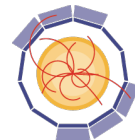


Particle Flow Calorimetry

Katja Krüger, Felix Sefkow

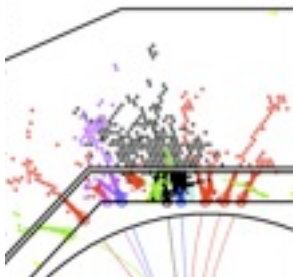


LINEAR COLLIDER COLLABORATION
Designing the world's next great particle accelerator



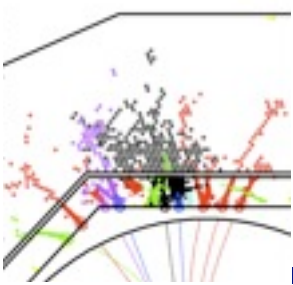
AIDA2020

EDT 2020

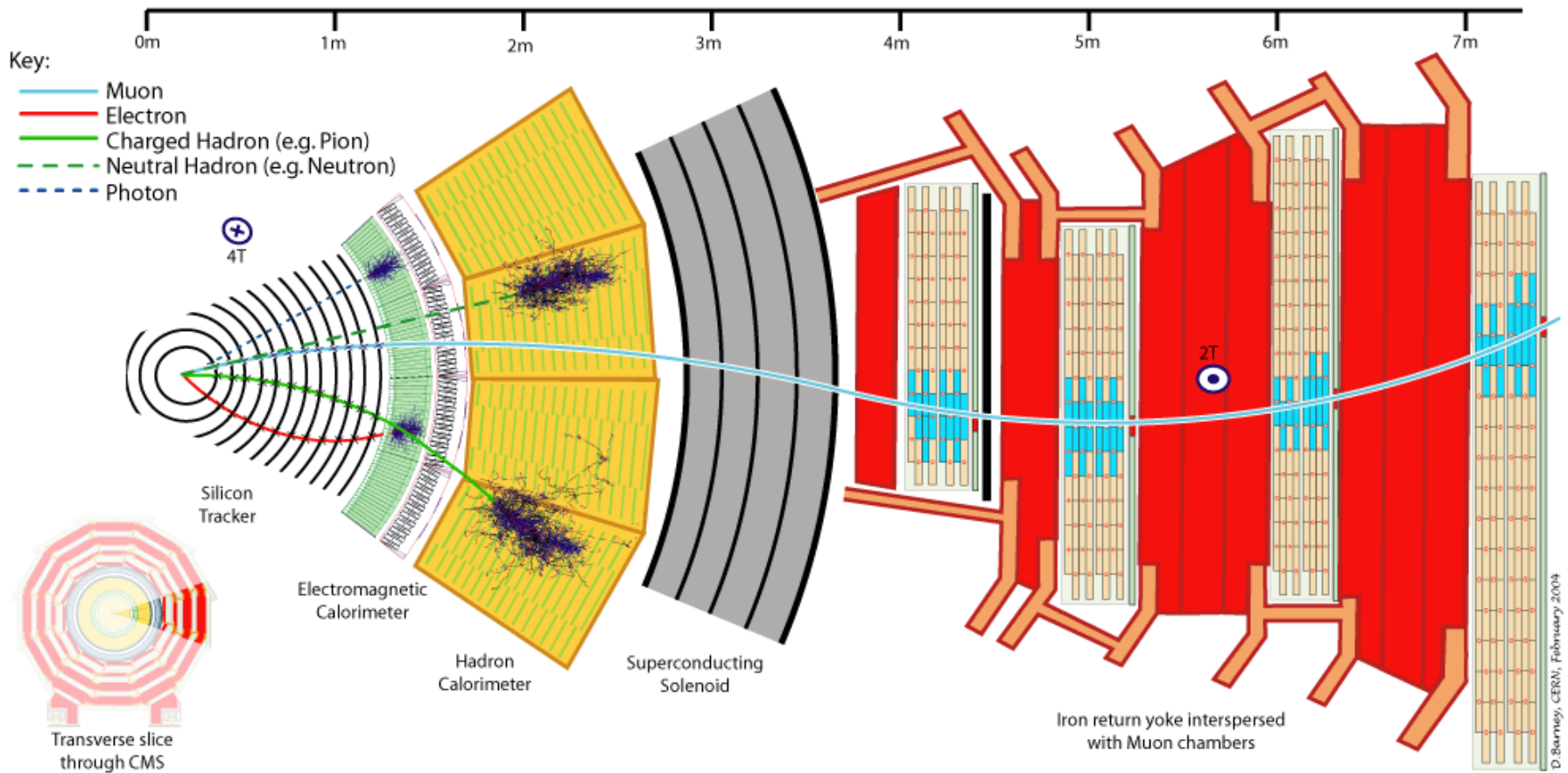


Outline

- Recall some calorimeter basics
- Particle flow calorimetry
- The exercise

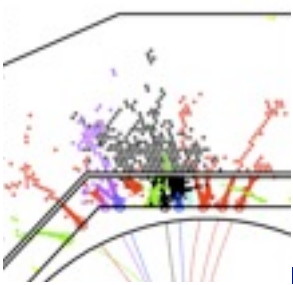


A generic collider detector

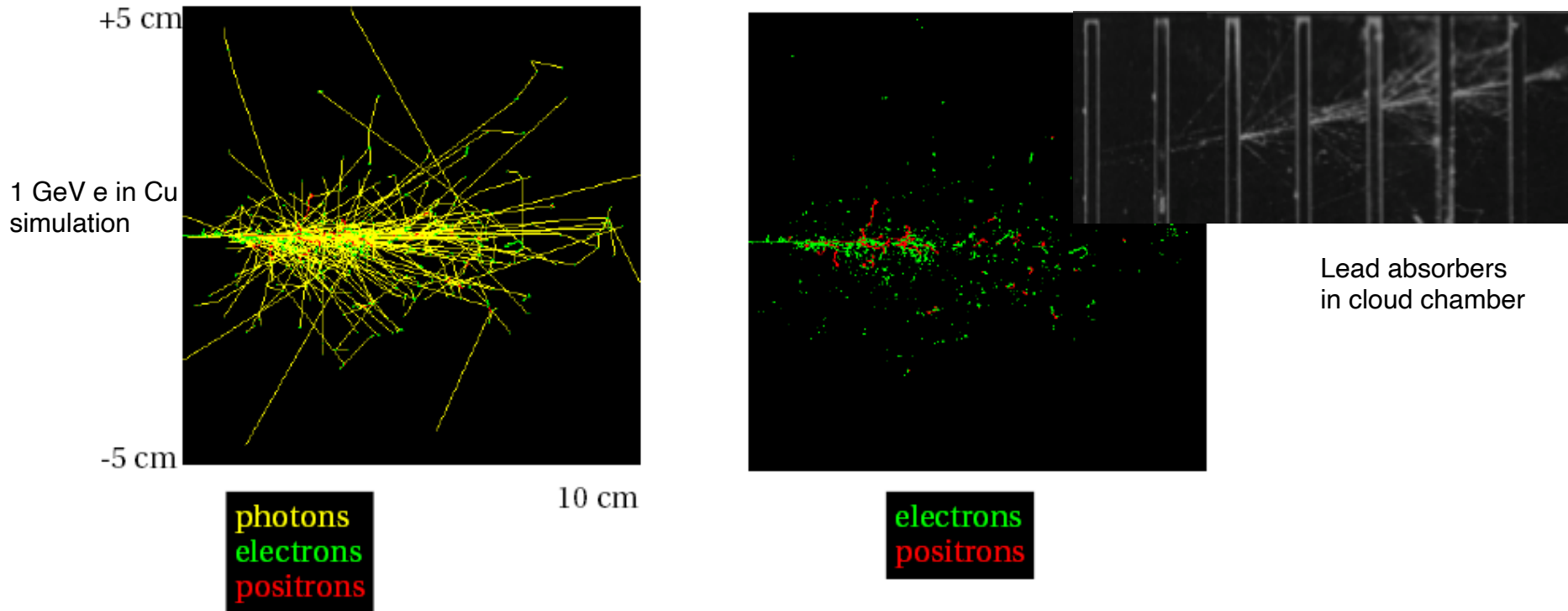


- Only charged particles produce signals

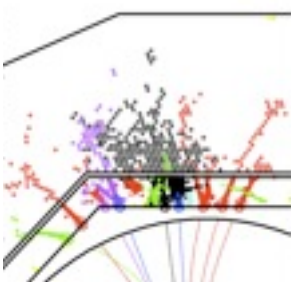
Recall some basics



Electromagnetic showers

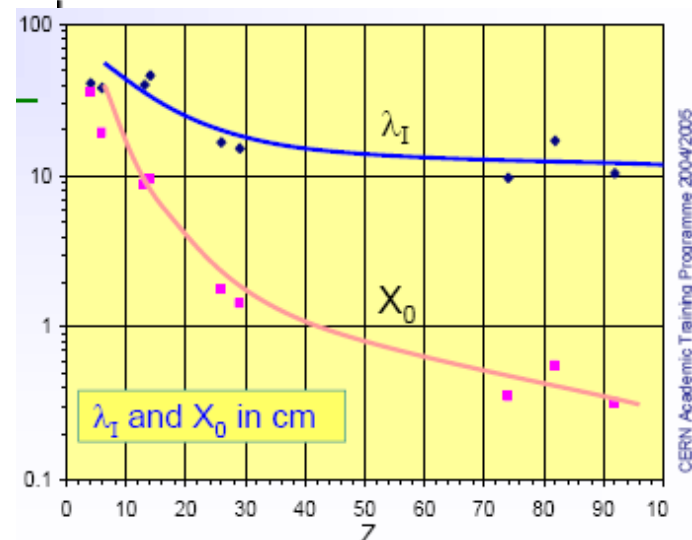
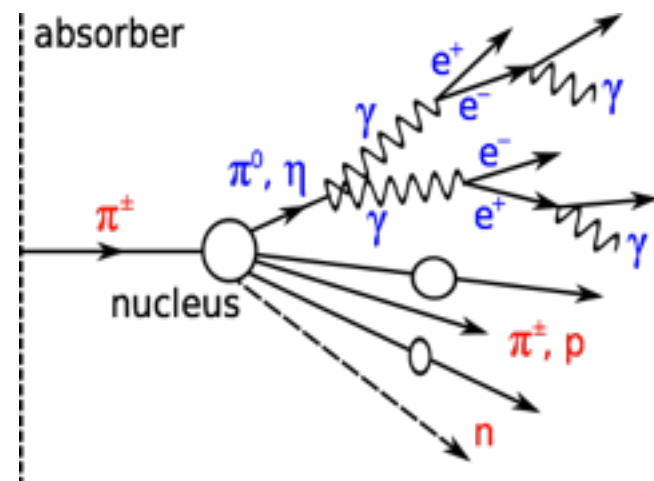


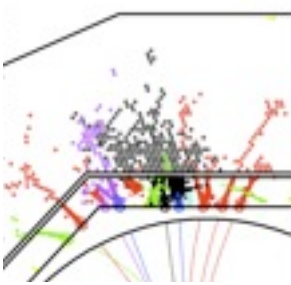
- Bremsstrahlung and pair creation until ionisation takes over
 - at $E_{\text{crit}} \sim 1/Z$, $N \sim E/E_{\text{crit}}$ particles: 1000s of e, millions of γ
- Radiation length X_0 (\sim cm)
- Exponential growth: shower size and shape vary with $\log E$



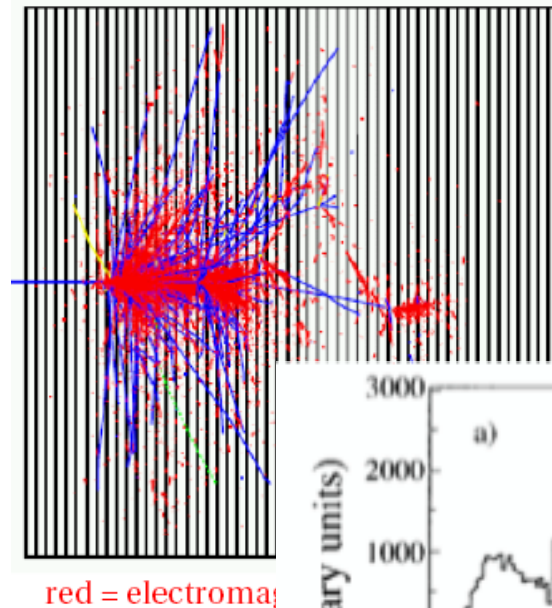
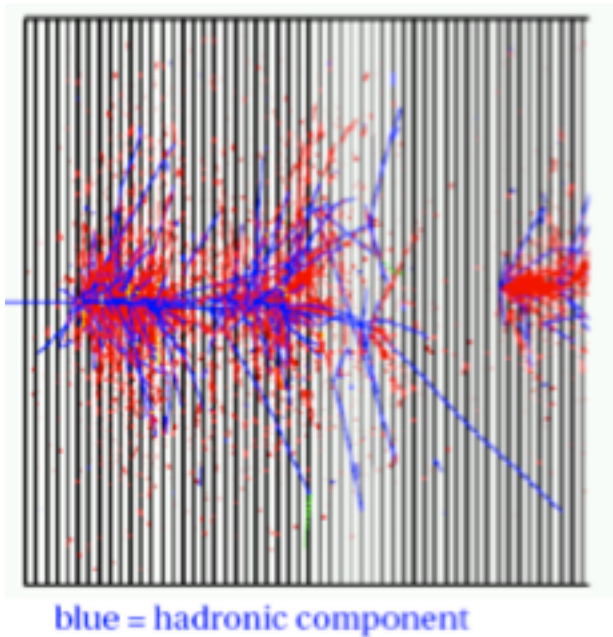
Hadron showers

- Hadrons undergo strong interactions with detector material; nuclear collisions
- Secondary particles are produced
 - Partially undergo tertiary nuclear interactions → formation of a hadronic cascade
 - Electromagnetically decaying particles initiate em showers, in general different response
 - Part of the energy is absorbed as nuclear binding energy or target recoil and remains invisible
 - Similar to em showers, but much more complex
- Numerical examples for copper
 - 10 GeV: $f = 0.38$; 9 charged h, 3 π^0
 - 100 GeV: $f = 0.59$; 58 charged h, 19 π^0
- Small numbers, large fluctuations
 - E.g. charge exchange $\pi^- p \rightarrow \pi^0 n$ (prb 1%) gives $f_{\text{em}} = 100\%$
- Different scale: hadronic interaction length λ
 - global shape λ , substructure X_0



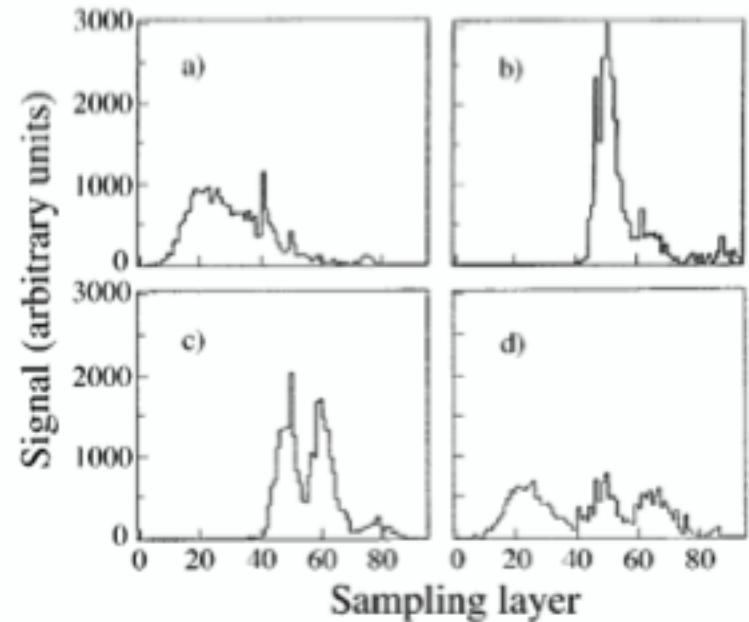


Fluctuations: sampling, leakage

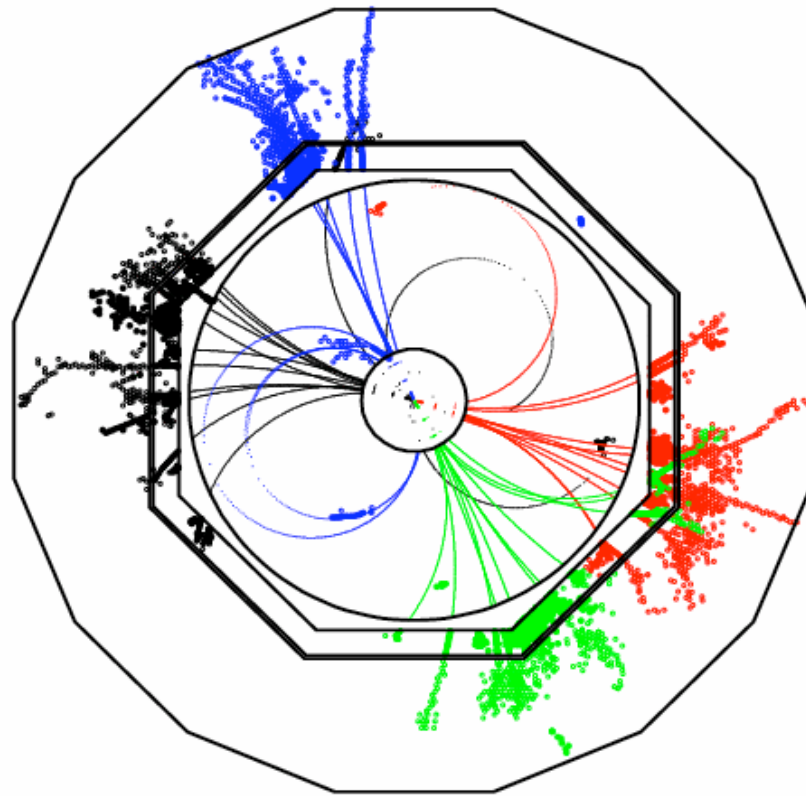


Leakage: in principle no problem
But: leakage fluctuations are!
(rule of thumb: $\sigma_{\text{leak}} \sim 4 f_{\text{leak}}$)

sampling fluctuations

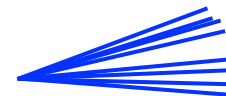


Particle flow concept



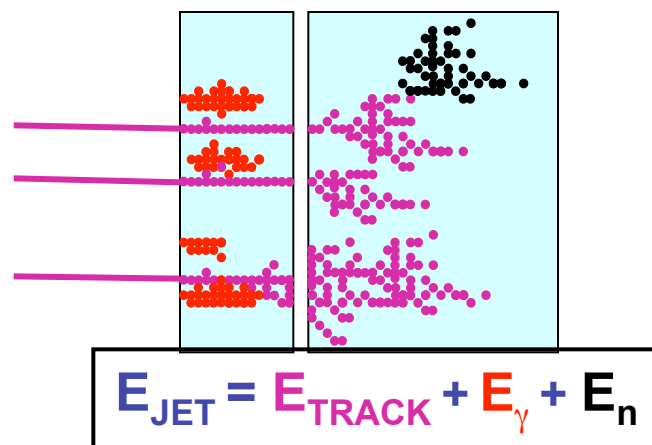
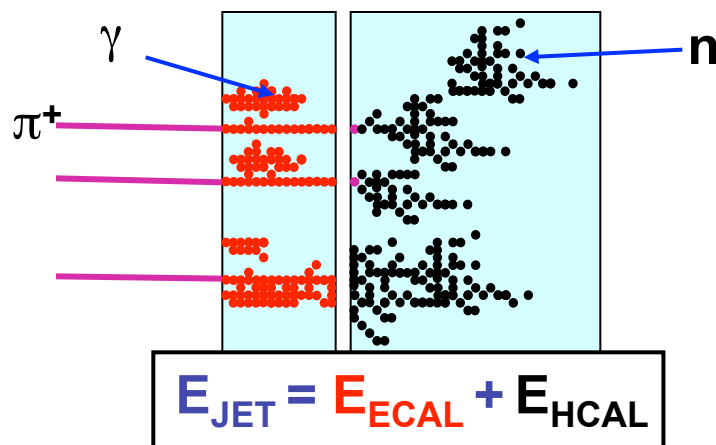
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from $\pi^0 \rightarrow \gamma\gamma$)
- ◆ 10 % in neutral hadrons (mainly n and K_L)



★ Traditional calorimetric approach:

- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



★ Particle Flow Calorimetry paradigm:

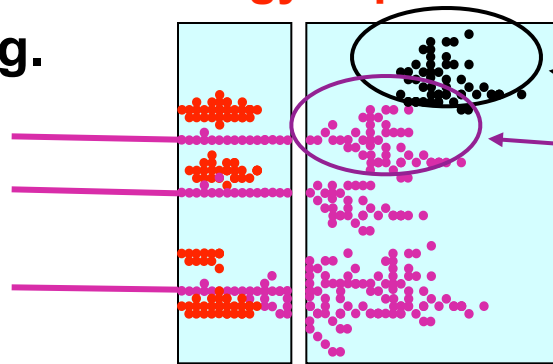
- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL: $\sigma_E/E < 20\% / \sqrt{E(\text{GeV})}$
- ◆ Neutral hadrons (ONLY) in HCAL
- ◆ Only 10 % of jet energy from HCAL \Rightarrow much improved resolution

Particle Flow Reconstruction

Reconstruction of a Particle Flow Calorimeter:

- ★ **Avoid double counting of energy** from same particle
- ★ **Separate energy deposits** from different particles

e.g.

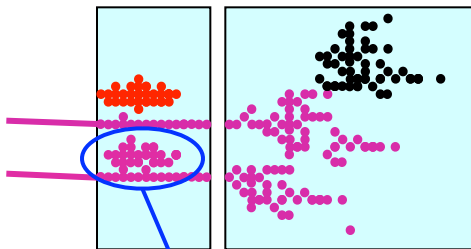


If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

Level of mistakes, “confusion”, determines jet energy resolution
not the intrinsic calorimetric performance of ECAL/HCAL

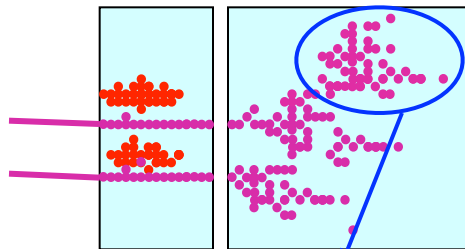
Three types of confusion:

i) Photons



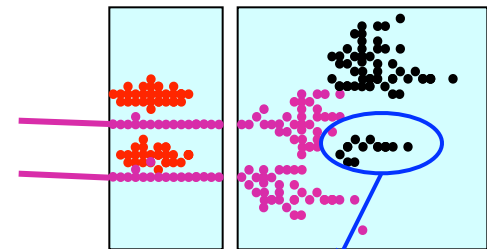
Failure to resolve photon

ii) Neutral Hadrons

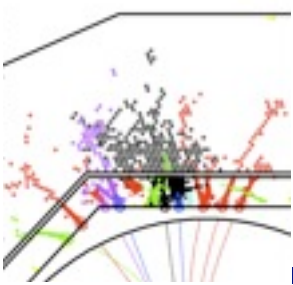


Failure to resolve neutral hadron

iii) Fragments

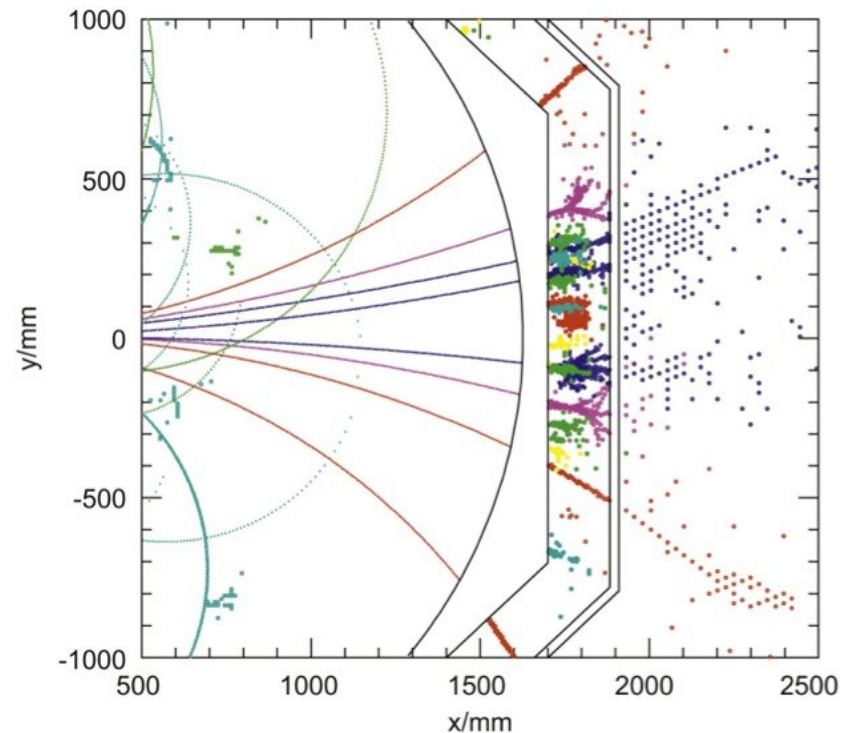


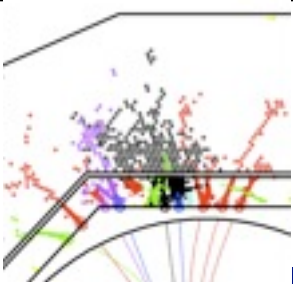
Reconstruct fragment as separate neutral hadron



Particle flow detectors

- Large radius, high magnetic field, calorimeters inside coil
- Dense and compact design
- Very high granularity
 - order of Moliere radius
 - ECAL: 0.5 - 1 cm, 10^8 cells
 - HCAL: 1 - 3 cm, 10^7 - 10^8 cells
- Cost is rather driven by instrumented area then by cell size





Understand particle flow performance

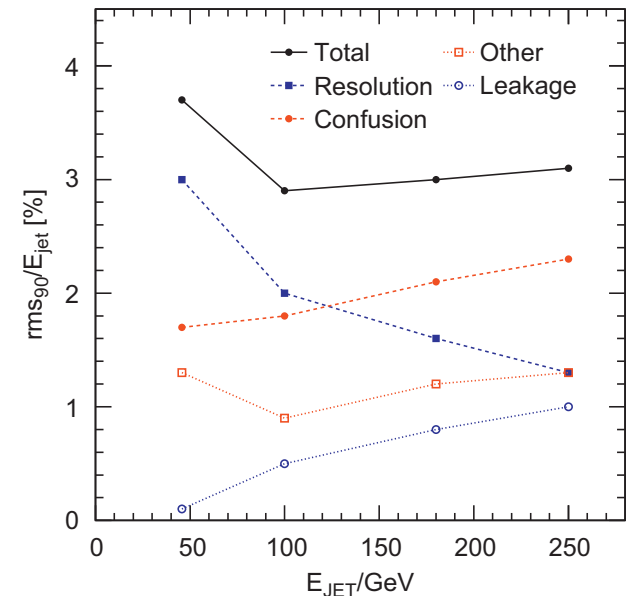
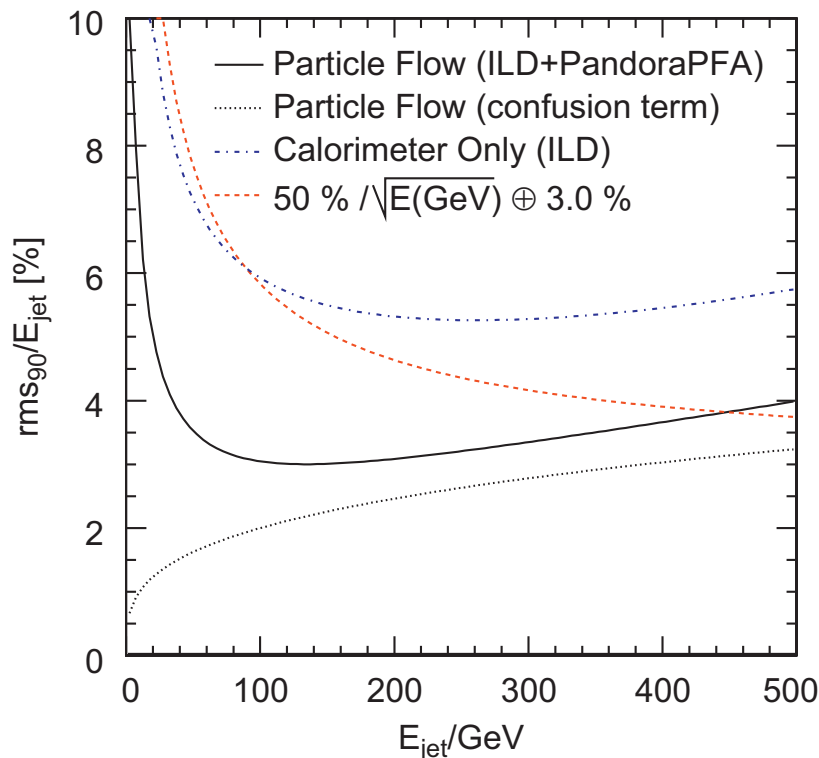
$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left(\frac{E}{100} \right)^{+0.3} \%$$

Resolution

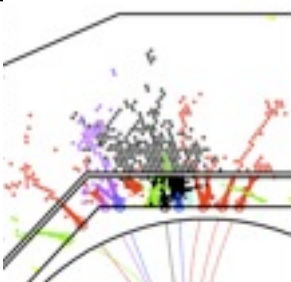
Tracking

Leakage

Confusion



- Particle flow is always a gain
 - even at high jet energies
- Calorimeter resolution does matter
 - dominates up to ~ 100 GeV
 - contributes to resolve confusion
- Leakage plays a role, too
 - but less than in classic case



Understand particle flow performance

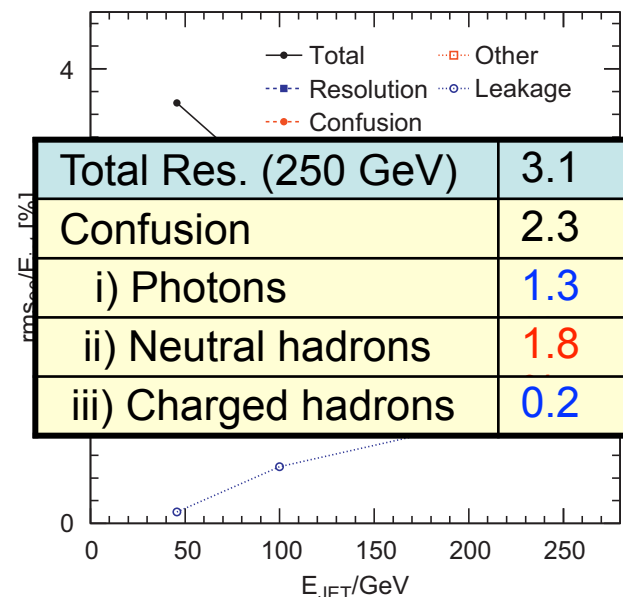
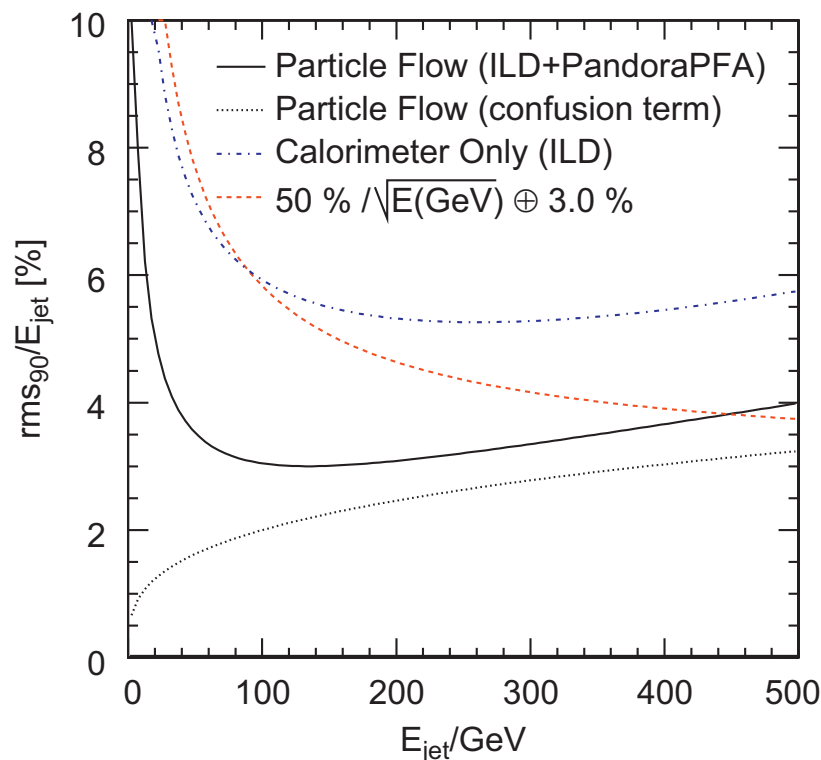
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Resolution

Tracking

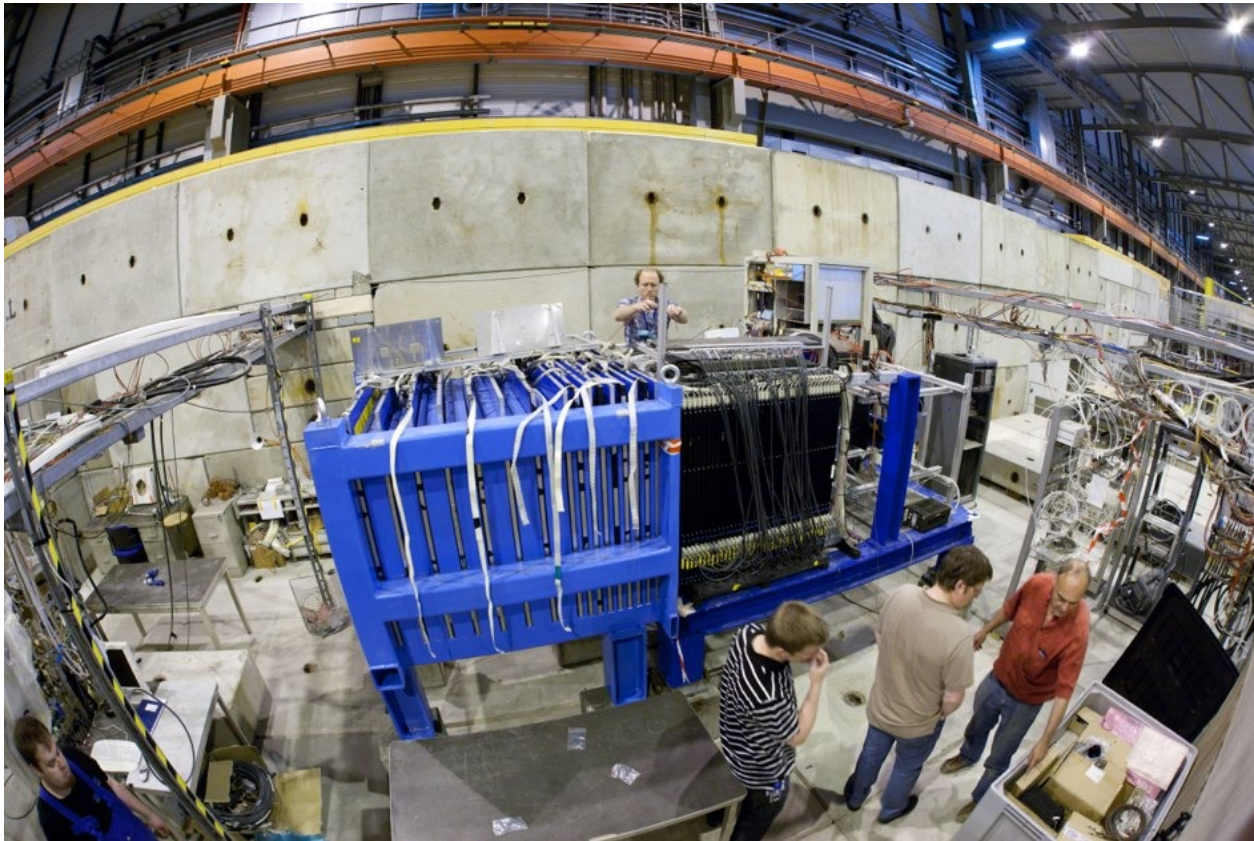
Leakage

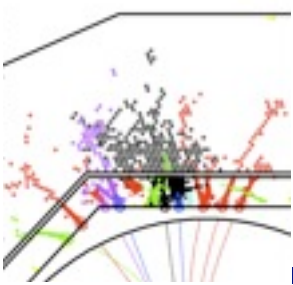
Confusion



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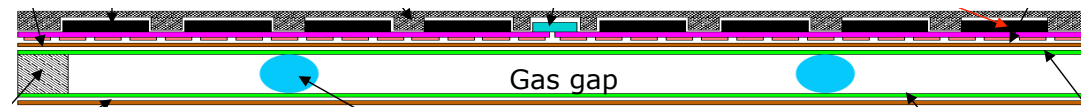
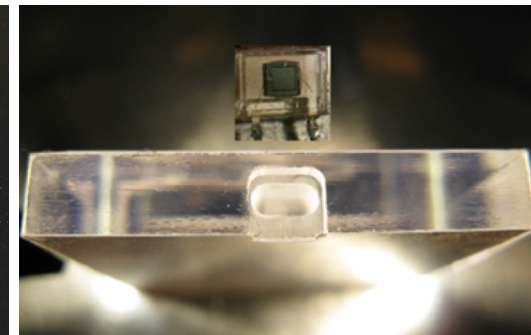
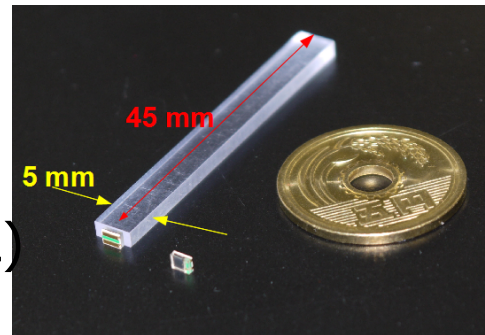
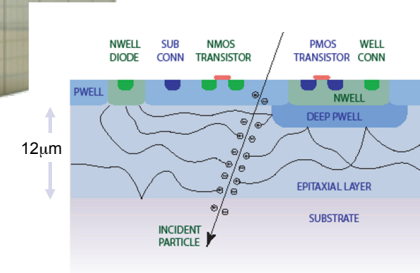
Technologies and test beam performance

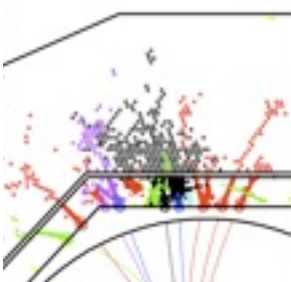




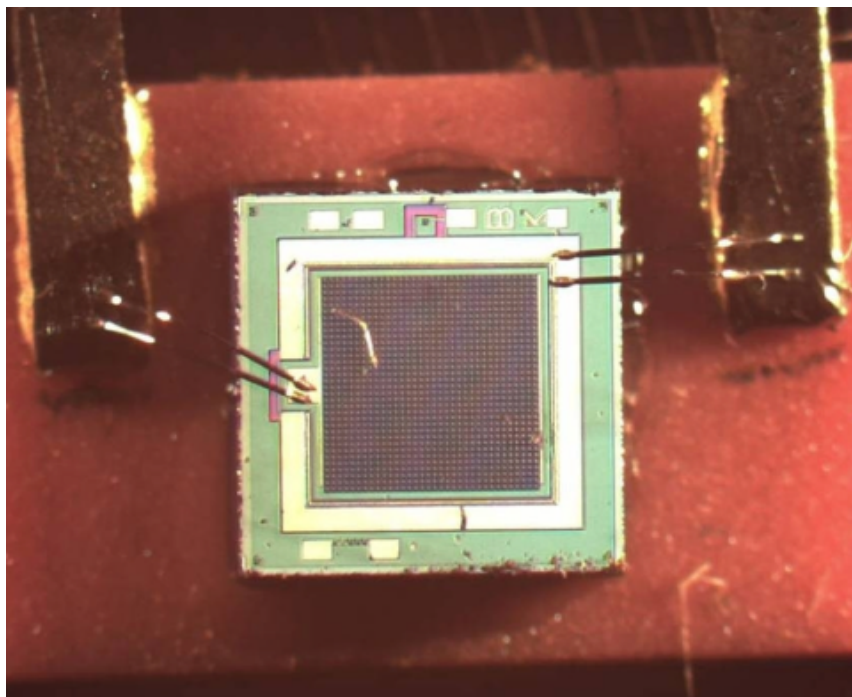
Particle flow technologies

- Silicon (ECAL)
 - most compact solution, stable calibration
 - 0.5 - 1 cm² cell size
 - MAPS pixels also studied
- Scintillator SiPM (ECAL, HCAL)
 - robust and reliable, SiPMs..
 - ECAL strips: 0.5 - 1 cm eff.
 - HCAL tiles: 3x3 cm²
- Gaseous technologies (HCAL)
 - fine segmentation: 1 cm²
 - Glass RPCs: well known, safe
 - MPGDs: proportional, rate-capable
 - GEMs, Micromegas

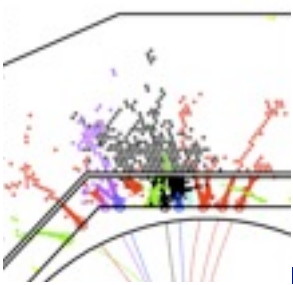




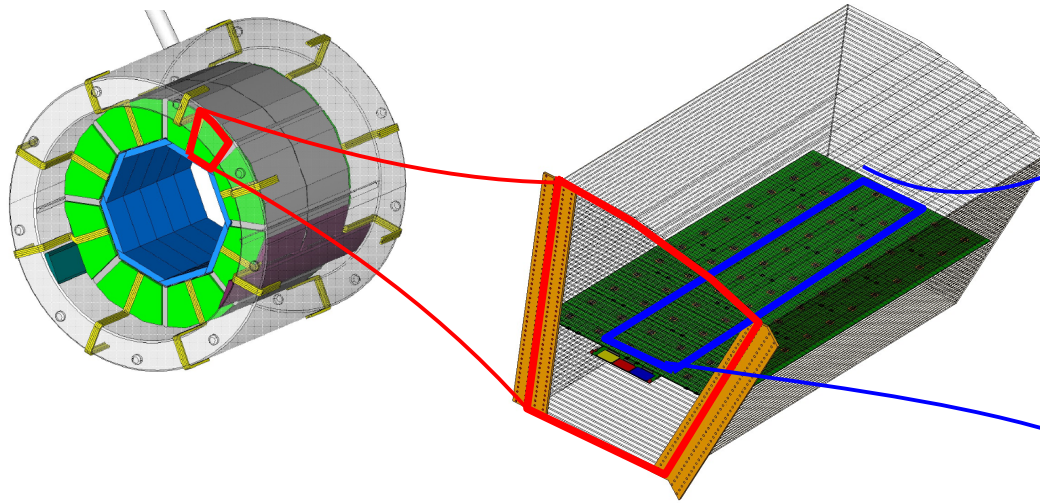
SiPMs



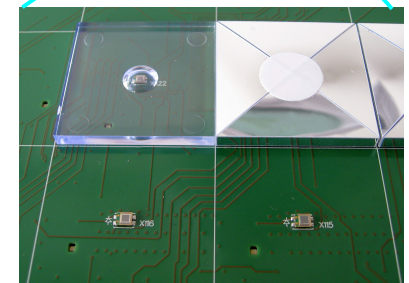
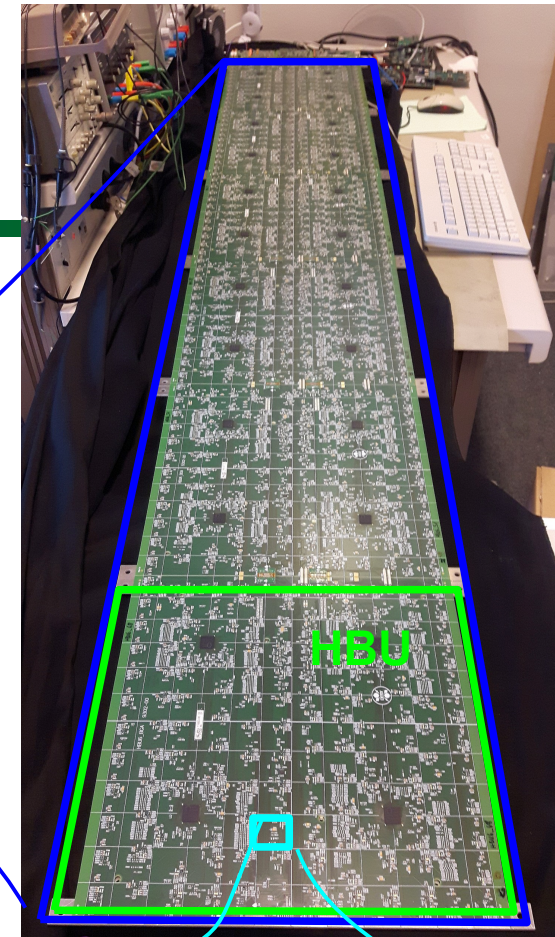
- pixelated
- avalanche photodiodes operated in Geiger mode
- sensitive to single photons
- gain of about 10^6
- insensitive to magnetic fields
- recently many developments in industry, e.g. reduced noise rates, more pixels, sensitivity to UV
- used e.g. in HCAL outer upgrade of CMS



The AHCAL



- hadron calorimeter concept for future electron-positron collider
- highly granular scintillator SiPM-on-tile calorimeter, $3 \times 3 \text{ cm}^2$ scintillator tiles optimised for uniformity
- fully integrated electronics
- scalable to full detector (~ 8 million channels)
- **H**CAL **B**ase **U**nit: $36 \times 36 \text{ cm}^2$, 144 tiles, 4 SPIROC2E ASICs
- Testbeam prototype: 7 layers of 1 HBU each

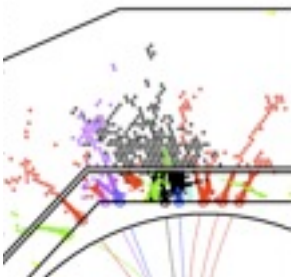


EDIT Exercises



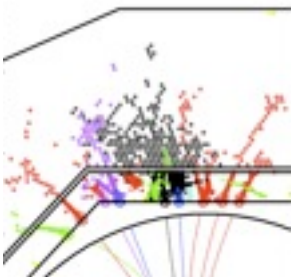
SiPM Gain

- Goal: learn about single-photon sensitivity of SiPMs, Single Pixel Spectra as basis for calibration
- Measure Spectra for several light intensities, analyse them, determine gain
 - does it depend on light intensity? Do we need to know the intensity?
- If time allows: change SiPM bias voltage, does this influence the gain? Consequences for detector?



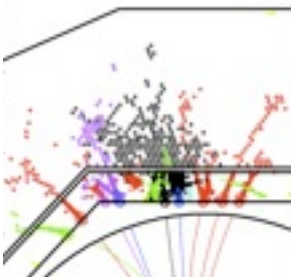
MIP Measurement

- Goal: learn about the energy deposition of minimal ionising particles (MIPs) as basic unit of hit energy measurement
- Measure hit energies in a "naked" AHCAL layer (without absorber) in the DESY testbeam for several electron energies
- Analyse and fit the hit energy spectra
- With the gain determined in an earlier measurement, calculate the light yield



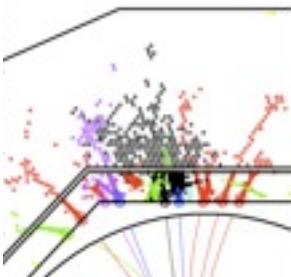
Shower Measurement

- Goal: learn how electromagnetic showers look like, how does the energy resolution depend on the particle energy
- Measure electron showers in the DESY testbeam for several energies
- Analyse the data
 - find cuts to clean the sample
 - look at reconstructed energy distribution (mean and width) as a function of particle energy



Calorimeter event analysis

- Goal: A hands-on experience with showers, their topologies and the fluctuations of energy and shape
 - Check event displays of prototype data (22000 channels!)
- Vary
 - Particle type: electrons and pions
 - Energy
- Some simple analysis on larger samples: Do you understand the results?
- Determine the interaction length of the calorimeter prototype by investigating the shower start distribution

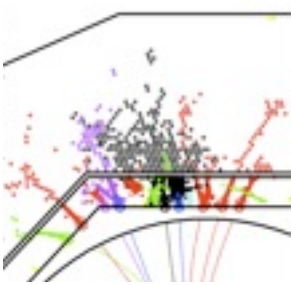


Running particle flow reconstruction

- Calorimeter+track standalone and full simulated $e+e^-$ collider events!
- Goal: Hands-on experience with power and limitations of high granularity and particle flow
- Run interactive particle flow reconstruction step by step
 - Associate tracks and calorimeter objects
- Vary the energy and look at simple and complicated scenarios
- Look at the results: What went right, what went wrong?
 - Both can be interesting!
 - Single particle level and “confusion matrix”: Reconstructed vs true energy

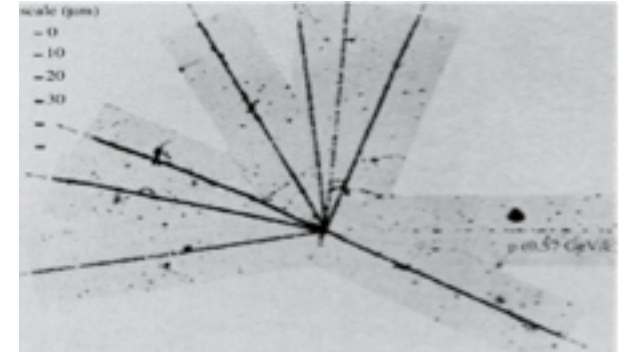
Enjoy!

Back-up slides



Hadronic interactions

- 1st stage: the hard collision
 - Multiplicity scales with E
 - $\sim 1/3 \pi^0 \rightarrow \gamma\gamma$
 - Leading particle effect: depends on incident hadron type,
 - e.g. fewer π^0 from protons
- 2nd stage: spallation
 - Intra-nuclear cascade
 - Fast nucleons and other hadrons
 - Nuclear de-excitation
 - Evaporation of soft nucleons and α particles
 - Fission + evaporation

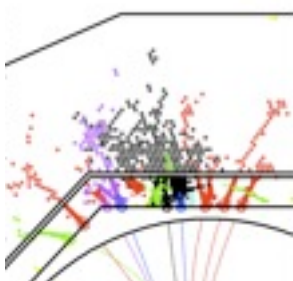


- The response to the hadronic part of a hadron-induced shower is usually smaller than that to the electromagnetic part: **$h \neq e$**
 - Due to the invisible energy
 - Due to the short range of spallation nucleons
 - Due to saturation effects for slow, highly ionizing particles



-
- The diagram illustrates the production of various particles from a nucleus. An incoming π^+ meson (red) interacts with a nucleus (black circle). The interaction produces several particles: π^0 and η mesons (blue), which then decay into photons (γ) and electron-positron pairs (e^+e^-); and pions (π^+), protons (p), and neutrons (n) (red). The entire process is labeled f_{em} . An absorber is shown on the left.

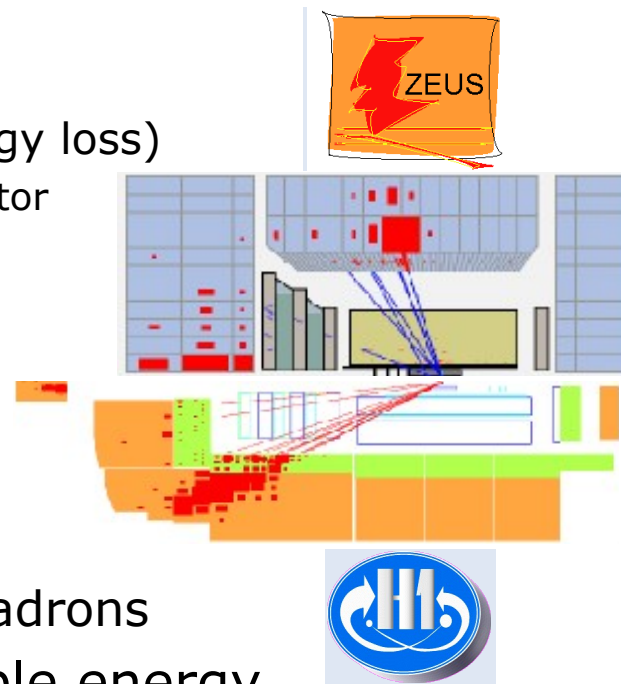
Compensation

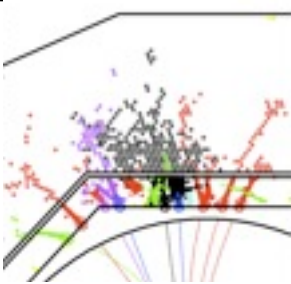


Different strategies, which can also be combined

- Hardware compensation
 - Reduce em response
 - High Z, soft photons
 - Increase had response
 - Neutron part (correlated with binding energy loss)
 - Tunable via thickness of hydrogenous detector
 - Example ZEUS: uranium scintillator,
 - 35% $/\sqrt{E}$ for hadrons, 45% $/\sqrt{E}$ for jets
- Software compensation
 - Identify em hot spots and down-weight
 - Requires high 3D segmentation
 - Example H1, Pb/Fe LAr, $\sim 50\%$ $/\sqrt{E}$ for hadrons

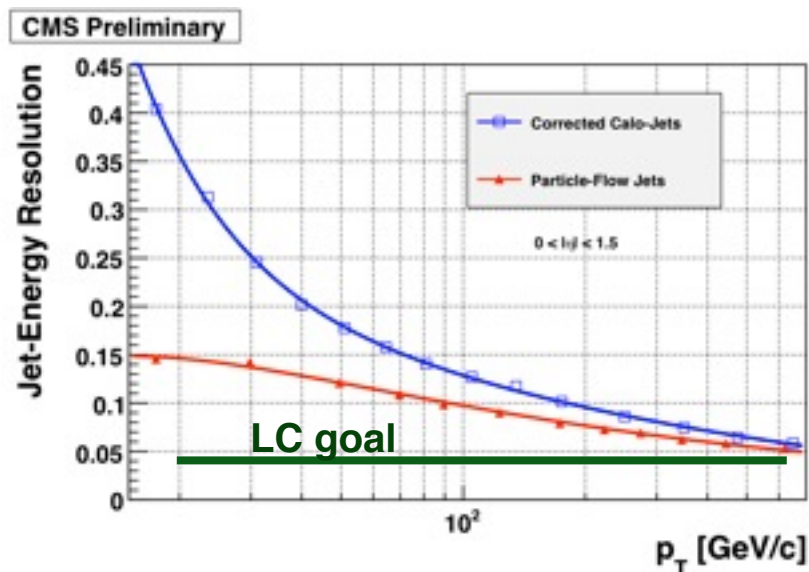
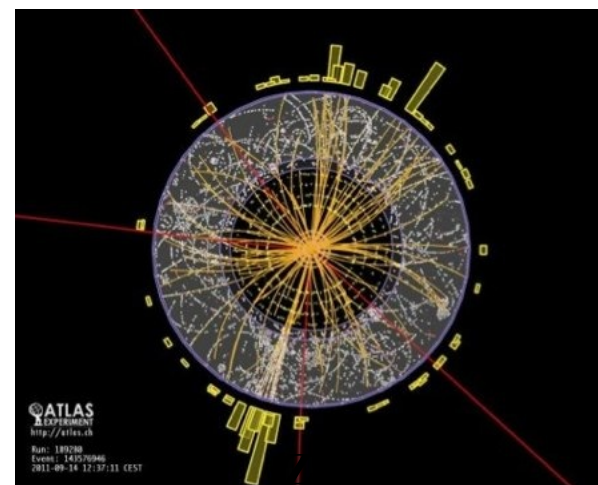
NB: Does not remove fluctuations in invisible energy



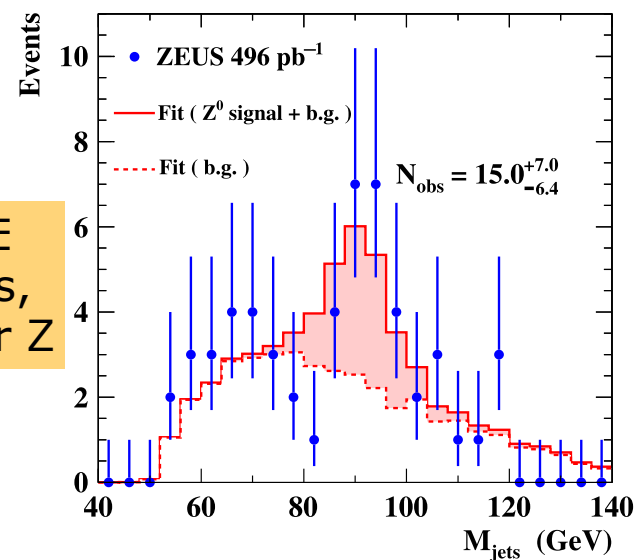


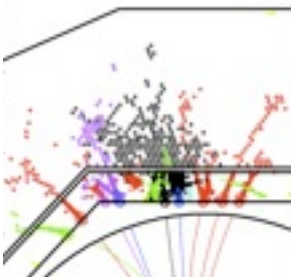
The jet energy challenge

- Jet energy performance of existing detectors is not sufficient for separation of W and Z bosons
 - E.g. CMS: $\sim 100\%/\sqrt{E}$, ATLAS $\sim 70\%/\sqrt{E}$
- Calorimeter resolution for hadrons is intrinsically limited, e.g. nuclear binding energy losses
- Resolution for jets worse than for single hadrons
- It is not sufficient to have the world's best calorimeter



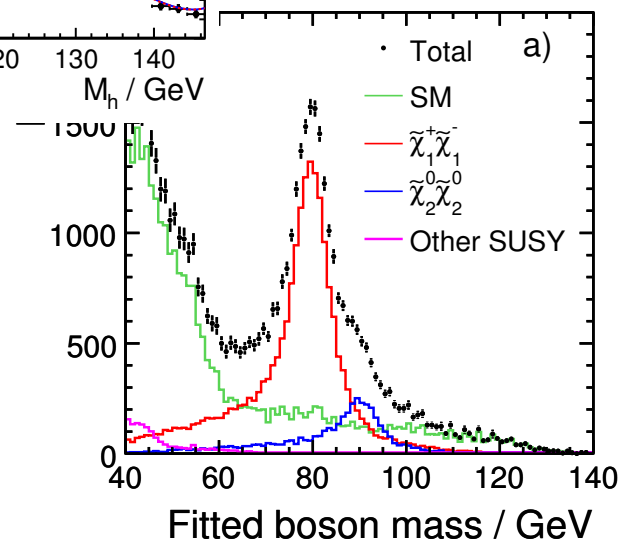
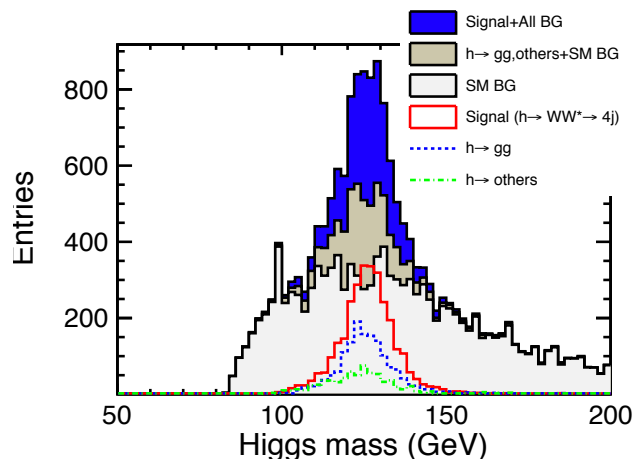
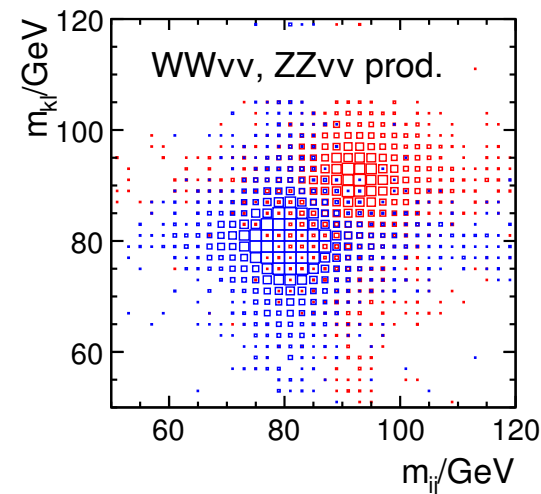
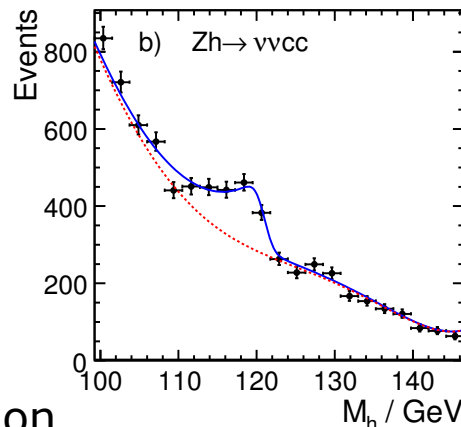
35% \sqrt{E}
for pions,
6 GeV for Z

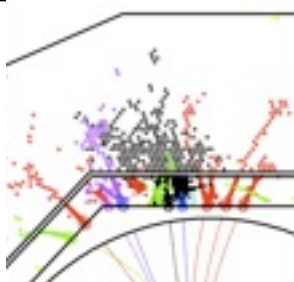




LC physics with jets: M_{inv}

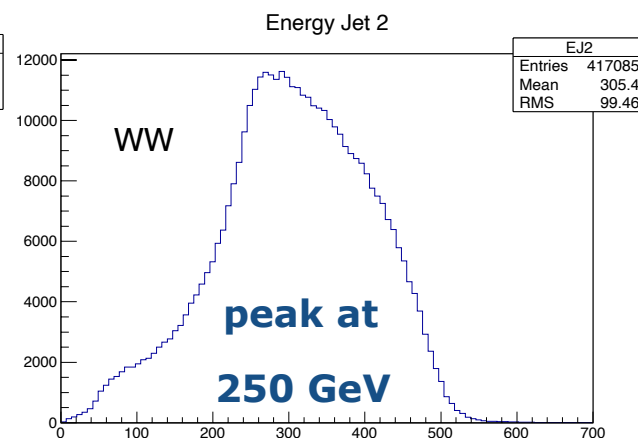
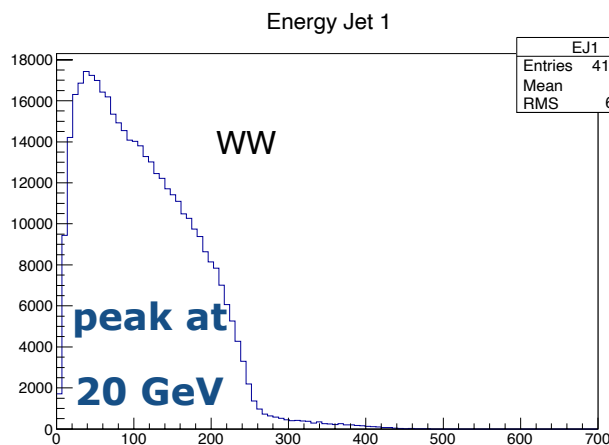
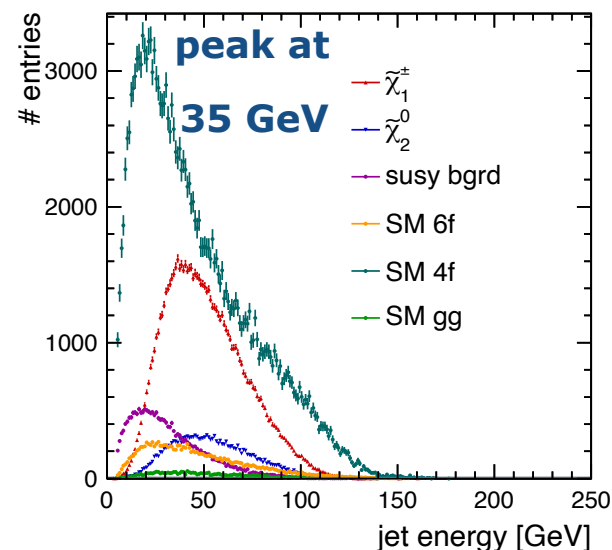
- W - Z separation
 - study strong e.w. symmetry breaking at 1 TeV
- Other di-jet mass examples
 - $H \rightarrow cc$, $Z \rightarrow \nu\nu$
 - Higgs recoil with $Z \rightarrow qq$
 - invisible Higgs
 - WW fusion $\rightarrow H \rightarrow WW$
 - total width and g_{HWW}
- SUSY example:
 - Chargino neutralino separation



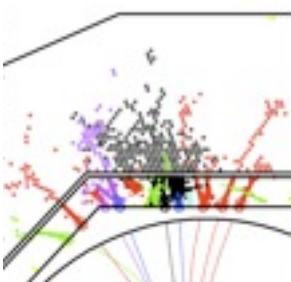


Jet energies

- $\sigma_m/m = 1/2 \sqrt{(\sigma_{E1}/E_1)^2 + (\sigma_{E2}/E_2)^2}$
 - low energy jets important
 - high energy, too
- At $\sqrt{s} = 500$ GeV
- example chargino, neutralino $\rightarrow qq + \text{invis.}$
- At $\sqrt{s} = 1$ TeV
- example $WW \rightarrow H \rightarrow WW \rightarrow l\nu qq$



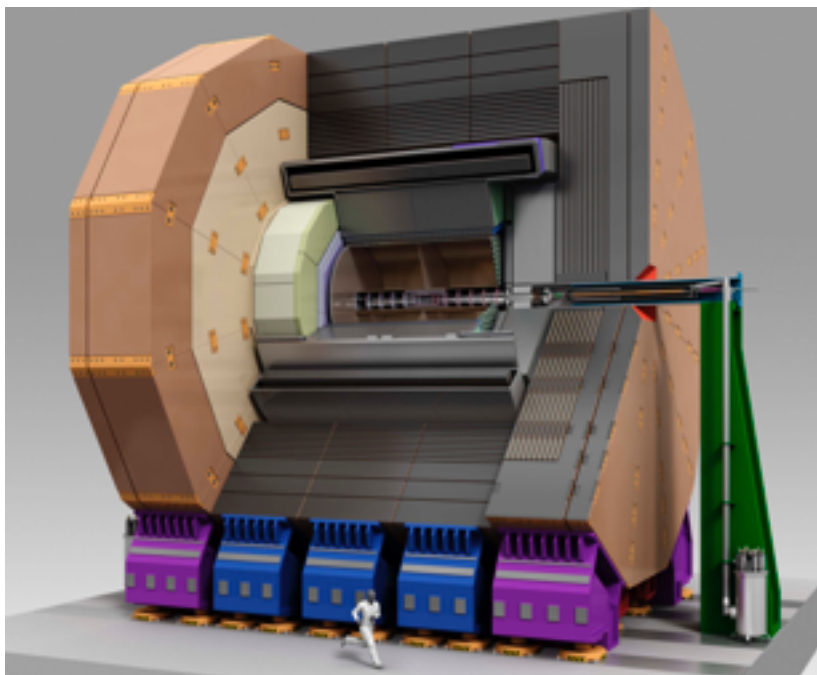
plots:
J.List, M.Chera, A.Rosca
DESY



Particle flow detectors

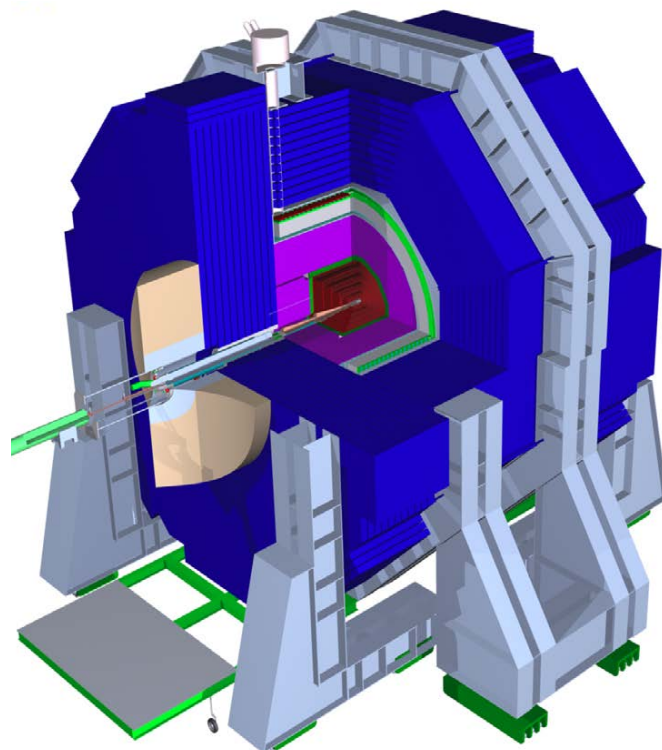
- large radius, large field, compact calorimeter, fine 3D granularity
 - Typ. 1X0 long., transv.: ECAL 0.5cm, HCAL 1cm (gas) - 3cm (scint.)
- optimised in full simulations and particle flow reconstruction

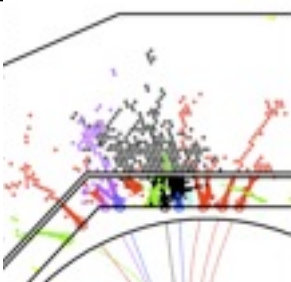
ILD: large TPC, $B=3.5T$, PFLOW calo



SiD: all-Si tracker, $B=5T$, PFLOW calo

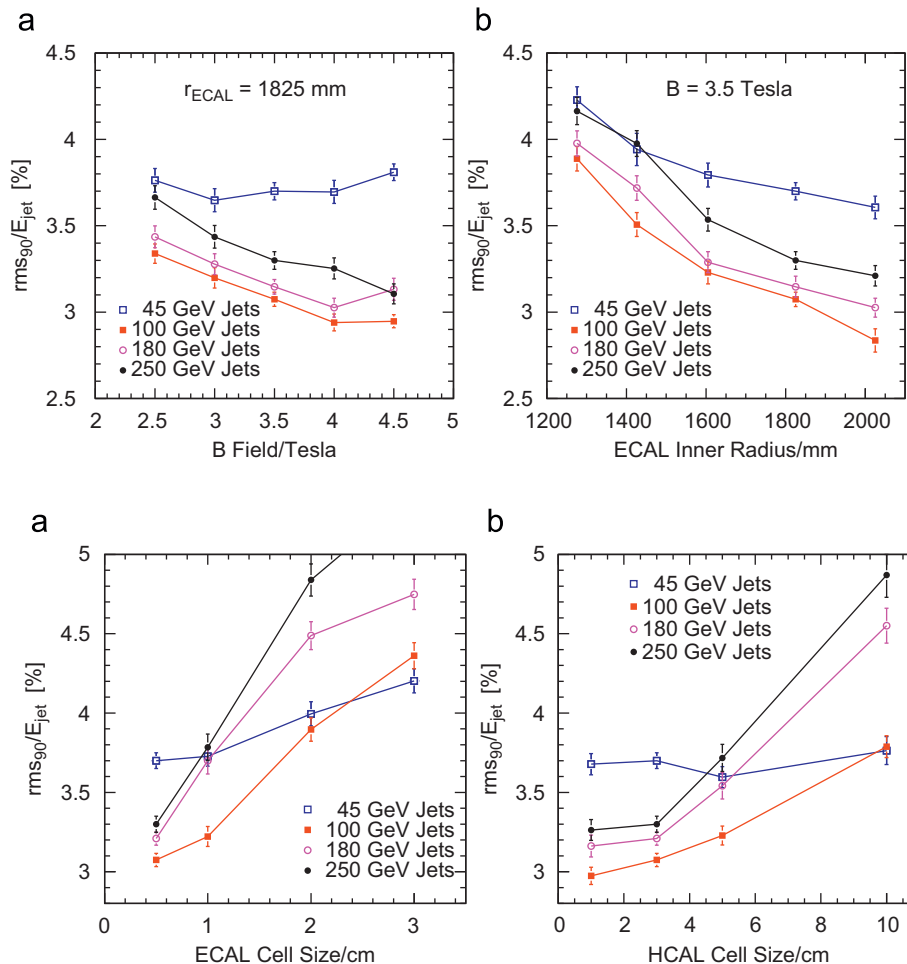
CLIC:
tungsten
barrel HCAL
considered

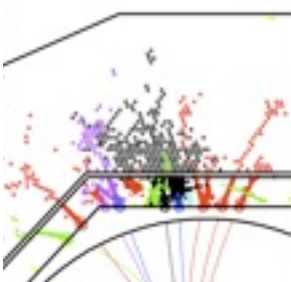




Granularity optimisation

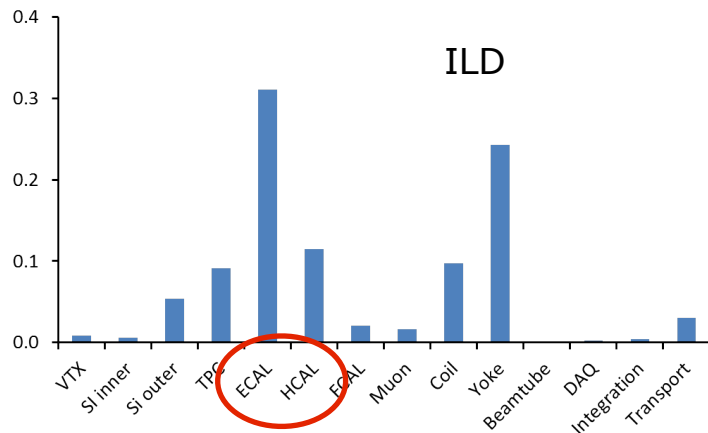
- Based of Pandora PFA
- Large radius and B field drive the cost
- Both ECAL and HCAL segmentation of the order of X_0
 - longitudinal: resolution
 - transverse: separation
- Cost optimisation to be done



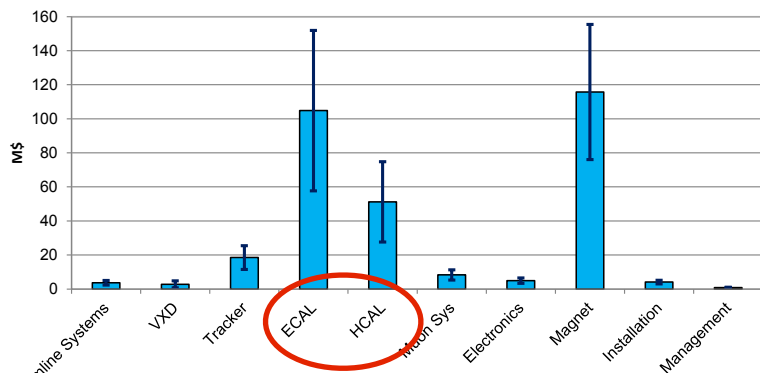


Calorimeter cost

fraction
of 392

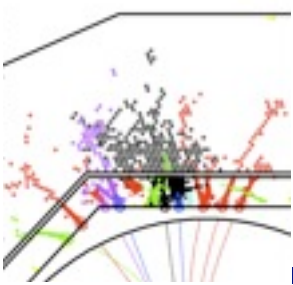


SiD M&S

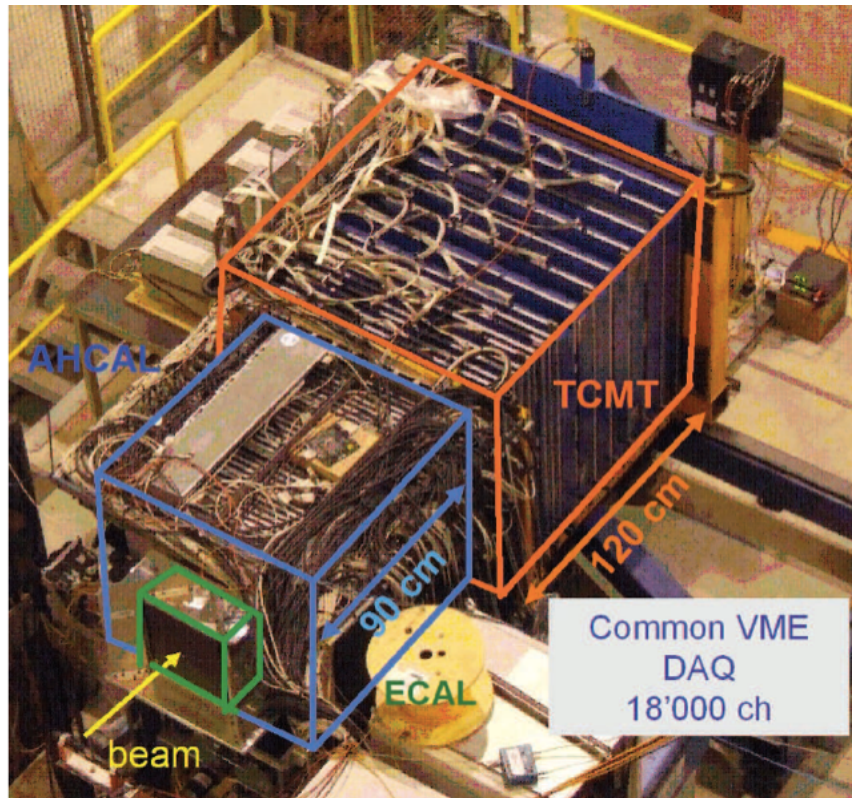


sum = 315

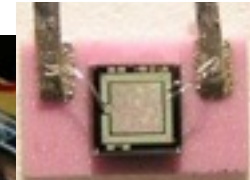
- Costing is at a very early stage
- Yet, many lessons learnt from 2nd generation prototypes
- Example HCAL:
- example ILD scint HCAL: 45M
 - 10M fix, rest \sim volume
 - 10M absorber, rest \sim area (n_{Layer})
 - 16M PCB, scint, rest \sim channels
 - 10 M SiPMs and ASICs
- ECAL:
- main cost driver: silicon area
- ILD 2500 m², SiD 1200 m²
 - cf. CMS tracker 200 m²
 - cf. CMS ECAL+HCAL endcap 600 m²



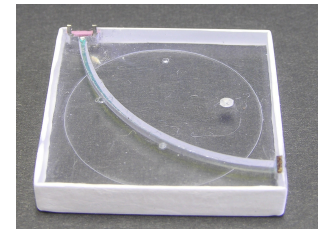
Test beam set-up



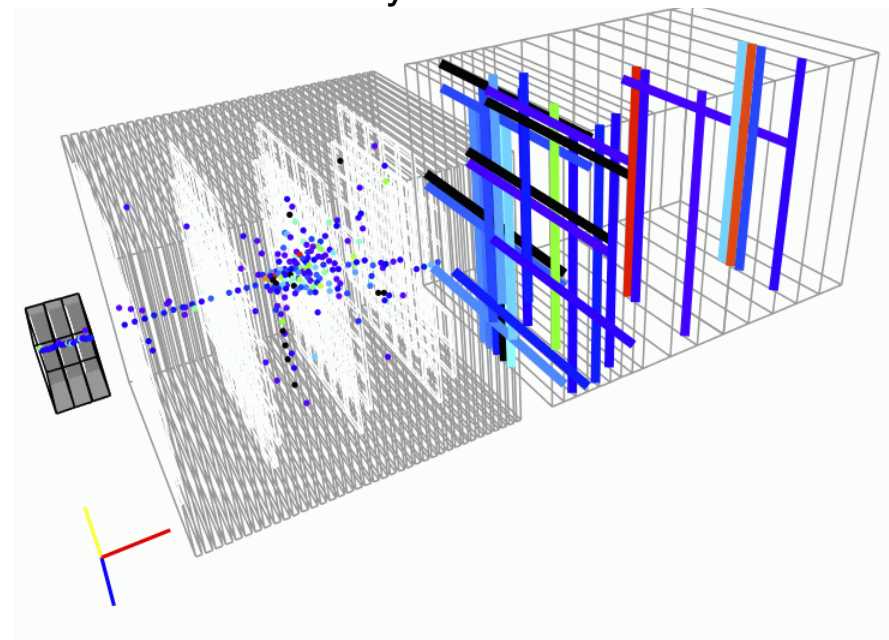
3x3cm²
tile



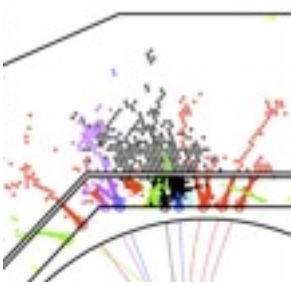
1mm²
SiPM



1x1 m² tile AHCAL layer



- at CERN SPS



Steel and Tungsten

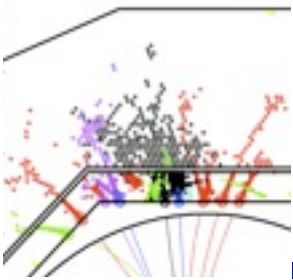


$\lambda = 17 \text{ cm}$
 $X_0 = 1.9 \text{ cm}$
 $d = 2 \text{ cm}$
 $\sim 0.1 \lambda, 1 X_0$



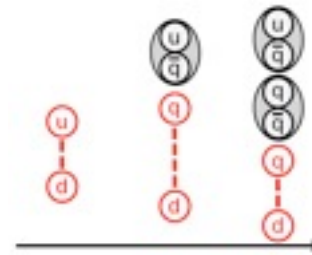
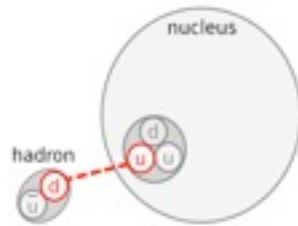
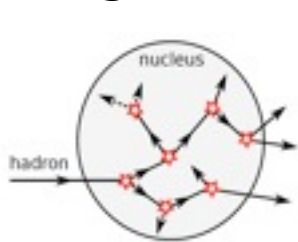
$\lambda = 10 \text{ cm}$
 $X_0 = 0.4 \text{ cm}$
 $d = 1 \text{ cm}$
 $\sim 0.1 \lambda, 2.5 X_0$

- Same sampling for hadronic showers
- Different sampling for electromagnetic (sub) showers

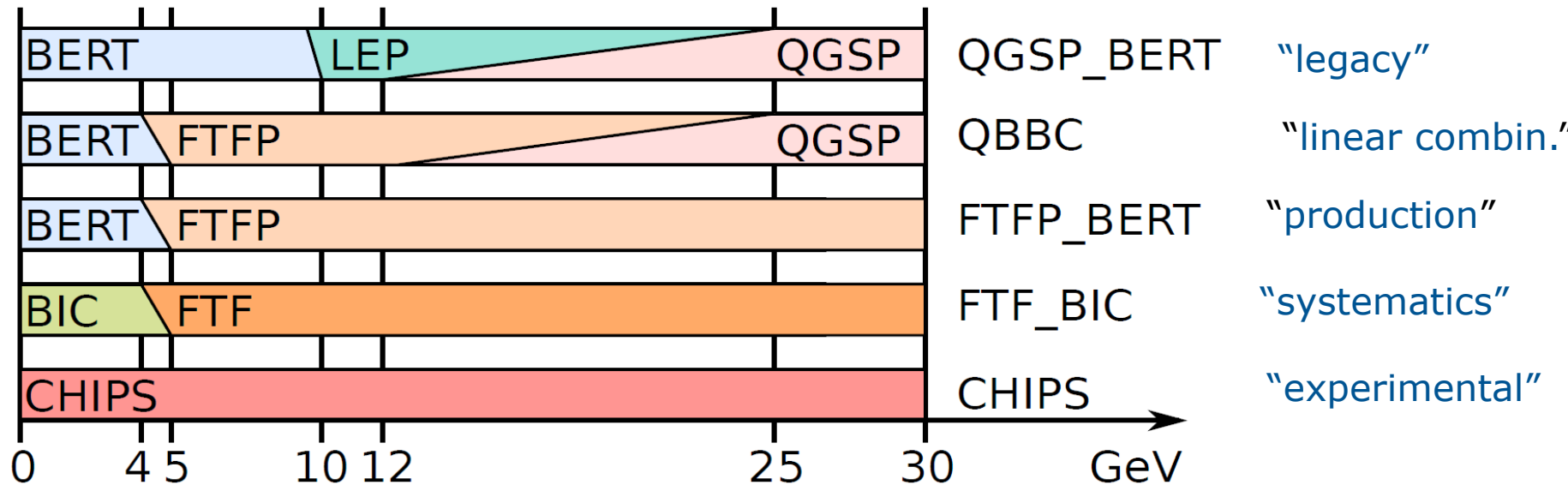


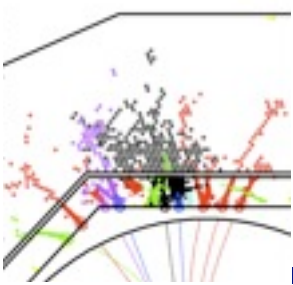
Shower simulation in Geant 4

- Low energy: cascade models
- High energy: partonic models



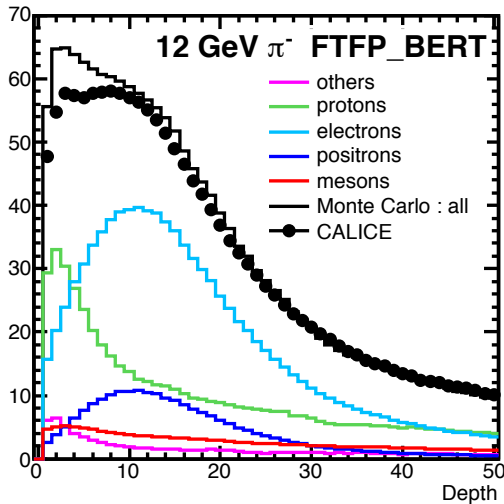
minimize use of phenomenological parameterization





Validation of Geant 4 models

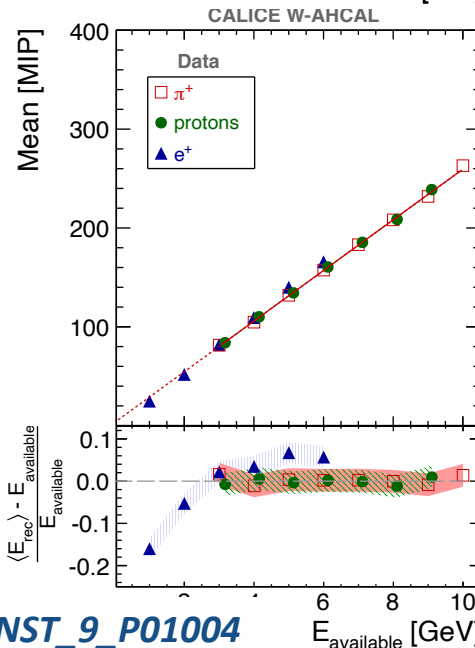
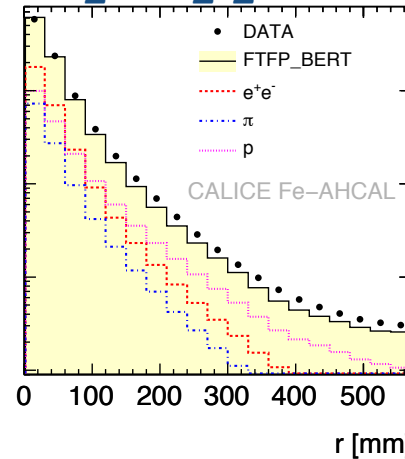
2010_JINST_5_P05007



SiW ECAL
longit. profile

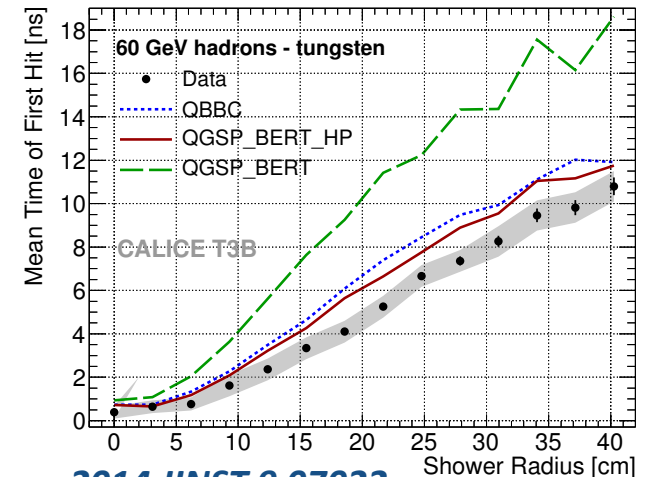
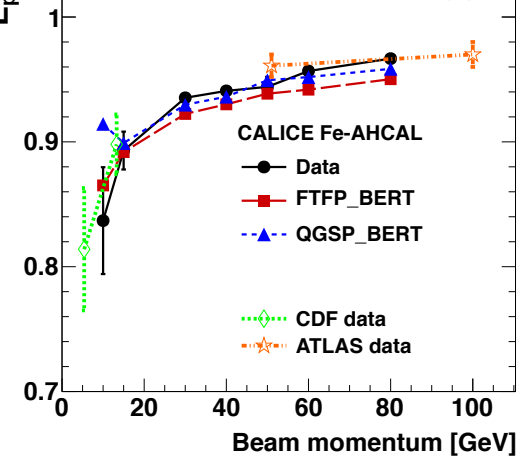
- just a few examples
- altogether at 5% or better

2013_JINST_8_P07005



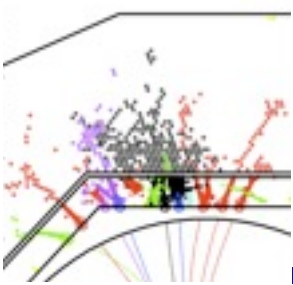
Fe Scint
HCAL
radial
profile,
proton pic
esp. rati

2015_JINST_10_P04014(a)



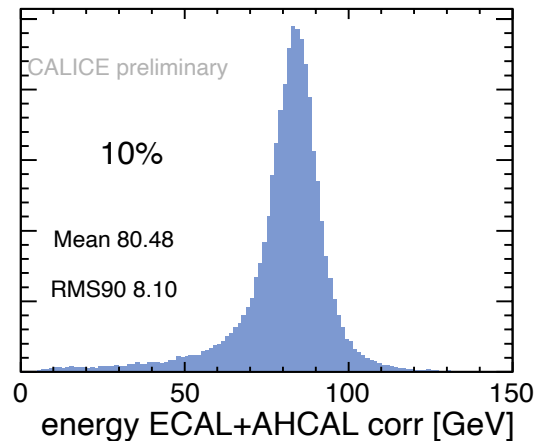
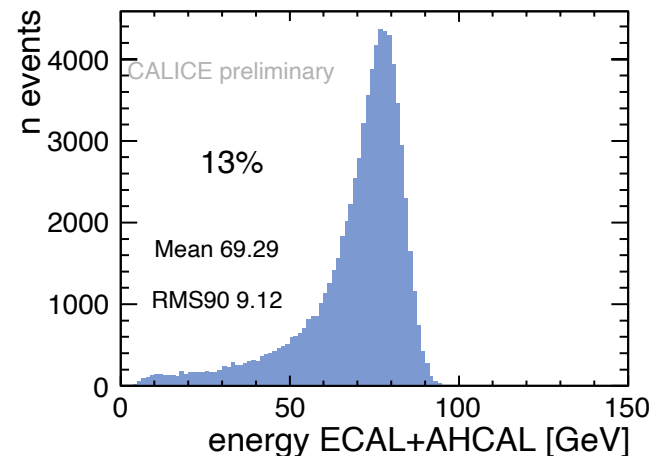
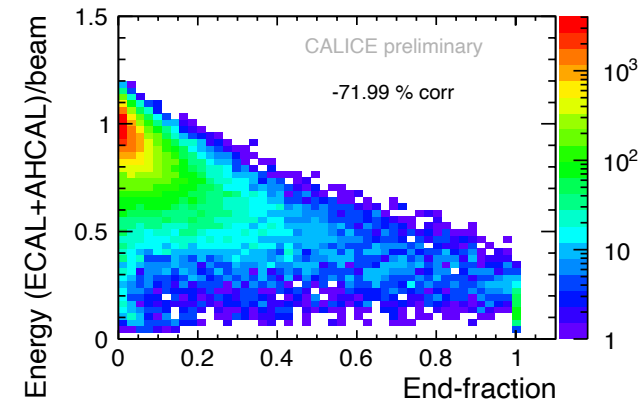
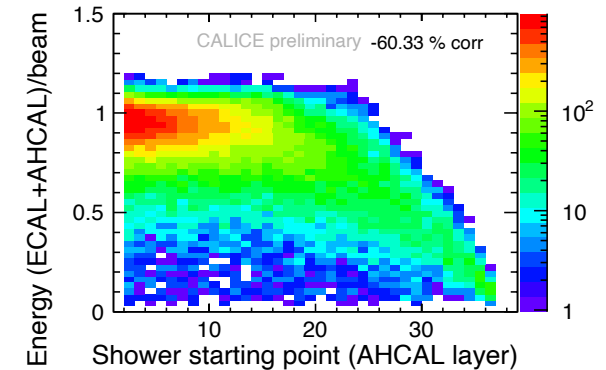
2014_JINST_9_07022

W Scint HCAL response, timing

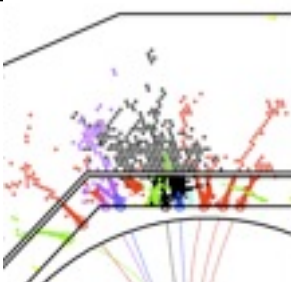


Leakage estimation

- Exploit the 3-D granularity
- ECAL 1λ , HCAL 4.5λ
- Observables
 - shower start
 - energy fraction in rear layers
 - measured energy

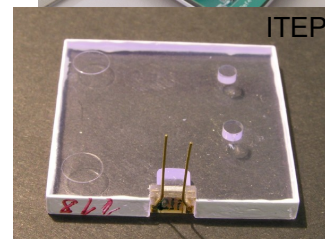
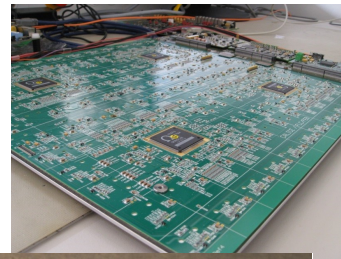
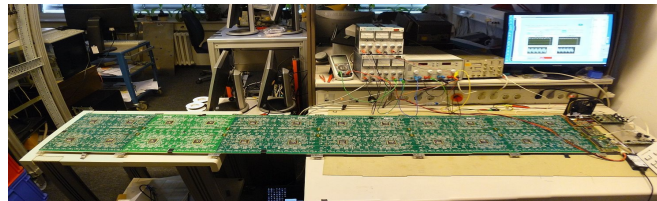
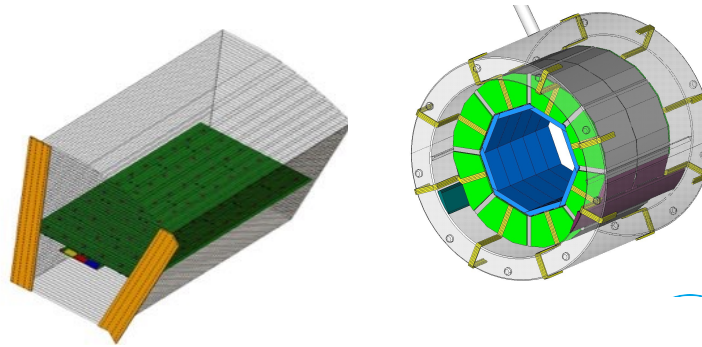


cf : with tail catcher, no coil: 5.4%

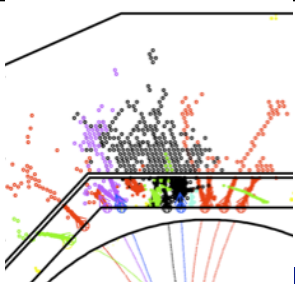


Industrialisation: Numbers!

- The AHCAL
- 60 sub-modules
- 3000 layers
- 10,000 slabs
- 60,000 HBUs
- 200'000 ASICs
- 8,000,000 tiles and SiPMs

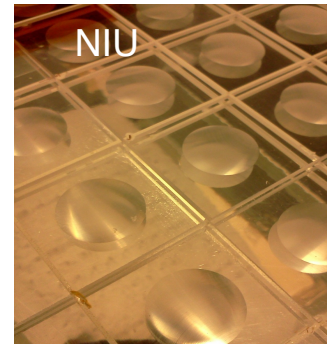


- One year
- 46 weeks
- 230 days
- 2000 hours
- 100,000 minutes
- 7,000,000 seconds

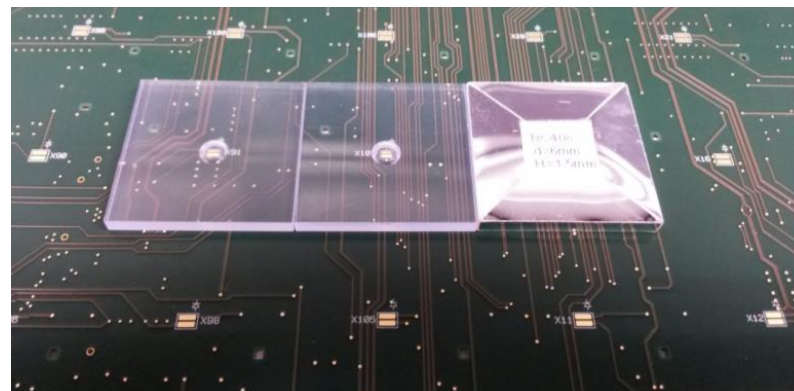
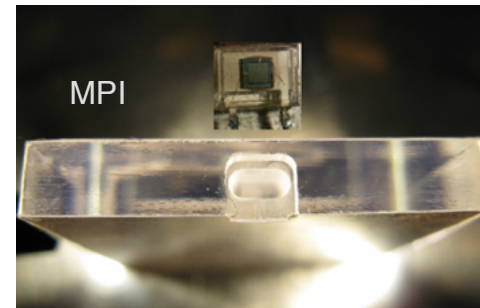
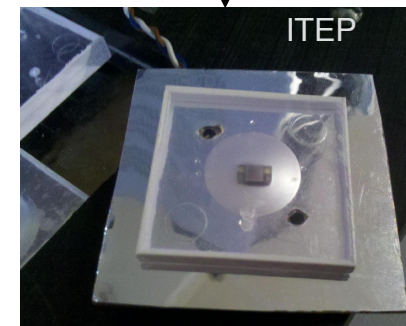
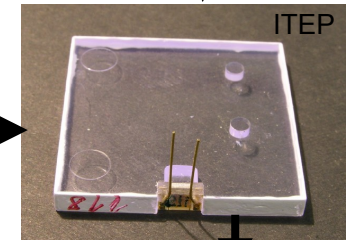
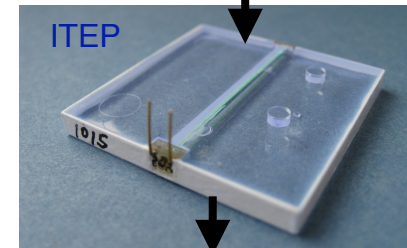
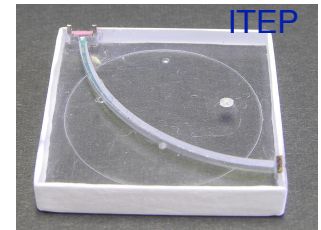


Directions in tile and SiPM R&D

- Revise tile design in view of automatic pick & place procedures
- Consider SMD approach, originally proposed by NIU
- Light yield becomes an issue again
 - build on advances in SiPMs
- Very different assembly, QC and characterisation chain



7608 ch
physics
prototype



Mainz

