# **Updates for KaTie**

#### Andreas van Hameren Institute of Nuclear Physics Polish Academy of Sciences Kraków

presented at the MCEGs for future ep and eA facilities 21-02-2019, DESY, Hamburg



- What does KaTie do?
- Off-shell amplitudes
- QED gauge invariance
- How to use KaTie

### What does KaTie do?

Let  $Y = \{y = y_1y_2 \rightarrow y_3y_4 \cdots y_n\}$  be a list of partonic processes contributing to a hadron-scattering process with a multi-jet final state, with differential cross section

$$d\sigma_{Y}(p_{1}, p_{2}; k_{3}, \dots, k_{2+n}) = \sum_{y \in Y} \int d^{4}k_{1} \mathcal{P}_{y_{1}}(k_{1}) \int d^{4}k_{2} \mathcal{P}_{y_{2}}(k_{2}) d\hat{\sigma}_{y}(k_{1}, k_{2}; k_{3}, \dots, k_{2+n})$$

Collinear factorization:

$$\mathcal{P}_{y_i}(k_i) = \int \frac{dx_i}{x_i} f_{y_i}(x_i, \mu) \, \delta^4(k_i - x_i p_i)$$

 $k_T$ -dependent factorization factorization:

$$\mathcal{P}_{y_i}(k_i) = \int \frac{d^2 \mathbf{k}_{iT}}{\pi} \int \frac{dx_i}{x_i} \mathcal{F}_{y_i}(x_i, |\mathbf{k}_{iT}|, \mu) \, \delta^4(k_i - x_i p_i - k_{iT})$$

Differential partonic cross section:

$$\begin{split} d\hat{\sigma}_{y}(k_{1},k_{2};k_{3},\ldots,k_{2+n}) &= d\Phi_{Y}(k_{1},k_{2};k_{3},\ldots,k_{2+n})\,\Theta_{Y}(k_{3},\ldots,k_{2+n}) \\ &\times \mathsf{flux}(k_{1},k_{2})\times \mathbb{S}_{y}\,|\mathcal{M}_{y}(k_{1},\ldots,k_{2+n})|^{2} \end{split}$$

KaTie creates tree-level event files corresponding to  $d\sigma_{\rm Y}$ , if supplied with f<sub>u</sub> and/or  $\mathcal{F}_{\rm u}$ .



# Dijet azimuthal de-correlation

The azimuthal de-correlations, that is the distribution of the angle in the transverse plane between the two hardest jets, for  $pp \rightarrow jj$  at 7 TeV (data: CMS 2011).

This observable has no distribution at LO (tree-level) in collinear factorization.

Red prediction: collinear factorization at NLO Blue prediction:  $k_T$ -dependent factorization at tree-level



### $k_{\rm T}$ and parton shower



momentum inbalance already at hard scattering, parton shower (CASCADE) unfolds  $k_T$ 





$$p_{A}^{\mu} = \Lambda p_{1}^{\mu} - \frac{\kappa_{1}^{*}}{2} \varepsilon_{1}^{*\mu}$$
$$p_{A'}^{\mu} = -(\Lambda - x_{1})p_{1}^{\mu} - \frac{\kappa_{1}}{2} \varepsilon_{1}^{\mu}$$

$$p_{A}^{2} = p_{A'}^{2} = 0 \qquad k_{1T}^{\mu} = -\frac{\kappa_{1}}{2} \varepsilon_{1}^{\mu} - \frac{\kappa_{1}^{*}}{2} \varepsilon_{1}^{*\mu}$$
$$p_{A}^{\mu} + p_{A'}^{\mu} = \kappa_{1} p_{1}^{\mu} - \frac{\kappa_{1}}{2} \varepsilon_{1}^{\mu} - \frac{\kappa_{1}^{*}}{2} \varepsilon_{1}^{*\mu} = k_{1}^{\mu}$$



AvH, Kutak, Kotko 2013 AvH, Kutak, Salwa 2013



AvH, Kutak, Kotko 2013 AvH, Kutak, Salwa 2013



Once you have *both* off-shell intial-state quarks *and* electro-weak interactions involved in the process, QED gauge invariance becomes an issue. Nefedov, Saleev 2018, Fadin, Sherman 1976.

Once you have *both* off-shell intial-state quarks *and* electro-weak interactions involved in the process, QED gauge invariance becomes an issue. Nefedov, Saleev 2018, Fadin, Sherman 1976.

• to ensure QCD gauge invariance with an off-shell gluon, an auxiliary quarkantiquark pair  $q_A$ ,  $\bar{q}_A$  must be included, plus the interaction vertex

 $q_A \; \bar{q}_A \; g$ 

Once you have *both* off-shell intial-state quarks *and* electro-weak interactions involved in the process, QED gauge invariance becomes an issue. Nefedov, Saleev 2018, Fadin, Sherman 1976.

• to ensure QCD gauge invariance with an off-shell gluon, an auxiliary quarkantiquark pair  $q_A$ ,  $\bar{q}_A$  must be included, plus the interaction vertex

#### $q_A \; \bar{q}_A \; g$

• to ensure QCD gauge invariance with an off-shell (anti)quark, an auxiliary quarkantiquark pair  $u_A$ ,  $\bar{u}_A$  must be included (for each flavor), plus an auxiliary photon  $\gamma_A$ , plus interaction vertices

 $u_A\,\gamma_A\bar{u}\ ,\ \bar{u}_A\,\gamma_A\,u\ ,\ u_A\,\bar{u}_A\,g$ 

Once you have *both* off-shell intial-state quarks *and* electro-weak interactions involved in the process, QED gauge invariance becomes an issue. Nefedov, Saleev 2018, Fadin, Sherman 1976.

• to ensure QCD gauge invariance with an off-shell gluon, an auxiliary quarkantiquark pair  $q_A$ ,  $\bar{q}_A$  must be included, plus the interaction vertex

#### $q_A \; \bar{q}_A \; g$

• to ensure QCD gauge invariance with an off-shell (anti)quark, an auxiliary quarkantiquark pair  $u_A, \bar{u}_A$  must be included (for each flavor), plus an auxiliary photon  $\gamma_A$ , plus interaction vertices

#### $u_A\,\gamma_A\bar{u}\ ,\ \bar{u}_A\,\gamma_A\,u\ ,\ u_A\,\bar{u}_A\,g$

• to ensure QED gauge invariance wuth an off-shell (anti)quark, the following vertices must also be included (for each family)

 $u_A \, \bar{u}_A \, \gamma \ , \ u_A \, \bar{u}_A \, Z \ , \ d_A \, \bar{d}_A \, \gamma \ , \ d_A \, \bar{d}_A \, Z \ , \ u_A \, \bar{d}_A \, W^- \ , \ \bar{u}_A \, d_A \, W^+$ 

Once you have *both* off-shell intial-state quarks *and* electro-weak interactions involved in the process, QED gauge invariance becomes an issue. Nefedov, Saleev 2018, Fadin, Sherman 1976.

• to ensure QCD gauge invariance with an off-shell gluon, an auxiliary quarkantiquark pair  $q_A$ ,  $\bar{q}_A$  must be included, plus the interaction vertex

#### $q_A \; \bar{q}_A \; g$

• to ensure QCD gauge invariance with an off-shell (anti)quark, an auxiliary quarkantiquark pair  $u_A$ ,  $\bar{u}_A$  must be included (for each flavor), plus an auxiliary photon  $\gamma_A$ , plus interaction vertices

#### $u_A\,\gamma_A\bar{u}\ ,\ \bar{u}_A\,\gamma_A\,u\ ,\ u_A\,\bar{u}_A\,g$

• to ensure QED gauge invariance wuth an off-shell (anti)quark, the following vertices must also be included (for each family)

 $u_A \, \bar{u}_A \, \gamma \ , \ u_A \, \bar{u}_A \, Z \ , \ d_A \, \bar{d}_A \, \gamma \ , \ d_A \, \bar{d}_A \, Z \ , \ u_A \, \bar{d}_A \, W^- \ , \ \bar{u}_A \, d_A \, W^+$ 

Duplicate auxiliary partons  $(q_B, u_B, \gamma_B, \text{ etc.})$  in case of two off-shell.

QED gauge invariance for  $\mathfrak{u}^*g^* \to \mathfrak{u}\gamma$  for example



Graphs with the on-shell topology.

QED gauge invariance for  $\mathfrak{u}^*g^* \to \mathfrak{u}\gamma$  for example



Graphs with the on-shell topology.

Extra graph if you allow for the  $(u_A \bar{u}_A g)$ -vertex to ensure QCD gauge invariance.

QED gauge invariance for  $\mathfrak{u}^*g^* \to \mathfrak{u}\gamma$  for example



Graphs with the on-shell topology.

Extra graph if you allow for the  $(u_A \bar{u}_A g)$ -vertex to ensure QCD gauge invariance. Extra graphs if you allow for the  $(u_A \bar{u}_A \gamma)$ -vertex to ensure QED gauge invariance.

# QED gauge invariance for $u^*u^* \rightarrow W^+W^-$ for example



 $\mathfrak{u}_{\mathrm{B}}$   $\longrightarrow$   $\gamma_{\mathrm{B}}$ 



# QED gauge invariance for $u^*u^* \rightarrow W^+W^-$ for example



Graphs with the on-shell topology.

# QED gauge invariance for $u^*u^* \rightarrow W^+W^-$ for example



Graphs composing the Fadin-Sherman vertex.

# How to use KaTie

# Installation

#### Download repositories from

https://bitbucket.org/hameren/katie/downloads https://bitbucket.org/hameren/avhlib/downloads

and unzip files. Open settings.py inside the KaTie-directory and set paths:

```
Path to the AVHLIB-directory you just unzipped:
AVHLIBpath = '/home/user123/software/hameren-avhlib-xxxx'
Path to the directory where libLHAPDF.so is:
LHAPDFpath = '/usr/lib'
Fortran compiler with flags:
FC = 'gfortran -fcheck=bounds'
If you want to use TMDlib, the path to the directory where libTMDlib.so is:
TMDLIBpath = '/usr/local/lib'
If you want to use TMDlib, the path to the directory where libgsl.so is:
GSLpath = '/usr/lib'
```

Now, inside the KaTie-directory, execute

\$ ./config.py lib

You can always re-compile with \$ ./run.sh clean; ./run.sh lib

### Input file: processes

The user must explicitly list all desired parton-level processes:

process = g g  $\rightarrow$  g g g , factor = 1 process = g g  $\rightarrow$  g u u~ , factor = Nf process = u d~  $\rightarrow$  g u d~ , factor = 1

The value of Nf is set with

Nflavors = 4

### Input file: processes

The user must explicitly list all desired parton-level processes:

```
process = g g \rightarrow g g g , factor = 1
process = g g \rightarrow g q q~ , factor = Nf
process = q r \rightarrow g q r , factor = 1
```

The value of Nf is set with

```
Nflavors = 4
```

To sum over initial-state partons with identical matrix elements:

```
partlumi = combined
```

### Input file: processes

The user must explicitly list all desired parton-level processes:

```
process = g g \rightarrow g g g , factor = 1
process = g g \rightarrow g u u~ , factor = Nf
process = u d~ \rightarrow g u d~ , factor = 1
```

The value of Nf is set with

Nflavors = 4

Interactions can be switched on/off with

```
switch = withQCD yes
switch = withQED yes
switch = withWeak yes
switch = withHiggs no
switch = withHG no
switch = withHA no
```

and the user must set the number of non-QCD vertices in the amplitudes, eg.

```
pNonQCD = 2 0 0 # Electro-Weak Higgs-gluon Higgs-photon
to have stricktly O(\alpha_{EW}^2 \alpha_S^2) contributions in
process = u u~ -> mu+ mu- d d~
```

# Input file: PDFs

lhapdf-set (always necessary for the evaluation of  $\alpha_S$ ):

lhaSet = CT10

Which initial-state partons should be off-shell?

```
offshell = 1 0 # eg. g* g -> ...
```

TMDlib-set:

```
TMDlibSet = PB-TMDNLO-HERAI+II-2018-aspt
```

or alternatively, refer to grid-files explicitly:

```
TMDtableDir = /home/user123/software/tmdlib-1.0.29/data/PB-NL0-2018/
tmdpdf = g PB-TMDNL0-HERAI+II-2018-aspt_g.dat
tmdpdf = u PB-TMDNL0-HERAI+II-2018-aspt_u.dat
tmdpdf = d~ PB-TMDNL0-HERAI+II-2018-aspt_dbar.dat
```

If different sets for two off-shell partons are desired (labelling B A -> 1 2 3 ...):

TMDtableDir = /home/user123/software/tmdlib-1.0.29/data/PB-NL0-2018/ tmdpdf A = g PB-TMDNL0-HERAI+II-2018-aspt\_g.dat TMDtableDir = /home/user123/software/tmdlib-1.0.29/data/nCTEQ15FullNuc\_208\_82/ tmdpdf B = g PB-aspt\_g.dat

# Input file: kinematics

```
Beam energies:
EbeamA = 7000 # also EbeamPosRap or EbeamHadron
EbeamB = 7000 # also EbeamNegRap or EbeamElectron
Inclusive cuts (final-state momenta enumerated as in B A -> 1 2 3...):
cut = {deltaR|2,3|}
cut = {pT|2|} > 20
cut = {rapidity|2|} > -2.0
cut = {rapidity|2|} < 2.0</pre>
```

More complicated cuts are possible, even by providing explicit "almost"-source code:

```
cut source = if ({rapidity|1|}.gt.{rapidity|2|}) then
cut source = if ({pT|2|}.lt.30d0) REJECT
cut source = endif
```

To set all hard scales to the same variable:

```
scale = ({pT|1|}+{pT|2|}+{pT|3|})/2
```

This can be overruled with

```
renormalization scale = ({pT|1|}+{pT|2|}+{pT|3|})/4
scaleA = 91.2d0
scaleB = {pT|1|}+{pT|2|}
```

### Deep inelastic scattering

Put processes in input file as (for example for  $e^- p \rightarrow jj e^-$ ):

```
process = DIS g -> u u~
process = DIS u -> g u
process = DIS d~ -> g d~
```

The user must make sure that the number of EW couplings is correct, so at least

pNonQCD = 2 0 0

Cuts involving the final-state electron:

```
cut = {energy|electron|} > 11
cut = {theta|electron|} > 30
cut = {plus|1+2+electron|} > 35 # plus=E-pz
```

Other variables typically necessary for DIS:

```
cut = {xBjorken} < 0.5
cut = {Qsquare} > 150
cut = {inelast} > 0.2
cut = {inelast} < 0.7
cut = {deltaRbreit|1,2|} > 1.0
cut = {pTbreit|1|} > 5
cut = {pTbreit|2|} > 5
```



# Preparation and optimization

Make the run-script available somehow, eg. via

```
$ ln -s -T ~/bin/KaTie ~/software/hameren-katie-xxxx/run.sh
```

Given an input file input\_example, a directory playground with the necessary compiled programs is created with

#### \$ KaTie prepare input\_example playground

The directory playground contains a directory for each process in input\_example containing a phase space generator that must be optimized:

\$ cd playground

```
$ ./optimize.sh Nparallel=4 # run only 4 processes at the same time
```

The optimization process can be monitored with for example

```
$ tail -f proc*/output
```

and should reach a few percent of statistical precision

```
MESSAGE from Kaleu stats: Ntot = 11,563,078
MESSAGE from Kaleu stats: + 100,000 (.25667397+/-.00605831)E+02 2.360%
MESSAGE from Kaleu stats: stopping optimization, re-starting collection
If 100,000 accepted phase space points appears to be too few, set, for example,
Noptim = 400,000
in the input file, and re-prepare. You can also edit optimize.sh.
```

# Event generation

After optimization, you can run several instances of the the main.out in playground:

- \$ nohup ./main.out seed=123401 > output1234501 &
- \$ nohup ./main.out seed=123402 > output1234502 &

will create "proto" event files raw123401.dat and raw123402.dat. These are turned into a single event file in the LHEF format with

\$ ./create\_eventfile.out lhef raw\*

The source file create\_eventfile.f90 can be edited, for example for event re-weighting,

#### Template create\_eventfile.f90

# Event generation

After optimization, you can run several instances of the the main.out in playground:

- \$ nohup ./main.out seed=123401 > output1234501 &
- \$ nohup ./main.out seed=123402 > output1234502 &

will create "proto" event files raw123401.dat and raw123402.dat. These are turned into a single event file in the LHEF format with

\$ ./create\_eventfile.out lhef raw\*

The source file create\_eventfile.f90 can be edited, for example for event re-weighting, and compiled with

\$ bash create\_eventfile.sh

This script can also be edited, for example to include other external libraries.

```
here=/home/user123/sandbox/playground
katieBuild=/home/user123/software/hameren-katie-xxxx/build
gfortran -fcheck=bounds \
-I $katieBuild \
$here/create_eventfile.f90 \
-L$katieBuild -Wl,-rpath,$katieBuild -lhead \
-L/usr/lib -Wl,-rpath,/usr/lib -lLHAPDF \
-L/usr/local/lib -Wl,-rpath,/usr/local/lib -lTMDlib \
-L/usr/lib -Wl,-rpath,/usr/local/lib -lTMDlib \
-L/usr/lib -Wl,-rpath,/usr/lib -lgsl -lgslcblas -lm \
-J $katieBuild \
-o $here/create_eventfile.out \
```

# Event generation

After optimization, you can run several instances of the the main.out in playground:

- \$ nohup ./main.out seed=123401 > output1234501 &
- \$ nohup ./main.out seed=123402 > output1234502 &

will create "proto" event files raw123401.dat and raw123402.dat. These are turned into a single event file in the LHEF format with

\$ ./create\_eventfile.out lhef raw\*

The source file create\_eventfile.f90 can be edited, for example for event re-weighting, and compiled with

\$ bash create\_eventfile.sh

This script can also be edited, for example to include other external libraries.

The executable can take more comma-separated options:

\$ ./create\_eventfile.out lhef,nb,dir=/tmp,name=events\_v1.dat,label=\_v1 raw\*



- KaTie can generate parton-level event files for DIS with an off-shell initial-state parton.
- This required some special attention to QED gauge invariance.