Charm Production in CC DIS at HERA

Jae D. Nam

Temple Univ.

Motivations

- Charm cross section measurement in high-Q^2 charged current (CC) DIS.
  → Constraints on $s(x, Q^2)$

- Previous measurements on strangeness of the proton.
  → CCFR/NuTeV : $\frac{\int_0^1 dx[xs+x\bar{s}]}{\int_0^1 dx[x\bar{u}+x\bar{d}]} \sim 0.5$ at $x \sim 0.1$, $Q^2 \sim 10\ GeV^2$
  → ATLAS : $\frac{s+\bar{s}}{\bar{u}+\bar{d}} \sim 1.0$ at $x = 0.023, Q^2 = 1.9\ GeV^2$

- Improved determination of strange sea quark content in the proton (right)
  - Charm production in neutrino-nucleon scattering by CCFR/NuTeV, NOMAD, CHORUS
  - $W + c$ production by CMS and ATLAS

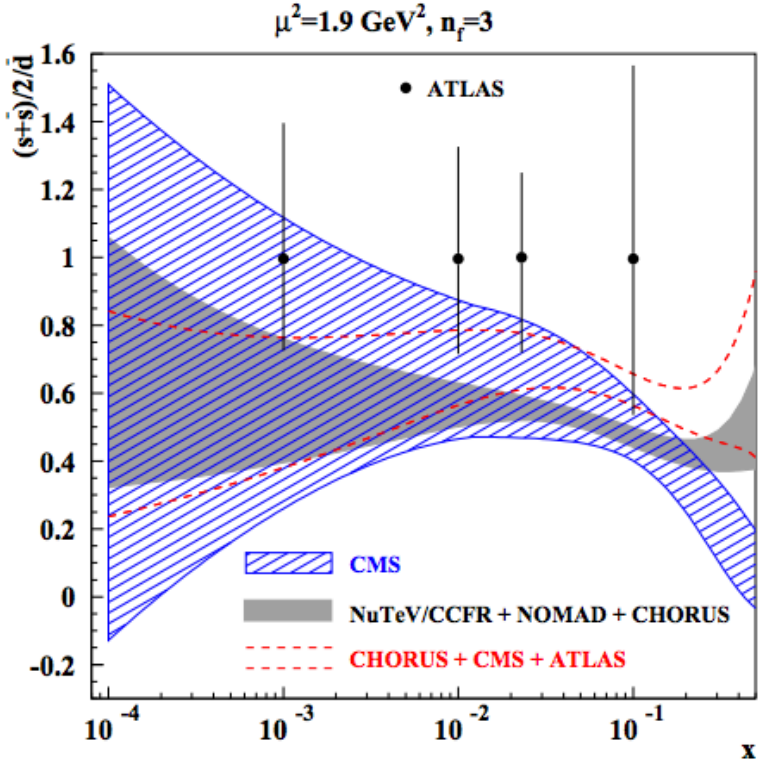
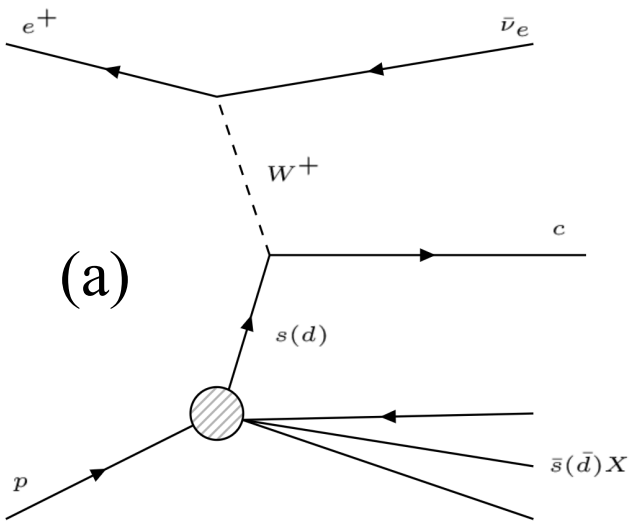
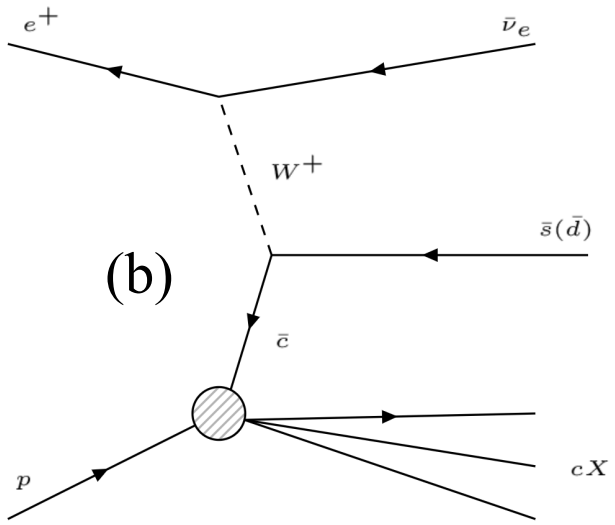
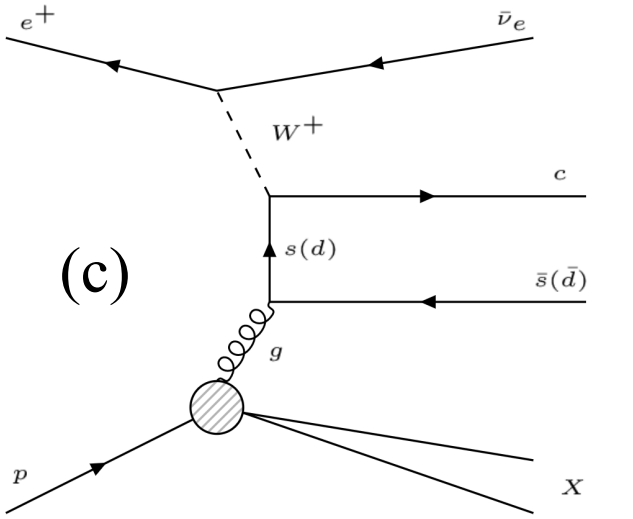


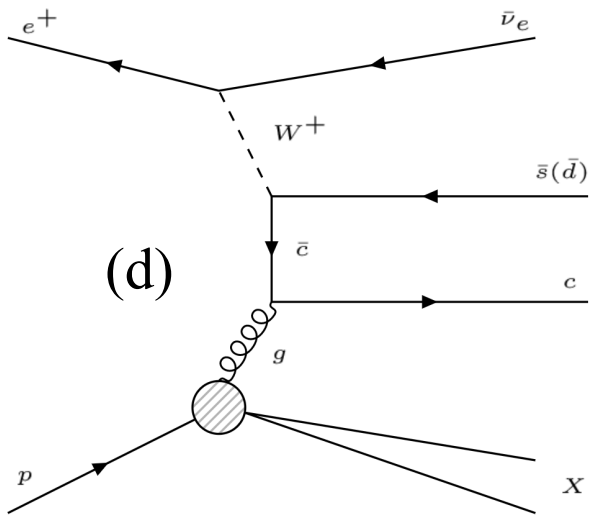
FIG. V.1: The 1σ band for the strange sea suppression factor $r_s = (s+\bar{s})/2\bar{d}$ as a function of the Bjorken x obtained in the variants of present analysis based on the combination of the data by NuTeV/CCFR [2], CHORUS [4], and NOMAD [3] (shaded area) and CHORUS [4], CMS [10], and ATLAS [11] (dashed lines), in comparison with the results obtained by the CMS analysis [10] (hatched area) and by the ATLAS *epWZ*-fit [9, 11] at different values of x (full circles). All quantities refer to the factorization scale $\mu^2 = 1.9$ GeV^2.

Charm production in CCDIS at HERA

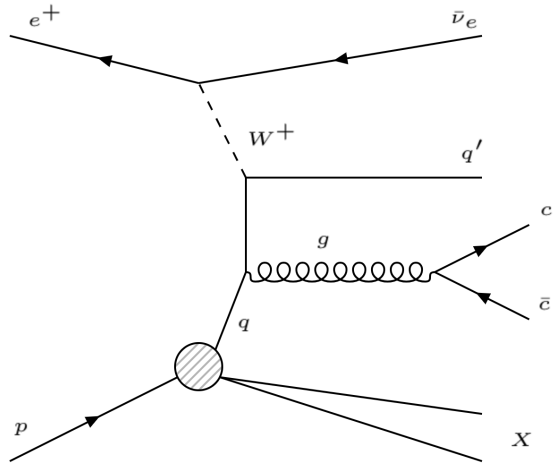








- QPM-like processes (a, b)
  - Small active charm content in the proton.
    → small contribution of (b)
  - Cabibbo-suppressed $d \rightarrow c$ transition.
  - Sensitive to the strangeness in the proton.
- BGF-like processes (c, d)
  - Sensitive to the gluon content in the proton.
- Model-dependent strange quark content extraction.
- Final state gluon splitting (below).



DATA & MC & Kinematic variables

Data

- HERA II ($L \cong 360\ pb^{-1}$)
  - $e^{-}p$: $05e, 06e$ w/ $L \cong 185\ pb^{-1}$
  - $e^{+}p$: $0304p, 0607p$ w/ $L \cong 173\ pb^{-1}$

Year	Collision	Integrated Luminosity (pb^{-1})
2003/04	$e^{+}p$	~ 38
2004/05	$e^{-}p$	~ 133
2006	$e^{-}p$	~ 52
2006/07	$e^{+}p$	~ 135

MC

- DIS
  - Inclusive CCDIS MC, DJANGOH 1.6, ARIADNE 4.12, CTEQ-5D.
- Background
  - Inclusive NCDIS MC: DJANGOH 1.6, ARIADNE 4.12, CTEQ-5D
  - Photoproduction MC: HERWIG, resolved & direct
  - Background contribution was found to be negligible.

- Invariant kinematic variables (x, y, Q^2) defined by using Jacquet-Blondel Method.

$$y_{JB} = \frac{\sum_h (E - p_z)_h}{2E_{e,beam}} \qquad Q^2_{JB} = \frac{p^2_{T,h}}{1 - y_{JB}} \qquad x_{JB} = \frac{Q^2_{JB}}{s y_{JB}}$$

DIS Selection Summary

General Selection	
Trigger	FLT 60 || 63 || 39 || 40 || 41 || 43 || 44 SLT EXO 4 TLT EXO 2 || EXO 6 DST 34
DQ	EVTAKE, POLTAKE, MVDTAKE, STTTAKE
p_T	p_T > 12 GeV p'_T > 10 GeV
Kinematic	200 < Q2 < 60,000 GeV2 y < 0.9
Tracking Based Selection	
Vertex	|Zvtx| < 30 cm
ϕcal - ϕtrk	dϕ < 90 degrees
Beam Gas Trk	Ntrkvtx > 0.125 * (Ntrk - 20)

**Based on 0607p CC MC by Ciesielski & Oliver

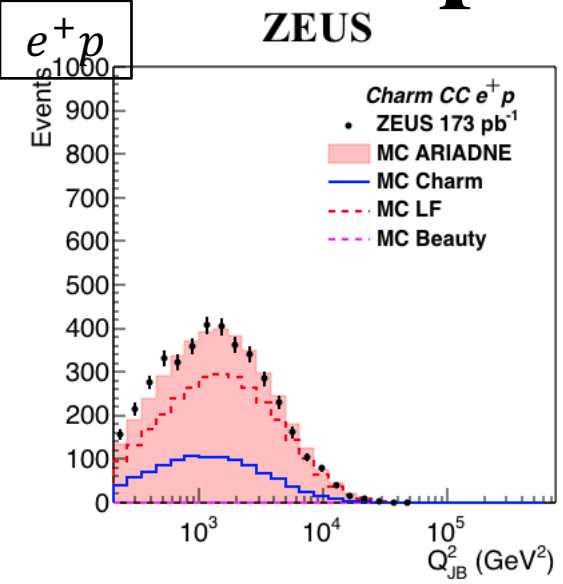
Calorimeter Based Selection	
Timing	Consistent with ep interaction
PhP, Beam Gas	Vap/Vp < 0.25 if (Pt < 20 GeV) Vap/Vp < 0.35 else
Cosmics	Reject if: Ncell < 40 or (BAC/BRMU cosmic muon) or E_RCAL > 2 GeV and f_RHAC > 0.5 or E_BCAL > 2 GeV and f_BHAC > 0.85 or f_BHAC1 > 0.7 or f_BHAC2 > 0.4 or E_FCAL > 2 GeV and f_FHAC < 0.10 or f_FHAC > 0.85 or f_FHAC1 > 0.7 or f_FHAC2 > -.6
Halo Muon	Reject if: MaxEtCell_nr <= 16384 and RCAL asosE > 0.3 GeV (FCAL) or Tsu_halo > 0 (TSUBAME in BCAL) or (BAC/BRMU halo muon)
NC DIS	Reject if: PT < 30 GeV&& E-Pz > 30 GeV && E_e > 4 GeV && E_in < 5 GeV && (Ptrk/Ee > 0.25 for 15 < θe < 164 or Ete > 2 GeV for θe > 164)

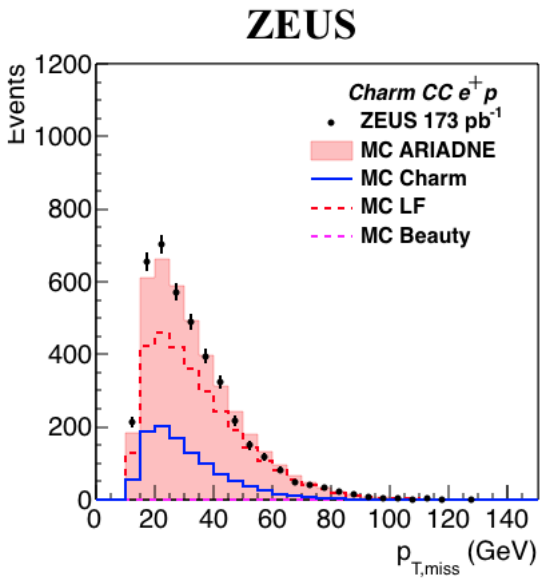
yellow – Varies between run periods
-STTTAKE = 0 for 05e data
-FLT 63 active after run 54115

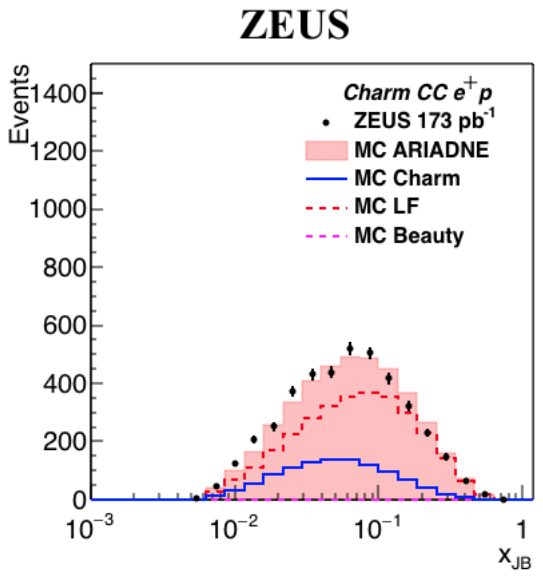
green – Only applied on data
-Timing cut only on data

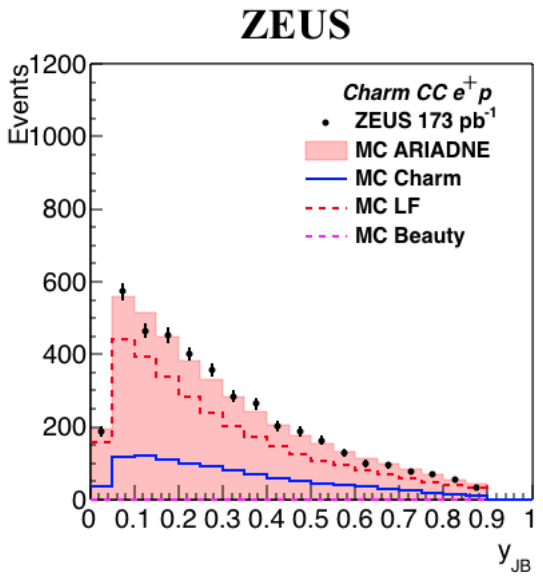
Control plots – event

e^+p

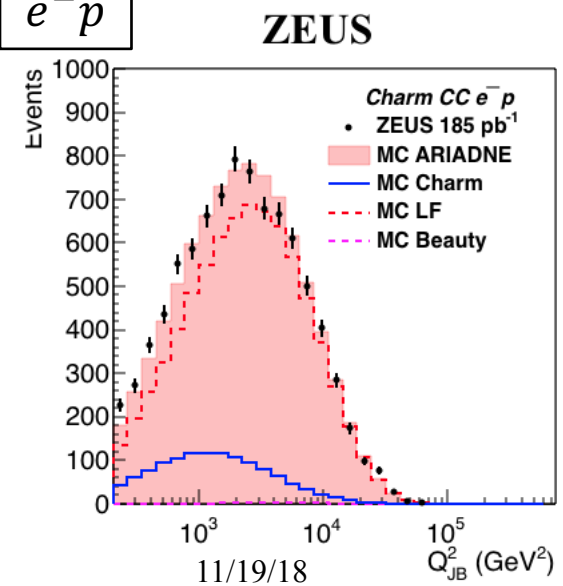


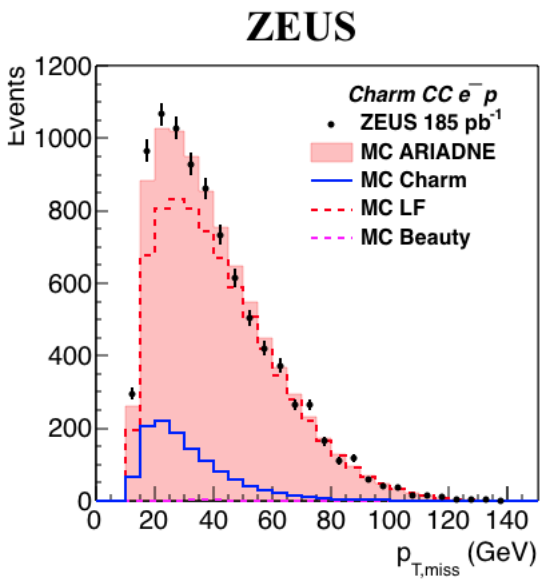


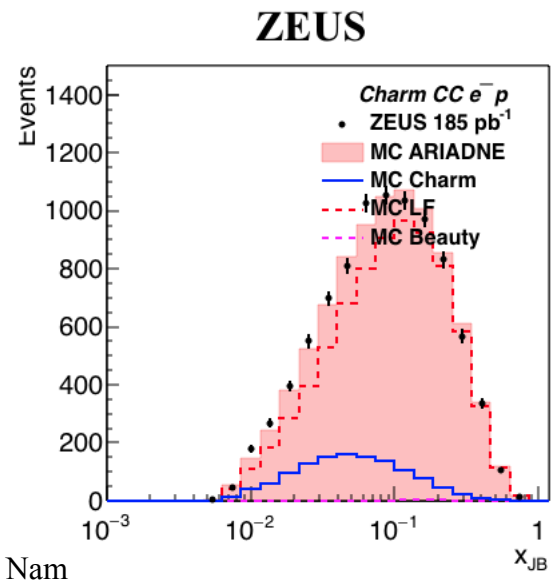


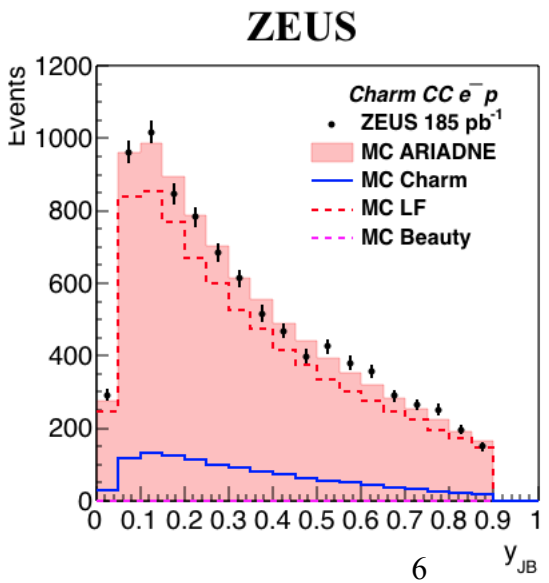


e^-p









Charm Identification

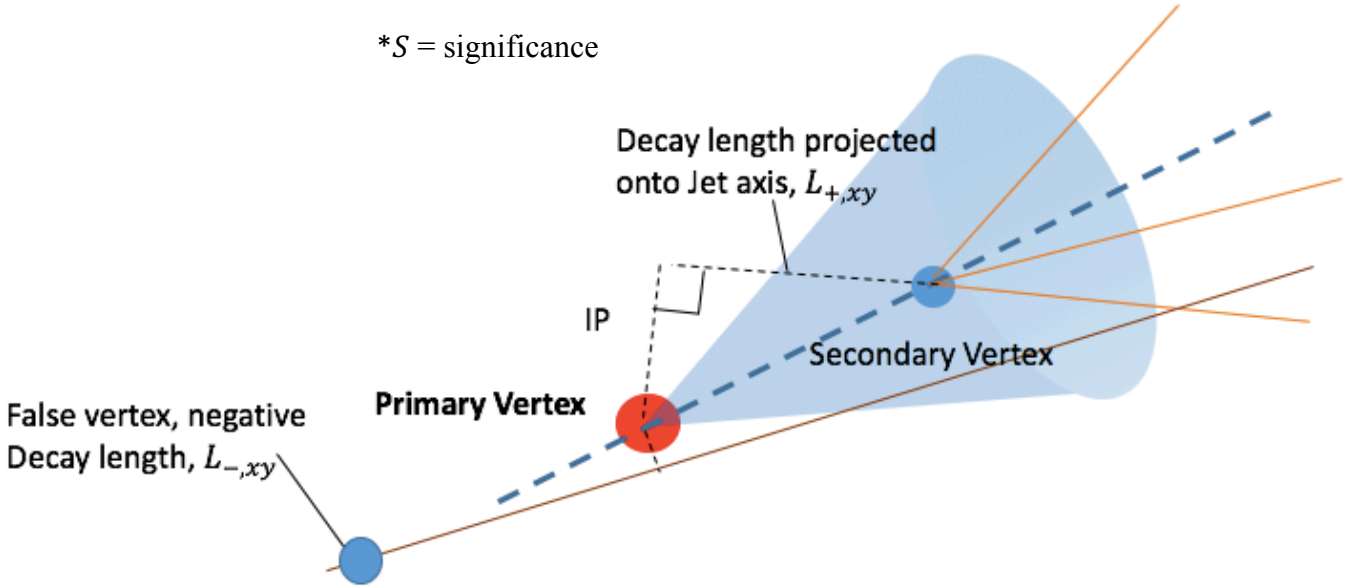
Lifetime-tagging Method

- 2D decay length (L_{xy}) projected onto Jet axis.
  - LF → Short-lived, Symmetric decay length.
  - Charm → Long-lived, Asymmetric.

- LF contribution (background) suppressed by mirroring decay length distribution about $L_{xy} = 0$.

  $(N_{L+} - N_{L-}\ , N_{S+} - N_{S-})$

*S = significance

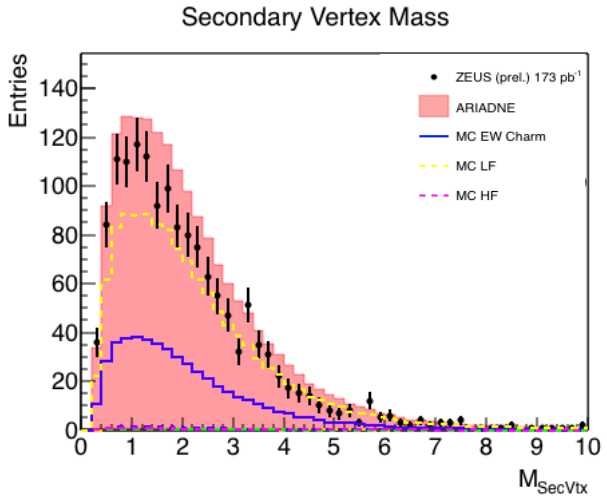


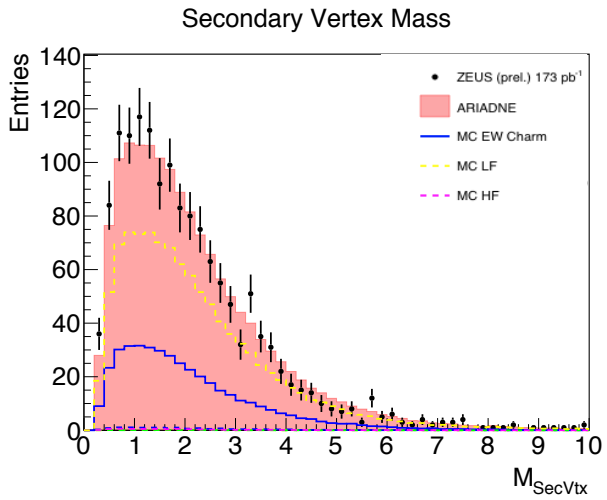
Jet Selection	Reconstructed by using kT algorithm in the massive mode.
	$E_T^{jet} > 5\ GeV$
	$-2.5 < \eta^{jet} < 2.0\ (1.5\ for\ 05e)$
SecVtx Selection	$\chi^2/N_{dof} < 6$
	$\lvert Z_{secvtx}\rvert < 30\ cm$
	Distance to beam spot $\sqrt{\Delta x^2 + \Delta y^2} < 1\ cm$
	$M_{secvtx} < 6\ GeV$
	$N_{secvtx}^{trk} > 2$

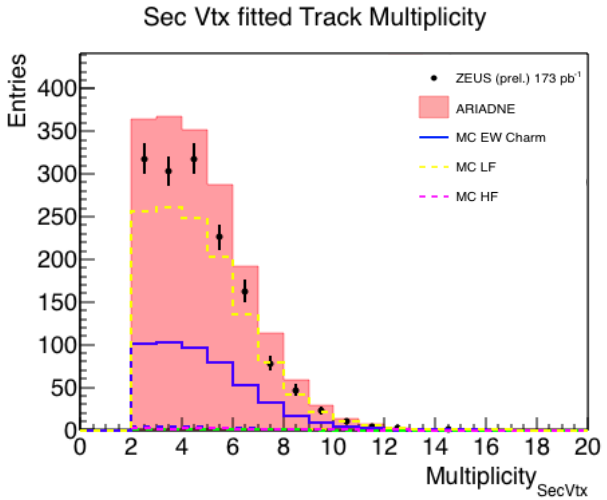
- E_T^{jet} and η^{jet} cuts further define the kinematic phase space of the measurement.

Secondary Vertex Scaling

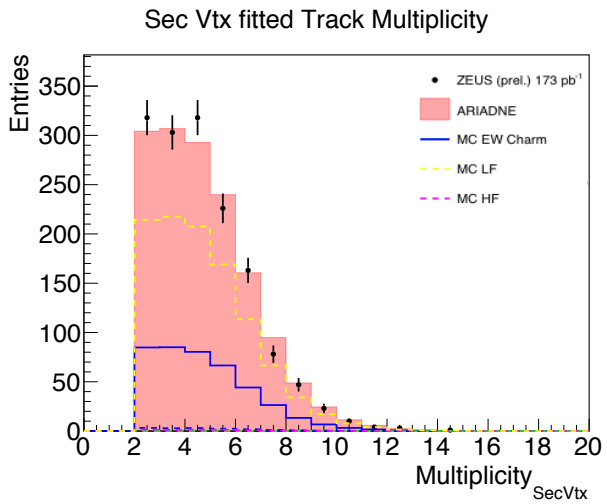
(0607p)







MC scaling factor
$= 0.830$

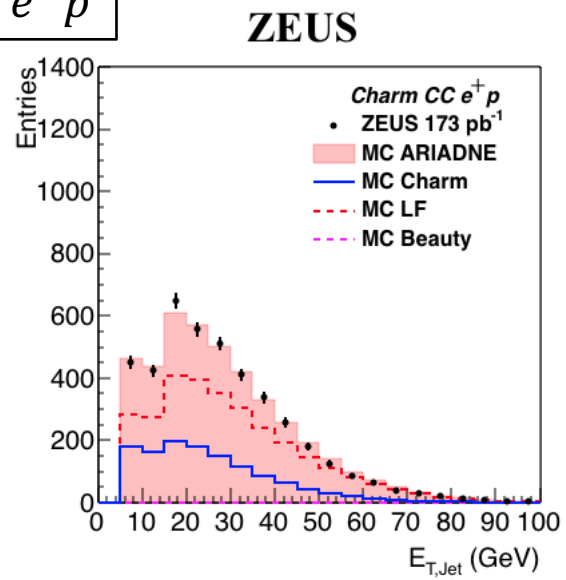


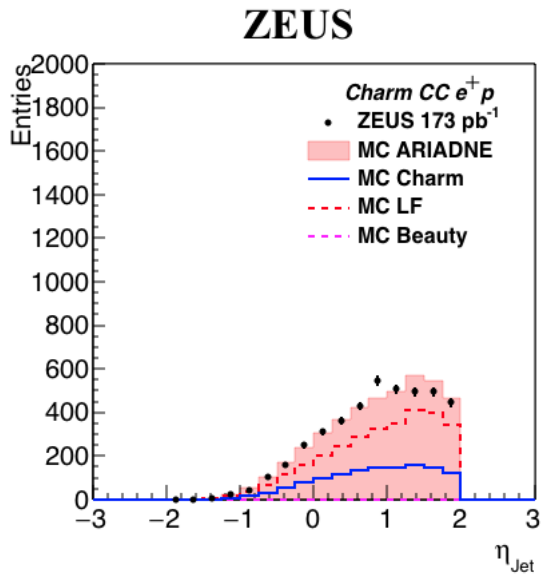
- MC overestimates trackings & secondary vertices.
- A secondary scaling applied to MC to match Data.

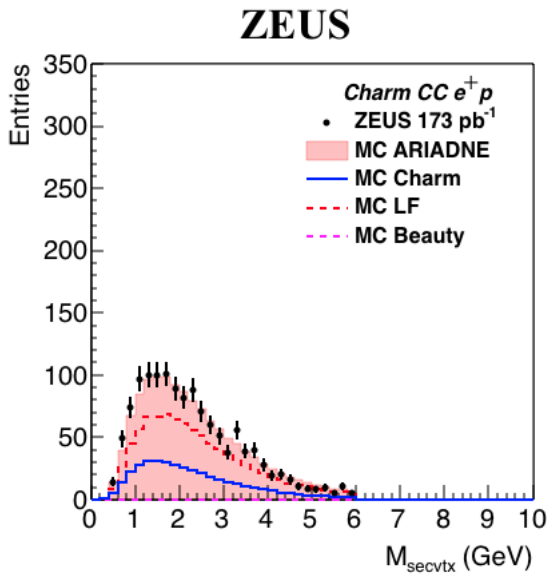
$$N_{SecVtx}^{DATA}/N_{SecVtx}^{MC} = 0.708 \ (0304p)$$
$$= 0.810 \ (05e)$$
$$= 0.807 \ (06e)$$
$$= 0.830 \ (0607p)$$

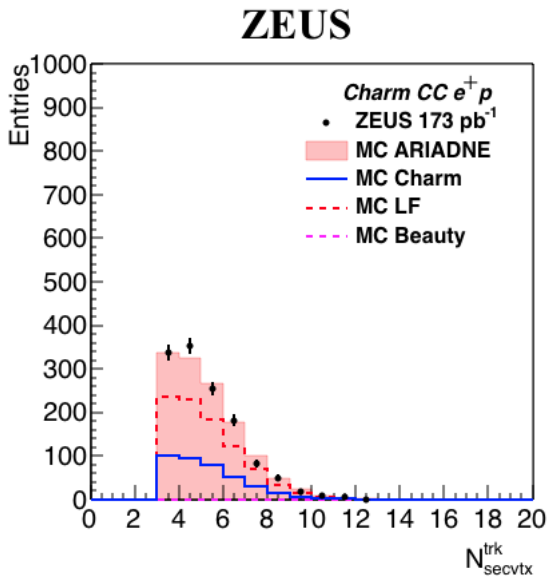
Control plots – jet & secondary vertex

e^+p

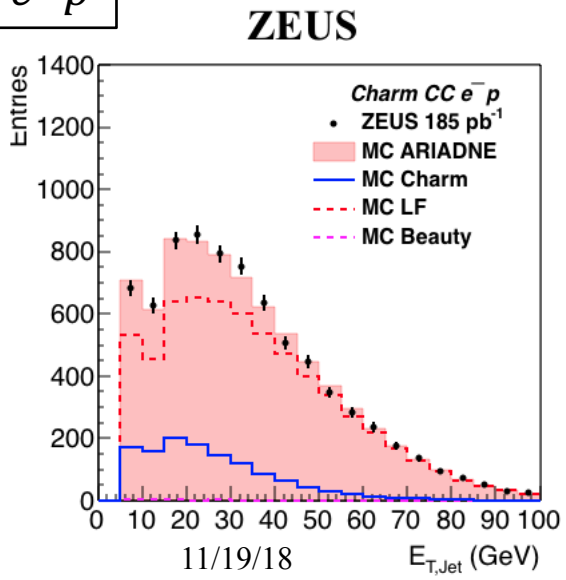


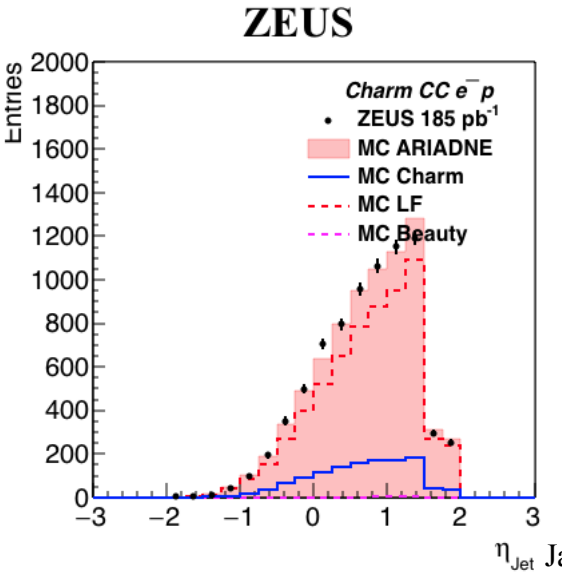


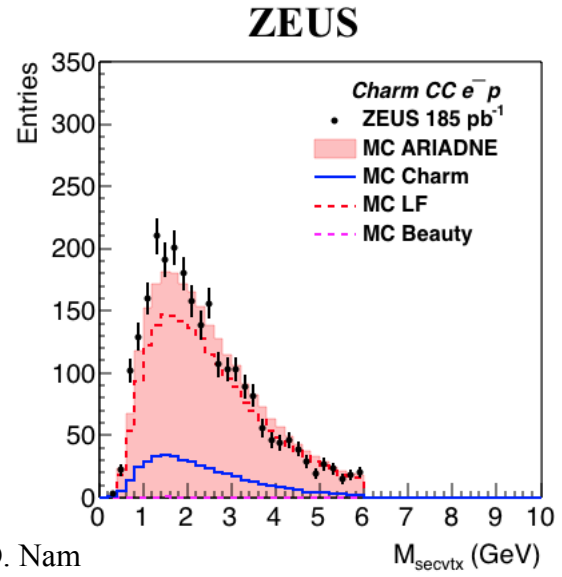


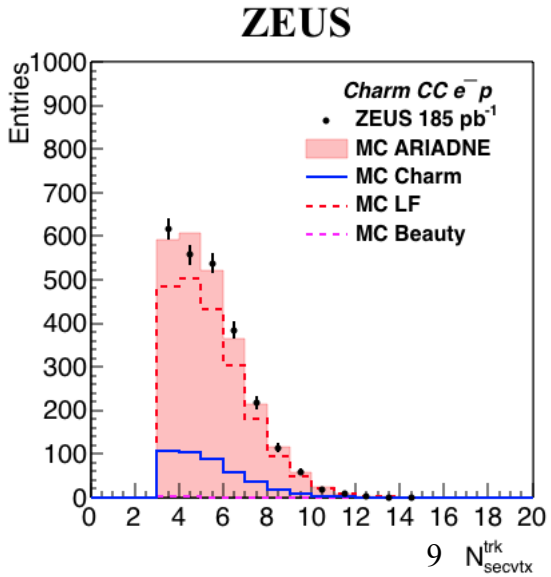


e^-p



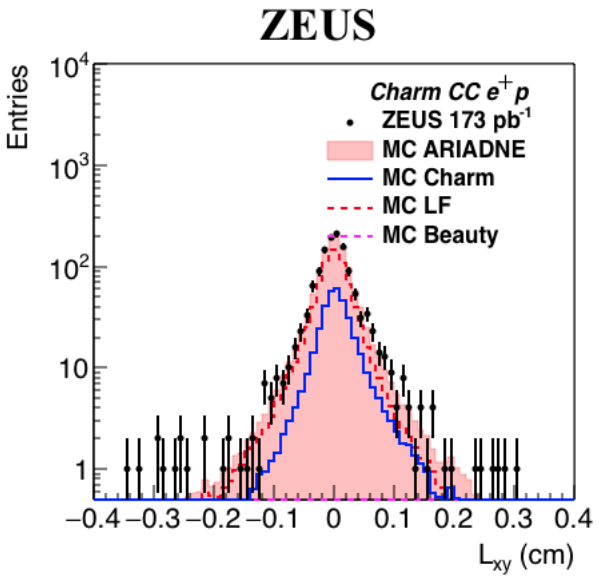


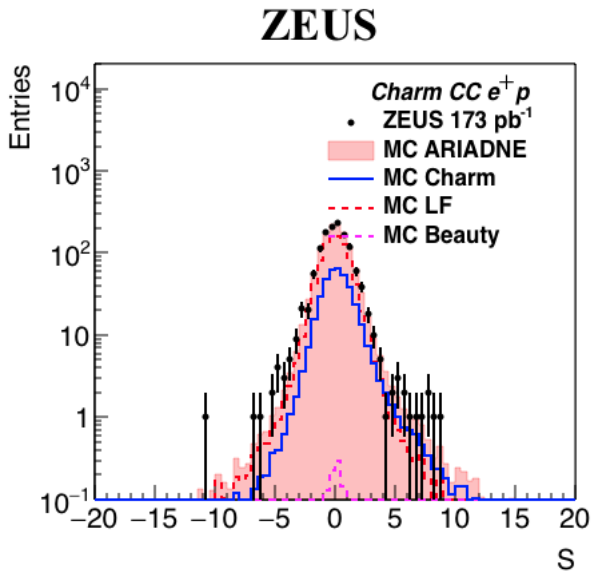




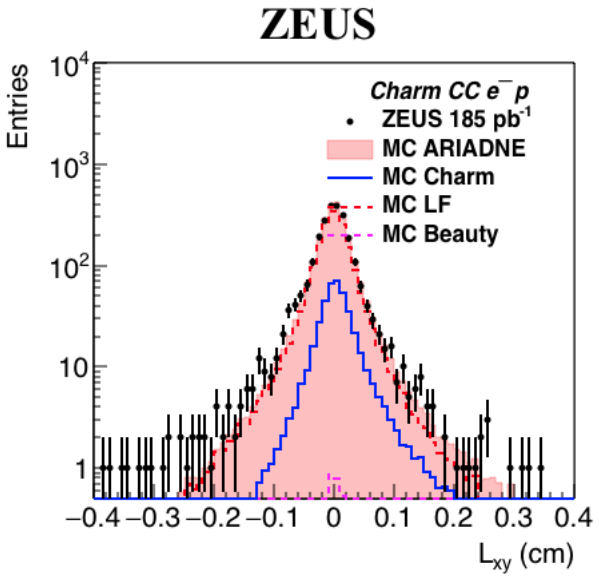
Decay Length Plots

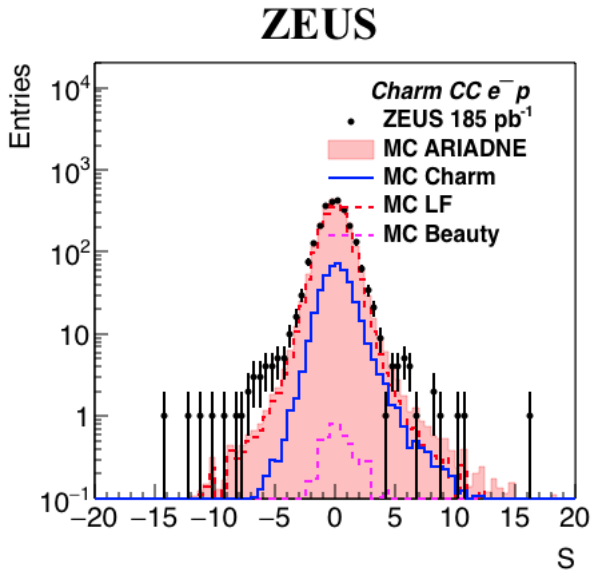
e^+p





e^-p

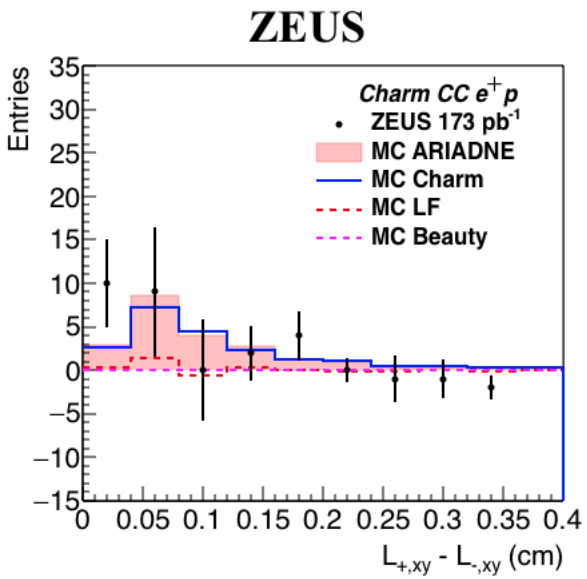


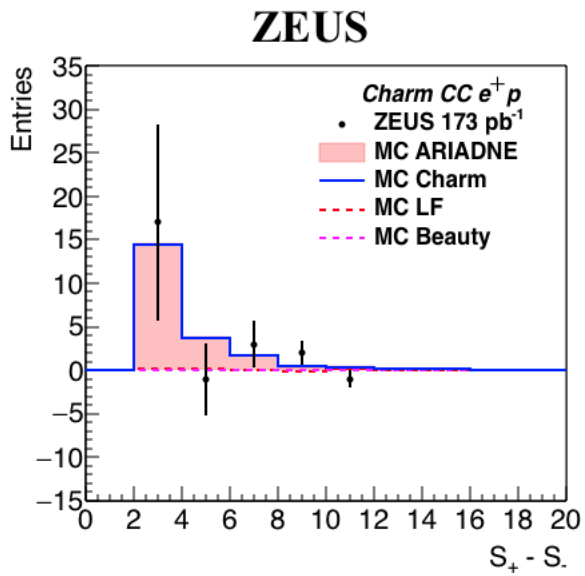


- Asymmetric charm signal observed.
- The high symmetry and large statistics around $S \sim 0$ contributes to a large statistical uncertainty in the low bin regions in $|S|$.
- A significance threshold cut $|S| > 2$ was applied to reduce overall statistical uncertainty.

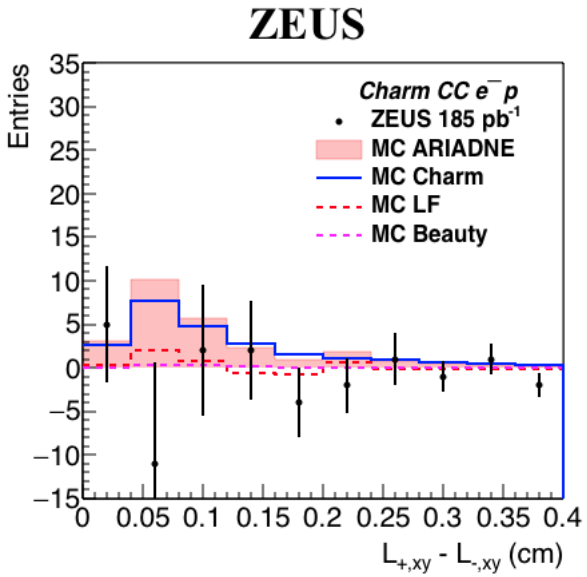
Mirrored Decay Length

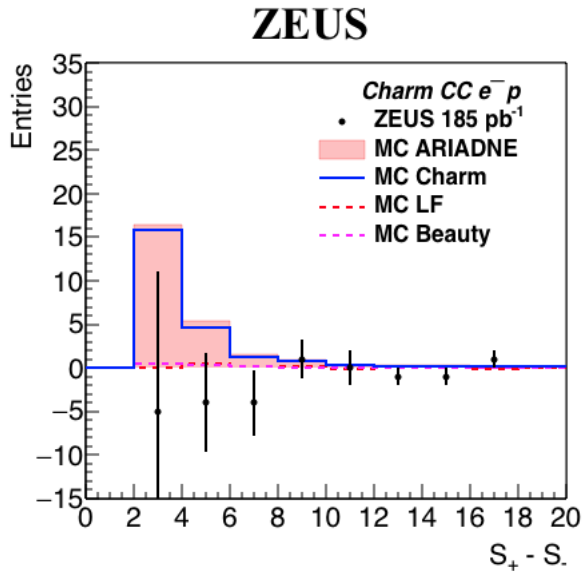
e^+p





e^-p



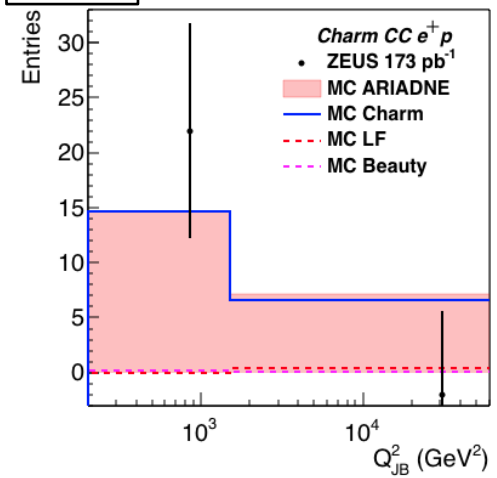


- Significance cut applied at $|S| > 2$.
- Charm signal observed with LF contribution (Background) suppressed.
- Surviving events are split into 2 bins in Q^2 to unfold charm production cross section, $\sigma_{charm,CC}$.

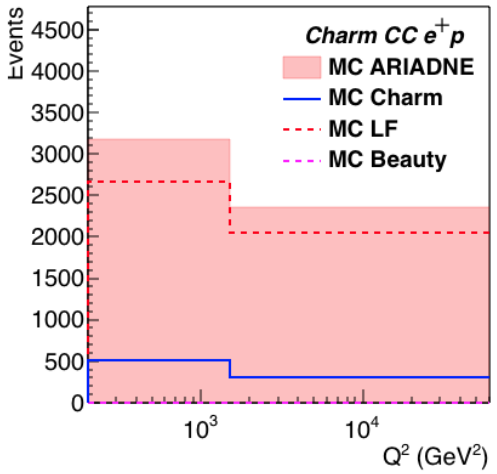
Charm signal & Charm generated

e^+p

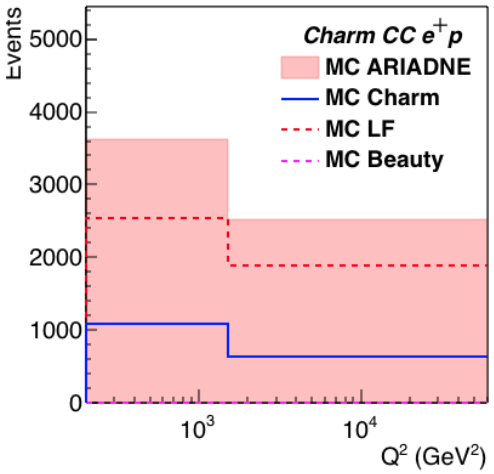
M_{signal}



N_{kin}

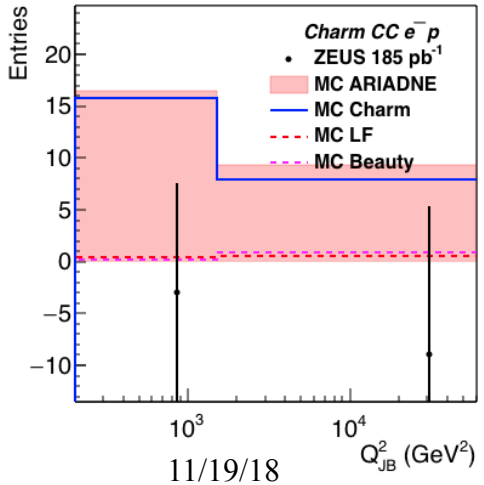


N_{gen}

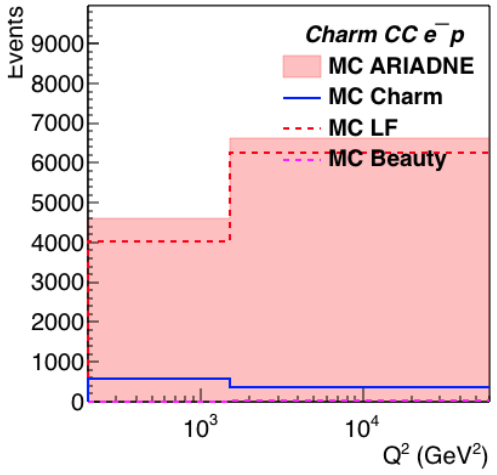


e^-p

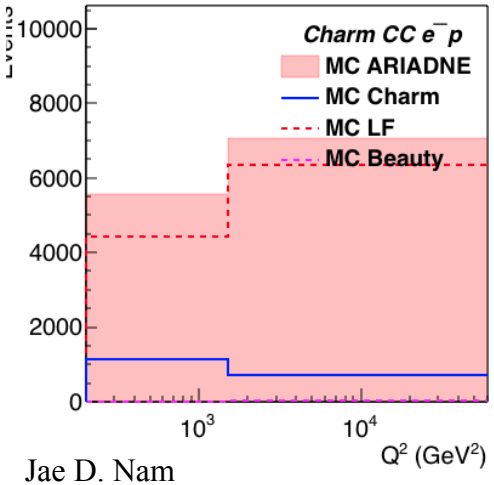
M_{signal}



N_{kin}



N_{gen}



- N_{gen} = # of events generated in the MC.
- N_{kin} = # of jets associated with charm quark by $\sqrt{\Delta\phi^2 + \Delta\eta^2} < 1$.
  - $\Delta q = q^{jet} - q^{charm}$
  - At the moment, Mc_jet variables are used. Suggestions?
- Visible charm cross section:

$$\sigma_{c,vis} = \frac{M^{DATA} - M_{bg}^{MC}}{M_{charm}^{MC}} \frac{N_{kin}^{MC}}{L}$$

- Visible EW charm cross section:

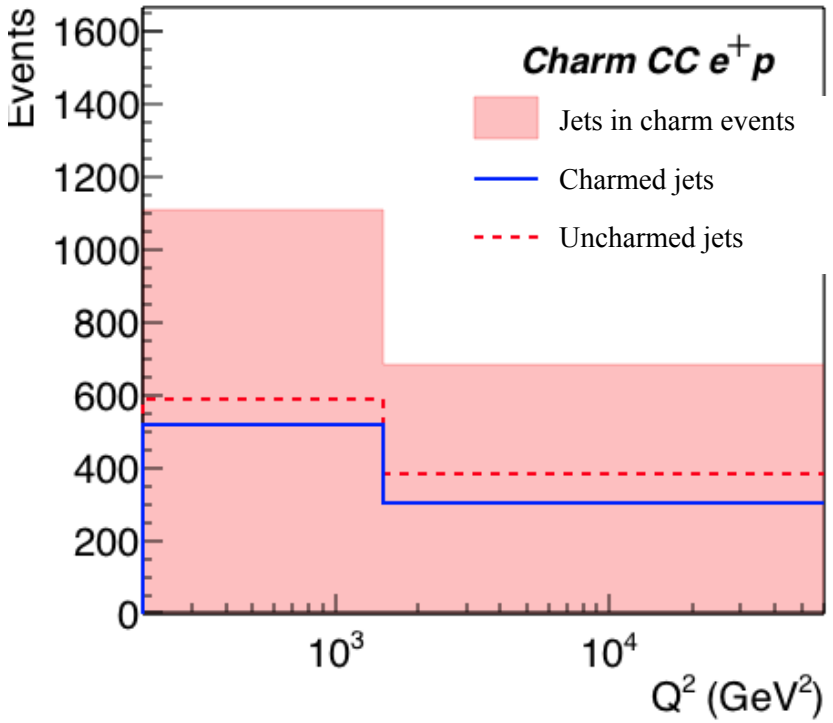
$$\sigma_{c^{EW},vis} = \frac{N_{EW,kin}^{MC}}{N_{kin}^{MC}} \sigma_{c,vis}$$

- Absolute EW charm cross section:

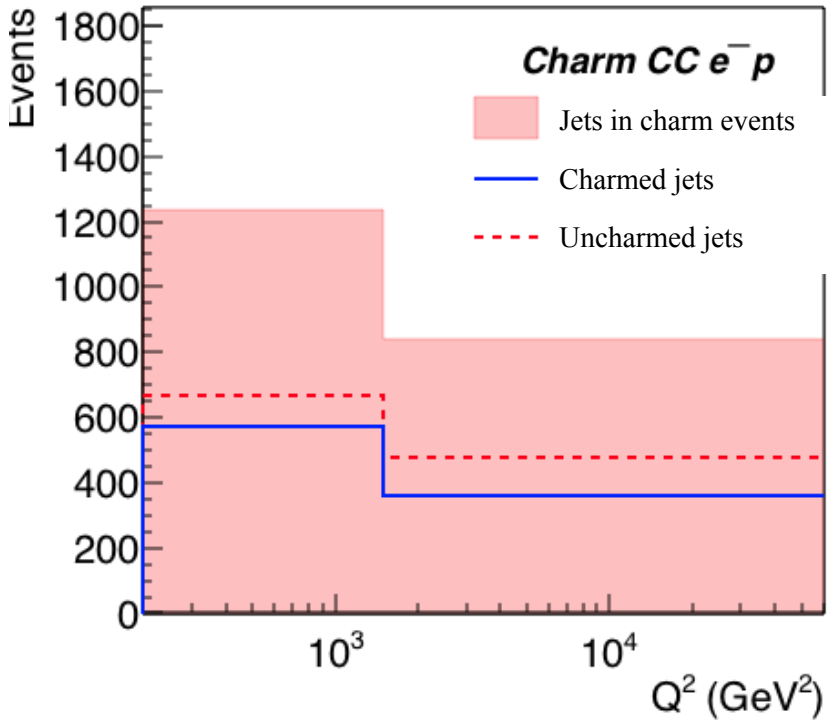
$$\sigma_{c^{EW}} = \frac{N_{EW,gen}^{MC}}{N_{EW,kin}^{MC}} \sigma_{c^{EW},vis}$$

Jets in charm events

$N_{kin}^{charm}\ (e^+p)$



$N_{kin}^{charm}\ (e^-p)$



- Distributions of generated jets in charmed events within the kinematic range.
  - Jets in charm events = All jets in charm events.
  - Charmed jets = Jets that are associated with charm tracks via $\sqrt{\Delta\phi^2 + \Delta\eta^2} < 1$ where $\Delta q = q^{jet} - q^{charm}$.
  - Uncharmed jets = the ones that are not associated with charm tracks.
  - Mc_jet variables were used to produce these pictures (suggestions welcome!)

Charm signal & Charm generated

Year	Bin	N_{kin}^{EW}	N_{kin}	N_{gen}^{EW}	N_{kin}^{EW}/N_{kin}	$C_{ext,i}$	C_{ext}
0304p	1	101	105	230	0.96	2.28	
	2	55	60	129	0.92	2.34	
	1+2	156	165	359	0.94	2.30	
	Full space			468			3.00
05e	1	390	412	772	0.95	1.98	
	2	202	260	419	0.78	2.07	
	1+2	592	672	1190	0.88	2.01	
	Full space			1563			2.64
06e	1	149	158	304	0.95	2.03	
	2	77	100	166	0.77	2.16	
	1+2	226	258	470	0.88	2.08	
	Full space			619			2.74
0607p	1	396	414	819	0.96	2.07	
	2	220	240	463	0.91	2.11	
	1+2	615	654	1282	0.94	2.08	
	Full space			1677			2.72

- Full space:
  $Q^2 < 200\ GeV^2$ && $> 60000\ GeV^2$
- $C_{ext,i} = N_{gen,i}^{EW}/N_{kin,i}^{EW}$
  where i runs over for bin 1, 2 and 1+2.
- $C'_{ext} = N_{gen,full}^{EW}/N_{kin,1+2}^{EW}$

Systematic Uncertainties

Sources	Variable	Variation	$\delta\sigma^{+}_{c^{EW}}$	$\delta\sigma^{-}_{c^{EW}}$
DIS selection			Negligible (~2%)	Negligible (~2%)
Secondary Vertex selection	N^{trk}_{secvtx}	> 1	$+1.9\ pb$	$+3.5\ pb$
Calorimeter	E_T	$\pm\ 3\%$	Negligible ($< 1\%$)	Negligible ($< 1\%$)
LF background	N_{LF}	$\pm\ 30\%$	Negligible ($< 1\%$)	Negligible (~2%)
QCD charm fraction	$\frac{N_{QCD}}{N_{charm}}$	$\pm\ 100\%$	$\mp\ 0.42\ pb$	$\pm\ 0.64\ pb$
Rescaling			$-1.6\ pb$	$+1.1\ pb$
Signal Extraction	S_{thresh}	$\pm\ 1$	$\pm 6.2\ pb$	$\pm 3.9\ pb$
Total			$+6.5\ pb$	$+5.4\ pb$
			$-6.4\ pb$	$-3.9\ pb$

δ_1 DIS Selection & Secondary vertex selection

- Uncertainty associated with the selection threshold values.

δ_2 Calorimeter

- Due to imperfect calibration of hadronic calorimeter (HAC). Uncertainty in E_T^{jet} is known to be $\pm 3\%$.

δ_3 Background

- Asymmetry in LF decay length due to long-lived LF particles.

δ_4 QCD charm fraction in MC

- Uncertainty associated with the QCD charm fraction calculated in MC is tested by varying the fraction by $\pm\ 100\%$.

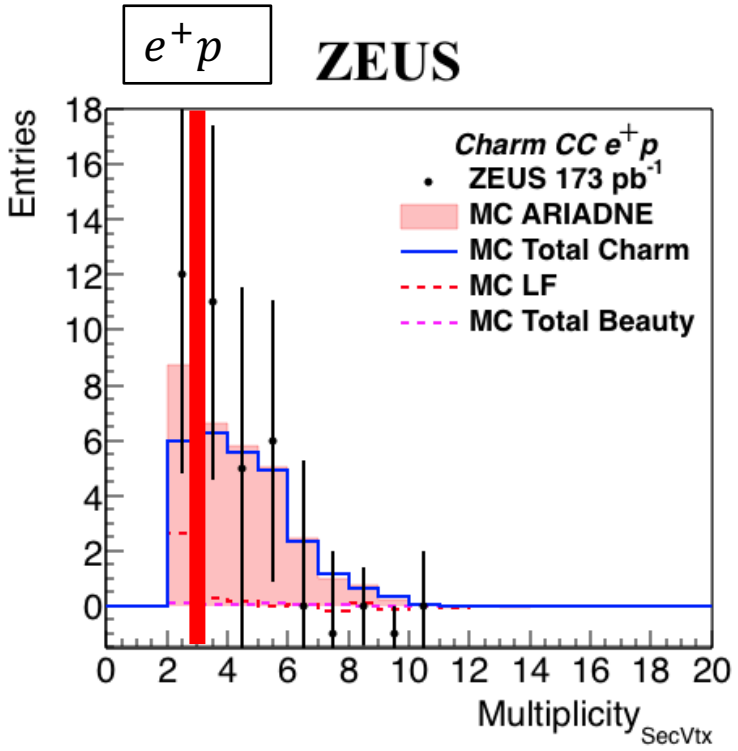
δ_4 Secondary Vertex Rescaling

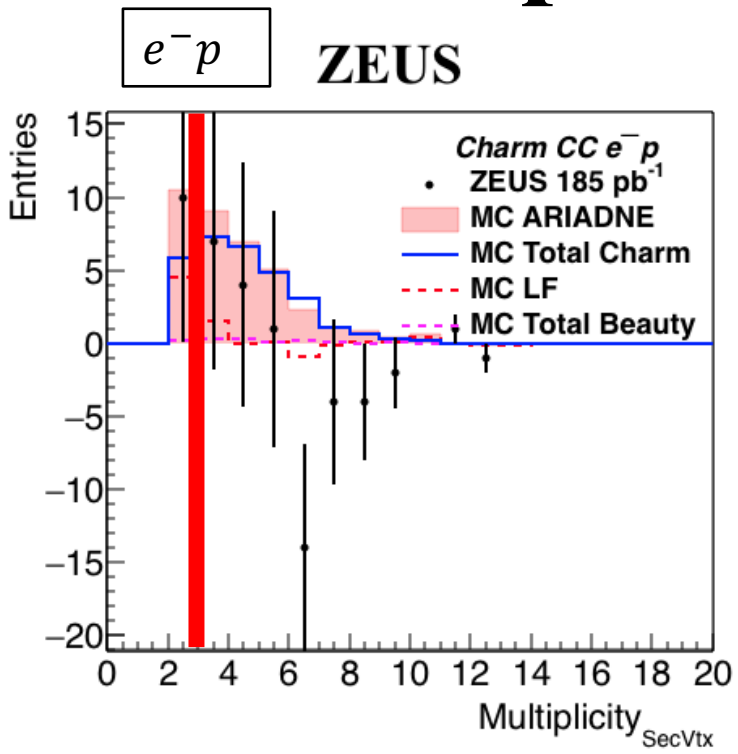
- More secondary vertices survive in MC than in data. Rescaling was only applied to the light-flavor signal to account for different causes of the discrepancy.

δ_5 Signal Extraction

- Due to the low statistics & high fluctuation in data, further study will be performed.

Systematic uncertainties (secvtx multiplicity)



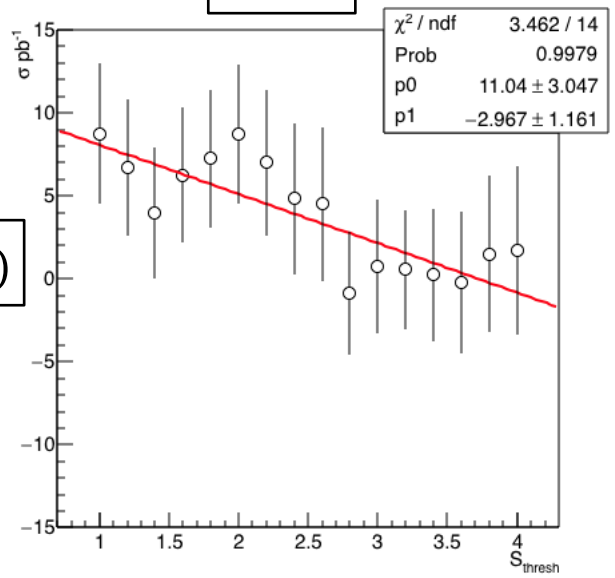


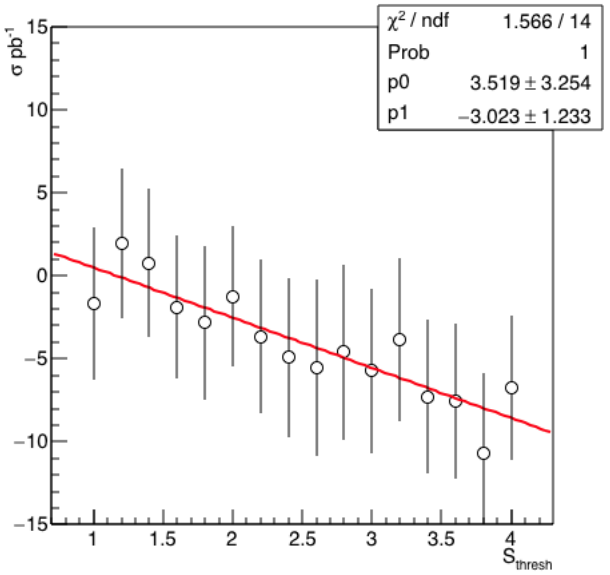
- Multiplicity distribution after signal extraction.
- The red vertical bars represent the selection cut. The distributions on the right of the bar are the ”nominal” distribution.
- The uncertainty associated with this cut is determined by including the distribution left of the bar.

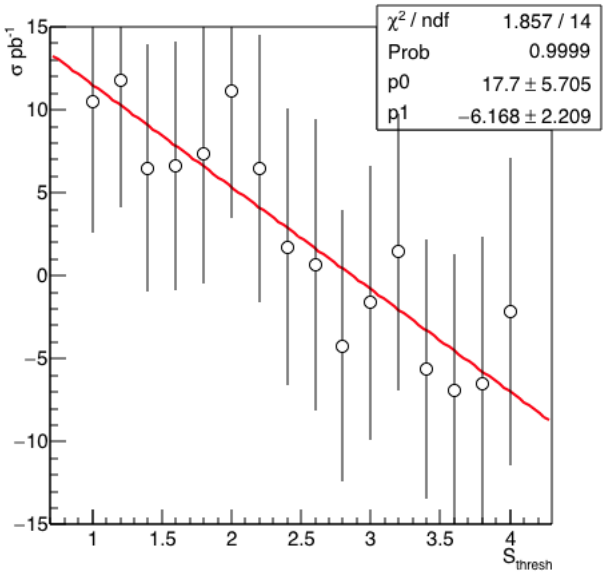
Systematic uncertainties (signal extraction)

$Bin\ 1$ | $Bin\ 2$ | $Total$

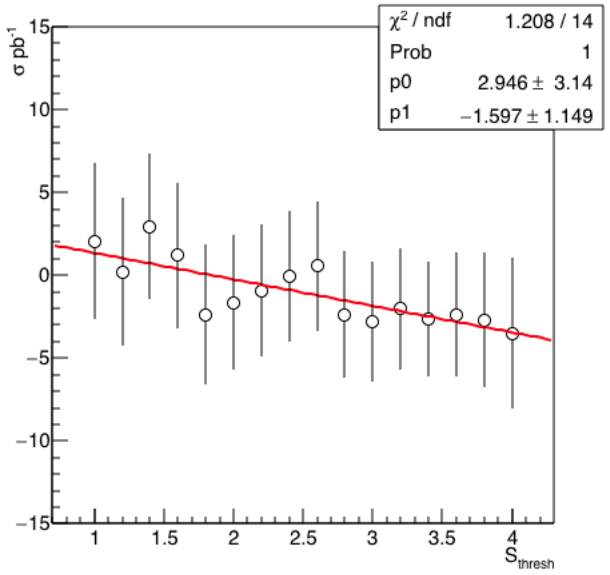
$\sigma^{e^+p}(S_{thresh})$

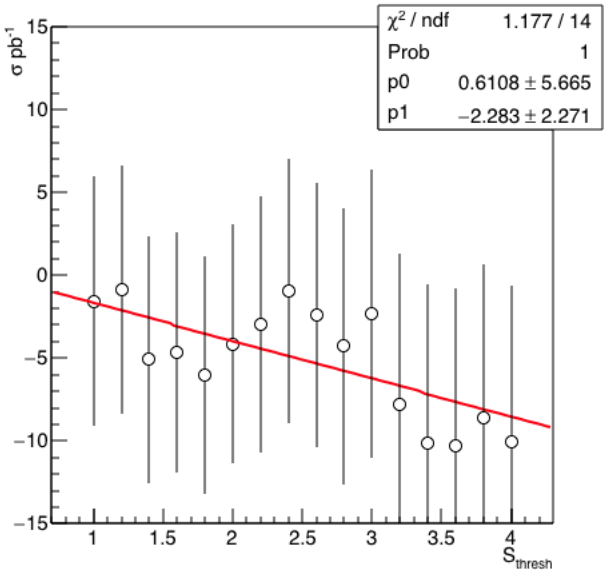


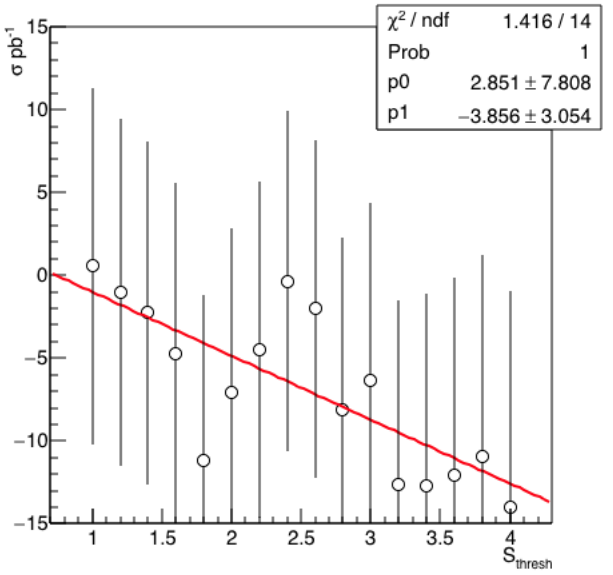




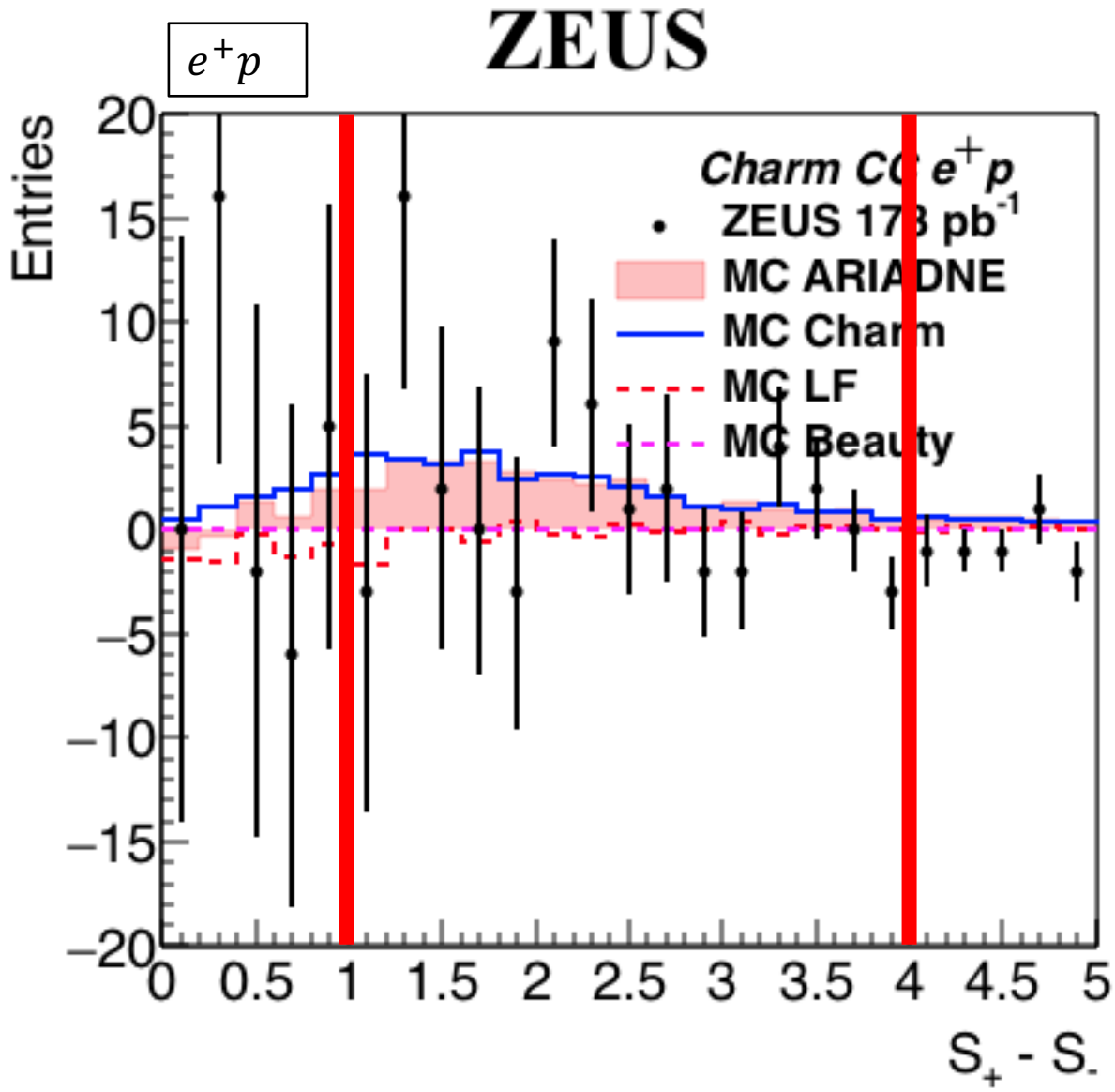
$\sigma^{e^-p}(S_{thresh})$

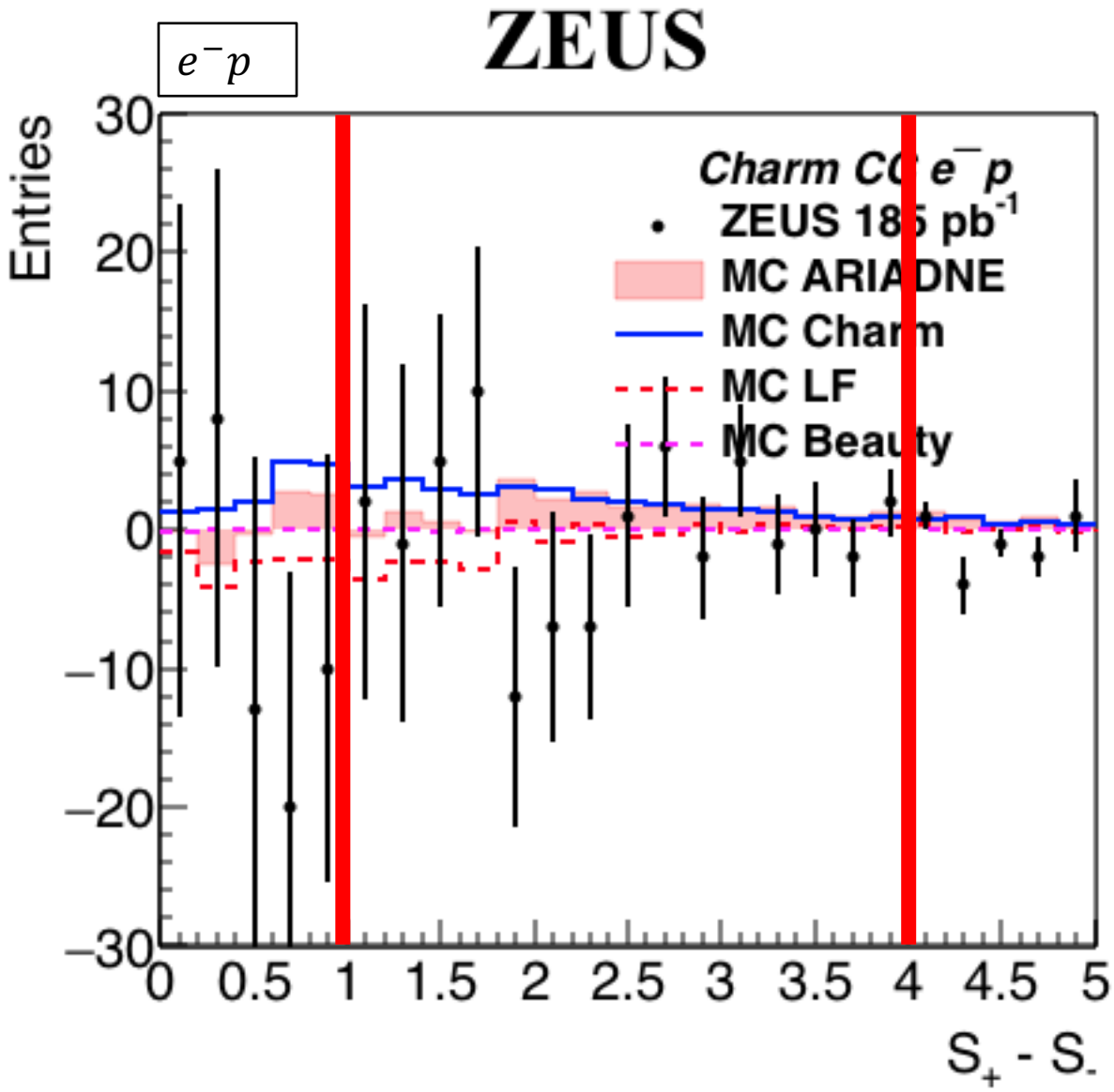




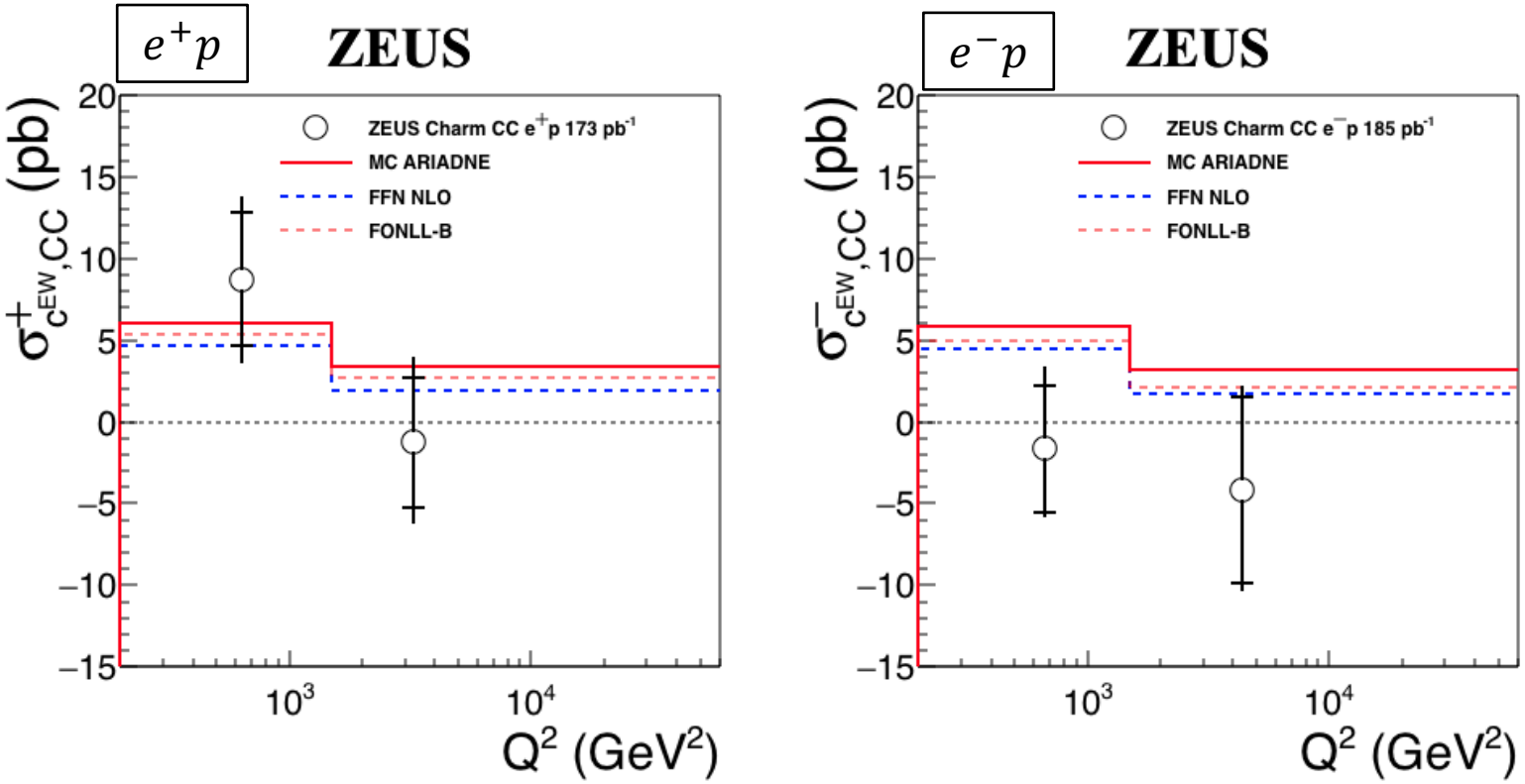


Mirrored significance distribution





Results



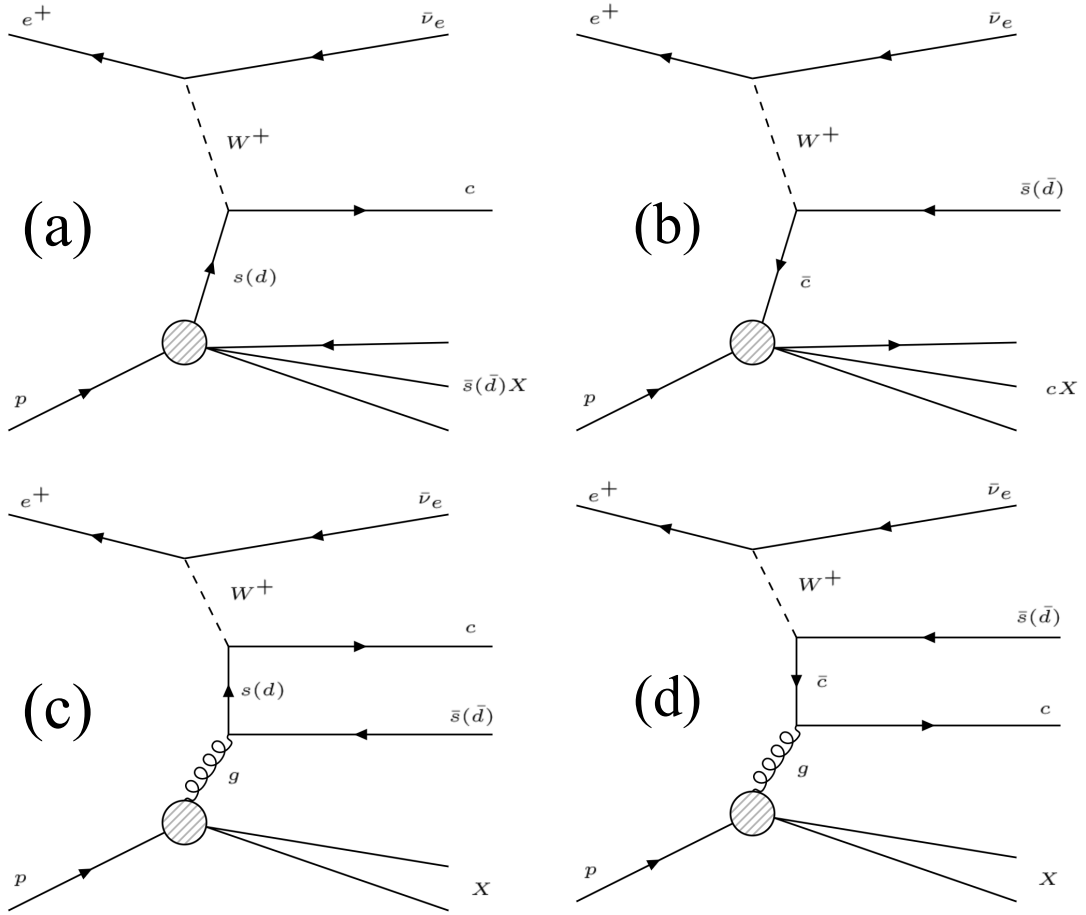
- 0304p & 0607p, 05e & 06e combined at the cross section level.
- (preliminary method) Total EW charm cross sections are measured to be

$$\sigma^+_{c^{EW}} = 11.1 \pm 7.3\ (stat)\ ^{+6.5}_{-6.4}\ (syst)\ pb$$

$$\sigma^-_{c^{EW}} = -7.1 \pm 8.8\ (stat)\ ^{+5.4}_{-3.9}\ (syst)\ pb$$

- FFN scheme:
  - ABMP16.3 NLO pdf set, OPENQCDRAD
- FONLL scheme:
  - NNPDF31 NLO pdf set, APFEL
- Both are interfaced in xFitter.

Theory predictions & recap of charm subprocesses



	$d \to c$	$s \to c$	$\bar{c} \to \bar{s}(\bar{d})$
MC	(a) + (c)	(a) + (c)	(b) + (d)
FFN	(a) + (c)	(a) + (c)	(d) w/ larger gluon content
FONLL	(a) + (c)	(a) + (c)	(b) + (d)

e^+p	$200 < Q^2 < 1500\,\mathrm{GeV}^2$			
	$\sigma_{c^{\mathrm{EW}}}(\mathrm{pb})$	$d \to c(\%)$	$s \to c(\%)$	$\bar{c} \to \bar{s}/\bar{d}$
MC	6.07 ± 0.29	6	36	58
FFN NLO	4.72 ± 0.05	8	49	43
FONLL-B	5.37 ± 0.21	8	43	50

e^+p	$1500 < Q^2 < 60000\,\mathrm{GeV}^2$			
	$\sigma_{c^{\mathrm{EW}}}(\mathrm{pb})$	$d \to c(\%)$	$s \to c(\%)$	$\bar{c} \to \bar{s}/\bar{d}$
MC	3.42 ± 0.32	10	26	64
FFN NLO	1.97 ± 0.03	16	43	41
FONLL-B	2.66 ± 0.23	12	37	51

e^-p	$200 < Q^2 < 1500\,\mathrm{GeV}^2$			
	$\sigma_{c^{\mathrm{EW}}}(\mathrm{pb})$	$\bar{d} \to \bar{c}(\%)$	$\bar{s} \to \bar{c}(\%)$	$c \to s/d$
MC	5.81 ± 0.40	3	37	60
FFN NLO	4.50 ± 0.05	4	51	45
FONLL-B	4.98 ± 0.22	4	43	54

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	$\sigma_{c^{\mathrm{EW}}}(\mathrm{pb})$	$\bar{d} \to \bar{c}(\%)$	$\bar{s} \to \bar{c}(\%)$	$c \to s/d$
MC	3.16 ± 0.52	2	29	69
FFN NLO	1.73 ± 0.03	5	49	46
FONLL-B	2.16 ± 0.22	4	33	63

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Q^2 range (GeV^2)	$\sigma_{c,\mathrm{vis}}$ (pb)
e^+p	
200–1500	4.1 ± 2.0 (stat.) $^{+1.5}_{-1.6}$ (syst.)
1500–60000	-0.7 ± 2.0 (stat.) $^{+1.7}_{-1.5}$ (syst.)
e^-p	
200–1500	-0.9 ± 2.1 (stat.) $^{+1.6}_{-0.9}$ (syst.)
1500–60000	-2.6 ± 3.5 (stat.) $^{+1.5}_{-1.4}$ (syst.)

Q^2 range (GeV^2)	$\sigma_{c^{\mathrm{EW}},\mathrm{vis}}$ (pb)
e^+p	
200–1500	3.9 ± 1.9 (stat.) $^{+1.5}_{-1.6}$ (syst.)
1500–60000	-0.6 ± 1.8 (stat.) $^{+1.5}_{-1.4}$ (syst.)
e^-p	
200–1500	-0.8 ± 1.9 (stat.) $^{+1.5}_{-0.8}$ (syst.)
1500–60000	-2.0 ± 2.7 (stat.) $^{+1.3}_{-1.2}$ (syst.)

Q^2 range (GeV^2)	$\sigma_{c^{\mathrm{EW}}}$ (pb)
e^+p	
200–1500	8.7 ± 4.1 (stat.) $^{+3.0}_{-3.3}$ (syst.)
1500–60000	-1.2 ± 4.0 (stat.) $^{+3.4}_{-3.0}$ (syst.)
e^-p	
200–1500	-1.6 ± 3.8 (stat.) $^{+2.9}_{-1.6}$ (syst.)
1500–60000	-4.2 ± 5.7 (stat.) $^{+2.6}_{-2.5}$ (syst.)

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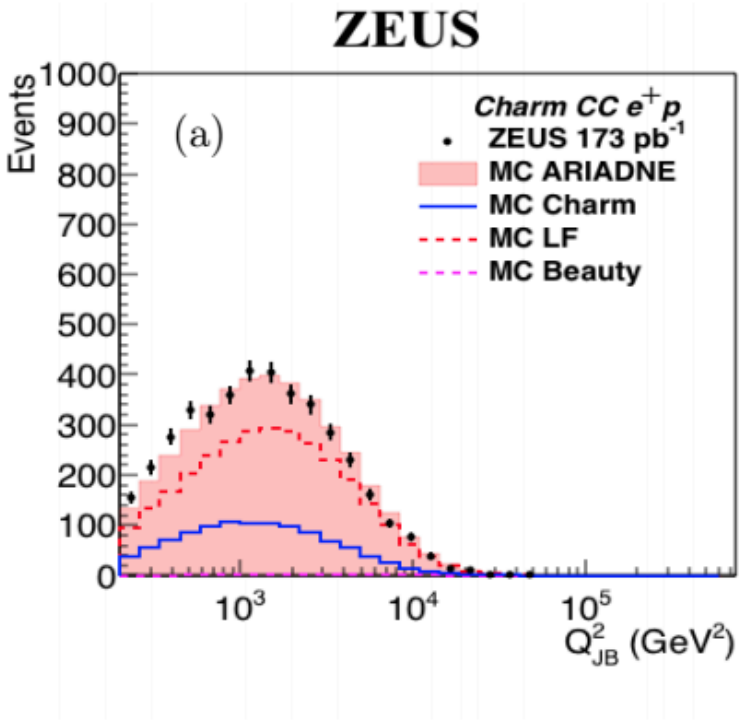

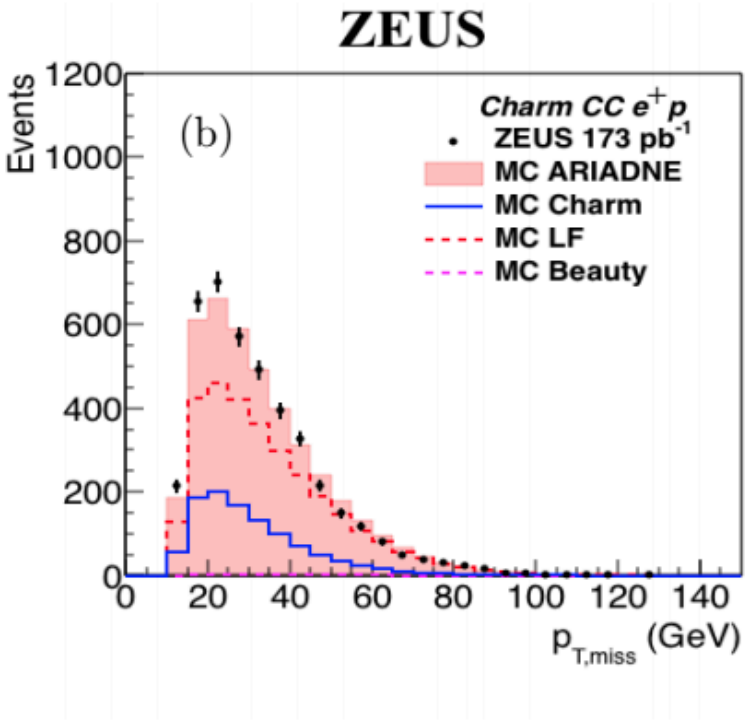

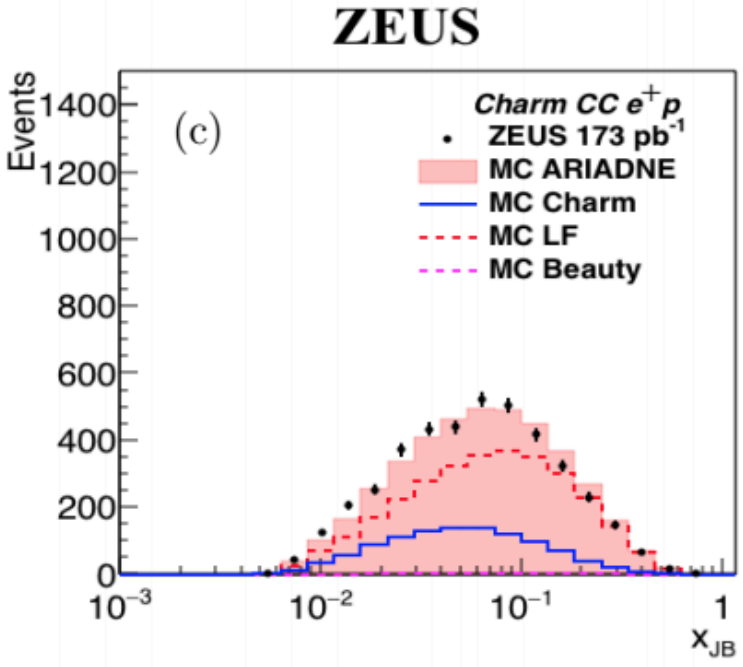

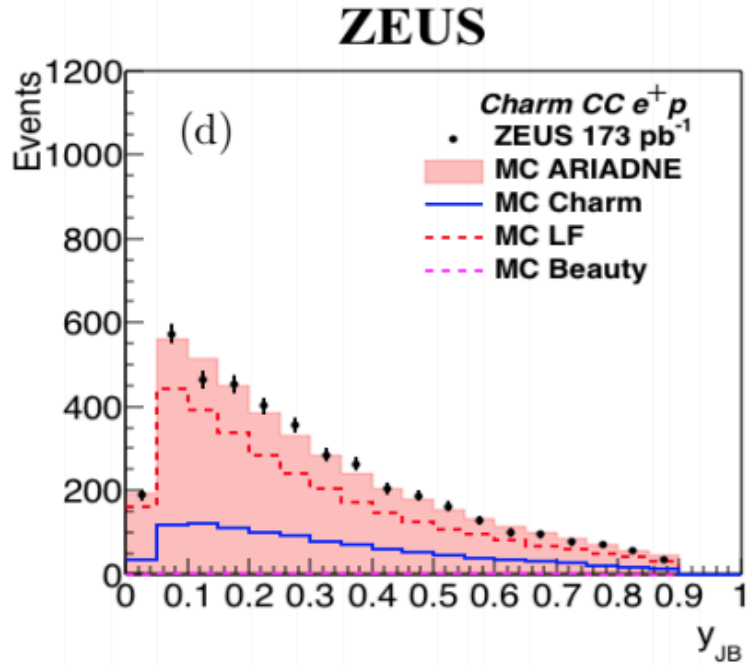

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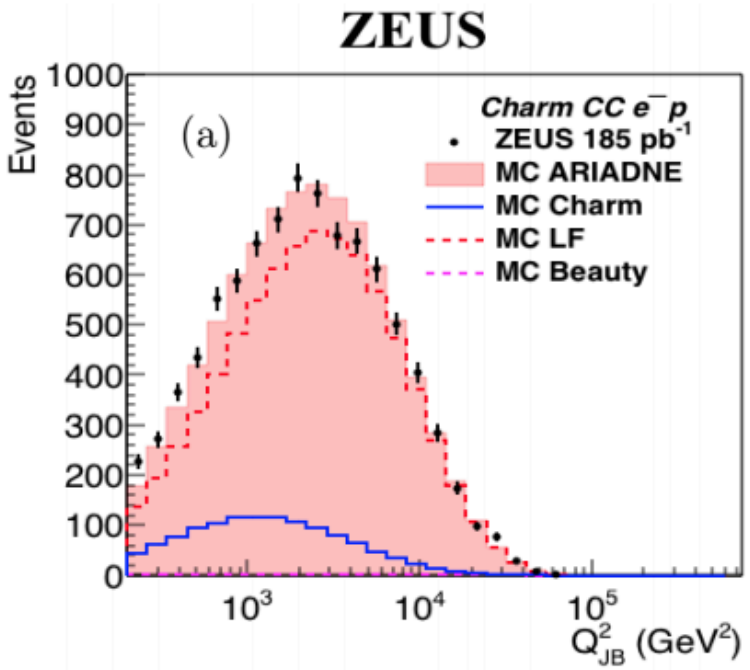

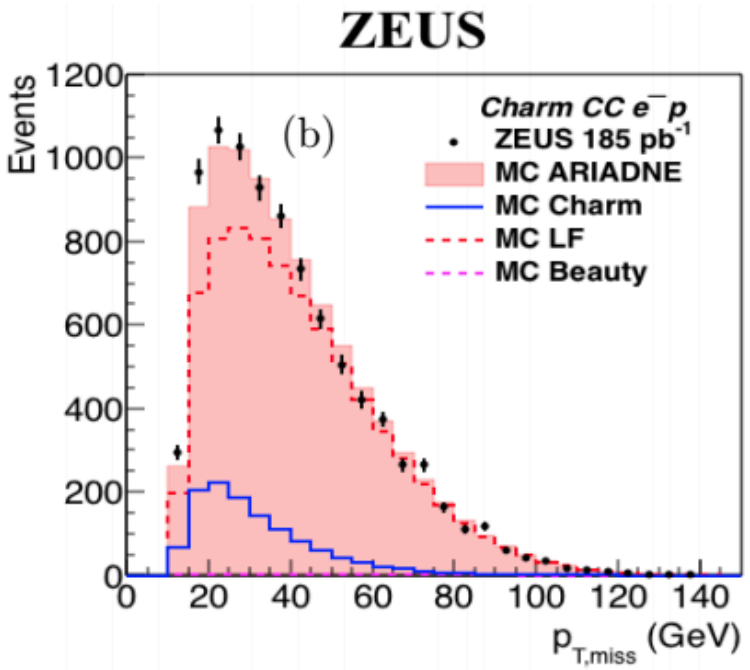

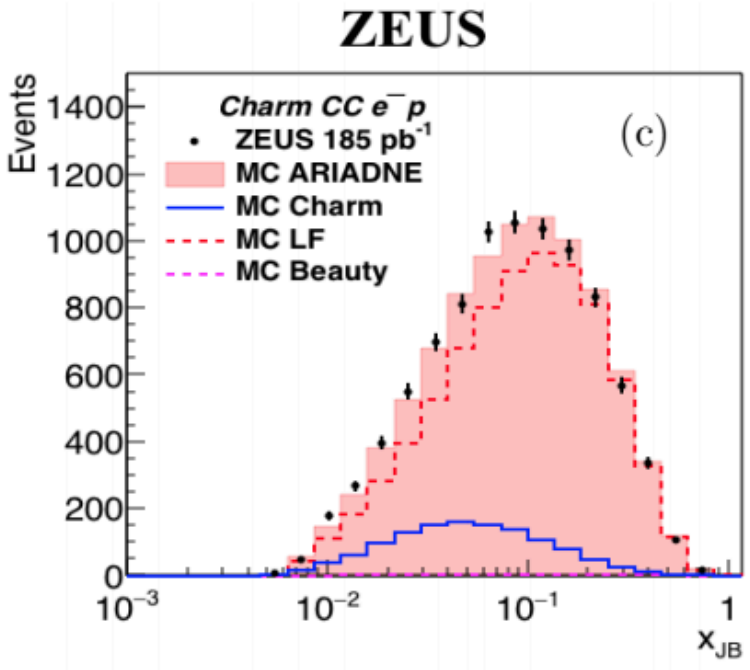

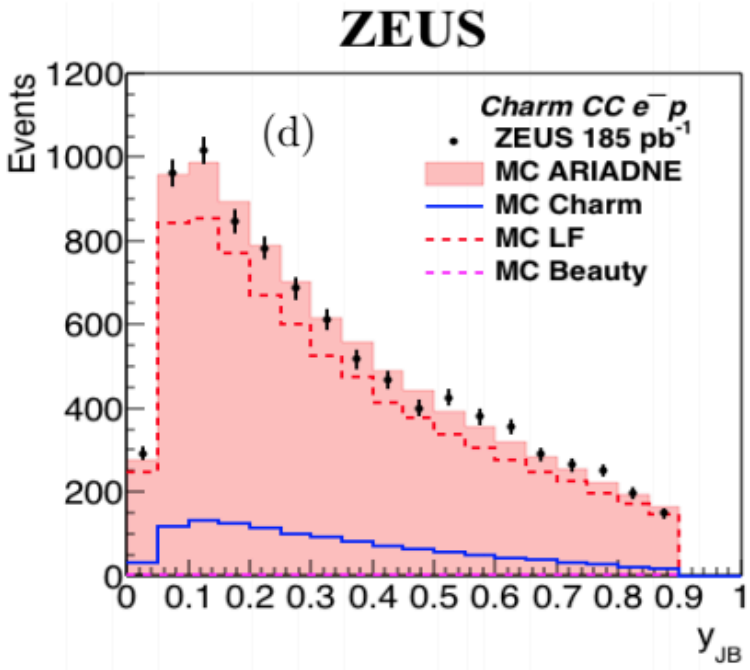

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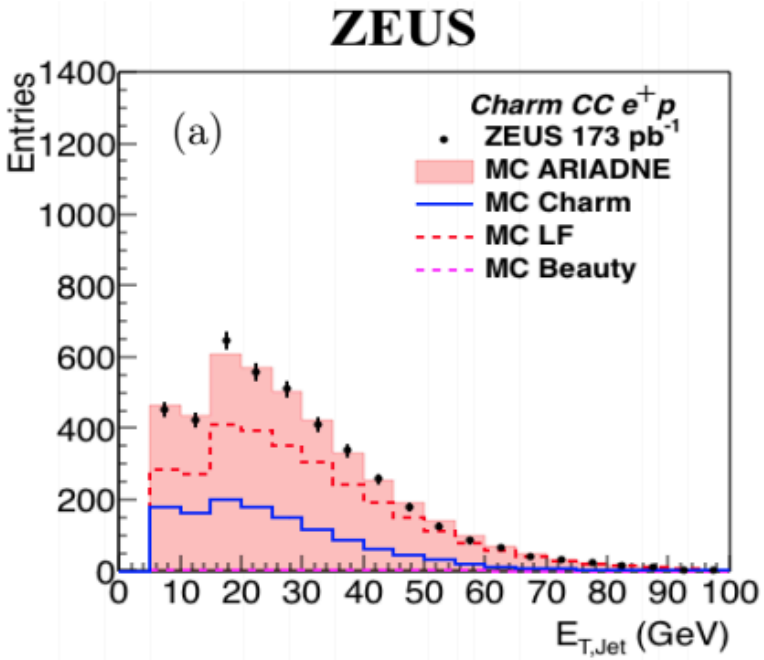

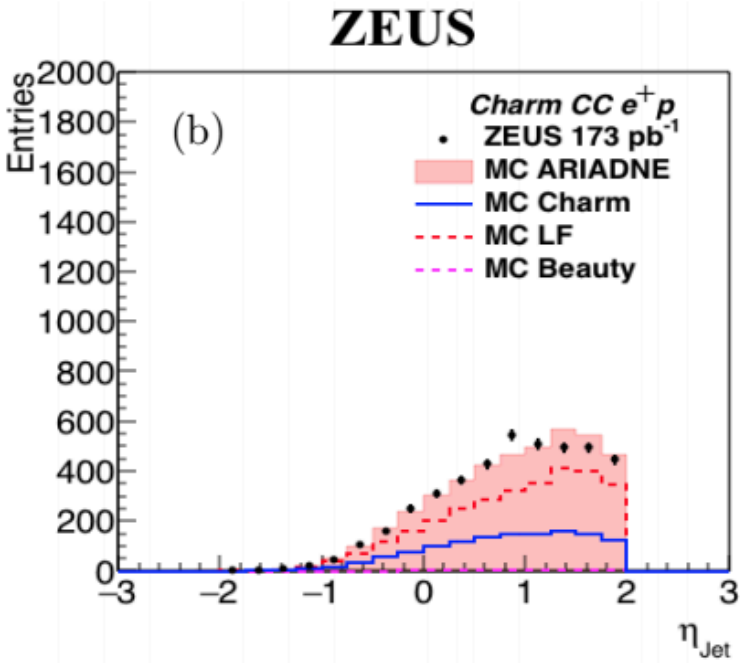

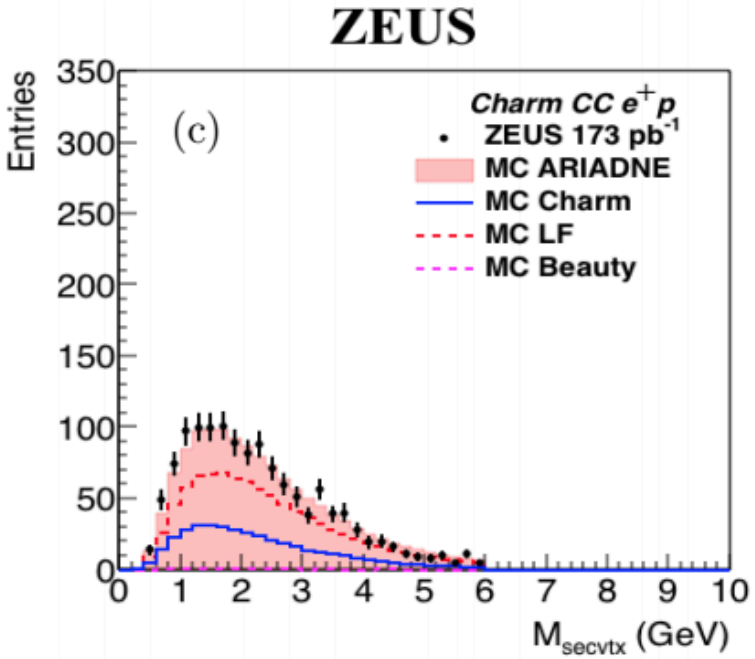

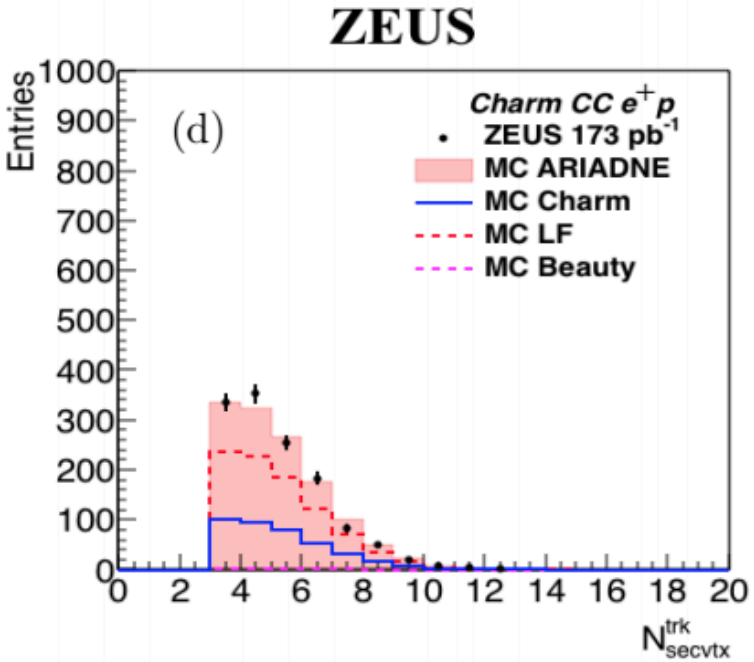

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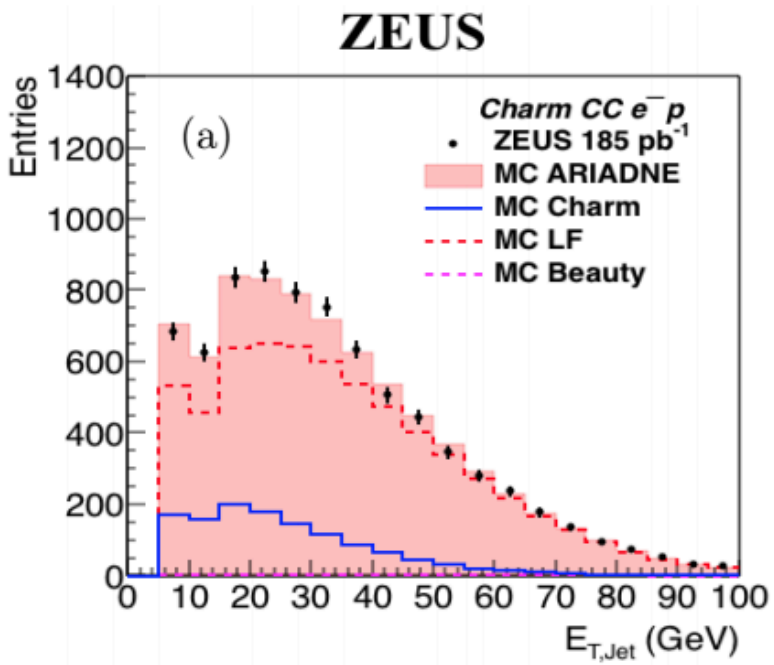

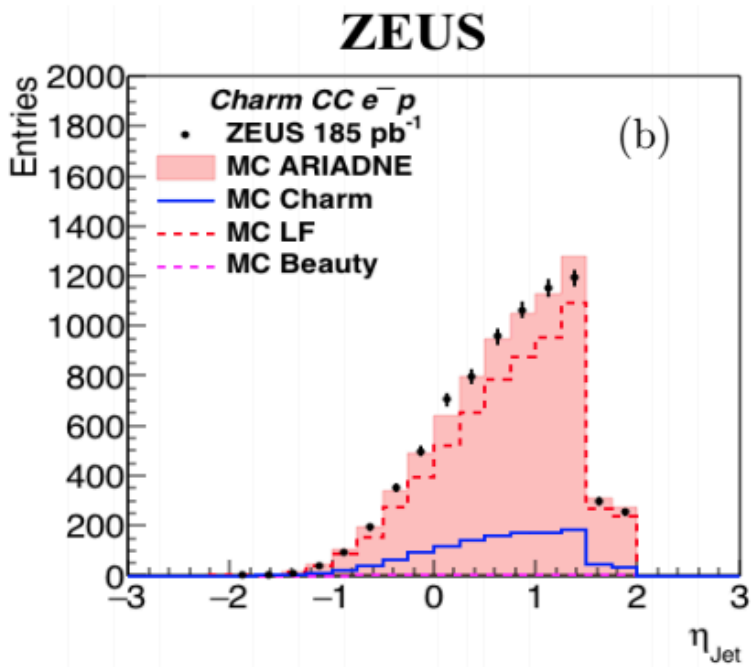

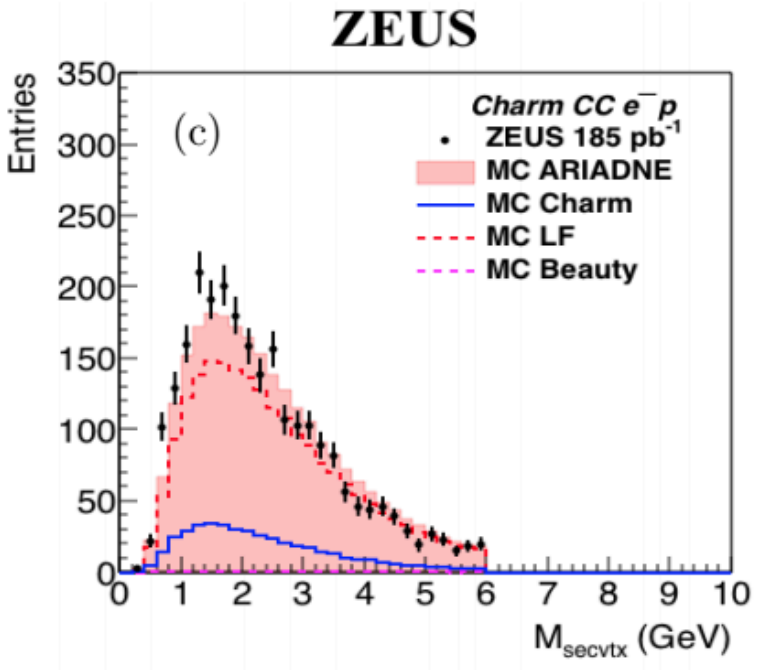

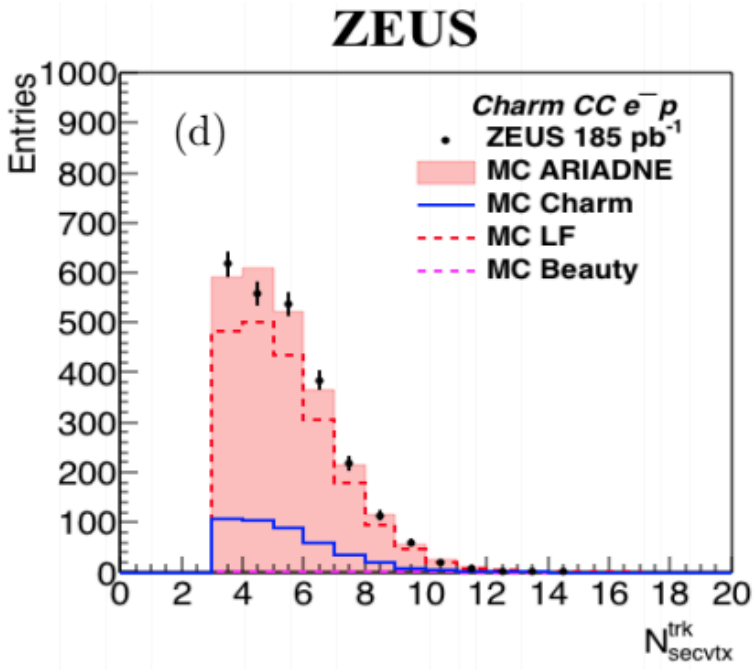

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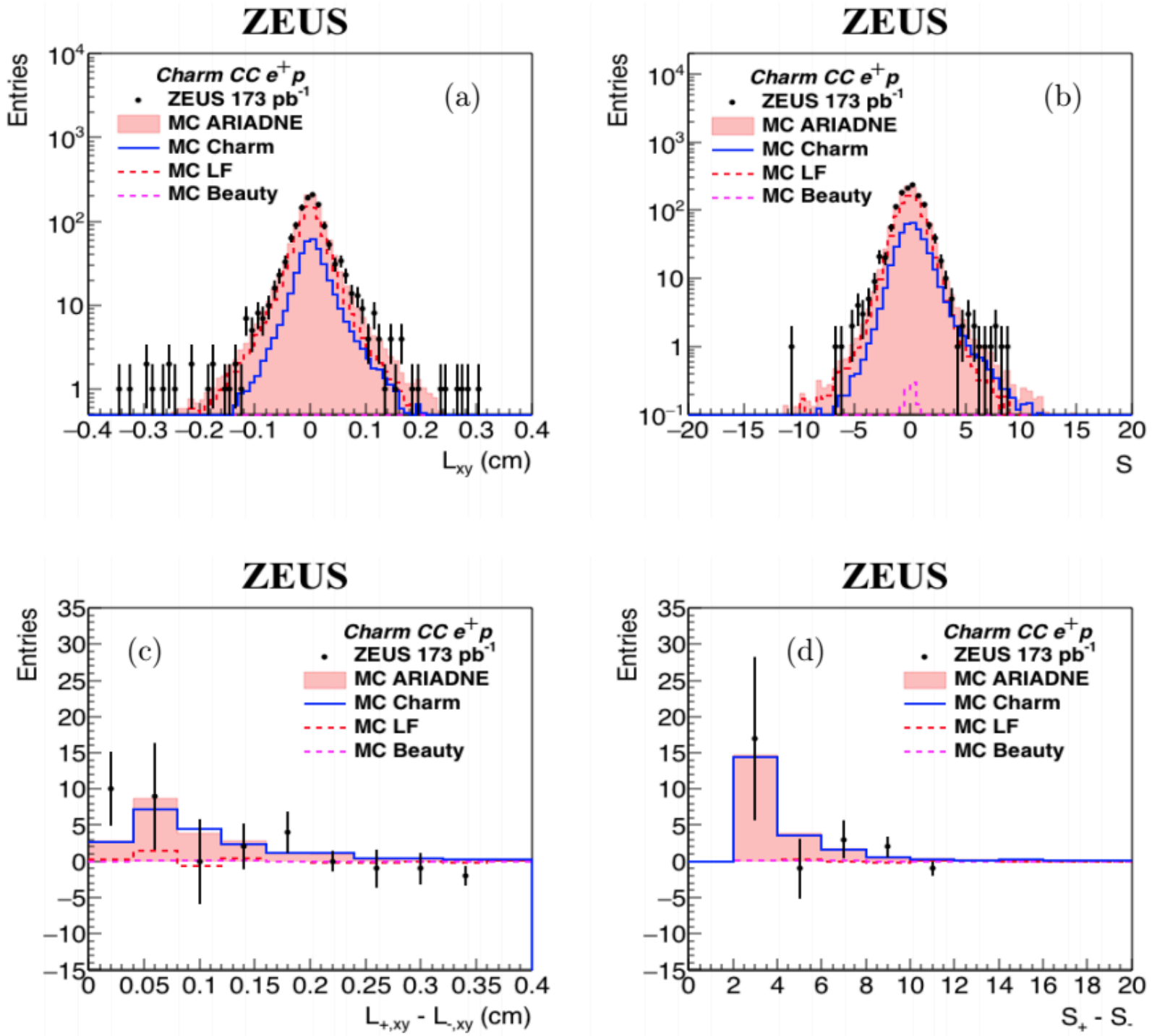

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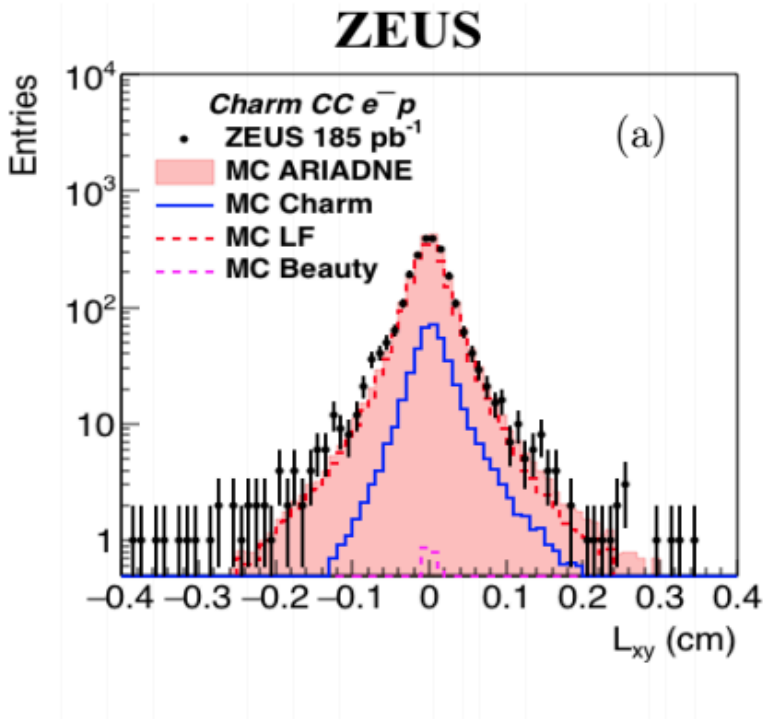
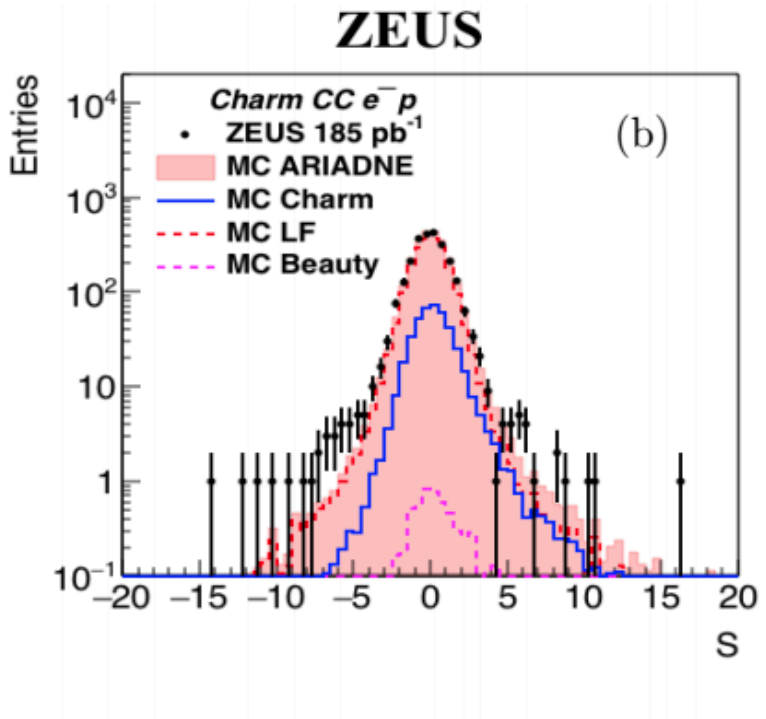
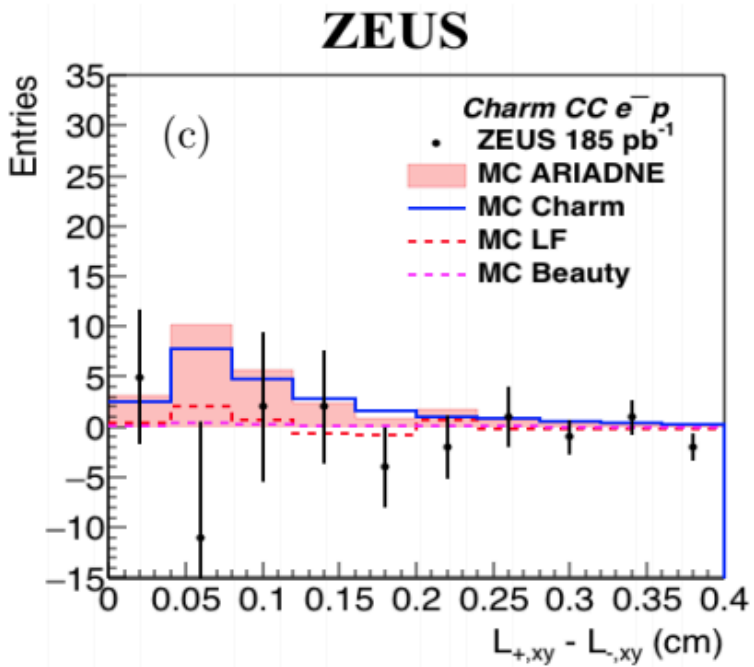
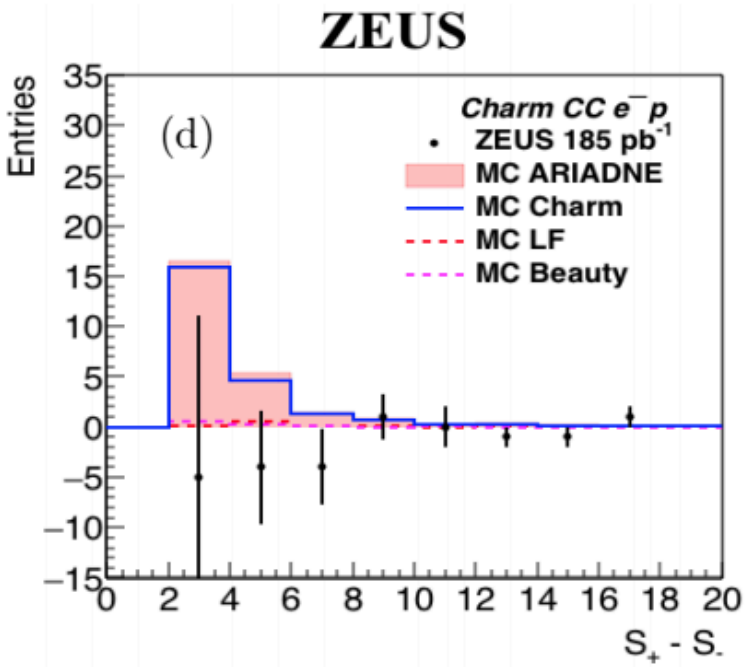
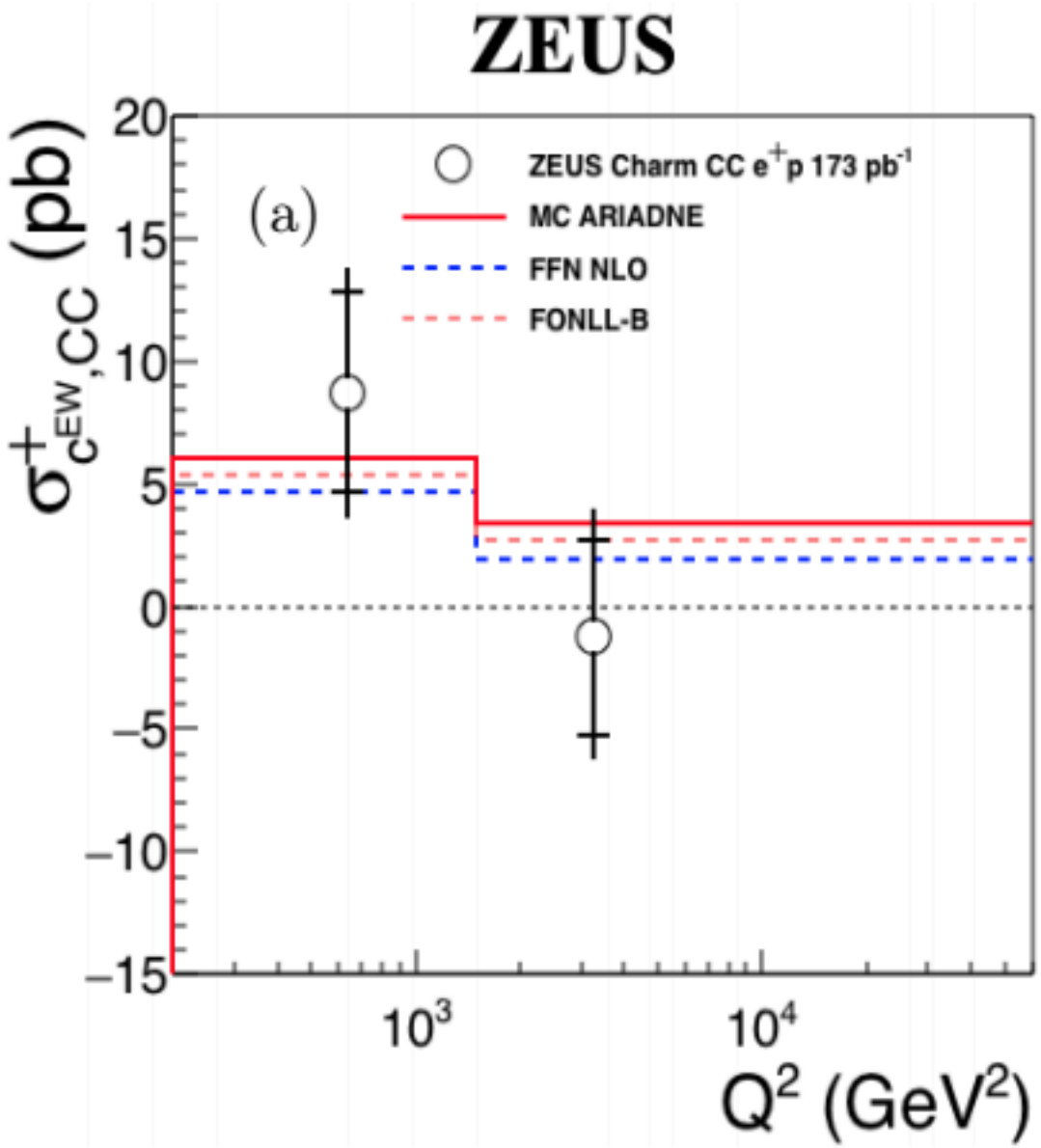


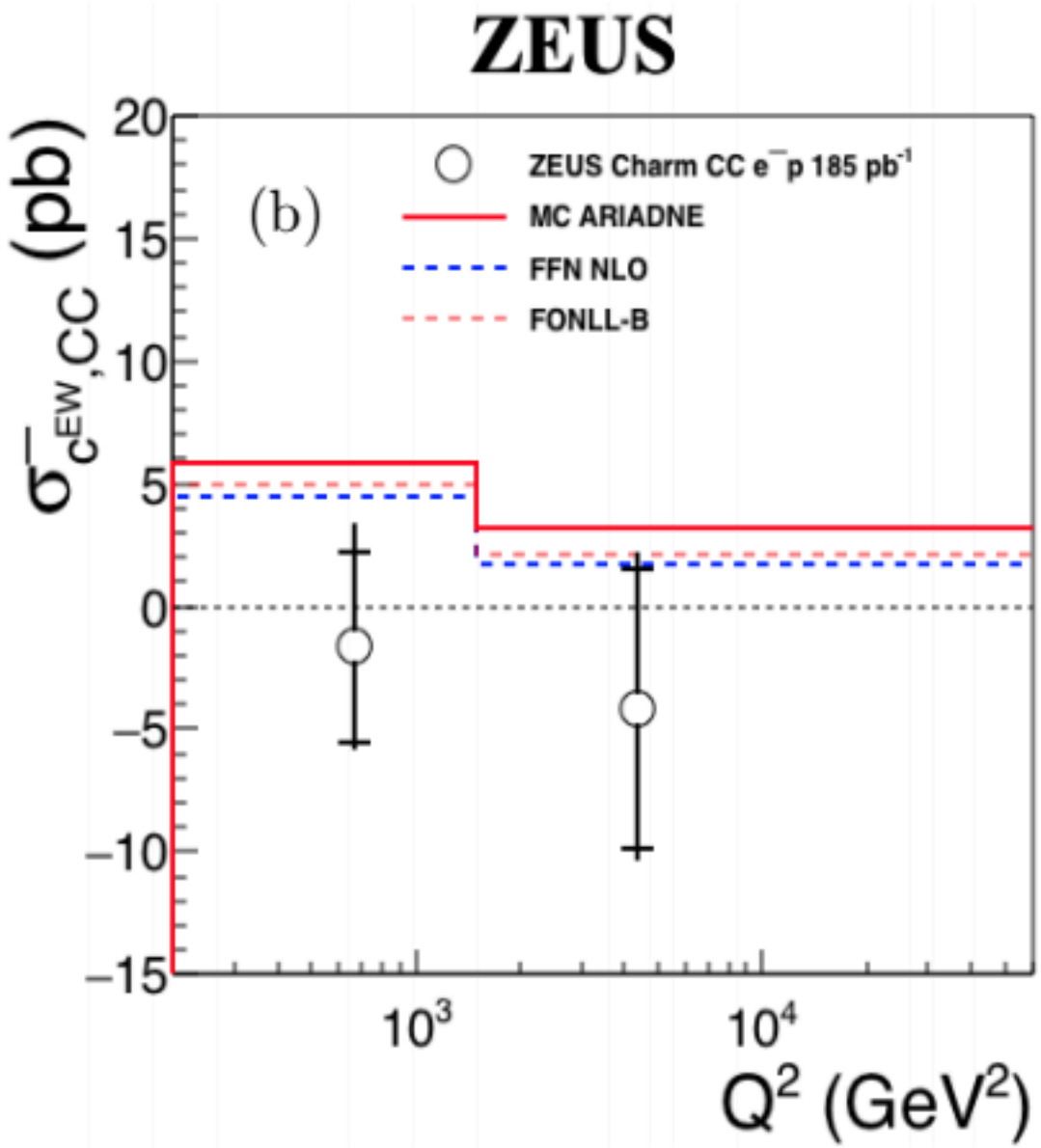






Requested for Paper





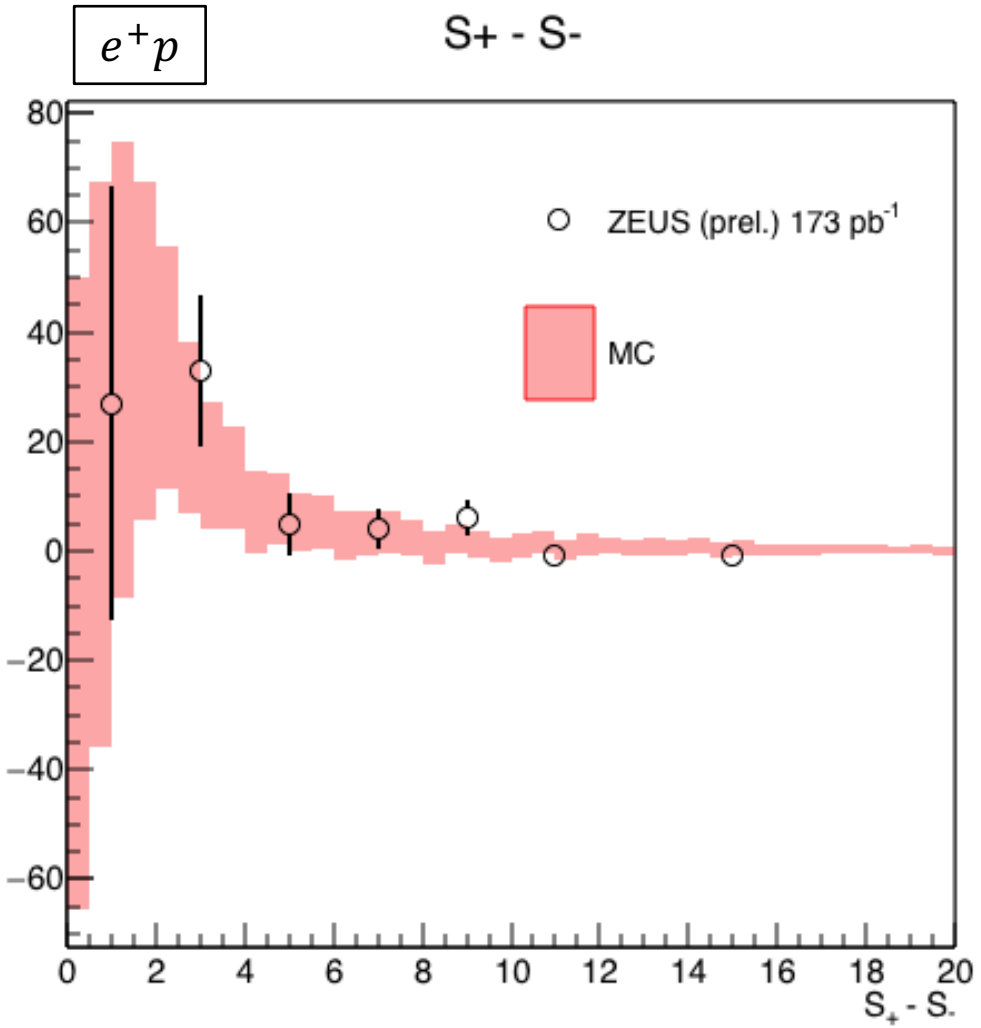
Summary

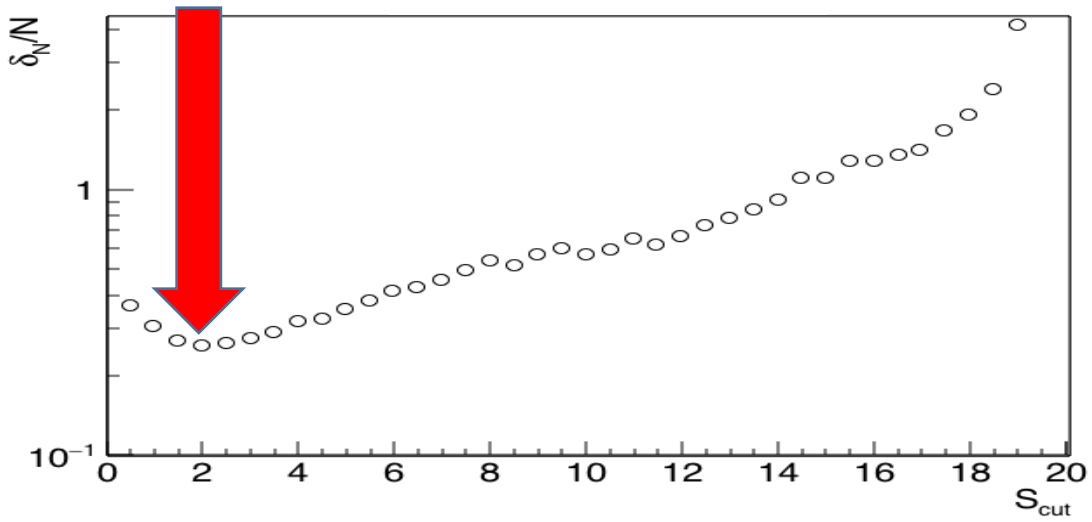
- Measurements on EW Charm production in CCDIS has been performed; separately for e^+p and e^-p.
  - EW charm production has been measured within a kinematic region $200 < Q^2 < 60000\ GeV^2, y < 0.9,\ E_T^{jet} > 5\ GeV\ and\ -2.5 < \eta^{jet} < 2.0$
  - Two major contributors are the QPM process $s \to c$ and BGF process $g \to c\bar{s}$ sharing about equal contribution.
  - New definition for visible cross sections.
  - Jet & secondary vertex control plots for the paper.

- Further discussion ...
  - Definitions of the kinematic variables. ** first order QED radiative correction & complete one-loop virtual corrections included in HERACLES.
  - Theory predictions to be included (ZMVFNS with HERAPDF2.0, ATLAS-eWZ16-EIG).
  - The correlations between the bins and how to account for them as systematic uncertainty.

Back Up

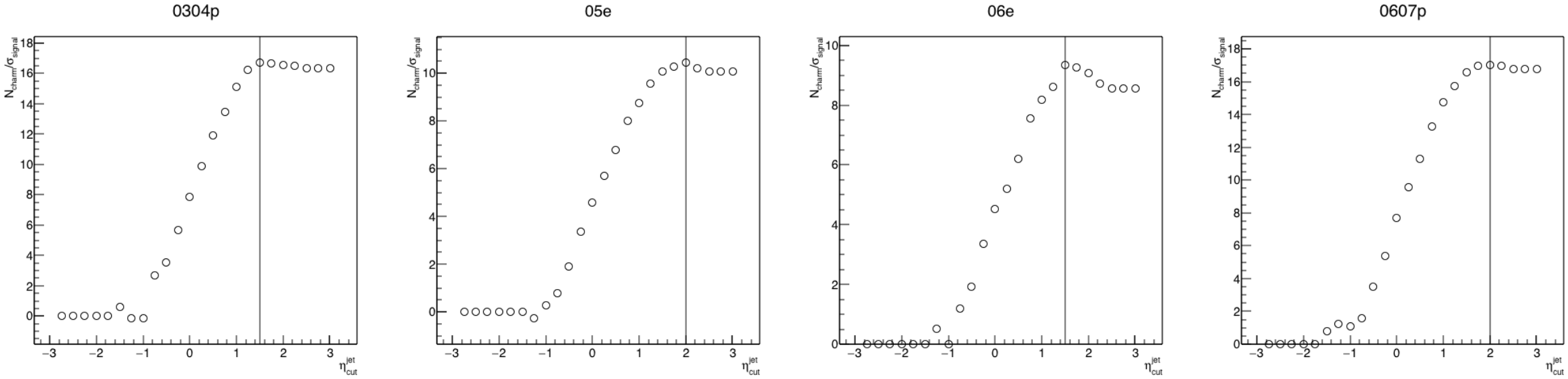
Determination of Significance Threshold





- The high symmetry and large statistics around $S \sim 0$ contributes to a large statistical uncertainty.
- A significance threshold cut was applied to reduce overall statistical uncertainty.
- From MC, the lowest δ/N is achieved if cut were to be applied at $S = 2$.

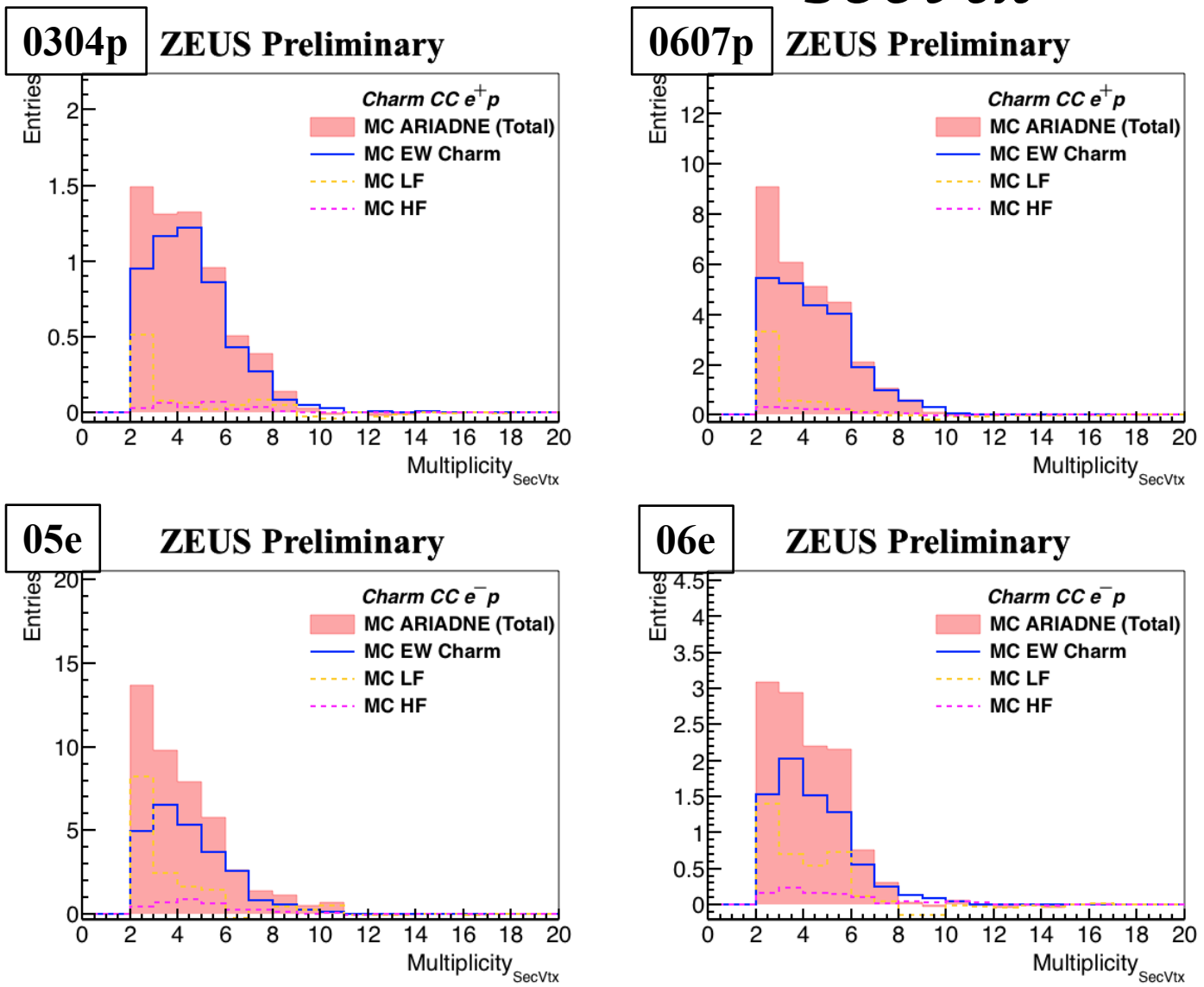
Determination of η^{jet} upper cut



- $\frac{N_{charm}^{MC,mir}}{\sigma_{signal}^{MC,mir}}$ projected from MC as functions of η_{cut}^{jet} per different run period.
  - Highlighted in red vertical lines are the cut locations that would yield the highest ratio.

- In this presentation, $\eta^{jet} < 1.5$ for 05e (STT coverage), $\eta^{jet} < 2.0$ for else.
  - If not placed on the optimal position, the new η^{jet} cut will not reduce statistical uncertainty significantly.

Determination of N_{secvtx}^{trk} cut

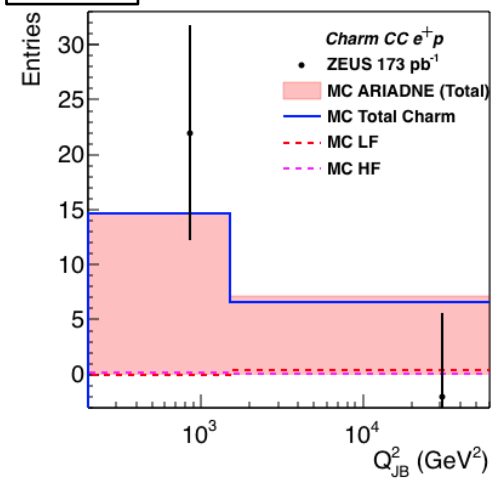


- A high concentration of LF background in low N_{secvtx}^{trk} region is observed across all run periods.
- A LF rejection cut was applied at $N_{secvtx}^{trk} > 2$.

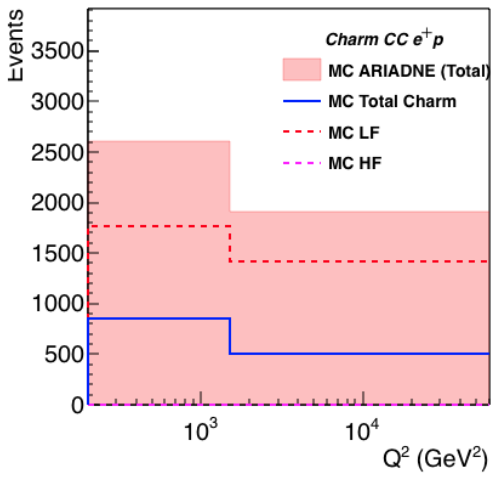
Charm signal & Charm generated (Nov 7)

e^+p

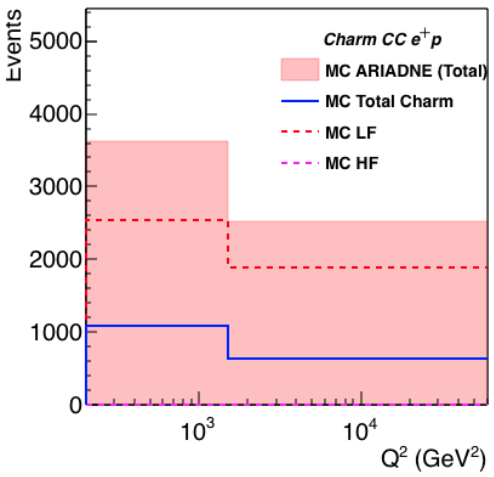
M_{signal}



N_{kin}

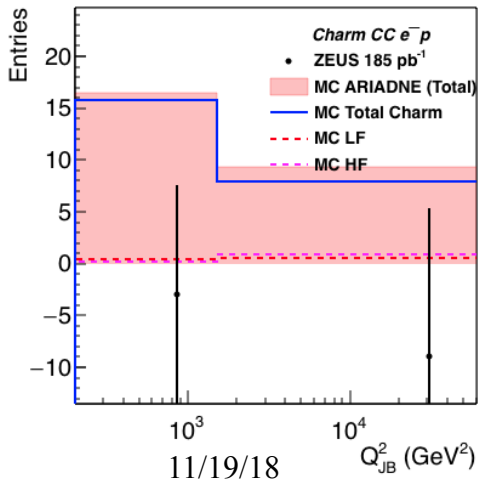


N_{gen}

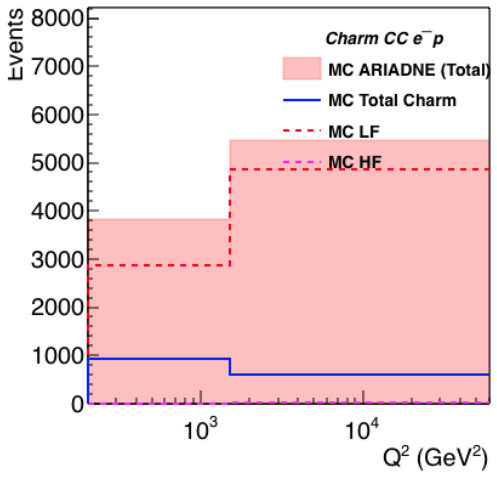


e^-p

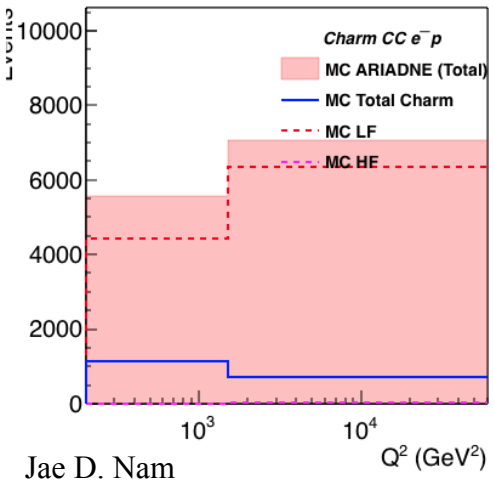
M_{signal}



N_{kin}



N_{gen}



- Visible total charm cross section:

$$\sigma_{c,vis} = \frac{M^{DATA} - M_{bg}^{MC}}{M_{charm}^{MC}} \frac{N_{kin}^{MC}}{L}$$

- Visible EW charm cross section:

$$\sigma_{c^{EW},vis} = \frac{N_{EW,gen}^{MC}}{N_{gen}^{MC}} \sigma_{c,vis}$$

- Absolute EW charm cross section:

$$\sigma_{c^{EW}} = \frac{N_{gen}^{MC}}{N_{kin}^{MC}} \sigma_{c^{EW},vis}$$

Reconstructed variables

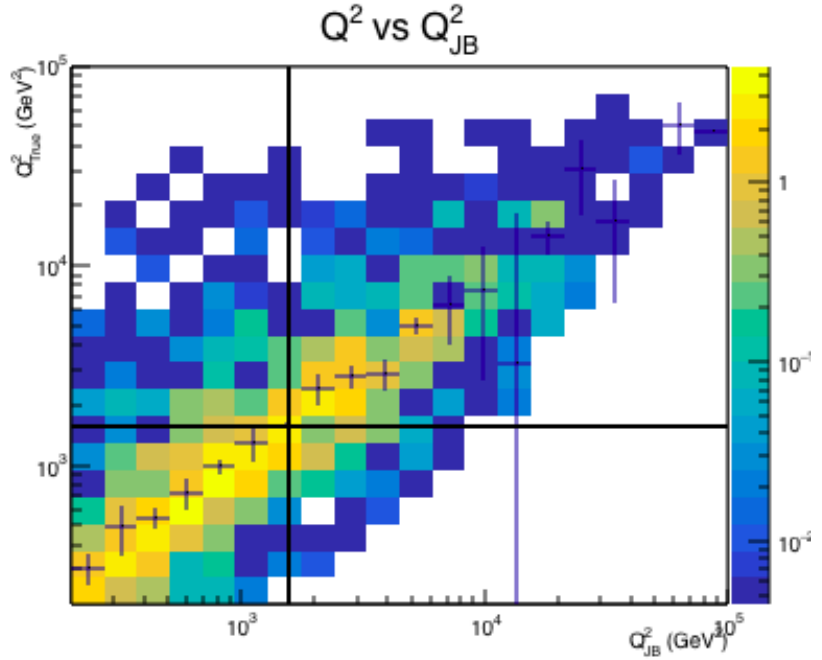
- Good agreement between True and Reconstructed Q^2

$$N_i = \sum_j C_{ij} M_j$$

$N_i = true\ number\ of\ entries\ in\ bin\ i$
$M_i = reconstructed\ number\ of\ entries\ in\ bin\ i$
$C_{ij} = correlation\ matrix\ element\ for\ bin\ i,j$

Collision	C_{11}	C_{22}
e^+p	0.99	1.01
e^-p	0.98	1.02

$e^+ p$



$e^- p$