2=muf^2=Qsq+4 mqsq$  (avoids the sqrt) 1158. corrupted sentence.

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1159. this wass already said. L163 \mathcal{0} or \mathscr{0} or 'O' -> elsewhere (O(asmz)) is used 1163 it should be muf^2 here, right? What is actaully done?! 1164  $\Qsq \rightarrow \mufsq (right?)$ 1173 past or present? 1180. In HERAPDF2.0, I think we have used 'HERA I' and 'HERA II', i.e. withough '-'. 1180 (1992--2000) -> (in the years: 1992-2000) 1180. A remark on 1176 should be made, that CST and MVD were only available at HERA II 1189 30~\% -> 30\,\% 1189. I think, one wants to say here, that these data are statistically correlated. (which is said now only indirectly) 1191. Use consistently: 'of reference~\cite{xyx}' or 'of~\cite{xyz}' The latter was used before rather often. 192. \_{red} -> \_{\rm red} 1193 \_{\rm vis, bin} (as in eq3) 1194 eta not defined 1195 In case of inclusive D meson cross sections~\cite{add papers} 1205. Well. for a single point, there is no 'normalisation' or 'shape'. What is meant here? 1206 Eq -> eq 1207. 'Uncertainties are correspondingly reduced.' -> Uncertainties are scaled accrodingly. 1209-1212. This should to be reformulated. (what does 'however' refer to?, why the convolutions is mentione two-times, although it is irrelevant for the purpose of this paragraph, etc...) 1213. Why there are different forms of convolution integrals? 1220 use consistently 'c quark' ('b quark') or 'charm and beauty quark' 1220,223. References would be good to have here 1225. These variants are strictly-speaking no variants of HERAPDF1.0, since they are published within ref [39]. It should be said, that PDFs are determined following the HERAPDF1.0 approach, using HERA I data. Or simpler: add ref[36] after HERAPDF1.0 [36,39] 1229, 231. replace renorm. and fact... simply by mu\_r and mu\_f L232. Q denotes the heavy quark, right? L 245 c-quark -> charm quark (or c quark)

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eq4,1288 stat -> \rm stat, uncorr -> \rm uncorr

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# iso 20171211-141058\_britzger.txt Jan 09, 18 15:08 Page 5/7 L294. I don't understand this sentence. L303 (1305). It would be interesting to quote the formula, how the error breakdown into stat. and uncorr. uncertaitnies of the results are obtained. 1310. remove 'and a conservative estimate...' (repetitive to 1314) 1323 a unique (a 'junique') 1336,338,3881481,1487, (and often elsewhere) consistently: 'xy < Q2 < 123 GeV2' (as in abstract) or 'xy GeV2 < Q2< 1234 GeV2' 1354 HERAPDF 2.0 -> HERAPDF2.0 1357 remove MSbar running mass 1359-1375. Wasn't this already said? 1410 Replace footnote 4 by reference [A. BCD, private communication] or [Calculation provided by...] 1421 p-values: \$p\$-values or \$p\$ values. 1446: Shorten: mur2 and muf2 are set to Q2+4mQ2 (or remove this sentence, as already said). 1455 above 3.5 GeV2 -> above Q2min. Or: "..data since always \muf2 > Q2min'. L458. The question arises, why the log-term is not included in the combination. L459+2 mu\_{ $\rm rm f,0$ } -> mu\_{f,0} (as muf elsewhere) eq5. This 'generic form' misses the prime part, right? 1477. What are 'fit' uncertainties. A reference should be added, if there is some specific definition. If this is only a linear error propagation of stat. and syst. uncertainties as defined above, then this should be denoted as 'exp'. 1478. Model uncertainties (mod) 1482 'parameterisation uncertianty' (par) 1485. '... is only 5 units worse... ' this should maybe go to the results section. L490. The total PDF uncertainty -> Isn't it just 'the total uncertainty' ?? 1 496 'd.o.f.' -> n\_{d.o.f.} In natural science one commonly uses a single letter for numerical numbers. 1496 \chi2 -> \chi^2 1496 is similar -> is of similar size 1499. What are 'experimental' uncertainties here !? 1505. 'experimental uncertainties' :)

1517. ' The running charm quark mass determined here agrees' -> The

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value of mc(mc) agrees...'

1521. A cross check of what?

1521-528. This can be shortened or droped. E.g.:
 "The uncertainties (which ones?) are found to be consistent with an
 alternative error propagation using a Monte Carlo method~\cite{xFitter}."

1529. Improve these sentences. L529-538. This can be shortened. What is the message of this paragraph? Everybody expects, that when using data, which is not sensitive to those parameters, then the results are not good.

L543. Split sentence into two. "... . This is to be expected..."

1546-1555. These lines can be shortened, and discussed together with the previous paragraph (as no additional information is added, but only differently displayed).

1556 \boldmath \xbj

1561. 'partial chi2 is not defined.'
 -> It maybe good, to calculate the chisq as a 'full' chisq for these
data here.

1563 'equivalent to' is written in a different font. Very strange...

1572. Fig 20 does not show  $\langle x \rangle$  but 'x'

1572 <x> needs to be defined here. How <x> is calculated ?
 -> The values <x> are defined as..., and calculated using HVQDIS.
(reference is not needed here)

1575. This sentence is kind of obvious.

1566-1579. Does this study mean, that the PDF fit does not correctly 'shape' the gluon??

1627. 'have significantly reduced uncertainties', compared to what? Compared to individal data sets, of course. Compared to all data sets? well, this is what is presented.

1629. Split sentence into two: "...predictions. The charm data..."

1633. 'provide the best description..... do not improve'. Kind of obvious. 1634. In the text, it is logx resummation, not  $log1/x \rightarrow consistency$ .

Table 2. Drop the column 'bin' or rename: 'data point number' Table 2. header  $x \rightarrow x_Bj$ Table 2. The precision of sigma\_red should meet the precision of the uncertainties (4 digits?)

Table 4. How the 'reduction factor' is defined? Table 4 caption: datasets - data sets

Table 5. Dataset -> Data set

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# iso\_20171211-141058\_britzger.txt Jan 09, 18 15:08 Table 5. The data set names are not defined. Table 5 does not fit the text-width -> Maybe the last column can be droped, and just mentioned that the PDF uncertainty does not significantly reduce chi2. (although, I really admire this study !!!) Table 5 stat, uncorr, cor tot -> {\rm ...} Fig 1 'H1 and ZEUS' labels are missing Fig 1. The numerical values in the box need explanation, or a dedicated selection should be presented. Fig 1. The pull distribution of what ? Fig 1. It appears, that there are too many uncertainties with pull=0. Are those understood and included in table 4? Fig 4 and others: having Q2 pads going from top-to-bottom, would allow to zoom into the relvant x-region (as it is done for fig6) Fig 15. 'H1 and ZEUS' labels are missing Fig 20. 'Q^2' and 'data' sould be added to the legend 'charm' and 'beauty' as well Fig 20 should be labelled 'H1 and ZEUS' Fig 20. Is it x or $\langle x \rangle$ at the x-axis? Fig21. x-axis: x2/N\_dat, but in caption: x2/d.o.f. Fig21. 'when including in the fit only' mayb better: -> 'when using only for the fit'

Fig21. x\_min -> x\_{\rm min}

<sup>3</sup> Draft version 1.0

# <sup>4</sup> Combination and QCD analysis of beauty and charm production

cross section measurements in deep inelastic *ep* scattering at
 HERA

# The H1 and ZEUS Collaborations

#### Abstract

Measurements of open beauty and charm production cross sections in deep inelastic ep 9 scattering at HERA from the H1 and ZEUS Collaborations are combined. Reduced cross 10 sections for beauty and charm production are obtained in the kinematic range of photon 11 virtuality  $2.5 \le Q^2 \le 2000$  GeV<sup>2</sup> and Bjorken scaling variable  $3 \times 10^{-5} \le x_{Bi} \le 5 \times 10^{-2}$ . 12 The combination method accounts for the correlations of the statistical and systematic un-13 certainties among the different data sets. The combined data are compared to perturbative 14 QCD predictions and used together with the combined inclusive deep inelastic scattering 15 cross sections from HERA in a next-to-leading order QCD analysis. The running charm and 16 beauty quark masses are determined as  $m_c(m_c) = 1.290^{+0.046}_{-0.041} (\text{fit})^{+0.062}_{-0.014} (\text{mod})^{+0.007}_{-0.031} (\text{par})$ 17 GeV and  $m_b(m_b) = 4.049^{+0.104}_{-0.109}$  (fit) $^{+0.090}_{-0.032}$  (mod) $^{+0.001}_{-0.031}$  (par) GeV. 18

Suggest to write the sum, as individual components have not been explained at this moment and are technically involved

7

8

#### 1 Introduction 20

Measurements of open charm and beauty production in deep-inelastic electron<sup>1</sup>-proton scat-2 tering (DIS) at HERA provide important input for stringent tests of the theory of strong inter-22 actions, quantum chromodynamics (QCD). Measurements at HERA [1-23] have shown that 23 heavy flavour production in DIS proceeds predominantly via the boson-gluon-fusion process, 24  $\gamma g \to Q\overline{Q}$ , where Q is the heavy quark. The heavy flavour production cross section depends 25 strongly on the gluon distribution in the proton and the mass of the heavy quarks involved. This 26 mass provides a sufficiently high scale for the applicability of perturbative QCD (pQCD). How-27 ever, other hard scales are also present in this process: the transverse momenta, of the outgoing 28 quarks and the virtuality,  $Q^2$ , of the exchanged photon. The presence of several hard scale 29 complicates the calculation of heavy flavour production in pQCD. Different approaches have 30 been developed to cope with the multiple scale problem inherent in this process. In this paper, 31 the massive fixed-flavour-number-scheme (FFNS) [24-31] and different implementations of the 32 variable-flavour-number-scheme (VFNS) [32-35] are considered. 33

At HERA different various flavour tagging methods are applied for beauty and charm cross 34 section measurements. The full reconstruction of D or  $D^{\pm}$  mesons [1,2,4–6,10–12,15,16, 35 18-20], the longevity of heavy flavoured hadrons [7-9, 14, 21] and their semi-leptonic decays 36 [13, 22, 23] are exploited. 37 Usage of / Use of?

Using fully reconstructed D or  $D^{*\pm}$  mesons gives the best signal-to-background ratio for 38 measurements of the charm production process. Although the branching ratios of beauty hadrons 39 to D and  $D^{*\pm}$  mesons are large, the contribution from beauty production to the observed D or 40  $D^{*\pm}$  mesons samples is small for several reasons. Firstly, beauty production is suppressed rela-41 tive to charm production by a factor 1/4 because of the quark's electric charge coupling to the 42 photon. Secondly, the boson-gluon-fusion cross section depends on the invariant mass of the 43 outgoing partons,  $\hat{s}$ , which has a threshold value of  $4m_{\Omega}^2$ . Because the beauty quark mass,  $m_b$ , 44 is about three times the charm quark mass,  $m_c$ , beauty production is significantly suppressed 45 further. Thirdly, in beauty production D and  $D^{*\pm}$  mesons originate from the fragmentation 46 of charm quarks which are produced by the weak decay of the beauty quark. Therefore the 47 momentum fraction of the beauty quark carried by the D or  $D^{*\pm}$  meson is small such that the 48 mesons often escape detection. 49

How about b fragmentation and B meson decays into D mesons? Current phrasing i confusing or wron

Fully inclusive analyses based on the lifetime of the heavy flavoured mesons are sensitive 50 to both beauty and charm production. Although the first two reasons for the suppression of 51 beauty production relative to charm production given before also hold in this case, sensitivity 52 to beauty production can be enhanced by several means. The proper lifetime of B mesons is 53 about a factor of 2 to 3 that of D mesons on average when taking into account the fragmentation 54 fractions of the corresponding quarks. Therefore using observables directly sensitive to the 55 lifetime of the decaying heavy flavoured hadrons the charm and beauty contributions can be 56 disentangled. The separation can be further improved by the simultaneous use of observables sensitive to the mass of the heavy flavoured hadron, e.g. relative transverse momentum,  $p_T^{rel}$ , of This part is a the particle with lifetime information w.r.t. the flight direction of the decaying heavy flavoured hadron, the number of tracks with lifetime information or the invariant mass obtained from

charged particles attached to a secondary vertex candidate.

ery hard to ad. Could be roken into eces or rranged in a st?

<sup>&</sup>lt;sup>1</sup>In this paper the term 'electron' denotes both electron and positron if not stated otherwise.

Therefore, ...

mess. lifetime information wrt flight direction or momentum wrt flight direction? Additional commas would heln

The analysis of lepton production is sensitive to semi-leptonic decays of both, beauty and 62 charmed hadrons. When taking into account the fragmentation fractions of the heavy quarks 63 as well as the fact that in beauty production leptons may originate both from the  $b \rightarrow c$  and 64 the  $c \rightarrow s$  transition, the semi-leptonic branching fraction of B meson is about twice that of D 65 mesons. Because of the large B meson mass leptons originating directly from the B decay are 66 on average harder than those being produced in D meson decays. Therefore the experimentally 67 observed fraction of *b*-induced leptons is enhanced relative to the production cross section. 68 Similar methods as outlined in the previous paragraph are then used to further facilitate the 69 separation of the beauty and charm contribution on a statistical basis. 70

<sup>71</sup> While the measurement of fully reconstructed D or  $D^{*\pm}$  mesons yields the cleanest charm <sup>72</sup> production sample it suffers from small branching fractions and significant phase space limi-<sup>73</sup> tations because all particles from the D or  $D^{*\pm}$  meson decay under consideration have to be <sup>74</sup> measured. Fully inclusive or lepton production analyses are sensitive to both beauty and charm <sup>75</sup> production. Such analyses profit from larger branching fractions and better coverage in polar <sup>76</sup> angle at the cost of a worse signal to background ratio and large statistical correlations between <sup>77</sup> beauty and charm measurements inherent to these methods.

In this paper a simultaneous combination of beauty and charm production cross section 78 measurements is presented. This analysis is an extension of the previous H1 and ZEUS combi-79 nation of charm measurements in DIS [36] including new charm and beauty data [13,14,19–23] 80 and extracting combined beauty cross sections for the first time. As a result a single consis-81 tent dataset from HERA of reduced cross sections for beauty and charm production in DIS in 82 the kinematic range of photon virtuality  $2.5 \le Q^2 \le 2000 \text{ GeV}^2$  and Bjorken scaling variable 83  $3 \times 10^{-5} \le x_{\rm Bj} \le 5 \times 10^{-2}$  is obtained, including all cross-correlations, suitable for comparison 84 with theoretical predictions. 85

The combination is based on the procedure described in [36-39]. The correlated systematic 86 uncertainties and the normalisation of the different measurements are accounted for such that 87 one consistent data set is obtained. Since different experimental techniques of beauty and charm 88 tagging have been employed using different detectors and methods of kinematic reconstruction, 80 this combination leads to a significant reduction of statistical and systematic uncertainties. The 90 simultaneous combination of charm and beauty cross section measurements reduces the corre-91 lations between beauty and charm measurements and thereby the uncertainties on the combined 92 beauty and charm cross sections are reduced further. The combined reduced charm cross sec-93 tions of the previous analysis [36] are superseded by the data presented in this paper. 94

The combined data are compared to theoretical predictions obtained in the FFNS at next-to-95 leading order (NLO,  $O(\alpha_s^2)$ ) QCD using HERAPDF2.0 [40], ABKM09 [25,26] and ABM11 [27] 96 parton distribution functions (PDFs), and to approximate next-to-next-to-leading order (NNLO, 97  $O(\alpha_s^3)$ ) predictions using ABMP16 [28] PDFs. In addition QCD calculations in the RTOPT 98 VFNS at NLO [32] ( $O(\alpha_s^2)$  for PDFs and massive parts of the coefficient functions,  $O(\alpha_s)$  for 90 massless parts of the coefficient functions) and NNLO ( $O(\alpha_s)^3$  and  $O(\alpha_s^2)$ , respectively) are 100 confronted with the data. A comparison is also made to the FONLL-C scheme [33,34] ( $O(\alpha_s^3)$ ) 101 (NNLO) in the PDF evolution,  $O(\alpha_s^2)$  in all coefficient functions) extended by low-x resumma-102 tion [35]. 103

<sup>104</sup> A QCD analysis is performed of the new combined heavy flavour data together with the <sup>105</sup> combined inclusive DIS cross section data from HERA [40] and the running charm and beauty quark masses, as defined in the QCD Lagrangian in the modified minimum-subtraction (MS) scheme, are determined at NLO. An NNLO mass determination is not attempted since the ongoing calculations of the corresponding  $O(\alpha_s^3)$  massive terms [41] have not yet been fully completed.

The paper is organised as follows. In section 2 the reduced heavy flavour cross section 110 is defined and the theoretical frameworks of heavy flavour production are briefly introduced. 111 The data samples to be combined and the combination method are presented in section 3.1. 112 The resulting combined reduced beauty and charm cross sections are presented in section 4 and 113 compared with theoretical calculations based on existing PDF sets and with existing predictions 114 at NLO and at NNLO in the FFNS and VFNS in section 5. In section 6 the NLO QCD analysis 115 is described and the measurement of the running masses of the charm and beauty quark in the 116 MS scheme at NLO are presented. This section also contains a study of the  $x_{Bi}$ -dependance of 117 the heavy flavour cross section measurement. Finally, the paper is concluded in section 7. 118

# **2** Open heavy flavour production in DIS

In this paper, beauty and charm production via neutral-current deep-inelastic *ep* scattering are considered. In the kinematic range explored by the analyses combined, the virtuality of the exchanged boson is small, i.e.  $Q^2 \ll M_Z^2$ , such that the virtual photon exchange is dominating. The cross section for the production of a heavy flavour of type Q, with Q being either beauty, *b*, or charm, *c*, may then be written in terms of the heavy flavour contributions to the structure functions  $F_2$  and  $F_L$ ,  $F_2^{Q\overline{Q}}(x_{Bj}, Q^2)$  and  $F_L^{Q\overline{Q}}(x_{Bj}, Q^2)$ , as

$$\frac{\mathrm{d}^2 \sigma^{Q\overline{Q}}}{\mathrm{d}x_{\mathrm{Bj}}\mathrm{d}Q^2} = \frac{2\pi\alpha^2(Q^2)}{x_{\mathrm{Bj}}Q^4} \left( [1 + (1 - y)^2] F_2^{Q\overline{Q}}(x_{\mathrm{Bj}}, Q^2) - y^2 F_L^{Q\overline{Q}}(x_{\mathrm{Bj}}, Q^2) \right) , \tag{1}$$

where  $x_{\rm Bj}$  and y denote the Bjorken scaling variable and the lepton inelasticity, respectively. The superscripts  $Q\overline{Q}$  indicate the presence of a heavy  $Q\overline{Q}$  pair in the final state. The cross section  $d^2\sigma^{Q\overline{Q}}/dx_{\rm Bj}dQ^2$  is given at the Born level without QED and electro-weak radiative corrections, except for the running electromagnetic coupling,  $\alpha(Q^2)$ .

In this paper, the results are presented in terms of reduced cross sections, defined as follows:

$$\sigma_{\rm red}^{Q\overline{Q}} = \frac{d^2 \sigma^{Q\overline{Q}}}{dx_{\rm Bj} dQ^2} \cdot \frac{x_{\rm Bj} Q^4}{2\pi \alpha^2 (Q^2) (1 + (1 - y)^2)} \\ = F_2^{Q\overline{Q}} - \frac{y^2}{1 + (1 - y)^2} F_L^{Q\overline{Q}}.$$
(2)

In the kinematic range addressed the expected contribution from the exchange of longitudinally polarised photons,  $F_L^{Q\overline{Q}}$ , is small. In charm production it reaches up to a few per cent at high y only [42]. The structure functions  $F_2^{Q\bar{Q}}$  and  $F_L^{Q\bar{Q}}$  are always calculated to the same order (mostly  $O(\alpha_s^2)$ ) throughout this paper.

#### **2.1** Theory of heavy flavour production

In the framework of pQCD several scales occur for heavy flavour production in DIS: the mass 129  $m_{\rm O}$  of the heavy quark, the photon virtuality  $Q^2$  and the transverse momenta  $p_T$  of the emerging 130 heavy quarks. Therefore, several theoretical approaches are realised for describing this process. 131 At photon virtualities not very much larger than the heavy quark mass, heavy flavours are pro-132 duced dynamically by the photon-gluon-fusion process. The creation of a  $Q\overline{Q}$  pair sets a lower 133 limit of  $2m_{\rm O}$  to the mass of the hadronic final state. This low mass cutoff affects the kine-134 matics and the higher order corrections in the phase space accessible at HERA. Therefore, a 135 correct theoretical treatment of the heavy flavour masses is mandatory for the pQCD analysis of 136 heavy flavour production as well as for the determination of the PDFs of the proton from data 137 including heavy flavours. 138

In this paper the FFNS is used for pQCD calculations for the corrections of measurements to 139 full phase space, and in the QCD fits. In this scheme heavy quarks are treated as massive at all 140 scales and are not considered as partons in the proton. The number of (light) active flavours in 141 the PDFs,  $n_f$ , is set to three and heavy quarks are produced only in the hard scattering process. 142 The leading order (LO) contribution to heavy flavour production ( $O(\alpha_s)$ ) in the coefficient func-143 tions) is the boson-gluon-fusion process. The NLO massive coefficient functions using on-shell 144 mass renormalisation (pole masses) were calculated in [24] and adopted by many global QCD 145 analysis groups [27, 29–31], providing PDFs in this scheme. They were extended to the MS 146 scheme in [26] in which heavy quark masses are scale dependent (running). In all FFNS heavy 147 flavour calculations presented in this paper the default renormalisation scale  $\mu_r$  and factorisa-148 tion scale  $\mu_f$  are set to  $\mu_r = \mu_f = \sqrt{Q^2 + 4m_Q^2}$ , where  $m_Q$  is the appropriate pole or running 149 mass, respectively. 150

For the extraction of the combined reduced cross sections of beauty and charm production presented in this paper, the FFNS at NLO is used to calculate inclusive [24] and exclusive [43] quantities in the pole mass scheme. This is currently the only scheme for which exclusive calculations are available.

The QCD analysis at NLO including the extraction of the heavy quark running masses is performed in the FFNS with the OPENQCDRAD programme [44] in the XFITTER (former HERAFITTER) framework [45]. In OPENQCDRAD heavy quark production is calculated either using the  $\overline{\text{MS}}$  or the pole mass treatment of heavy quark masses. in the  $\overline{\text{MS}}$  scheme. In this paper the  $\overline{\text{MS}}$  scheme is adopted.

A comparison is also made of the RTOPT [32] implementation of the VFNS at NLO and 160 approximate NNLO, as implemented for the default VFNS variants of HERAPDF2.0 [40], with 161 the charm and beauty data, and of the FONLL-C implementation [34,35] with the charm data 162 only. In VFNS schemes heavy quarks are treated as massive at small  $Q^2$  up to  $Q^2 \approx \mathscr{O}(m_O^2)$ 163 and as massless at  $Q^2 \gg m_Q^2$  with interpolation prescriptions between the two regimes which avoid double counting of common terms. In the FONLL-C calculations the massive part of the 164 165 charm coefficient functions is treated at NLO ( $O(\alpha_s^2)$ ) while the massless part and the PDFs are 166 treated at NNLO ( $O(\alpha_s^2)$ ) and  $O(\alpha_s^3)$ , respectively). In addition to the default FONLL scheme, 167 which already includes NLL resummation of quasi-collinear final state gluon radiation, also 168 a variant is considered which includes next-to-leading-log small-x resummation in the PDFs 169 (NLLsx) [35]. 170

# **3** Combination of H1 and ZEUS measurements

# **172 3.1 Data samples**

The H1 [46] and ZEUS [47] detectors were general purpose instruments which consisted of tracking systems surrounded by electromagnetic and hadronic calorimeters and muon detectors, ensuring close to  $4\pi$  coverage of the *ep* interaction point. Both detectors were equipped with high-resolution silicon vertex detectors: the Central Silicon Tracker [48] for H1 and the Micro Vertex Detector [49] for ZEUS.

The data sets included in the combination are listed in table 1 and correspond to 209 indi-178 vidual charm and 57 different beauty cross section measurements. The data have been obtained 179 both from the HERA-I (1992-2000) and HERA-II (2003-2007) data-taking periods. The com-180 bination includes measurements of charm and beauty production performed using different tag-181 ging techniques: the reconstruction of particular decays of D mesons [4, 6, 10, 12, 15, 18-20]182 (datasets 2-7,9,10), the inclusive analysis of tracks exploiting lifetime information [14,21] 183 (datasets 1, 11) and the reconstruction of electrons and muons from heavy-flavour semi-leptonic 184 decays [13,22,23] (datasets 8,12,13). 185

The data sets 1 to 8 have already been used in the previous combination [36] of charm cross section measurements, while the data sets 9 to 13 are newly included. It is important to note, that data set 9 supersedes data set 8 (Table 1 of reference [36]) of the previous charm combination [36], because the earlier analysis was based only on about 30 % of the final statistics collected during the HERA-II running period.

For the inclusive lifetime analysis of reference [14] (data set 1) the reduced cross sections 191  $\sigma_{red}^{c\bar{c}}$  and  $\sigma_{red}^{b\bar{b}}$  are taken directly from the publication. For all other measurements the combi-192 nation starts from the measurement of visible cross sections  $\sigma_{vis,bin}$  defined as the D-meson, 193 Does This apply to lepton or jet production cross section in a particular  $p_T$  and  $\eta$  range, given in the correspond-194 all D ing publications, in bins of  $Q^2$  and  $x_{Bi}$  or y. In case of inclusive D meson cross sections small measurements? 195 beauty contributions as estimated in the corresponding papers are subtracted. All published 196 visible cross section measurements include corrections for the removal of radiation of real pho-197 tons from the incoming and outgoing lepton using the HERACLES programme [50]. Some 198 also include corresponding corrections for the removal of virtual electroweak effects, except for 199 the running of the electromagnetic coupling  $\alpha$ . QED corrections to the incoming and outgoing 200 quarks are not considered. All cross sections are updated using the most recent hadron decay 201 branching ratios [51]. 202

# <sup>203</sup> **3.2** Extrapolation of visible cross sections to $\sigma_{red}^{Q\overline{Q}}$

Except for data set 1 of table 1, for which only measurements expressed in full phase space are available, the visible cross sections  $\sigma_{vis,bin}$  measured in a limited phase space are converted to reduced cross sections  $\sigma_{red}^{Q\overline{Q}}$  using a common theory. The reduced cross section of a heavy flavour Q at a reference  $(x_{Bj}, Q^2)$  point is extracted according to

$$\sigma_{\rm red}^{Q\overline{Q}}(x_{\rm Bj}, Q^2) = \sigma_{\rm vis, bin} \frac{\sigma_{\rm red}^{\rm QQ, th}(x_{\rm Bj}, Q^2)}{\sigma_{\rm vis, bin}^{\rm th}}.$$
(3)

The HVQDIS programme [43] is used to calculate the theory predictions for  $\sigma_{red}^{Q\overline{Q},th}(x_{Bj},Q^2)$ and  $\sigma_{vis,bin}^{th}$  in the NLO FFNS. Only the shape of these theory predictions in terms of kinematic variables is relevant for the corrections, while their normalisation cancels in Eq. (3). Uncertainties are correspondingly reduced.

In pQCD in general  $\sigma_{red}^{th}$  can be written as the convolution integral of the proton PDFs with the hard matrix elements. For the identification of heavy flavour production, however, specific particles used for tagging have to be measured in the hadronic final state. This requires that in the calculation of  $\sigma_{vis}^{th}$  the convolution includes the proton PDFs, the hard matrix elements and the fragmentation functions. In case of the HVQDIS programme non-perturbative fragmentation functions are used. The different forms of the convolution integrals for  $\sigma_{red}^{th}$  and  $\sigma_{vis}^{th}$  lead to different sets of theory parameters to be considered.

The following parameters are used consistently in these NLO calculations and are varied within the quoted limits for estimating the uncertainties in the predictions introduced by these parameters:

- The **renormalisation and factorisation scales** are taken as  $\mu_r = \mu_f = \sqrt{Q^2 + 4m_Q^2}$ . The scales are varied simultaneously up or down by a factor of two.
- The **pole masses of the** *c* **and** *b* **quarks** are set to  $m_c = 1.50 \pm 0.15$  GeV,  $m_b = 4.50 \pm 0.25$  GeV, respectively. These variations also affect the values of the renormalisation and factorisation scales.
- For the strong coupling constant the value  $\alpha_s^{n_f=3}(M_Z) = 0.105 \pm 0.002$  is chosen which corresponds to  $\alpha_s^{n_f=5}(M_Z) = 0.116 \pm 0.002$ .
- The proton PDFs are described by a series of FFNS variants of the HERAPDF1.0 set [39] 225 at NLO determined within the xFITTER framework. In the determination of these PDF 226 sets no heavy flavour measurements were included. These PDF sets are those used in the 227 previous combination [36] which were calculated for  $m_c = 1.5 \pm 0.15$  GeV,  $\alpha_s^{n_f=3}(M_Z) =$ 228  $0.105 \pm 0.002$  and a simultaneous variation of the renormalisation and factorisations 229 scales up and down by a factor two. For the determination of the PDFs the beauty 230 mass was kept fix at  $m_b = 4.50 \text{ GeV}$ . The renormalisation and factorisation were set to 231  $\mu_r = \mu_f = Q$  for the light flavours and to  $\mu_f = \mu_r = \sqrt{Q^2 + 4m_Q^2}$  for the heavy flavours. 232 For all parameter settings used here, the corresponding PDF set is used. As a cross check 233 of the extrapolation procedure, the cross sections are also evaluated with the 3-flavour 234 NLO versions of the HERAPDF2.0 set (FF3A) [40] and the differences are found to be 235 well within uncertainties. 236

For the calculation of  $\sigma_{vis}^{th}$ , assumptions on the fragmentation of the heavy quarks into particular hadrons and, when necessary, on the subsequent decays of the heavy flavoured hadrons into the particles used for tagging have to be made. The fragmentation model for *c* quarks is based on the measurements by H1 [53] and ZEUS [54] and is used as described in detail in the previous charm combination [36]. It is only briefly summarised below.

In the calculation of  $\sigma_{vis}^{th}$  the following settings and parameters are used in addition and are varied within the quoted limits:

• The charm fragmentation function is described by the Kartvilishvili function [52] con-244 trolled by a single parameter  $\alpha_K$  to describe the longitudinal fraction of the c-quark 245 momentum transferred to the D or  $D^{*\pm}$  meson. Depending on the invariant mass  $\hat{s}$  of 246 the outgoing parton system different values of  $\alpha_K$  and their uncertainties as measured at 247 HERA [53,54] are used. The variation of  $\alpha_K$  as a function of  $\hat{s}$  observed in  $D^{*\pm}$  measure-248 ments has been transported to the longitudinal fragmentation function of ground state D 249 mesons not originating fro  $D^{*\pm}$  decays. Transverse fragmentation is modelled by assign-250 ing a transverse momentum  $k_T$  to the charmed hadron with respect to the direction of the 251 charmed quark with an average value of  $\langle k_T \rangle = 0.35 \pm 0.15$  GeV. 252

- The **charm fragmentation fractions** of a charm quark into a specific charmed hadron and their uncertainties are taken from [57].
- The **beauty fragmentation function** is parameterised according to Peterson et al. [55] with  $\varepsilon_b = 0.0035 \pm 0.0020$  [56].
- The **branching ratios of** D and  $D^{*\pm}$  mesons into the specific decay channels analysed and their uncertainties are taken from [51].
- The **branching fractions of semi-leptonic decays** of heavy-quarks to a muon or electron and their uncertainties are taken from [51].
- The **decay spectra of leptons originating from charmed hadrons** are modelled according to [58].
- The **decay spectrum for beauty hadrons into leptons** was taken from the Pythia [59] Monte Carlo programme (MC), mixing direct semi-leptonic decays and cascade decays through charm according to the measured branching ratios [51]. It was checked that the MC describes BELLE and BABAR data [60] well.
- When necessary for the extrapolation procedure, **parton-level jets** are reconstructed using the corresponding clustering algorithms, and the cross sections are corrected for jet hadronisation effects using corrections derived in the original papers [21,23].<sup>2</sup>

While the central values for these extrapolations are obtained in the FFNS pole mass scheme at NLO, their uncertainties are calculated such that they should cover potential deviations from the 'true' QCD result. The resulting reduced cross sections, which include these uncertainties, are thus comparable to calculations in any QCD scheme to any order which include uncertainties for potential deviations from the 'true' result.

# 275 **3.3** Combination method

The quantities to be combined are the reduced charm and beauty cross sections,  $\sigma_{\text{red}}^{c\overline{c}}$  and  $\sigma_{\text{red}}^{b\overline{b}}$ , respectively. The combined cross sections are determined at common  $(x_{\text{Bj}}, Q^2)$  grid points. For

 $\sigma_{\rm red}^{c\bar{c}}$  the grid is chosen to be the same as in [36] leading to 52 ( $x_{\rm Bj}, Q^2$ ) points, while for  $\sigma_{\rm red}^{b\bar{b}}$  a

<sup>&</sup>lt;sup>2</sup>While no such corrections are provided in [23], an uncertainty of 5% is assigned to cover the untreated hadronisation effects [23].

<sup>279</sup> subset of 27 of these points is used. The combined reduced cross sections are provided at the <sup>270</sup> centre-of-mass energy  $\sqrt{s} = 318$  GeV. The results of the H1 inclusive lifetime analysis (dataset <sup>281</sup> 1) are directly taken from the original measurement in the form of  $\sigma_{red}^{c\overline{c}}$  and  $\sigma_{red}^{b\overline{b}}$ . When needed, <sup>282</sup> these measurements are transformed to the common grid ( $x_{Bj}, Q^2$ ) points using the NLO FFNS <sup>283</sup> calculations [24]. The uncertainties on the resulting scaling factors are found to be negligible.

The combination of the reduced cross sections is based on the  $\chi^2$  minimisation procedure [37] also used in the previous HERA combinations [36, 38–40]. The total  $\chi^2$  is defined as

$$\chi_{\exp}^{2}(\boldsymbol{m},\boldsymbol{b}) = \sum_{e} \left[ \sum_{i} \frac{\left( m^{i} - \sum_{j} \gamma_{j}^{i,e} m^{i} b_{j} - \mu^{i,e} \right)^{2}}{\left( \delta_{i,e,stat} \mu^{i,e} \right)^{2} + \left( \delta_{i,e,uncorr} m^{i} \right)^{2}} \right] + \sum_{j} b_{j}^{2}.$$
Was F2ave or HERAverager used?
(4)

The three sums are running over the different input data sets e listed in table 1, the  $(x_{Bj}, Q^2)$ 284 grid points i for which the measured cross sections  $\mu^{i,e}$  are combined to the cross sections  $m^i$ 285 and the sources j of the shifts  $b_j$  in units of standard deviations of the correlated uncertainties 286 which comprises the correlated systematic uncertainties and the statistical correlation between 287 the charm and beauty cross section measurements. The quantities  $\gamma_{j}^{i,e}$ ,  $\delta_{i,e,stat}$  and  $\delta_{i,e,uncorr}$ 288 denote the relative correlated systematic, relative statistical and relative uncorrelated systematic 289 uncertainties, respectively. The components of the vectors m and b are the combined cross 290 sections  $m^i$  and the shifts  $b_i$ , respectively. 291

In the present analysis, the correlated and uncorrelated systematic uncertainties are predom-292 inantly of multiplicative nature, i.e. they change proportionally to the expected central values. 293 The statistical uncertainties are mainly background dominated and thus are treated as constant. 294 All experimental systematic uncertainties are treated as independent between H1 and ZEUS. 295 For the datasets 1, 8 and 11 of table 1 statistical correlations between charm and beauty cross 296 sections are accounted for as reported in the original papers. Where necessary the statistical 297 correlation factors are corrected to take into account differences in the kinematic region of the 298 charm and beauty measurements (dataset 11) or binning schemes (dataset 1) using theoretical 299 predictions calculated with the HVQDIS programme. The consistent treatment of the correla-300 tions of statistical and systematic uncertainties, including the correlations between the charm 301 and beauty data sets where relevant, yields a significant reduction of the overall uncertainties of 302 the combined data. 303

# **4** Combined cross sections

The values of the combined cross sections  $\sigma_{red}^{c\overline{c}}$  and  $\sigma_{red}^{b\overline{b}}$  together with the statistical, the uncorre-305 lated and correlated systematic and the total uncertainties are listed in tables 2 and 3. A total of 306 209 charm and 57 beauty data points are combined simultaneously to obtain 52 reduced charm 307 and 27 reduced beauty cross-section measurements, respectively. A  $\chi^2$  value of 149 for 187 de-308 grees of freedom (d.o.f.) is obtained in the combination indicating good consistency of the input 309 data sets and a conservative estimate of the uncertainties of the individual measurements. The 310 distribution of pulls of the 266 input data points with respect to the combined cross sections 311 is presented in figure 1. It is consistent with a Gaussian around zero without any significant 312 outliers. The observed width of the pull distribution is smaller than unity which indicates a 313 conservative estimate of the systematic uncertainties. 314

There are 167 sources of correlated uncertainties in total. These are 71 experimental sys-315 tematic sources, 16 sources due to the extrapolation procedure (including the uncertainties on 316 the fragmentation fractions and branching ratios) and 80 statistical charm and beauty correla-317 tions. In table 4 the sources of correlated systematic and extrapolation uncertainties are listed 318 together with the shifts and reductions obtained as a result of the combination. All shifts of 319 the systematic sources with respect to their nominal values are smaller than 1.4  $\sigma$ . Several 320 systematic uncertainties are significantly reduced up to factors of two or larger. The reductions 321 are due to the different heavy flavour tagging methods applied and to the fact that for a given 322 process (beauty or charm production) an unique cross section is probed by the different mea-323 surements at a given  $(x_{Bi}, Q^2)$  point. Those uncertainties for which large reductions have been 324 observed already in the previous analysis [36] are reduced to at least the same level in the current 325 combination, some of them are further reduced significantly due to new precise data [19–21] 326 included. The shifts and reductions obtained for 80 statistical correlations between beauty and 327 charm cross sections are not shown. Only small reductions in the range of 10% are observed 328 consistent with being independent of  $x_{B_1}$  and  $Q^2$ . 329

The combined reduced cross sections  $\sigma_{red}^{c\bar{c}}$  and  $\sigma_{red}^{b\bar{b}}$  are shown as a function of  $x_{Bj}$  in bins 330 of  $Q^2$  in figures 2 and 3, respectively. These cross sections are compared to the input H1 and 33 ZEUS data in figures 4 and 5. The combined cross sections are significantly more precise 332 than any of the individual input data sets for charm as well as for beauty production. This is 333 illustrated in figure 6 where the charm and beauty measurements for  $Q^2 = 32 \text{ GeV}^2$  are shown. 334 The uncertainty of the combined reduced charm cross section is 9% on average and reaches 335 values of about 5% and below in the region 12 GeV<sup>2</sup>  $\leq Q^2 \leq 60$  GeV<sup>2</sup>. The uncertainty of the 336 combined reduced beauty cross section is about 25% on average and reaches on average 15% 337 at small  $x_{Bi}$  and 12 GeV<sup>2</sup>  $\leq Q^2 < 200$  GeV<sup>2</sup>. 338

In figure 7 the combined reduced charm cross sections of this analysis are compared to the data of the previously published combination [36]. Good consistency between the different combinations can be observed. The detailed analysis of the cross section measurements reveals a relative improvement in precision of 20% on average with respect to the previous measurement. The improvement reaches about 30% on average in the range 7 GeV<sup>2</sup>  $\leq Q^2 \leq$  60 GeV<sup>2</sup>, where the newly added data sets ( data sets 9 – 11 in table 1) contribute with high precision.

# **5 Comparison with theory predictions**

Before performing a dedicated QCD analysis of the combined charm, beauty and inclusive 346 reduced cross sections the combined heavy flavour data are compared with calculations using 347 pre-existing PDF sets. Predictions of the FFNS and the VFNS are considered. The main focus is 348 on calculations using HERAPDF2.0 PDF sets. The data are also compared to FFNS predictions 349 based on different variants of PDF sets at NLO and approximate NNLO provided by the ABM 350 group [25,27,28]. In case of the VFNS recent calculations of the NNPDF group based on the 351 NNPDF3.1sx PDF set [35] at NNLO, which specifically aim for a better description of the DIS 352 structure functions at small  $x_{\rm Bi}$  and  $Q^2$ , are also confronted with the combined heavy flavour 353 measurements. Calculations in the FFNS based on the HERAPDF 2.0 FF3A PDF set will be 354 considered as reference calculations in the subsequent parts of the paper. 355

#### **5.1 FFNS predictions**

In figures 8 and 9 theoretical predictions of the FFNS in the  $\overline{\text{MS}}$  running mass scheme are 357 compared to the combined reduced cross sections  $\sigma_{red}^{c\overline{c}}$  and  $\sigma_{red}^{b\overline{b}}$ , respectively. The theory pre-358 dictions are obtained with the open-source QCD fit framework for PDF determination xFIT-359 TER [45] program (version 1.2.0). The running heavy flavour masses are set to the world 360 average values [51] of  $m_c(m_c) = 1.27 \pm 0.03$  GeV and  $m_b(m_b) = 4.18 \pm 0.03$  GeV. The cross 361 section predictions are obtained using the OPENQCDRAD program [44] interfaced to the XFIT-362 TER framework. The predicted reduced cross sections are calculated using the HERAPDF2.0 363 FF3A [40] and ABM11 [27] NLO PDF sets using NLO ( $O(\alpha_s^2)$ ) coefficient functions and the 364 ABMP16 [28] NNLO PDF set using approximate NNLO coefficient functions. The charm data 365 are also compared to NLO predictions based on the ABKM09 [25] NLO PDF set already used 366 in the previous analysis [36] of combined charm data. This PDF set was determined for a charm 367 quark mass of  $m_c(m_c) = 1.18$  GeV. The PDF sets considered were extracted without explicitly 368 using heavy flavour data from HERA with the exception of the ABMP16 set, in which the 369 HERA charm data from the previous combination [36] and some of the beauty data [14,21] 370 have been included. The theory predictions are obtained without fitting the data. For the predic-371 tions based on the HERAPDF2.0 FF3A set theory uncertainties are given which are calculated 372 by adding in quadrature the uncertainties from the PDF set<sup>3</sup>, the simultaneous variation of  $\mu_r$ 373 and  $\mu_f$  by a factor of two up and down and the variation of the quark masses within the quoted 374 uncertainties. 375

The FFNS calculations reasonably describe the charm data (figure 8) although in the kinematic range where the data are very precise the data show a somewhat steeper  $x_{Bj}$  dependence than predicted by the calculations. For the different PDF sets and QCD orders considered the predictions are quite similar at larger  $Q^2$  while some differences can be observed at smaller  $Q^2$ or  $x_{Bj}$ . In case of beauty production (figure 9) the predictions are in good agreement with the data within the considerably larger experimental uncertainties.

The description of the charm production data is illustrated further in figure 10, which shows 382 the ratios of the reduced cross sections for data, ABKM09, ABM11 and ABMP16 with respect 383 to the NLO reduced cross sections predicted in the FFNS using the HERAPDF2.0 FF3A set. For 384  $Q^2 > 18 \text{ GeV}^2$  the theory predictions are similar in the kinematic region accessible at HERA. In 385 this region the predictions based on the different PDF sets are well within the theoretical uncer-386 tainties obtained for the HERAPDF2.0 FF3A set. Towards smaller  $Q^2$  and  $x_{Bi}$  some differences 387 in the predictions become evident. In the region of 7 GeV<sup>2</sup>  $\leq Q^2 \leq 120$  GeV<sup>2</sup> the theory tends 388 to be below the data at small  $x_{Bi}$  and above the data at large  $x_{Bi}$  independent of the PDF set 389 used. 390

In figure 11 the corresponding ratios are shown for the reduced beauty cross sections. In the kinematic region accessible at HERA the predictions based on the different PDF sets are very similar. Within the experimental uncertainties the data are well described by all calculations.

# **394** 5.2 VFNS predictions

<sup>395</sup> In figure 12 predictions of the RTOPT [32] NLO and approximate NNLO VFNS using the cor-<sup>396</sup> responding NLO and NNLO HERAPDF2.0 PDF sets are compared to the charm measurements.

<sup>&</sup>lt;sup>3</sup>Only experimental uncertainties ('EIG') of HERAPDF2.0 are considered.

As in figure 10 the ratio of data and theory predictions to the reference calculations are shown. 397 While the NLO VFNS predictions are in general consistent with both the data cross sections 398 and the reference calculations within their uncertainties, the approximate NNLO cross sections 399 show somewhat larger differences predicting about 10% smaller cross sections than the refer-400 ence calculations in the region  $12 \text{ GeV}^2 \le Q^2 \le 120 \text{ GeV}^2$ . On the other hand, at  $Q^2 \le 7 \text{ GeV}^2$ 401 the  $x_{Bi}$ -slopes of the NNLO VFNS predictions tend to describe the data somewhat better than 402 the reference calculations. Overall, the NLO and approximate NNLO VFNS calculations de-403 scribe the data about equally well, but not better than the reference FFNS calculations. 404

In figure 13 the corresponding ratios are presented for beauty production. In the kinematic region accessible in DIS beauty production at HERA the differences between the different calculations are small in comparison to the experimental uncertainties of the measurements.

The calculations considered so far show some tension in general in describing the  $x_{Bi}$ -408 slopes of the measured reduced charm cross sections over a large range in  $Q^2$ . Therefore the 409 charm data are compared in figure 14 to recent calculations [35]<sup>4</sup> in the FONNL-C scheme with 410 (NLLO+NLLsx) and without (NNLO) low-x resummation in both  $O(\alpha_s^2)$  matrix elements and 411  $O(\alpha_s^3)$  PDF evolution, using the NNPDF3.1sx framework, which aims at a better description of 412 the structure functions at low  $x_{Bi}$  and  $Q^2$ . For the determination of the NNPDF3.1sx PDFs the 413 charm data from the previous combination have already been used. Both calculations provide 414 a better description of the  $x_{\rm Bi}$ -shape of the measured charm cross sections for  $Q^2 \leq 32 {\rm ~GeV}^2$ . 415 However, the predictions lie significantly below the data in most of the phase space. This is 416 especially the case for the NNLO+NLLsx calculations. Overall, the description is not improved 417 with respect to the FFNS reference calculations. 418

#### **5.3** Summary of theory comparison

The comparison of the different predictions considered to the data is summarised in table 5 in 420 which the agreement with data is expressed in terms of  $\chi^2$  and the corresponding p-values. The 421 table also includes a comparison to the previous combined reduced charm cross section mea-422 surement [36]. The agreement of the various predictions with the combined charm cross section 423 measurements of the current analysis is poorer than with the results of the previous combina-424 tion for which consistency between theory and data within the experimental uncertainties was 425 observed for most of the calculations. As shown in section 4 the combined charm cross sections 426 of the current analysis agree well with the previous measurement but have considerably smaller 427 uncertainties due to the high precision data added. The observed changes in the  $\chi^2$ -values are 428 consistent with the improvement in data precision if the calculations show tensions in describing 429 the underlying process. Among the calculations considered the NLO FFNS calculations provide 430 the best description of the charm data. The observed  $\sim 3 \sigma$  tensions are not resolved by VFNS 431 calculations either with or without additional log x resummation. For the beauty cross sections 432 good agreement of theory and data is observed within the large experimental uncertainties. In 433 all cases the effect of the PDF uncertainties on the  $\chi^2$  values is negligible. 434

<sup>&</sup>lt;sup>4</sup>The cross section predictions and their uncertainties were provided by the authors.

# **435 6 QCD analysis**

The combined beauty and charm data are used together with the combined HERA inclusive DIS data [40] to perform a QCD analysis in the FFNS at NLO. The main focus of this analysis is the simultaneous determination of the running heavy quark masses  $m_c(m_c)$  and  $m_b(m_b)$ . Furthermore the theory description of the  $x_{\rm Bj}$ -dependence of the reduced cross section of charm production is investigated.

#### **6.1** Theoretical formalism and settings

The analysis is performed with the xFITTER [45] program in which the scale evolution of 442 partons is calculated through DGLAP equations [61] at NLO, as implemented in the QCDNUM 443 program [62]. The theoretical FFNS predictions for the HERA data are obtained using the 444 OPENQCDRAD program [44] interfaced in the XFITTER framework. The number of active 445 flavours is set to  $n_f = 3$  at all scales. The renormalisation and factorisation scales for heavy-446 flavour production are set to  $\mu_r = \mu_f = \sqrt{Q^2 + 4m_Q^2}$ , where  $m_Q$  denotes the running mass of c 447 or b quarks. The heavy-quark masses are left free in the fit if not stated otherwise. For the light-448 flavour contributions to the inclusive DIS cross sections, the pQCD scales are set to  $\mu_r = \mu_f =$ 449 Q. The massless contribution to the longitudinal structure function  $F_L$  is calculated to  $O(\alpha_s)$ . 450 The strong coupling strength is set to  $\alpha_s^{n_f=3}(M_Z) = 0.106$ , corresponding to  $\alpha_s^{n_f=5}(M_Z) =$ 451 0.118. In order to perform the analysis in the kinematic region where pQCD is commonly 452 assumed to be applicable the  $Q^2$  range of the inclusive HERA data is restricted to  $Q^2 \ge Q_{\min}^2 = 3.5 \text{ GeV}^2$ . No such cut is applied to the charm and beauty data since the relevant scales  $\mu_r^2 = \mu_f^2 = Q^2 + 4m_Q^2$  are always above 3.5 GeV<sup>2</sup>. 453 454 455

The  $\chi^2$  definition used for the HERA DIS data follows that of equation (32) in reference [40]. It includes an additional logarithmic term that is relevant when the estimated statistical and uncorrelated systematic uncertainties in the data are rescaled during the fit [63]. The correlated systematic uncertainties are treated through nuisance parameters.

The procedure for the determination of the PDFs follows the approach of HERAPDF2.0 [40]. At the starting scale  $\mu_{f,0}$  the density functions of a parton f of the proton are parametrised using the generic form:

$$xf(x) = Ax^{B} (1-x)^{C} (1+Dx+Ex^{2}), \qquad (5)$$

where x is the momentum fraction in the infinite momentum frame of the incoming proton transferred to the struck parton. The parametrised PDFs are the gluon distribution xg(x), the valence quark distributions  $xu_v(x)$  and  $xd_v(x)$ , and the *u*- and *d*-type antiquark distributions  $\overline{xU}(x)$  and  $\overline{xD}(x)$ .

At the initial QCD evolution scale  $\mu_{f,0}^2 = 1.9 \text{ GeV}^2$ , the default parametrisation of the PDFs has the form:

$$xg(x) = A_{g}x^{B_{g}}(1-x)^{C_{g}} - A'_{g}x^{B'_{g}}(1-x)^{C'_{g}},$$
  

$$xu_{\nu}(x) = A_{u_{\nu}}x^{B_{u_{\nu}}}(1-x)^{C_{u_{\nu}}}(1+E_{u_{\nu}}x^{2}),$$
  

$$xd_{\nu}(x) = A_{d_{\nu}}x^{B_{d_{\nu}}}(1-x)^{C_{d_{\nu}}},$$
  

$$x\overline{U}(x) = A_{\overline{U}}x^{B_{\overline{U}}}(1-x)^{C_{\overline{U}}}(1+D_{\overline{U}}x),$$
  

$$x\overline{D}(x) = A_{\overline{D}}x^{B_{\overline{D}}}(1-x)^{C_{\overline{D}}},$$
  
(6)

assuming the relations  $x\overline{U}(x) = x\overline{u}(x)$  and  $x\overline{D}(x) = x\overline{d}(x) + x\overline{s}(x)$ . Here,  $x\overline{u}(x), x\overline{d}(x)$ , and  $x\overline{s}(x)$ 464 are the up, down, and strange antiquark distributions, respectively. The sea quark distribution 465 is defined as  $x\Sigma(x) = x\overline{u}(x) + x\overline{d}(x) + x\overline{s}(x)$ . The normalisation parameters  $A_{u_v}$ ,  $A_{d_v}$ , and  $A_g$ 466 are determined by the QCD sum rules. The B and B' parameters determine the PDFs at small 467 x, and the C parameters describe the shape of the distributions as  $x \rightarrow 1$ . The parameter  $C'_{q}$  is 468 fixed to 25 [64]. Additional constraints  $B_{\overline{U}} = B_{\overline{D}}$  and  $A_{\overline{U}} = A_{\overline{D}}(1 - f_s)$  are imposed to ensure 469 the same normalisation for the xu and xd distributions as  $x \to 0$ . The strangeness fraction 470  $f_s = xs/(xd + xs)$  is fixed to  $f_s = 0.4$  as in the HERAPDF2.0 analysis [40]. 471

The parameters in equation (5) are selected by first fitting with all *D* and *E* parameters set to zero, and then including them independently one at a time in the fit. The improvement in the  $\chi^2$ of the fit is monitored and the procedure is stopped when no further improvement is observed. This leads to the same 14 free PDF parameters, as in the inclusive HERAPDF2.0 analysis [40].

The PDF uncertainties are estimated according to the general approach of HERAPDF2.0 [40] 476 in which the fit, model, and parametrisation uncertainties are taken into account. Fit uncertain-477 ties are determined using the tolerance criterion of  $\Delta \chi^2 = 1$ . Model uncertainties arise from 478 the variations of the strong coupling constant  $\alpha_s^{n_f=3}(M_Z) = 0.106 \pm 0.0015$ , the simultaneous 479 variation of the factorisation and renormalisation scales up and down by a factor of two, the 480 strangeness fraction  $0.3 \le f_s \le 0.5$ , and the value of  $2.5 \le Q_{\min}^2 \le 5.0$  GeV<sup>2</sup> imposed on the 481 inclusive HERA data. The parametrisation uncertainty is estimated by extending the functional 482 form in equation (6) of all parton density functions with additional parameters D and E added 483 one at a time. An additional parametrisation uncertainty is considered by using the functional 484 form in equation (6) with  $E_{u_{y}} = 0$ . The  $\chi^{2}$  in this variant of the fit is only 5 units worse than 485 with the released  $E_{u_v}$  parameter and the change of this parameter noticabely affects the mass 486 determination. Furthermore,  $\mu_{f,0}^2$  is varied within 1.6 GeV<sup>2</sup> <  $\mu_{f,0}^2$  < 2.2 GeV<sup>2</sup>. The parametri-487 sation uncertainty is constructed at each  $x_{Bi}$  value, built from the maximal differences between 488 the PDFs resulting from the central fit and all parametrisation variations. This uncertainty is 489 valid in the x range covered by the PDF fit to the data. The total PDF uncertainty is obtained 490 by adding the fit, model, and parametrisation uncertainties in quadrature. In the following, the 491 quoted uncertainties correspond to 68% CL. 492

#### **6.2** QCD fit and determination of the running heavy quark masses

In the QCD fit to the HERA combined inclusive and combined heavy flavour data the running heavy quark masses are fitted simultaneously together with the PDF parameters. The fit yields a total  $\chi^2 = 1435$  for 1208 degrees of freedom (d.o.f.). The ratio  $\chi^2/d.o.f. = 1.19$  is similar to the values obtained in the analysis of the HERA combined inclusive data [40].

In figure 15 the PDFs at the scale  $\mu_{f,0} = 1.9 \text{ GeV}^2$  are presented. Also shown are the PDFs 498 with experimental uncertainties from a fit to the inclusive data only with fixing the heavy quark 499 masses to their PDG values [51]. Only marginal differences, well within the experimental 500 uncertainties, between these two PDF sets are visible. When comparing the central functions of 501 the gluon density a slight enhancement of the gluon density around  $x \approx 10^{-3}$  can be observed 502 when including the heavy flavour data in the fit compared to the gluon density determined 503 from the inclusive data only. This is the region in x where the charm data are most precise. 504 However, the difference observed is within the experimental uncertainties. When used together 505

with the full sets of inclusive HERA data the heavy flavour data have only little influence on the form of the PDFs determined with quark masses fixed to their expected values. Despite the more precise heavy flavour data available in the current analysis this finding does not alter the conclusion made on this point in the HERAPDF2.0 analysis [40]. However, the smaller uncertainties of the new combination reduce the uncertainty of the charm mass determination with respect to the previous result<sup>5</sup>

The running heavy quark masses are determined as:

$$m_c(m_c) = 1.290^{+0.046}_{-0.041} (\text{fit})^{+0.062}_{-0.014} (\text{mod})^{+0.007}_{-0.031} (\text{par}) \text{ GeV},$$
  

$$m_b(m_b) = 4.049^{+0.104}_{-0.109} (\text{fit})^{+0.090}_{-0.032} (\text{mod})^{+0.001}_{-0.033} (\text{par}) \text{ GeV}.$$
(7)

The model uncertainties are dominated by theoretical uncertainties arising from the scale vari-512 ations. In case of the charm quark mass the variation in  $\alpha_s$  yields also a sizeable contribution 513 while the effects on the model uncertainties of all other model variations are small, typically 514 of a few MeV, both for  $m_c(m_c)$  and  $m_b(m_b)$ . The main contribution to the parametrisation un-515 certainties comes from the fit variant in which the term  $E_{u_v}$  is set to zero. The parametrisation 516 uncertainties from all other variations of the parameterisation are negligible. The running charm 517 quark mass determined here agrees well with result from the previous analysis of HERA com-518 bined charm cross sections [36] and both masses are in agreement with the corresponding PDG 519 values [51]. 520

A cross check is performed using the Monte Carlo method [65,66]. It is based on analysing 521 a large number of pseudo data sets called replicas. For this cross check, 500 replicas are created 522 by taking the combined data and fluctuating the values of the reduced cross sections randomly 523 within their given statistical and systematic uncertainties taking into account correlations. All 524 uncertainties are assumed to follow a Gaussian distribution. The central values for the fitted pa-525 rameters and their uncertainties are estimated using the mean and RMS values over the replicas. 526 The obtained heavy-quark masses and their fit uncertainties are in agreement with those quoted 527 in equation (7). 528

Fits to the combined inclusive data only are also tried. In this case the fit results are very 529 sensitive to the choice of the PDF parametrisation. When using the default 14 parameters the 530 masses are determined to be  $m_c(m_c) = 1.80^{+0.14}_{-0.13}$  (fit) GeV,  $m_b(m_b) = 8.45^{+2.28}_{-1.81}$  (fit) GeV where 531 only the fit uncertainties are quoted. In the variant of the fit using the inclusive data only and the 532 reduced parametrisation with  $E_{u_v} = 0$  the central fitted values for the heavy-quark masses are: 533  $m_c(m_c) = 1.45 \text{ GeV}, m_b(m_b) = 4.00 \text{ GeV}.$  The sensitivity on the PDF parameterisation and the 534 large fit uncertainties for a given parameterisation demonstrates that attempts to extract heavy 535 quark masses from inclusive HERA alone are not sensible in this framework. The uncertainties 536 of the mass determinations induced by this behaviour of the inclusive data are covered by the 537 extra  $E_{u_v}$  variation. 538

The NLO FFNS predictions based on the PDF set and the running beauty and charm quark masses determined by the fit to the combined inclusive and combined heavy flavour data are compared to the combined charm and beauty cross sections in figures 16 and 17, respectively. The predictions based on the HERAPDF2.0 set are also included in the figures. Only minor differences between the different predictions can be observed which is to be expected, because

<sup>&</sup>lt;sup>5</sup>which did not yet include scale variations and had a less flexible PDF parametrisation [36]. The beauty mass determination improves the previous result based on a single data set [21].

of the similarities of the PDFs especially of the gluon and the description of the data is similar to that observed for the predictions based on the HERAPDF2.0 FF3A set.

In order to better visualise the differences the ratios of data and predictions based on the 546 PDF set and running beauty and charm masses obtained in this analysis to the predictions based 547 on the fixed HERAPDF2.0 FF3A PDF set are shown in figures 18 and 19 for charm and beauty. 548 respectively. The description of the data is almost identical for both calculations. There are only 549 marginal differences between the two calculations because of the dominance of the inclusive 550 cross section measurements which are more precise in the kinematic region accessible with the 551 heavy flavour measurements. In general the predictions based on HERAPDF2.0 FF3A are a few 552 per cent above those obtained with the parameters fitted in this analysis for charm and below 553 in case of beauty. This can be explained by the fact that the fitted masses  $m_c(m_c)$   $(m_b(m_b))$  are 554 slightly larger (smaller) than those used for the predictions based on HERAPDF2.0 FF3A. 555

### **6.3** Study of the $x_{B_i}$ dependence of the reduced charm cross section

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The comparison of the measured charm cross sections and the calculations in figure 18 indicates 557 a steeper  $x_{Bi}$  dependence of the measured charm cross section than expected in NLO FFNS 558 with the fitted parameters and the PDF parametrisation chosen. A similar behaviour can be 559 observed already for the charm cross sections from the previous combination [36] albeit at 560 lower significance due to the larger uncertainties. The partial  $\chi^2$  value of 116 for the heavy 561 flavour data<sup>6</sup> (d.o.f.= 79) in the fit presented is somewhat large and corresponds to a p-value<sup>7</sup> 562 of 0.004, equivalent to 2.9 $\sigma$ . A similar  $x_{Bi}$ -behaviour can also be observed in figures 10 and 12 for most of the other calculations presented in this paper and all calculations discussed show some tensions in describing the combined charm data (table 5) using fits to the inclusive data. 565

Heavy flavour production is dominated by the boson-gluon-fusion process which is directly 566 related to the gluon density function. The light flavour initiated contribution to charm pro-567 duction at HERA amounts to five to eight per cent varying only slightly with  $x_{Bi}$  or  $Q^2$  [42]. 568 To study the behaviour of the heavy flavour cross sections as a function of the partonic x and 569 to see the ranges of x accessible by charm and beauty production in DIS at HERA, the ratio 570 of the measured reduced cross sections to the NLO FFNS predictions based on the PDFs and 571 masses determined in the fit in this paper is presented in figure 20 as a function of  $\langle x \rangle$  instead 572 of  $x_{Bi}$ . The average x-values for the  $(x_{Bi}, Q^2)$  points at which the cross sections are measured 573 are calculated at NLO using HVQDIS [43]. While the charm measurements cover the range 574  $0.0005 \leq \langle x \rangle \leq 0.1$  the beauty data can access only a higher x-range,  $0.004 \leq \langle x \rangle \leq 0.1$ , be-575 cause of the large beauty quark mass. For the charm data a clear deviation from the reference 576 calculation is evident showing a steeper slope in x in the range  $0.0005 \le \langle x \rangle \le 0.01$  consistent 577 with being independent of  $Q^2$ . Due to the larger experimental uncertainties no conclusion can 578 Was this quantified by doing a straight-line fit and looking at the significance of te slope be made for the beauty data. 579 value?

What is mean x? Averaged over what? was it defined?

<sup>&</sup>lt;sup>6</sup>It is not possible to quote the charm and the beauty contribution to this  $\chi^2$  value separately because of the correlations between the combined charm and beauty measurements.

<sup>&</sup>lt;sup>7</sup>The  $\chi^2$  and the p-value given here does not correspond exactly to the statistical definition of  $\chi^2$  or p-value because the data have been used in the fit and therefore the theory is somewhat shifted towards the measurements. However this bias is expected to be small because the predictions are mainly constrained by the much larger and more precise inclusive data sample.

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To reduce the impact of the inclusive data a series of fits is performed with requiring d inclusive data included in the fit in the rangel would propose to drop the word

applied to the heavy flavour data. The  $\chi^2/d.d.$  and the partial  $\chi^2/d.o.f.$  for the heavy flavour data only are presented in figure 21 as function of  $x_{Bj,min}$ . The partial  $\chi^2/d.o.f.$  for the heavy flavour data improves significantly with rising  $x_{Bj,min}$ -cut reaching a minimum at  $x_{Bj,min} \approx 0.04$  while the  $\chi^2/d.o.f.$  for the inclusive plus heavy flavour data sample slightly increases. For the further studies  $x_{Bj,min} = 0.01$  is chosen. The total  $\chi^2$  is 822 for 651 degrees of freedom. The partial  $\chi^2$  of the heavy flavour data improves to 98

(corresponding to a *p*-value of 0.07 or 1.8  $\sigma$ ). What chi2 is it? Is that the stat component only or does it include the HF

figure 22 at the scale  $\mu_{f,0}^2 = 1.9 \text{ GeV}^2$  is signification signification of the scale  $\mu_{f,0}^2 = 1.9 \text{ GeV}^2$  is signification of the scale  $\mu_{f,0}^2 = 1.9 \text{ GeV}^2$  is signification of the scale  $\mu_{f,0}^2 = 1.9 \text{ GeV}^2$  is signification.

<sup>591</sup> determined when including all inclusive meas

<sup>592</sup> functions are consistent with the result of the detaut in within experimental uncertainties.

In figure 23 a comparison is presented of the ratios of the combined reduced charm cross 593 section,  $\sigma_{red}^{c\bar{c}}$ , the cross section predictions obtained from the fit to the heavy flavour data and the 594 inclusive data fulfilling  $x_{\rm Bi} \ge 0.01$  and the predictions from the fit to the heavy flavour data and 595 the full inclusive data set to the reference cross sections. As expected the charm cross sections 596 fitted with the  $x_{\rm Bi}$ -cut imposed to the inclusive data are rising stronger towards small  $x_{\rm Bi}$  and 597 describe the data better than the other predictions. In general the predictions from the fit with 598  $x_{\rm Bi-cut}$  follow nicely the charm data. A similar study is also made for the beauty measurements 599 (not shown). Here also differences are visible but they are small compared to the experimental 600 uncertainties. 601

Cross section predictions based on the three PDF sets discussed are calculated for inclusive DIS also. In figures 24 these predictions are compared to the measured combined inclusive reduced cross sections [40] for neutral current positron-proton scattering,  $e^+p \rightarrow e^+X$ . The predictions based on HERAPDF2.0 FF3A and on the PDF set obtained in this analysis by the fit to the combined heavy flavour and inclusive data agree with the inclusive measurement. The calculations based on the PDF set determined by requiring  $x_{Bj} \ge 0.01$  for the inclusive data predicts significantly larger inclusive reduced cross sections at small  $x_{Bj}$ .

This study shows, that a better description of Where does 3 sigma estimate come from? so far there was no explicit within the framework for PDFs applied by exclusion quantification or did i overlook it?

ever, the calculations then fail to describe the incl

work considered it seems not possible to resolve the  $\sim 3 \sigma$  tension in theory in describing 612 both the inclusive and charm measurements from HERA with this simple approach of chang-613 ing mainly the gluon density. However, the comparison of various theory predictions to the 614 charm data in section 5 suggests that the situation is unlikely to improve at NNLO because the 615 NNLO predictions presented provide a poorer description of the charm data than observed at 616 NLO. The combined inclusive analysis [40] already revealed some tensions of the theoretical 617 calculations in describing the inclusive DIS data. The current analysis reveals some additional 618 tensions in describing both the combined charm data and the combined inclusive data simulta-619 neously. A dedicated investigan would suggest to add something like "tensions of the \*NLO and NNLO 620 measurements beyond the qudtheoretical predictions". At the moment the text is to general and gives an 621 impression that it is true in QCD in general. i,e, to all orders. However, we know

that order of various components (F2light, FLlight, F2hf) does matter for the

# **7** Conclusions

Measurements of beauty and charm production cross sections in deep-inelastic *ep* scattering by 623 the H1 and ZEUS experiments are combined at the level of reduced cross sections, accounting 624 for their statistical and systematic correlations. The beauty cross sections are combined for the 625 first time. The data sets are found to be consistent and the combined data have significantly 626 reduced uncertainties. The combined reduced charm cross sections presented in this paper are 627 significantly more precise than the previously published combined charm measurements. The 628 combined data are compared to next-to-leading and approximate next-to-next-to-leading order 629 QCD predictions, which are found to be in fair agreement with the charm data, whereas the 630 beauty data are described well given the larger experimental uncertainties of the beauty mea-631 surements. The next-to-leading order calculations in the fixed-flavour-number-scheme provide 632 the best description of the heavy flavour data. Variable-flavour-number-scheme calculations do 633 not improve the overall description, with or without the inclusion of  $\log \frac{1}{x}$  resummation. 63

The combined heavy flavour data together with the published combined inclusive data from HERA are subjected to a next-to-leading order QCD analysis in the fixed-flavour-numberscheme using the  $\overline{\text{MS}}$  running mass definition. The running heavy quark masses are determined as  $m_c(m_c) = 1.290^{+0.046}_{-0.041}(\text{fit})^{+0.062}_{-0.014}(\text{mod})^{+0.007}_{-0.031}(\text{par})$  GeV for the charm quark and  $m_b(m_b) =$  $4.049^{+0.104}_{-0.109}(\text{fit})^{+0.090}_{-0.031}(\text{mod})^{+0.001}_{-0.031}(\text{par})$  GeV for the beauty quark. The simultaneously determined parton density functions are found to agree well with HERAPDF2.0 FF3A.

The QCD analysis reveals some tensions in describing at the same time both the inclusive 641 and the charm HERA DIS data. The measured reduced charm cross sections show a stronger 642  $x_{\rm Bi}$  dependence than calculated in the theoretical framework of the QCD analysis. A study 643 is performed in which inclusive data with  $x_{\rm Bj} < 0.01$  are excluded from the fit. A much bet-644 ter description of the charm data can be achieved this way, however, the resulting PDFs fail 645 to describe the inclusive data in the excluded  $x_{\rm Bi}$  region. This points to difficulties in resolv-646 ing the observed tensions in the theoretical calculations by changing mainly the gluon density 647 distribution in the proton. However, the other next-to-leading order and especially the next-648 to-next-leading order QCD calculations considered do not provide a better agreement with the 649 combined heavy flavour data. 650

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| Data set |  | Tagging  | $Q^2$ range |    | $N_c$   | L  | $\sqrt{s}$  | $N_b$ |    |
|----------|--|----------|-------------|----|---------|----|-------------|-------|----|
|          |  |          | [(          | Ge | $V^2$ ] |    | $[pb^{-1}]$ | [GeV] |    |
| 1        | H1 VTX [14]                              | VTX      | 5           | _  | 2000    | 29 | 245         | 318   | 12 |
| 2        | H1 D*+ HERA-I [10]                       | $D^{*+}$ | 2           | _  | 100     | 17 | 47          | 318   |    |
| 3        | H1 $D^{*+}$ HERA-II (medium $Q^2$ ) [18] | $D^{*+}$ | 5           | _  | 100     | 25 | 348         | 318   |    |
| 4        | H1 $D^{*+}$ HERA-II (high $Q^2$ ) [15]   | $D^{*+}$ | 100         | _  | 1000    | 6  | 351         | 318   |    |
| 5        | ZEUS <i>D</i> <sup>*+</sup> 96-97 [4]    | $D^{*+}$ | 1           | _  | 200     | 21 | 37          | 300   |    |
| 6        | ZEUS <i>D</i> <sup>*+</sup> 98-00 [6]    | $D^{*+}$ | 1.5         | _  | 1000    | 31 | 82          | 318   |    |
| 7        | ZEUS D <sup>0</sup> 2005 [12]            | $D^0$    | 5           | _  | 1000    | 9  | 134         | 318   |    |
| 8        | ZEUS μ 2005 [13]                         | μ        | 20          | _  | 10000   | 8  | 126         | 318   | 8  |
| 9        | ZEUS $D^+$ HERA-II [19]                  | $D^+$    | 5           | _  | 1000    | 14 | 354         | 318   |    |
| 10       | ZEUS $D^{*+}$ HERA-II [20]               | $D^{*+}$ | 5           | _  | 1000    | 31 | 363         | 318   |    |
| 11       | ZEUS VTX HERA-II [21]                    | VTX      | 5           | _  | 1000    | 18 | 354         | 318   | 17 |
| 12       | ZEUS e HERA-II [22]                      | е        | 10          | _  | 1000    |    | 363         | 318   | 9  |
| 13       | ZEUS $\mu$ + jet HERA-I [23]             | μ        | 2           | _  | 3000    |    | 114         | 318   | 11 |

Table 1: Data sets used in the combination. For each data set the  $Q^2$  range, integrated luminosity  $(\mathscr{L})$ , centre-of-mass energy  $(\sqrt{s})$  and the numbers of charm  $(N_c)$  and beauty  $(N_b)$  measurements are given.

| bin | $Q^2$ [GeV <sup>2</sup> ] | x       | $\sigma_{ m red}^{c\overline{c}}$ | $\delta_{stat}[\%]$ | $\delta_{uncor}[\%]$ | $\delta_{cor}[\%]$ | $\delta_{tot}[\%]$ |
|-----|---------------------------|---------|-----------------------------------|---------------------|----------------------|--------------------|--------------------|
| 1   | 2.5                       | 0.00003 | 0.11423                           | 8.9                 | 10.7                 | 9.4                | 16.9               |
| 2   | 2.5                       | 0.00007 | 0.11054                           | 5.8                 | 6.7                  | 8.2                | 12.1               |
| 3   | 2.5                       | 0.00013 | 0.09111                           | 7.1                 | 6.2                  | 7.9                | 12.3               |
| 4   | 2.5                       | 0.00018 | 0.09170                           | 4.8                 | 9.6                  | 7.2                | 12.9               |
| 5   | 2.5                       | 0.00035 | 0.05437                           | 5.3                 | 8.2                  | 6.9                | 12.0               |
| 6   | 5.0                       | 0.00007 | 0.15321                           | 11.6                | 9.6                  | 8.2                | 17.1               |
| 7   | 5.0                       | 0.00018 | 0.15385                           | 5.3                 | 3.4                  | 7.8                | 10.0               |
| 8   | 5.0                       | 0.00035 | 0.11642                           | 5.2                 | 5.3                  | 5.7                | 9.3                |
| 9   | 5.0                       | 0.00100 | 0.07763                           | 4.8                 | 8.7                  | 5.6                | 11.4               |
| 10  | 7.0                       | 0.00013 | 0.22486                           | 4.3                 | 3.3                  | 6.7                | 8.6                |
| 11  | 7.0                       | 0.00018 | 0.20231                           | 6.8                 | 5.7                  | 7.2                | 11.4               |
| 12  | 7.0                       | 0.00030 | 0.17669                           | 2.3                 | 2.4                  | 5.4                | 6.4                |
| 13  | 7.0                       | 0.00050 | 0.16158                           | 2.5                 | 1.8                  | 5.2                | 6.0                |
| 14  | 7.0                       | 0.00080 | 0.11994                           | 4.6                 | 4.0                  | 4.9                | 7.8                |
| 15  | 7.0                       | 0.00160 | 0.09023                           | 4.1                 | 3.9                  | 5.2                | 7.7                |
| 16  | 12.0                      | 0.00022 | 0.31613                           | 4.9                 | 2.9                  | 5.7                | 8.0                |
| 17  | 12.0                      | 0.00032 | 0.29041                           | 2.9                 | 1.5                  | 6.3                | 7.1                |
| 18  | 12.0                      | 0.00050 | 0.24098                           | 2.4                 | 1.3                  | 4.6                | 5.3                |
| 19  | 12.0                      | 0.00080 | 0.18134                           | 2.1                 | 1.4                  | 4.5                | 5.1                |
| 20  | 12.0                      | 0.00150 | 0.14761                           | 3.2                 | 1.5                  | 5.1                | 6.2                |
| 21  | 12.0                      | 0.00300 | 0.10103                           | 4.4                 | 4.0                  | 5.1                | 7.8                |
| 22  | 18.0                      | 0.00035 | 0.31977                           | 5.2                 | 3.3                  | 5.2                | 8.1                |
| 23  | 18.0                      | 0.00050 | 0.29049                           | 2.6                 | 1.4                  | 6.4                | 7.0                |
| 24  | 18.0                      | 0.00080 | 0.25539                           | 2.2                 | 1.2                  | 4.2                | 4.9                |
| 25  | 18.0                      | 0.00135 | 0.20163                           | 2.0                 | 1.1                  | 4.1                | 4.7                |
| 26  | 18.0                      | 0.00250 | 0.16300                           | 1.9                 | 1.3                  | 4.2                | 4.7                |
| 27  | 18.0                      | 0.00450 | 0.11367                           | 5.5                 | 4.1                  | 5.4                | 8.7                |

Table 2: The averaged reduced cross section for charm production,  $\sigma_{red}^{c\overline{c}}$ , obtained by the combination of H1 and ZEUS measurements. The cross section values are given together with the statistical ( $\delta_{stat}$ ) and the uncorrelated ( $\delta_{uncor}$ ) and correlated ( $\delta_{cor}$ ) systematic uncertainties. The total uncertainties ( $\delta_{tot}$ ) are obtained by adding the statistical, uncorrelated and correlated systematic uncertainties in quadrature. All uncertainties are quoted in per cent.

| bin | $Q^2$ [GeV <sup>2</sup> ] | x       | $\sigma_{ m red}^{c\overline{c}}$ | $\delta_{stat}[\%]$ | $\delta_{uncor}[\%]$ | $\delta_{cor}[\%]$ | $\delta_{tot}[\%]$ |
|-----|---------------------------|---------|-----------------------------------|---------------------|----------------------|--------------------|--------------------|
| 28  | 32.0                      | 0.00060 | 0.38846                           | 8.5                 | 9.3                  | 5.8                | 13.9               |
| 29  | 32.0                      | 0.00080 | 0.37557                           | 2.3                 | 1.4                  | 4.4                | 5.2                |
| 30  | 32.0                      | 0.00140 | 0.28070                           | 2.0                 | 1.1                  | 3.4                | 4.1                |
| 31  | 32.0                      | 0.00240 | 0.21897                           | 2.3                 | 1.4                  | 3.9                | 4.7                |
| 32  | 32.0                      | 0.00320 | 0.20149                           | 3.6                 | 1.6                  | 5.4                | 6.6                |
| 33  | 32.0                      | 0.00550 | 0.15534                           | 4.2                 | 3.0                  | 4.1                | 6.6                |
| 34  | 32.0                      | 0.00800 | 0.09403                           | 8.7                 | 5.4                  | 6.0                | 11.9               |
| 35  | 60.0                      | 0.00140 | 0.32542                           | 3.2                 | 1.4                  | 4.8                | 5.9                |
| 36  | 60.0                      | 0.00200 | 0.32893                           | 2.3                 | 1.2                  | 4.1                | 4.9                |
| 37  | 60.0                      | 0.00320 | 0.25762                           | 2.2                 | 1.2                  | 3.6                | 4.4                |
| 38  | 60.0                      | 0.00500 | 0.19250                           | 2.3                 | 1.6                  | 4.1                | 5.0                |
| 39  | 60.0                      | 0.00800 | 0.15960                           | 4.8                 | 3.1                  | 3.4                | 6.7                |
| 40  | 60.0                      | 0.01500 | 0.09458                           | 8.1                 | 6.5                  | 4.9                | 11.5               |
| 41  | 120.0                     | 0.00200 | 0.37661                           | 3.3                 | 2.6                  | 5.0                | 6.5                |
| 42  | 120.0                     | 0.00320 | 0.22743                           | 14.6                | 13.7                 | 2.7                | 20.2               |
| 43  | 120.0                     | 0.00550 | 0.21729                           | 3.3                 | 1.6                  | 5.4                | 6.5                |
| 44  | 120.0                     | 0.01000 | 0.15186                           | 3.9                 | 2.3                  | 5.2                | 6.9                |
| 45  | 120.0                     | 0.02500 | 0.07022                           | 13.6                | 12.6                 | 4.4                | 19.1               |
| 46  | 200.0                     | 0.00500 | 0.23889                           | 3.1                 | 2.4                  | 4.5                | 6.0                |
| 47  | 200.0                     | 0.01300 | 0.17035                           | 3.4                 | 2.3                  | 5.0                | 6.5                |
| 48  | 350.0                     | 0.01000 | 0.22300                           | 5.1                 | 3.0                  | 6.4                | 8.7                |
| 49  | 350.0                     | 0.02500 | 0.10646                           | 6.1                 | 2.9                  | 7.4                | 10.0               |
| 50  | 650.0                     | 0.01300 | 0.20260                           | 5.4                 | 3.7                  | 9.1                | 11.2               |
| 51  | 650.0                     | 0.03200 | 0.08846                           | 7.8                 | 3.8                  | 12.8               | 15.4               |
| 52  | 2000.0                    | 0.05000 | 0.06026                           | 16.0                | 6.7                  | 26.4               | 31.6               |

Table 2: continued

| bin | $Q^2$ [GeV <sup>2</sup> ] | x       | $\sigma^{b\overline{b}}_{ m red}$ | $\delta_{stat}$ | $\delta_{uncor}$ | $\delta_{cor}$ | $\delta_{tot}$ |
|-----|---------------------------|---------|-----------------------------------|-----------------|------------------|----------------|----------------|
| 1   | 2.5                       | 0.00013 | 0.00184                           | 28.4            | 22.4             | 11.4           | 37.9           |
| 2   | 5.0                       | 0.00018 | 0.00476                           | 10.5            | 7.1              | 19.8           | 23.5           |
| 3   | 7.0                       | 0.00013 | 0.00593                           | 8.8             | 11.2             | 12.7           | 19.1           |
| 4   | 7.0                       | 0.00030 | 0.00398                           | 8.5             | 10.3             | 15.2           | 20.2           |
| 5   | 12.0                      | 0.00032 | 0.00715                           | 4.9             | 5.8              | 10.5           | 13.0           |
| 6   | 12.0                      | 0.00080 | 0.00409                           | 4.6             | 6.9              | 11.1           | 13.9           |
| 7   | 12.0                      | 0.00150 | 0.00145                           | 32.2            | 26.9             | 3.6            | 42.1           |
| 8   | 18.0                      | 0.00080 | 0.00817                           | 4.8             | 5.0              | 12.8           | 14.5           |
| 9   | 32.0                      | 0.00060 | 0.02074                           | 8.9             | 7.8              | 8.9            | 14.8           |
| 10  | 32.0                      | 0.00080 | 0.01516                           | 5.8             | 6.1              | 10.0           | 13.1           |
| 11  | 32.0                      | 0.00140 | 0.01135                           | 3.9             | 5.3              | 9.0            | 11.2           |
| 12  | 32.0                      | 0.00240 | 0.00824                           | 9.0             | 9.5              | 12.9           | 18.4           |
| 13  | 32.0                      | 0.00320 | 0.00464                           | 32.2            | 41.9             | 3.0            | 52.9           |
| 14  | 32.0                      | 0.00550 | 0.00579                           | 39.8            | 20.4             | 57.4           | 72.8           |
| 15  | 60.0                      | 0.00140 | 0.02599                           | 4.8             | 6.9              | 8.8            | 12.2           |
| 16  | 60.0                      | 0.00200 | 0.01672                           | 7.5             | 6.5              | 10.5           | 14.4           |
| 17  | 60.0                      | 0.00320 | 0.00975                           | 10.7            | 7.7              | 14.4           | 19.5           |
| 18  | 60.0                      | 0.00500 | 0.01287                           | 5.4             | 4.2              | 14.7           | 16.2           |
| 19  | 120.0                     | 0.00200 | 0.02876                           | 6.3             | 5.4              | 9.0            | 12.2           |
| 20  | 120.0                     | 0.00550 | 0.01268                           | 21.2            | 14.9             | 10.9           | 28.1           |
| 21  | 120.0                     | 0.01000 | 0.01485                           | 20.5            | 20.6             | 23.6           | 37.5           |
| 22  | 200.0                     | 0.00500 | 0.02737                           | 3.8             | 3.7              | 6.9            | 8.7            |
| 23  | 200.0                     | 0.01300 | 0.01231                           | 9.5             | 4.8              | 19.5           | 22.2           |
| 24  | 350.0                     | 0.02500 | 0.01381                           | 20.4            | 26.2             | 35.0           | 48.2           |
| 25  | 650.0                     | 0.01300 | 0.01641                           | 8.1             | 7.5              | 13.1           | 17.1           |
| 26  | 650.0                     | 0.03200 | 0.01027                           | 8.1             | 8.7              | 14.6           | 18.8           |
| 27  | 2000.0                    | 0.05000 | 0.00522                           | 30.6            | 15.2             | 47.6           | 58.6           |

Table 3: The averaged reduced cross section for beauty production,  $\sigma_{red}^{b\overline{b}}$ , obtained by the combination of H1 and ZEUS measurements. The cross section values are given together with the statistical ( $\delta_{stat}$ ) and the uncorrelated ( $\delta_{uncor}$ ) and correlated ( $\delta_{cor}$ ) systematic uncertainties. The total uncertainties ( $\delta_{tot}$ ) are obtained by adding the statistical, uncorrelated and correlated systematic uncertainties in quadrature. All uncertainties are quoted in per cent.

| Data set         | Name                                     | shift $[\sigma]$ | reduction factor |
|------------------|--|------------------|------------------|
| 2-7,8c,9,10,11c, | theory, $m_c$                            | 0.29             | 0.65             |
| 2–13             | theory, scales                           | -0.82            | 0.45             |
| 2–13             | theory, $\alpha_S(M_Z)$                  | 0.17             | 0.95             |
| 1-7,8c,9,10      | theory, c fragmentation $\alpha_K$       | -0.82            | 0.80             |
| 2-7,8c,9,10      | theory, $c$ fragmentation $\hat{s}$      | -1.44            | 0.83             |
| 2-7,8c,9,10      | theory, c transverse fragmentation       | -0.10            | 0.90             |
| 2–7,10           | $f(c \to D^{*+})$                        | 0.43             | 0.92             |
| 2-6,10           | $BR(D^{*+} \rightarrow D^0 \pi^+)$       | 0.14             | 0.99             |
| 2-7,10           | $BR(D^0 \rightarrow K^- \pi^+)$          | 0.47             | 0.98             |
| 1-4              | H1 CJC efficiency                        | 0.29             | 0.78             |
| 2                | H1 luminosity (1998-2000)                | -0.05            | 0.97             |
| 2                | H1 trigger efficiency (HERA-I)           | -0.07            | 0.94             |
| 2-4              | H1 electron energy                       | 0.29             | 0.67             |
| 2-4              | H1 electron polar angle                  | 0.23             | 0.74             |
| 2                | H1 MC alternative fragmentation          | -0.09            | 0.68             |
| 3,4              | H1 primary vertex fit                    | 0.31             | 0.98             |
| 1,3,4            | H1 hadronic energy scale                 | -0.06            | 0.81             |
| 3,4              | H1 luminosity (HERA-II)                  | -0.19            | 0.77             |
| 3,4              | H1 trigger efficiency (HERA-II)          | -0.06            | 0.98             |
| 3,4              | H1 fragmentation model in MC             | -0.17            | 0.87             |
| 1,3,4            | H1 photoproduction background            | 0.31             | 0.91             |
| 3,4              | H1 efficiency using alternative MC model | 0.30             | 0.71             |
| 1                | H1 vertex resolution                     | -0.53            | 0.88             |
| 1                | H1 CST efficiency                        | -0.34            | 0.89             |
| 1                | H1 B multiplicity                        | 0.26             | 0.79             |
| 1                | H1 $D^+$ multiplicity                    | -0.30            | 0.94             |
| 1                | H1 D <sup>*+</sup> multiplicity          | -0.02            | 0.98             |
| 1                | H1 $D_s^+$ multiplicity                  | 0.09             | 0.97             |

Table 4: Sources of bin-to-bin correlated systematic uncertainties considered in the combination. For each source the affected datasets are given, together with the shift and reduction factor in units of  $\sigma$  in the combination obtained after the first iteration. For those measurements which have extracted beauty and charm cross sections simultaneously a suffix *b* or *c* to the data set number indicates that the given systematic source applies only to the beauty or charm measurements, respectively.

| Data set | Name   | shift $[\sigma]$ | reduction factor |
|----------|--|------------------|------------------|
| 1        | H1 <i>b</i> fragmentation                      | -0.05            | 0.96             |
| 1        | H1 VTX model: <i>x</i> reweighting             | -0.20            | 0.92             |
| 1        | H1 VTX model: $p_T$ reweighting                | -0.31            | 0.68             |
| 1        | H1 VTX model: $\eta(c)$ reweighting            | -0.36            | 0.80             |
| 1        | H1 VTX uds background                          | -0.14            | 0.43             |
| 1        | H1 VTX $\phi$ of <i>c</i> quark                | 0.05             | 0.84             |
| 1        | H1 VTX $F_2$ normalisation                     | -0.05            | 0.93             |
| 9,10,11  | ZEUS luminosity (HERA-II)                      | -1.24            | 0.88             |
| 9,10,11  | ZEUS tracking efficiency                       | 0.03             | 0.88             |
| 11       | ZEUS VTX decay length smearing (tail)          | -0.23            | 0.96             |
| 9,10,11  | ZEUS hadronic energy scale                     | 0.08             | 0.54             |
| 9,10,11  | ZEUS electron energy scale                     | 0.24             | 0.55             |
| 11       | ZEUS VTX $Q^2$ reweighting in charm MC         | -0.10            | 1.00             |
| 11       | ZEUS VTX $Q^2$ reweighting in beauty MC        | 0.04             | 1.00             |
| 11       | ZEUS VTX $\eta$ (jet) reweighting in charm MC  | -0.57            | 0.97             |
| 11       | ZEUS VTX $\eta$ (jet) reweighting in beauty MC | 0.10             | 0.99             |
| 11       | ZEUS VTX $E_T$ (jet) reweighting in charm MC   | 0.48             | 0.96             |
| 11       | ZEUS VTX $E_T$ (jet) reweighting in beauty MC  | -0.43            | 0.92             |
| 11       | ZEUS VTX light-flavour background              | 0.48             | 0.85             |
| 11       | ZEUS VTX charm fragmentation fucntion          | -0.91            | 0.87             |
| 11       | ZEUS VTX beauty fragmentation fucntion         | -0.17            | 0.95             |
| 9        | $f(c \rightarrow D^+)$                         | -0.11            | 0.94             |
| 9        | $BR(D^+  ightarrow K^- \pi^+ \pi^+)$           | -0.10            | 0.95             |
| 9        | ZEUS $D^+$ decay length smearing               | 0.05             | 0.99             |
| 9,10     | ZEUS beauty MC normalisation                   | 0.67             | 0.85             |
| 9        | ZEUS $D^+ \eta$ MC reweighting                 | 0.23             | 0.85             |
| 9        | ZEUS $D^+ p_T, Q^2$ MC reweighting             | 0.92             | 0.66             |
| 9        | ZEUS $D^+$ MVD hit efficiency                  | -0.04            | 0.99             |
| 9        | ZEUS $D^+$ secondary vertex description        | -0.08            | 0.97             |
| 5,13     | ZEUS luminosity (1996-1997)                    | 0.57             | 0.95             |

Table 4: continued

| Data set     | Name   | shift $[\sigma]$ | reduction factor |
|--------------|--|------------------|------------------|
| 6,13         | ZEUS luminosity (1998-2000)                  | 0.42             | 0.87             |
| 10           | ZEUS $D^{*+} p_T(\pi_s)$ description         | 0.84             | 0.92             |
| 10           | ZEUS $D^{*+}$ beauty MC efficiency           | -0.17            | 0.97             |
| 10           | ZEUS $D^{*+}$ photoproduction background     | 0.39             | 0.96             |
| 10           | ZEUS $D^{*+}$ diffractive background         | -0.35            | 0.92             |
| 10           | ZEUS $D^{*+} p_T, Q^2$ MC reweighting        | -0.45            | 0.91             |
| 10           | ZEUS $D^{*+} \eta$ MC reweighting            | 0.34             | 0.77             |
| 10           | ZEUS $D^{*+} \Delta(M)$ window efficiency    | -0.77            | 0.92             |
| 7            | $f(c \rightarrow D^0)$                       | 0.32             | 0.99             |
| 7,8,12       | ZEUS luminosity (2005)                       | 0.66             | 0.91             |
| 8c           | $BR(c \rightarrow l)$                        | -0.10            | 0.97             |
| 8            | ZEUS μ: B/RMUON efficiency                   | 0.54             | 0.90             |
| 8            | ZEUS $\mu$ : FMUON efficiency                | 0.15             | 0.95             |
| 8            | ZEUS $\mu$ : energy scale                    | -0.01            | 0.67             |
| 8            | ZEUS $\mu$ : $p_T^{\text{miss}}$ calibration | 0.13             | 0.66             |
| 8            | ZEUS $\mu$ : hadronic resolution             | 0.62             | 0.58             |
| 8            | ZEUS $\mu$ : IP resolution                   | -0.70            | 0.83             |
| 8            | ZEUS $\mu$ : MC model                        | -0.08            | 0.75             |
| 1b           | H1 VTX beauty: $Q^2$ charm reweighting       | -0.02            | 1.00             |
| 1b           | H1 VTX beauty: $Q^2$ beauty reweighting      | -0.02            | 0.99             |
| 1b           | H1 VTX beauty: x reweighting                 | 0.09             | 0.89             |
| 1b           | H1 VTX beauty: $p_T$ reweighting             | -1.06            | 0.82             |
| 1b           | H1 VTX beauty: $\eta$ reweighting            | 0.01             | 0.91             |
| 1b           | H1 VTX beauty: $BR(D^+)$                     | -0.21            | 0.99             |
| 1b           | H1 VTX beauty: $BR(D^0)$                     | 0.16             | 1.00             |
| 8b,11b,12,13 | theory, $m_b$                                | 0.60             | 0.93             |
| 8b,12,13     | theory, b fragmentation                      | -0.71            | 0.97             |
| 8b,12,13,    | $BR(b \rightarrow l)$                        | -0.60            | 0.97             |
| 13           | ZEUS muon efficiency (HERA-I)                | -1.02            | 0.91             |

Table 4: continued

| Dataset          | PDF (scheme)                         | $\chi^2$ [ <i>p</i> -value] | $\chi^2$ with PDF unc. |
|------------------|--------------------------------------|-----------------------------|------------------------|
|                  | HERAPDF20_NLO_FF3A (FFNS)            | 59 [0.23]                   | 59                     |
| HED & 2012 a     | ABKM09 (FFNS)                        | 59 [0.23]                   | _                      |
| ΠΕΚΑ 2012 C      | abm11_3n_nlo (FFNS)                  | 62 [0.16]                   | 62                     |
|                  | ABMP16_3_nnlo (FFNS)                 | 70 [0.05]                   | 69                     |
|                  | HERAPDF20_NLO_EIG (RT OPT)           | 71 [0.04]                   | 70                     |
| $(N_{dat} = 52)$ | HERAPDF20_NNLO_EIG (RT OPT)          | 66 [0.09]                   | 65                     |
|                  | NNPDF31sx_nnlo_as_0118 (FONLL-C)     | $106 [1.5 \cdot 10^{-6}]$   | _                      |
| $(N_{dat} = 47)$ | NNPDF31sx_nnlonllx_as_0118 (FONLL-C) | 71 [0.013]                  | —                      |
|                  | HERAPDF20_NLO_FF3A (FFNS)            | 86 [0.002]                  | 85                     |
| New combined c   | ABKM09 (FFNS)                        | 82 [0.005]                  | —                      |
|                  | abm11_3n_nlo (FFNS)                  | 92 [0.0005]                 | 91                     |
|                  | ABMP16_3_nnlo (FFNS)                 | $109 \ [6 \cdot 10^{-6}]$   | 106                    |
|                  | HERAPDF20_NLO_EIG (RT OPT)           | 99 $[9 \cdot 10^{-5}]$      | 98                     |
| $(N_{dat} = 52)$ | HERAPDF20_NNLO_EIG (RT OPT)          | $102 \; [4 \cdot 10^{-5}]$  | 99                     |
|                  | NNPDF31sx_nnlo_as_0118 (FONLL-C)     | $140 [1.5 \cdot 10^{-11}]$  | _                      |
| $(N_{dat} = 47)$ | NNPDF31sx_nnlonllx_as_0118 (FONLL-C) | $114 [5 \cdot 10^{-7}]$     | —                      |
|                  | HERAPDF20_NLO_FF3A (FFNS)            | 33 [0.20]                   | 33                     |
| New combined b   | abm11_3n_nlo (FFNS)                  | 34 [0.17]                   | 34                     |
| $(N_{dat} = 27)$ | ABMP16_3_nnlo (FFNS)                 | 41 [0.04]                   | 41                     |
|                  | HERAPDF20_NLO_EIG (RT OPT)           | 33 [0.20]                   | 33                     |
|                  | HERAPDF20_NNLO_EIG (RT OPT)          | 45 [0.016]                  | 45                     |

Table 5: The  $\chi^2$  values and d.o.f. of the charm and beauty data with respect to the NLO and approximate NNLO calculations using various PDFs as described in the text. The  $\chi^2$  values that include PDF uncertainties are shown separately. The measurements at  $Q^2 = 2.5 \text{ GeV}^2$  are excluded in the calculations of the  $\chi^2$  values for the the NNPDF3.1sx predictions, by which the number of data points is reduces to 47. (See caption of figure 14 for further explantions.)



Figure 1: The pull distribution for the combination of the charm and beauty reduced cross sections.

What is shown with the solid-line Gaussian? A fit or a reference with std=1? A comment in the caption would be handy



Figure 2: Combined measurements of the reduced charm production cross sections,  $\sigma_{\text{red}}^{c\overline{c}}$ , as a function of  $x_{\text{Bj}}$  for different values of  $Q^2$ . The inner error bars indicate the uncorrelated part of the uncertainties and the outer error bars represent the total uncertainties.



Figure 3: Combined measurements of the reduced beauty production cross sections,  $\sigma_{red}^{b\overline{b}}$ , as a function of  $x_{Bj}$  for different values of  $Q^2$ . The inner error bars indicate the uncorrelated part of the uncertainties and the outer error bars represent the total uncertainties.



Figure 4: Combined measurements of the reduced charm production cross sections,  $\sigma_{red}^{c\overline{c}}$ , (full circles) as a function of  $x_{Bj}$  for different values of  $Q^2$ . The inner error bars indicate the uncorrelated part of the uncertainties and the outer error bars represent the total uncertainties. The input measurements are also shown by the different markers. For presentation purposes each individual measurement is shifted in  $x_{Bj}$ .



Figure 5: Combined measurements of the reduced beauty production cross sections,  $\sigma_{\text{red}}^{b\overline{b}}$ , (full circles) as a function of  $x_{\text{Bj}}$  for different values of  $Q^2$ . The inner error bars indicate the uncorrelated part of the uncertainties and the outer error bars represent the total uncertainties. The input measurements are also shown by the different markers. For presentation purposes each individual measurement is shifted in  $x_{\text{Bj}}$ .



Figure 6: Reduced cross sections as a function of  $x_{Bj}$  at  $Q^2 = 32 \text{ GeV}^2$  for charm (upper panel) and beauty production (lower panel). The combined cross sections (full black circles) are compared to the input measurements shown by the different markers. The inner error bars indicate the uncorrelated part of the uncertainties and the outer error bars represent the total uncertainties. For better visibility the individual input data are displaced in  $x_{Bj}$  towards larger values.



Figure 7: Combined reduced cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the results of the previous combination, denoted as 'HERA 2012' (open circles).



Figure 8: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO QCD FFNS predictions based on the HERAPDF2.0 FF3A (solid lines), ABKM09 (dashed lines) and ABM11 (dotted lines) PDF sets. Also shown is the approximate NNLO prediction using ABMP16 (dashed-dotted lines). The shaded bands on the HERAPDF2.0 FF3A predictions show the theory uncertainties obtained by adding PDF, scale and charm quark mass uncertainties in quadrature.



Figure 9: Combined reduced beauty cross sections  $\sigma_{red}^{b\overline{b}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO QCD FFNS predictions based on the HERAPDF2.0 FF3A (solid lines), ABKM09 (dashed lines) and ABM11 (dotted lines) PDF sets. Also shown is the prediction in approximate NNLO using ABMP16 (dashed-dotted lines). The shaded bands on the HERAPDF2.0 FF3A predictions show the theory uncertainties obtained by adding PDF, scale and beauty quark mass uncertainties in quadrature.



Figure 10: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO and approximate NNLO QCD theoretical FFNS predictions obtained using various PDFs, as in Fig. 8, normalised to the predictions obtained using HERAPDF2.0 FF3A.



Figure 11: Combined reduced beauty cross sections  $\sigma_{red}^{b\overline{b}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO and approximate NNLO QCD theoretical FFNS predictions obtained using various PDFs, as in Fig. 9, normalised to the predictions obtained using HERAPDF2.0 FF3A.



Figure 12: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to NLO (dashed-dotted lines) and approximate NNLO (dashed lines) VFNS predictions based on HERAPDF2.0 using corresponding NLO and NNLO HER-APDF2.0 PDF sets, normalised to the FFNS predictions obtained using HERAPDF2.0 FF3A. The uncertianties for the VFNS predictions are of similar size as those presented for the FFNS calculations.



Figure 13: Combined reduced beauty cross sections  $\sigma_{red}^{b\overline{b}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO (dashed-dotted lines) and approximate NNLO (dashed lines) VFNS predictions based on HERAPDF2.0 using corresponding NLO and NNLO HERA-PDF2.0 PDF sets, normalised to the FFNS predictions obtained using HERAPDF2.0 FF3A. For the VFNS predictions no uncertainties are given. They are of similar size than those presented for the FFNS calculations.



Figure 14: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to FONNL-C (dotted lines with uncertainty bands) and FONNL-C+NLLsx (dashed lines) VFNS predictions based on NNPDF3.1sx PDF sets without and with  $\log \frac{1}{x}$  resummation, normalised to the FFNS predictions obtained using HERAPDF2.0 FF3A. For better clarity of the presentation the uncertainties of the FONNL+NLLsx calculations are not shown. They are of similar size as those shown for the plain FONLL calculations. No FONNL predictions based on NNPDF3.1sx are shown at  $Q^2 = 2.5 \text{ GeV}^2$  because this value lies below the starting scale of the QCD evolution in the calculation (2.6 GeV<sup>2</sup>).



Figure 15: Parton density functions  $x \cdot f(x, Q^2)$  at the starting scale  $Q_0 = 1.9 \text{ GeV}^2$  with  $f = u_v, d_v, g, \Sigma$  for the valence up quark (a), the valence down quark (b), the gluon (c) and the sea quarks (d) obtained from the QCD fit to the combined inclusive and heavy flavour data (full lines) and to the combined inclusive data only (dashed lines). The experimental/fit uncertainties obtained from the fit to the combined inclusive and heavy flavour data are indicated by the shaded bands. For better visibility the uncertainties from the fit to the inclusive data only are not shown.



Figure 16: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO QCD FFNS predictions based on the PDF set determined by the fit to the inclusive and heavy flavour data (solid lines) and on the HERAPDF2.0 FF3A (dashed lines) set. The shaded bands on the predictions using the reference calculation show the theory uncertainties obtained by adding PDF, scale and charm quark mass uncertainties in quadrature.



Figure 17: Combined reduced beauty cross sections  $\sigma_{red}^{b\overline{b}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO QCD FFNS predictions based on the PDF set determined by the fit to the inclusive and heavy flavour data (solid lines) and on the HERAPDF2.0 FF3A (dashed lines) set. The shaded bands on the predictions using the fitted PDF set show the theory uncertainties obtained by adding PDF, scale and charm quark mass uncertainties in quadrature.



Figure 18: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO FFNS predictions resulting from the fit of this analysis, normalised to the reference cross sections using HERAPDF2.0 FF3A.



Figure 19: Combined reduced beauty cross sections  $\sigma_{red}^{b\overline{b}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO FFNS predictions resulting from the fit of this analysis, normalised to the reference cross sections using HERAPDF2.0 FF3A.



Figure 20: Ratio of the combined reduced cross sections  $\sigma_{red}^{c\overline{c}}$  (upper panel) and  $\sigma_{red}^{b\overline{b}}$  (lower panel) to the NLO FFNS cross section predictions obtained from the fit to the inclusive and heavy flavour data as a function of the partonic *x* for the different values of  $Q^2$ .



Figure 21: The  $\chi^2$ /d.o.f. values determined for the heavy flavour data (triangles) only and for the inclusive plus heavy flavour data (dots) when including in the fit only inclusive data with  $x_{\rm Bj} \ge x_{min}$ .



Figure 22: Parton density functions  $x \cdot f(x, Q^2)$  at the starting scale  $Q_0^2 = 1.9 \text{ GeV}^2$  with  $f = u_v, d_v, g, \Sigma$  for the valence up quark (a), the valence down quark (b), the gluon (c) and the sea quarks (d) obtained from the QCD fit to the combined inclusive and heavy flavour data without requiring a minimum  $x_{Bj}$  for the inclusive data included in the fit (full lines) and with a minimum cut of  $x_{Bj} \ge 0.01$  for the inclusive data included in the fit. The experimental/fit uncertainties are shown by the hatched bands.



Figure 23: Combined reduced charm cross sections  $\sigma_{red}^{c\overline{c}}$  (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO FFNS predictions resulting from the fit to heavy flavour data and the inclusive data with  $x_{Bj,min} \ge 0.01$  (dashed dotted lines) and without  $x_{Bj,min}$ -cut (dashed lines) normalised to the reference cross sections using HERAPDF2.0 FF3A (full line). The experimental/fit uncertainties of the reference cross sections are indicated by the shaded band.



Figure 24: Combined reduced cross sections  $\sigma_{red}$ (full circles) as a function of  $x_{Bj}$  for given values of  $Q^2$ , compared to the NLO FFNS predictions resulting from the fit to heavy flavour data and the inclusive data with  $x_{Bj,min} \ge 0.01$  (dashed dotted lines) and without  $x_{Bj,min}$ -cut (dashed lines) normalised to the reference cross sections using HERAPDF2.0 FF3A (full line).

Comments to draft 1.0 (Nov 23, 2017) of Combination and QCD analysis of beauty and charm production cross section measurements in deep inelastic ep scattering at HERA

Dieter Haidt

## General

The analysis of HERA data has reached its mature and final form. The present publication finalizes the analysis of the inclusive charm and beauty production cross sections and complements the already published measurements of the fully inclusive production cross sections. The authors and the two Collaborations H1 and ZEUS can claim with pride that to the community are given unique and fundamental measurements which have value in themselves, serve as input to other experimental analyses and as testing ground for QCD.

The publication raises in addition several important and critical remarks on the interpretation of the new data within QCD in its present status and points the theoretical groups to areas which require further development. An important aspect is our evaluation to what degree there is agreement between data and theory. To this end it is inappropriate to use the term *tension*. Our task is to make up our mind and state whether there is either agreement or failure and quantify our judgement by quoting the confidence level either pro or contra. In the case

of a discrepancy we should spell it out clearly and put the finger on the elements leading to this decision.

Another qualifying statement concerns the term *stringent*, which in my opinion is not justified. Our data has indeed reached high quality and high precision. On the contrary, the status of the theory at present does not make clearcut predictions. Chapter 6 mentions some of the drawbacks related to the use of QCD at first order, the uncertainties in dealing with massive quarks etc. For the time being the theory allows merely for a test of consistency. Given my critical remarks below I am not sure whether the observed disagreement in Figure 8 (x-distribution) will remain, since I would blame the gluon for it. However, if the disagreement persists, then it is worth to note it as an important result.

## **Detailed comments**

Drop the word *tension* which appears in the lines 408 429 431 565 612 617 619 620 641 647

Line 22 : drop stringent (see comment above)

Line 803 : Sjøstrand (spelling)

Conclusions : fair versus discrepancy - make a decision

439 QCD does not predict the x-distribution of the parton distributions

497 Quote table with fit parameters and correlations, including the fit of the mass parameters and correlations which represents one of the important results

Comment after eq.7 (line 512) : the experimental and theoretical uncertainty of the fitted masses are comparable.

529 tried -> investigated

533 understand correlation between Euv and other parameters. The block (sea,gluon) should reveal a strong correlation. Why is that not so ?

559 can -> could

Fig 20 add average x in caption

578 discrepancy for  $Q^2 < 18$  and x < 0.01

The review of Oleksandr Zenaiev in EPJC should be quoted. It provides detailed insight in the treatment of fully inclusive data and inclusive heavy quark data. In addition it shows the benefit of combining HERA data with LHCb data which has led to a significant improvement in the knowledge of the gluon distribution.

## DGLAP

There are two types of analysis.

The DGLAP analysis applied to fully inclusive data. It assumes

- a. the shape of all partons in  $x_{\text{bjorken}}$  at  $Q_0^2=1.9~\text{GeV}^2$  with 14 free parameters and in addition the c and b masses either fixed or free
- b. the predicted Q<sup>2</sup> evolution of the parton distributions (which are not observables) require additional assumptions to predict the observable cross sections
- c. the treatment of the massive c- and b-quarks
- d. the region of applicability

The resulting fit for the free parameters can be bad for several reasons

- a. inadequate shape of pdf at starting scale
- b. bias in data
- c. inadequacy of theory

It is intrinsic to any DGLAP analysis that the gluon is only indirectly determined. Furthermore, there are significant
correlations between the fit parameters caused by the fact that there is no flavor separation.

The prediction of the c- and b-cross sections proceeds in two steps

- 1. DGLAP analysis of light quarks
- 2. Gamma-gluon fusion for c- and b-production with explicit dependence upon the gluon distribution.

Application : use pdf from inclusive data + PDG masses of c and b to predict c- and b-cross sections. The important point is that the input required for QCD to predict the heavy quark cross sections is obtained from *independent* data. This is possible and we can claim a genuine test of QCD and can conclude whether there is agreement or not. Further applications would be possible by relaxing the mass constraints.

As I understand this clearcut test is not persued in the present draft because of the apparent sensitivity to the c- and bmasses. The origin of this apparent sensitivity is, in my opinion, to be found in the unsatisfactory knowledge of the gluon distribution. The correlation between Euv and the second term in describing the gluon distribution reveals the problem (see below). Whether or not you agree with my criticism below, the statement that the fully inclusive data do not allow for a determination of the heavy quark masses should be elaborated. In particular it should be elucidated to what extent the uncertainty in the gluon distribution affects the sensitivity to the masses.

The simultaneous fit to the inclusive and heavy quark data, as described in chapter 6, provides a determination of the pdf and the masses, but no genuine test of the theory. The important point is clearly stated : the masses are obtained thanks to the heavy quark data and constitute a substantial result.

## Figure 15

- a. the two blue regions in uv and dv reflect the fact that there is the number constraint of 2 and 1. Why isn't there a strong correlation between the sea and gluon parameters ?
- b. the big uncertainty in the blue curve is expected, but why is the yellow curve not equally uncertain ?
- c. the gluon distribution vanishes at low x, while subfigure (d) shows that there are many qqbar pairs. It is counterintuitive to admit that there are really *no gluons at* low x at the starting scale. I am aware that parton distributions are not observables and even distributions running below 0 are acceptable as long as their use to predict observables leads to finite and positive numbers. It is assumed that  $Q_0^2 = 1.9 \text{ GeV}^2$  belongs to the perturbative region. Then there are processes  $qamma+q \rightarrow q+qluon$ (similarly antiquark) and both q and q contribute to the low-x sea in equal amount. Is the effect of higher orders such that gluons get suppressed, but guark-antiguark pairs get favoured at low x ? If, on the contrary, the starting scale is deemed to be nonperturbative, then the gluon and sea distributions towards low x with approximate averages of their distributions <xsea>=0.15 and <gluon>=0.5 will have tails towards low x. Is it reasonable that the tail of the large gluon component vanishes at low x, while the antiquark component increases ? The adhoc ansatz à la MRS for the gluon (which I dislike) is prone to suppress gluons at low x as long as the evolution has not yet had a significant impact. I give further arguments below why I am doubtful about the fitted shape of the gluon.
- d. the subfigure (d) is not informative, better show deviation with respect to a standard curve. In comparison to the gluon distribution the qqbar distribution pairs increases steadily with decreasing x.

Question : mc(mc) or  $mc(Q^2)$  in calculating the cross sections ?

Question : comparison with masses from spectroscopy ? Is already answered in draft

## The Euv problem and the role of the momentum sumrule

One of the DGLAP equations governs the evolution of the nonsinglet with the splitting function Pgg, while the other two DGLAP equations are a coupled system describing the evolution of singlet-gluon, where also Pgg appears albeit affected by a small  $1^{st}$  order correction (easy to handle). The evolution of the valence is fully determined by the 1<sup>st</sup> DGLAP equation. Being a nonsinglet the distribution has the characteristic behavior getting slowly (with  $Q^2$ ) degraded, i.e.  $\langle x \rangle$  moves from about 0.4 to 0.3 over the Q<sup>2</sup> range of the data. The known running of  $\langle x_{valence} \rangle$  has to be compensated by a sharing between the sea and the gluon distribution in order to satisfy the momentum sumrule. No correlation is expected between the parameters of the valence on the one side and the sea and gluon parameters on the other side, because the 1<sup>st</sup> DGLAP equation is independent of the gluon. This is perhaps not fully correct, since the valence contributes a small amount to the gluon derivative through Pgg\*singlet (where the singlet contains also uv and dv).

The momentum sumrule does not depend upon  $Q^2$ . In the MRST para-metrization the sum expected to be 1 decreases with  $Q^2$  and deviates at large  $Q^2$  by more than 3 %. I don't know how this sumrule is build in the program. In any case, it is an integral running from **0** to 1, so care has to be taken given our triangular shape of the phase space in  $(1/x, Q^2)$ .

The observed correlation (Euv, Apri) and (Euv, ) is, I think, an artefact. I noticed that the u-valence distribution can be well approximated by setting Euv=0 and lowering the c-parameter from 4.9 to 2.9. This power for (1-x), by the way, is in good agreement with neutrino data. The present large power in (1-x) is large because of the presence of the adhoc (1+Euv  $x^2$ ) term and the actual treatment of the gluon. At present uv and dv are not treated on the same footing. A more appropriate method

may be to consider u and d/u both for the valence and th sea. Data from W-production and decay may be useful.

I stop at this point and wait for your answer and perhaps for your disagreement with my remarks. I would be happy to discuss the relevant issues personally with you rather than bothering you with formulating a written answer. Eventhough I have raised some critical points, I appreciate very much the effort you have devoted to this publication. Comments to "Combination and QCD Analysis of Beauty and Charm Production Cross Section Measurements in Deep Inelastic *ep* Scattering at HERA" Peter Truöl

General: Within the heavy flavour working group active during the pre-data phase of H1, which I was asked to coordinate, we started off with the notion that HERA was among other things a "charm factory". This illusion quickly disappeared when we realized that in lack of suitable triggers the heavy quarks would escape nearly unnoticed. It is therefore gratifying that in the end, 25 years later, the final analysis of the relevant cross sections measured by both collaborations has been finished and is ready for publication. Many thanks to all involved in the preparation of this final section of the long journey, among them some of members of my group in the early phases. My minor comments only concern the text.

It seems that the different chapters have been written by different persons with the consequence that there appear some repetitions which need to be weeded out.

The title of the paper could be shortened to

"QCD Analysis of Beauty and Charm Production Data from Deep Inelastic ep Scattering at HERA"

Abstract (shorten somewhat, e.g. like): Open beauty and charm production cross sections in deep inelastic *ep* scattering measured at HERA by the H1 and ZEUS collaborations are combined. The data cover a kinematic range of photon virtuality ...... The combination method accounts for correlations of the statistical and systematic uncertainties among the different data sets. The data are compared to perturbative QCD predictions and also used together with inclusive deep inelastic scattering data from HERA in a next-to-leading order QCD analysis. ....

Throughout the text: It is not necessary to repeat "heavy quark", "charm and beauty" within a section several times, if it is clear from the beginning that nothing else is being discussed, first examples line 25 and 34 below.

Semi-leptonic -> semileptonic Both "program" and "programme" are used in the text, decide on one them

Introduction:

L 25: The cross section therefore depends strongly on the gluon distribution in the proton and the mass of the heavy quarks involved.

L 28: ... the transverse momenta of the outgoing quarks and the virtuality, Q<sup>2</sup>, of the exchanged photon. The presence of several hard scales ...

L 34: At HERA different various flavour tagging methods have been applied.

L 53: The proper lifetime of *B* mesons is about a factor of two to three larger than that of *D* mesons on average

L 91: The simultaneous combination of charm and beauty cross section measurements reduces the correlations between them and hence also the uncertainties.

L 98: In addition QCD calculations in the RTOPT VFNS <- what does this mean

L 104: The new data are subjected to a QCD analysis together with inclusive DIS cross section data from HERA [40] allowing for running charm and beauty quark masses in NLO, as defined in the QCD Lagrangian in the modified minimum subtraction (MS) scheme.

L 110: The paper is organized as follows. In section 2 the reduced heavy flavour cross section is defined and the theoretical framework is briefly introduced. The data samples and the combination method are presented in section 3.1. The resulting reduced cross sections are presented in section 4 and compared with theoretical calculations based on existing PDF sets at NLO and at NNLO in the FFNS and VFNS in section 5. In section 6 the NLO QCD analysis

is described and the measurement of the running masses of the charm and beauty quark in the MS scheme at NLO is presented. This section also contains a study of the xBj-dependance of the cross section. Finally, the paper is concluded in section 7.

Section 2: Electro-weak -> electroweak, per cent -> percent

L 119: In the kinematic range explored by the analysis of the data presented here the virtuality of the exchanged boson is small, i.e.  $Q^2 \ll M_z^2$ , such that virtual photon exchange dominates.

L 120: ... where y denotes the lepton inelasticity. (Bjorken has been defined before)

L129-131: cut, already in introduction

L 158: drop "in the MS scheme."

L 160-162 ff: RTOPT ? (authors of ref. 32 ?), FONLL\_C ? *This paragraph can only be understood by specialists.* 

Section 3:

L 170 – 171: ... high-resolution vertex detectors [48,49]. (*the references to the vertex detectors suffice, the names are irrelevant*)

L 179: drop "and correspond to 209 individual charm and 57 different beauty cross section measurements", appears again in L 306-307

L 181: ... includes measurements using different tagging methods: (*we know by that we deal with charm and beauty*)

L 184: .... muons from semileptonic decays

L 204-206: .... theoretical predictions for ... and .... in the NLO FFNS scheme. Only their shape in function of the kinematic variables is relevant for the corrections, while their normalisation cancels in Eq. (3).

L 231: ... was fixed at ..

L 233: For all parameter sets the corresponding PDF set is used.

P 7 footnote 2: While ... -> Since ...

L 279: The results are converted to a centre-of-mass energy  $\sqrt{s}$  = 318 GeV.

L 281: The combination is based on the ..... procedure [37] used previously [36,38-40]

L 302: .... yields a significant reduction of the overall uncertainties of the combined data, as detailed in the next section.

Section 4:

L 310: drop "and a conservative estimate of the uncertainties of the individual measurements." *comes again in L 314* 

## Section 5:

L 346 ff: *Shorten to* "Before performing a dedicated *QCD* analysis of the data they are compared with calculations using pre-existing PDF sets. Predictions in the FFN and the VFN schemes are considered focusing on results using HERAPDF2.0 PDF sets."

In the following "combined" could be cut everywhere no uncombined data are considered anyway, maybe even "combined reduced charm (beauty) cross section" could be replaced by "charm (beauty) data" or "results" to make the chapters shorter and more readable. I guess for combinations such as "theory predictions" and the likes "theoretical" would be better.

Footnote 4: The calculated cross sections ... were provided by the authors.

Section 5.3 Summary of the comparison to theoretical predictions

L 421 – 430 a rather clumsy explanation of a simple fact, why not just write:

The table also includes a comparison to the combined charm data published previously [36]. The apparent poorer agreement of the new data compared to the previous results can be traced to the increased precision of the new data.

Section 6:

L 494: In the QCD fit the running heavy quark masses are fitted simultaneously with the PDF parameters. The fit yields a total  $X^2$  = 1435 for 1208 degrees of freedom (d.o.f.). The ratio  $X^2$ /d.o.f. = 1.19 is similar to the values obtained in the analysis of the HERA inclusive data [40].

L 512: The model uncertainties are dominated by those arising from the scale variations.

L 514: ... while the other sources lead to uncertainties of typically a few MeV ...

L 515: .... Is set to zero, the other contributions are negligible.

L 539 ff: The NLO FFNS predictions based on the PDF set and the running beauty and charm quark masses determined by the fit are compared to the data in figures 16 and 17, respectively.

L 546 ff: In order to better visualize the differences of the present to the latter analysis the ratios of data to predictions are shown in figures 18 and 19 for charm and beauty, respectively.

Section 7:

L 527: The charm cross sections presented in this paper are significantly more precise than those previously published. The data are compared ....

References: A few inconsistencies 1 – 23: reorganize in chronological order ? 18, 26, 28, 32, 35, 66 details are missing 61 – 65 the information following *doi*: should be scratched

Figures:

Except for the theoretical curves 8 and 9 are identical to 2 and 3, hence one could omit the latter; if captions contain identical sentences a reference to the first occurrence may suffice.