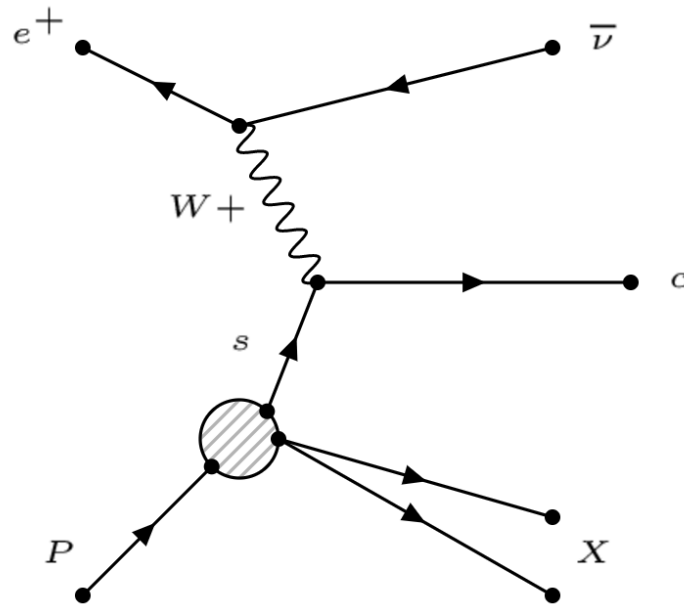


# Charm Production in CC DIS at HERA

Jae D. Nam  
Temple Univ.

# Motivations

- Charm cross section measurement in high- $Q^2$  charged current (CC) DIS.  
→ Constraints on  $s(x, Q^2)$



← LO Charm production Feynman diagram

- Allows for  $s(x, Q^2)$  measurement.
- The process via  $d$  is Cabibbo-suppressed.
- Due to the final state neutrino, a large missing  $P_T$  is observed.
- Charmed particle has a long lifetime since it decays weakly.
- Invariant kinematic variables ( $x, y, Q^2$ ) defined by using Jacquet-Blondel Method.

$$y_{JB} = \frac{\sum_h (E - p_z)_h}{2E_{e,beam}}$$

$$Q_{JB}^2 = \frac{p_{T,h}^2}{1 - y_{JB}}$$

$$x_{JB} = \frac{Q_{JB}^2}{sy_{JB}}$$

- Complementary measurement (high- $Q^2$ ) to the previous analyses at low- $Q^2$ .

→ CCFR/NuTeV :  $\frac{\int_0^1 dx [xs + x\bar{s}]}{\int_0^1 dx [x\bar{u} + x\bar{d}]} = 0.477^{+0.063}_{-0.053}$

( $Q^2 = 4 \text{ GeV}^2$ )

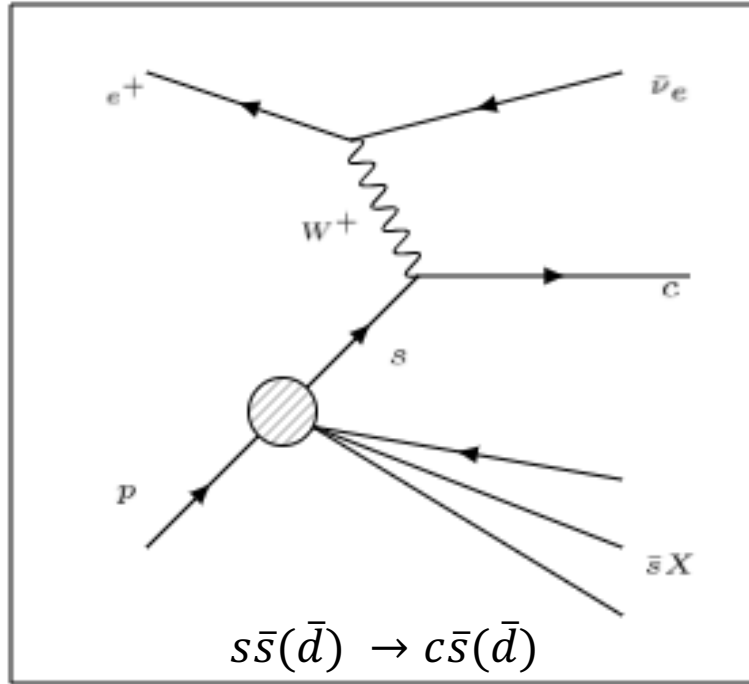
\*\*Z.Phys.C65:189-198,1995

→ ATLAS :  $\frac{s+\bar{s}}{\bar{u}+\bar{d}} = 1.13 \pm 0.05$

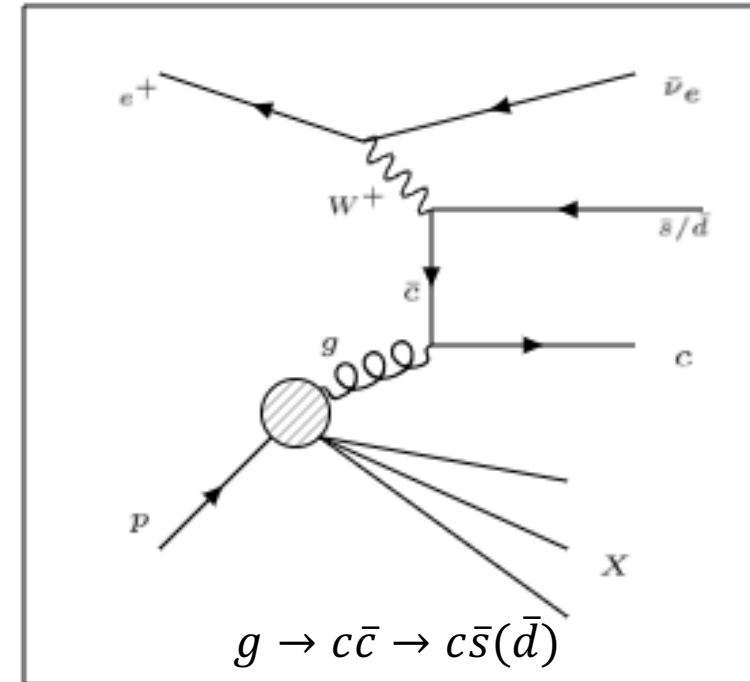
( $Q^2 = 1.9 \text{ GeV}^2, x = 0.023$ )

\*\*Eur. Phys. J. C 77 (2017) 367

# Charmed Sub-processes



- LO quark-initiated process (QI)
  - sensitive to strange content.



- NLO boson-gluon fusion (BGF)
  - sensitive to gluon content.

- All three schemes have the same initial & final state and are EW processes.
  - hard to disentangle theoretically.

# DATA & Monte Carlo Samples

## Data

- HERA II ( $L \cong 360 \text{ pb}^{-1}$ )
  - $e^-p$  : 05e, 06e w/  $L \cong 185 \text{ pb}^{-1}$
  - $e^+p$  : 0304p, 0607p w/  $L \cong 173 \text{ pb}^{-1}$

Year	Collision	Integrated Luminosity ( $\text{pb}^{-1}$ )
2003/04	$e^+p$	$\sim 38$
2004/05	$e^-p$	$\sim 133$
2006	$e^-p$	$\sim 52$
2006/07	$e^+p$	$\sim 135$

## MC

- DIS
  - Inclusive CCDIS MC, DJANGO 1.6, ARIADNE 4.12, CTEQ-5D.
- Background
  - Inclusive NCDIS MC: DJANGO 1.6, ARIADNE 4.12, CTEQ-5D
  - Photoproduction MC: HERWIG, resolved & direct
  - Background contribution was found to be negligible.

# DIS Selection Summary (Ciesielski & Oliver)

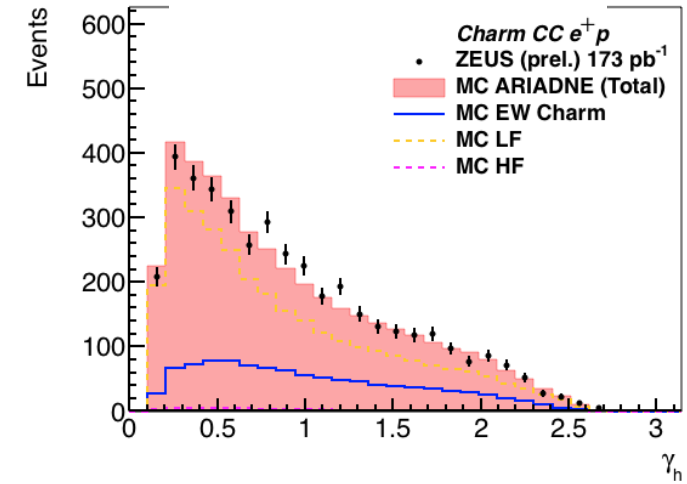
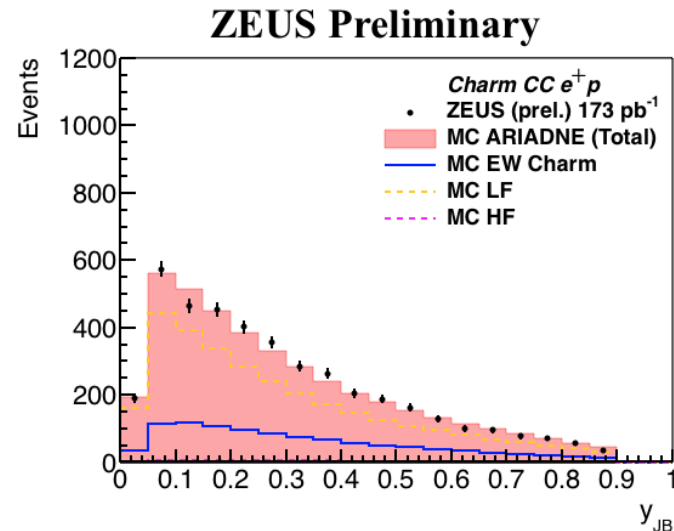
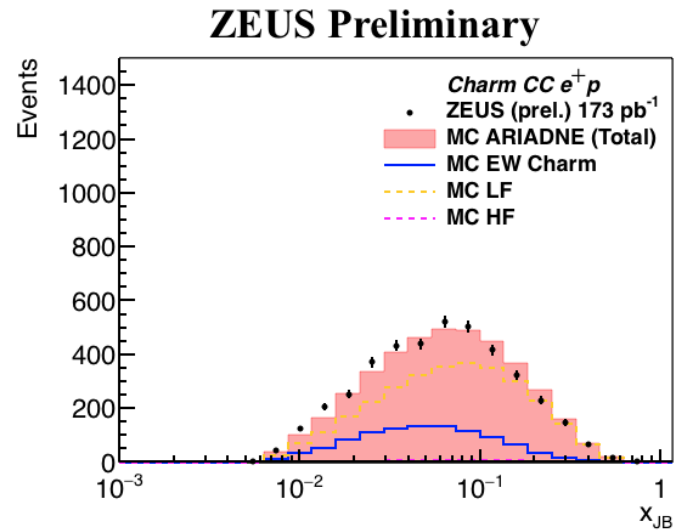
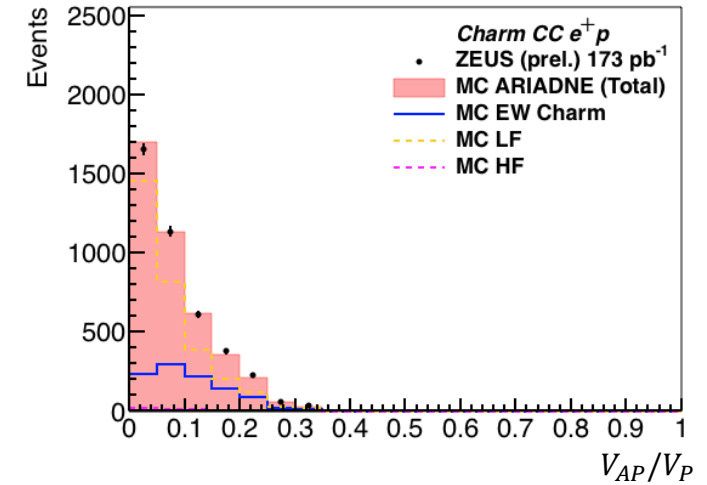
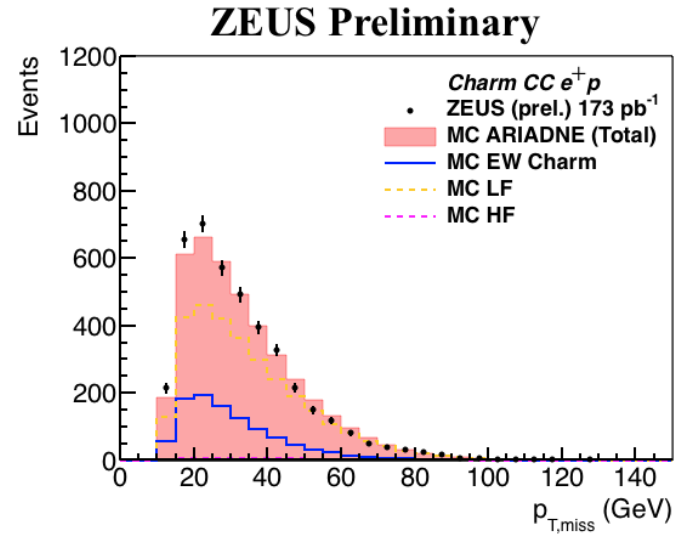
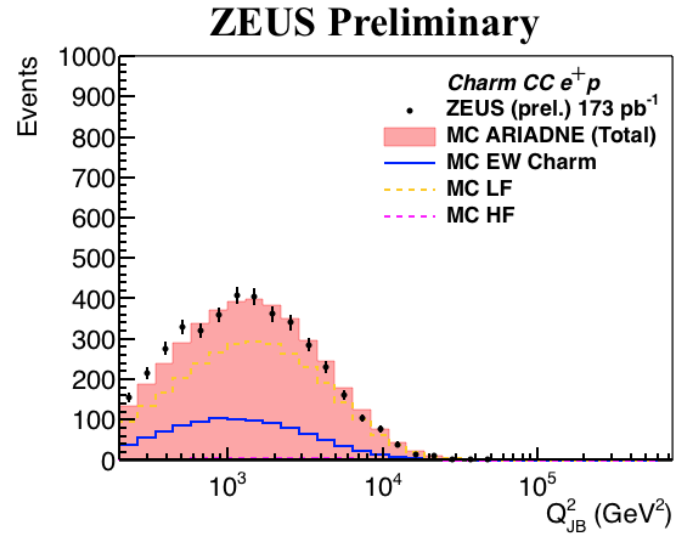
General Selection	
<b>Trigger</b>	FLT 60    63    39    40    41    43    44 SLT EXO 4 TLT EXO 2    EXO 6 DST 34
<b>DQ</b>	EVTAKE, POLTAKE, MVDTAKE, STTTAKE
<b>p_T</b>	p_T > 12 GeV p'_T > 10 GeV
<b>Kinematic</b>	200 < Q2 < 60,000 GeV2 y < 0.9
Tracking Based Selection	
<b>Vertex</b>	Zvtx  < 30 cm
<b><math>\phi_{cal} - \phi_{trk}</math></b>	d $\phi$ < 90 degrees
<b>Beam Gas Trk</b>	Ntrkvtx > 0.125 * (Ntrk - 20)

Calorimeter Based Selection	
<b>Timing</b>	Consistent with ep interaction
<b>PhP, Beam Gas</b>	Vap/Vp < 0.25 if (Pt < 20 GeV) Vap/Vp < 0.35 else
<b>Cosmics</b>	Reject if: Ncell < 40 or (BAC/BRMU cosmic muon) or E_RCAL > 2 GeV and f_RHAC > 0.5 or E_BCAL > 2 GeV and f_BHAC > 0.85 or f_BHAC1 > 0.7 or f_BHAC2 > 0.4 or E_FCAL > 2 GeV and f_FHAC < 0.10 or f_FHAC > 0.85 or f_FHAC1 > 0.7 or f_FHAC2 > -.6
<b>Halo Muon</b>	Reject if: MaxEtCell_nr <= 16384 and RCAL asosE > 0.3 GeV (FCAL) or Tsu_halo > 0 (TSUBAME in BCAL) or (BAC/BRMU halo muon)
<b>NC DIS</b>	Reject if: PT < 30 GeV && E-Pz > 30 GeV && E_e > 4 GeV && E_in < 5 GeV && (Ptrk/Ee > 0.25 for 15 < $\theta_e$ < 164 or Ete > 2 GeV for $\theta_e$ > 164)

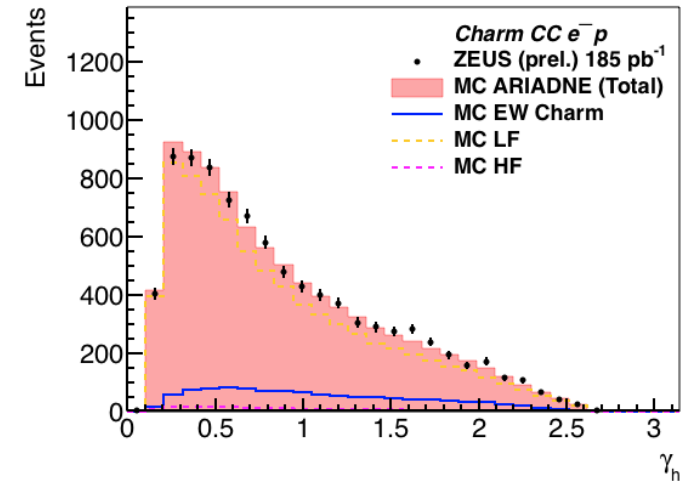
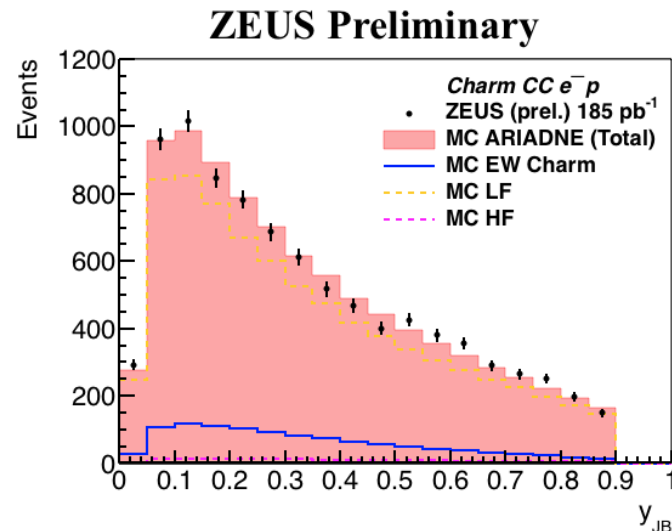
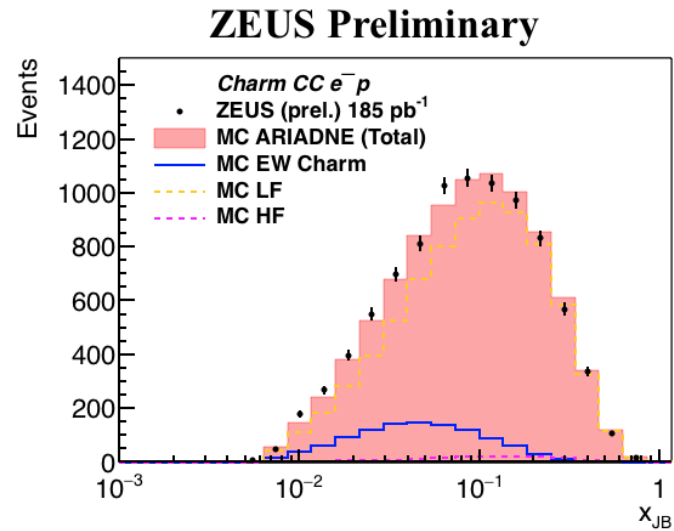
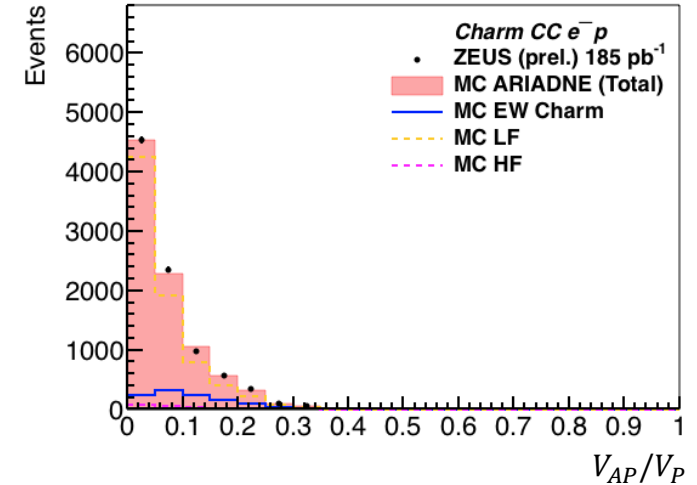
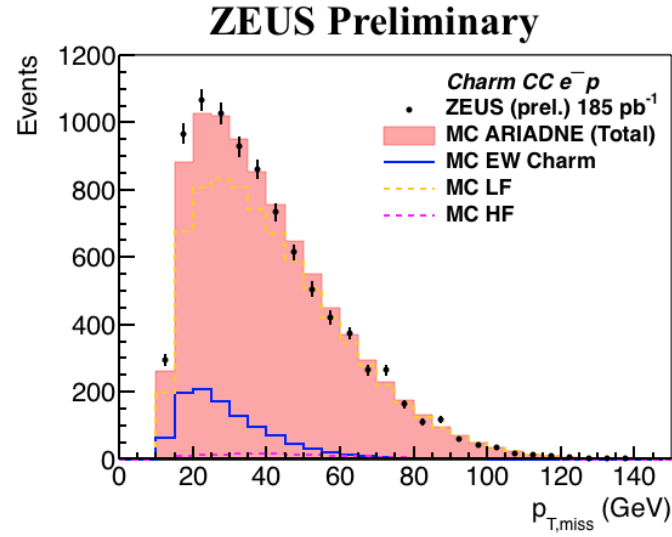
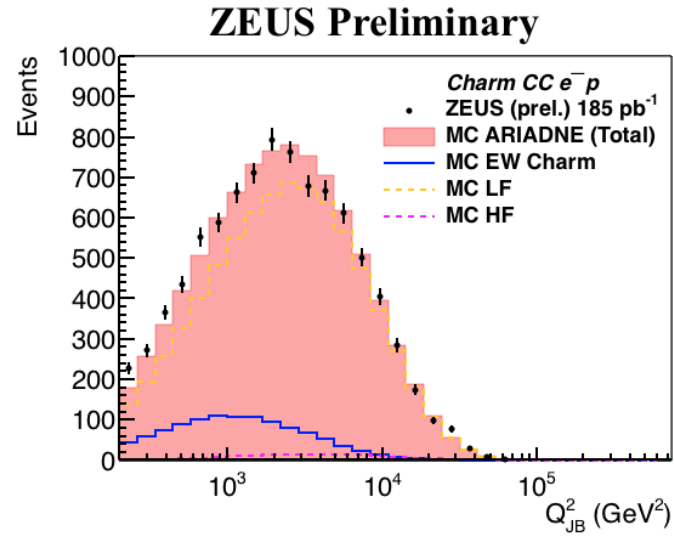
yellow – Varies between run periods  
 -STTTAKE = 0 for 05e data  
 -FLT 63 active after run 54115

green – Only applied on data  
 -Timing cut only on data

# Control Plots – Event ( $e^+p$ )



# Control Plots – Event ( $e^-p$ )



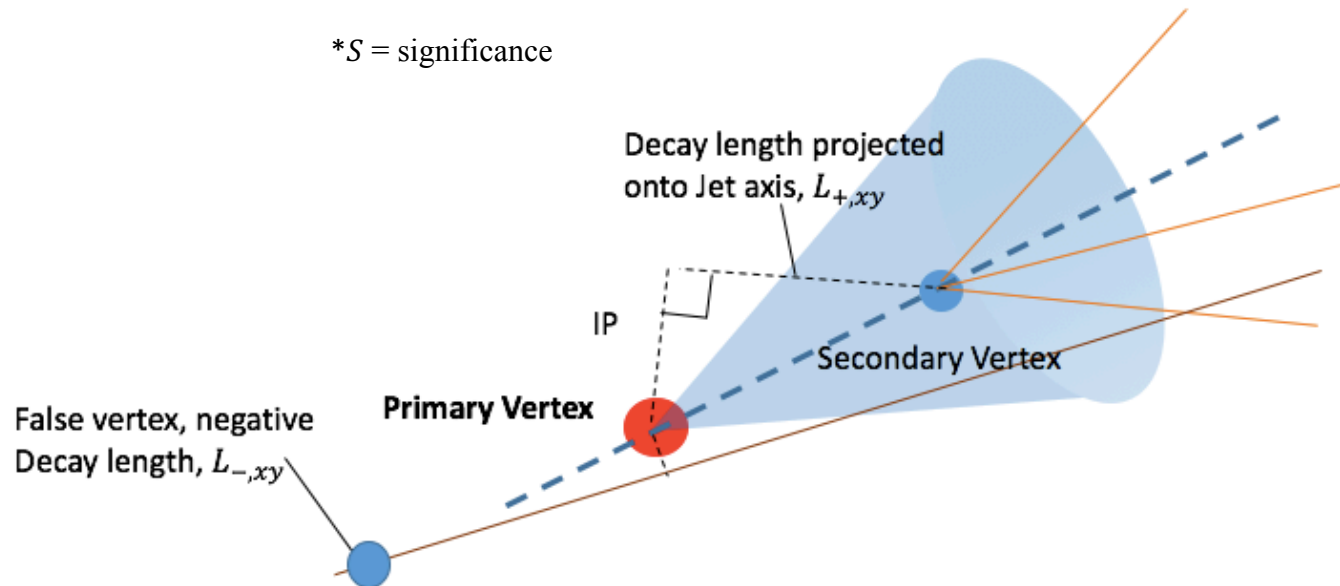
# Charm Identification

## Lifetime-tagging Method

- 2D decay length ( $L_{xy}$ ) projected onto Jet axis.
  - LF  $\rightarrow$  Short-lived, Symmetric decay length.
  - Charm  $\rightarrow$  Long-lived, Asymmetric.
- LF contribution (background) suppressed by mirroring decay length distribution around  $L_{xy} = 0$ .

$$(M_{L+} - M_{L-}, M_{S+} - M_{S-})$$

\*S = significance



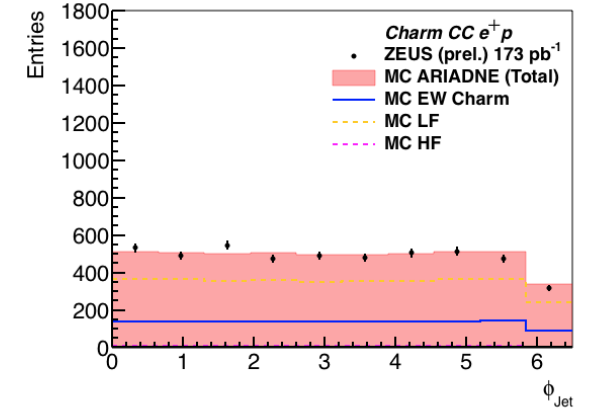
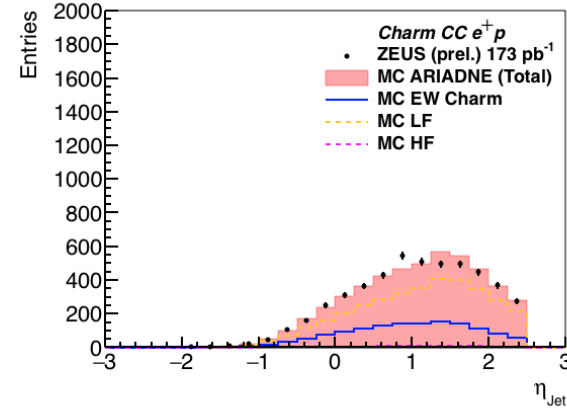
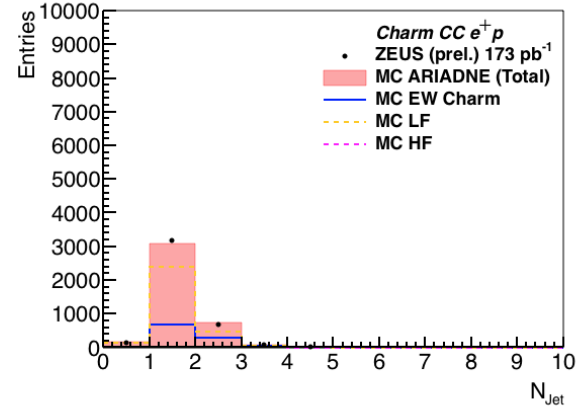
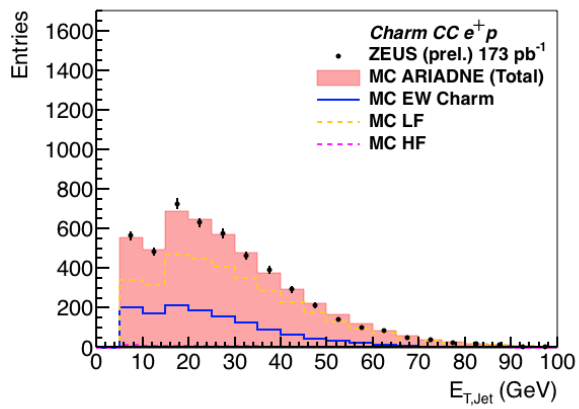
Jet Selection	Reconstructed by using kT algorithm in massive mode.
	$E_T^{jet} > 5 \text{ GeV}$
	$-2.5 < \eta^{jet} < 2.5$
SecVtx Selection	$\chi^2/N_{dof} < 6$
	$ Z_{secvtx}  < 30 \text{ cm}$
	Distance to beamspot $\sqrt{\Delta x^2 + \Delta y^2} < 1 \text{ cm}$

- $E_T^{jet}$  and  $\eta^{jet}$  cuts further define the kinematic phase space of the measurement.

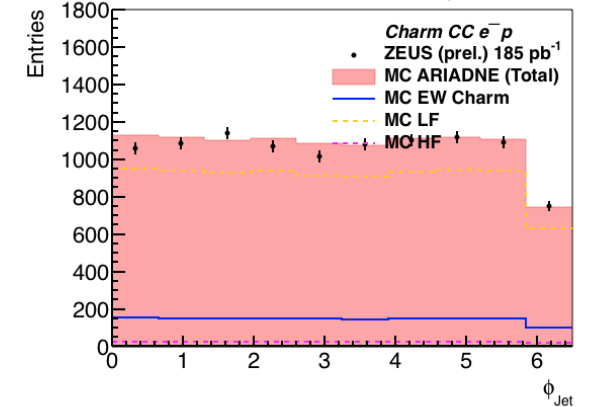
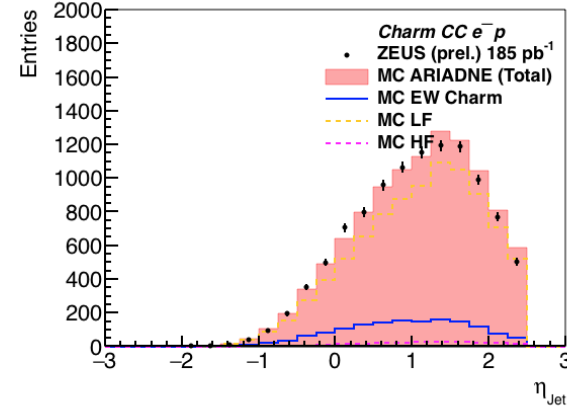
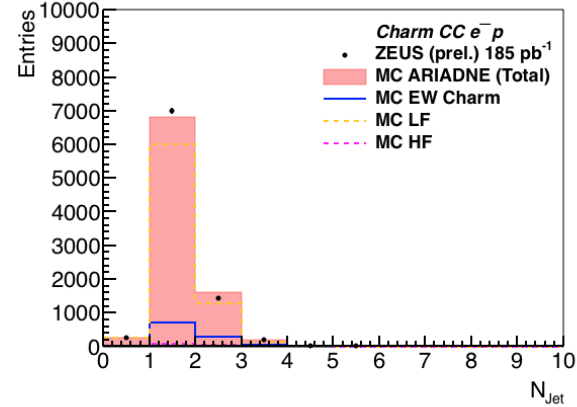
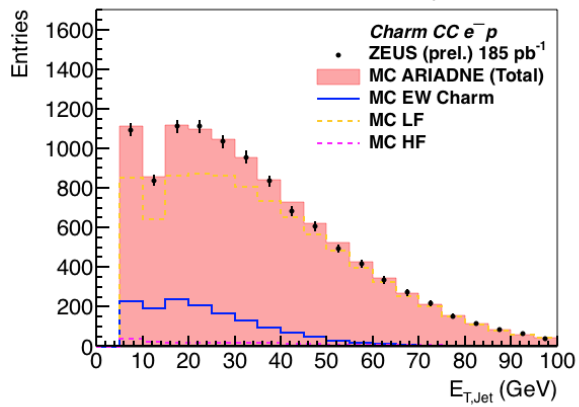


# Control Plots – Jet

$e^+p$



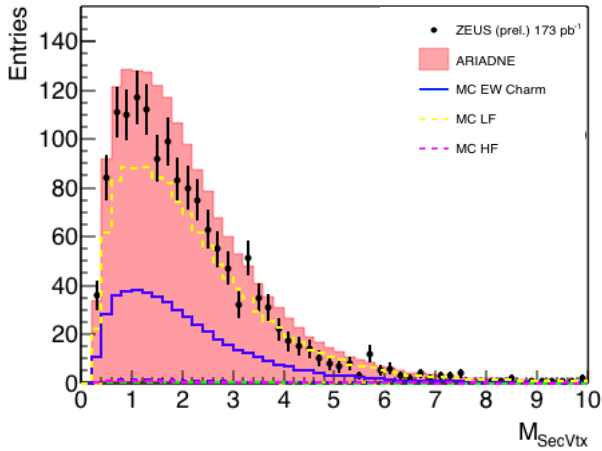
$e^-p$



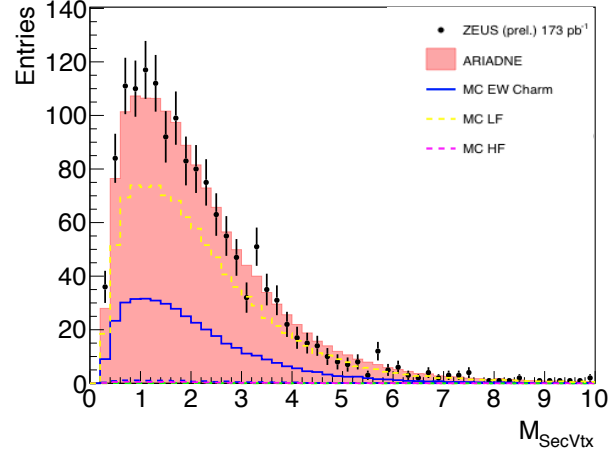
# Secondary Vertex Scaling

(0607p)

Secondary Vertex Mass

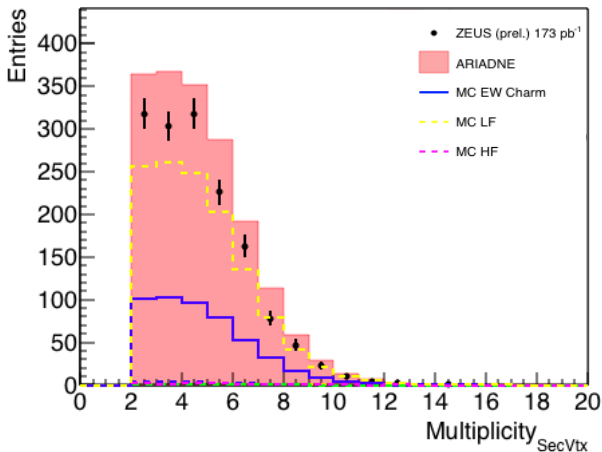


Secondary Vertex Mass



- MC overestimates trackings & secondary vertices.
- A secondary scaling applied to MC to match Data.

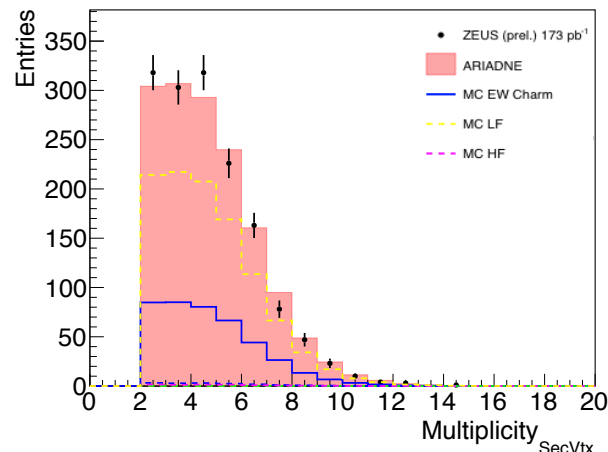
Sec Vtx fitted Track Multiplicity



MC scaling factor  
= 0.834



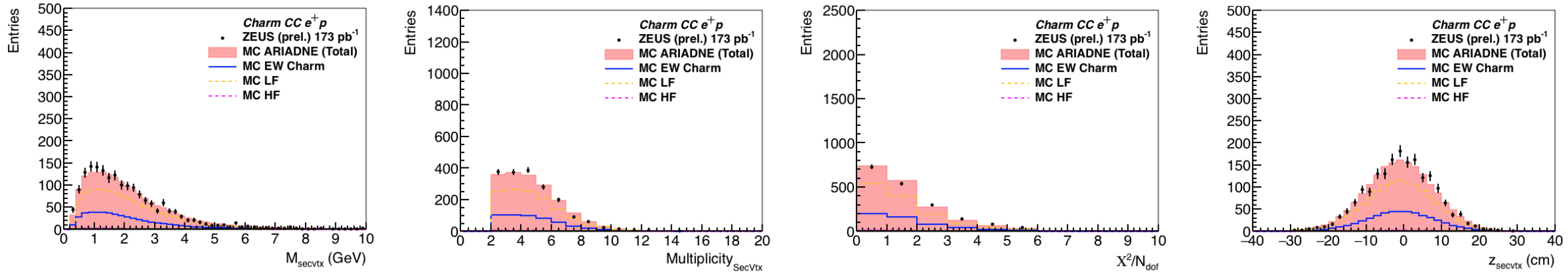
Sec Vtx fitted Track Multiplicity



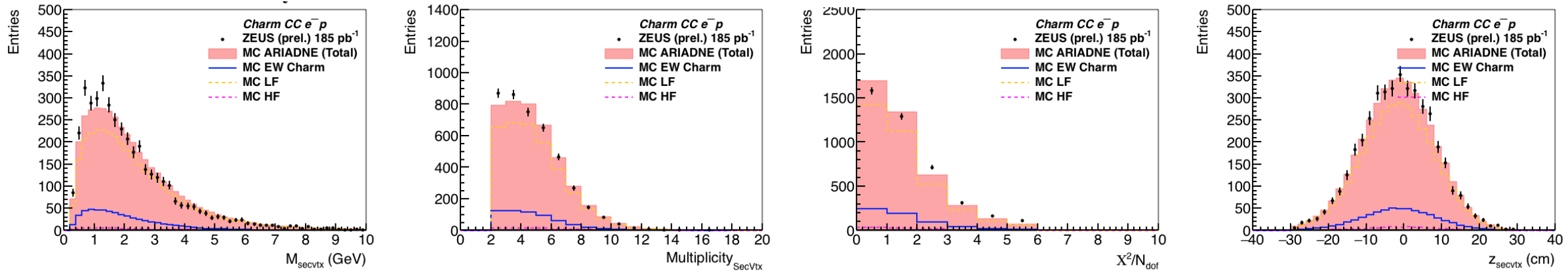
$$\begin{aligned}
 N_{SecVtx}^{DATA} / N_{SecVtx}^{MC} &= 0.686 \text{ (0304p)} \\
 &= 0.802 \text{ (05e)} \\
 &= 0.810 \text{ (06e)} \\
 &= 0.834 \text{ (0607p)}
 \end{aligned}$$

# Control Plots – Secondary Vertex

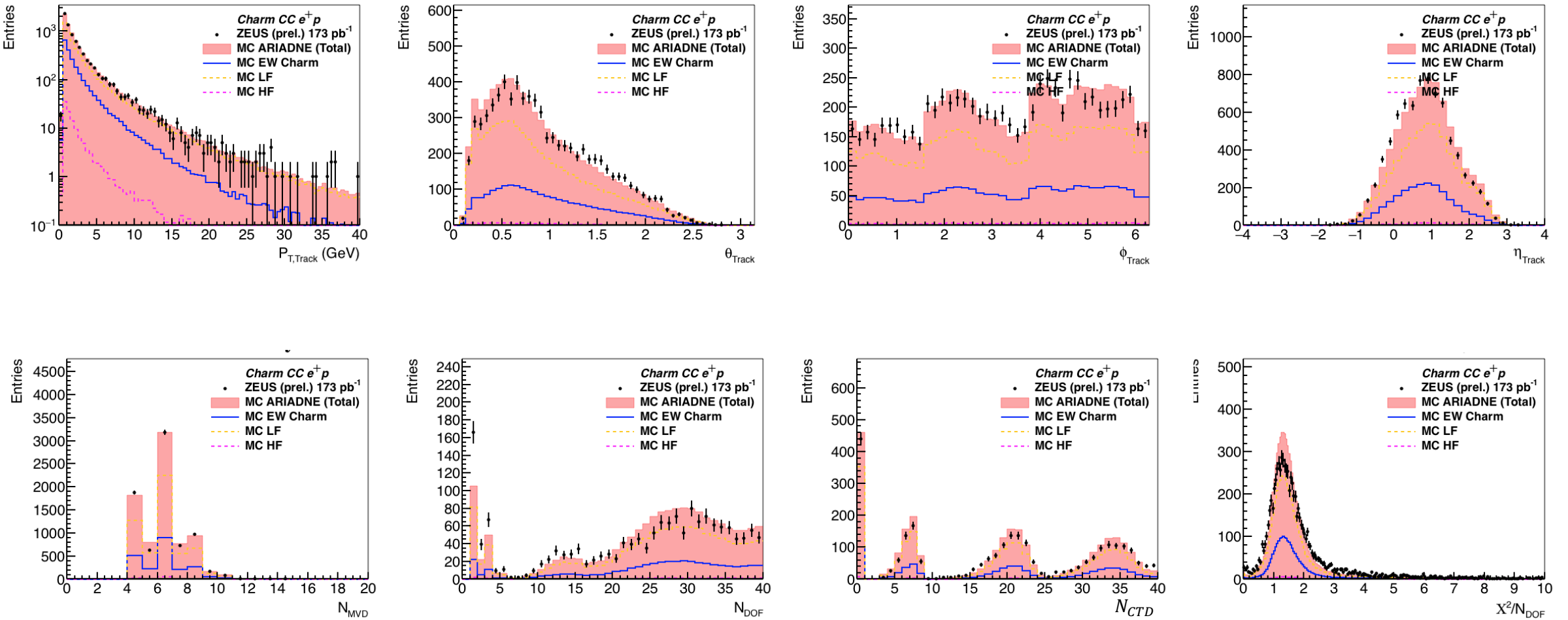
$e^+p$



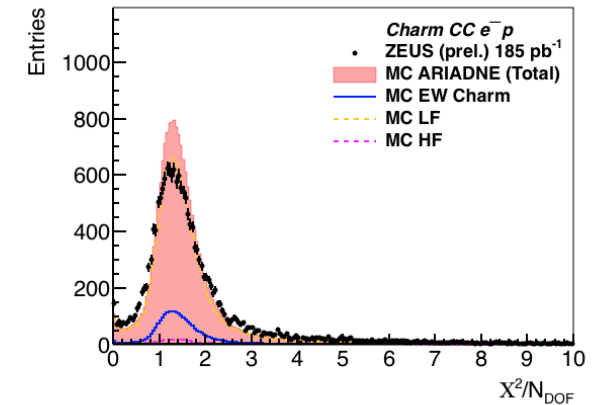
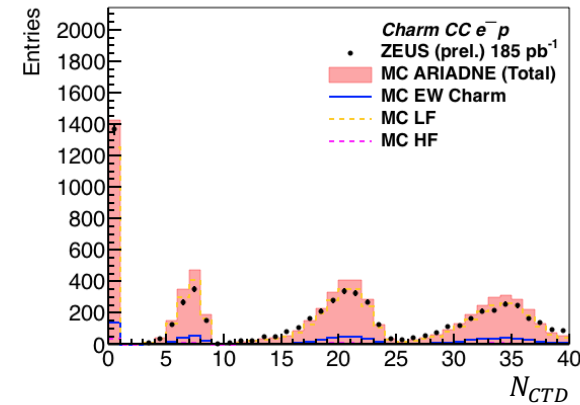
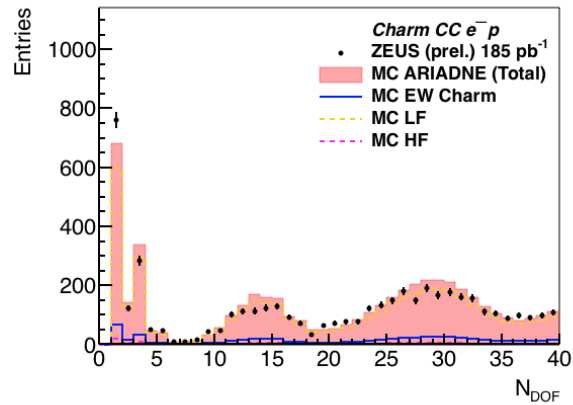
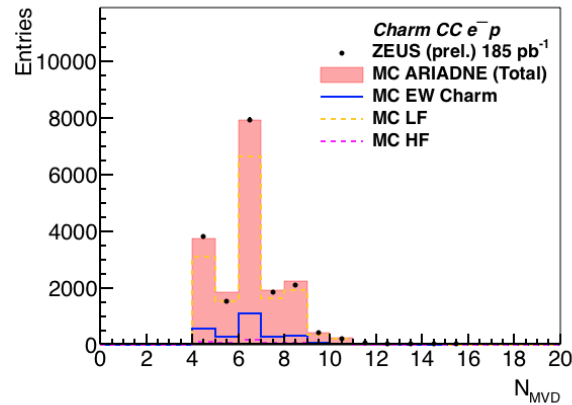
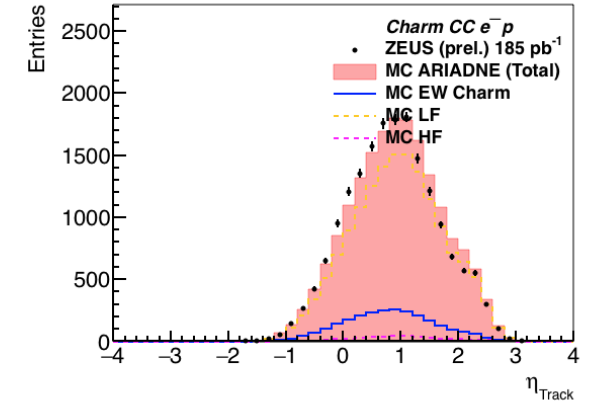
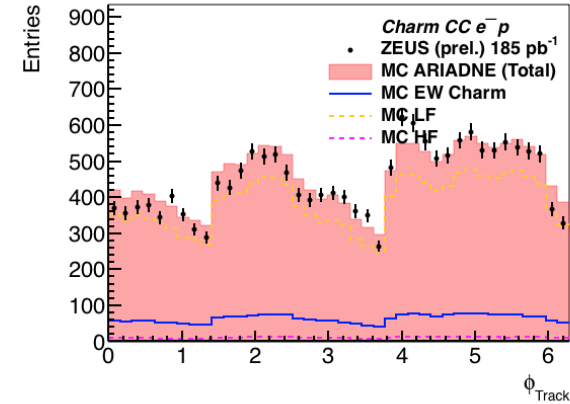
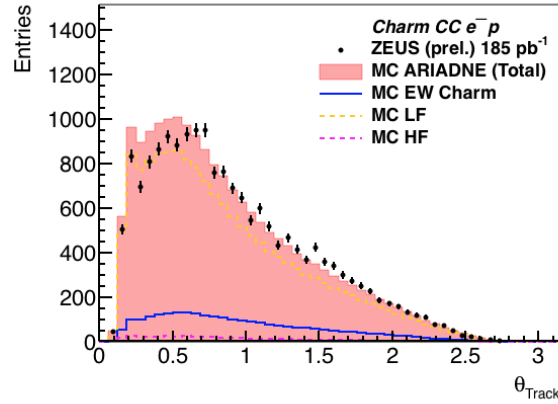
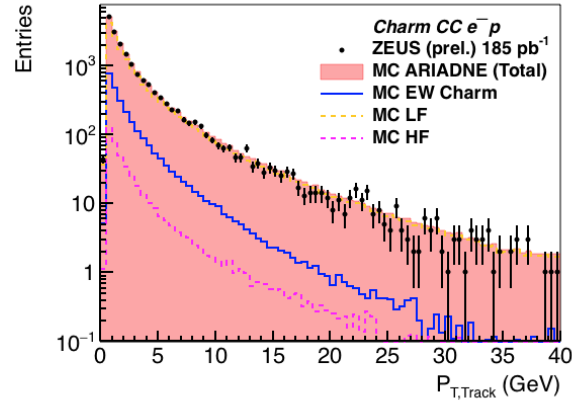
$e^-p$



# Control Plots – Tracks ( $e^+p$ )

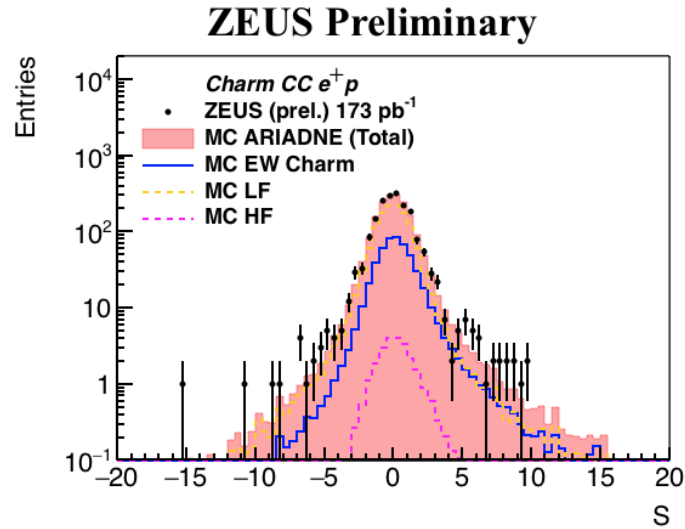
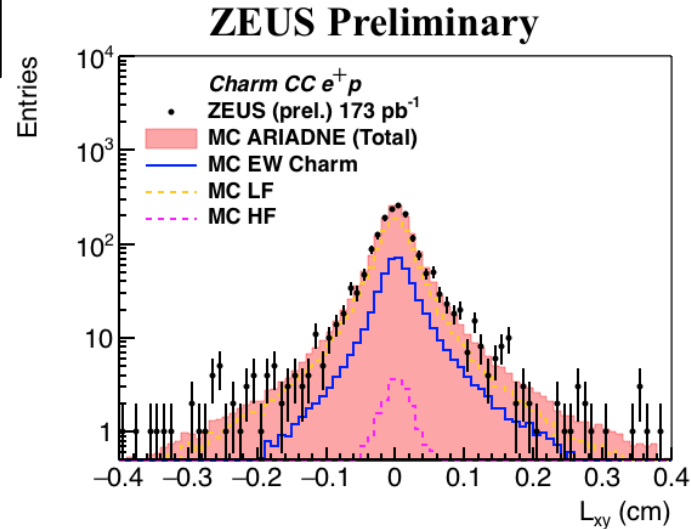


# Control Plots – Tracks ( $e^-p$ )

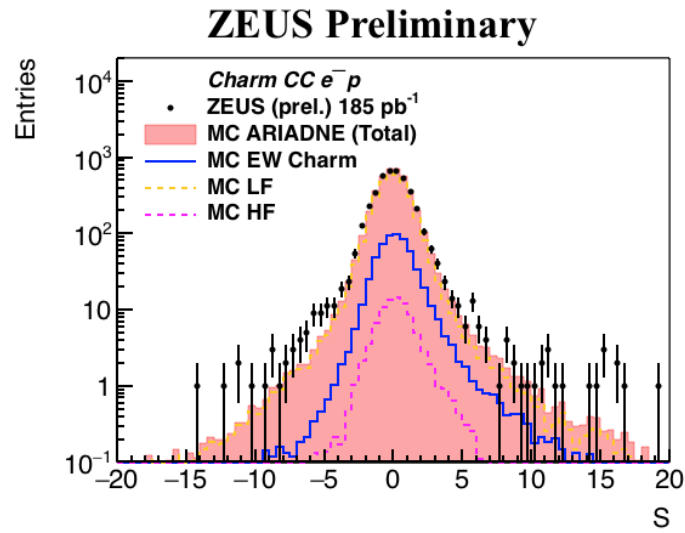
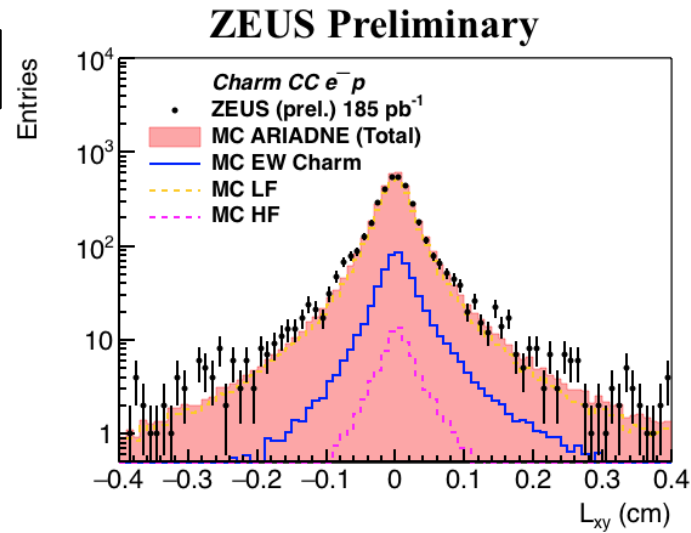


# Decay Length Plots

$e^+p$

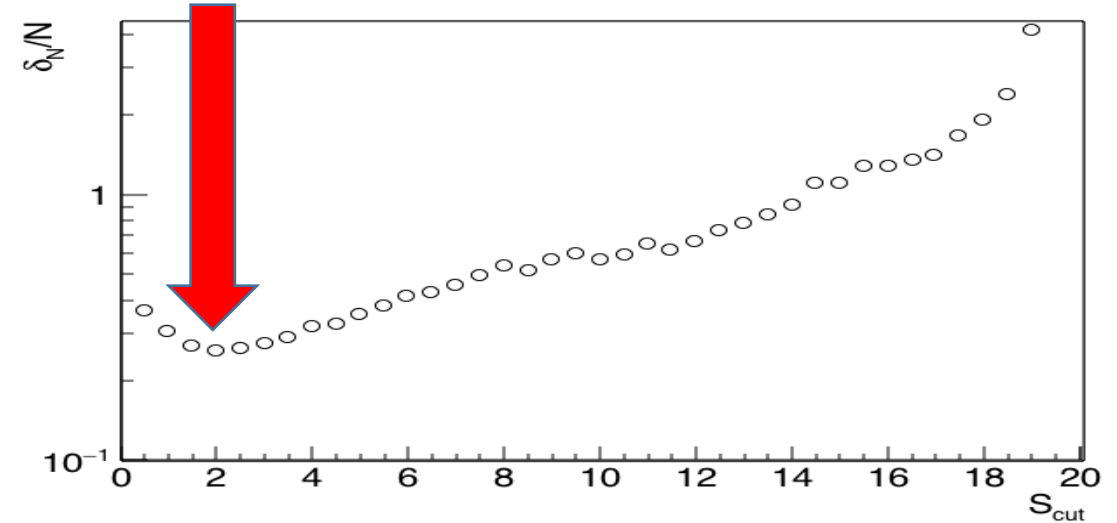
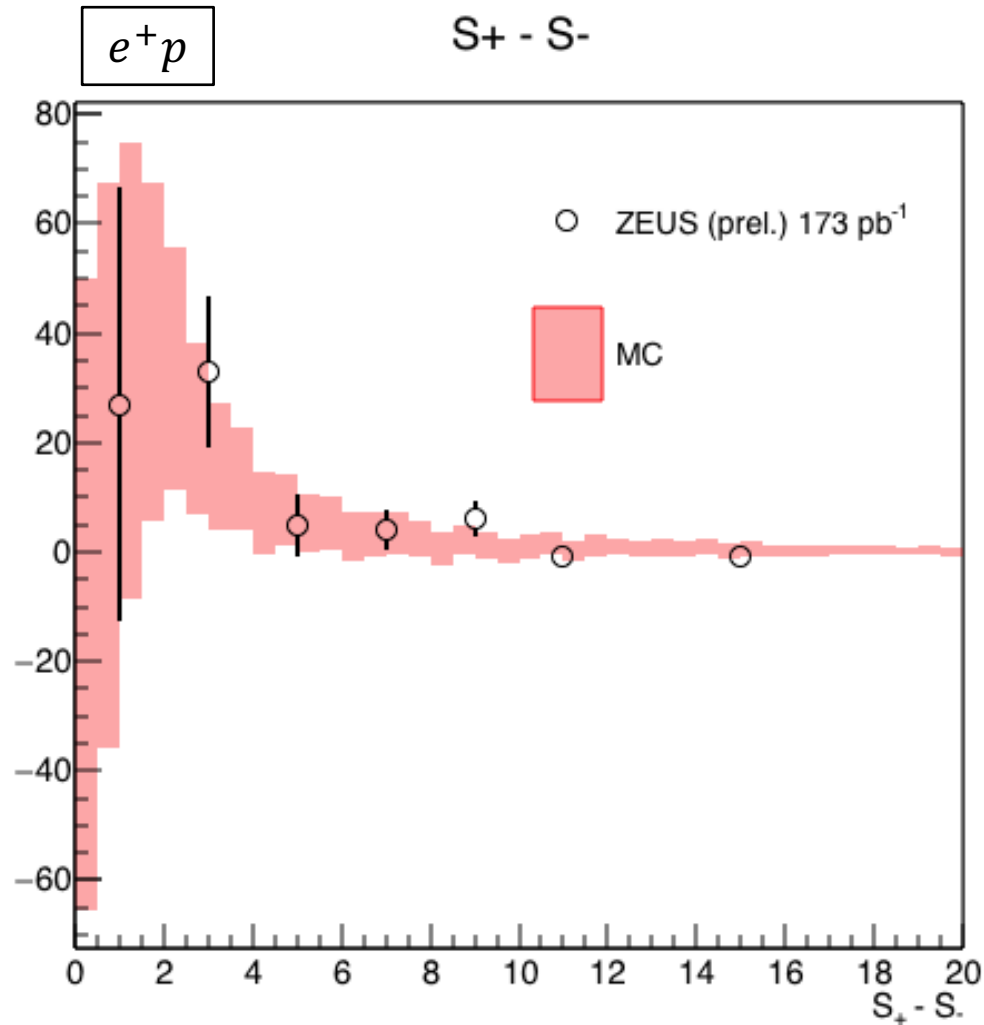


$e^-p$



- Asymmetric charm signal observed.
- The high symmetry and large statistics around  $S \sim 0$  contributes to a large statistical uncertainty in the low bin regions in  $S$ .
- A significance threshold cut was applied to reduce overall statistical uncertainty.

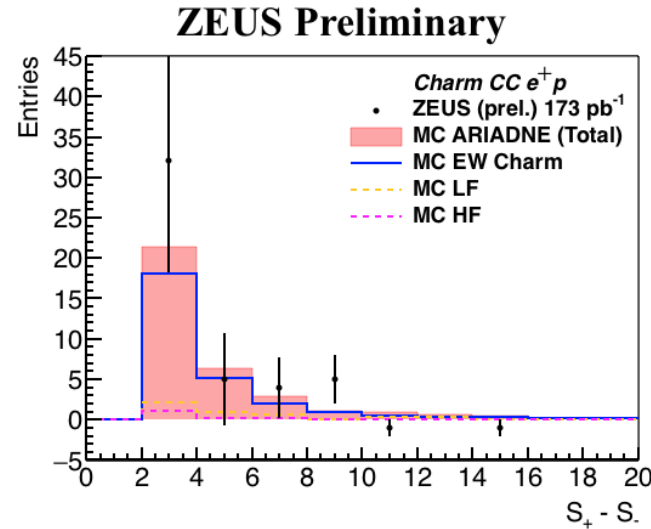
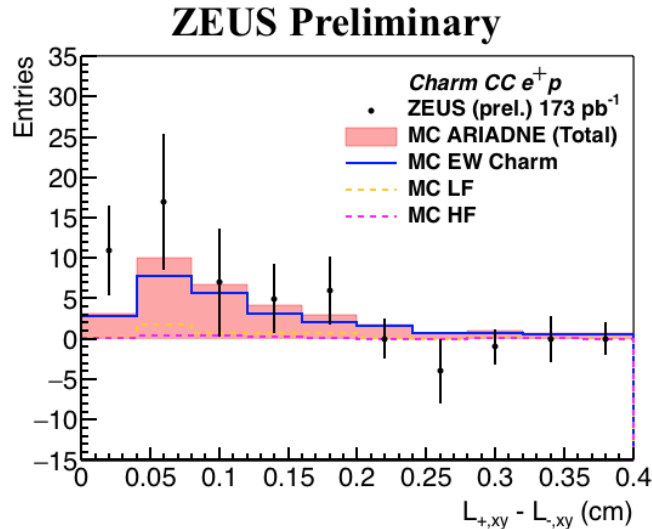
# Significance Threshold



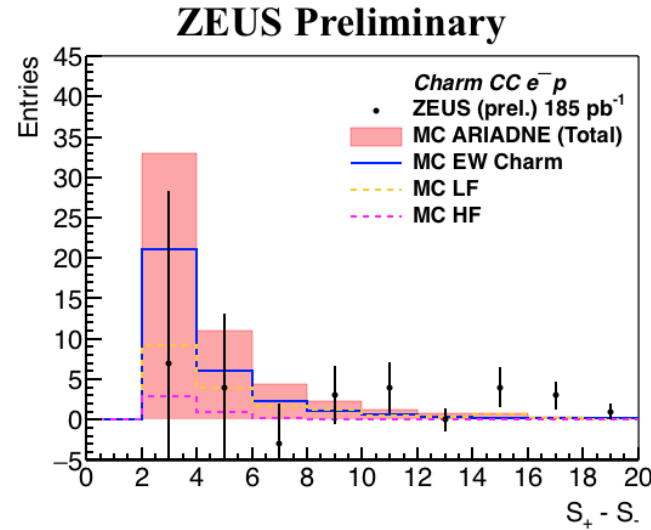
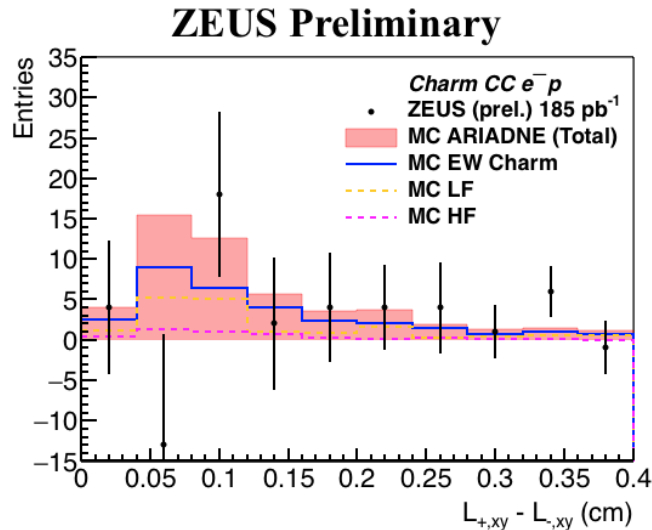
- The high symmetry and large statistics around  $S \sim 0$  contributes to a large statistical uncertainty.
- A significance threshold cut was applied to reduce overall statistical uncertainty.
- From MC, the lowest  $\delta/N$  is achieved if cut were to be applied at  $S = 2$ .

# Mirrored Decay Length

$e^+p$



$e^-p$



- Significance cut applied at  $S > 2$ .
- Charm signal observed with LF contribution (Background) suppressed.
- Surviving events are split into 2 bins in  $Q^2$  to unfold charm production cross section,  $\sigma_{charm,CC}$ .



# Inverse correlation matrix

- Good agreement between True and Reconstructed  $Q^2$ .

$$N_i = \sum_j C_{ij}^{-1} M_j$$

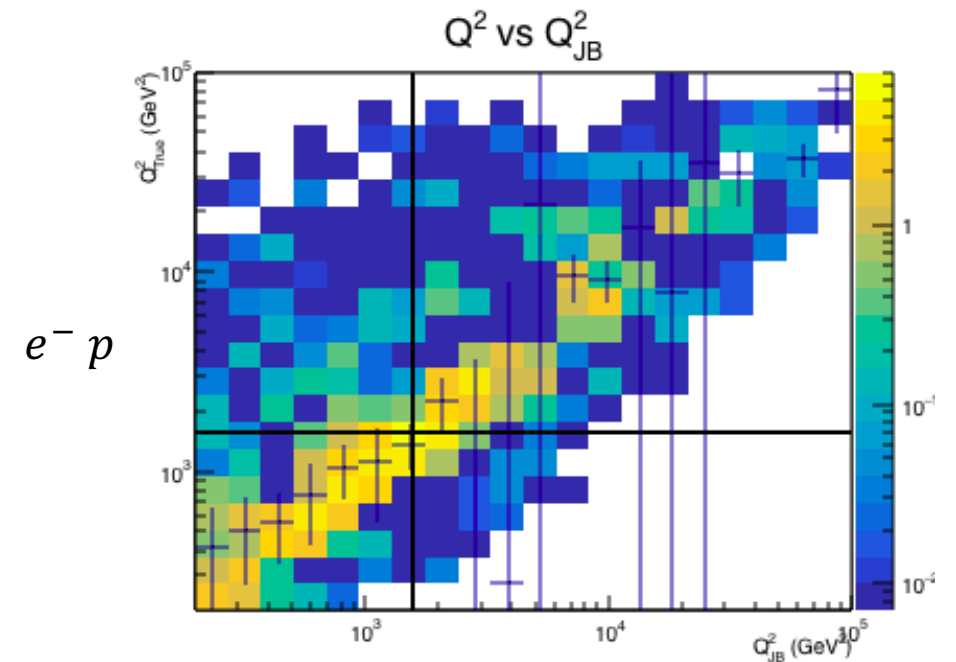
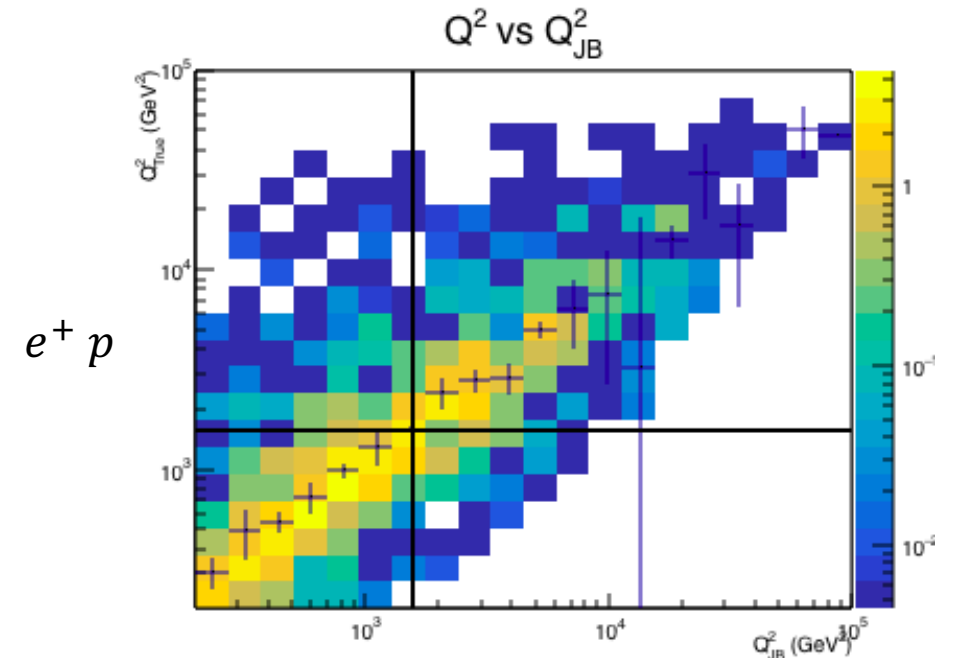
$N_i$  = true number of entries in bin  $i$

$M_i$  = reconstructed number of entries in bin  $i$

$C_{ij}^{-1}$  = inverse correlation matrix element for bin  $i, j$

$C_{ij}^{-1} (e^+ p)$	$j = 1$	$j = 2$
$i = 1$	0.90	0.07
$i = 2$	0.10	0.93

$C_{ij}^{-1} (e^- p)$	$j = 1$	$j = 2$
$i = 1$	0.91	0.13
$i = 2$	0.09	0.87



# Systematic Uncertainty

Source	Variable	Nominal Value	Variation	$e^+p$ $\delta_{\sigma_{vis}}(pb)$	$e^-p$ $\delta_{\sigma_{vis}}(pb)$
DIS Selection	$P_T$	12 GeV	11 GeV	-0.03	-0.02
			13 GeV	-0.10	0.03
	$Z_{vtx}$	30 cm	25 cm	0.44	0.67
			35 cm	0.00	-0.01
Calorimeter	$E_T^{jet}$	5 GeV	-3%	0.02	0.02
			+3%	0.02	-0.03
Background	$M_{bg}$		-30%	0.58	1.70
			+30%	-0.58	-1.70
SecVtx Rescaling	Rescaling only applied to LF			-0.66	0.95
Signal Extraction	$S_{cut}$	2	$\pm 1$	$\pm 2.6$	$\pm 5.9$
Luminosity	$L$			$\pm 2\%$	
Sum (exclud. Luminosity)				2.70	6.25
				2.75	6.14

## $\delta_1$ DIS Selection

- Criteria associated with more than 1% uncertainty is listed.

## $\delta_2$ Calorimeter

- Due to imperfect calibration of hadronic calorimeter (HAC). Uncertainty in  $E_T^{jet}$  is known to be  $\pm 3\%$ . The reconstructed  $E_T^{jet}$  cut was varied 3% for MC events.

## $\delta_3$ Background

- Asymmetry in LF decay length due to long-lived LF particles.
- Due to the higher background count, the uncertainty due to background contribution is larger in  $e^-$  beam periods. It is expected that the uncertainty from this criterion will go down as the optimization progresses.

## $\delta_4$ Secondary Vertex Rescaling

- More secondary vertices survive in MC than in data. Rescaling was only applied to the light-flavor signal to account for different causes of the discrepancy.
- Also higher in  $e^-$  beam periods due to the large LF background count.

## $\delta_5$ Signal Extraction

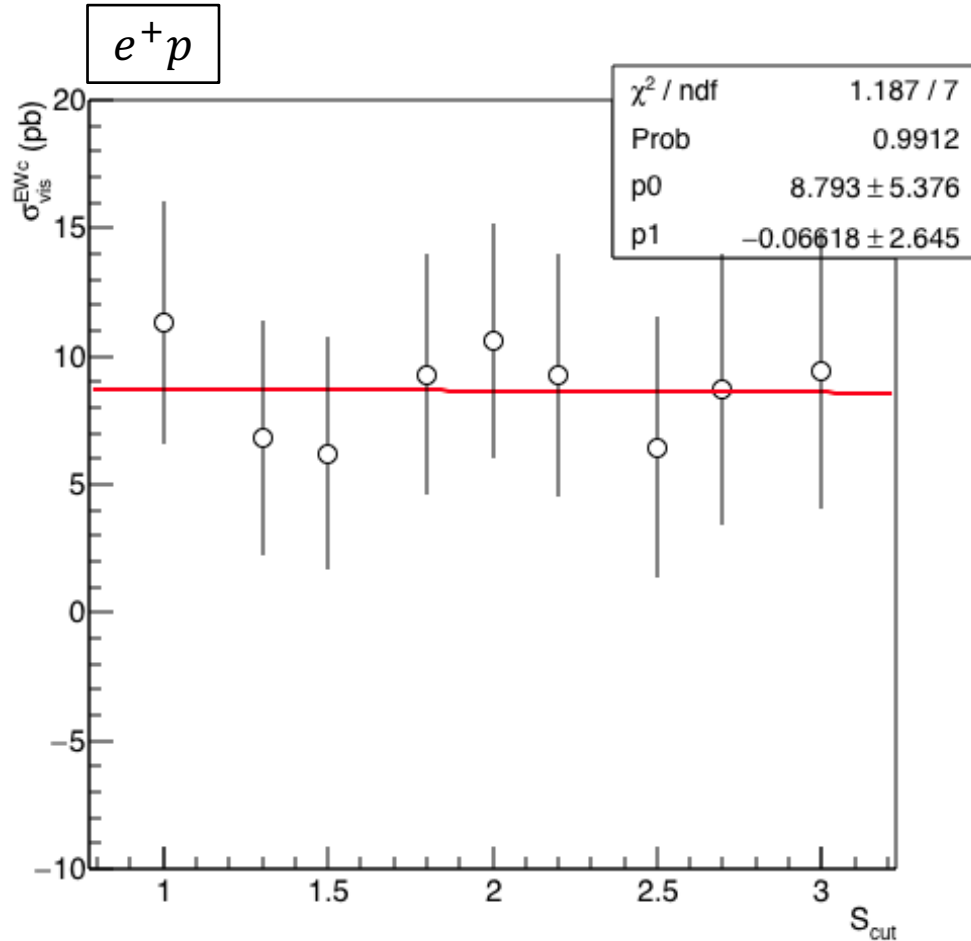
- Significance cut value was varied by 0.5 up and down. Due to the low statistics & high fluctuation in data, further study was performed. This will be discussed in the following slide.

Variation	$e^+p$ $\delta_{\sigma_{vis}}(pb)$	$e^-p$ $\delta_{\sigma_{vis}}(pb)$
1.5	-4.41	-2.89
2.5	-4.16	8.04

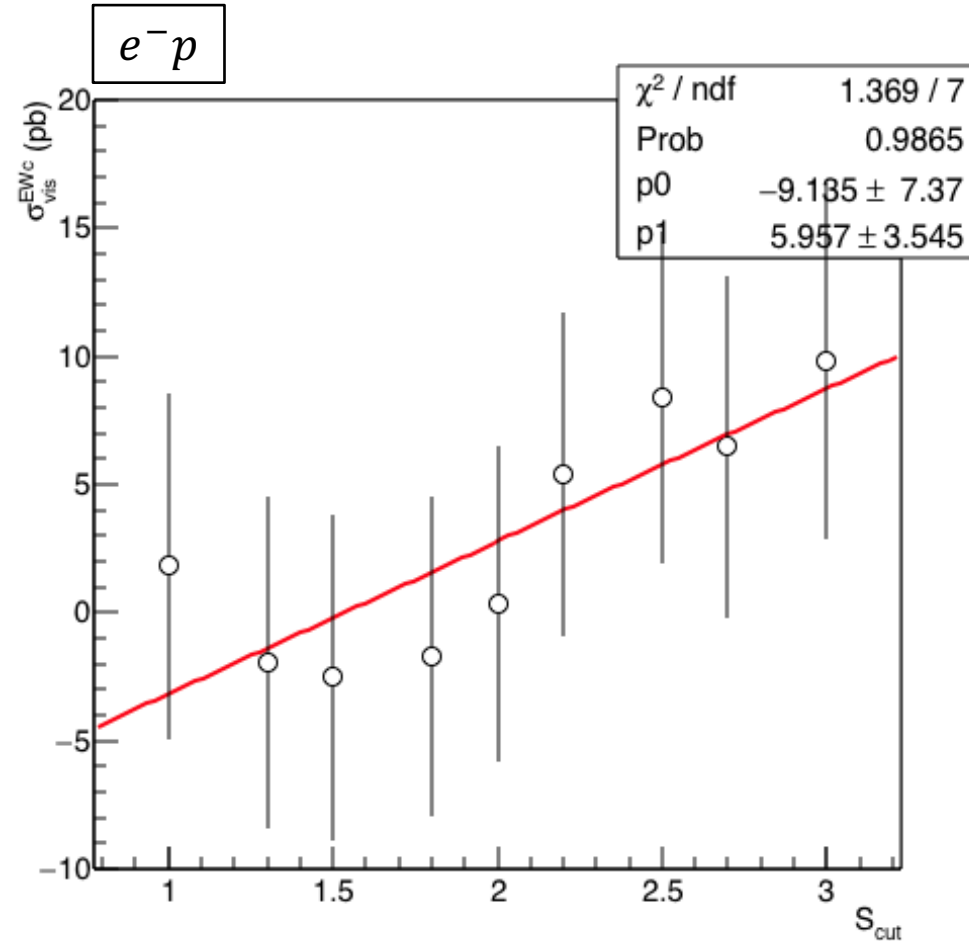
## $\delta_6$ Luminosity

- Uncertainty in ZEUS luminosity measurement. Known to be  $\pm 2\%$ .

# Syst. unc. from signal extraction



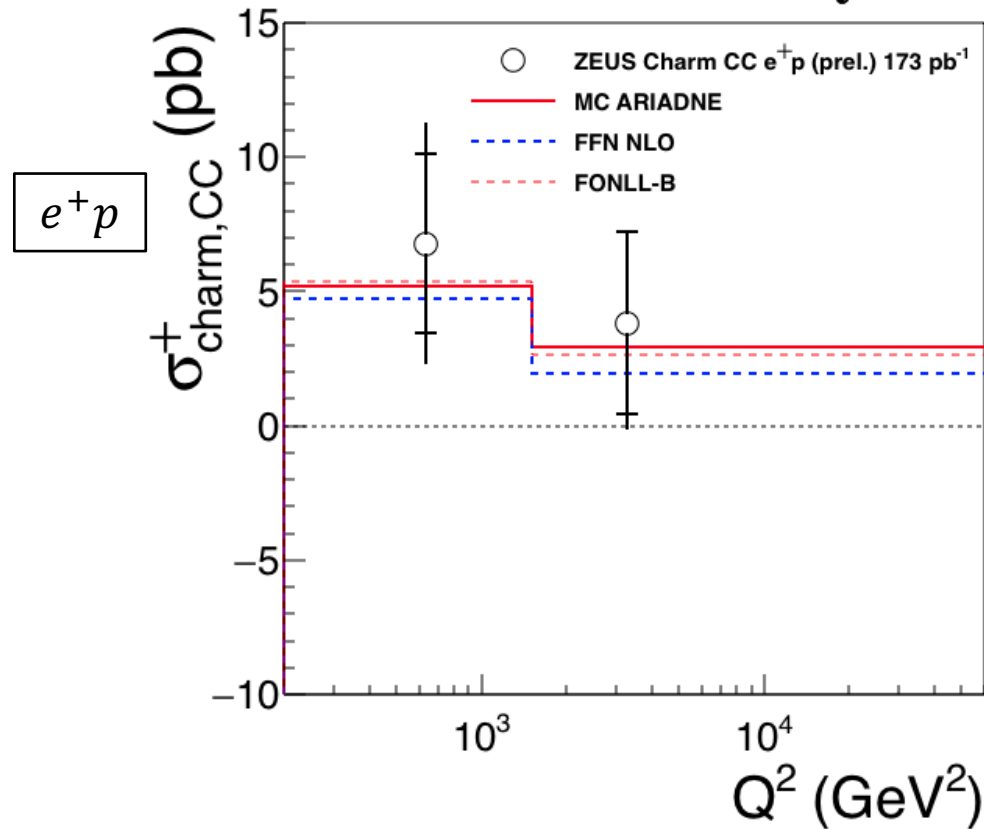
- Systematic uncertainty taken from the uncertainty in slope.



- Systematic uncertainty taken from the slope.

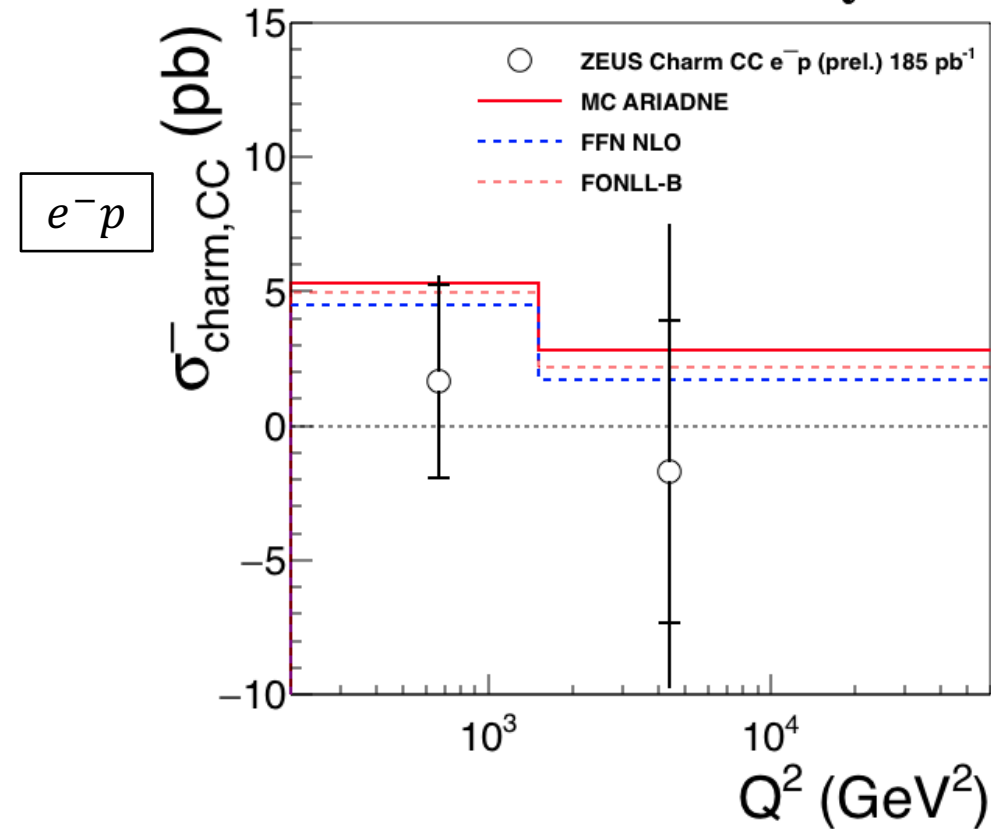
# Results

ZEUS Preliminary



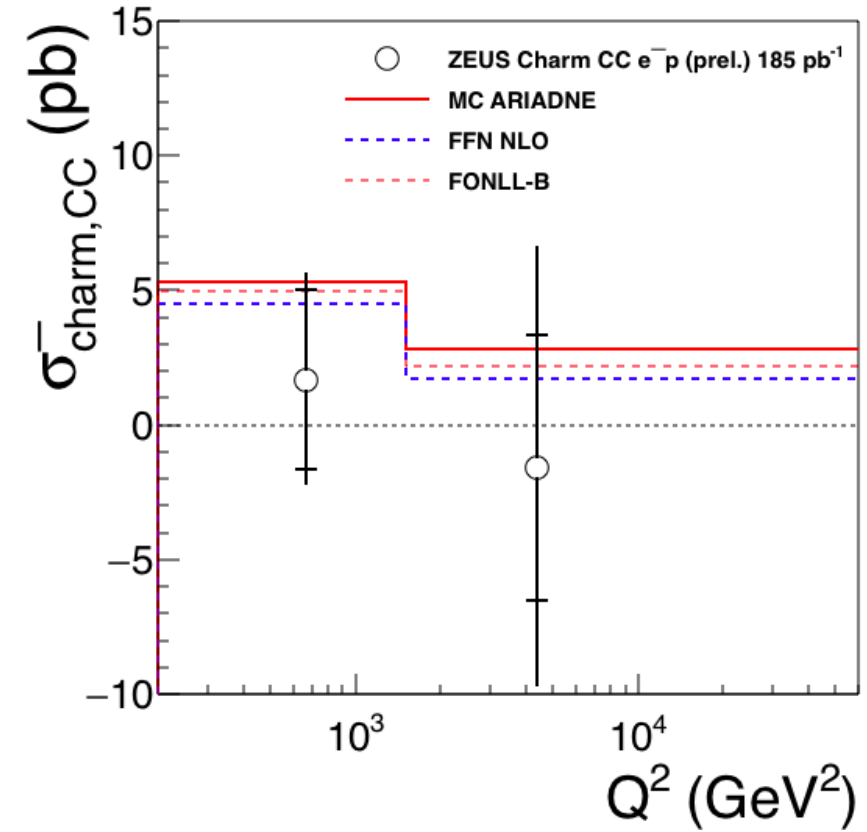
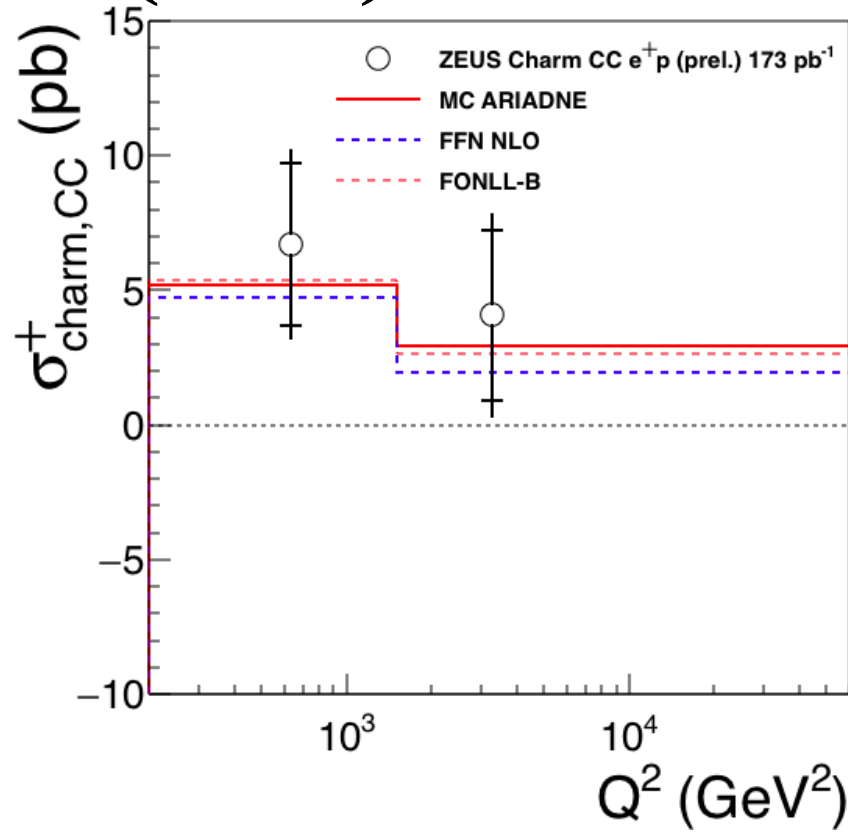
- EW charm cross sections have been measured.
- Reasonable agreement between data, MC & theory with a large uncertainty.
- MC & theory predictions suggest that the contributions from QI and BGF processes are about equal.

ZEUS Preliminary



- Theory predictions
  - FFN scheme:
    - ABMP16.3 NLO pdf set, OPENQCDRAD
  - FONLL scheme:
    - NNPDF31 NLO pdf set, APFEL
  - Both are interfaced in xFitter.

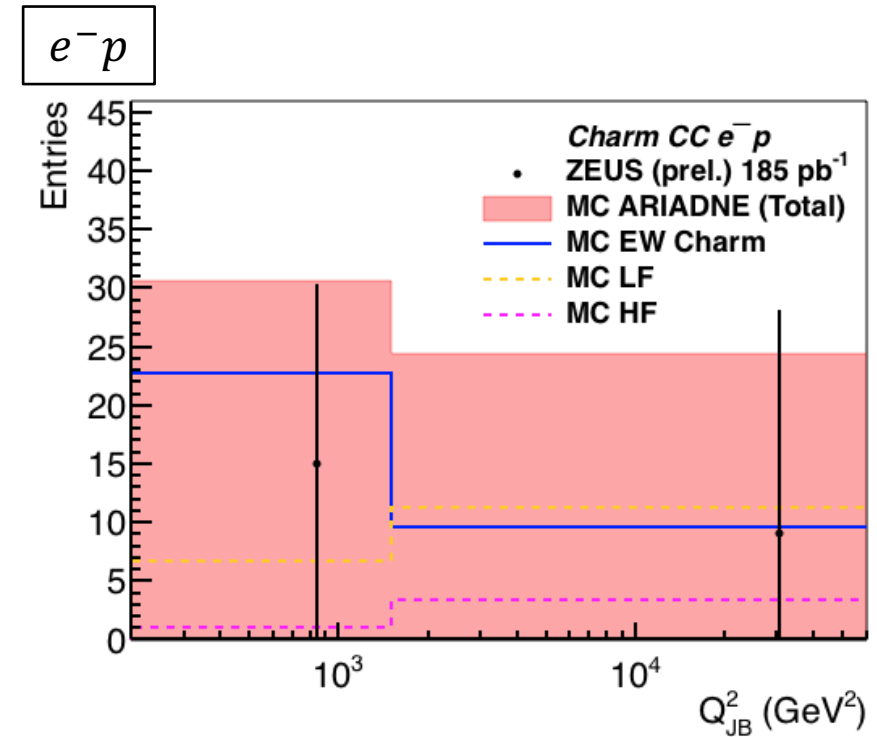
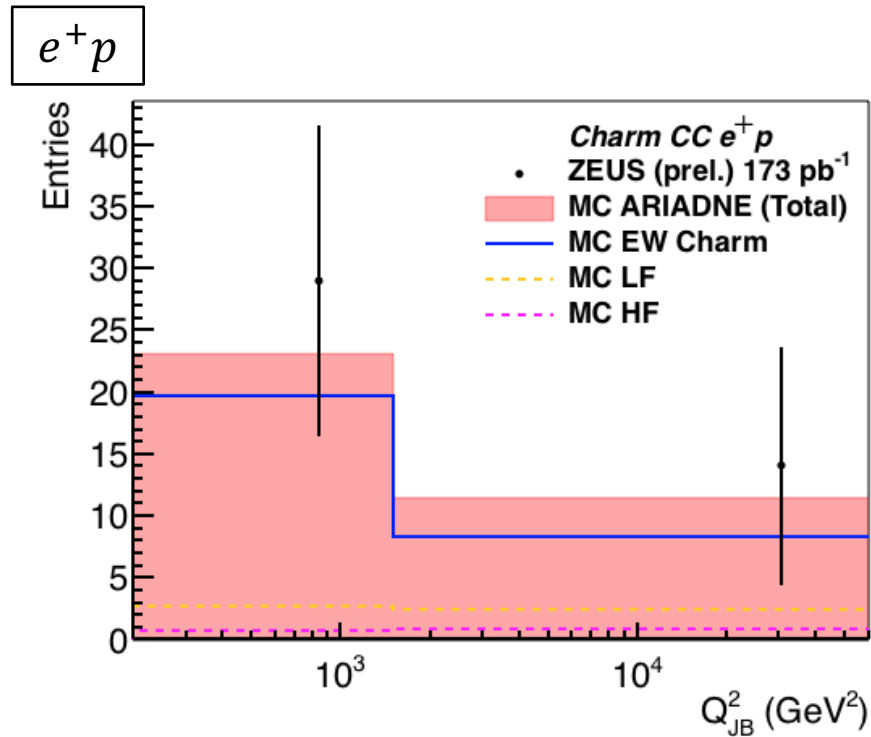
# Results (new)



- Inverse correlation matrix was used, instead of bin-by-bin correction.
- New values for systematic uncertainties from signal extraction were used.
  - Note that syst. unc.'s have not been recalculated with inverse matrix applied.

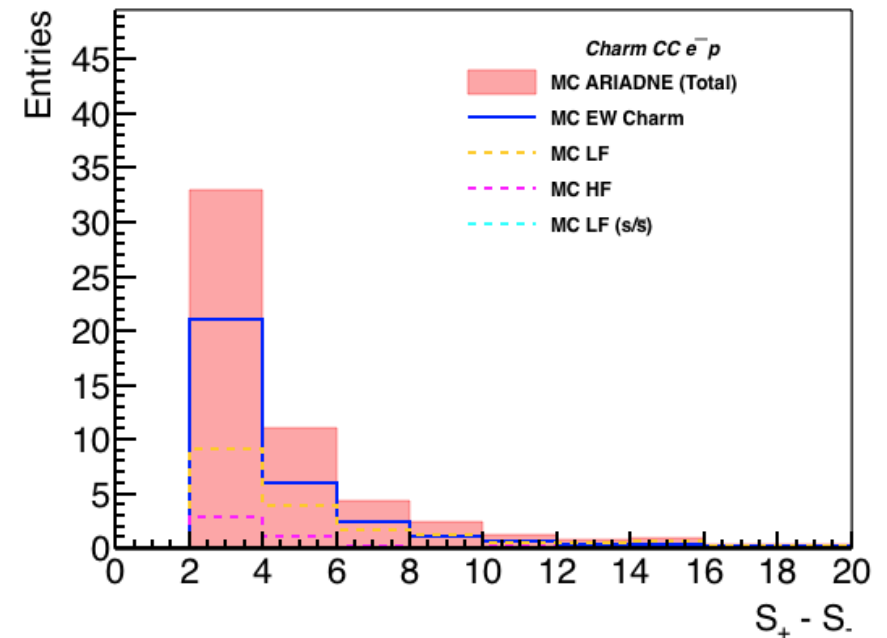
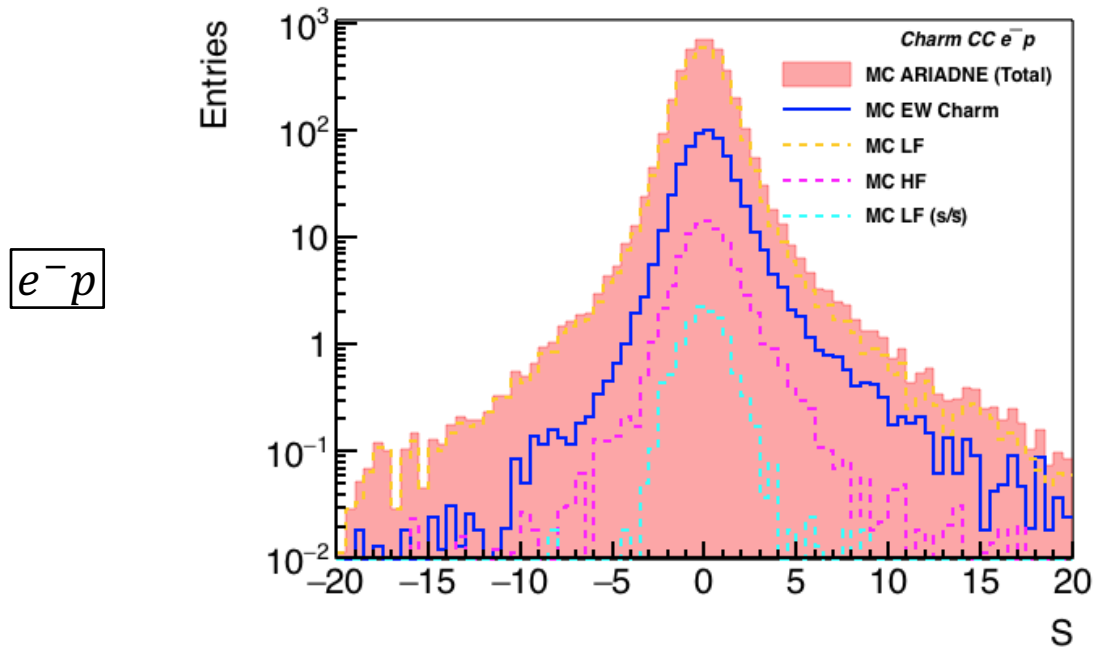
# Remaining Tasks...

- LF background
  - A good contribution from LF background survives the decay length mirroring.
  - What they are needs to be identified. e.g. longer-lived LF particles?
  - Further cuts have been investigated to reduce this background contribution.



# LF Background – Initial Thought

- Some LF particles have a long lifetime, which then allows them to survive after the mirroring.
- The longer-lived particles ( $K$ 's,  $\Lambda$ 's, etc) were separated from LF background (Cyan).



- No significant contribution from these events were observed.

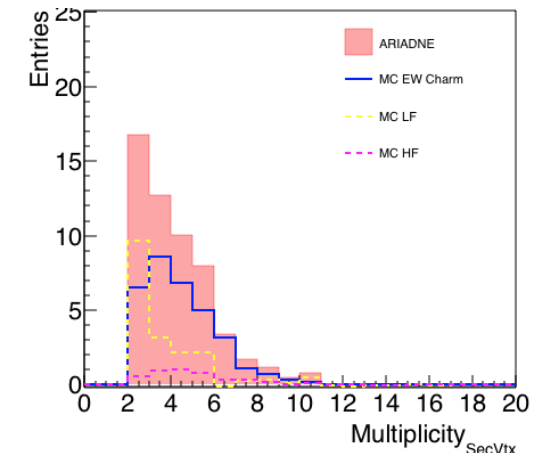
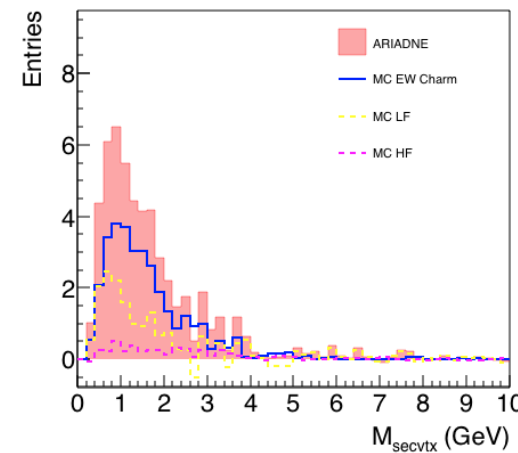
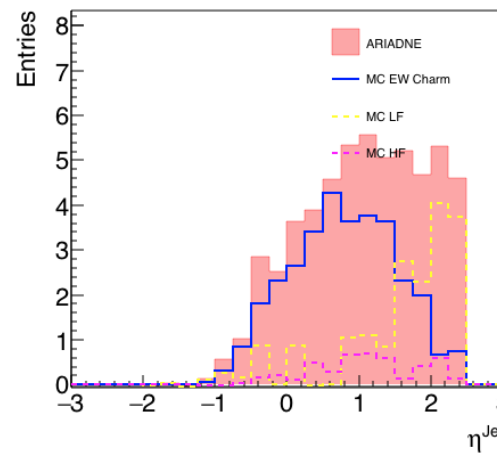
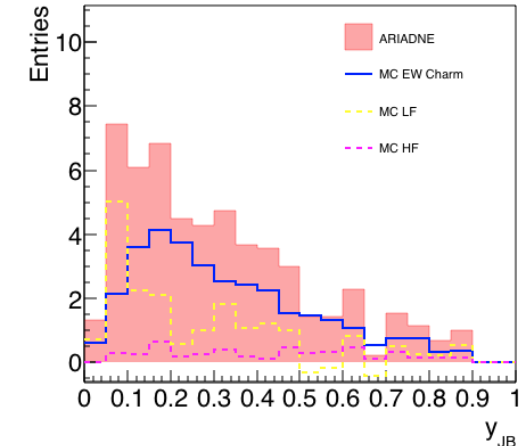
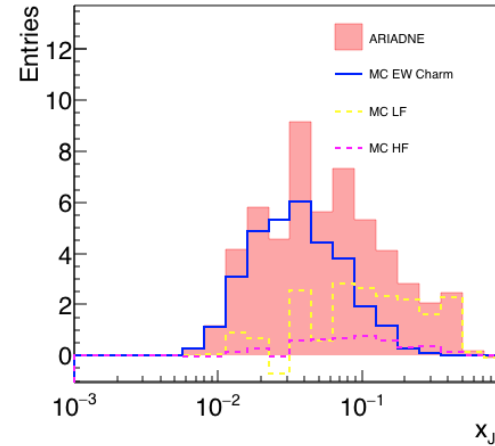
# LF Background

- The LF discriminating variables have been discussed in the preliminary presentation.

- $x > 0.1, \quad y < 0.2$
- $\eta^{jet} > 1.5$
- $m_{sectx} < 1 \text{ GeV}, \quad N_{trk \text{ per sectx}} < 3$

- Particle level MC info does not suggest anything in particular.

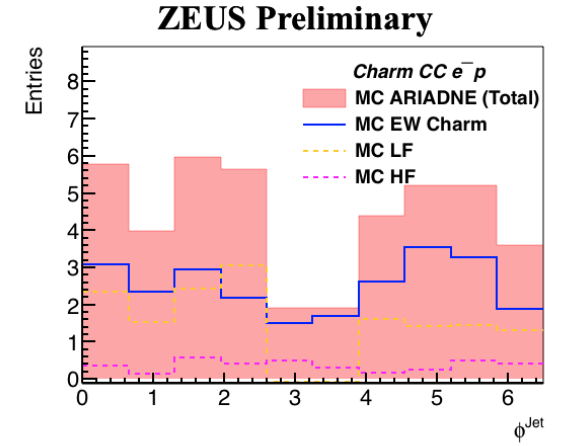
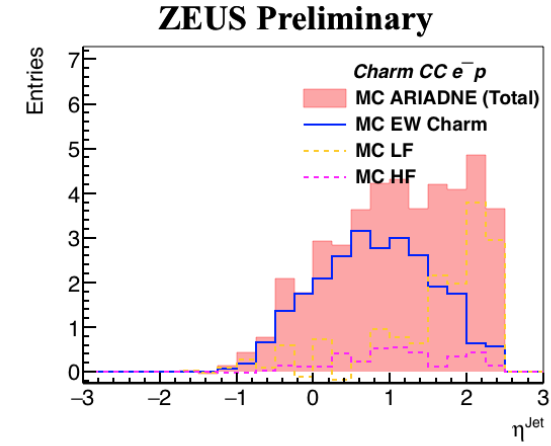
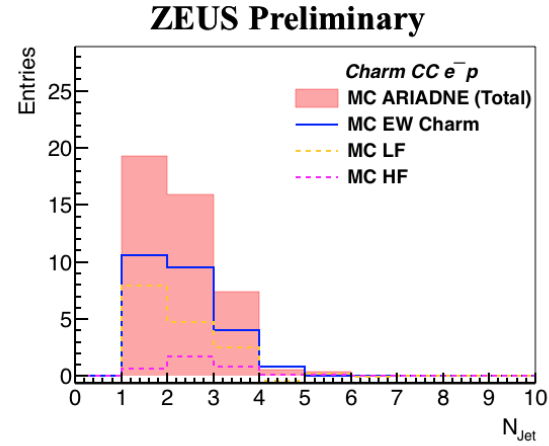
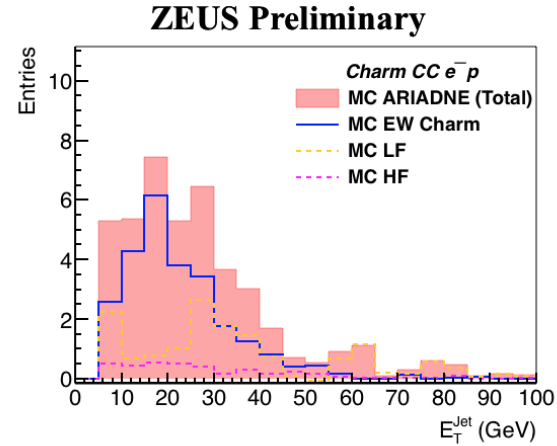
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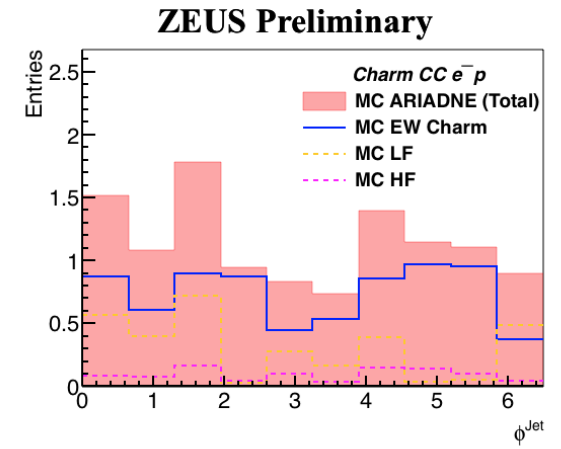
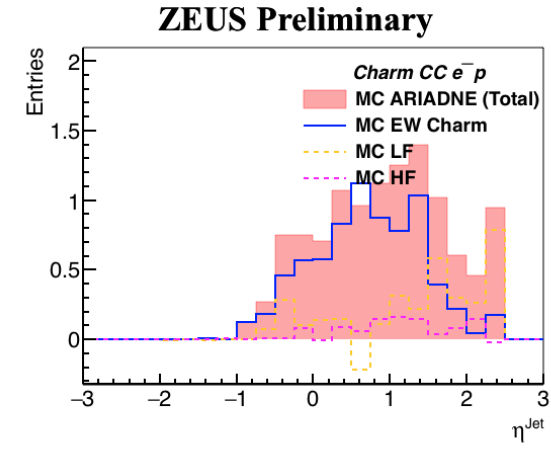
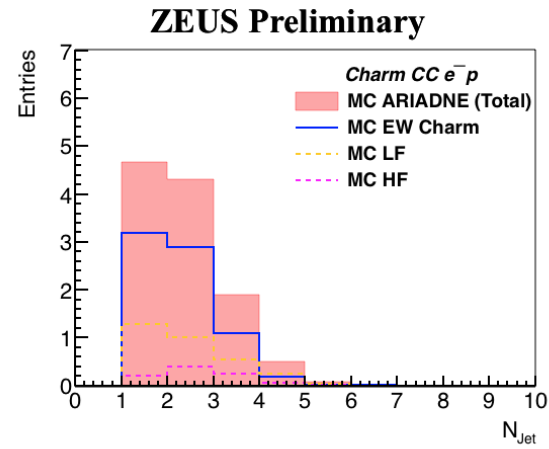
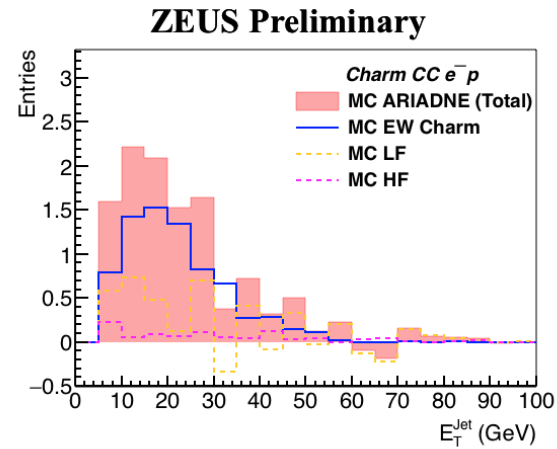


# Mirrored Control Plots – Jets

05e

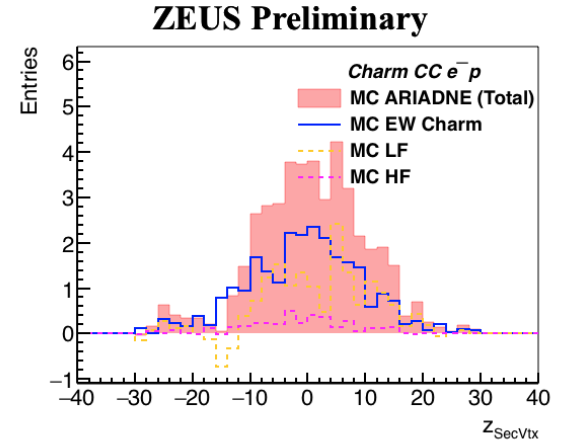
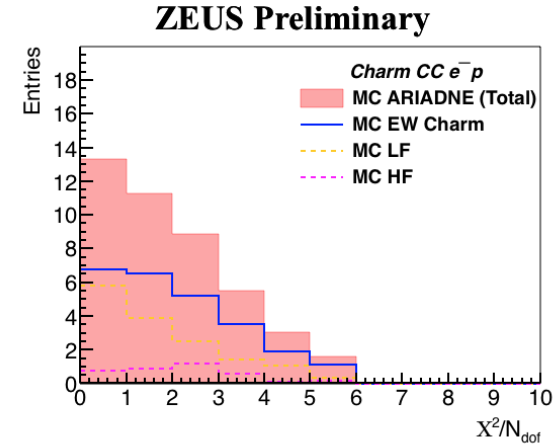
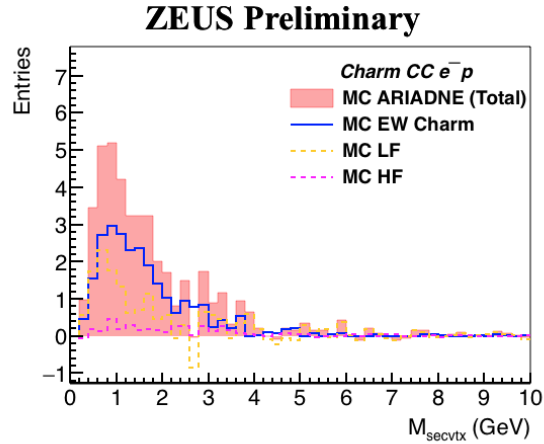
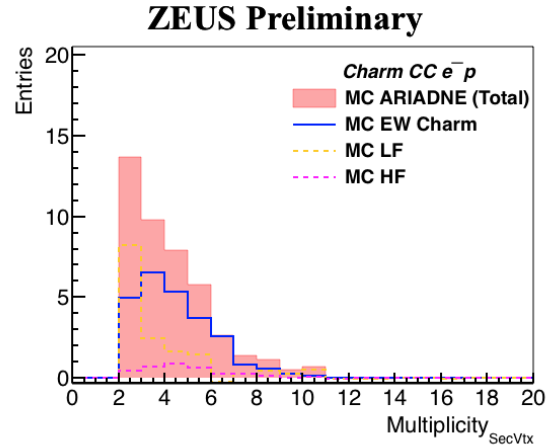


06e

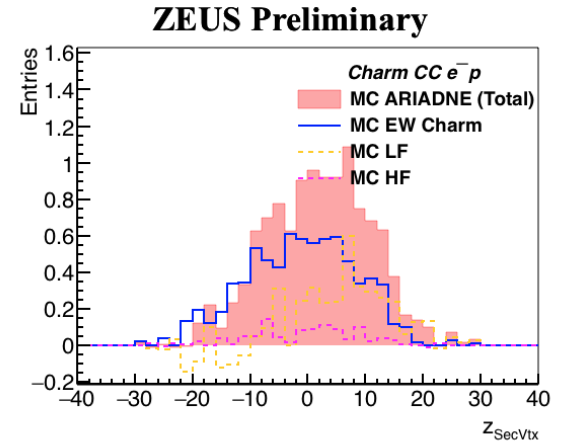
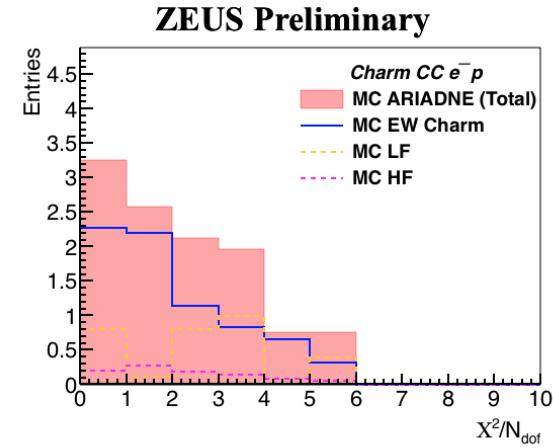
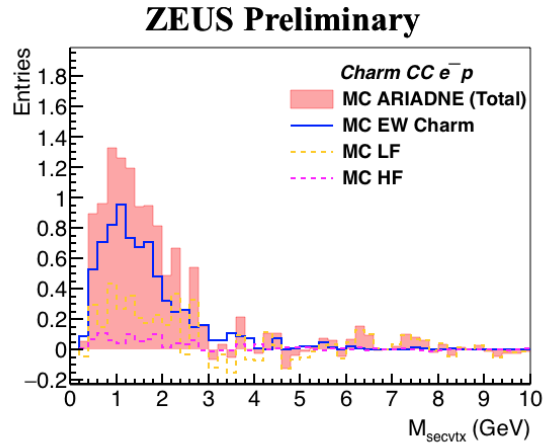
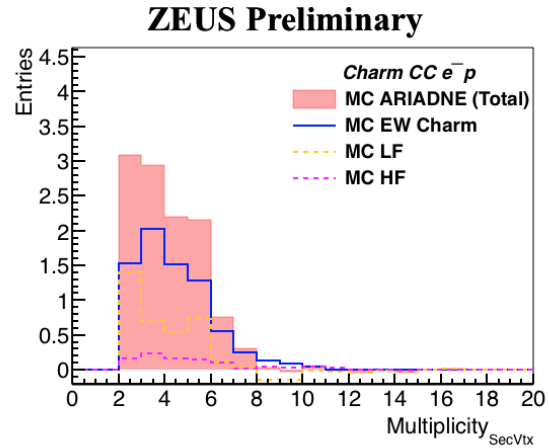


# Mirrored Control Plots – Sec Vtx.

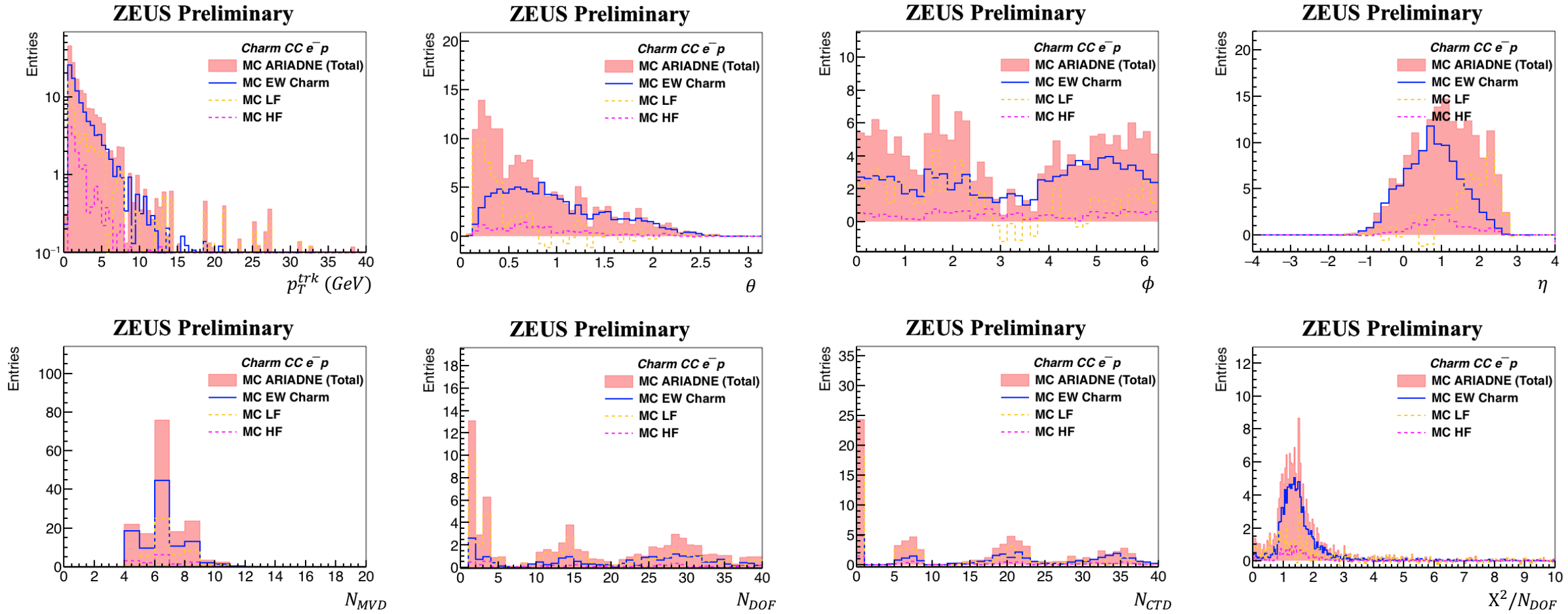
05e



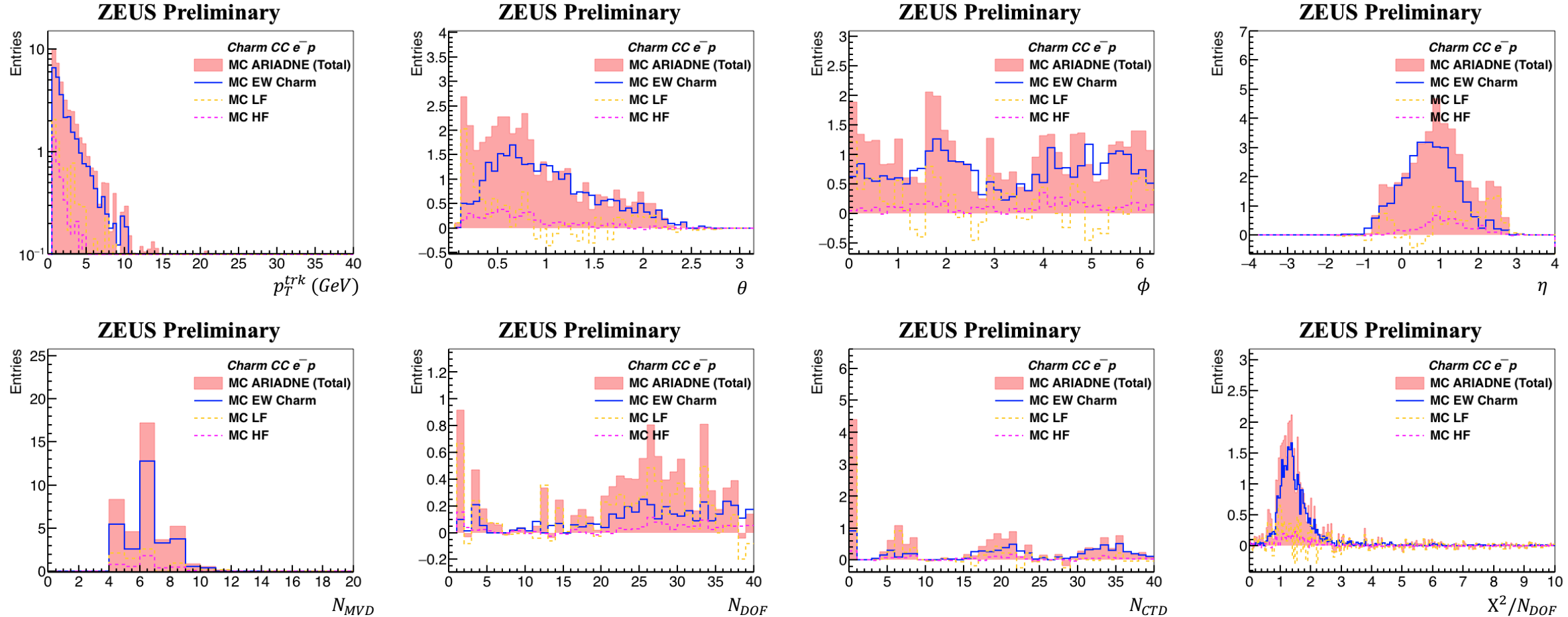
06e



# Mirrored Control Plots – Tracks (05e)



# Mirrored Control Plots – Tracks (06e)



# Summary

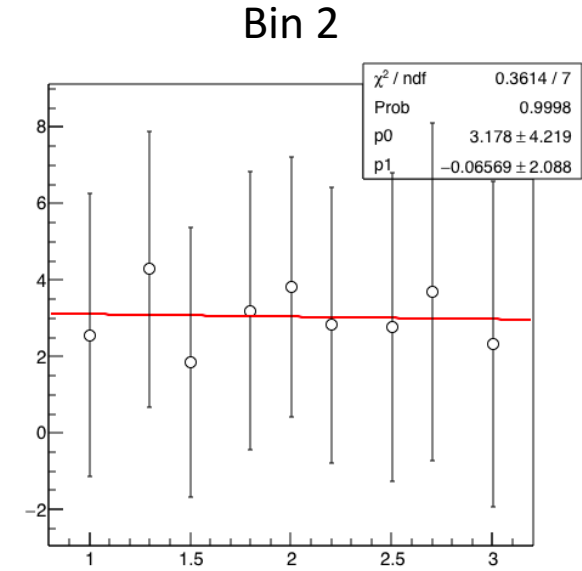
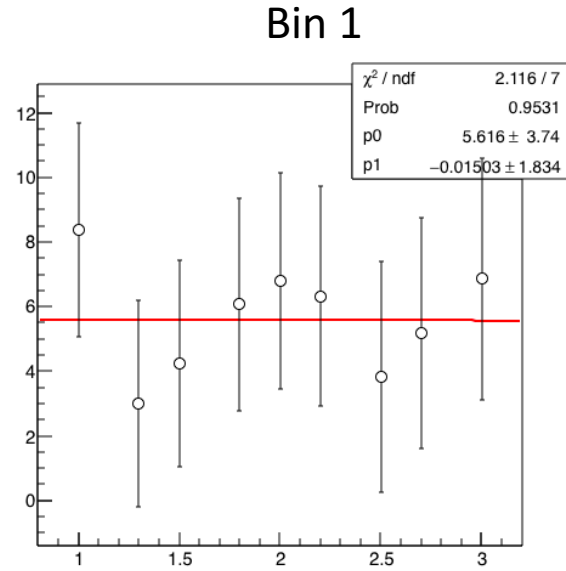
- Inverse correlation matrix has been quantified for both electron and positron beam periods.
- Systematic uncertainty associated with signal extraction has been estimated by fitting the repeated cross section measurement with different threshold location.
- LF background in  $e^-p$  period has been looked into.
  - It was observed that longer-lived LF particles do not contribute to the large LF background in electron beam periods
  - A number of LF discriminating variables have been identified and particle lists have been produced. But, no significant pattern was observed.

# Back-up slides



# Significance cut

$e^+p$



$e^-p$

