

# $R_{K^*0}$ measurement at LHCb

Physics at the Terascale

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Stefan Schael, Eluned Smith

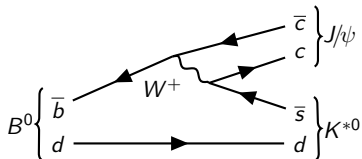
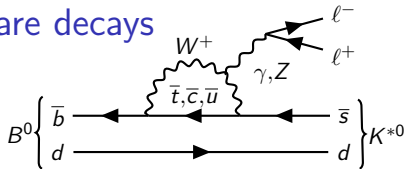
RWTH Aachen, I. Physikalisches Institut B

November 27th, 2018



## Lepton flavour universality in rare decays

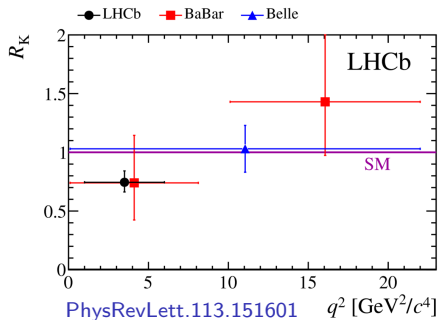
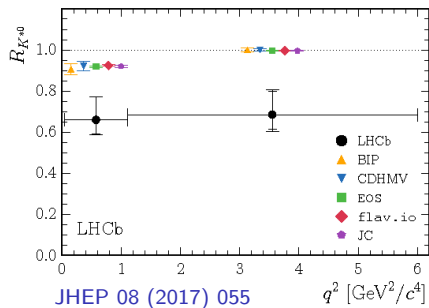
- In SM flavour-changing neutral current (FCNC) processes only allowed in loop diagrams
- Their small branching ratios  $\mathcal{B}$  make them sensitive to New Physics



- This analysis measures the ratio of  $R_{K^{*0}} = \mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ \mu^-) / \mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)$ , which is **unity in SM** due to lepton flavour universality
- The  $K^{*0}$  decays further into  $K^+ \pi^-$
- Use  $B^0 \rightarrow K^{*0} J/\psi (\rightarrow \ell^+ \ell^-)$  tree-level decay as control channel  $\rightarrow$  develop and optimise analysis method

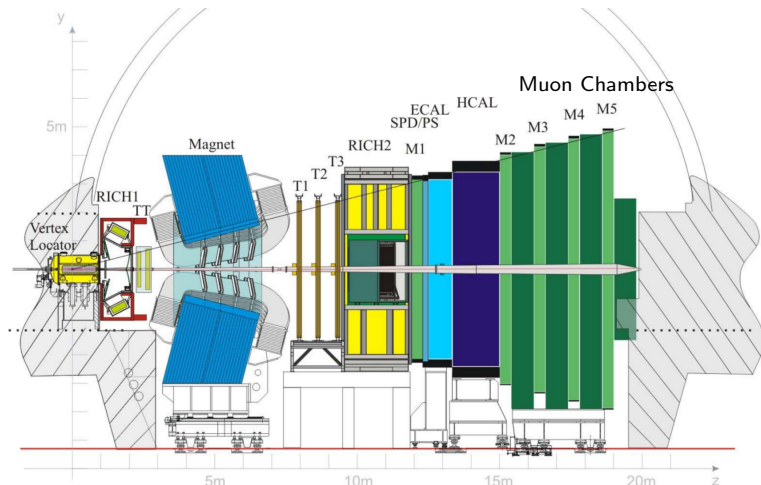
# LHCb Run 1 result

- LHCb result with Run 1 data published in JHEP 08 (2017) 055
- $R_{K^{*0}}$  shows a 2.4–2.5 $\sigma$  deviation from SM in two bins of  $q^2$
- A related measurement of the  $R_K$  ratio also lies under SM expectations



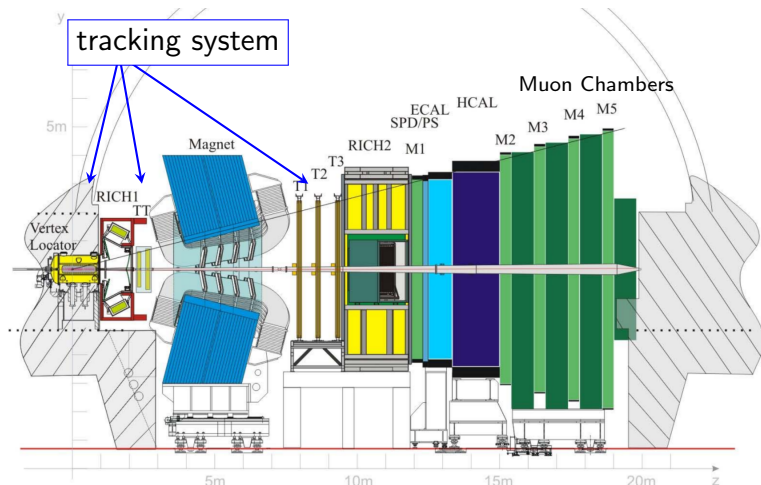
- Goal: update analysis with Run 2 data

# LHCb detector



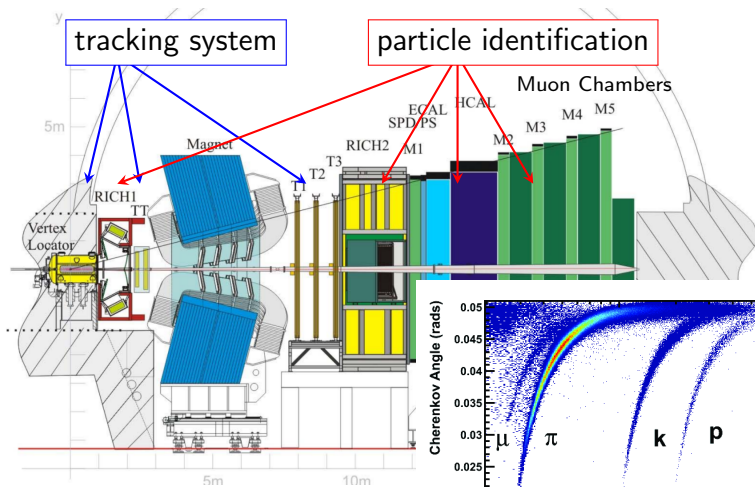
- Designed for heavy flavour decays
- Single arm forward spectrometer covering  $2 < \eta < 5$

# LHCb detector



- Momentum resolution  $\frac{\Delta p}{p} = (0.5 - 1)\%$

# LHCb detector



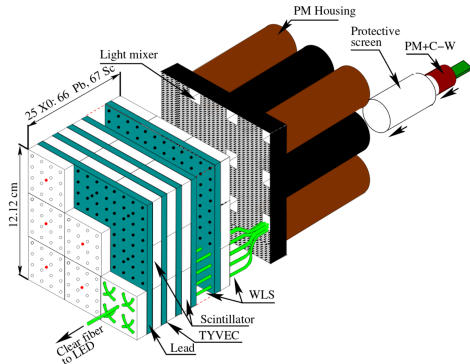
- PID efficiencies:  $e$ : 90 % (5 %  $e \rightarrow h$ )  
 $K$ : 95 % (5 %  $\pi \rightarrow K$ )  
 $\mu$ : 97 % (1 – 3 %  $\pi \rightarrow \mu$ )

# Electromagnetic calorimeter

- Design: alternating scintillator planes and lead plates

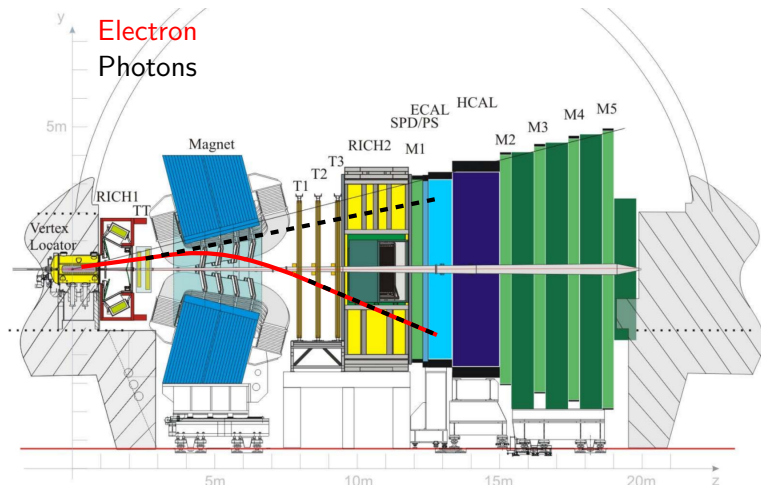
- Energy resolution:

$$\frac{\sigma_E}{E} \approx 1\% + \frac{9\%}{\sqrt{E(\text{GeV})}}$$



- Provides high  $p_T$  electron, photon or  $\pi^0$  candidates for L0 trigger
- Crucial subdetector for bremsstrahlung recovery

# Reconstruction of electrons



- Electrons produce bremsstrahlung in large amounts
- Reconstruction of bremsstrahlung photons limited by calorimeter resolution



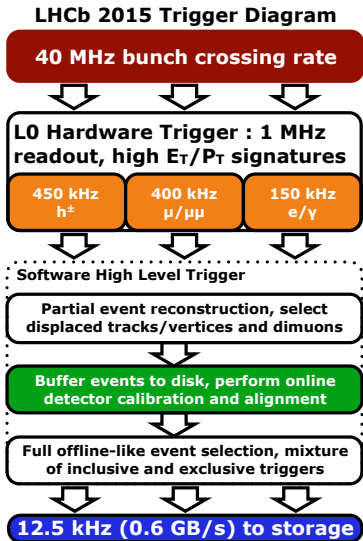
# Trigger system

L0 hardware trigger used:

- For muonic decays:  
**L0muon**:  $p_T > 1.76$  GeV track in muon chamber
- For electronic decays:  
**L0electron**:  $E_T > 3$  GeV hit in ECAL  
**L0tis**: events triggered on non signal particles

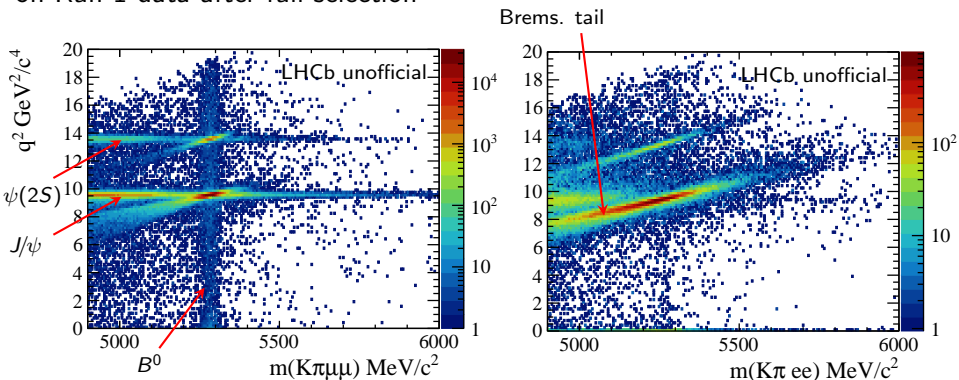
HLT trigger

- First reconstruction of tracks and vertices
- Selects events with  $> 1$  high  $p_T$  track(s)
- Performs a topological selection of (2 – 4)-body decays



# Electrons experimentally challenging

Plot of the di-lepton mass squared ( $q^2$ ) vs. the reconstructed  $B^0$  mass on Run 1 data after full selection

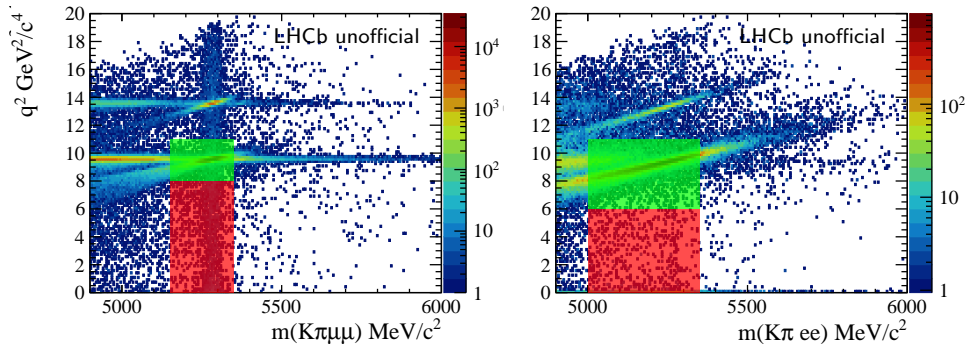


Electron decay shows a lower yield  
and a much worse resolution

← lower trigger and reco. efficiency  
← high Bremsstrahlung

# Electrons experimentally challenging

Plot of the di-lepton mass squared ( $q^2$ ) vs. the reconstructed  $B^0$  mass on Run 1 data after full selection



$B^0 \rightarrow K^{*0} J/\psi (\rightarrow l^+ l^-)$  control channel region

$B^0 \rightarrow K^{*0} l^+ l^-$  signal region

# Analysis strategy

- Starting with Run 1 analysis strategy
- Study possible improvements (background vetos, MC corrections, MVA...)
- Perform blinded analysis with Run 2 data
  
- Measure double ratio, where the tree-level decays  $B^0 \rightarrow K^{*0} J/\psi (\rightarrow \ell^+ \ell^-)$  are used as normalisation channels

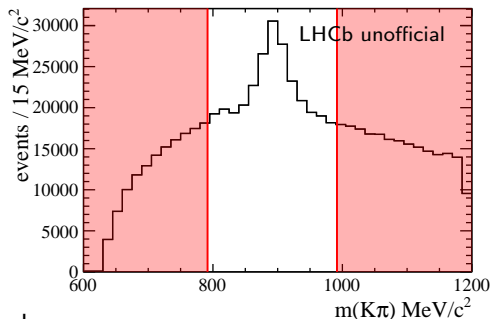
$$R_{K^{*0}} = \underbrace{\frac{\mathcal{N}_{B^0 \rightarrow K^{*0} \mu^+ \mu^-}}{\mathcal{N}_{B^0 \rightarrow K^{*0} J/\psi (\rightarrow \mu^+ \mu^-)}} \cdot \frac{\mathcal{N}_{B^0 \rightarrow K^{*0} J/\psi (\rightarrow e^+ e^-)}}{\mathcal{N}_{B^0 \rightarrow K^{*0} e^+ e^-}}}_{\text{determined by fits}} \cdot \underbrace{\frac{\mathcal{E}_{B^0 \rightarrow K^{*0} J/\psi (\rightarrow \mu^+ \mu^-)}}{\mathcal{E}_{B^0 \rightarrow K^{*0} \mu^+ \mu^-}} \cdot \frac{\mathcal{E}_{B^0 \rightarrow K^{*0} e^+ e^-}}{\mathcal{E}_{B^0 \rightarrow K^{*0} J/\psi (\rightarrow e^+ e^-)}}}_{\text{determined via corrected MC samples}}$$

- Single ratio of resonant channels is unity (powerful cross-check)
- This way, many systematic uncertainties cancel

# Offline selection

Offline selection introduces many requirements on the events:

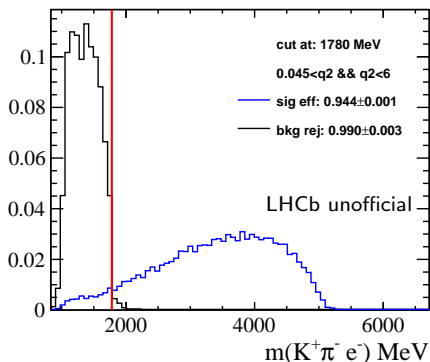
- Good track quality of all final state particles
- Cut around nominal  $K^{*0}$  mass
- Transverse momentum threshold on all final states particles
- Positively identify all final state particles:  $K, \pi, \mu, e$



- Vetos against physical backgrounds
- Multivariate classifier to further reduce combinatorial background

## Semi-leptonic cascade background

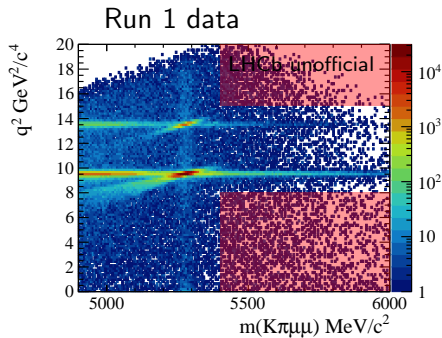
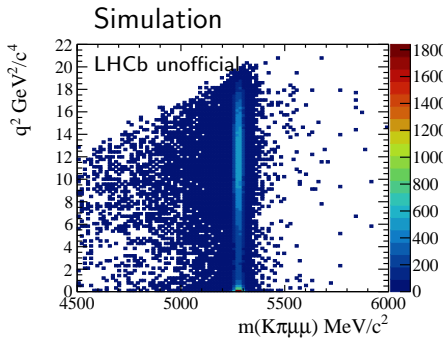
- The decay  $B^0 \rightarrow (D^- \rightarrow K^{*0} e^- \bar{\nu}_e) e^+ \nu_e$  can be reconstructed as signal if  $\nu$ 's have low momenta



- This veto has an improved background rejection and signal efficiency

# Multivariate classifier (preliminary version)

- Boosted Decision Trees (BDT) are trained to reduce combinatorial bkg
- Trained 4 BDTs for  $\mu$ , e in Run 1 and Run 2 separately
- Signal: fully reconstructed and pre-selected signal MC
- Background: pre-selected data obtained from upper mass sideband



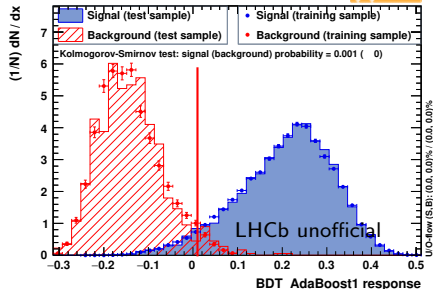
Signal proxy for Run 1 muons

Bkg proxy for Run 1 muons (red boxes)

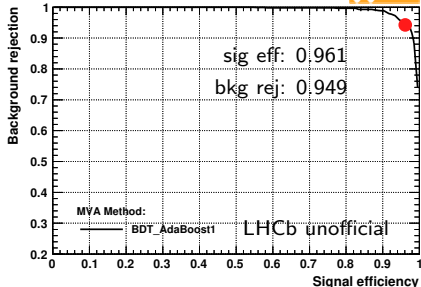
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TMVA overtraining check for classifier: BDT\_AdaBoost1

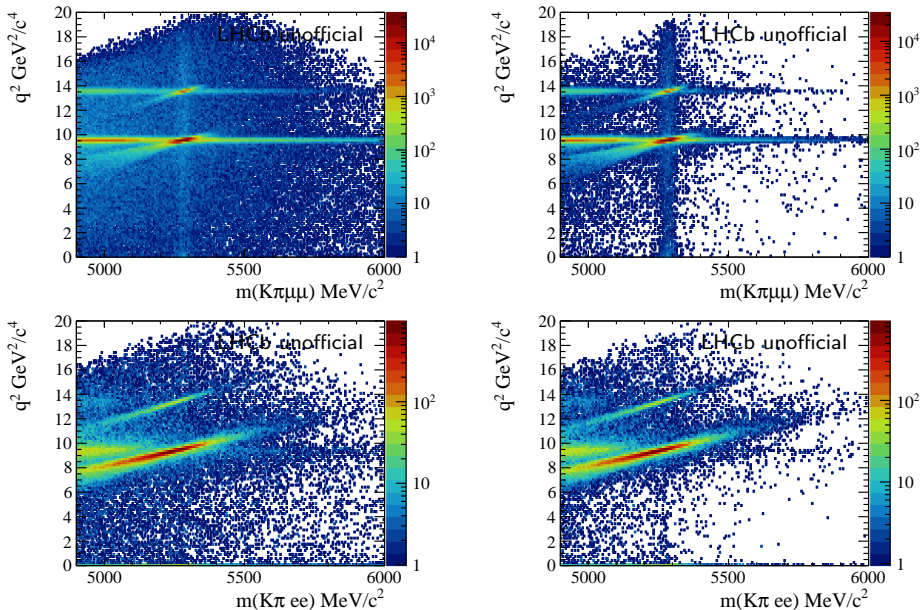


Background rejection versus Signal efficiency





# Run 1 data (left before BDT, right after BDT)

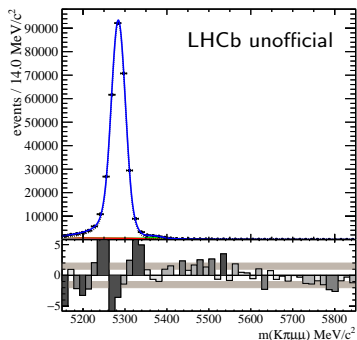
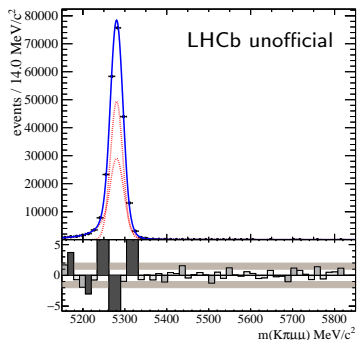


# Control channel yields

$$R_{K^{*0}} = \frac{\mathcal{N}_{B^0 \rightarrow K^{*0} \mu^+ \mu^-}}{\mathcal{N}_{B^0 \rightarrow K^{*0} J/\psi(\rightarrow \mu^+ \mu^-)}} \cdot \frac{\mathcal{N}_{B^0 \rightarrow K^{*0} J/\psi(\rightarrow e^+ e^-)}}{\mathcal{N}_{B^0 \rightarrow K^{*0} e^+ e^-}} \cdot \frac{\mathcal{E}_{B^0 \rightarrow K^{*0} J/\psi(\rightarrow \mu^+ \mu^-)}}{\mathcal{E}_{B^0 \rightarrow K^{*0} \mu^+ \mu^-}} \cdot \frac{\mathcal{E}_{B^0 \rightarrow K^{*0} e^+ e^-}}{\mathcal{E}_{B^0 \rightarrow K^{*0} J/\psi(\rightarrow e^+ e^-)}}$$

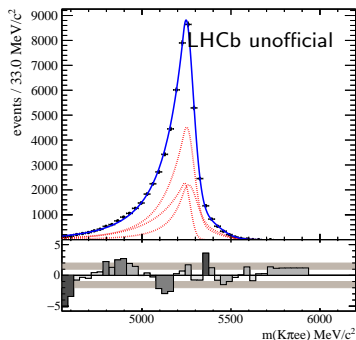
# $B^0 \rightarrow K^{*0} J/\psi$ control channel yields

- Tails of signal PDF fixed from fit to simulation
- Residual backgrounds from  $\Lambda_b \rightarrow pKJ/\psi$ ,  $B_s \rightarrow K^{*0} J/\psi$  and **combinatorial** are also modelled in the fit (more details in backup)
- Fit to data in  $J/\psi - q^2$  region after full selection (right plot)

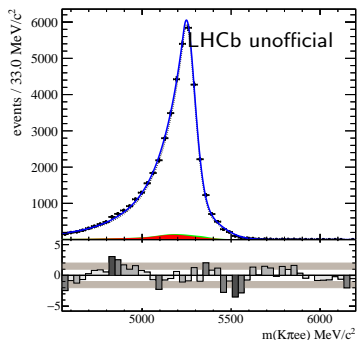


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- Fit to data in  $J/\psi - q^2$  region after full selection (right plot)



Run 1 MC electron fit



Run 1 data electron fit

- Run 2 control channel fits show increased electron yield due to improved selection

# Efficiencias



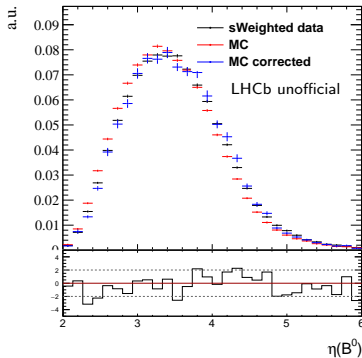
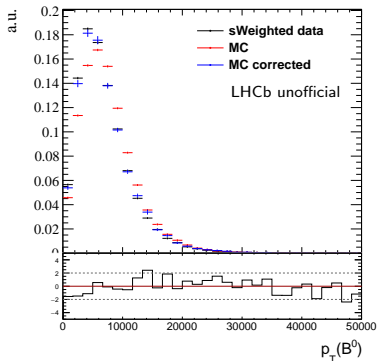
$$R_{K^*0} = \frac{\mathcal{N}_{B^0 \rightarrow K^*0 \mu^+ \mu^-}}{\mathcal{N}_{B^0 \rightarrow K^*0 J/\psi (\rightarrow \mu^+ \mu^-)}} \cdot \frac{\mathcal{N}_{B^0 \rightarrow K^*0 J/\psi (\rightarrow e^+ e^-)}}{\mathcal{N}_{B^0 \rightarrow K^*0 e^+ e^-}} \cdot \underbrace{\frac{\mathcal{E}_{B^0 \rightarrow K^*0 J/\psi (\rightarrow \mu^+ \mu^-)}}{\mathcal{E}_{B^0 \rightarrow K^*0 \mu^+ \mu^-}} \cdot \frac{\mathcal{E}_{B^0 \rightarrow K^*0 e^+ e^-}}{\mathcal{E}_{B^0 \rightarrow K^*0 J/\psi (\rightarrow e^+ e^-)}}}$$

# MC corrections

- Good data  $\leftrightarrow$  MC agreement required to calculate efficiencies
- Effects like low momentum photons challenging to simulate  
→ MC deviates from data in several observables
- MC corrections in three categories:
  - 1) Particle identification variables
  - 2)  $B^0$  kinematics and track multiplicity
  - 3) Trigger (not included in this talk)

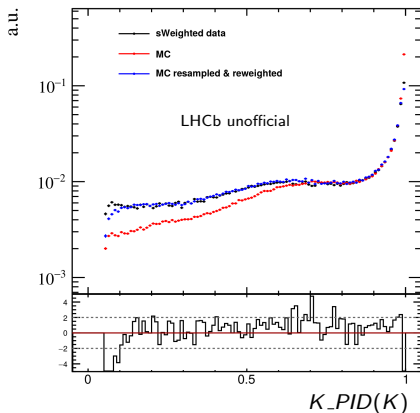
# $B^0$ kinematics and track multiplicity

- Reweighting of  $p_T(B^0)$ ,  $\eta(B^0)$  and  $N_{\text{SPD hits}}$ ,  $N_{\text{Velo tracks}}$
- Determine weights on muon mode  $B^0 \rightarrow K^{*0} J/\psi(\rightarrow \mu\mu)$  between data and MC
- Weights calculated with iso-populated binned histograms
- Apply weights on both  $\mu\mu$  and  $ee$  MC samples



# Correction of particle identification variables

- Correct PID variables with clean data calibration samples
- Example: Probability that the kaon was a kaon



- Residual differences will be covered by systematic uncertainty



# Conclusion

- Run 1 analysis successfully reproduced
- Many improvements already made: trigger, bkg. vetos, MVA, ...
- Electron yield significantly increased
- Analysis is already in a good shape
  
- A lot of work not shown today/still on-going

backup

# Stripping line

- Run 1 data
- 2012 MC
- Stripping line  $ee$ :  
Bu2LLKeeLine2, version  
21r1p1
- Stripping line  $\mu\mu$ :  
Bu2LLKmuumuLine, version  
21r0p1

type	requirement
$B^0$	$ m - m_{B^0}^{\text{PDG}}  < 1000 \text{ MeV}/c^2$ DIRA $> 0.9995$ $\chi_{\text{IP}}^2(\text{primary}) < 25$ end vertex $\chi^2/\text{ndf} < 9$ $\chi_{\text{flight}}^2 > 100$
$K^{*0}$	$ m - m_{B^0}^{\text{PDG}}  < 300 \text{ MeV}/c^2$ $p_T > 500 \text{ MeV}/c$ $\chi_{\text{IP}}^2(\text{primary}) > 9$ origin vertex $\chi^2/\text{ndf} < 25$
$K$	$\text{DLL}_{K\pi} > -5$ $\chi_{\text{IP}}^2(\text{primary}) > 9$
$\pi$	$\chi_{\text{IP}}^2(\text{primary}) > 9$
$ll$	$m < 55000 \text{ MeV}/c^2$ end vertex $\chi^2/\text{ndf} < 9$ $\chi_{\text{DiLepflight}}^2 > 16$
$e, \mu$	$p_T > 300 \text{ MeV}/c$ $\chi_{\text{IP}}^2(\text{primary}) > 9$
$\mu$	isMuon
$e$	$\text{DLL}_{e\pi} > 0$

# Preselection

type	requirement	sample
all tracks	$\chi^2/\text{ndof} < 3$ GhostProb < 0.4	all
$K, \pi$	region <sup>L0CaloTool</sup> <sub>HCal</sub> $\geq 0$	all
e	region <sup>L0CaloTool</sup> <sub>ECAL</sub> $\geq 0$	all ee
e	!( $ x\text{Projection}_{\text{ECAL}}^{\text{L0CaloTool}}  > 363.6 \text{ mm}$ && $ y\text{Projection}_{\text{ECAL}}^{\text{L0CaloTool}}  > 282.6 \text{ mm}$ )	all ee
$K^{*0}$	$ m(K\pi) - m_{K^{*0}}^{\text{PDG}}  < 100 \text{ MeV}$ $p_T > 4000 \text{ MeV}/c^2$	all all (only L0H)
all	hasRich	all
$\mu$	hasMuon	all $\mu\mu$
e	hasCalo	all ee
$K, \pi$	$p_T > 250 \text{ MeV}/c$	all
$\mu$	$p_T > 800 \text{ MeV}/c$	all $\mu\mu$
e	$p_T > 500 \text{ MeV}/c$	all ee
$K$	ProbNNk $\cdot (1 - \text{ProbNNp}) > 0.05$	all
$\pi$	ProbNNpi $\cdot (1 - \text{ProbNNk}) \cdot (1 - \text{ProbNNp}) > 0.1$	all
e	ProbNNe > 0.2	all ee

# Vetos against physical background

- Several  $B$  decays can be misidentified as signal decay
- These processes peak in some variable  $\rightarrow$  can be vetoed

## Used in Run 1 paper:

type	reconstructed as signal if
$B^+ \rightarrow K^+(J/\psi \rightarrow \ell\ell)$	combined with a random $\pi$
$B_s^0 \rightarrow (\phi \rightarrow KK)(J/\psi \rightarrow \ell\ell)$	$K \rightarrow \pi$ mis-identification
partially reconstructed	$\geq 1$ final state not reconstructed

## Improved vetos:

type	reconstructed as signal if
$B^0 \rightarrow K^{*0}(J/\psi \rightarrow \ell\ell)$	$H \leftrightarrow \ell$ double swap
$B^0 \rightarrow (D^- \rightarrow K^{*0} \ell^- \bar{\nu}_\ell) \ell^+ \nu_\ell$	$\nu$ carry low momenta

## New vetos:

type	reconstructed as signal if
$B^0 \rightarrow D^+(\rightarrow K\pi\pi)\ell^- \nu$	$\pi \rightarrow \ell$ mis-identification
$B^0 \rightarrow D^0(\rightarrow K\pi)\pi\ell\nu$	$\pi \rightarrow \ell$ mis-identification

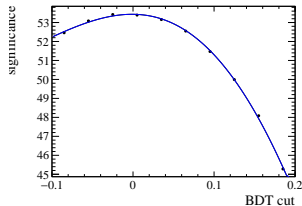
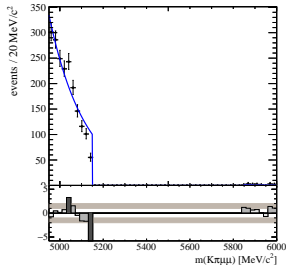
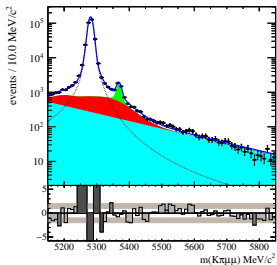
# BDT input variables

- first a BDT is run with 24 input variables to test their separation power

particle	Variables					
$B^0$	$p_T$	$\chi_{IP}^2$	$\chi_{FD}^2$	$\chi_{vtx}^2$		DIRA $\chi_{DTF}^2$
$K^{*0}$	$p_T$	$\chi_{IP}^2$	$\chi_{FD}^2$	$\chi_{vtx}^2$		DIRA
$J/\psi$	$p_T$	$\chi_{IP}^2$	$\chi_{FD}^2$	$\chi_{vtx}^2$		DIRA
$K/\pi$	$\min(p_{T,h})$	$\max(p_{T,h})$	$\min(\chi_{IP,h}^2)$	$\max(\chi_{IP,h}^2)$		
$ll$	$\min(p_{T,l})$	$\max(p_{T,l})$	$\min(\chi_{IP,l}^2)$	$\max(\chi_{IP,l}^2)$		

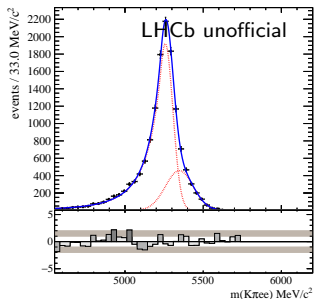
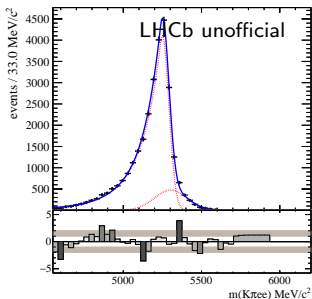
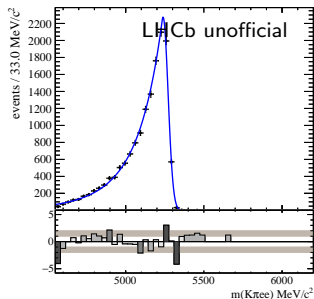
# BDT optimisation

- Calculate significance  $s = \frac{N_{sig}}{\sqrt{N_{sig} + N_{bkg}}}$
- $N_{sig}$ : fit control channel and estimate signal mode with branching fractions
- $f = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} \mu \mu)}{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi)} = 0.0135$
- $N_{bkg}$ : fit in signal  $q^2$ -range and interpolate to  $B^0$ -signalrange



# Fit of $B^0 \rightarrow K^{*0} J/\psi(\rightarrow ee)$ simulation

- Performed to determine signal mass model
- Fit in three different bremsstrahlung categories:
  - Brem0: no brem. photon added to both e
  - Brem1: 1 brem. photon added to one e
  - Brem2:  $\geq 2$  brem. photons added
- Brem0 fitted with Crystall Ball (CB) function  
Brem1 and Brem2 fitted with sum of CB and Gauss function





# Fit of $B^0 \rightarrow K^{*0} J/\psi (\rightarrow ee)$ simulation

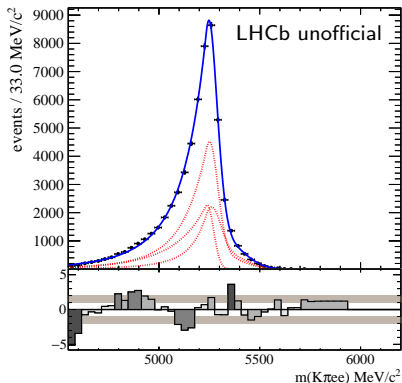
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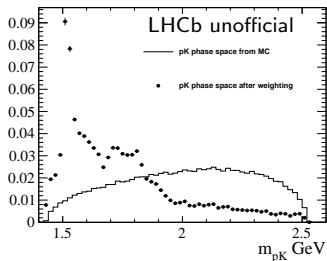
**Brem2:**  $\geq 2$  brem. photons added

- Combined fit to full MC sample

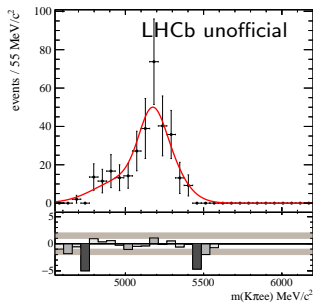


## $\Lambda_b \rightarrow pK(J/\psi \rightarrow ee)$ fit modelling

- Reconstructed as signal if  $p \rightarrow \pi$  mis-identification occurs
- Using  $\Lambda_b \rightarrow pK(J/\psi \rightarrow ee)$  MC after full selection
- MC corrected for  $pK$  phase space distribution
- Using weights determined in penta-quark analysis at LHCb (PhysRevLett.115.072001)
- Model background using superposition of Gaussian kernels



reweighting of  $pK$  phase space distribution



fit to dalitz weighted  $\Lambda_b$  bkg