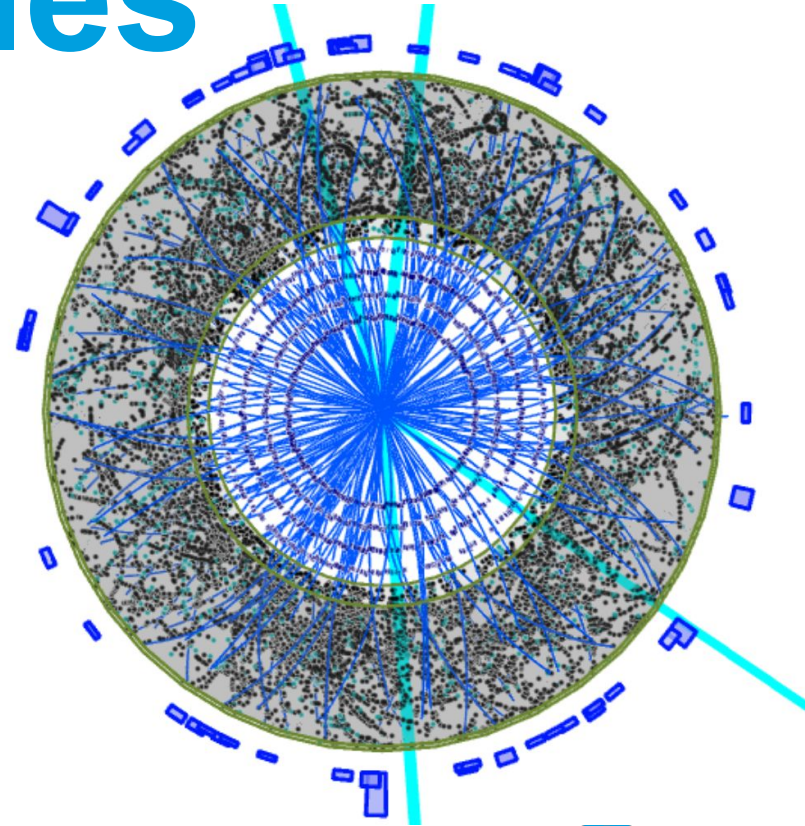
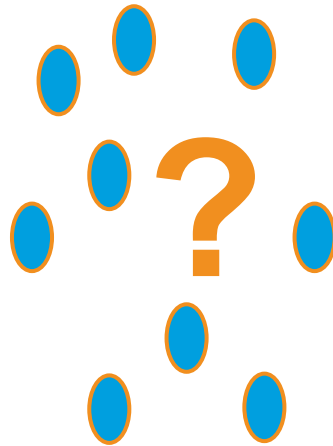


# Tracking: Basic Principles

Or: How to Connect the Dots

Nick Styles, DESY  
EDIT 2020  
Hamburg, 17.02.2020



**EDIT 2020**



# Introduction

# A few words before we start

## Setting the context

**This lecture is intended to give an overview of important concepts in track reconstruction**

- Have tried to keep the level of mathematics I show explicitly small
- Only when it is useful/necessary for the conceptual understanding
- However this mathematics is clearly very important to understand when implementing or applying any of the methods discussed
- Will provide links at the end to places where complete and rigorous discussions of the mathematical underpinnings are discussed
- With that out of the way... let's begin!

# Goals of Track Reconstruction

The “Why” before the “How” ...

## Why do we want to know about charged particles?

- They are a crucial aspect of a lot of Physics processes we want to study!
  - Large fraction of total momentum in collider events carried by charged particles
  - Many interesting final states are composed of charged particles
  - photons convert to charged  $e^+/e^-$  in material
  - etc...
- They have very useful properties as a “laboratory tool”
  - They can be steered by a magnetic field
  - Their properties can be determined via non-destructive measurements

# Goals of Track Reconstruction

The “What” before the “How”...

## What is it do we want to know about charged particles?

- Essentially we want to know their trajectory
- No magnetic field => Straight line!
  - Can compare where we expect them to go with where they actually go
  - Do our measurements match our predictions?
- With (typically solenoidal, uniform along  $z$ ) magnetic field => Helix!
  - From curvature of helix, we can infer the momentum
- Measure the “Impact Parameter” with respect to a specific reference plane
- Also other, more specialized measurements possible depending on choice of detector design and technology

# Track Parameterization

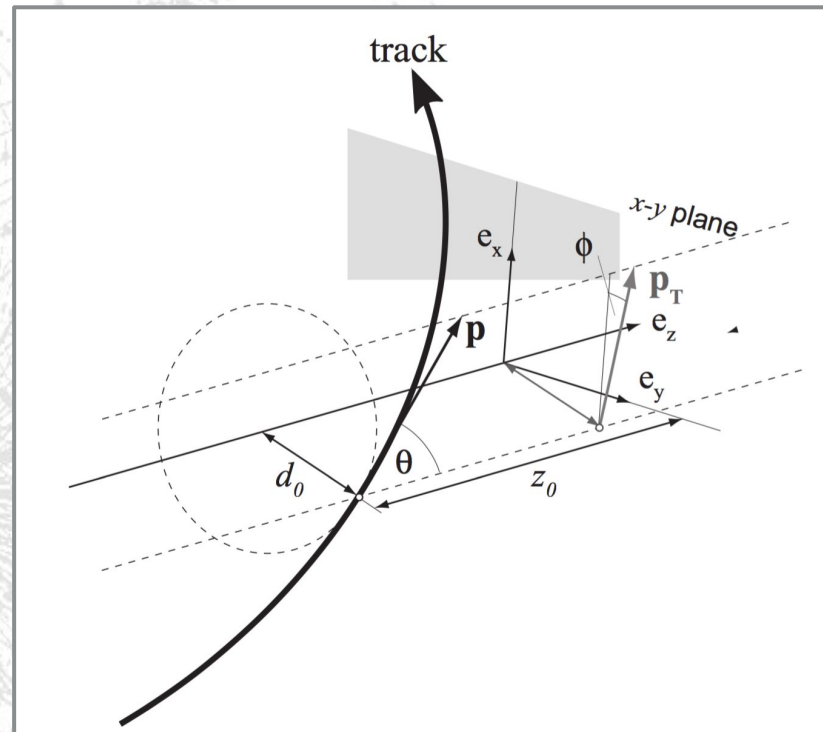
## How to describe our Tracks

Need a way to encode the information about our trajectories

- This is our “Track Parameterization” - a typical parameterization with respect to a reference surface could be:

$(d_0, z_0, \phi, \theta, q/p)$

- This is a *special* version of this parameterization expressed on perigee surface (closest approach)
  - On this surface, first two parameters are transverse ( $d_0$ ) and longitudinal ( $z_0$ ) Impact Parameters
- Can also express at any “generic” surface
  - First two parameters become simply  $l_x$  and  $l_y$  - local coordinates on that surface



$\phi$  = azimuthal angle

$\theta$  = polar angle

$q/p$  = curvature\*

\*choose this rather than  $p$  itself, as errors are gaussian

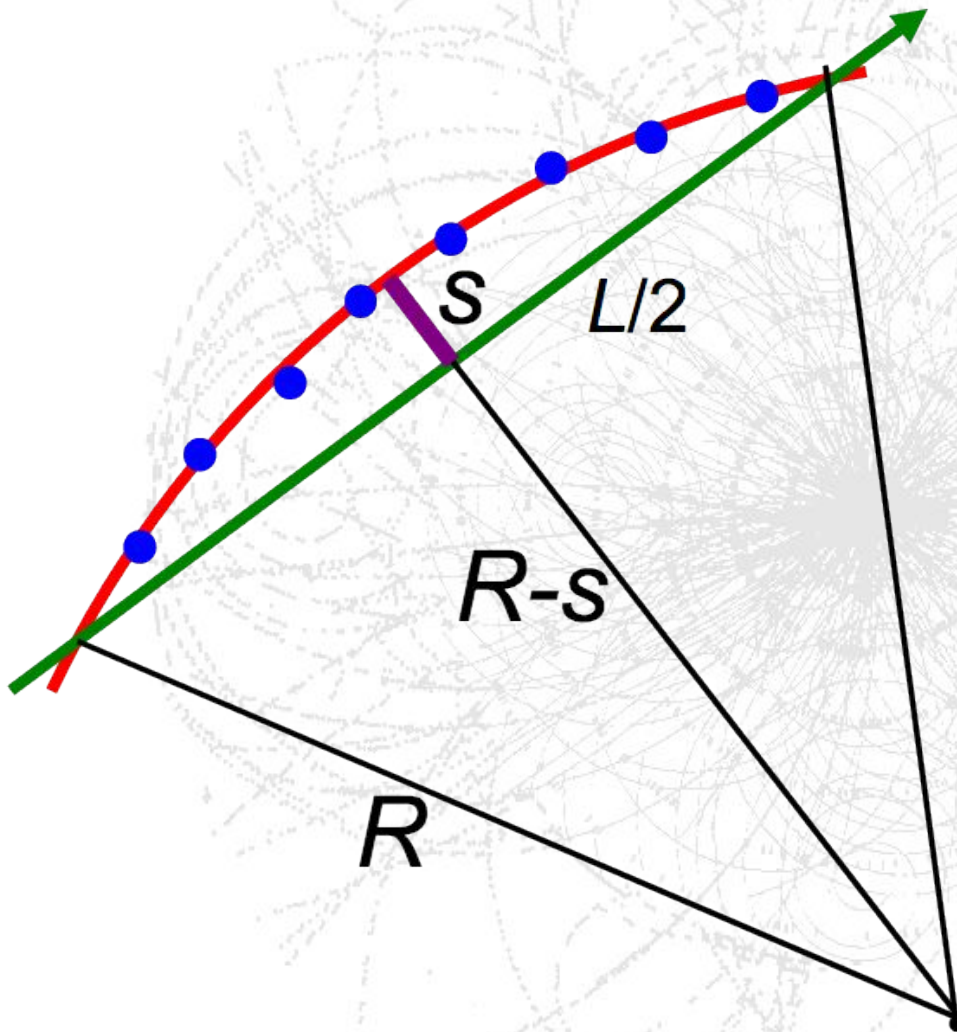
# Track Parameterization

## How to describe our Tracks

### Need a way to encode the information about our trajectories

- Requiring knowledge about our surfaces is not always the most convenient...
- It is also possible to derive track parameterizations based on Curvilinear Coordinates - these are independent of any surface definition
  - For instance,  $(x, y, z, p_x, p_y, p_z)$
- Typical just used as helpful “intermediate” format
  - Measurements in general will be with respect to a surface of some sort
  - Therefore predictions or expressions of “representative” track parameters are also typically in the same form
  - NB: This is assuming 3D tracking information - can of course be simplified for 2D case!

# Momentum Resolution



Resolution:

$$\delta p_T / p_T \propto \delta s / BL^2 \times p_T$$

s is “sagitta”, deviation from straight trajectory

$p_T$  is momentum in transverse (bending) plane

B is magnetic field

From equations of motion of particle in Uniform B field:

$$p_T [\text{GeV}/c] = 0.3 \times B [\text{T}] \times R [\text{m}]$$

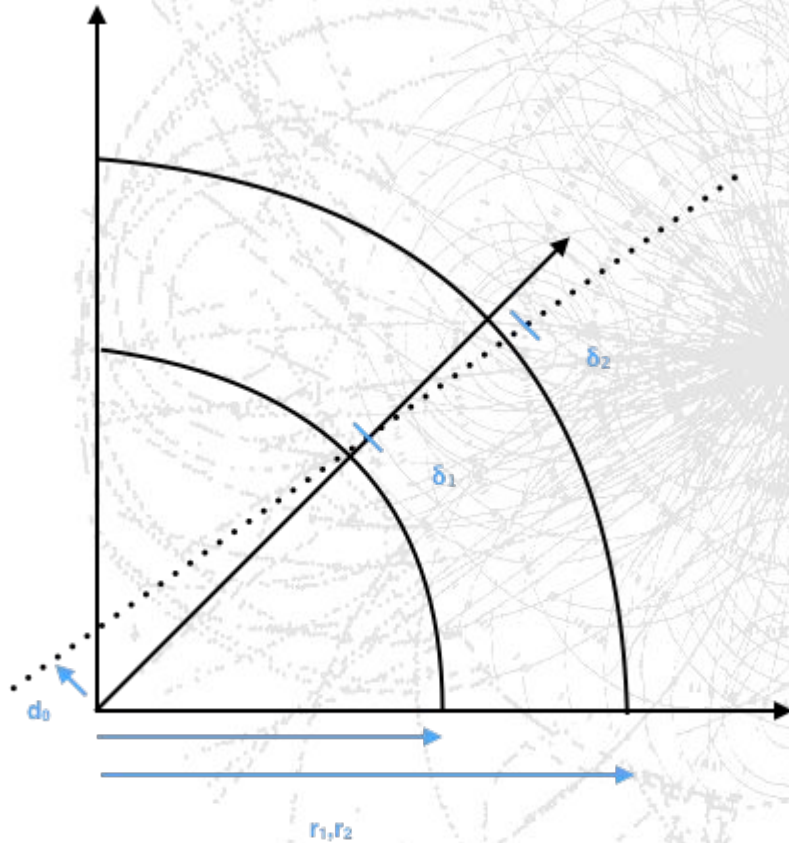
$$R \approx L^2 / 2s$$

This gives us:

$$\delta p_T / p_T = 8 p_T / 0.3 B L^2 \times \delta s$$



# Impact Parameter Resolution



In a simplified system with 2 measurements, with uncertainties  $\delta$ :

$$\delta_{d_0}^2 = (r_1^2 \delta_2^2 + r_2^2 \delta_1^2) / (r_2 - r_1)^2$$

Both this and momentum resolution become more complicated when faced with reality...

We'll revisit them later!

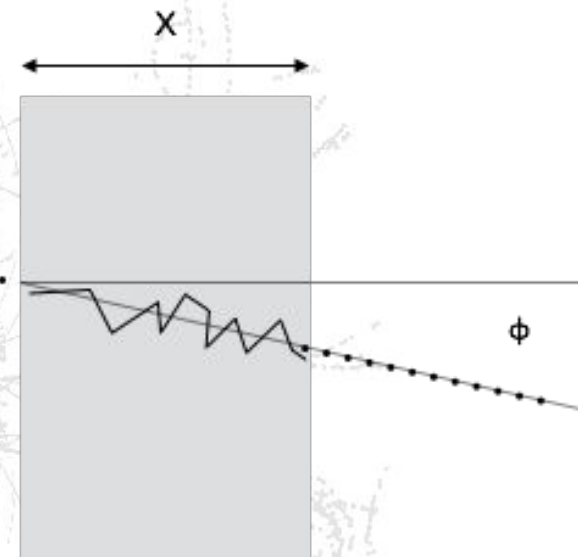
# Multiple Scattering and Material Effects

Dealing with physical reality...

Particles traversing distance  $x/X_0$  through a material will undergo multiple Rutherford scattering-type interactions

- Random, stochastic process
  - Angular deflection of outgoing particle,  $\theta_{MS}$ , follows an approximately gaussian distribution
  - Non-gaussian tail contribution  $\sim 2\%$ , follows approximately  $\sin^{-4}(\theta_{MS}/2)$  distribution
- Multiple Scattering contributions depends upon material properties and particle momentum (minimized at large momentum)

$$\theta_{MS} = (13.6 \text{ MeV}/\beta c p) z \sqrt{(x/X_0) [1 + 0.0038 \ln(x/X_0)]}$$



$X_0$  is “radiation length”, characteristic property of material

Silicon has  $X_0$  9.37 cm  
Lead has  $X_0$  0.5612 cm

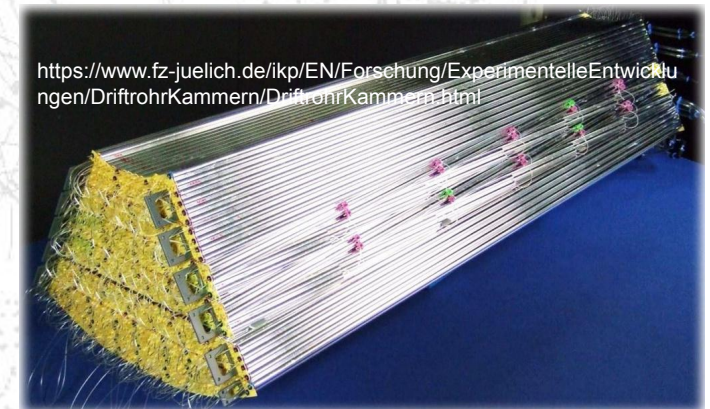
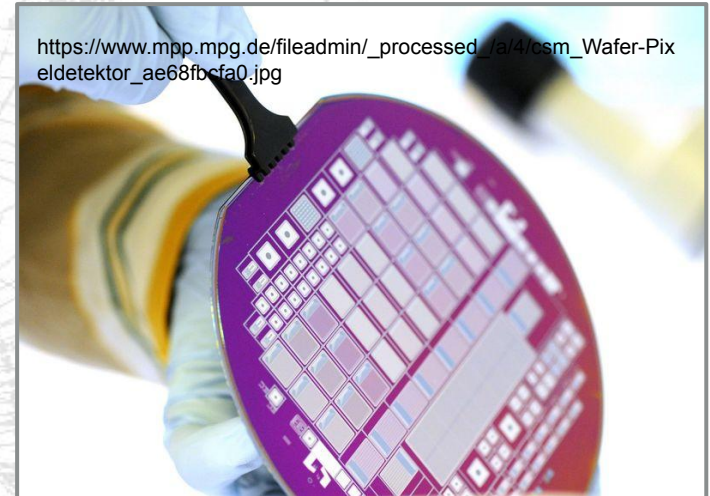
# Data Preparation

# Measurements

## What Type of Inputs can we use?

### May have to deal with a few typical types of measurement

- Spatial measurements from highly-segmented semiconductor detectors
  - Segmentation in 1D (microstrip-type detectors) or 2D (pixel-type detectors)
  - Typically few measurements per track
- Drift time measurements from gaseous detector
  - Converted into distance of particle from “sense wire” - includes left/right ambiguity
  - Typically many measurements per track
- Functioning principles covered elsewhere ;-)

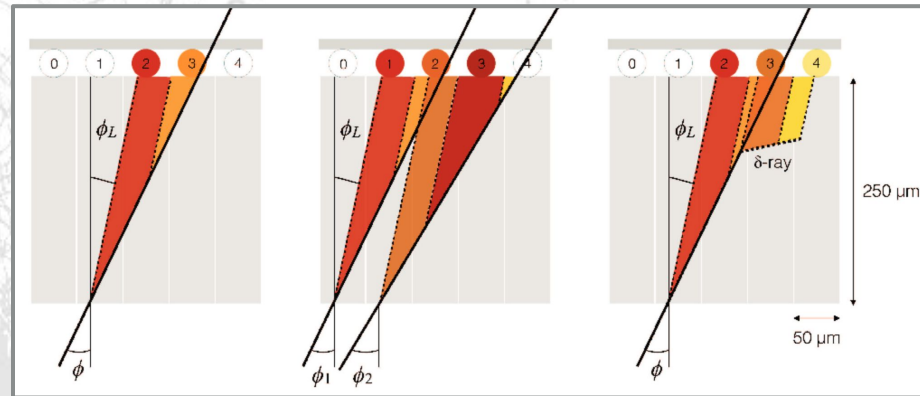


# Clustering

## From semiconductor detector outputs to tracking inputs

### Single particle contribute charge to multiple detector channels

- Typically group channels with above-threshold charge deposits as a cluster
  - Effects of dead, noisy pixels, lorentz angles, must be accounted for
- Cluster information provides incident position estimate and uncertainties
  - Single channel resolution given by **pitch/ $\sqrt{12}$**
- Information per channel can be digital (“on/off”) or analogue (e.g. signal time over threshold)
  - The latter provides more information that can be used for calculating cluster “centre of gravity” => better position resolution

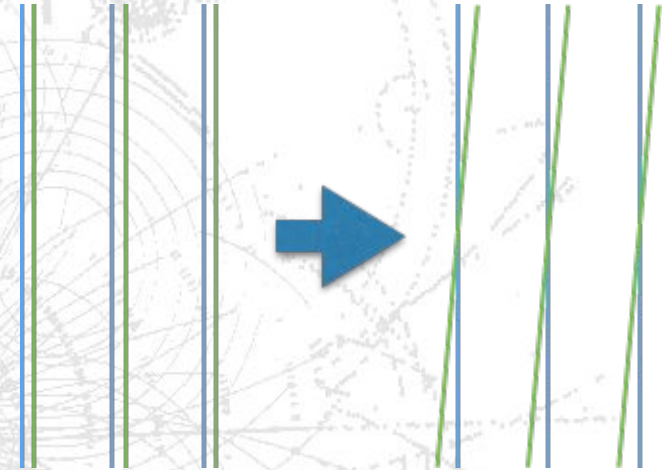


# Further Data Preparation Techniques

## Making the most of detector information

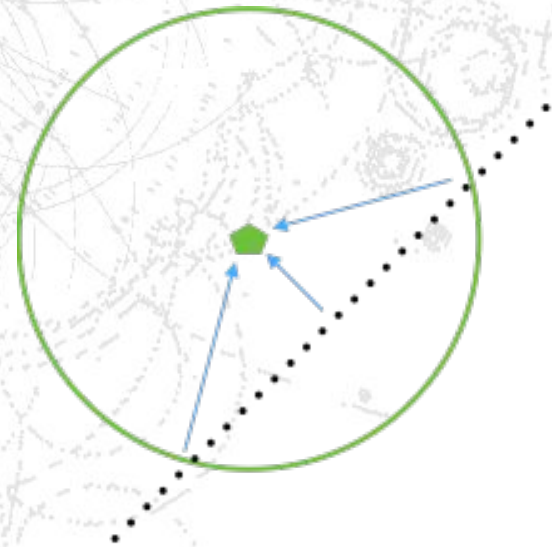
### Stereo Angle Pairs

- Small rotation between pairs of strip sensors can improve precision in “long” direction
  - correlate which strip pair were hit
  - Caveat: Increasing stereo angle increases precision and rate of “Ghost Hits” (degenerate combinations)



### Drift Circles

- Need to calibrate arrival times of charges to provide wire-to-track distance
  - Total amount of charge can also be used in some cases for particle Identification



# Measurement Model

How to represent our measurements mathematically

$$m_k = h_k(q_k) + \gamma_k$$

$$H_k = \delta m_k / \delta q_k$$

measurement

track dependence model (e.g. on incident angle, etc)

track parameters (see later...)

error/noise term

Jacobian of track dependence model

$$G_k$$

measurement covariance

# Finding Tracks

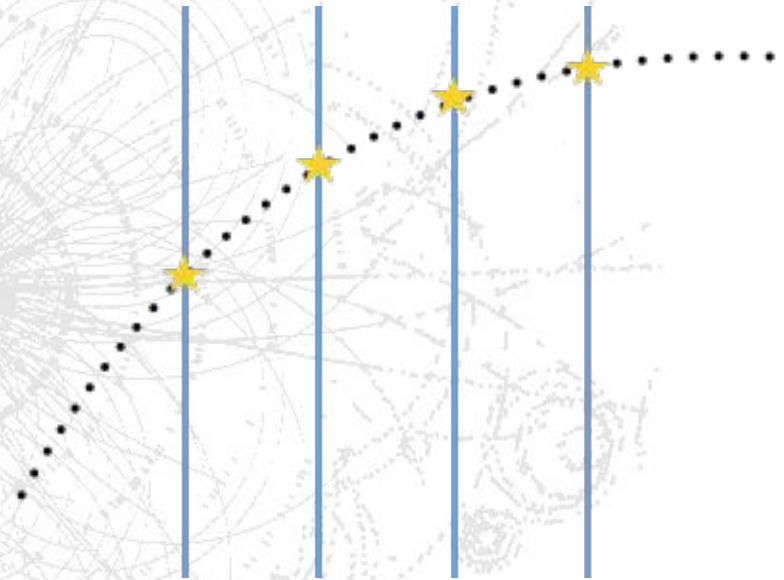


# Let's Start Simple

## A toy example

In a trivial example, looks very easy to find a track... you need:

- Initial starting parameters
- Knowledge about detector layout
  - Where (e.g. which layer) to look for first/next hit
  - How much material is passed through
- A way to calculate Track Parameters and their uncertainties on the next surface
  - Often referred to as “Track Model”
- Simple! Well, let's see...

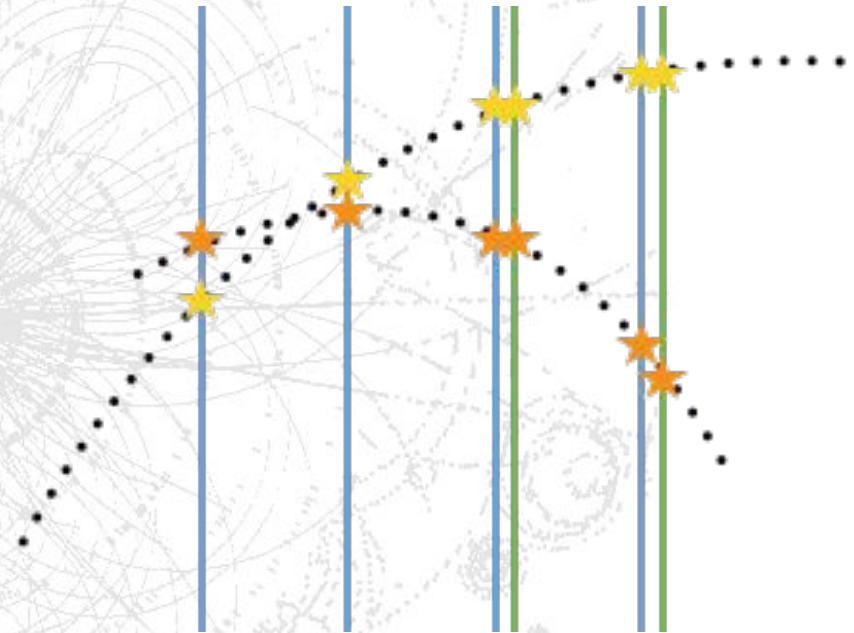


# Let's Start Simple

## A toy example

Once things start getting more realistic...

- Initial starting parameters
  - Different choice of starting parameters can lead down completely different path
  - (Even in very low multiplicity scenarios can have noise, secondaries, etc)
  - Should aim to minimize attempts made down “wrong” paths
  - Use possible additional constraints from knowledge of physics, detector, initial particle distributions, etc to make sensible choices

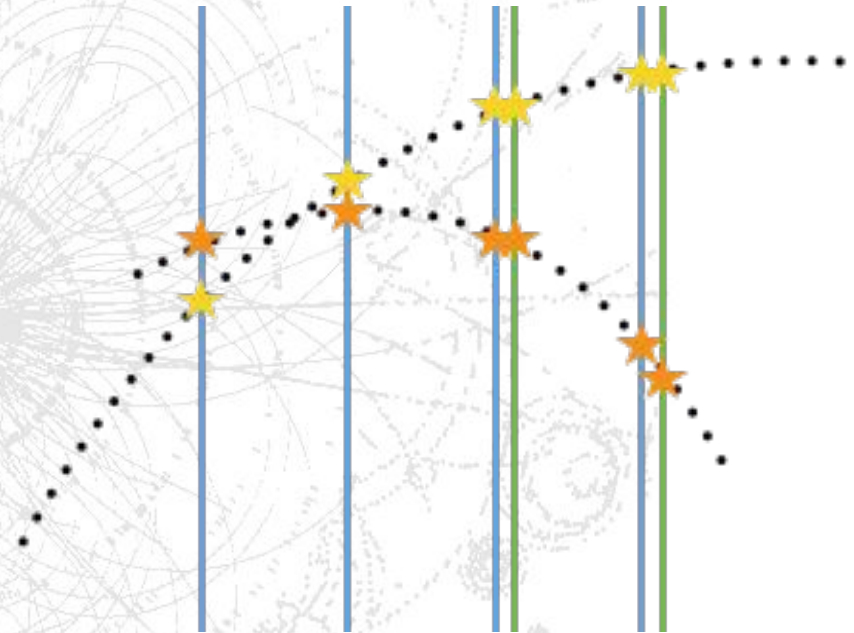


# Let's Start Simple

## A toy example

Once things start getting more realistic...

- Knowledge about detector layout
  - Where (e.g. which layer) to look for first/next hit
  - Different technologies per layer, barrel or endcap orientation (and transition between them), overlaps, tilt angles...
  - How much material is passed through
  - Very large local variations possible; need a way to store and retrieve information with appropriate granularity

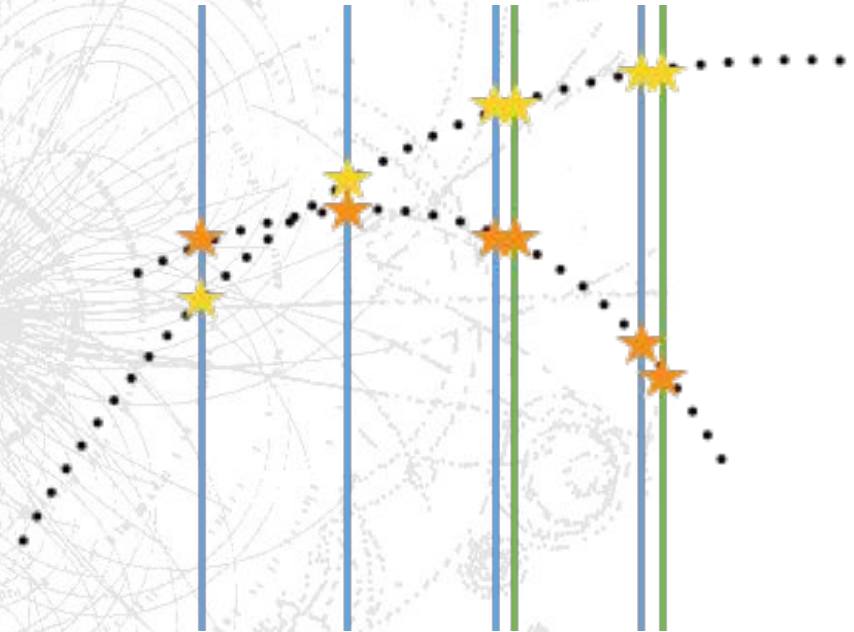


# Let's Start Simple

## A toy example

Once things start getting more realistic...

- A way to calculate Track Parameters and their uncertainties on the next surface
  - Often referred to as “Track Model”
  - With non-constant magnetic field, no analytic solution! Need to use numerical methods.

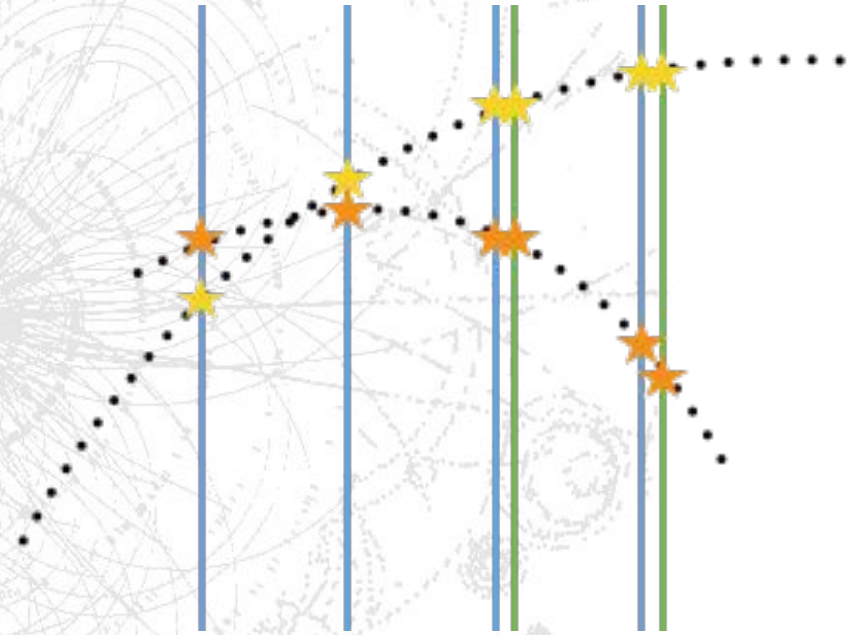


# Let's Start Simple

## A toy example

Once things start getting more realistic...

- Simple! Well, let's see...
  - Not so much!



# Pattern Recognition

How to separate the “real” tracks from “everything else”

**A realistic picture starts to look much more tricky...**

- Compared to a toy situation, a hadron collider type event is very different
- By eye, seems impossible to find tracks in it...
  - Fortunately, we have algorithms that can do this very well!



**Aside: Looks like a task for Machine Learning!**

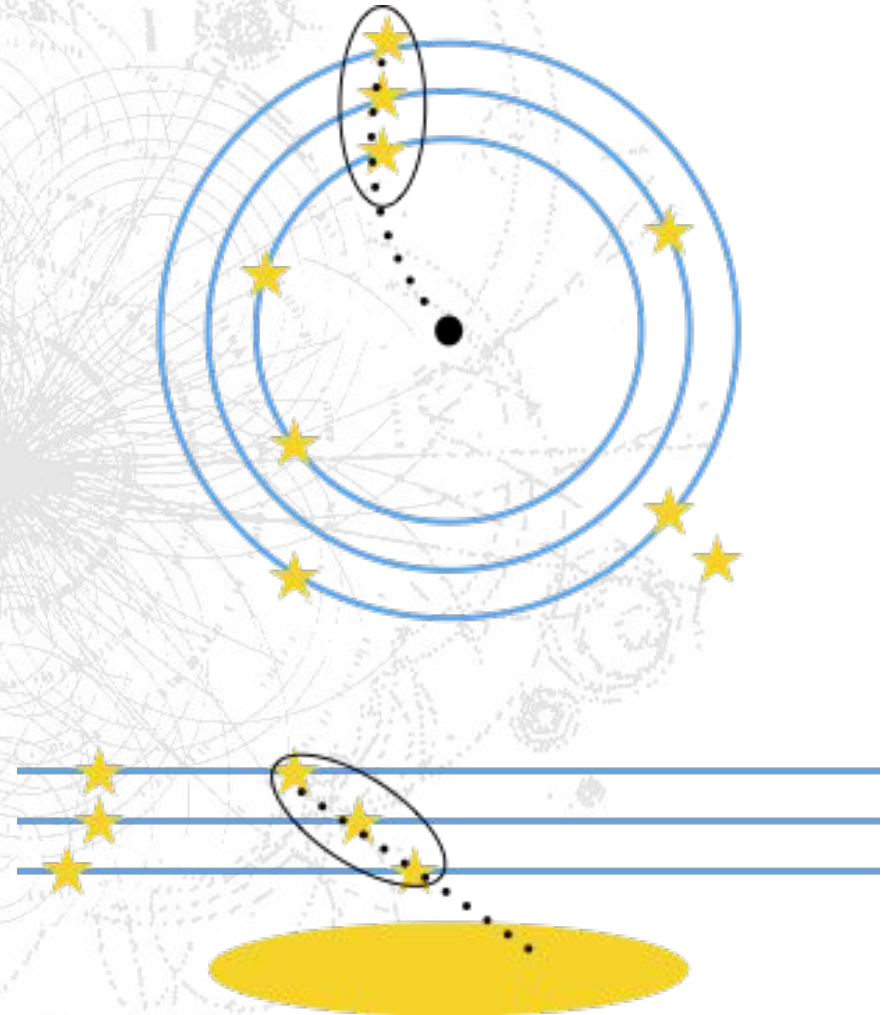
- As you can guess, people have been trying this!
  - Kaggle Tracking Machine Learning Challenge
- Overall not (yet) competitive with the tried-and-tested approaches present here
  - Something for the future, not so much for today

# Local Approaches

## “Following” a Track

### “Seeding” the track

- Typical first step is to create track seeds
  - Group small number of compatible measurements
  - Provides initial rough estimate of track parameters
- Typically many more seeds than final tracks expected
  - Use knowledge about detector geometry, event topology, etc to reject “impossible” combinations as early as possible
  - In high multiplicity situations, book-keeping of hits may be needed



# Local Approaches

## “Following” a Track

### Next step: Collecting compatible measurements along possible trajectories

- General procedure - look on next layer for hits
  - E.g. “hit road” based approach, propagate track parameters onto possible surfaces and check for hits
  - Various ways of deciding what is a “compatible” hit (is it on the expected sensor, does it pass a  $\chi^2$  criteria, etc...)
- May be multiple possibilities for compatible hits!
  - In this case, can either take “best one” or do a “combinatorial” approach - branch your track, and collect further hits according to both options
  - In latter case, will have more options later to choose between, but more “costly”
- Keep going until you reach the end of your detector
  - Congratulations, you now have a candidate track!



# Kalman Filter

## Progressive State Updates

### Commonly-used method for estimating states of dynamic systems

- Combines predictions (based on underlying model and knowledge of prior state) and measurements to provide more accurate state estimate than either individually
  - Predictions alone accumulate increasingly large uncertainties due to stochastic processes along trajectory (multiple scattering, etc)
  - Measurements alone are “noisy”
- Nice feature: Need only the state estimate at prior step to have full information needed for the next step!
  - No need to keep track of full history; it is “encoded” in the state estimate plus its covariance
- “Real world” example: Combine telemetry data on thrust with GPS position to estimate the true position and velocity of a projectile

# Kalman Filter

## Progressive State Updates

$$q_k = f_{k|i}(q_i)$$

$$C_k = F_{k|i} C_i F_{k|i}^T$$

$$F_{k|i} = \delta q_k / \delta q_i$$

track states  
track model

track states covariance  
track model Jacobian



# Kalman Filter

## Progressive State Updates

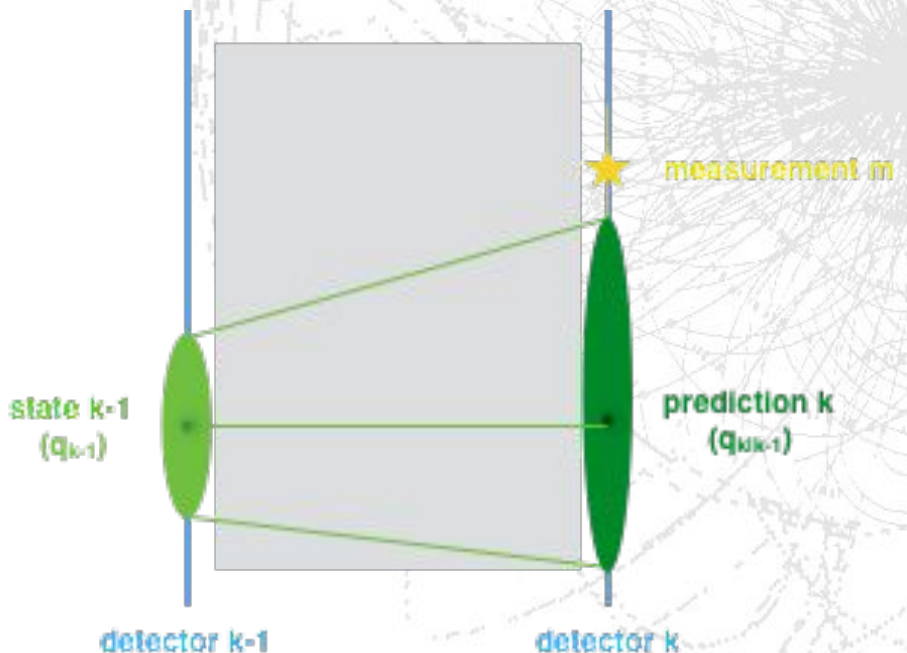
$$\mathbf{q}_k = \mathbf{f}_{k|i}(\mathbf{q}_i)$$

track states  
track model

$$\mathbf{C}_k = \mathbf{F}_{k|i} \mathbf{C}_i \mathbf{F}_{k|i}^T$$

track states covariance  
track model Jacobian

$$\mathbf{F}_{k|i} = \delta \mathbf{q}_k / \delta \mathbf{q}_i$$



propagate prior state ( $\mathbf{q}_{k-1}$ )  
onto next detector ( $k$ ):

$$\mathbf{q}_{k|k-1} = \mathbf{f}_{k|k-1}(\mathbf{q}_{k-1})$$

$$\mathbf{C}_{k|k-1} = \mathbf{F}_{k|k-1} \mathbf{C}_{k-1} \mathbf{F}_{k|k-1}^T + \mathbf{Q}_k$$

$\mathbf{Q}_k$  is stochastic contribution  
(e.g. from Multiple Scattering)

# Kalman Filter

## Progressive State Updates

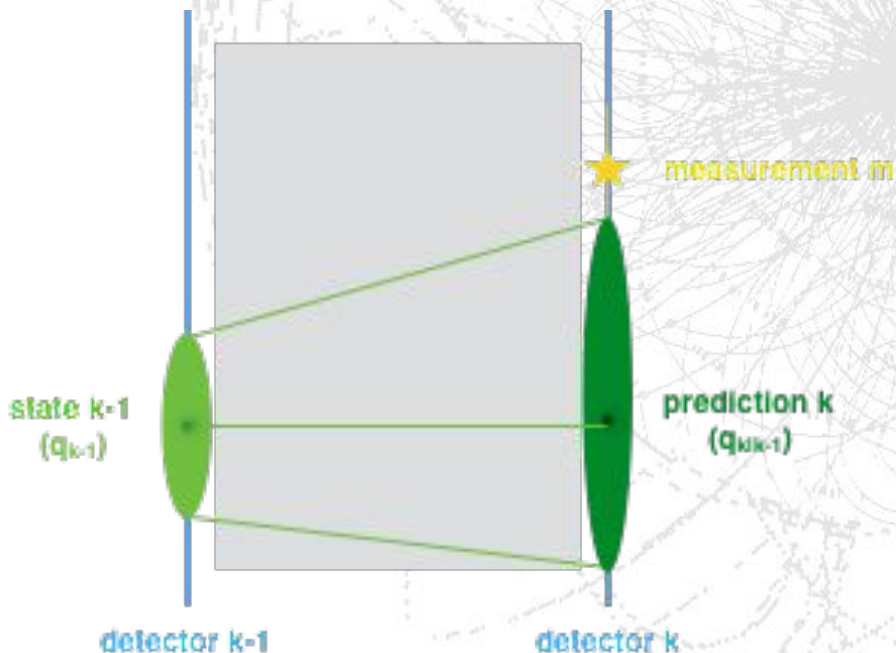
$$\mathbf{q}_k = \mathbf{f}_{k|i}(\mathbf{q}_i)$$

$$\mathbf{C}_k = \mathbf{F}_{k|i} \mathbf{C}_i \mathbf{F}_{k|i}^T$$

$$\mathbf{F}_{k|i} = \delta \mathbf{q}_k / \delta \mathbf{q}_i$$

track states  
track model

track states covariance  
track model Jacobian



Gain matrix defines the combination of prediction with measurement:

$$\mathbf{K}_k = \mathbf{C}_{k|k-1} \mathbf{H}_k^T (\mathbf{G}_k + \mathbf{H}_k \mathbf{C}_{k|k-1} \mathbf{H}_k^T)^{-1}$$

(Could be replaced by weighted mean)

# Kalman Filter

## Progressive State Updates

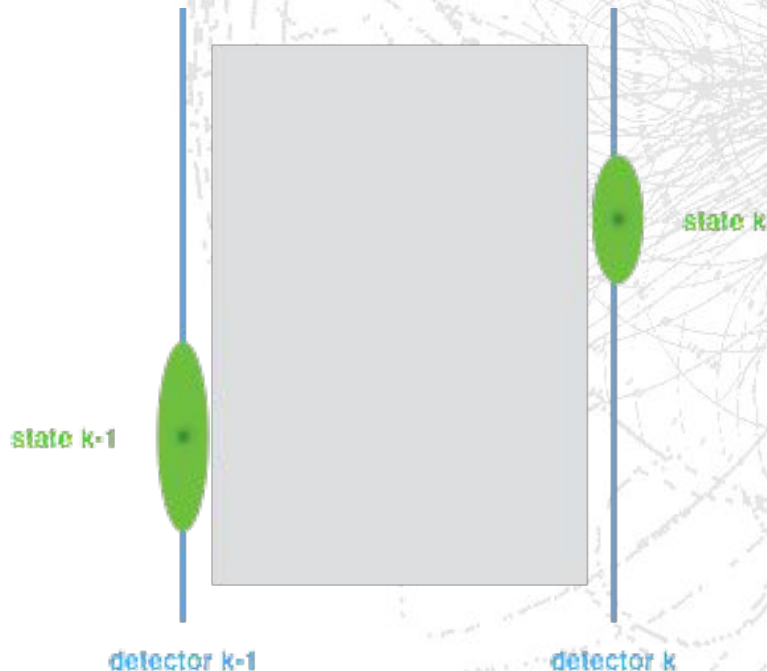
$$\mathbf{q}_k = \mathbf{f}_{k|i}(\mathbf{q}_i)$$

$$\mathbf{C}_k = \mathbf{F}_{k|i} \mathbf{C}_i \mathbf{F}_{k|i}^T$$

$$\mathbf{F}_{k|i} = \delta \mathbf{q}_k / \delta \mathbf{q}_i$$

track states  
track model

track states covariance  
track model Jacobian



Update prediction to get final parameter estimate  $\mathbf{q}_k$  and  $\mathbf{C}_k$

$$\mathbf{q}_k = \mathbf{q}_{k|k-1} + \mathbf{K}_k [\mathbf{m}_k - \mathbf{h}_k(\mathbf{q}_{k|k-1})]$$

$$\mathbf{C}_k = (\mathbf{I} - \mathbf{K}_k \mathbf{H}_k) \mathbf{C}_{k|k-1}$$

Repeat the procedure starting from  $\mathbf{q}_k$  to get  $\mathbf{q}_{k+1}$ , and so on...

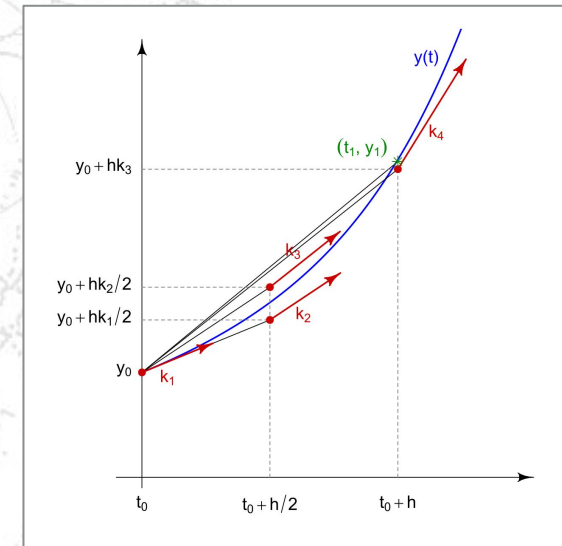
# Propagating Parameters

## Track Model and Extrapolation

- When extrapolating track parameters must account for multiple scattering effects on particle trajectory (increases direction uncertainty), but also energy loss due to material interactions (impacts curvature)

$$-\left\langle \frac{dE}{dx} \right\rangle = \frac{4\pi}{m_e c^2} \cdot \frac{nz^2}{\beta^2} \cdot \left( \frac{e^2}{4\pi\epsilon_0} \right)^2 \cdot \left[ \ln \left( \frac{2m_e c^2 \beta^2}{I \cdot (1 - \beta^2)} \right) - \beta^2 \right]$$

- Must also account for magnetic field
  - $dp/dt = q\mathbf{v} \times \mathbf{B}$
  - For a uniform field, simply use helix model
  - As mentioned earlier, no analytical solution in case of non-constant B-field
  - Estimate typically obtained via Runge-Kutta methods (or Runge-Kutta-Nyström)
  - Can be computationally expensive! Step size needs to be set carefully to an appropriate value for the application and conditions



from [wikipedia](#) (HilberTraum)

# Global Approaches

Looking at the whole picture...

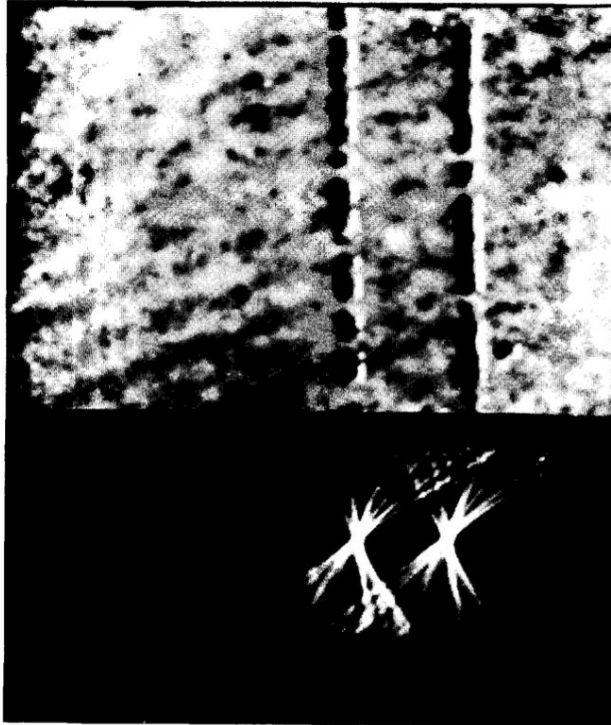
Can use similar approaches to “feature extraction” in image processing

- Transform measurements into a “parameter space” allowing parameters to be found by simple maxima search (e.g. with histogramming methods)
    - e.g. Hough Transform, where hits become straight lines in  $u,v$  space
    - Initially developed for extracting tracks from bubble chamber images
- $$u = x/(x^2+y^2) \quad v = y/(x^2+y^2) \Rightarrow v = -(x/y)u + (x^2+y^2/2y)$$
- Particularly well suited for 2D tracking with many measurements
    - E.g. drift tube based detectors

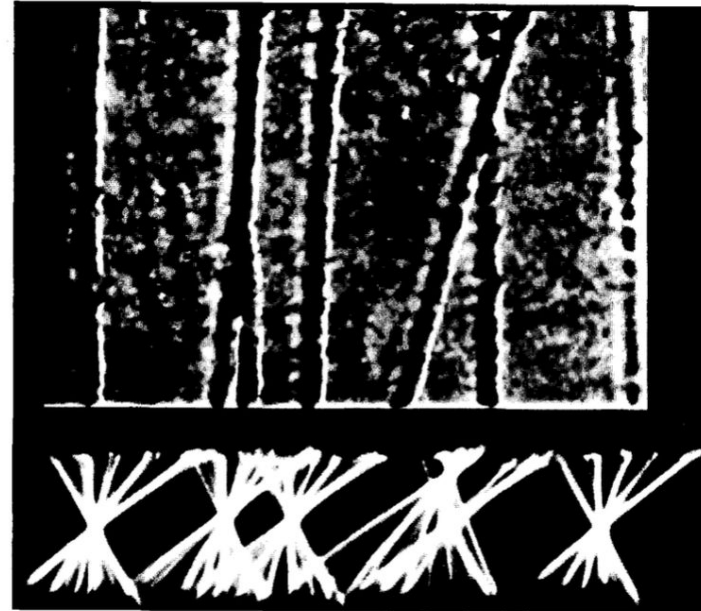


# Global Approaches

Looking at the whole picture...



**Fig. 2** A framelet giving a simple bubble pattern. The white pattern shows the portion of the bubble pattern detected by the electronics. The transform of the white pattern, drawn electronically, appears at the bottom.

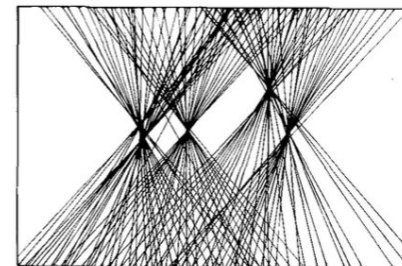


**Fig. 3** A framelet giving a reasonably complex bubble pattern. The electronically-drawn transform appears at the bottom.

from: **Machine Analysis of Bubble Chamber Pictures**

P.V.C. Hough (Michigan U.)

Sep 1959



**Fig. 4** A hand-drawn transform of the white pattern of Fig. 3. (The extreme right and extreme left tracks are not transformed.)



# Fitting Tracks

# Fitting Tracks

Now that you've found it...

**Finding the the measurements belonging to a track is not the end of the story!**

- May be advantageous to make simplifications during track finding
  - E.g. to allow early rejection for anything that is “not interesting” or not meeting some basic quality requirements
- May need to resolve “competing claims” on measurements between multiple track candidates before final hit content is known
- In such cases, a further step (generally referred to as fitting) is required to give best estimate of track parameters
  - Both at each measurement surface...
  - ...and also at any representative/defining surface, such as the Perigee

# Kalman-Based Fit

Already half-way there....

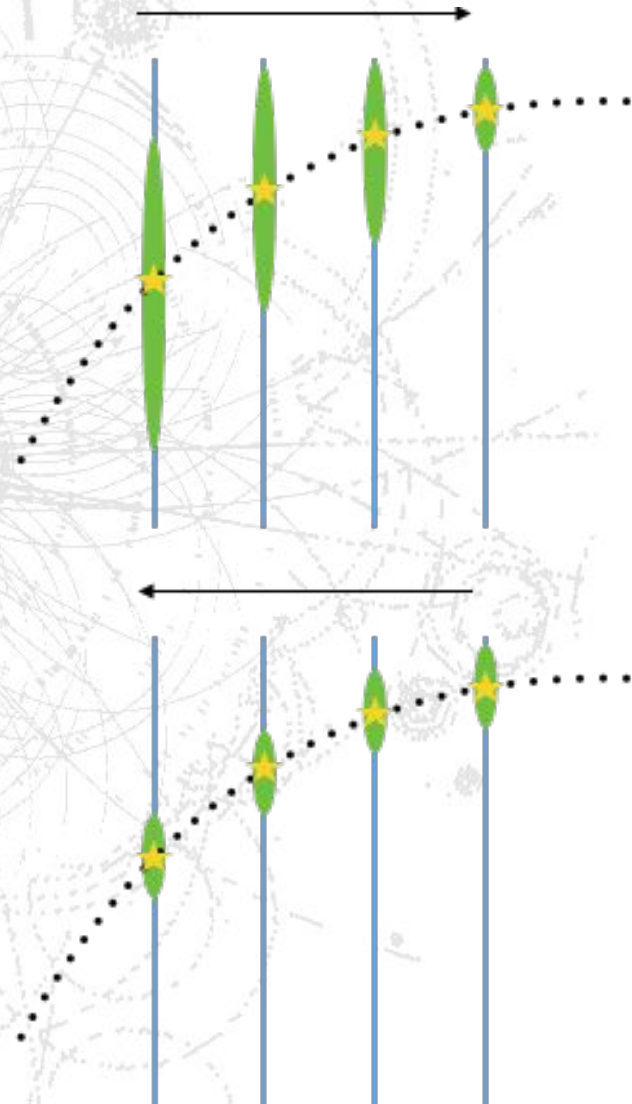
Our Kalman formalism already provides us with the framework for a track fit

- Due to progressive nature of process, only final step has “full” track information encoded in its state  $\mathbf{q}_n$
- Therefore, a further stage going back along the track is needed to give best possible estimate at each surface
- This backwards stage is referred to as the smoothing step

$$\mathbf{q}_{k|n} = \mathbf{q}_k + \mathbf{A}_k(\mathbf{q}_{k+1|n} - \mathbf{q}_{k+1|k})$$

$$\mathbf{C}_{k|n} = \mathbf{C}_k - \mathbf{A}_k(\mathbf{C}_{k+1|k} - \mathbf{C}_{k+1|k})\mathbf{A}_k^T$$

$$\mathbf{A}_k = \mathbf{C}_k \mathbf{F}_{k+1|k}^T (\mathbf{C}_{k+1|k})^{-1}$$



# Least-Squares Fit

Fitting based on  $\chi^2$  minimization

Another typical fitting approach which is frequently used

- Based on minimization of  $\chi^2$  function defined by track residuals and their uncertainties

$$\chi^2 = \sum_k \mathbf{r}_k^T \mathbf{G}_k^{-1} \mathbf{r}_k \quad \mathbf{r}_k = \mathbf{m}_k - \mathbf{d}_k(\mathbf{p})$$

“residuals”, i.e. difference between extrapolated local position and measurement

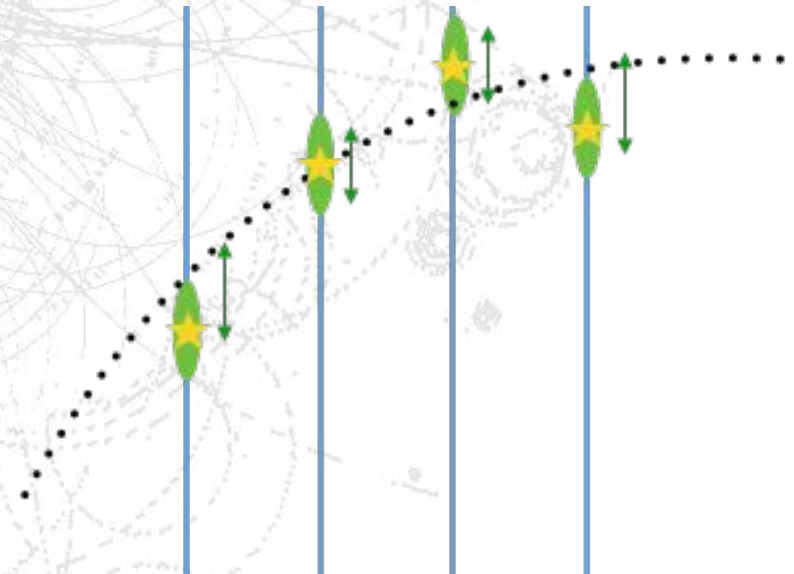
$\mathbf{p}$  represents defining (“global”) track parameters;

$\mathbf{d}_k$  product of  $\mathbf{h}_k$  and all prior  $\mathbf{f}_{iji}$

- Aim to find set of track parameters which minimizes  $\chi^2$

$$d\chi^2/d\mathbf{p} = 0 \text{ with } \mathbf{p} = \mathbf{p}_0 + \delta\mathbf{p}$$

$\mathbf{p}_0$  is initial parameter estimate



# Least-Squares Fit

Fitting based on  $\chi^2$  minimization

Another typical fitting approach which is frequently used

- Linearize the  $\chi^2$  function by performing a Taylor expansion and dropping terms beyond 1st order

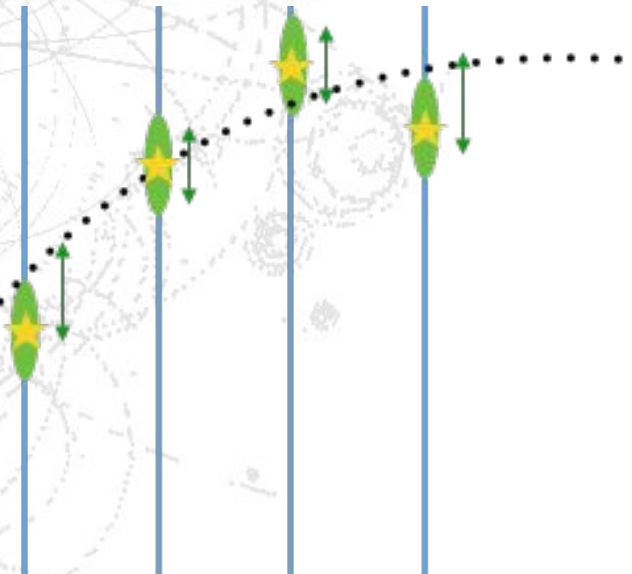
$$\mathbf{d}_k(\mathbf{p}_0 + \delta\mathbf{p}) \rightarrow \mathbf{d}_k(\mathbf{p}_0) + \mathbf{D}_k \delta\mathbf{p}$$

Jacobian  $\mathbf{D}_k$  is product of  $\mathbf{H}_k$  and  $\mathbf{F}_{ij}$  jacobians

- Rewriting the  $\chi^2$  minimization condition, we are left with the following to solve:

$$\delta\mathbf{p} = (\sum_k \mathbf{D}_k^T \mathbf{G}_k^{-1} \mathbf{D}_k)^{-1} (\sum_k \mathbf{D}_k^T \mathbf{G}_k^{-1} \mathbf{r}_{k|\mathbf{p}_0})$$

First term directly gives us covariance of  $\delta\mathbf{p}$ .



# Least-Squares Fit

Fitting based on  $\chi^2$  minimization

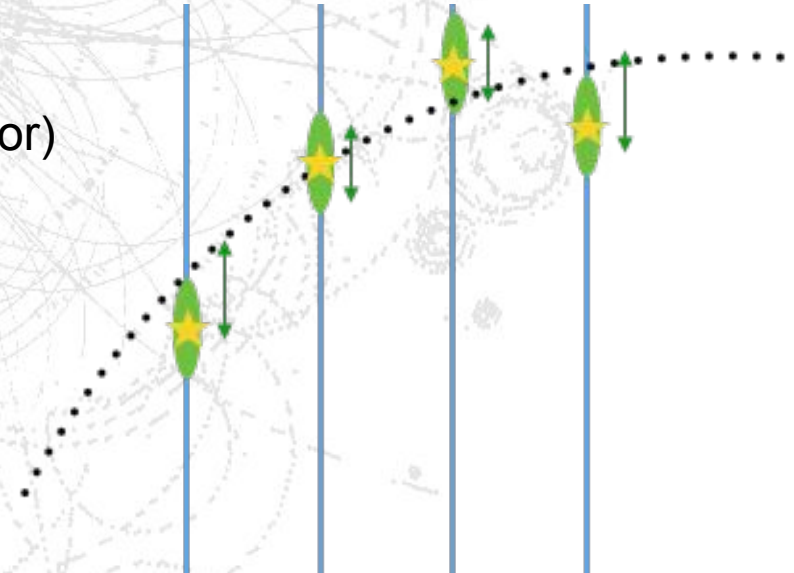
## Inclusion of Material Effects

- For small material effects, these can simply be included as additional uncertainties in  $f_{kji}$ 
  - However, can also add an explicit term for scattering angles to the  $\chi^2$  function
  - Can be useful if there are e.g large material structures to account for
- Add two additional parameters to be fit on each material surface (need not be a sensor)

$$\chi^2 = \sum_k \mathbf{r}_k^T \mathbf{G}_k^{-1} \mathbf{r}_k + \sum_i \delta\theta_i^T \mathbf{Q}_i^{-1} \delta\theta_i$$

$$\mathbf{r}_k = \mathbf{m}_k - \mathbf{d}_k(\mathbf{p}, \delta\theta_i)$$

$\mathbf{Q}_i$  is simply multiple scattering in  $x/X_0$



# Going further with fitting

## Beyond the basics

### Several additional techniques and optimizations can improve fit results

- Outlier removal
  - Initial candidate may include some erroneous measurements from e.g. noise or pattern recognition errors
  - Procedures can be put in place (based on e.g. contribution to overall  $\chi^2$ ) for these to be marked as “outliers” such that they don’t bias final track parameters
- Dedicated electron energy loss treatment to account for bremsstrahlung energy losses
  - Allow for larger uncertainties in track model to account for curvature changes
  - Model non-gaussian energy loss from Bethe-Heitler formula by explicitly including multiple gaussian contributions => Kalman Filter becomes Gaussian Sum Filter
  - Care needed: Not always optimal for other particle types, therefore best combined with additional information allowing identification of electron candidates

# Reconstructing Vertices

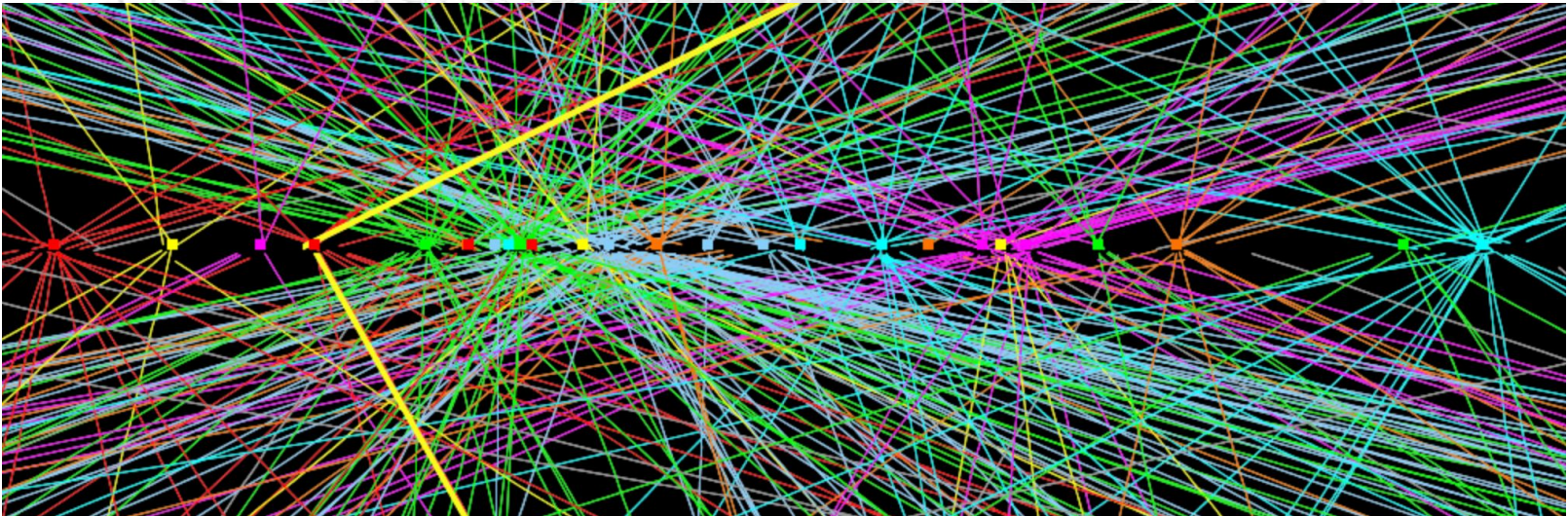


# Finding Vertices

## Looking for the common origin

**Reconstruction of primary and secondary vertices important for understanding underlying physics processes**

- In collider experiments, often multiple interactions within single “Event” (referred to as pile-up interactions)
  - Understanding which tracks originate from a given interaction/process requires reconstruction of the vertex

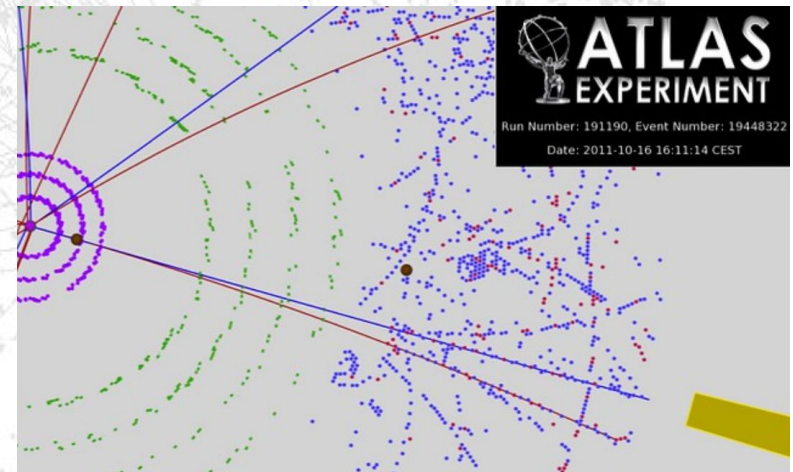
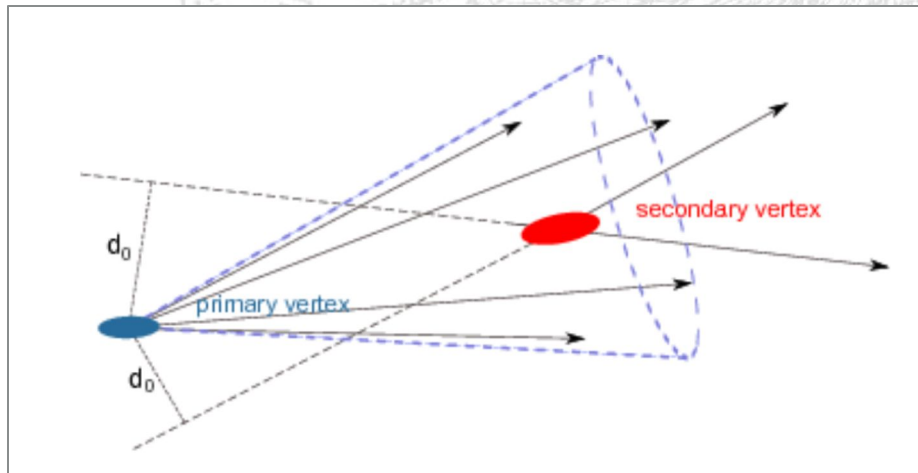


# Finding Vertices

## Looking for the common origin

Reconstruction of primary and secondary vertices important for understanding underlying physics processes

- Not only reconstruction of “Primary” interaction vertices, but also “Secondary” vertices important
  - Decays in flight of particles with significant lifetimes
  - Interactions with detector material; photon conversions or hadronic interactions



# Vertex Reconstruction Techniques

## What approaches are available

In general two step procedure similar to tracking - Finding and Fitting

- Finding: “Decide which tracks come from a common origin”
  - May use just simple geometrical methods, or also include kinematic information/constraints
- Fitting: “Determining the position of vertex and its covariance”
  - Essentially find a vertex solution that minimizes track-to-vertex distance for our track selection
- Like with track reconstruction, boundary between steps not always clear
  - Vertex reconstruction will typically implemented as either “fitting through finding” or “finding through fitting”
- Two widely-used techniques applied to this problem
  - Billoir Fit and Kalman Fit

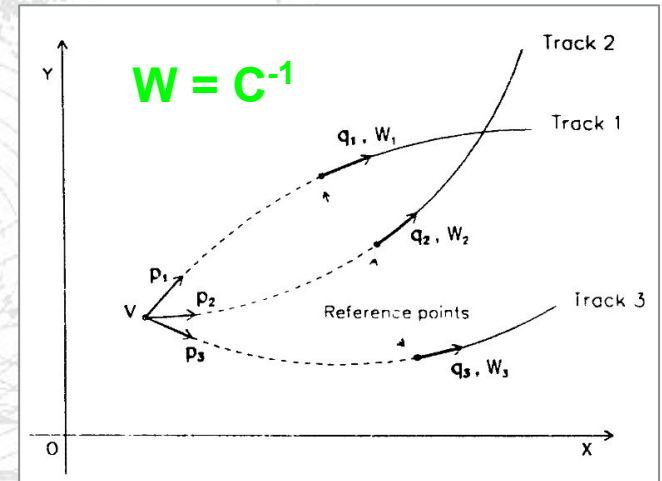
# Vertex Fitting

## Billoir Vs Kalman

- Billoir approach is based on least-squares technique like we saw for fitting tracks
- Add explicit dependence on the vertex position ( $\mathbf{V}$ ) and the track momenta at the vertex ( $\mathbf{p}_k$ ) to the track parameters

$$\chi^2 = \sum_k \Delta \mathbf{q}_k^T \mathbf{C}_k^{-1} \Delta \mathbf{q}_k$$

$$\Delta \mathbf{q}_k = \mathbf{q}_k - \mathbf{f}(\mathbf{V}, \mathbf{p}_k)$$



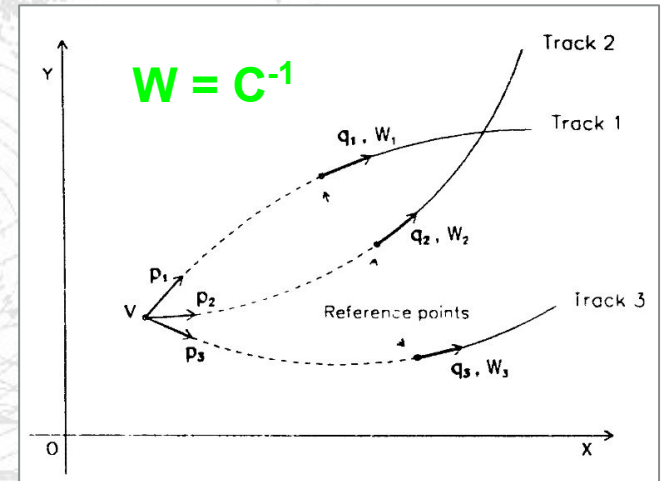
from "Fast vertex fitting with a local parametrization of tracks",  
Billoir, Qian, 1992

- Assuming linearity of dependence on  $\mathbf{V}$  &  $\mathbf{p}_k$  (within tracking errors), making first-order approximations, and exploiting matrix structure allows problem to be reduced to (relatively) simple set of matrices
  - Still typically requires an iterative procedure to arrive at solution
- Can be simplified further by dropping correlations between vertex position and momenta

# Vertex Fitting

## Billoir Vs Kalman

- Kalman approach uses our familiar formalism from earlier
  - State updates  $\mathbf{q} \rightarrow \mathbf{q}_{k+1}$  now represent re-evaluation of parameters after addition of new track to the vertex
  - “Smoother” step corresponds to re-calculating momenta with final vertex position  $\mathbf{V}_n$
- For the “Finding”, tracks contributing too much to the vertex  $\chi^2$  can be dealt with by...
  - ...simply removing them from the pool of tracks to consider (potentially freed up for use by later vertices)
  - ... applying a weight to all tracks in the fit dependent on e.g. their  $\chi^2$  contribution (can be associated to more than one vertex potentially)
  - Latter approach lends itself to “Adaptive” procedure with e.g. Simulated Annealing



from “Fast vertex fitting with a local parametrization of tracks”,  
Billoir, Qian, 1992

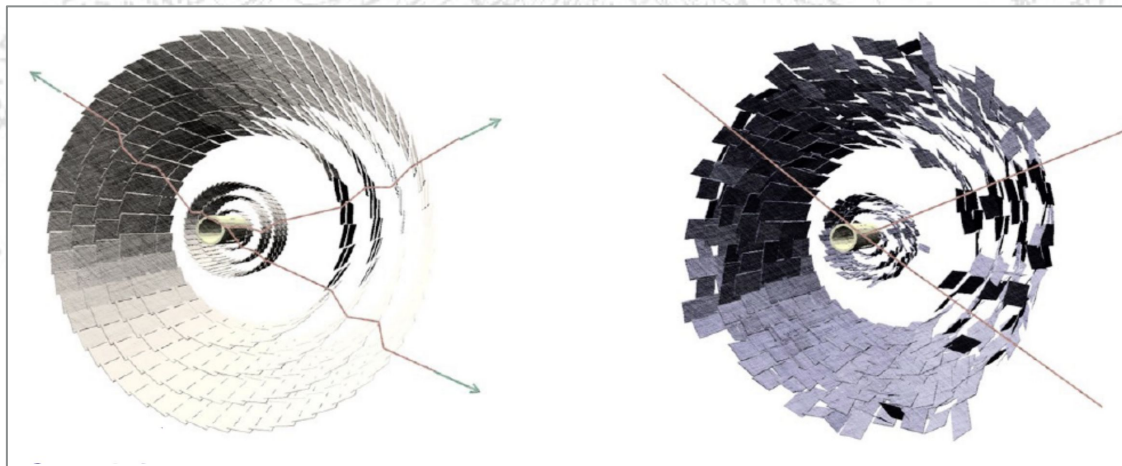
# From Theory to Reality

# Detector Alignment

Finding where your sensors really are...

**Knowledge of precise location of sensitive elements can be important for achieving necessary track reconstruction performance**

- Even very high placement accuracy can lead to displacements wrt nominal sensor positions which track reconstruction is sensitive to
  - Can degrade resolution on parameters, or even lead to biases
  - Surveys, optical alignment systems can help to understand these “misalignments”
  - Can also use the tracks themselves to understand this



# Track-based Alignment

## Back to fitting and residual minimization...

### Can use an extension of our least-squares track fit to understand misalignments

- Each alignable object typically has 6 alignment parameters; 3 rotational ( $R_i$ ) and 3 translational ( $T_i$ ) corresponding to physical degrees of freedom
- Minimize a  $\chi^2$  that depends not only on track parameters  $\mathbf{p}$ , but also alignment parameters  $\alpha$  (global  $\chi^2$  alignment)
  - E.g. include a dependence on  $\alpha$  in residual definition
- Solving using methods discussed previously now potentially involves very large matrices and a number of iterations
  - Computationally expensive; most efficient method may depend on the details of your detector
  - Possible to trade off time in matrix inversion against more iterations by removing dependence on  $\mathbf{p}$  in  $d/d\alpha$  (local  $\chi^2$  alignment)












# Constrained Alignment

Using external knowledge to improve our alignment further

Some classes of misalignments may not be resolved by the methods on the previous slide, e.g. so-called “Weak Modes”

- Consider a correlated misalignment between detector layers
  - Can give a good fit  $\chi^2$  for a wrong trajectory by preserving helical track model
- Need to include external constraints to identify such effects
  - Constraints can be added to function  $\chi^2$  e.g. by considering it as a “pseudo-measurement”
- Various types of constraints possible
  - From independent detector system measurements (e.g. calorimeter energy)
  - From physics (e.g. mass constraints on resonance decay systems like  $J/\Psi$  or  $Z$ )

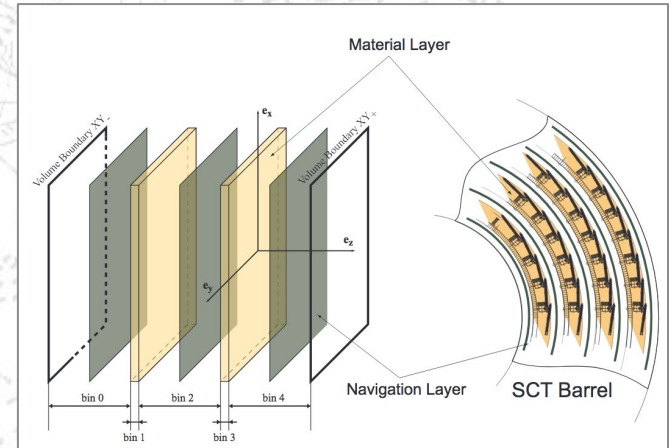
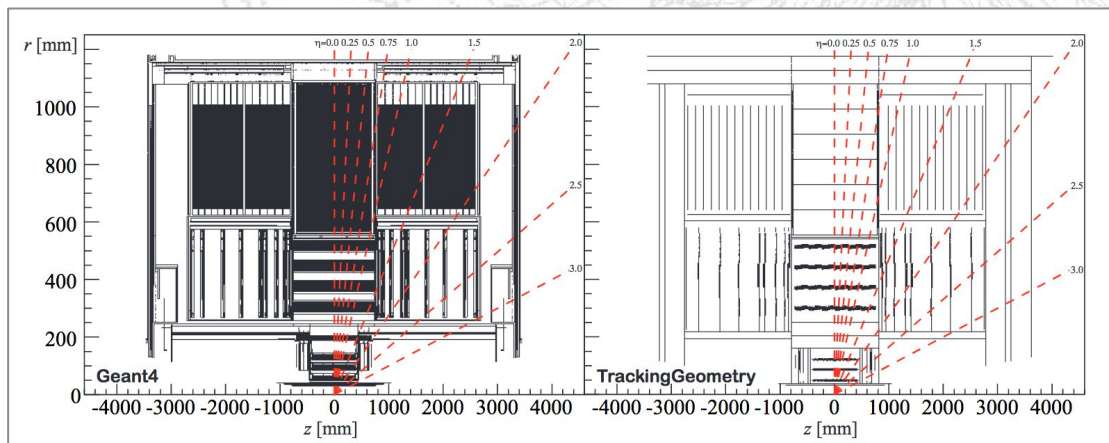
	$\Delta R$	$\Delta\phi$	$\Delta Z$
R	<b>Radial Expansion</b> (distance scale) 	<b>Curly</b> (Charge asymmetry) 	<b>Telescope</b> (COM boost) 
$\phi$	<b>Elliptical</b> (vertex mass) 	<b>Clamshell</b> (vertex displacement) 	<b>Skew</b> (COM energy) 
Z	<b>Bowing</b> (COM energy) 	<b>Twist</b> (CP violation) 	<b>Z expansion</b> (distance scale) 

# Understanding Detector Material

## Representing the detector material

**Describing detector material with appropriate accuracy important for good performance (both track precision and technical aspects)**

- A highly detailed Geant4 (or similar) simulation with full best-knowledge material description is normally available for producing Monte Carlo samples
  - Using this for providing material in track propagation typically impractical
  - Simplified material description needed per surface known to track reconstruction
  - E.g. “observed”  $x/X_0$  distribution binned in  $\eta/\phi$  per layer



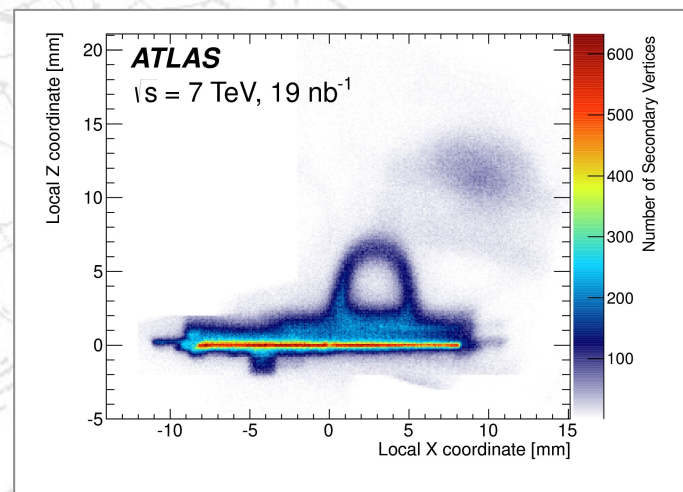
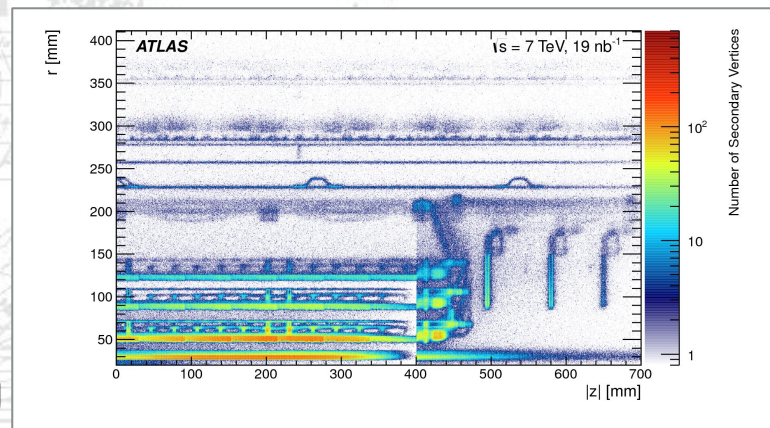
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# Understanding Detector Material

## “Weighing” the detector with tracks

Typically don't know how much material is *really* in a detector once it is built...

- Initial detailed simulation typically based on best engineering estimates
  - This will often underestimate the true picture, from small effects like extra cable lengths curling up, to larger contributions simply forgotten...
- Reconstructing secondary vertices from photon conversion and hadronic interactions allows this to be studied in detail
  - Compare number and position between data and Monte Carlo
  - Can use these comparisons to feed back into simulation model and improve the description



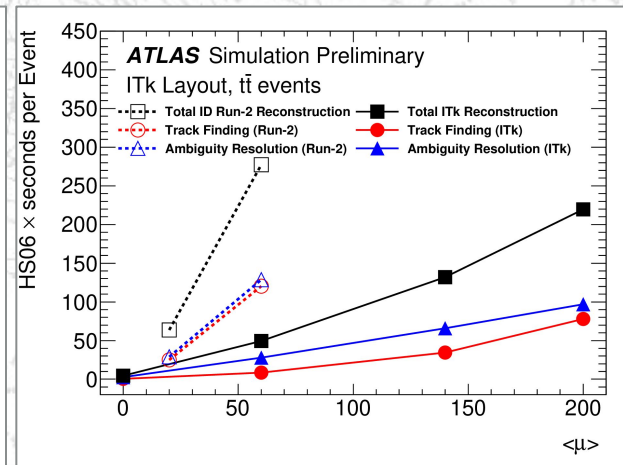
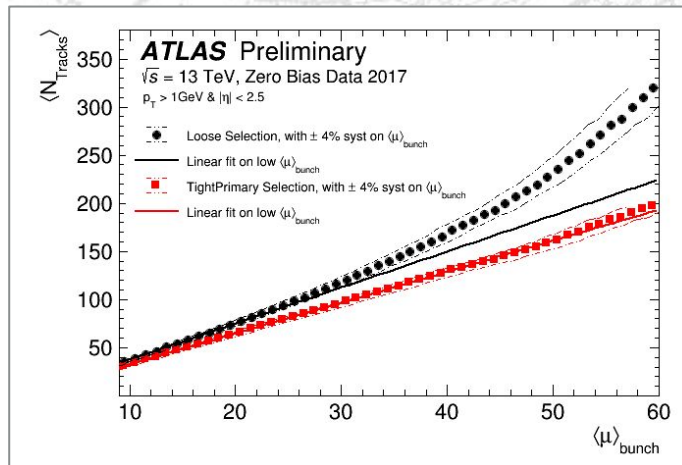
JINST 11 (2016) P11020

# Coping with Pile-up

## Mo' Data Mo' Problems...

### Intensity frontier pushing towards ever-higher instantaneous luminosities

- More particles in the detector at one time makes track reconstruction trickier and more time-consuming
  - More genuine tracks to process, plus combinatorial challenge in pattern recognition results in super-linear scaling in both number of reconstructed tracks and CPU time
- Keeping excellent performance while sticking within CPU, memory, and disk space budgets is a big challenge for future collider experiments
  - New and fresh ideas very welcome! Maybe you have some?





**Thank you for your  
attention!**

**Any questions?**

# Links

## Reference material used in producing these slides

- [Lecture By Salva Marti-Garcia](#)
- [Lecture by Pippa Wells](#)
- [Lecture by Markus Elsing](#)
- [Lecture Series by Wouter Hulsbergen](#)
- [Document by Are Strandlie and Rudolph Frühwirth](#)
- (last two in particular are excellent references for all of the full mathematical treatments)