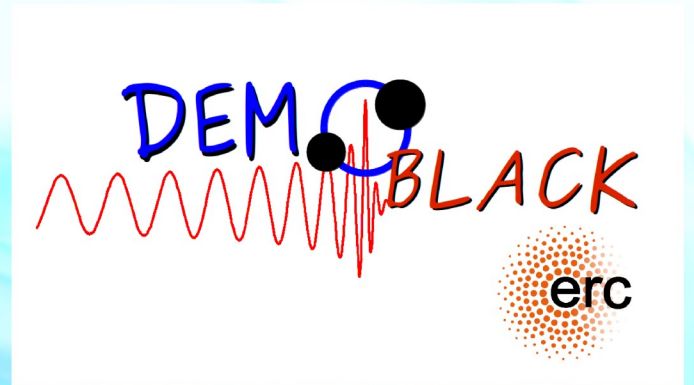


Michela Mapelli

University of Padova
INFN Padova



Gravitational – Wave Astrophysics

**Main collaborators: M. Celeste Artale, Alessandro Ballone,
Yann Bouffanais, Ugo N. Di Carlo, Nicola Giacobbo, Enrico Montanari,
Mario Pasquato, Sara Rastello, Filippo Santoliquido, Mario Spera**

ISAPP School, Heidelberg, June 4th 2019

What is Gravitational – Wave (GW) Astrophysics?

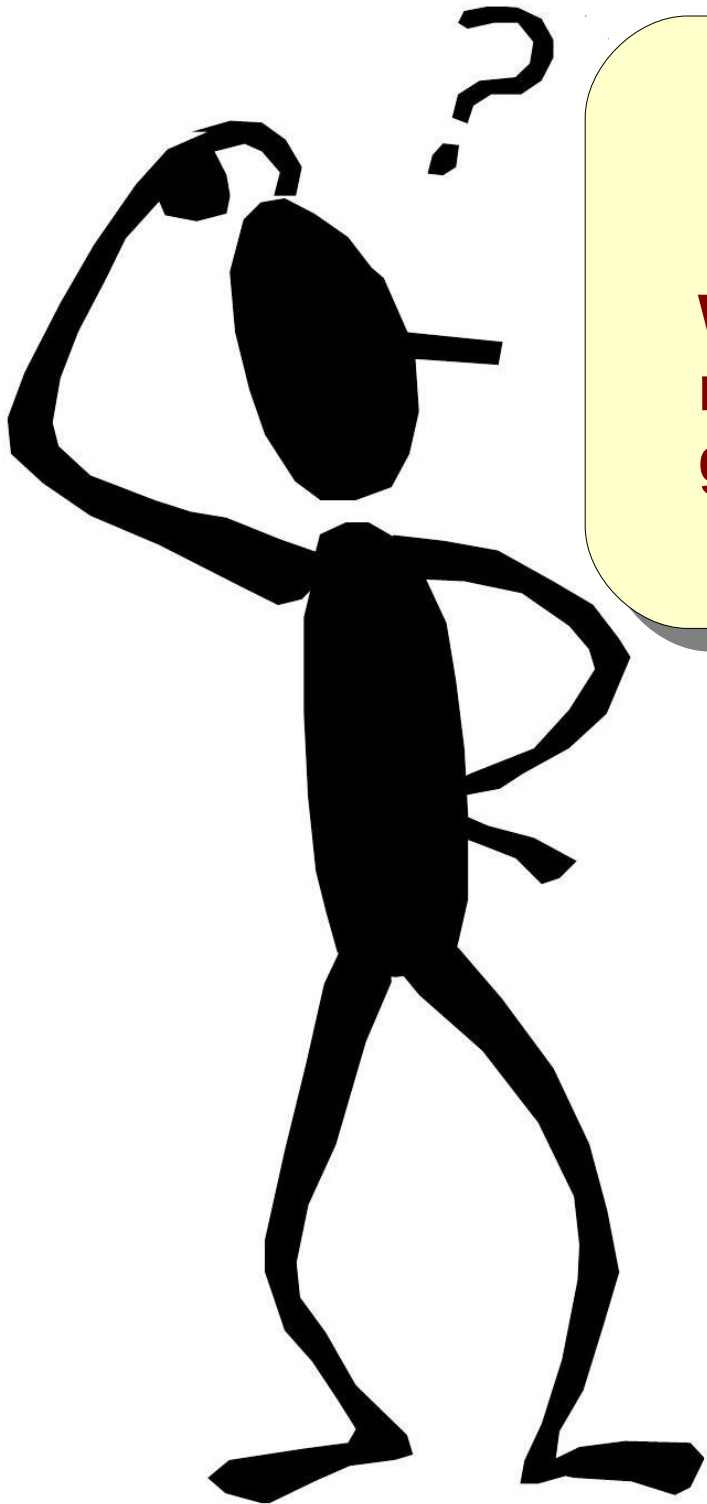
- * Astrophysical characterization of GW sources
- * Young and fast evolving
- * Boosted by GW detections
- * Mostly (but not only) about binary black holes (BBHs), binary neutron stars (BNSs) and neutron star – black hole binaries (NSBHs)

* Want to know more?

J. Creighton & W. G. Anderson,
*Gravitational-Wave Physics and
Astronomy: An Introduction to Theory,
Experiment and Data Analysis,*
ISBN-13: 978-3527408863

MM, *Astrophysics of stellar black holes,*
<http://adsabs.harvard.edu/abs/2018arXiv180909130M>





OPEN QUESTION:

What are the formation channels of merging binaries observed by gravitational-wave interferometers?



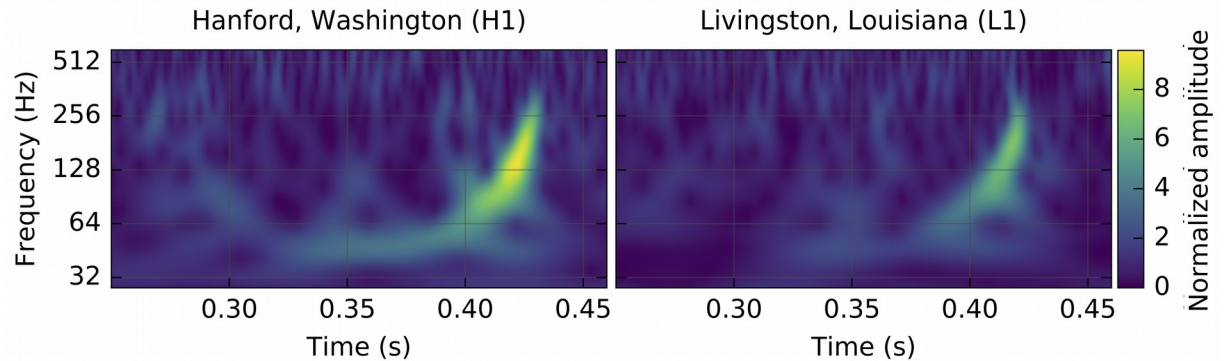
OUTLINE:

1. The formation of compact objects from stellar evolution and supernova explosions
2. Binaries of compact objects
3. The dynamics of black hole (BH) binaries
4. Compact binaries in cosmological context

1. The formation of compact objects



GW150914: the first binary black hole (BBH)



Abbott et al. 2016, PhRvL, 116, 1102

O1 + O2: 10 BBHs and 1 binary neutron star (BNS)

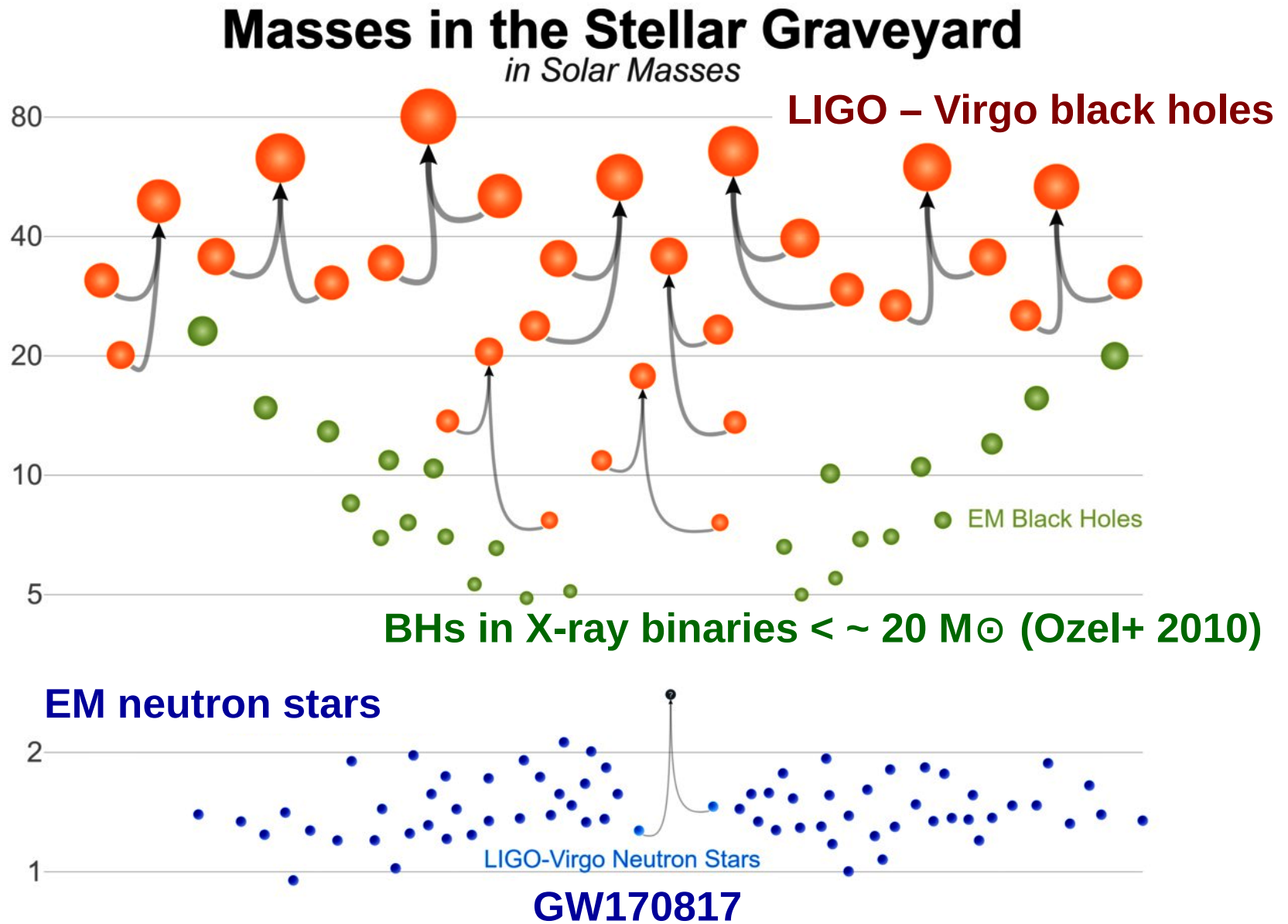
(Abbott et al. 2019, arXiv:1811.12907)

O3 ongoing → DAWN of GRAVITATIONAL WAVE ASTRONOMY

Lesson learned from GW events

1. BNS mergers are associated with electromagnetic emission
(Abbott+ 2017 on GW170817)
2. BBHs exist (Tutukov & Yungelson 1973; Thorne 1987; Schutz 1989)
3. BBHs can merge in a Hubble time
4. Massive BHs exist i.e. stellar-mass BHs with mass $>20 M_{\odot}$

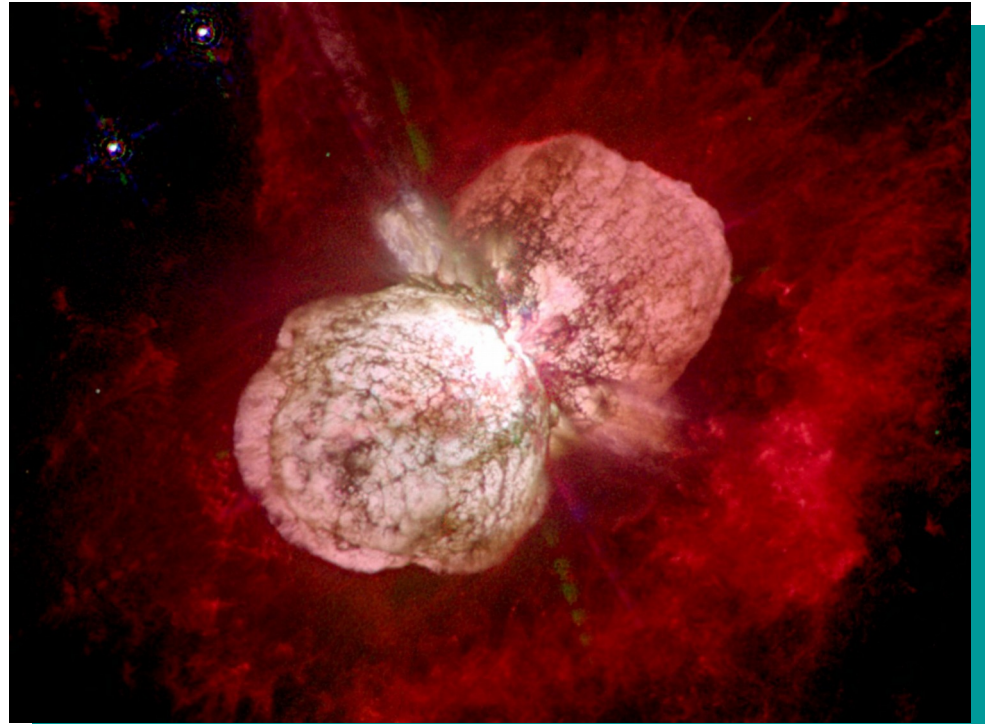
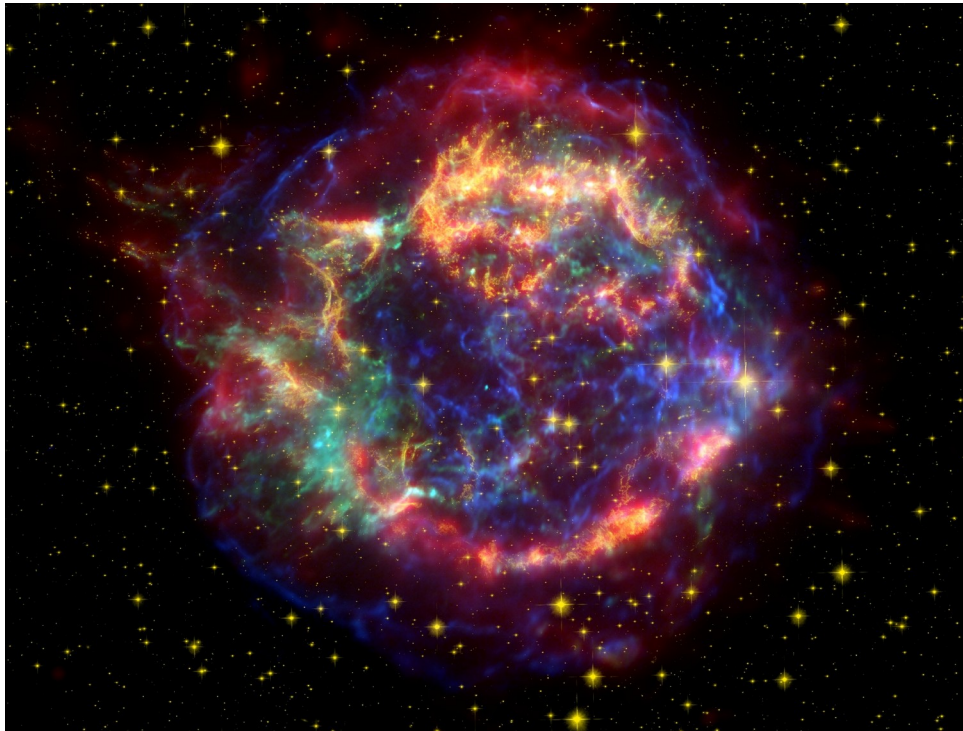
1. The formation of compact objects



1. The formation of compact objects

Two critical ingredients:

- 1) PROGENITOR STAR EVOLUTION
(STELLAR WINDS)
- 2) SUPERNOVA (SN)
EXPLOSION



*Winds ejected by Eta Carinae
(HST, credits: NASA)*

*Chandra + HST + Spitzer
Image of the SN remnant
Cassiopeia A*

1. The formation of compact objects: stellar winds

Massive stars ($>30 M_{\odot}$) might lose $>50\%$ mass by winds

Stellar wind models underwent major upgrade in last ~ 10 yr

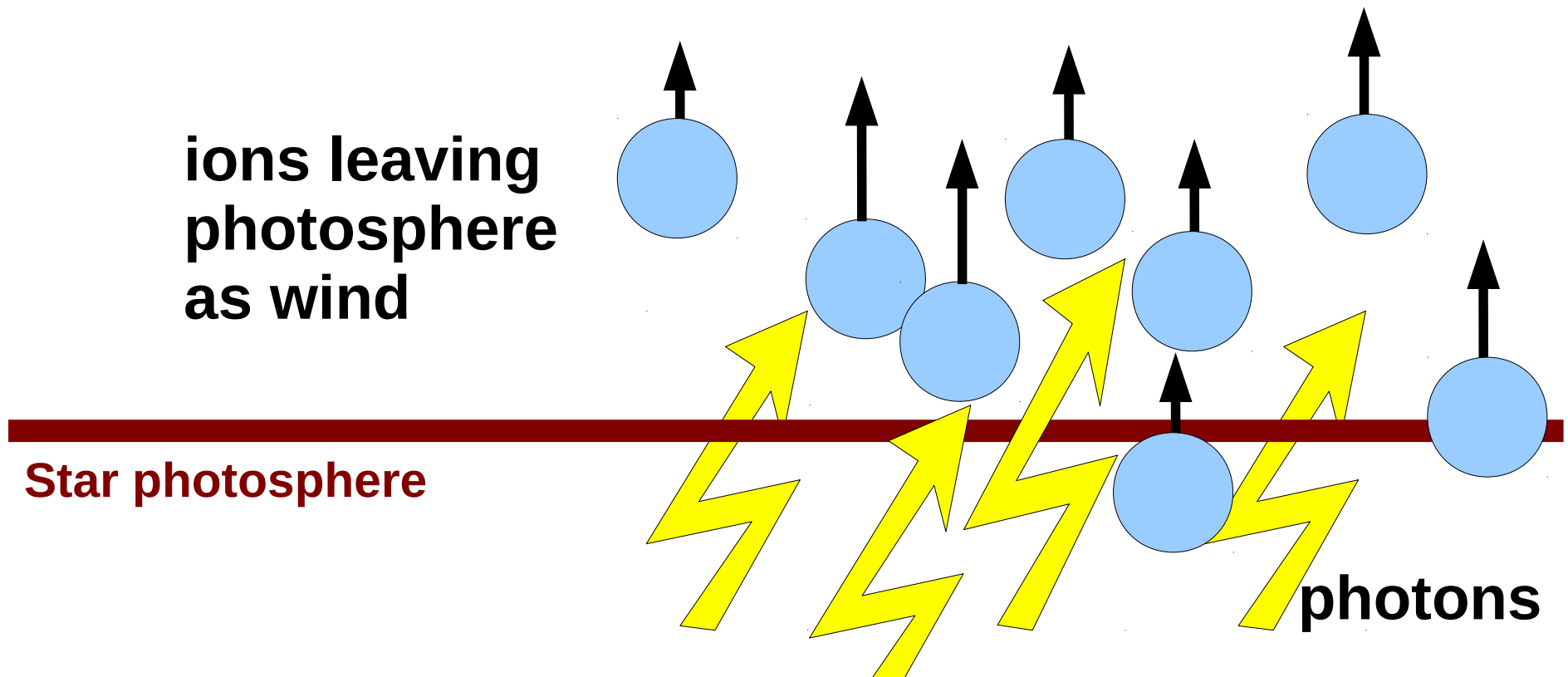
(Vink+ 2001, 2005, 2011; see Vink+ 2016 for a short review)

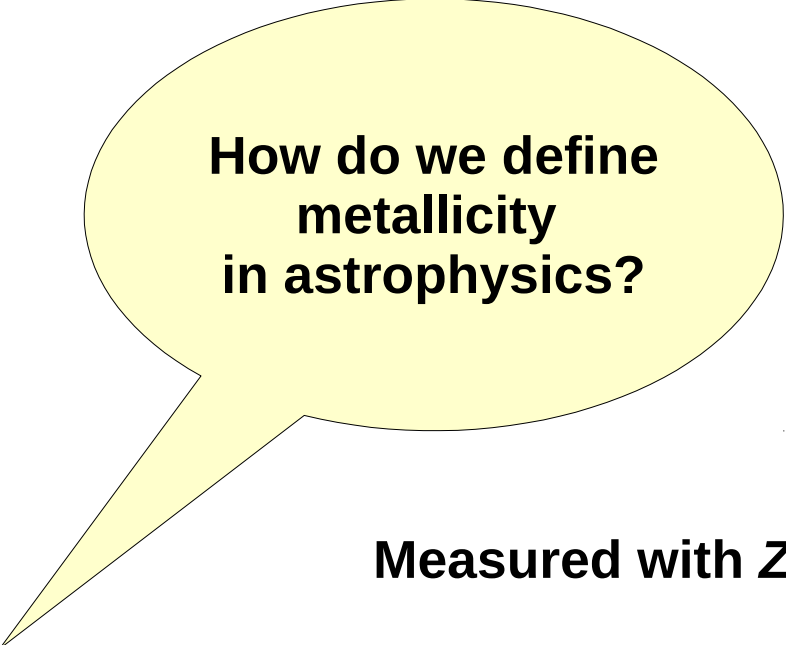
Photons in atmosphere of a star couple with ions

→ transfer linear momentum to the ions and unbind them

Coupling through resonant METAL LINES (especially Fe lines)

→ MASS LOSS DEPENDS ON METALLICITY





**How do we define
metallicity
in astrophysics?**

**Metallicity in astrophysics is
NOT same as chemistry**

**Metals in Astro:
every element heavier than Helium**

Measured with Z = FRACTION of elements heavier than He

$$X + Y + Z = 1.0$$

If M = total mass of system

$$X = m_p / M$$

$$Y = m_{\text{He}} / M$$

$$Z = \sum_i m_i / M$$

**Cosmological values:
 $X \sim 0.75$, $Y \sim 0.25$, $Z \sim 0$**

**Sun values:
 $X \sim 0.73$, $Y \sim 0.25$, $Z \sim 0.02$**

1. The formation of compact objects: stellar winds

Massive stars ($>30 M_{\odot}$) might lose $>50\%$ mass by winds

Stellar wind models underwent major upgrade in last ~ 10 yr

(Vink+ 2001, 2005, 2011; see Vink+ 2016 for a short review)

Photons in atmosphere of a star couple with ions

→ transfer linear momentum to the ions and unbind them

Coupling through resonant METAL LINES (especially Fe lines)

→ MASS LOSS DEPENDS ON METALLICITY

$$\dot{M} \propto Z^{\alpha} \quad \alpha \sim 0.5 - 0.9$$

Metallicity dependence less important when STAR is CLOSE to electron-scattering EDDINGTON LIMIT

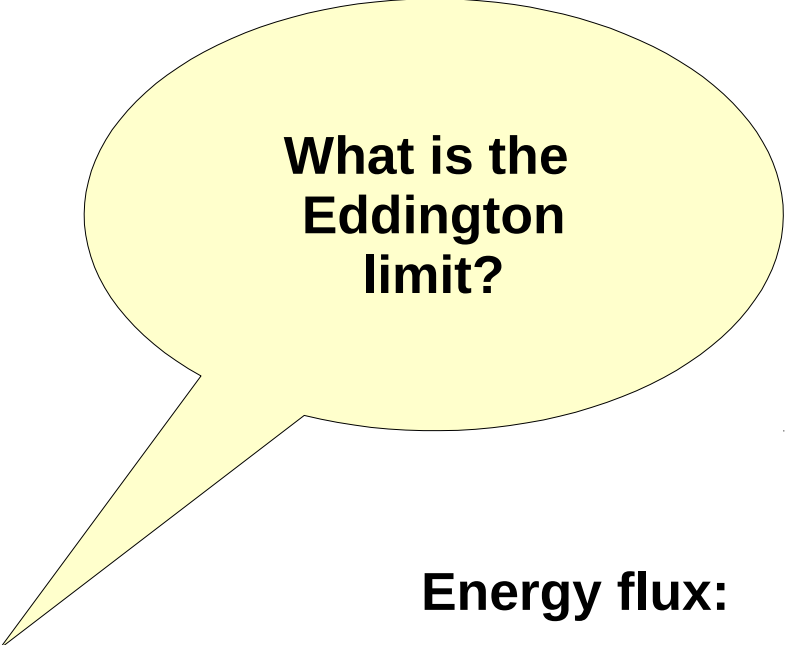
(RADIATION PRESSURE dominates)

e.g. Graefener & Hamann 2008

$$\Gamma = \frac{L_{*}}{L_{\text{Edd}}}$$

$$\alpha = 0.85 \quad [\text{if } \Gamma < 2/3]$$

$$\alpha = 2.45 - 2.4 \Gamma \quad [\text{if } \Gamma > 2/3]$$



What is the
Eddington
limit?

Radiation pressure = Gravity force

Gravity force:

$$F_{grav} = \frac{G M}{r^2}$$

Energy flux:

$$Flux = \frac{dE}{dt dA} = \frac{L}{4 \pi r^2}$$

Momentum of energy flux:

$$\frac{dp}{dt dA} = \frac{dE}{dt dA} \frac{1}{c} = \frac{L}{4 \pi r^2 c}$$

Accounting for absorption (opacity):

$$F_{rad} = \kappa \frac{dp}{dt dA} = \kappa \frac{dE}{dt dA} \frac{1}{c} = \kappa \frac{L}{4 \pi r^2 c}$$

What is the
Eddington
limit?

Radiation pressure = Gravity force

Gravity force:

$$F_{grav} = \frac{G M}{r^2}$$

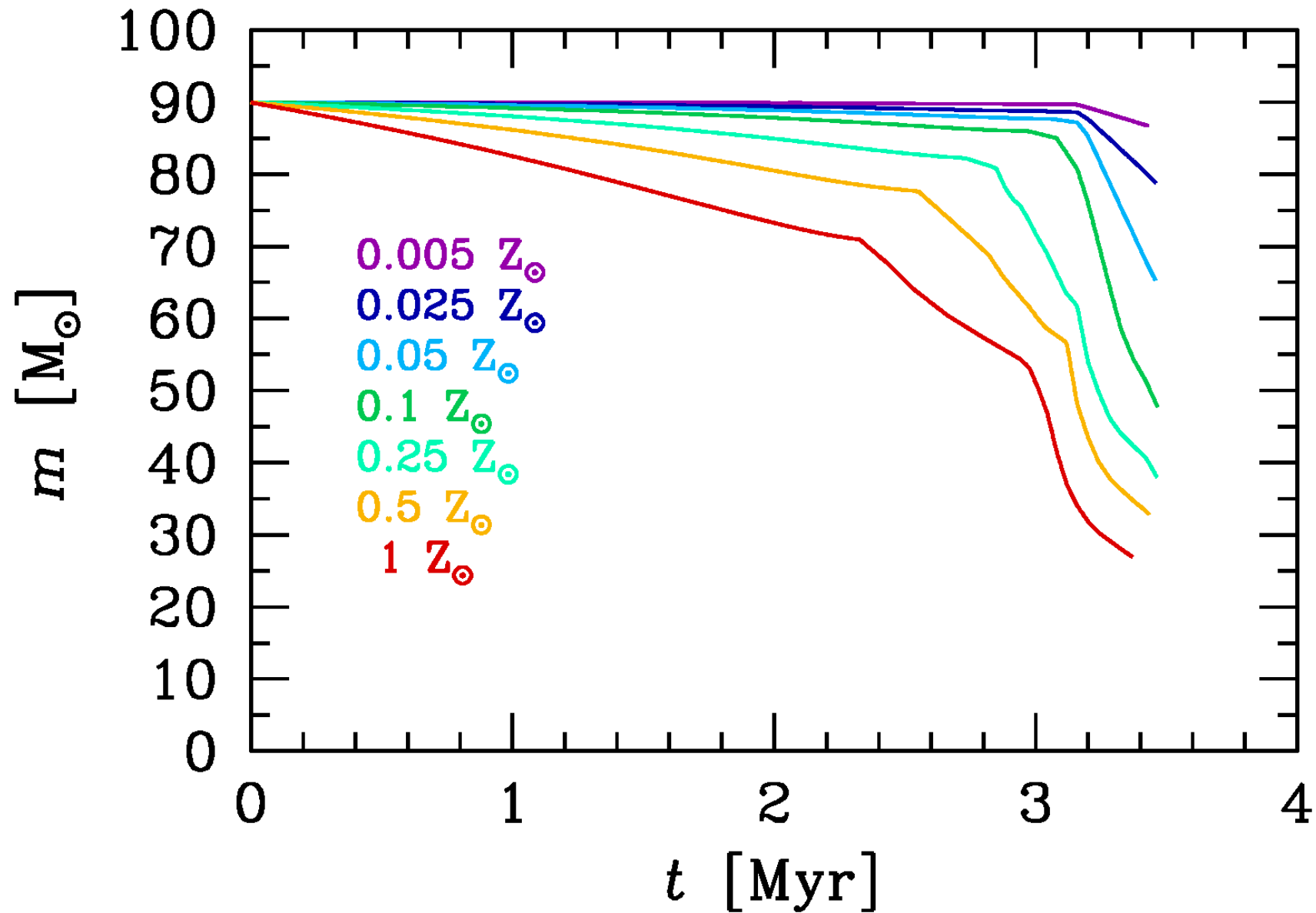
Radiation force:

$$F_{rad} = \kappa \frac{L}{4 \pi r^2 c}$$

$$L = \frac{4 \pi c G M}{\kappa}$$

$$\kappa \sim \frac{\sigma_T}{m_p}$$

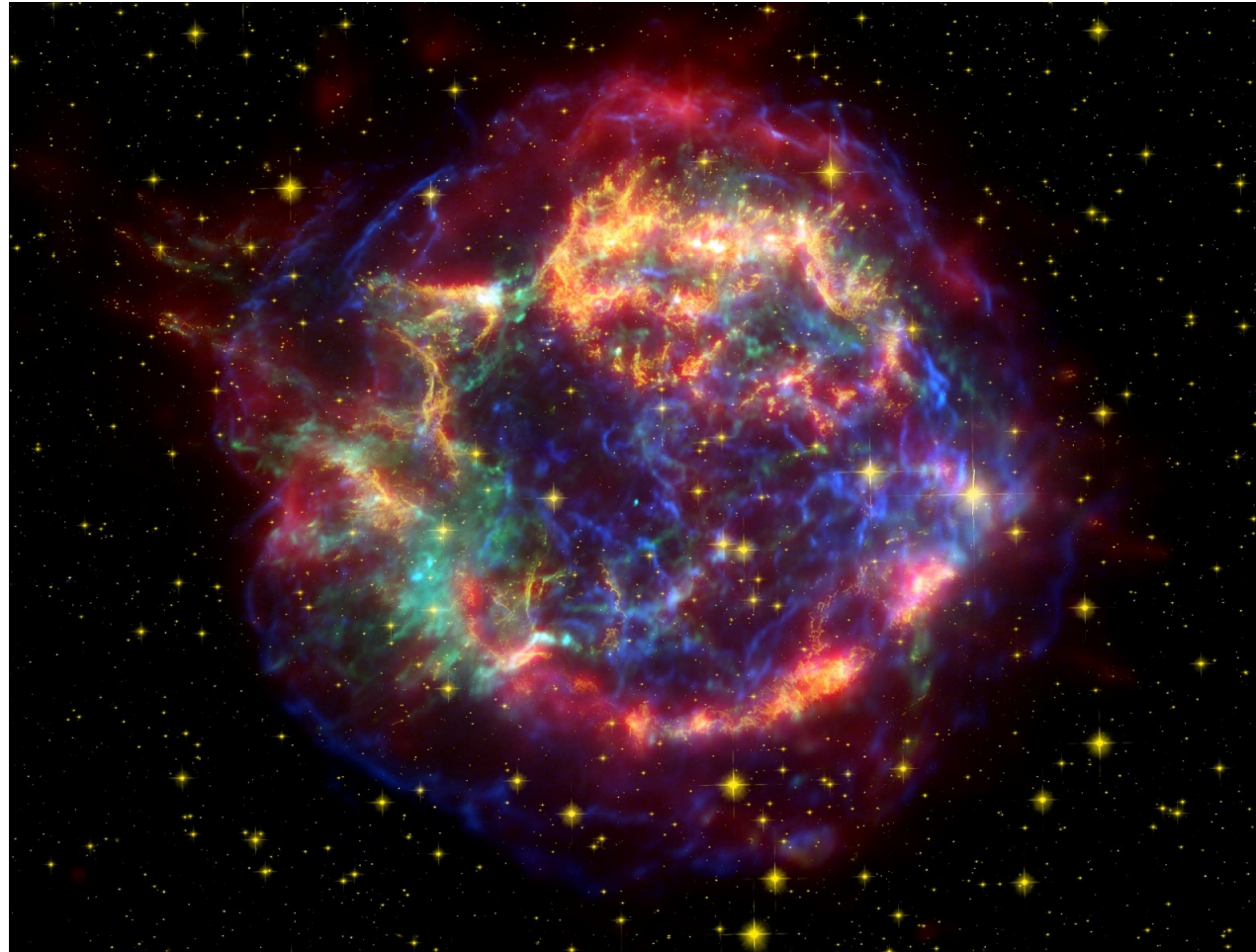
1. The formation of compact objects: stellar winds



Models from PARSEC stellar evolution code (Bressan+ 2012; Tang+ 2014; Chen, Bressan+ 2015)

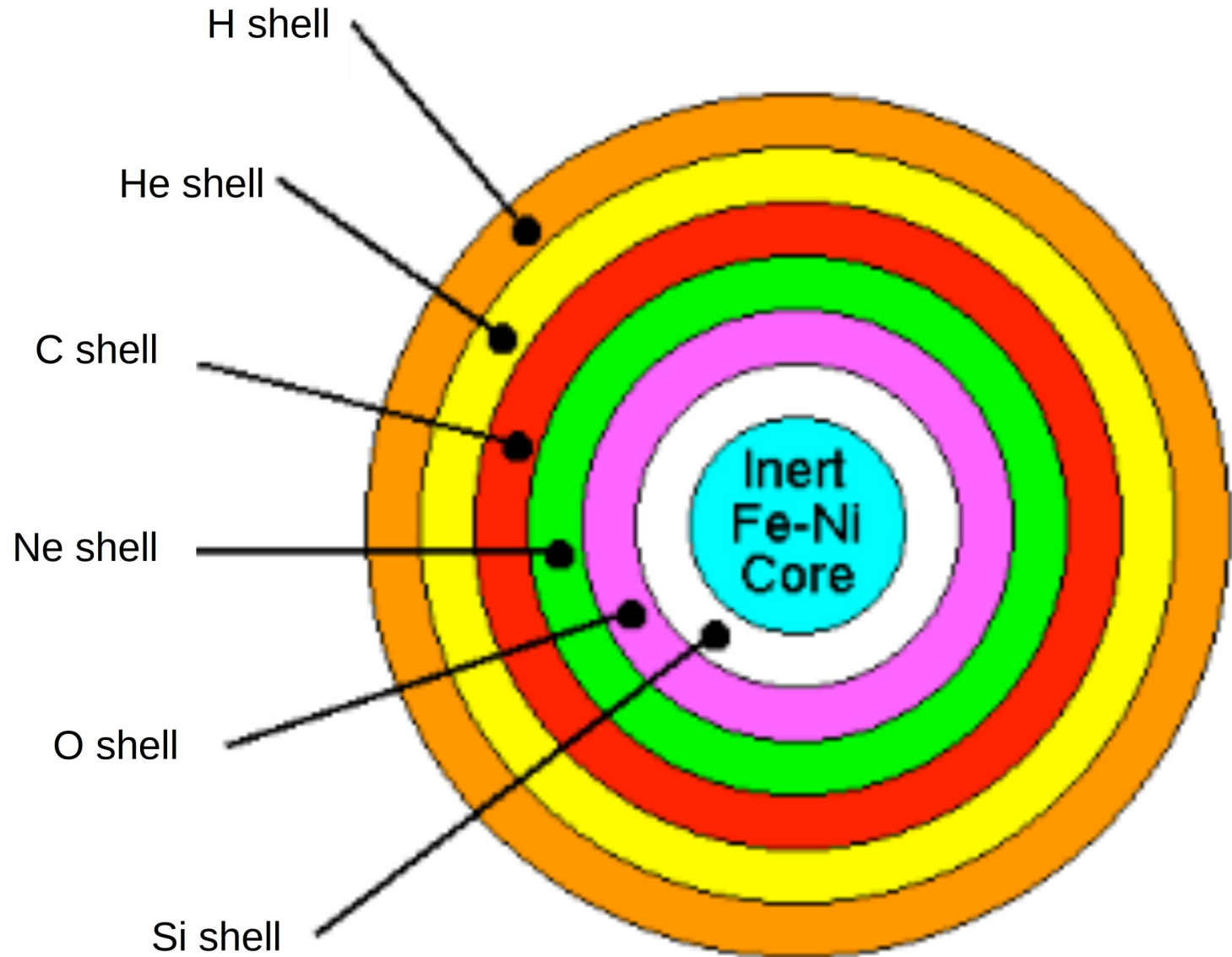
1. The formation of compact objects: supernova

**Final mass of a star is very important,
because it affects the outcome of a
core-collapse (CC) SUPERNOVA**



1. The formation of compact objects: supernova

Scheme of nuclear burning in a star



1. The formation of compact objects: supernova

When Fe core forms in a massive ($> 8 M_{\odot}$) star

- 1) Fe-group atoms (Ni-62, Fe-58, Fe-56) have maximum binding energy: no more energy released by fusion
→ core starts collapsing because pressure drops
- 2) electron degeneracy pressure tries to stop collapse but if core mass $>$ Chandrasekhar mass ($\sim 1.4 M_{\odot}$)
electron + proton capture removes electrons
→ electron pressure decreases

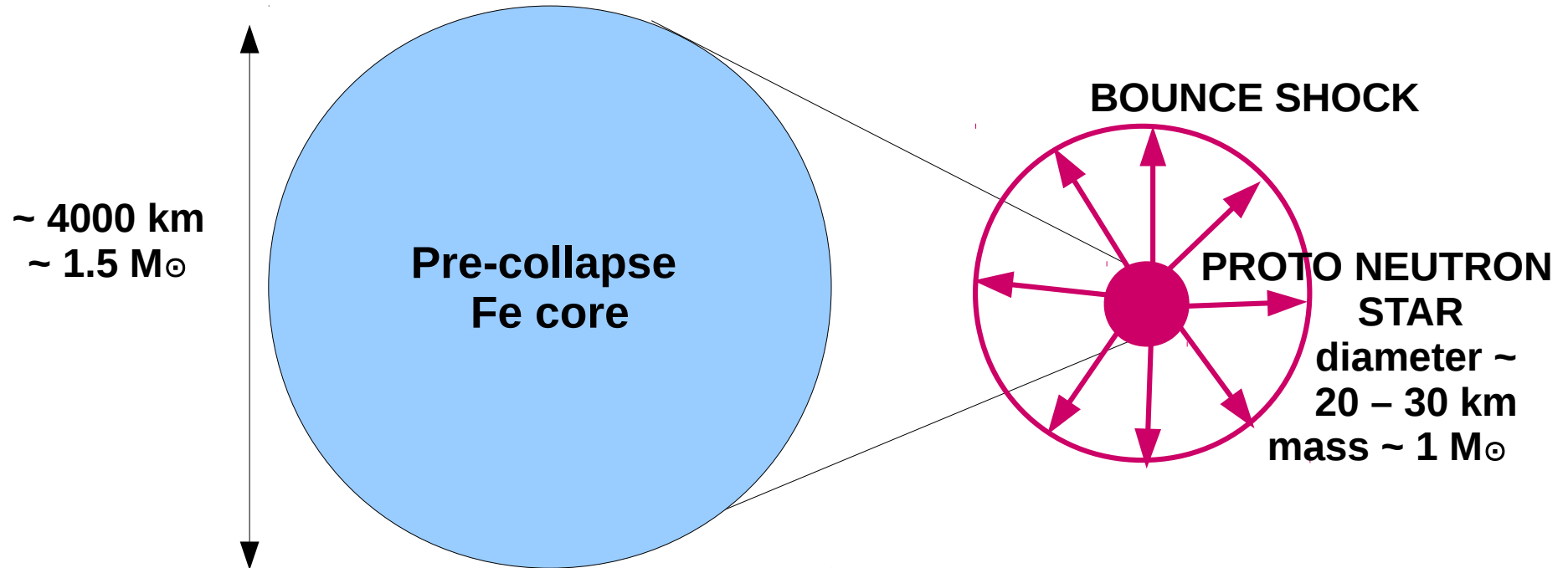


- COLLAPSE to NUCLEAR DENSITY ($\sim 10^{17} \text{ kg m}^{-3}$),
where neutron degeneracy pressure stops collapse
- PROTO-NEUTRON STAR FORMS

1. The formation of compact objects: supernova

Collapse of the core to nuclear density produces **BOUNCE SHOCK**

Fraction of binding energy of core ($E_{b,c} \sim 10^{53}$ erg)
is converted into thermal energy (mostly of neutrinos)



1. The formation of compact objects: supernova

Collapse of the core to nuclear density produces **BOUNCE SHOCK**

Fraction of binding energy of core ($E_{b,c} \sim 10^{53}$ erg)
is converted into thermal energy (mostly of neutrinos)

SHOCK MUST REVERSE COLLAPSE OF OUTER LAYERS

But density must be sufficiently high that neutrinos interact,
otherwise neutrinos leak away without transferring energy

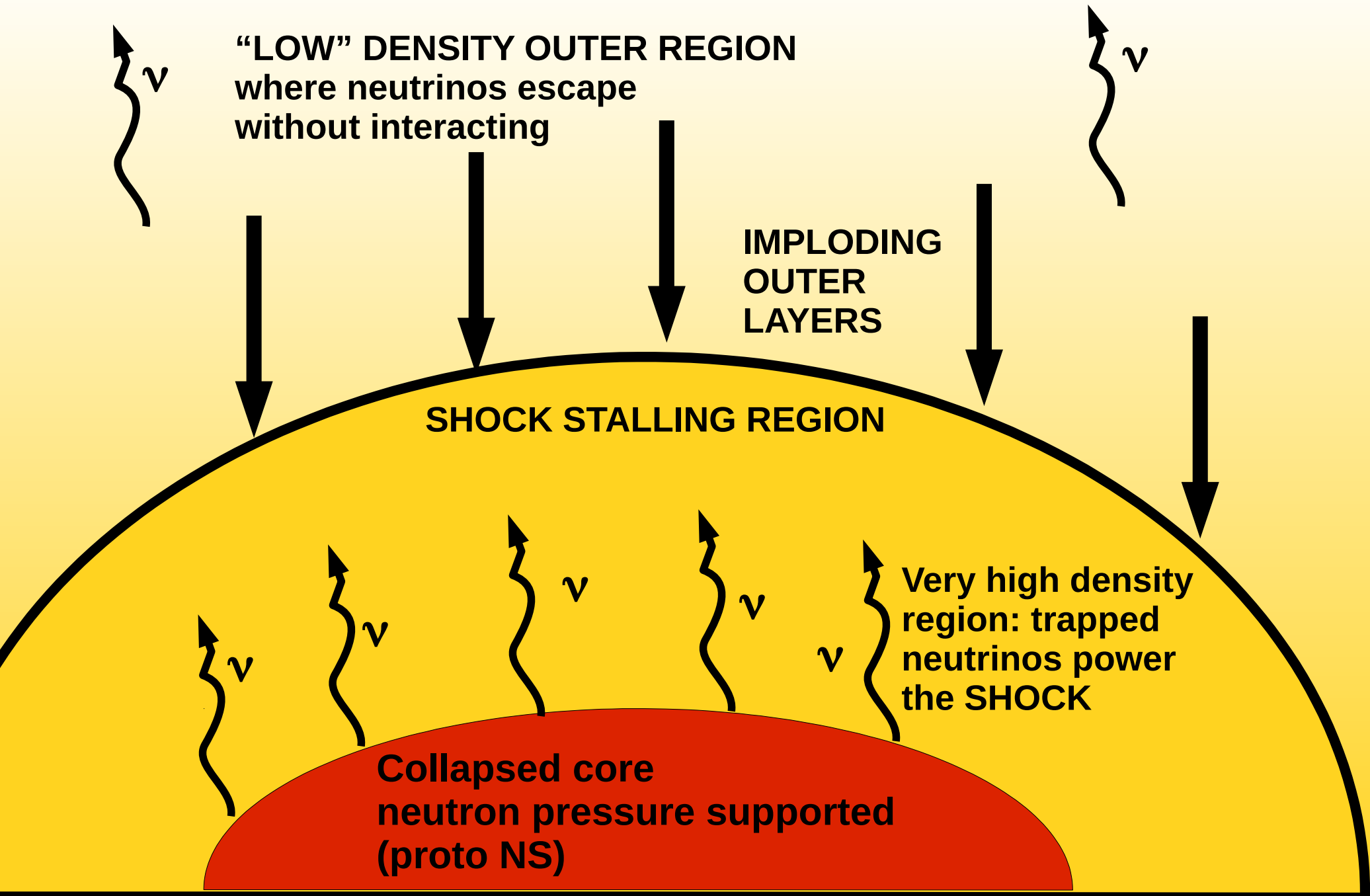
- **SHOCK MIGHT STALL**
- **SN FAILS**

WHAT CAN REVIVE THE SHOCK?

STANDARD MODEL: CONVECTIVE ENGINE

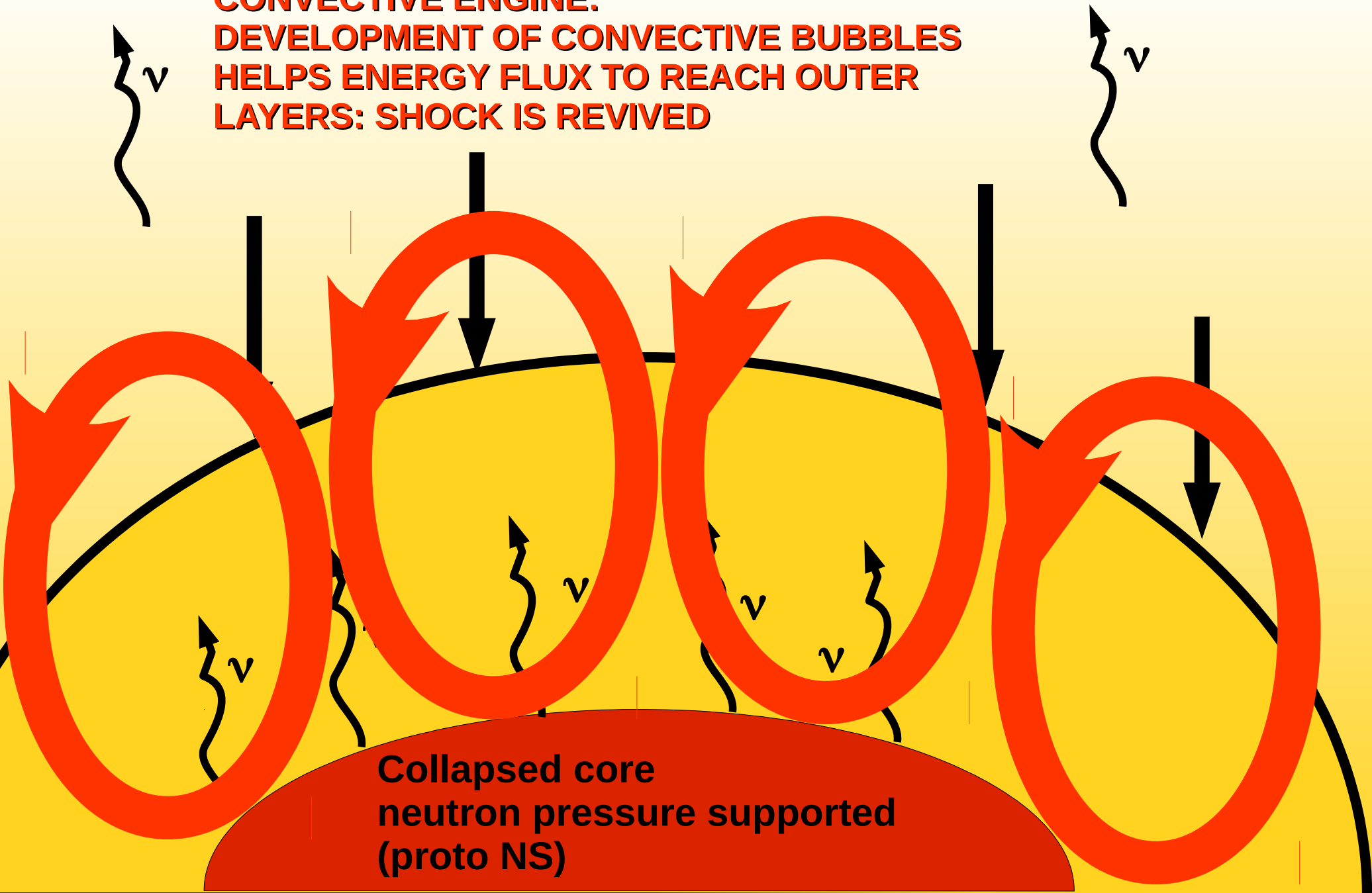
Fryer 2014, http://pos.sissa.it/archive/conferences/237/004/FRAPWS2014_004.pdf

1. The formation of compact objects: supernova



1. The formation of compact objects: supernova

**CONVECTIVE ENGINE:
DEVELOPMENT OF CONVECTIVE BUBBLES
HELPS ENERGY FLUX TO REACH OUTER
LAYERS: SHOCK IS REVIVED**



1. The formation of compact objects: supernova

Supernova shock stops anyway if **BOUND MASS** is too **LARGE** (Fryer 1999; Fryer & Kalogera 2001)

Back-of-the-envelope calculation to connect direct collapse and pre-supernova mass:

$$E_{\text{SN}} = \frac{G M_{\text{env}} (M_{\text{env}} + M_{\text{core}})}{R_{\text{env}}}$$

Diagram annotations:

- Green arrow from M_{env} to **envelope mass**
- Green arrow from M_{core} to **proto-NS ~ 1 Msun**
- Green arrow from R_{env} to **envelope radius**

Star cannot explode if envelope binding energy > SN energy

$$M_{\text{env}} \sim 50 M_{\odot} \left(\frac{E_{\text{SN}}}{10^{51} \text{erg}} \right)^{1/2} \left(\frac{R_{\text{env}}}{10 R_{\odot}} \right)^{1/2}$$

If $M_{\text{fin}} > 50 M_{\odot}$ this SN fails and star collapses to a BH

1. The formation of compact objects: supernova

Core-collapse (CC) SN depends on the "compactness" of the inner layers

COMPACTNESS (= ratio between mass and radius) of a given portion of the stellar core at the onset of collapse
(O'Connor & Ott 2011)

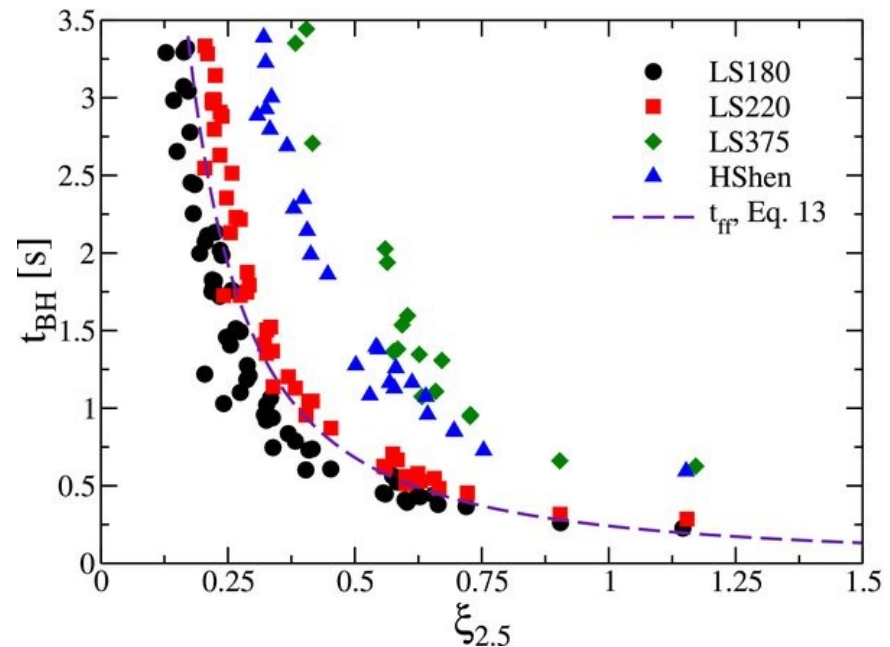
$$\xi_M \equiv \frac{M / M_{\odot}}{R(M) / 1000 \text{ km}}$$

$M = 2.5 M_{\odot}$ is usually adopted

Star collapses if $\xi_{2.5} > 0.2$

(Ugliano+ 2012; Horiuchi+ 2012)

Figure from
O'Connor & Ott 2011



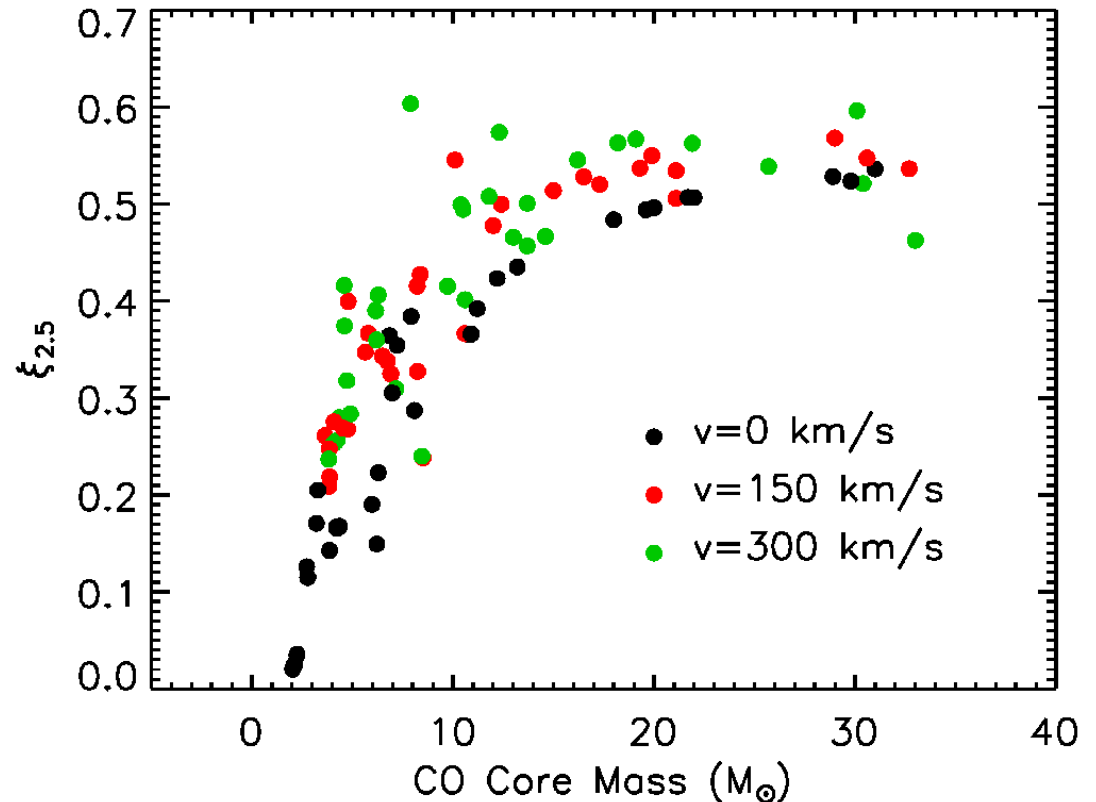
1. The formation of compact objects: supernova

Core-collapse (CC) SN depends on the "compactness" of the inner layers

Compactness correlates well with mass of CO core

→ compactness > 0.2 corresponds to CO core $> 8 M_{\odot}$

Figure from
Limongi 2017
arXiv:1706.01913

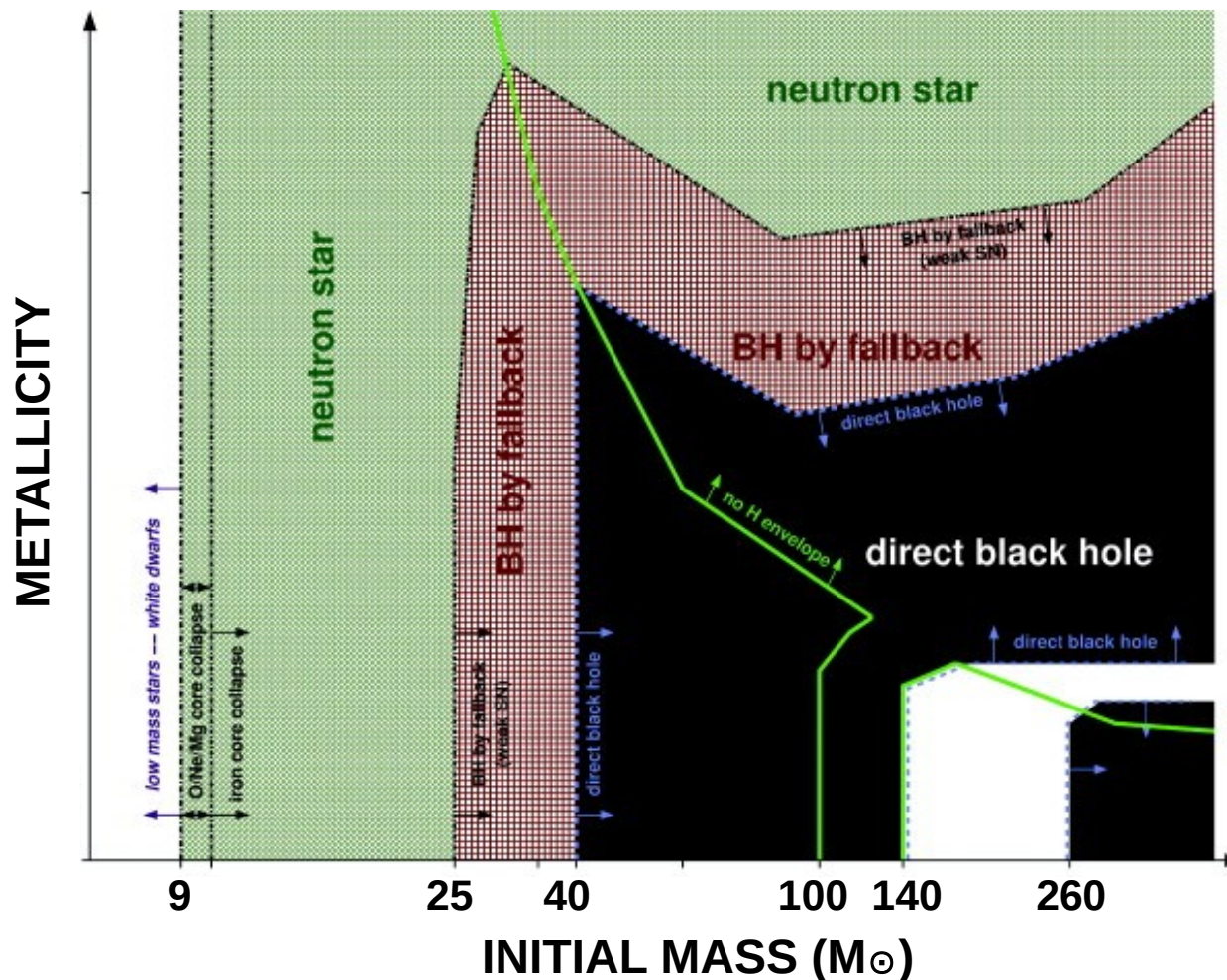


1. The formation of compact objects: supernova

CC SN depends on the "fallback" of the outer layers of the star:

How much material falls back to the proto-NS after the SN

Barely constrained – depends on explosion energy,
angular momentum,
progenitor's mass/metallicity



Heger et al. 2003

1. The formation of compact objects: supernova

PAIR-INSTABILITY SUPERNOVAE (PISNe)

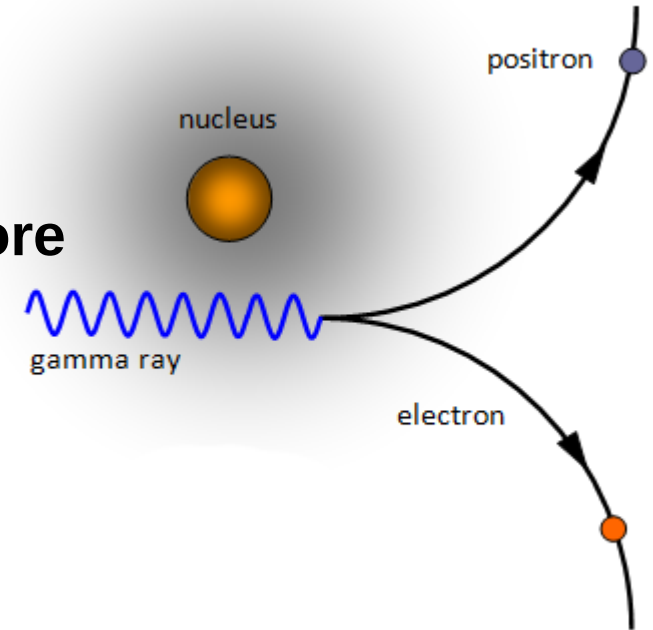
If star is very massive,

Helium core mass $> 64 M_{\odot}$

→ central temperature $> 7 \times 10^8 \text{ K}$

→ efficient production of γ -ray radiation in core

→ γ -ray photons scattering atomic nuclei produce electron-positron pairs (1 MeV)



The missing pressure of γ -ray photons produces dramatic collapse during O burning, without Fe core

→ high-Temperature collapse ignites all remaining species

→ **an explosion is induced that leaves NO remnant**

Ober, El Eid & Fricke 1983; Bond, Arnett & Carr 1984;
Heger et al. 2003; Woosley, Blinnikov & Heger 2007

1. The formation of compact objects: supernova

PULSATIONAL PAIR INSTABILITY (PPI)

If star is quite massive,

$64 M_{\odot} > \text{Helium core mass} > 32 M_{\odot}$

→ some production of γ -ray radiation in core

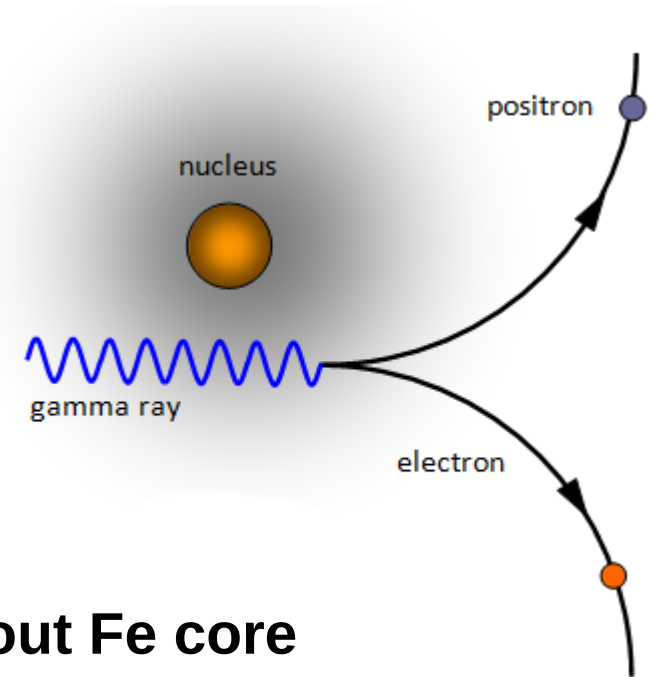
→ γ -ray photons scattering atomic nuclei produce electron-positron pairs (1 MeV)

The missing pressure of γ -ray photons produces contraction during O burning, without Fe core

→ enhancement of nuclear reaction restores pressure

→ star gains equilibrium after one or more oscillations

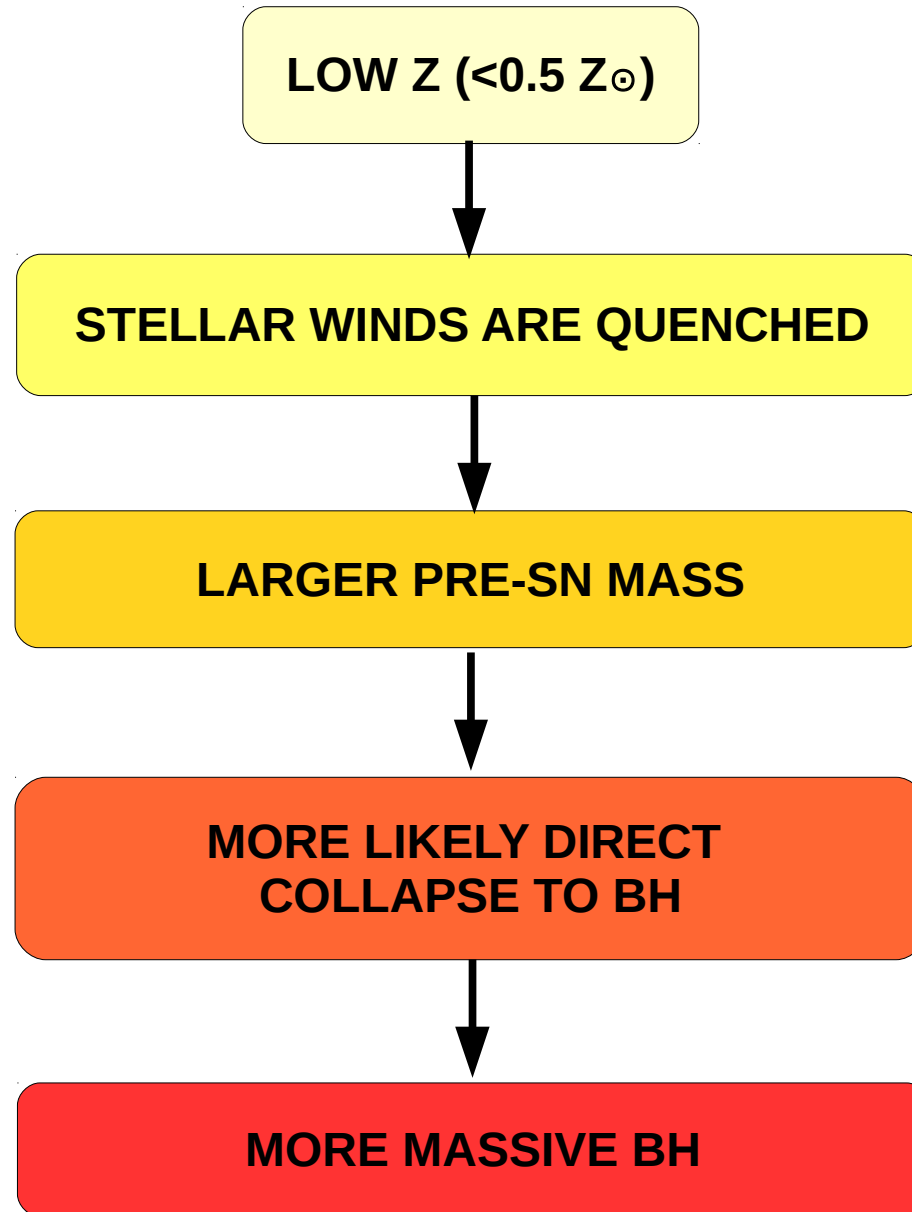
→ **oscillations enhance mass loss and final mass is lower**



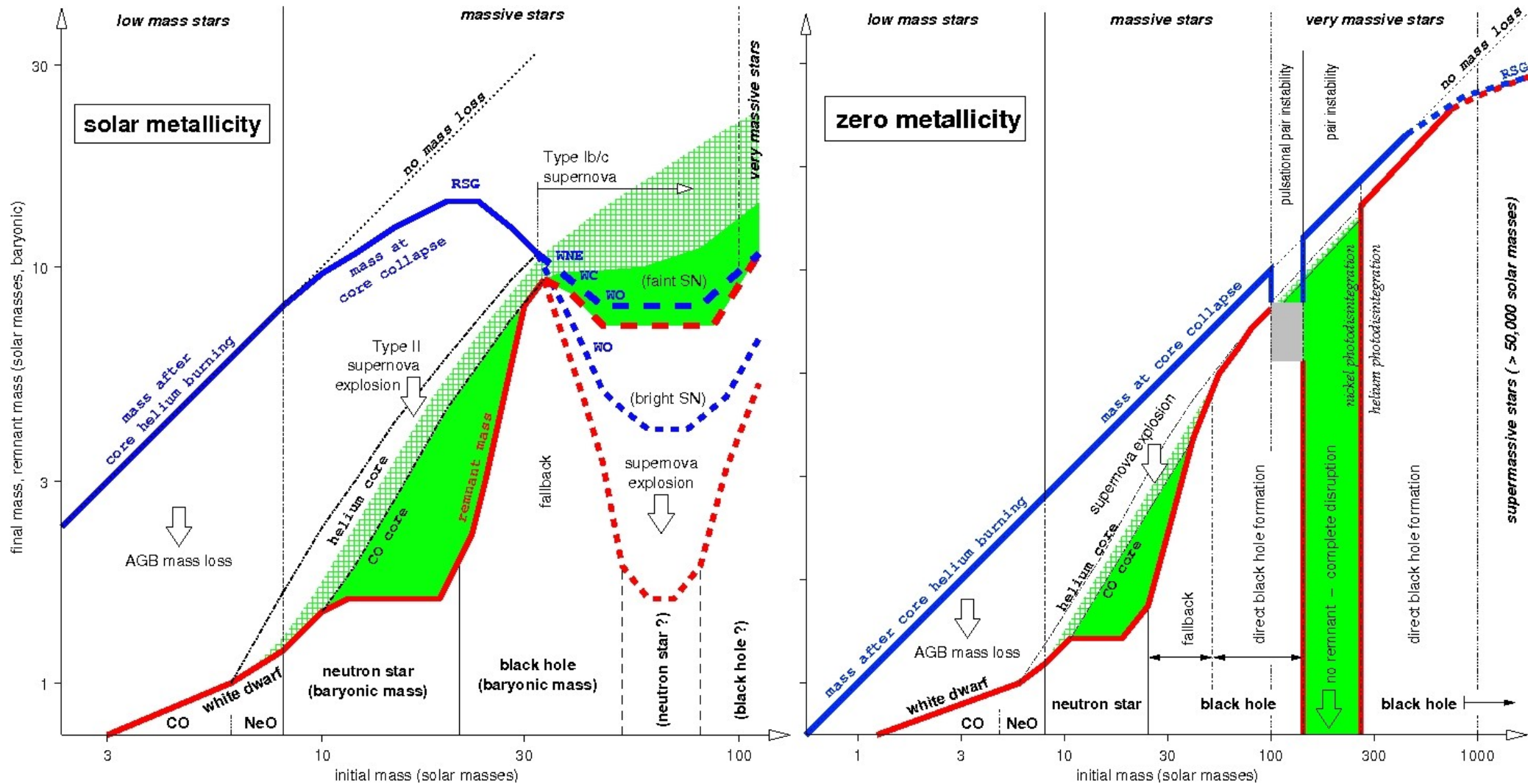
Barkat, Rakavy & Sack 1967; Woosley, Blinnikov & Heger 2007; Yoshida et al. 2016; Woosley 2017

1. The formation of compact objects: wrap up

Very complicated. However, as rule of thumb (MM+ 2009, 2013):

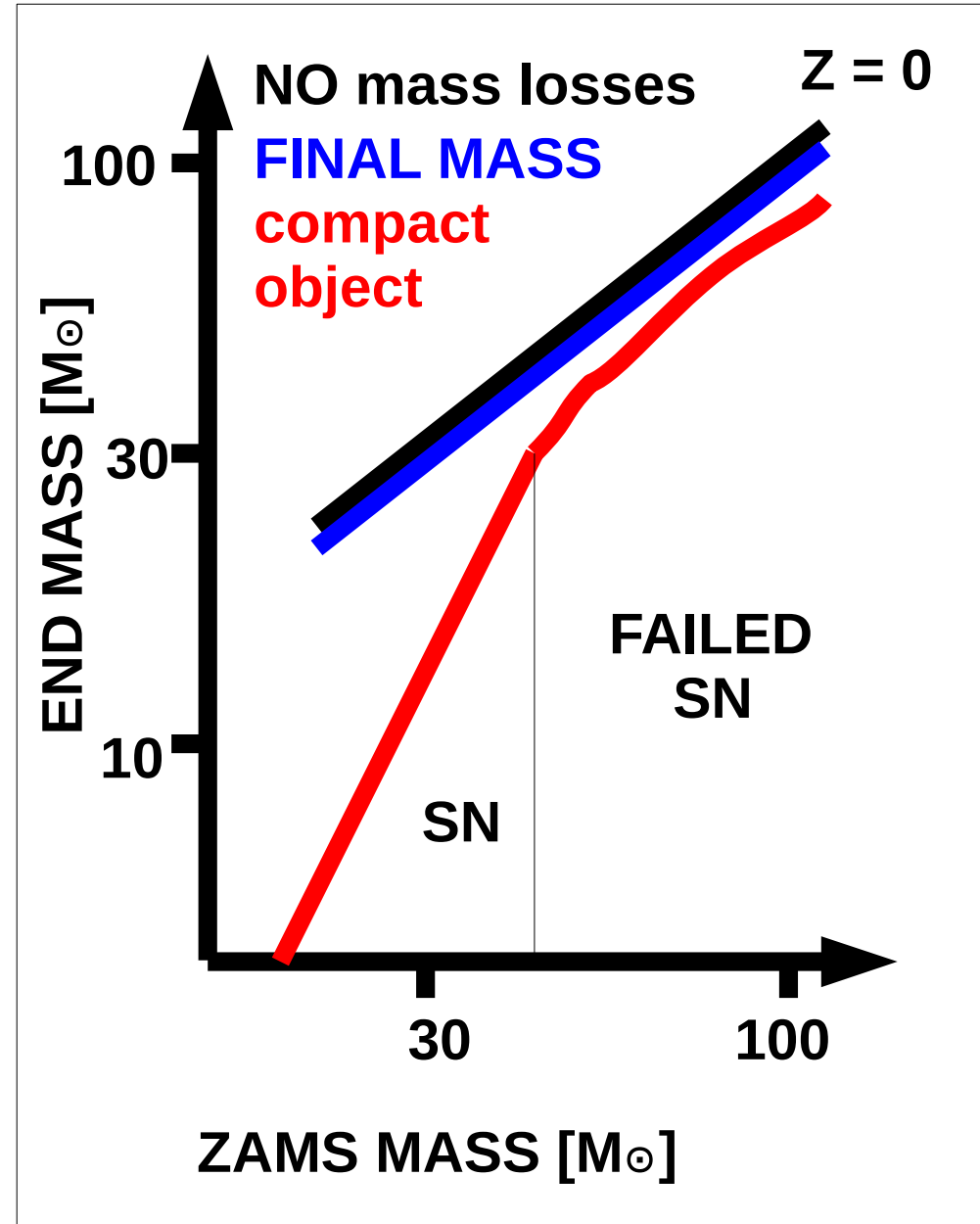
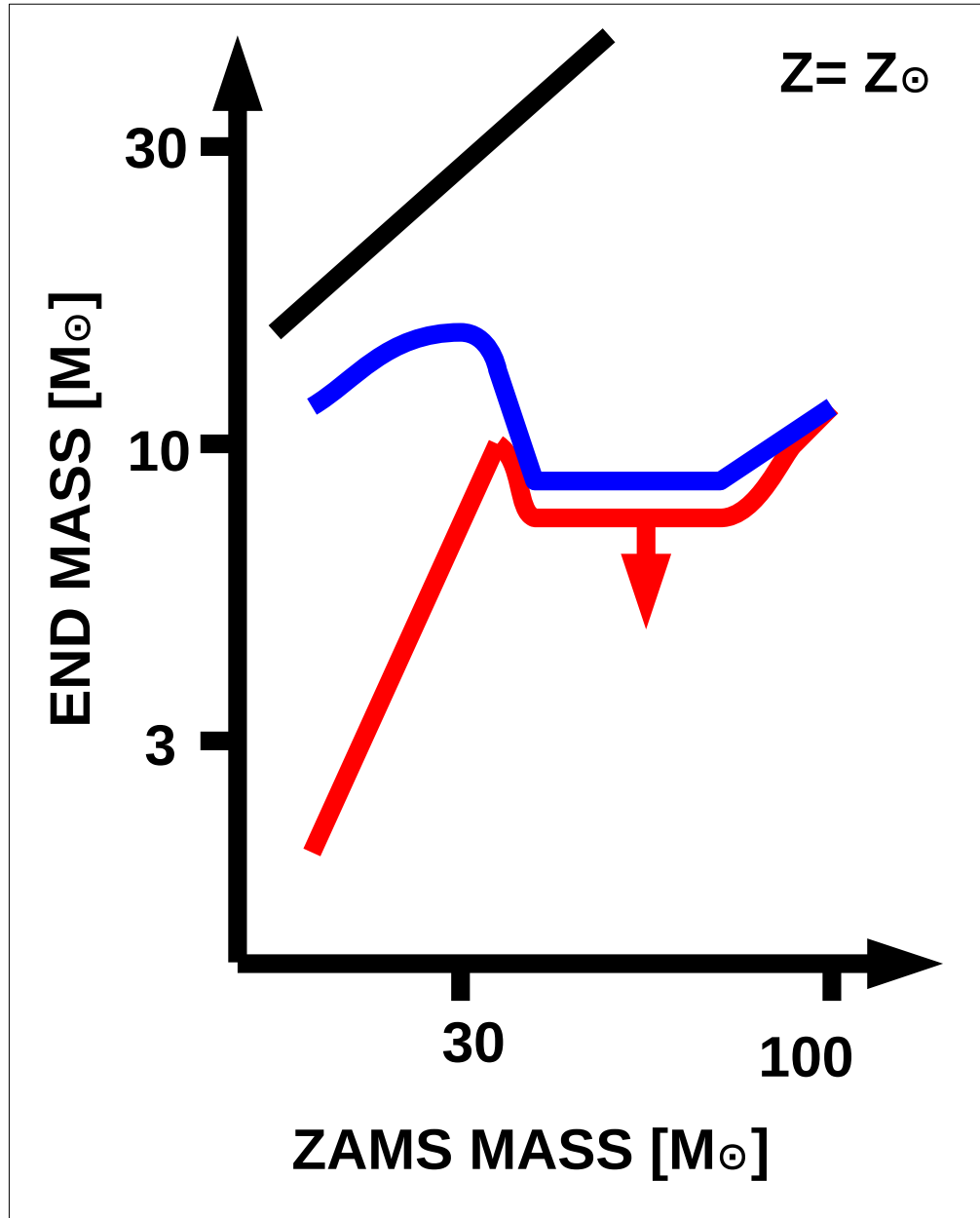


1. The formation of compact objects: wrap up



Heger et al. (2003)

1. The formation of compact objects: wrap up

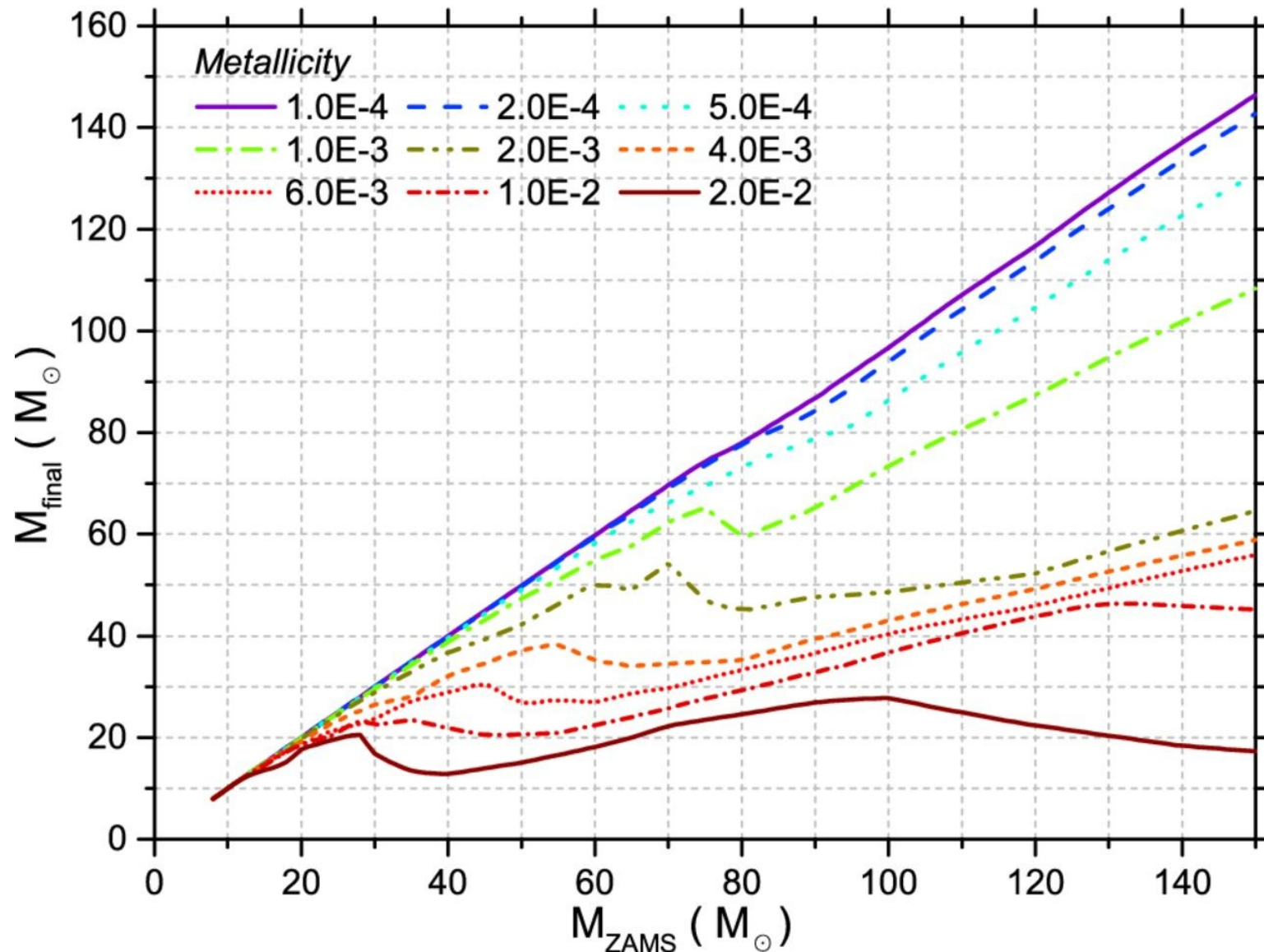


My cartoon from
Heger et al. (2003)

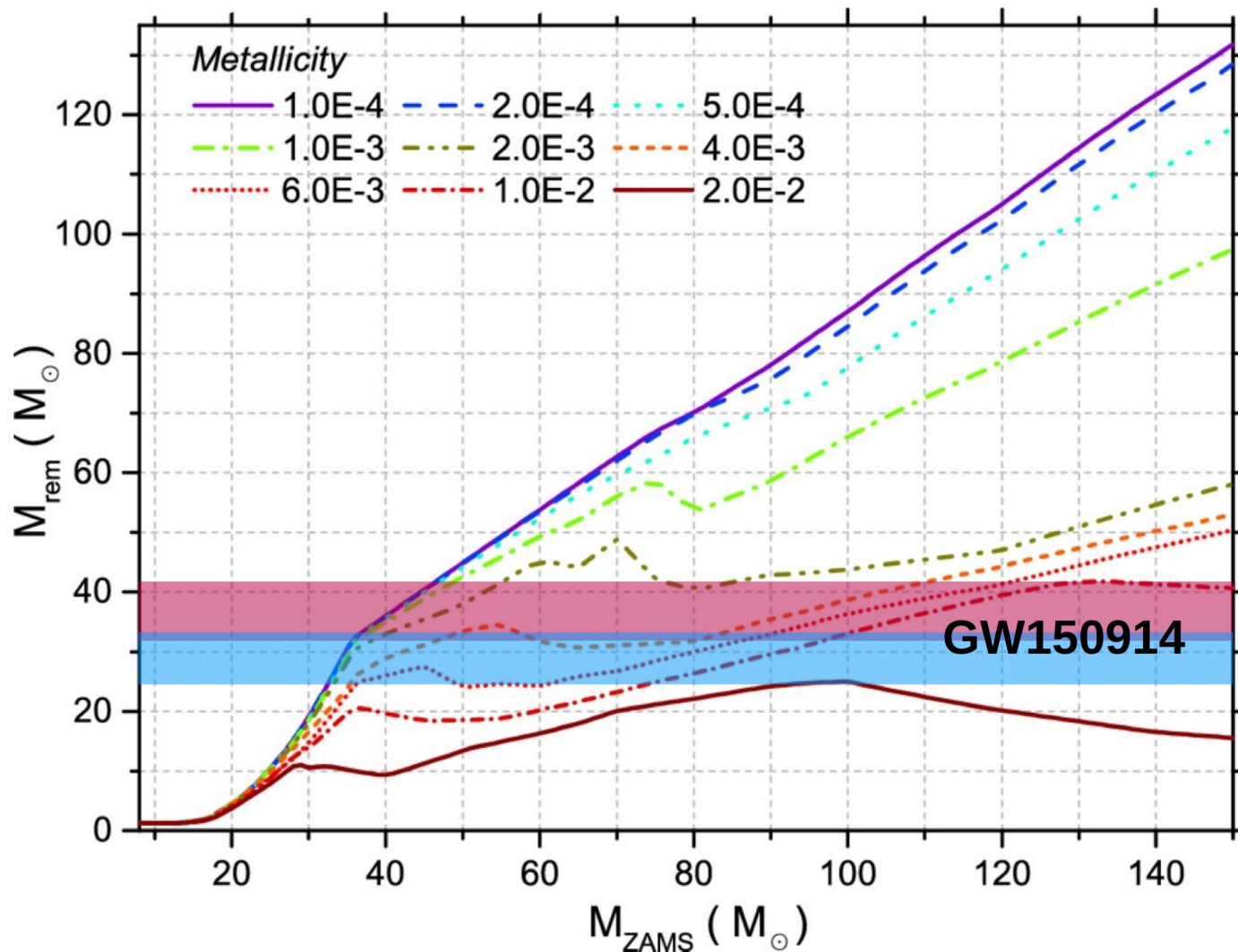
1. The formation of compact objects: wrap up

What about intermediate metallicities between 0 and solar?

- more difficult because stellar winds are uncertain
- importance of final mass: pre-supernova mass of the star (when CO core built)



1. The formation of compact objects



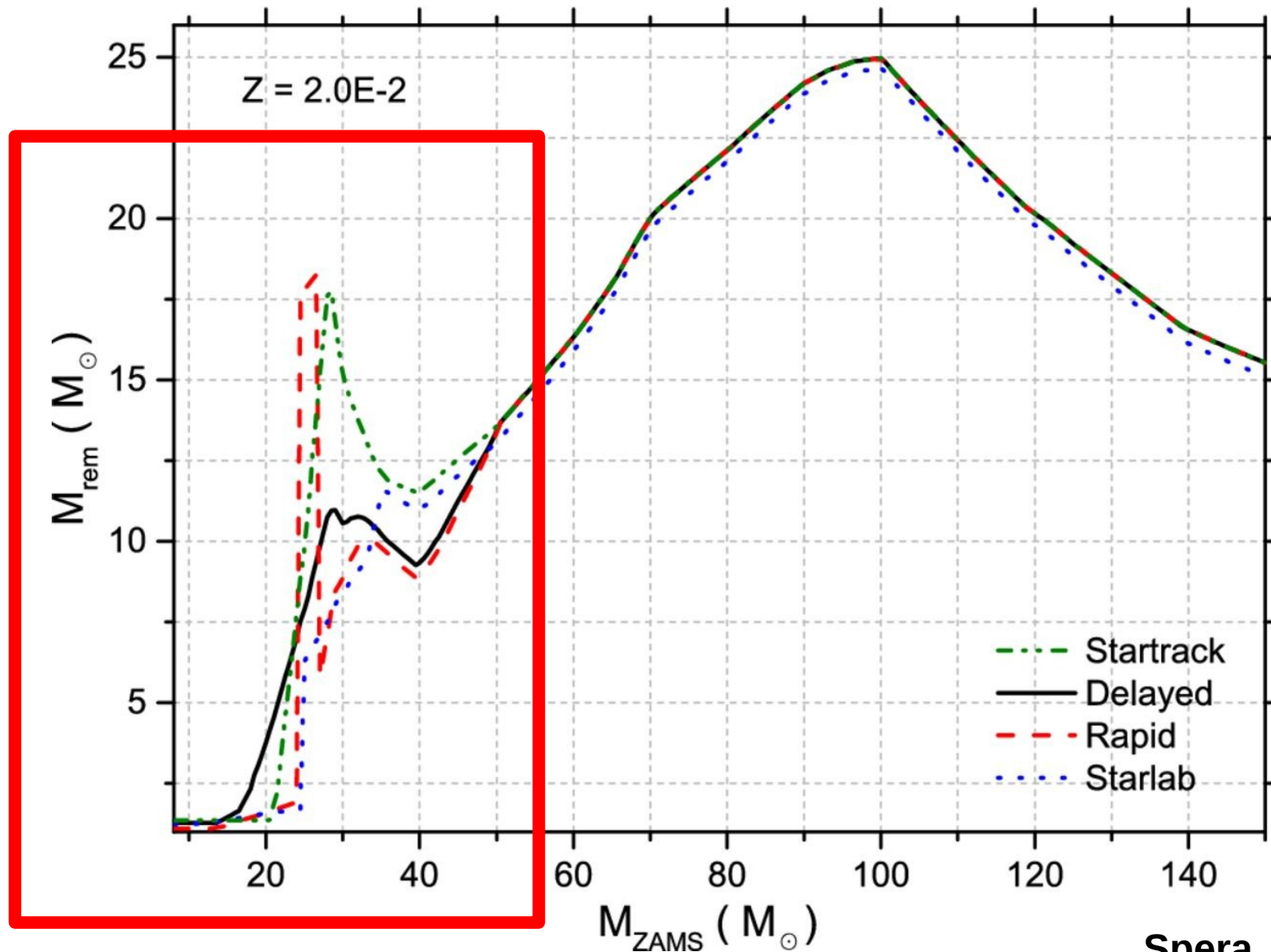
Remnant mass follows same trend as final mass → stellar winds are crucial

From Spera, MM & Bressan 2015, MNRAS, 451, 4086

See also MM+ 2009, MNRAS, 395, L71; MM+ 2010, MNRAS, 408, 234; Belczynski+ 2010, ApJ, 714, 1217; Fryer+ 2012, ApJ, 749, 91; MM+ 2013, MNRAS, 429, 2298; Belczynski+ 2016, A&A, 594, 97; Spera & MM 2017, MNRAS, 470, 4739

1. The formation of compact objects: wrap up

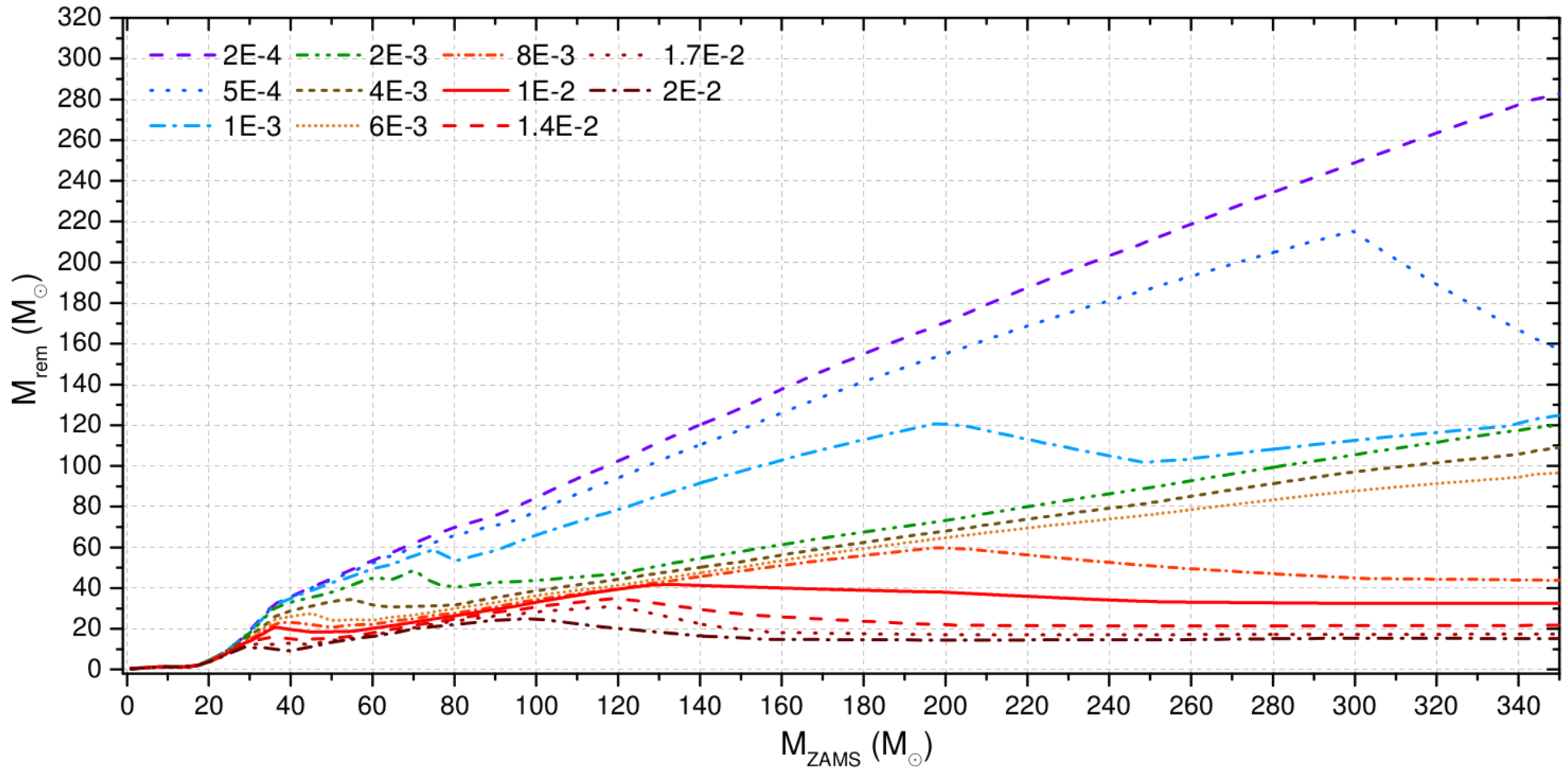
Importance of supernova model for “LOW” STAR MASSES ($<40 M_{\odot}$)



1. The formation of compact objects: wrap up

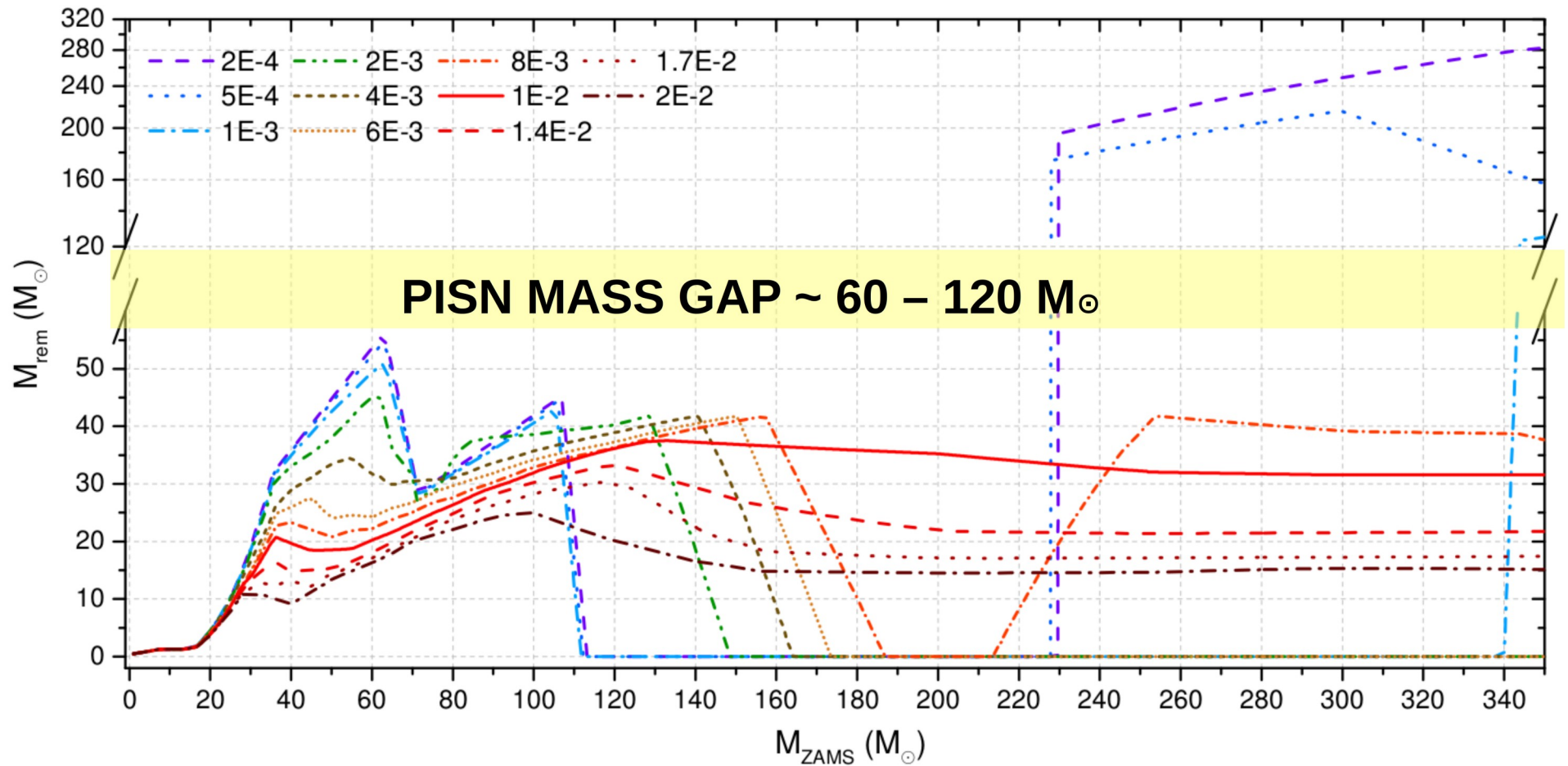
Evolution of very massive stars still uncertain

→ stellar winds are Eddington-limited rather than metallicity dependent



1. The formation of compact objects: wrap up

Role of pulsational pair-instability and pair-instability supernovae

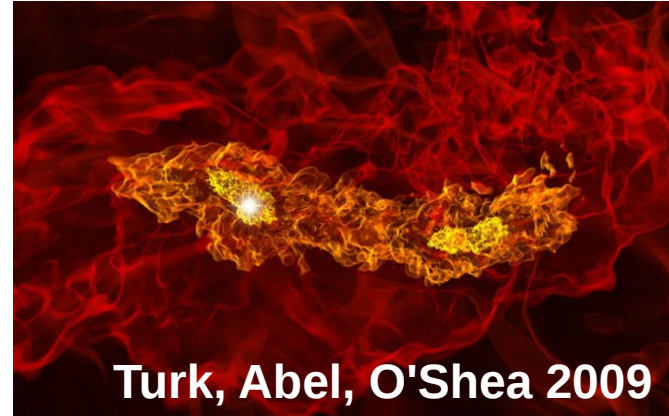


2. Binaries of compact objects

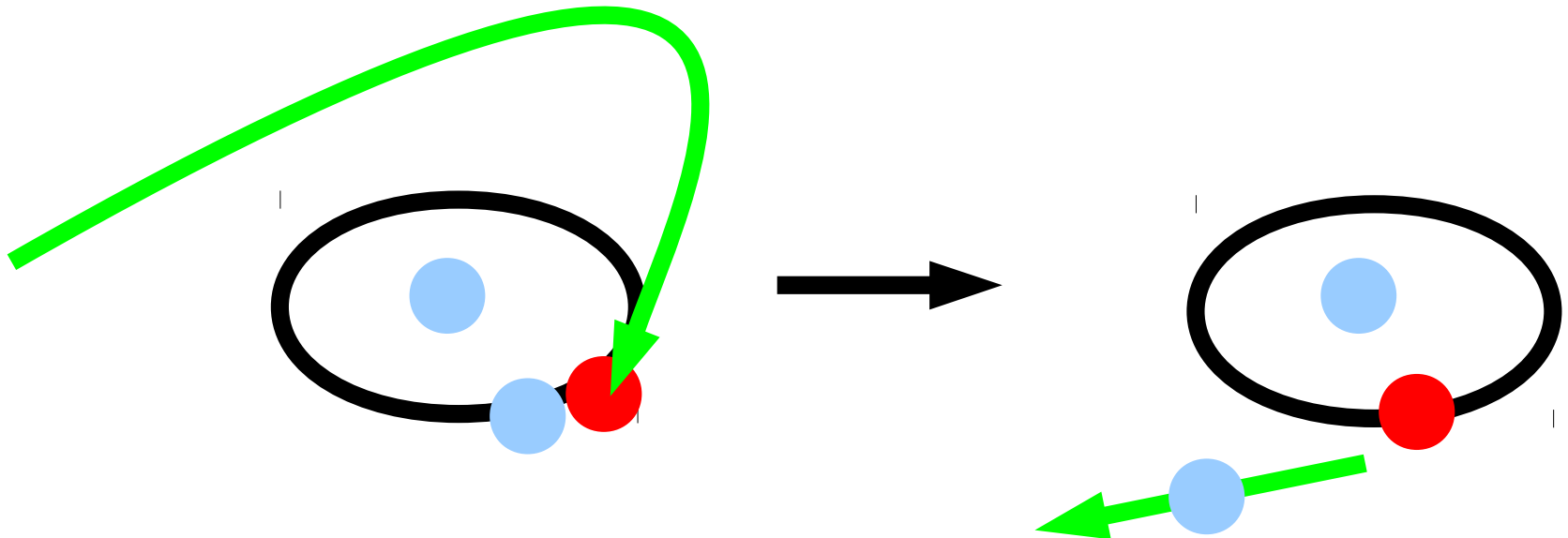
LIGO – Virgo observe compact object BINARIES

How do BH-BH (or BH-NS, NS-NS) binaries form?

1) ISOLATED BINARY



2) DYNAMICALLY FORMED BINARY



2. Binaries of compact objects

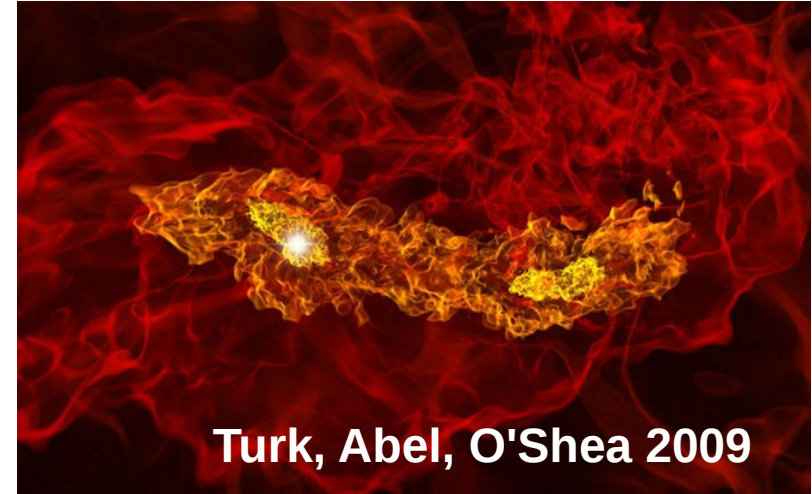
LIGO – Virgo observe compact object BINARIES

How do BH-BH (or BH-NS, NS-NS) binaries form?

1) ISOLATED BINARY:

**2 stars form from same gas cloud
and evolve into 2 BHs or NSs**

NOT SO EASY:



Many evolutionary processes can affect the binary

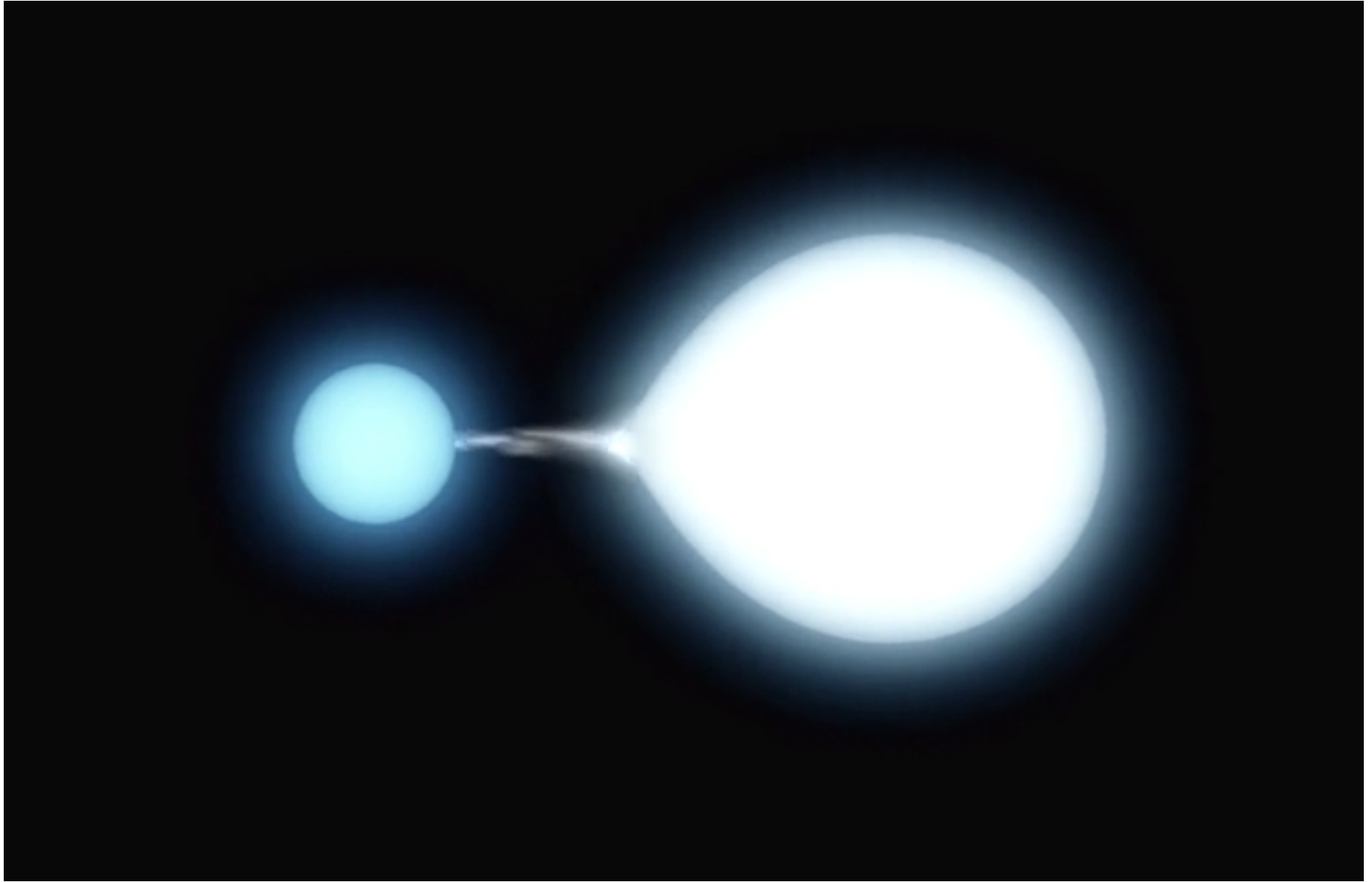
e.g. mass transfer, common envelope, SN kicks

Studied via POPULATION SYNTHESIS CODES:

integration of ISOLATED binaries

**(Starlab, Portegies Zwart+ 2001; MM+2013; BSE, Hurley+ 2002;
StarTrack, Belczynski+ 2010; SEVN, Spera+ 2015)**

2. Binaries of compact objects



Movie1 (credits: ESO)

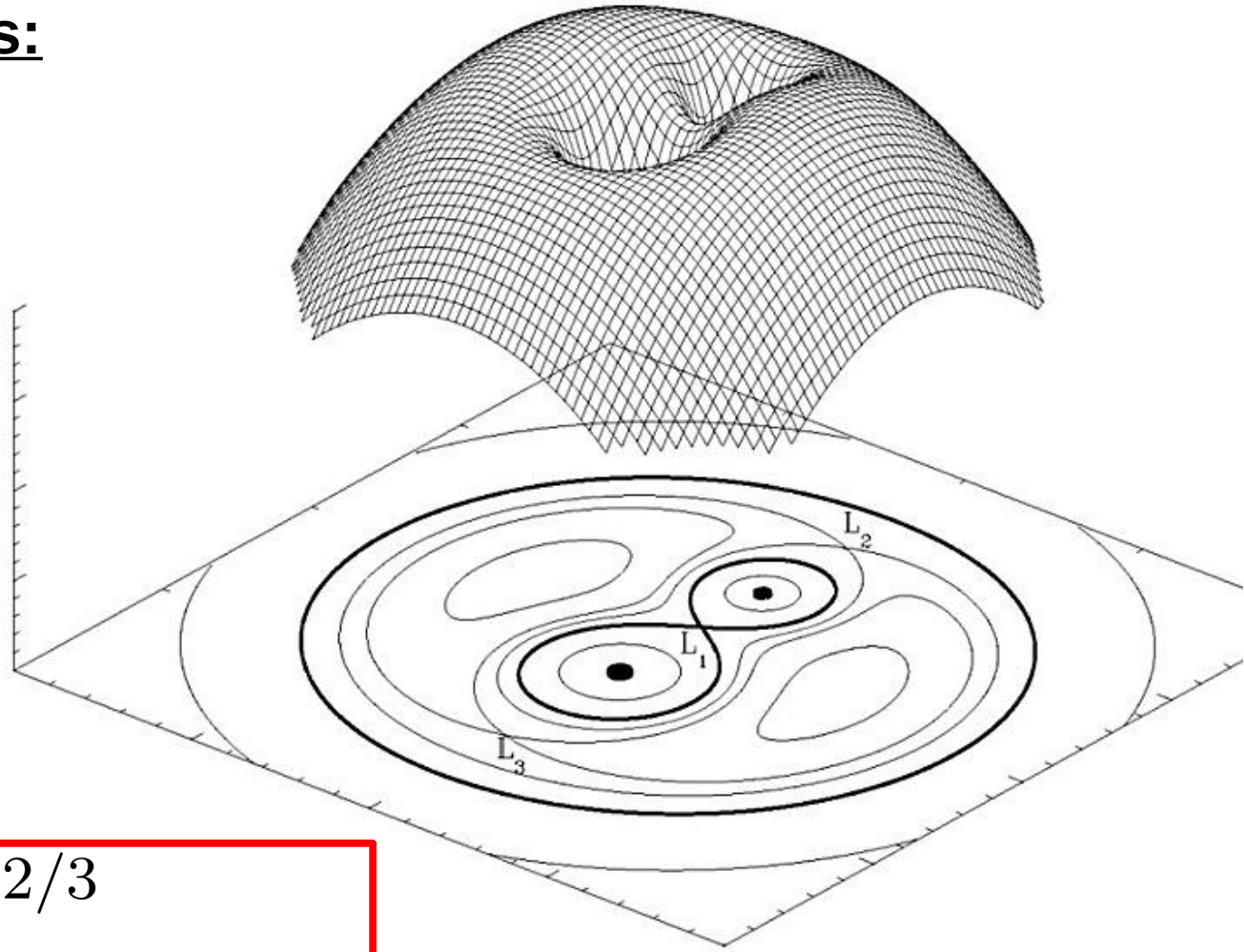
2. Binaries of compact objects

Mass transfer in binaries:

Equipotential surfaces
in a binary system

Roche lobe: minimum
contact equip. surface
(L1 Lagrangian point)

If a star fills its Roche lobe
matter flows without energy
change into the other star
→ MASS TRANSFER



By Marc van der Sluys

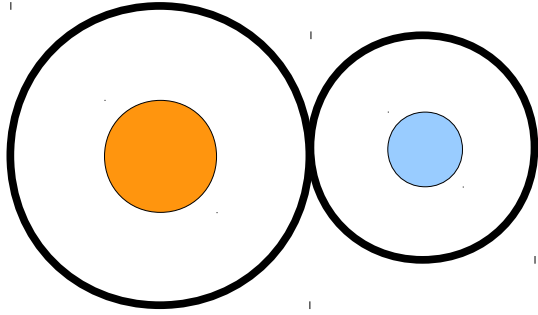
$$\frac{r_1}{a} = \frac{0.49 q^{2/3}}{0.6 q^{2/3} + \ln(1 + q^{1/3})}$$

where a = semi-major axis
 $q = M_1/M_2$

2. Binaries of compact objects

Common envelope in binaries:

If mass transfer becomes unstable (e.g. both stars fill Roche lobe),
COMMON ENVELOPE (CE) phase = Two stars, one envelope

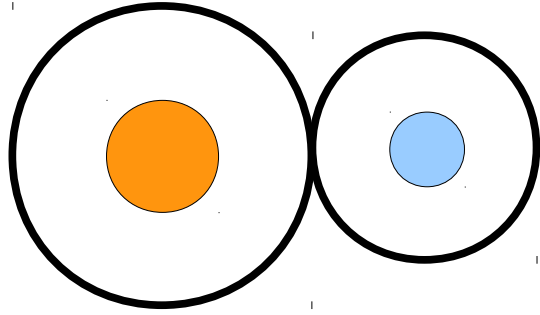


*Two massive stars initially
underfilling Roche lobe*

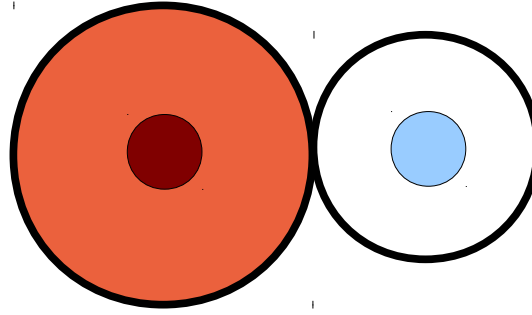
2. Binaries of compact objects

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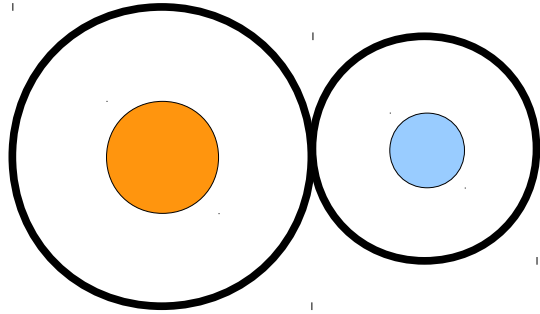


*The first one evolves out
of MS expands and start
mass transfer onto the second*

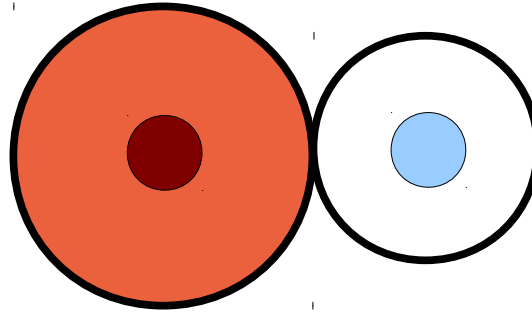
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Common envelope in binaries:

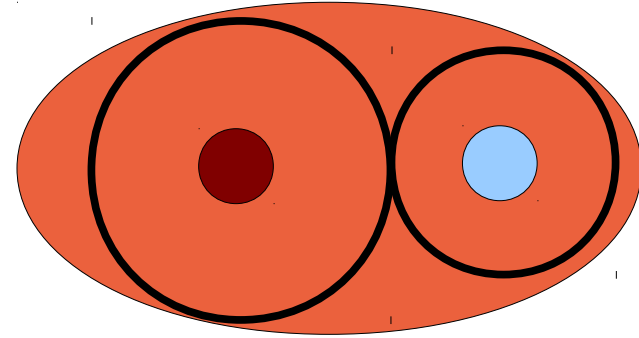
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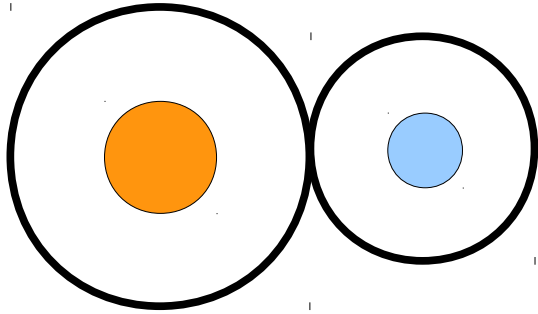


*Mass transfer becomes
unstable: CE phase*

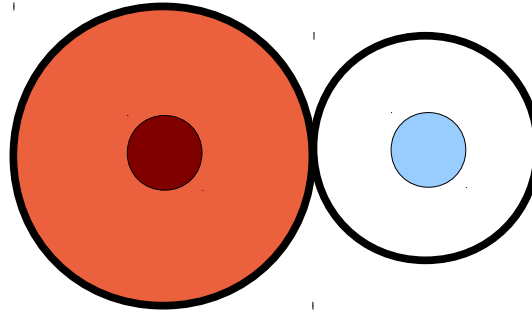
2. Binaries of compact objects

Common envelope in binaries:

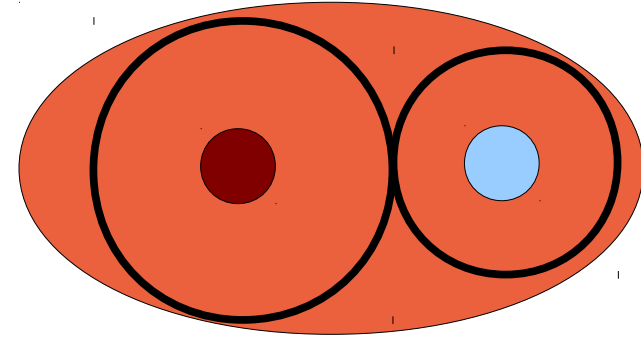
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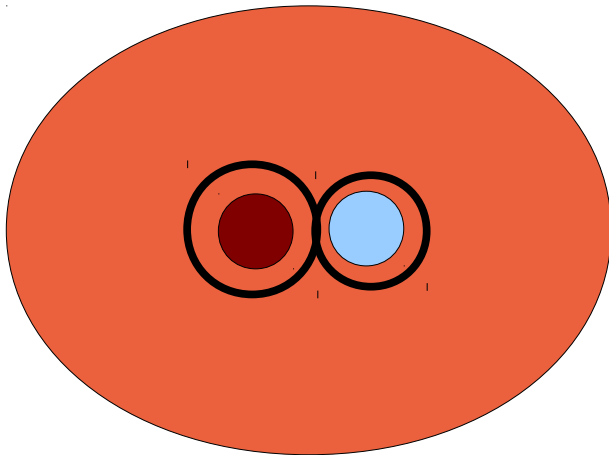
Two massive stars initially underfilling Roche lobe



The first one evolves out of MS expands and start mass transfer onto the second



Mass transfer becomes unstable: CE phase

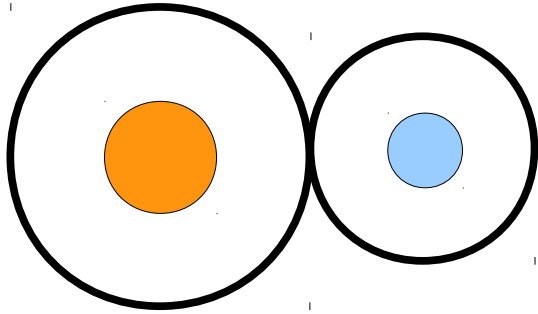


Drag by the envelope leads the two cores to spiral in

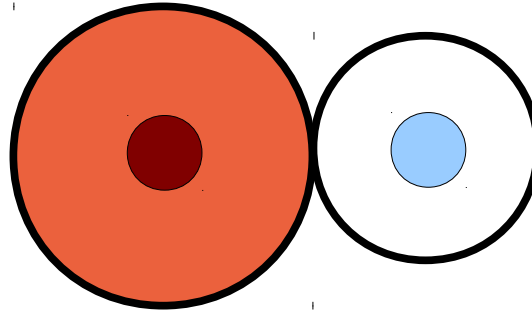
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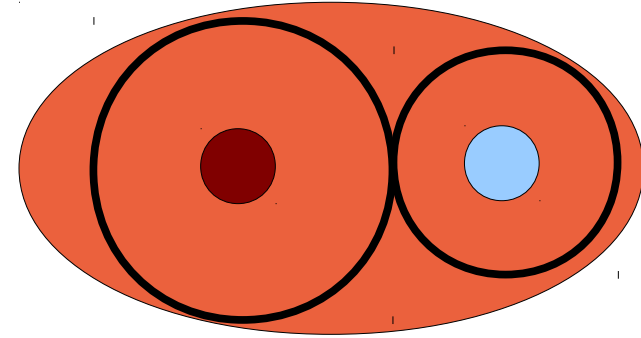
If mass transfer becomes unstable (e.g. both stars fill Roche lobe),
COMMON ENVELOPE (CE) phase = Two stars, one envelope



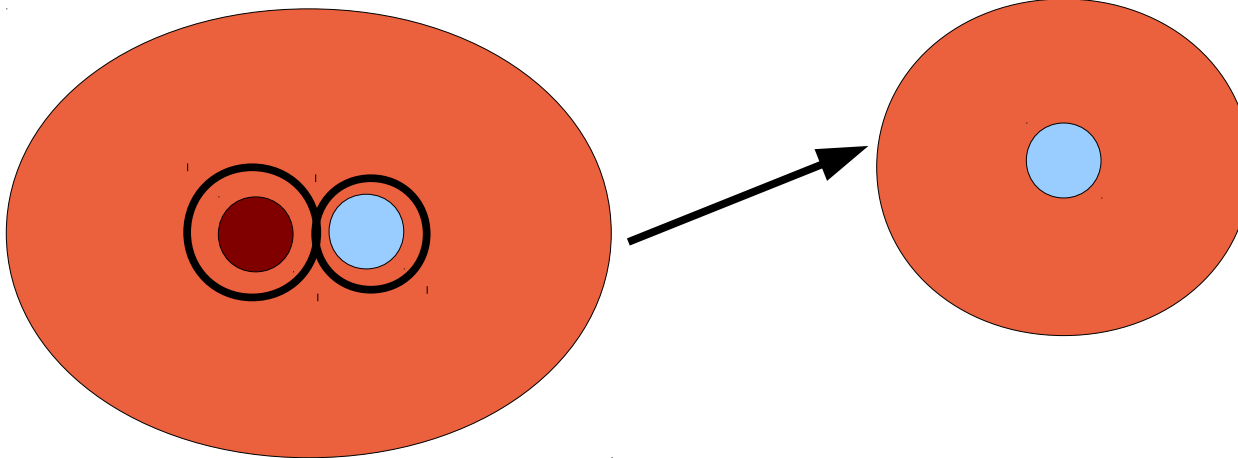
Two massive stars initially underfilling Roche lobe



The first one evolves out of MS expands and start mass transfer onto the second

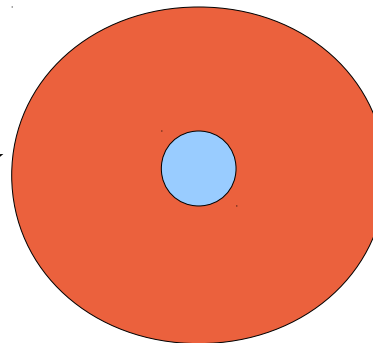


Mass transfer becomes unstable: CE phase



Drag by the envelope leads the two cores to spiral in

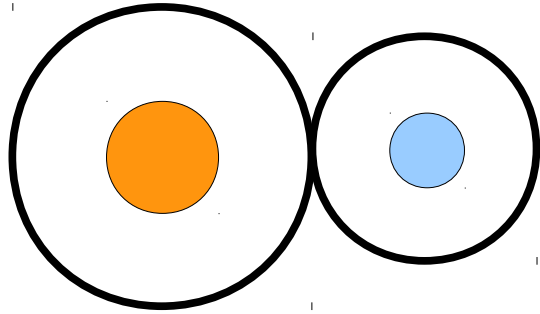
The two cores spiral in till they merge becoming a single star



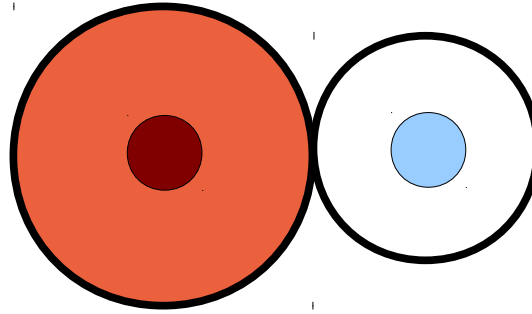
2. Binaries of compact objects

Common envelope in binaries:

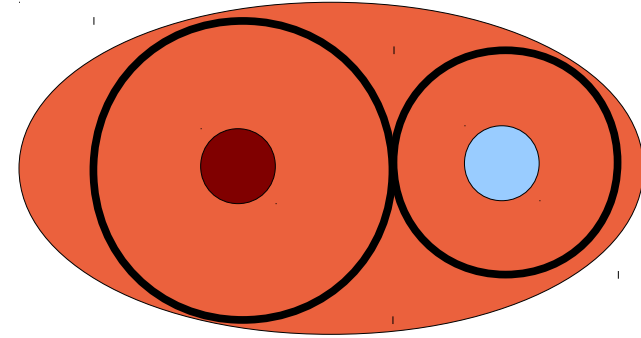
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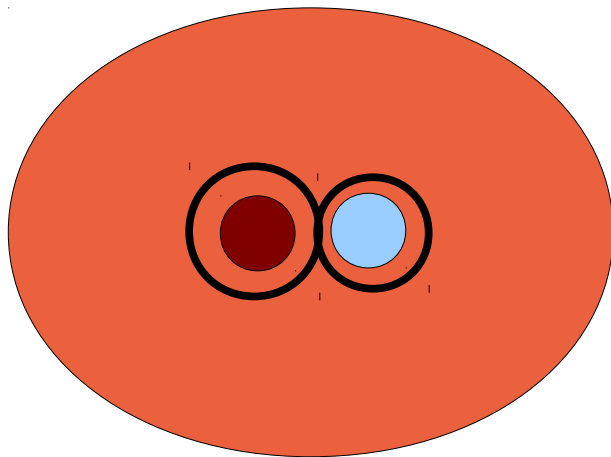
Two massive stars initially underfilling Roche lobe



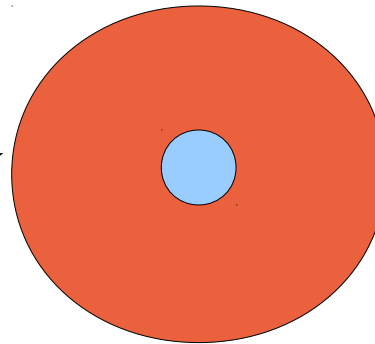
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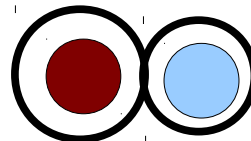
Mass transfer becomes unstable: CE phase



Drag by the envelope leads the two cores to spiral in



The two cores spiral in till they merge becoming a single star

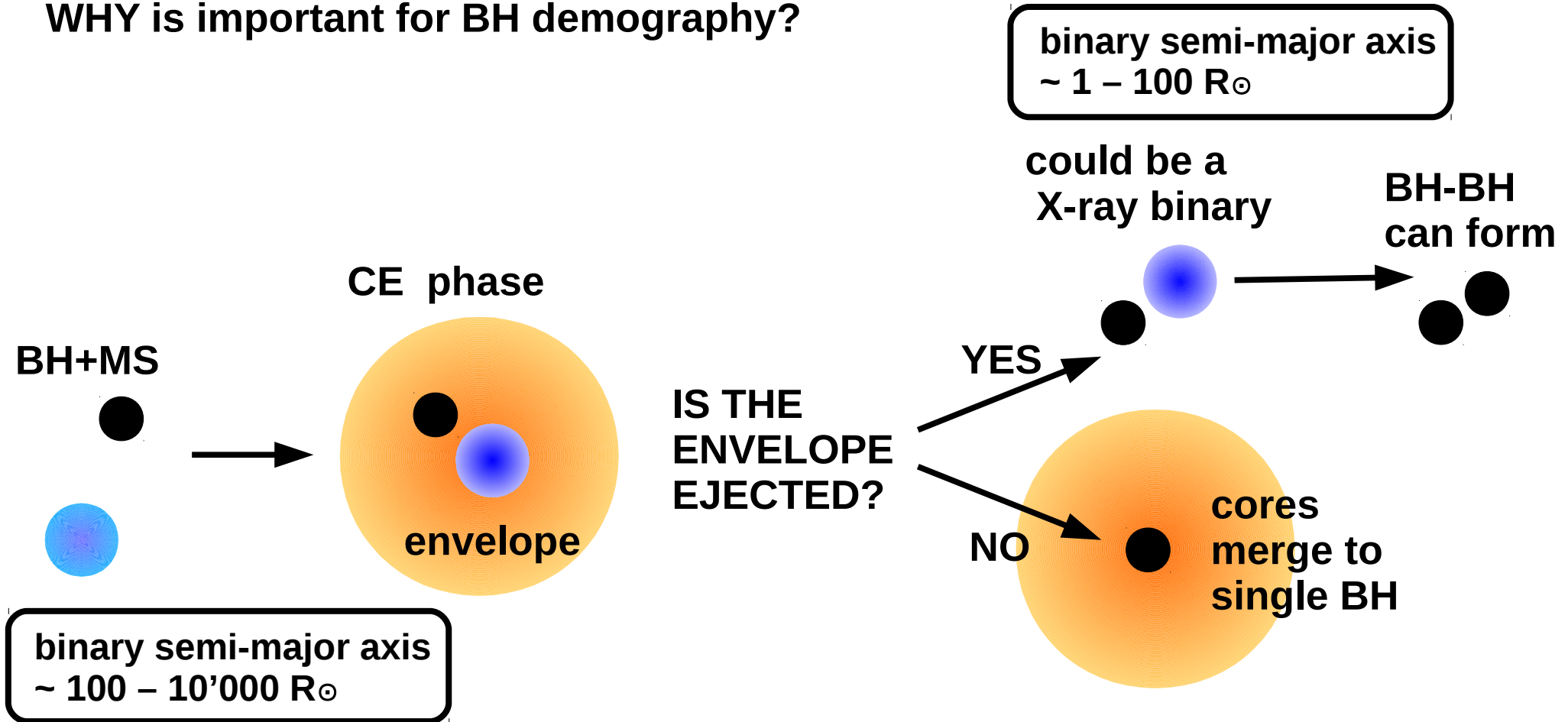


The energy released during the spiral in removes the envelope: The two cores form a new tighter binary

2. Binaries of compact objects

Common envelope in binaries:

WHY is important for BH demography?



2. Binaries of compact objects

Alternative to common envelope:

chemically homogeneous evolution

(Marchant+ 2016; Mandel & de Mink 2016; de Mink & Mandel 2016)

BASIC IDEA:

if stars are chemically homogeneous, their radii are smaller

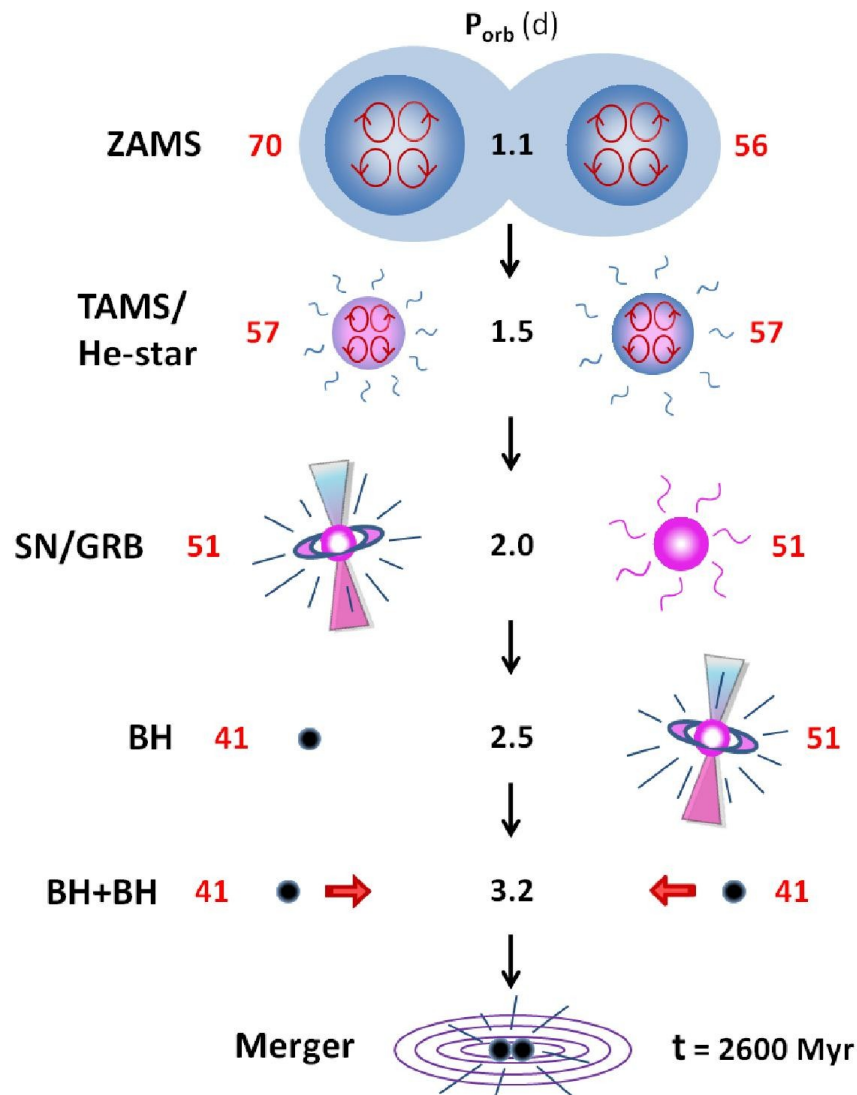
→ close binaries avoid common envelope and premature merger

To be chemically homogeneous, stars need to ROTATE fast

2. Binaries of compact objects

OVERCONTACT BINARIES (Marchant+ 2016):

Metal-poor fast rotating stars may OVERFILL ROCHE LOBE WITHOUT ENTERING COMMON ENVELOPE



Why?

Star rotation induces chemical mixing

Chemical mixing prevents star radius from growing significantly (efficient only if star is metal poor)

Predictions of this model:

- * nearly equal-mass BH-BH

- * BH masses $\sim 25 - 60, 130 - 230 M_{\odot}$ increasing with decreasing metallicity (no low-mass BHs!)

- * aligned spins unless SN reset them

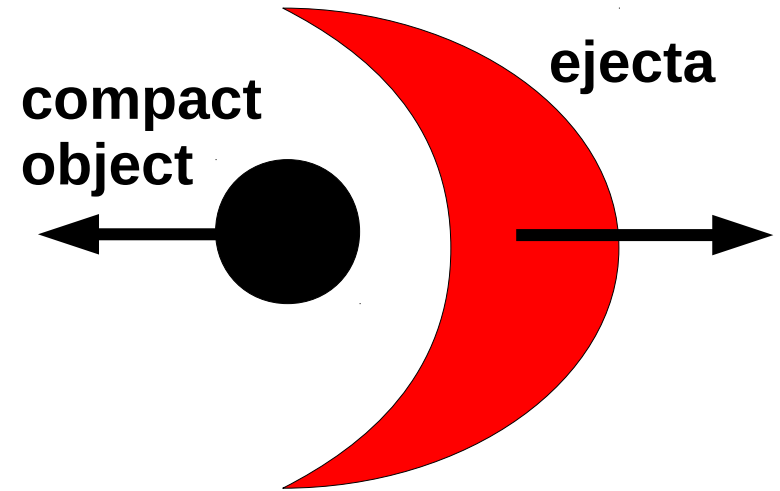
2. Binaries of compact objects

Supernova kicks and BH binaries:

A massive-star binary can become a BH-BH binary only if it is not unbound by SN kicks

WHY KICKS?

- * asymmetry in mass ejection during core collapse
- * asymmetry in neutrino emission during core collapse
- * symmetric mass loss in a binary:
breaks the binary only if pre-SN mass $>$ companion mass
(Blaauw mechanism, Blaauw 1961)



2. Binaries of compact objects

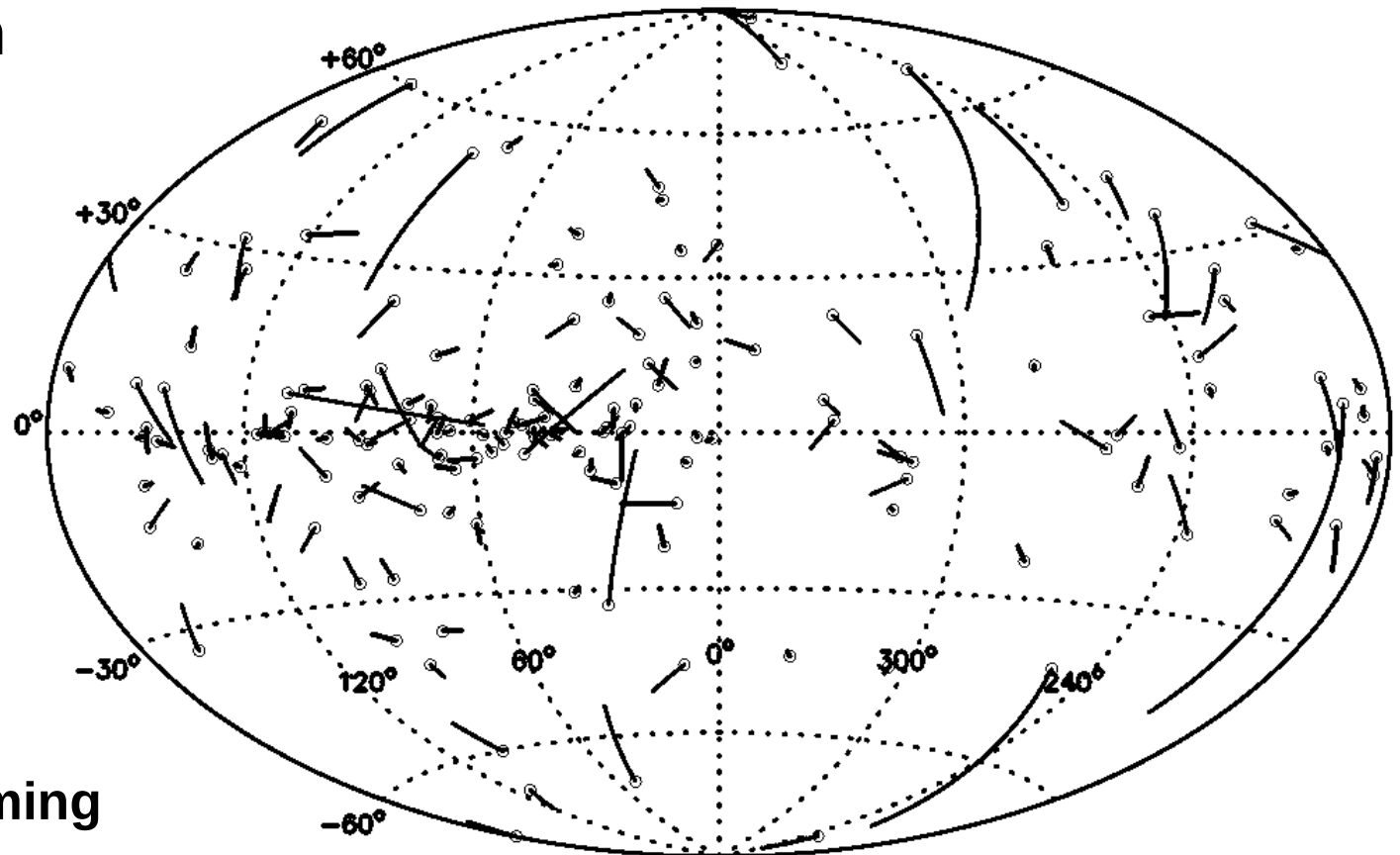
Supernova kicks and BH binaries:

SN kicks for NSs constrained from velocity of PULSARS

Hobbs+ (2005):
sample of 233 pulsars
with proper motion
measurements

A pulsar is currently
at the position
indicated by a circle

The track is its motion
for the last 1 Myr assuming
no radial velocity.

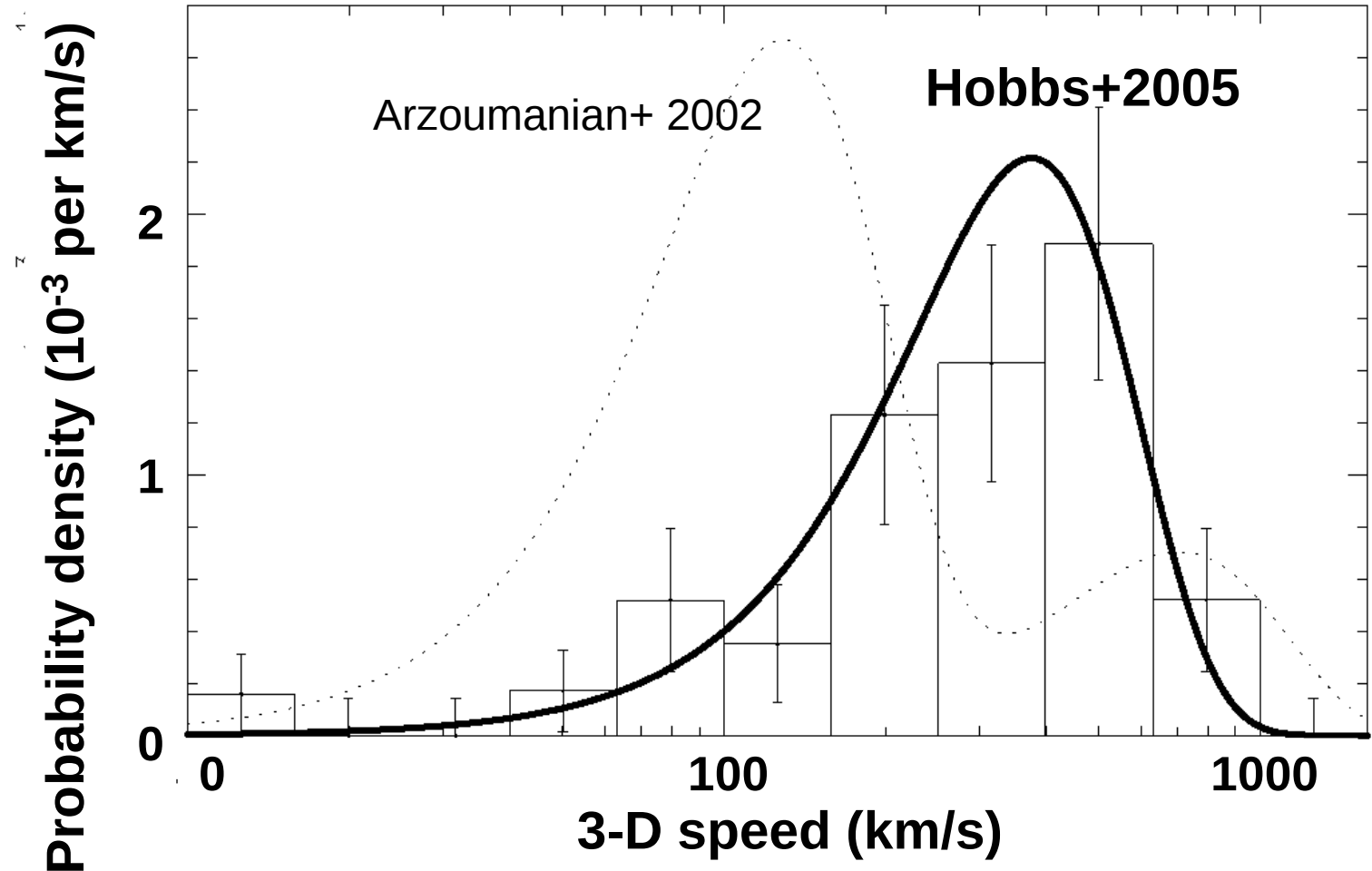


2. Binaries of compact objects

Supernova kicks and BH binaries:

Hobbs+ (2005): 3-D velocity distribution of pulsars obtained from the observed 2-D distributions of pulsars

→ Maxwellian distribution with $\sigma \sim 265$ km/s



2. Binaries of compact objects

Supernova kicks and BH binaries:

High (>100 km/s) velocity kicks for NSs (with caveats!)

WHAT ABOUT BHs?

No reliable methods to measure. Then people assume

1. conservation of linear momentum

$$v_{\text{kick, BH}} = \frac{m_{\text{NS}}}{m_{\text{BH}}} v_{\text{kick, NS}}$$

2. BHs formed without SN (failed or direct collapse)
get NO KICK + kick modulated by FALLBACK

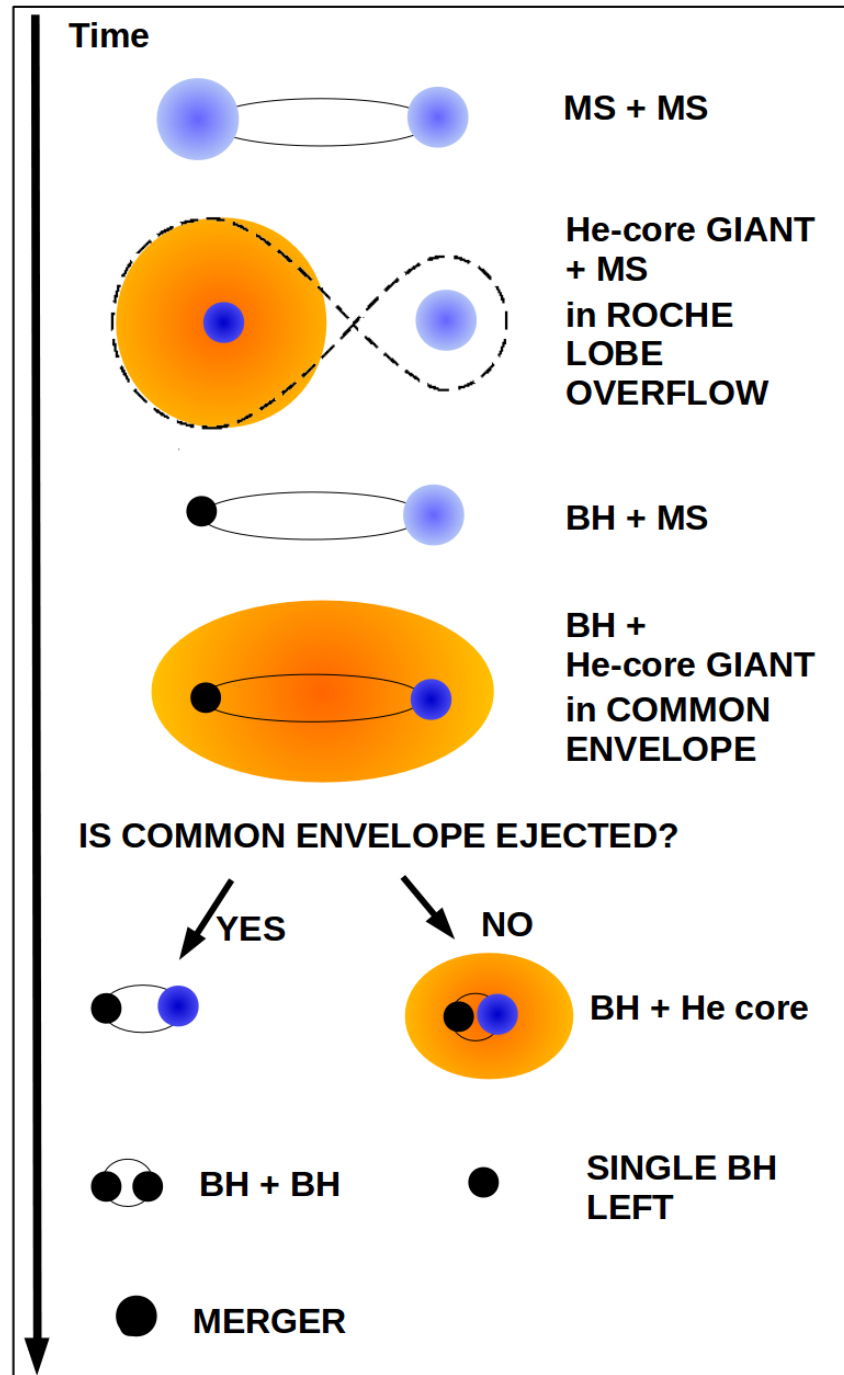
$$v_{\text{kick, BH}} = (1 - f_{\text{fb}}) v_{\text{kick, NS}}$$

2. Binaries of compact objects

Isolated binary evolution summary:

- * possible Roche lobe
- * 1st BH formation
- * Common envelope
BH – giant
crucial to shrink the binary
from $\gg 100 R_{\odot}$
to $< 100 R_{\odot}$
- * If binary survives common envelope, formation of second BH
- * BH – BH merger

cartoon from MM2018

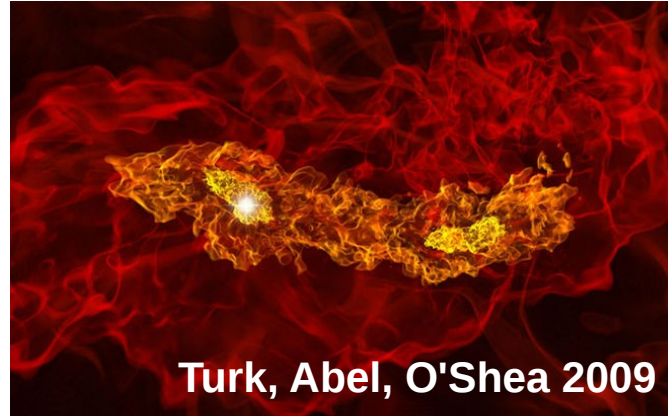


2. Binaries of compact objects

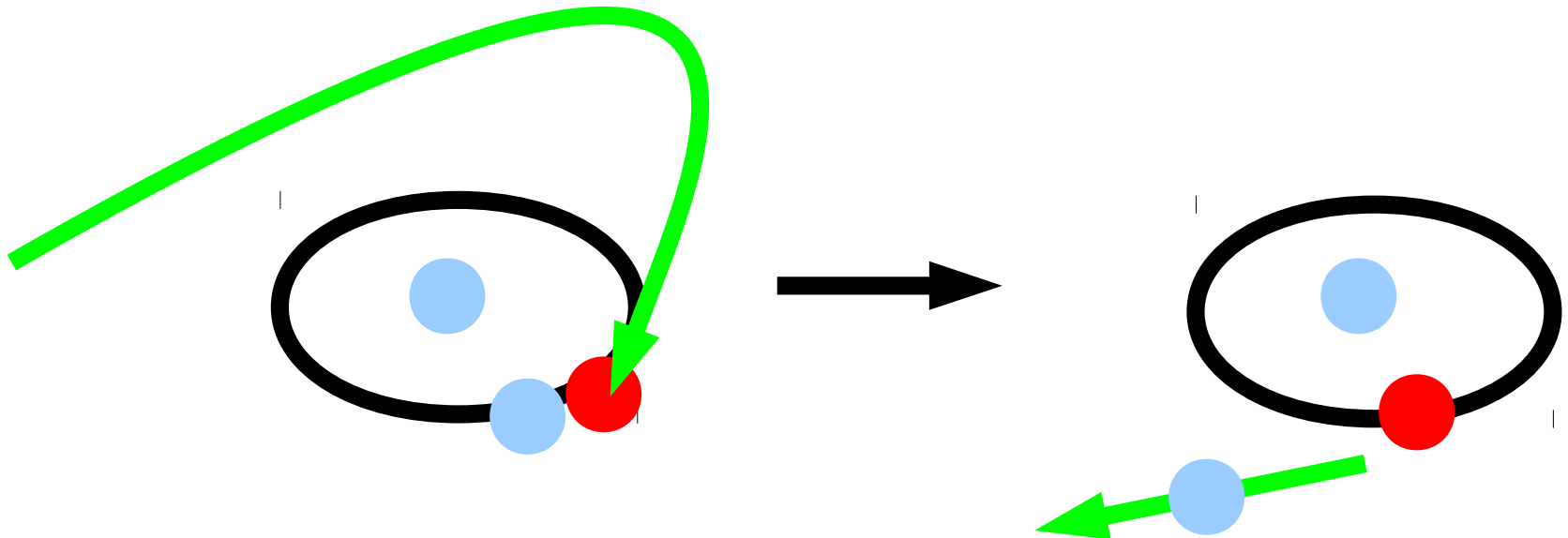
LIGO – Virgo observe compact object BINARIES

How do BH-BH (or BH-NS, NS-NS) binaries form?

1) ISOLATED BINARY



2) DYNAMICALLY FORMED BINARY



3. The dynamics of black hole (BH) binaries:

DYNAMICS is IMPORTANT ONLY IF

$$n > 10^3 \text{ stars pc}^{-3}$$

i.e. only in dense star clusters, where encounters are common

BUT massive stars (compact-object progenitors) form in star clusters

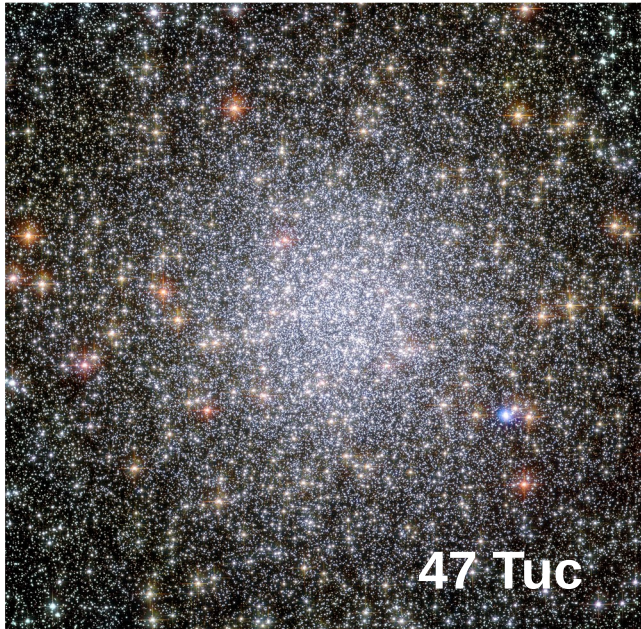
(Lada & Lada 2003; Weidner & Kroupa 2006; Weidner, Kroupa & Bonnell 2010; Gvaramadze et al. 2012; see Portegies Zwart+ 2010 for a review)

**R136 in
the LMC**



3. The dynamics of BH binaries:

There are many different flavours of star clusters



Globular clusters

- ✓ Formed mainly 12 Gyr ago
- ✓ Single-age stars
- ✓ Long lived
- ✓ Very massive ($10^4 - 6 M_{\odot}$)

3. The dynamics of BH binaries:

There are many different flavours of star clusters



Nuclear star clusters

- ✓ At center of galaxies
- ✓ Prolonged star formation still ongoing (3 Myr – 12 Gyr ago)
- ✓ Long lived
- ✓ Very massive ($>10^6 M_{\odot}$)
- ✓ Sometimes coexist with super-massive black hole (eg in the Milky Way)

3. The dynamics of BH binaries:

There are many different flavours of star clusters



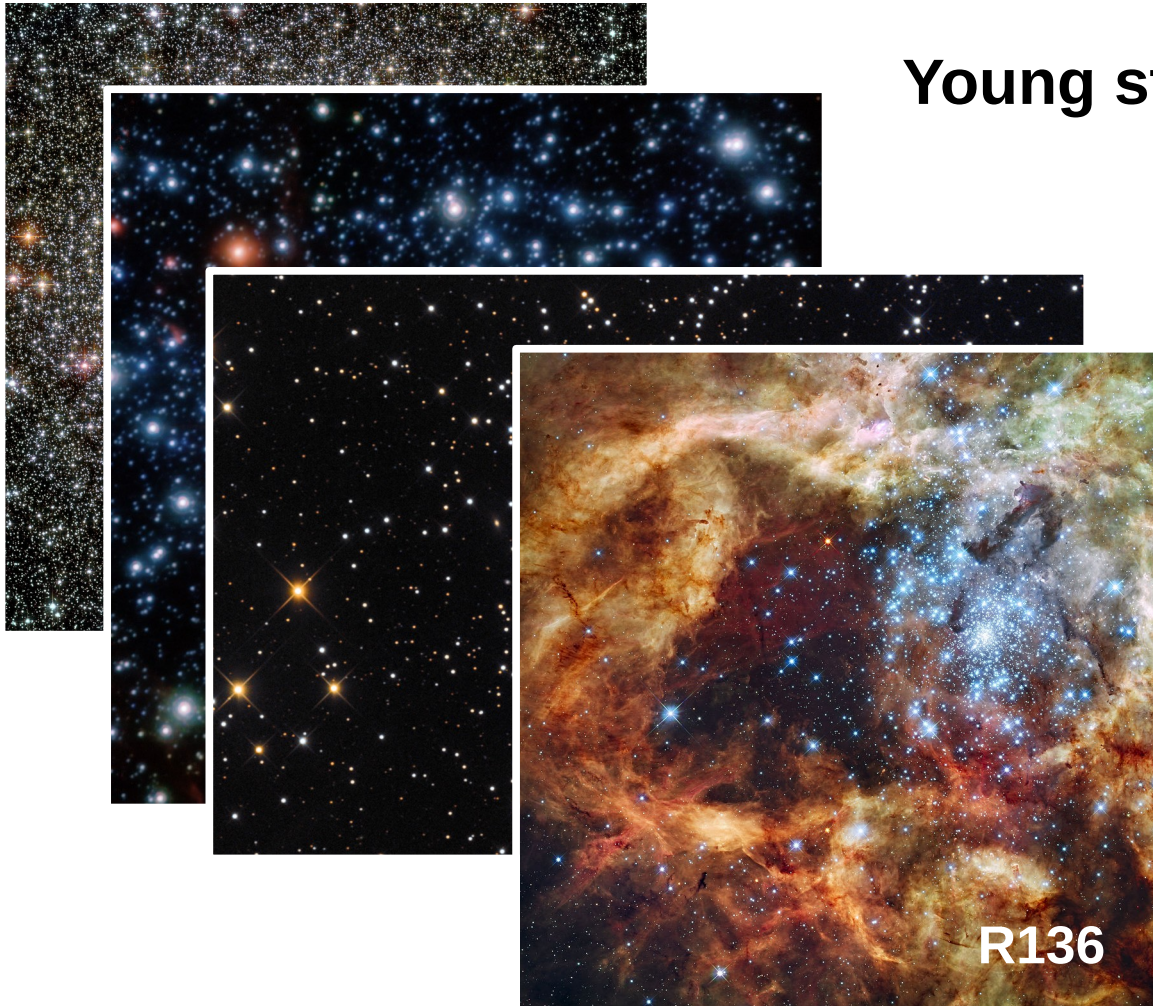
Open clusters

- ✓ Age from few Myr to several Gyr
- ✓ Single-age stars
- ✓ Not so long lived:
when they die they release
stellar content in the field
→ building blocks of field
- ✓ Lower mass ($10^2 - 5 M_{\odot}$)

3. The dynamics of BH binaries:

There are many different flavours of star clusters

Young star clusters

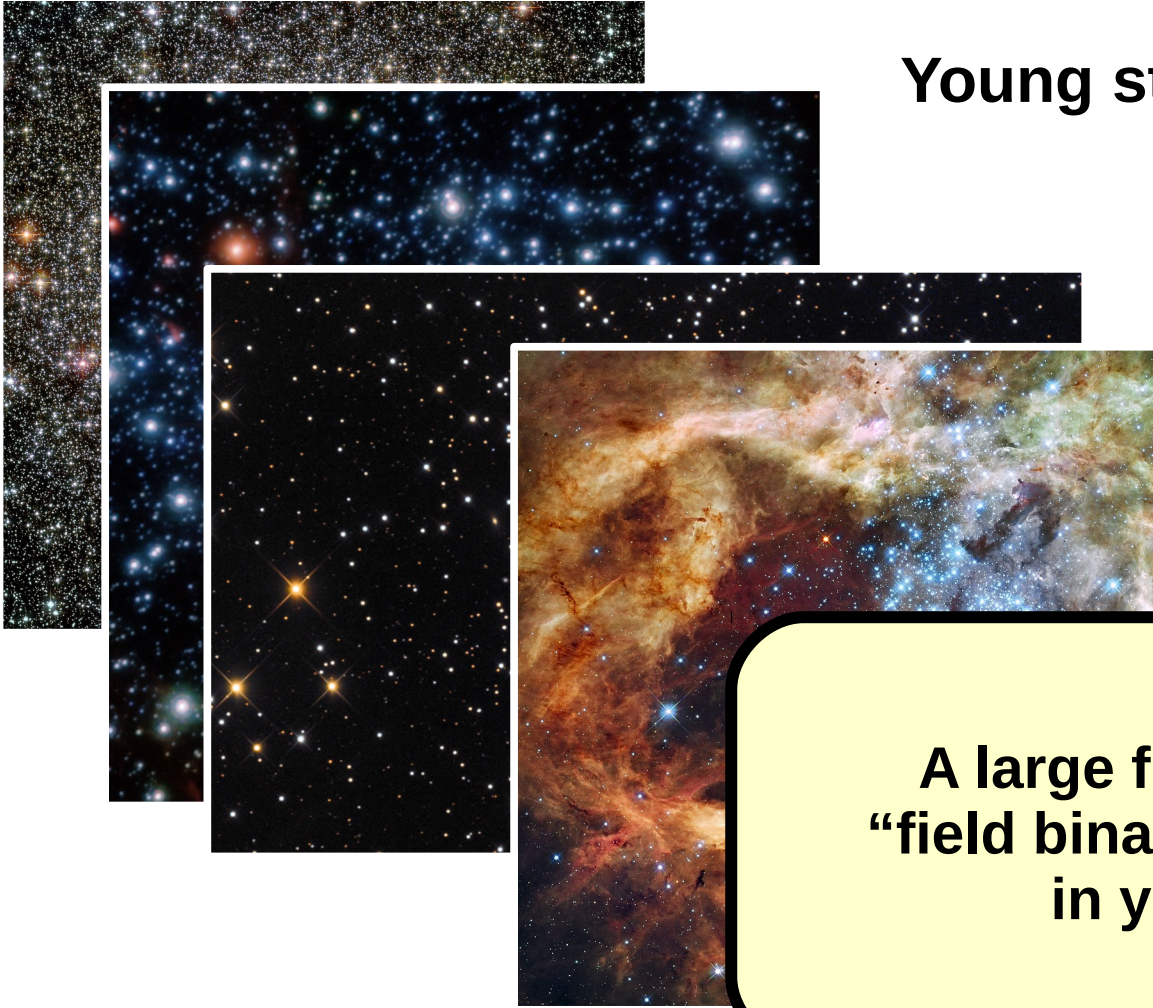


- ✓ Young (<100 Myr)
- ✓ Not so long lived:
when they die they
release stellar content
in the field
→ building blocks of field
- ✓ Spread of masses
($>10^2 - 5 M_{\odot}$)
- ✓ Are the NURSERY of
massive stars

3. The dynamics of BH binaries:

There are many different flavours of star clusters

Young star clusters



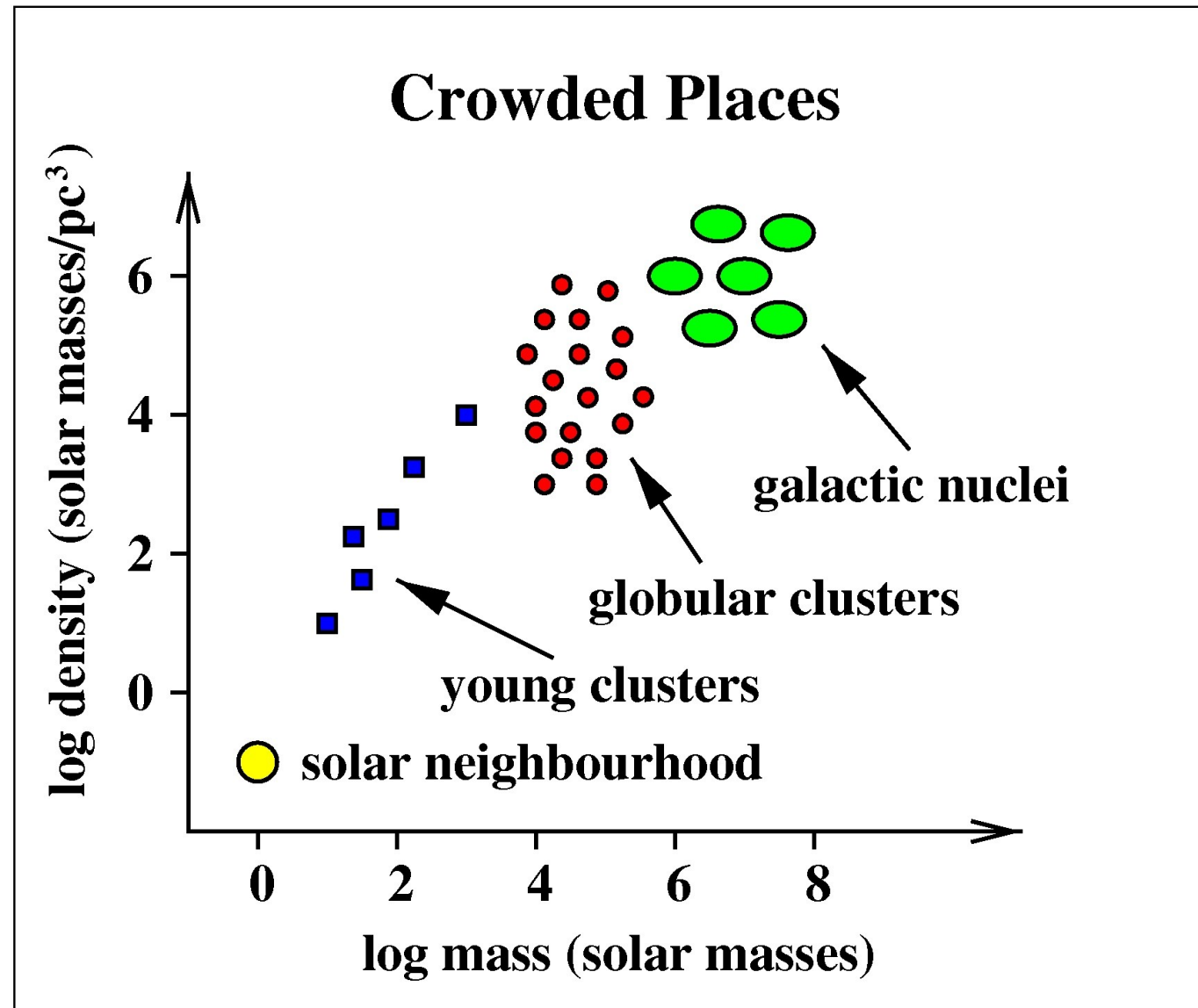
A large fraction of what we call
“field binaries” might have formed
in young star clusters

3. The dynamics of BH binaries:

What processes happen in star clusters which cannot happen in the field?

Central density
 $> 100 \text{ stars pc}^{-3}$

Stars and binaries
undergo close
encounters
between each other



3. The dynamics of stellar BH binaries: 3-body encounters

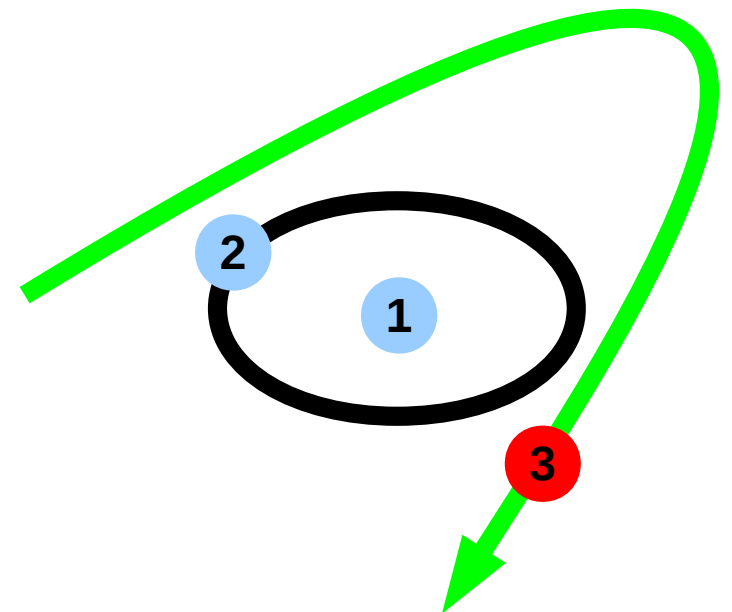
Binaries have a energy reservoir (internal energy)

$$E_{int} = \frac{1}{2} \mu v^2 - \frac{G m_1 m_2}{r}$$

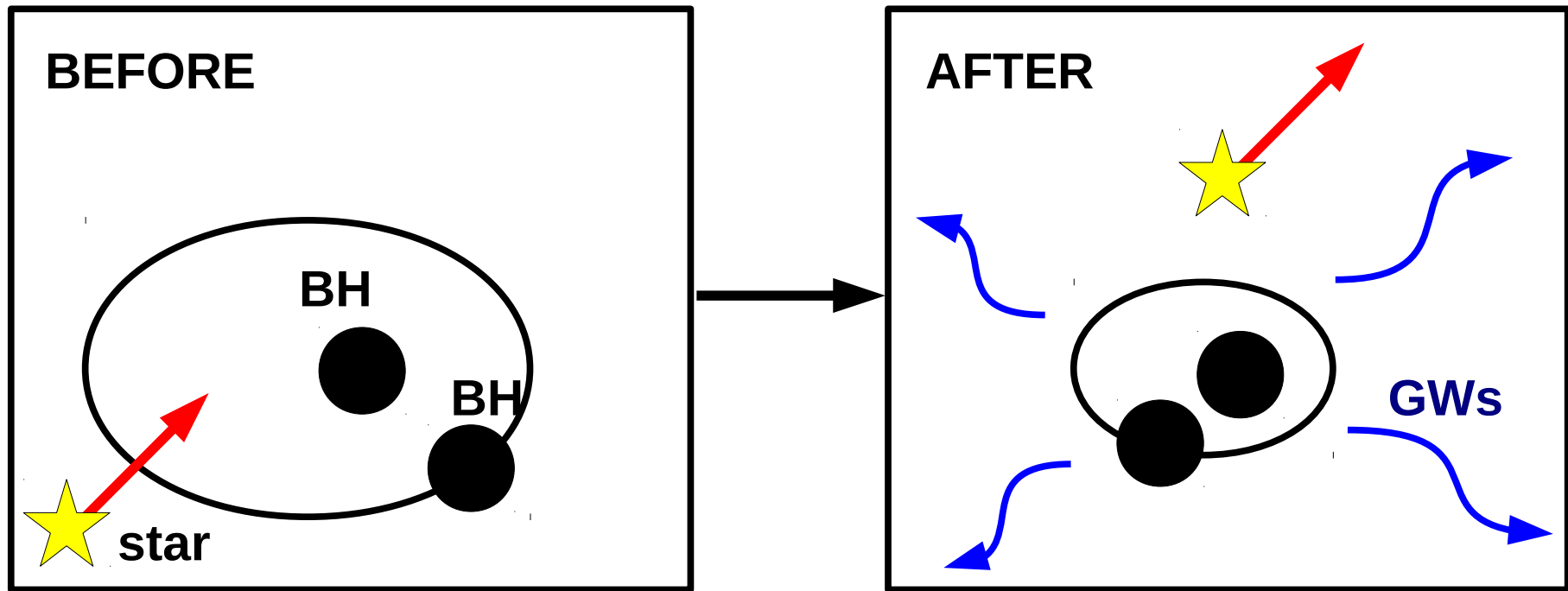
where m_1 and m_2 are the mass of the primary and secondary member of the binary, μ is the reduced mass ($:= m_1 m_2 / (m_1 + m_2)$), r and v are the relative separation and velocity.

$$E_{int} = -\frac{G m_1 m_2}{2 a} = -E_b$$

THE ENERGY RESERVOIR of BINARIES
can be EXCHANGED with stars
during a 3-BODY INTERACTION,
i.e. an interaction between
a binary and a single star



3. The dynamics of stellar BH binaries: FLYBYs

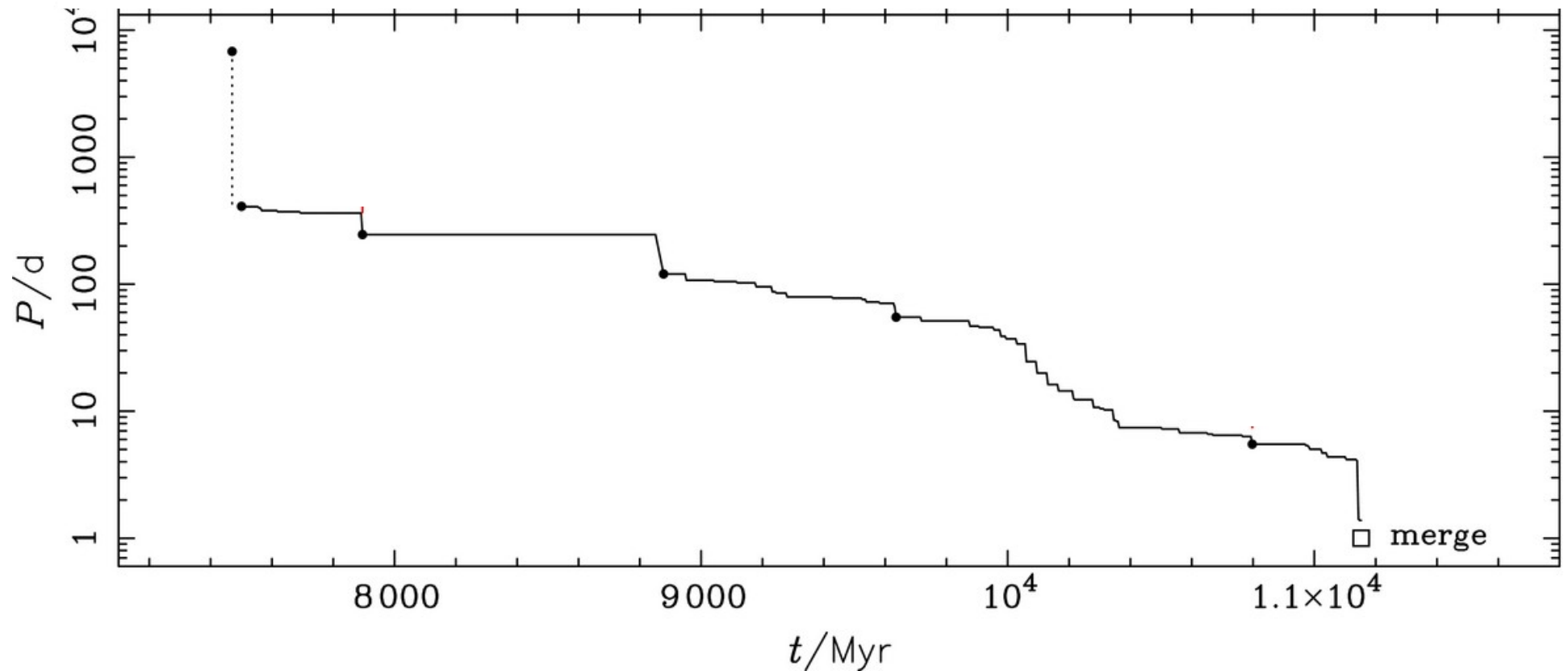


In a flyby, the star acquires kinetic energy from the binary

→ the binary shrinks

→ shorter coalescence time

3. The dynamics of stellar BH binaries: FLYBYs



Hurley+ 2016, PASA, 33, 36

Hills 1992, AJ, 103, 1955; Sigurdsson & Hernquist 1993, Nature, 364, 423;
Portegies Zwart & McMillan 2000, ApJ, 528, L17; Aarseth 2012, MNRAS, 422, 841;
Breen & Heggie 2013, MNRAS, 432, 2779; MM+ 2013, MNRAS, 429, 2298;
Ziosi+ 2014, MNRAS, 441, 3703; Rodriguez+ 2015, PhRvL, 115, 1101;
Rodriguez+ 2016, PhRvD, 93, 4029; MM 2016, MNRAS, 459, 3432;
Banerjee 2017, MNRAS, 467, 524 and many others

3. The dynamics of stellar BH binaries: FLYBYs

HARDENING TIMESCALE

$$t_h = \left| \frac{a}{\dot{a}} \right| = \frac{1}{2 \pi G \xi} \frac{\sigma}{\rho} \frac{1}{a}$$

GRAVITATIONAL WAVE (GW) TIMESCALE (Peters 1964)

$$t_{GW} = \frac{5}{256} \frac{c^5 a^4 (1 - e^2)^{7/2}}{G^3 m_1 m_2 (m_1 + m_2)}$$

Combining 1) and 2) we can find the maximum semi-major axis for GWs to dominate evolution

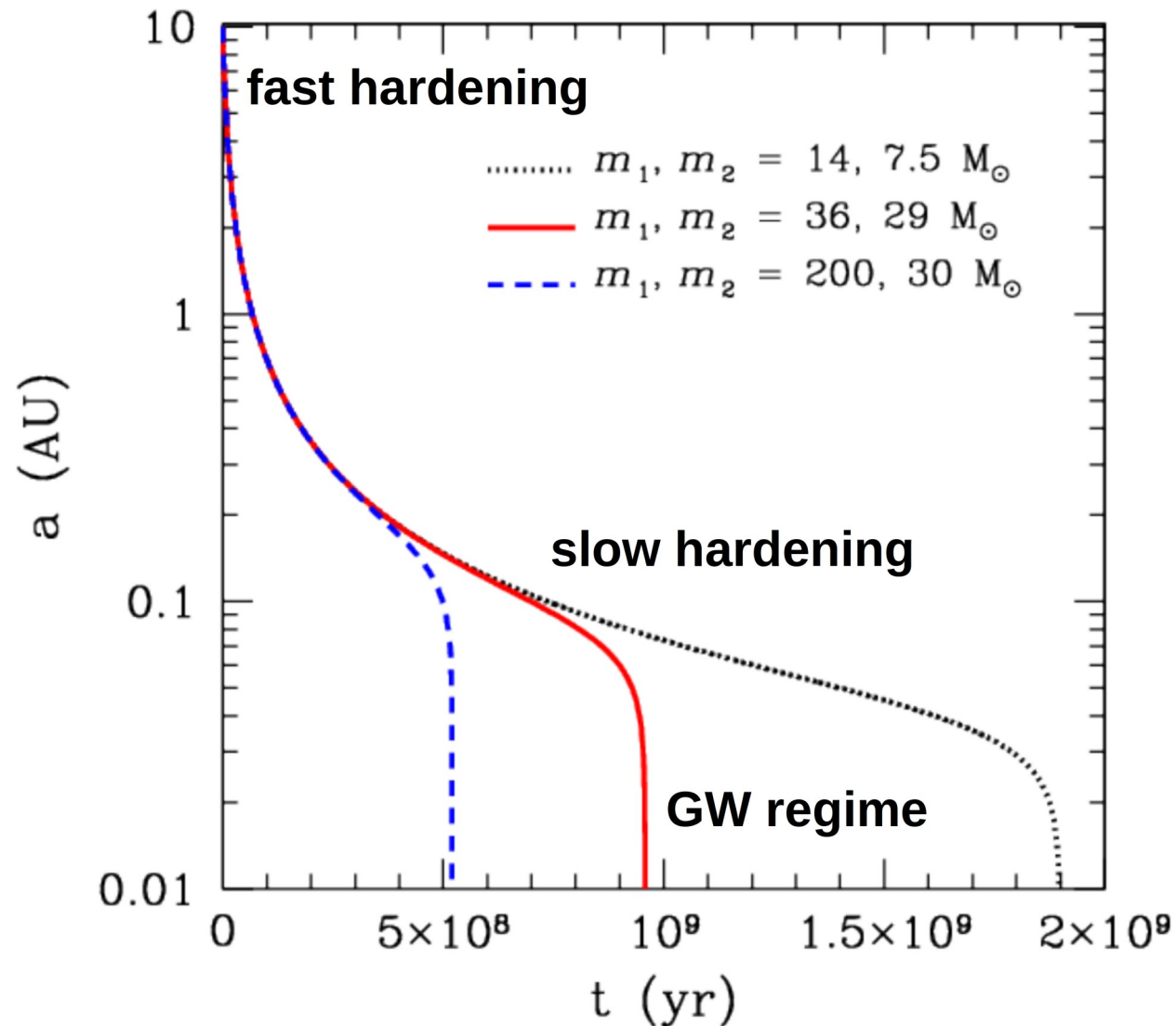
$$a_{GW} = \left[\frac{256}{5} \frac{G^2 m_1 m_2 (m_1 + m_2) \sigma}{2 \pi \xi (1 - e^2)^{7/2} c^5 \rho} \right]^{1/5}$$

3. The dynamics of stellar BH binaries: FLYBYs

$$\frac{da}{dt} = \underbrace{-2 \pi \xi \frac{G \rho}{\sigma} a^2}_{\text{Binary shrinking by hardening}} - \underbrace{\frac{64}{5} \frac{G^3 m_1 m_2 (m_1 + m_2)}{c^5 (1 - e^2)^{7/2}} a^{-3}}_{\text{Binary shrinking by GWs (Peters 1964)}}$$

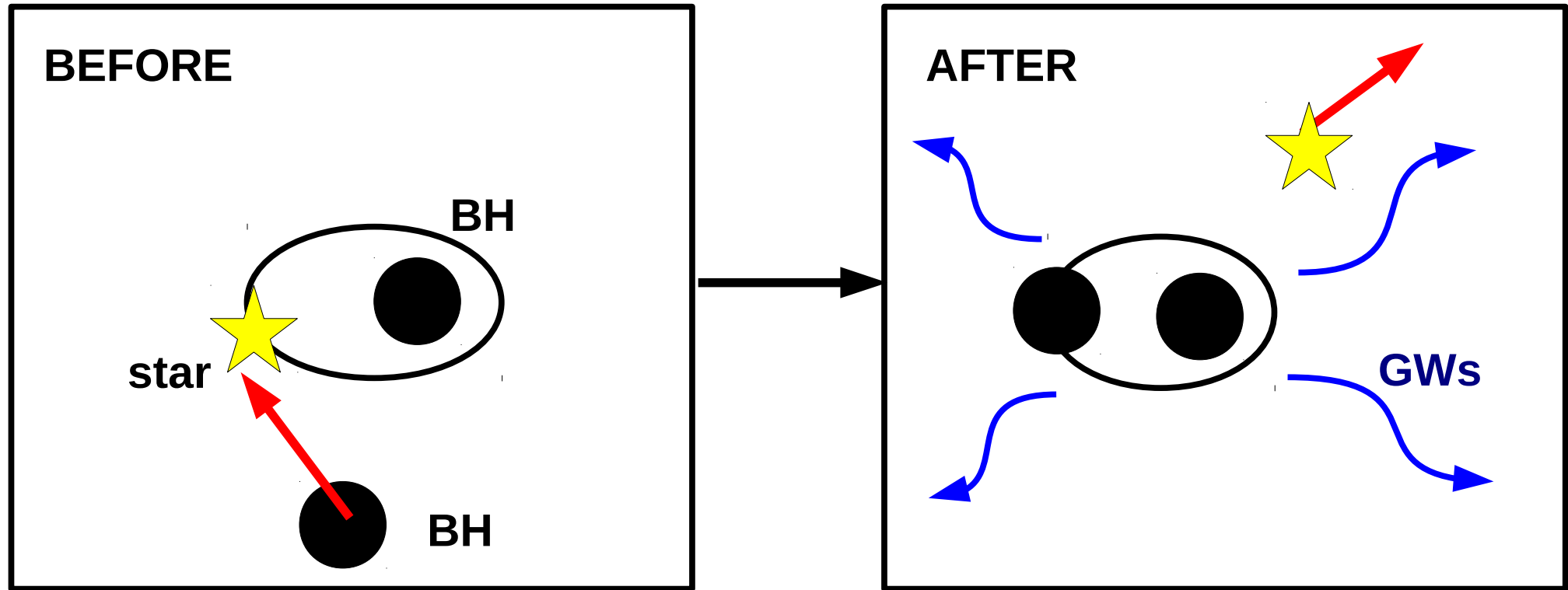
Binary shrinking
by hardening

Binary shrinking by GWs (Peters 1964)



See MM 2018,
<https://arxiv.org/abs/1809.09130>

3. The dynamics of stellar BH binaries: EXCHANGES



Exchanges bring BHs in binaries

BHs are FAVOURED BY EXCHANGES BECAUSE THEY ARE MASSIVE!

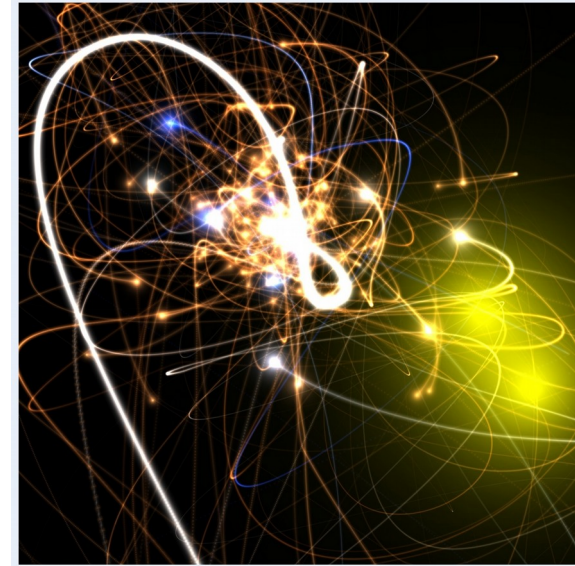
BH born from single star in the field never acquires a companion

BH born from single star in a cluster likely acquires companion from dynamics

NEUTRON STARS (NSs) are lighter → Dynamics is less important for NSs

3. The dynamics of stellar BH binaries: EXCHANGES

Credits: Aaron Geller (@Northwestern):



Movie 2 : binary – single interaction

ciera.northwestern.edu/Research/visualizations/videos/Binary+single.mp4

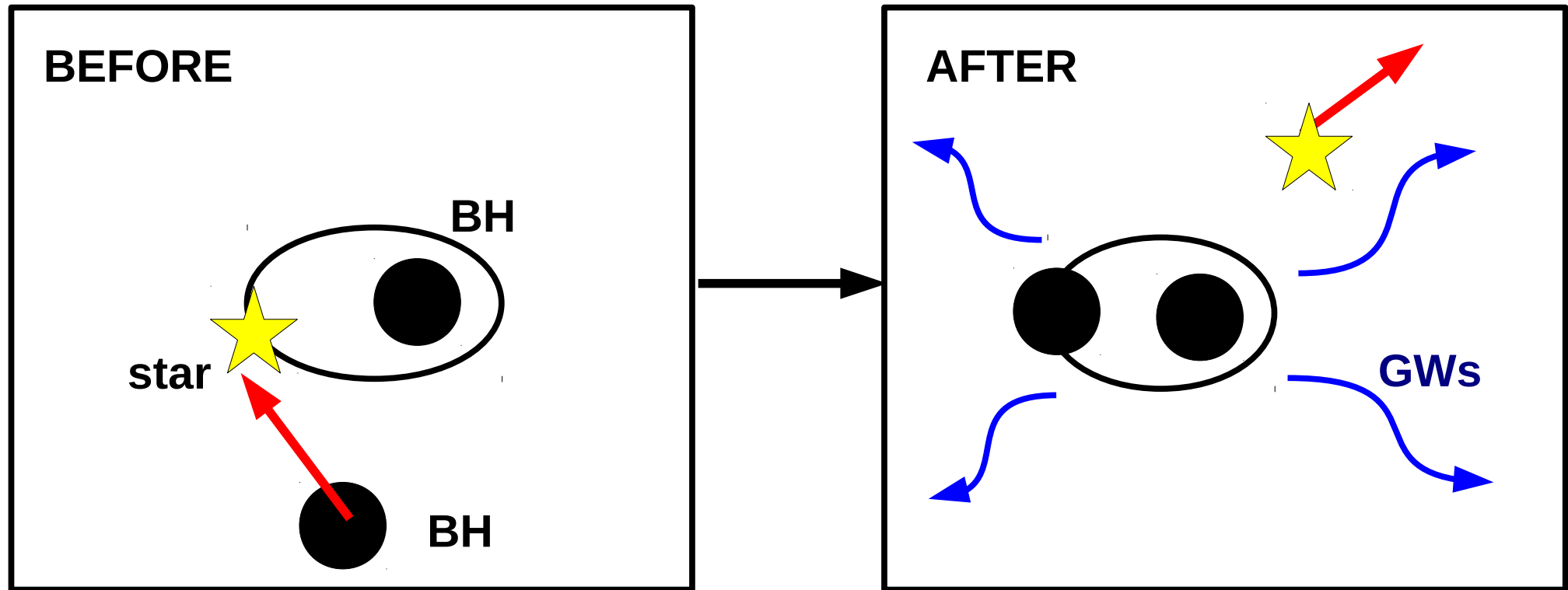
Movie 3 : dynamical exchange

ciera.northwestern.edu/Research/visualizations/videos/Binary+singleex.mp4

Movie 4: 5-body interaction (leads to a COLLISION!)

ciera.northwestern.edu/Research/visualizations/videos/Triple+binary.mp4

3. The dynamics of stellar BH binaries: EXCHANGES



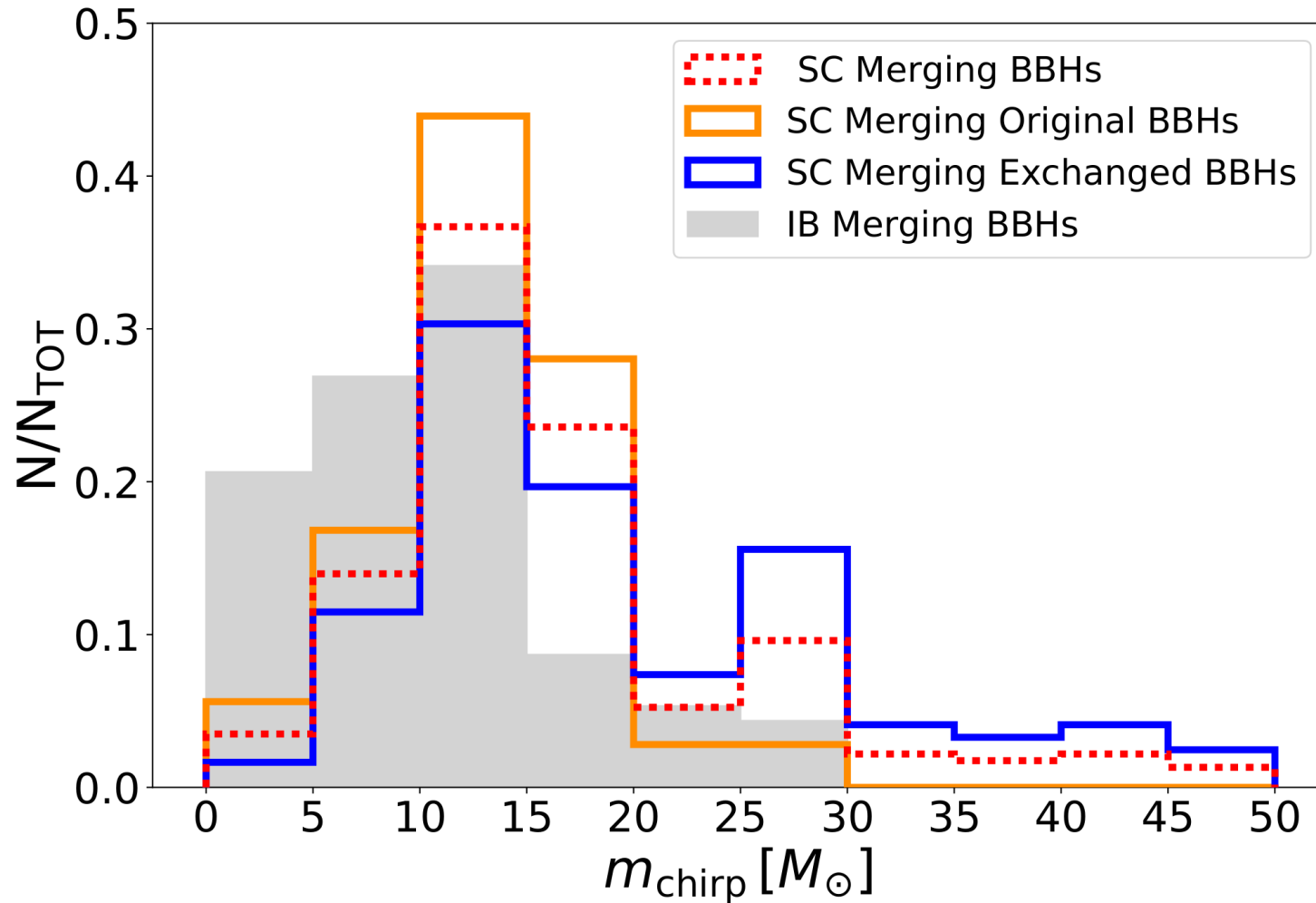
***>90% BH-BH binaries in young star clusters form by exchange
(Ziosi, MM+ 2014, MNRAS, 441, 3703)***

EXCHANGES FAVOUR THE FORMATION of BH-BH BINARIES WITH

- * THE MOST MASSIVE BHs***
- * HIGH ECCENTRICITY***
- * MISALIGNED BH SPINS***

3. The dynamics of stellar BH binaries: MASSEs

MOBSE + direct N-body code (Nbody6++GPU)



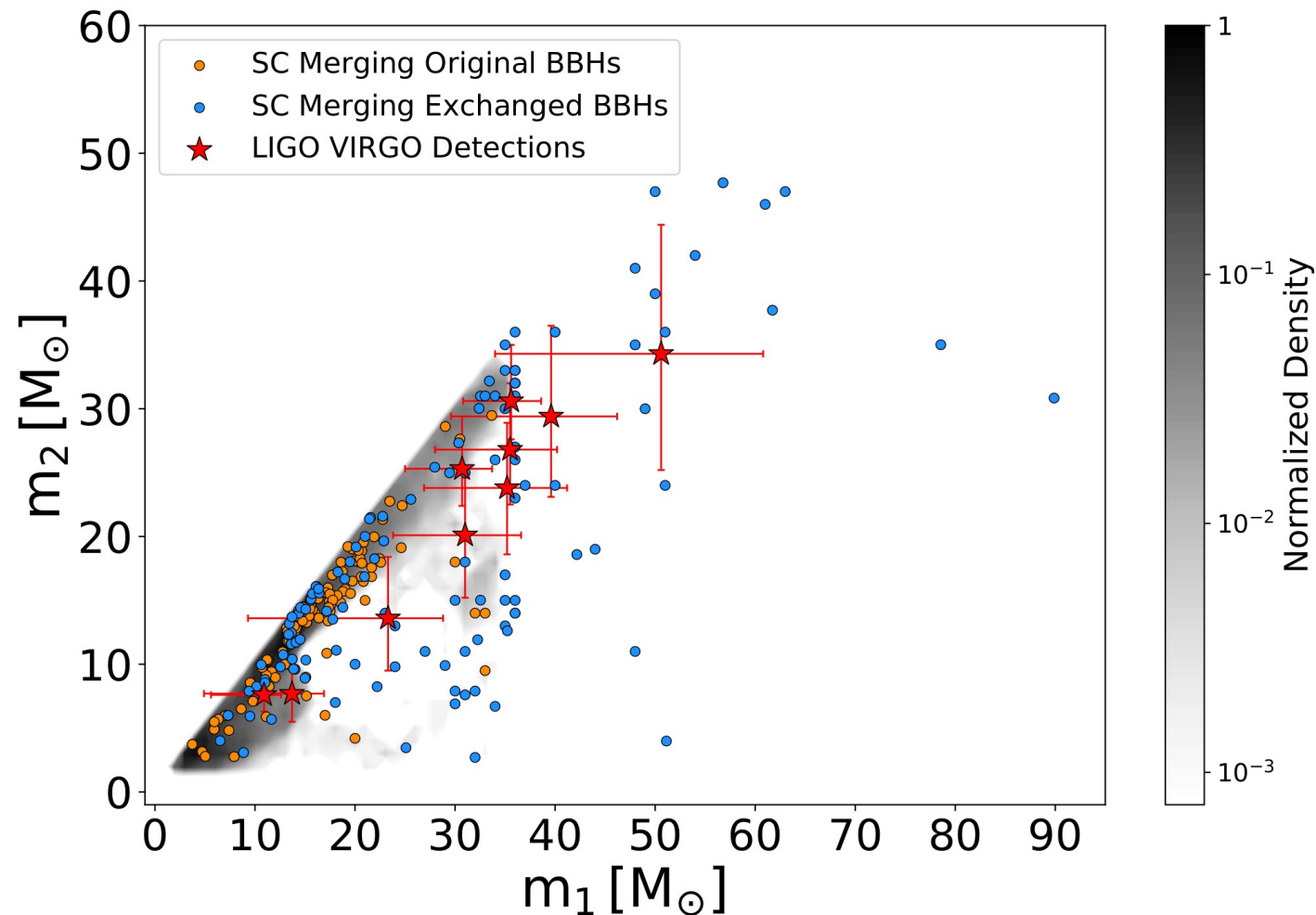
Di Carlo et al. 2019, arXiv:1901.00863

see also Banerjee+ 2010; Ziosi+ 2014; MM 2016;

Kimpson+ 2016; Banerjee 2017, 2018; Rastello+ 2018; Kumamoto+ 2018

3. The dynamics of stellar BH binaries: MASSEs

MOBSE + direct N-body code (Nbody6++GPU)

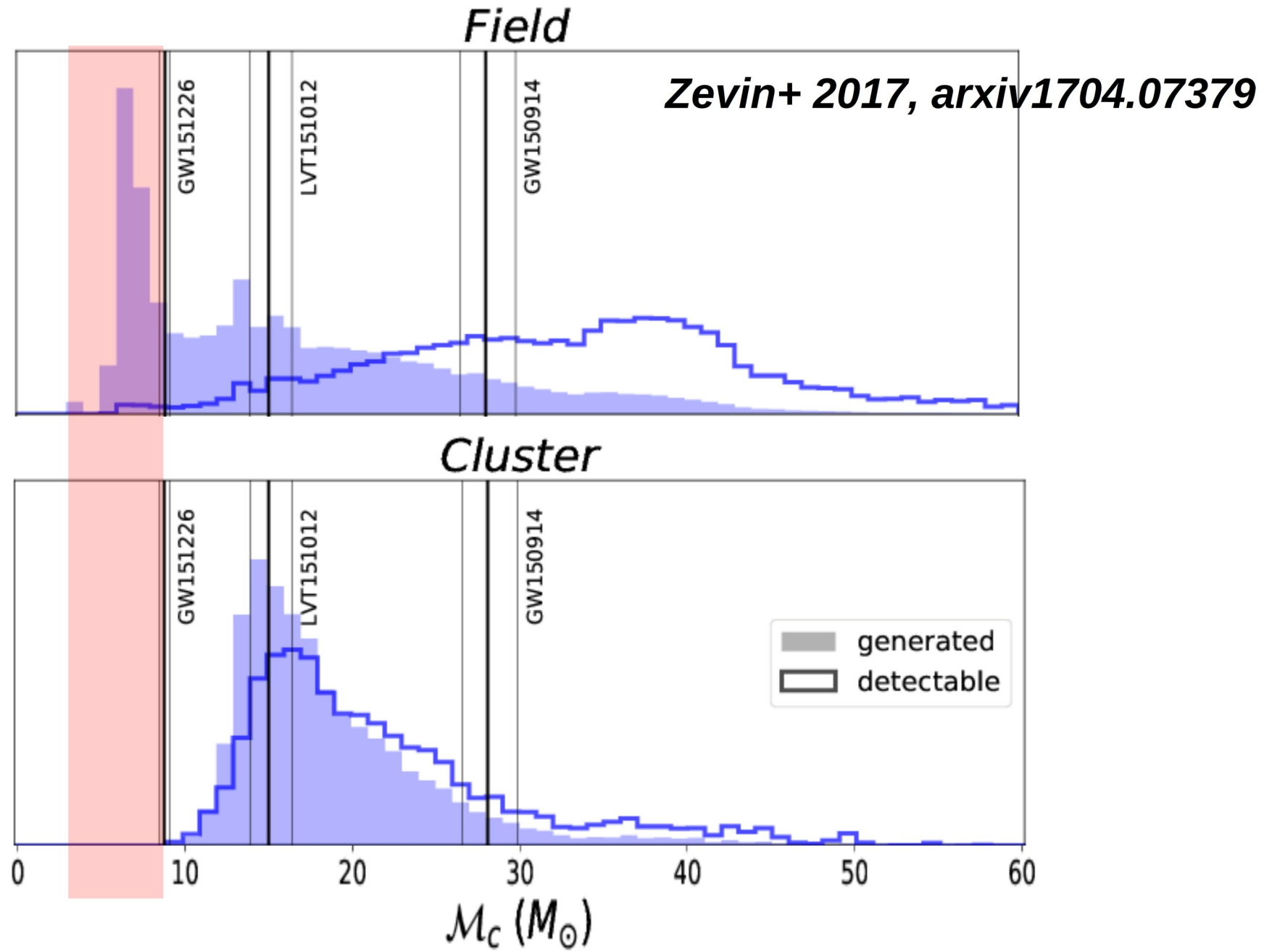


Di Carlo et al. 2019, arXiv:1901.00863

see also Banerjee+ 2010; Ziosi+ 2014; MM 2016;

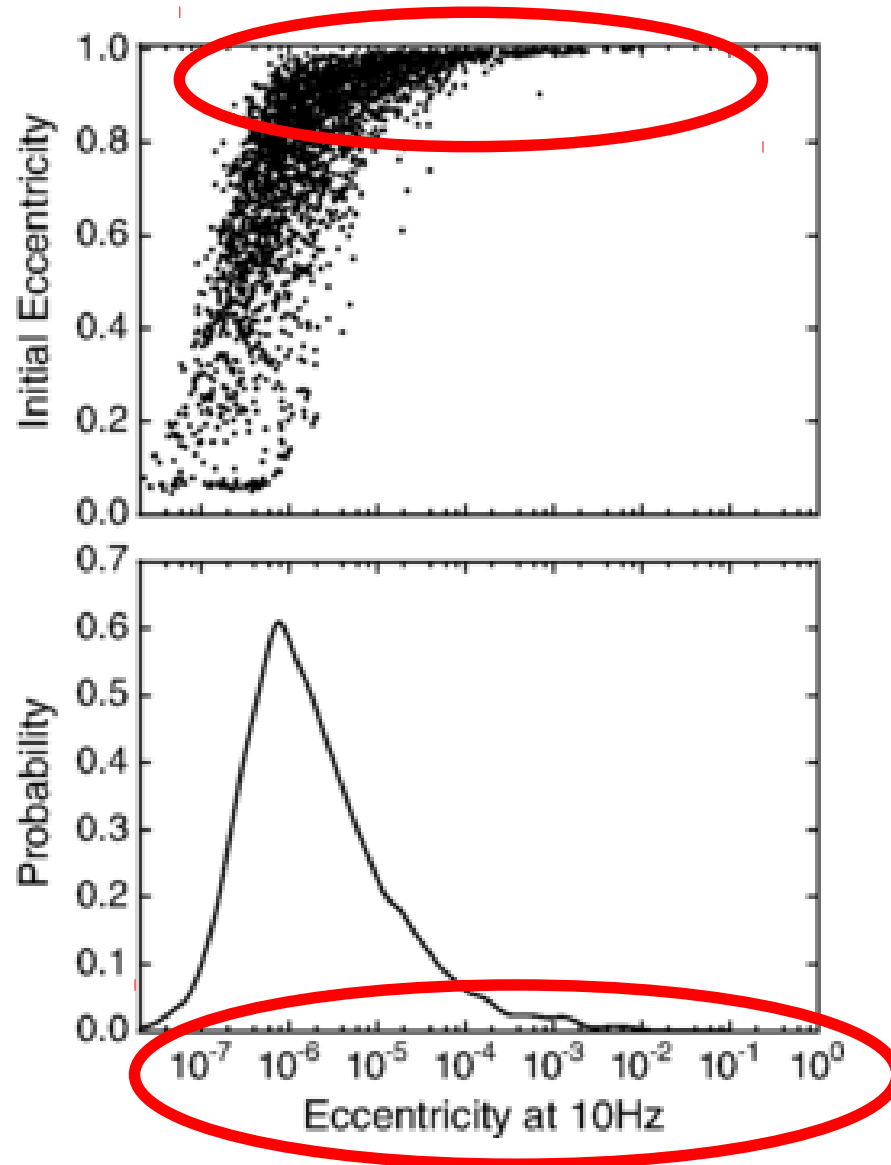
Kimpson+ 2016; Banerjee 2017, 2018; Rastello+ 2018; Kumamoto+ 2018

3. The dynamics of stellar BH binaries: MASSEs



Ziosi, MM+ 2014, MNRAS, 441, 3703; Rodriguez+ 2015, Phys. Review Letter, 115, 1101; Hurley+ 2016, PASA, 33, 36; Askar+ 2017, MNRAS, 464, L36; Banerjee 2017, MNRAS, 467, 524 and many others

3. The dynamics of stellar BH binaries: ECCENTRICITY



Rodriguez+ 2016, PhRvD, 93, 4029

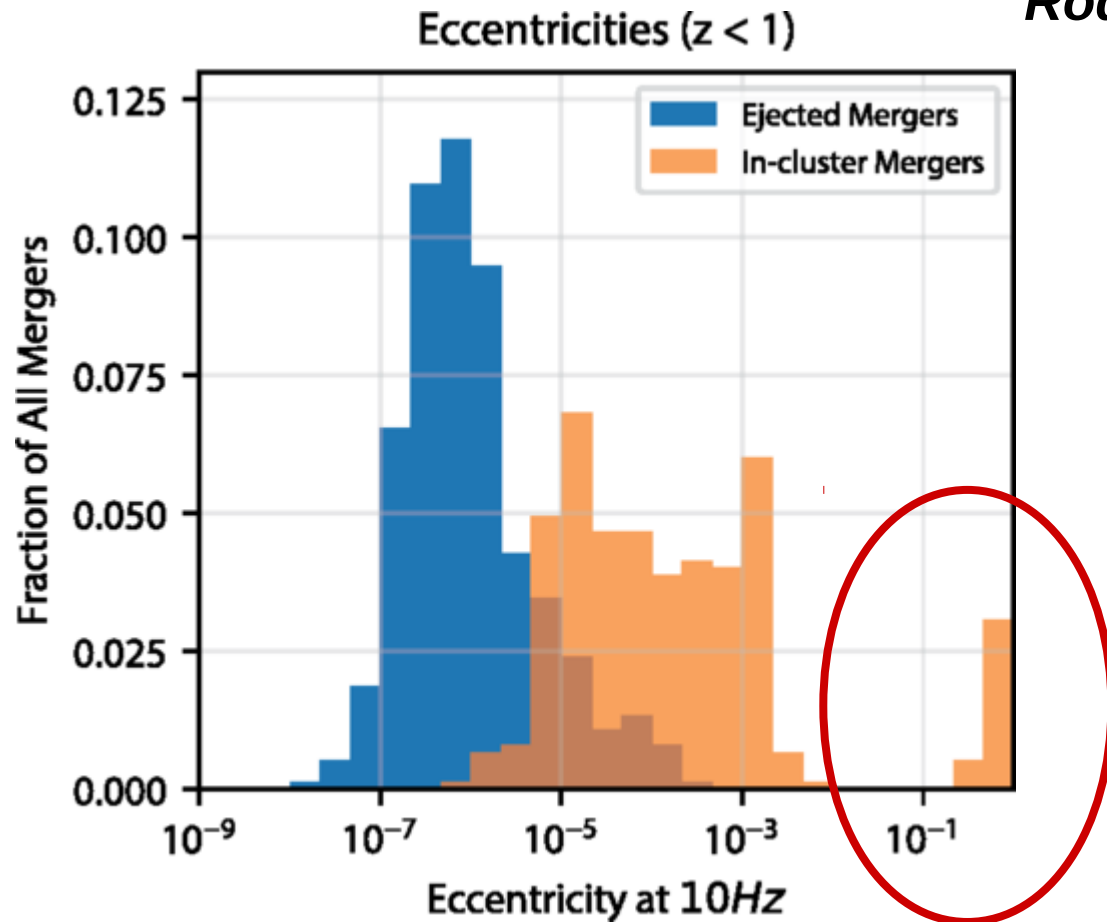
Initial eccentricity of ejected BBHs is very high

Even eccentricity in LIGO-Virgo band is non zero for a number of systems

Ziosi, MM+ 2014, MNRAS, 441, 3703; Rodriguez+ 2015, Phys. Review Letter, 115, 1101; Hurley+ 2016, PASA, 33, 36; Askar+ 2017, MNRAS, 464, L36; Banerjee 2017, MNRAS, 467, 524 and many others

3. The dynamics of stellar BH binaries: ECCENTRICITY

Rodriguez+ 2018, PhRvD, 120, 1101



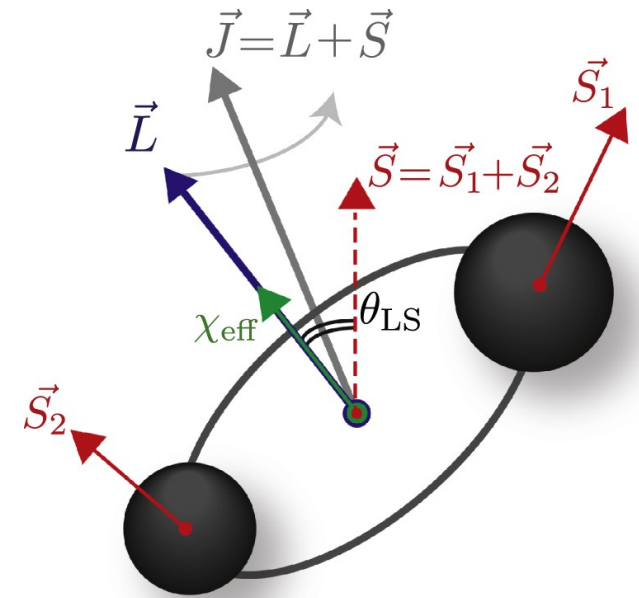
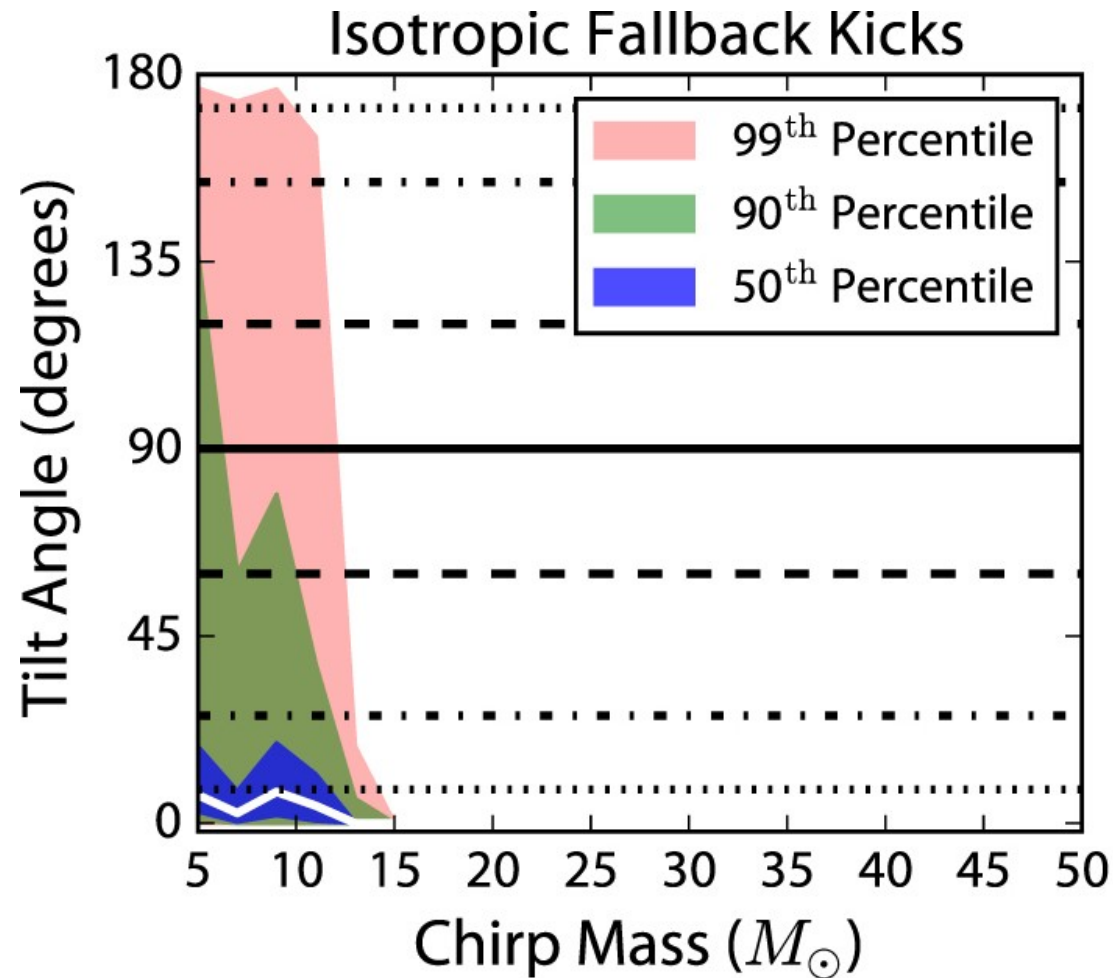
Initial eccentricity of ejected BBHs is very high

Even eccentricity in LIGO-Virgo band is non zero for a number of systems

Eccentricity of non-ejected BBHs is even higher!

Ziosi, MM+ 2014, MNRAS, 441, 3703; Rodriguez+ 2015, Phys. Review Letter, 115, 1101; Hurley+ 2016, PASA, 33, 36; Askar+ 2017, MNRAS, 464, L36; Banerjee 2017, MNRAS, 467, 524 and many others

3. The dynamics of stellar BH binaries: SPINs



Colours: isolated BBHs
Dark horizontal lines: dynamically formed BBHs

Rodriguez+ 2016, ApJ, 832, L2

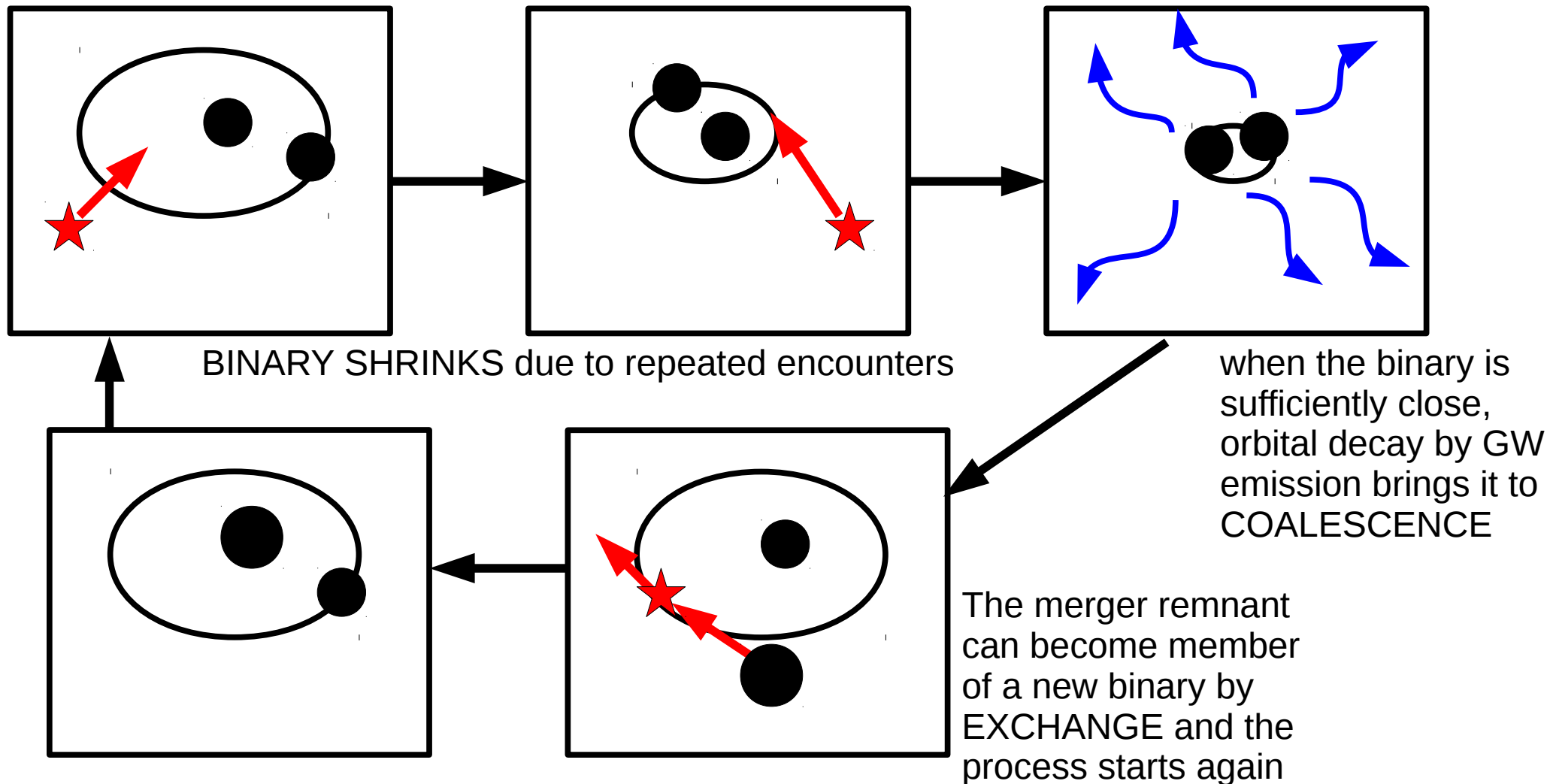
Spins of BBHs formed by exchange are ISOTROPICALLY distributed

Spins of BBHs formed from isolated binaries can be misaligned by SN kicks, but most remain aligned (especially massive binaries)

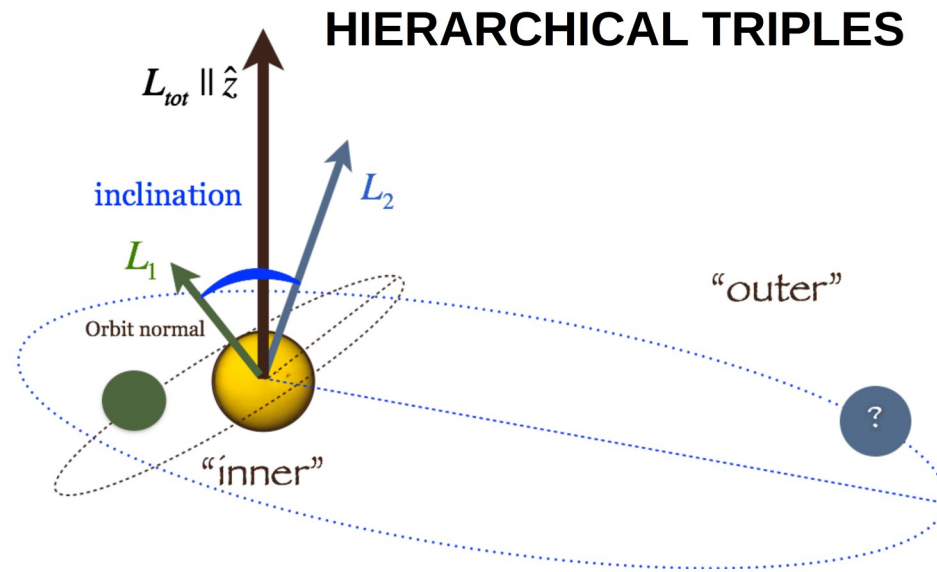
3. The dynamics of stellar BH binaries: repeated mergers

Formalism by Miller & Hamilton (2002)

In a old cluster stellar BHs can grow in mass because of repeated mergers with the companion triggered by 3-body encounters



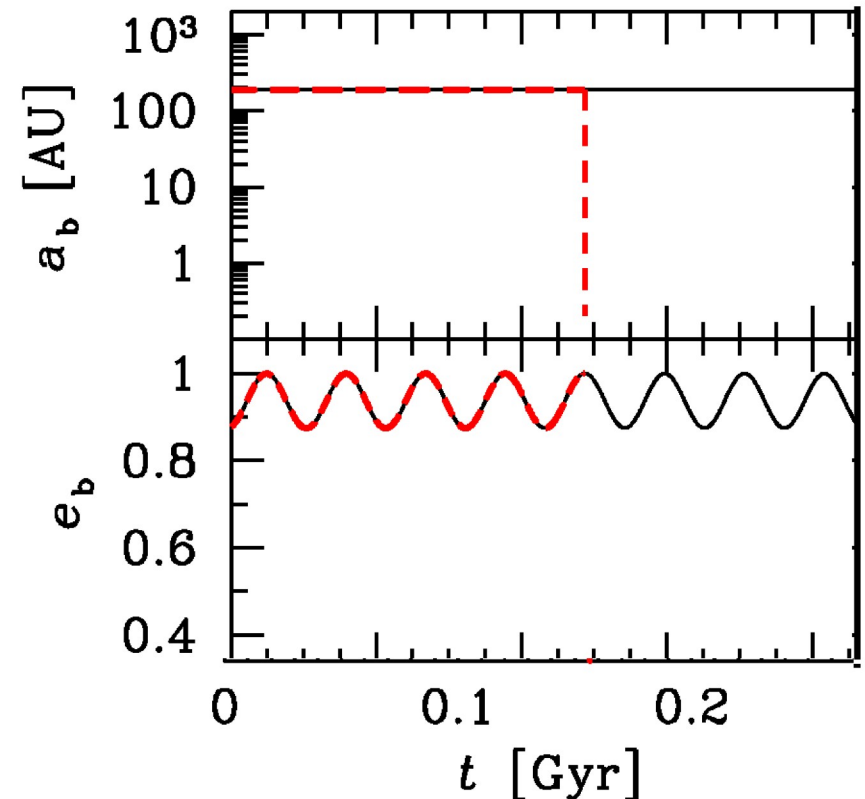
3. The dynamics of stellar BH binaries: Kozai resonance



Kozai 1962, AJ, 67, 591
Lidov 1962, P&SS, 9, 719
Figure credits: Smadar Naoz

**ECCENTRICITY of the
inner binary OSCILLATES
TRIGGERING MERGERS
between binary members**

**ONLY DYNAMICAL
PROCESS COMMON
ALSO IN THE FIELD**



No general relativity
**With relativistic correction
(2.5 Post-Newtonian)**

Kimpson+ 2016, MNRAS, 463, 2443

3. The dynamics of stellar BH binaries: Kozai resonance

~ 25% massive stars are in TRIPLES (Sana+ 2014)

KL FAVOURS BBH MERGERS

Antognini+ 2014, MNRAS, 439, 1079;

Antonini+ 2016, ApJ, 816, 65;

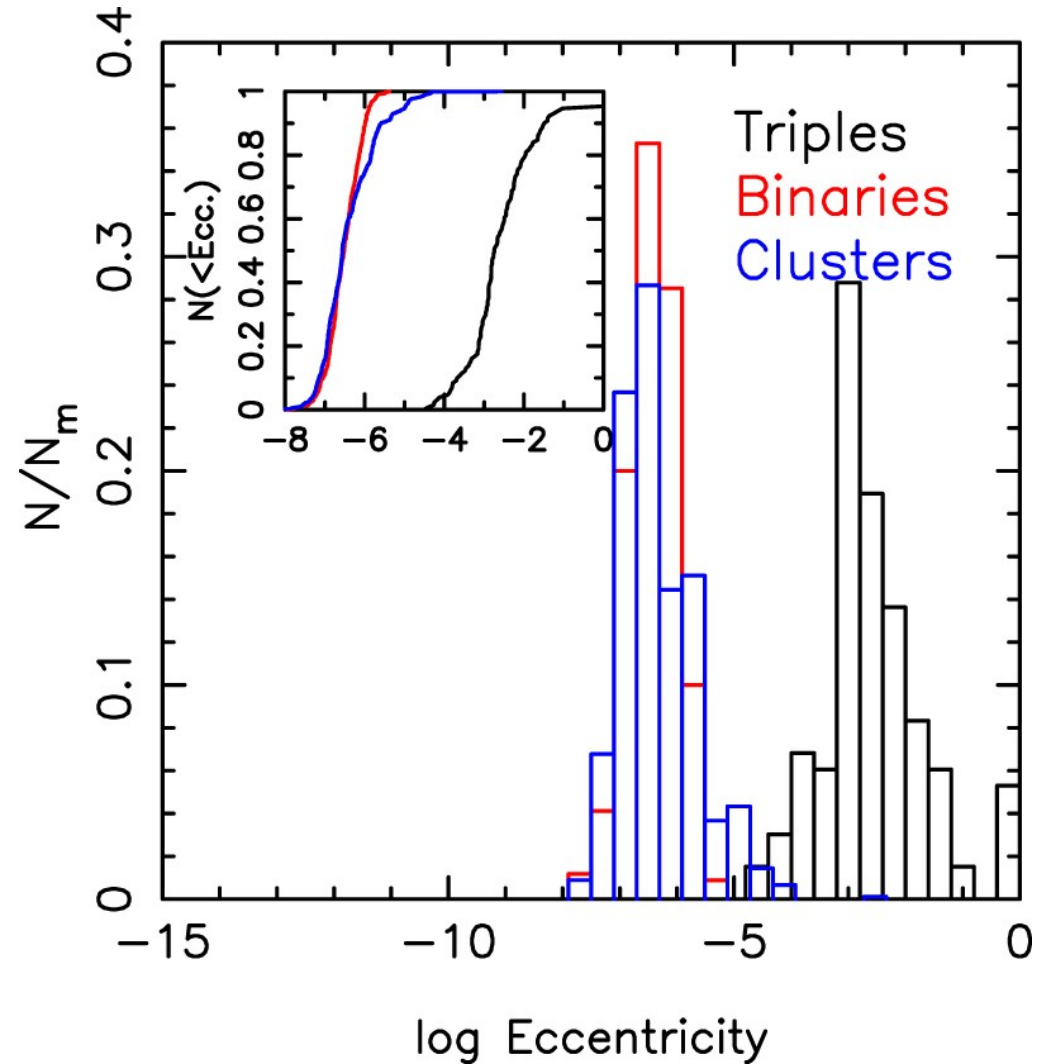
Antognini+ 2016, MNRAS, 456, 4219;

Kimpson+ 2016, MNRAS, 463, 2443;

Antonini+ 2017, ApJ, 841, 77

Eccentricity in banda LIGO-Virgo
of KL systems is tremendously
higher (e.g. Antonini+ 2017)!

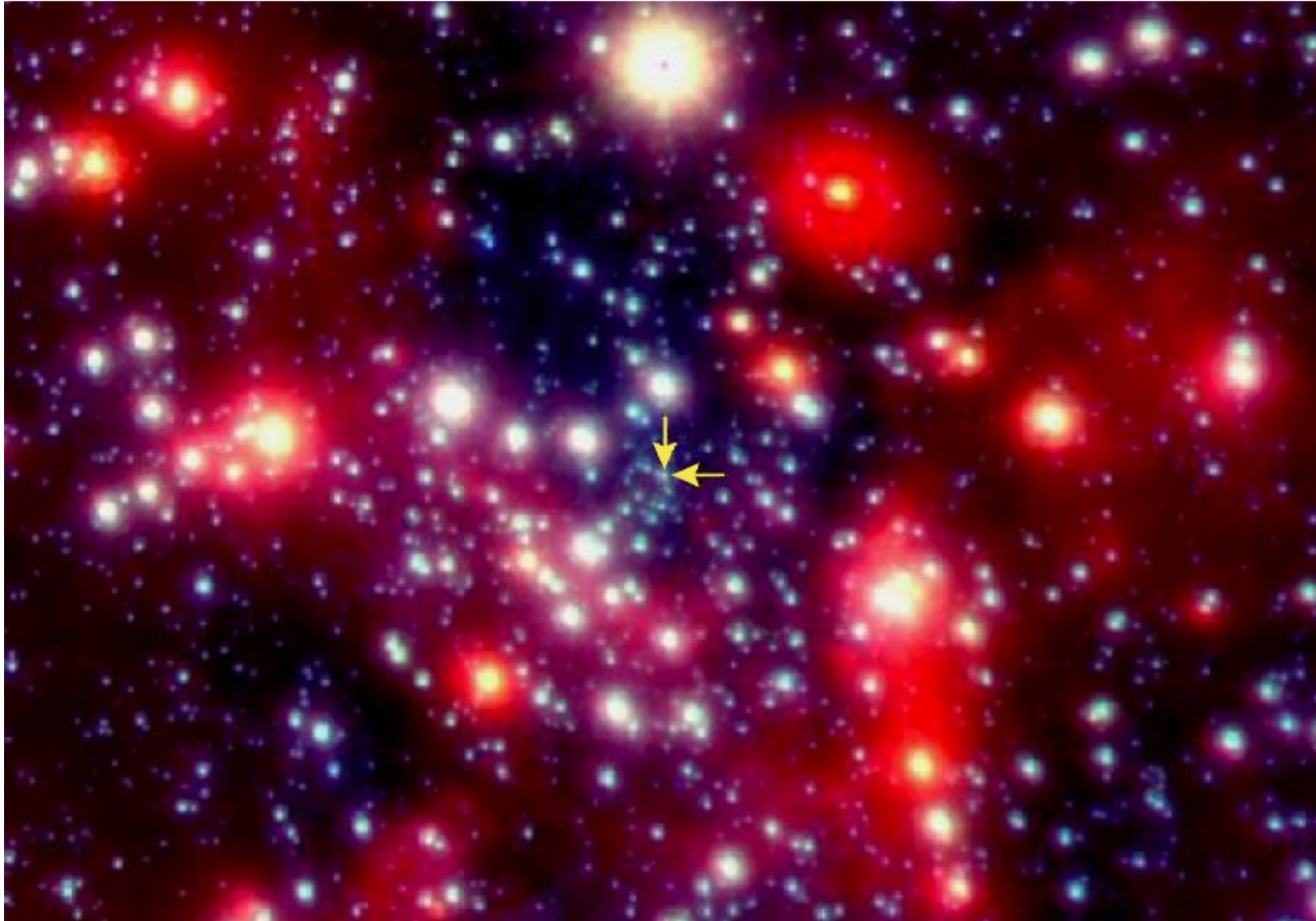
Merger rate from KL systems is low
($< 2.5 \text{ Gpc}^{-3} \text{ yr}^{-1}$)



Antonini+ 2017, ApJ, 841, 77

3. The dynamics of stellar BH binaries: Kozai resonance

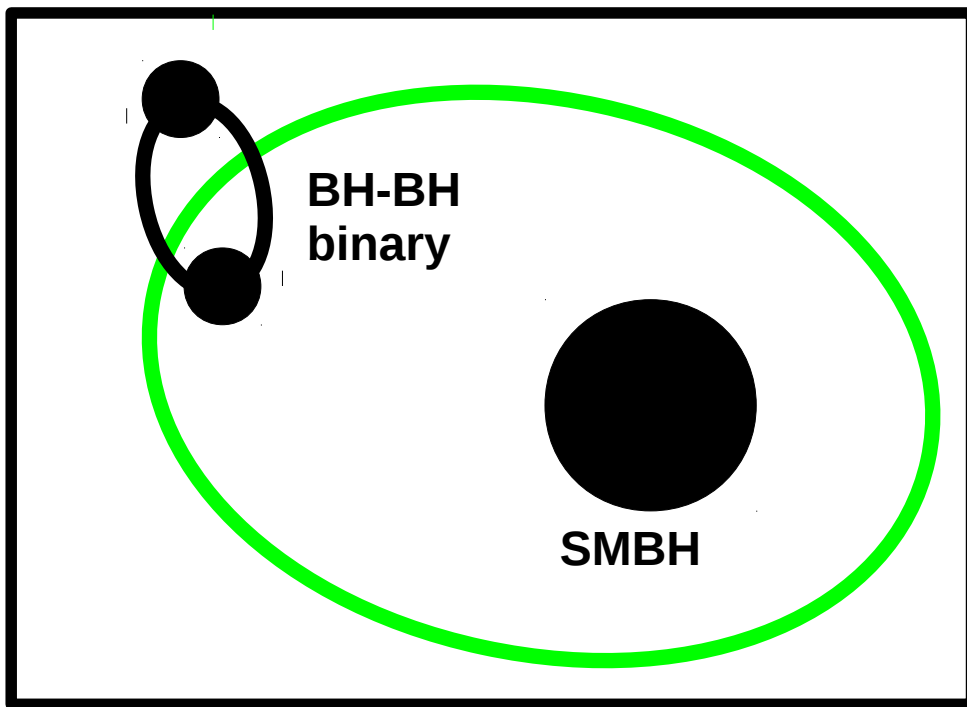
KOZAI-LIDOV particularly efficient in NUCLEAR STAR CLUSTERS:



3. The dynamics of stellar BH binaries: Kozai resonance

KOZAI-LIDOV particularly efficient in NUCLEAR STAR CLUSTERS:

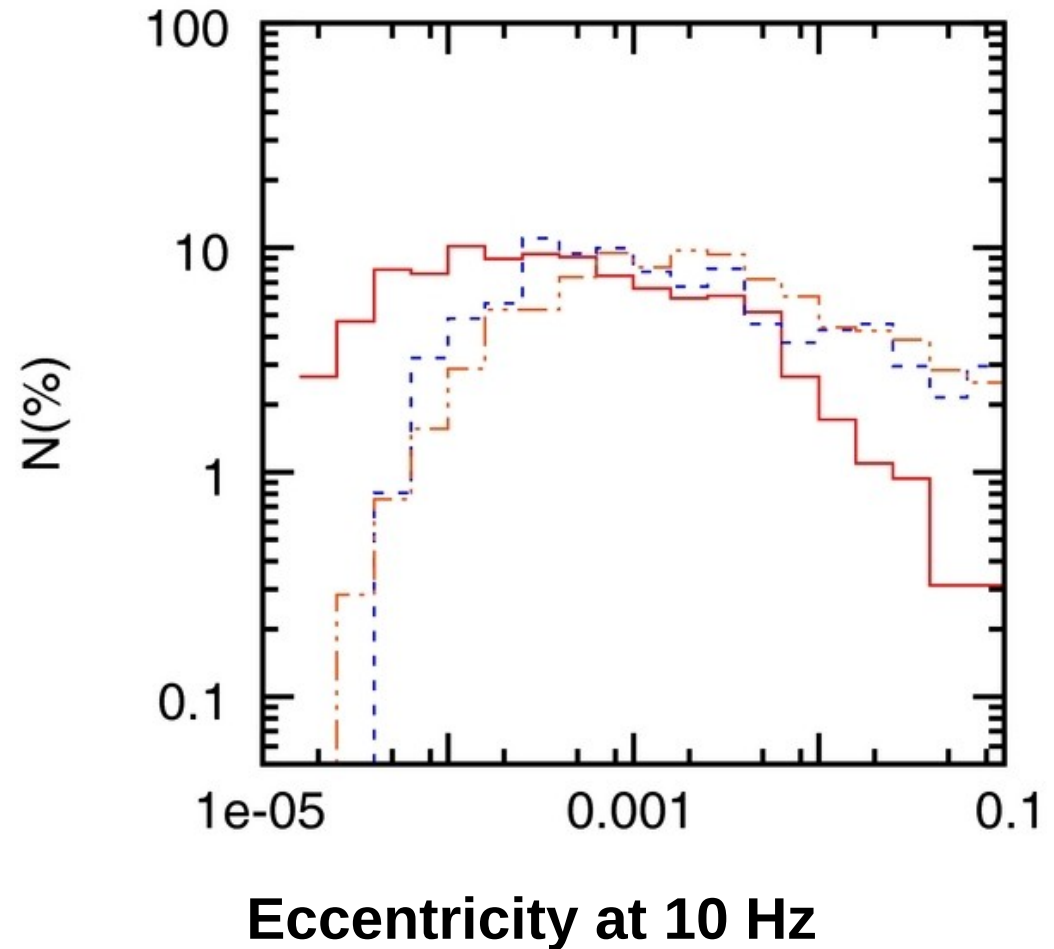
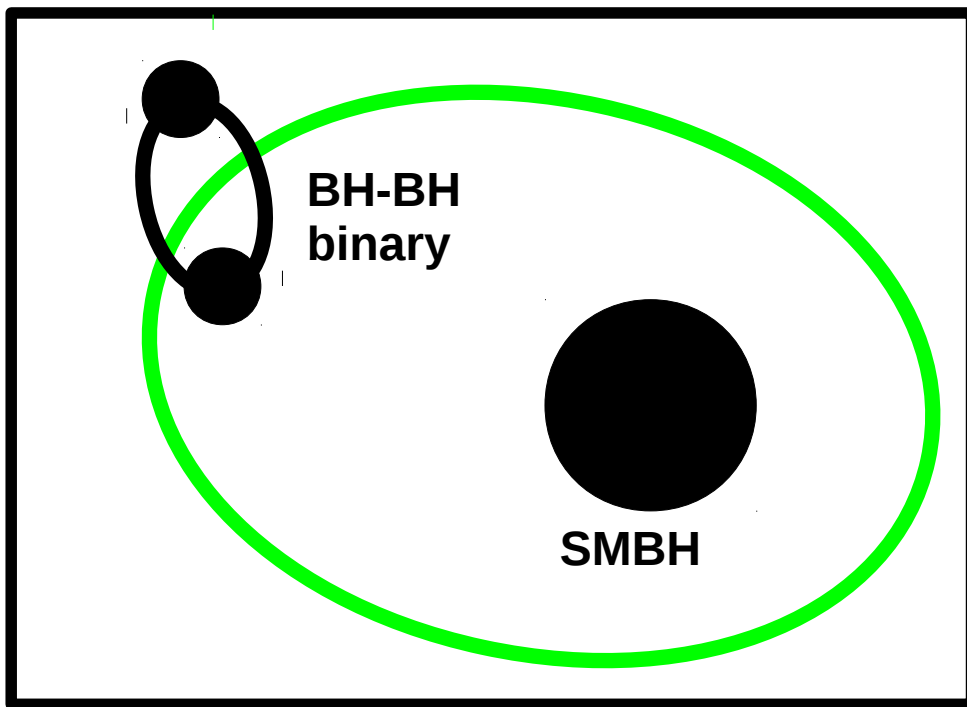
- * high escape velocity
(BHs are retained)
- * triple might be with SMBH



3. The dynamics of stellar BH binaries: Kozai resonance

KOZAI-LIDOV particularly efficient in NUCLEAR STAR CLUSTERS:

- * high escape velocity
(BHs are retained)
- * triple might be with SMBH



3. The dynamics of stellar BH binaries: merger rates

INFERRED BBH merger rate from LIGO $\sim 24 - 112 \text{ Gpc}^{-3} \text{ yr}^{-1}$

(Abbott+ 2018, arXiv:1811.12907, arXiv:1811.12940)

Merger rate for GLOBULAR CLUSTERS $\sim 4 - 20 \text{ Gpc}^{-3} \text{ yr}^{-1}$

*(Rodriguez+ 2016, PhRvD, 93, 4029; Askar+ 2017, MNRAS, 464, L36;
Rodriguez & Loeb 2018, ApJ, 866, L5)*

Globular clusters are tiny fraction of baryons in Universe ($\sim 1\%$)
but produce high rate

Possible issue: Monte Carlo codes used by different groups
adopt similar recipes

Merger rate for NUCLEAR CLUSTERS: $\sim 1 - 2 \text{ Gpc}^{-3} \text{ yr}^{-1}$

(Antonini & Rasio 2016, ApJ, 2016, 831, L187)

Issue: only preliminary results

Merger rate for YOUNG & OPEN CLUSTERS: $\sim 0.1 - 100 \text{ Gpc}^{-3} \text{ yr}^{-1}$

(Ziosi, MM+ 2014, MNRAS, 441, 3703; MM 2016, MNRAS, 459, 3432)

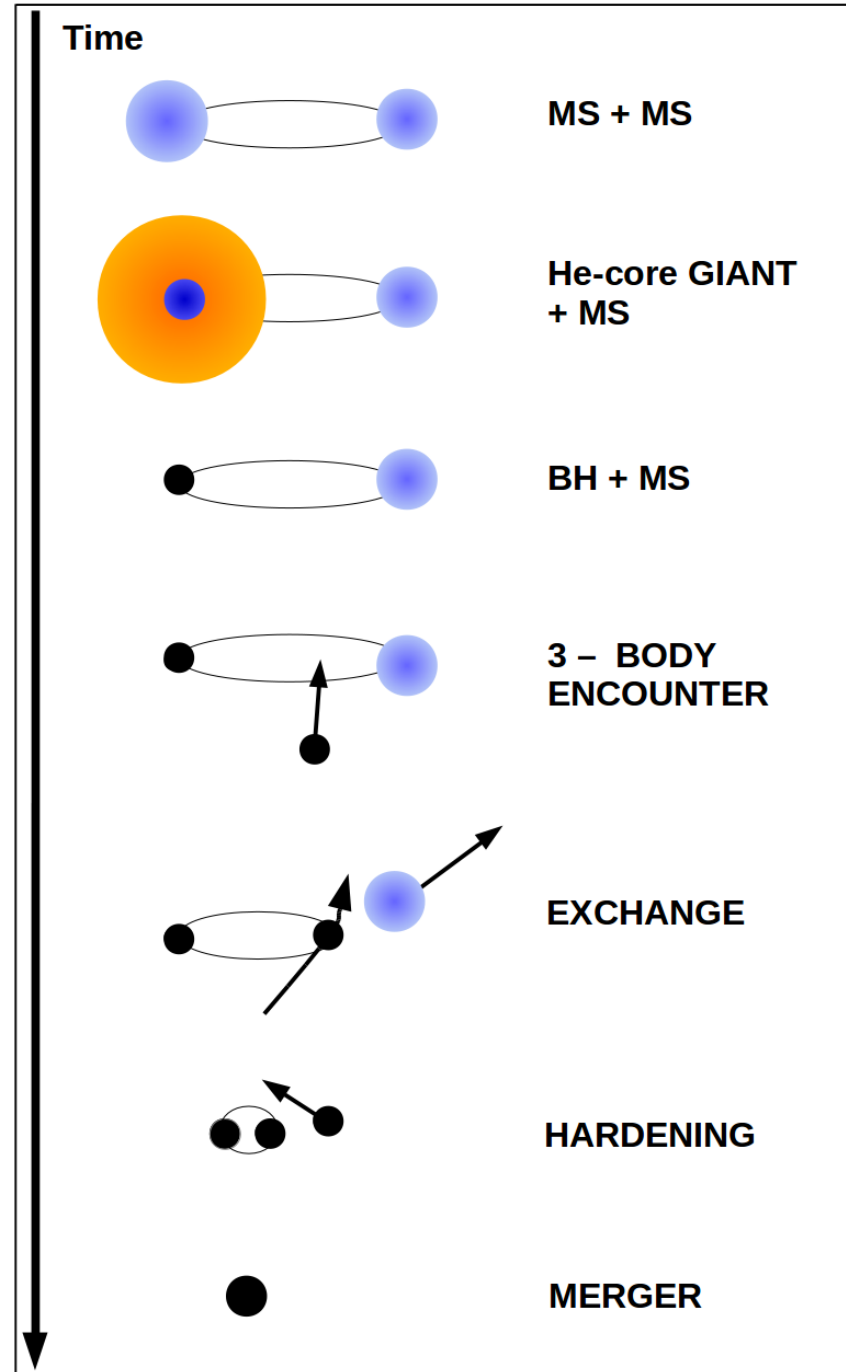
Issue: large uncertainty because difficult statistics
but see recent result by Di Carlo et al. 2019

3. The dynamics of stellar BH binaries: wrap up

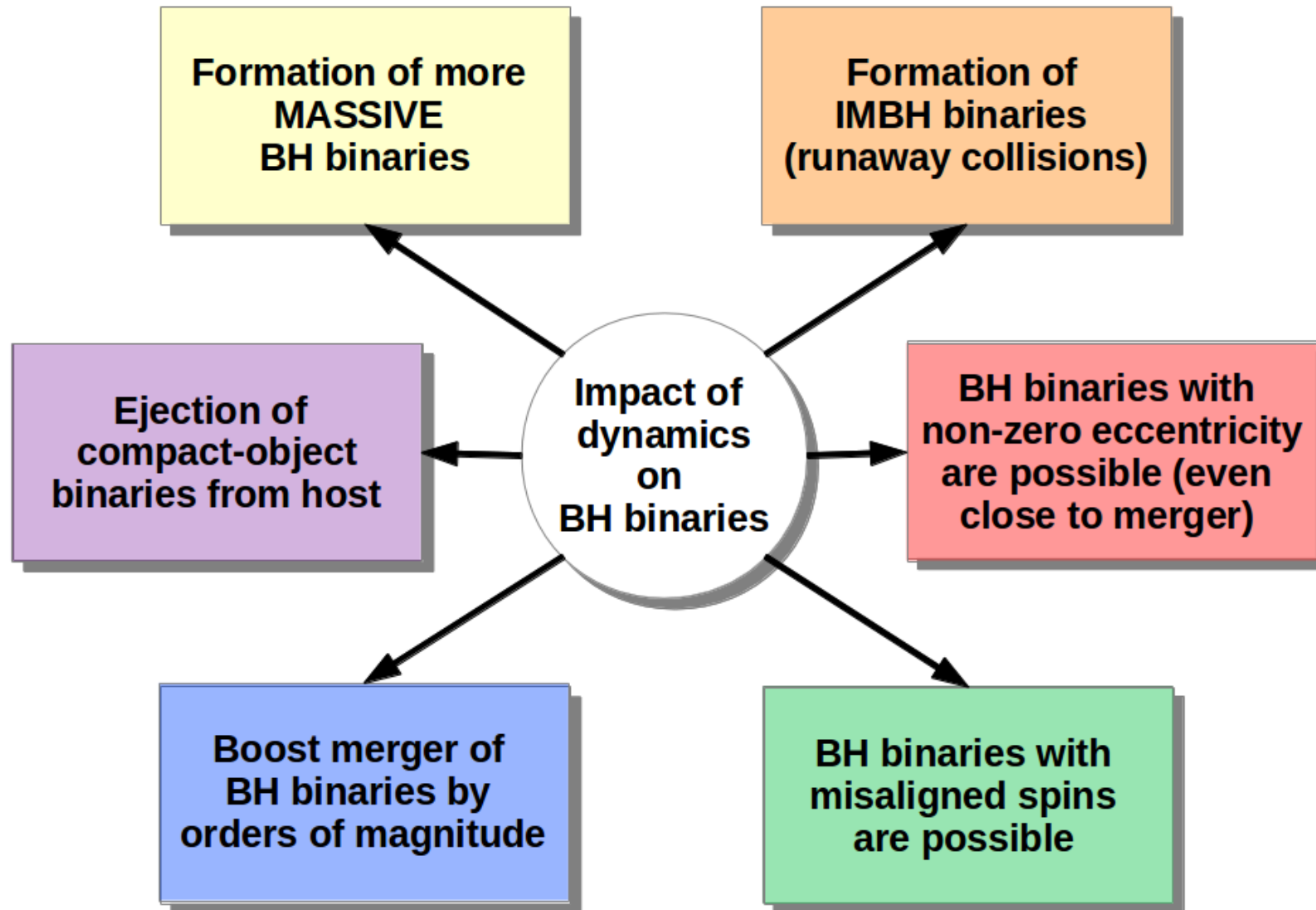
Dynamical binary evolution summary:

- * no need for Roche lobe or common envelope (but might happen)
- * exchanges build up more massive black hole binaries
- * hardening by three-body encounters favours the binary shrinking
- * BH – BH merger

cartoon from MM 2018,
<https://arxiv.org/abs/1809.09130>



3. The dynamics of stellar BH binaries: wrap up



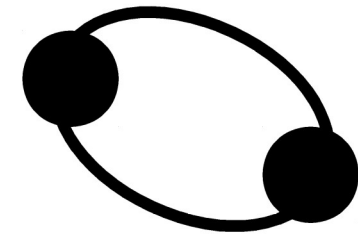
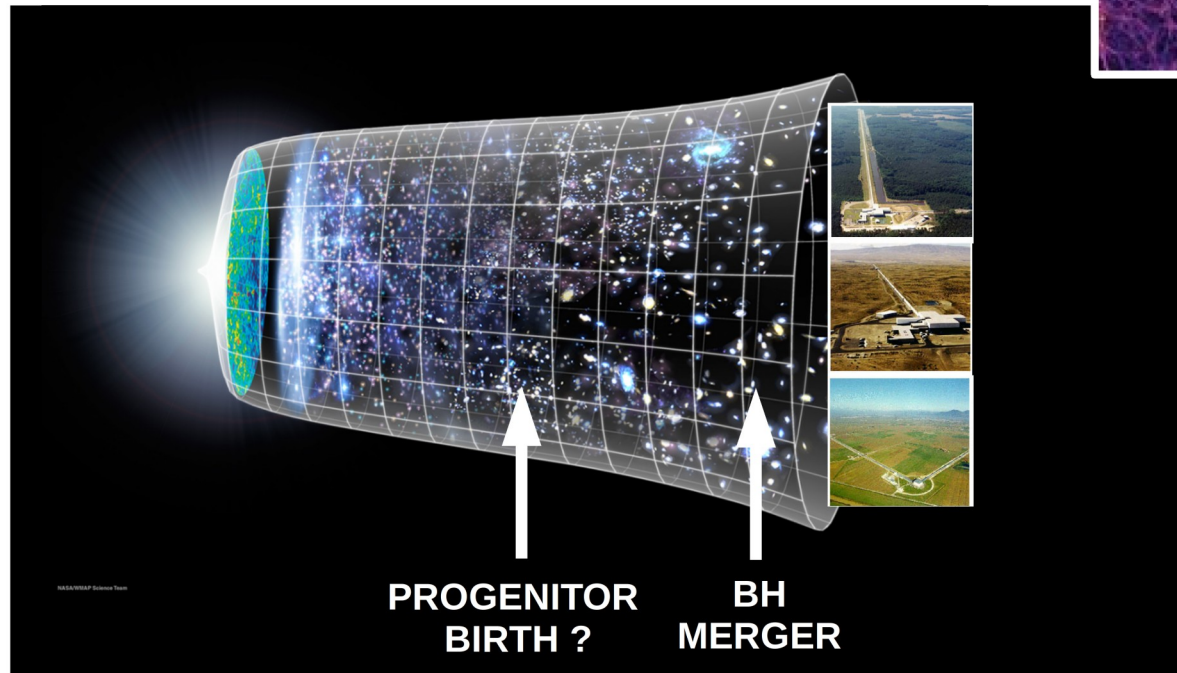
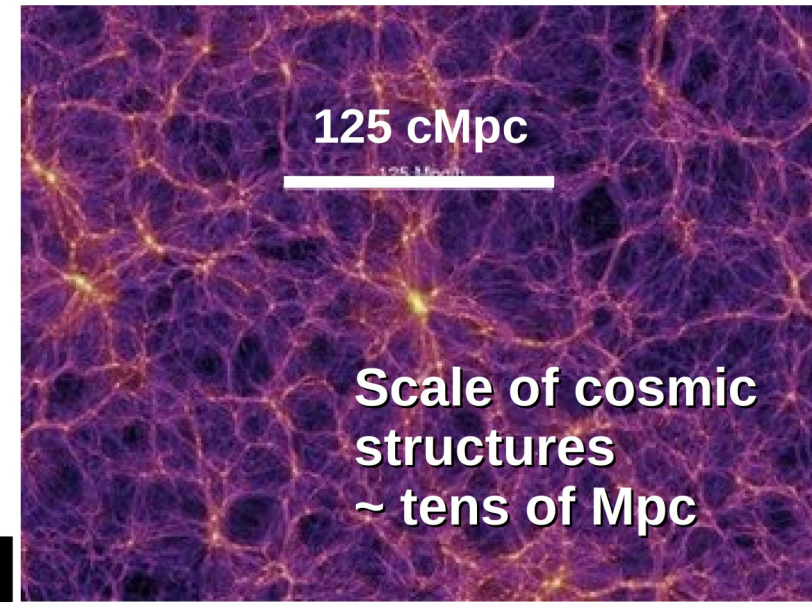
4. Compact binaries in cosmological context

NECESSARY:

binary merging at $z \sim 0.1$
might have formed at $z \gg 0.1$

BUT CHALLENGING:

humongous physical range



Scale of a
compact object
binary $< \text{AU}$

4. Compact binaries in cosmological context

TWO MAIN ESCAMOTAGES:

- analytic formalism + binary population synthesis sims.
through Monte Carlo procedure

O'Shaughnessy+ 2010

Dominik+ 2013, 2015

Belczynski+ 2016

*Lamberts+ 2016

Giacobbo & MM 2018

Chruslinska+ 2019

(* use 1 ingredient from simulations)

- cosmological simulations
+ binary population synthesis simulations
through Monte Carlo procedure

O'Shaughnessy+ 2017

Schneider+ 2017

MM+ 2017, 2018, 2019

MM & Giacobbo 2018

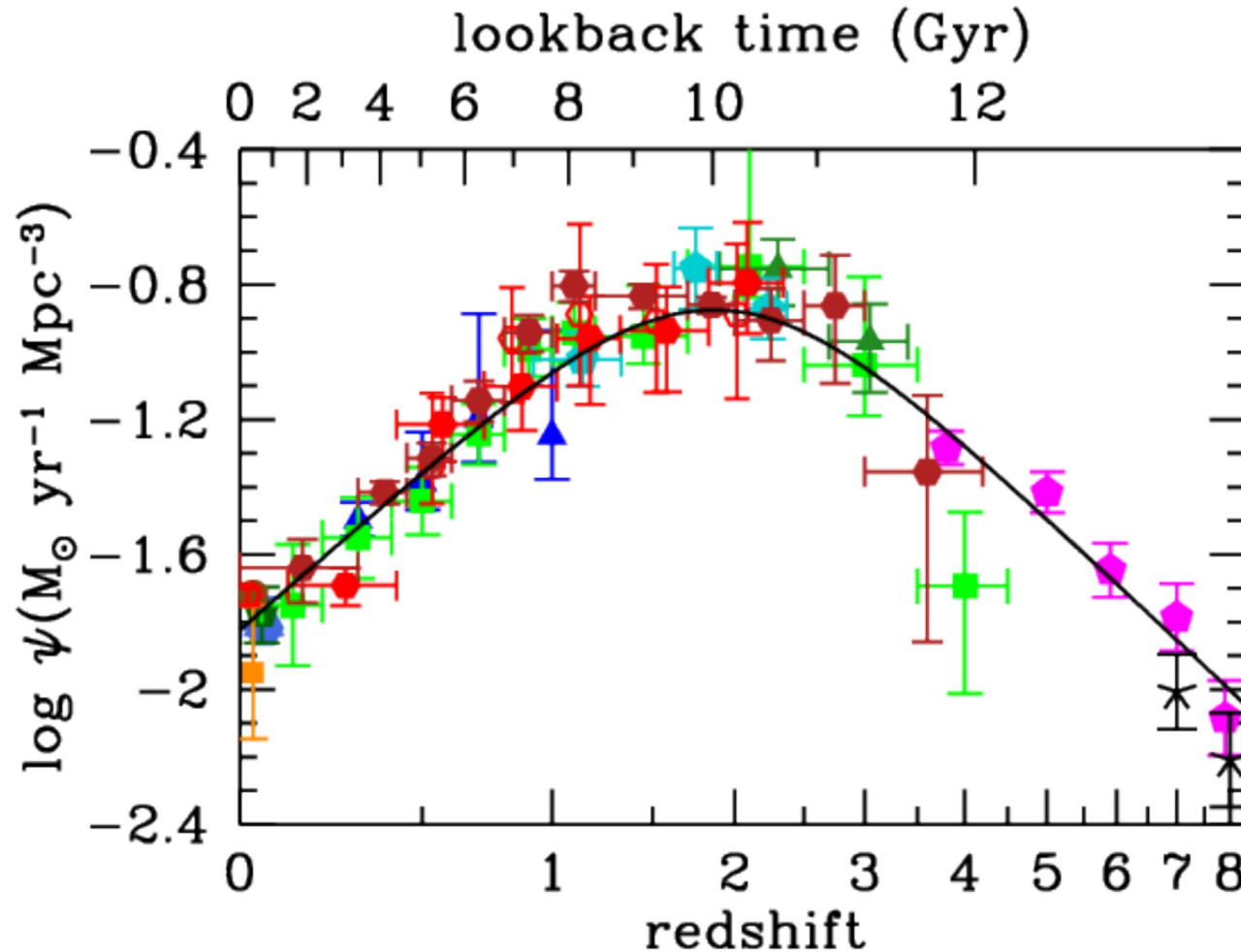
Artale+ 2019

Marassi+ 2019

4. Compact binaries in cosmological context

MAIN INGREDIENTS: cosmic star formation rate density

Compact binaries depend on it because form from massive stars

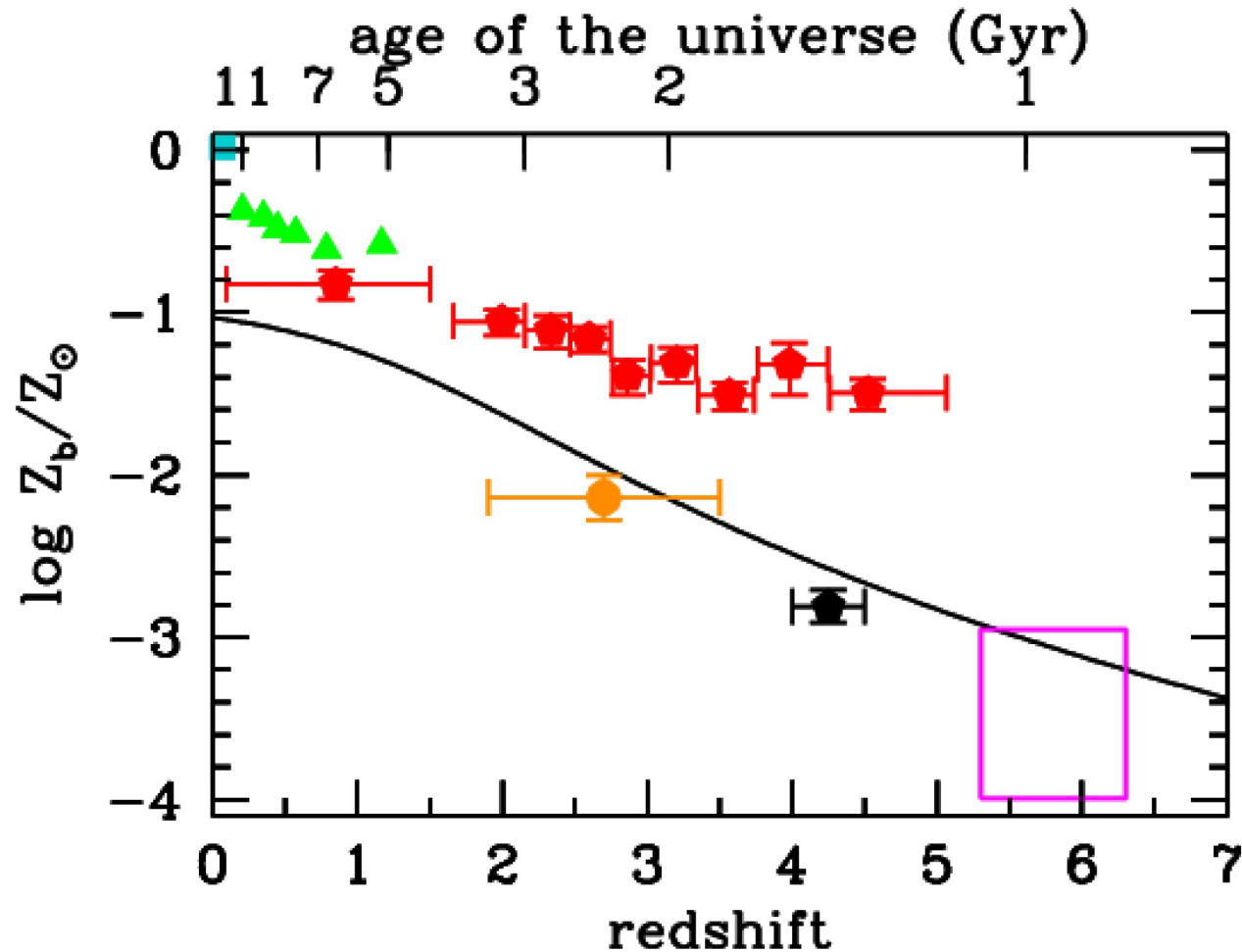


(FUV+ IR data, Fig. 9 of Madau & Dickinson 2014)

4. Compact binaries in cosmological context

MAIN INGREDIENTS: metallicity evolution

Mass of BHs (not neutron stars!) depends on metallicity

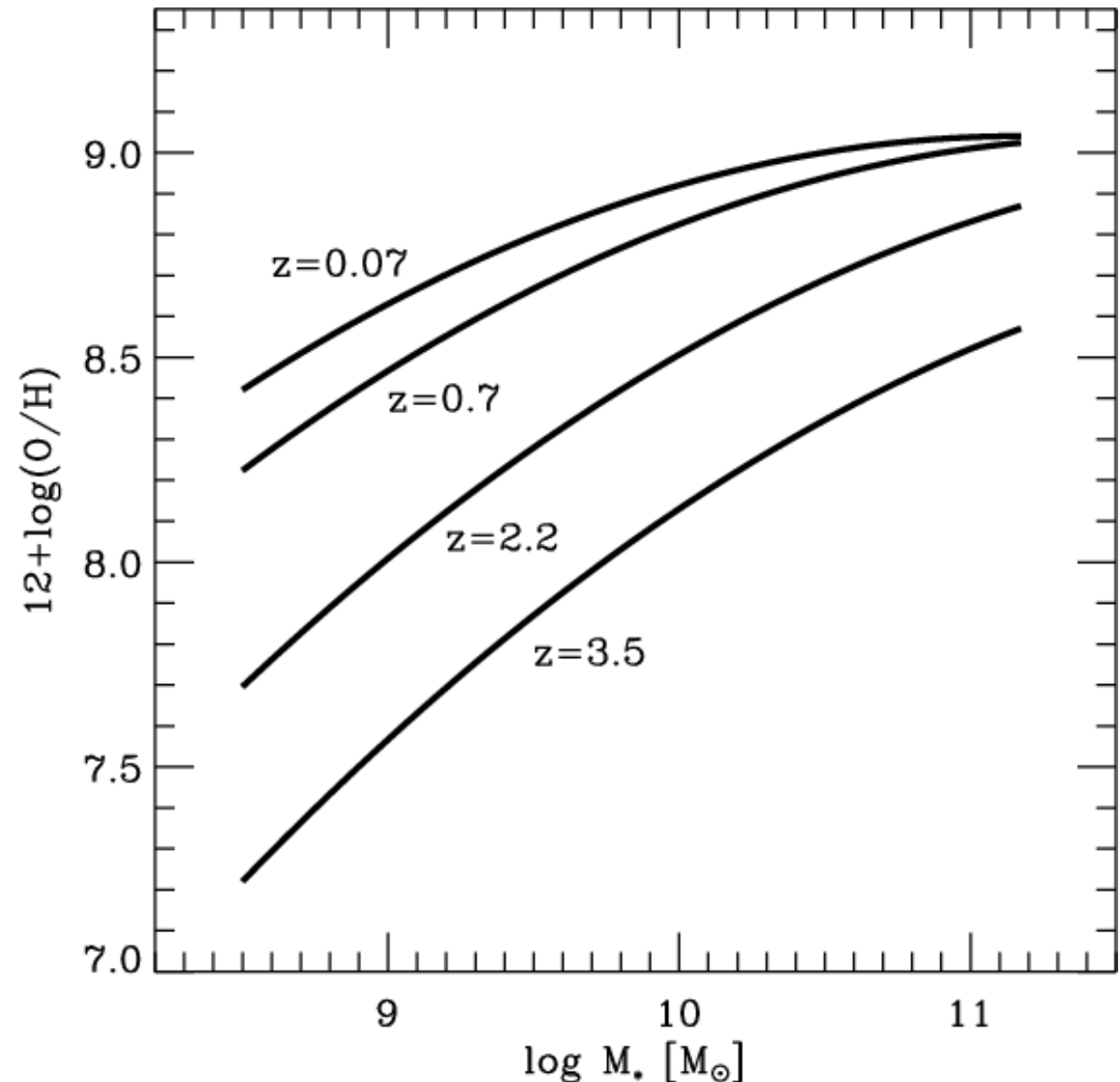


(Fig. 14 of Madau & Dickinson 2014)

4. Compact binaries in cosmological context

MAIN INGREDIENTS: galaxy mass – metallicity relation
(Maiolino+ 2008, Mannucci+ 2011)

Links mass of host galaxy,
metallicity and cosmic SFR



Maiolino et al. 2008, A&A 488, 463-479

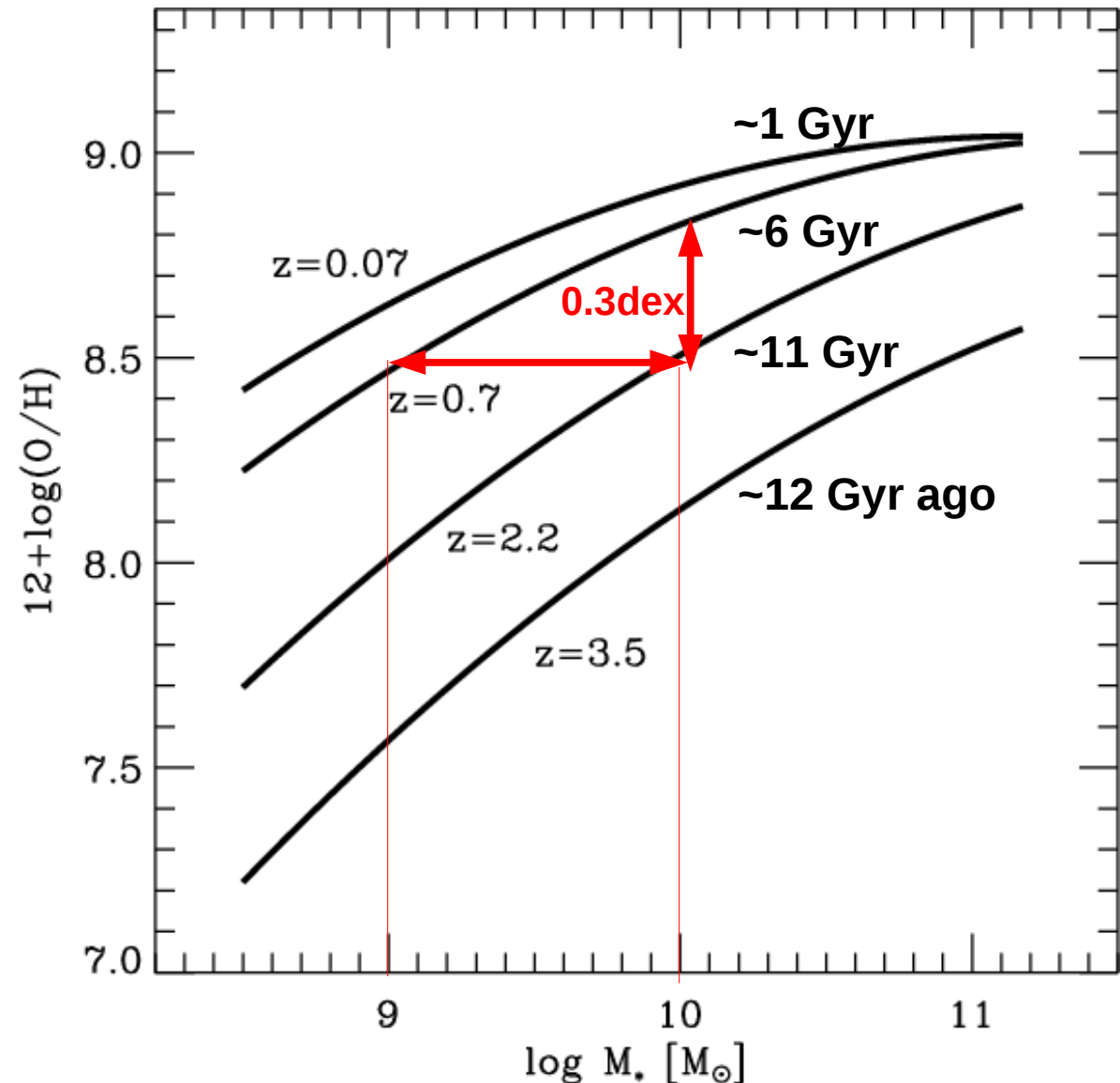
4. Compact binaries in cosmological context

MAIN INGREDIENTS: galaxy mass – metallicity relation
(Maiolino+ 2008, Mannucci+ 2011)

Links mass of host galaxy,
metallicity and cosmic SFR

Between 11 and 6 Gyr ago
observed metallicity
changed ~ 0.3 dex
for fixed galaxy mass

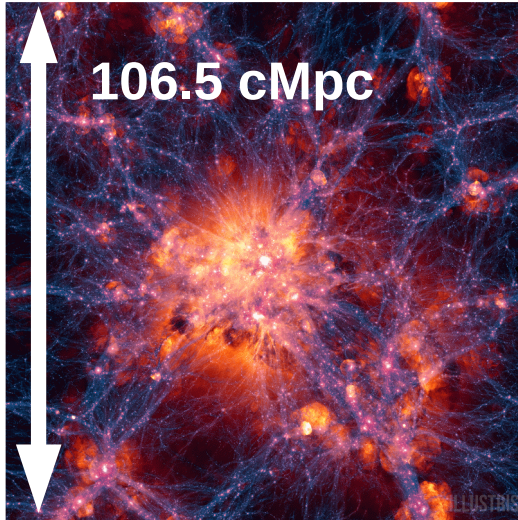
Between 10^9 and $10^{10} M_{\odot}$
observed metallicity
changes ~ 0.3 dex
for fixed redshift (~ 0.7)



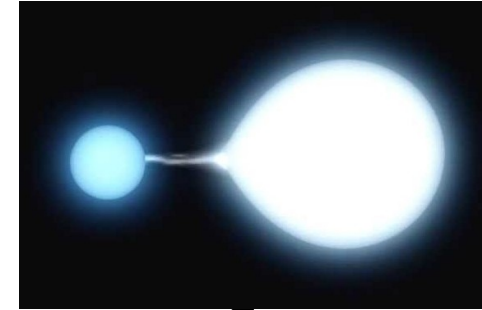
4. Compact binaries in cosmological context

Cosmological simulation
or data-driven approach

Pop. synthesis of isolated binaries



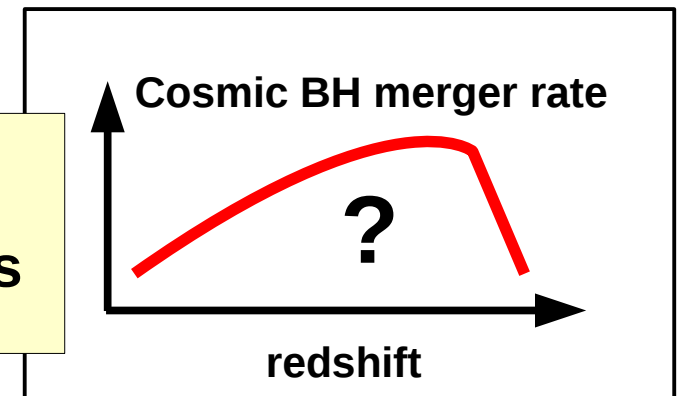
star formation
and metallicity
in galaxies



catalogues of
isolated BH
binaries

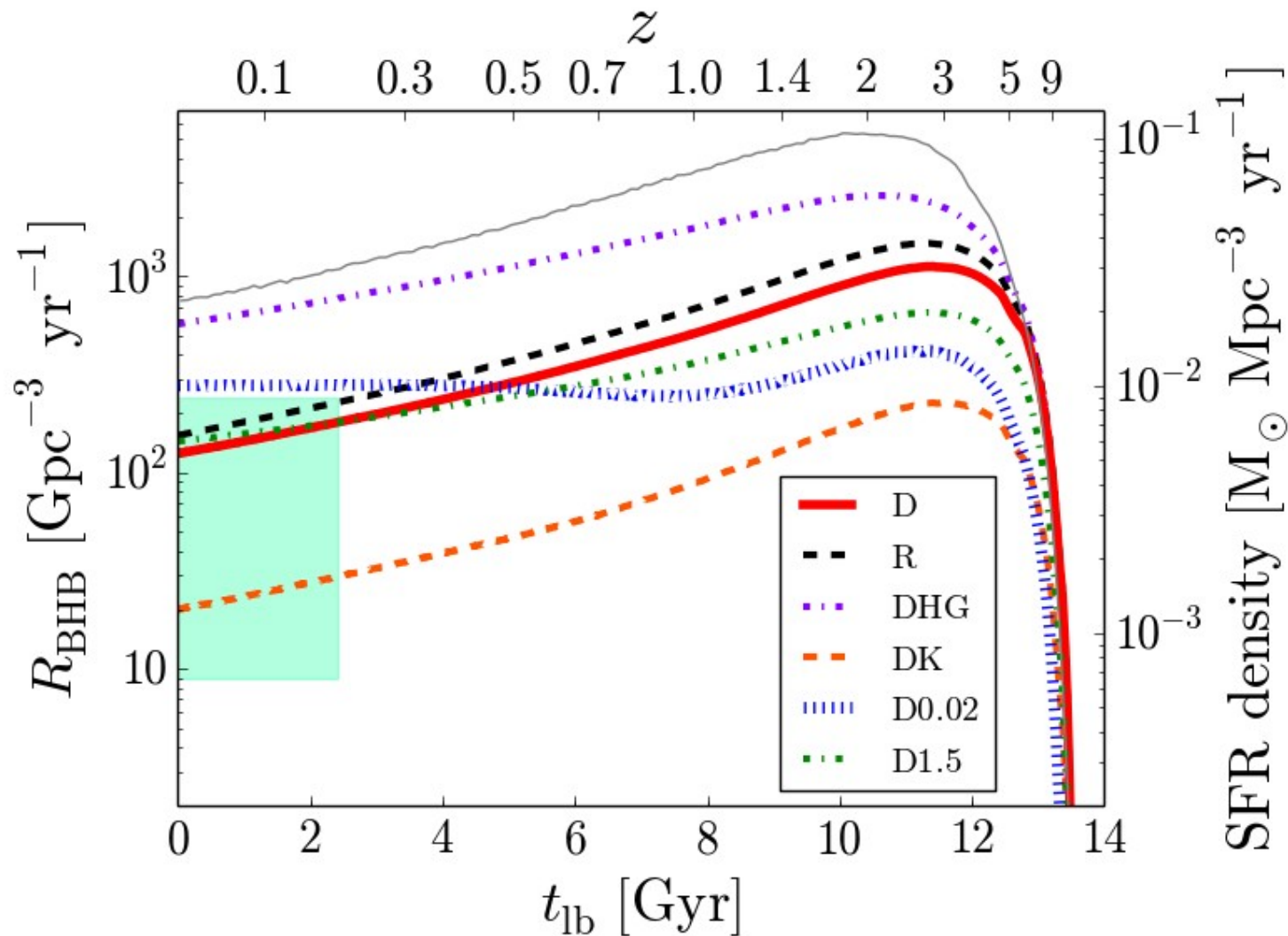
Monte Carlo code
to plant BH binaries
in galaxies

**Cosmic BH merger rate
& host galaxy properties**



4. Compact binaries in cosmological context

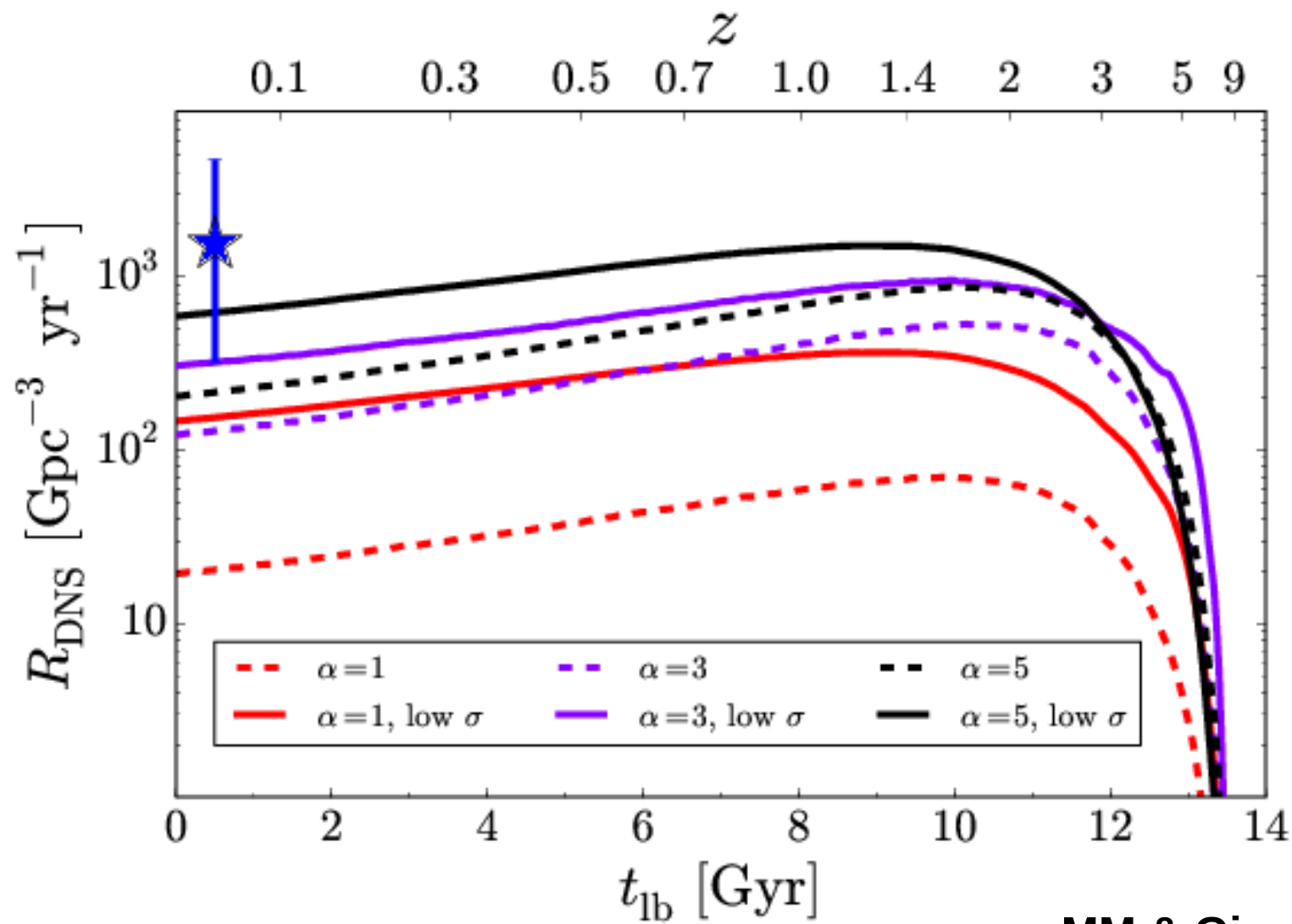
Black hole merger rate density in comoving frame



MM, Giacobbo, Ripamonti, Spera 2017

4. Compact binaries in cosmological context

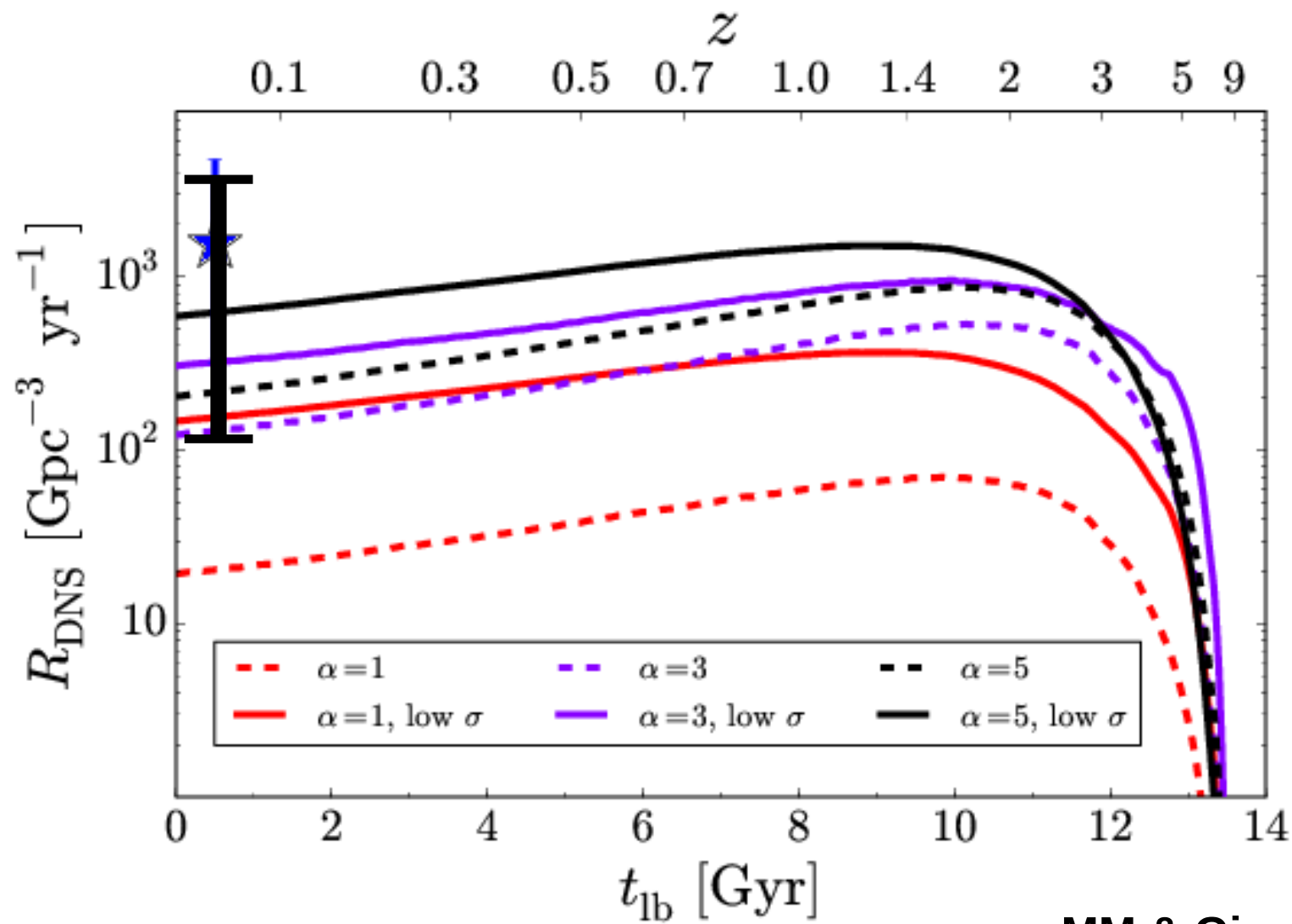
Double neutron star merger rate density in comoving frame



MM & Giacobbo 2018

4. Compact binaries in cosmological context

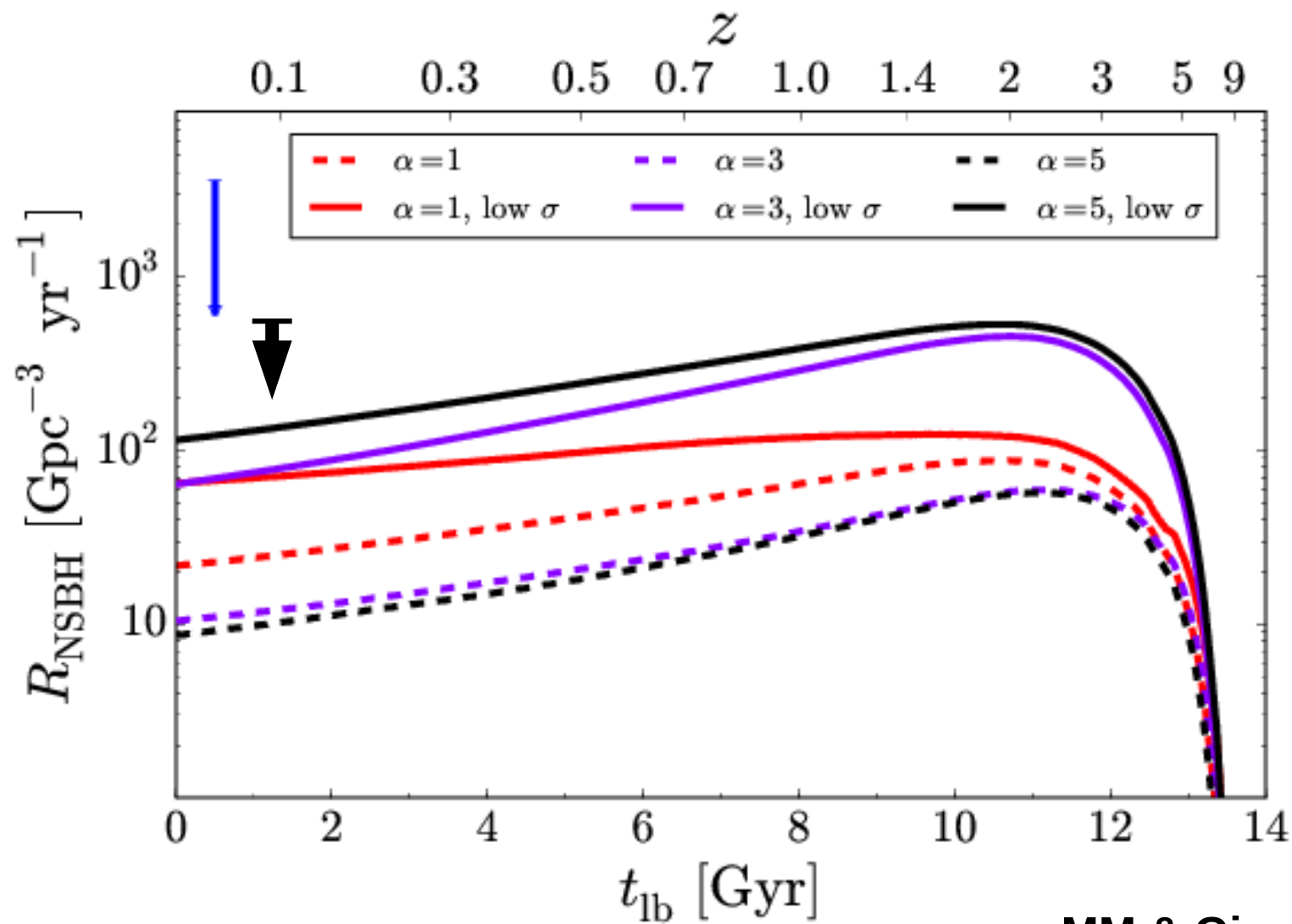
Double neutron star merger rate density in comoving frame



MM & Giacobbo 2018

4. Compact binaries in cosmological context

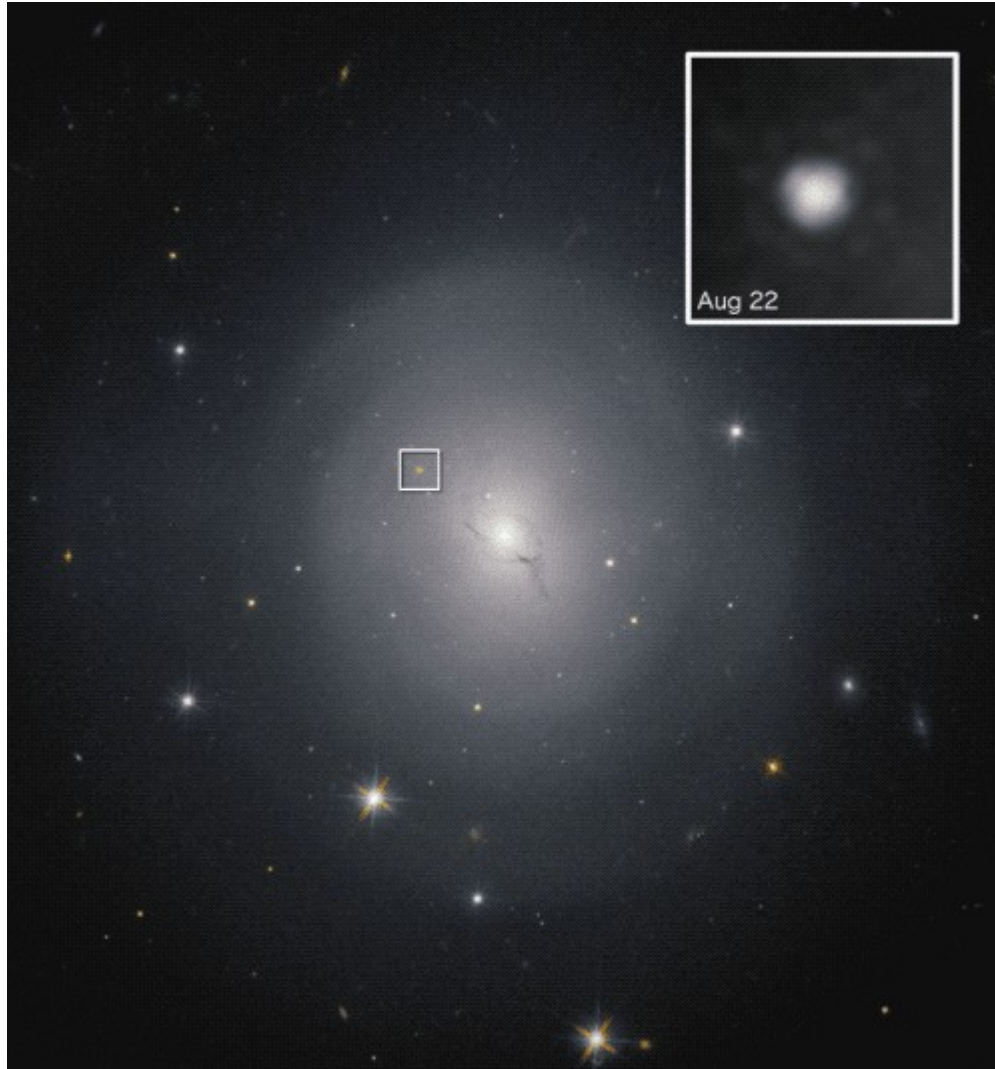
Black hole - neutron star merger rate density in comoving frame



MM & Giacobbo 2018

4. Compact binaries in cosmological context

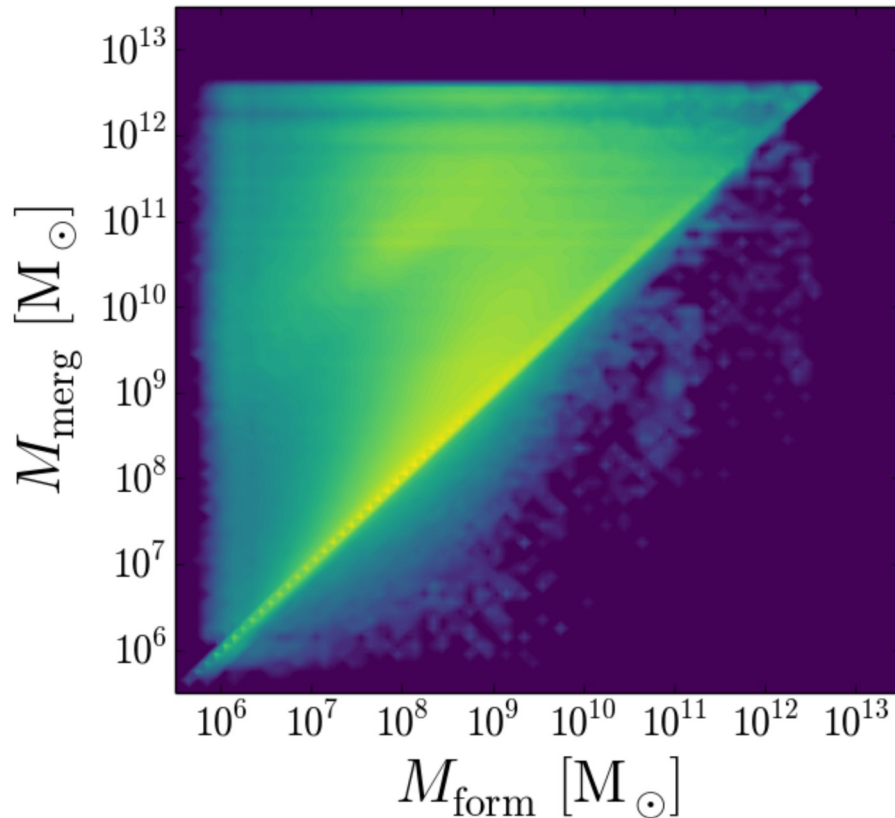
Host galaxies: only for GW170817 (Abbott+ 2017)



- Early-type (S0) galaxy
- Mostly old stars
(~ 10 Gyr; Blanchard et al. 2017)
- $z \sim 0.0098$
(Levan et al. 2017)
- stellar mass
 $\sim 10^{10} - 11 M_{\odot}$
(Im et al. 2017)
- indications of a merger
- with cosmo. simulations we can try to characterize them

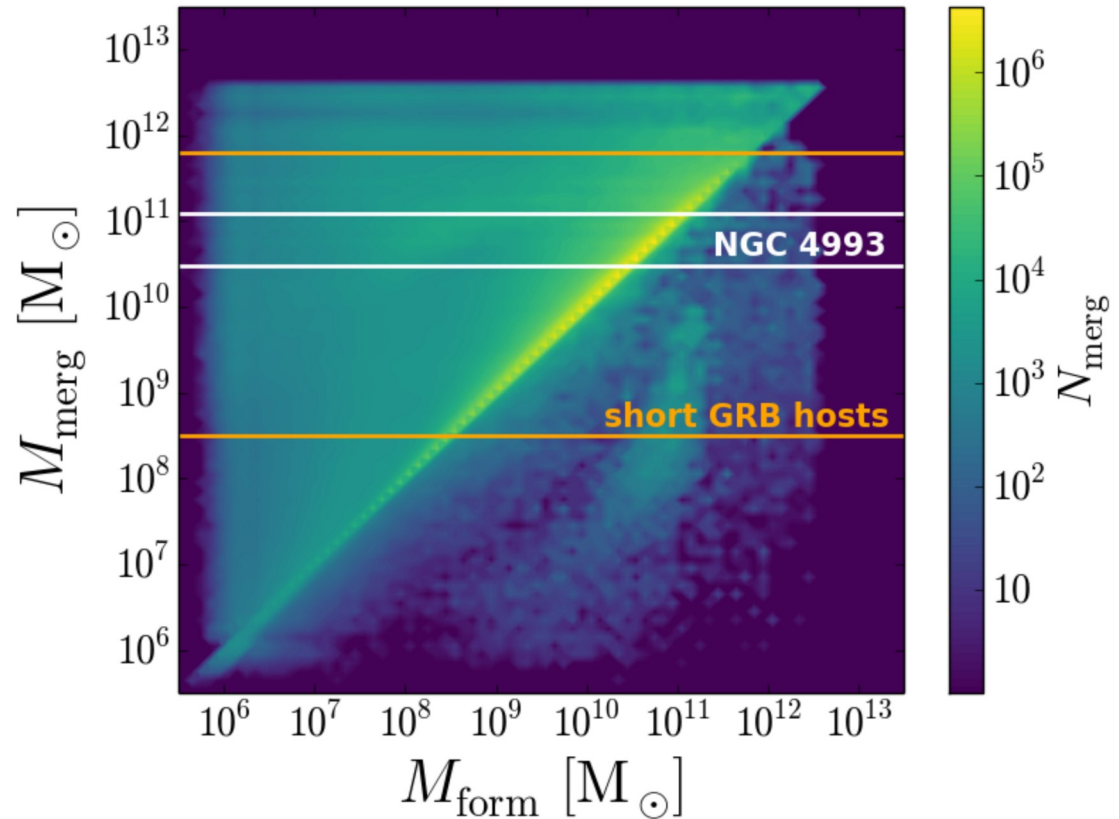
4. Compact binaries in cosmological context

Double BHs merging at $z < 0.1$



BH binaries form mostly in $<10^{10} M_{\odot}$ galaxies and merge in both small and large galaxies

Double NSs merging at $z < 0.1$



NS binaries form mostly in $10^9 - 10^{12} M_{\odot}$ galaxies and tend to merge where form
→ match GW170817 and short GRB hosts

5. SUMMARY

**The era of gravitational-wave astrophysics
has just begun ;-)**

Still a lot of work to do to understand

- * the evolution of compact binaries
(in isolation and in star clusters)**

- * the environment,
host galaxies and
redshift evolution
of binary populations**



THANK YOU!

