

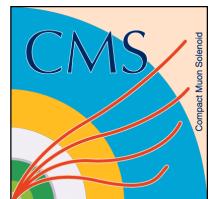
# Standard Model Higgs to Fermions

with Run-2 data in CMS experiment



Yiwen Wen  
on behalf of CMS collaboration  
DESY  
Kobe, DIS2018, 2018.04.17

HELMHOLTZ RESEARCH FOR  
GRAND CHALLENGES



# Overview

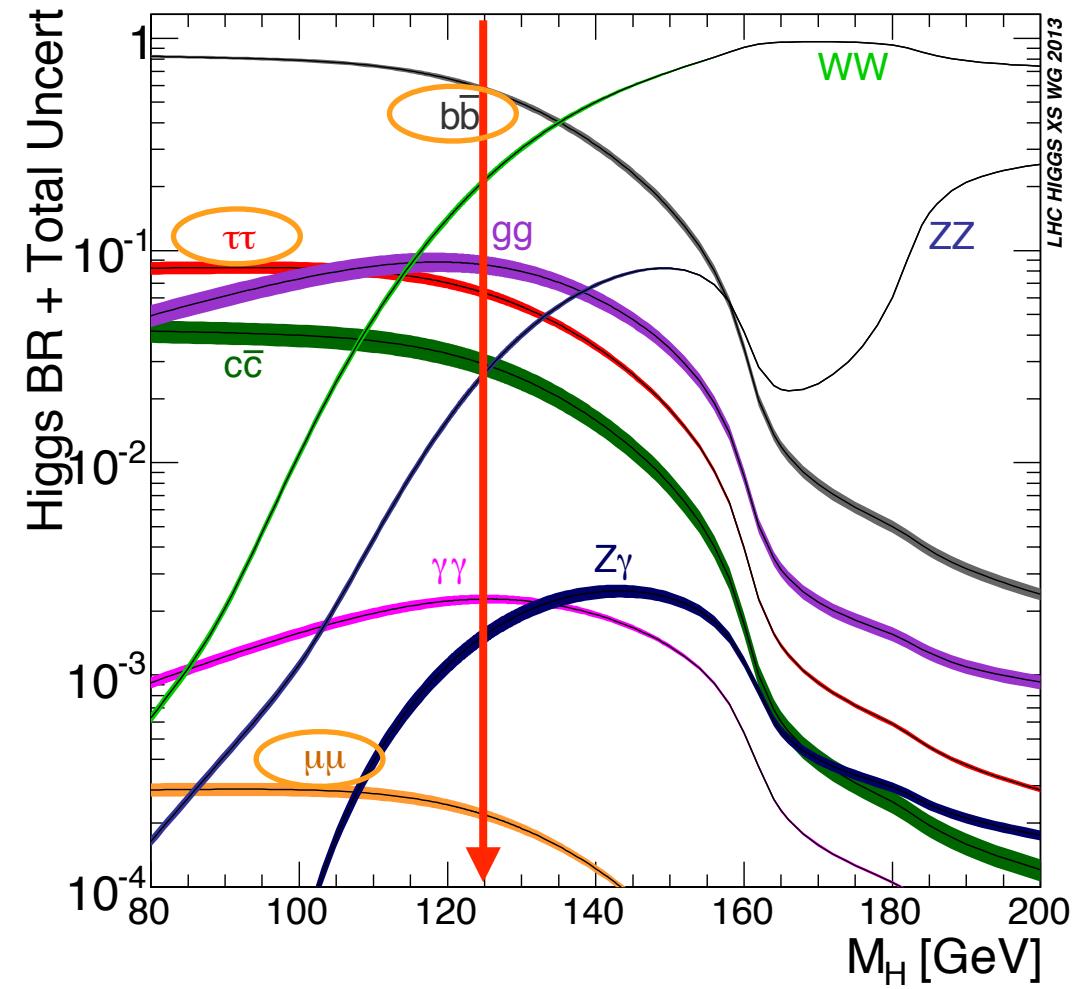
## decay of the Higgs boson

in Run-1, a 125 GeV Higgs boson was discovered by CMS and ATLAS

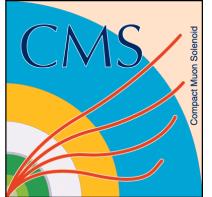
- based on the bosonic decay channel:  $\gamma\gamma$ , ZZ and WW
- the properties measured so far are consistent with SM

SM also predicts fermionic decay of Higgs boson

- large branching ratios for bb and tautau
- analysis less sensitive due to overwhelming background
- observation of Higgs to fermions decays is crucial to test Yukawa coupling



# contents



2.3/fb, 2015 data

- **search for VBF, H-> bb (CMS-PAS-HIG-16-003)**

35.9/fb, full 2016 data

- **observation of H->tau tau (Phys. Lett. B 779 (2018) 283)**
- **evidence for VH, H-> bb (Phys. Lett. B 780 (2018) 501)**
- **search for Boosted H-> bb (Phys. Rev. Lett. 120 (2018) 071802)**
- **search for H-> mu mu (CMS-PAS-HIG-17-019)**

\*see Aruna's presentation for ttH, H-> bb results

# search for VBF, H-> bb

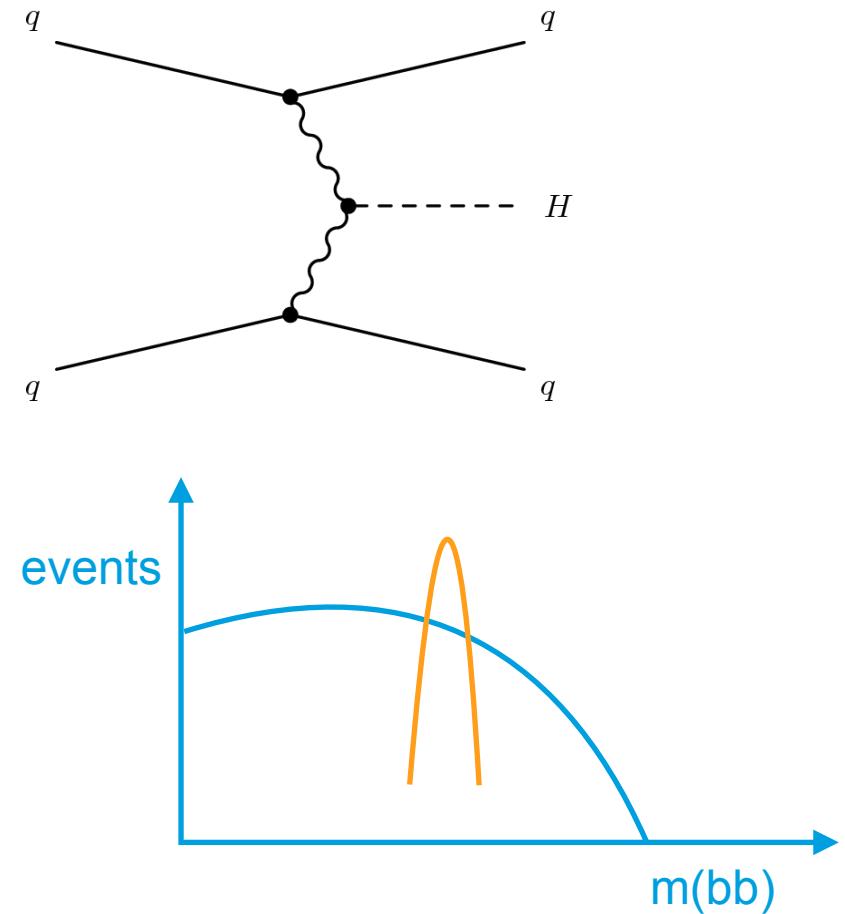
CMS-PAS-HIG-16-003

## VBF H-> bb signature

- 2 central b-jets
- 2 light q-jets with large  $\Delta\eta$  and  $m(jj)$
- suppress color-flow between VBF jets

## Analysis strategies

- topological triggers
- **use BDT** to exploit the difference between signals and QCD. BDT is orthogonal to b-jet kinematics
- perform fits of  $m(bb)$  spectra in different MVA categories
- search for a  $m(bb)$  bump on a smoothly falling background
- analysis is split into two parts from complementary trigger strategies:  
**SingleB** and **DoubleB**



# search for VBF, H-> bb

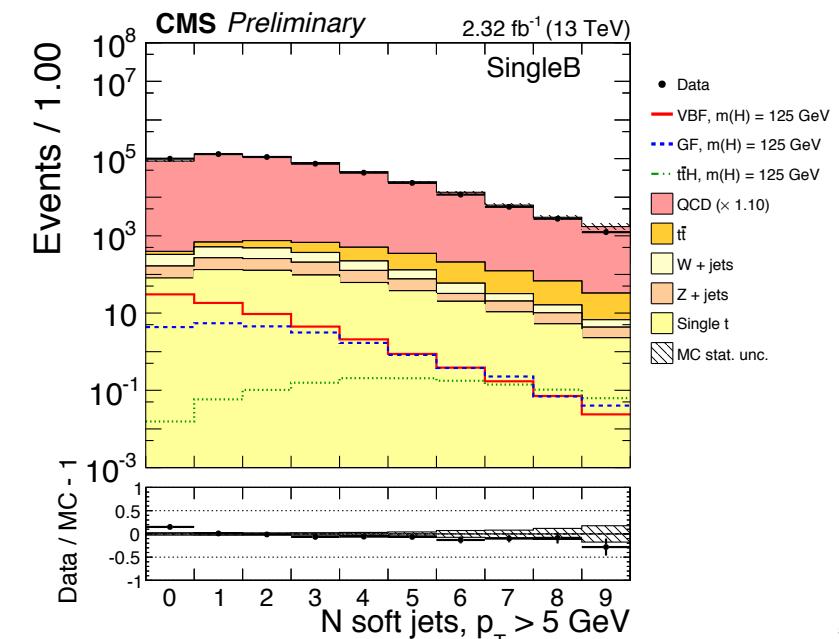
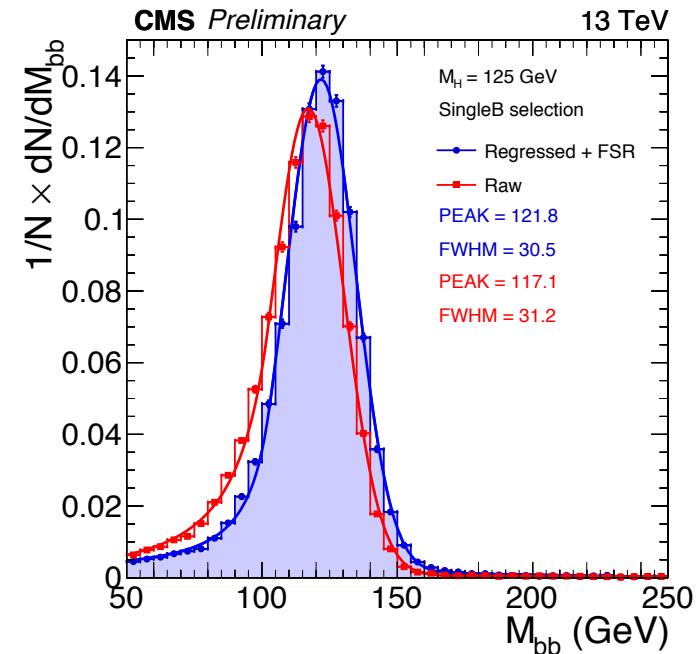
CMS-PAS-HIG-16-003

## calibration of b-jet pT

- regression MVA technique is used
- trained using tt events, validated with Z+jets
- 7% improved m(bb) resolution

## event categorization based on BDT

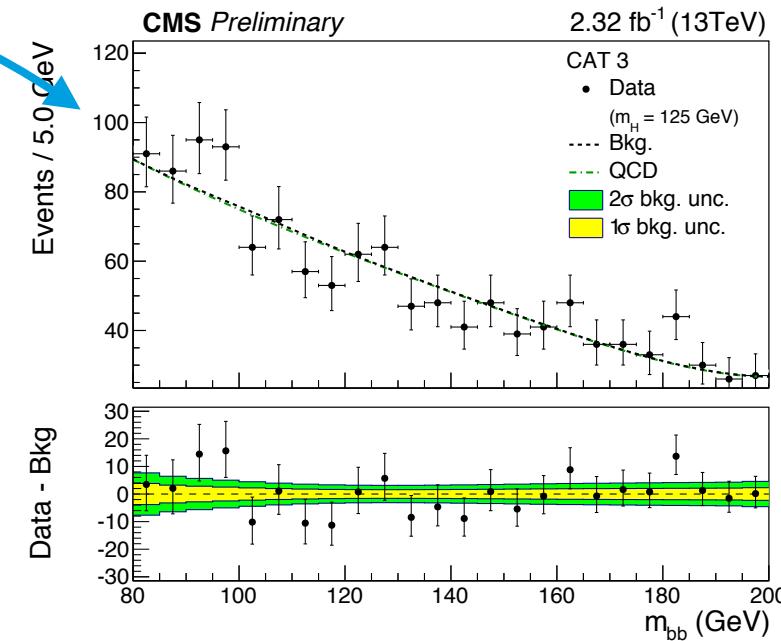
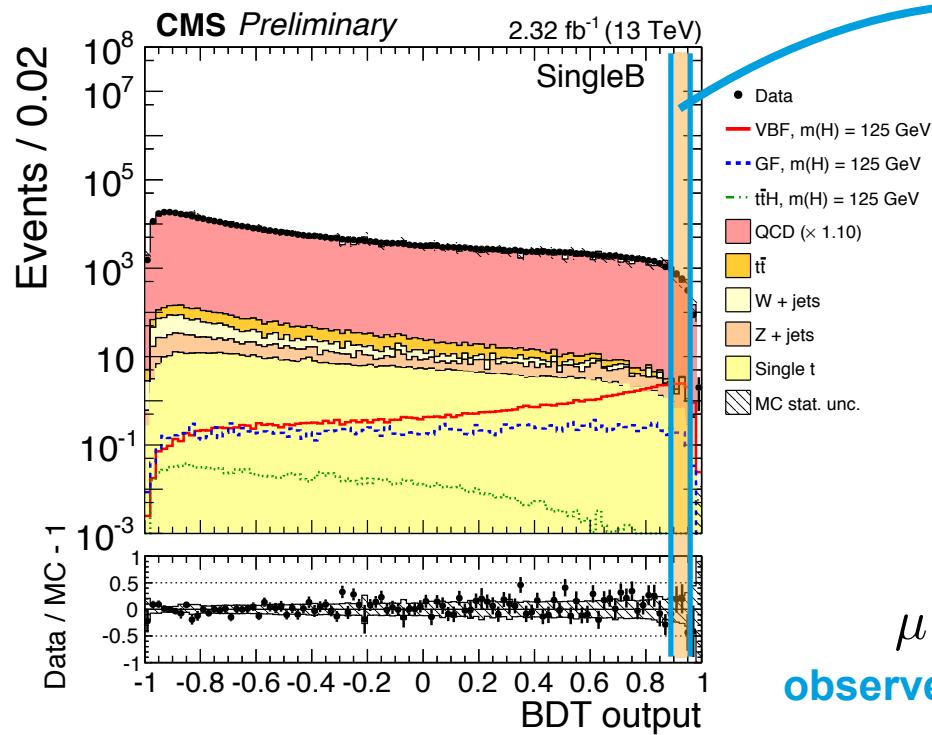
- discriminating variables:
  - soft track-jet multiplicity
  - q/g discrimination: minor RMS of jet constituents in the  $\eta$ - $\varphi$  plane
  - VBF di-jet signature
  - b-tag
- separate trainings for **SingleB** and **DoubleB**



# search for VBF, H-> bb

CMS-PAS-HIG-16-003

- events are divided into 7 categories based in BDT output to maximize the signal sensitivity
- QCD modeling taken from data in signal-free category and then transferred to the signal categories
- top and Z+jets are modeled from MC
- simultaneous fit in 7 signal categories



$$\mu = -3.72^{+2.39}_{-2.51}$$

observed(expected) upper limit @95% CL:  
3.0 (5.0) times SM prediction

# search for VBF, H-> bb

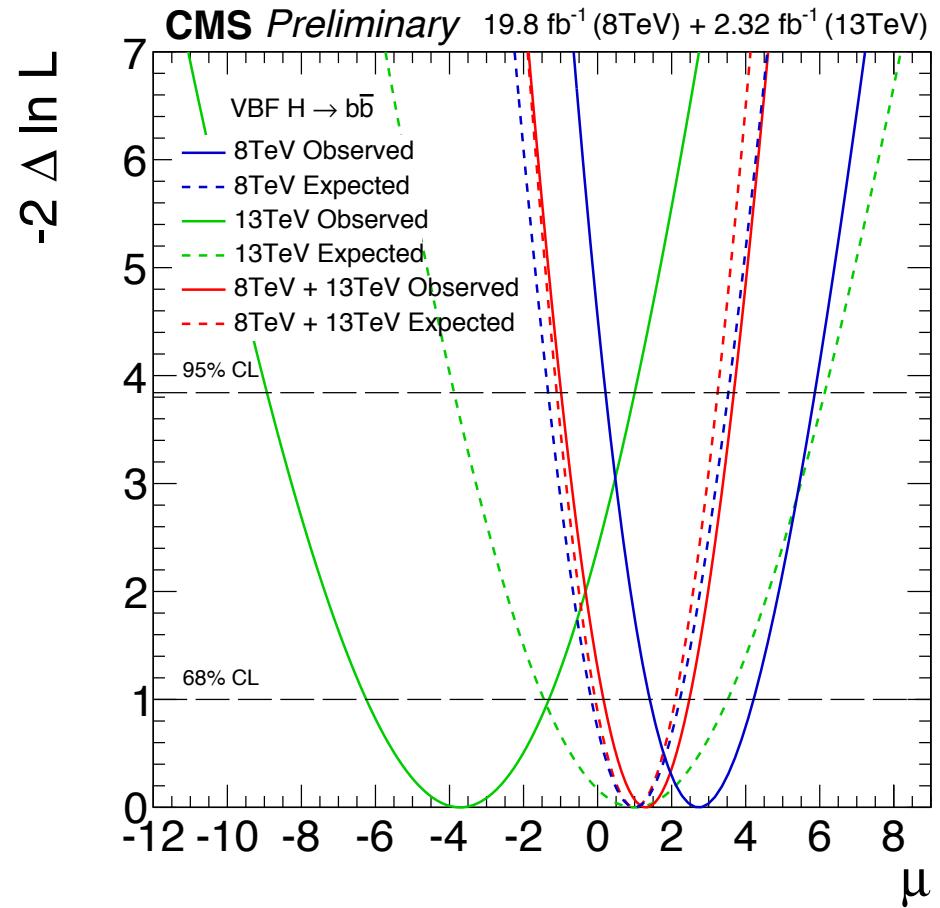
CMS-PAS-HIG-16-003

## combination of Run-1 results

The combination of Run 1 and Run 2:  
**observed (expected) upper limit of 3.4**  
**(2.3) times the SM prediction**, and a signal strength of

$$\mu = 1.3^{+1.2}_{-1.1}$$

with significance 1.2 standard deviation



# observation of H-> tau tau

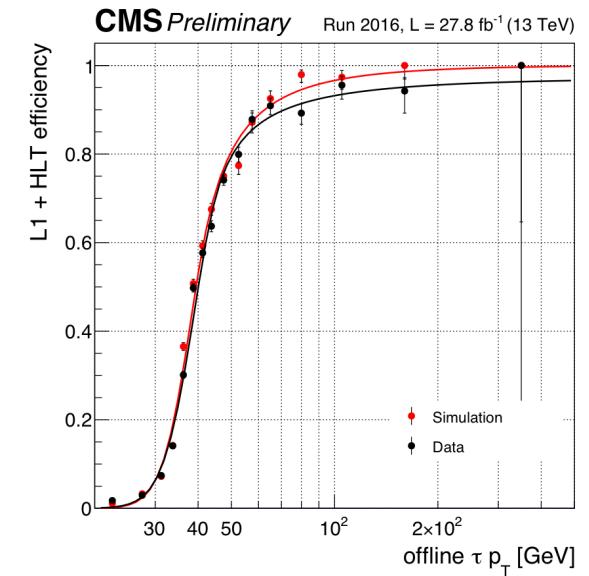
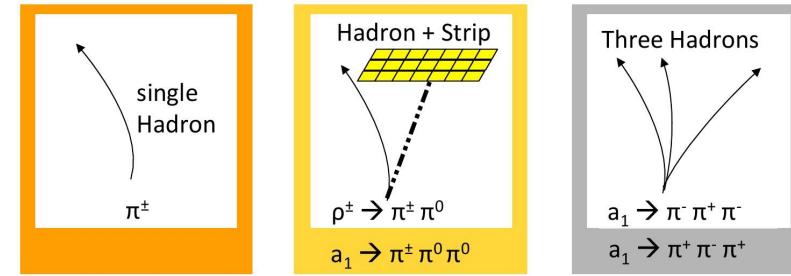
Phys. Lett. B 779 (2018) 283

advantage to study Yukawa coupling: **higher event rate** than Higgs to mu mu and **less backgrounds** than Higgs to bb

**hadron plus strip algorithm** to identify hadronic tau decay modes

exploit the new developments of hadronic tau id and triggers since Run-I:

- dynamic strip reconstruction, strip size adjusted dynamically as a function of the pT of e/y
  - better acceptance at low pT, better jet rejection at high pT
- MVA-based discriminator rejecting jet faking tau
- L1 **trigger upgraded**:
  - increases the algorithm complexity and the readout granularity
  - improving hadronic tau-id with dynamic clustering technique at the hardware level
  - maintaining the **same pT threshold as Run-I** but **improve the turn-on and efficiency** despite of high pile-up



# observation of H-> tau tau

Phys. Lett. B 779 (2018) 283

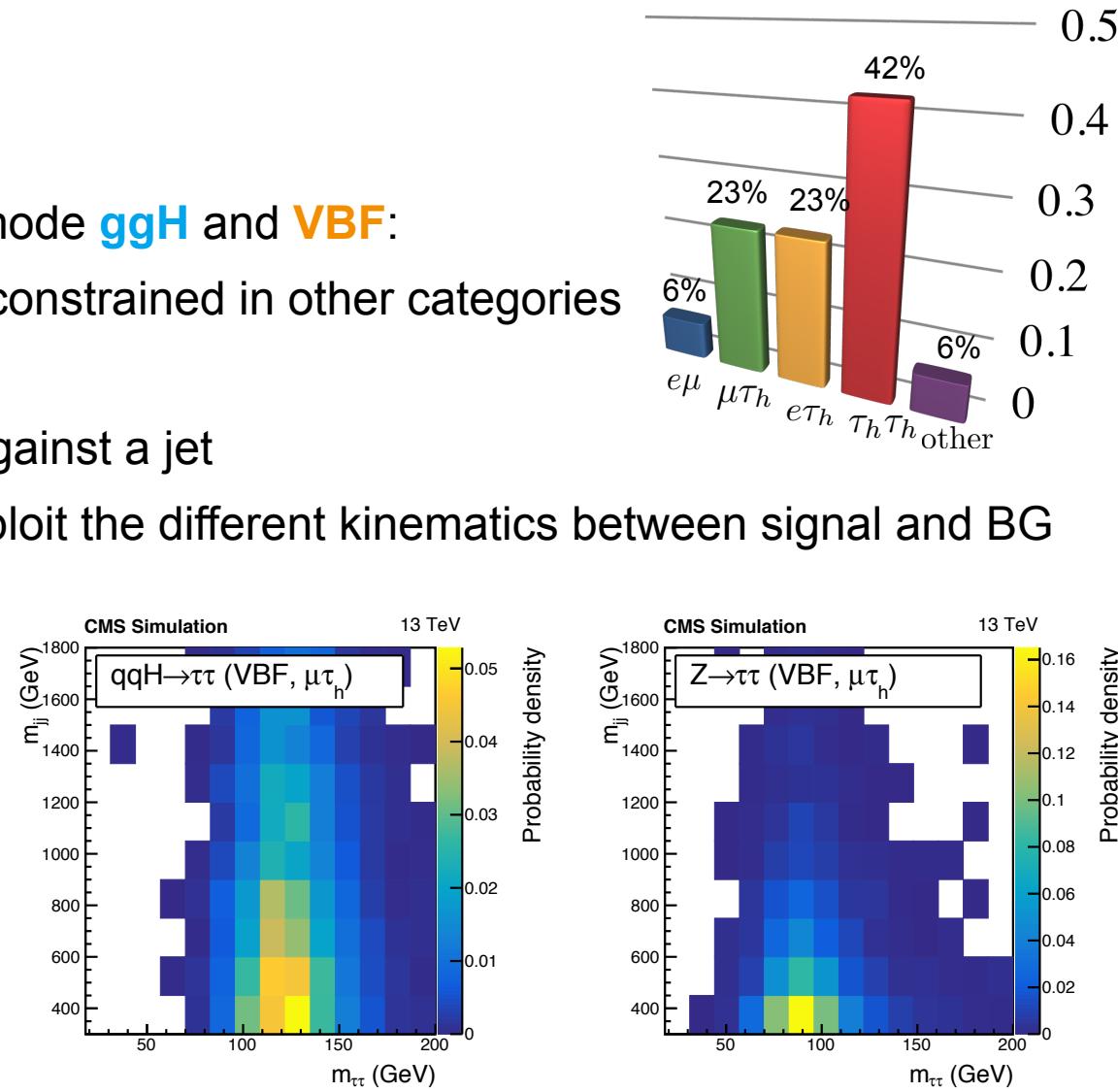
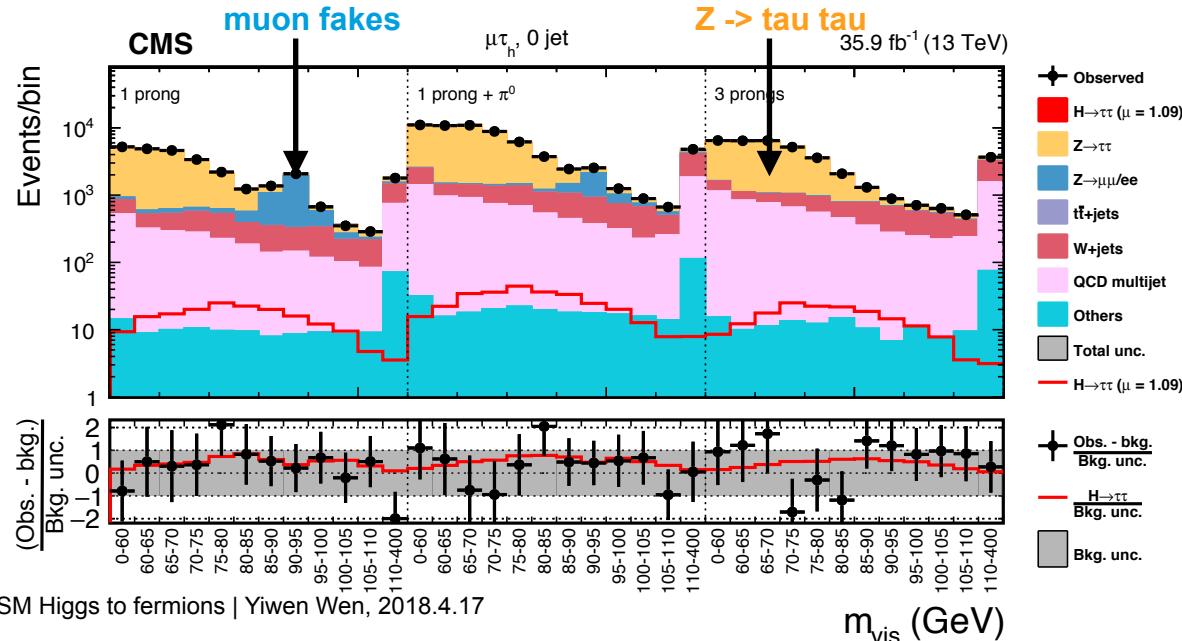
## analysis strategies

cover **four channels of di-tau decay**

3 event categories focused on two most sensitive production mode **ggH** and **VBF**:

- **0-jet**: targeting ggH and allowing for systematics to be constrained in other categories
- **VBF**: targeting VBF production by requiring  $m_{jj}$  cut
- **boosted**: targeting ggH events with a Higgs recoiling against a jet

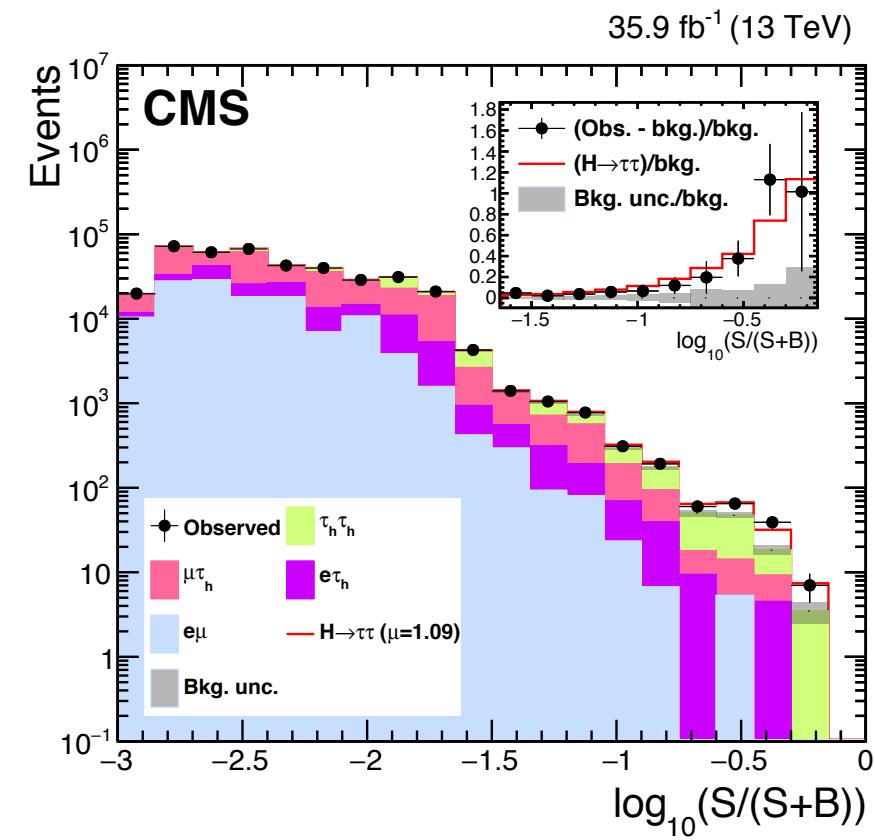
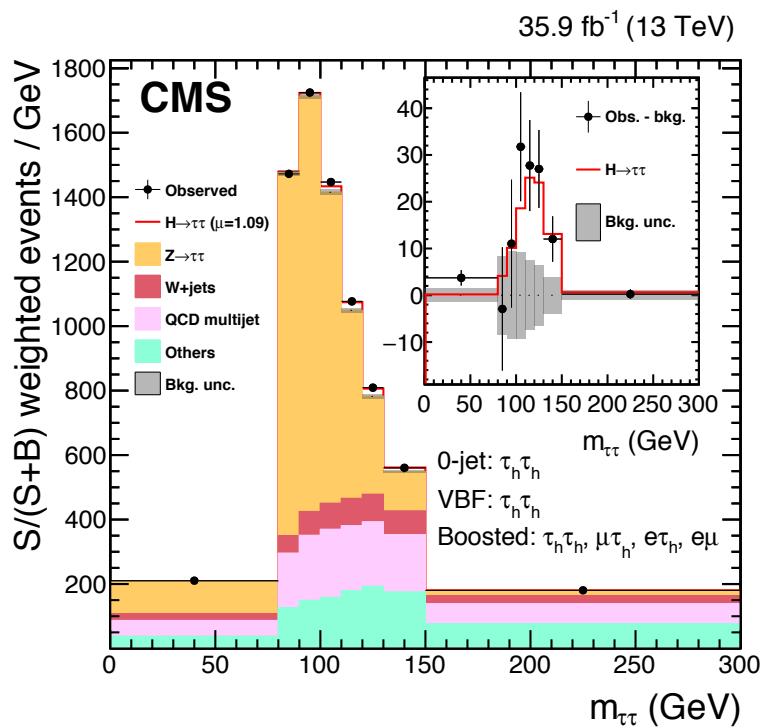
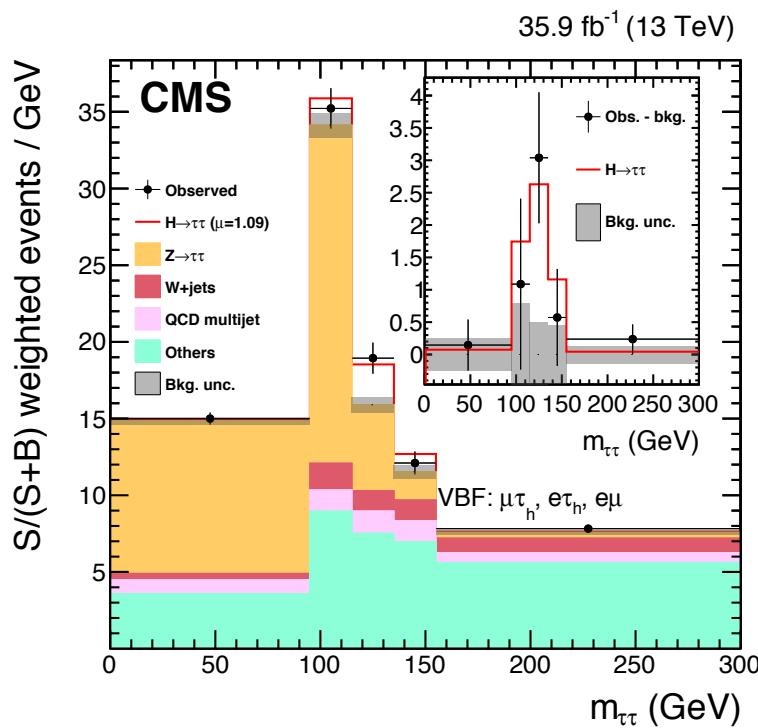
**two dimensional distributions** for signal extraction which exploit the different kinematics between signal and BG



# observation of H-> tau tau

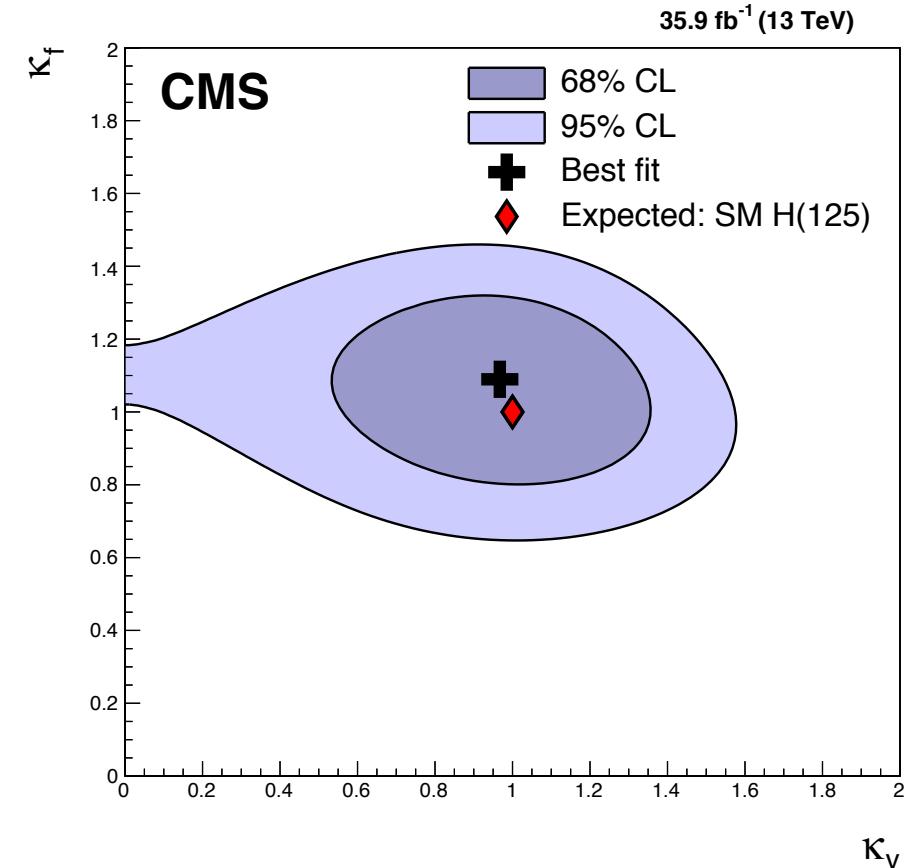
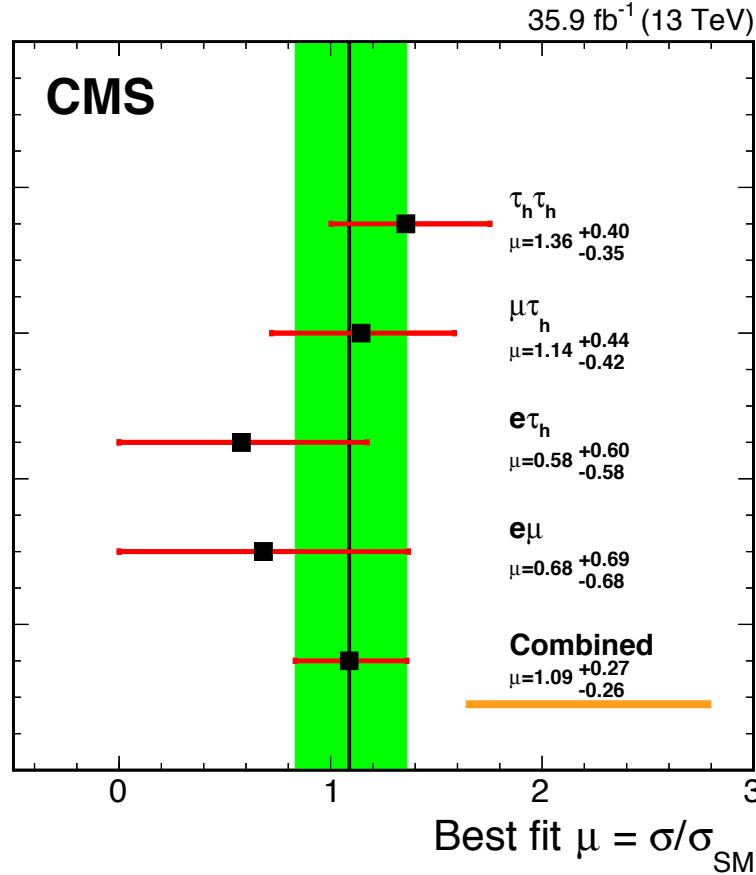
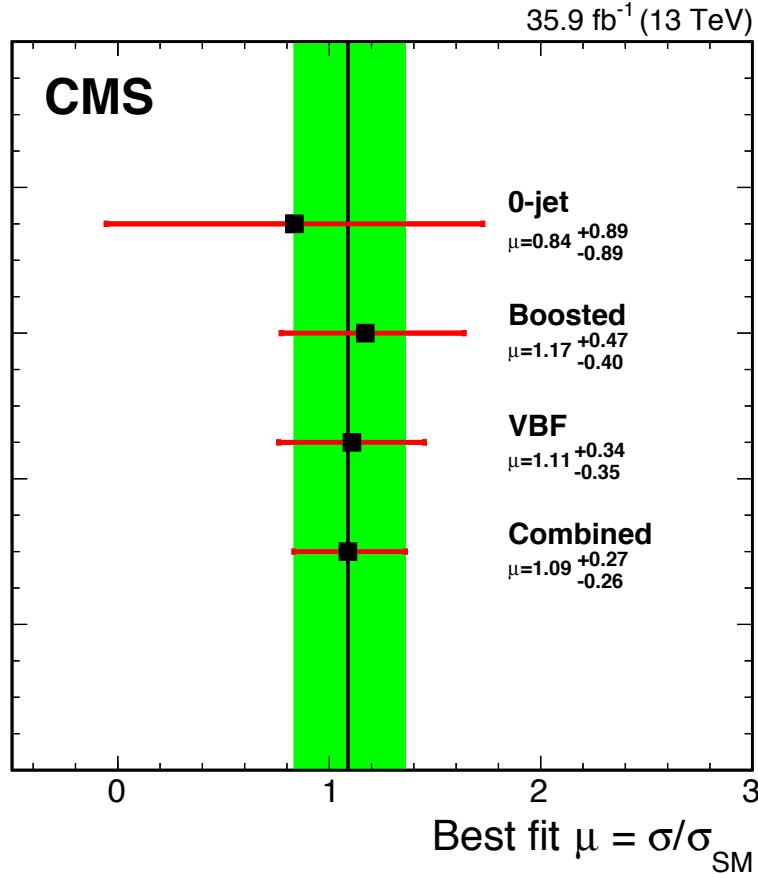
Phys. Lett. B 779 (2018) 283

## visualizations of signals



# observation of H-> tau tau

Phys. Lett. B 779 (2018) 283

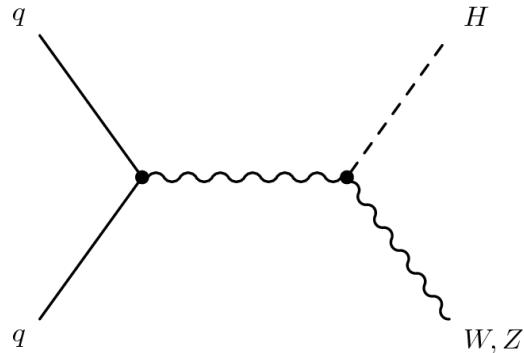


$$\mu = 1.09^{+0.15}_{-0.15}(\text{stat})^{+0.16}_{-0.15}(\text{syst})^{+0.10}_{-0.08}(\text{theo})^{+0.13}_{-0.12}(\text{bbb})$$

- **4.9 (4.7) observe (expected) significance**
- **5.9 observed Significance when combining Run-I and Run-II (7+8+13 TeV)**

# evidence for VH, H-> bb

Phys. Lett. B 780 (2018) 501



## analysis strategies

- highly **suppress QCD BG** because of requiring the presence of a vector boson
- also providing an **efficient trigger** path when it leptonically decays
- **Higgs candidate** should be **boosted** with  $pT > 100$  GeV
  1. reduces large backgrounds from W+jets, DYJets and top
  2. makes accessible the  $Z(vv)H$  channel via large missing transverse energy
  3. improves mass resolution of the Higgs candidate

## $Z(\text{ll})H(\text{bb}), 2 \text{ leptons}$

- **cleanest channel** due to the requirement of two leptons to tag the event
- further divided into low and high  $pT(V)$  region
- lower statistics due to relatively low  $Z \rightarrow \text{ll}$  branching fraction

## $W(\text{lv})H(\text{bb}), 1 \text{ lepton}$

- requires one lepton in the final state as well as MET
- large background contributions from top and W+jets

## $Z(vv)H(\text{bb}), 0 \text{ lepton}$

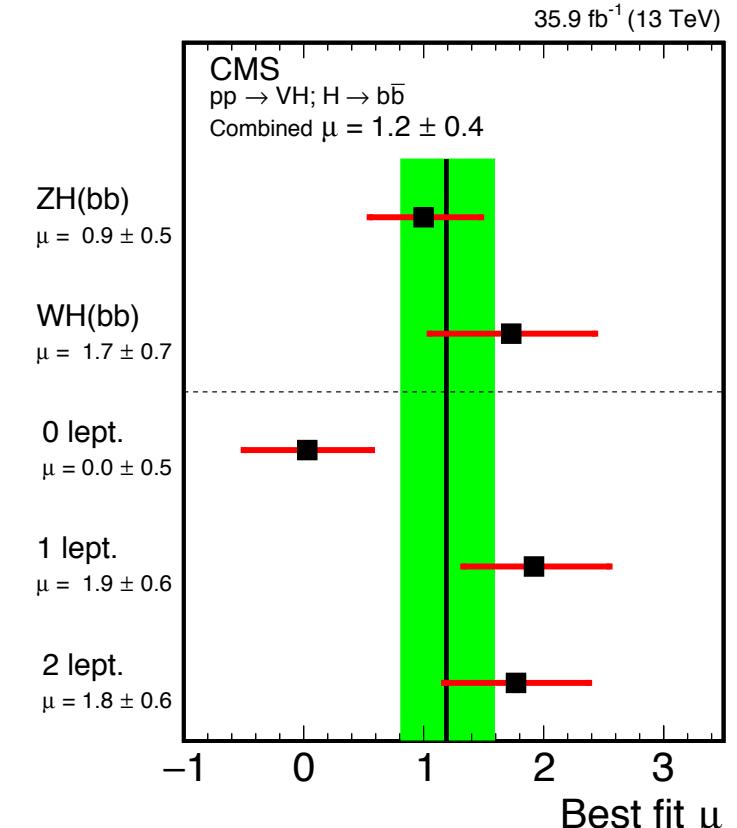
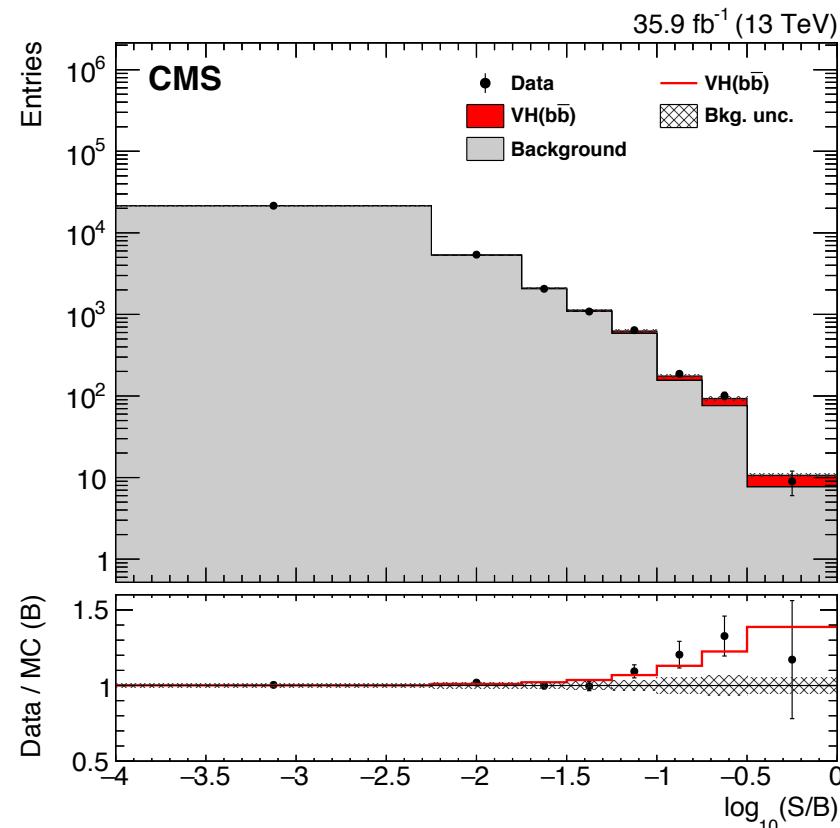
- triggers include b-tagging and require large MET
- QCD is negligible

# evidence for VH, H-> bb

Phys. Lett. B 780 (2018) 501

- using **BDT output to discriminate** signals and background
- control regions helped to scale the yield of BG is defined with multiple additional cuts including:
  - number of jets, number of b-tagged jets,  $m_{jj}$ , Z mass window
- maxlikelihood fit is performed for 7 categories simultaneously to extract the signal

- the combined best-fit signal strength is  
 $\mu = 1.19 \pm 0.21(\text{stat.}) \pm 0.33(\text{syst.})$
- 3.3 (2.8) observed (expected) significance
- **3.8 observed significance** when combining Run-I and Run-II (8+13 TeV)

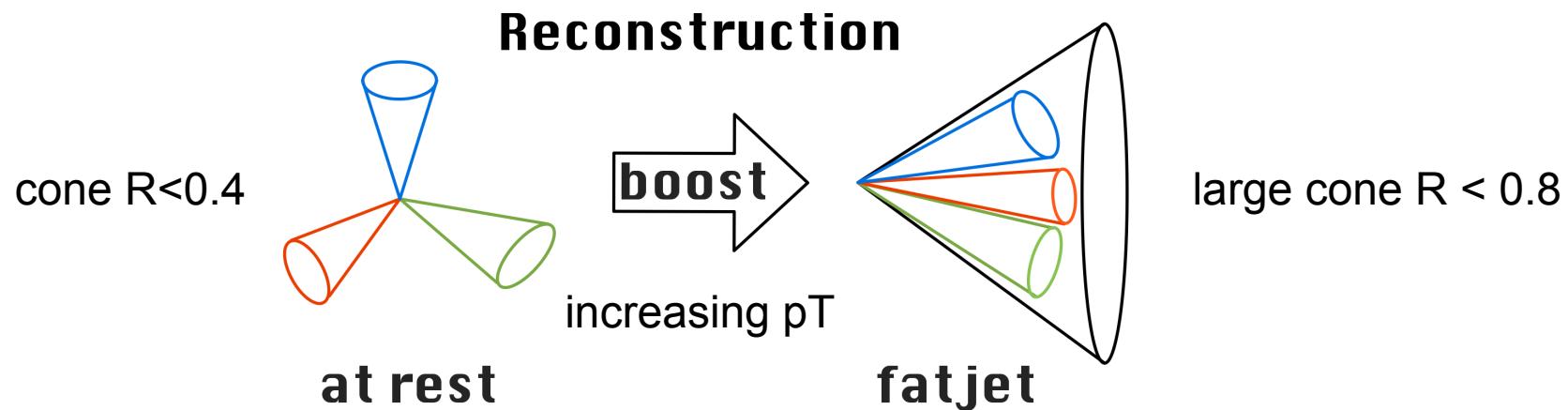


# search for Boosted H-> bb

Phys. Rev. Lett. 120 (2018) 071802

## Analysis strategies

- inclusive production of H->bb usually considered inaccessible due to **the overwhelming QCD background**
- introduced a new idea "**jet substructure**" to perform the first inclusive search
- sensitivity gained in a high pT(H) region for  $pT > 450$  GeV
- selected H->bb candidate recoiling against ISR jets and veto electron/muon/taus/MET

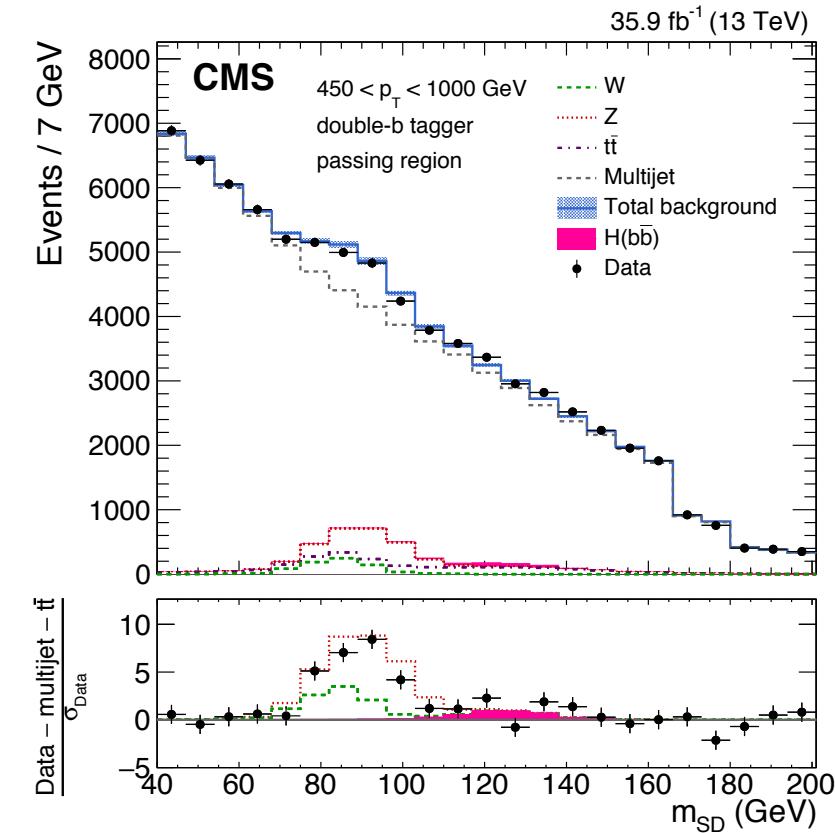
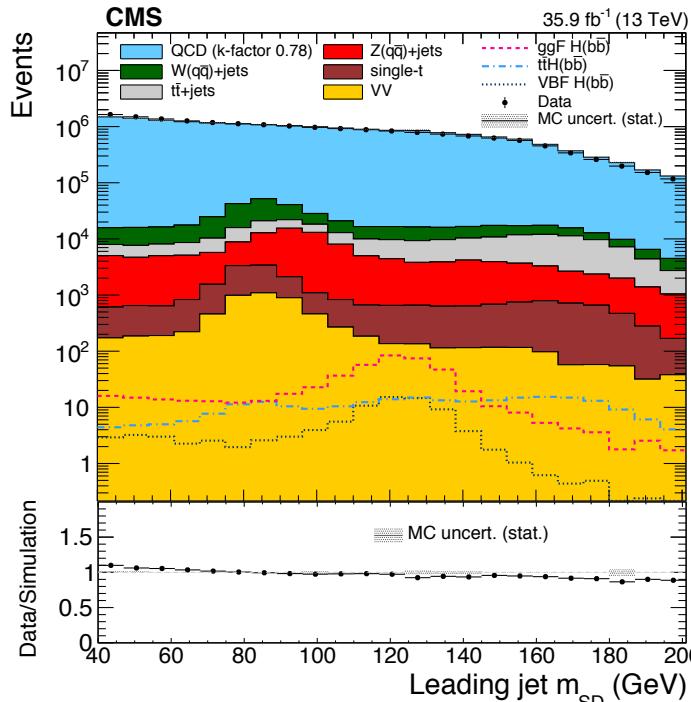


# search for Boosted H $\rightarrow$ bb

Phys. Rev. Lett. 120 (2018) 071802

## selecting H $\rightarrow$ bb candidate

- exploit the jet-substructure techniques:
  - using generalization of the energy correlation functions to probe n-particle correlations within a jet. Find 2-prong substructure in a fat jet
  - using **double-b MVA tagger** (33% efficiency for 1% fake rates for QCD) to identify 2 b-jets
  - **jet grooming**, to remove the soft and wide angle jet
- “soft-drop mass” of Higgs candidate as discriminant



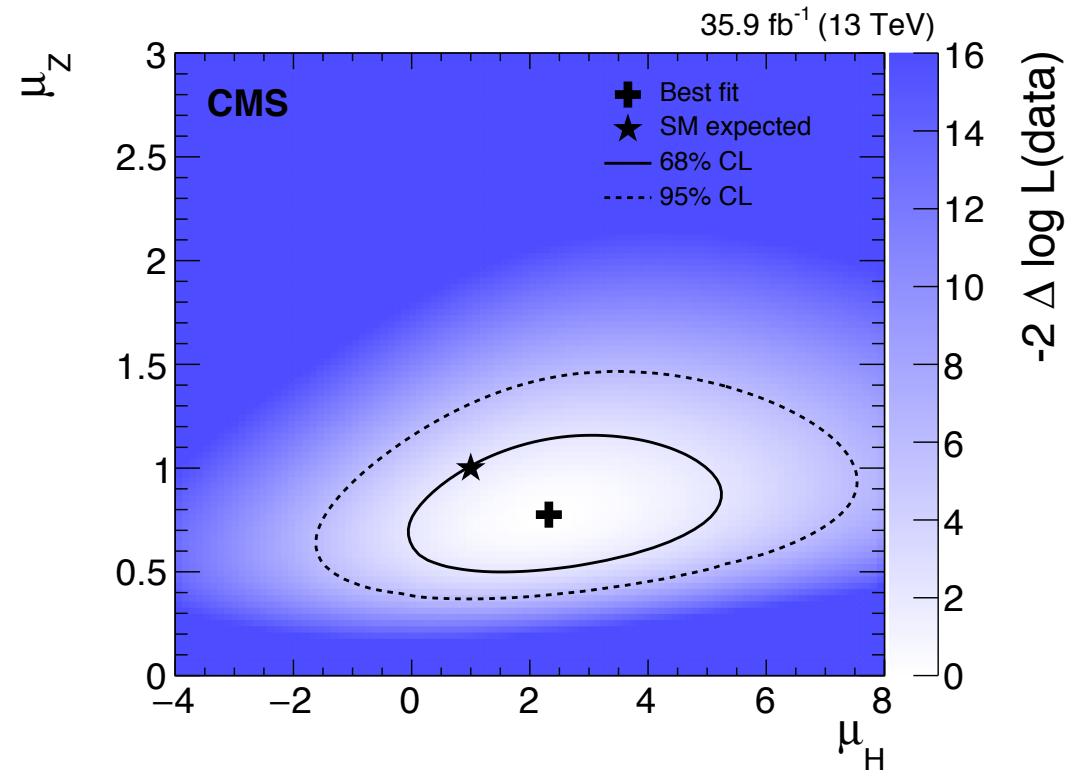
# search for Boosted H-> bb

Phys. Rev. Lett. 120 (2018) 071802

## results

- first observation of Z->bb !
- 1.5 significance for H(bb)
- agrees with SM prediction

	H	H no $p_T$ corr.	Z
Observed signal strength	$2.3^{+1.8}_{-1.6}$	$3.2^{+2.2}_{-2.0}$	$0.78^{+0.23}_{-0.19}$
Expected UL signal strength	$< 3.3$	$< 4.1$	—
Observed UL signal strength	$< 5.8$	$< 7.2$	—
Expected significance	$0.7\sigma$	$0.5\sigma$	$5.8\sigma$
Observed significance	$1.5\sigma$	$1.6\sigma$	$5.1\sigma$



Likelihood scan of Z Boson signal strength and Higgs Boson signal strength

# search for $H \rightarrow \mu\mu$

Phys. Rev. Lett. 120 (2018) 071802

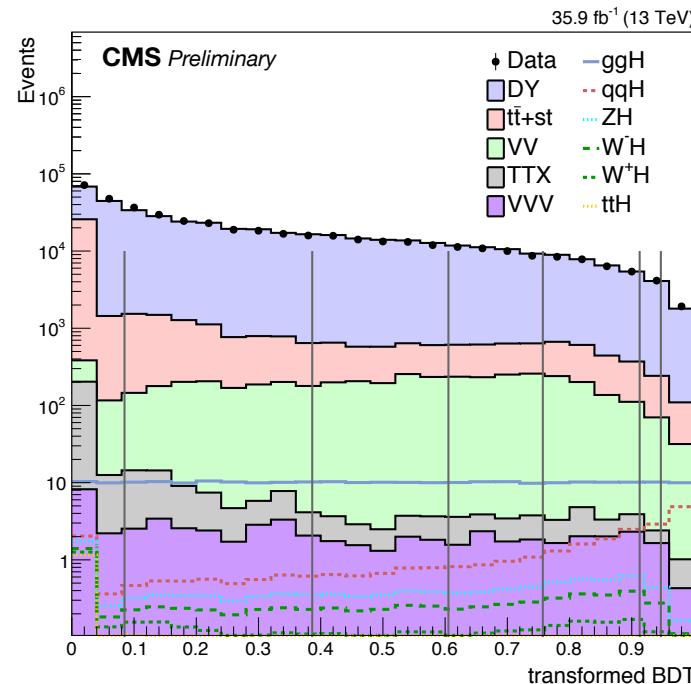
## motivation

- Higgs boson decay to pair of muons extends the study of its **couplings to 2nd generation leptons**
- benefit from good muon resolution

## analysis strategies

- events from MC are used to train **by BDT** and its output will be used further to optimize event categorization
- variables:
  - dimuon variables to distinguish between ggH signal and DY background
  - jet variables to identify VBF signal
  - b-tagged jets — most likely from top production

Index	BDT quantile	Max. muon $ \eta $	ggH [%]	VBF [%]	WH [%]	ZH [%]	ttH [%]	Signal	Bkg./GeV @125GeV	FWHM [GeV]	Bkg. functional fit form	$S/\sqrt{B}$ @ FWHM
0	0 – 8%	$ \eta  < 2.4$	4.9	1.3	3.3	6.3	31.9	21.2	3150.5	4.2	$mBW \cdot B_{deg4}$	0.12
1	8 – 39%	$1.9 <  \eta  < 2.4$	5.6	1.7	3.9	3.5	1.3	22.3	1327.5	7.3	$mBW \cdot B_{deg4}$	0.16
2	8 – 39%	$0.9 <  \eta  < 1.9$	10.3	2.8	6.5	6.4	5.2	41.1	2222.2	4.1	$mBW \cdot B_{deg4}$	0.29
3	8 – 39%	$ \eta  < 0.9$	3.2	0.8	1.9	2.1	3.5	12.7	775.9	2.9	$mBW \cdot B_{deg4}$	0.17
4	39 – 61%	$1.9 <  \eta  < 2.4$	2.9	1.7	2.7	2.7	0.3	11.8	435.0	7.0	$mBW \cdot B_{deg4}$	0.14
5	39 – 61%	$0.9 <  \eta  < 1.9$	7.2	3.3	6.1	5.2	1.3	29.2	955.9	4.1	$mBW \cdot B_{deg4}$	0.31
6	39 – 61%	$ \eta  < 0.9$	3.6	1.1	2.6	2.2	0.9	14.5	479.3	2.8	$mBW \cdot B_{deg4}$	0.26
7	61 – 76%	$1.9 <  \eta  < 2.4$	1.2	1.5	1.8	1.7	0.2	5.2	146.6	7.6	$mBW \cdot B_{deg4}$	0.11
8	61 – 76%	$0.9 <  \eta  < 1.9$	4.8	3.6	4.5	4.4	0.7	20.3	514.3	4.2	$mBW \cdot B_{deg4}$	0.29
9	61 – 76%	$ \eta  < 0.9$	3.2	1.6	2.3	2.1	0.6	13.1	319.7	3.0	$mBW$	0.28
10	76 – 91%	$1.9 <  \eta  < 2.4$	1.2	3.1	2.2	2.1	0.2	5.8	102.4	7.2	Sum Exp(n=2)	0.14
11	76 – 91%	$0.9 <  \eta  < 1.9$	4.4	8.7	6.2	6.0	1.1	20.3	363.3	4.2	$mBW$	0.34
12	76 – 91%	$ \eta  < 0.9$	3.1	4.0	3.8	3.6	0.9	13.7	230.0	3.2	$mBW \cdot B_{deg4}$	0.34
13	91 – 95%	$ \eta  < 2.4$	1.7	6.4	2.5	2.6	0.5	8.6	95.5	4.0	$mBW$	0.28
14	95 – 100%	$ \eta  < 2.4$	2.0	19.4	1.5	1.4	0.7	13.7	82.4	4.2	$mBW$	0.47
overall			59.1	61.1	51.8	52.3	49.2	253.3	12961.5	3.9		

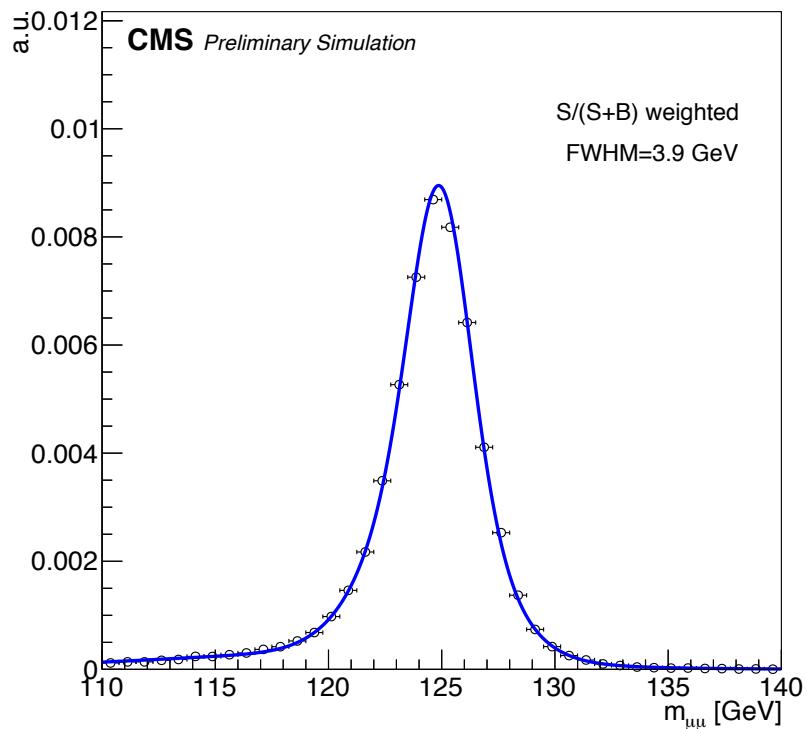


# search for H-> $\mu\mu$

Phys. Rev. Lett. 120 (2018) 071802

## signal modeling:

- a sum of up to three Gaussian functions
- good description of the distributions



## background modeling:

- functions chosen separately for each category
- choice: based on minimizing the possible bias in the fitted signal yield

$$\text{Bernsteins } (B_{deg \ n}): B(x) = \sum_{i=0}^n \alpha_i \left[ \binom{n}{i} x^i (1-x)^{n-i} \right]$$

$$\text{Sum of exponentials (Sum Exp)}: B(x) = \sum_{i=1}^n \beta_i e^{\alpha_i x}$$

$$\text{Breit-Wigner}: B(x) = \frac{e^{\alpha x} \sigma_z}{(x - \mu_z)^2 + (\frac{\sigma_z}{2})^2}$$

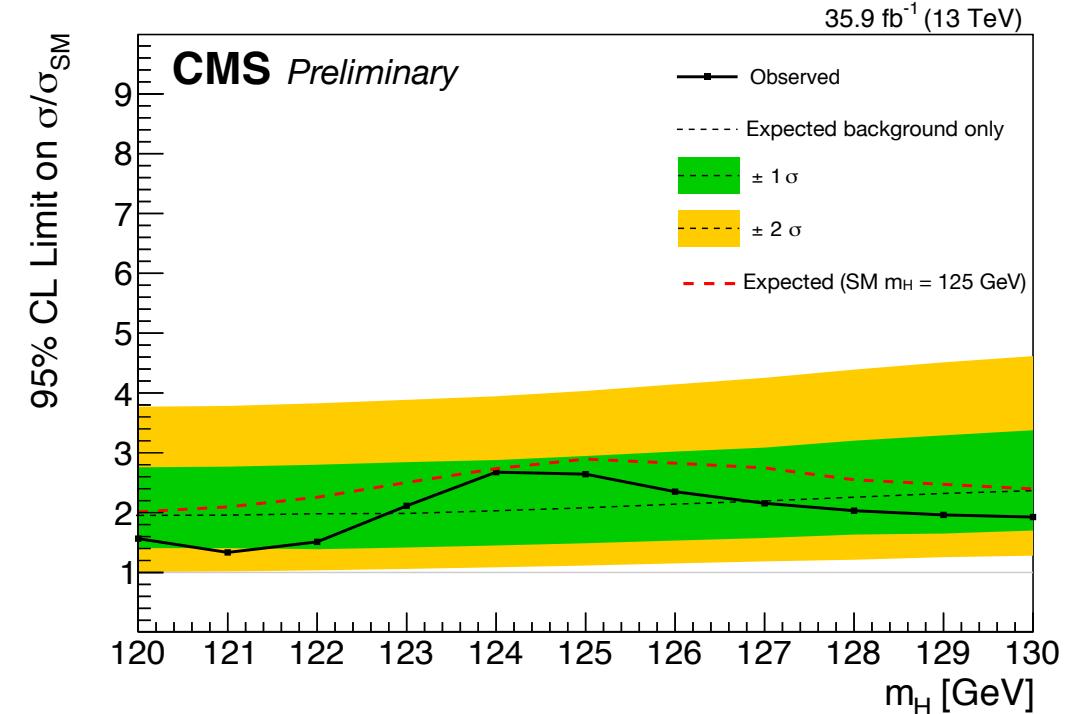
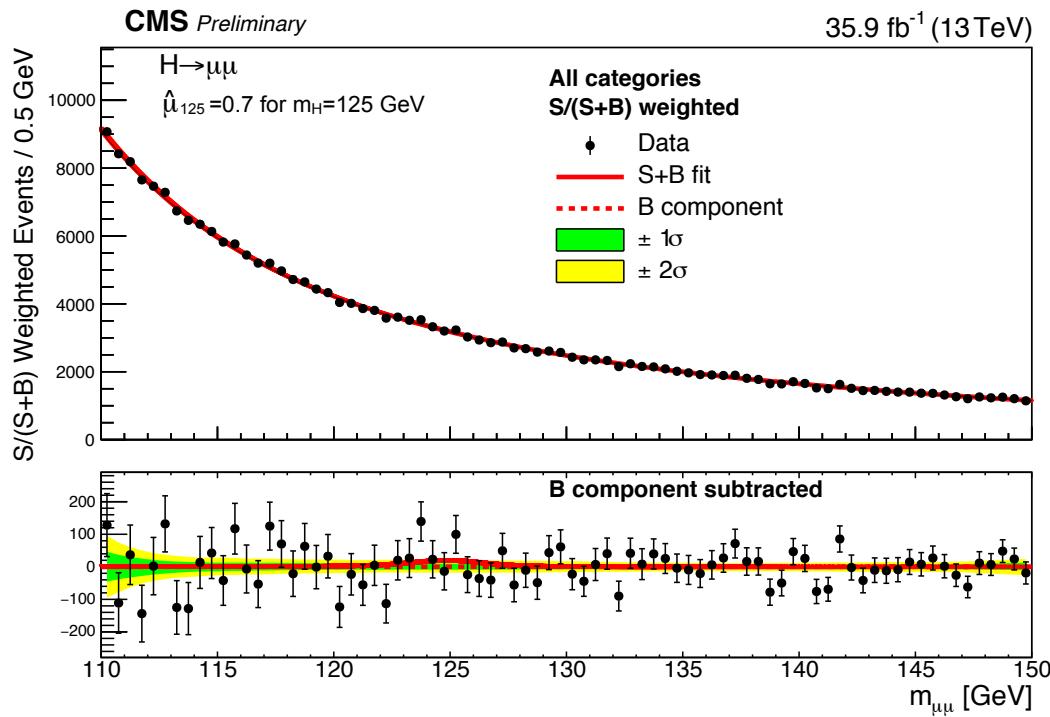
$$\text{Modified Breit-Wigner (mBW)}: B(x) = \frac{e^{a_2 x + a_3 x^2}}{(x - \mu_z)^{a_1} + (\frac{\sigma_z}{2})^{a_1}}$$

# search for $H \rightarrow \mu\mu$

Phys. Rev. Lett. 120 (2018) 071802

## results

- **no significant excess**
- run-II: upper limit: 2.68 (2.08) times SM prediction, signal strength:  $\mu = 0.7 \pm 1.0$
- run-I + run-II: upper limit: 2.68 (1.89) times SM prediction, signal strength:  $\mu = 0.9 \pm 1.0$  and **significance: 0.9**



# Summary

## SM Higgs to fermions

using Run-II 13 TeV data collected by CMS, analysis enthusiasts have been pushing Higgs decaying to fermions searches and measurements into **new territory**

- **first observation of Higgs to fermion decay** by a single experiment: **Higgs to tau tau**
- **first analysis searching inclusively for Higgs to bb**
- VH, H to bb showed us **evidence for Higgs to bb** decay
- updated search results of Higgs to mumu

more exiting results will be coming

- with 2017 data collected and the on-going 2018 data-taking, will reach ~100/fb in total for run-II
- new results will pop-up anytime in this link:

<http://cms-results.web.cern.ch/cms-results/public-results/publications/HIG/index.html>

# back up

# search for VBF, H-> bb

CMS-PAS-HIG-16-003

definitions of categories

	SingleB	DoubleB
Trigger	one b-tagged jet	two b-tagged jets
jets $p_T$	$p_T^{1,2,3,4} > 92, 76, 64, 30 \text{ GeV}$	
jets $ \eta $		$< 4.7$
b tag	no cut	two jets with $\text{CSV} > 0.5$
$\Delta\phi_{bb}$	$< 1.6 \text{ radians}$	$< 2.4 \text{ radians}$
	$m_{qq} > 460 \text{ GeV}$	$m_{qq} > 200 \text{ GeV}$
VBF topology	$ \Delta\eta_{qq}  > 4.1$	$ \Delta\eta_{qq}  > 1.2$
Veto	None	Events that belong to SingleB

BDT boundary values	SingleB				DoubleB		
	Cat. 1	Cat. 2	Cat. 3	Cat. 4	Cat. 5	Cat. 6	Cat. 7
	0.28 – 0.72	0.72 – 0.87	0.87 – 0.93	0.93 – 1.0	0.36 – 0.76	0.76 – 0.89	0.89 – 1.0
Data	25298	5834	1281	302	69963	9831	1462
Z +jets	$49 \pm 4$	$12.5 \pm 2.0$	$4.1 \pm 1.1$	$1.7 \pm 0.7$	$448 \pm 11$	$50 \pm 4$	$8.4 \pm 1.7$
W +jets	$25.8 \pm 3.5$	$1.6 \pm 0.9$	$0.1 \pm 0.1$	$< 0.1$	$74 \pm 6$	$4.6 \pm 1.3$	$0.9 \pm 0.6$
$t\bar{t}$	$53 \pm 1$	$5.1 \pm 0.2$	$0.7 \pm 0.1$	$0.2 \pm 0.04$	$534 \pm 2$	$22.6 \pm 0.4$	$1.1 \pm 0.1$
Single t	$52 \pm 1$	$9.7 \pm 0.5$	$1.8 \pm 0.2$	$0.4 \pm 0.1$	$221 \pm 3$	$23.2 \pm 0.8$	$1.8 \pm 0.2$
VBF $m_H(125)$	$19.5 \pm 0.2$	$13.7 \pm 0.1$	$7.2 \pm 0.1$	$4.2 \pm 0.1$	$21.7 \pm 0.2$	$10.5 \pm 0.1$	$3.8 \pm 0.1$
GF $m_H(125)$	$5.5 \pm 0.2$	$1.8 \pm 0.1$	$0.6 \pm 0.07$	$0.2 \pm 0.04$	$18.7 \pm 0.4$	$3.1 \pm 0.1$	$0.6 \pm 0.07$

# search for VBF, H-> bb

CMS-PAS-HIG-16-003

## systematics

Background uncertainties	VBF signal	GF signal
QCD shape parameters	determined by the fit	
QCD bkg. normalization	determined by the fit	
Top quark bkg. normalization	30%	
Z/W+jets bkg. normalization	30%	
Uncertainties affecting the signal	VBF signal	GF signal
JES (signal shape)	2%	
JER (signal shape)	2%	
Integrated luminosity	2.7%	
Branching fraction ( $H \rightarrow b\bar{b}$ )	1.3%	
JES (acceptance)	1–4%	2–11%
JER (acceptance)	1–2%	1–3%
b-jet tagging	3–9%	2–10%
Trigger	8–15%	6–11%
Theory uncertainties	VBF signal	GF signal
UE & PS	2–7%	10–45%
Scale variation (global)	0.4%	8%
Scale variation (categories)	1%	15%
PDF (global)	2%	3%
PDF (categories)	1–2%	1–2%

# observation of H-> tau tau

Phys. Lett. B 779 (2018) 283

## systematics

Source of uncertainty	Prefit	Postfit (%)
$\tau_h$ energy scale	1.2% in energy scale	0.2–0.3
e energy scale	1–2.5% in energy scale	0.2–0.5
e misidentified as $\tau_h$ energy scale	3% in energy scale	0.6–0.8
$\mu$ misidentified as $\tau_h$ energy scale	1.5% in energy scale	0.3–1.0
Jet energy scale	Dependent upon $p_T$ and $\eta$	—
$\vec{p}_T^{\text{miss}}$ energy scale	Dependent upon $p_T$ and $\eta$	—
$\tau_h$ ID & isolation	5% per $\tau_h$	3.5
$\tau_h$ trigger	5% per $\tau_h$	3
$\tau_h$ reconstruction per decay mode	3% migration between decay modes	2
e ID & isolation & trigger	2%	—
$\mu$ ID & isolation & trigger	2%	—
e misidentified as $\tau_h$ rate	12%	5
$\mu$ misidentified as $\tau_h$ rate	25%	3–8
Jet misidentified as $\tau_h$ rate	20% per 100 GeV $\tau_h$ $p_T$	15
$Z \rightarrow \tau\tau/\ell\ell$ estimation	Normalization: 7–15% Uncertainty in $m_{\ell\ell/\tau\tau}$ , $p_T(\ell\ell/\tau\tau)$ , and $m_{jj}$ corrections	3–15 —
W + jets estimation	Normalization ( $e\mu, \tau_h\tau_h$ ): 4–20% Unc. from CR ( $e\tau_h, \mu\tau_h$ ): $\simeq$ 5–15 Extrap. from high- $m_T$ CR ( $e\tau_h, \mu\tau_h$ ): 5–10%	— — —
QCD multijet estimation	Normalization ( $e\mu$ ): 10–20% Unc. from CR ( $e\tau_h, \tau_h\tau_h, \mu\tau_h$ ): $\simeq$ 5–15% Extrap. from anti-iso. CR ( $e\tau_h, \mu\tau_h$ ): 20% Extrap. from anti-iso. CR ( $\tau_h\tau_h$ ): 3–15%	5–20% — 7–10 3–10
Diboson normalization	5%	—
Single top quark normalization	5%	—
$t\bar{t}$ estimation	Normalization from CR: $\simeq$ 5% Uncertainty on top quark $p_T$ reweighting	— —
Integrated luminosity	2.5%	—
b-tagged jet rejection ( $e\mu$ )	3.5–5.0%	—
Limited number of events	Statistical uncertainty in individual bins	—
Signal theoretical uncertainty	Up to 20%	—

# evidence for VH, H-> bb

Phys. Lett. B 780 (2018) 501

## systematics

Source	Type	Individual contribution to the $\mu$ uncertainty (%)	Effect of removal to the $\mu$ uncertainty (%)
Scale factors (t <bar>t, V+jets)</bar>	norm.	9.4	3.5
Size of simulated samples	shape	8.1	3.1
Simulated samples' modeling	shape	4.1	2.9
b tagging efficiency	shape	7.9	1.8
Jet energy scale	shape	4.2	1.8
Signal cross sections	norm.	5.3	1.1
Cross section uncertainties (single-top, VV)	norm.	4.7	1.1
Jet energy resolution	shape	5.6	0.9
b tagging mistag rate	shape	4.6	0.9
Integrated luminosity	norm.	2.2	0.9
Unclustered energy	shape	1.3	0.2
Lepton efficiency and trigger	norm.	1.9	0.1

# search for Boosted H-> bb

Phys. Rev. Lett. 120 (2018) 071802

Systematic source	W/Z	H
Integrated luminosity	2.5%	2.5%
Trigger efficiency	4%	4%
Pileup	<1%	<1%
$N_2^{1,DDT}$ selection efficiency	4.3%	4.3%
Double-b tag	4% (Z)	4%
Jet energy scale / resolution	10/15%	10/15%
Jet mass scale ( $p_T$ )	0.4%/100 GeV ( $p_T$ )	0.4%/100 GeV ( $p_T$ )
Simulation sample size	2–25%	4–20% (ggF)
H $p_T$ correction	—	30% (ggF)
NLO QCD corrections	10%	—
NLO EW corrections	15–35%	—
NLO EW W/Z decorrelation	5–15%	—

# search for $H \rightarrow \mu\mu$

Phys. Rev. Lett. 120 (2018) 071802