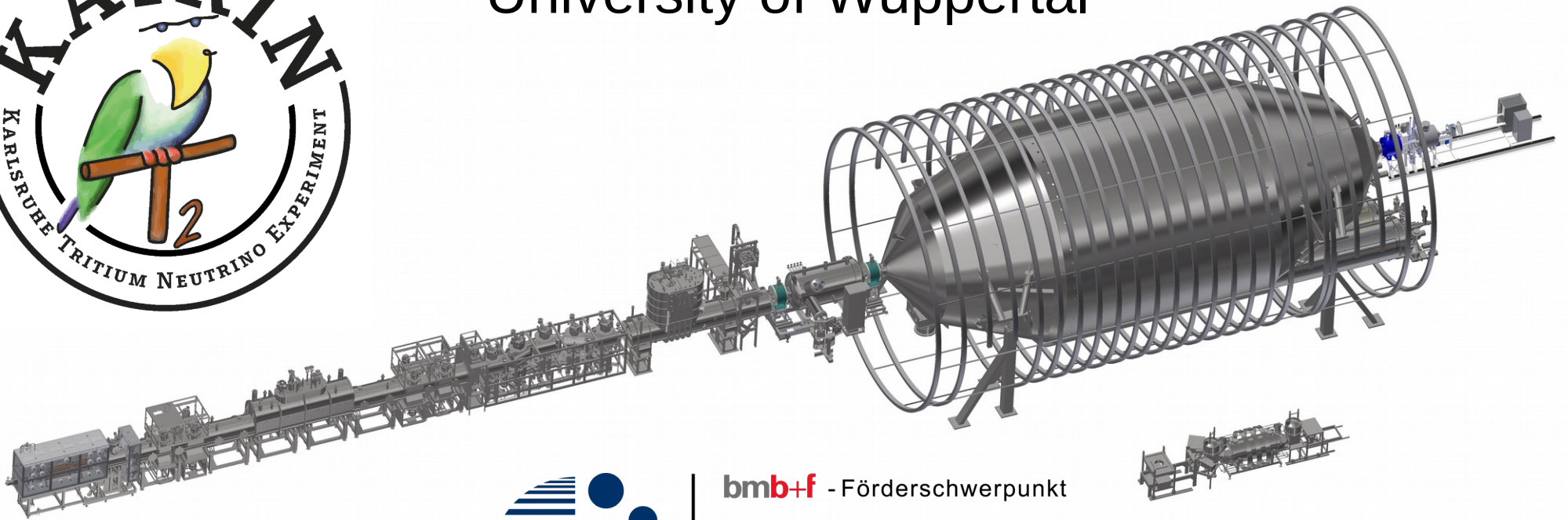


# The mass of the neutrino – truly ... the KATRIN experiment and beyond

Klaus Helbing  
University of Wuppertal



**bmb+f** - Förderschwerpunkt

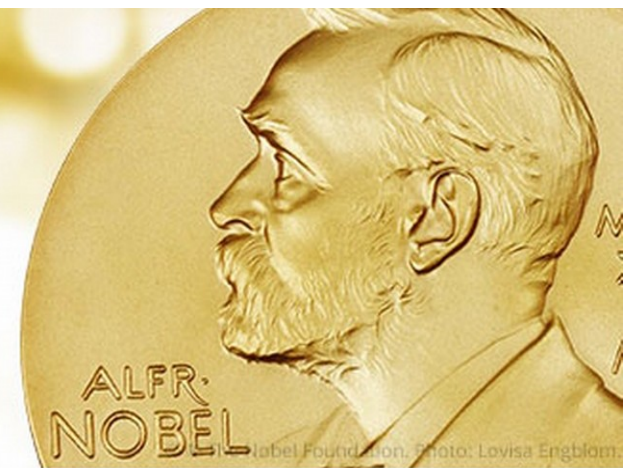
Astroteilchenphysik

Großgeräte der physikalischen  
Grundlagenforschung

*"For the greatest benefit to mankind"*  
*Alfred Nobel*

## 2015 NOBEL PRIZE IN PHYSICS

**Takaaki Kajita  
Arthur B. McDonald**



Ill: N. Elmehed. © Nobel Media 2015

### 2015 Nobel Prize in Physics

The [Nobel Prize in Physics 2015](#) was awarded jointly to [Takaaki Kajita](#) and [Arthur B. McDonald](#) "for the discovery of neutrino oscillations, which shows that neutrinos have mass".

→ [Read more about the prize](#)



Illustration: © Johan Jamestad/The Royal Swedish Academy of Sciences

### They Solved the Neutrino Puzzle

Takaaki Kajita and Arthur B. McDonald solved the neutrino puzzle and opened a new realm in particle physics. They were key scientists of two large research groups, Super-Kamiokande and Sudbury Neutrino Observatory, which discovered the neutrinos mid-flight metamorphosis.



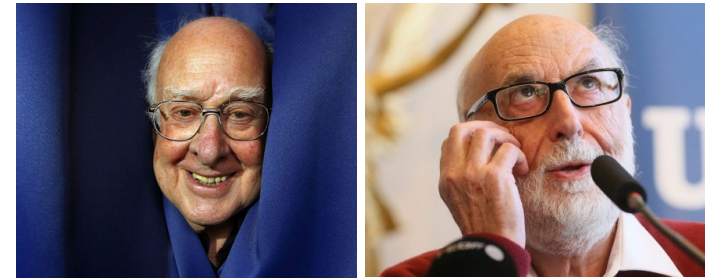
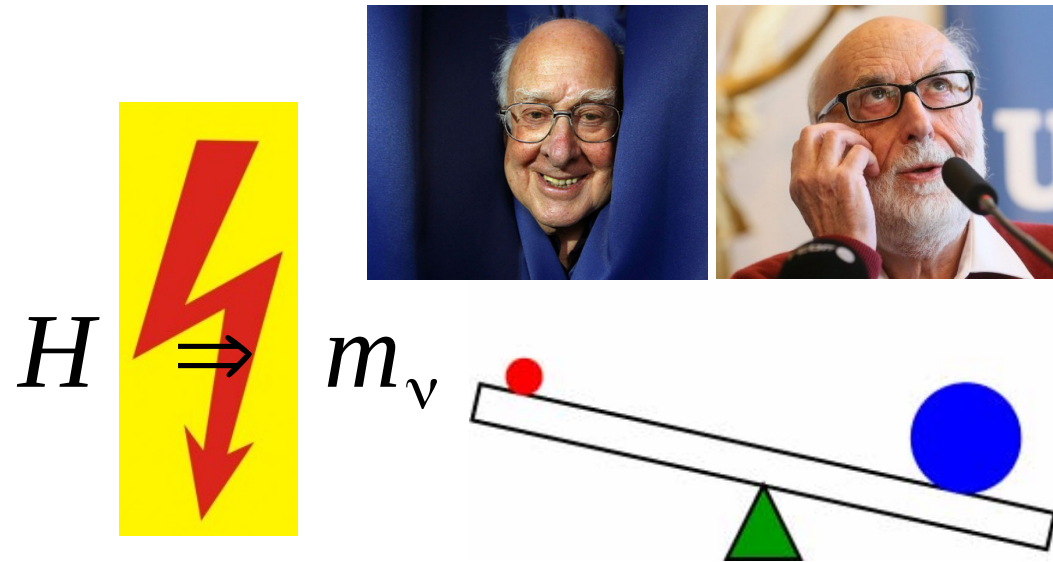
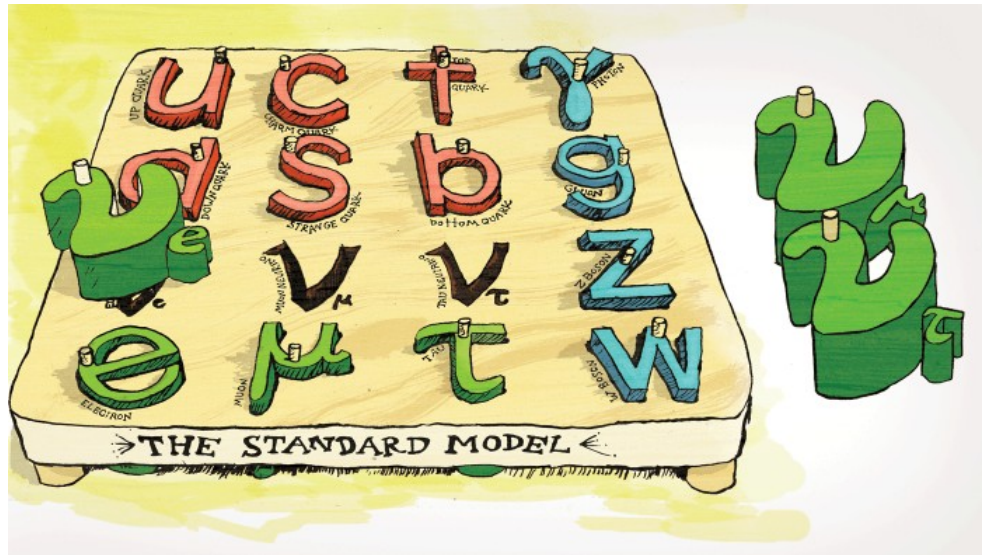
### New Physics Laureate Takaaki Kajita: "Kind of Unbelievable!"

An interview with Takaaki Kajita. Hear how he reacted when he got the call that he has been awarded the 2015 Nobel Prize in Physics.

Kajita outreach talk: If neutrinos were massless they were traveling with  $c$   
→ proper time does not pass any more, hence they cannot change



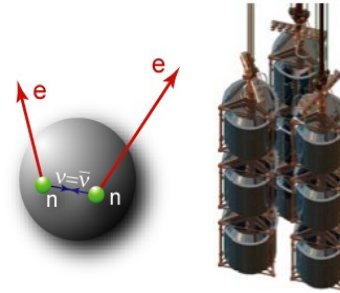
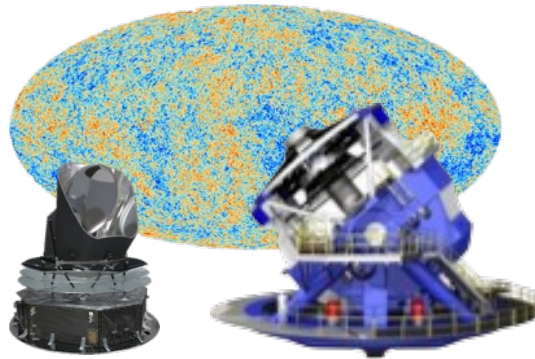
# Neutrino versus Standard Model



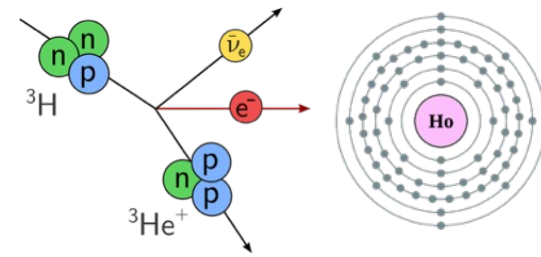
- Does the Higgs give mass to the neutrinos? - **Actually Not in SM!**
- What are the masses of the known neutrino types?
- Are neutrinos their own antiparticles (Majorana)?
- More than three neutrino flavors (sterile)?
- Why did matter win over anti-matter?
- Current best fit for Dirac CP-violating phase maximal:  $\sim 270^\circ$

- Neutrinos 250,000 times lighter than electron
  - No simple extension of SM for 3 reasons:
    - No right-handed neutrinos  $\rightarrow$  no Dirac mass term
    - Lepton number symmetry of SM  $\rightarrow$  no Majorana mass term
    - Only renormalizable terms
  - Neutrino mass lowest order perturbation of BSM?
  - Seesaw mechanism: Neutrino mass suppressed by heavy partner

# The absolute neutrino mass



Truly !



## Cosmology

## Search for $0\nu\beta\beta$

## $\beta$ -decay & electron capture

Observable

$$M_\nu = \sum_i m_i$$

$$m_{\beta\beta}^2 = \left| \sum_i U_{ei}^2 m_i \right|^2$$

$$m_\beta^2 = \sum_i |U_{ei}|^2 m_i^2$$

Present upper limit

0.12 – 1 eV

0.2 – 0.4 eV

2 eV

Potential

15 – 50 meV

15 – 50 meV

200 meV

Model dependence

Multi-parameter cosmological model

- Majorana  $\nu$ : LNV
- BSM contributions other than  $m(\nu)$ ?
- nucl. matrix elements
- Incl. interferences

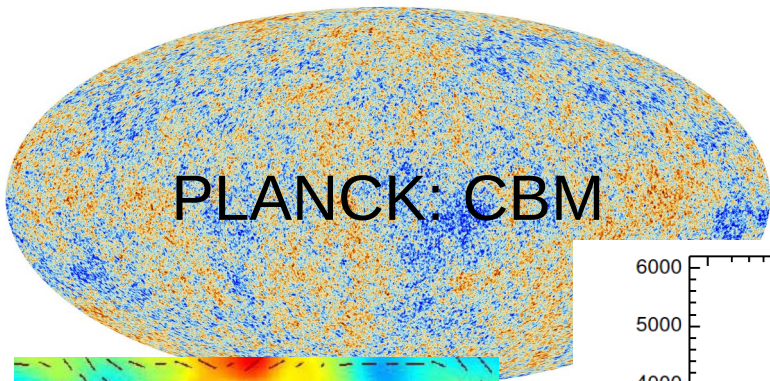
**Direct**, only kinematics;  
no cancellations in  
incoherent sum



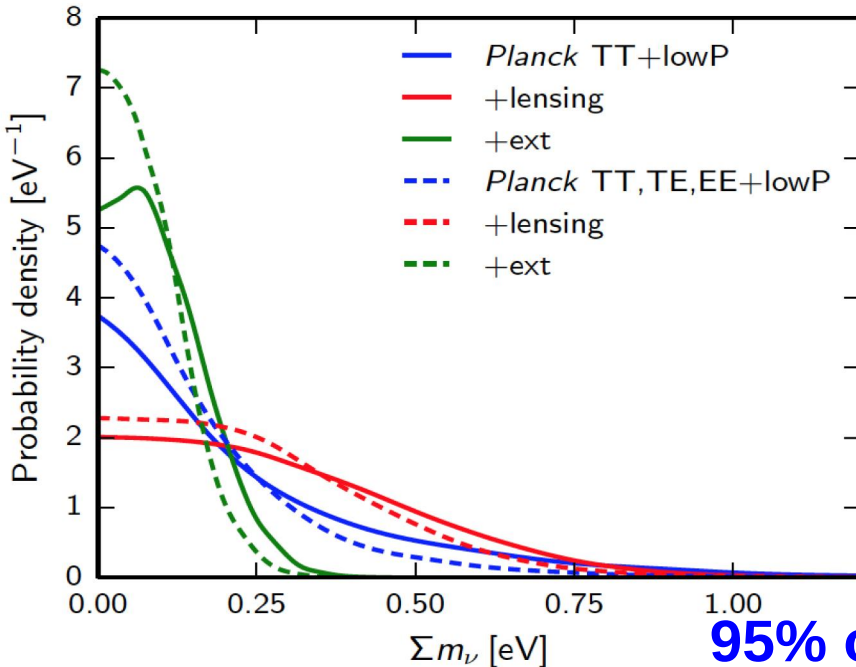
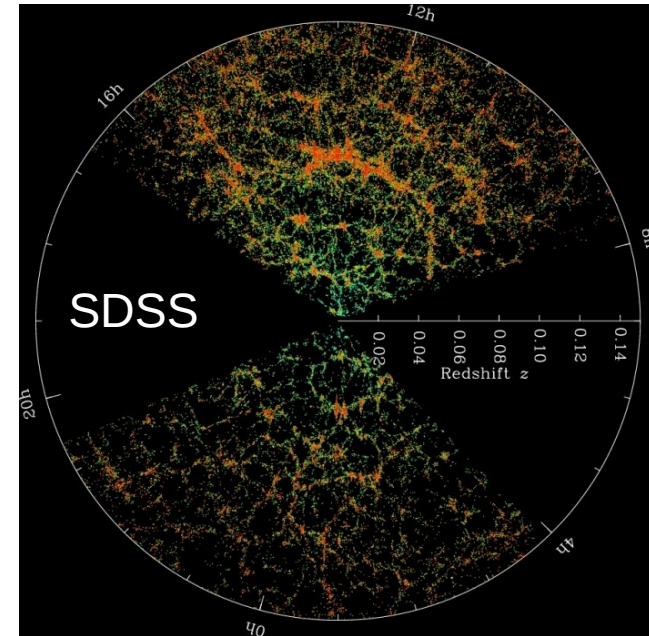
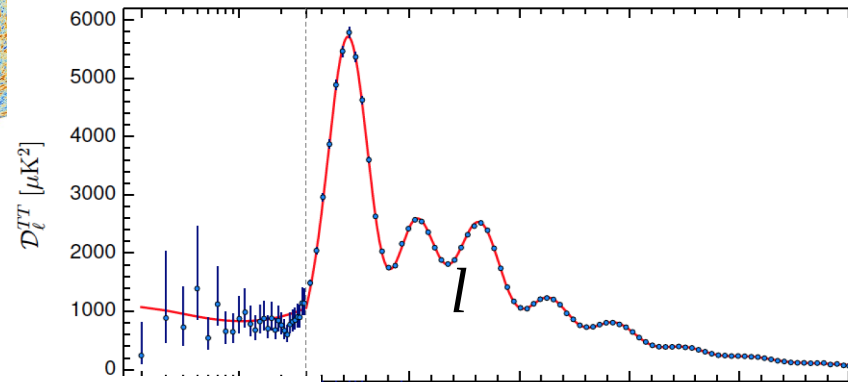
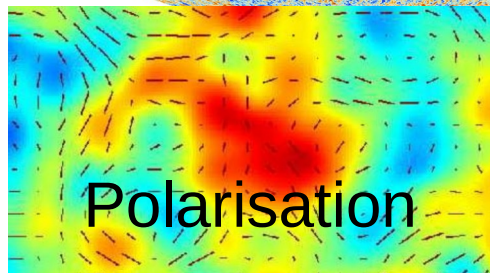
# Neutrino mass from cosmology

BB model: ratio  $\# \gamma / \# m(\nu) \rightarrow m < 50 \text{ eV}$

Large Scale Structure



Planck Collaboration:  
P. A. R. Ade et al., arXiv:1502.01589

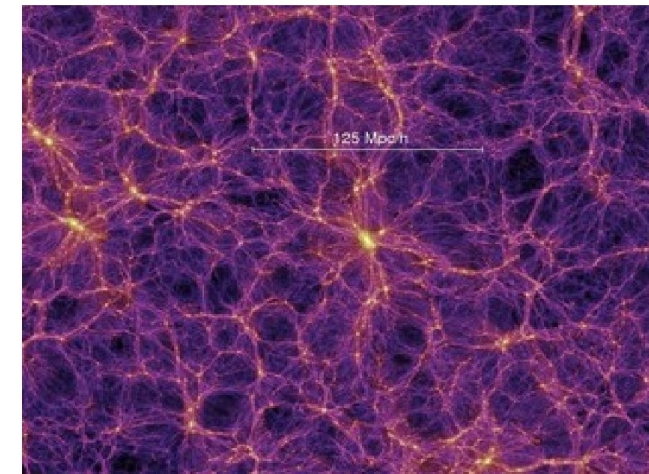


numeric models  
relic neutrinos  
 $336 \text{ cm}^{-3}$

Alternative: arXiv:1606.00634

$\Lambda$ CDM model ...  
very successful but

**95% of universe not understood**



# Fermi theory of beta decay

Fermi's Golden Rule:  $\underbrace{\Gamma_{i \rightarrow f}}_{\text{decay rate}} = \frac{2\pi}{\hbar} \underbrace{|\langle f | H | i \rangle|^2}_{\text{interaction matrix}} \cdot \underbrace{\rho(E_f)}_{\text{density of final states}}$

$$dn = \frac{V}{h^3} \cdot p^2 dp \cdot d\Omega$$

$$\rho(E_e, E_\nu, d\Omega_e, d\Omega_\nu) = \frac{V^2}{(2\pi)^6} \cdot p_e E_e \cdot p_\nu E_\nu$$

$E := E_e - m_e$  : kinetic electron energy

$E_0 = Q - E_{recoil}$  : maximal kinetic electron energy

for  $m_\nu = 0$  and  $Q \simeq E_0$

$$p_\nu = E_\nu = Q - E$$

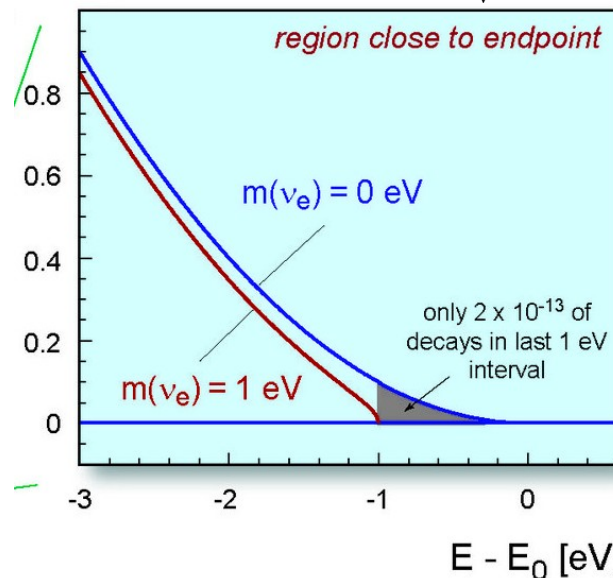
$$\frac{dN}{dE} \propto p_e E_e \cdot (Q - E)^2$$

$\sqrt{\frac{dN}{dE}}$  versus  $E$  : Kurie plot

$\Rightarrow Q = \text{abscissa}$

for  $m_\nu \neq 0$  and  $E_{recoil} \neq 0$

$$\frac{dN}{dE} \propto p_e E_e \underbrace{(E_0 - E)}_{E_\nu} \underbrace{\sum_i |U_{ei}|^2 \sqrt{(E_0 - E)^2 - m^2(\nu_i)}}_{p_{\nu_i}}$$

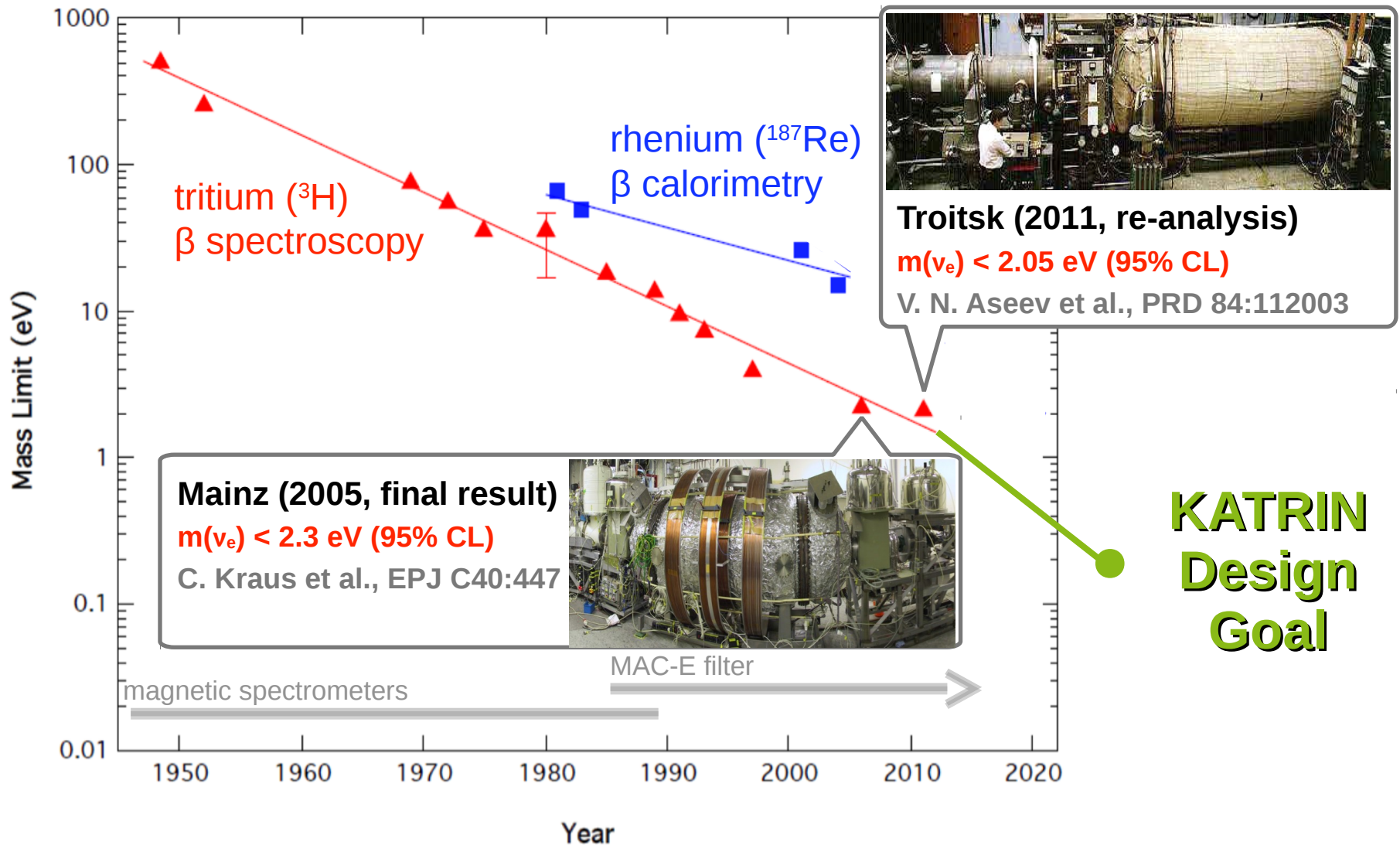


$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m^2(\nu_i)$$

Observable:  
Mass squared!  
100x better meas.  
 $\rightarrow 1/10 \times m(\nu)$



# Moore's law for direct neutrino mass



# Recipe for improving sensitivity

- Improve statistics
  - Luminous beta source ( $10^{11}$  decays/s)
  - Excellent energy resolution (0.93 eV)
  - Low backgrounds (even at sea level)
- Improve systematics
  - Extensive commissioning
  - Molecular physics
  - **Column density (activity, scattering)**
  - ...

## Powers of Ten

- **$5 \times 10^{-5}$  energy resolution**
  - spectrometer volume: 1400 m<sup>3</sup>
  - 3.5 Tesla superconducting magnets
- **$10^{-3}$  stability of tritium source density**
  - temp. regulation by dual phase Ne
- **$10^{-3}$  isotope content in source**
  - laser Raman spectroscopy
  - rapid circulation and purification system
- **$10^{-5}$  non-adiabaticity in electron transport**
  - novel computational code KASSEIPEIA
  - pulsed and pointing electron gun
- **$10^{-6}$  monitoring of HV-fluctuations**
  - ultra-precision HV divider
  - <sup>83m</sup>Kr energy standard
- **$10^{-8}$  remaining ions after source**
  - dipole drift electrodes, FT-ICR
- **$10^{-14}$  remaining flux of molecular tritium**
  - 3 Kelvin cryopumping with Argon frost
- **$10^7$  dynamic range of rate**
  - electronics and DAQ
- **$10^{-11}$  mbar ultrahigh vacuum**
  - huge getter and turbo molecular pumps



# KATRIN collaboration

## KARlsruhe TRitium Neutrino experiment

- **direct n-mass experiment:** at Tritium Laboratory (TLK), KIT
- international collaboration ~130 members  
from 6 countries: D, US, CZ, RUS, F, ES
- **uniting the world's expertise in tritium beta decay!**



### 19 institutions:



Max-Planck-Institut für Physik  
(Werner Heisenberg Institut)

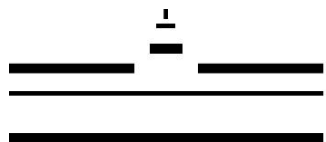


universität**bonn**

**Hochschule Fulda**  
University of Applied Sciences



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL



WESTFÄLISCHE  
WILHELMS-UNIVERSITÄT  
MÜNSTER



BERGISCHE  
UNIVERSITÄT  
WUPPERTAL



JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ

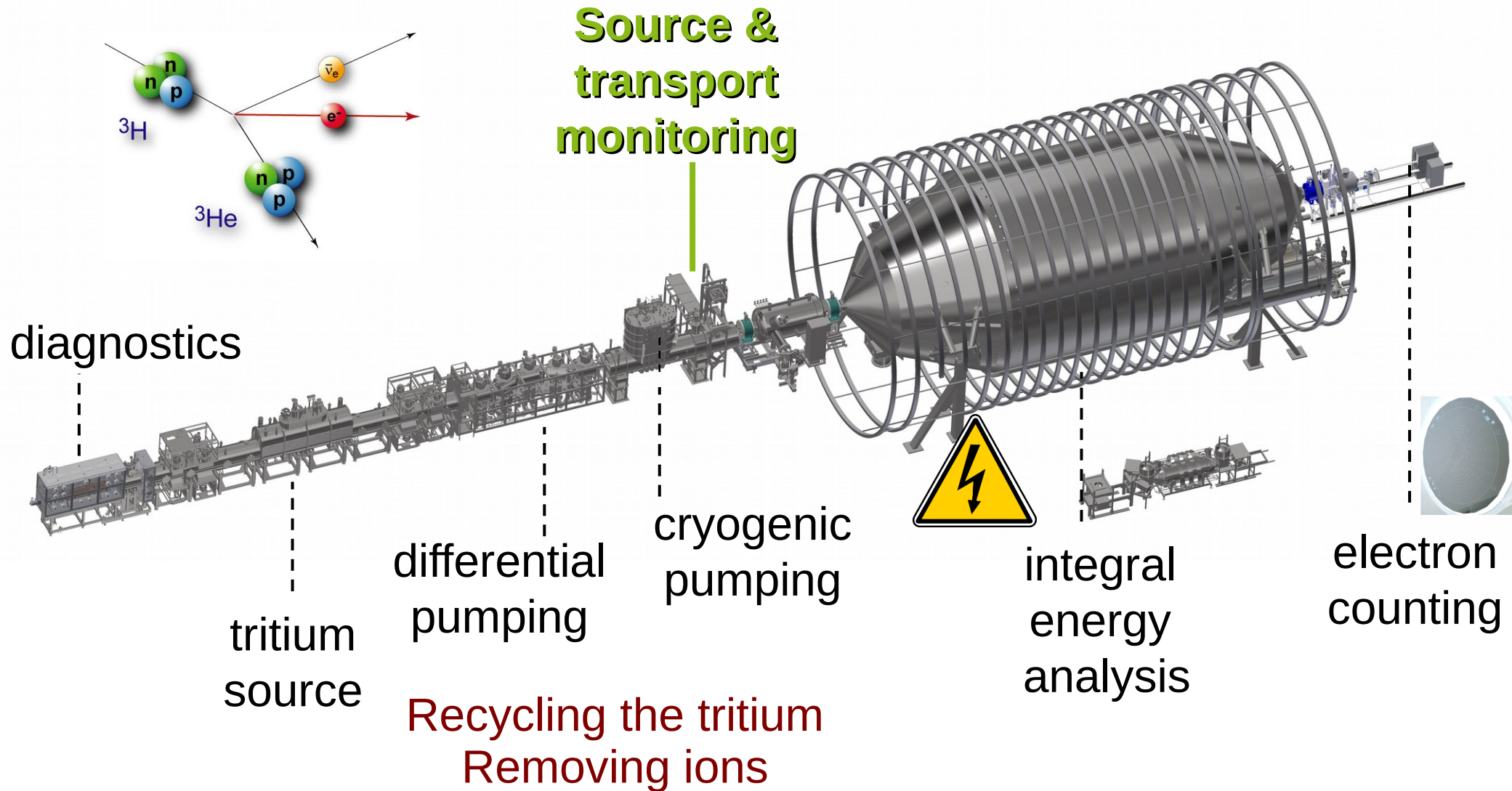


UNIVERSIDAD  
COMPLUTENSE  
MADRID



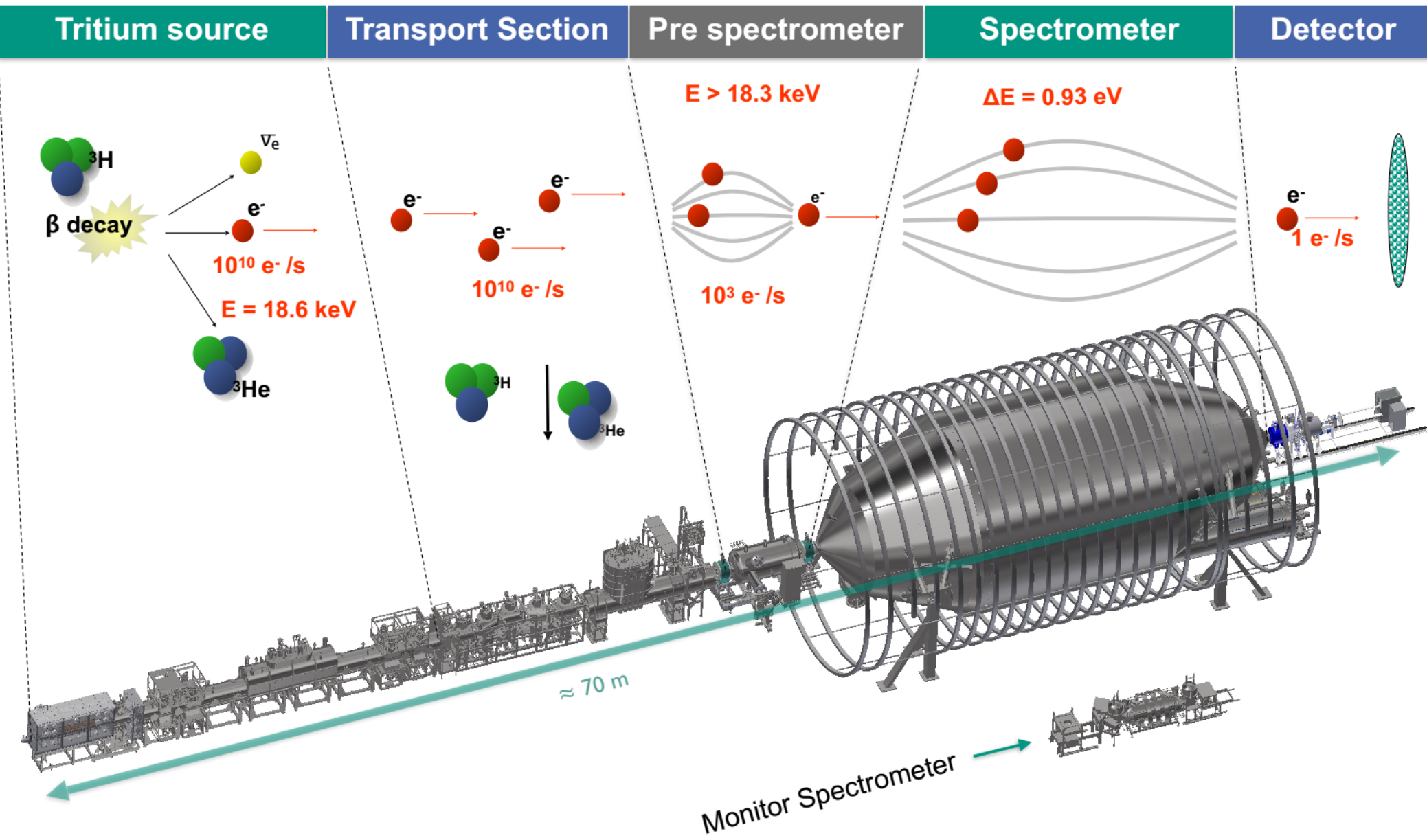
BERGISCHE  
UNIVERSITÄT  
WUPPERTAL

# KATRIN beam line: 70 m





# Tracking the beta-electrons

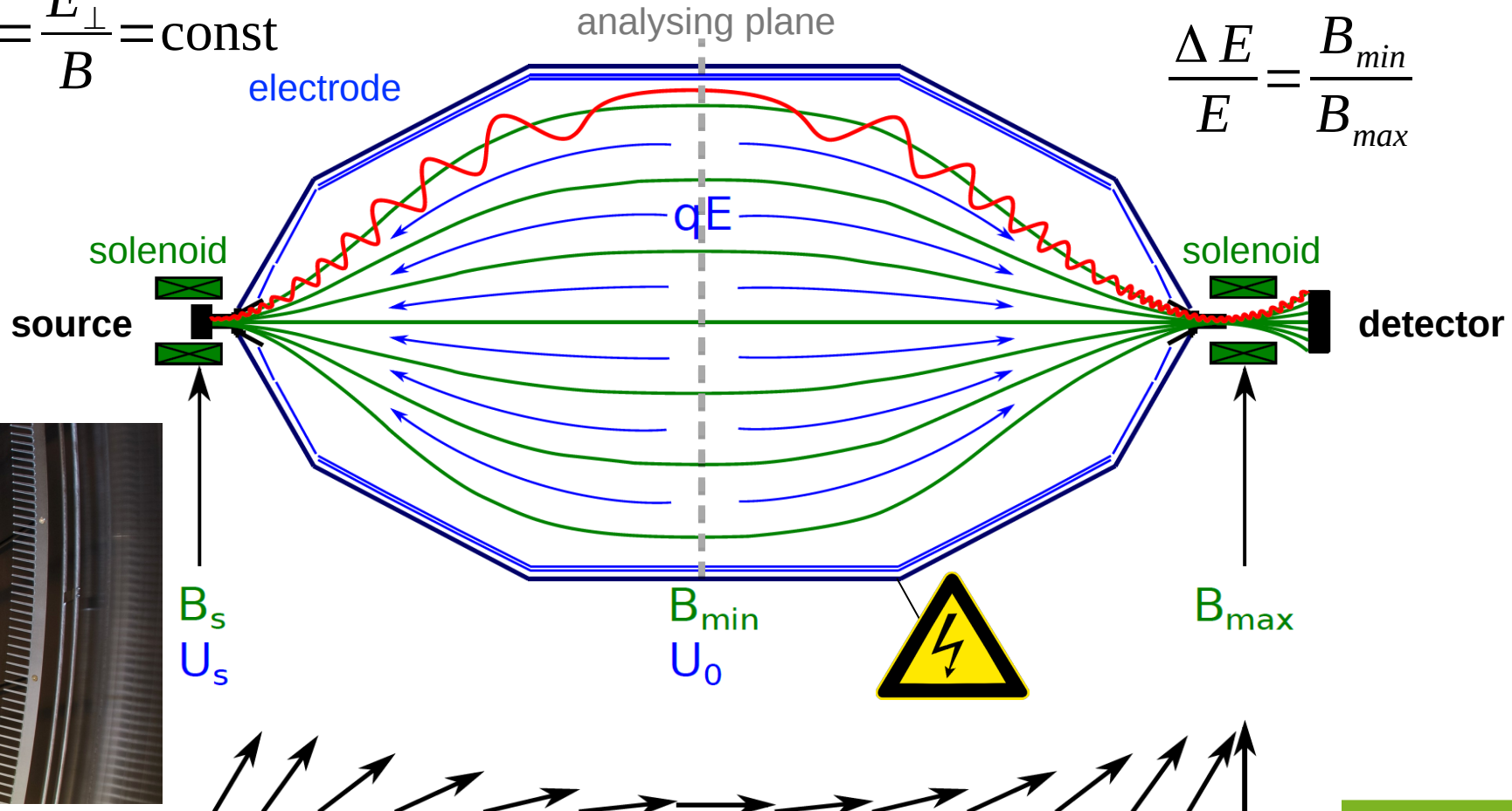




# MAC-E filter principle

Magnetic Adiabatic Collimation & Electrostatic filter

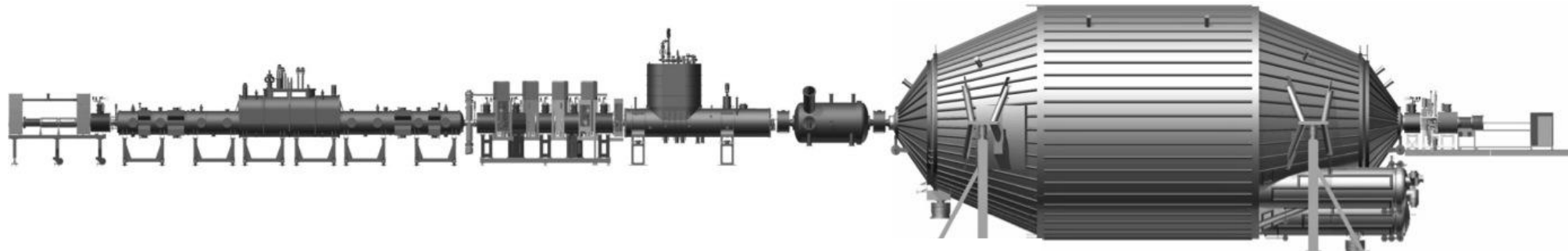
$$\mu = \frac{E_{\perp}}{B} = \text{const}$$



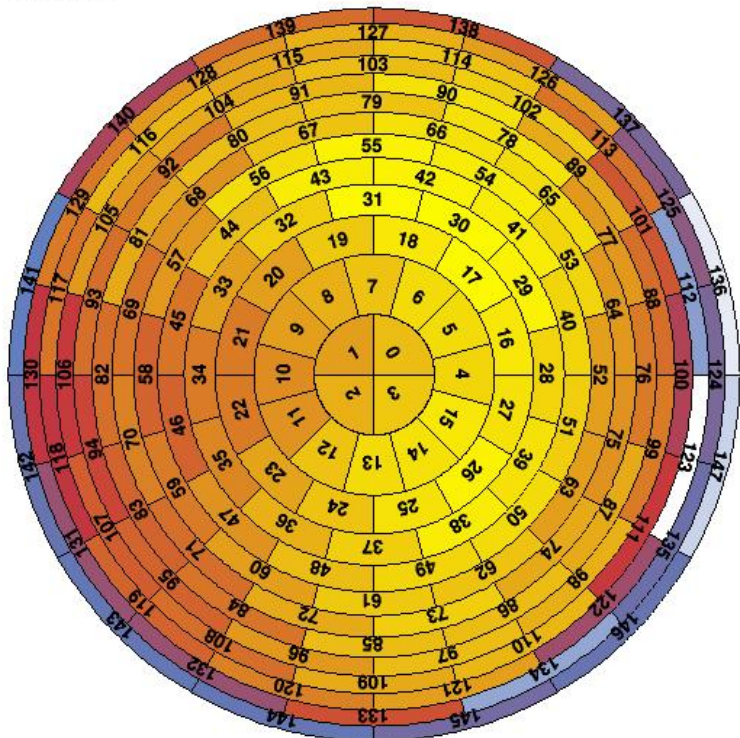


# Technical start of KATRIN: “1<sup>st</sup> light”: Oct. 14, 2016

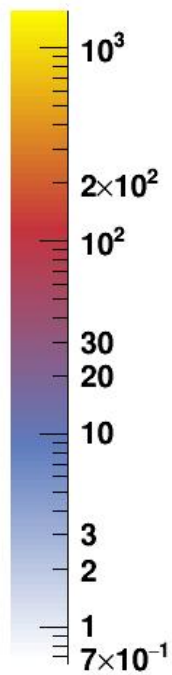
Photo electrons over full 70m long beamline, but no tritium yet



Event Rate



(cps)

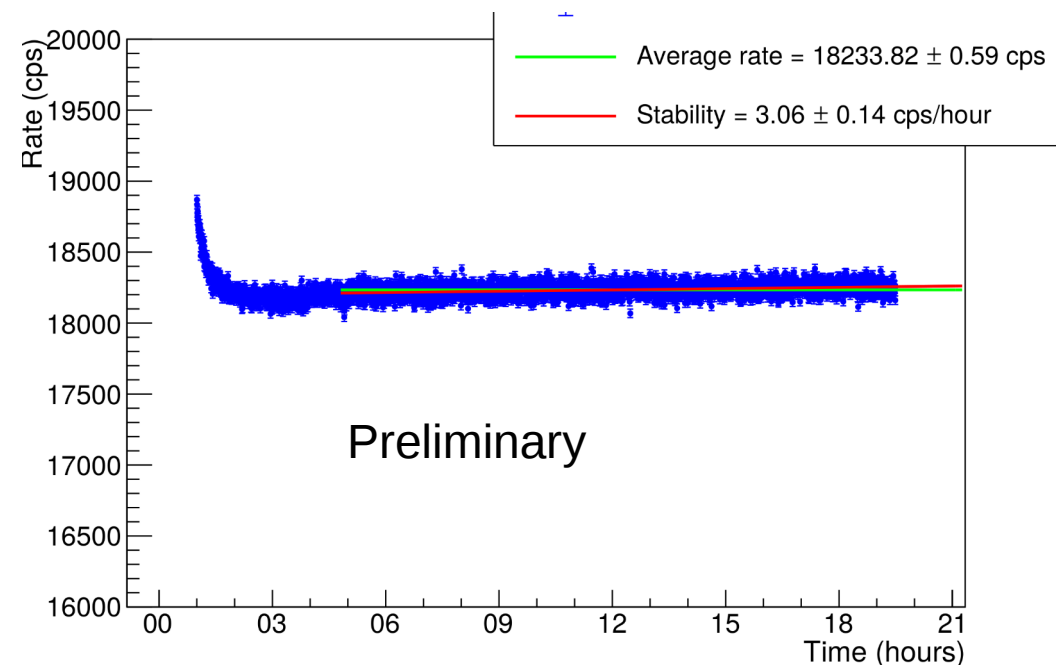
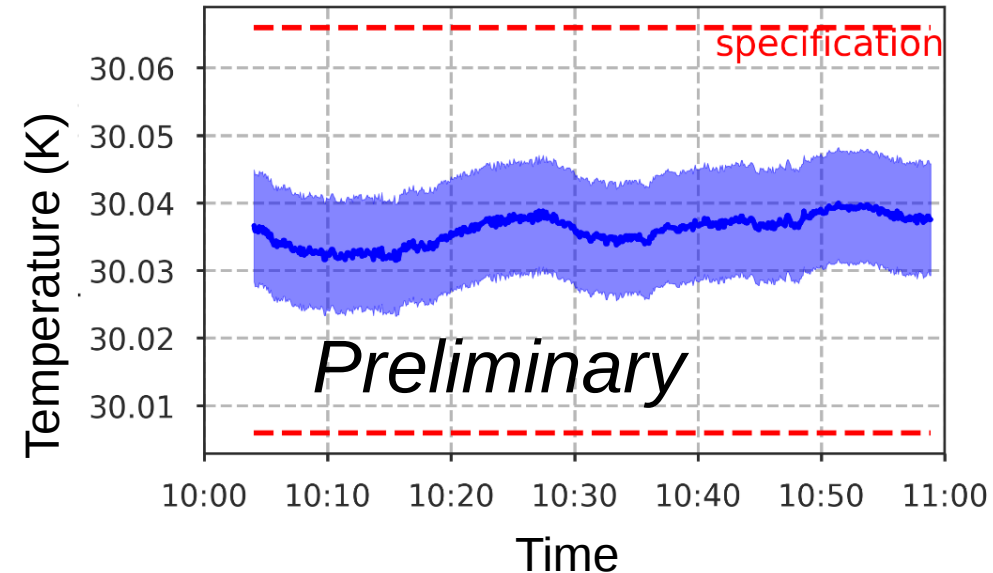




# First tritium in KATRIN since 18 May

0.5% T atoms circulating in D<sub>2</sub> gas

- WGTS beam-tube temperature
  - Standard deviation less than 0.1% over 60 min
- Source and Transport System stability

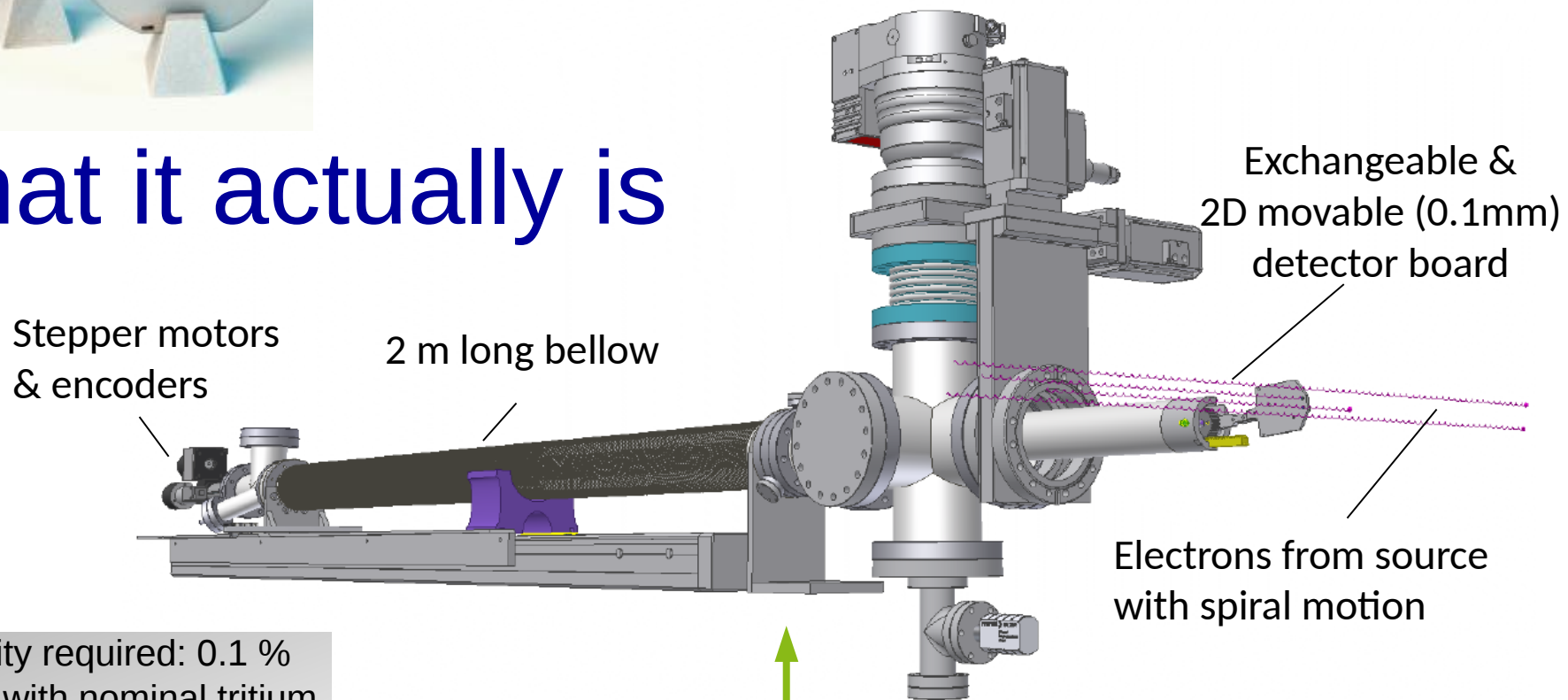


# Beam monitoring: What I had thought of ...

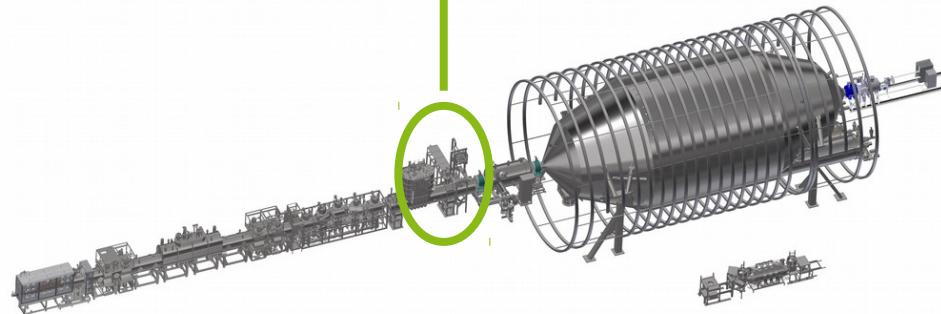
Fluorescent screens to be flipped into  
> ~ GeV beams at moderate vacuum.



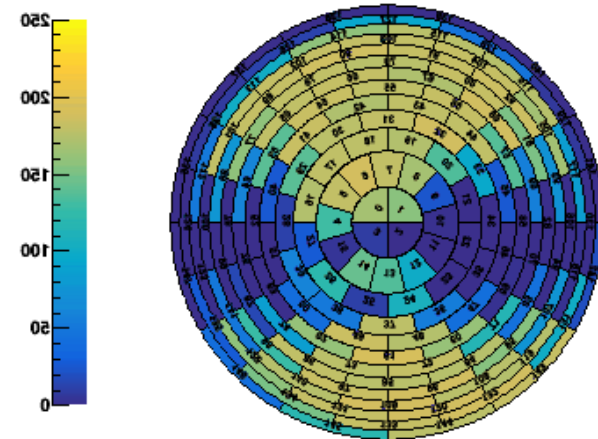
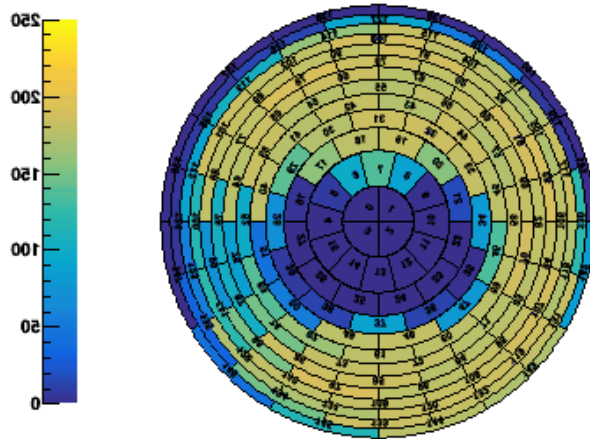
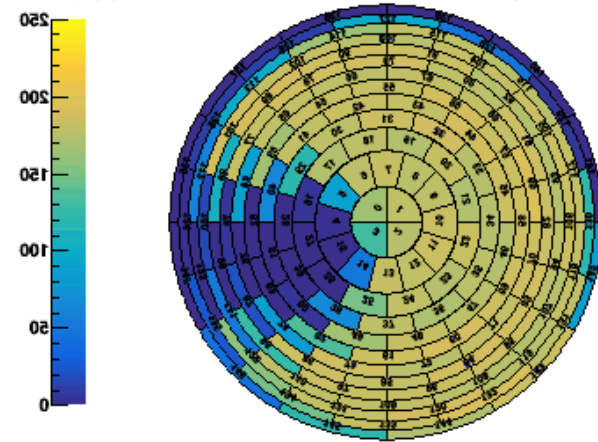
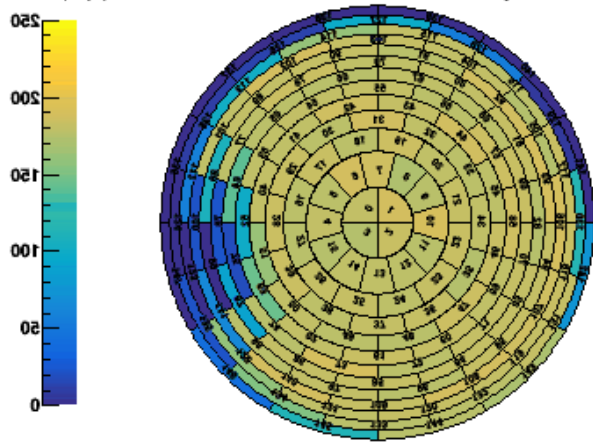
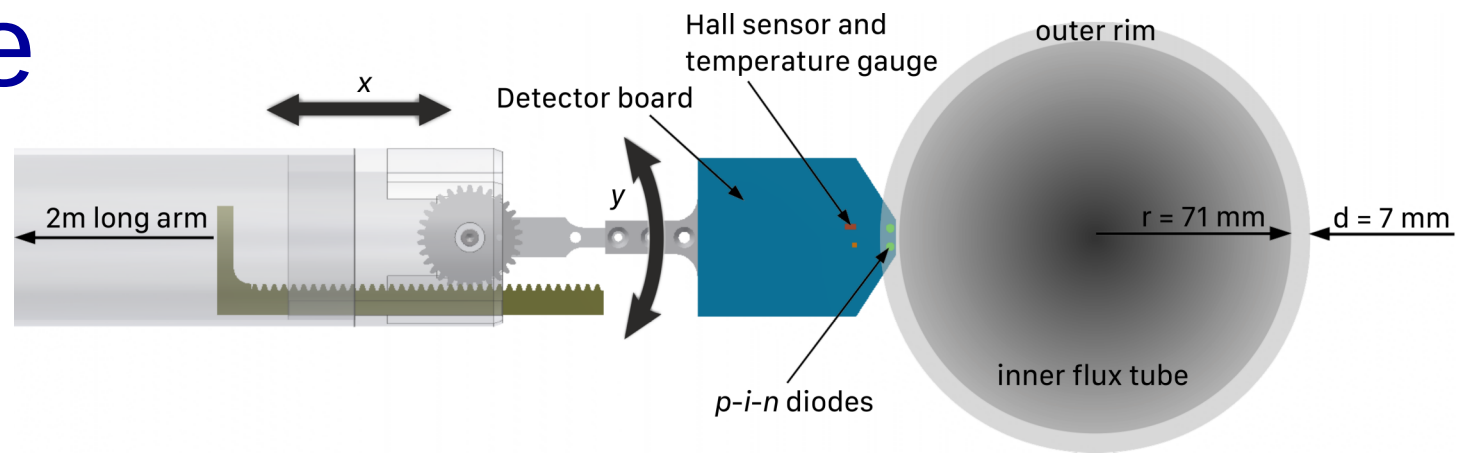
## ... what it actually is



- Rate stability required: 0.1 %
- Count rate with nominal tritium density: 1 MHz per 1 mm<sup>2</sup>
- Vacuum: 10<sup>-9</sup> mbar
- Magnetic field: 1.2 Tesla
- Temp.: -190° – +150° C



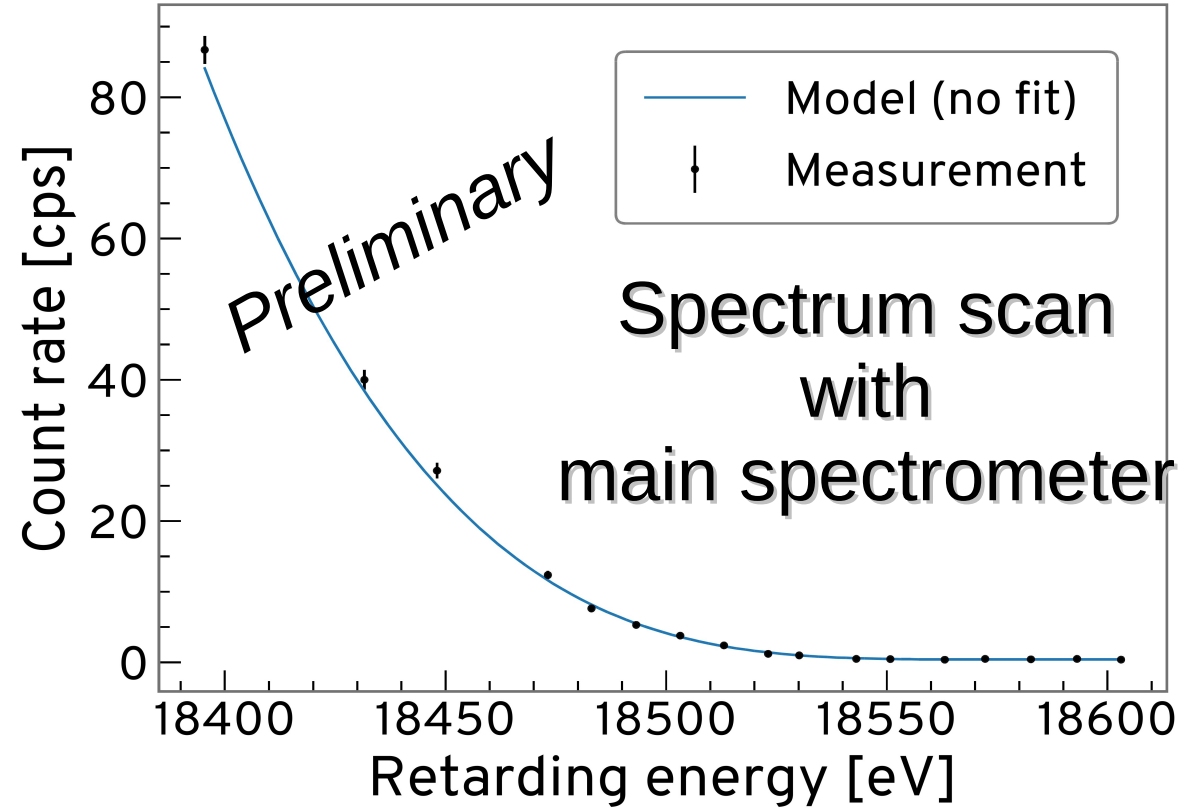
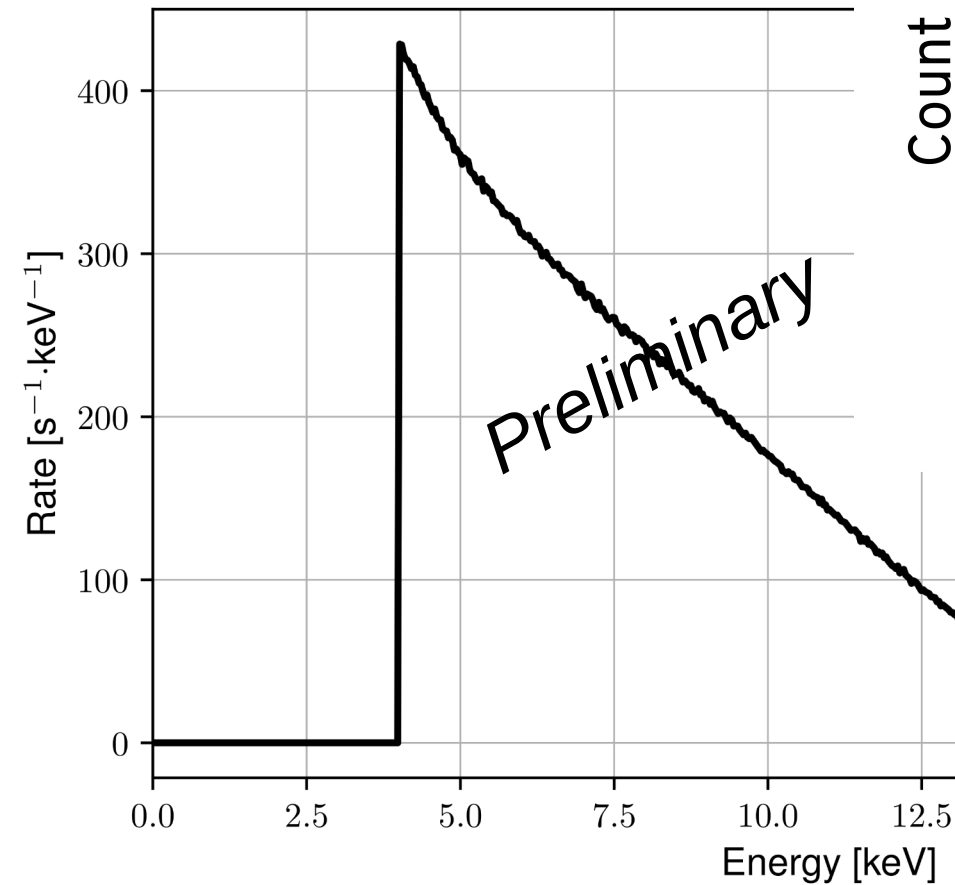
# Seeing the $\beta$ -electron flux





# First tritium spectra

## Spectrum from transport section PIN-diode

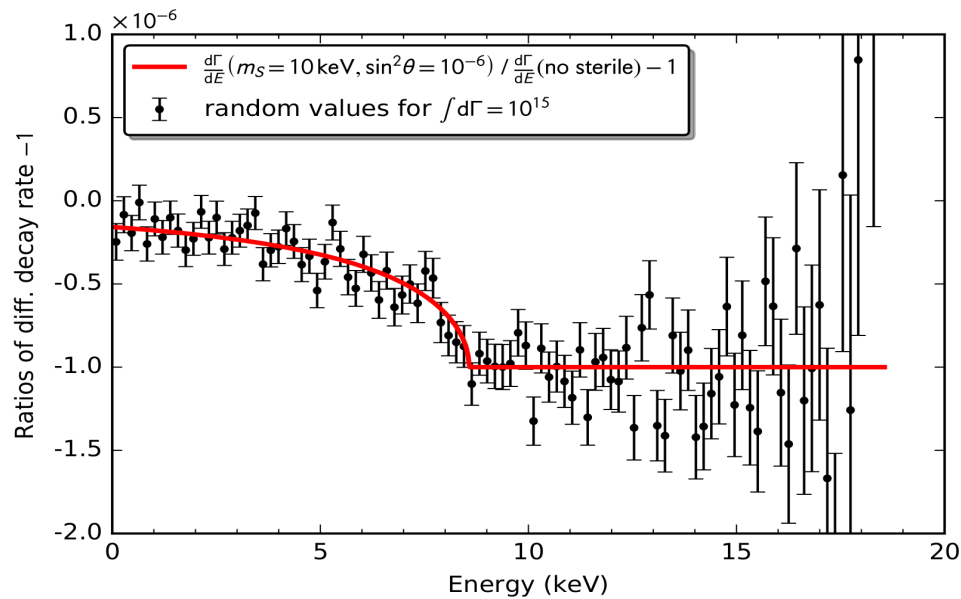


# What's next

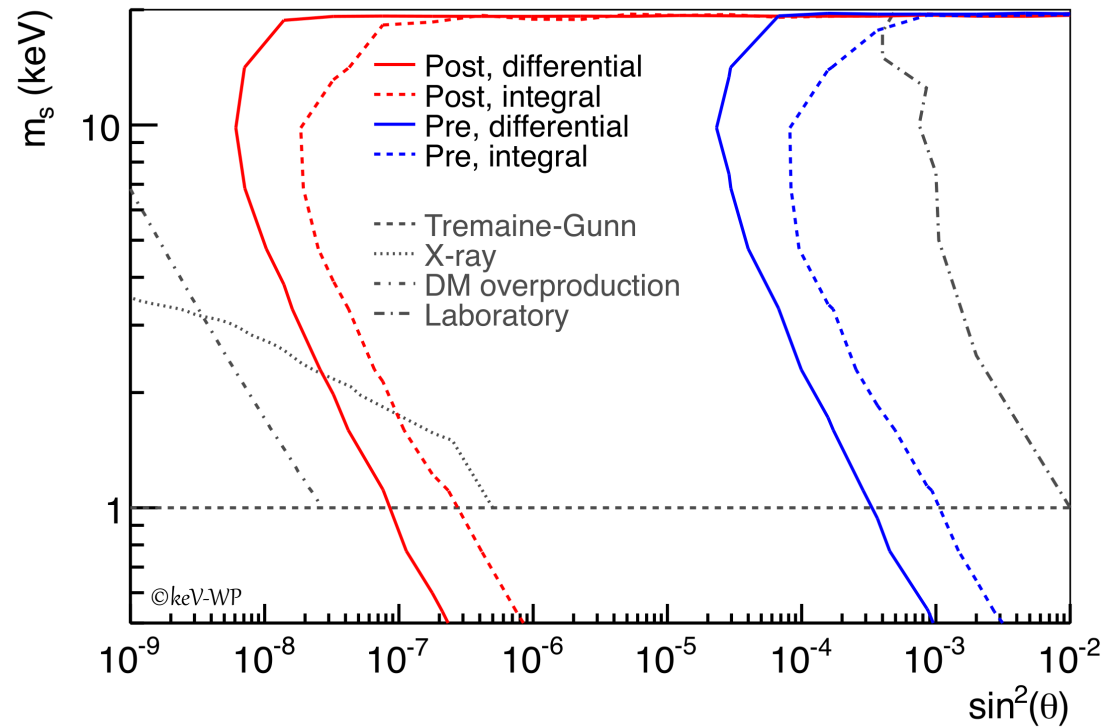
- KATRIN is now put to work
  - First full-beamline data, Oct. 2016
  - First spectral measurement of radioactive source, July 2017
  - First tritium since 5 weeks after ~ 20 year of preparation
  - Measurements this fall with D<sub>2</sub> gas
  - expect first neutrino mass data in early 2019
  - 5 year measurement campaign for neutrino mass
- Additional programme:
  - Sterile neutrinos at eV and keV scales
  - Right-handed weak currents
- Next steps:
  - KATRIN discovers neutrino mass → Stockholm ? , reconsider  $\Lambda$ CDM ?
  - ... in the remote chance, it is not found ... KATRIN is not immediately scalable
    - new ideas needed!
      - ECHo
      - Project-8

# Sterile keV Neutrinos

## Kinky spectrum

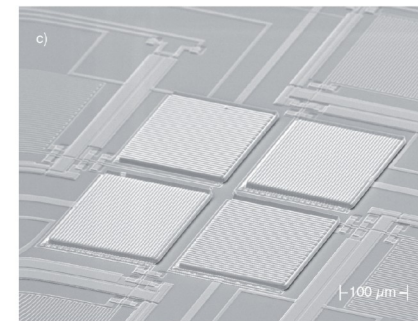
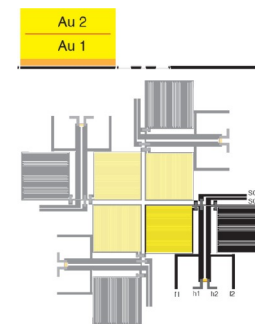
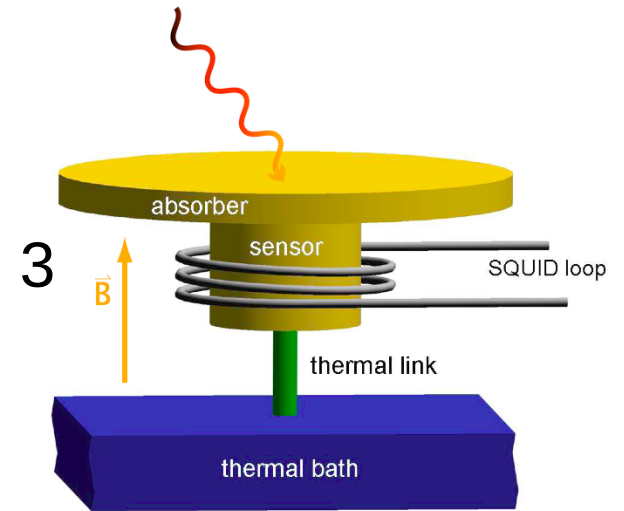
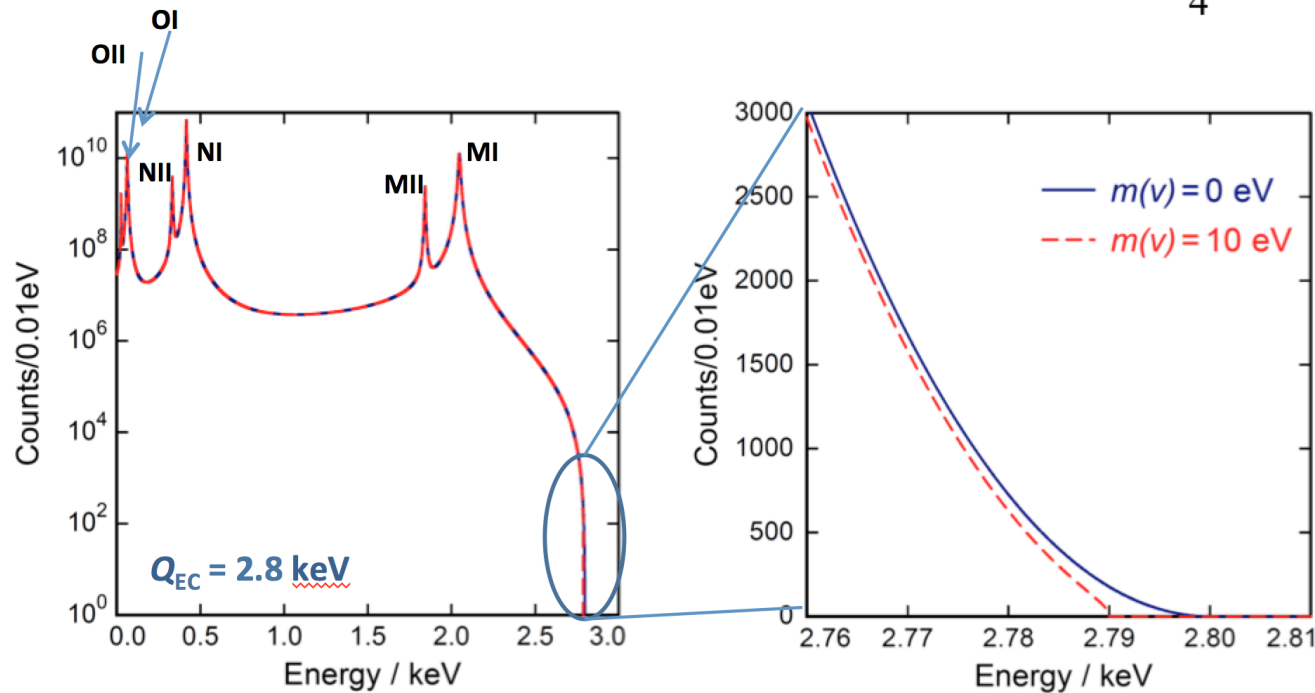
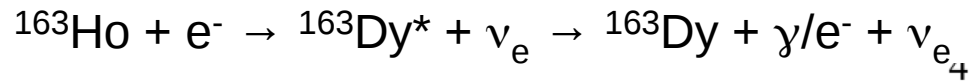


## KATRIN projected sensitivity





# EChO: $^{163}\text{Ho}$ electron capture with metallic magnetic calorimeters



# Project 8 goal: Measure coherent cyclotron radiation of tritium $\beta$ electrons

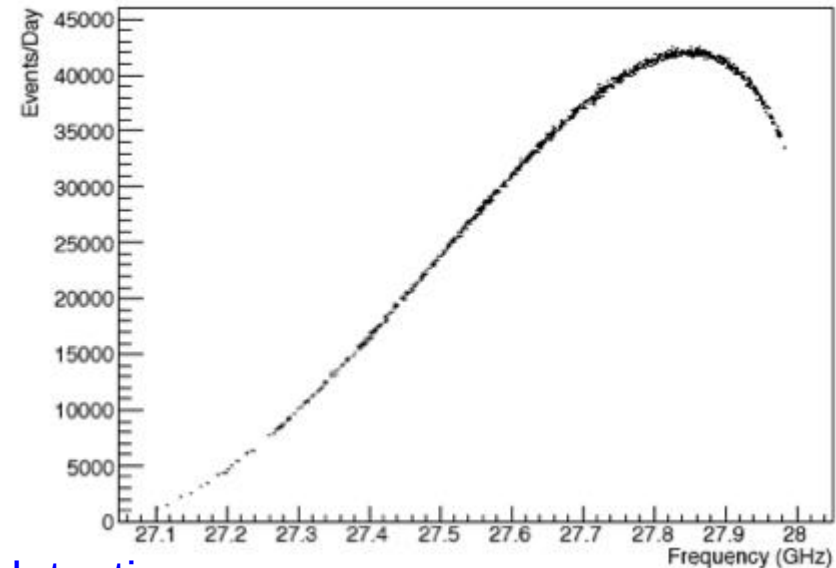
## General idea:

- Source = KATRIN tritium source technology :

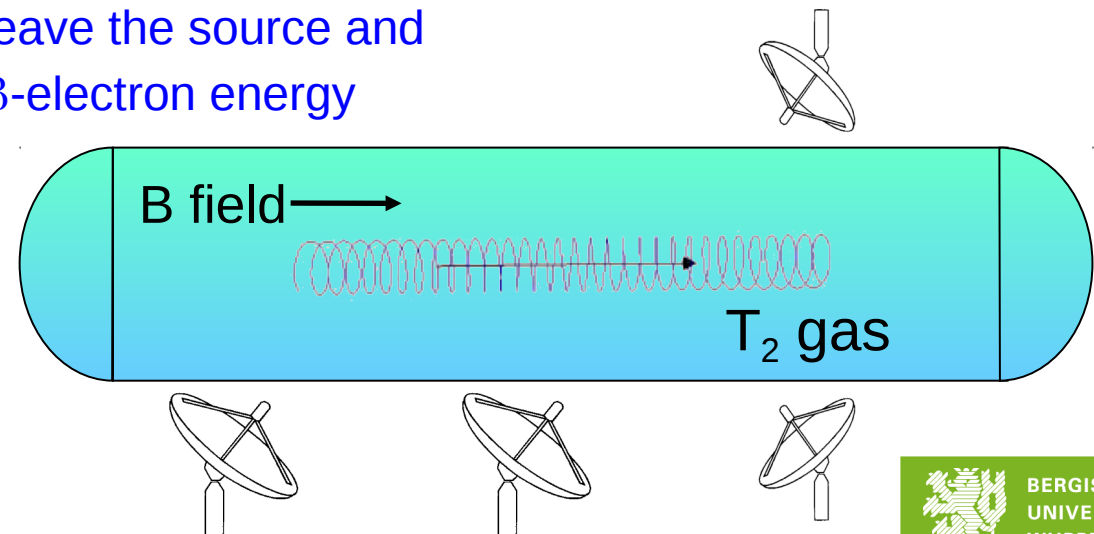
uniform B field + low pressure  $T_2$  gas

**$\beta$  electron radiates coherent cyclotron radiation**

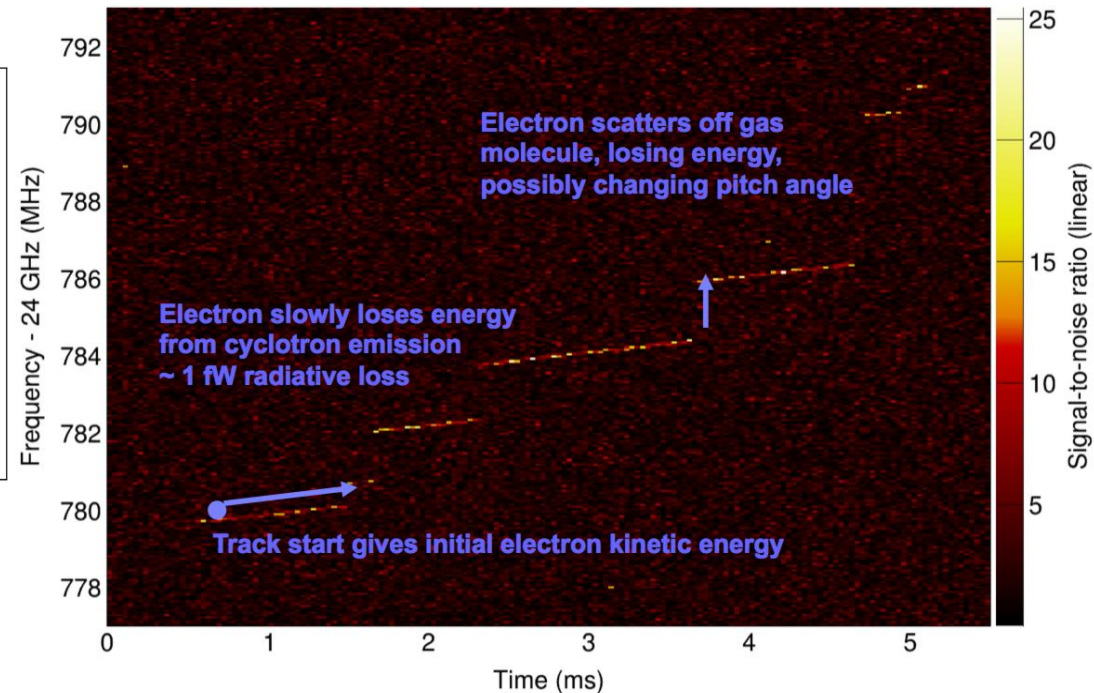
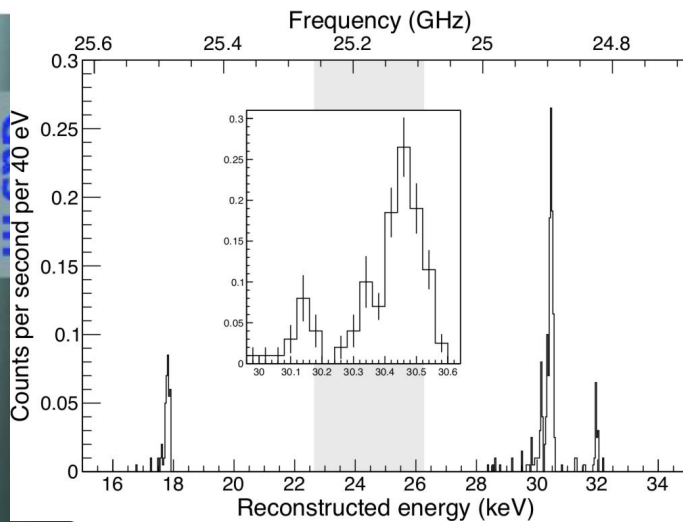
$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$



- Antenna array (interferometry) for cyclotron radiation detection since cyclotron radiation can leave the source and carries the information of the  $\beta$ -electron energy



# Project 8: Single electron detection from $^{83m}\text{Kr}$



First detection of single electrons successful but still a lot of R&D necessary

- Is a large scale experiment possible ?
- What are the systematic uncertainties & other limitations?





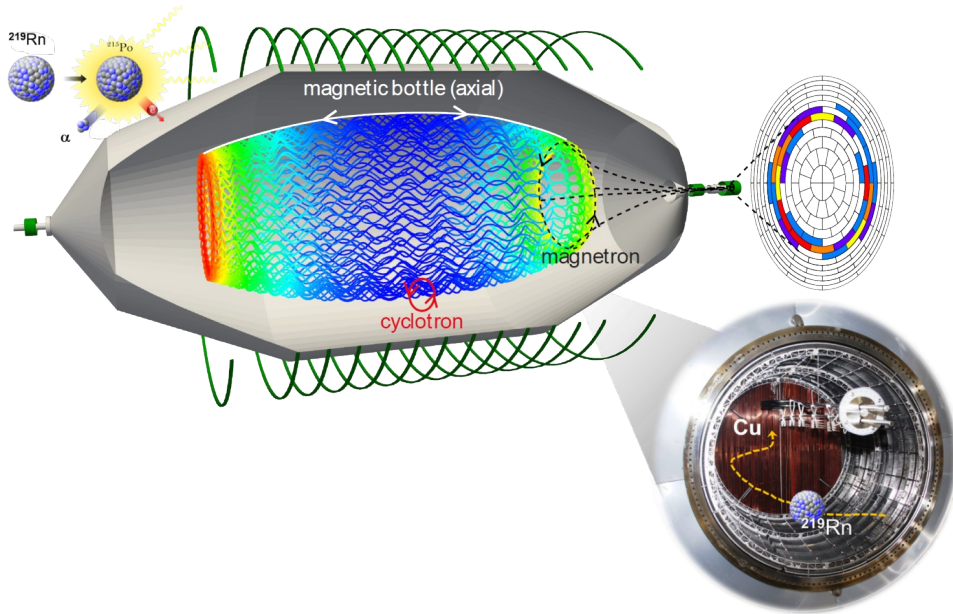
**Thank you for your attention!**



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# Backgrounds

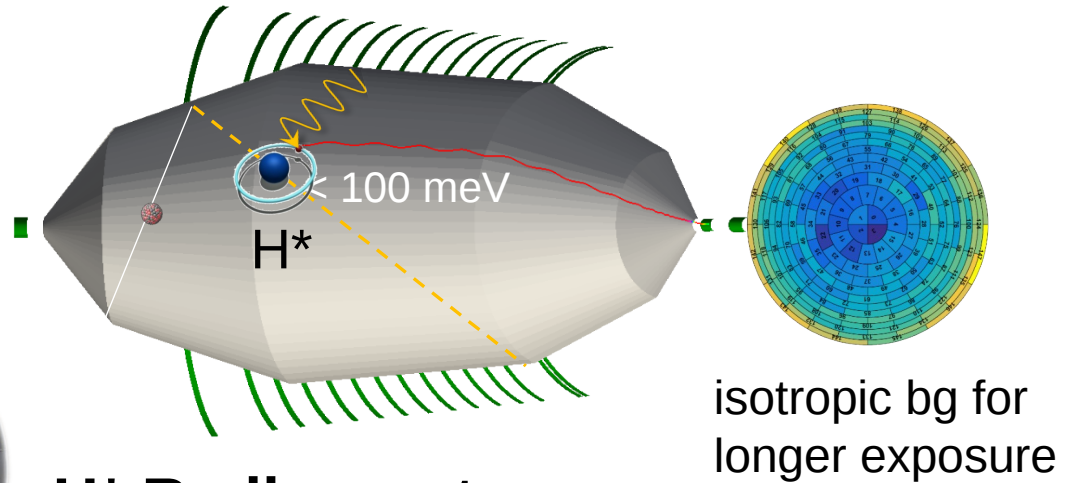


## $^{219}\text{Rn}$ atoms:

- $^{219}\text{Rn}$  emanates from NEG
- bg-rate:  $\sim 0.5$  cps

## countermeasure:

- cryotraps in front of NEG
- 3 LN2-cooled Cu-baffles



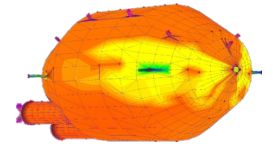
## H\* Rydberg atoms:

- desorbed from walls due to  $^{206}\text{Pb}$  recoil ions
- bg-rate:  $\sim 0.5$  cps

## countermeasures:

reduce H-atom surface coverage:

- extended bake-out phase
- strong UV illumination source



**Can't we use Axions?**

# Background and sensitivity

