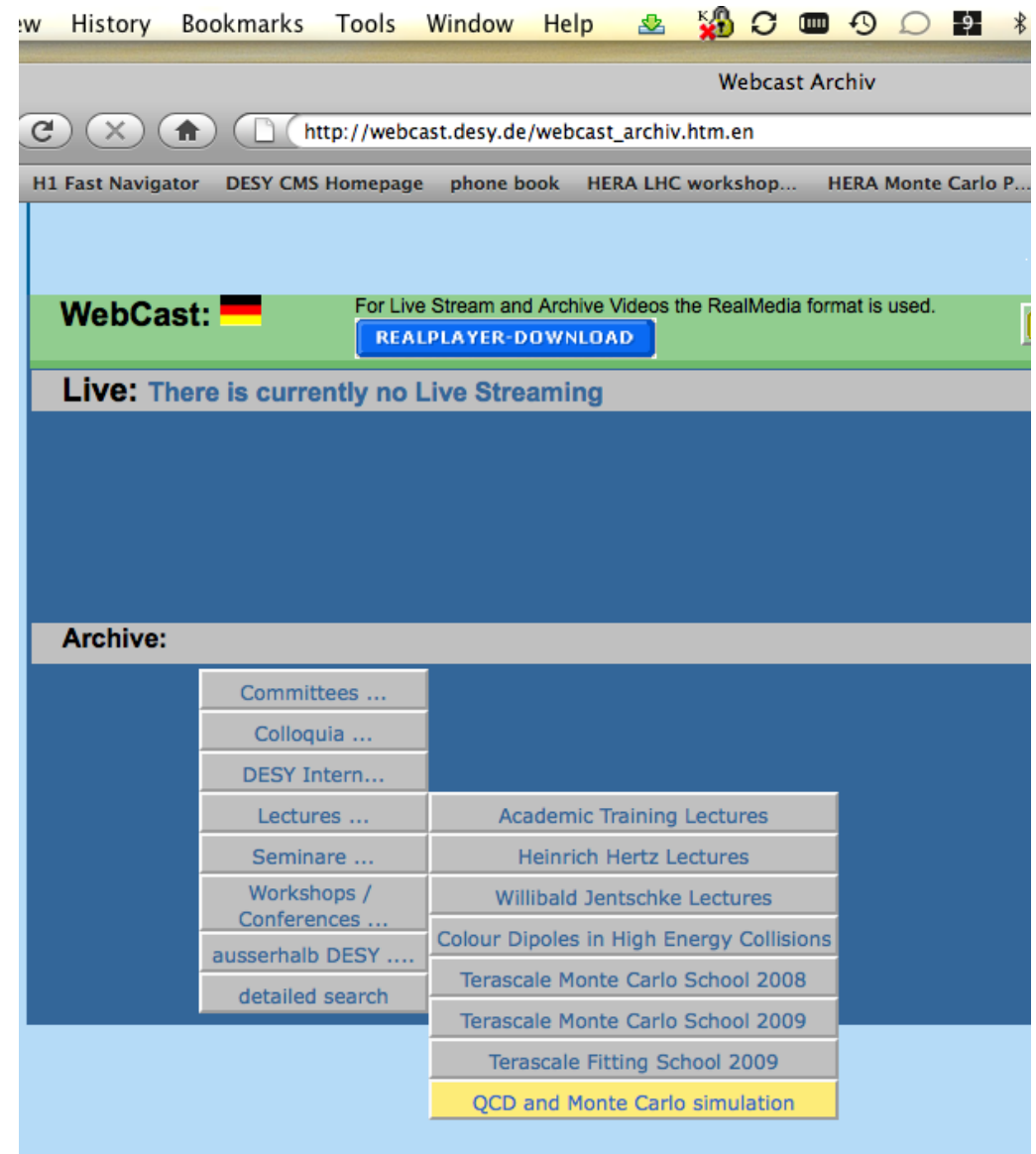
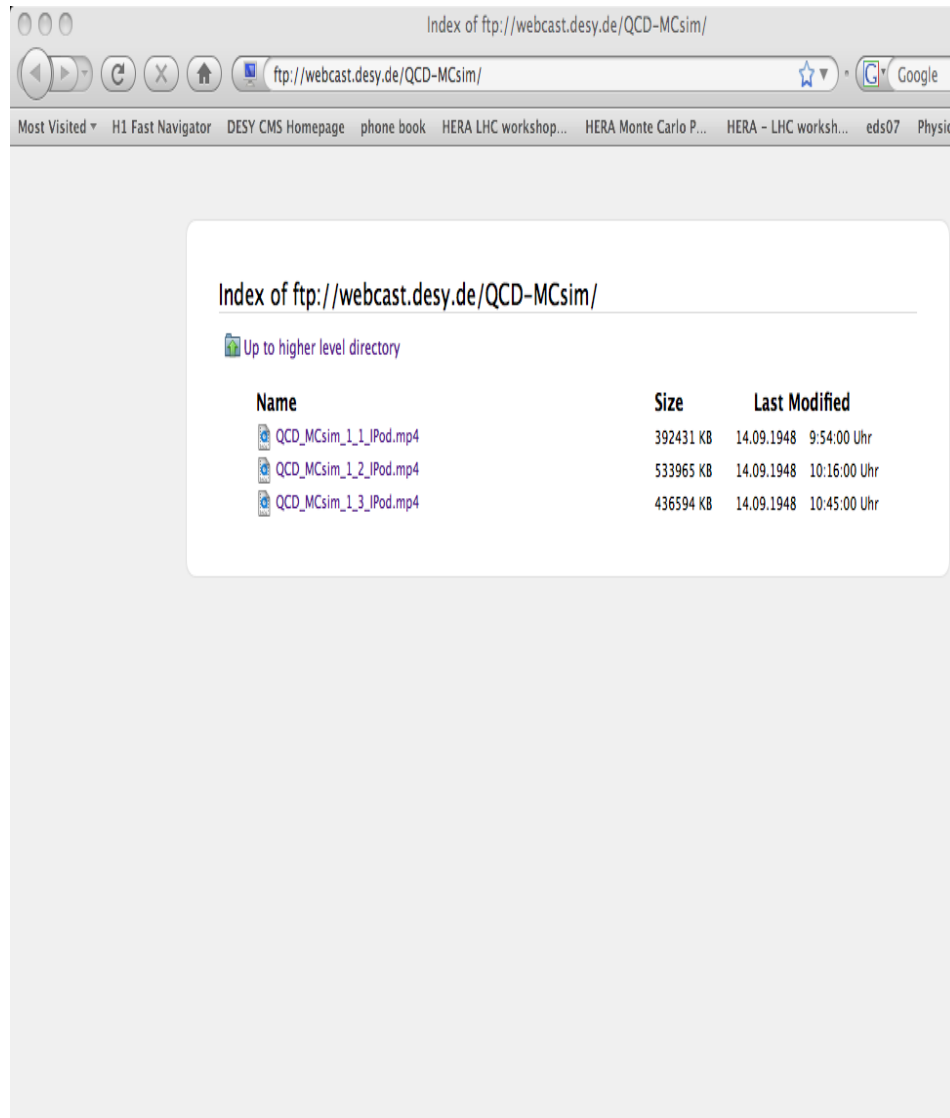


# QCD and Monte Carlo simulation II

H. Jung (DESY, University Antwerp)  
hannes.jung@desy.de

[http://www.desy.de/~jung/qcd\\_and\\_mc\\_2009](http://www.desy.de/~jung/qcd_and_mc_2009)

# Video recordings



# Literature

## QCD and Collider Physics

R.K. ELLIS, W.J. STIRLING  
AND B.R. WEBBER

CAMBRIDGE MONOGRAPHS  
ON PARTICLE PHYSICS, NUCLEAR PHYSICS  
AND COSMOLOGY

8

## Applications of Perturbative QCD

R. D. Field  
Department of Physics  
University of Florida

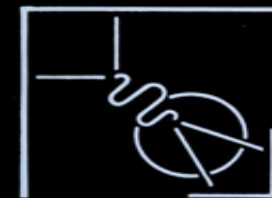


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### PARTONS IN QUANTUM CHROMODYNAMICS

Guido Altarelli

*Istituto di Fisica, Università di Roma,  
Istituto Nazionale di Fisica Nucleare, Sezione di Roma, Italy*

Received 20 July 1981

#### Contents:

|                                                                                           |    |                                                                  |     |
|-------------------------------------------------------------------------------------------|----|------------------------------------------------------------------|-----|
| 1. Introduction                                                                           | 3  | 5. Leptonproduction beyond the leading logarithmic approximation | 50  |
| 2. Gauge theories and the QCD Lagrangian                                                  | 5  | 6. The photon structure functions                                | 64  |
| 3. Asymptotic freedom                                                                     | 10 | 7. The Sudakov form factor of partons                            | 68  |
| 3.1. Asymptotic scale invariance and the renormalization group equations                  | 11 | 8. Jets in leptonproduction and their transverse momentum        | 70  |
| 3.2. The running coupling in asymptotically free theories                                 | 15 | 9. $e^+e^-$ annihilation                                         | 77  |
| 3.3. The beta function in one and two loops                                               | 18 | 9.1. The total hadronic cross section                            | 77  |
| 3.4. Asymptotic expansions                                                                | 21 | 9.2. Scaling violations for fragmentation functions              | 80  |
| 4. Inclusive leptonproduction in the leading logarithmic approximation                    | 25 | 9.3. Annihilation into real photons                              | 90  |
| 4.1. The QCD improved parton model                                                        | 26 | 9.4. Jets                                                        | 91  |
| 4.2. The general evolution equations                                                      | 32 | 10. Heavy quarkonium decays                                      | 100 |
| 4.3. The splitting functions and their physical interpretation. The factorization theorem | 32 | 11. Drell-Yan processes                                          | 104 |
| 4.4. Explicit solutions near $s = 1$                                                      | 42 | 12. Electro-weak form factors of hadrons                         | 113 |
| 4.5. Some properties of moments                                                           | 44 | 13. QCD effects in weak and leptonic amplitudes                  | 116 |
| 4.6. Polarized parton densities                                                           | 47 | 14. Outlook                                                      | 120 |
|                                                                                           |    | References                                                       | 121 |

#### Abstract:

An overall view of the physics of QCD in the perturbative domain is presented in a form that could be of use both as an introduction to the subject with its main lines of current development and as a reference review text for more expert readers as well.

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# Outline of the lectures

- 10 July Intro to Monte Carlo techniques and structure of matter
- 17 July DGLAP and small  $x$ : solution with MCs
- 23 July Small  $x$ , CCFM and BFKL
- 24 July W/Z production in pp and soft gluon resummation
- Lectures will be recorded and made available immediately .....
- Exercises in the afternoons: 14:00 - 16:30 in sem 1  
Assistant: A. Grebenyuk
- Discussion forum online:  
see link from web page or

[http://www.terascale.de/research\\_topics/rt1\\_physics\\_analysis/monte\\_carlo\\_generators/discussion\\_forum/discussion\\_forum\\_lecture\\_2\\_monte\\_carlos](http://www.terascale.de/research_topics/rt1_physics_analysis/monte_carlo_generators/discussion_forum/discussion_forum_lecture_2_monte_carlos)

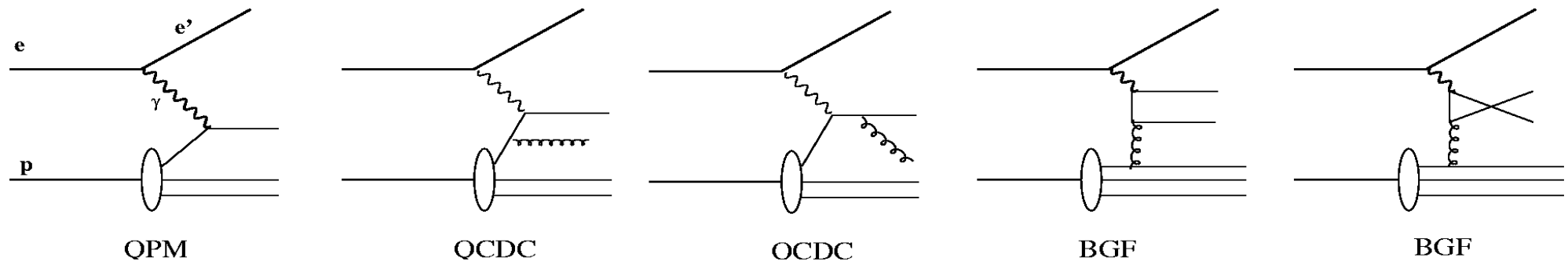
# Requests to you ...

- If things go wrong .. lecture is too easy... too trivial ... too complicated, too chaotic or too boring ...
- **PLEASE complain immediately !**
- **PLEASE ask questions any time !**

Questions from  
last lecture and  
last exercise ?

Recap from  
last lecture ...

# recap: Higher order corrections to DIS



- lowest order:  $e + q \rightarrow e' + q' \quad \mathcal{O}(\alpha_s^0)$
- higher order:  $e + q \rightarrow e' + q' + g, \quad e + g \rightarrow e' + q + \bar{q} \quad \mathcal{O}(\alpha_s^1)$

- What is the dominant part of the x-section ?
  - Investigate full x-section of QCDC and BGF
  - dominant part comes from small transverse momenta ...
  - rewrite x-section in terms of  $k_\perp$
  - use small  $t$  limit:

$$\begin{aligned} \frac{d\sigma}{dk_\perp} &= \frac{d\sigma}{dt} \frac{1}{(1-z)} = \frac{1}{(1-z)} \frac{1}{F} dLips |ME|^2 \\ &= \frac{1}{(1-z)} \frac{1}{16\pi} \frac{1}{\hat{s} + Q^2} \frac{1}{\hat{s}} |ME|^2 \end{aligned}$$

# recap: Inelastic Scattering: x-section, phase space, ME

- Cross section definition:  $d\sigma = \frac{1}{F} d\text{Lips} |M|^2$

- with initial flux  $F = 4\sqrt{(p_1 p_2)^2 - m_1^2 m_2^2}$

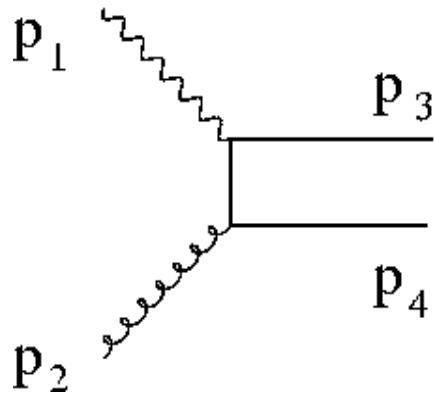
- and Lorentz invariant phase space

$$d\text{Lips} = (2\pi)^4 \delta^4(-p_1 - p_2 + \sum_i p_i) \sum_i \frac{d^4 p_i}{(2\pi)^3} \delta(p_i^2 - m_i^2)$$

$$d\text{Lips} = (2\pi)^4 \delta^4(-p_1 - p_2 + \sum_i p_i) \sum_i \frac{d^3 p_i}{(2\pi)^3 2E_i}$$

$$d\text{Lips} = (2\pi)^4 \delta^4(-p_1 - p_2 + \sum_i p_i) \sum_i \frac{1}{(2\pi)^3} \frac{dp_i^+}{p_i^+} d^2 p_{t\ i}$$

# recap: partonic cross sections



$$\hat{s} = (p_1 + p_2)^2 = Q^2 \frac{1-z}{z}$$

$$\hat{t} = k^2 = (p_1 - p_3)^2$$

$$\hat{u} = (p_2 - p_3)^2$$

- Flux for virtual photons:

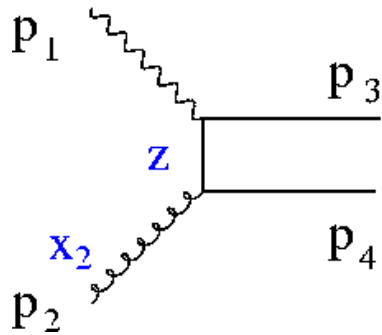
$$F = 4\sqrt{(p_1 \cdot p_2)^2 + m_1^2 m_2^2} = 2(\hat{s} + Q^2)$$

- x-section with virtual photons:

$$\frac{d\sigma}{dt} = \frac{1}{16\pi} \frac{1}{\hat{s}^2} |ME|^2 \rightarrow \frac{1}{16\pi} \frac{1}{\hat{s} + Q^2} \frac{1}{\hat{s}} |ME|^2$$

real photons

# recap: kinematics



$$\hat{s} = (p_1 + p_2)^2 = Q^2 \frac{1-z}{z}$$

$$\hat{t} = k^2 = (p_1 - p_3)^2$$

$$\hat{u} = (p_2 - p_3)^2$$

Define:

$$z = \frac{Q^2}{2p_1 p_2}$$

$$x_{bj} = z x_2$$

- Using  $s+t+u=-Q^2$  gives:

$$k_{\perp}^2 = \frac{\hat{t} \hat{u} \hat{s}}{(\hat{s} + Q^2)^2}$$

- and for  $\hat{t} \ll \hat{s}$

$$k_{\perp}^2 = \frac{-\hat{t} \hat{s}}{\hat{s} + Q^2} = -t(1-z)$$

# recap: QCDC - contribution

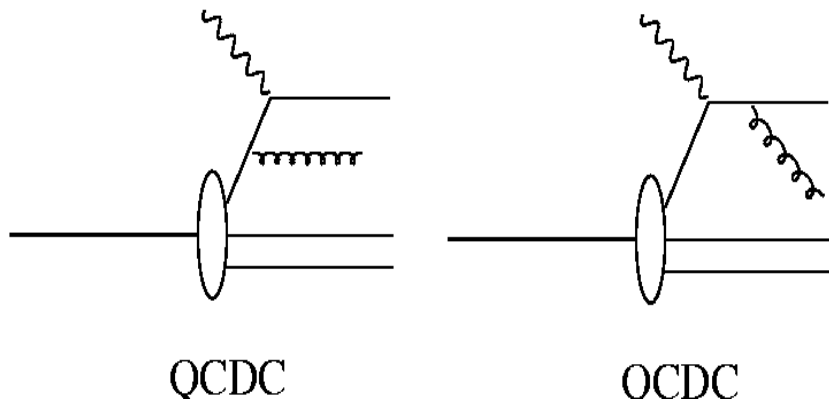
$$|M|^2 = 32\pi^2 (e_q^2 \alpha \alpha_s) \frac{4}{3} \left[ \frac{-\hat{t}}{\hat{s}} - \frac{\hat{s}}{\hat{t}} + \frac{2\hat{u}Q^2}{\hat{s}\hat{t}} \right]$$

**Blackboard**

$$= 32\pi^2 (e_q^2 \alpha \alpha_s) \frac{4}{3} \frac{-1}{t} \left[ \frac{Q^2(1+z^2)}{z(1-z)} + \dots \right]$$

- integrate over  $kt$  generates  $\log$ , BUT what is the lower

limit



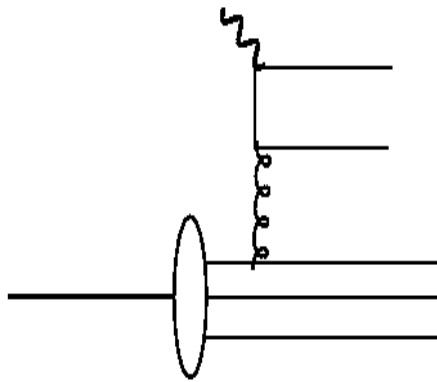
$$\frac{d\sigma}{dk_{\perp}^2} = \sigma_0 e_q^2 \frac{\alpha_s}{2\pi} \frac{1}{k_{\perp}^2} [P_{qq}(z) + \dots]$$

$$P_{qq}(z) = \frac{4}{3} \frac{1+z^2}{1-z} \quad \sigma_0 = \frac{4\pi^2 \alpha}{\hat{s}}$$

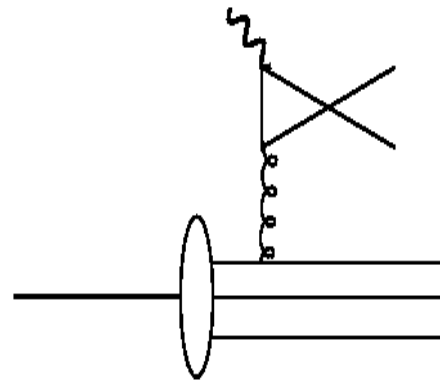
$$\sigma^{QCDC} = \sigma_0 e_q^2 \frac{\alpha_s}{2\pi} \left[ P_{qq}(z) \log \left( \frac{Q^2(1-z)}{\chi^2 z} \right) + \dots \right]$$

# recap: boson gluon fusion

Blackboard



BGF



BGF

$$|M|^2 = 32\pi^2 (e_q^2 \alpha \alpha_s) \frac{1}{2} \left[ \frac{\hat{u}}{\hat{t}} + \frac{\hat{t}}{\hat{u}} - \frac{2\hat{s}Q^2}{\hat{t}\hat{u}} \right]$$

$$= 32\pi^2 (e_q^2 \alpha \alpha_s) \frac{-1}{t} \frac{Q^2}{z} \frac{1}{2} (z^2 + (1-z)^2 + \dots)$$

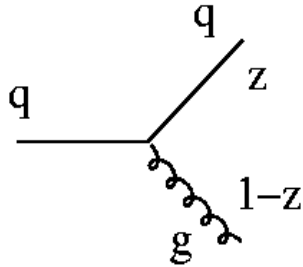
$$\frac{d\sigma}{dk_{\perp}^2} = \sigma_0 e_q^2 \frac{\alpha_s}{2\pi} \frac{1}{k_{\perp}^2} [P_{qg}(z) + \dots]$$

$$P_{qg}(z) = \frac{1}{2} (z^2 + (1-z)^2)$$

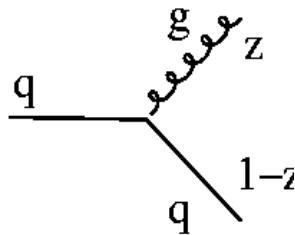
- integrate over  $k_t$  generates  $\log$ , BUT what is the lower limit

$$\sigma^{BGF} = \sigma_0 e_q^2 \frac{\alpha_s}{2\pi} \left[ P_{qg}(z) \log \left( \frac{Q^2(1-z)}{\chi^2 z} \right) + \dots \right]$$

# Splitting functions in lowest order

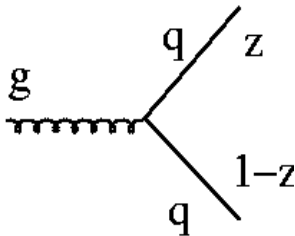


$$P_{qq} = \frac{4}{3} \left( \frac{1+z^2}{1-z} \right)$$

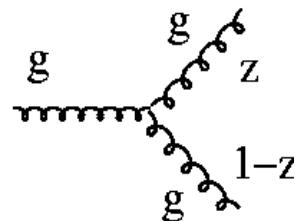


$$P_{gq} = \frac{4}{3} \left( \frac{1+(1-z)^2}{z} \right)$$

similarity to EPA...

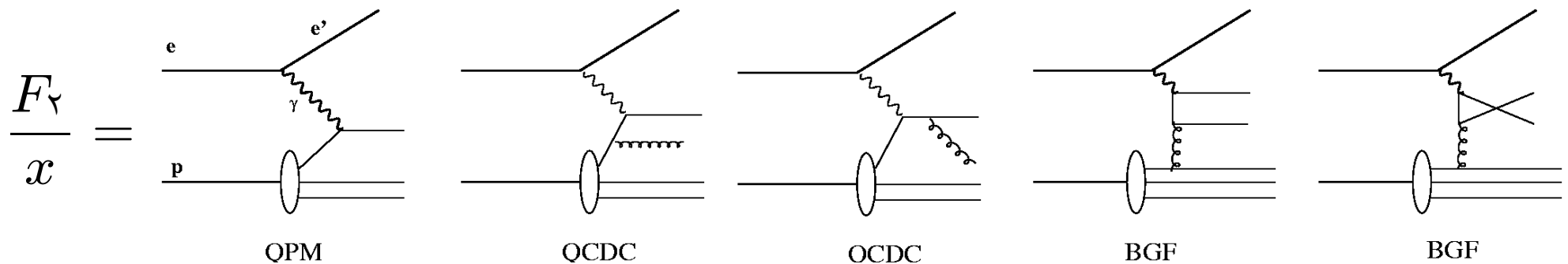


$$P_{qg} = \frac{1}{2} (z^2 + (1-z)^2)$$



$$P_{gg} = 6 \left( \frac{1-z}{z} + \frac{z}{1-z} + z(1-z) \right)$$

# recap: $O(\alpha_s)$ contribution to $F_2$



- divergency for  $k_\perp \rightarrow 0$  or  $\chi \rightarrow 0$

$$\begin{aligned} \frac{F_2}{x} = & \sum e_q^2 \int \frac{dx_2}{x_2} q_i(x_2) \delta \left( 1 - \frac{x}{x_2} \right) \\ & + \\ & q_i(x_2) \left[ \frac{\alpha_s}{2\pi} P_{qq} \left( \frac{x}{x_2} \right) \left[ \log \left( \frac{Q^2}{\chi^2} \right) + \log \left( \frac{1-z}{z} \right) + \dots \right] + C_q(z, \dots) \right] \\ & + \\ & g(x_2) \left[ \frac{\alpha_s}{2\pi} P_{qg} \left( \frac{x}{x_2} \right) \left[ \log \left( \frac{Q^2}{\chi^2} \right) + \log \left( \frac{1-z}{z} \right) + \dots \right] + C_g(z, \dots) \right] \end{aligned}$$

# Collinear factorization: DGLAP

- introduce new scale  $\mu^2 \gg \chi^2$  and include soft, non-perturbative physics into renormalized parton density:

$$q_i(x, \mu^2) = q_i^0(x) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left[ q_i^0(\xi) P_{qq} \left( \frac{x}{\xi} \right) + g^0(\xi) P_{qg} \left( \frac{x}{\xi} \right) \right] \log \left( \frac{\mu^2}{\chi^2} \right)$$

- D**okshitzer **G**ribov **L**ipatov **A**ltarelli **P**arisi equation:

V.V. Gribov and L.N. Lipatov Sov. J. Nucl. Phys. 438 and 675 (1972) 15, L.N. Lipatov Sov. J. Nucl. Phys 94 (1975) 20,  
G. Altarelli and G. Parisi Nucl.Phys.B 298 (1977) 126, Y.L. Dokshitser Sov. Phys. JETP 641 (1977) 46

$$\frac{dq_i(x, \mu^2)}{d \log \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left[ q_i(\xi, \mu^2) P_{qq} \left( \frac{x}{\xi} \right) + g(\xi, \mu^2) P_{qg} \left( \frac{x}{\xi} \right) \right]$$

- BUT** there are also gluons....

$$\frac{dg(x, \mu^2)}{d \log \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left[ \sum_i q_i(\xi, \mu^2) P_{gq} \left( \frac{x}{\xi} \right) + g(\xi, \mu^2) P_{gg} \left( \frac{x}{\xi} \right) \right]$$

- DGLAP is the analogue to the beta function for running of the coupling

Collinear factorization ....

... generalisation of  
parton model result  
to QCD !!!

# Collinear factorization

$$F_2^{(Vh)}(x, Q^2) = \sum_{i=f, \bar{f}, G} \int_0^1 d\xi C_2^{(Vi)} \left( \frac{x}{\xi}, \frac{Q^2}{\mu^2}, \frac{\mu_f^2}{\mu^2}, \alpha_s(\mu^2) \right) \otimes f_{i/h}(\xi, \mu_f^2, \mu^2)$$

see handbook of pQCD, chapter IV, B

- **Factorization Theorem in DIS** (Collins, Soper, Sterman, (1989) in Pert. QCD, ed. A.H. Mueller, World Scientific, Singapore, p1.)
  - **hard-scattering function**  $C_2^{(Vi)}$  is infrared finite and calculable in pQCD, depending only on vector boson  $V$ , parton  $i$ , and renormalization and factorization scales. It is independent of the identity of hadron  $h$ .
  - **pdf**  $f_{i/h}(\xi, \mu_f^2, \mu^2)$  contains all the infrared sensitivity of cross section, and is specific to hadron  $h$ , and depends on factorization scale.
- **Generalization:** applies to any DIS cross section defined by a sum over hadronic final states ... <sup>ms</sup> but be careful what it really means....
- **explicit factorization theorems** exist for:
  - inclusive and diffractive DIS (... see above....)
  - Drell Yan (in hadron hadron collisions)
  - single particle inclusive cross sections (fragmentation functions)

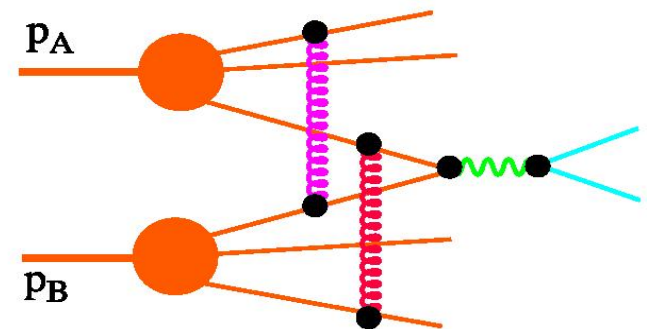
# Factorization proofs and all that ...

- About factorization proofs (Wu-Ki Tung, pQCD and the parton structure of the nucleon, 2001, In \*Shifman, M. (ed.): At the frontier of particle physics, vol. 2\* 887-971)

tions  $F_a^\lambda(x, \frac{Q}{m}, \alpha_s(\mu))$  ( $a$  = all parton flavors). Although the underlying physical ideas are relatively simple, as emphasized in the last two sections, the mathematical proofs are technically very demanding.<sup>7,15,19</sup> For this reason, actual proofs of factorization only exist for a few hard processes; and certain proofs (e.g. that for the Drell-Yan process) stayed controversial for some time before a consensus were reached.<sup>15</sup> Because of the general character of the physical ideas and the mathematical methods involved, however, it is generally *assumed* that the attractive *quark-parton model* *does apply to all high energy interactions* with at least one large energy scale.

$$\frac{d\sigma}{dy} = \sum_{a,b} \int_{x_A}^1 d\xi_A \int_{x_B}^1 d\xi_B f_A^a(\xi_A, \mu) f_B^b(\xi_B, \mu) \frac{d\hat{\sigma}_{ab}(\mu)}{dy} + \mathcal{O}\left(\left(\frac{m}{P}\right)^p\right)$$

- The problem with Drell-Yan: initial state interactions...
- factorization here does not hold graph-by-graph but only for all ....

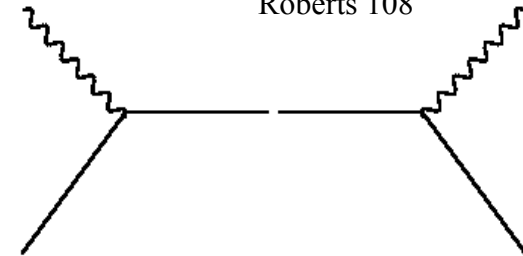


# Collinear factorization ....

- So far considered only *"leading twist"*

*twist* = dimension (spin) of operators in Operator Product Expansion (OPE)

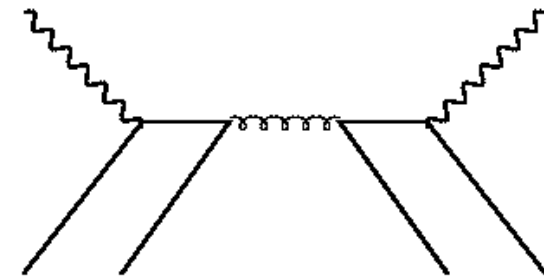
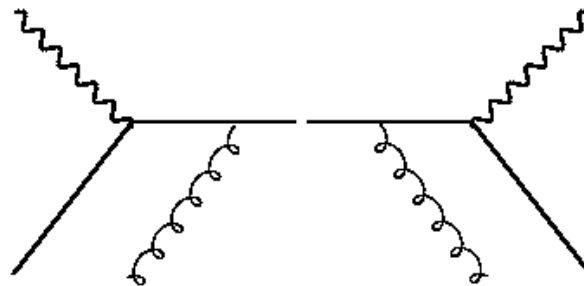
Ellis, Webber, Stirling, 123  
Roberts 108



$$F_2(x, Q^2) = \sum C_{2i} \otimes f_i + \text{non-leading power of } Q$$

- Factorization theorem (Collins hep-ph/9709499):

- in general:



$$F_2(x, Q^2) = \sum_n \frac{B_n(x, Q^2)}{Q^{2n}}$$

$n > 0$  higher twists  
non-leading powers ...

- NOT** covered by factorization theorem.... but contributions can be large ?!?



# Warning on Factorization:

- The limits are factorization (i.e., the universality) of  $h h \rightarrow h + X$  is not yet fully explored!
- You must surely sum over (i.e., not ask questions about) the soft stuff (as we do with jets)
- Some limits are becoming “clear” in  $h h \rightarrow h h$  (b-to-b) + X  
See, e.g., J. Collins, [hep-ph/0708.4410](https://arxiv.org/abs/hep-ph/0708.4410)
- The INTRO discussion in  
G. Sterman, [hep-ph/0807.5118](https://arxiv.org/abs/hep-ph/0807.5118)
- The application of SCET (Soft Collinear Effective Theory)  
C. W. Bauer, et al., [hep-ph/0808.2191](https://arxiv.org/abs/hep-ph/0808.2191)
- See also, M. Seymour, et al., [hep-ph/0808.1269](https://arxiv.org/abs/hep-ph/0808.1269)

# But even this is not the full story...

J. Collins, J.W. Qiu hep-ph 0705.2141

- factorization breaking in  $pp \rightarrow j_1 j_2 X$

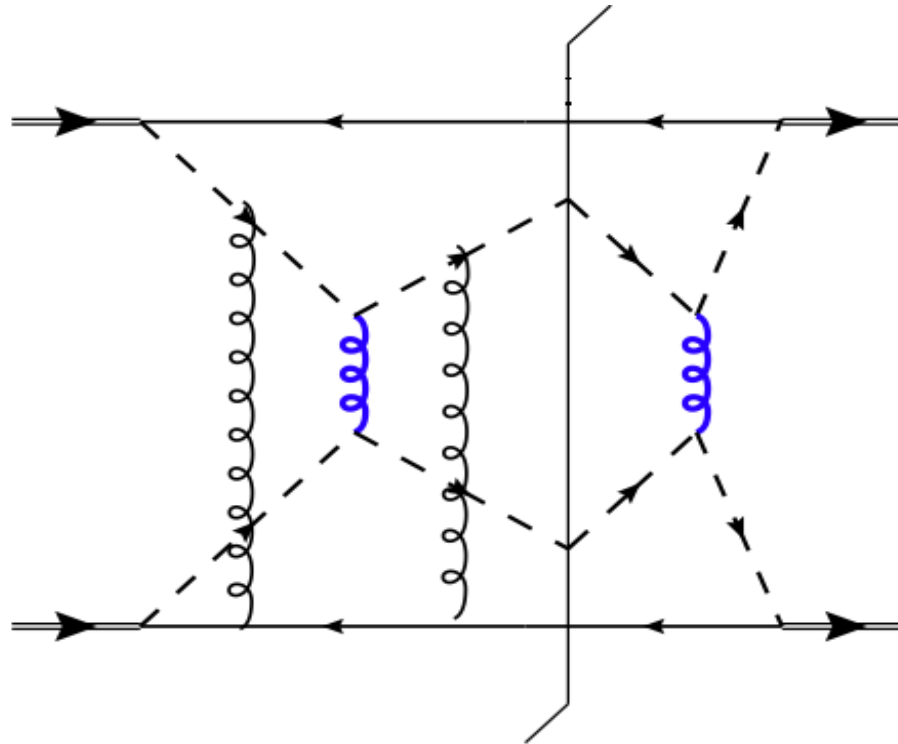


FIG. 8 (color online). The exchange of two extra gluons, as in this graph, will tend to give nonfactorization in unpolarized cross sections.

# Collinear factorization schemes

- DIS scheme: absorbing all finite contributions  $C_q$  into quark densities, with no finite  $\mathcal{O}(\alpha_s)$  corrections:

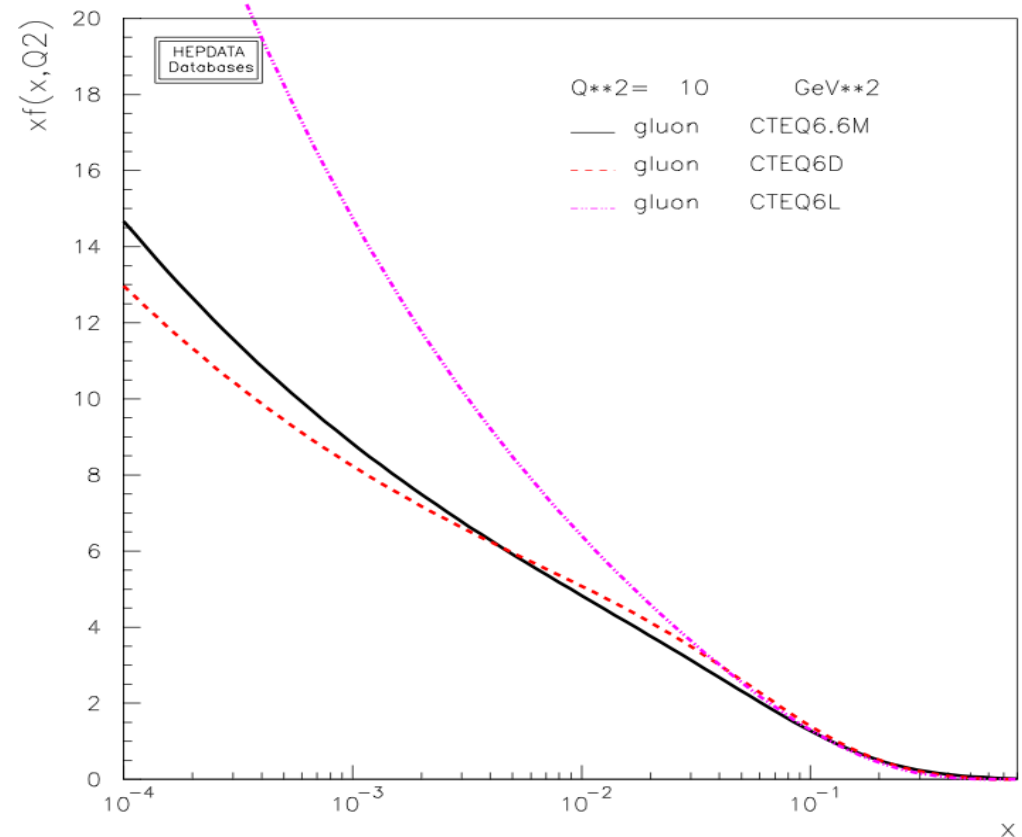
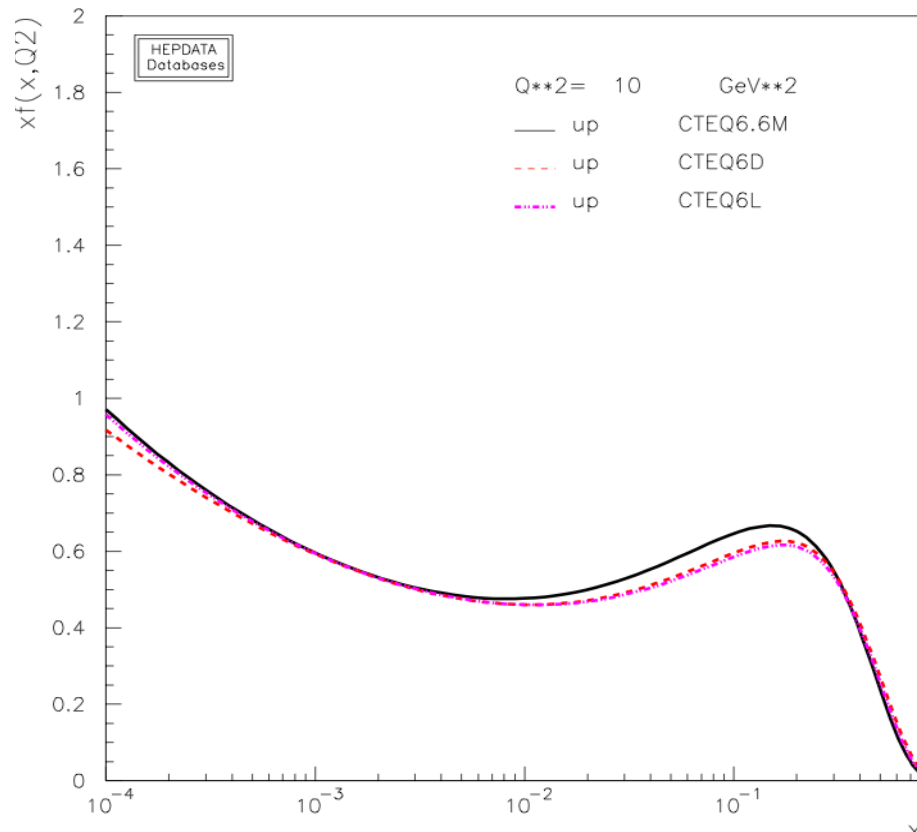
$$F_2^{DIS}(x, Q^2) = x \sum e_q^2 q(x, Q^2)$$

- $\overline{\text{MS}}$  scheme, where only minimal contributions from the finite parts are absorbed in the quark distributions:

$$F_2^{\overline{\text{MS}}}(x, Q^2) = x \sum e_q^2 \int \frac{dx_2}{x_2} q^{\overline{\text{MS}}}(x, Q^2) \left[ \delta \left( 1 - \frac{x}{x_2} \right) + \frac{\alpha_s}{2\pi} C^{\overline{\text{MS}}} \left( \frac{x}{x_2} \right) + \dots \right]$$

- once the scheme is chosen, it **MUST** be used in all other cross section calculations
- higher order corrections will of course depend on the chosen scheme...
- BUT....** there are still other contributions to be included... gluon induced processes

# PDFs in different fact. schemes



- differences between  $LO$  and NLO DIS,  $\overline{MS}$  scheme in quark and gluon densities
- can make significant effects for x-sections

But back to the  
evolution equation

# Evolution kernels – splitting fcts

- Splitting functions have perturbative expansion in the running coupling:

$$P(z, \alpha_s) = P^{(0)}(z) + \frac{\alpha_s}{2\pi} P^{(1)}(z) + \dots$$

- including more and more loops ....

# Splitting functions at higher orders

S. Moch, HERA-LHC workshop, June 2004

$$P(z, \alpha_s) = P^{(0)}(z) + \frac{\alpha_s}{2\pi} P^{(1)}(z) + \dots$$

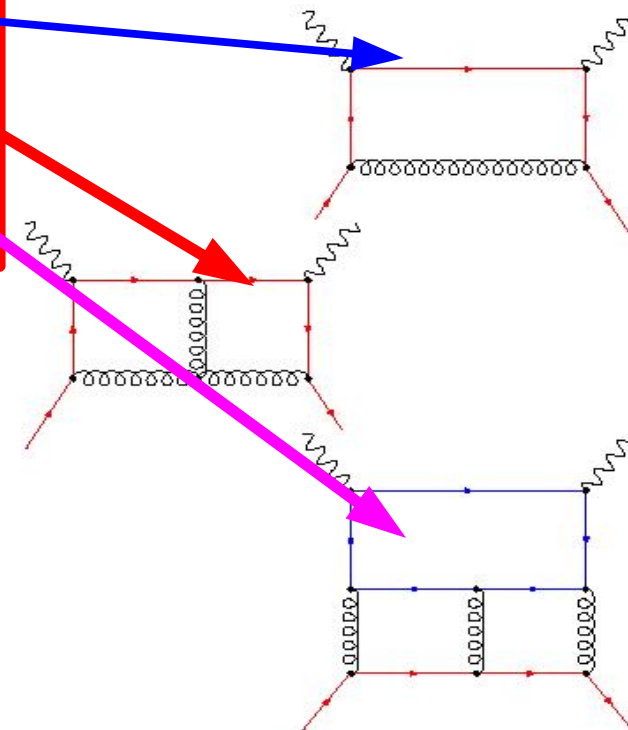
## The calculation (in a nut shell)

- Calculate anomalous dimensions (Mellin moments of splitting functions)  
 → divergence of Feynman diagrams in dimensional regularization  $D = 4 - 2\epsilon$

$$\gamma_{ij}^{(n)}(N) = - \int_0^1 dx x^{N-1} P_{ij}^{(n)}(x)$$

- **One-loop** Feynman diagrams  
 → in total 18 for  $\gamma_{ij}^{(0)} / P_{ij}^{(0)}$   
 (pencil + paper)
- **Two-loop** Feynman diagrams  
 → in total 350 for  $\gamma_{ij}^{(1)} / P_{ij}^{(1)}$   
 (simple computer algebra)
- **Three-loop** Feynman diagrams  
 → in total 9607 for  $\gamma_{ij}^{(2)} / P_{ij}^{(2)}$   
 (cutting edge technology → computer algebra system FORM [Vermaseren '89-'04](#))

loops again:  
 1-loop  
 2-loops  
 3-loops



# Splitting functions (cont'd)

S. Moch, HERA-LHC workshop, June 2004

LO and NLO singlet splitting functions

$$P(z, \alpha_s) = P^{(0)}(z) + \frac{\alpha_s}{2\pi} P^{(1)}(z) + \dots$$

$$P_{ps}^{(0)}(x) = 0$$

$$P_{qg}^{(0)}(x) = 2n_f p_{qg}(x)$$

$$P_{gq}^{(0)}(x) = 2C_F p_{gq}(x)$$

$$P_{gg}^{(0)}(x) = C_A \left( 4p_{gg}(x) + \frac{11}{3} \delta(1-x) \right) - \frac{2}{3} n_f \delta(1-x)$$

$$P_{ps}^{(1)}(x) = 4C_F n_f \left( \frac{20}{9} \frac{1}{x} - 2 + 6x - 4H_0 + x^2 \left[ \frac{8}{3} H_0 - \frac{56}{9} \right] + (1+x) \left[ 5H_0 - 2H_{0,0} \right] \right)$$

$$P_{qg}^{(1)}(x) = 4C_A n_f \left( \frac{20}{9} \frac{1}{x} - 2 + 25x - 2p_{qg}(-x)H_{-1,0} - 2p_{qg}(x)H_{1,1} + x^2 \left[ \frac{44}{3} H_0 - \frac{218}{9} \right] \right. \\ \left. + 4(1-x) \left[ H_{0,0} - 2H_0 + xH_1 \right] - 4\zeta_2 x - 6H_{0,0} + 9H_0 \right) + 4C_F n_f \left( 2p_{qg}(x) \left[ H_{1,0} + H_{1,1} + H_2 \right. \right. \\ \left. \left. - \zeta_2 \right] + 4x^2 \left[ H_0 + H_{0,0} + \frac{5}{2} \right] + 2(1-x) \left[ H_0 + H_{0,0} - 2xH_1 + \frac{29}{4} \right] - \frac{15}{2} - H_{0,0} - \frac{1}{2} H_0 \right)$$

$$P_{gq}^{(1)}(x) = 4C_A C_F \left( \frac{1}{x} + 2p_{gq}(x) \left[ H_{1,0} + H_{1,1} + H_2 - \frac{11}{6} H_1 \right] - x^2 \left[ \frac{8}{3} H_0 - \frac{44}{9} \right] + 4\zeta_2 - 2 \right. \\ \left. - 7H_0 + 2H_{0,0} - 2H_1 x + (1+x) \left[ 2H_{0,0} - 5H_0 + \frac{37}{9} \right] - 2p_{gq}(-x)H_{-1,0} \right) - 4C_F n_f \left( \frac{2}{3} x \right. \\ \left. - p_{gq}(x) \left[ \frac{2}{3} H_1 - \frac{10}{9} \right] \right) + 4C_F^2 \left( p_{gq}(x) \left[ 3H_1 - 2H_{1,1} \right] + (1+x) \left[ H_{0,0} - \frac{7}{2} + \frac{7}{2} H_0 \right] - 3H_{0,0} \right. \\ \left. + 1 - \frac{3}{2} H_0 + 2H_1 x \right)$$

$$P_{gg}^{(1)}(x) = 4C_A n_f \left( 1 - x - \frac{10}{9} p_{gg}(x) - \frac{13}{9} \left( \frac{1}{x} - x^2 \right) - \frac{2}{3} (1+x) H_0 - \frac{2}{3} \delta(1-x) \right) + 4C_A^2 \left( 27 \right. \\ \left. + (1+x) \left[ \frac{11}{3} H_0 + 8H_{0,0} - \frac{27}{2} \right] + 2p_{gg}(-x) \left[ H_{0,0} - 2H_{-1,0} - \zeta_2 \right] - \frac{67}{9} \left( \frac{1}{x} - x^2 \right) - 12H_0 \right. \\ \left. - \frac{44}{3} x^2 H_0 + 2p_{gg}(x) \left[ \frac{67}{18} - \zeta_2 + H_{0,0} + 2H_{1,0} + 2H_2 \right] + \delta(1-x) \left[ \frac{8}{3} + 3\zeta_3 \right] \right) + 4C_F n_f \left( 2H_0 \right. \\ \left. + \frac{2}{3} \frac{1}{x} + \frac{10}{3} x^2 - 12 + (1+x) \left[ 4 - 5H_0 - 2H_{0,0} \right] - \frac{1}{2} \delta(1-x) \right)$$

# Splitting functions (cont'd)

$$P(z, \alpha_s) = P^{(0)}(z) + \frac{\alpha_s}{2\pi} P^{(1)}(z) + \left(\frac{\alpha_s}{2\pi}\right)^2 P^{(2)}(z) + \dots$$

S. Moch, HERA-LHC workshop, June 2004

## NNLO singlet splitting functions

$$P_{qq}^{(1)}(z) = 16C_F \alpha_s \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

$$P_{qg}^{(1)}(z) = 16C_F \alpha_s \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

$$P_{gg}^{(1)}(z) = 16C_F \alpha_s \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

$$P_{q\bar{q}}^{(1)}(z) = 16C_F \alpha_s \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

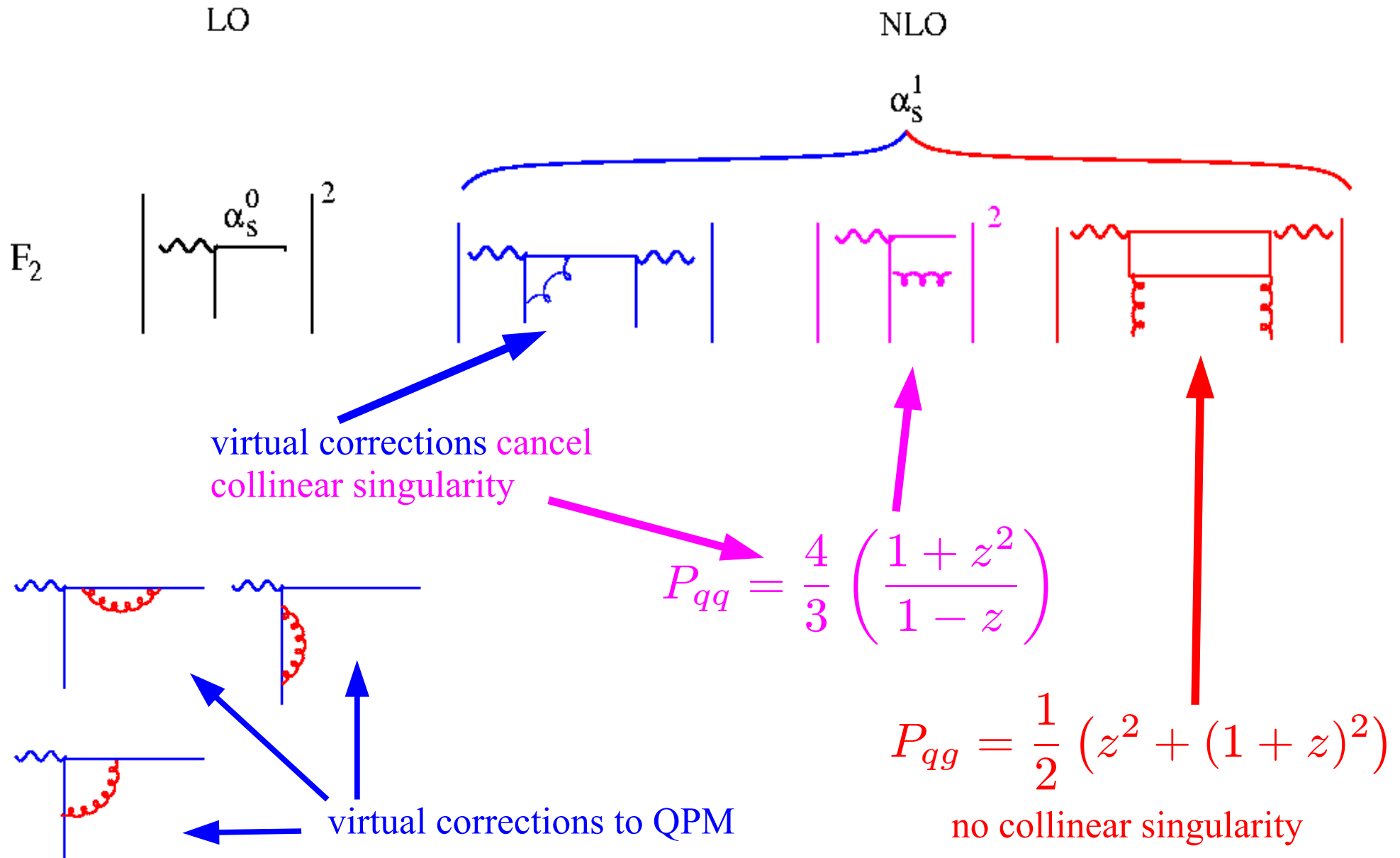
$$P_{qq}^{(2)}(z) = 16C_F \alpha_s^2 \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

$$P_{qg}^{(2)}(z) = 16C_F \alpha_s^2 \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

$$P_{gg}^{(2)}(z) = 16C_F \alpha_s^2 \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

$$P_{q\bar{q}}^{(2)}(z) = 16C_F \alpha_s^2 \left( \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \frac{1}{2} \left( \frac{1}{z} - 1 \right) \left( \frac{1}{1-z} - 1 \right) + \dots \right)$$

# NLO contributions to $F_2(x, Q^2)$



# Evolution kernels – splitting fcts

- some of the splitting functions are also divergent...

$$\frac{1}{1-z}$$

- use *plus-distribution* to avoid dangerous region:

$$\int_0^1 dz \frac{f(z)}{(1-z)_+} = \int_0^1 dz \frac{f(z) - f(1)}{1-z}$$

- divergence cancelled by virtual corrections ...
- use splitting functions with *plus-distribution*

**Blackboard**

# virtual contributions, again ...

- we should have:  $P(z) \rightarrow P(z) + K\delta(1-z)$

- conservation of quark (baryon) number:

$$\mathcal{P}_{qq}(z, Q^2) = \delta(1-z) + \frac{\alpha_s}{2\pi} \hat{P}_{qq}^+ \log \frac{Q^2}{\mu^2} + \dots$$

$$\int_0^1 \mathcal{P}_{qq}(z, Q^2) dz = 1$$

$$\int_0^1 \frac{dz}{z} P_{qq}(z) = 0$$

**Blackboard**

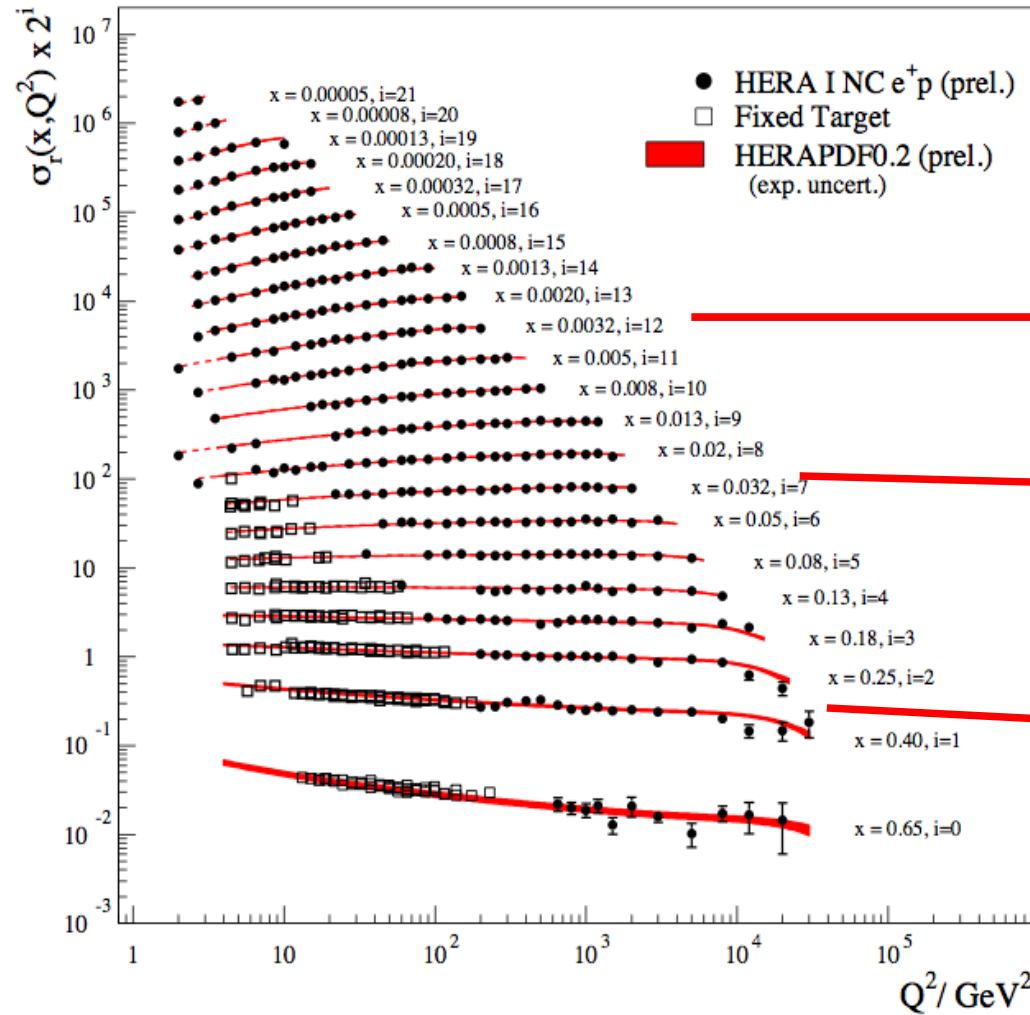
- changed splitting function:

$$P_{qq} = \frac{1+z^2}{(1-z)_+} + \frac{3}{2}\delta(1-z)$$

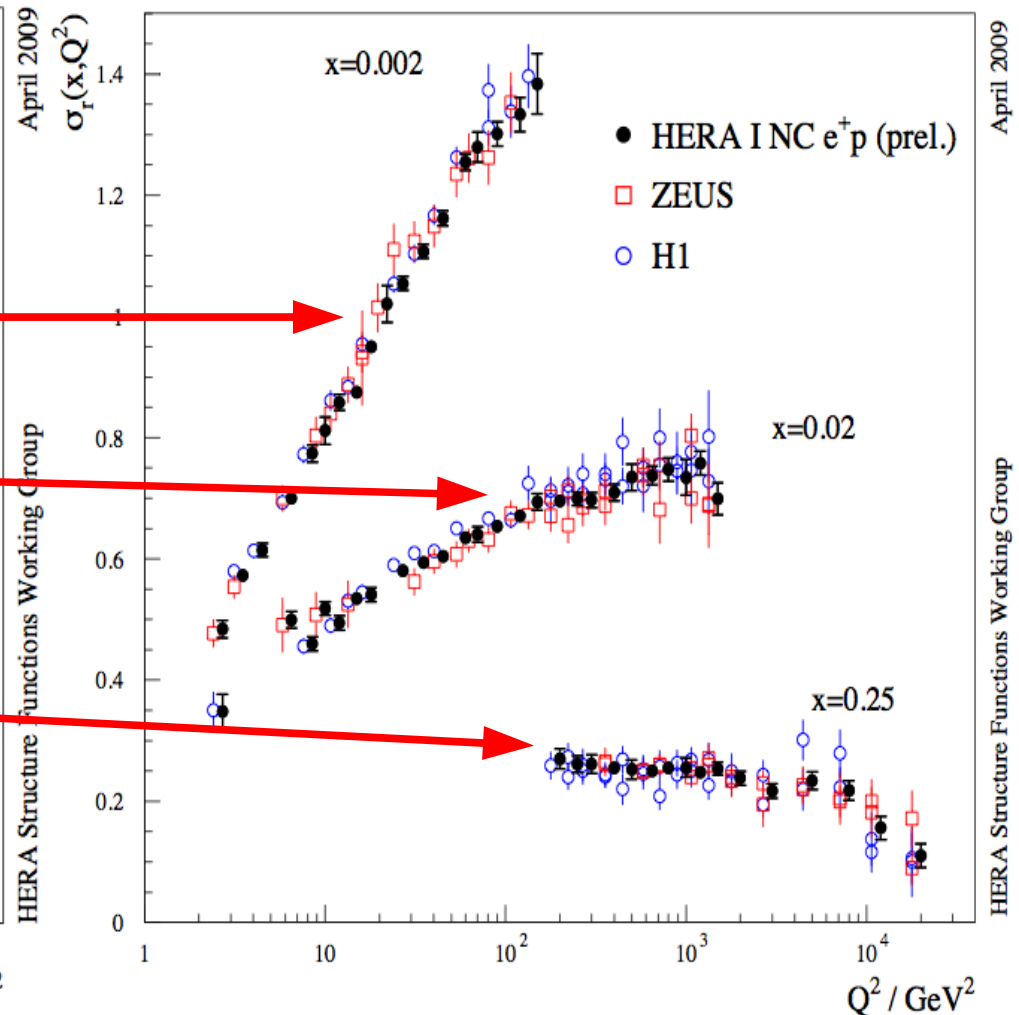
How to apply these results

# Applying DGLAP to DIS data ...

H1 and ZEUS Combined PDF Fit



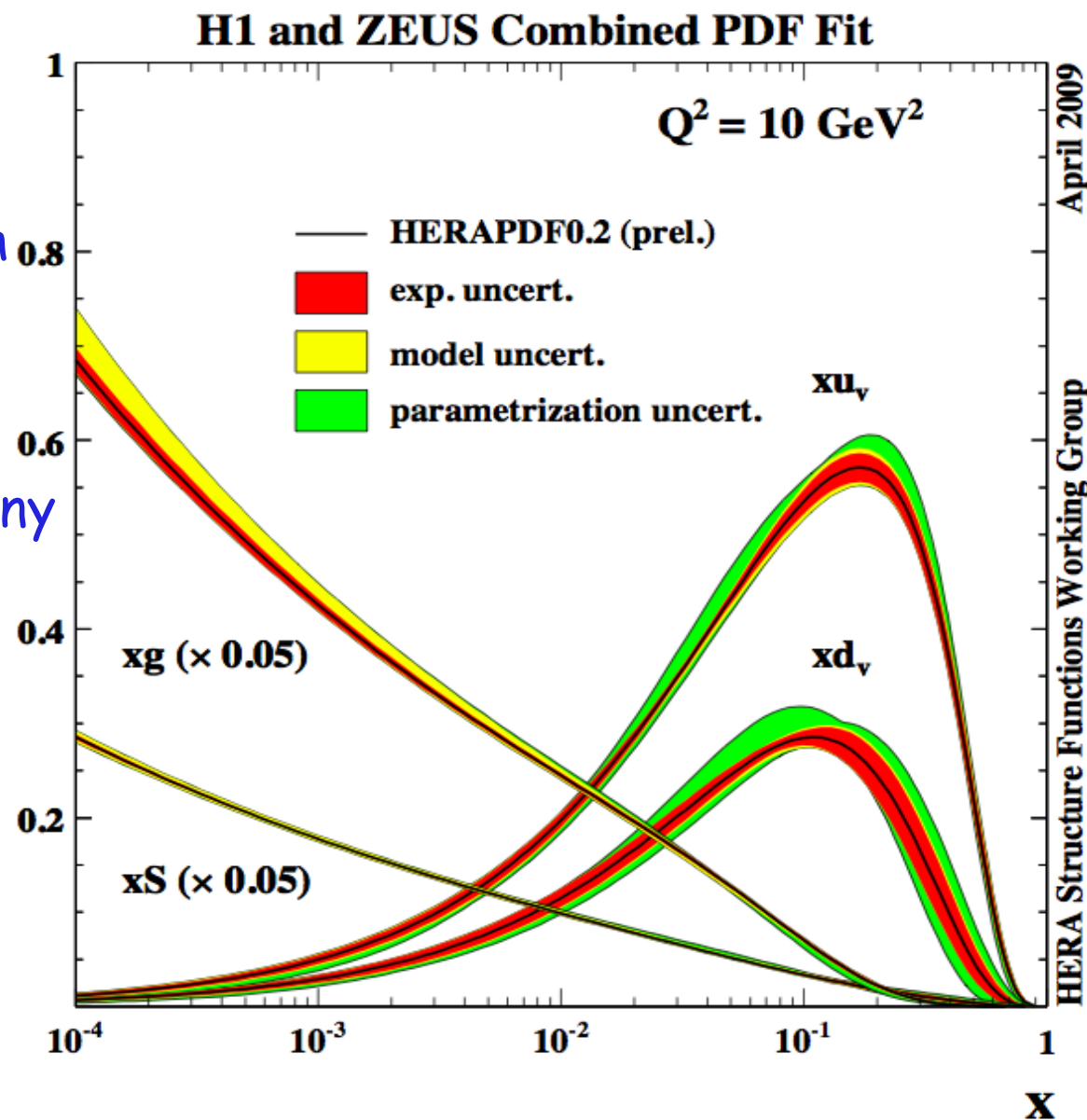
H1 and ZEUS Combined Data



- Theory describes measurement over huge range in  $x$  and  $Q^2$
- Success of theory (DGLAP)

# Extraction of PDFs from DGLAP fits

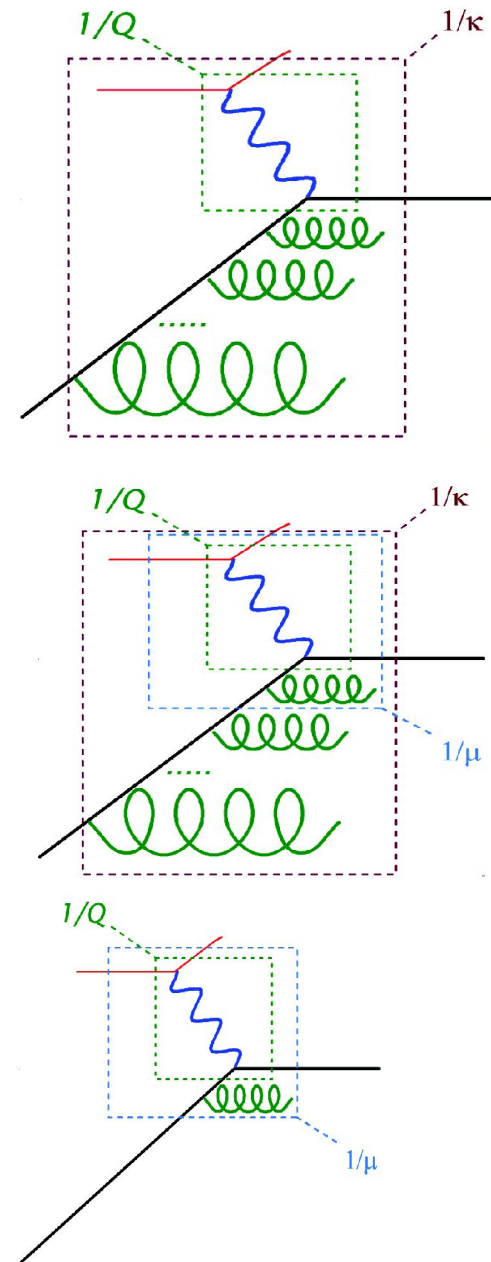
- Solve DGLAP equations
- adjust input parameters (starting distributions) such that F2 is best described
- extract PDFs as fct of  $x$
- then DGLAP gives PDFs at any  $Q^2$
- Sum rules are essential to constrain starting distributions



# Meaning of evolution equations

- order emissions by "size"
- limits on the included emissions are provided by the scales ( $Q^2$ ) for the short distance and  $\chi$  for the long distance
- next separate contributions above and below factorization scale  $\mu$
- factor scales  $\chi$  to  $\mu$  into renormalized parton distributions. All above  $\mu$  can be calculated perturbatively

From S. Ellis, Lecture 2, 2003



# Solving DGLAP equations ...

- Different methods to solve integro-differential equations

- **brute-force (BF) method** (M. Miyama, S. Kumano CPC 94 (1996) 185)

$$\frac{df(x)}{dx} = \frac{f(x)_{m+1} - f(x)_m}{\Delta x_m}$$

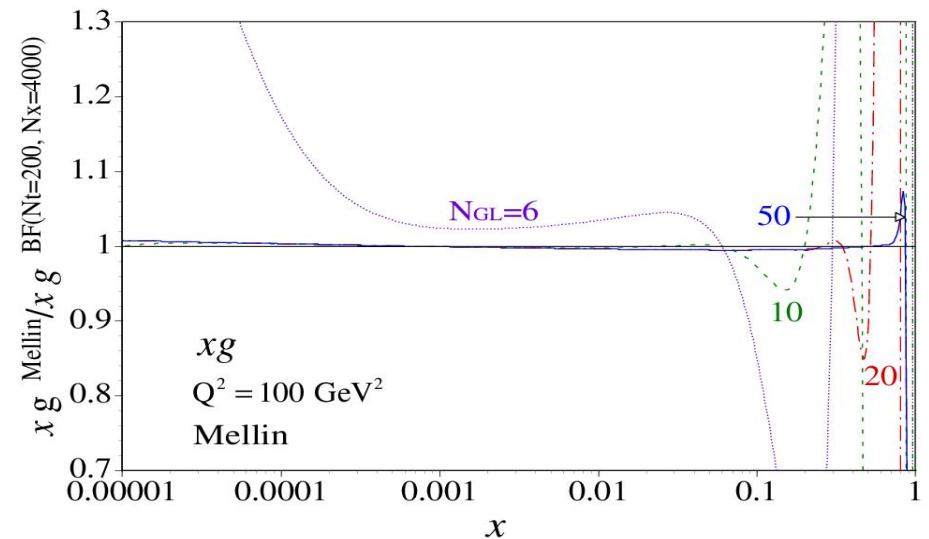
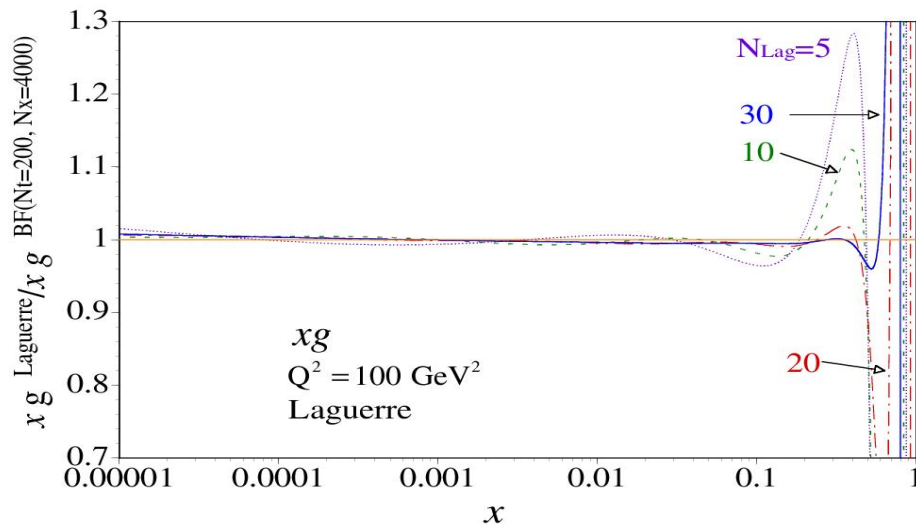
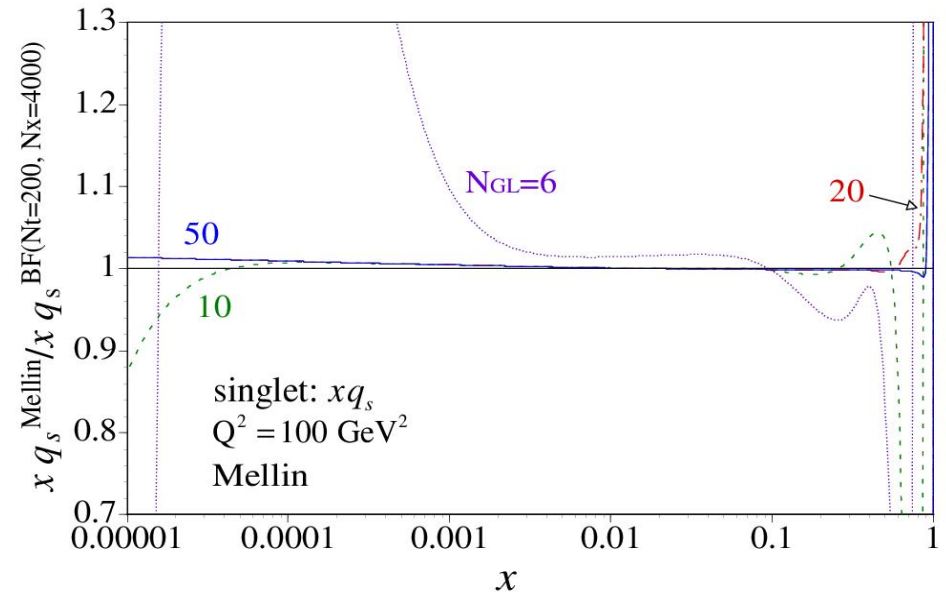
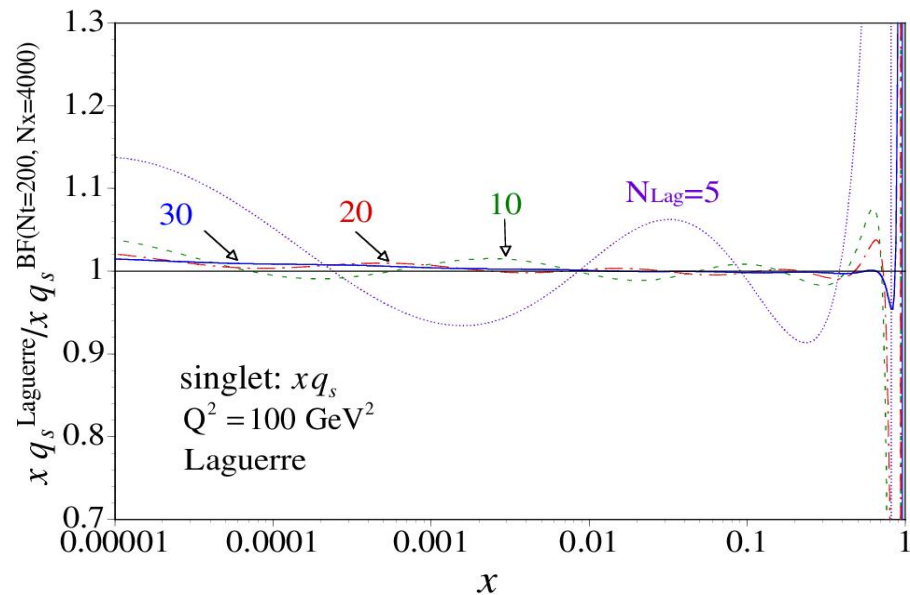
$$\int f(x)dx = \sum f(x)_m \Delta x_m$$

- **Laguerre method** (S. Kumano J.T. Londergan CPC 69 (1992) 373, and L. Schoeffel Nucl.Instrum.Meth.A423:439-445,1999)
- **Mellin transforms** (M. Glueck, E. Reya, A. Vogt Z. Phys. C48 (1990) 471)
- **QCDNUM: calculation in a grid in x,Q<sup>2</sup> space** (M. Botje Eur.Phys.J. C14 (2000) 285-297)
- **CTEQ evolution program in x,Q<sup>2</sup> space:** <http://www.phys.psu.edu/~cteq/>
- **QCDFIT program in x,Q<sup>2</sup> space** (C. Pascaud, F. Zomer, LAL preprint LAL/94-02, H1-09/94-404,H1-09/94-376)
- **MC method using Markov chains** (S. Jadach, M. Skrzypek hep-ph/0504205)
- **Monte Carlo method from iterative procedure**
- **brute-force method and MC method are best suited for detailed studies of branching processes !!!**

# Comparison of different methods

S. Kumano, T-H Nagai hep-ph/0405160

- Compare Laguerre and Mellin method with *brute-force (BF)* method



# Evolution code in LHAPDF

LHAPDF :: HepForge

http://projects.hepforge.org/lhapdf/

Most Visited H1 Fast Navigator DESY CMS Homepage phone book HERA LHC workshop... HERA Monte Carlo P... HERA - LHC worksh... eds07 Physics form at de.a...

LHAPDF Go! hosted by CEDAR HepForge

## LHAPDF the Les Houches Accord PDF Interface

### Home

LHAPDF provides a unified and easy to use interface to modern PDF sets. It is designed to work not only with individual PDF sets but also with the more recent multiple "error" sets. It can be viewed as the successor to PDFLIB, incorporating many of the older sets found in the latter, including pion and photon PDFs. In LHAPDF the computer code and input parameters/grids are separated thus allowing more easy updating and no limit to the expansion possibilities. The code and data sets can be downloaded together or individually as desired. From version 4.1 onwards a configuration script facilitates the installation of LHAPDF.

- LHAPDF Home
- Publications
- Installation
- PDF sets
- Downloads
- User manual
- Theory review
- C++ wrapper (v5.4)
- C++ wrapper (old - v5.3)
- Python wrapper (v5.4)
- .LHpdf files
- .LHgrid files
- Mailing list
- ChangeLog
- Subversion repo
- Contact

#### Contents:

- Installing LHAPDF.
- List of all available PDF sets.
- On-line user manual.
- PDF set numbers
- A wrapper for C++.
- A wrapper for C++. (old version)
- A little bit of theory.
- Description of the .LHpdf files
- Description of the .LHgrid files
- PDFsets.index
- How to join the announcement mailing list.
- How to email the developers of LHAPDF
- View the Subversion repository.
- Tracker/Wiki
- ChangeLog.

#### Patches: patches to 5.7.0

#### Downloads:

Latest released version (16/02/2009):

- 5.7.0 (full): lhapdf-5.7.0.tar.gz
- 5.7.0 (nopdf): lhapdf-5.7.0-nopdf.tar.gz

Extra PDF sets

Old versions:

- 5.6.0 (full): lhapdf-5.6.0.tar.gz
- 5.5.1 (full): lhapdf-5.5.1.tar.gz
- 5.5.0 (full): lhapdf-5.5.0.tar.gz
- 5.4.1 (full): lhapdf-5.4.1.tar.gz
- 5.4.0 (full): lhapdf-5.4.0.tar.gz
- 5.3.1 (full): lhapdf-5.3.1.tar.gz(patches)
- 5.3.0 (full): lhapdf-5.3.0.tar.gz(patches)
- 5.2.3 (full): lhapdf-5.2.3.tar.gz
- 5.2.2 (full): lhapdf-5.2.2.tar.gz
- 5.2.1 (full): lhapdf-5.2.1.tar.gz
- 5.2 (full): lhapdf-5.2.tar.gz
- 5.1 (full): lhapdf-5.1.tar.gz

Can

- CTEQ, QCDNUM, and other evolution packages...

From evolution equation to  
parton branching ...

How ?

# Divergencies again...

- collinear divergencies factored into renormalized parton distributions

$$z \rightarrow 1$$

- what about soft divergencies ?

treated with "plus" prescription

$$\frac{1}{1-z} \rightarrow \frac{1}{1-z_+} \quad \text{with} \quad \int_0^1 dz \frac{f(z)}{(1-z)_+} = \int_0^1 dz \frac{f(z) - f(1)}{(1-z)}$$

- soft divergency treated with Sudakov form factor:

$$\Delta(t) = \exp \left[ - \int_{t_0}^t \frac{dt'}{t'} \int^{z_{max}} dz \frac{\alpha_s}{2\pi} \tilde{P}(z) \right]$$

**Blackboard**

# DGLAP evolution again....

- differential form:  $t \frac{\partial}{\partial t} f(x, t) = \int \frac{dz}{z} \frac{\alpha_s}{2\pi} P_+(z) f\left(\frac{x}{z}, t\right)$

- differential form using  $f/\Delta_s$  with

$$\Delta_s(t) = \exp \left( - \int_x^{z_{max}} dz \int_{t_0}^t \frac{\alpha_s}{2\pi} \frac{dt'}{t'} \tilde{P}(z) \right)$$

$$t \frac{\partial}{\partial t} \frac{f(x, t)}{\Delta_s(t)} = \int \frac{dz}{z} \frac{\alpha_s}{2\pi} \frac{\tilde{P}(z)}{\Delta_s(t)} f\left(\frac{x}{z}, t\right)$$

- integral form

$$f(x, t) = f(x, t_0) \Delta_s(t) + \int \frac{dz}{z} \int \frac{dt'}{t'} \cdot \frac{\Delta_s(t)}{\Delta_s(t')} \tilde{P}(z) f\left(\frac{x}{z}, t'\right)$$



no - branching probability from  $t_0$  to  $t$

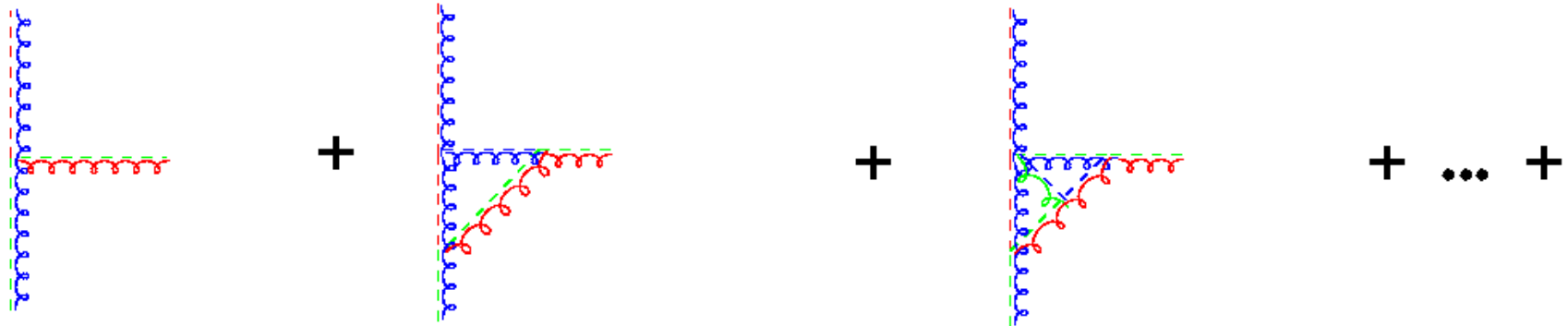
# Sudakov form factor: all loop resum...

$$g \rightarrow gg \quad \text{Splitting Fct} \quad \tilde{P}(z) = \frac{\bar{\alpha}_s}{1-z} + \frac{\bar{\alpha}_s}{z} + \dots$$

- **Sudakov form factor** .... all loop resummation

$$\Delta_S = \exp \left( - \int dz \int \frac{dq'}{q'} \frac{\alpha_s}{2\pi} \tilde{P}(z) \right)$$

$$\Delta_S = 1 + \left( - \int dz \int \frac{dq}{q} \frac{\alpha_s}{2\pi} \tilde{P}(z) \right)^1 + \frac{1}{2!} \left( - \int dz \int \frac{dq}{q} \frac{\alpha_s}{2\pi} \tilde{P}(z) \right)^2 \dots$$



$$\tilde{P}(z) \left[ 1 - \int \int dz \frac{dq}{q} \frac{\alpha_s}{2\pi} \tilde{P}(z) + \frac{1}{2!} \left( - \int \int dz \frac{dq}{q} \frac{\alpha_s}{2\pi} \tilde{P}(z) \right)^2 - \dots \right]$$

# Sudakov form factor

- what is the limit on  $z$ - integration ?
  - resolvable branching ?

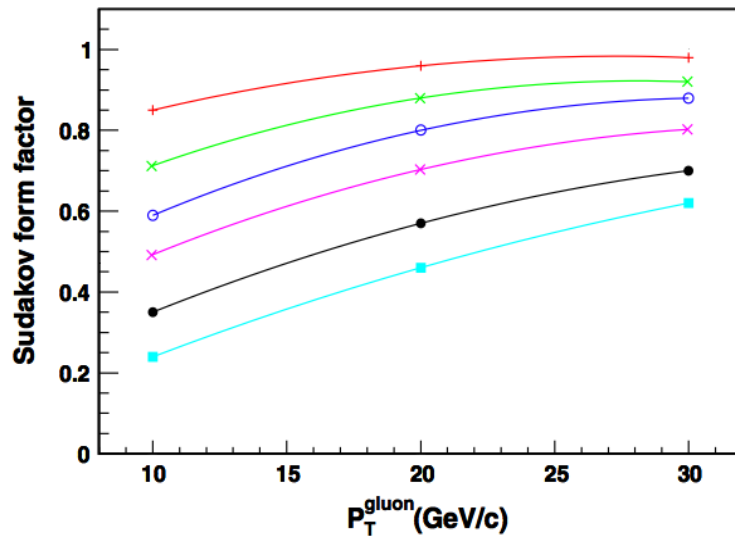
- $z < 1 - \frac{Q_0^2}{Q_b^2}$  with  $Q_0$  a soft cutoff

**Blackboard**

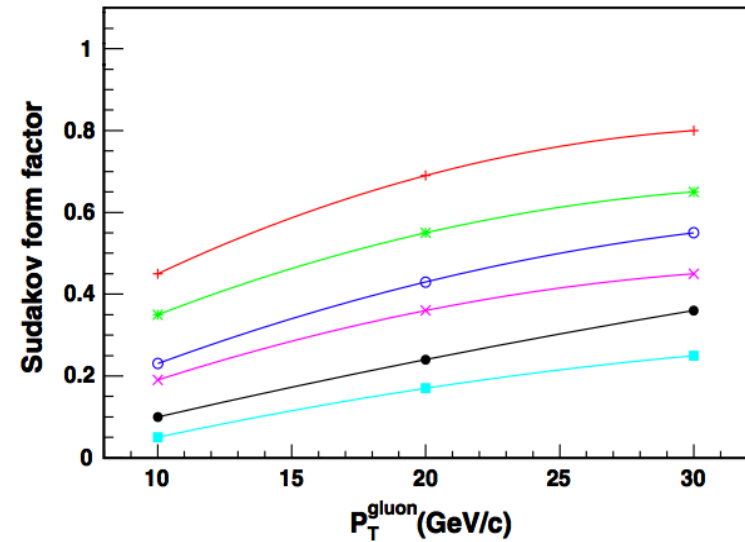
- probability of no -radiation between  $Q_a$  and  $Q_b$

- $$\begin{aligned}\Pi(Q_a^2, Q_b^2) &= \frac{\Delta(Q_a^2)}{\Delta(Q_b^2)} \\ &= \exp \left[ - \int_{Q_b^2}^{Q_a^2} \frac{dq^2}{q^2} \int_0^{z_{cut}} dz \frac{\alpha_s}{2\pi} P(z) \right]\end{aligned}$$

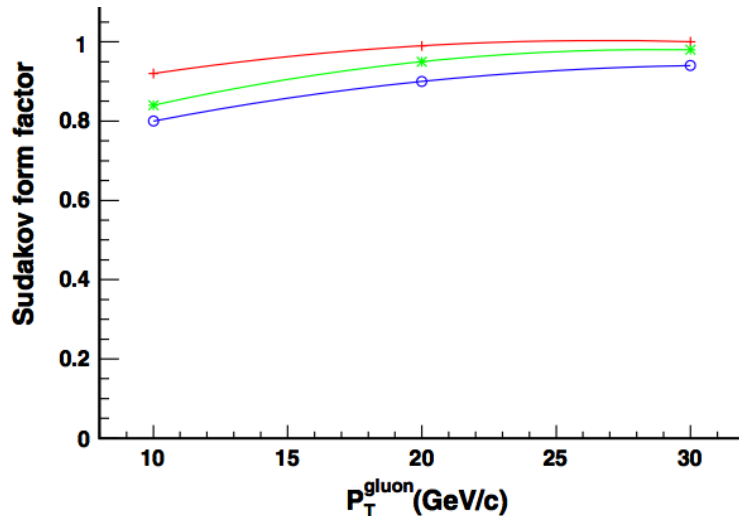
# Sudakov form factors



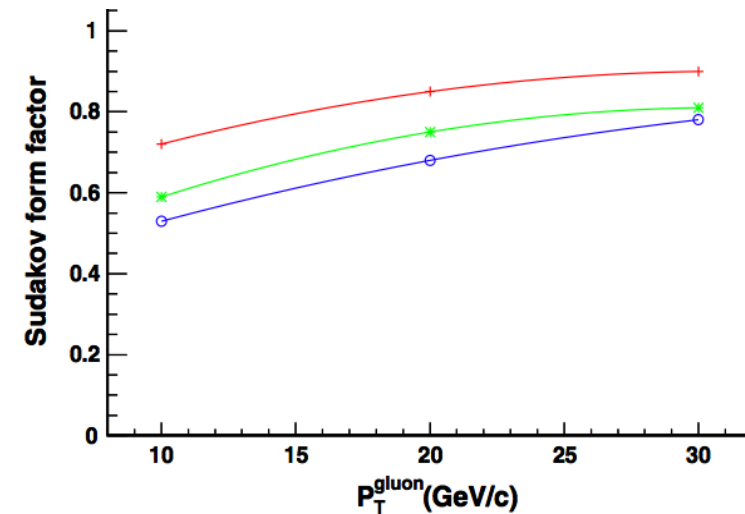
**Figure 21.** The Sudakov form factors for initial-state gluons at a hard scale of 100 GeV as a function of the transverse momentum of the emitted gluon. The form factors are for (top to bottom) parton  $x$  values of 0.3, 0.1, 0.03, 0.01, 0.001 and 0.0001.



**Figure 22.** The Sudakov form factors for initial-state gluons at a hard scale of 500 GeV as a function of the transverse momentum of the emitted gluon. The form factors are for (top to bottom) parton  $x$  values of 0.3, 0.1, 0.03, 0.01, 0.001 and 0.0001.



**Figure 23.** The Sudakov form factors for initial-state quarks at a hard scale of 100 GeV as a function of the transverse momentum of the emitted gluon. The form factors are for (top to bottom) parton  $x$  values of 0.3, 0.1 and 0.03.



**Figure 24.** The Sudakov form factors for initial-state quarks at a hard scale of 500 GeV as a function of the transverse momentum of the emitted gluon. The form factors are for (top to bottom) parton  $x$  values of 0.3, 0.1 and 0.03.

# Solving integral equations

- Integral equation of **Fredholm type**:  $\phi(x) = f(x) + \lambda \int_a^b K(x, y)\phi(y)dy$
- solve it by iteration (Neumann series):

**Blackboard**

$$\phi_0(x) = f(x)$$

$$\phi_1(x) = f(x) + \lambda \int_a^b K(x, y)f(y)dy$$

$$\phi_2(x) = f(x) + \lambda \int_a^b K(x, y_1)f(y_1)dy_1 + \lambda^2 \int_a^b \int_a^b K(x, y_1)K(y_1, y_2)f(y_2)dy_2dy_1$$

$$\phi_n(x) = \sum_{i=0}^n \lambda^i u_i(x)$$

$$u_0(x) = f(x)$$

$$u_1(x) = \int_a^b K(x, y)f(y)dy$$

$$u_n(x) = \int_a^b \int_a^b K(x, y_1)K(y_1, y_2) \cdots K(y_{n-1}, y_n)f(y_n)dy_2 \cdots dy_n$$

with the solution: 
$$\phi(x) = \lim_{n \rightarrow \infty} q_n(x) = \lim_{n \rightarrow \infty} \sum_{i=0}^n \lambda^i u_i(x)$$

# DGLAP re-sums leading logs...

$$f(x, t) = f(x, t_0) \Delta_s(t) + \int \frac{dz}{z} \int \frac{dt'}{t'} \cdot \frac{\Delta_s(t)}{\Delta_s(t')} \tilde{P}(z) f\left(\frac{x}{z}, t'\right)$$

- solve integral equation via iteration:

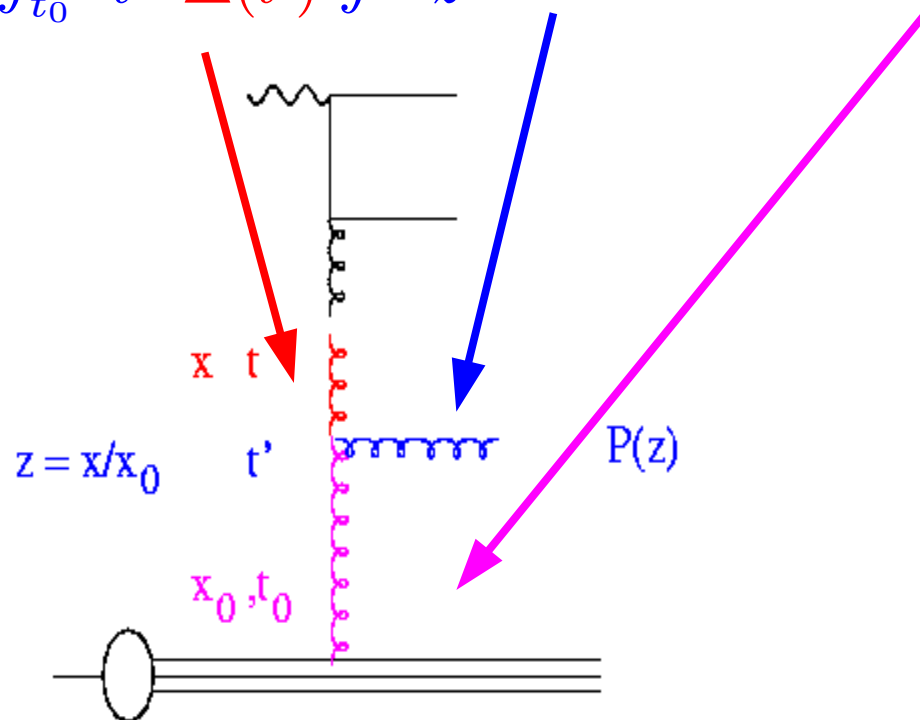
$$f_0(x, t) = f(x, t_0) \Delta(t)$$

from  $t'$  to  $t$   
w/o branching

branching at  $t'$

from  $t_0$  to  $t'$   
w/o branching

$$f_1(x, t) = f(x, t_0) \Delta(t) + \int_{t_0}^t \frac{dt'}{t'} \frac{\Delta(t)}{\Delta(t')} \int \frac{dz}{z} \tilde{P}(z) f(x/z, t_0) \Delta(t')$$



# DGLAP re-sums leading logs...

$$f(x, t) = f(x, t_0) \Delta_s(t) + \int \frac{dz}{z} \int \frac{dt'}{t'} \cdot \frac{\Delta_s(t)}{\Delta_s(t')} \tilde{P}(z) f\left(\frac{x}{z}, t'\right)$$

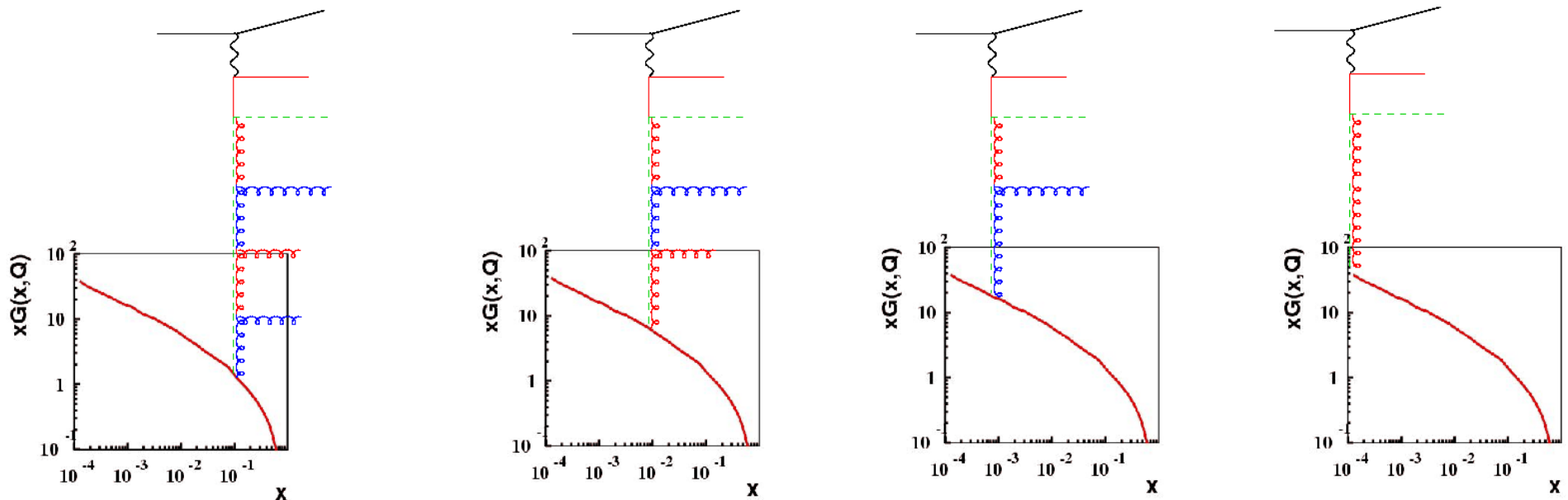
- solve integral equation via iteration:

$$\begin{aligned}
 f_0(x, t) &= f(x, t_0) \Delta(t) && \boxed{\text{from } t' \text{ to } t \text{ w/o branching}} && \boxed{\text{branching at } t'} && \boxed{\text{from } t_0 \text{ to } t' \text{ w/o branching}} \\
 f_1(x, t) &= f(x, t_0) \Delta(t) + \int_{t_0}^t \frac{dt'}{t'} \frac{\Delta(t)}{\Delta(t')} \int \frac{dz}{z} \tilde{P}(z) f(x/z, t_0) \Delta(t') \\
 &= f(x, t_0) \Delta(t) + \log \frac{t}{t_0} A \otimes \Delta(t) f(x/z, t_0) \\
 f_2(x, t) &= f(x, t_0) \Delta(t) + \log \frac{t}{t_0} A \otimes \Delta(t) f(x/z, t_0) + \\
 &\quad \frac{1}{2} \log^2 \frac{t}{t_0} A \otimes A \otimes \Delta(t) f(x/z, t_0) \\
 f(x, t) &= \lim_{n \rightarrow \infty} f_n(x, t) = \lim_{n \rightarrow \infty} \sum_n \frac{1}{n!} \log^n \left( \frac{t}{t_0} \right) A^n \otimes \Delta(t) f(x/z, t_0)
 \end{aligned}$$

**DGLAP re-sums  $\log t$  to all orders !!!!!!!!!!!!!!!**

# DGLAP evolution equation... again...

- for fixed  $x$  and  $Q^2$  chains with different branchings contribute
- iterative procedure, **spacelike** parton showering



$$f(x, t) = f_0(x, t_0) \Delta_s(t) + \sum_{k=1}^{\infty} f_k(x_k, t_k)$$

# What is happening at small $x$ ?

- For  $x \rightarrow 0$  only gluon splitting function matters:

$$P_{gg} = 6 \left( \frac{1-z}{z} + \frac{z}{1-z} + z(1-z) \right) = 6 \left( \frac{1}{z} - 2 + z(1-z) + \frac{1}{1-z} \right)$$

$$P_{gg} \sim 6 \frac{1}{z} \text{ for } z \rightarrow 0$$

$$\frac{dg(x, \mu^2)}{d \log \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} g(\xi, \mu^2) P_{gg} \left( \frac{x}{\xi} \right)$$

**Blackboard**

- evolution equation is then:

$$\frac{dg(x, \mu^2)}{d \log \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} g(\xi, \mu^2) P_{gg} \left( \frac{x}{\xi} \right)$$

$$xg(x, t) = \frac{3\alpha_s}{\pi} \int_{t_0}^t d \log t' \int_x^1 \frac{d\xi}{\xi} \xi g(\xi, t') \quad \text{with } t = \mu^2$$

# Estimates at small $x$ : DLL

$$xg(x, t) = \frac{3\alpha_s}{\pi} \int_{t_0}^t d \log t' \int_x^1 \frac{d\xi}{\xi} \xi g(\xi, t') \quad \text{with} \quad t = \mu^2$$

- use constant starting distribution at small  $t$ :  $xg_0(x) = C$

$$xg_1(x, t) = \frac{3\alpha_s}{\pi} \log \frac{t}{t_0} \log \frac{1}{x} C$$

$$xg_2(x, t) = \left( \frac{3\alpha_s}{\pi} \frac{1}{2} \log \frac{t}{t_0} \frac{1}{2} \log \frac{1}{x} \right)^2 C$$

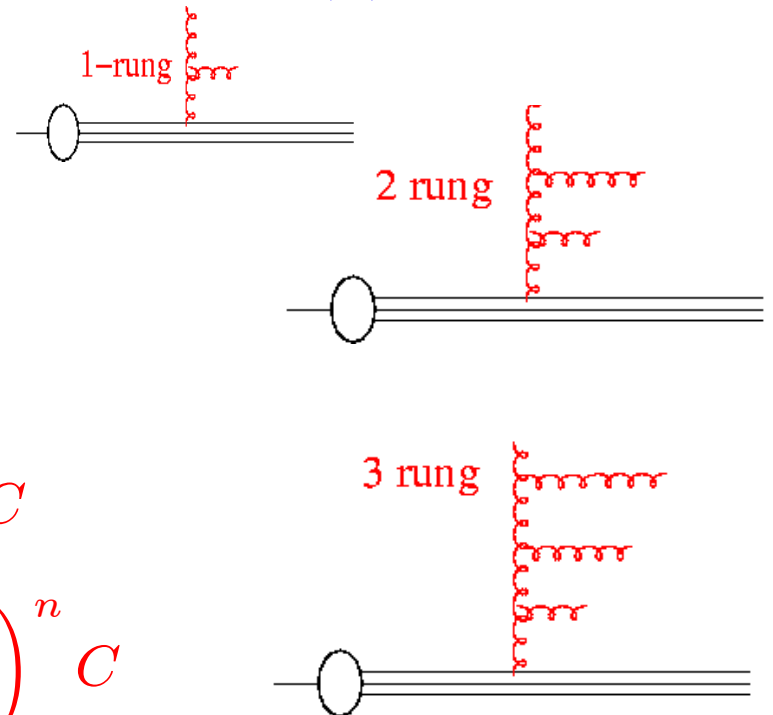
⋮

$$xg_n(x, t) = \frac{1}{n!} \frac{1}{n!} \left( \frac{3\alpha_s}{\pi} \log \frac{t}{t_0} \log \frac{1}{x} \right)^n C$$

$$xg(x, t) = \sum_n \frac{1}{n!} \frac{1}{n!} \left( \frac{3\alpha_s}{\pi} \log \frac{t}{t_0} \log \frac{1}{x} \right)^n C$$

$$xg(x, t) \sim C \exp \left( 2 \sqrt{\frac{3\alpha_s}{\pi} \log \frac{t}{t_0} \log \frac{1}{x}} \right)$$

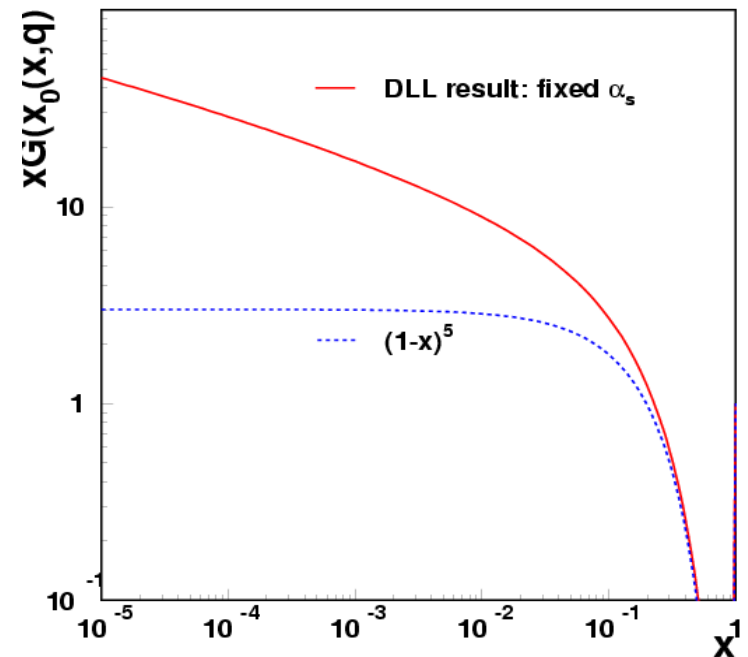
double leading log  
approximation (DLL)



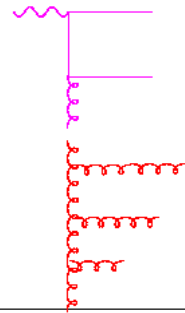
# Results from DLL approximation

- DLL arise from taking small  $x$  limit of splitting fct:
  - $\log 1/x$  from small  $x$  limit of splitting fct
  - $\log t/t_0$  from  $t$  integration
  - strong ordering in  $x$  from small  $x$  limit
  - strong ordering in  $t$  from small  $t$  limit of ME...
- DLL gives rapid increase of gluon density from a flat starting distribution
- gluons are coupled to  $F_2$ ... strong rise of  $F_2$  at small  $x$ :

$$xg(x, t) \sim C \exp \left( 2 \sqrt{\frac{3\alpha_s}{\pi}} \log \frac{t}{t_0} \log \frac{1}{x} \right)$$



- consequences:
- rise continues forever ???
- what happens when too high gluon density ?
- 



# Remember the pre-HERA times

- Just before HERA started in 1992, new PDF fits (NLO DGLAP) were released, using all existing high precision data

- 1<sup>st</sup> HERA data 1992

H1 Nucl. Phys. B407 (1993) 515

