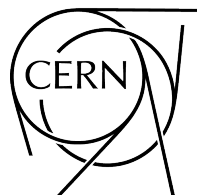


Dark Matter Phenomenology

Joachim Kopp (CERN & JGU Mainz)

ISAPP 2019 Lectures | Heidelberg, Germany | June 2nd, 2019



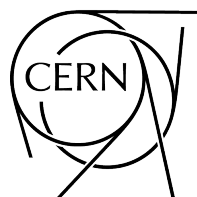
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In this Talk

- ☒ UV-complete models vs. simplified models
- ☒ Dark Photons
- ☒ Primordial black holes as a DM candidate

UV-complete Models vs. Simplified Models



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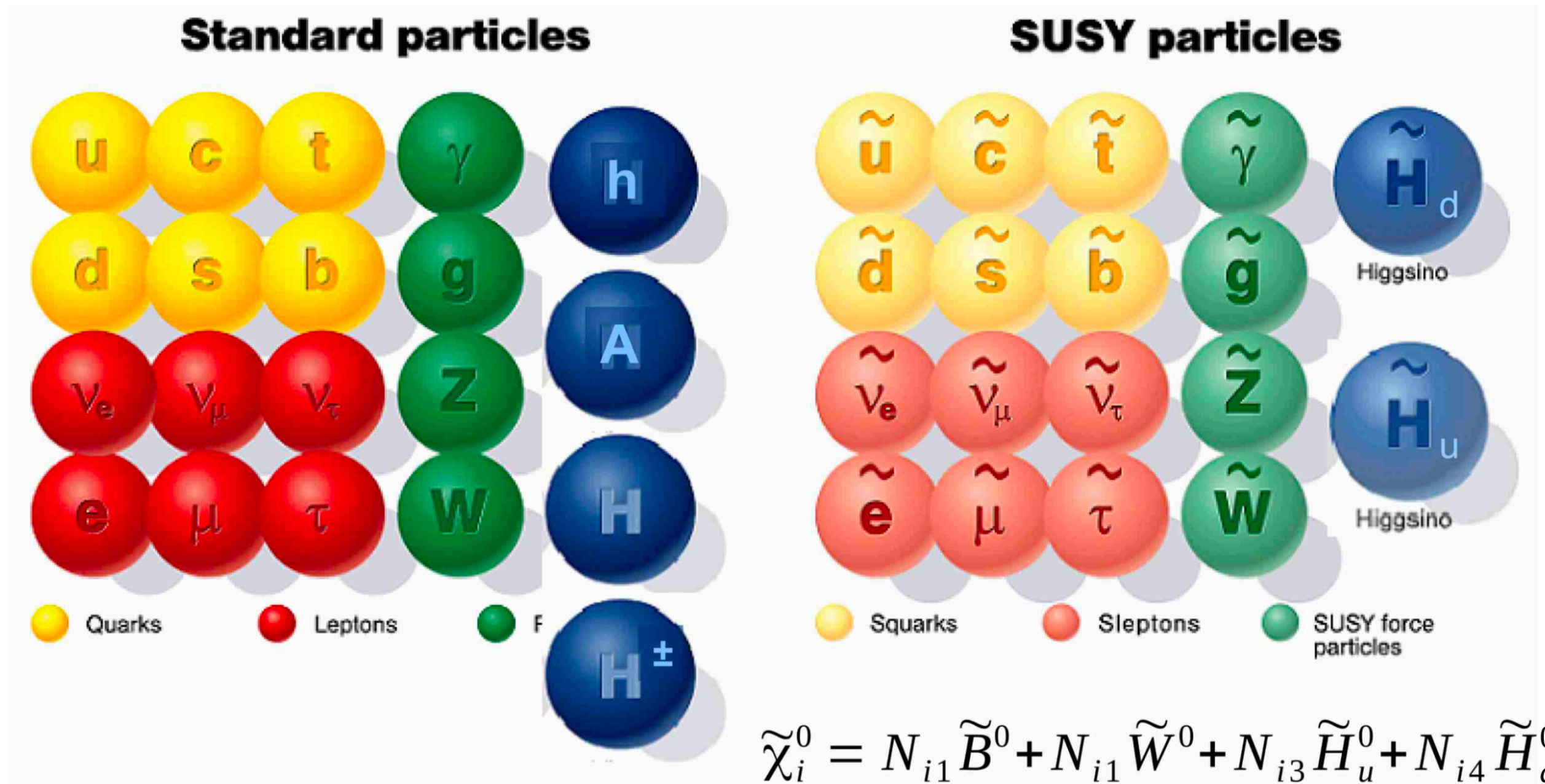
DM in UV-complete models

- ☑ Traditional approach to DM searches:
 - Work in a UV-complete scenario, guided by theoretical arguments
 - For instance MSSM (minimal supersymmetric standard model)

The MSSM

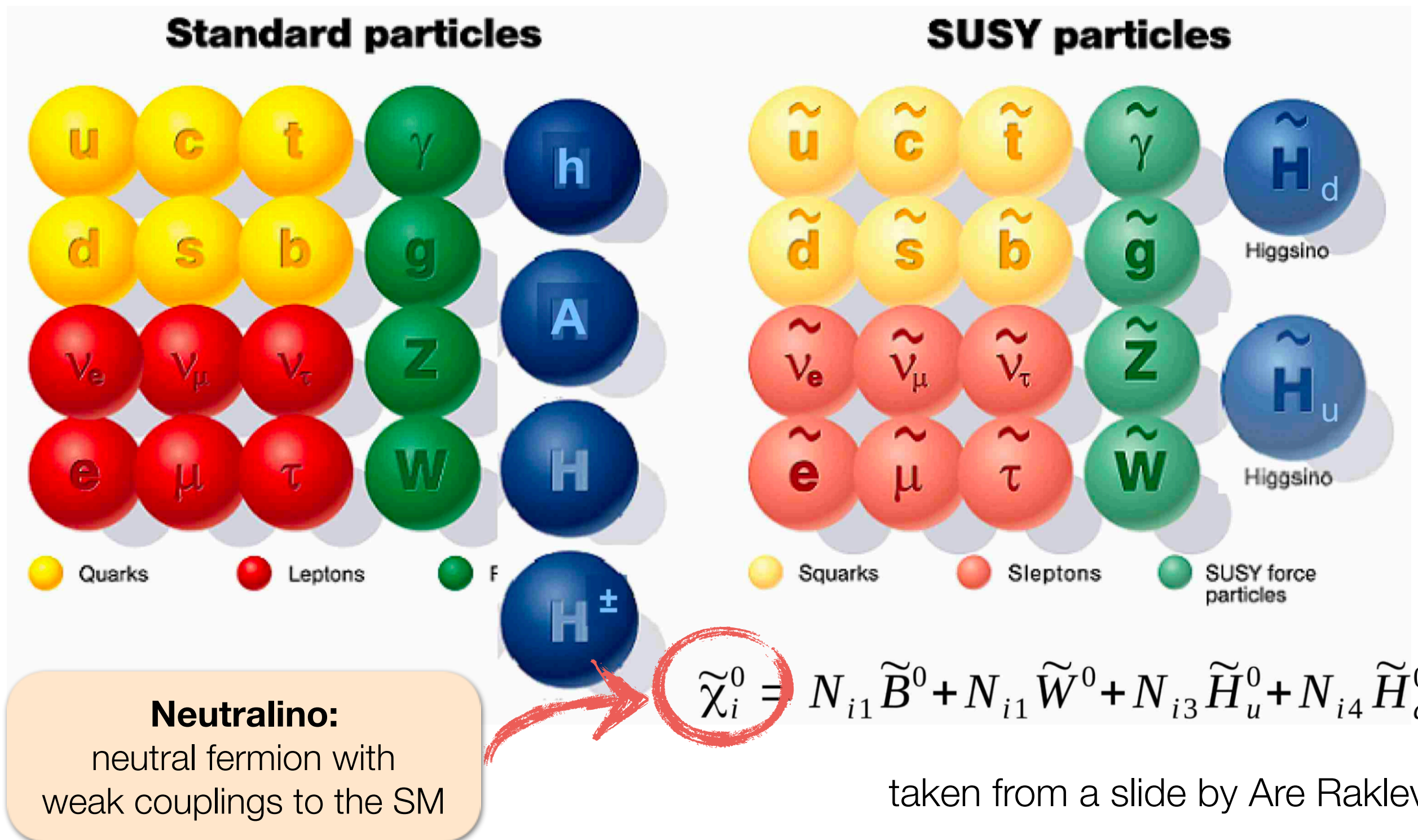


The MSSM



taken from a slide by Are Raklev

The MSSM



The MSSM

- ☑ Equal number of fermion and boson states
(not equal number of particles!)
- ☑ Particles and their superpartners have equal mass
 - SUSY must be broken in nature
- ☑ Helps achieve Grand Unification of gauge couplings
- ☑ Has a DM candidate
- ☑ Solves hierarchy problem

The MSSM

☑ Equal number of fermion and boson states
(not equal number of particles!)

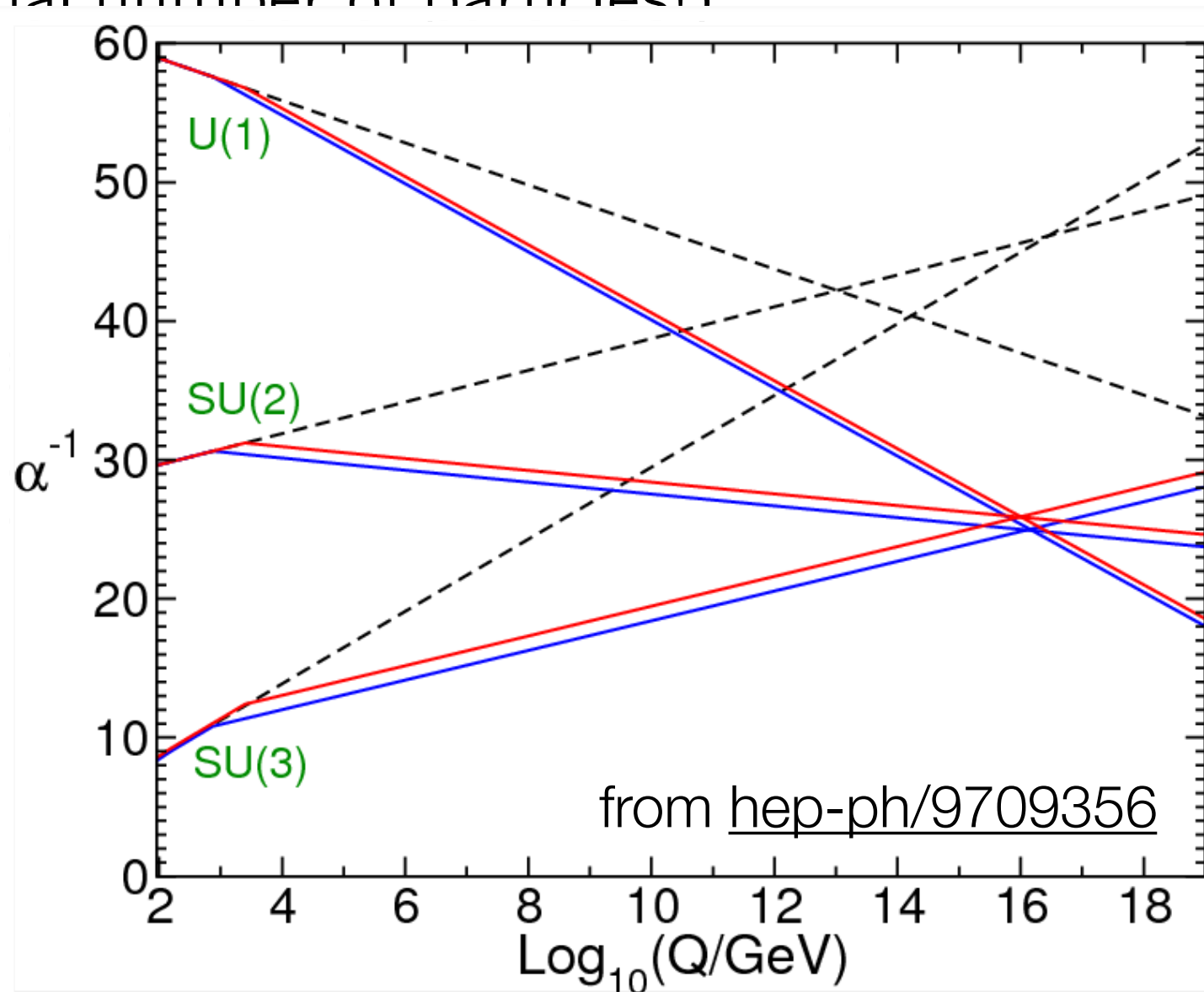
☑ Particle

○ SUSY

☑ Helps a

☑ Has a

☑ Solves



al mass

couplings

The MSSM

- ☒ Equal number of fermion and boson states
(not equal number of particles!)
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☑ Motivation through symmetry

- special relativity: physics invariant under Poincaré symmetry

$$x^\mu \rightarrow x'^\mu = \Lambda^\mu{}_\nu x^\nu + a^\mu$$

- Maximal symmetry a spacetime can have (Coleman-Mandula theorem)
- But: can be cheated by adding **anti-commuting (Grassmann) coordinate θ** with $\{\theta, \theta'\} = \theta \theta' + \theta' \theta = 0$
- Regular fields $\varphi(x)$ are replaced by superfields $\varphi(x, \theta)$
- One can always write $\varphi(x, \theta)$ as

$$\varphi(x, \theta) = A(x) + \sqrt{2}\theta\psi(x) + \theta^2 F(x)$$

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- Maximal symmetry a spacetime can have (Coleman-Mandula theorem)

- But: can be extended to **anti-commuting** **coordinate** θ with $\{\theta, \theta\} = \theta\theta' + \theta'\theta = 0$ (Sohniusmann)

**Rotations
& Boosts**

Translations

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Scalar field
(e.g. squark)

fields $\varphi(x)$ are replaced by

Fermion field
(e.g. quark)

θ)

- One can always write $\varphi(x, \theta)$ as

$$\varphi(x, \theta) = A(x) + \sqrt{2}\theta\psi(x) + \theta^2 F(x)$$

Auxiliary field

(no kinetic term, can be removed using equations of motion)

Supersymmetric Dark Matter

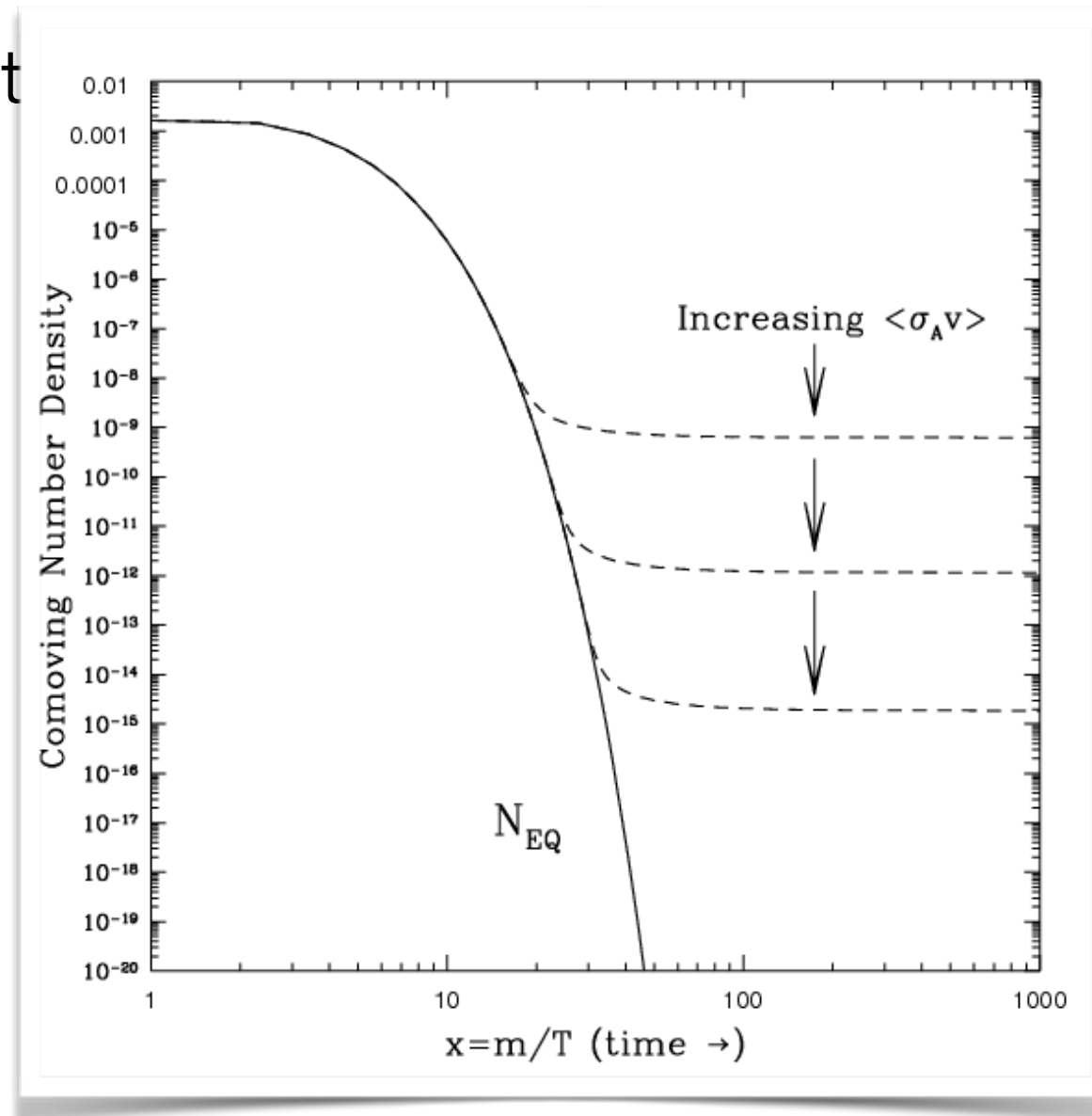
Supersymmetric Dark Matter

- ☑ Successful thermal freeze-out
 - yields correct DM abundance automatically

Supersymmetric Dark Matter

☑ Successful thermal freeze-out

○ yields correct

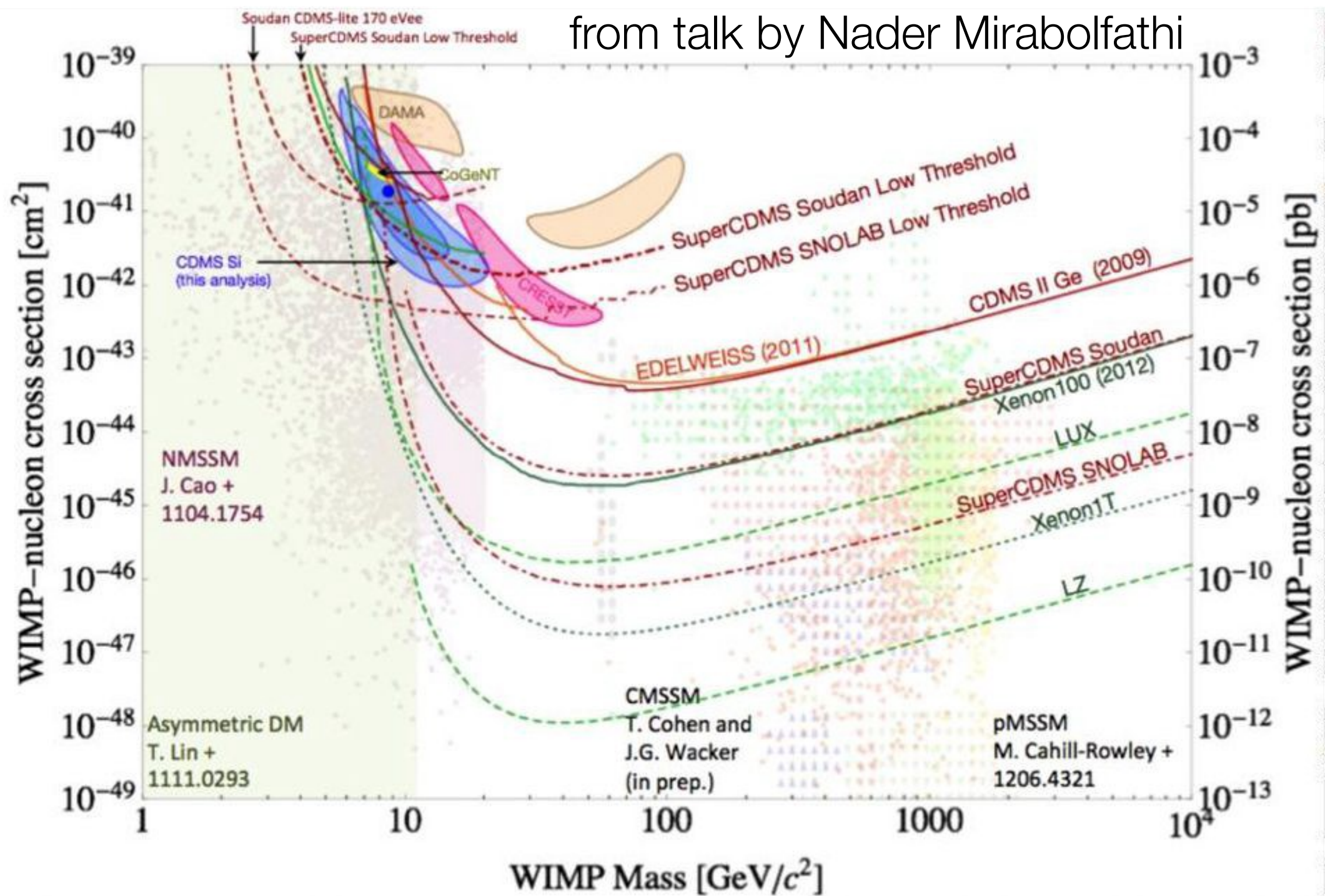


Supersymmetric Dark Matter

- ☑ Successful thermal freeze-out
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- ☑ Detectable via DM-nucleon scattering

Supersymmetric Dark Matter

from talk by Nader Mirabolfathi



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- ☑ Detectable via DM-nucleon scattering
- ☑ Detectable indirectly in cosmic rays

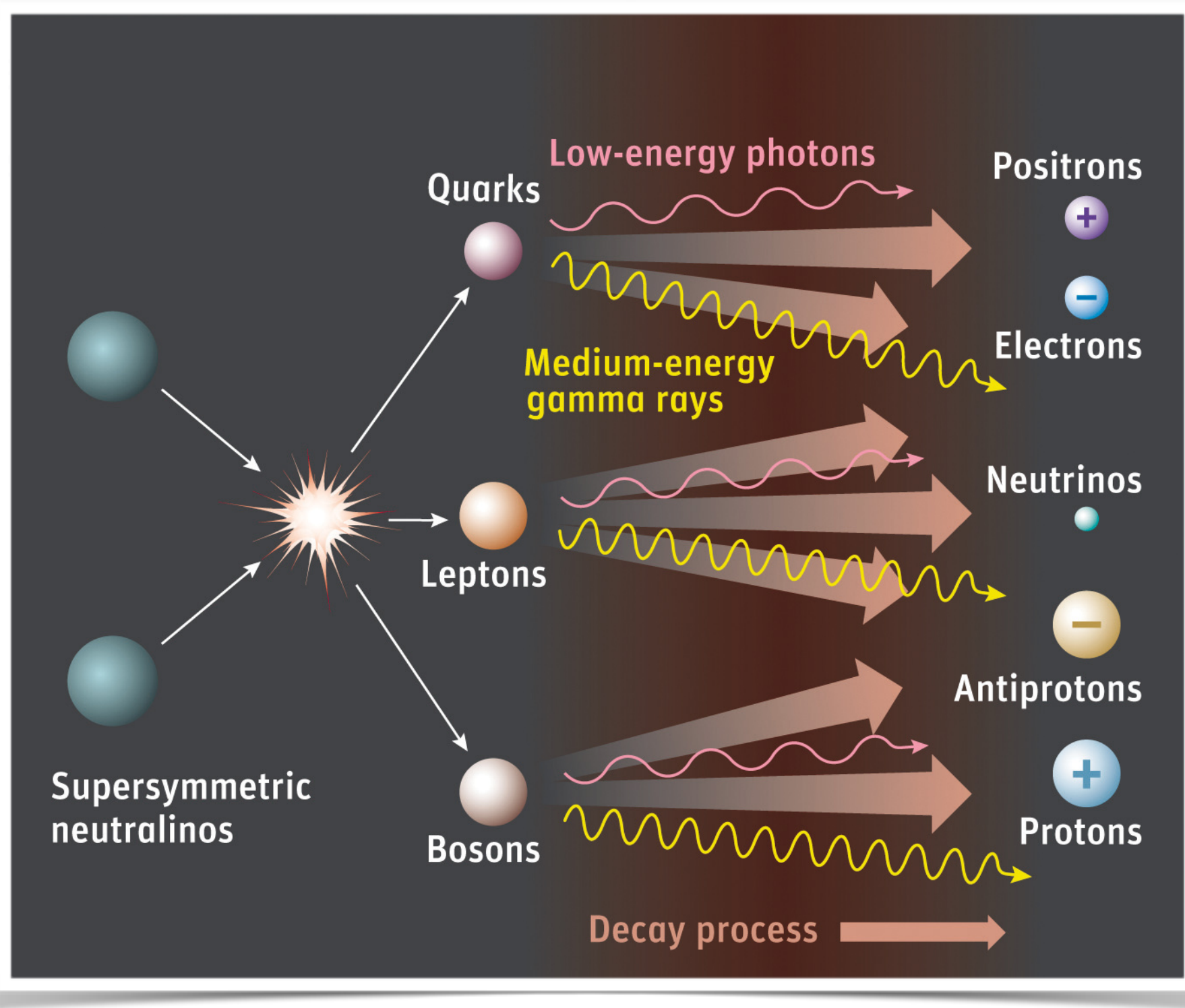
Supersymmetric Dark Matter

☒ Success

☐ yield

☒ Detected

☒ Detected



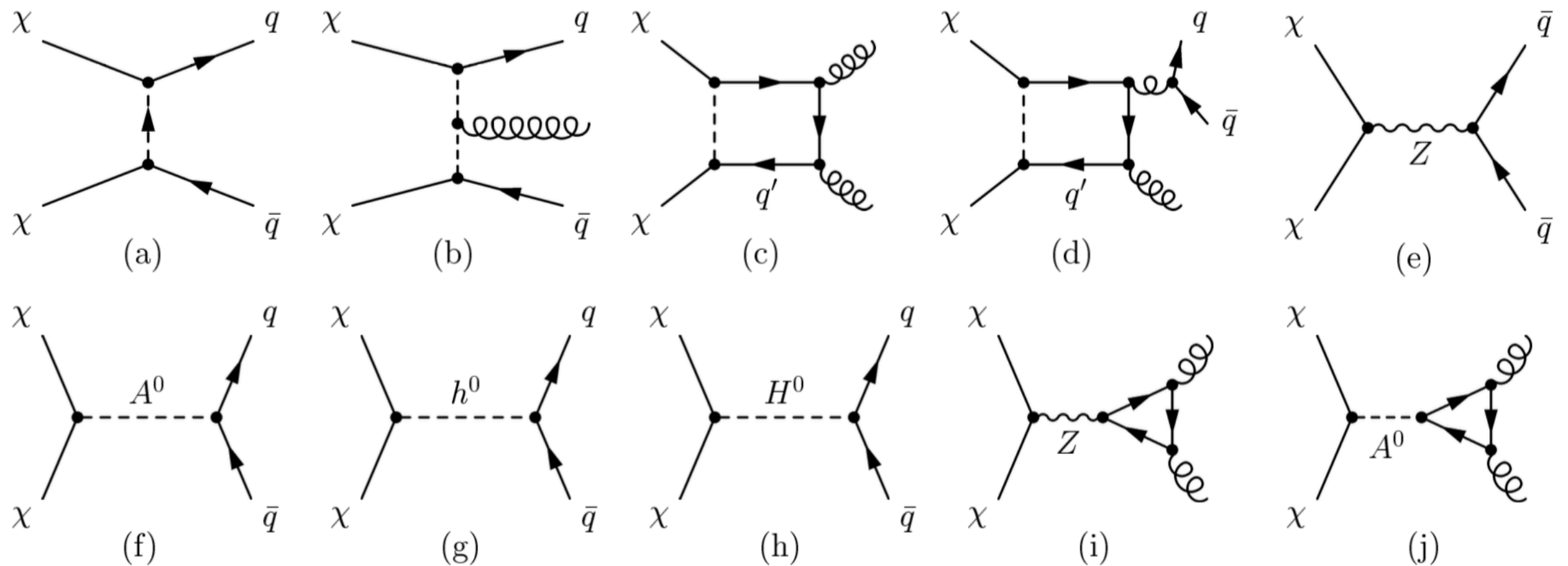
Supersymmetric Dark Matter

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Supersymmetric DM Annihilation

☑ ... but each process has *many* diagrams

☑ e.g. annihilation:



hep-ph/0510257

☑ calculations have very limited applicability

Enter: the simplified model

- ☑ Extend the SM by only a **minimal set of new particles**
- ☑ for instance: fermionic DM ψ , new vector boson Z' .

$$\mathcal{L} = - \sum_{f=q,l,\nu} Z'^{\mu} \bar{f} [g_f^V \gamma_{\mu} + g_f^A \gamma_{\mu} \gamma^5] f - Z'^{\mu} \bar{\psi} [g_{\text{DM}}^V \gamma_{\mu} + g_{\text{DM}}^A \gamma_{\mu} \gamma^5] \psi$$

arXiv:1510.02110

- ☑ relatively simple calculations
- ☑ great for comparing experiments
- ☑ could be the low-E limit of many UV-complete models
 - but in practice, recasting simplified model constraints into constraints on UV-complete models is often difficult

Simplified DM model with a vector mediator

- ☑ Let's study fermionic DM ψ with mediator Z' in detail:

$$\mathcal{L} = - \sum_{f=q,l,\nu} Z'^{\mu} \bar{f} [g_f^V \gamma_{\mu} + g_f^A \gamma_{\mu} \gamma^5] f - Z'^{\mu} \bar{\psi} [g_{\text{DM}}^V \gamma_{\mu} + g_{\text{DM}}^A \gamma_{\mu} \gamma^5] \psi$$

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- ☑ First for the special case $g_f^A = g_{\text{DM}}^A = 0$
(pure vector couplings)

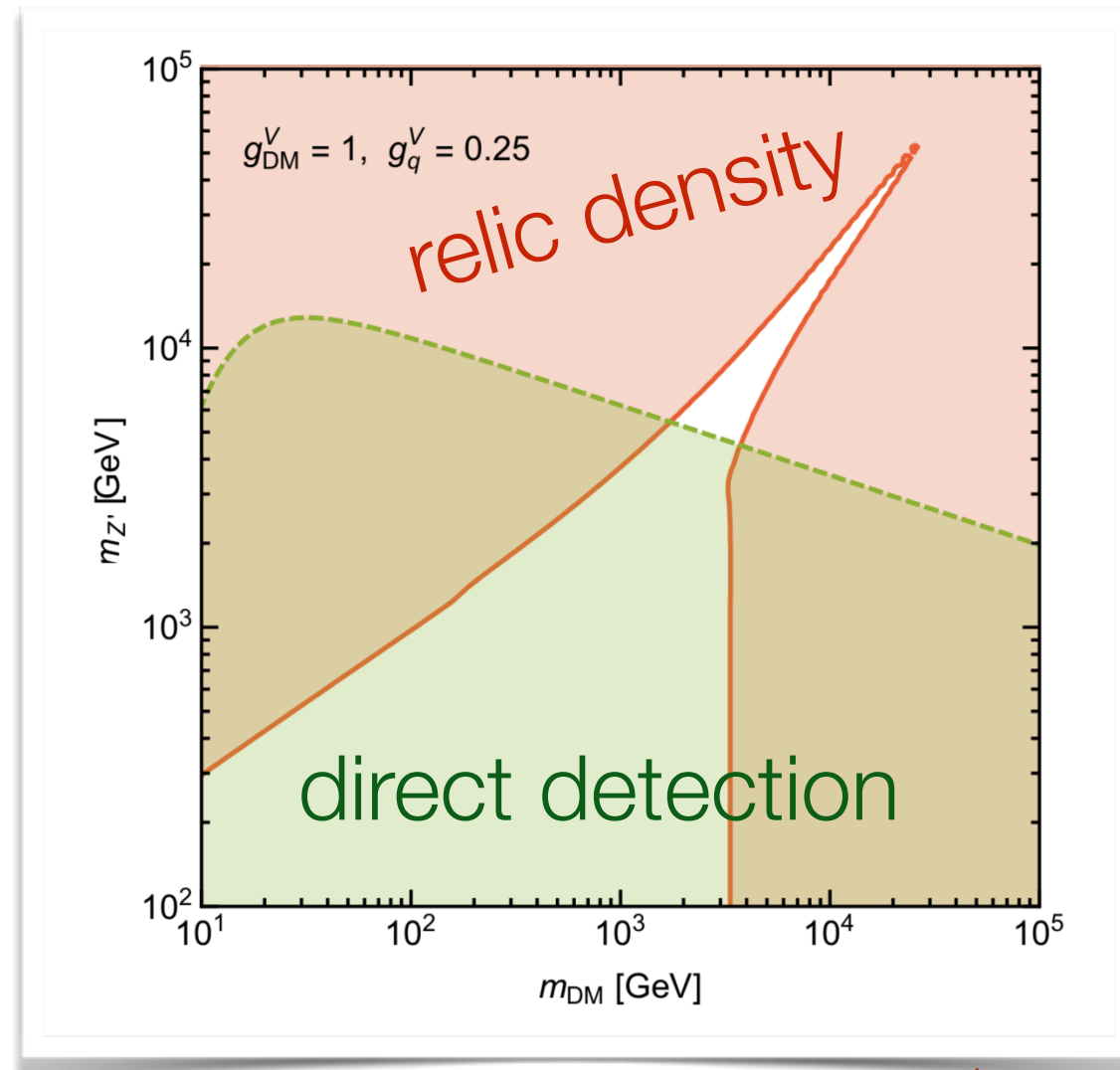
○ Direct detection (heavy Z' limit): $\sigma(\chi N \rightarrow \chi N) = \frac{9m_q^2}{\pi m_{Z'}^4}$

○ Relic density (heavy Z' limit): $\sigma(\chi\chi \rightarrow \bar{q}q) = \frac{3n_f m_{\chi}^2}{\pi m_{Z'}^4}$

Simplified DM model with a vector mediator

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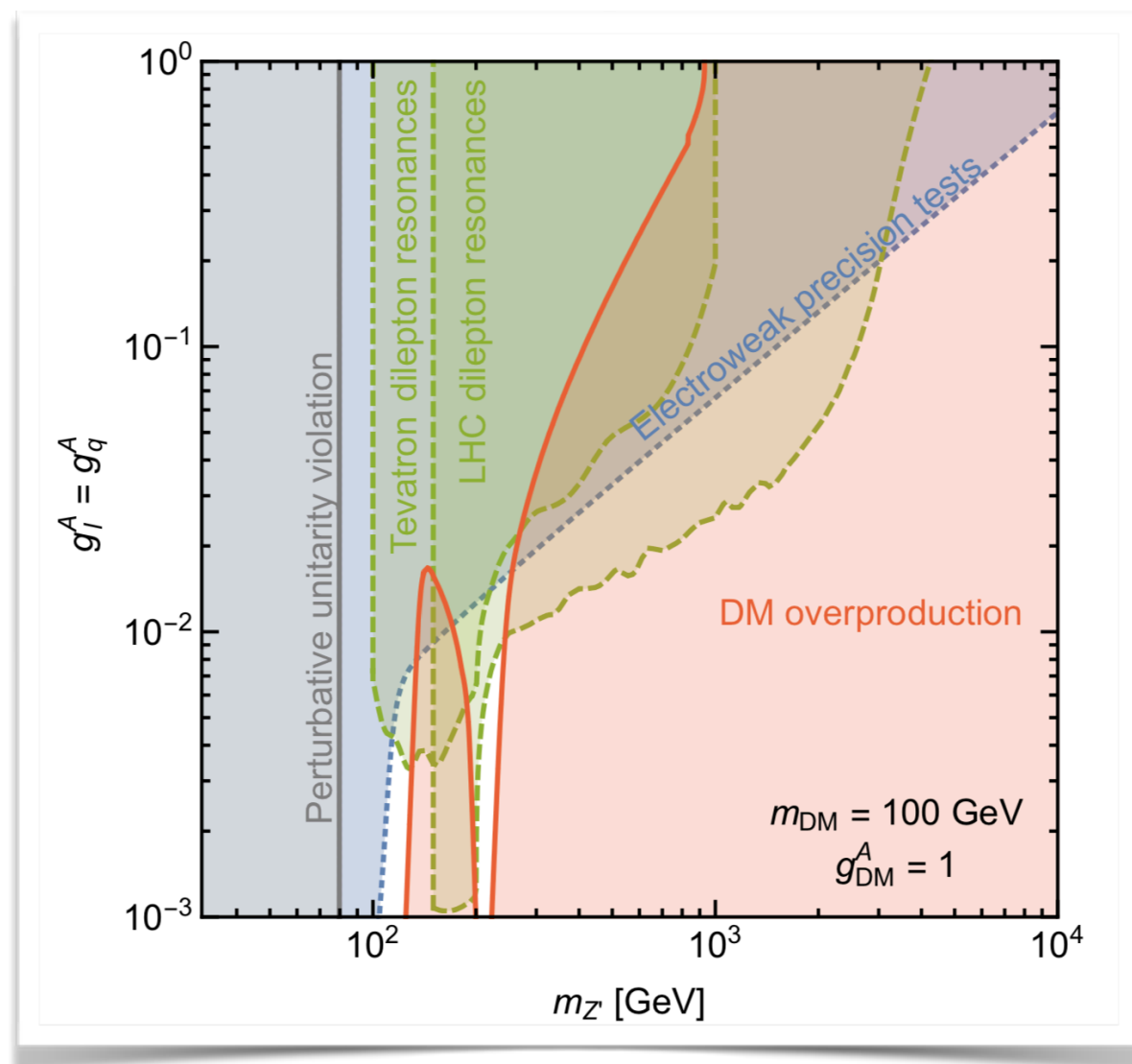


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Simplified DM model with a vector mediator

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- ☑ Let's now include also axial vector couplings: $g_f^V = g_f^A$, but $g_{\text{DM}}^V = 0$.



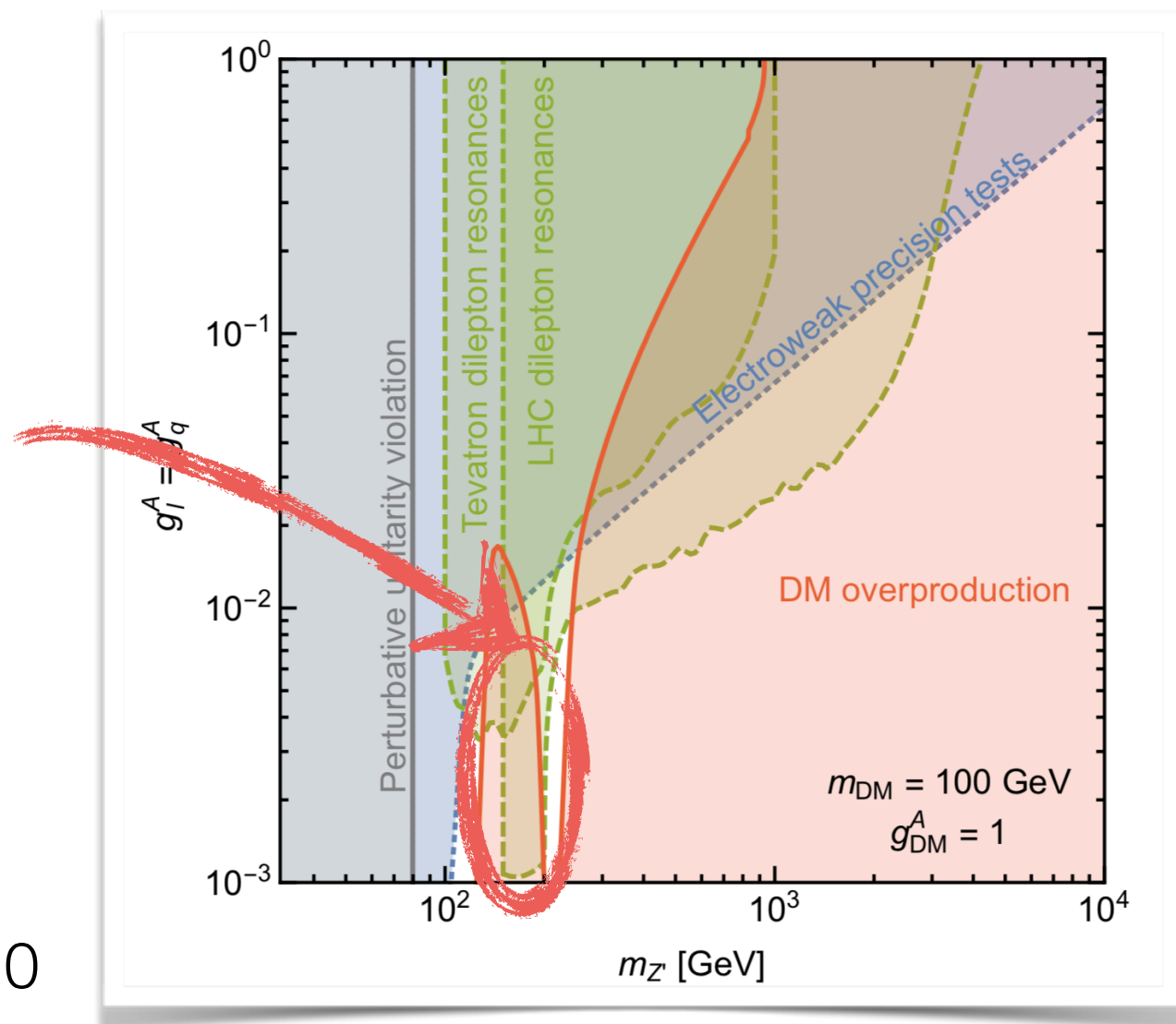
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Z' resonance
in annihilation



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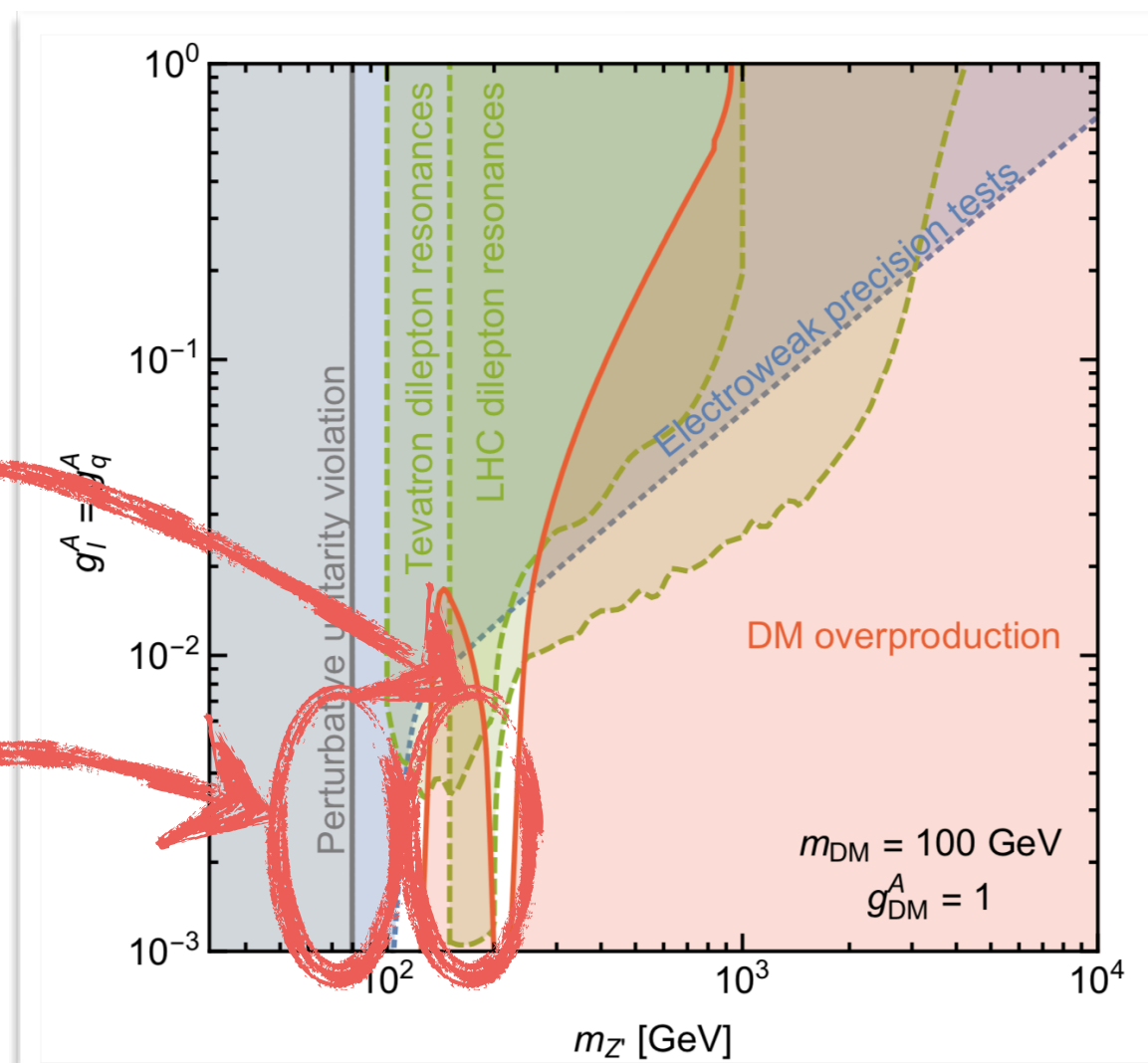
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Z' resonance
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Annihilation channel
 $XX \rightarrow Z'Z'$
becomes accessible

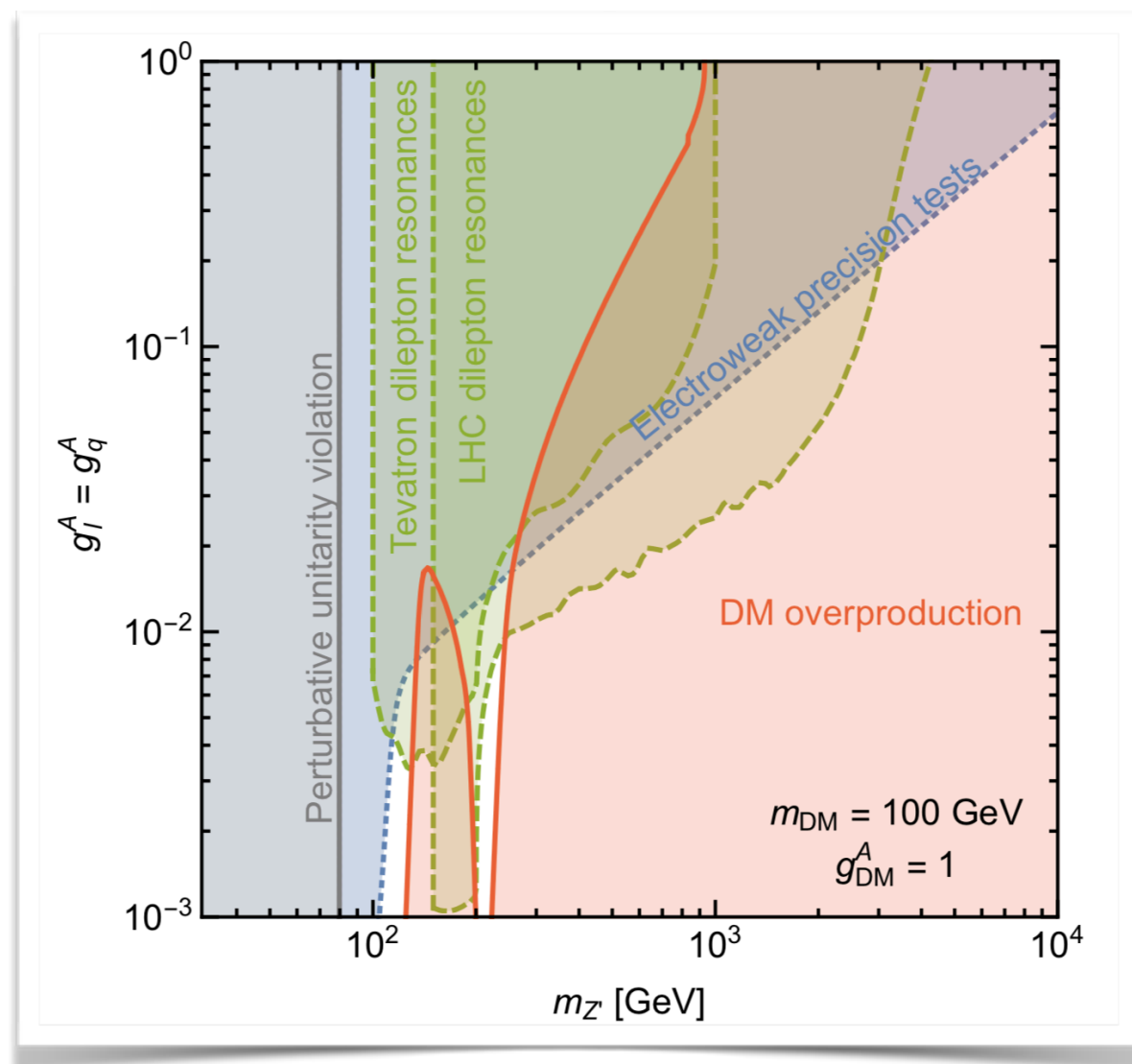
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Direct Searches for the Z' Mediator

$$\mathcal{L} = - \sum_{f=q,l,\nu} Z'^\mu \bar{f} [g_f^V \gamma_\mu + g_f^A \gamma_\mu \gamma^5] f - Z'^\mu \bar{\psi} [g_{\text{DM}}^V \gamma_\mu + g_{\text{DM}}^A \gamma_\mu \gamma^5] \psi$$

☑ Consider $\bar{q}q \rightarrow Z' \rightarrow \ell\ell, \chi\chi$

$$\Gamma(Z' \rightarrow f\bar{f}) = \frac{m_{Z'} N_c}{12\pi} \sqrt{1 - \frac{4m_f^2}{m_{Z'}^2}} \left[(g_f^V)^2 + (g_f^A)^2 + \frac{m_f^2}{m_{Z'}^2} (2(g_f^V)^2 - 4(g_f^A)^2) \right]$$

☑ LHC signature: dilepton resonance at the Z' mass

arXiv:1510.02110

Electroweak Precision Tests

$$\mathcal{L} = - \sum_{f=q,l,\nu} Z'^{\mu} \bar{f} [g_f^V \gamma_{\mu} + g_f^A \gamma_{\mu} \gamma^5] f - Z'^{\mu} \bar{\psi} [g_{\text{DM}}^V \gamma_{\mu} + g_{\text{DM}}^A \gamma_{\mu} \gamma^5] \psi$$

- ☑ Non-zero $g_f^A \Rightarrow$ LH and RH SM fermions carry opposite Z' charge
- ☑ To make the Yukawa coupling $y f_L H f_R$ invariant, the SM Higgs H must carry Z' charge q' as well.
- ☑ Its vev then contributes to the Z' mass
- ☑ ... and leads to mixing between Z and Z' : with the covariant derivative $D^{\mu} \equiv \partial^{\mu} - ig_1 Y B^{\mu} - ig_2 t^a W^{a\mu} - ig' q' Z'^{\mu}$, and with

$$B^{\mu} = -s_W Z^{\mu} + c_W A^{\mu}$$

$$W^{3\mu} = c_W Z^{\mu} + s_W A^{\mu}$$

we find

$$(D^{\mu} H)^{\dagger} (D_{\mu} H) \supset g' q' v^2 (g_1 Y s_W + \frac{1}{2} g_2 c_W) Z'^{\mu} Z^{\mu} = \frac{1}{2} g' q' v^2 \sqrt{g_1^2 + g_2^2} Z'^{\mu} Z^{\mu}$$

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SM Higgs
hypercharge: $Y = \frac{1}{2}$

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sine/cosine of Weinberg angle:

$$s_W = g_1 / \sqrt{g_1^2 + g_2^2}$$

$$c_W = g_2 / \sqrt{g_1^2 + g_2^2}$$

SM Higgs
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Constrained by LEP measurements of Z' charge
electroweak precision observables

(S and T parameters)

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Unitarity

- ☑ Matrix element for scattering process $i \rightarrow f$ can be decomposed into *partial waves*:

$$\mathcal{M}_{if}^J(s) = \frac{1}{32\pi} \beta_{if} \int_{-1}^1 d \cos \theta d_{\mu\mu'}^J(\theta) \mathcal{M}_{if}(s, \cos \theta)$$

arXiv:
1510.02110

17

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Wigner d-function
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- ☑ cf. partial wave decomposition of wave function in QM:

$$\psi(r, \theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} u_{\ell m}(r) Y_{\ell m}(\theta, \phi)$$

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- ☑ Optical theorem:

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- ☑ Optical theorem:

$$\text{Im}(\mathcal{M}_{ii}^J) = \sum_f |\mathcal{M}_{if}^J|^2 = |\mathcal{M}_{ii}^J|^2 + \sum_{f \neq i} |\mathcal{M}_{if}^J|^2 \geq |\mathcal{M}_{ii}^J|^2$$

- ☑ This implies

$$0 \leq \text{Im}(\mathcal{M}_{ii}^J) \leq 1, \quad |\text{Re}(\mathcal{M}_{ii}^J)| \leq \frac{1}{2}$$

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1510.02110

Unitarity

- ✓ Matrix element for scattering process $i \rightarrow f$ can be decomposed into *partial waves*:

$$\mathcal{M}_{if}^J(s) = \frac{1}{32\pi} \beta_{if} \int_{-1}^1 d\cos\theta d_{\mu\mu'}^J(\theta) \mathcal{M}_{if}(s, \cos\theta)$$

- ✓ cf. partial wave decomposition of scattering function in QM:

With $S = 1 + iT$
unitarity ($S^\dagger S = 1$) implies:
 $-i(T^\dagger - T) = T^\dagger T$

- ✓ **Optical theorem:**

$$\text{Im}(\mathcal{M}_{ii}^J) = \sum_f |\mathcal{M}_{if}^J|^2 = |\mathcal{M}_{ii}^J|^2 + \sum_{f \neq i} |\mathcal{M}_{if}^J|^2 \geq |\mathcal{M}_{ii}^J|^2$$

- ✓ This implies $0 \leq \text{Im}(\mathcal{M}_{ii}^J) \leq 1, \quad |\text{Re}(\mathcal{M}_{ii}^J)| \leq \frac{1}{2}$

arXiv:
1510.02110

- ☑ Matrix element for scattering process $i \rightarrow f$ can be decomposed into *partial waves*:

$$\mathcal{M}_{if}^J(s) = \frac{1}{32\pi} \beta_{if} \int_{-1}^1 d \cos \theta d_{\mu\mu'}^J(\theta) \mathcal{M}_{if}(s, \cos \theta)$$

- ☑ cf. partial wave decomposition of wave function in QM:

$$\psi(r, \theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} u_{\ell m}(r) Y_{\ell m}(\theta, \phi)$$

- ☑ Optical theorem:

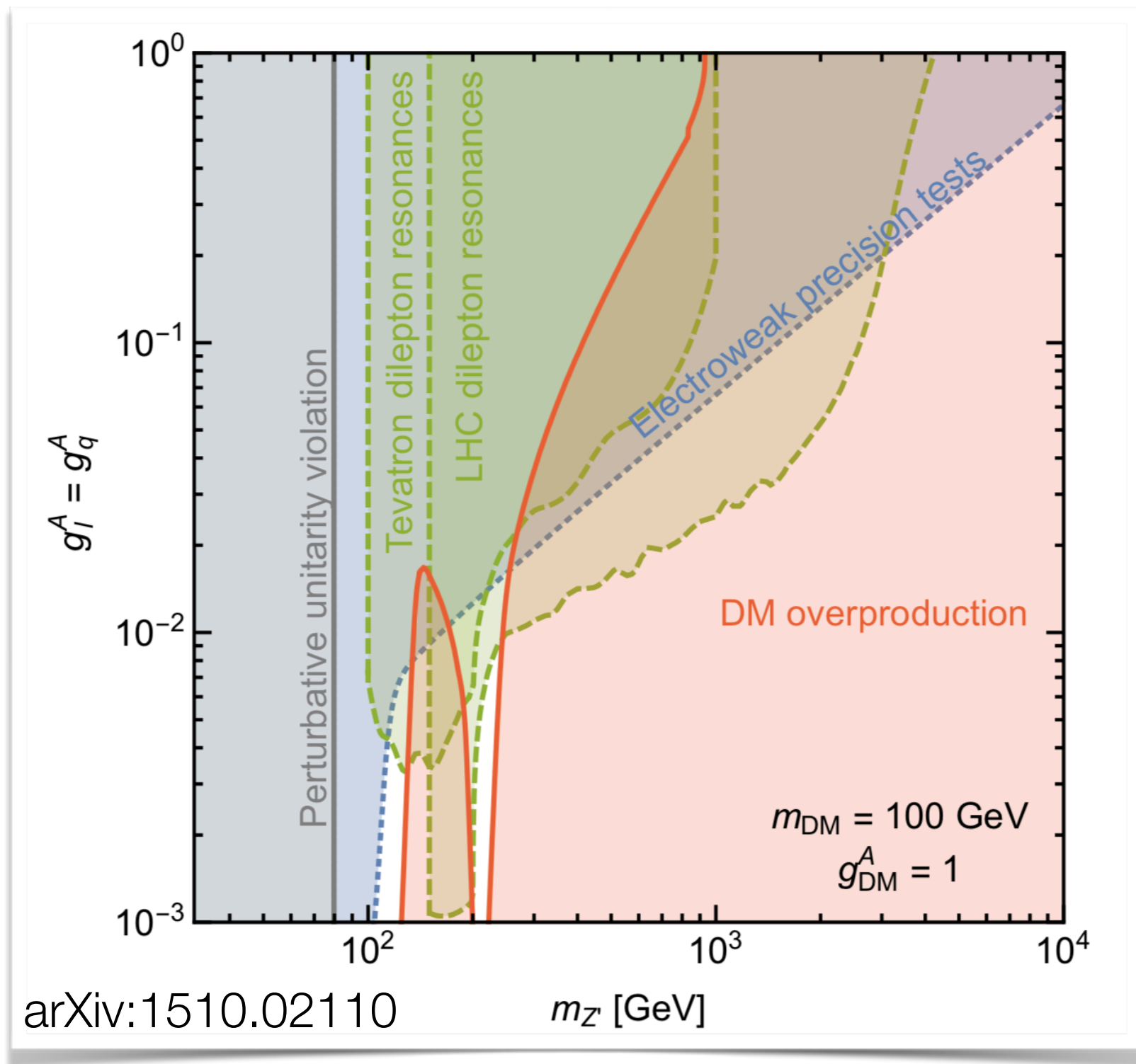
$$\text{Im}(\mathcal{M}_{ii}^J) = \sum_f |\mathcal{M}_{if}^J|^2 = |\mathcal{M}_{ii}^J|^2 + \sum_{f \neq i} |\mathcal{M}_{if}^J|^2 \geq |\mathcal{M}_{ii}^J|^2$$

- ☑ This implies

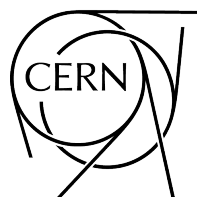
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Z' Simplified Model: Axial Couplings Summary



Dark Photons



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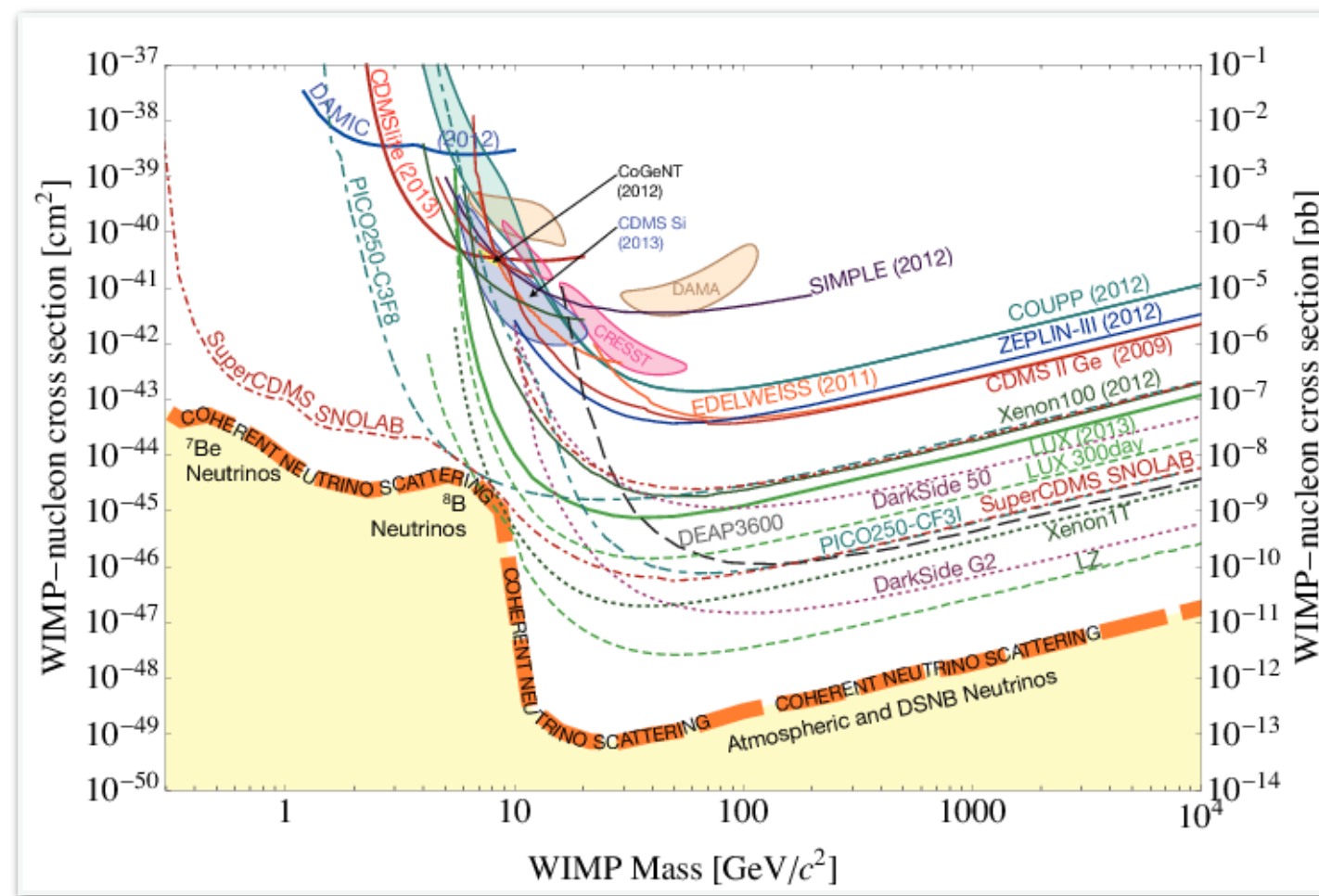
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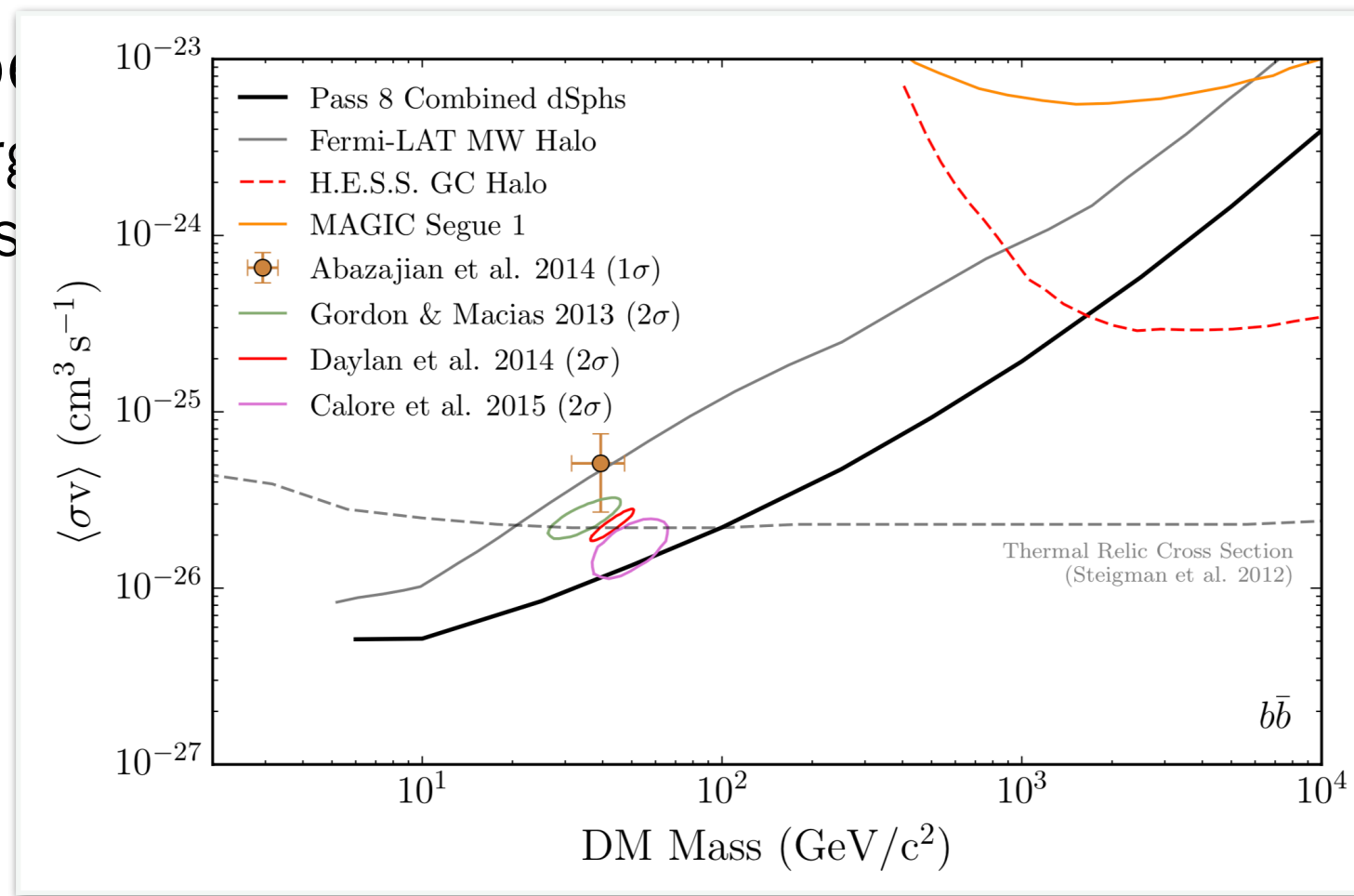


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below energy threshold of Fermi-LAT (γ), AMS-02 (e^+e^-), ...
below threshold for annihilation into γ -rich final states ($\bar{b}b$, $\tau^+\tau^-$, ...)
- ❑ For light mediator particles, colliders are at relative disadvantage (cross section $\sigma \sim 1/E_{\text{cm}}^2$)

Motivation

- ☑ Only three possibilities for coupling a total gauge singlet to SM particles through a renormalizable interaction
 - Singlet scalar S : **Higgs portal** $\mathcal{L} \supset \lambda(H^\dagger H)S^\dagger S$
(typically implies $m_S \sim m_H \Rightarrow$ back at the electroweak scale)
 - Singlet fermion N : **Neutrino portal** $\mathcal{L} \supset y\bar{L}(i\sigma^2 H^*)N$
(relevant for instance for sterile neutrino DM \Rightarrow Christoph Weniger's lectures)
 - Singlet gauge boson B' : **kinetic mixing** $\mathcal{L} \supset -\frac{1}{2} \sin \chi F_Y^{\mu\nu} F'_{\mu\nu}$

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Hypercharge (B_μ)
field strength tensor

B'_μ field strength tensor

Dark Photons and Dark Matter

- ☑ Dark Photons could either make up the dark matter ...
- ☑ ... or act as mediator of DM—SM couplings

Dark Photons: Formalism

$$\mathcal{L} \supset -\frac{1}{2} \sin \chi F_Y^{\mu\nu} F'_{\mu\nu}$$

- ☑ Remove kinetic mixing term by transformation

$$\begin{pmatrix} B_\mu \\ B'_\mu \end{pmatrix} = \begin{pmatrix} 1 & -\tan \chi \\ 0 & \sec \chi \end{pmatrix} \begin{pmatrix} \tilde{B}_\mu \\ \tilde{B}'_\mu \end{pmatrix}$$

to ensure B and B' have standard kinetic terms
(necessary for proper definition and normalization of 1-particle states)
Note: this trafo does not change the SM hypercharge couplings.

- ☑ Electroweak symmetry breaking mixes B and W :

$$\begin{pmatrix} \tilde{A}_\mu \\ \tilde{Z}_\mu \\ \tilde{Z}'_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_w & \sin \theta_w & 0 \\ -\sin \theta_w & \cos \theta_w & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \tilde{B}_\mu \\ W_\mu^3 \\ \tilde{B}'_\mu \end{pmatrix}$$

see for instance arXiv:0903.1118

Dark Photons: Formalism

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☑ θ_w is defined such that $\tilde{\mathbf{A}}$ is massless.

☑ $\tilde{\mathbf{Z}}$ and $\tilde{\mathbf{Z}}'$ have mass term of the form

$$\frac{1}{2} \begin{pmatrix} \tilde{Z}_\mu & \tilde{Z}'_\mu \end{pmatrix} \begin{pmatrix} m^2 & -\Delta \\ -\Delta & M^2 \end{pmatrix} \begin{pmatrix} \tilde{Z}^\mu \\ \tilde{Z}'^\mu \end{pmatrix}$$

☑ Diagonalized by rotation

$$\begin{pmatrix} Z_- \\ Z_+ \end{pmatrix} = \begin{pmatrix} \cos \zeta & -\sin \zeta \\ \sin \zeta & \cos \zeta \end{pmatrix} \begin{pmatrix} \tilde{Z}^\mu \\ \tilde{Z}'^\mu \end{pmatrix}$$

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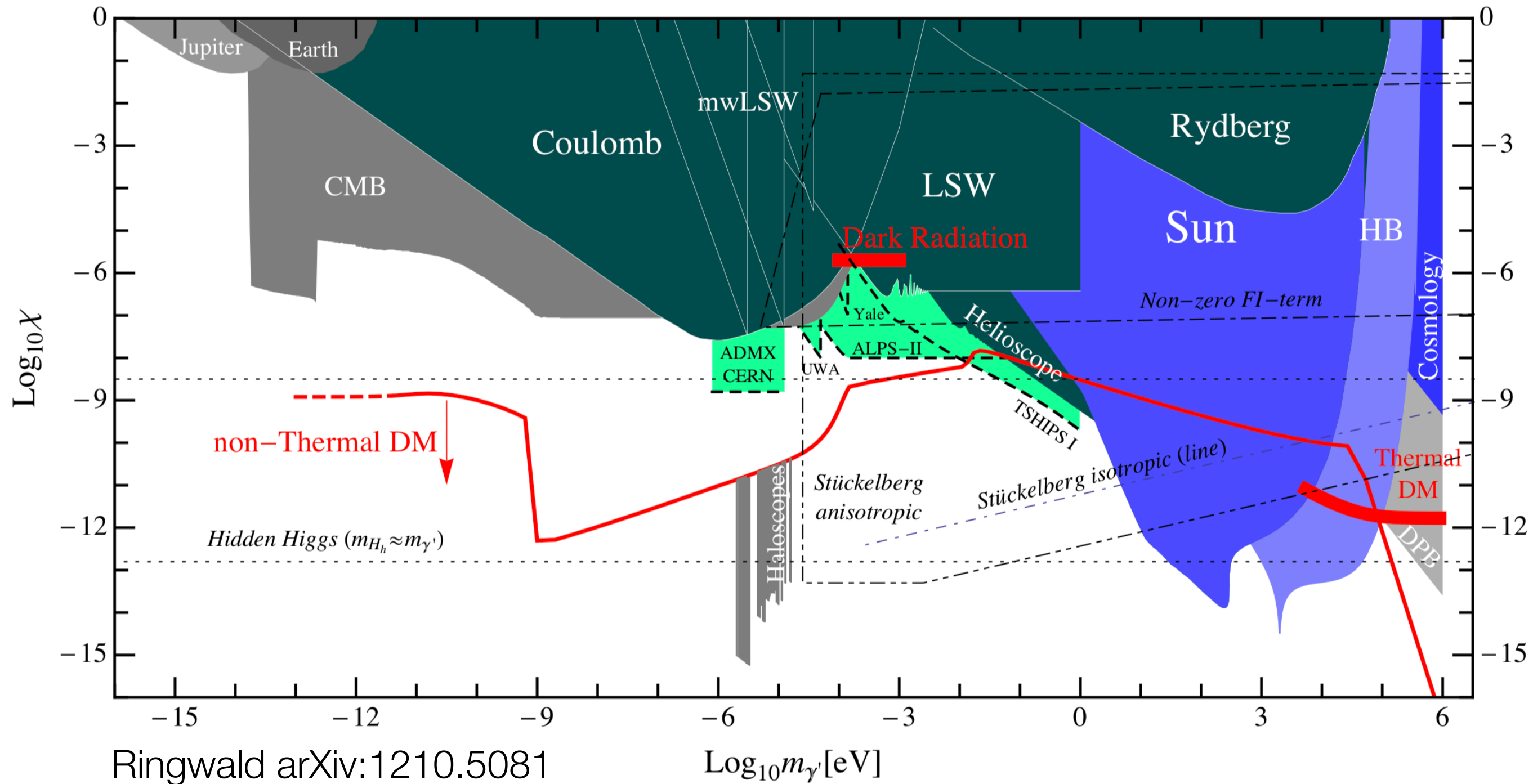
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☑ Couplings to SM currents in the new basis:

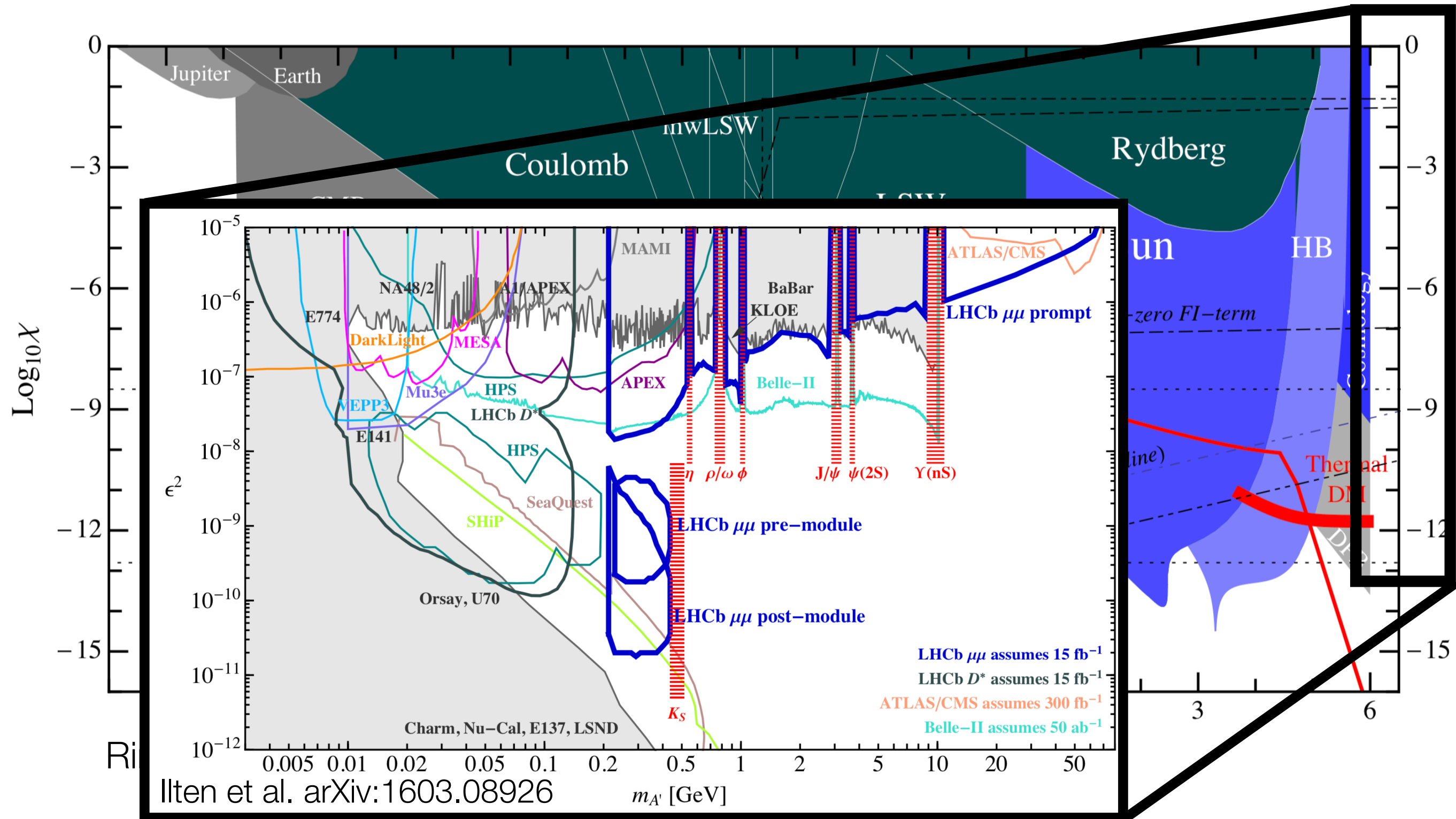
$$\begin{pmatrix} J_A \\ J_Z \\ J_{Z'} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ -\cos \theta_w \tan \chi \sin \zeta & \sin \theta_w \tan \chi \sin \zeta + \cos \zeta & \sec \chi \sin \zeta \\ -\cos \theta_w \tan \chi \cos \zeta & \sin \theta_w \tan \chi \cos \zeta - \sin \zeta & \sec \chi \cos \zeta \end{pmatrix} \begin{pmatrix} J_{\text{EM}}^{\text{SM}} \\ J_Z^{\text{SM}} \\ J' \end{pmatrix}$$

☑ Note: photon couplings unchanged (related to unbroken $U(1)_{\text{em}}$)

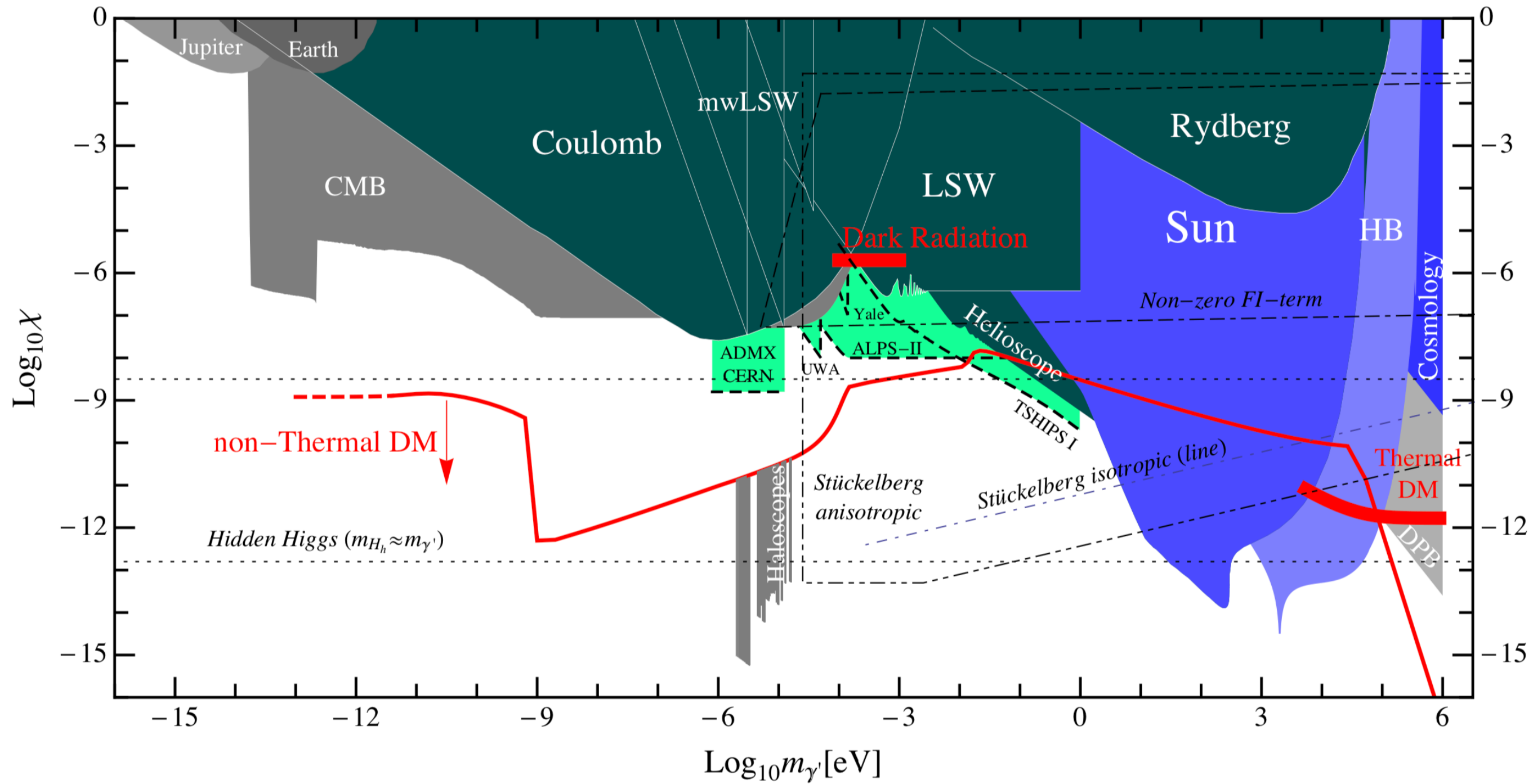
Dark Photon Constraints



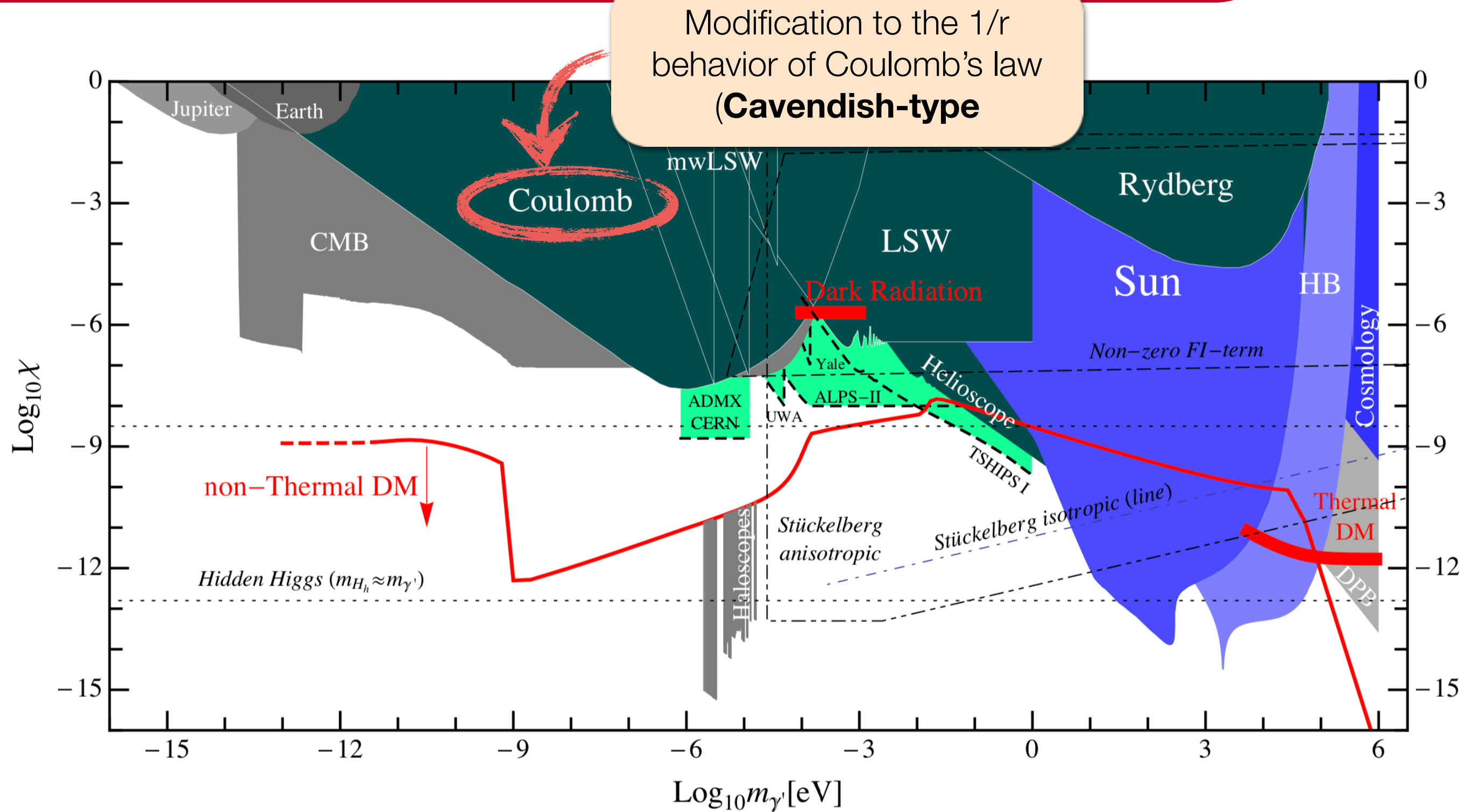
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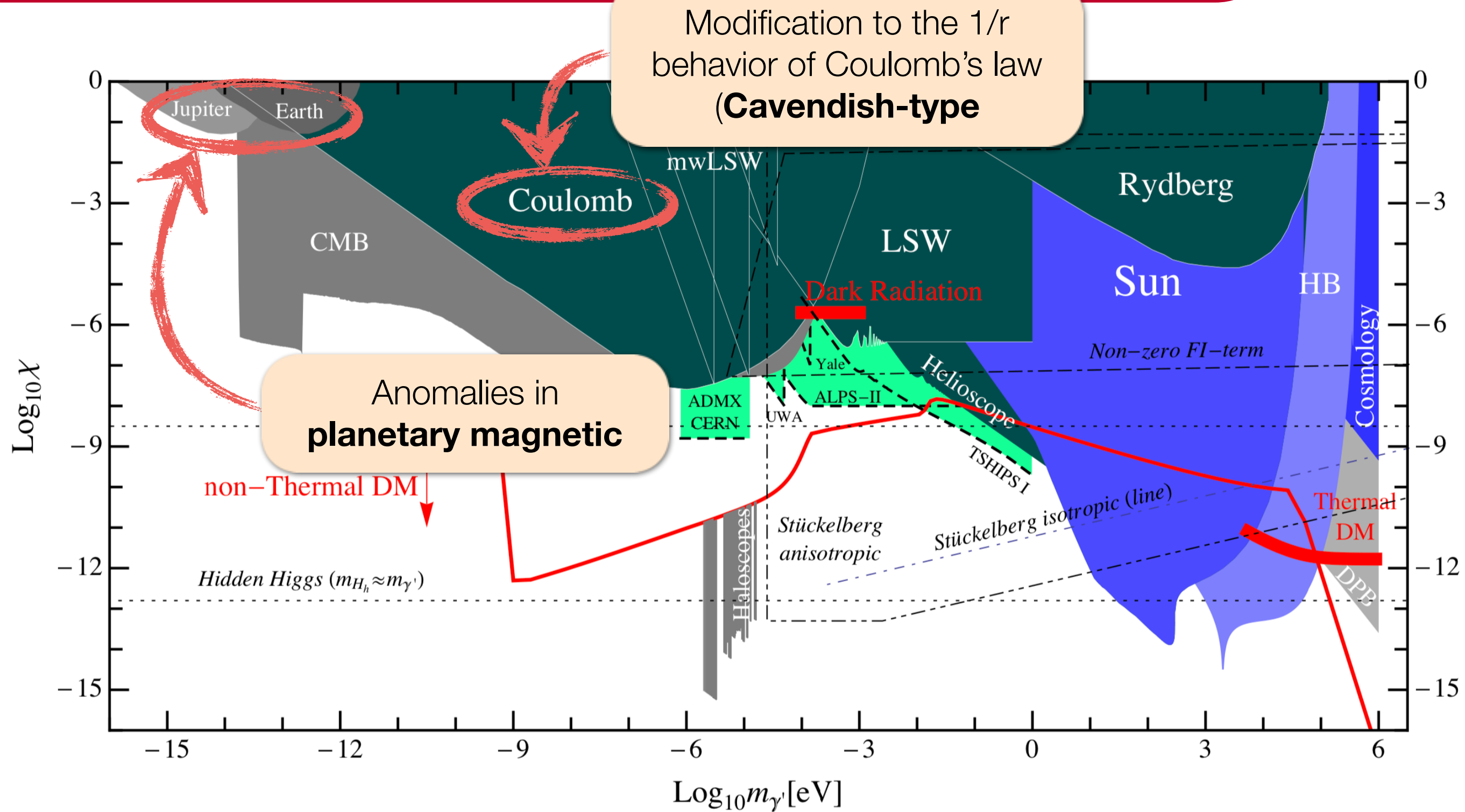
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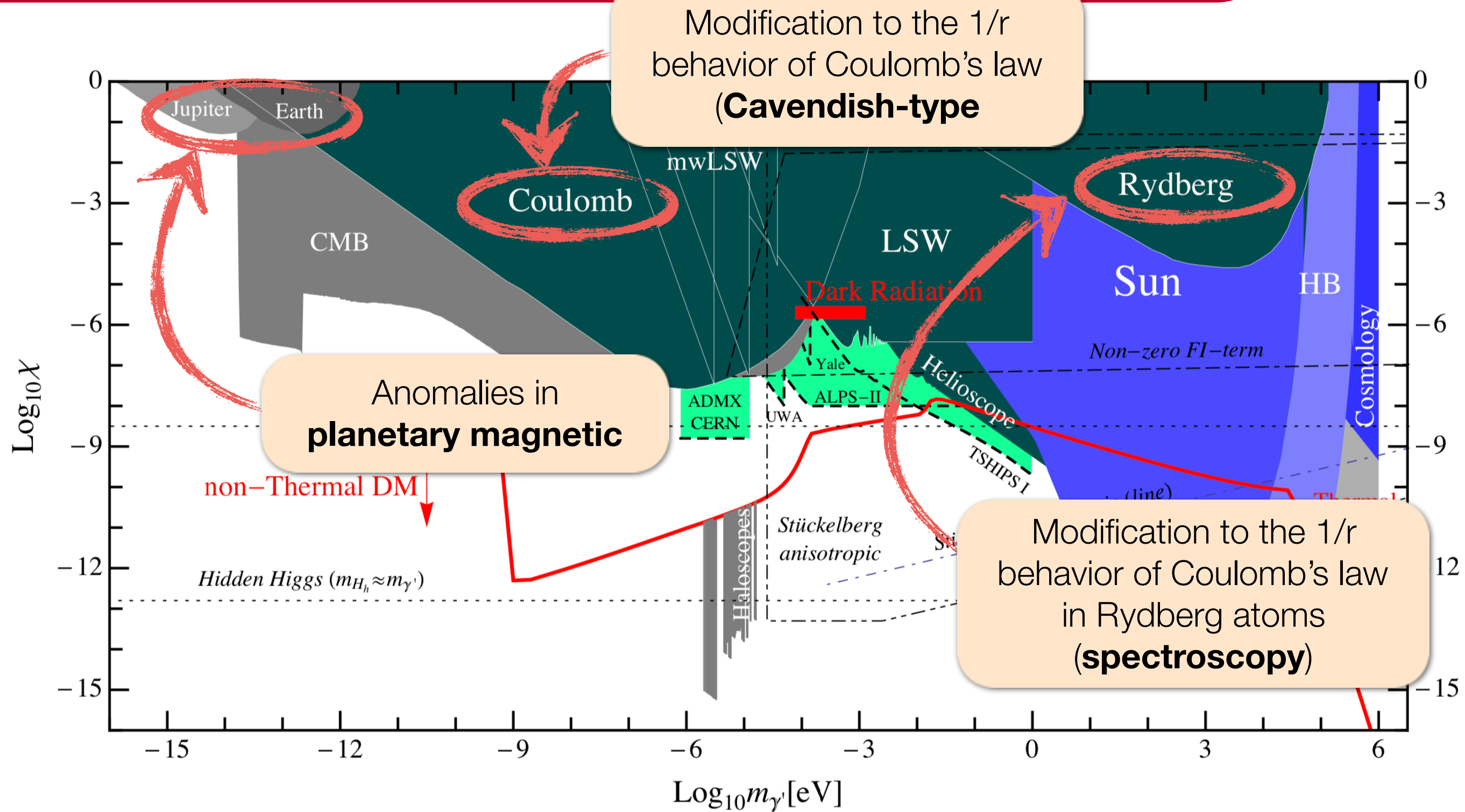
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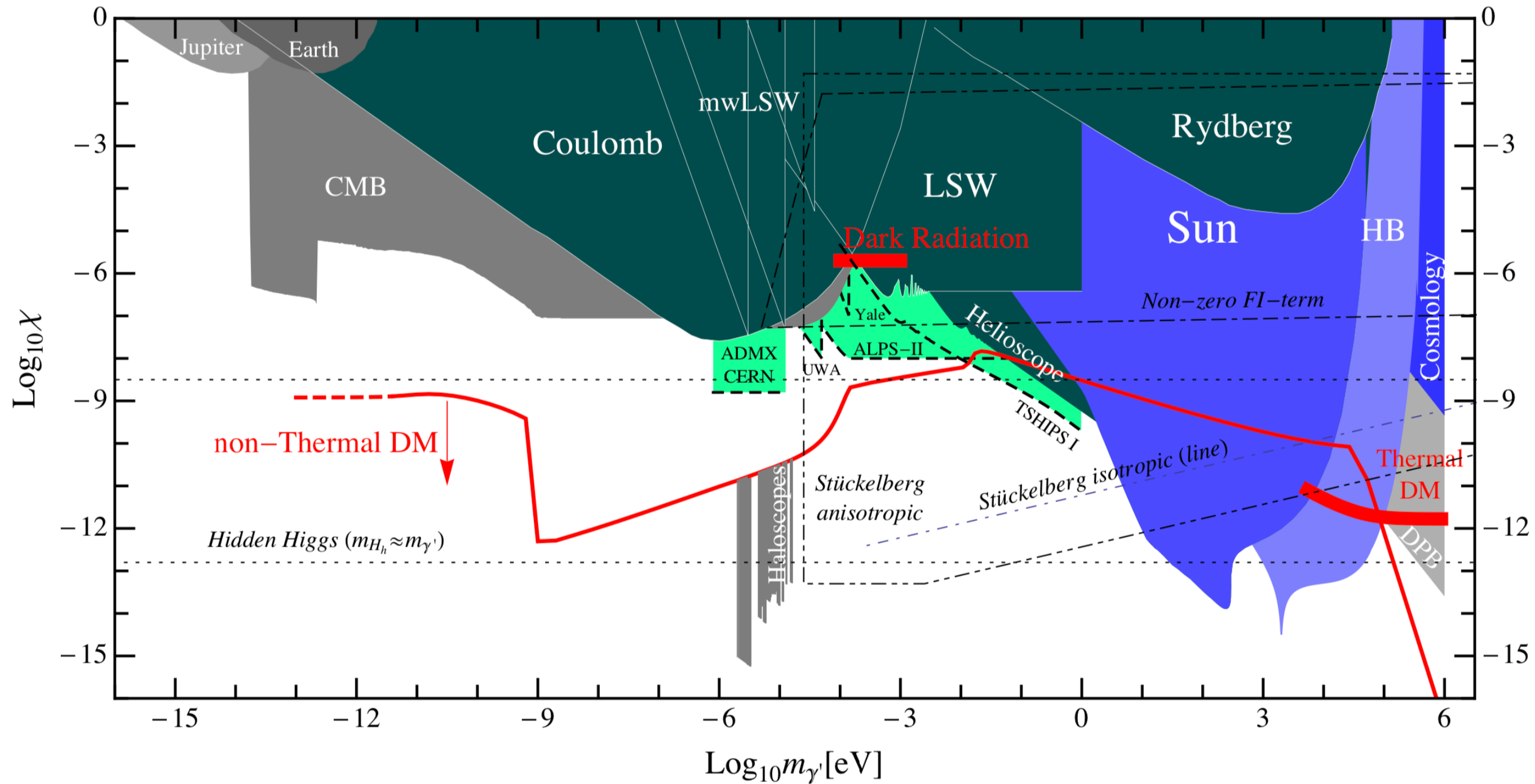
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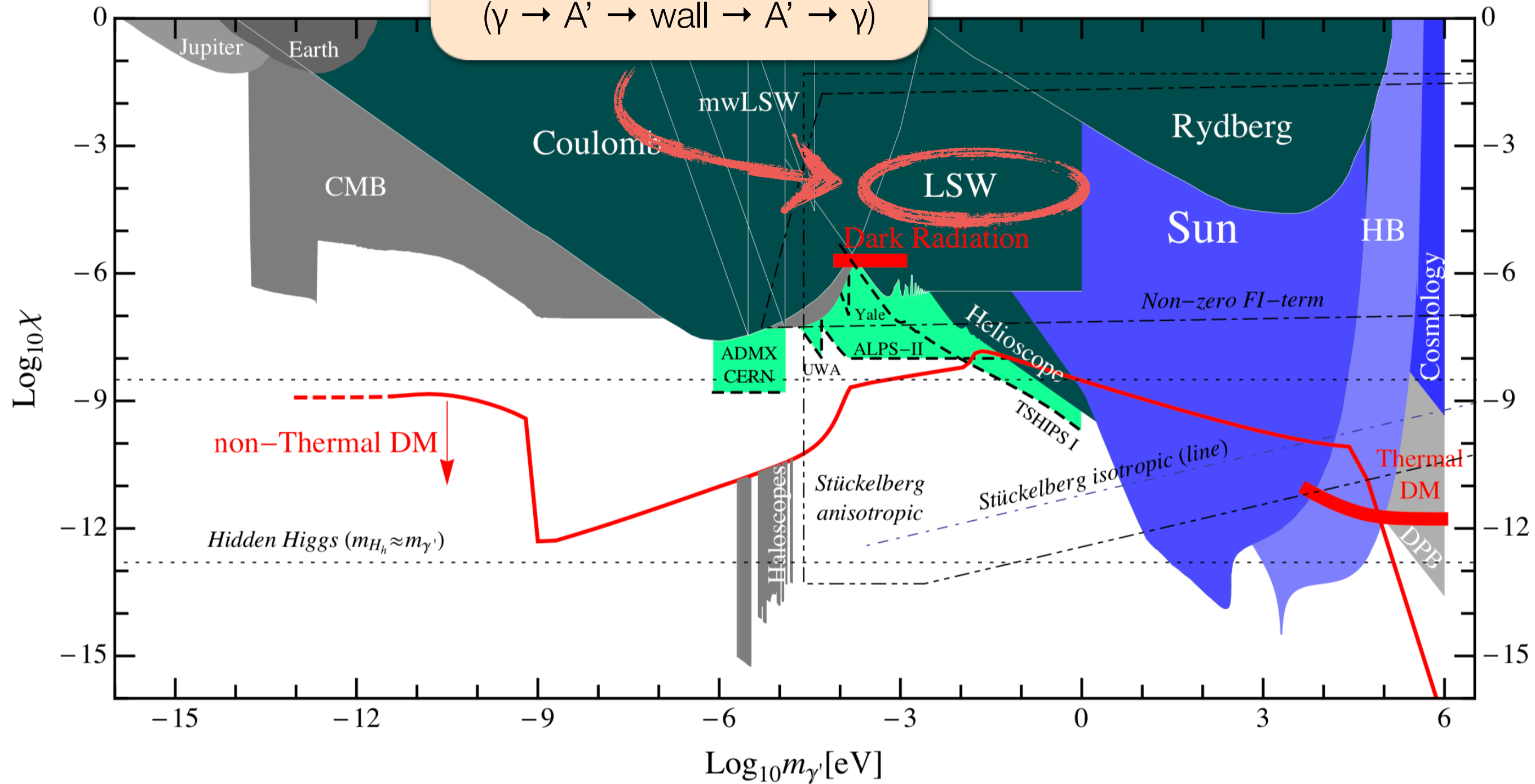


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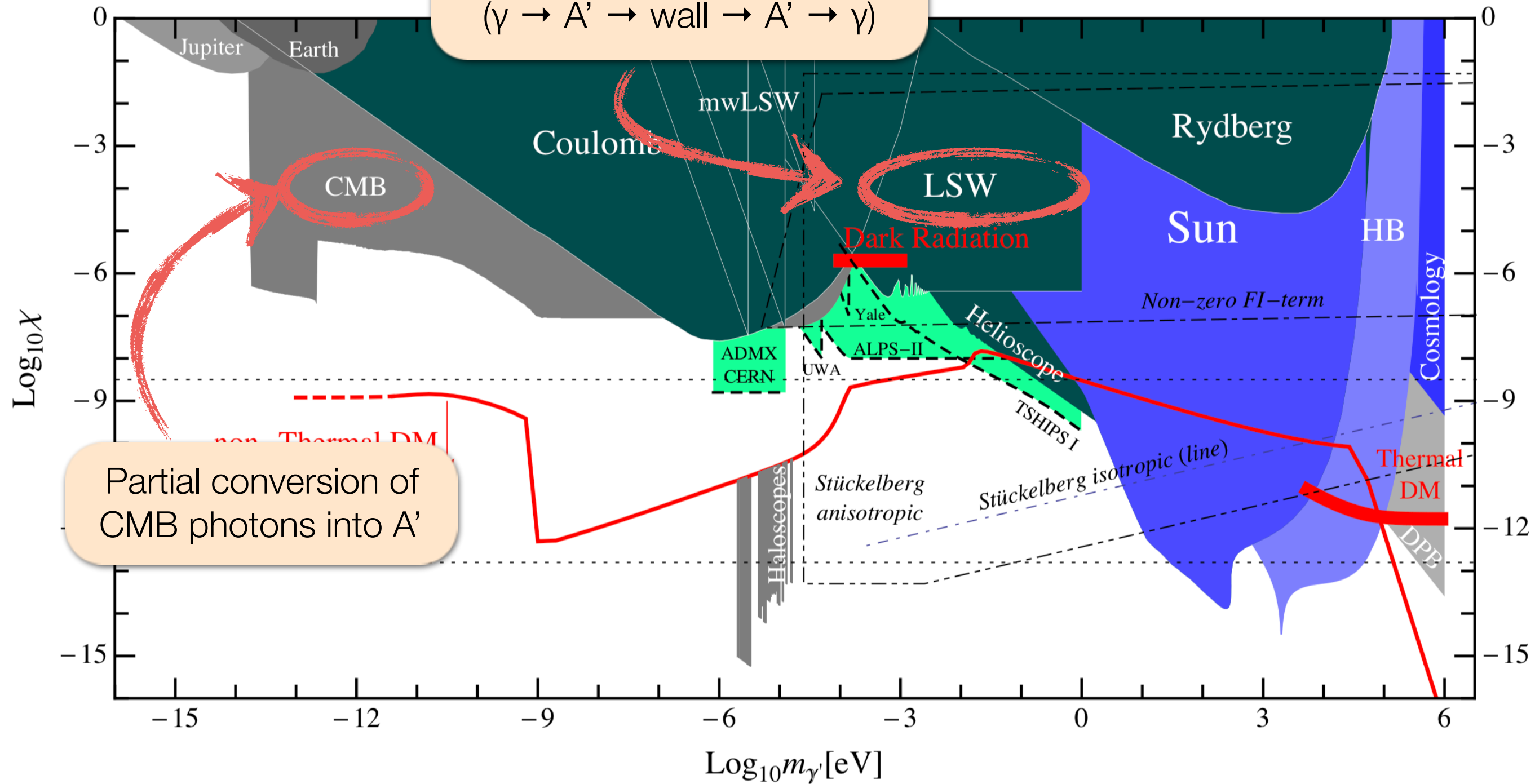
Dark Photon Constraints

Light shining through wall
experiments
($\gamma \rightarrow A' \rightarrow \text{wall} \rightarrow A' \rightarrow \gamma$)



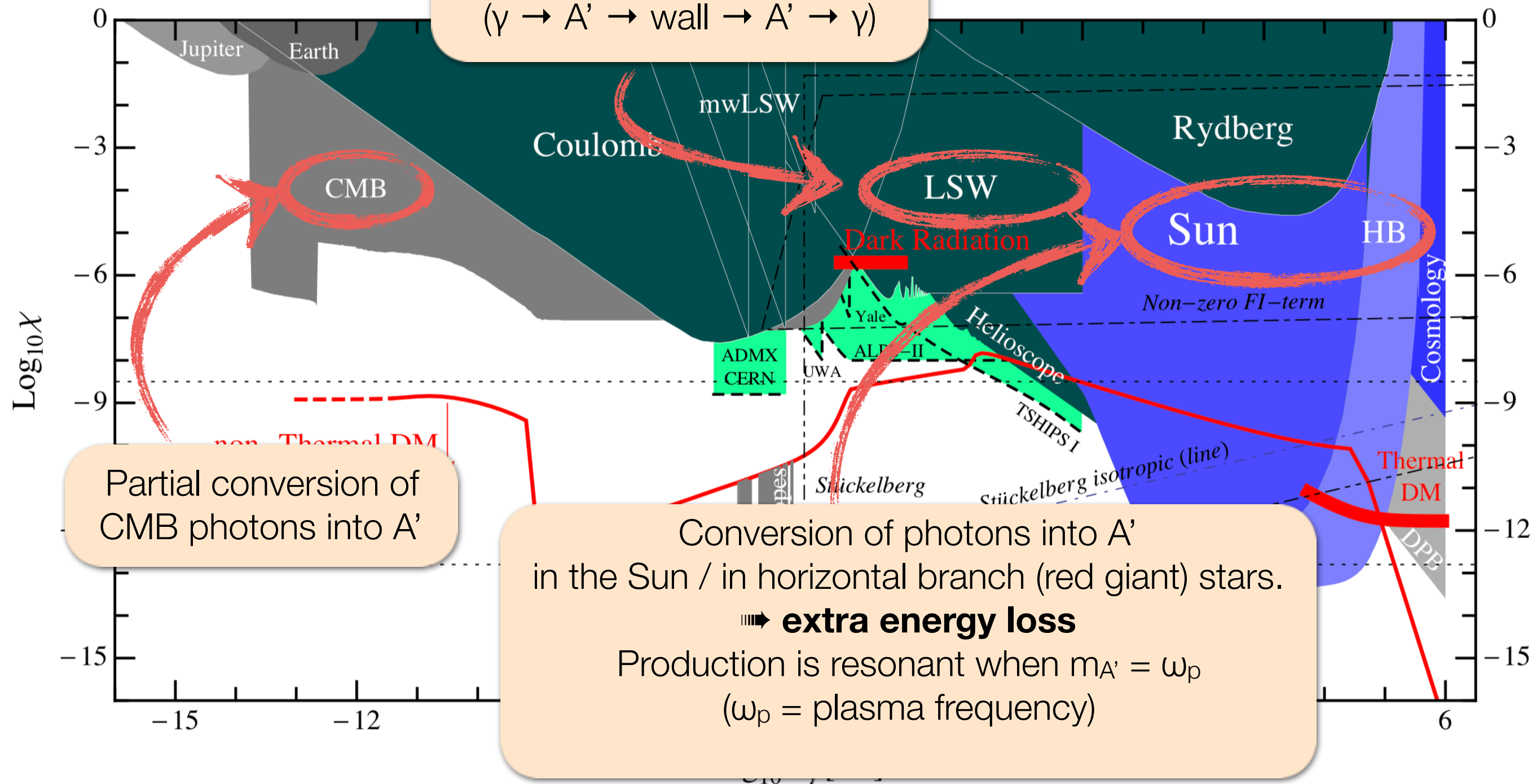
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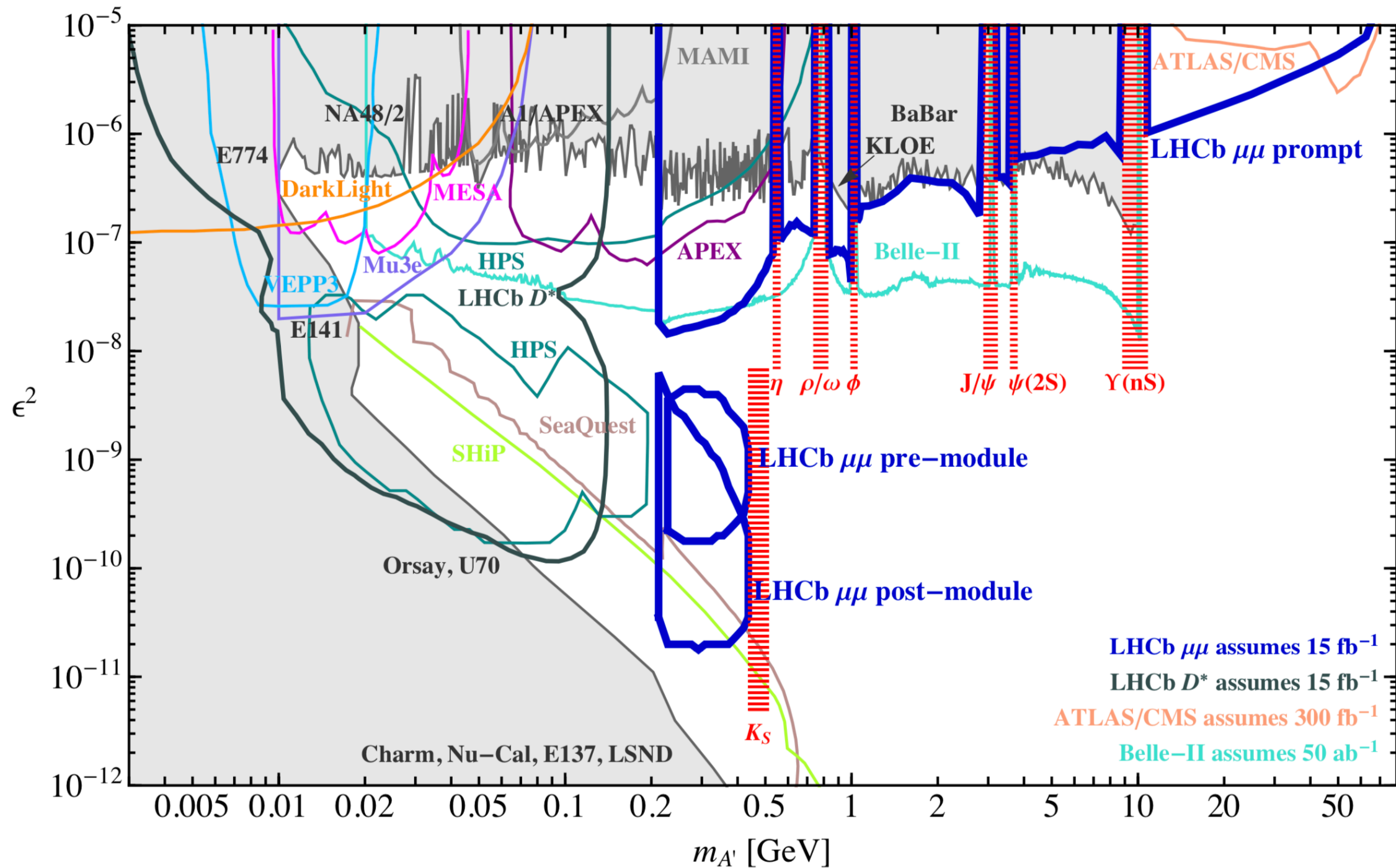


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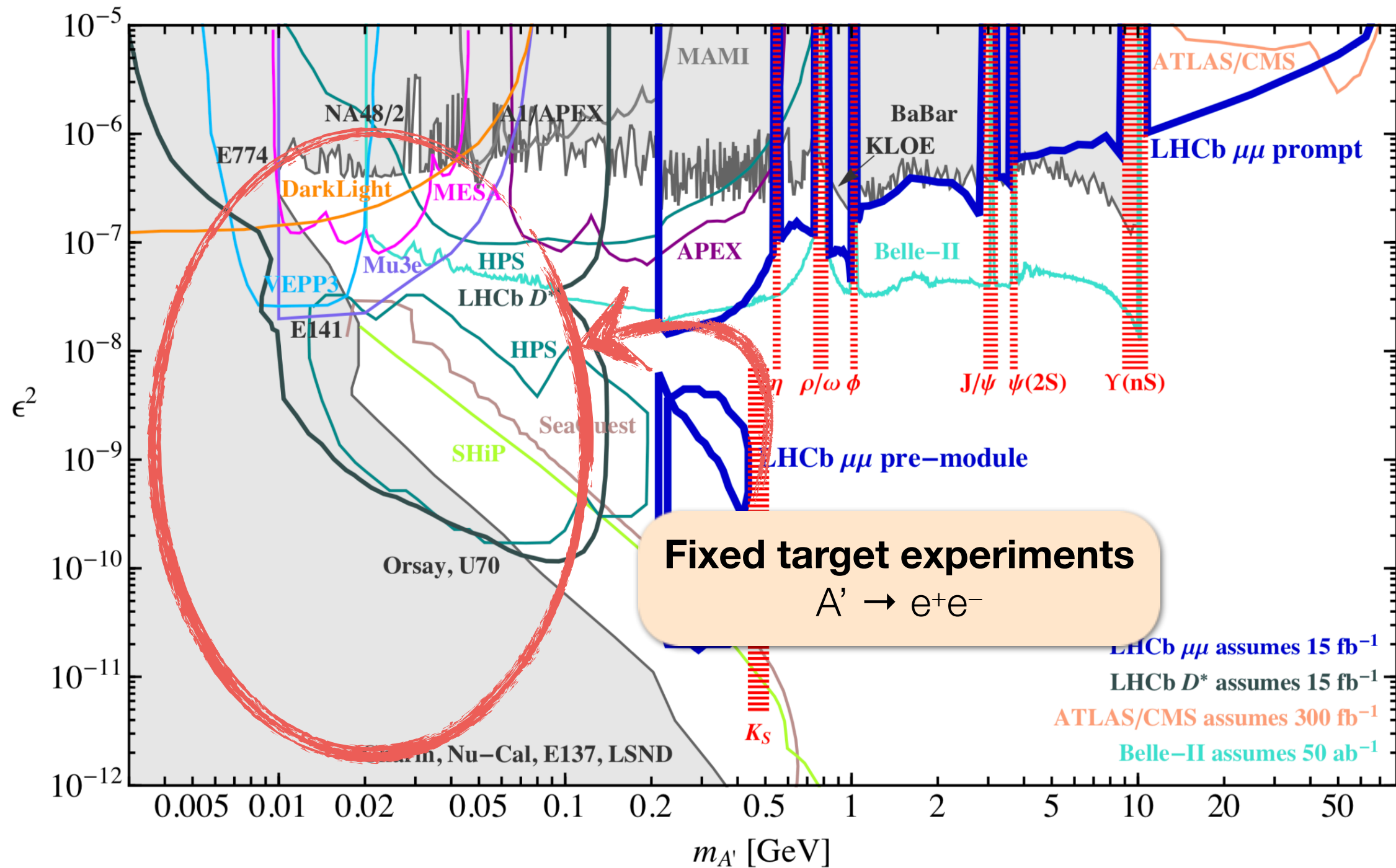
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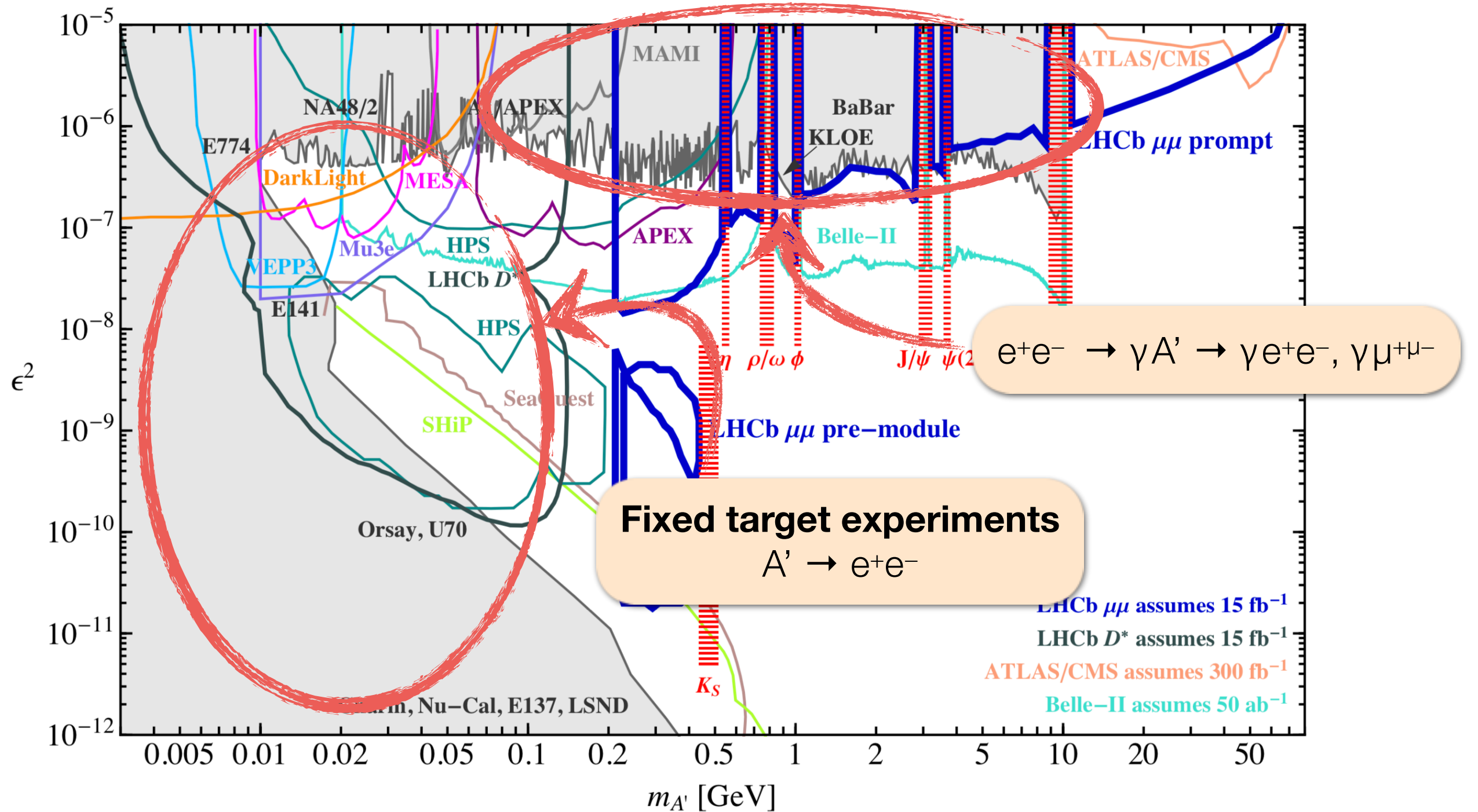
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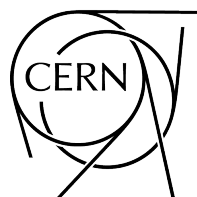
Dark Photon Constraints



Dark Photon Constraints



Primordial Black Holes as Dark Matter



JOHANNES GUTENBERG
UNIVERSITÄT MAINZ



Basic Idea

☑ Upward fluctuations of the plasma density in the early Universe may gravitationally collapse into black holes.

☑ Criterion:
“collapse should happen faster than rebound”

○ Collapse timescale: $1/(G\delta\rho)^{1/2}$ (from $R \sim GMt^2/R^2$)

○ Rebound timescale: $R/c_{\text{sound}} = R/w^{1/2}$

○ where w is the equation of state parameter ($p = w\rho$)

○ $\Rightarrow R > (w/G\delta\rho)^{1/2}$

○ Set $R \sim 1/H \sim M_{\text{Pl}}/T^2$ (Hubble horizon) and use $G \sim 1/M_{\text{Pl}}^2$

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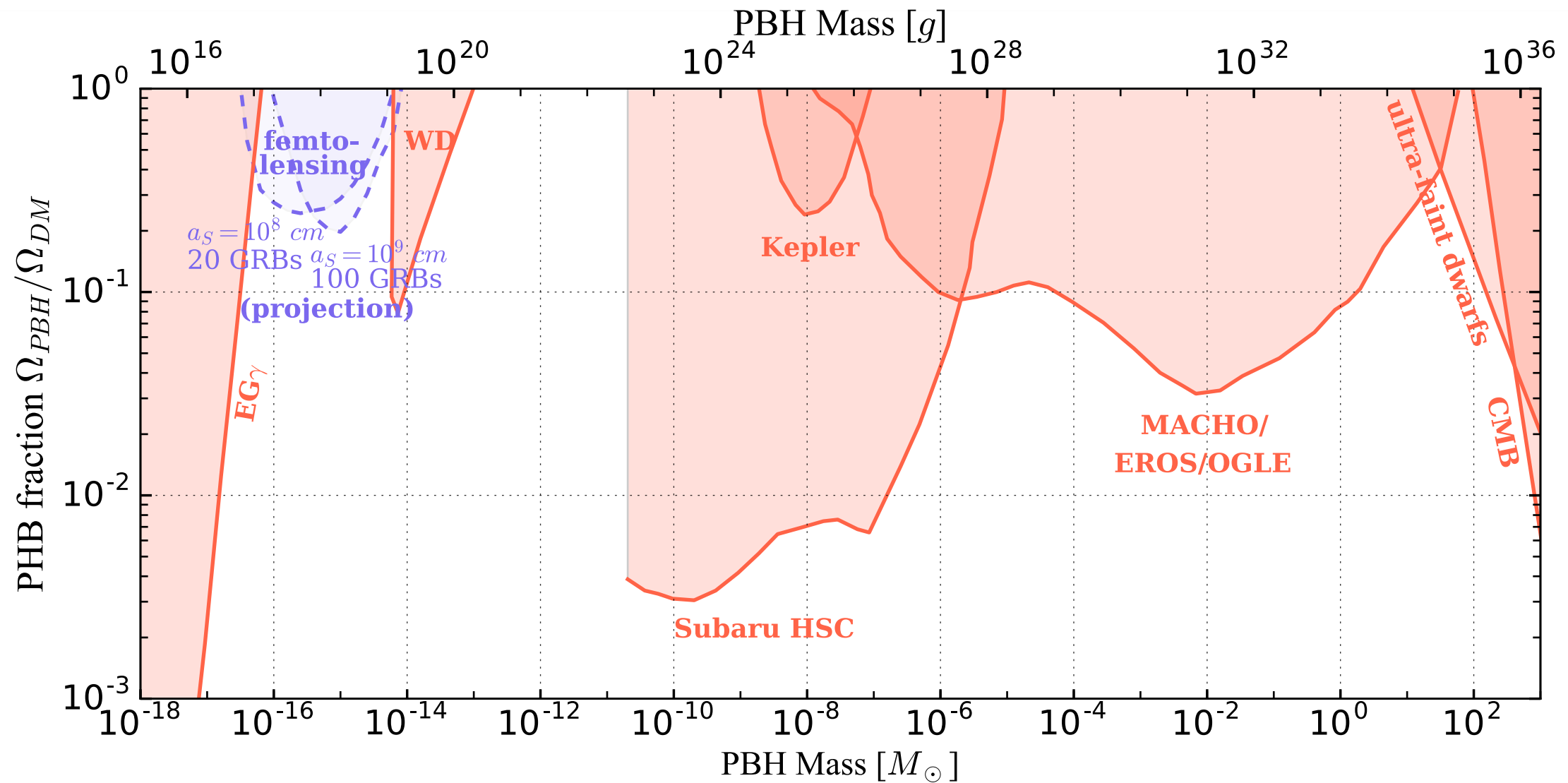
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relative overdensity

PBH Parameter Space



Katz JK Sibiryakov Xue
arXiv:1807.11495

PBH Evaporation

- ☑ Hawking 1974: black holes emit thermal radiation at temperature $T_{\text{BH}} = 1/(8\pi G_N M)$ (“Hawking radiation”)

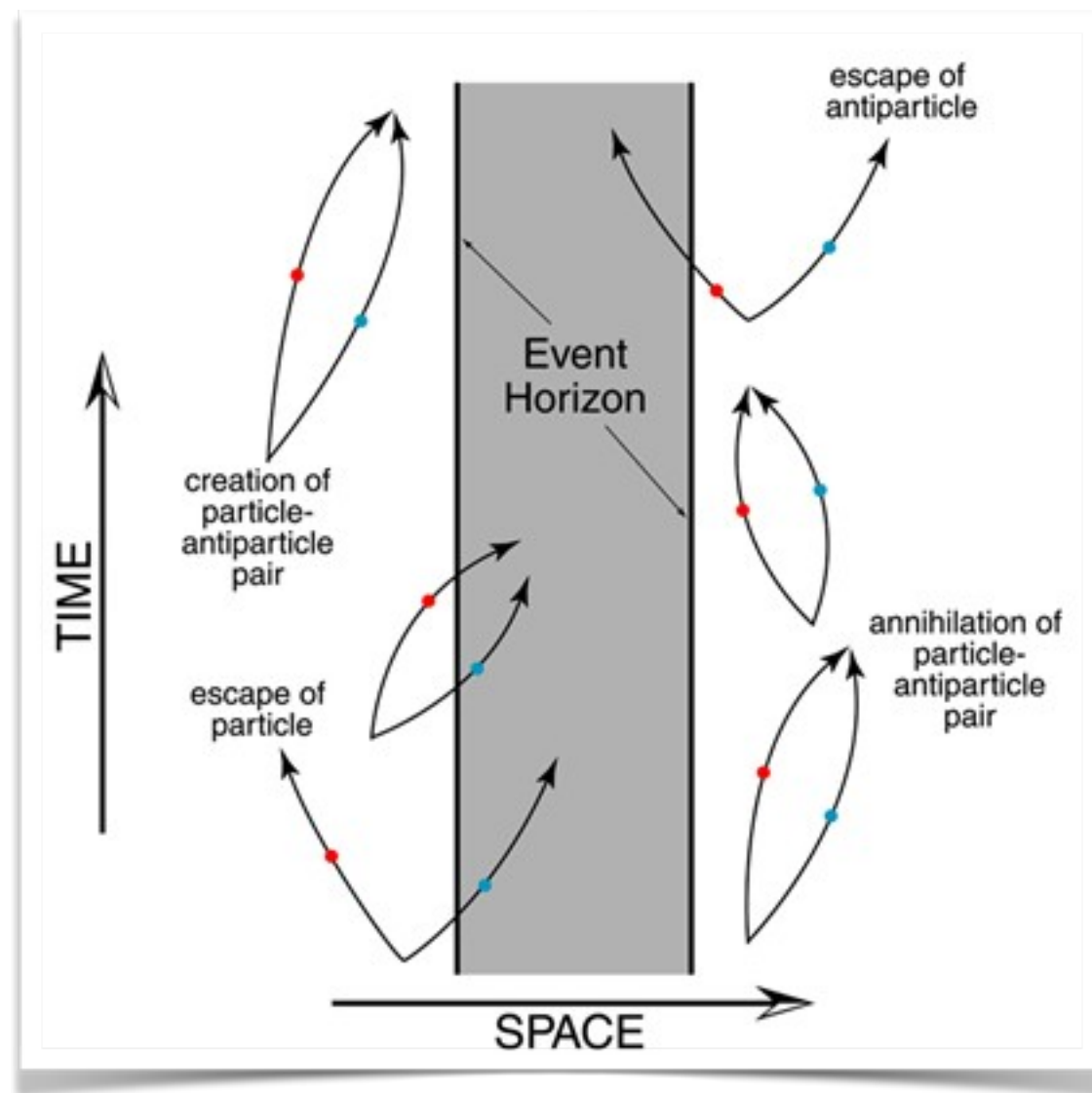


image by
Stephen Dilorio

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- ☑ Mass loss per unit area per unit time (Stefan Boltzmann law):

$$\frac{dM_{\text{BH}}}{dt dA} = \sigma T_{\text{BH}}^4$$

- ☑ Consequently, they eventually evaporate.

$$\frac{dM_{\text{BH}}}{dt} = \sigma T_{\text{BH}}^4 \cdot 4\pi R^2 = \frac{1}{2^{10}\pi \cdot 15} \frac{1}{G_N^2 M^2}$$

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Stefan-Boltzmann constant:
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Schwarzschild radius
 $R = 2 G_N M$

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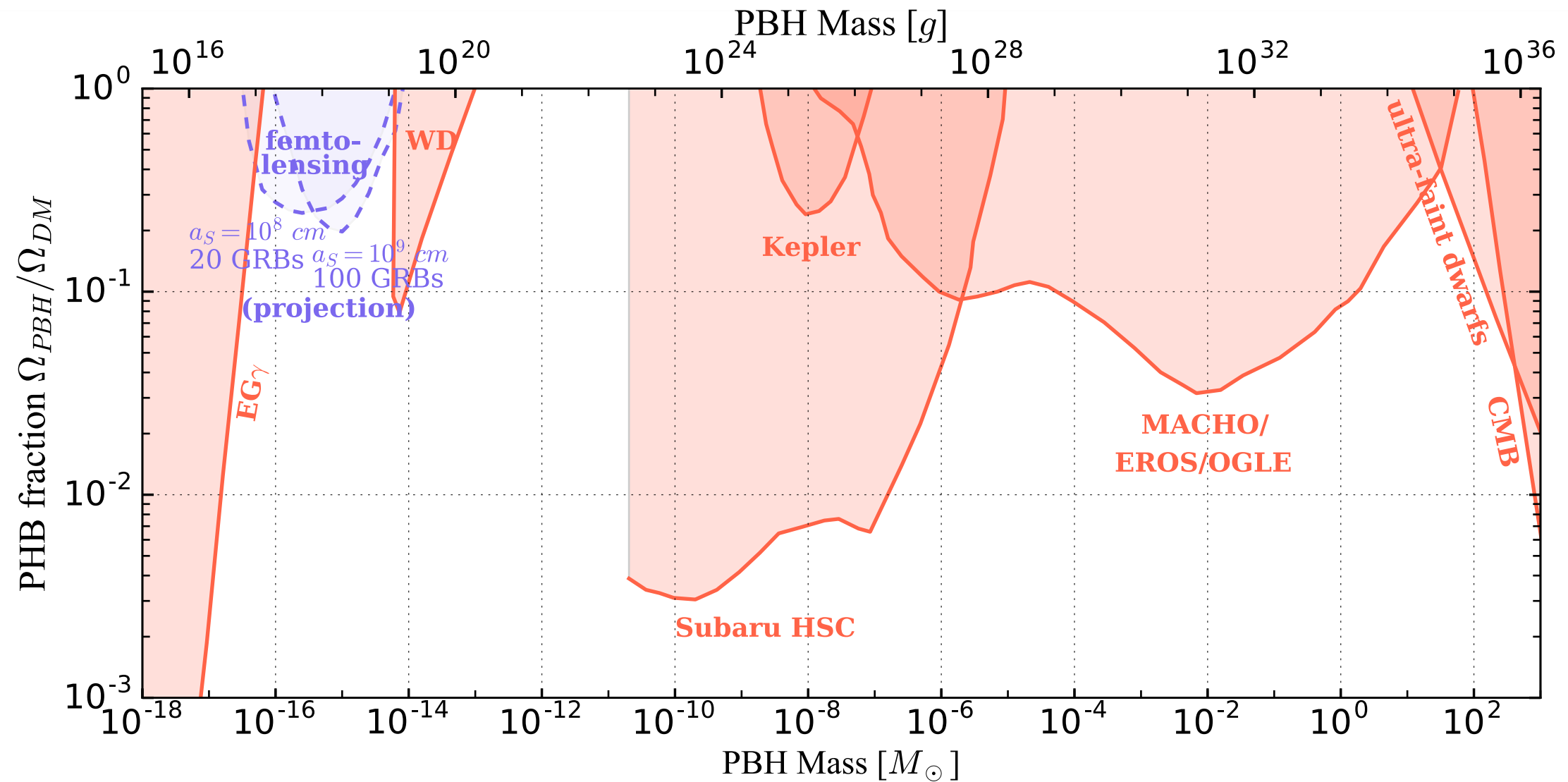
☑ Solve this differential equation by separation of variable

$$t = 5 \cdot 2^{10} \pi G_N^2 M^3 = 2 \times 10^{67} \text{ yrs} \times \left(\frac{M}{M_\odot} \right)^3$$

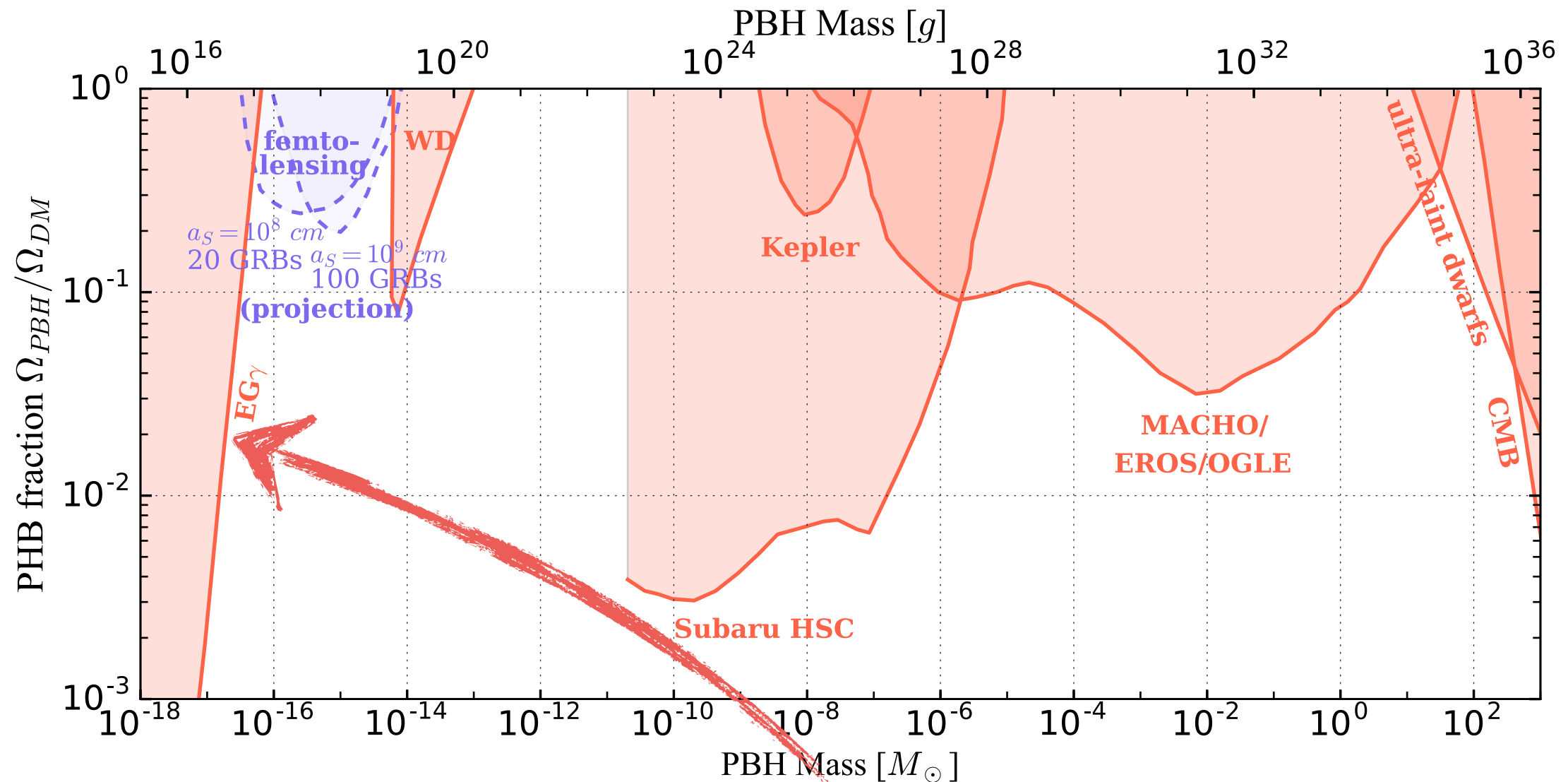
☑ Conclusions:

- PBH with mass $\lesssim 10^{-20} M_\odot$ have already evaporated
- Even for somewhat larger masses (up to $10^{-16} M_\odot$), their Hawking radiation would contribute significantly to extragalactic background light

PBH Parameter Space

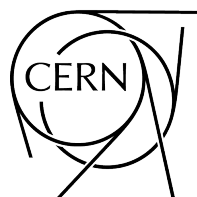


PBH Parameter Space



Extragalactic background light
constraint on Hawking radiation
from PBH evaporation

Gravitational Lensing



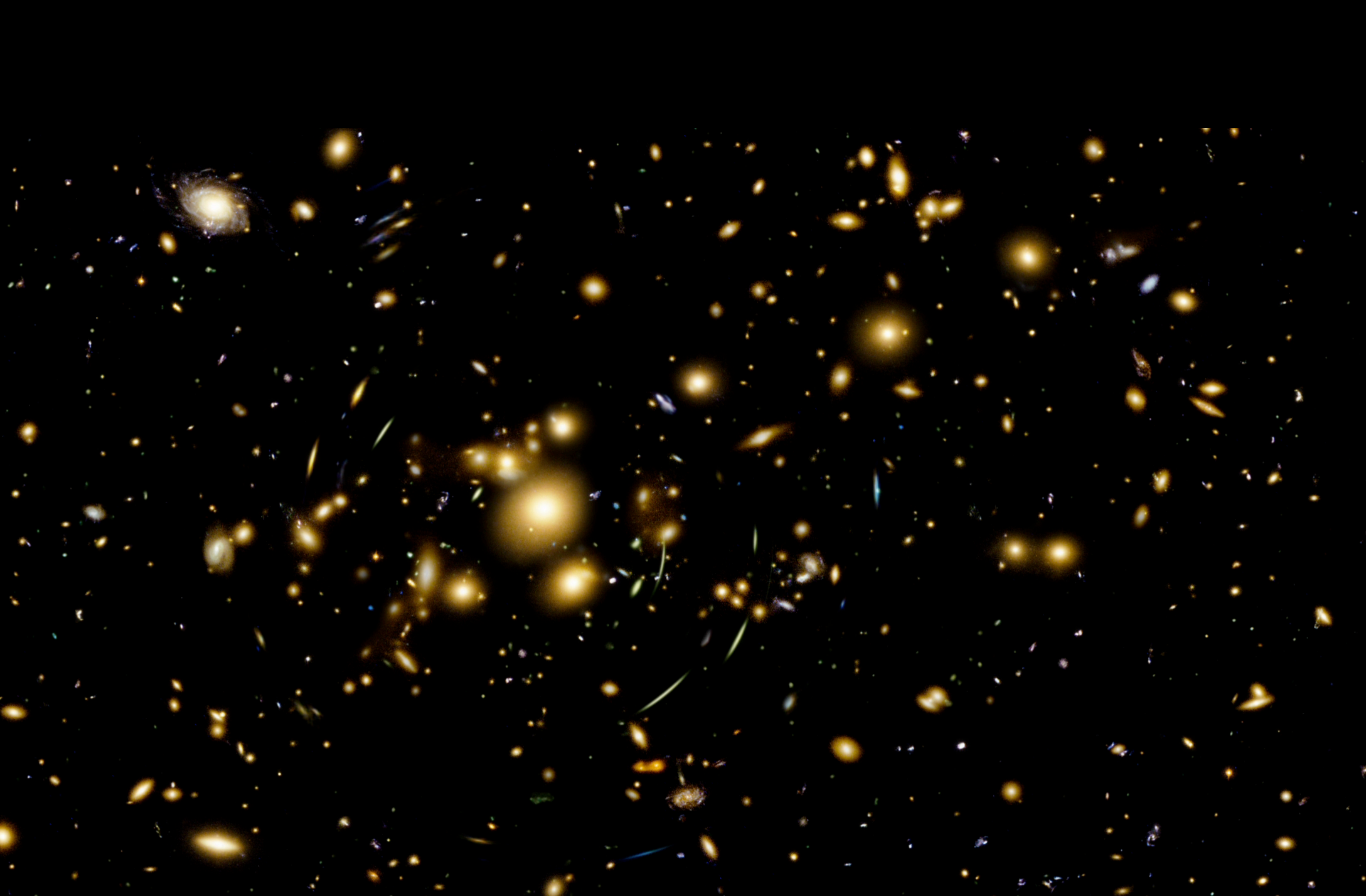
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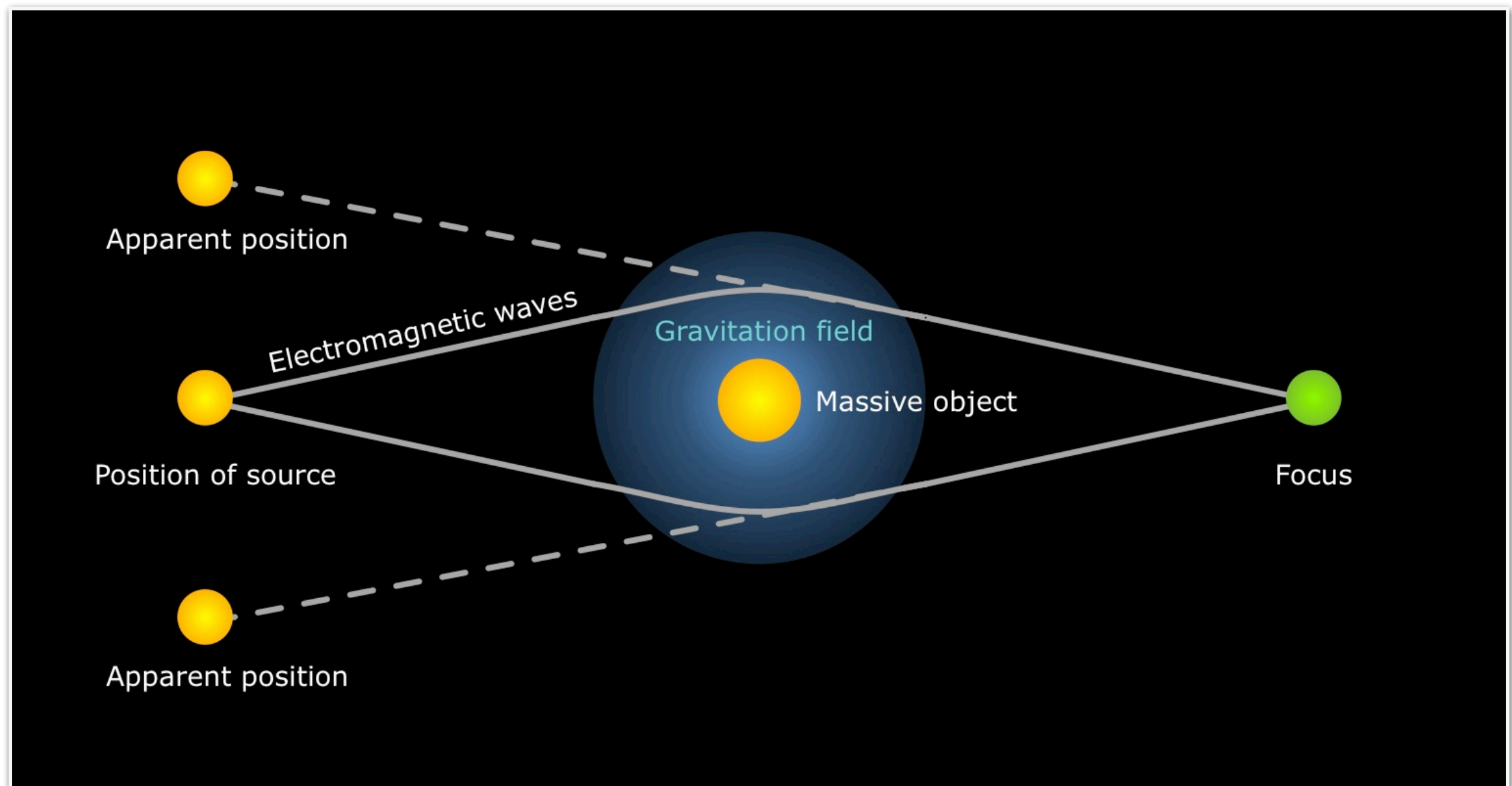
Gravitational Lensing

- ☑ Basic idea:
PBH intersecting our line of sight to a distant source
distorts the image of that source





Gravitational Lensing



Gravitational Lensing: Formalism

- ☑ Starting from the Minkowski metric

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

we add a weak gravitational potential

$$\eta_{\mu\nu} \rightarrow g_{\mu\nu} = \begin{pmatrix} 1 + \frac{2\Phi}{c^2} & 0 & 0 & 0 \\ 0 & -(1 - \frac{2\Phi}{c^2}) & 0 & 0 \\ 0 & 0 & -(1 - \frac{2\Phi}{c^2}) & 0 \\ 0 & 0 & 0 & -(1 - \frac{2\Phi}{c^2}) \end{pmatrix}$$

- ☑ Corresponding line element:

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \left(1 + \frac{2\Phi}{c^2}\right) c^2 dt^2 - \left(1 - \frac{2\Phi}{c^2}\right) (d\vec{x})^2$$

- ☑ Light travels along null geodesic ($ds = 0$):

$$\left(1 + \frac{2\Phi}{c^2}\right) c^2 dt^2 = \left(1 - \frac{2\Phi}{c^2}\right) (d\vec{x})^2$$

based on lecture notes by Massimo Meneghetti

Gravitational Lensing: Formalism

$$\left(1 + \frac{2\Phi}{c^2}\right) c^2 dt^2 = \left(1 - \frac{2\Phi}{c^2}\right) (d\vec{x})^2$$

- ☑ Speed of light in gravitational field

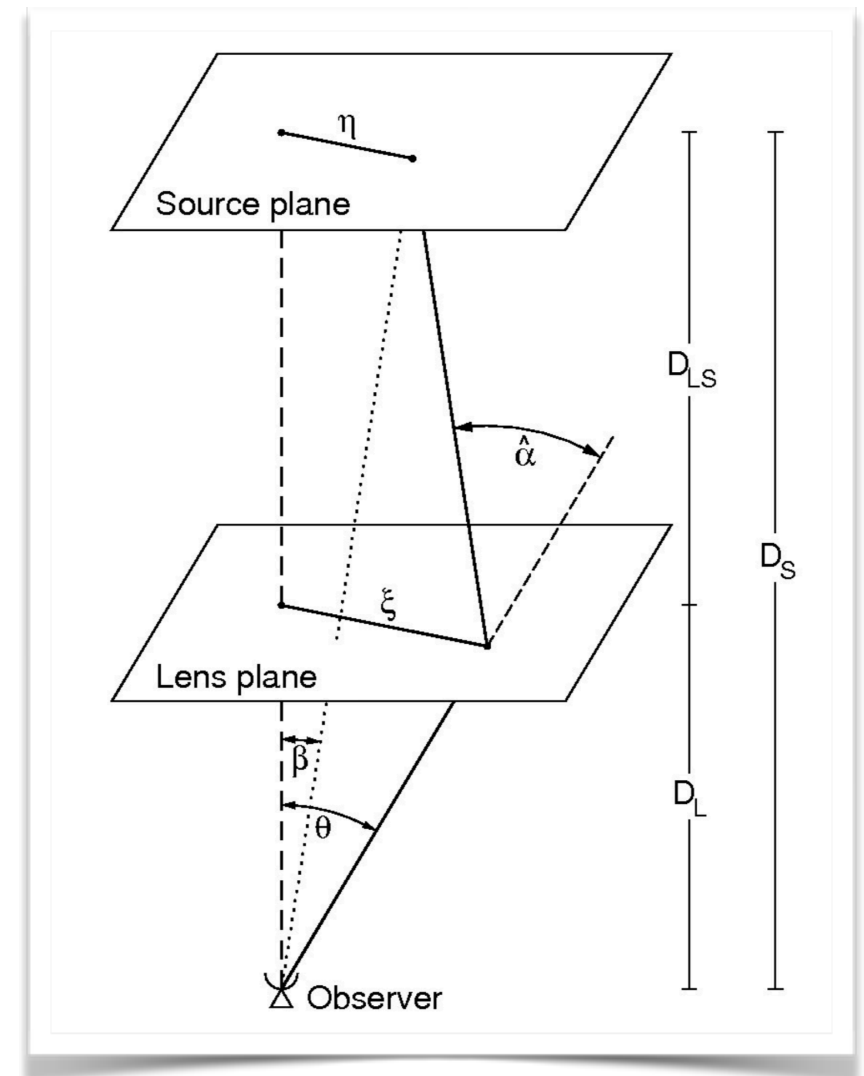
$$c' = \frac{|d\vec{x}|}{dt} = c \sqrt{\frac{1 + \frac{2\Phi}{c^2}}{1 - \frac{2\Phi}{c^2}}} \approx c \left(1 + \frac{2\Phi}{c^2}\right)$$

- ☑ Corresponding index of refraction

$$n = c/c' = \frac{1}{1 + \frac{2\Phi}{c^2}} \approx 1 - \frac{2\Phi}{c^2}$$

- ☑ Light travel time is increased by

$$\Delta t_{\text{grav}} = \int_S^O \frac{dl}{c} n[\vec{x}(l)] = \int_S^O \frac{dl}{c} \frac{2G_N M}{c^2 \sqrt{l^2 + \xi^2}} \simeq -\frac{4G_N M}{c^2} \log \theta$$



based on lecture notes by Massimo Meneghetti

Gravitational Lensing: Formalism

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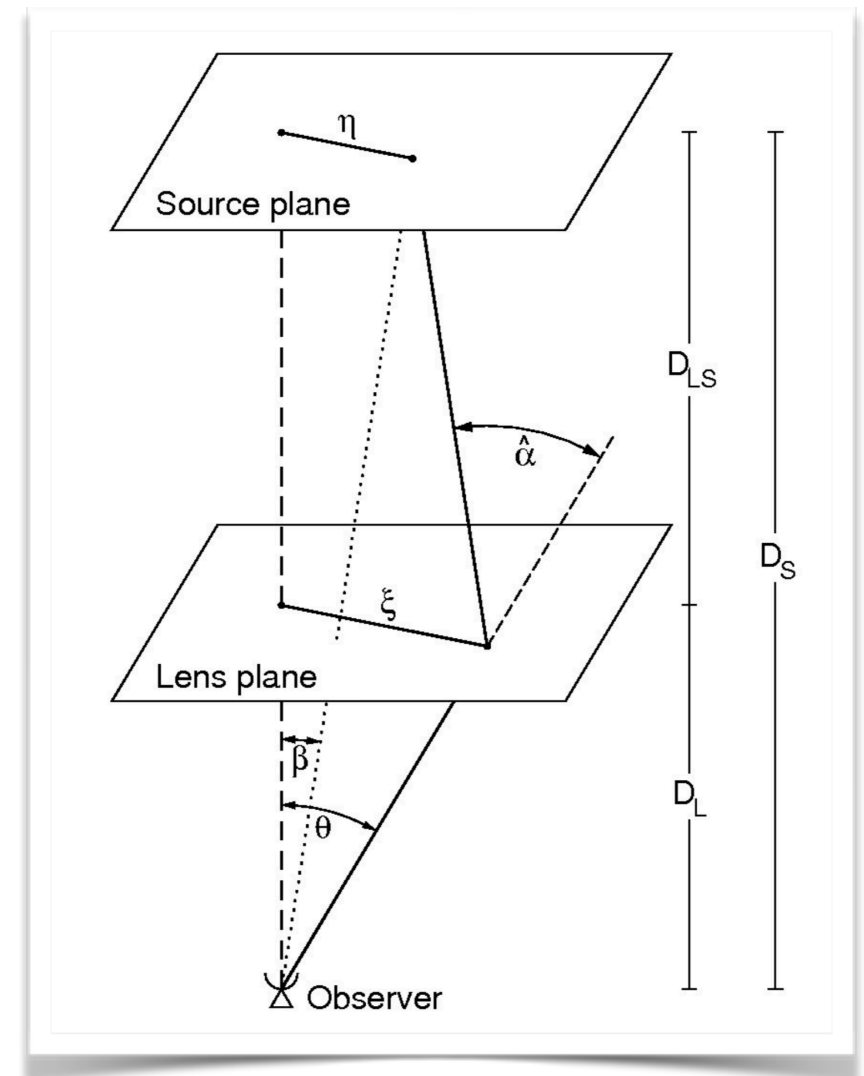
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Integral from source
to observer



based on lecture notes by Massimo Meneghetti

Gravitational Lensing: Formalism

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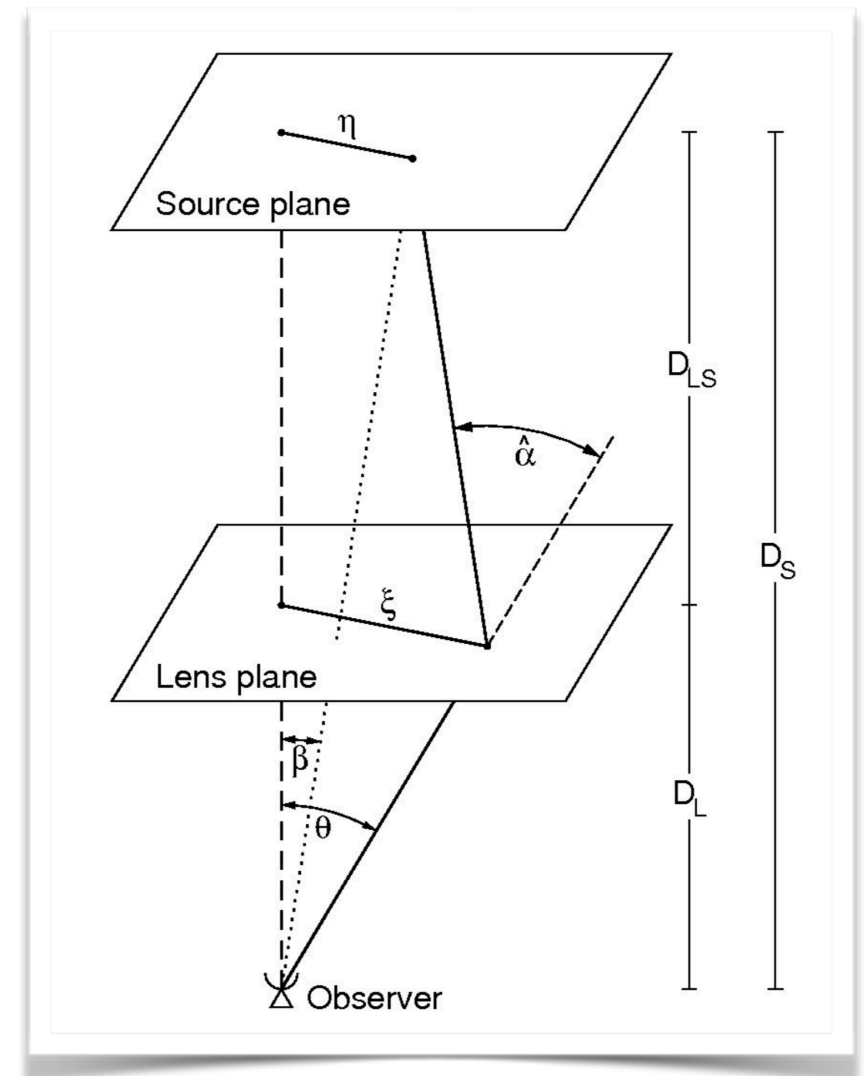
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Integral from source
to observer

Impact parameter
(min. distance to lens)

based on lecture notes by Massimo Meneghetti



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- ☑ Corresponding index of refraction

$$n = c/c' = \frac{1}{1 + \frac{2\Phi}{c^2}} \approx 1 - \frac{2\Phi}{c^2}$$

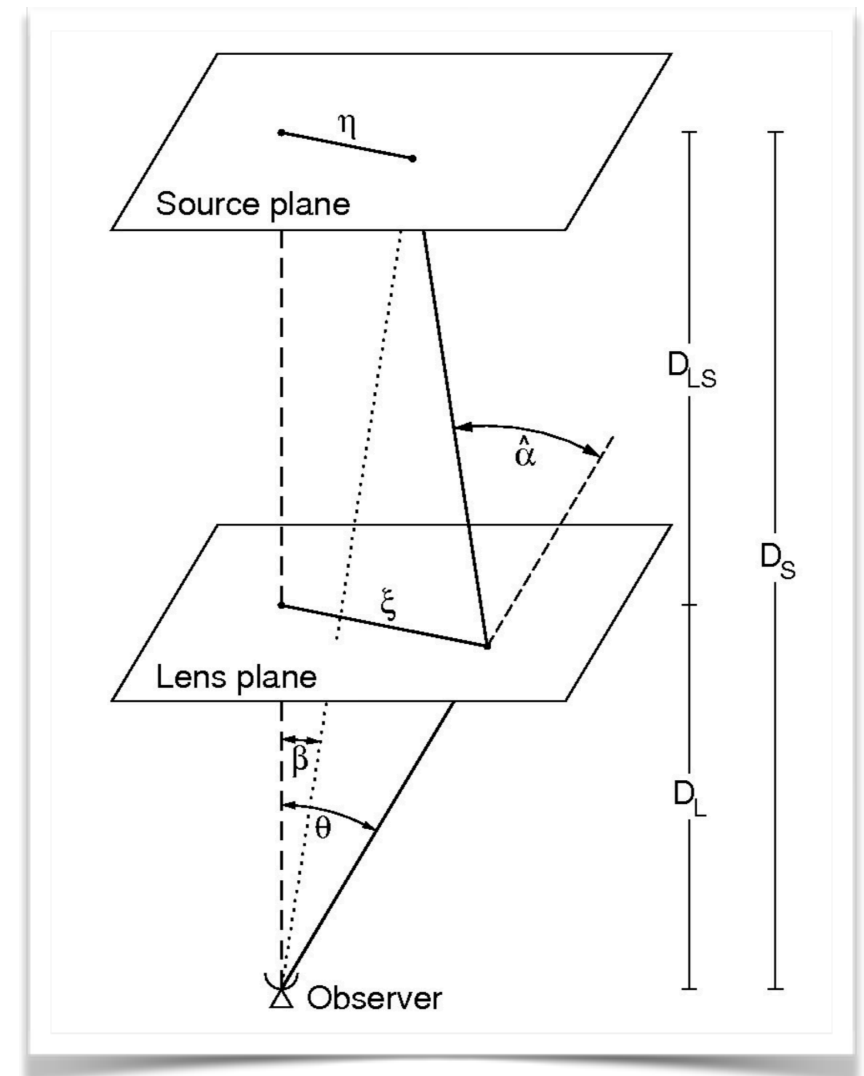
- ☑ Light travel time is increased by

$$\Delta t_{\text{grav}} = \int_S^O \frac{dl}{c} n[\vec{x}(l)] = \int_S^O \frac{dl}{c} \frac{2G_N M}{c^2 \sqrt{l^2 + \xi^2}} \simeq -\frac{4G_N M}{c^2} \ln \theta$$

Integral from source to observer

Impact parameter
(min. distance to lens)

lensing angle
 $\theta = \xi/D_S$



based on lecture notes by Massimo Meneghetti

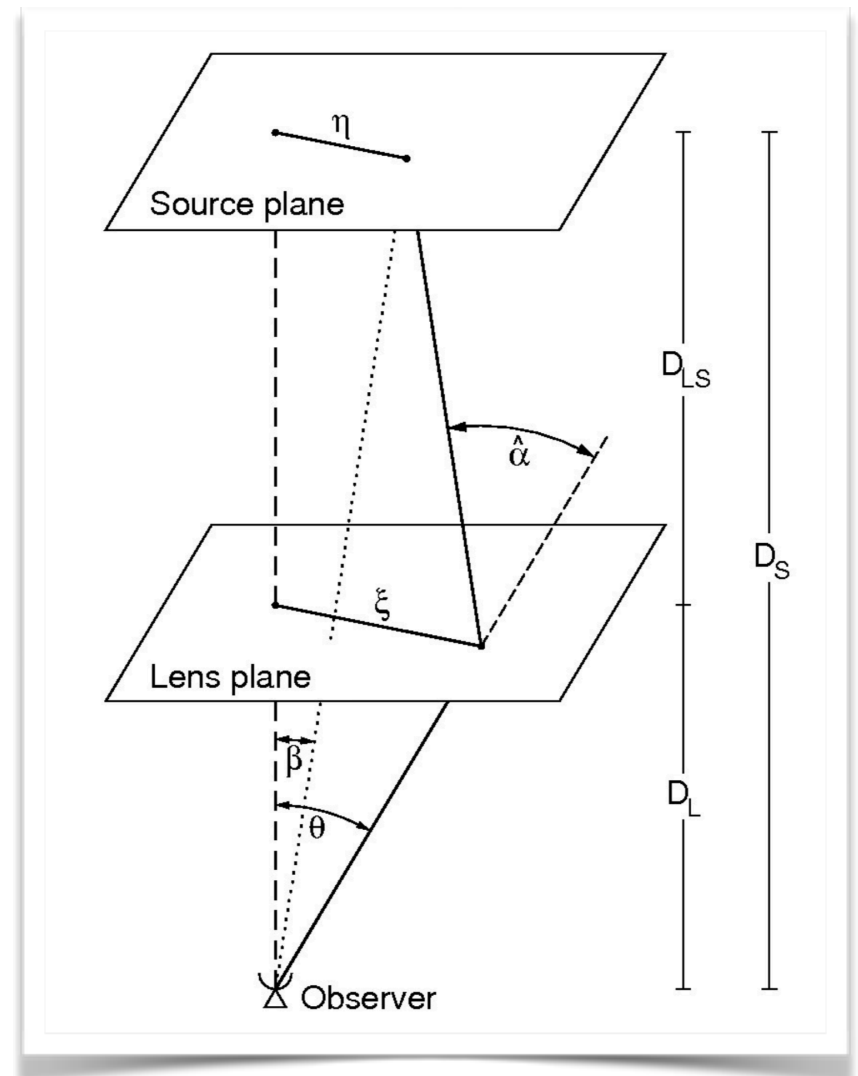
Gravitational Lensing: Formalism

☑ In addition: geometric time delay

$$\begin{aligned}\Delta t_{\text{geom}} &= \left[\frac{D_L}{c \cos(\theta - \beta)} - D_L \right] + \left[\frac{D_{LS}}{c \cos[(\theta - \beta)D_L/D_{LS}]} - D_{LS} \right] \\ &\simeq \frac{D_L}{2c}(\theta - \beta)^2 + \frac{D_{LS}}{2c} \frac{(\theta - \beta)^2 D_L^2}{D_{LS}^2} \\ &= \frac{D_L D_S}{2c D_{LS}} (\theta - \beta)^2\end{aligned}$$

☑ Overall:

$$\Delta t = \frac{D_L D_S}{c D_{LS}} \left[\frac{(\theta - \beta)^2}{2} - \frac{4G_N M D_{LS}}{c^2 D_L D_S} \log \theta \right]$$



Gravitational Lensing: Formalism

☑ In addition: geometric time delay

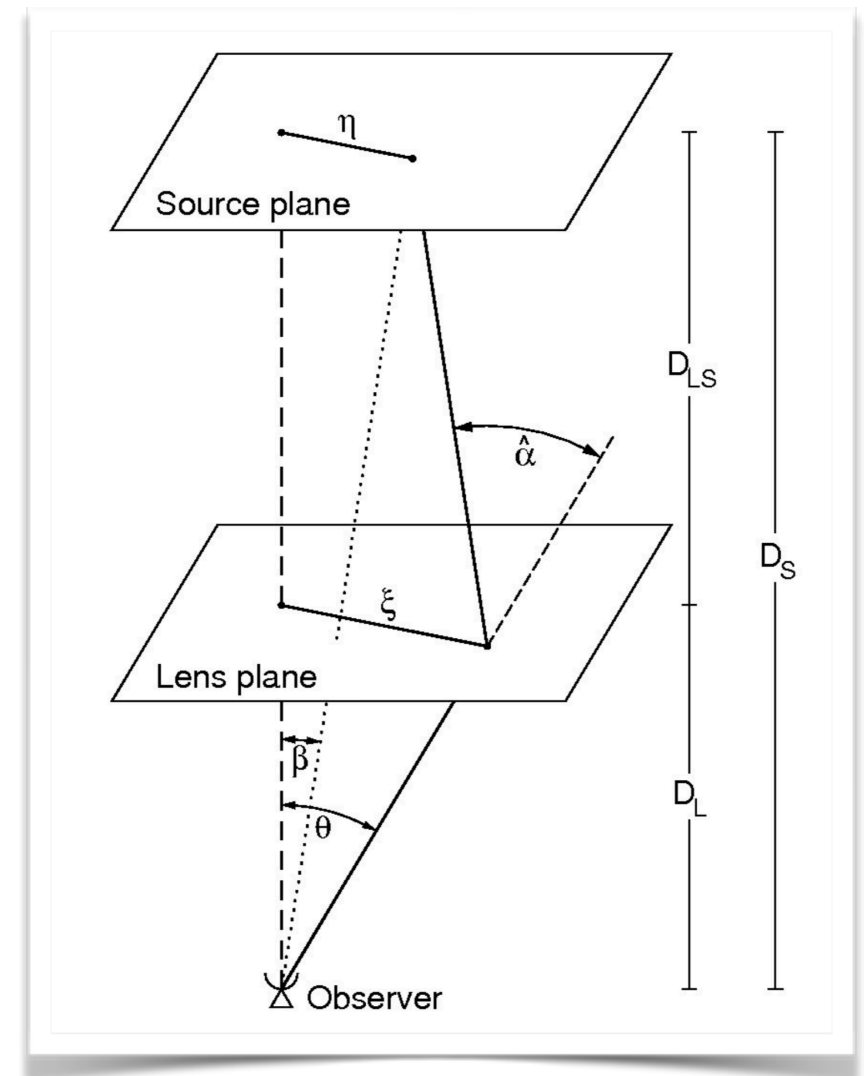
$$\begin{aligned}\Delta t_{\text{geom}} &= \left[\frac{D_L}{c \cos(\theta - \beta)} - D_L \right] + \left[\frac{D_{LS}}{c \cos[(\theta - \beta)D_L/D_{LS}]} - D_{LS} \right] \\ &\simeq \frac{D_L}{2c}(\theta - \beta)^2 + \frac{D_{LS}}{2c} \frac{(\theta - \beta)^2 D_L^2}{D_{LS}^2} \\ &= \frac{D_L D_S}{2c D_{LS}} (\theta - \beta)^2\end{aligned}$$

☑ Overall:

$$\Delta t = \frac{D_L D_S}{c D_{LS}} \left[\frac{(\theta - \beta)^2}{2} - \frac{4G_N M D_{LS}}{c^2 D_L D_S} \log \theta \right]$$

Square of the **Einstein angle**:

$$\theta_E^2 \equiv \frac{4G_N M D_{LS}}{c^2 D_L D_S}$$



Gravitational Lensing: Formalism

$$\Delta t = \frac{D_L D_S}{c D_{LS}} \left[\frac{(\theta - \beta)^2}{2} - \frac{4G_N M D_{LS}}{c^2 D_L D_S} \log \theta \right]$$

- ☑ Light waves travelling from the source to the observer along different paths (different θ) acquire different phase: $e^{i\omega\Delta t}$.
- ☑ Fermat's principle: if $\omega\Delta t \gg 1$, contributions with different θ will interfere destructively, except at stationary points of Δt .

$$\frac{d\Delta t}{d\theta} = \frac{D_L D_S}{c D_{LS}} \left[(\theta - \beta) - \frac{\theta_E^2}{\theta} \right] \stackrel{!}{=} 0$$

- ☑ Leads to the **lens equation**:

$$\theta - \beta = \frac{\theta_E^2}{\theta}$$

Gravitational Lensing: Formalism

$$\theta - \beta = \frac{\theta_E^2}{\theta}$$

- ☑ The solutions are the angular positions of the lensed images

$$\theta_{\pm} = \frac{1}{2} \left(\beta \pm \sqrt{\beta^2 + 4\theta_E^2} \right)$$

- ☑ We see that the Einstein angle is a measure for the angular deviation between the lensed and (hypothetical) unlensed images. This interpretation is exact for $\beta = 0$ (lens along the line of sight).
- ☑ One can also compute the magnification (intensity relative to the unperturbed source) of the two images:

$$\mu_{\pm} = \frac{y^2 + 2}{2y\sqrt{y^2 + 4}} \pm \frac{1}{2} \quad \text{with} \quad y \equiv \beta/\theta_E$$

Microlensing

- ☑ For a $1 M_{\odot}$ lens at $\mathcal{O}(\text{kpc})$ distance
(typical scale within the Milky Way):
 $\theta_E \sim 0.003 \text{ arcsec}$
- ☑ For comparison:
angular resolution of the Hubble telescope: 0.05 arcsec
- ☑ However: can still observe overall **brightening** of the source

$$\mu_{\pm} = \frac{y^2 + 2}{2y\sqrt{y^2 + 4}} \pm \frac{1}{2} \quad \Rightarrow \text{total magnification: } \mu = \frac{y^2 + 2}{y\sqrt{y^2 + 4}}$$

- ☑ This effect is called **microlensing**.
- ☑ Observable because of time dependence: a PBH passing in front of a background star leads to transient magnification of that star.

Microlensing

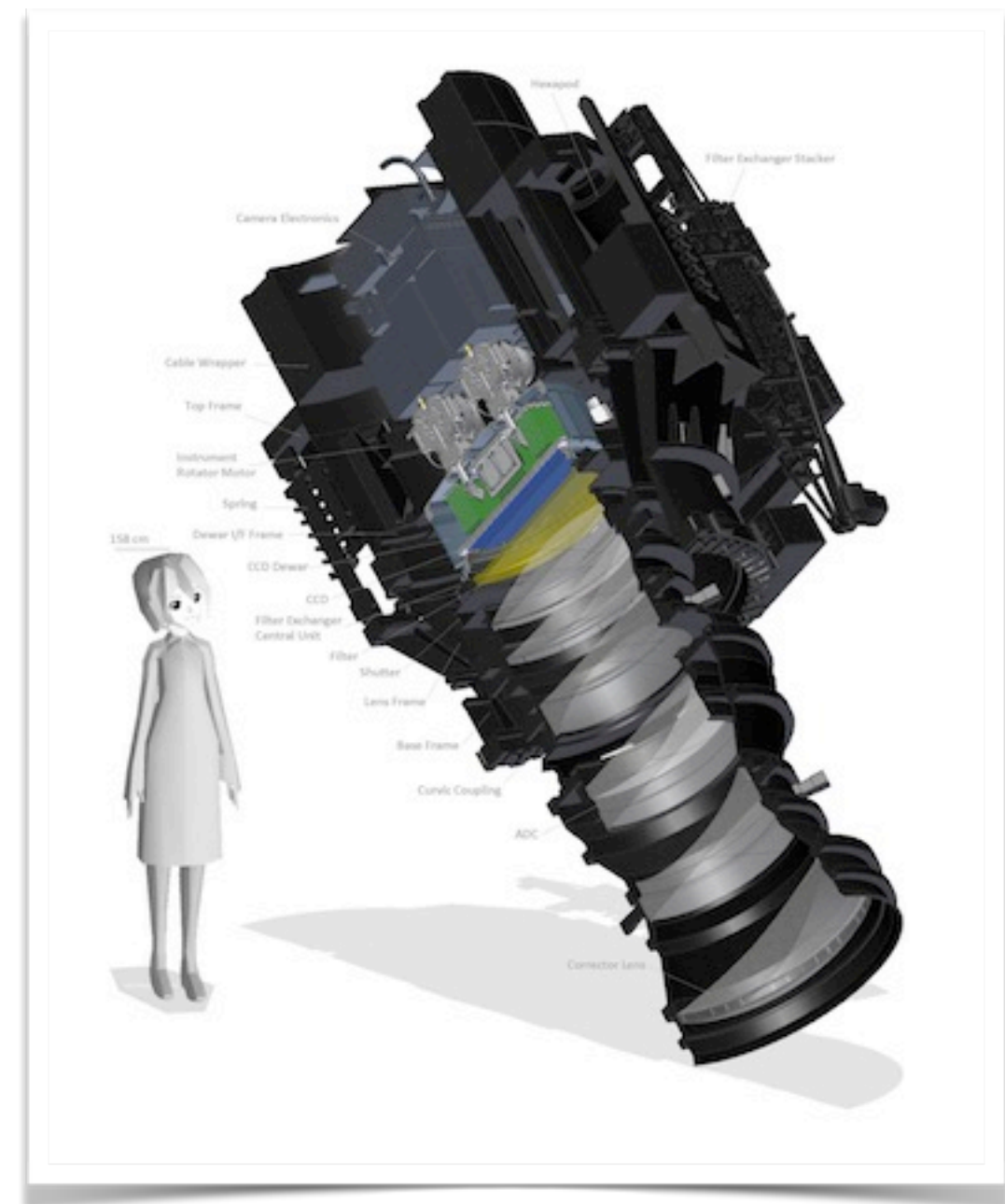
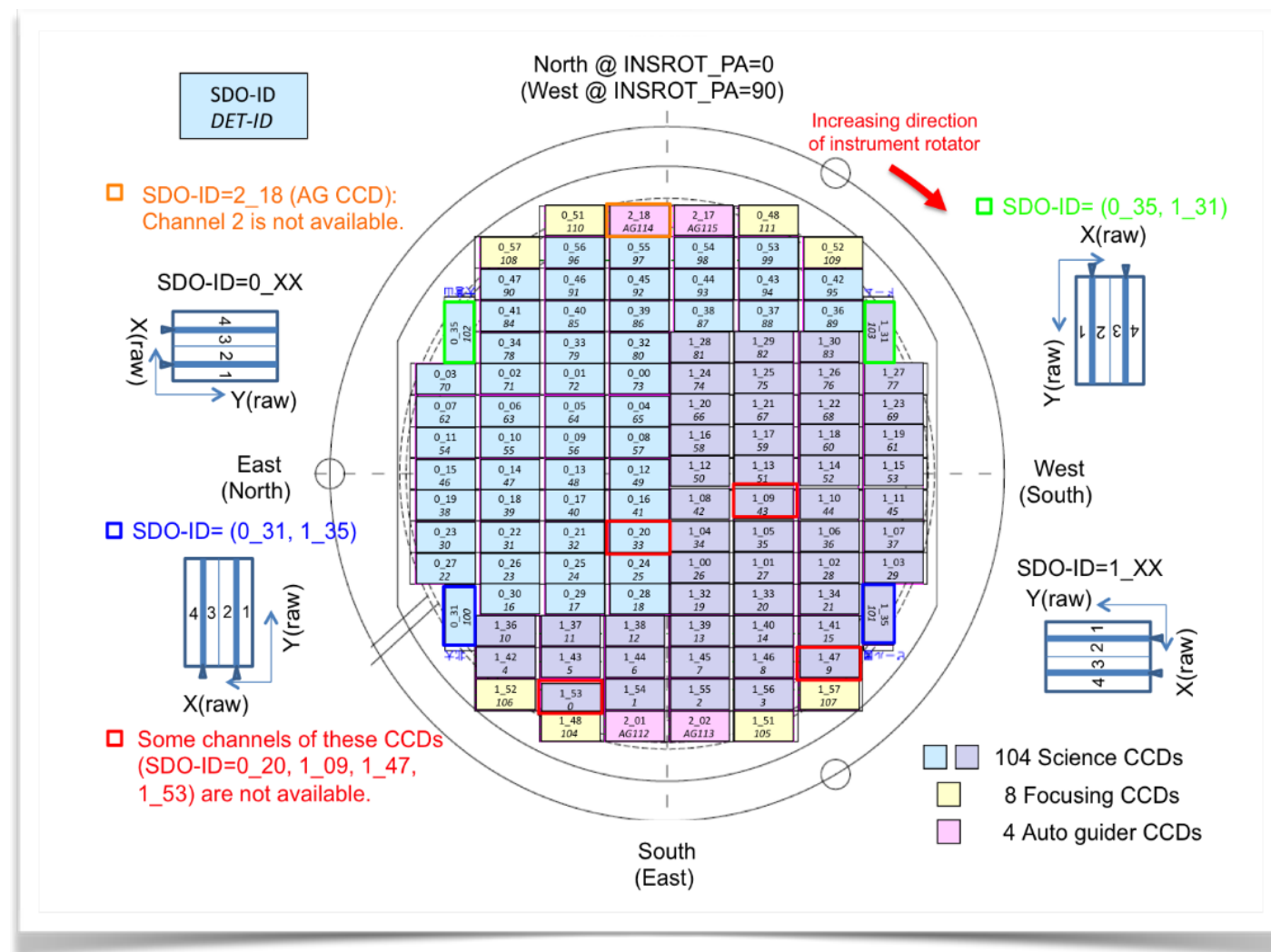
- ☑ Observations at the 8.2 m Subaru Telescope (Hawaii)



Niikura et al. [arXiv:1701.02151](https://arxiv.org/abs/1701.02151)

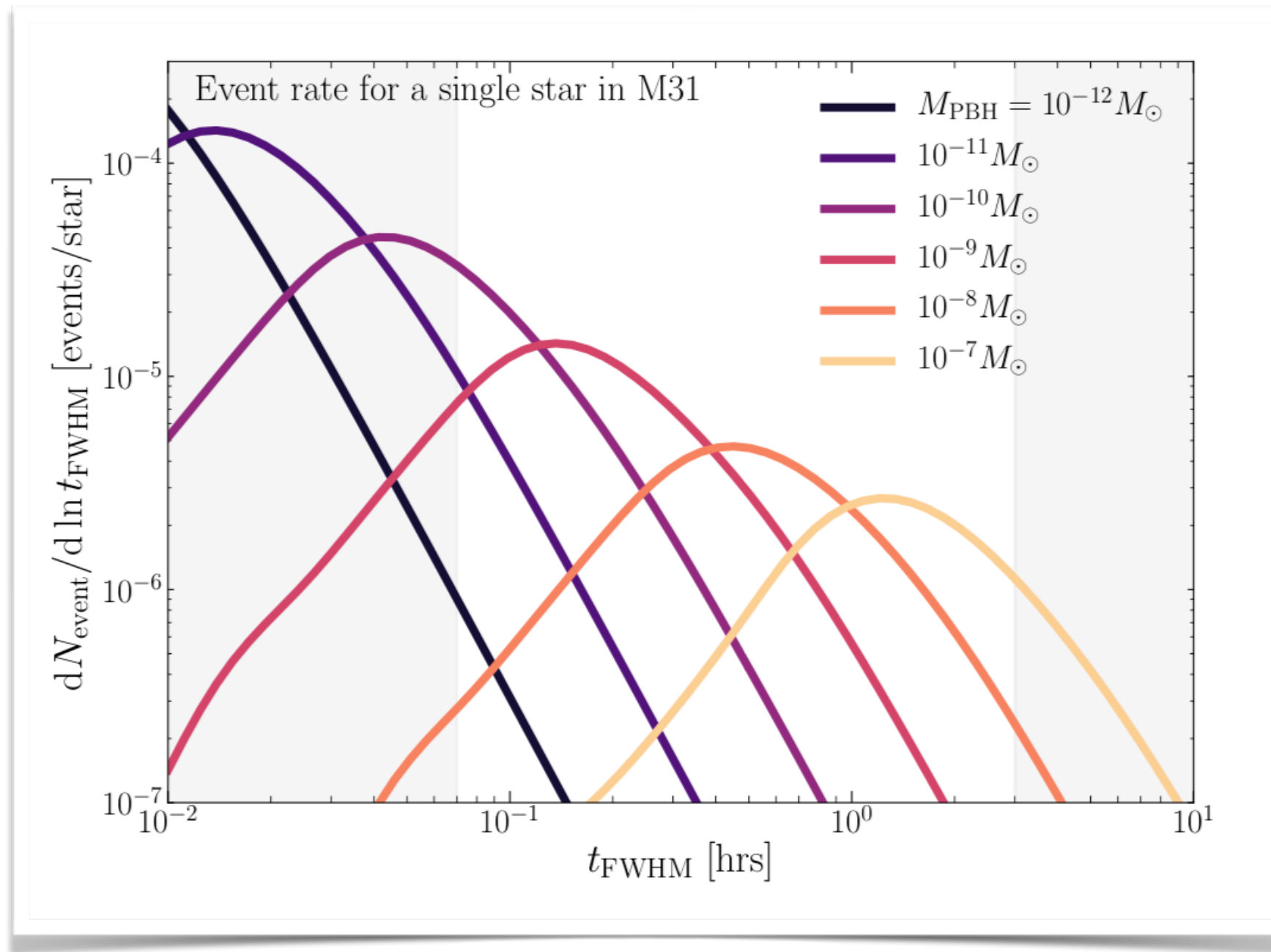
Microlensing

- ☑ In particular: Hyper Suprime-Cam
- ☑ 1.5 degree field of view (huge!)
- ☑ 900 Megapixels



Niikura et al. arXiv:1701.02151

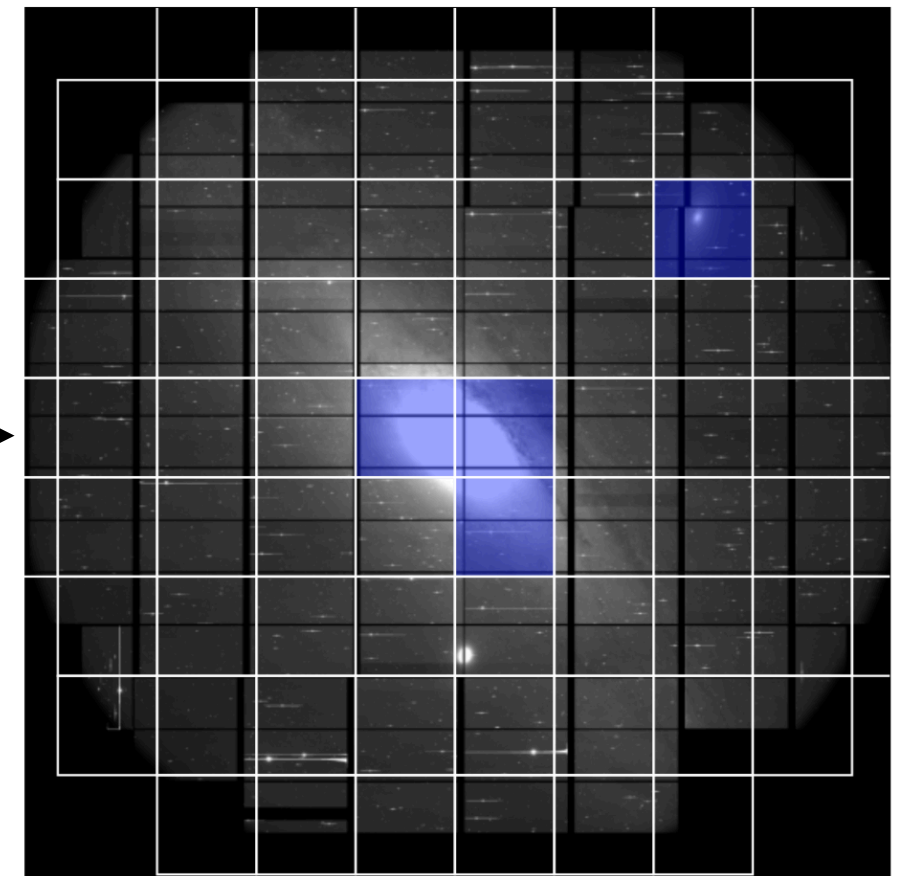
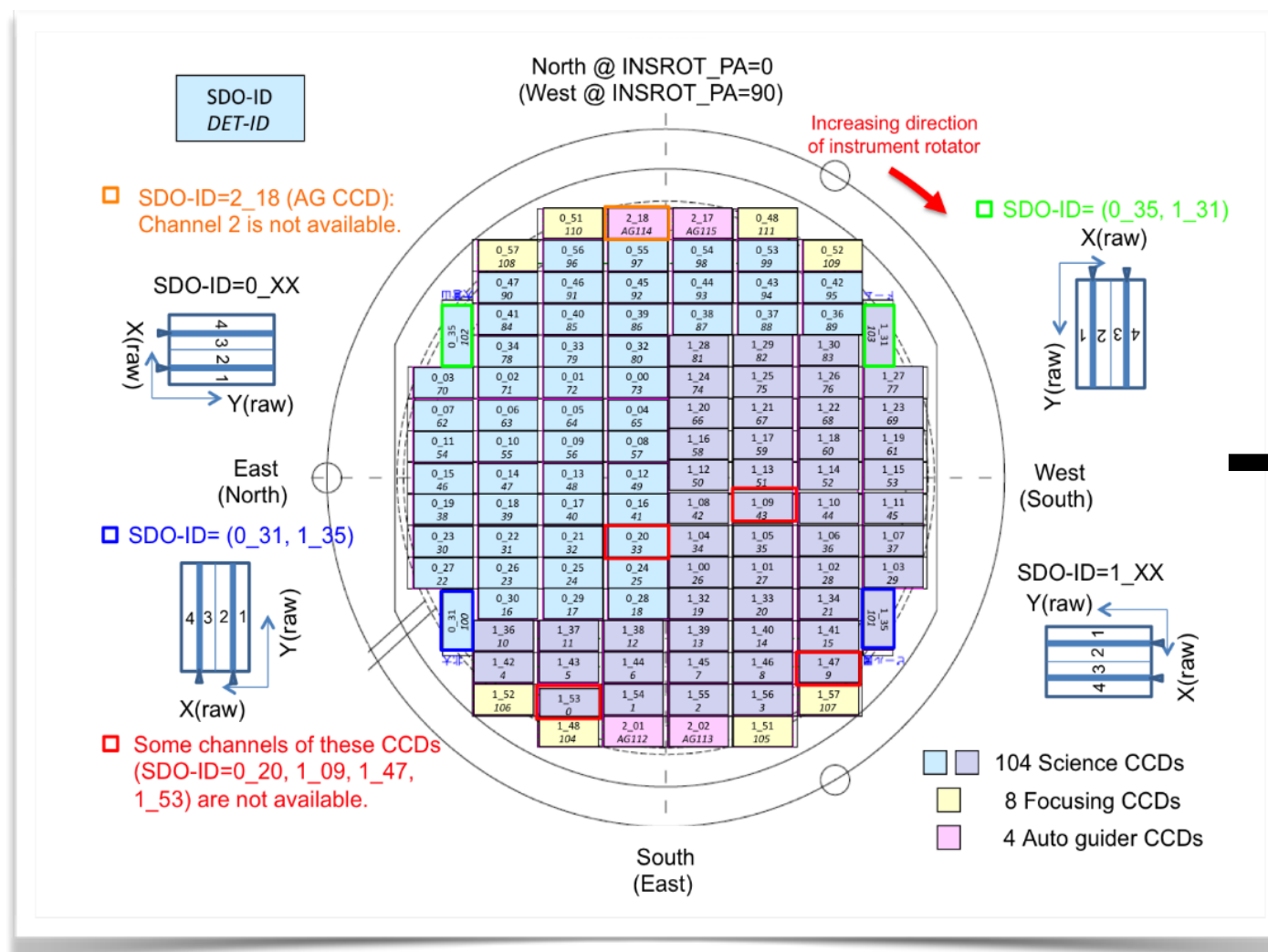
Lensing Probability



Niikura et al. arXiv:1701.02151

Observation Strategy

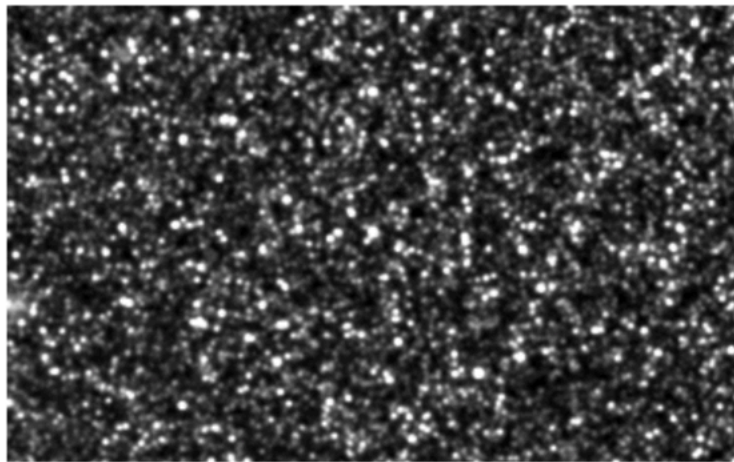
- ☑ Single night (7 hours of observations) sufficient
- ☑ Large field of view
 - ➡ observe the whole M31 (Andromeda) galaxy at once



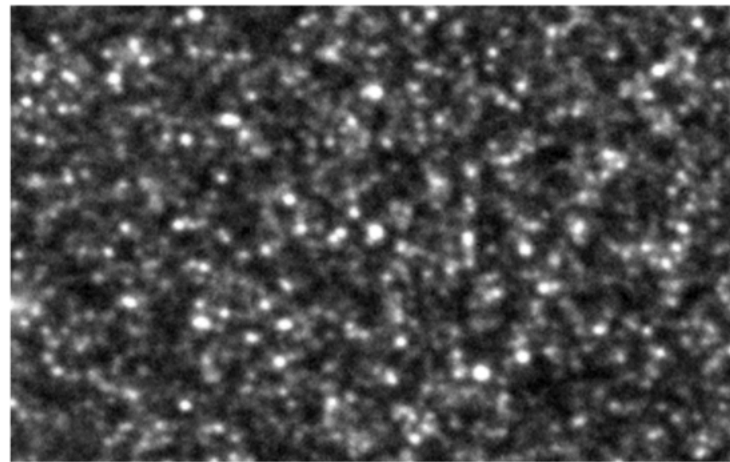
Niikura et al. arXiv:1701.02151

Observation Strategy

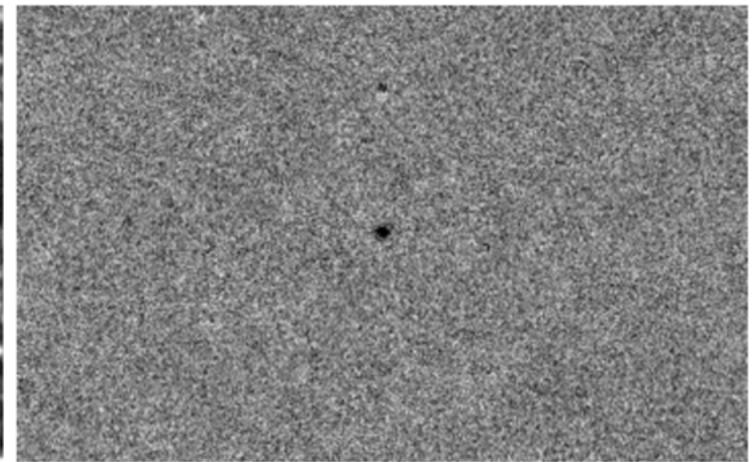
- ☑ Single night (7 hours of observations) sufficient
- ☑ Repeated observations of the same patch on the sky (90 sec observation time, 35 sec readout time)
- ☑ Subtract reference image to detect transients



Observation #1



Observation #2



Difference
(including transient)

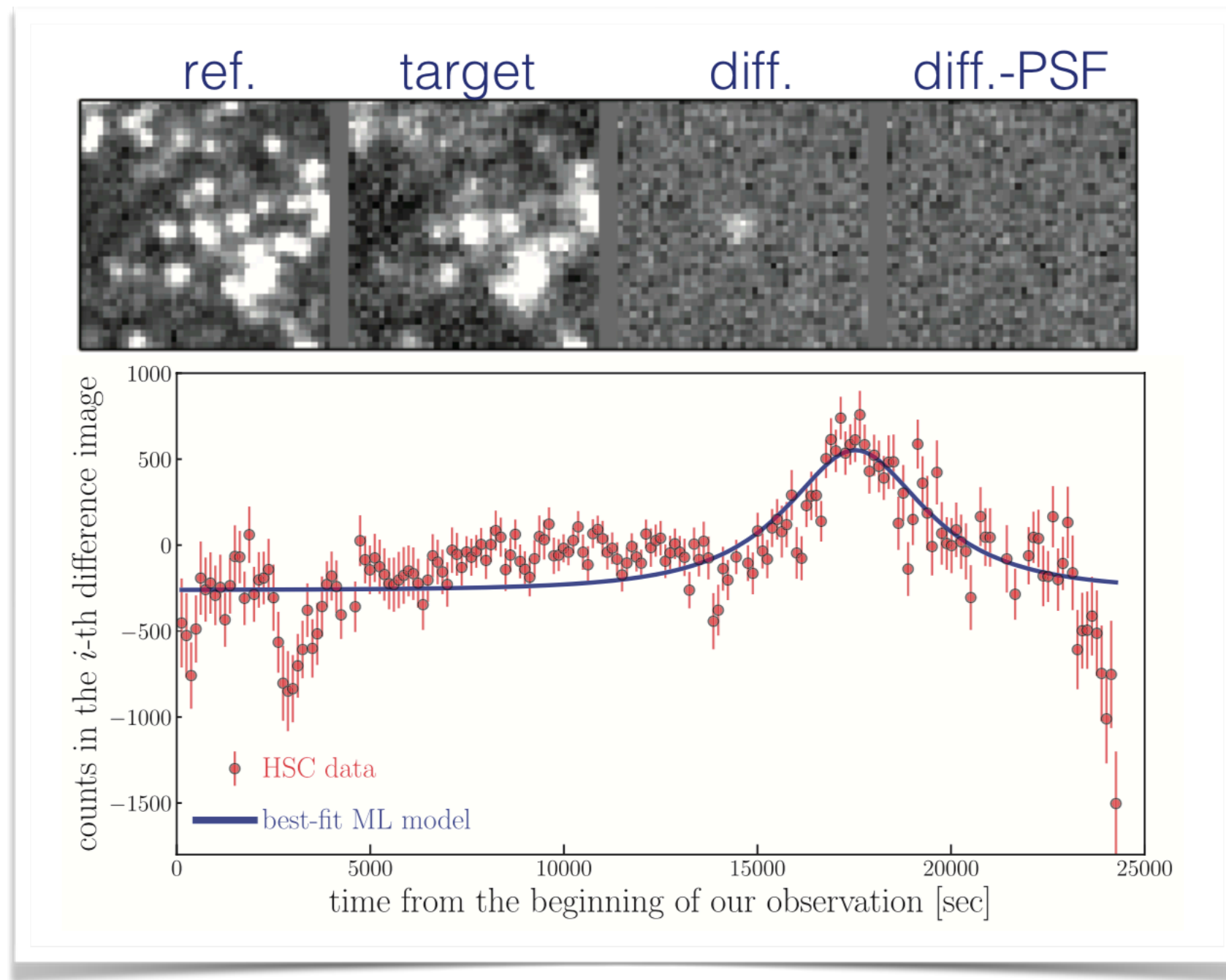
Niikura et al. [arXiv:1701.02151](https://arxiv.org/abs/1701.02151)

Data Analysis

- ☑ Analysis challenges
 - each CCD pixel contains many stars
 - central region of M31 too bright (CCDs saturated ➡ discard)
- ☑ Selection criteria for microlensing candidates
 - At least 5σ detection in any of the 188 difference images
 - difference image consistent with point spread function
- ☑ Result: 15 571 candidates
- ☑ Construct light curve for each of them

Niikura et al. [arXiv:1701.02151](https://arxiv.org/abs/1701.02151)

Data Analysis



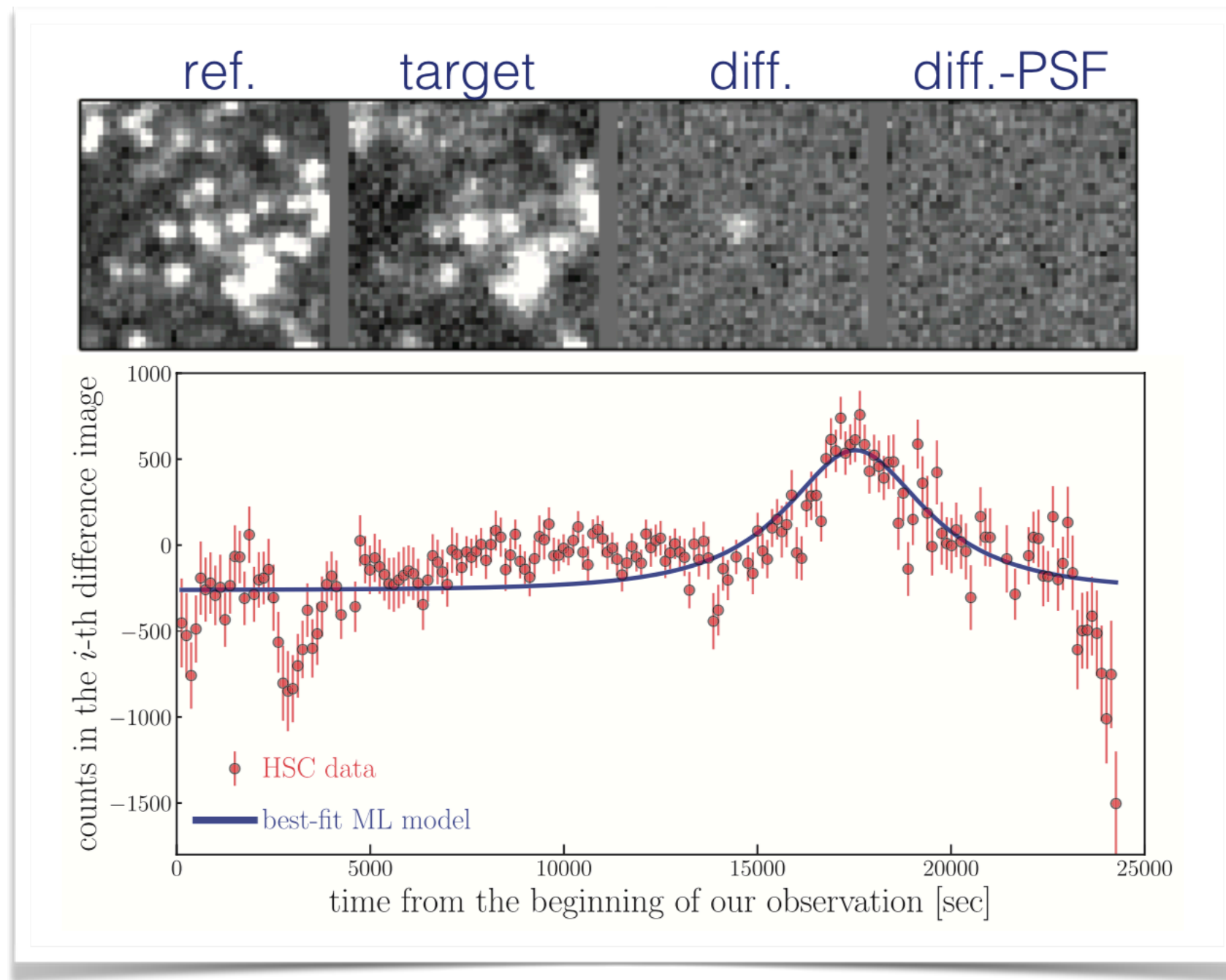
Niikura et al. arXiv:1701.02151

Further Selection Criteria

- ☑ Subject the 15 571 candidates to the following cuts
 - Require single bump to exclude periodic stars
(⇒ 11 703 candidates left)
 - Fit predicted microlensing light curve, require decent goodness-of-fit (⇒ 66 candidates left)
- ☑ Visual inspection
 - reject 44 candidates due to cross-talk from nearby bright star
 - reject 20 additional candidates at the edges of CCDs
 - reject 1 candidate due to passing asteroid
- ☑ 1 candidate left

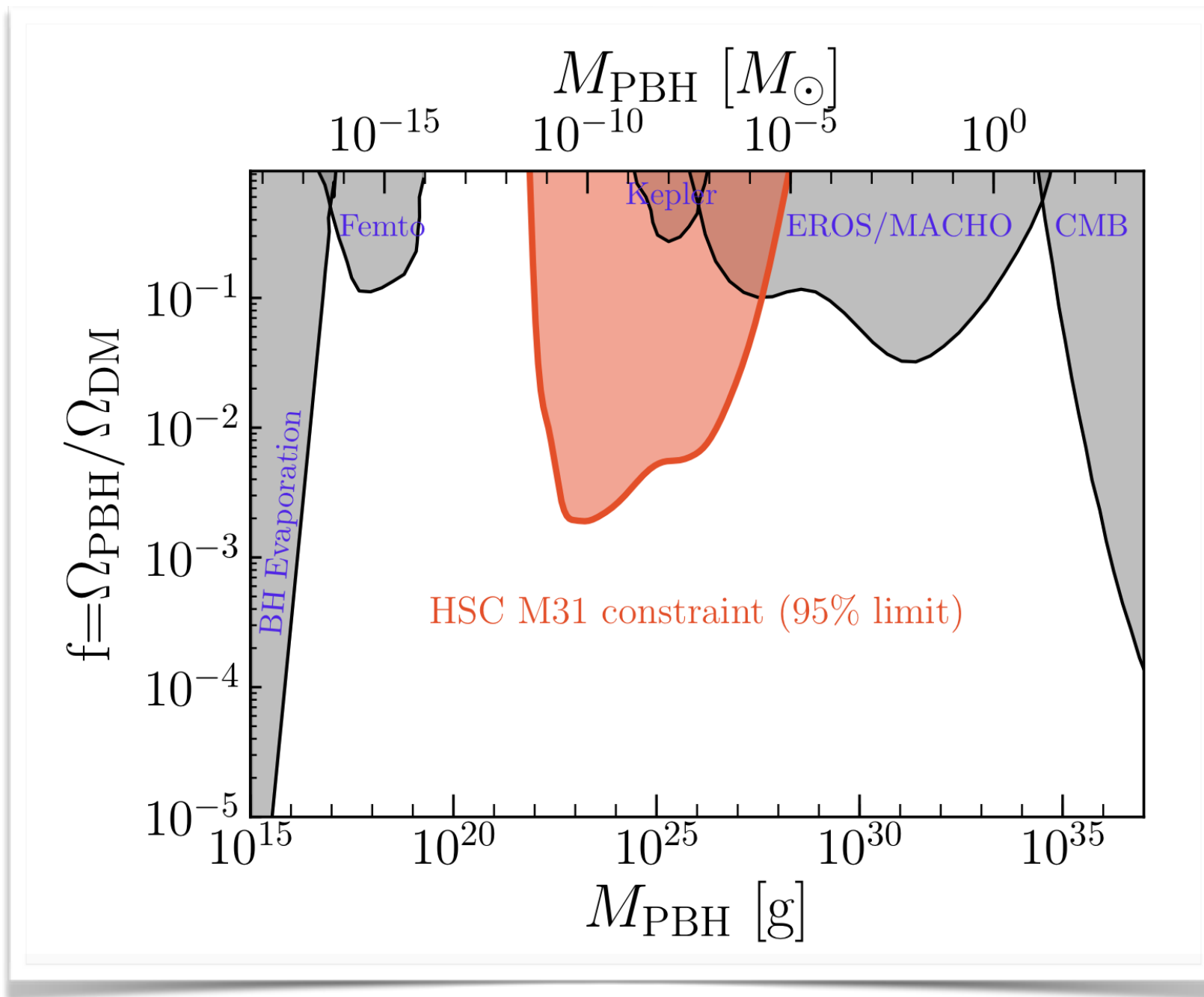
Niikura et al. [arXiv:1701.02151](https://arxiv.org/abs/1701.02151)

Data Analysis



Niikura et al. arXiv:1701.02151

Resulting Limits



Niikura et al. arXiv:1701.02151

Caveat 1: Wave Optics

- ✓ Our calculations so far relied on Fermat's principle:
if $\omega \Delta t \gg 1$, contributions with different θ will interfere destructively, except at stationary points of Δt .
- ✓ Leads to the lens equation

$$\theta - \beta = \frac{\theta_E^2}{\theta}$$

- ✓ What if $\omega \Delta t \lesssim 1$?
- ✓ Need to evaluate full Fresnel integral

$$\mu \propto \left| \int d^2 \vec{\theta} e^{i\omega \Delta t(\vec{\theta}, \vec{\beta})} \right|^2$$

Caveat 1: Wave Optics

$$\mu \propto \left| \int d^2\vec{\theta} e^{i\omega\Delta t(\vec{\theta},\vec{\beta})} \right|^2$$

☑ Can be evaluated analytically for point-like lens

$$F(y, \Omega)_{\text{BH}} = e^{i\Omega|\vec{y}|^2/2} \left(-\frac{i\Omega}{2}\right)^{i\Omega/2} \Gamma\left(1 - \frac{i\Omega}{2}\right) L_{-1+\frac{i\Omega}{2}}\left(-\frac{i|\vec{y}|^2\Omega}{2}\right)$$

with

$$\Omega \equiv \frac{4GM(1+z_L)}{c^3} \omega \qquad y \equiv \beta/\theta_E$$

☑ Tends to reduce magnification
(more destructive interference)

Caveat 1: Wave Optics

$$\mu \propto \left| \int d^2\vec{\theta} e^{i\omega\Delta t(\vec{\theta},\vec{\beta})} \right|^2$$

☑ Can be evaluated analytically for point-like lens

$$F(y, \Omega)_{\text{BH}} = e^{i\Omega|\vec{y}|^2/2} \left(-\frac{i\Omega}{2}\right)^{i\Omega/2} \Gamma\left(1 - \frac{i\Omega}{2}\right) L_{-1+\frac{i\Omega}{2}}\left(\frac{i|\vec{y}|^2\Omega}{2}\right)$$

with

$$\Omega \equiv \frac{4GM(1+z_L)}{c^3} \omega$$

Laguerre polynomial

$$y \equiv \beta/\theta_E$$

☑ Tends to reduce magnification
(more destructive interference)

Caveat 2: Finite Size of the Source

- ☑ Different points on the source are magnified differently
- ☑ Remember: total magnification in geometric optics:

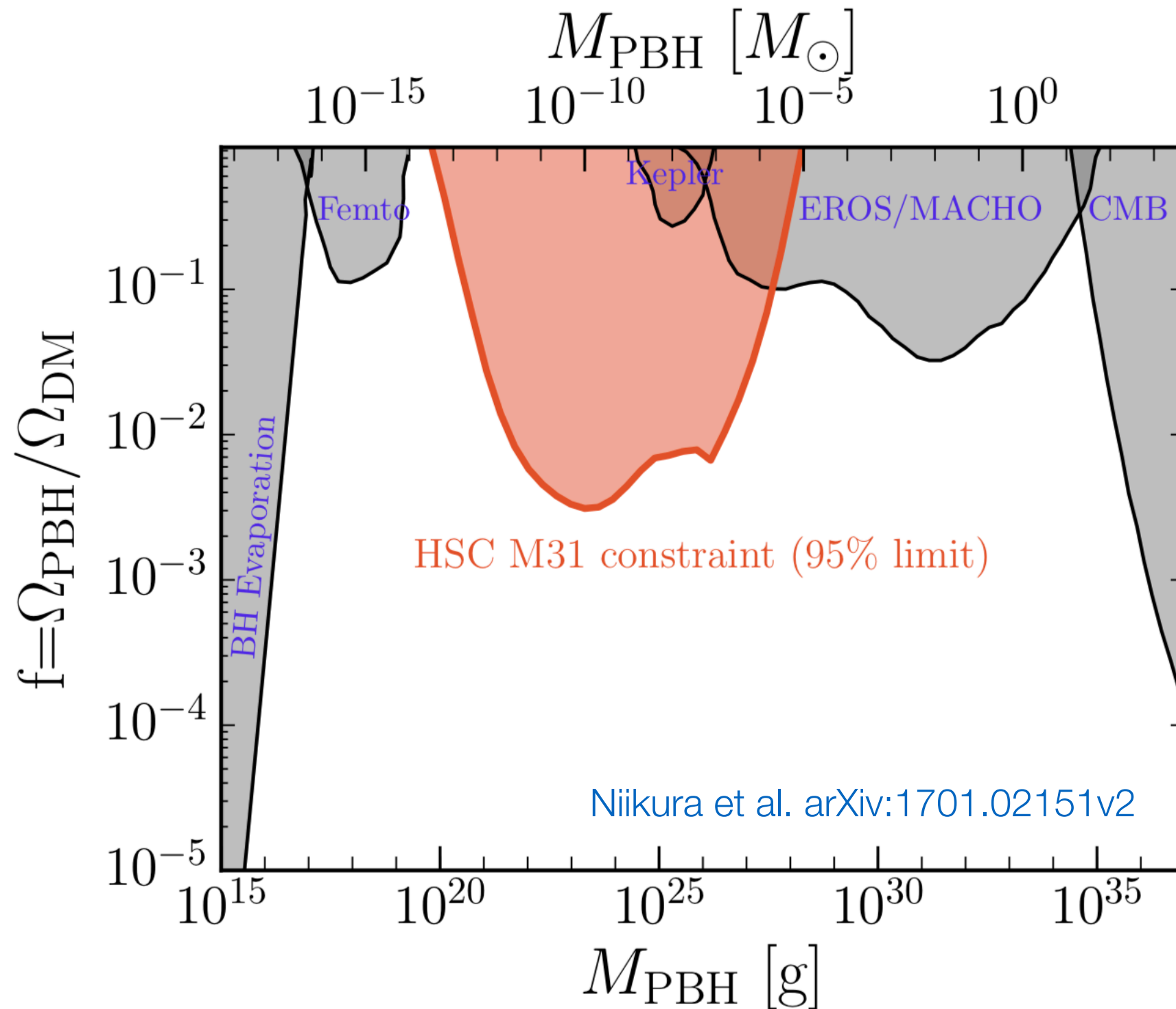
$$\mu = \frac{y^2 + 2}{y\sqrt{y^2 + 4}}.$$

- ☑ Now need to evaluate

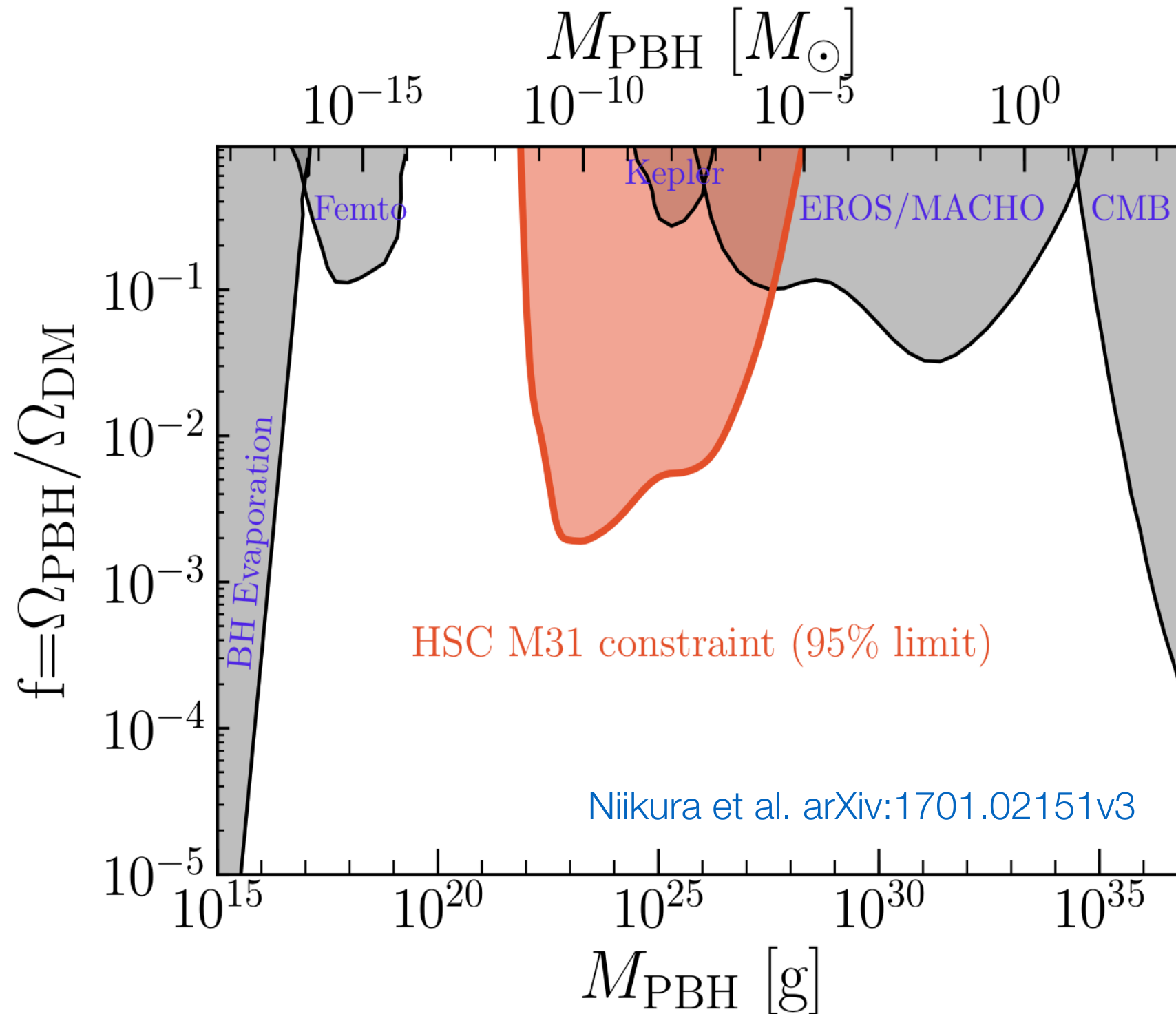
$$\int d\vec{y} \frac{\vec{y}^2 + 1}{|\vec{y}| \sqrt{\vec{y}^2 + 4}}$$

- ☑ Tends to reduce the magnification

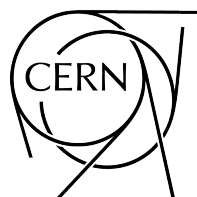
Effect of Wave Optics + Finite Source Size



Effect of Wave Optics + Finite Source Size



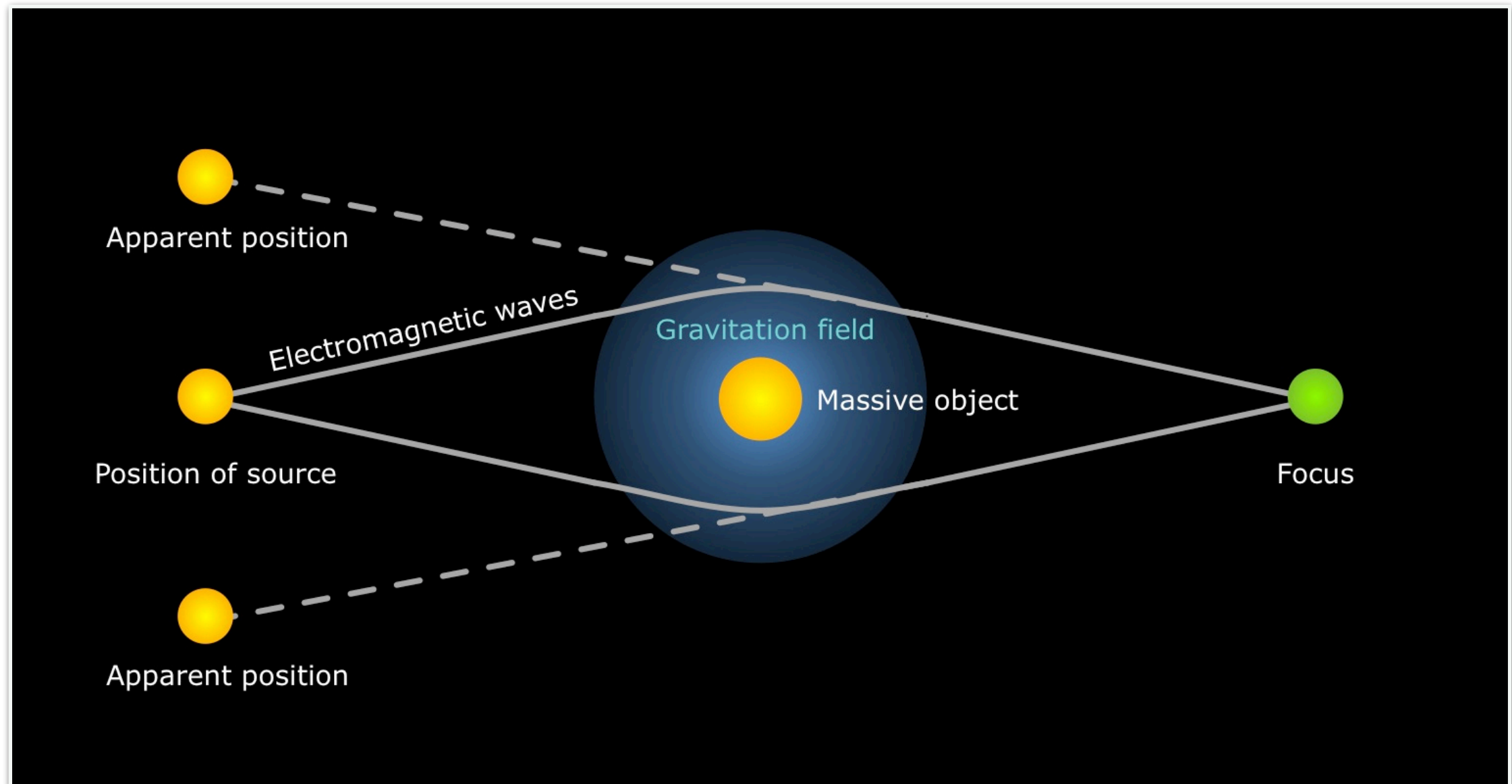
Femtolensing



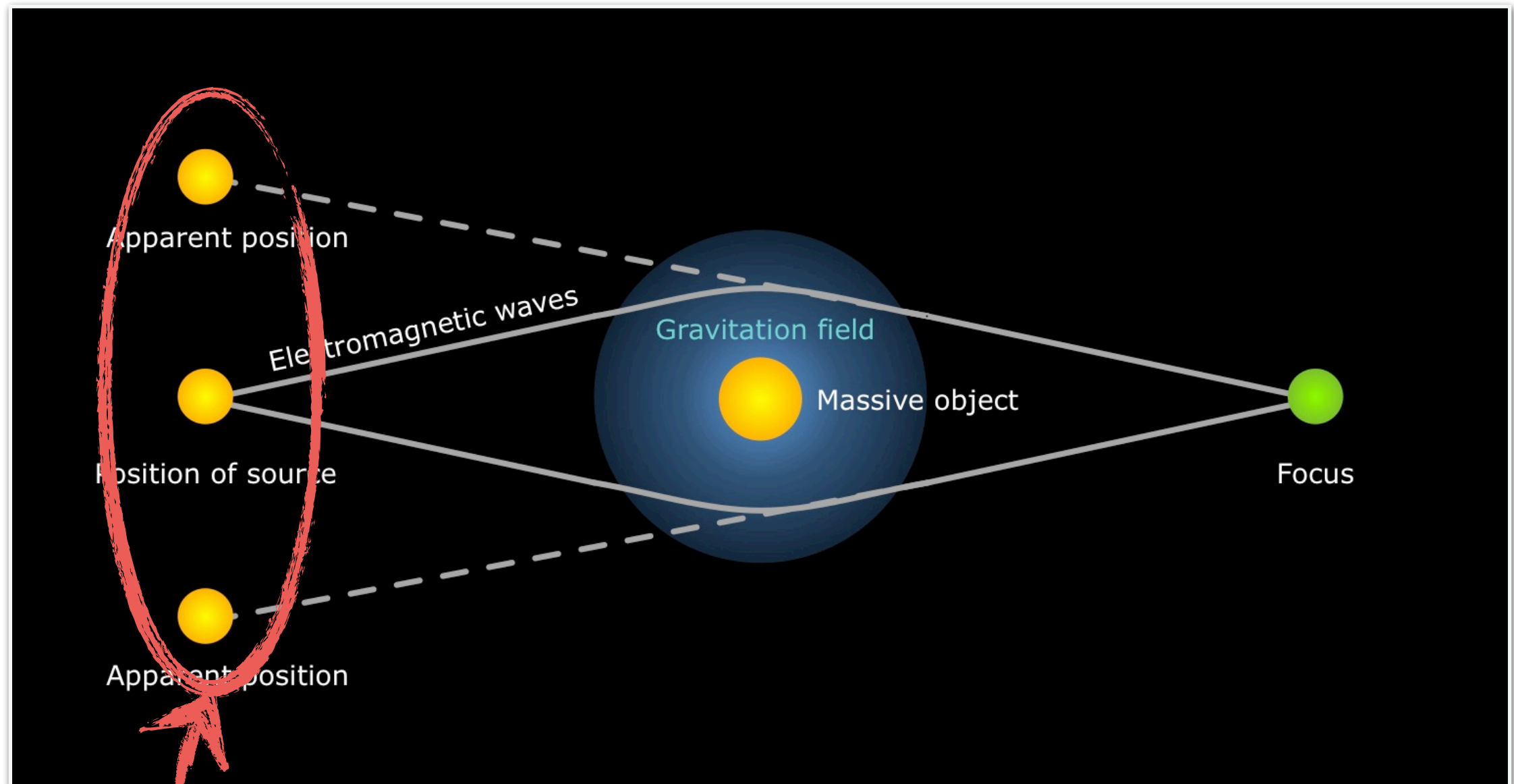
JOHANNES GUTENBERG
UNIVERSITÄT MAINZ



Femtolensing

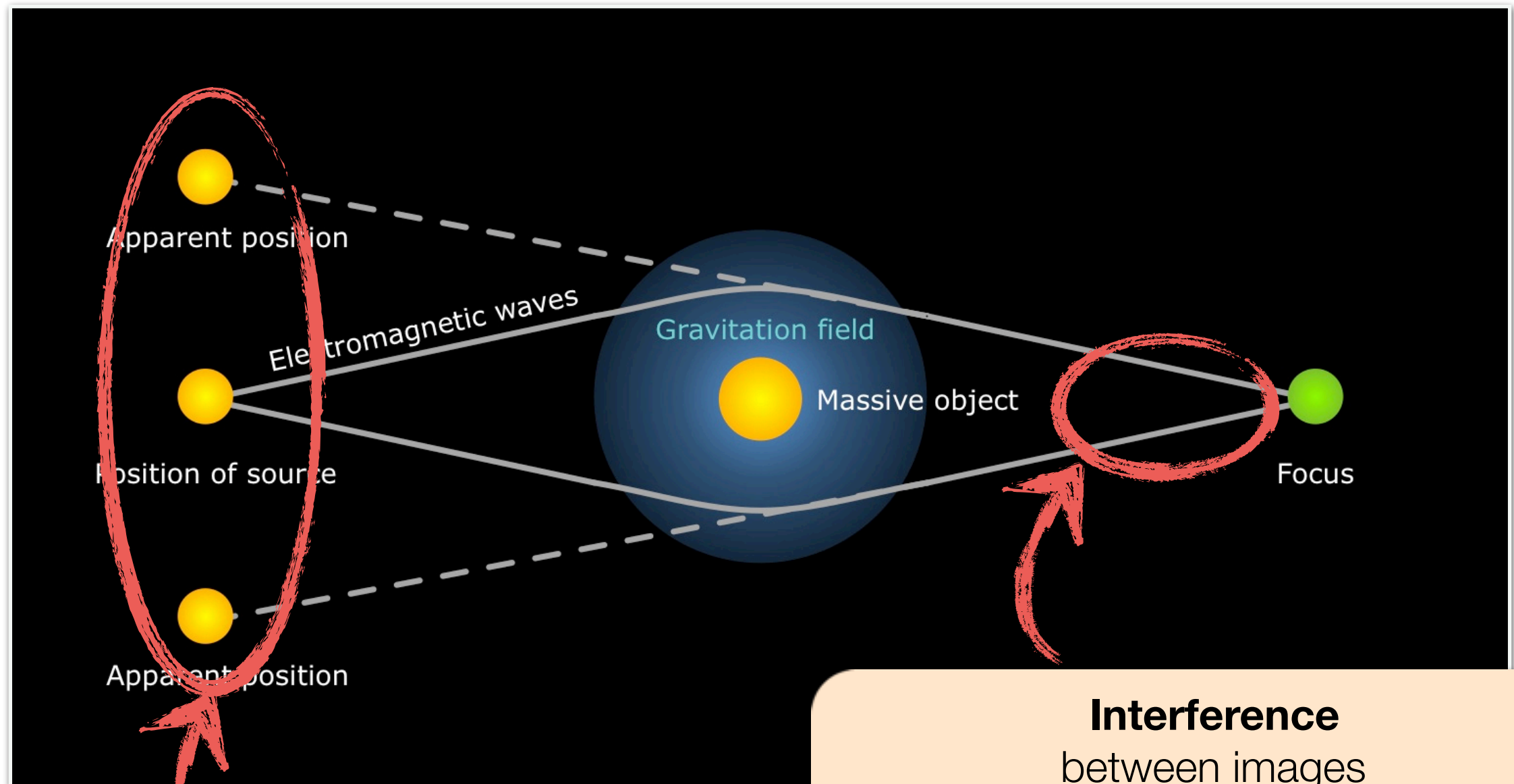


Femtolensing



Images not resolved

Femtolensing



Images not resolved

Interference

between images

$$A = A_1 e^{iEt_1} + A_2 e^{iEt_2}$$

expect wiggles in energy spectrum

Time Delay (Geometric Optics)

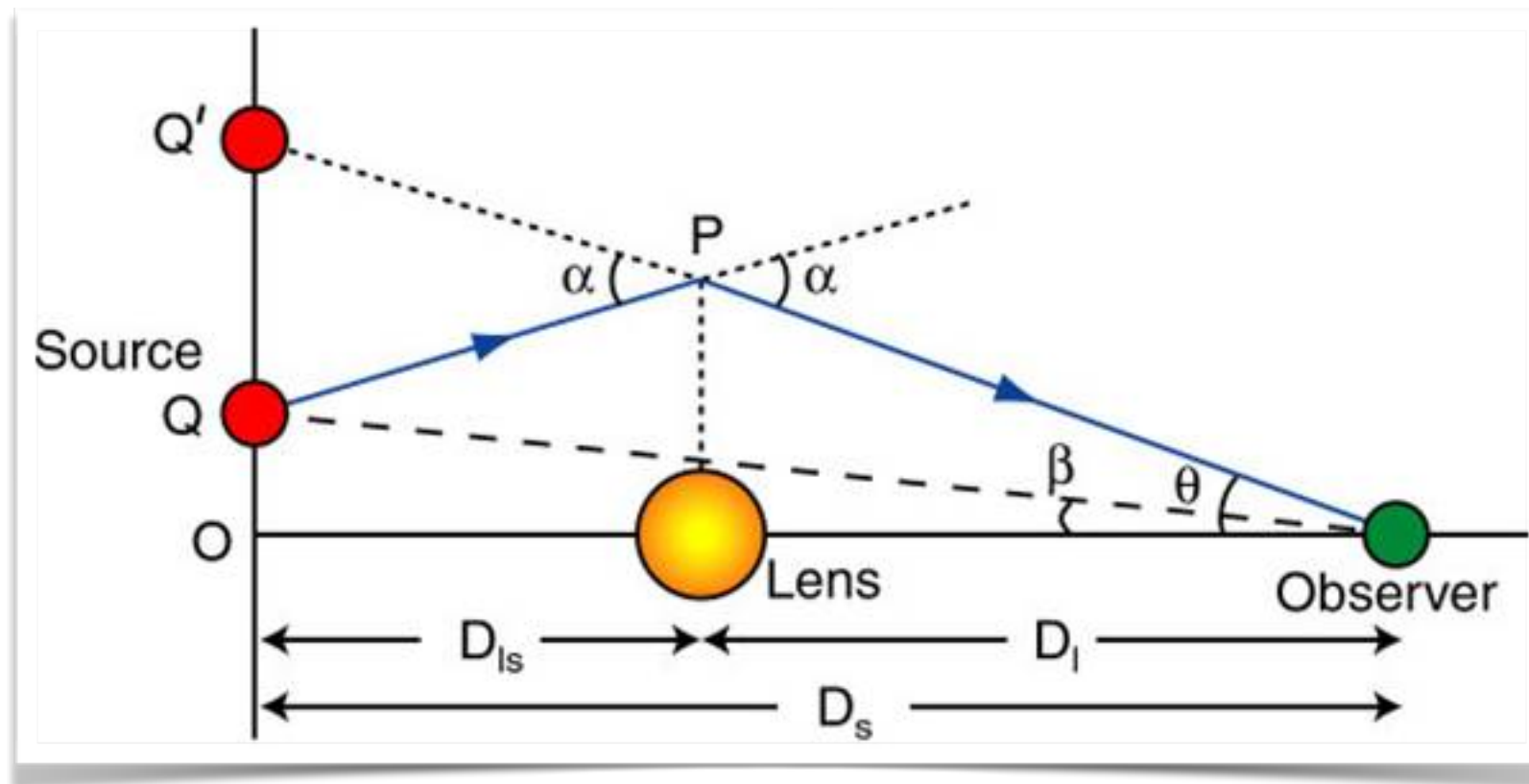
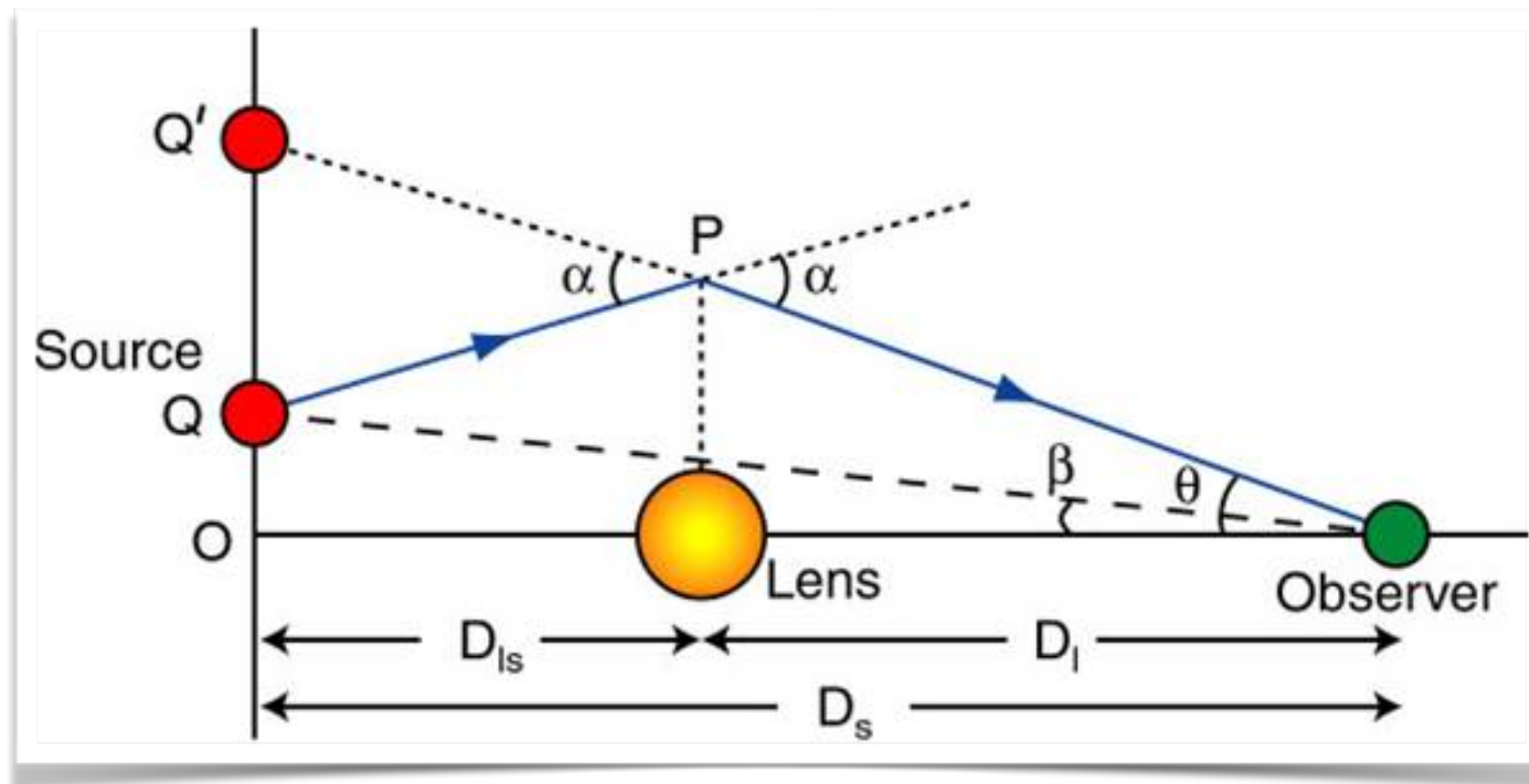


Image: University of Manchester

Time Delay:

$$\Delta t = \frac{1}{c} \frac{D_L D_S}{D_{LS}} (1 + z_L) \left(\frac{|\vec{\theta} - \vec{\beta}|^2}{2} - \psi(\vec{\theta}) \right)$$

Time Delay (Geometric Optics)



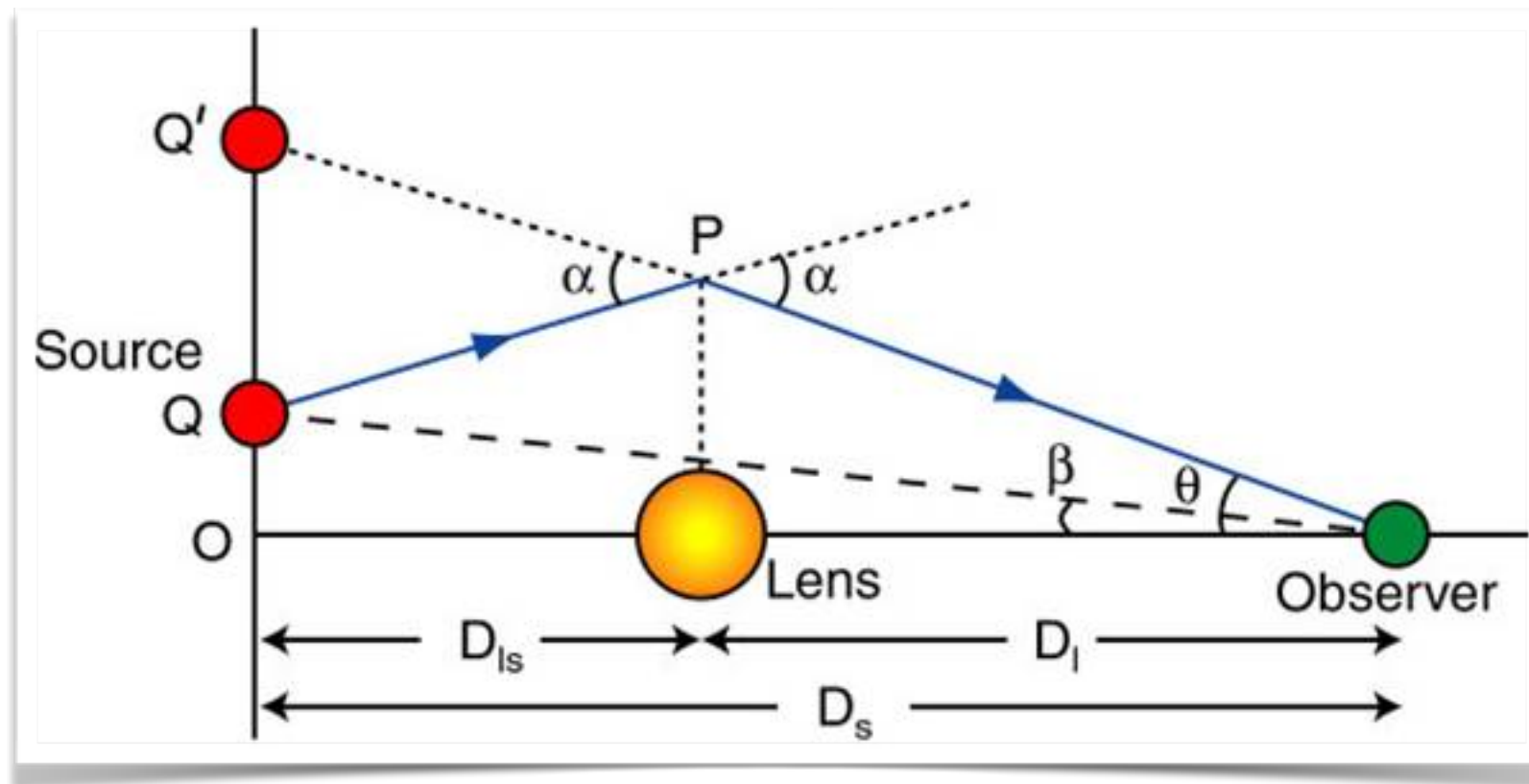
University of Manchester

Geometric Time Delay

Time Delay:

$$\Delta t = \frac{1}{c} \frac{D_L D_S}{D_{LS}} (1 + z_L) \left(\frac{|\vec{\theta} - \vec{\beta}|^2}{2} - \psi(\vec{\theta}) \right)$$

Time Delay (Geometric Optics)



University of Manchester

Geometric Time Delay

Time Delay:

$$\Delta t = \frac{1}{c} \frac{D_L D_S}{D_{LS}} (1 + z_L) \left(\frac{|\vec{\theta} - \vec{\beta}|^2}{2} - \psi(\vec{\theta}) \right)$$

Lensing Potential

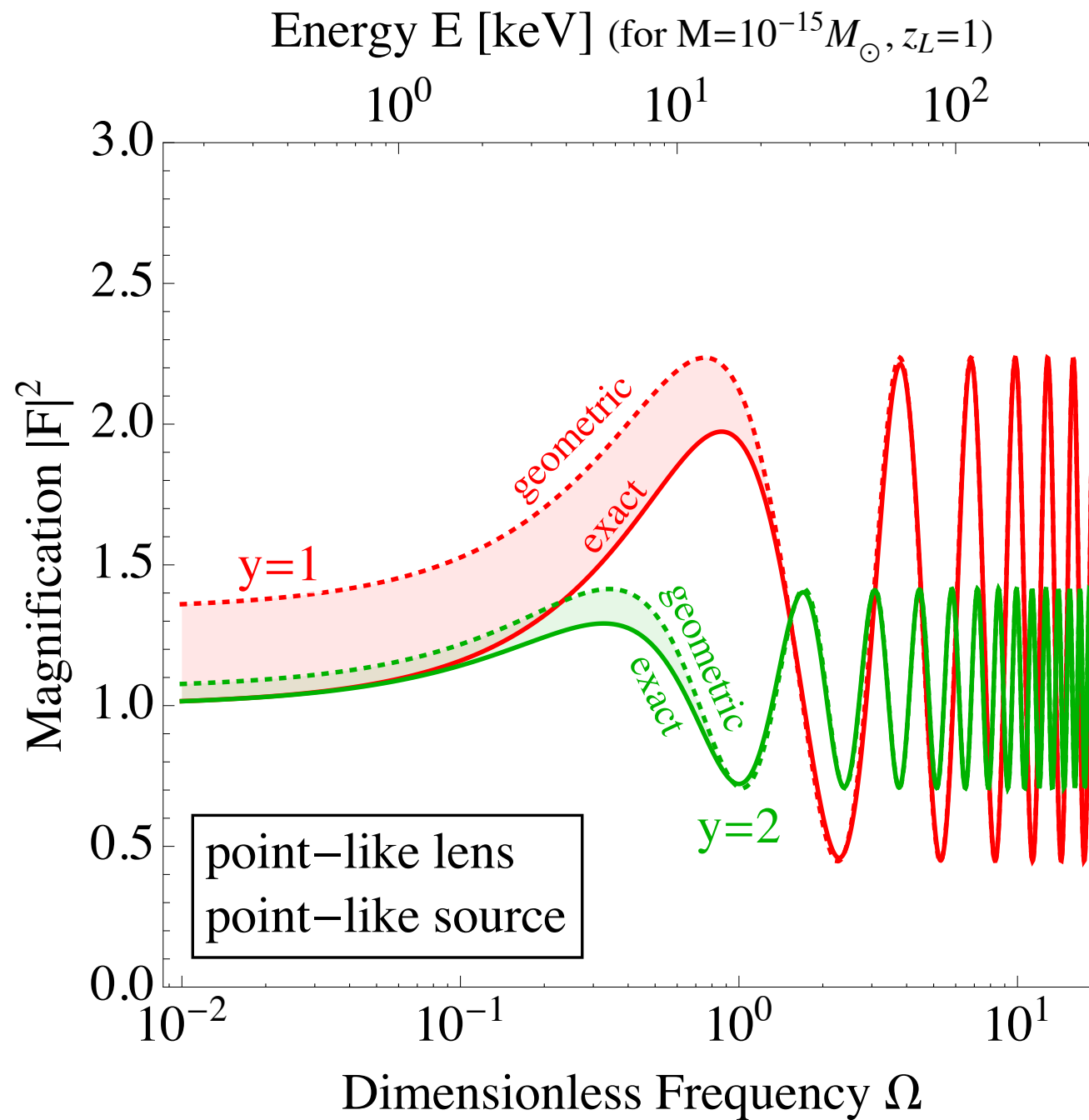
for point-like lens: $\psi(\theta) = \theta_E^2 \log \theta$

Time Delay (Geometric Optics)

$$\Delta t = \frac{1}{c} \frac{D_L D_S}{D_{LS}} (1 + z_L) \left(\frac{|\vec{\theta} - \vec{\beta}|^2}{2} - \psi(\vec{\theta}) \right)$$

- ☑ If $\omega \Delta t \lesssim 1$, expect interference between the two images
- ☑ Oscillatory features in magnification function

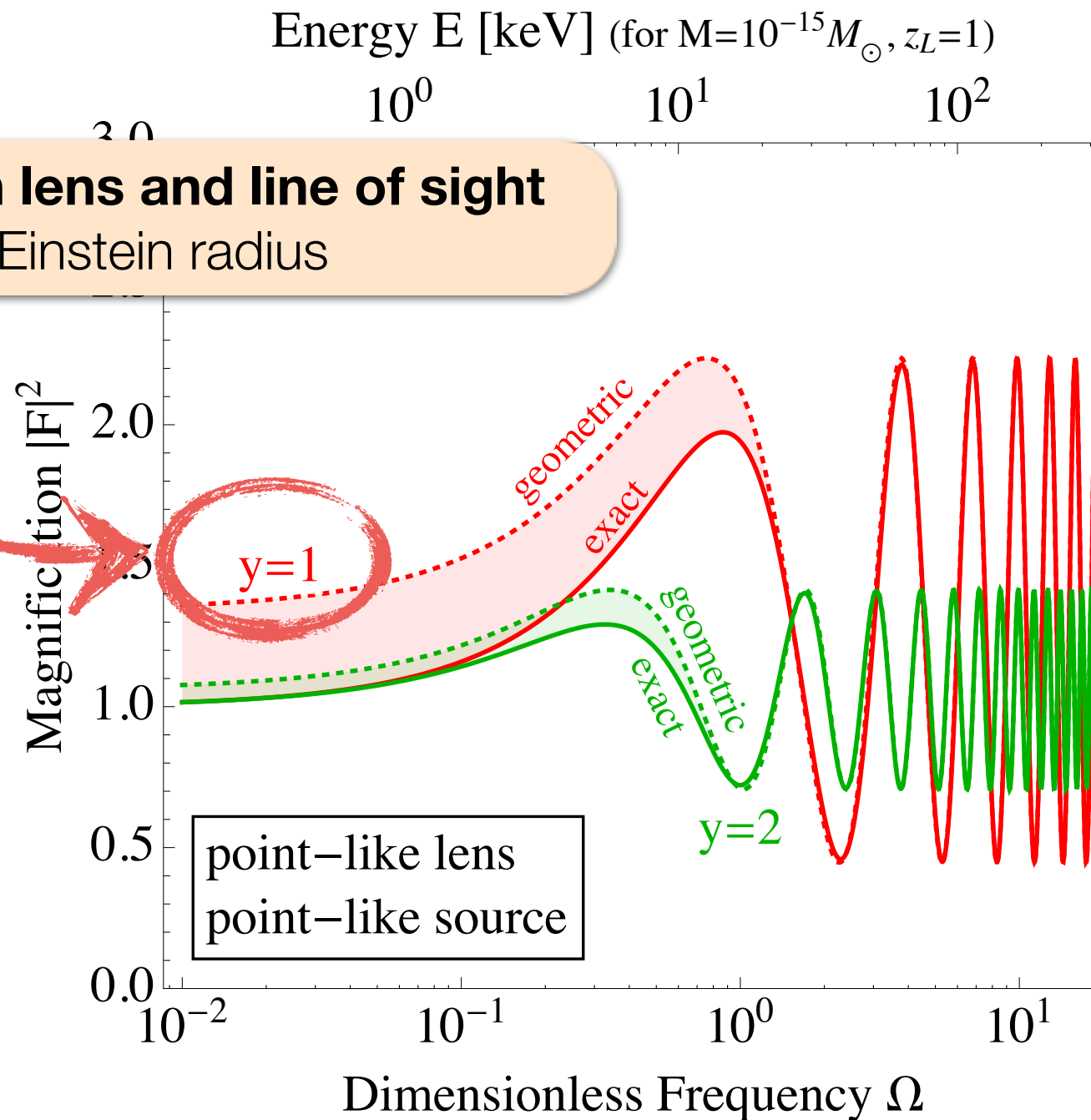
Magnification Function



Katz JK Sibiryakov Xue
arXiv:1807.11495

Magnification Function

Distance between lens and line of sight
in units of Einstein radius

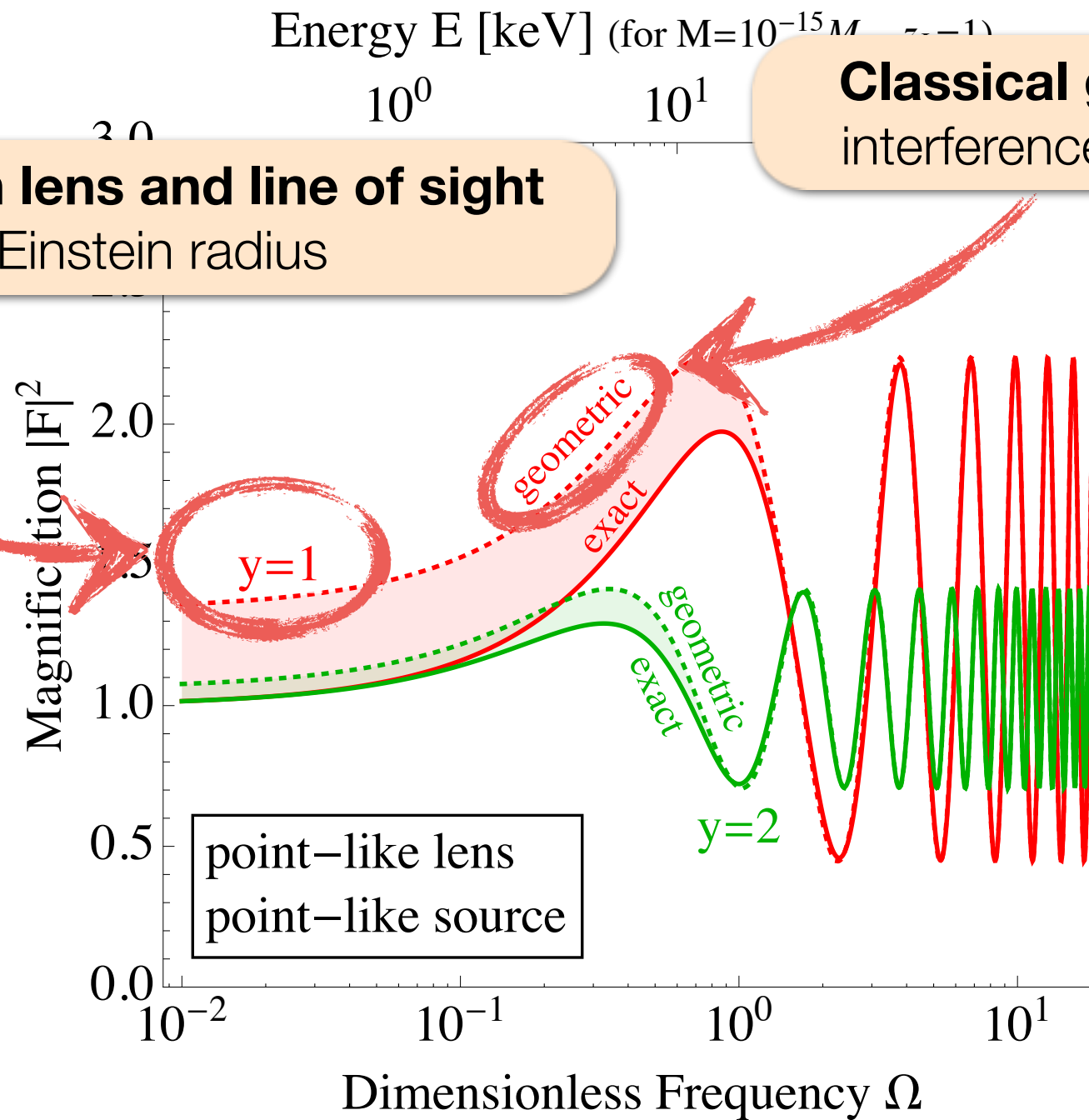


Katz JK Sibiryakov Xue
arXiv:1807.11495

Magnification Function

Distance between lens and line of sight
in units of Einstein radius

Classical geometric picture
interference between two rays

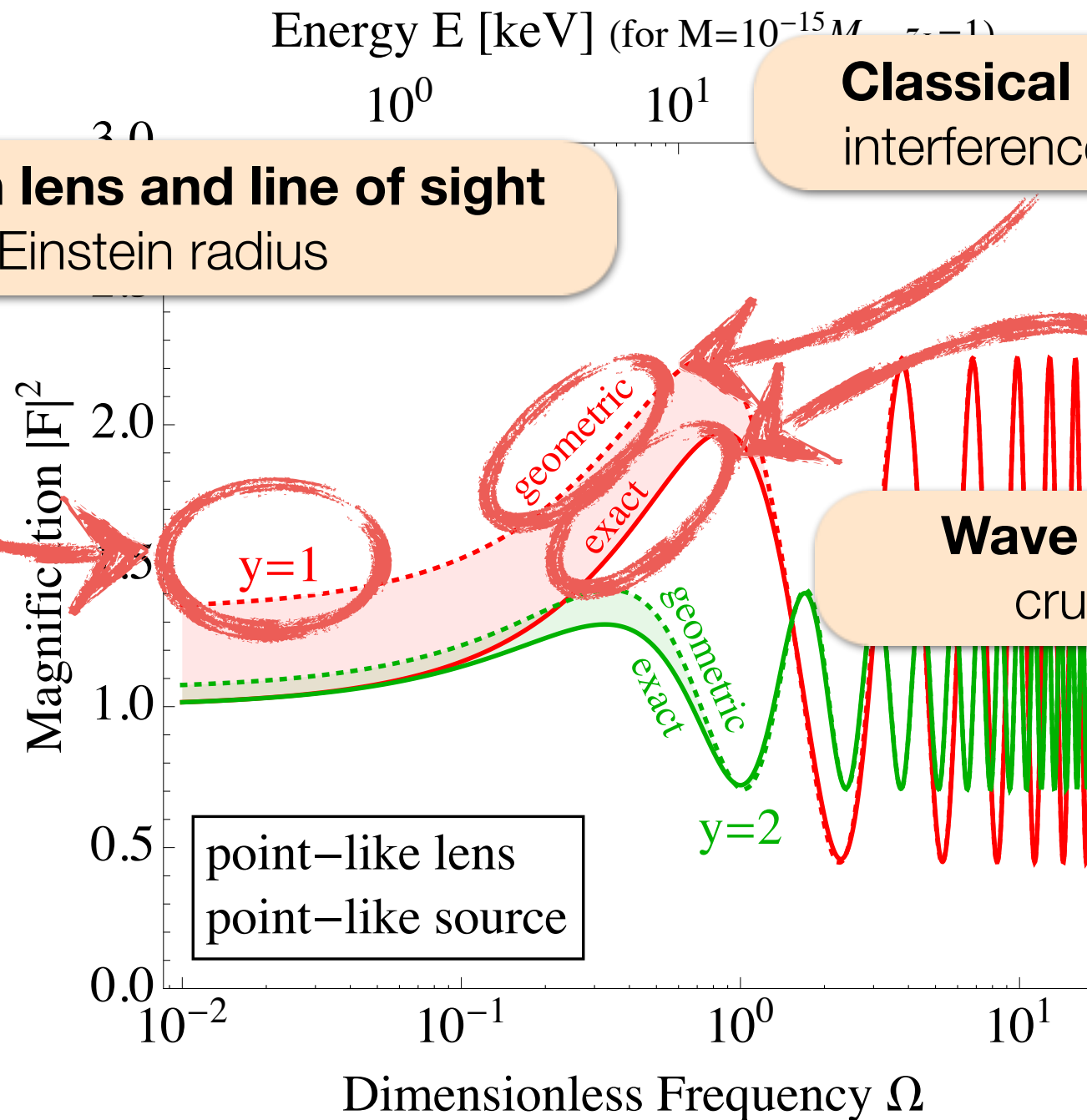


Katz JK Sibiryakov Xue
arXiv:1807.11495

Magnification Function

Distance between lens and line of sight
in units of Einstein radius

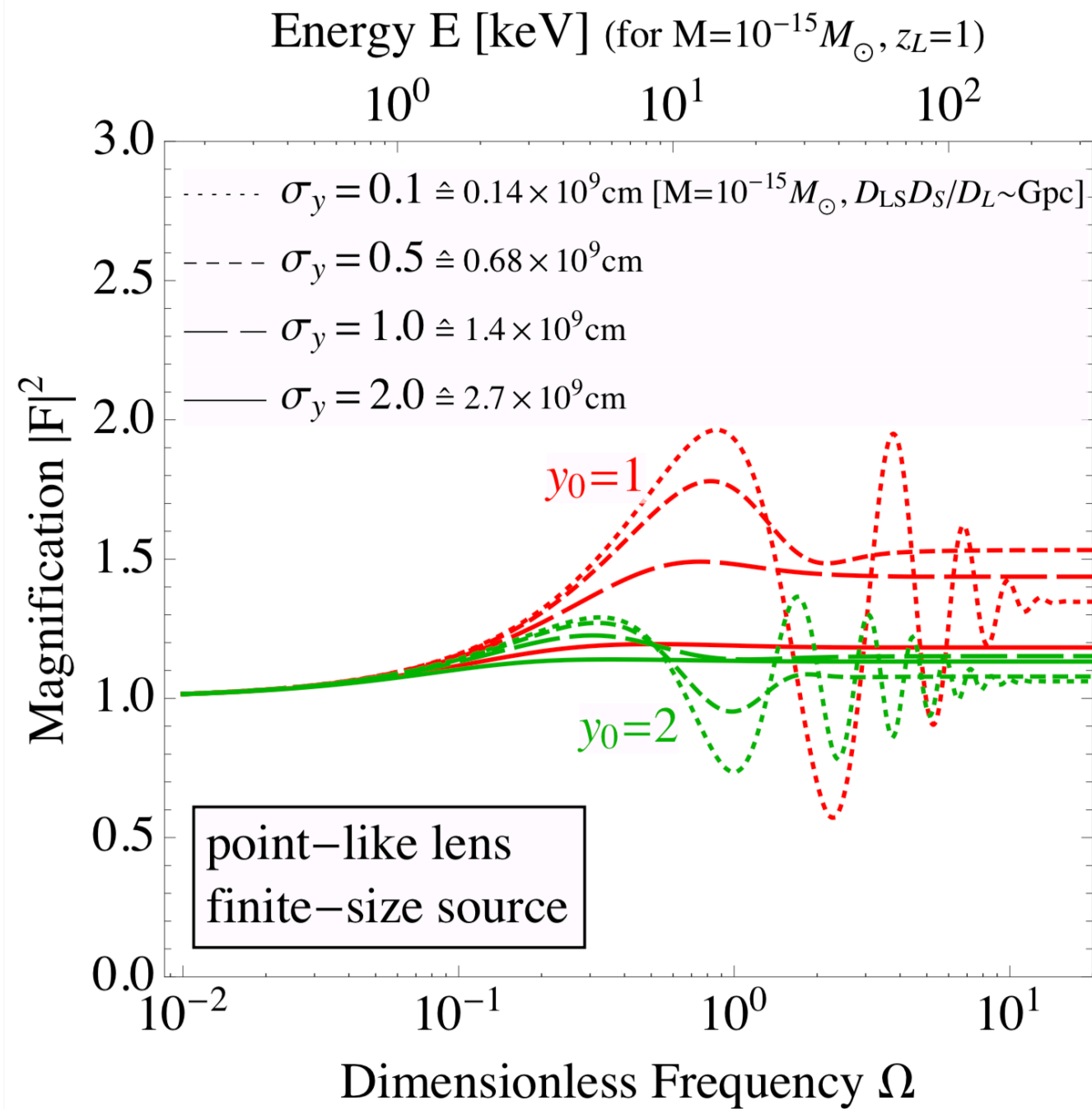
Classical geometric picture
interference between two rays



Wave optics corrections
crucial if $\lambda \gtrsim G_N M_{\text{lens}}$

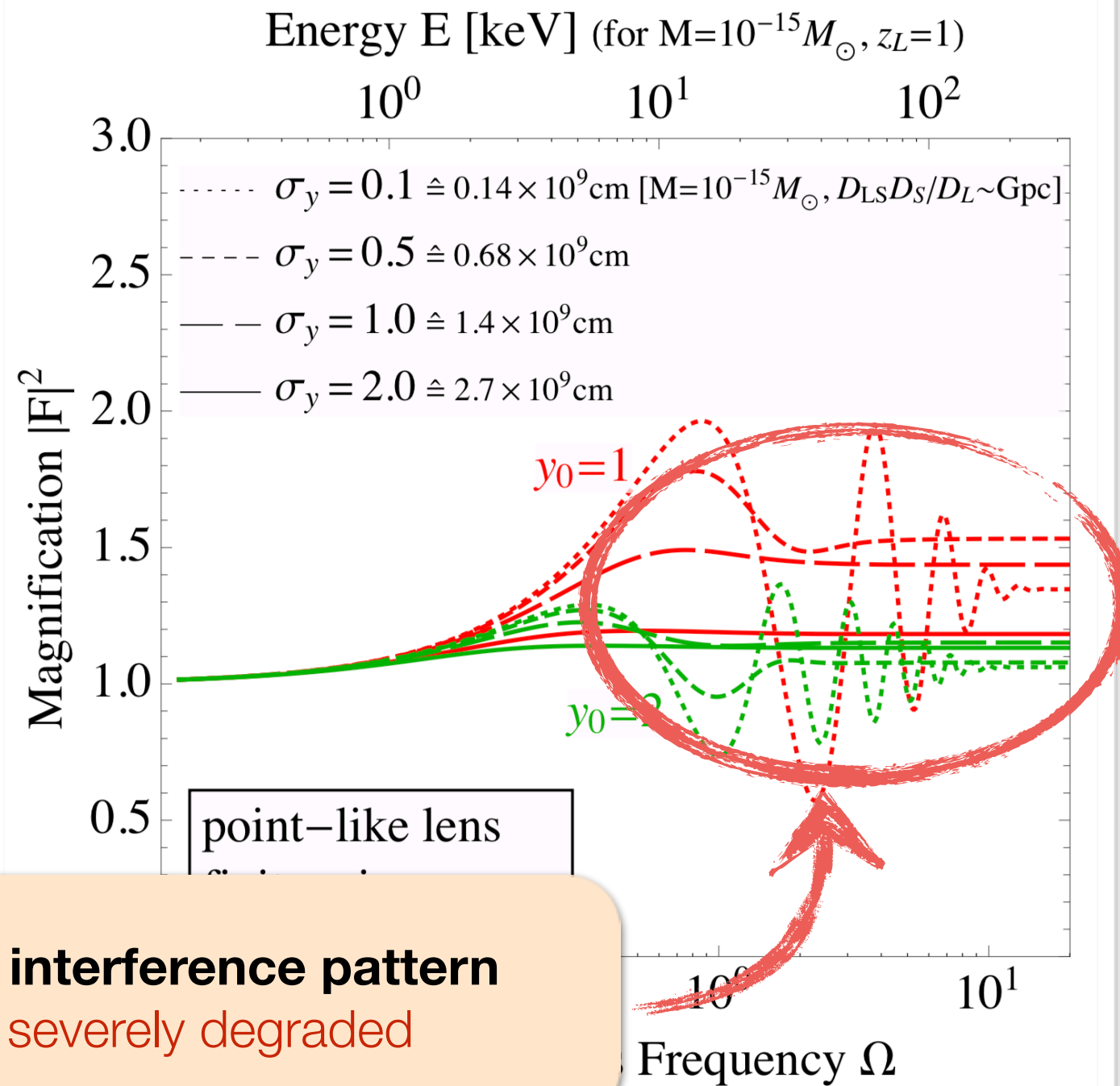
Katz JK Sibiryakov Xue
arXiv:1807.11495

Including Finite Source Size



Katz JK Sibiryakov Xue
arXiv:1807.11495

Including Finite Source Size



Wash-out of interference pattern
sensitivity severely degraded

Requires Source Properties

☑ How to realize $\omega \Delta t \lesssim 1$?

$$\Delta t = \frac{D_L D_S}{c D_{LS}} \left[\frac{(\theta - \beta)^2}{2} - \frac{4G_N M D_{LS}}{c^2 D_L D_S} \log \theta \right]$$
$$\sim \frac{4G_N M}{c^2} = 2 \times 10^{-5} \text{ sec} \left(\frac{M}{M_\odot} \right)$$

or, equivalently

$$\frac{1}{\Delta t} \sim 0.3 \text{ MeV} \left(\frac{10^{-16} M_\odot}{M} \right)$$

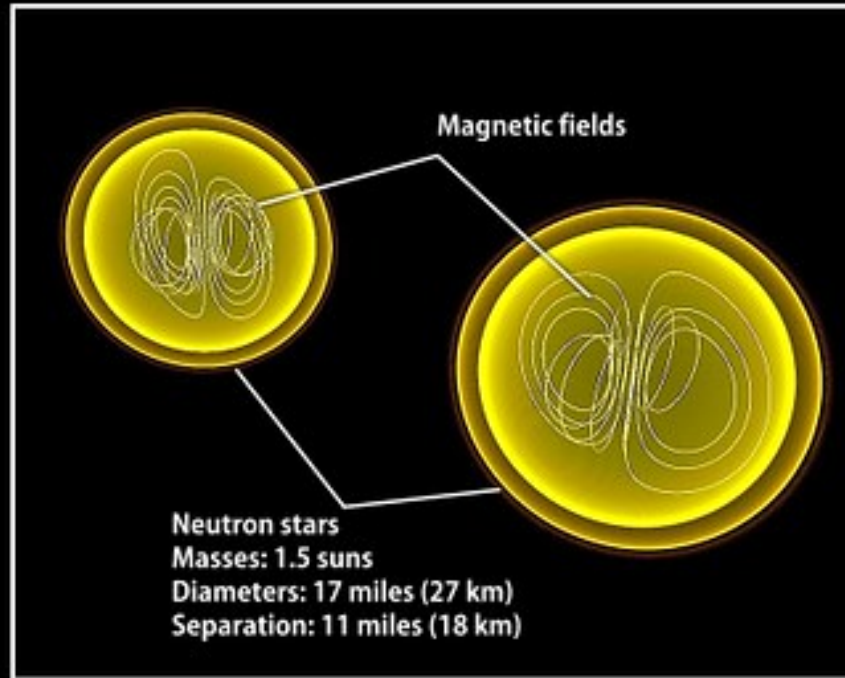
☑ Satisfied for instance for *gamma rays*

Possible Source: Gamma Ray Bursts (GRBs)

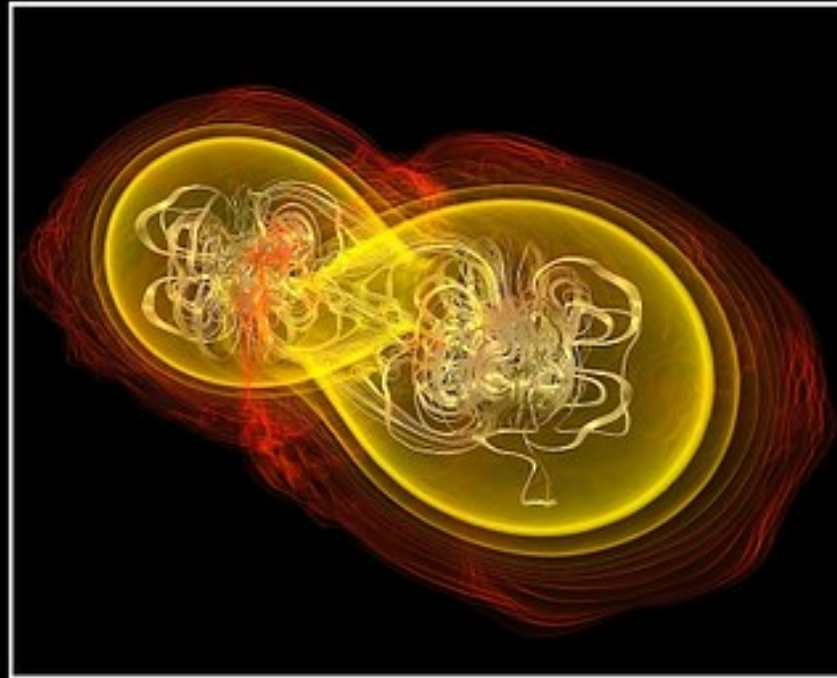
- ☑ Brightest electromagnetic events in the Universe
 - Can be observed far, far away (\sim Gpc, $z \sim$ few)
 - large probability of finding a lens in between
- ☑ Duration: ~ 100 ms to tens of seconds
- ☑ Proposed mechanisms
 - Supernova explosion of massive star (long GRB, duration $\gtrsim 2$ sec)
 - Binary neutron star merger (short GRB, duration $\lesssim 2$ sec)



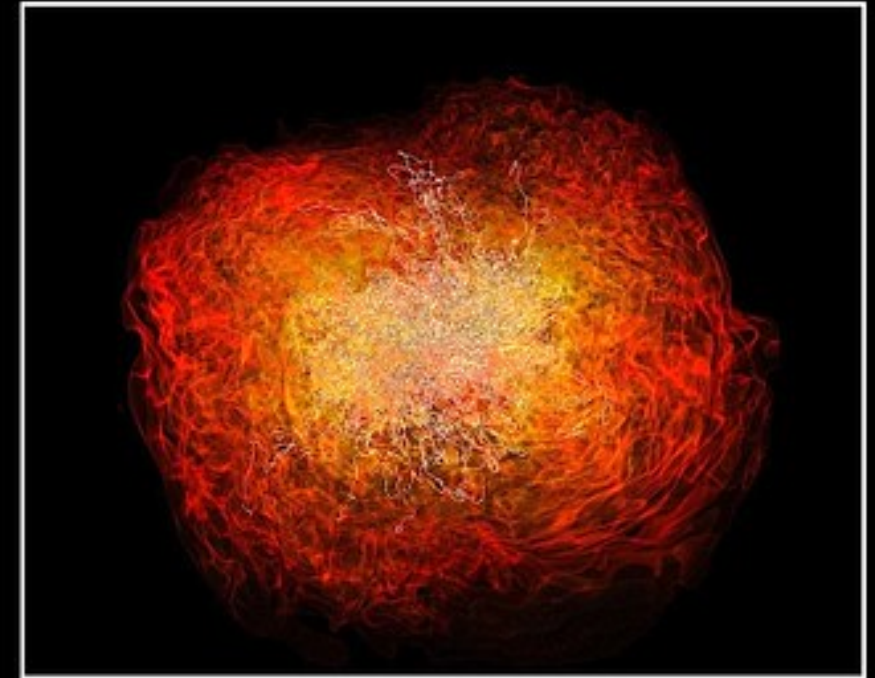
Crashing neutron stars can make gamma-ray burst jets



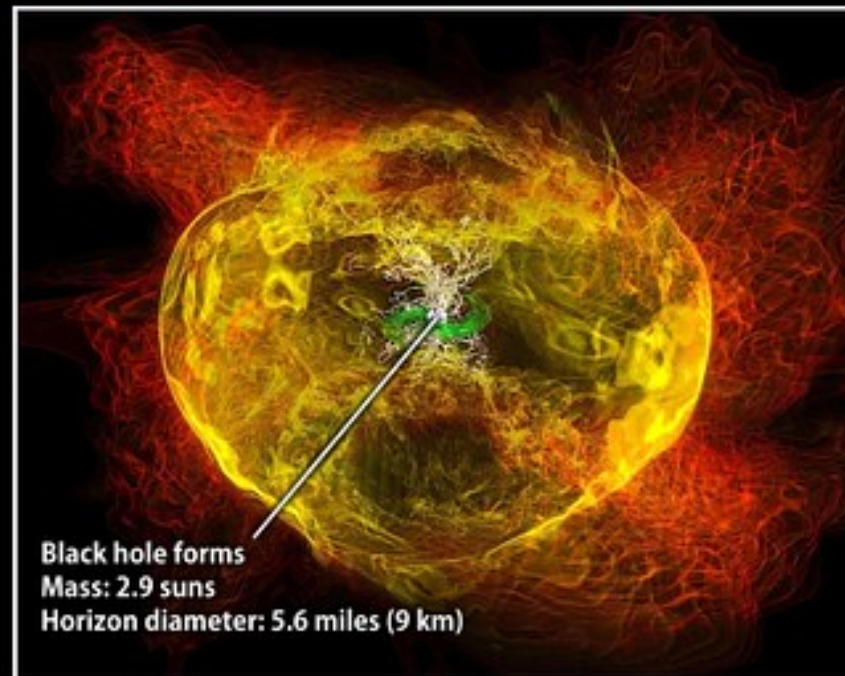
Simulation begins



7.4 milliseconds



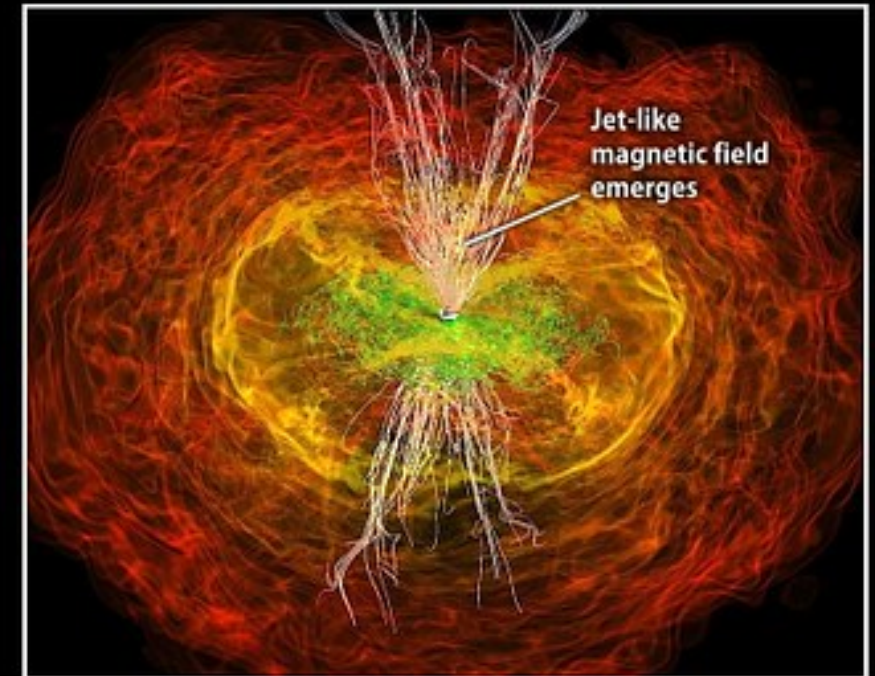
13.8 milliseconds



15.3 milliseconds



21.2 milliseconds



26.5 milliseconds

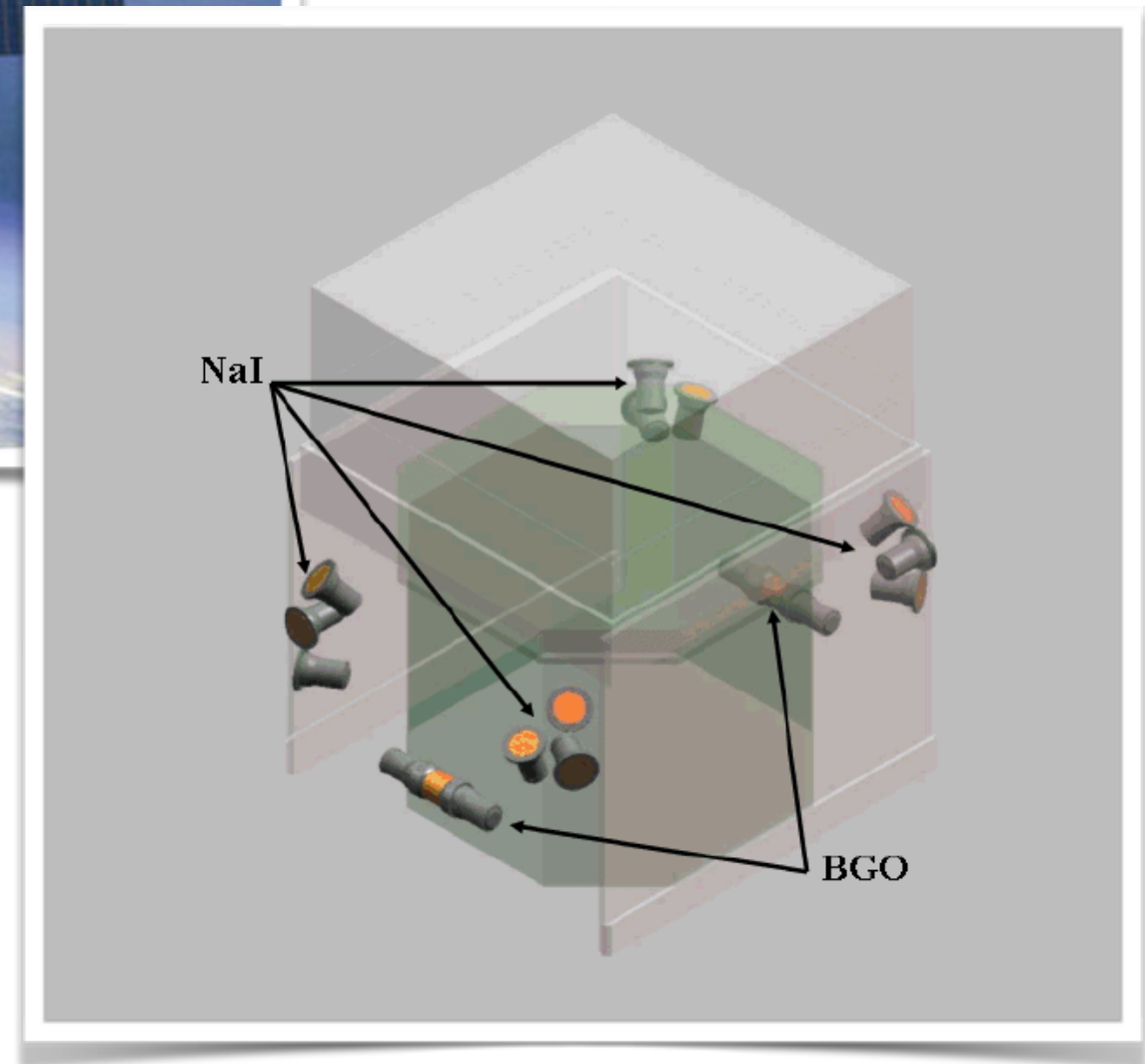
Credit: NASA/AEI/ZIB/M. Koppitz and L. Rezzolla

GRB Observations



Fermi Gamma Ray Burst Monitor

Fermi Satellite



GBM Specifications & Performance

Quantity	GBM (Minimum Spec.)
Energy Range	< 10 keV - > 25 MeV
Field of View	all sky not occulted by the Earth
Energy Resolution ¹	< 10%
Deadtime per Event	< 15 μ s
Burst Sensitivity ²	< 0.5 cm ⁻² s ⁻¹
Alert GRB Location ³	~ 15°
Final GRB Location ⁴	~ 3°

¹ 1- σ , 0.1 - 1 MeV

² 50 - 300 keV

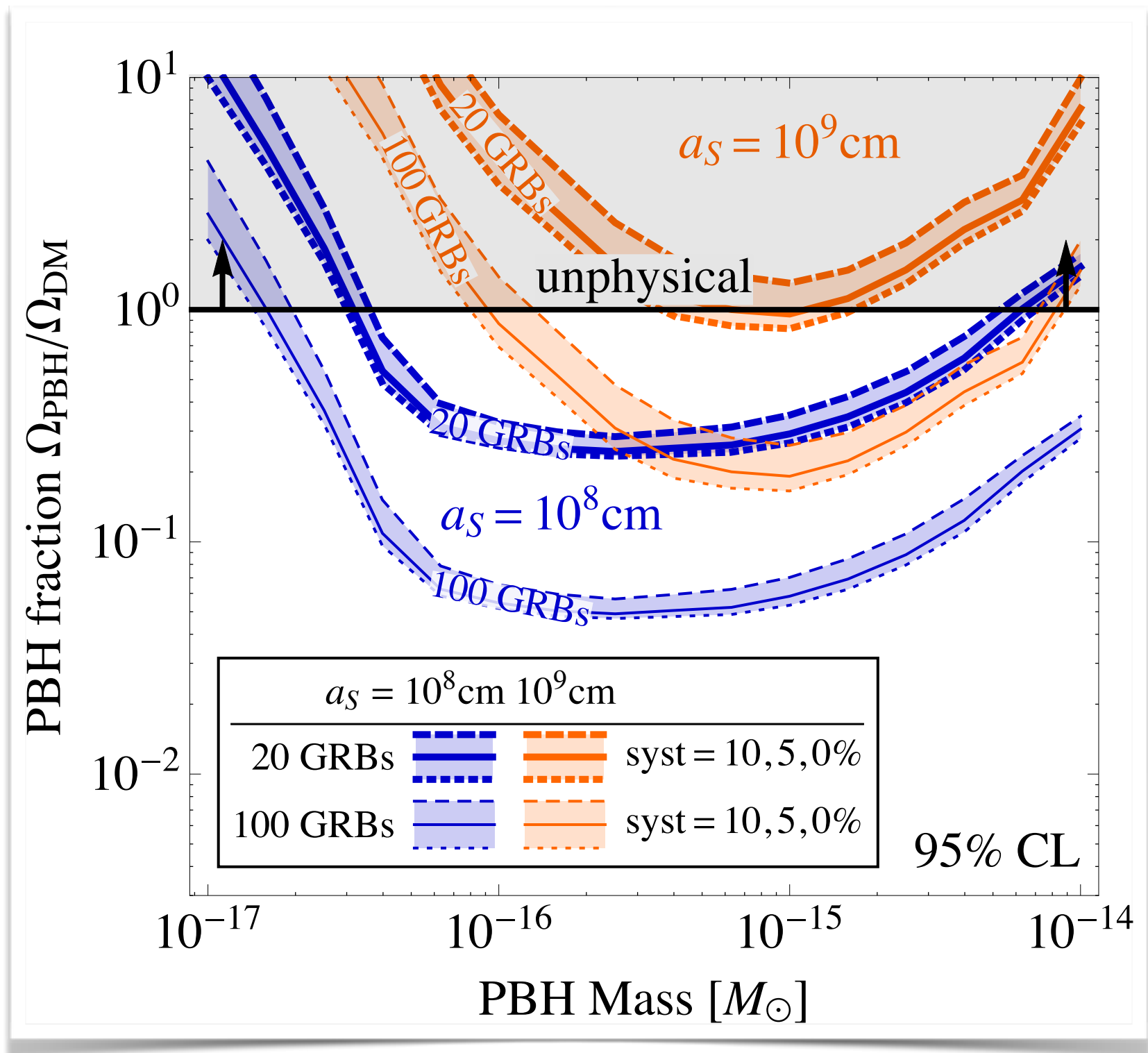
³ Calculated on-board; 1 second burst of 10 photons cm⁻² s⁻¹, 50 - 300 keV

⁴ Final ground computed locations; 1 second burst of 10 photons cm⁻² s⁻¹, 50 - 300 keV

GRB Caveats

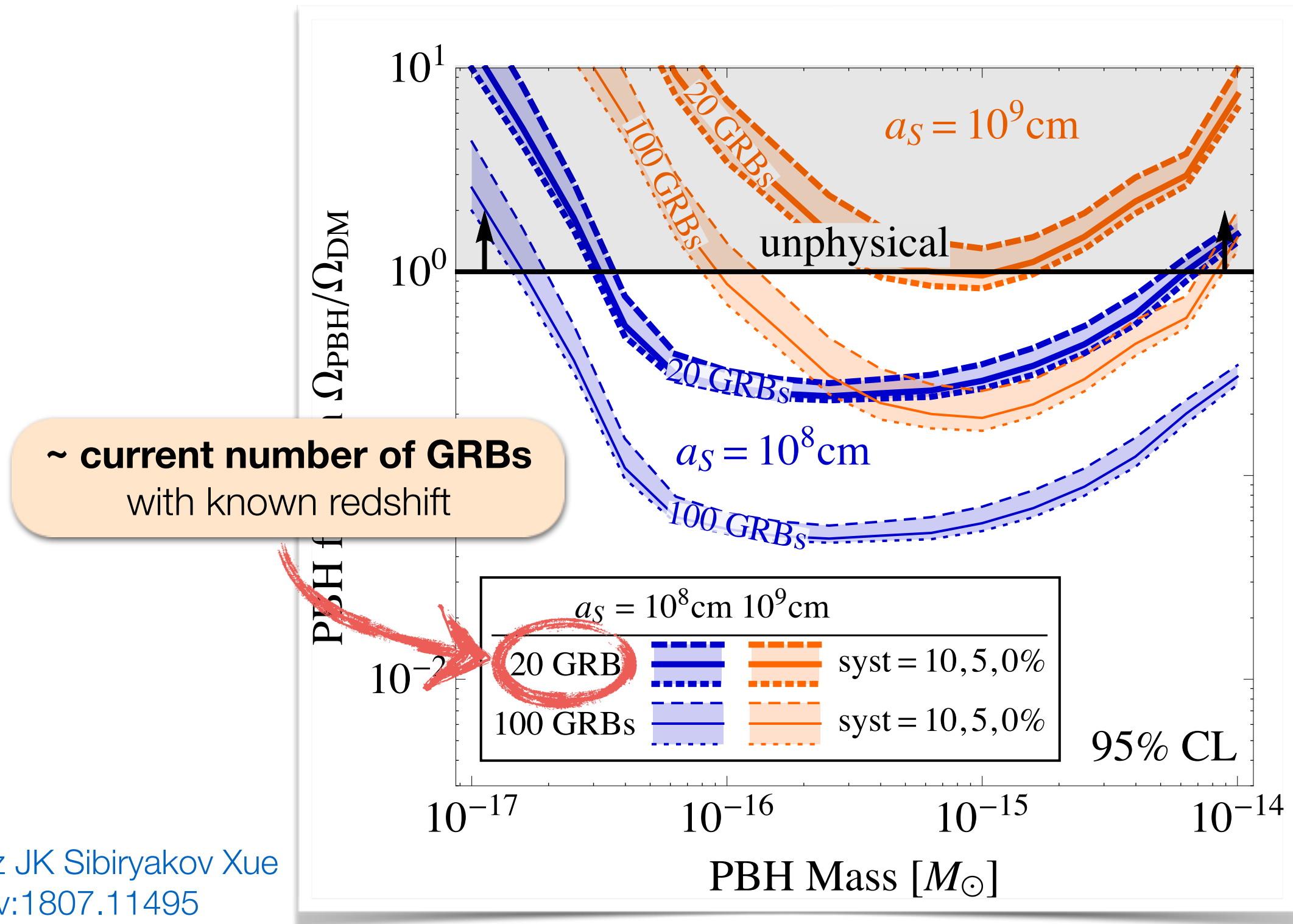
- ☑ To constrain the PBH density using (non-)observation of femtolensing, we need to know the distance to the GRB
 - Requires optical counterpart
 - Only ~20 GRBs with known distance so far
- ☑ Wave optics effects
- ☑ Finite size of GRB source

Sensitivity Estimates



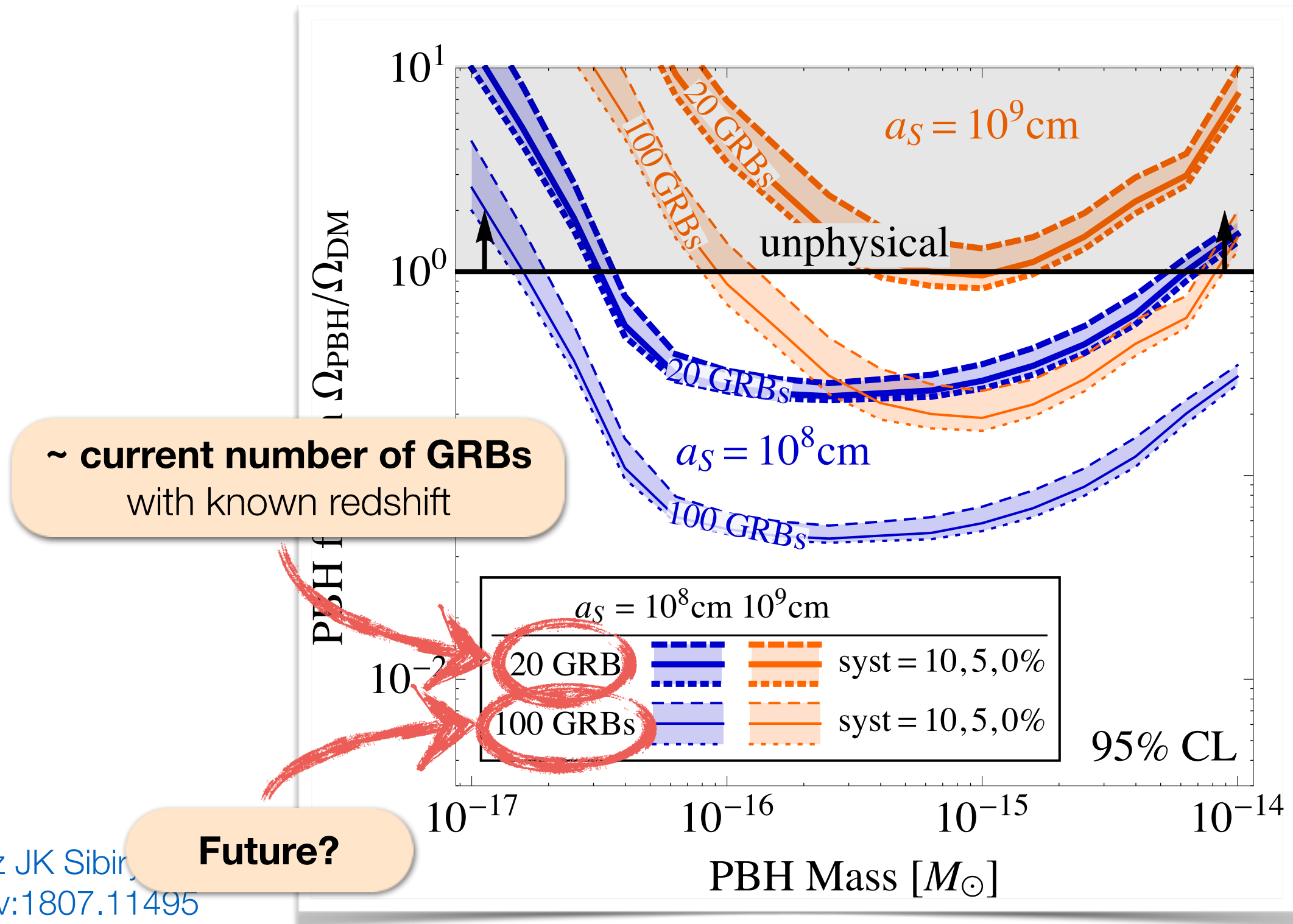
Katz JK Sibiryakov Xue
arXiv:1807.11495

Sensitivity Estimates



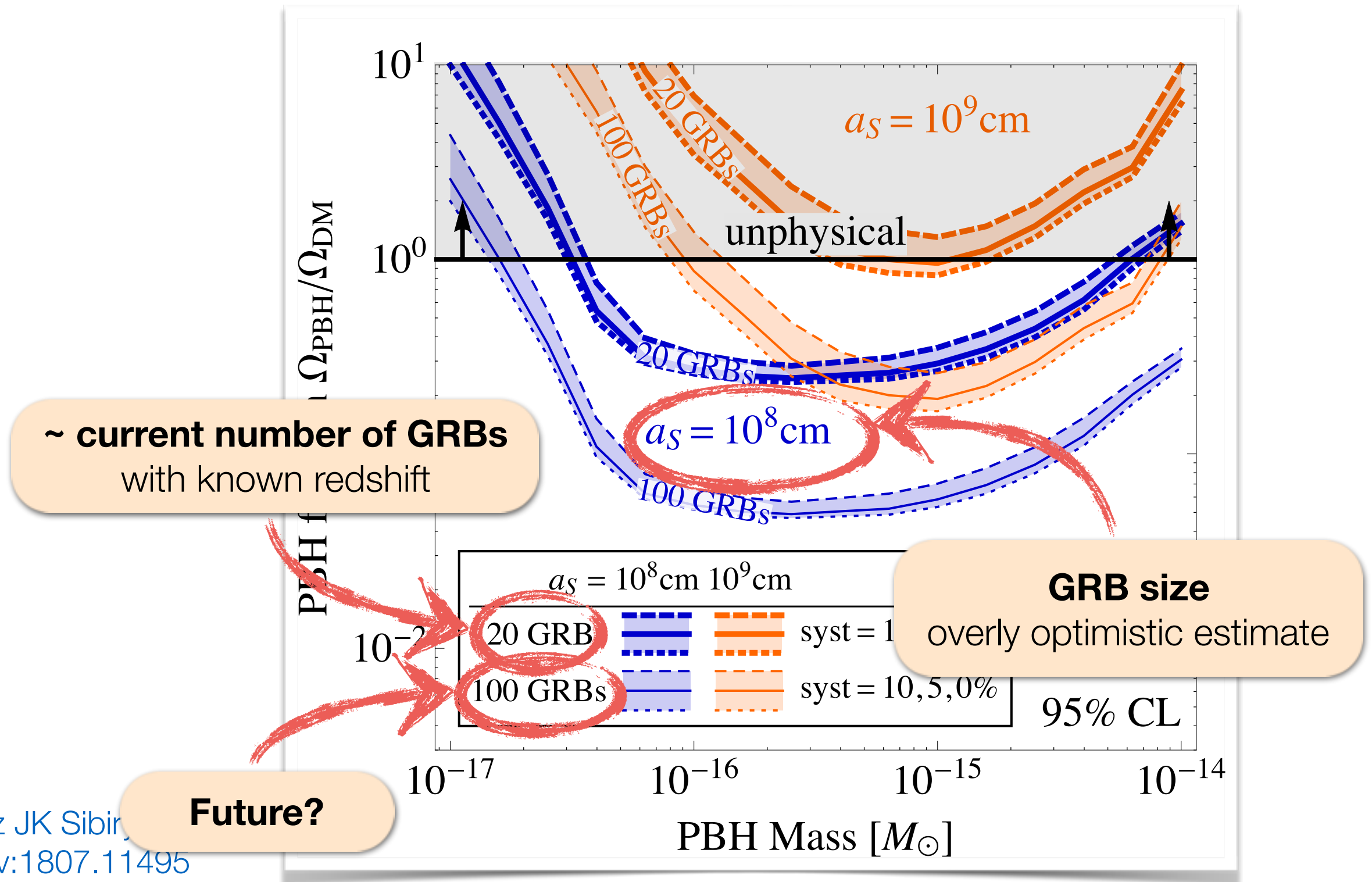
Katz JK Sibiryakov Xue
arXiv:1807.11495

Sensitivity Estimates

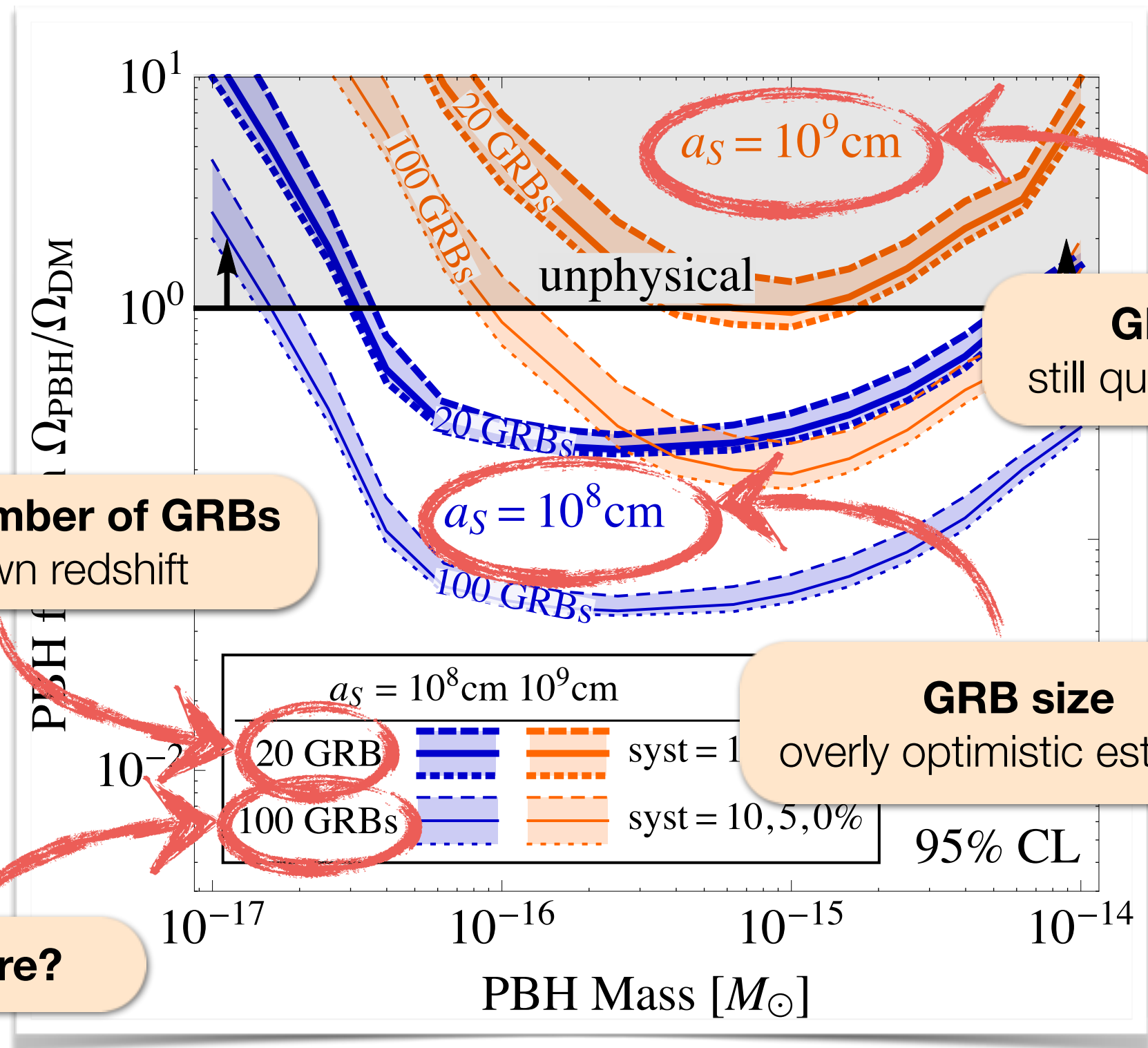


Katz JK Sibiry
arXiv:1807.11495

Sensitivity Estimates



Sensitivity Estimates



Katz JK Sibiry
arXiv:1807.11495

Finite Size of GRB Sources

☑ γ production in GRBs:

Katz JK Sibiryakov Xue, arXiv:1807.11495

○ e^+ , e^- acceleration in relativistic shock waves

☑ Variability time scale in rest frame for source size a_S :

$$t_{\text{var}} \sim a_S / c$$

☑ Relativistic boost γ :

$$t_{\text{var}} \sim (1 + z_S) \left(1 - \frac{v}{c} \cos \theta_{\text{obs}} \right) \gamma a_S / c$$

☑ Observation angle $\theta_{\text{obs}} \sim 1/\gamma$

☑ Observed $t_{\text{var}} \gtrsim 0.01$ sec (short GRB); $\gtrsim 0.1$ sec (long GRB)

$$a_S \simeq \frac{10^{11} \text{ cm}}{1 + z_S} \times \left(\frac{t_{\text{var}}}{0.03 \text{ sec}} \right) \left(\frac{\gamma}{100} \right)$$

Finite Size of GRB Sources: Caveats

- ☑ Some GRBs with shorter variability time scale $t_{\text{var}} \lesssim 10^{-3}$ sec
 - t_{var} distribution could have a long tail → use tail for femtolensing

- ☑ Intrinsic variability might be too fast to be resolved

- ☑ Conservative estimate: require optical depth $\tau < 1$:

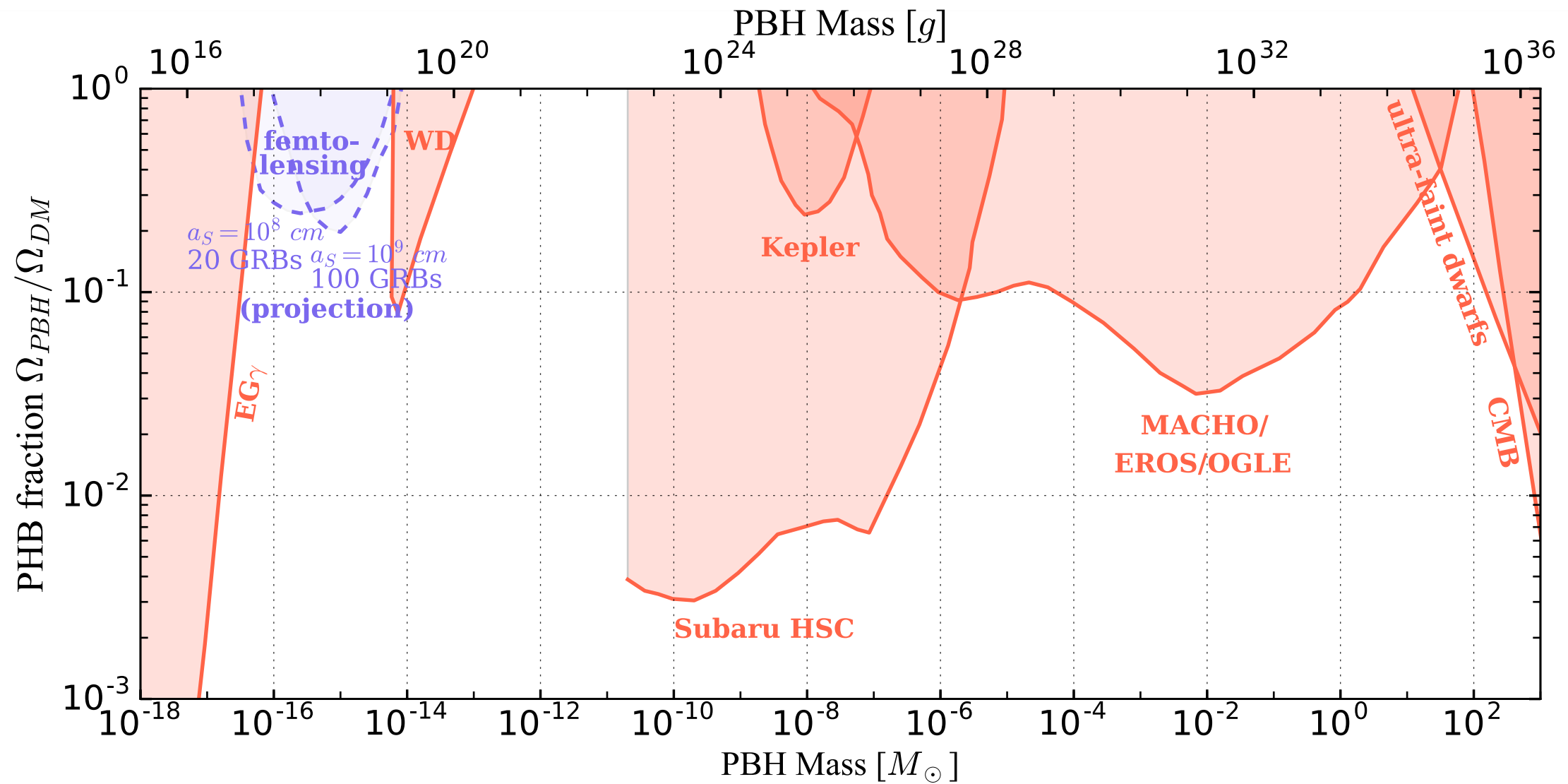
$$a_S > 1.8 \times 10^9 \left(\frac{d_S}{7 \text{Gpc}} \right)^2 \left(\frac{f_{500}}{10^{-3} \text{sec}^{-1} \text{cm}^{-2} \text{keV}^{-1}} \right) \left(\frac{\gamma}{1000} \right)^{-4} \text{cm}.$$

- ☑ Assumptions:

- Power law spectrum with $\alpha = -2$
- Thomson scattering (non-relativistic in rest frame of ejecta)
- Target e^+ , e^- from pair production by γ rays
- ...

Katz JK Sibiryakov Xue, arXiv:1807.11495

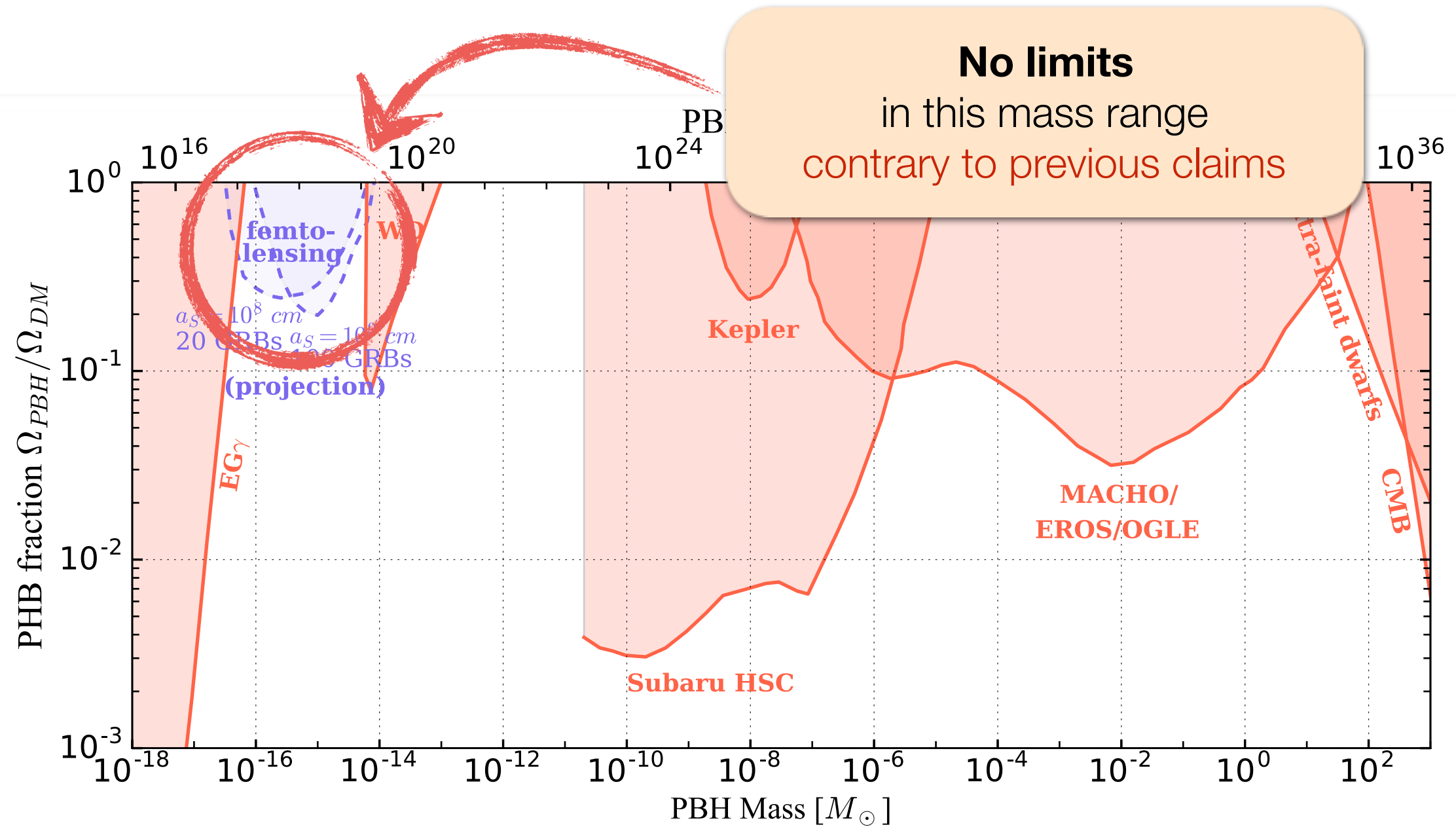
PBH Parameter Space



Katz JK Sibiryakov Xue
arXiv:1807.11495

Assuming δ -like PBH mass distribution

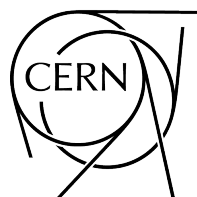
PBH Parameter Space



Katz JK Sibiryakov Xue
arXiv:1807.11495

Assuming δ -like PBH mass distribution

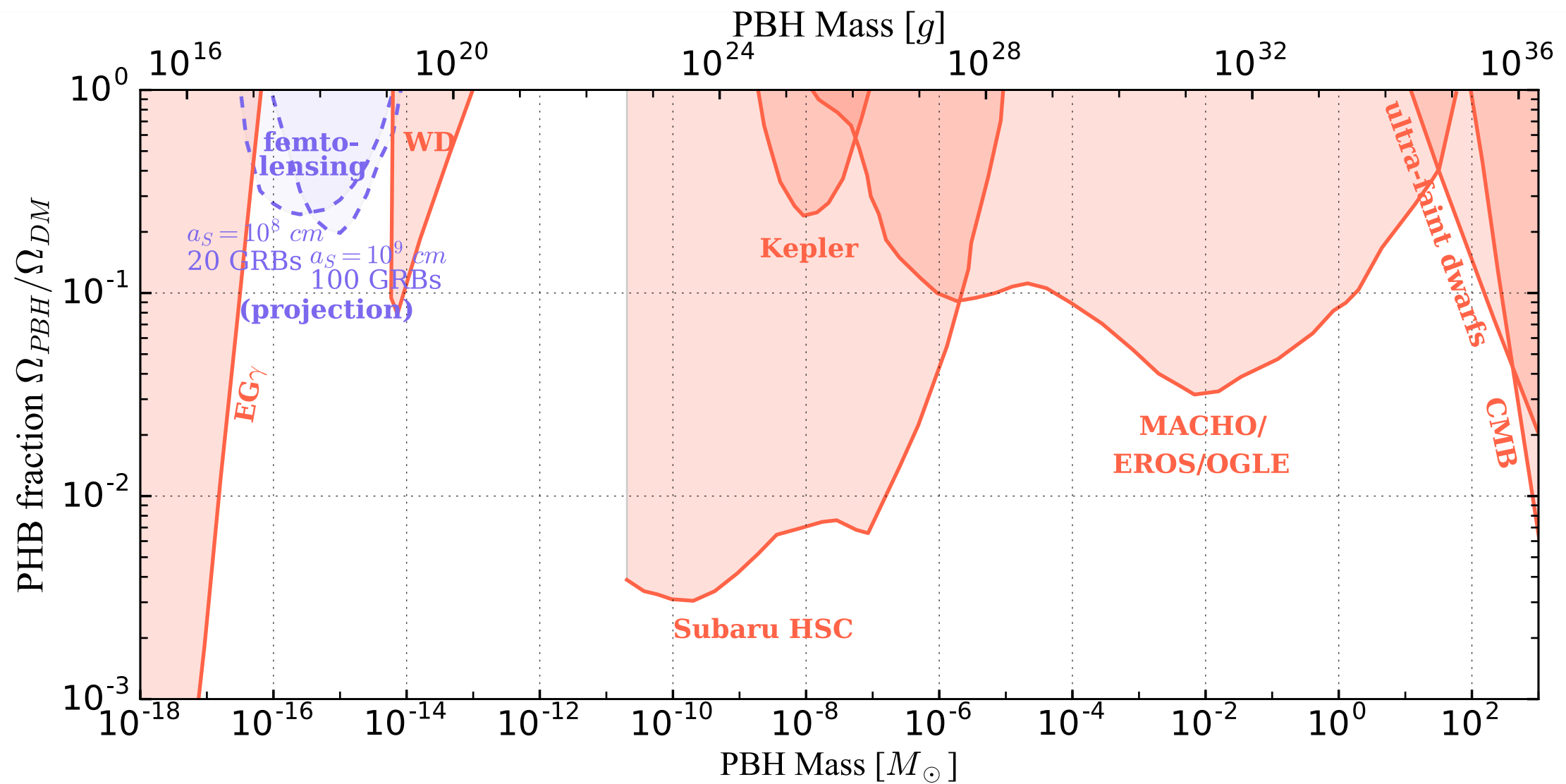
Other PBH Limits



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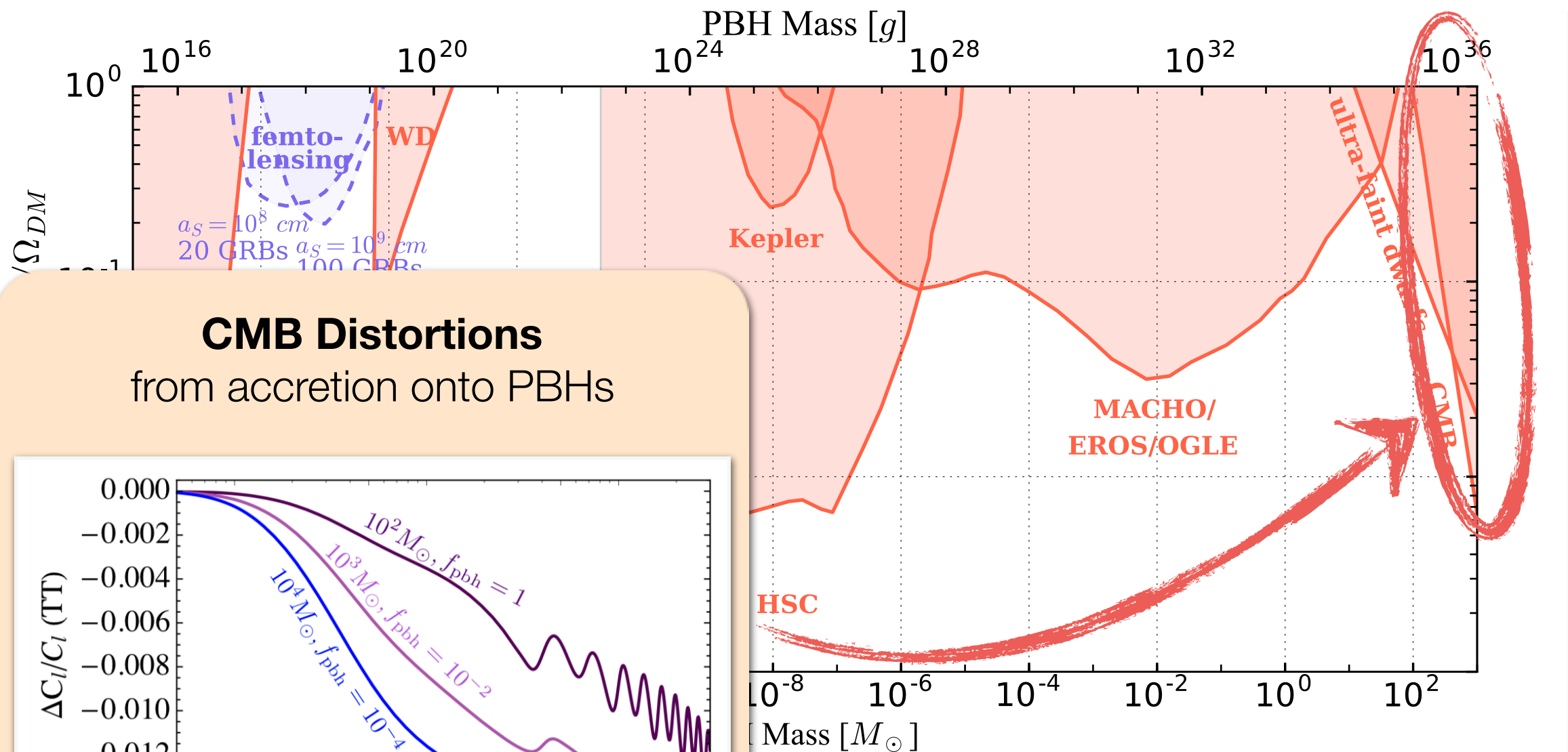
PBH Parameter Space



Assuming δ -like PBH mass distribution

PBH Parameter Space

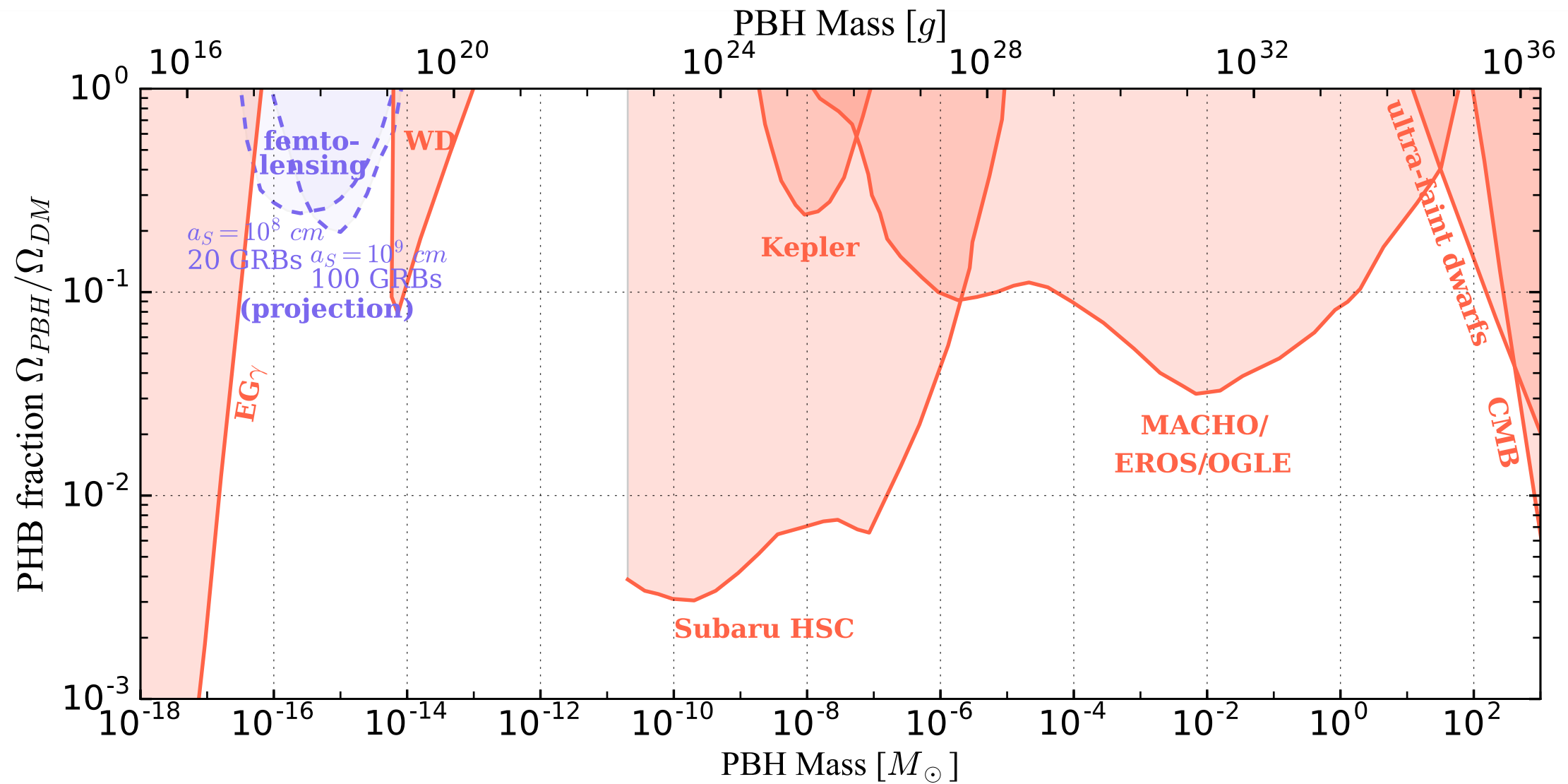
Ali-Haïmoud Kamionkowski arXiv:1612.05644



CMB Distortions
from accretion onto PBHs

Assuming δ -like PBH mass distribution

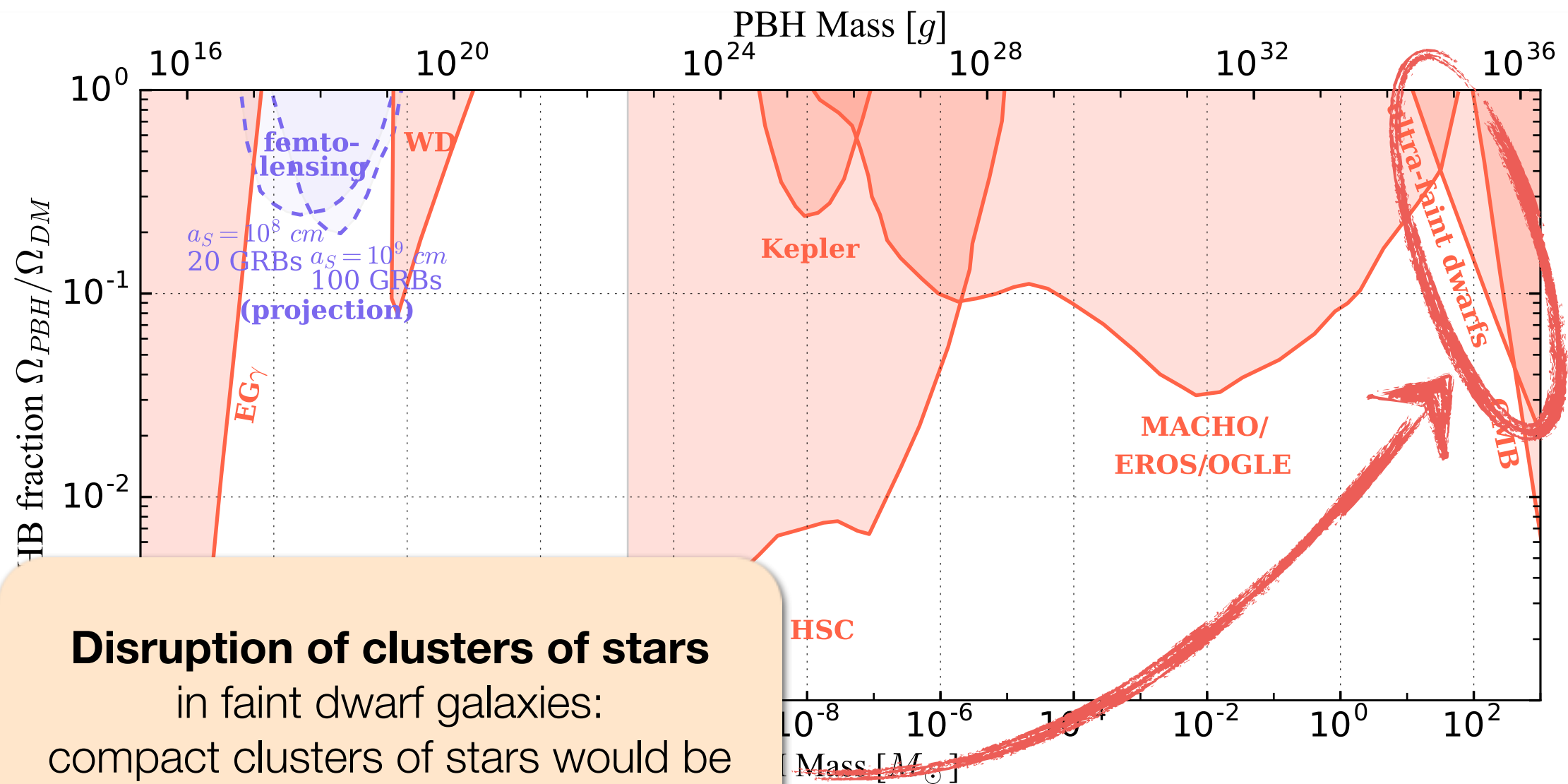
PBH Parameter Space



Assuming δ -like PBH mass distribution

PBH Parameter Space

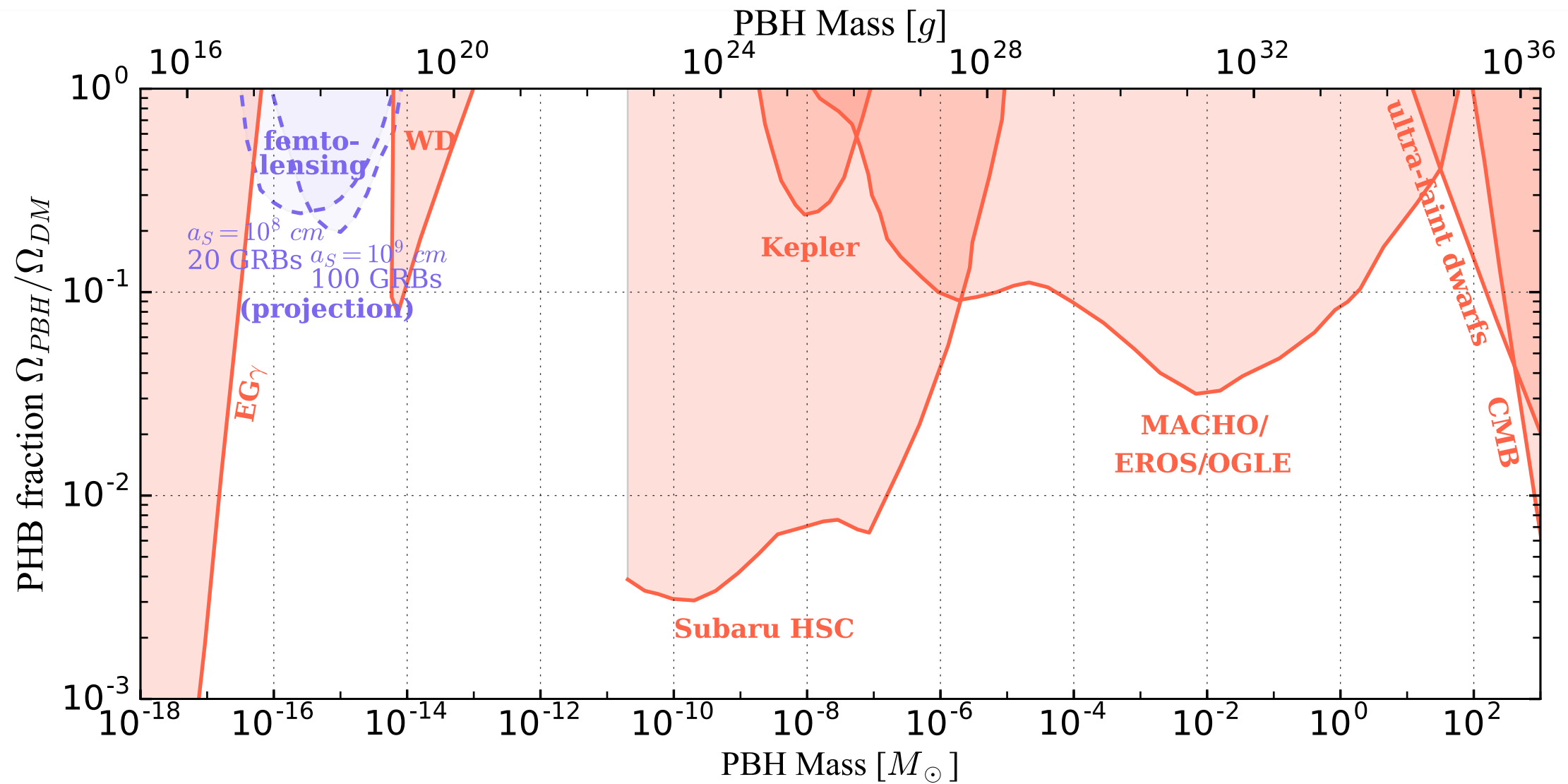
Brandt arXiv:1605.03665



Disruption of clusters of stars
in faint dwarf galaxies:
compact clusters of stars would be
disrupted by gravitational transfer of
kinetic energy from massive PBHs.

Assuming δ -like PBH mass distribution

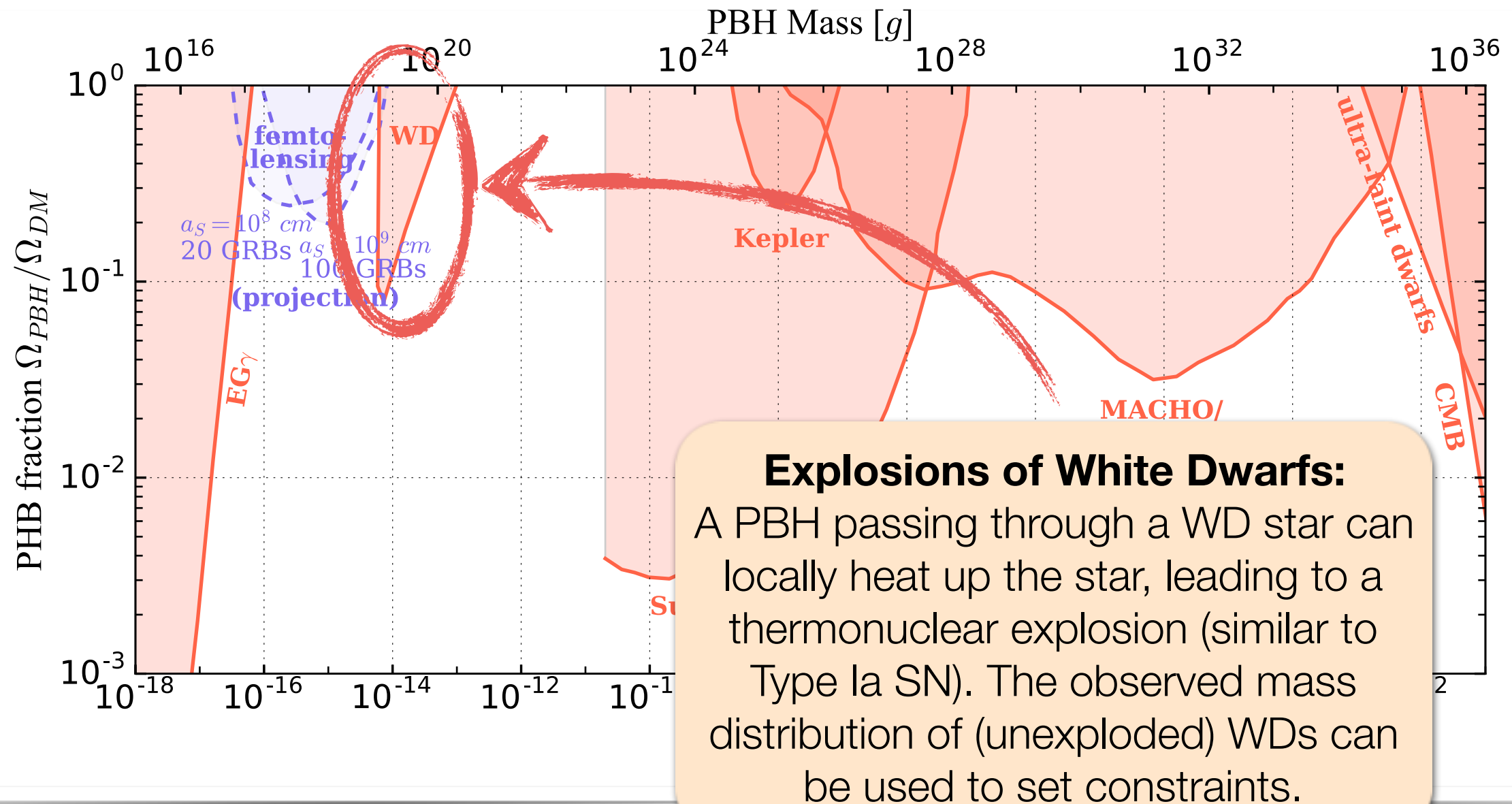
PBH Parameter Space



Assuming δ -like PBH mass distribution

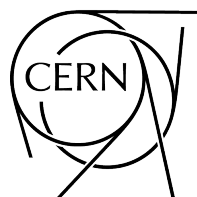
PBH Parameter Space

Graham Rajendran Veral arXiv:1505.04444



Assuming δ -like PBH mass distribution

Summary



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Electroweak Scale Dark Matter

- The field has moved from UV-complete models to simplified models and EFT.

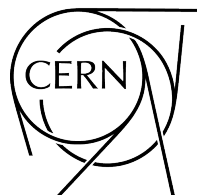
Dark Photons

- generically appears in low-scale (\approx GeV) DM models
- potpourri of constraints

Primordial Black Holes

- interesting DM candidate that doesn't require new particles
- interesting astrophysical constraints
- but lots of open parameter space

Thank You !



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