

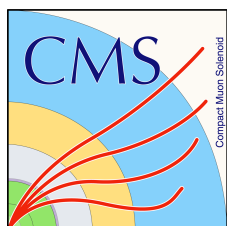
Pileup mitigation in jets with CMS

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University of Hamburg

UHH group meeting

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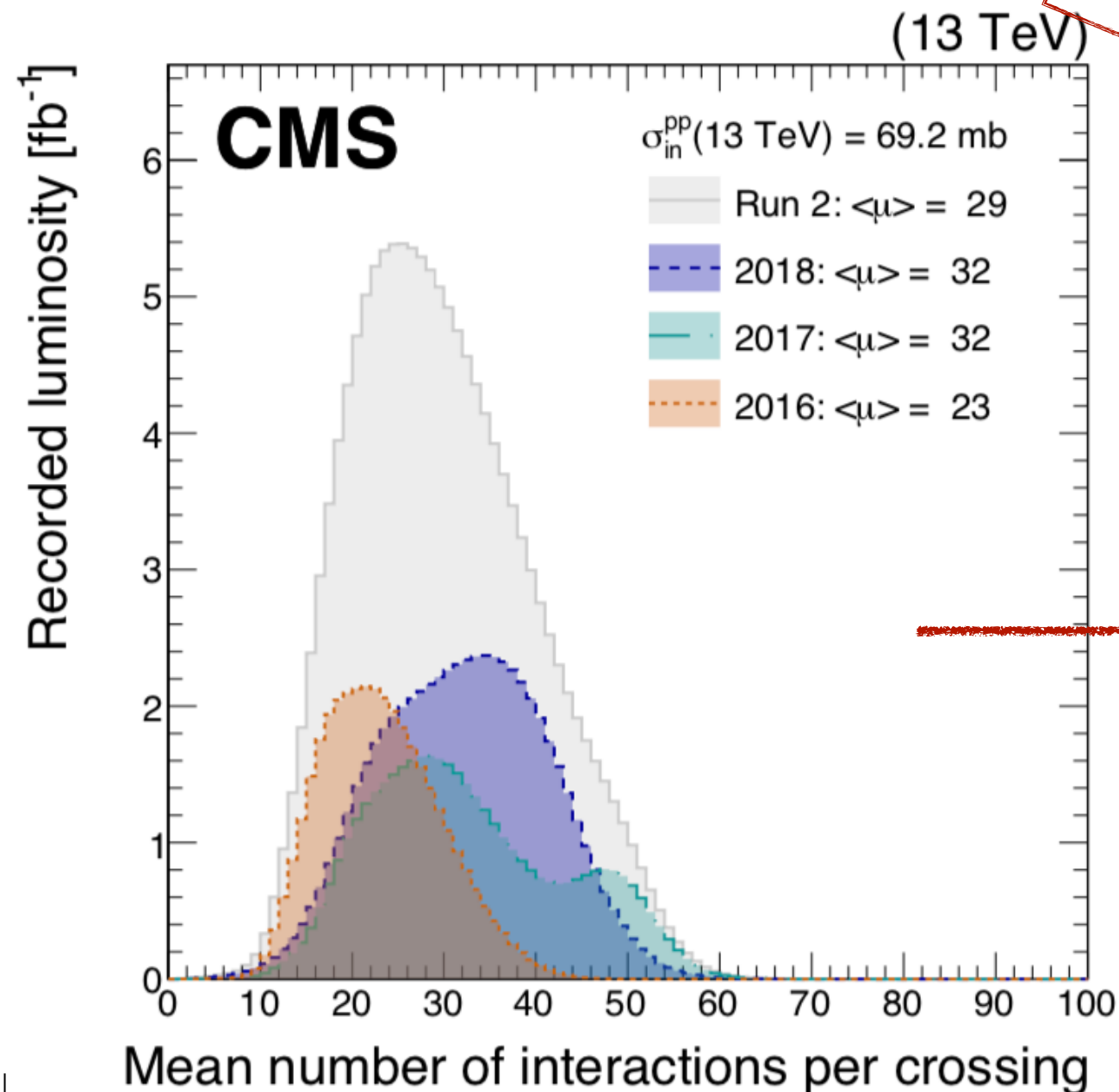


CLUSTER OF EXCELLENCE
QUANTUM UNIVERSE



Pileup in Run2

- In each bunch crossing: **main interaction** + additional collisions (**pileup**)
- Need to isolate interaction of interest



JME-18-001

$\langle\mu\rangle \sim 60$ in Run3

Pileup Mitigation Techniques

- ▶ Charged Hadron Subtraction **CHS**
- ▶ Pileup Per Particle Identification **PUPPI**
- ▶ Pileup Jet ID: reject PU jets (applied on CHS)

CHS

- ▶ **Charged particles** associated to PU vertex are removed from jets
- ▶ All **neutral particles** are kept
- ▶ Additional correction on jets to treat neutral PU

PUPPI Algorithm

- ▶ Each particle is assigned a weight $0 < w < 1$:
 - ◆ 0 it is most probably originating from PU
 - ◆ 1 it is most probably originating from LV
- ▶ Weight applied to 4-momentum of the particle
- ▶ **Charged particles** are given a weight of **0 or 1** based on the track-vertex association (from PV and d_z variable)
- ▶ For **neutral particles** the weight is calculated based on surrounding particles and charged PU distribution in the event



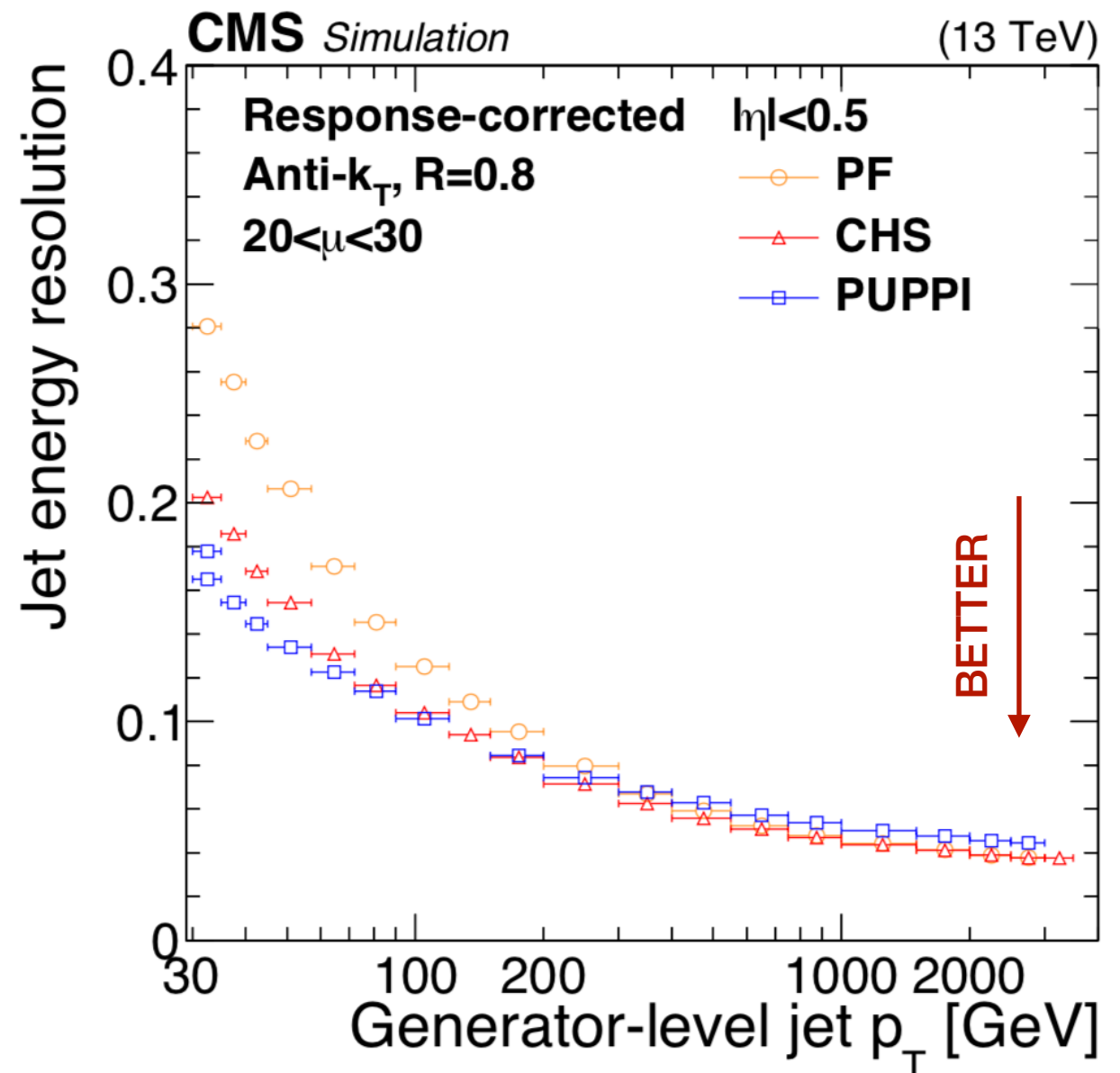
PUPPI Algorithm

- PUPPI shows very good performance (**JME-18-001**): jets, MET, lepton isolation, jet substructure...

→ **goal**: PUPPI as default algorithm in Run3

- Improvements: JER at high p_T

At **low** p_T PUPPI shows better performance than CHS, but worse performance at **high** p_T



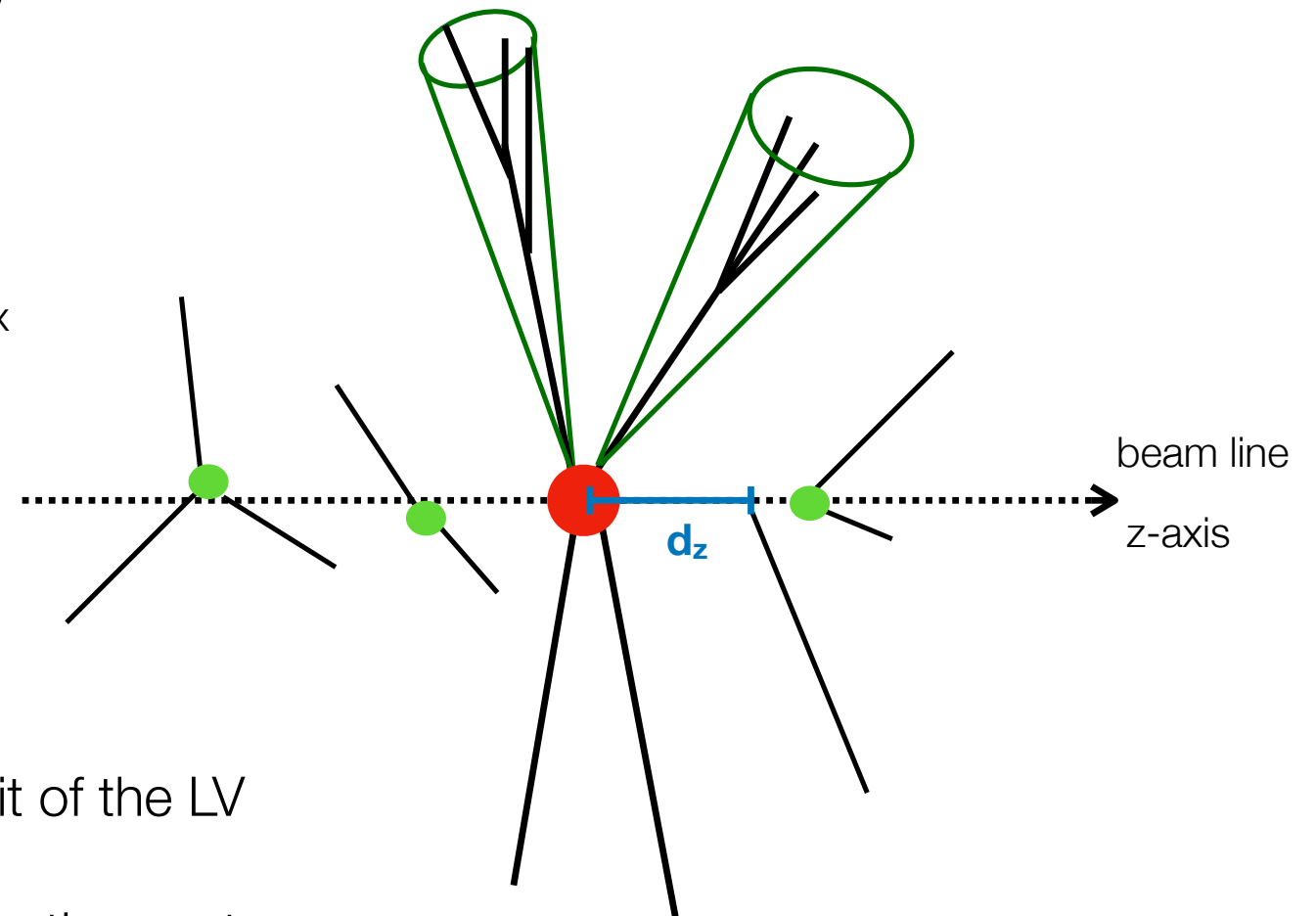
fromPV & d_z

- Some charged particles are rejected because of the **fromPV** and d_z variables

- d_z is the transverse impact parameter wrt the LV

- fromPV** tells how tight is the association of the particle to the LV

● Leading Vertex
● Pileup Vertex



- Four values between 3 and 0:

vertex association {

- **fromPV=3** the track is used in the fit of the LV
- **fromPV=0** the track is used to fit another vertex

no vertex association {

- **fromPV=2** the track is not used in the fit of any vertex and is close in z to the LV
- **fromPV=1** the track is close in z to another vertex

fromPV & dz in CHS and PUPPI

- In CHS the charged particles with **fromPV>0** are kept
- In PUPPI:
 - ▶ charged particles from a PU vertex are assigned a weight $w=0$ and are removed ▶ **fromPV>0** as in CHS
 - ▶ charged particles from the LV are assigned a weight $w=1$ ▶ **fromPV=3**
 - ▶ no vertex association, $w=1$ if **dz<0.3** cm, $w=0$ otherwise ▶ **fromPV=1, 2** & **dz<0.3** cm

PROBLEM → charged particles at high p_T are *not well assigned to the vertex*

- In the following we will work only with charged particles with **fromPV=1 & 2**

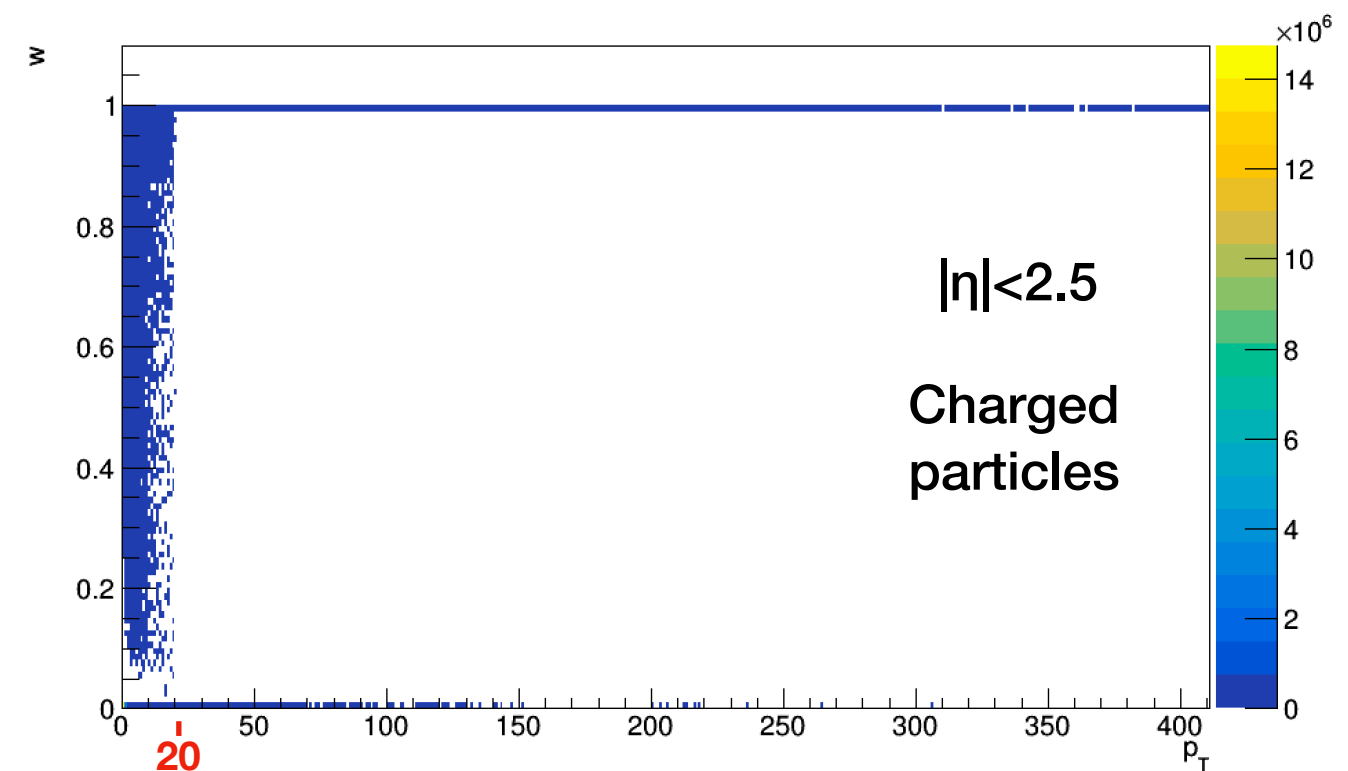
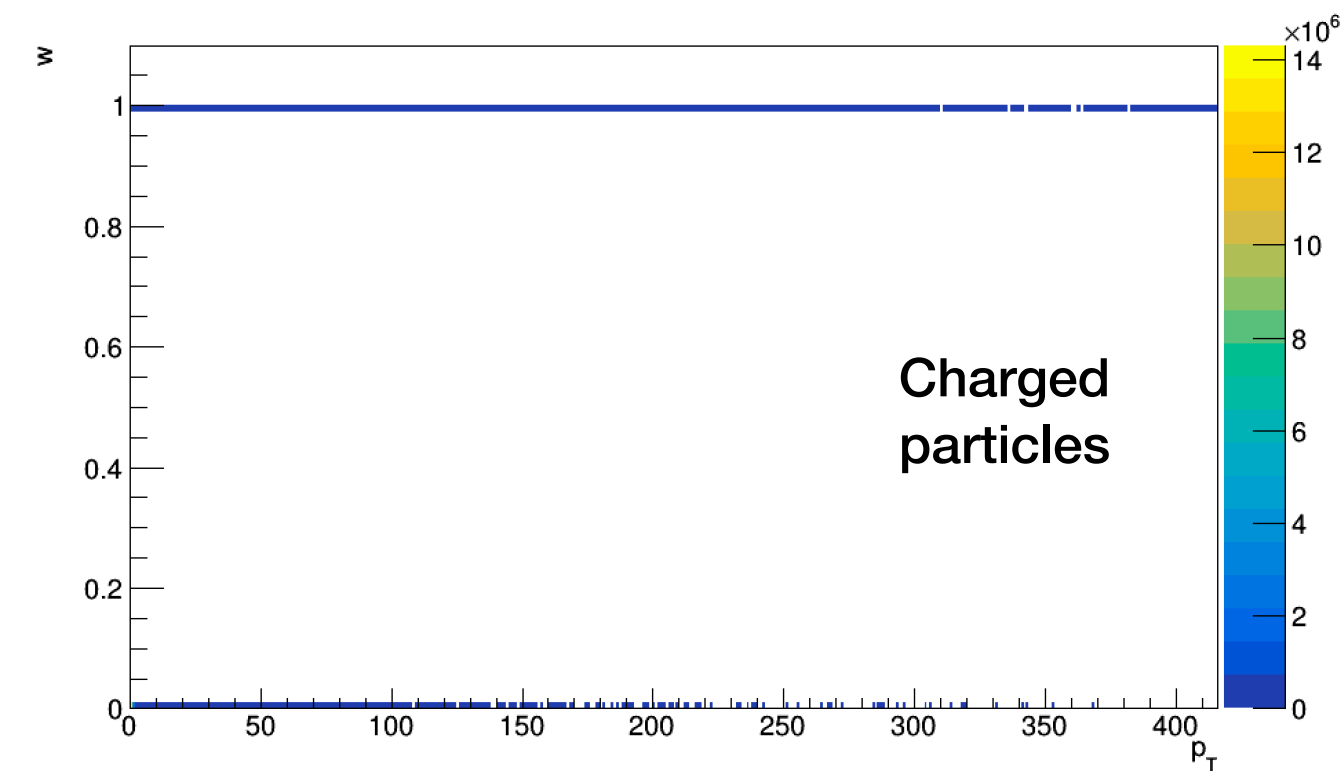
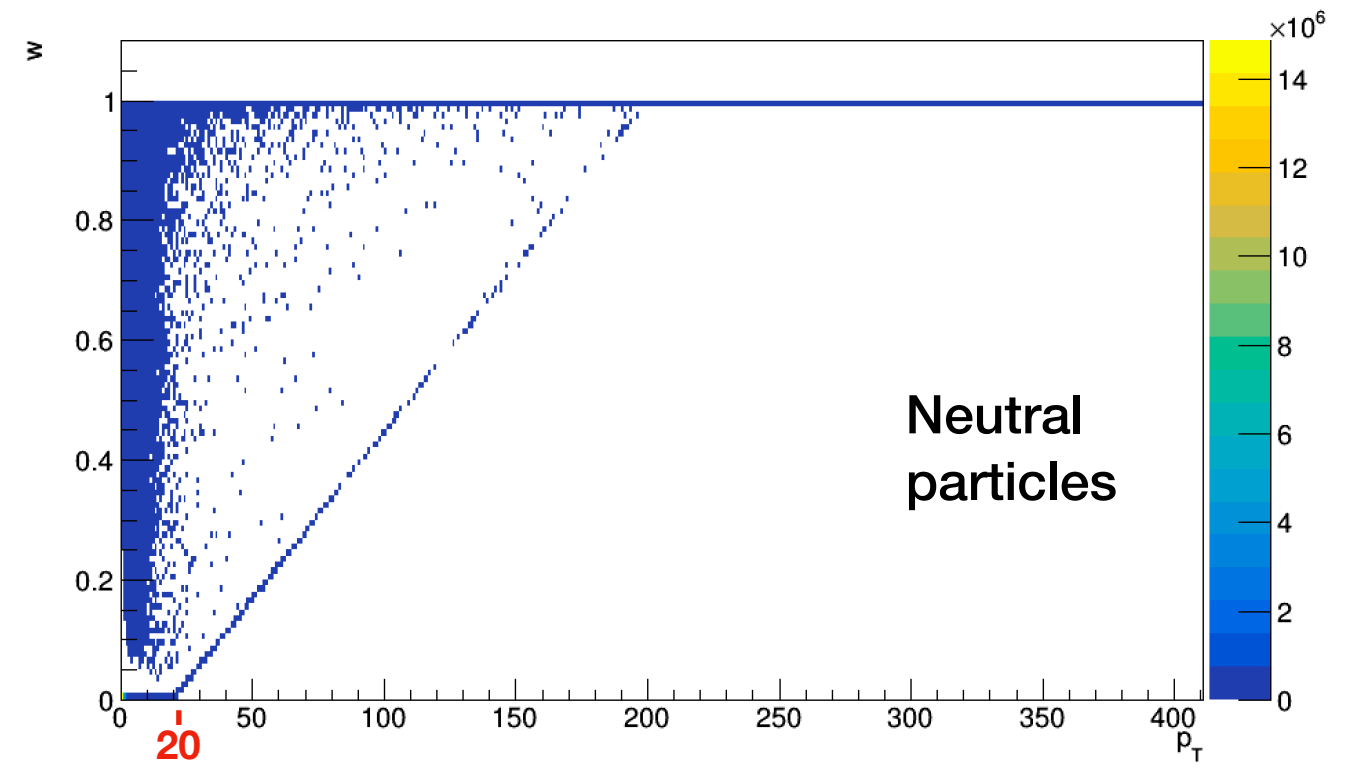
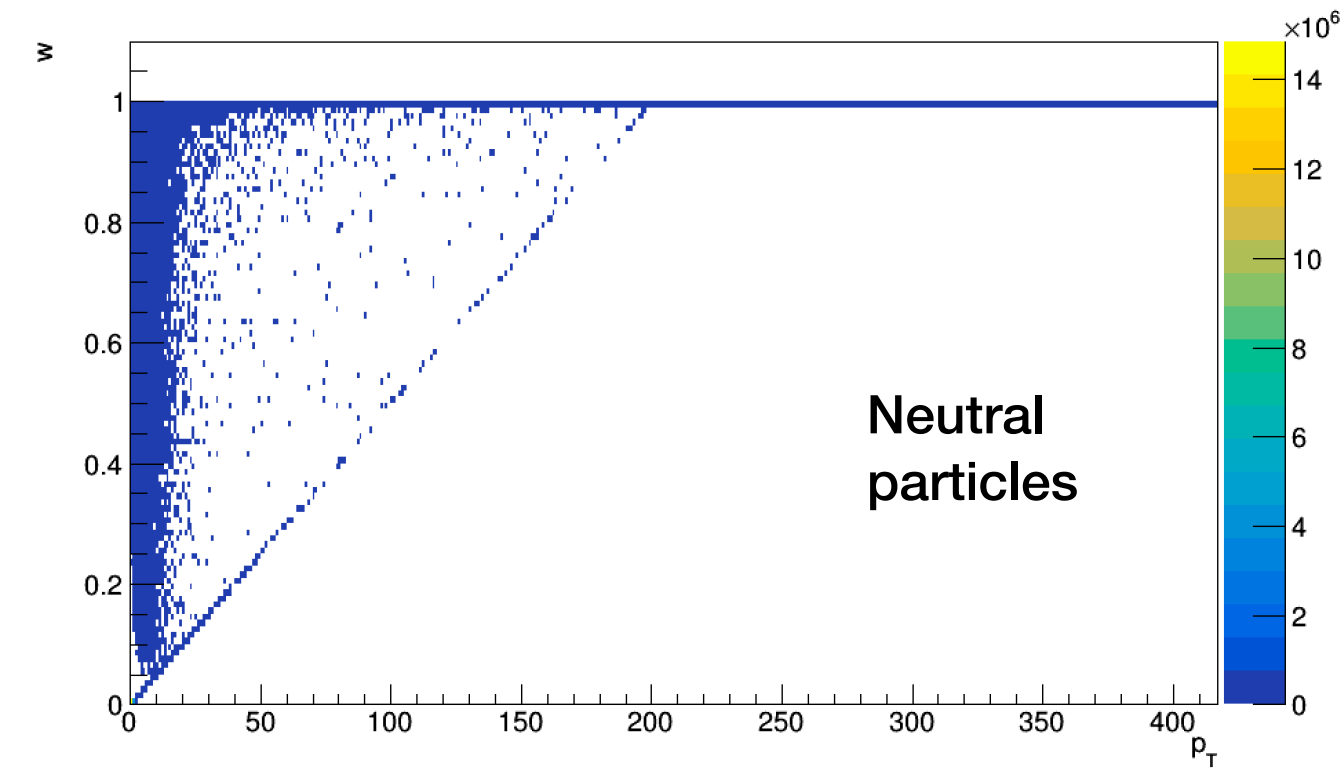
PUPPI tune v13 beagle

- ▶ **Wrong track-vertex association** at high p_T → remove d_z cut and introduce p_T and η cuts
- ▶ Charged particles:
 - p_T protection at $p_T = 20$ GeV. **Below 20 GeV** particles are treated as neutrals ($0 < w < 1$), **above 20 GeV** all particles with no vertex assignment are kept
 - In 2017/2018 phase-1 pixel: more charged hadrons in $|\eta| > 2.5$, but **no track-vertex association** (from PV=1 or 2)
 - Strategy: for $|\eta| > 2.5$ keep all the charged particles not associated to a PU vertex
- ▶ Neutral particles:
 - Linear p_T protection between 20 and 200 GeV

weight vs p_T

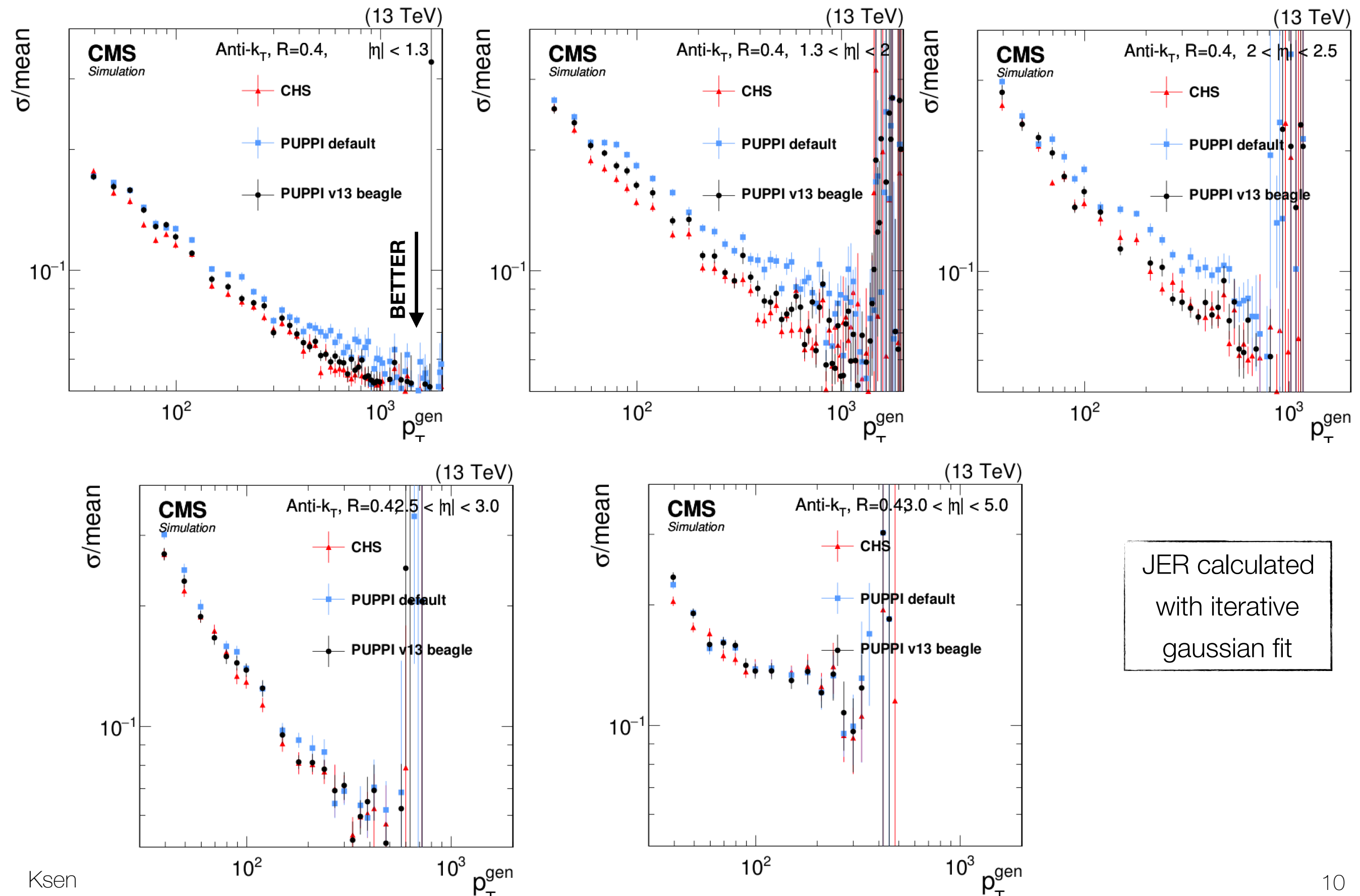
PUPPI default

PUPPI v13 beagle



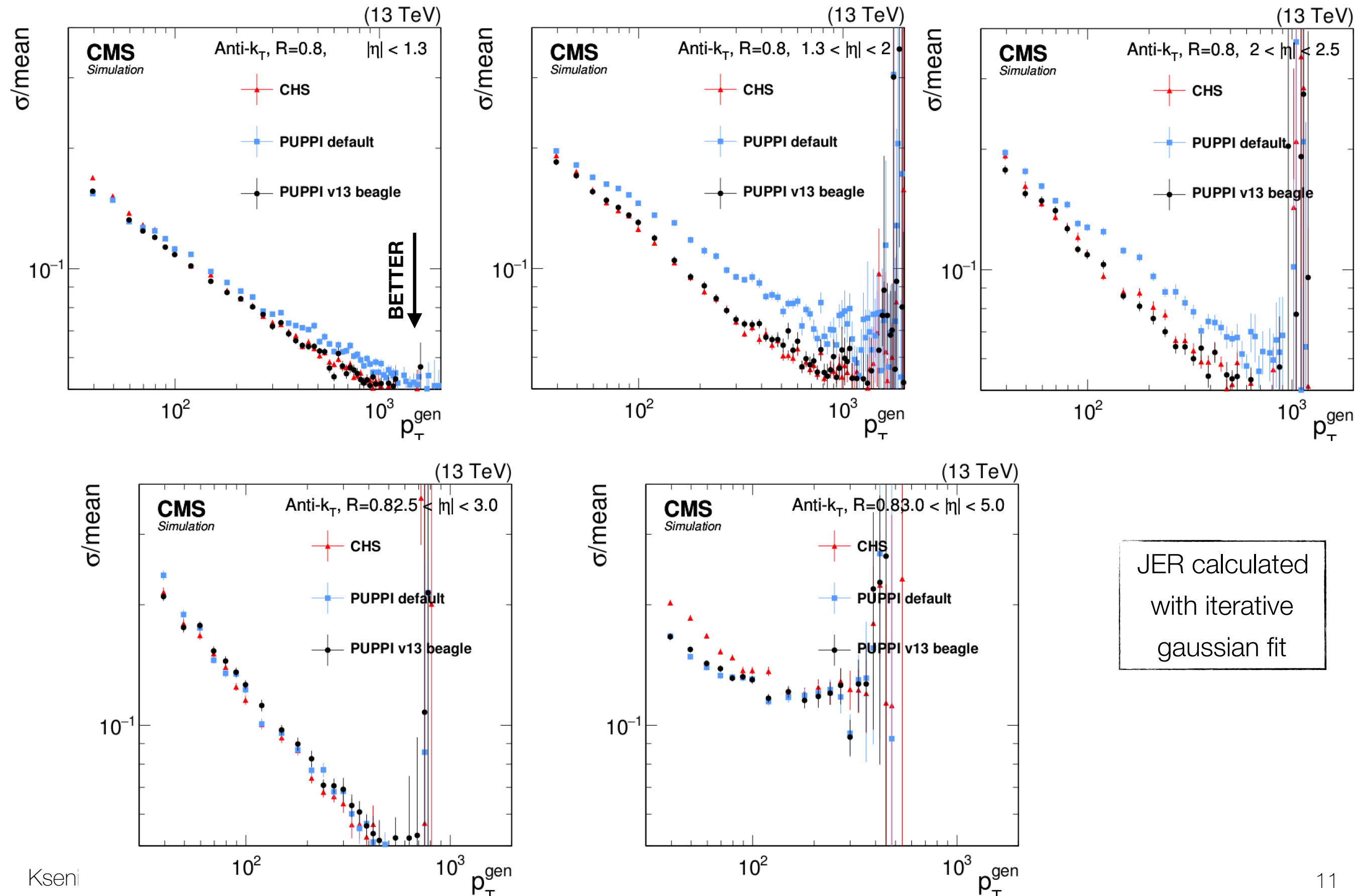
JER 2017 - Ak4 PU>50

QCD sample



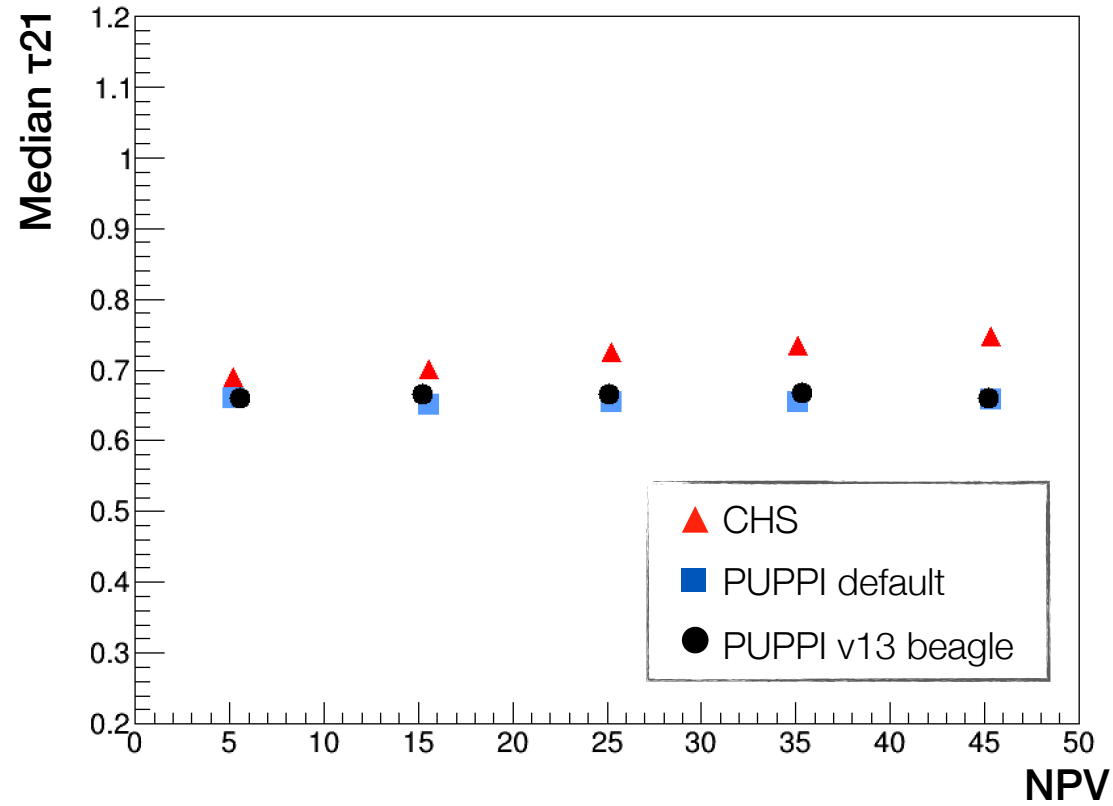
JER 2017 - Ak8

QCD sample

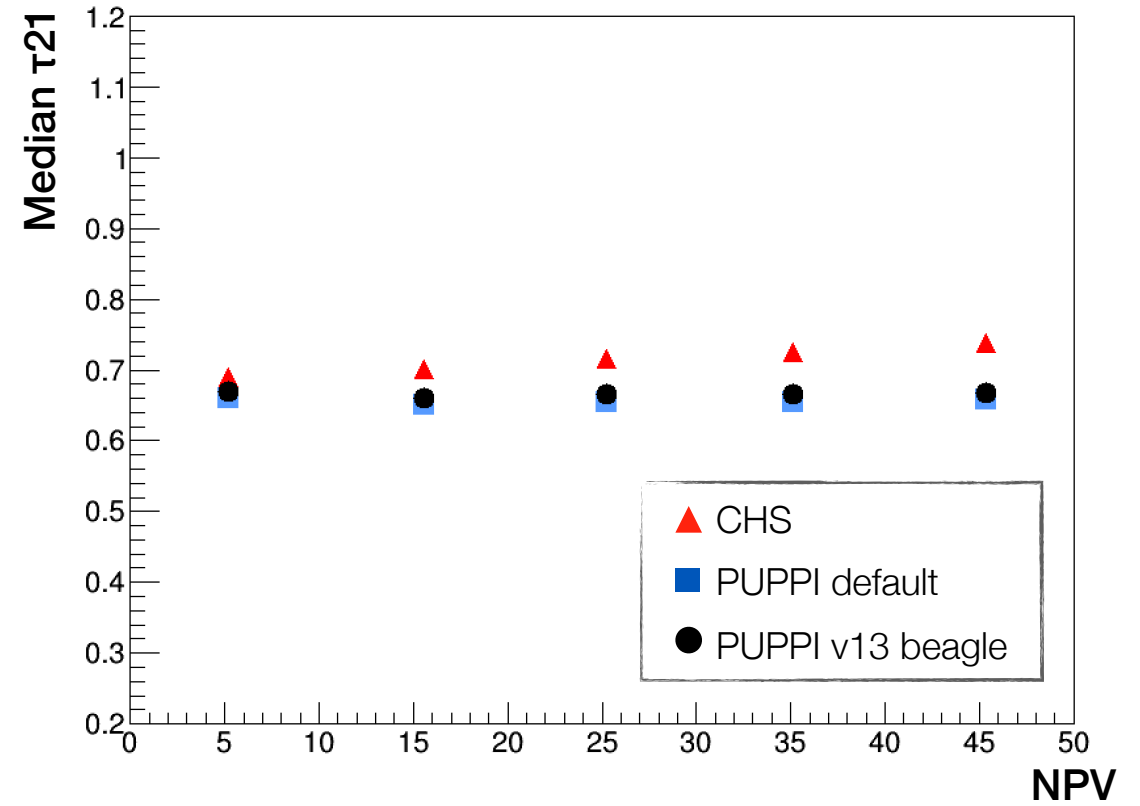


Median τ_{21}

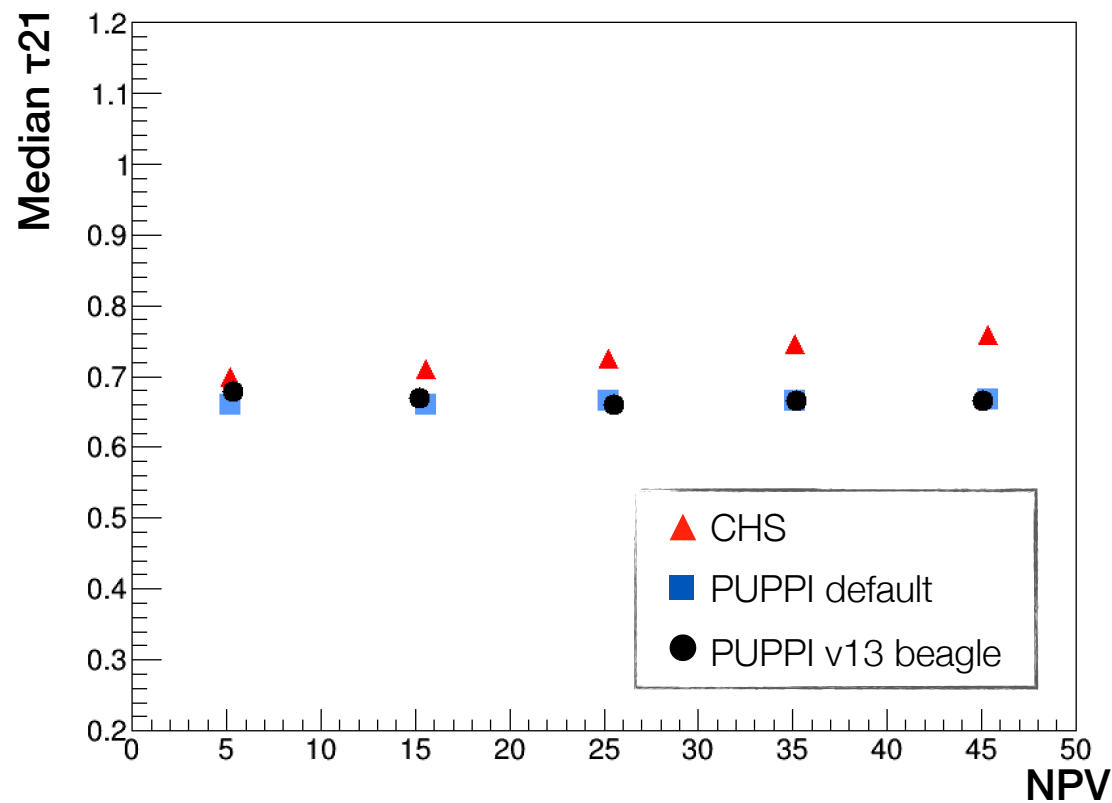
2016



2017



2018



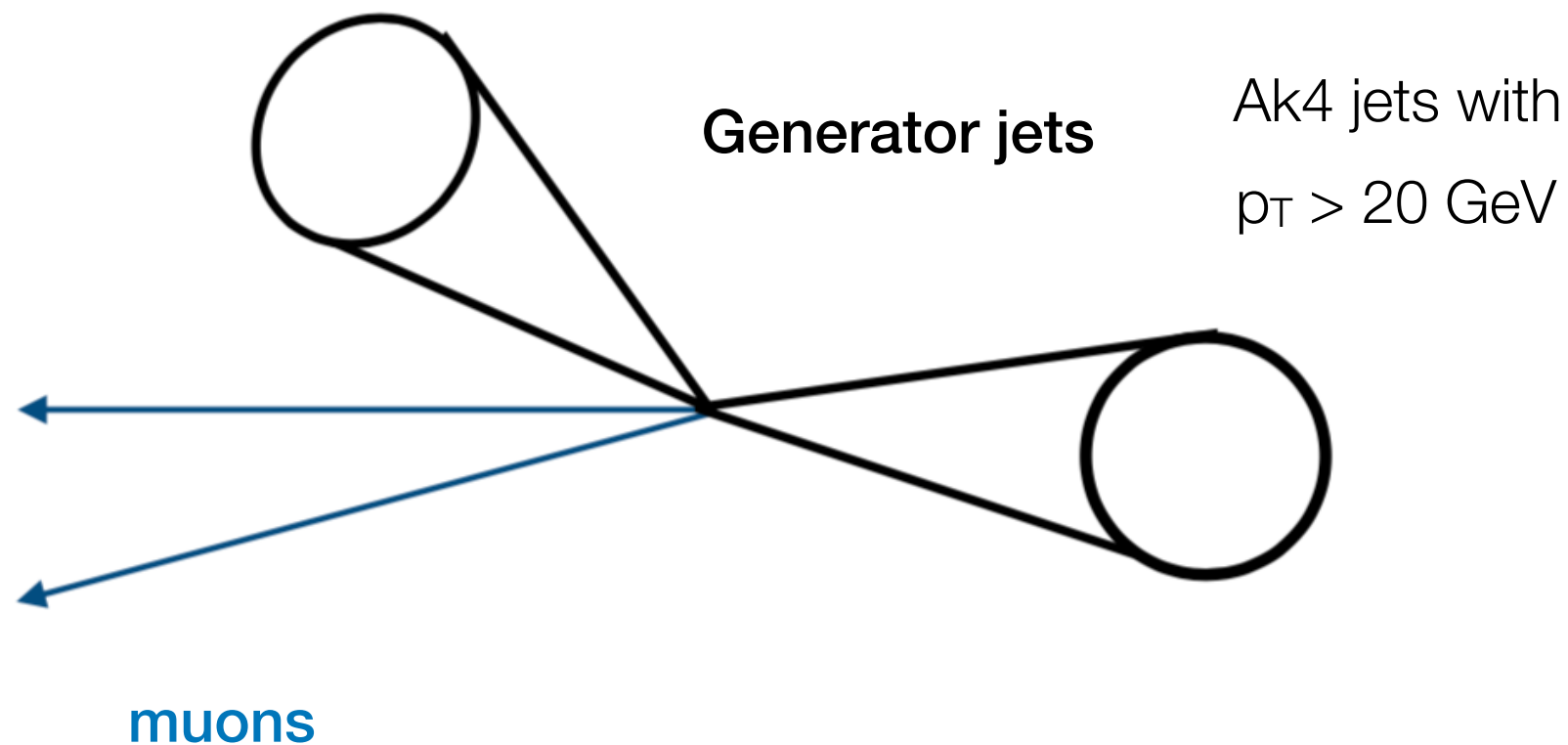
QCD sample

Median τ_{21} leading Ak8 jet
 $400 < p_T < 600$ GeV
 no SoftDrop Mass cut

Efficiency & Purity

Efficiency and Purity

Z + Jets
MC studies



2 muons with $p_T > 20$ GeV,
 $70 \text{ GeV} < M_{\mu\mu} < 110 \text{ GeV}$

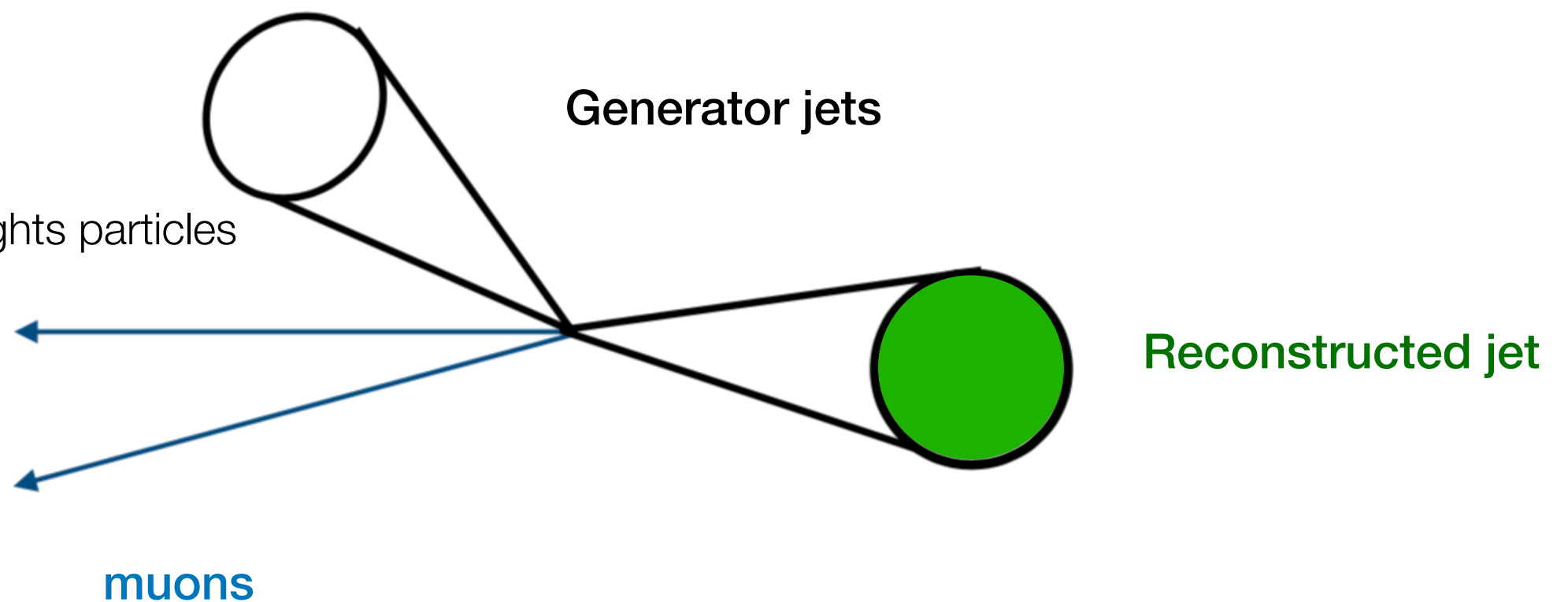
Jets overlapping with muons
removed

Efficiency and Purity

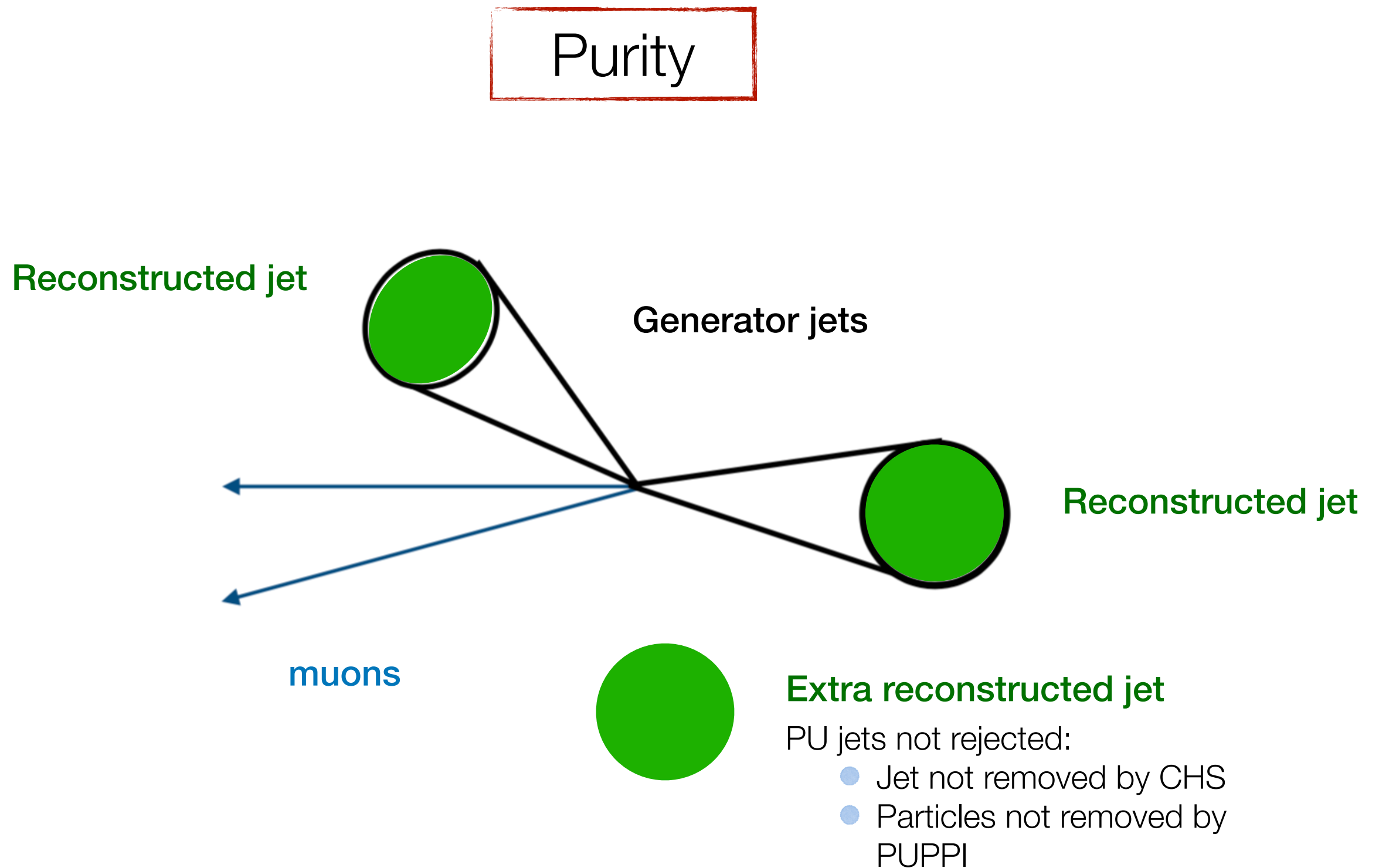
Efficiency

Not reconstructed or
rejected jet

- Pileup Jet ID
- PUPPI down-weights particles

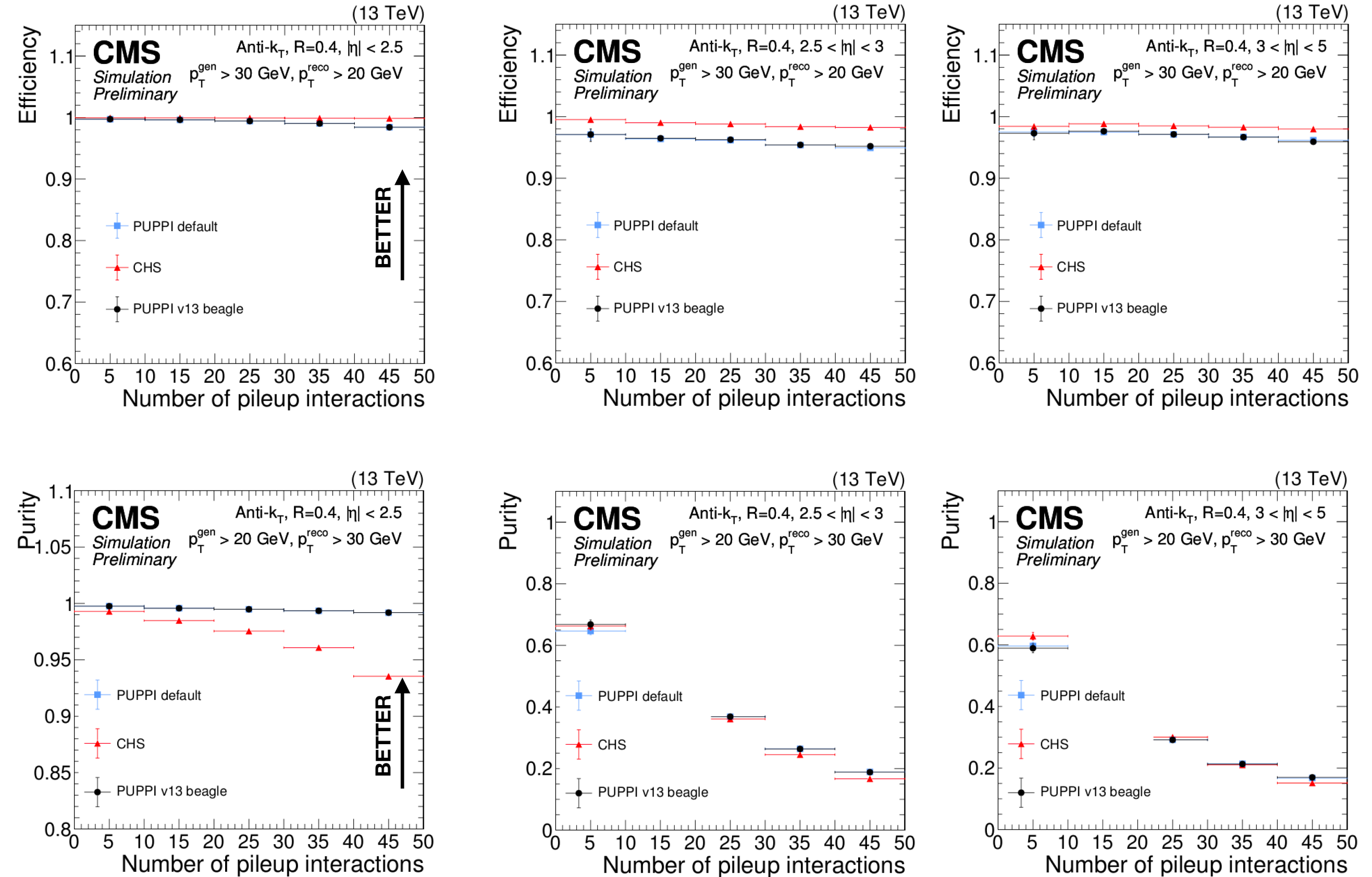


Efficiency and Purity



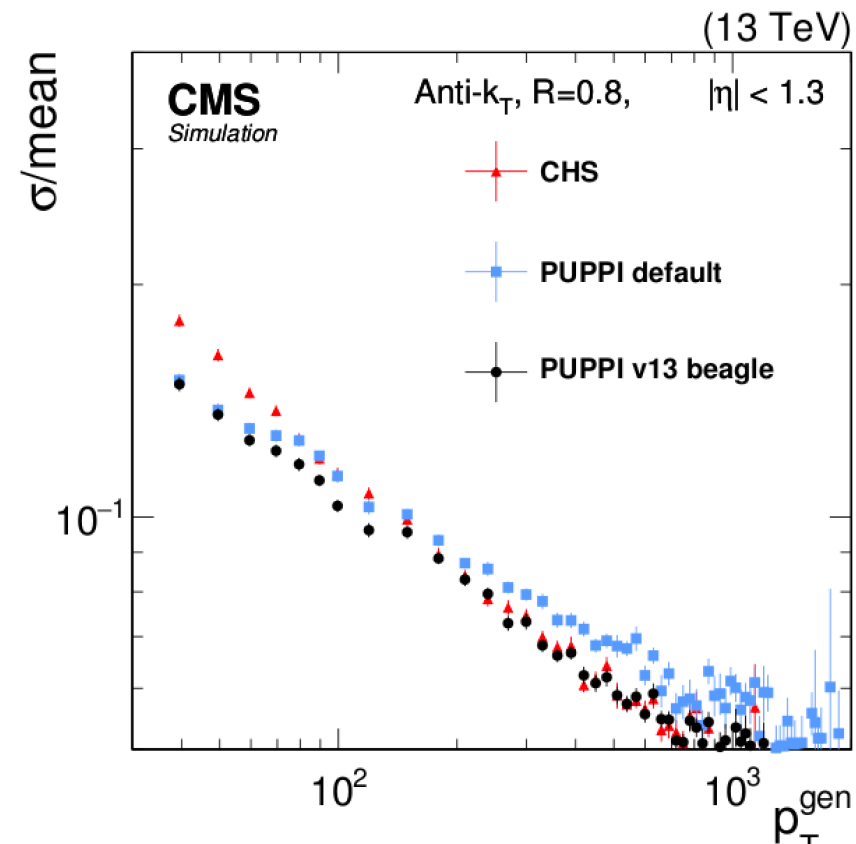
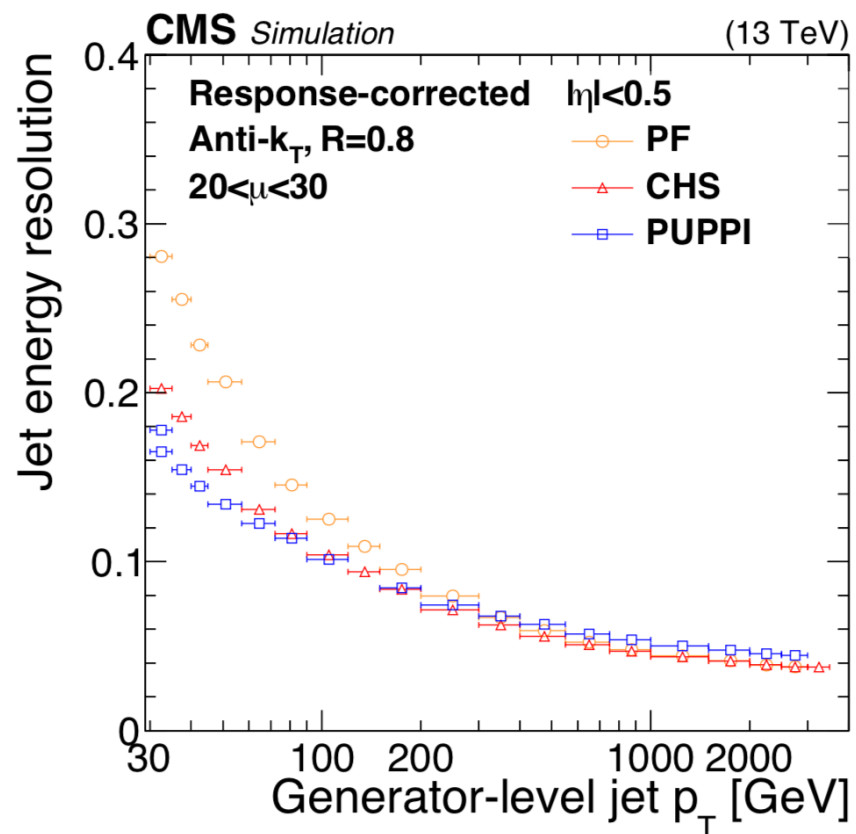
Efficiency & Purity - 2016

DY sample



Summary

- Pileup mitigation in jets
- PUPPI shows very good performance → standard algorithm in Run3
- Improvements: **JER** at high p_T
- New tune **PUPPI v13 beagle** and preliminary validation



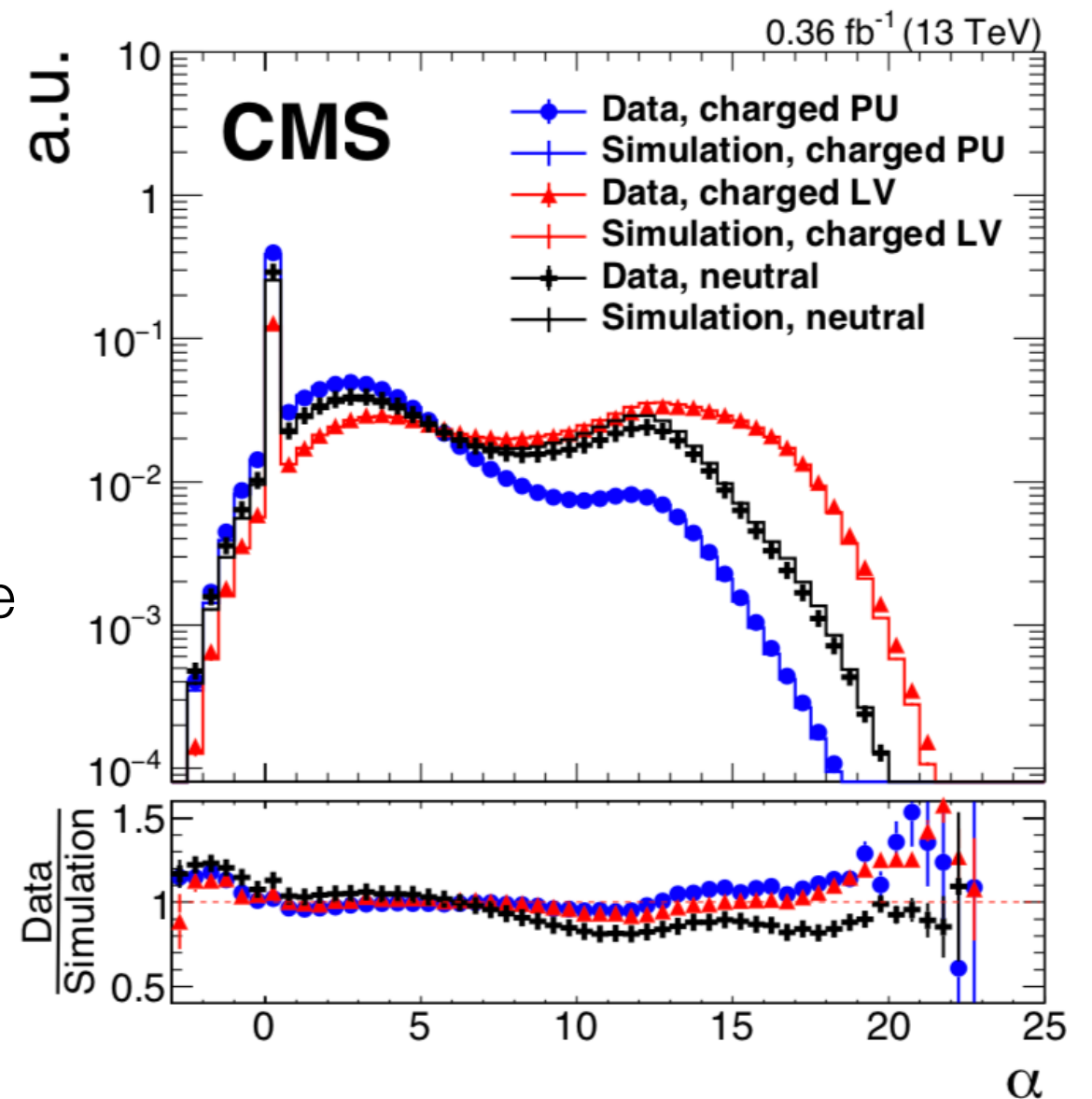
BACKUP

PUPPI details - 1

- Define α variable for neutral particle i :

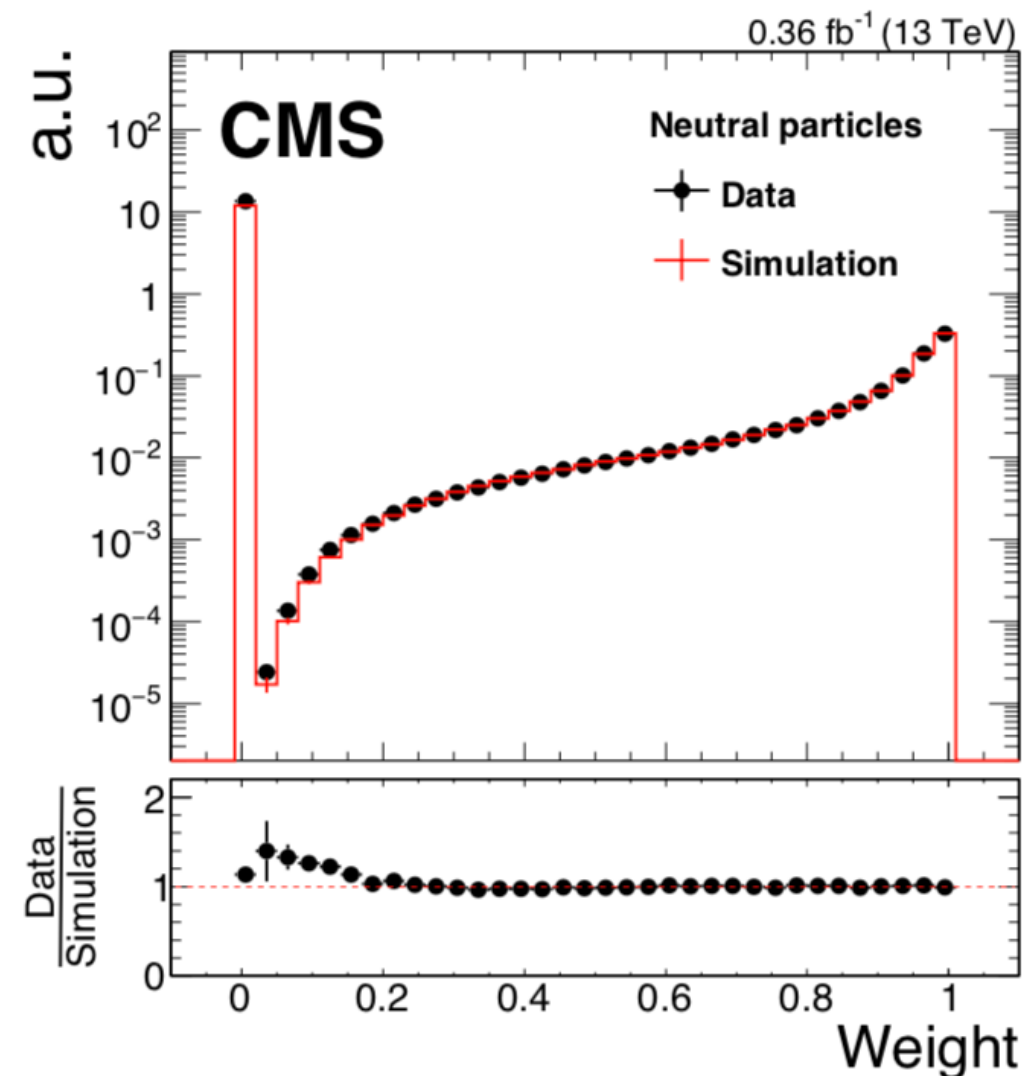
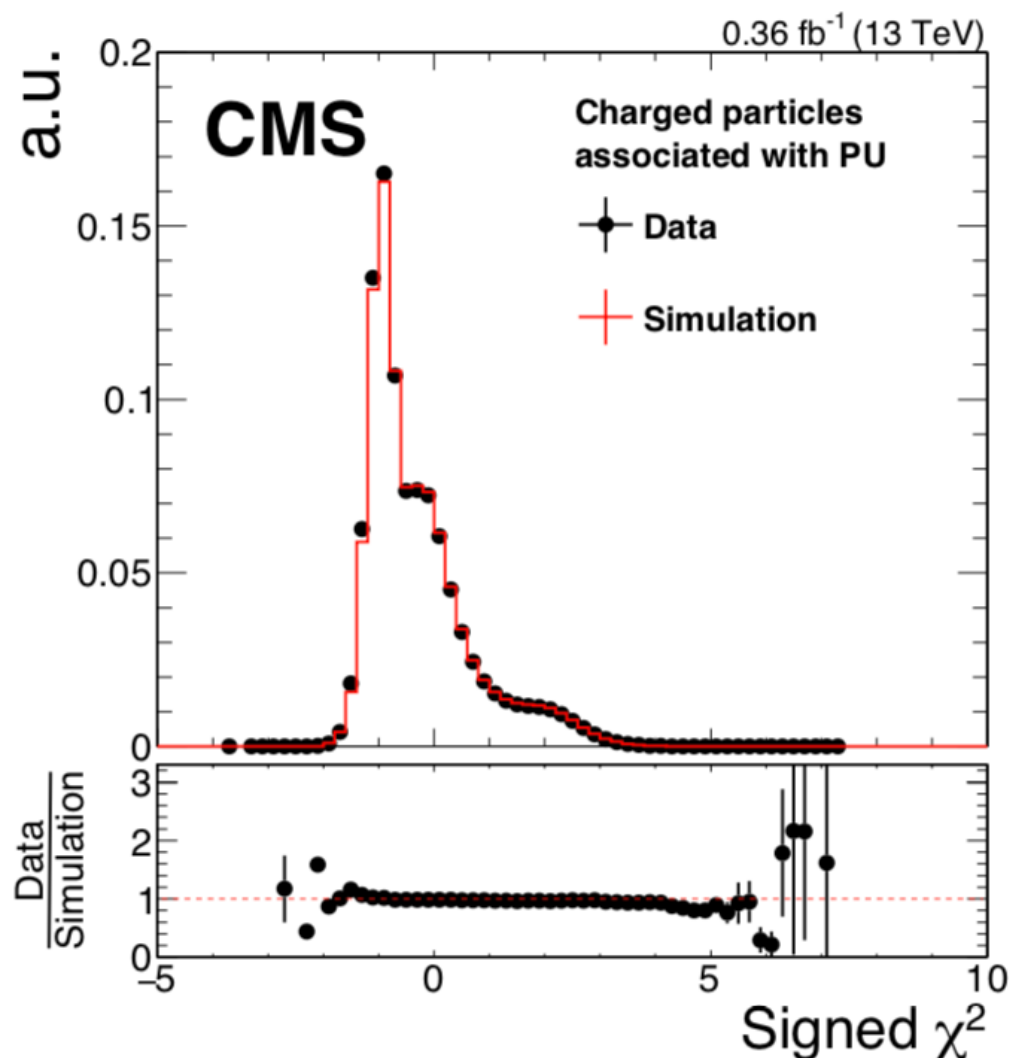
$$\alpha_i = \log \sum_{j \neq i, \Delta R_{ij} < R_0} \left(\frac{p_{Tj}}{\Delta R_{ij}} \right)^2 \begin{cases} \text{for } |\eta_i| < 2.5, & j \text{ are charged particles from LV,} \\ \text{for } |\eta_i| > 2.5, & j \text{ are all kinds of reconstructed particles} \end{cases}$$

- Suppose neutral PU same shape as charged PU
- Calculate α distribution of charged PU, extract median $\bar{\alpha}_{\text{PU}}$ and root-mean-square RMS_{PU} of the distribution



PUPPI details - 2

- For each particle i calculate:
$$\chi_i^2 = \frac{(\alpha_i - \bar{\alpha}_{PU})|\alpha_i - \bar{\alpha}_{PU}|}{RMS_{PU}^2}$$
- Assign a weight w_i :
$$w_i = F_{\chi^2, NDF=1}(\chi_i^2)$$



PUPPI details - 3

- ▶ Remove particles with $w_i < 0.01$
- ▶ Keep only neutral particles that fulfil:

$$w_i \times p_{T,i} > (A + B \times \text{NPV}) \text{ GeV}$$

- ▶ A, B: tunable parameters
- ▶ NPV: number of primary vertices

How PUPPI can treat Charged Particles

Properties	from LV	from PU	α_{PU} calculation	α_i calculation	weight	note
1	✓			✓	1	Charged LV
2		✓	✓		0	Charged PU
0				✓	0 to 1	Neutrals

Tune v13

- In 2017 and 2018 the **v13 beagle** tune shows a **worse** performance in the region **$|\eta| > 2.5$**
- More charged hadrons in $|\eta| > 2.5$, but **no track-vertex association**: the particles get a value of fromPV=1 or 2
- Strategy: in $|\eta| > 2.5$ keep all the charged particles with fromPV=1,2,3

pT cut	pT < 20 GeV		pT > 20 GeV	
	$ \eta < 2.5$	$ \eta > 2.5$	$ \eta < 2.5$	$ \eta > 2.5$
eta cut				
v13 beagle	N	N	LV	LV
v13 new PR 2017	N	LV	LV	LV

A charged particle not associated to any vertex can be treated as:
 1 Charged LV (LV) 2 Charged PU (PU) 0 Neutral (N)

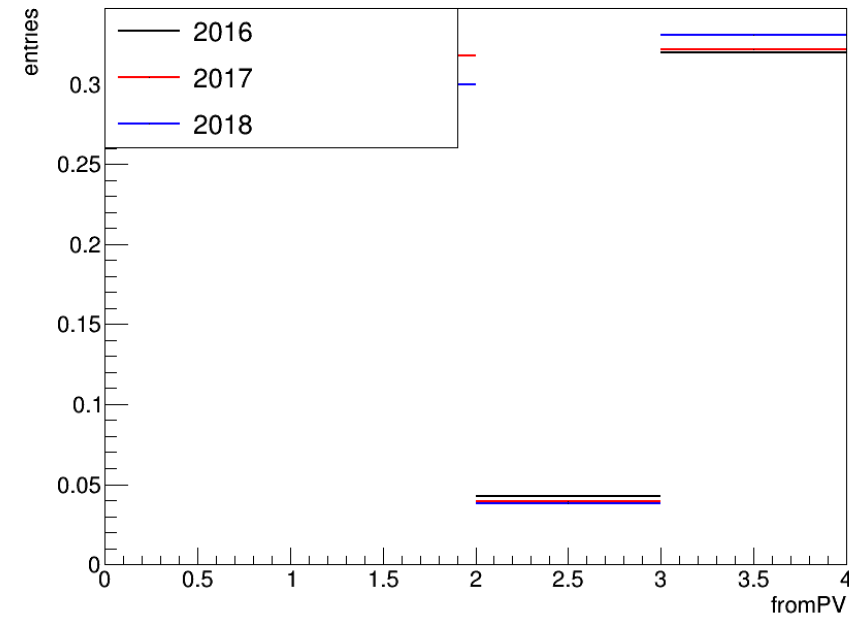
QCD Dataset

Year	DAS	CMSSW release	Notes
2016v2	<u>/QCD_Pt-15to7000_TuneCUETP8M1_Flat_13TeV_pythia8/</u> <u>RunIISummer16MiniAODv2-</u> <u>PUFlat0to70_magnetOn_80X_mcRun2_asymptotic_2016_TracheIV_v</u> <u>4-v1/MINIAODSIM</u>	production: 80X reconstruction: 80X	
2017v2	<u>/QCD_Pt-15to7000_TuneCUETP8M1_Flat_13TeV_pythia8/</u> <u>RunIIFall17MiniAODv2-</u> <u>FlatPU0to70_12Apr2018_94X_mc2017_realistic_v14-v1/MINIAODSIM</u>	production: 92X reconstruction: 94X	• More particles at low p_T • Phase-1 pixel: more charged particles in $\eta > 2.5$
2018	<u>/QCD_Pt-15to7000_TuneCP5_Flat_13TeV_pythia8/</u> <u>RunIIAutumn18MiniAOD-</u> <u>FlatPU0to70RAW_102X_upgrade2018_realistic_v15_ext2-v1/</u> <u>MINIAODSIM</u>	production: 102X reconstruction: 102X	• More particles at low p_T • Phase-1 pixel: more charged particles in $\eta > 2.5$
UL2017	<u>/QCD_Pt-15to7000_TuneCP5_Flat2018_13TeV_pythia8/</u> <u>RunIISummer19UL17MiniAOD-</u> <u>FlatPU0to70_106X_mc2017_realistic_v6-v3/MINIAODSIM</u>	production: 106X reconstruction: 106X	Coming soon
UL2018	<u>/QCD_Pt-15to7000_TuneCP5_Flat2018_13TeV_pythia8/</u> <u>RunIISummer19UL18MiniAOD-</u> <u>pilot_106X_upgrade2018_realistic_v11_L1v1-v3/MINIAODSIM</u>	production: 106X reconstruction: 106X	Coming soon

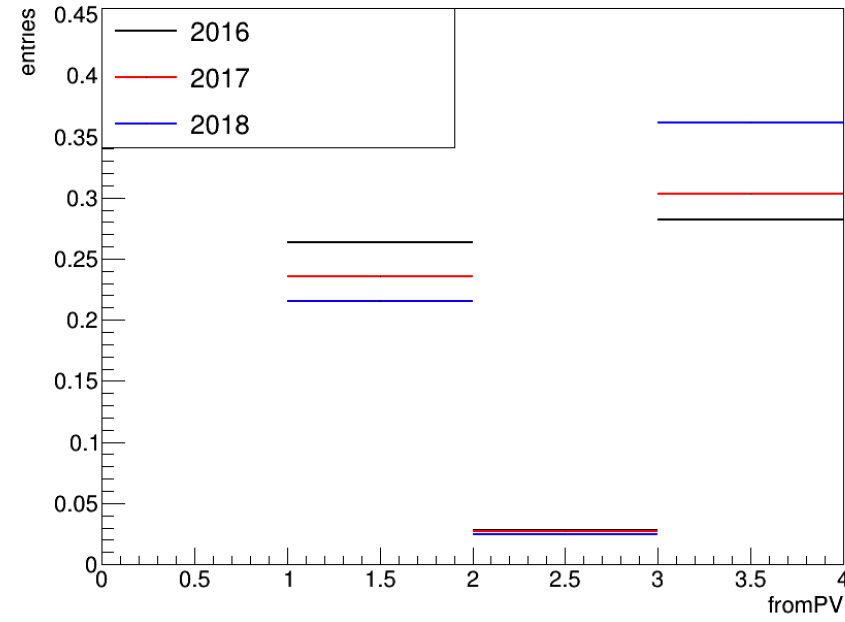
PR tune v13 beagle

fromPV - $p_T < 20$ GeV

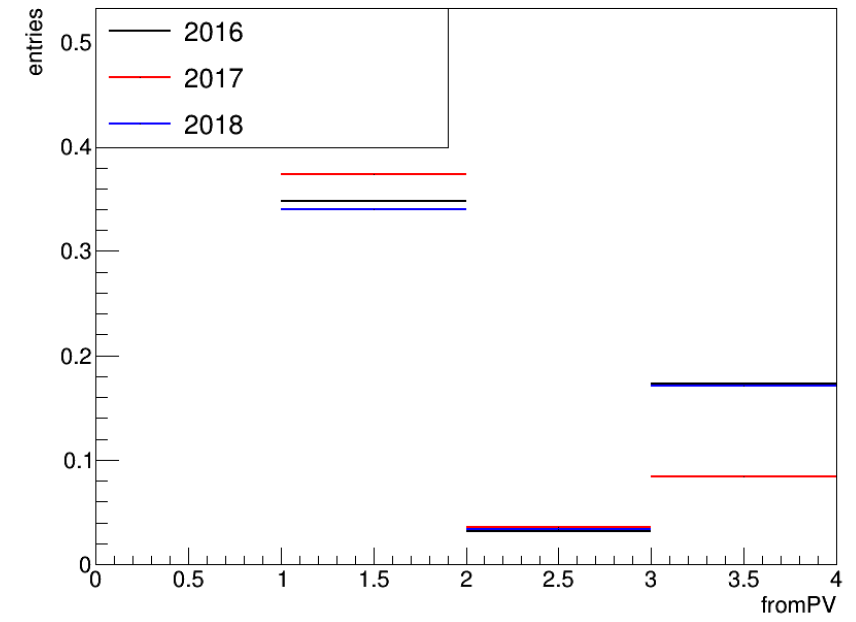
$0 < |\eta| < 1.3$



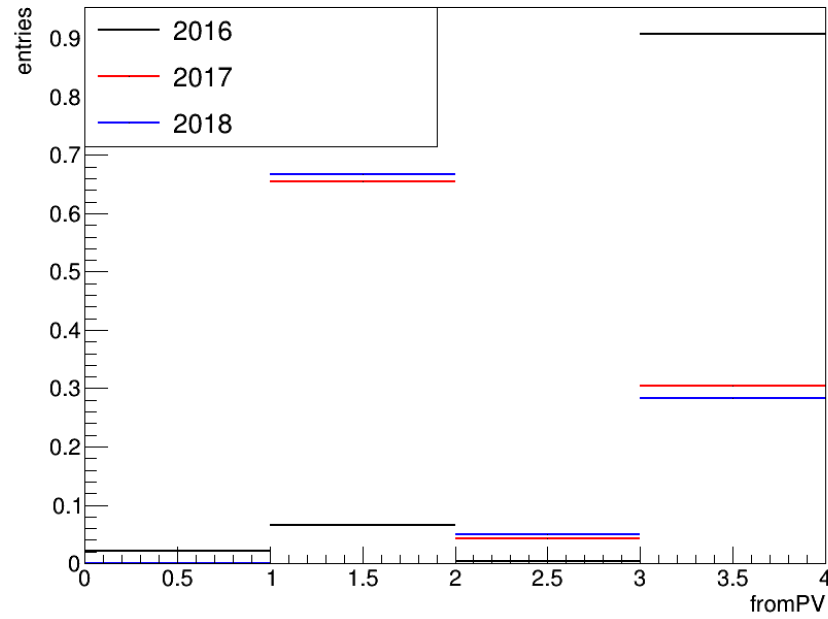
$1.3 < |\eta| < 2$



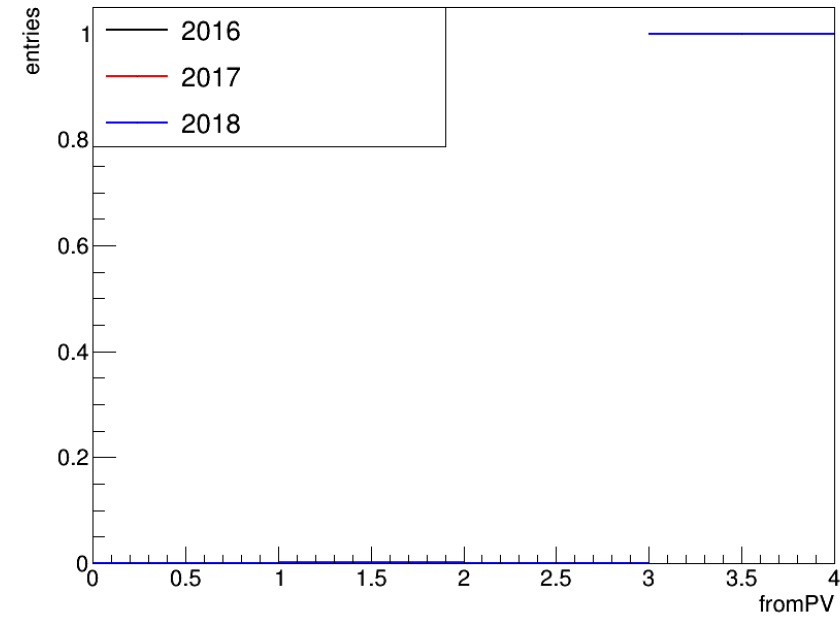
$2 < |\eta| < 2.5$



$2.5 < |\eta| < 3$

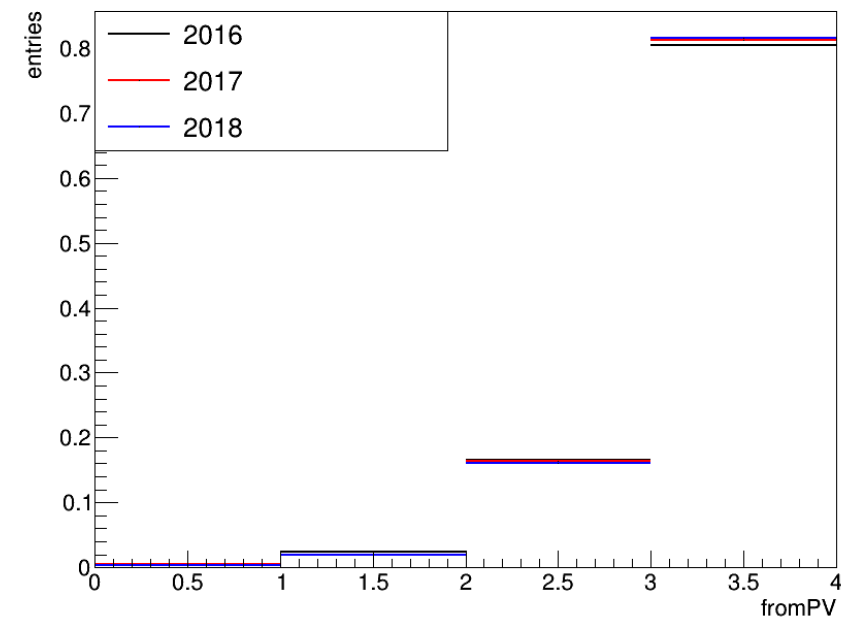


$3 < |\eta| < 10$

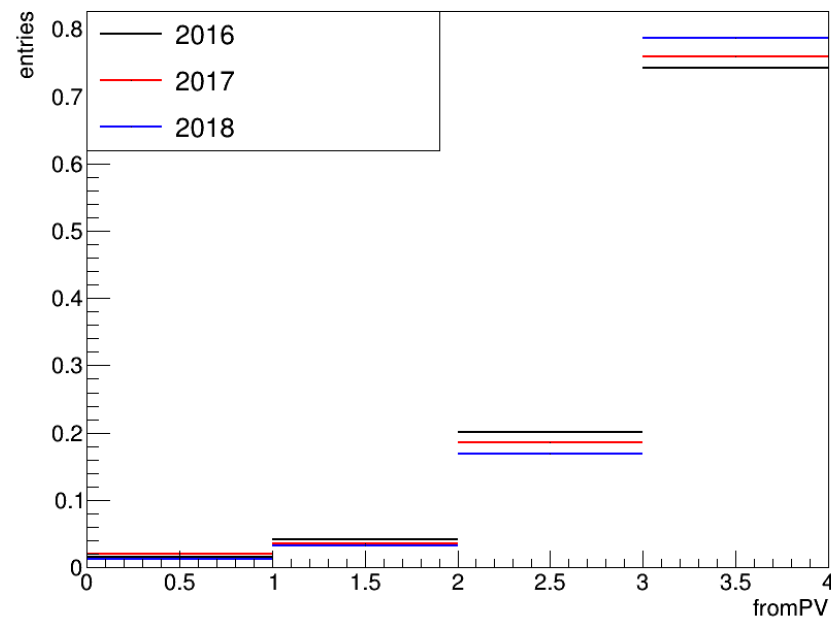


fromPV - $p_T > 20$ GeV

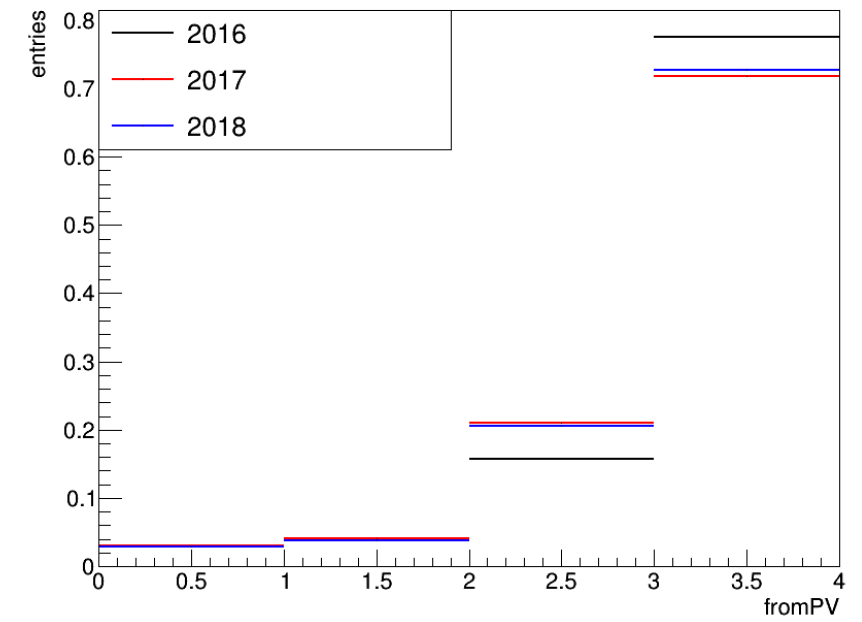
$0 < |\eta| < 1.3$



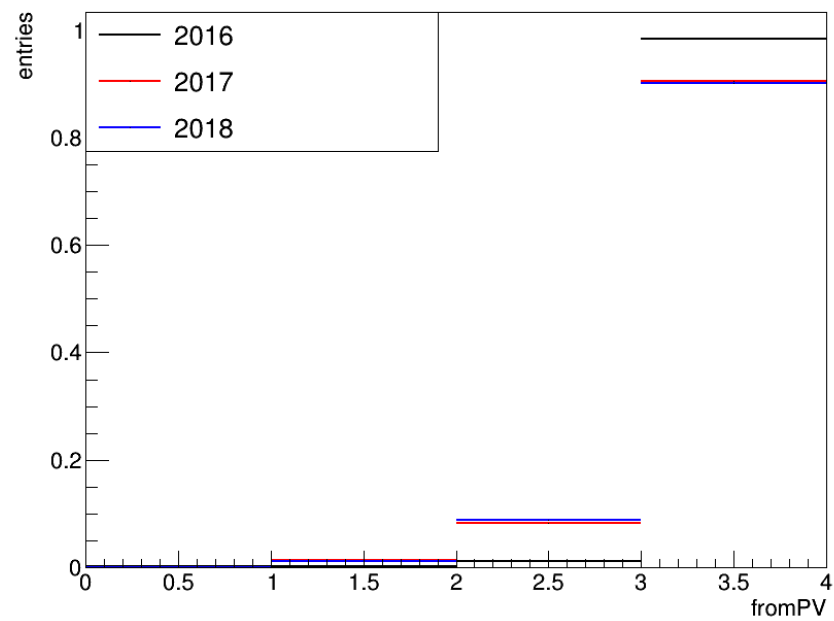
$1.3 < |\eta| < 2$



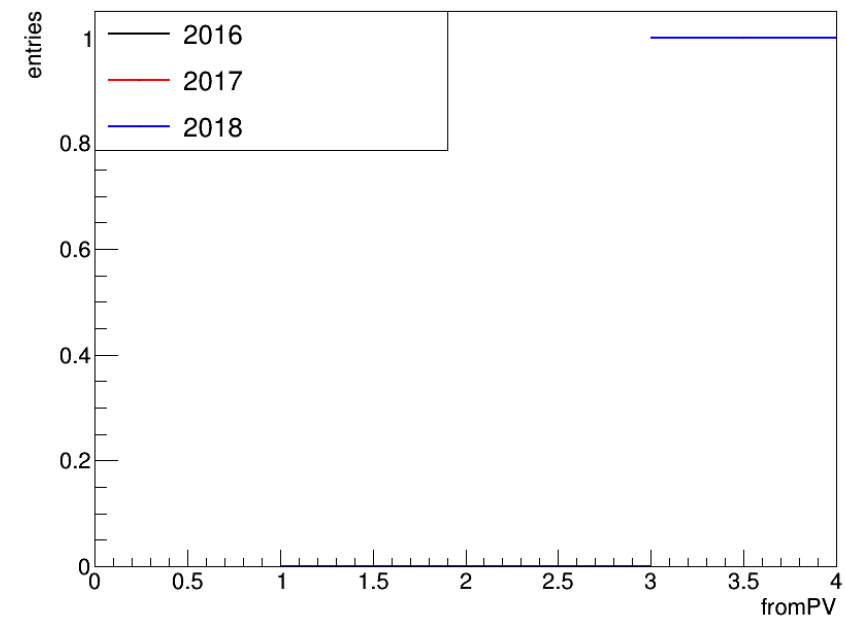
$2 < |\eta| < 2.5$



$2.5 < |\eta| < 3$

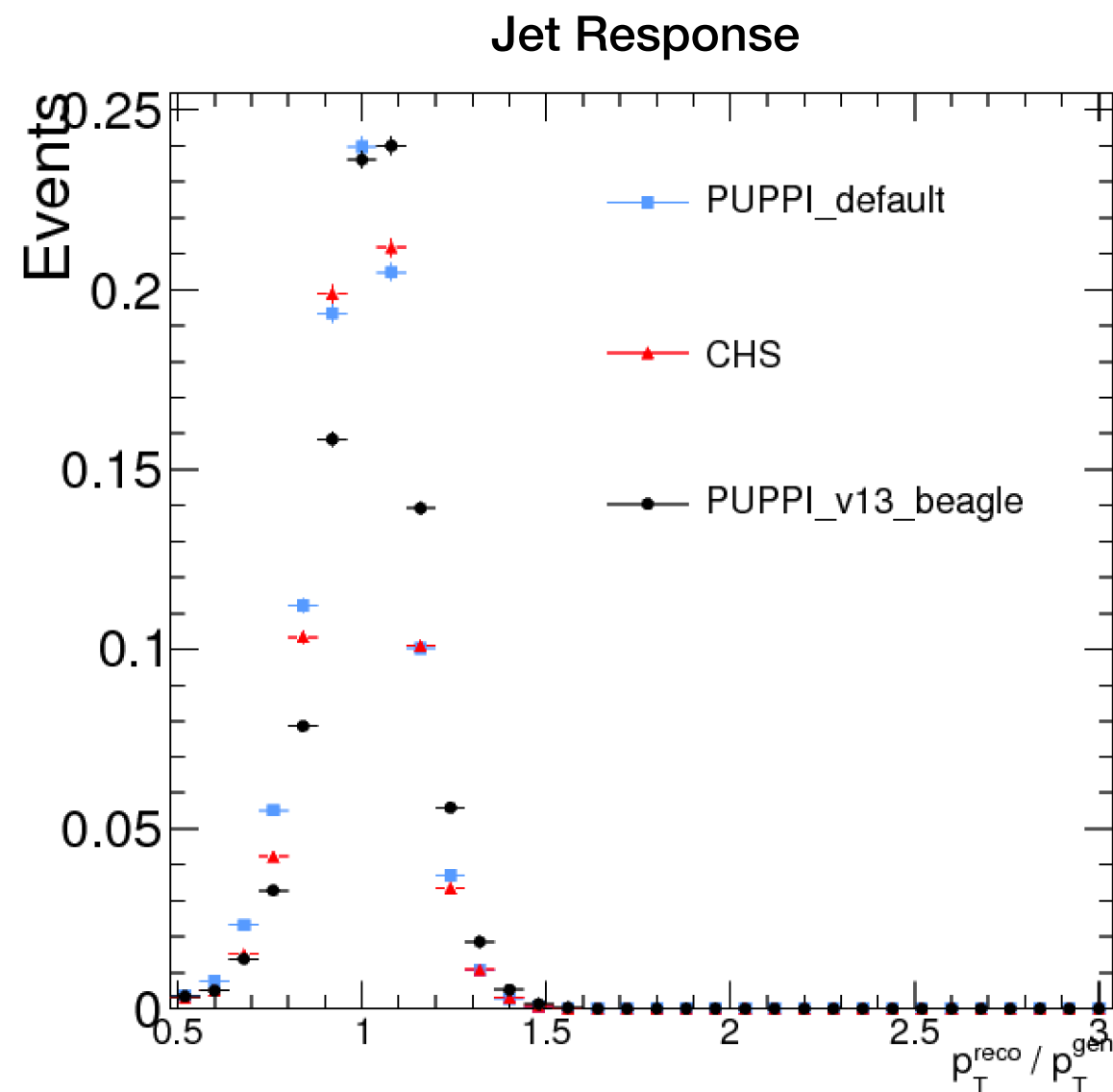


$3 < |\eta| < 10$



JER calculation

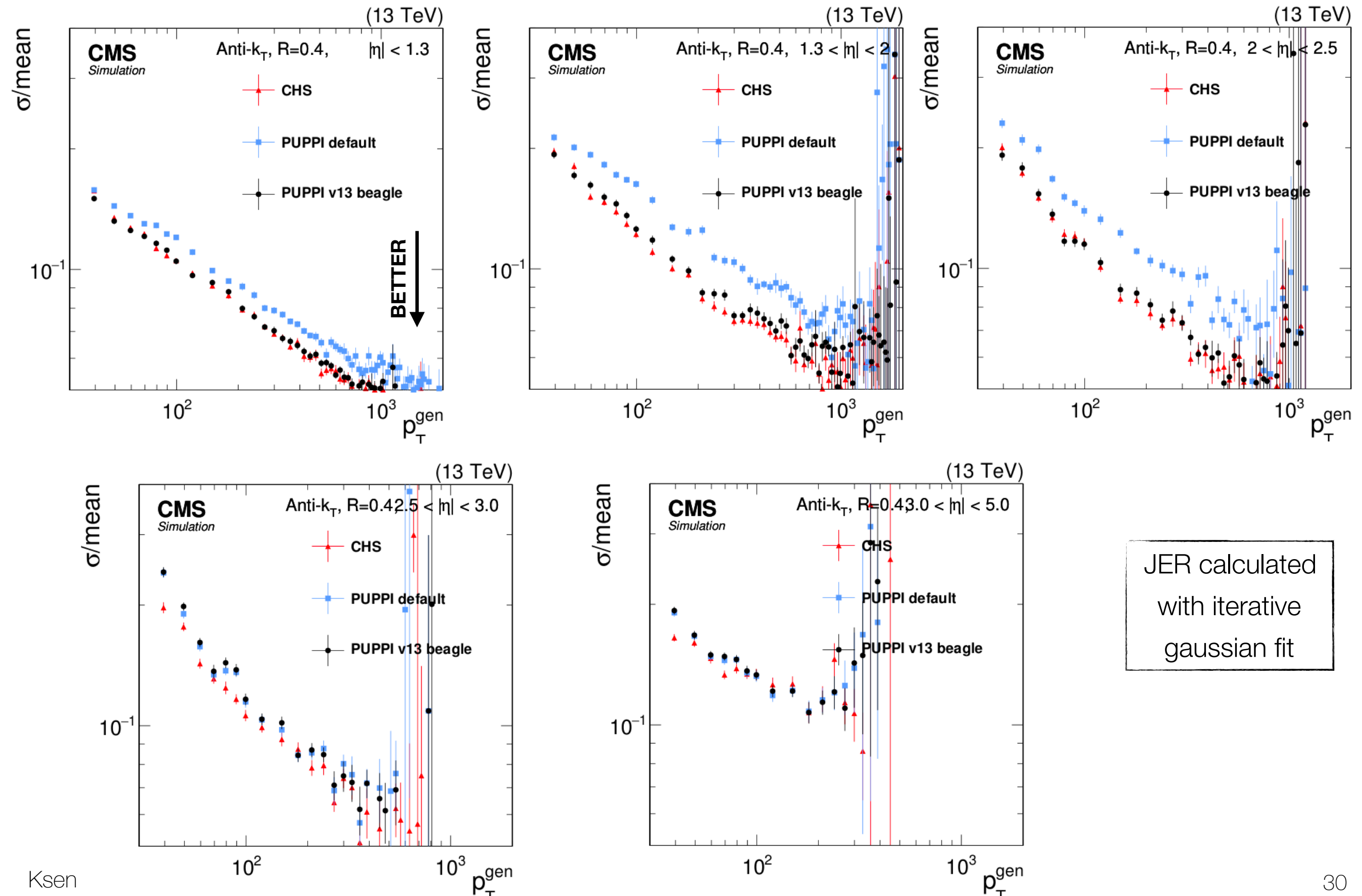
- Response distribution: $p_T^{\text{(recojets)}}/p_T^{\text{(genjets)}}$
- Iterative Gaussian fit in the range $[mean - 1.5\sigma, mean + 1.5\sigma]$
- $JER = \sigma/mean$



JER Ak4 Jets

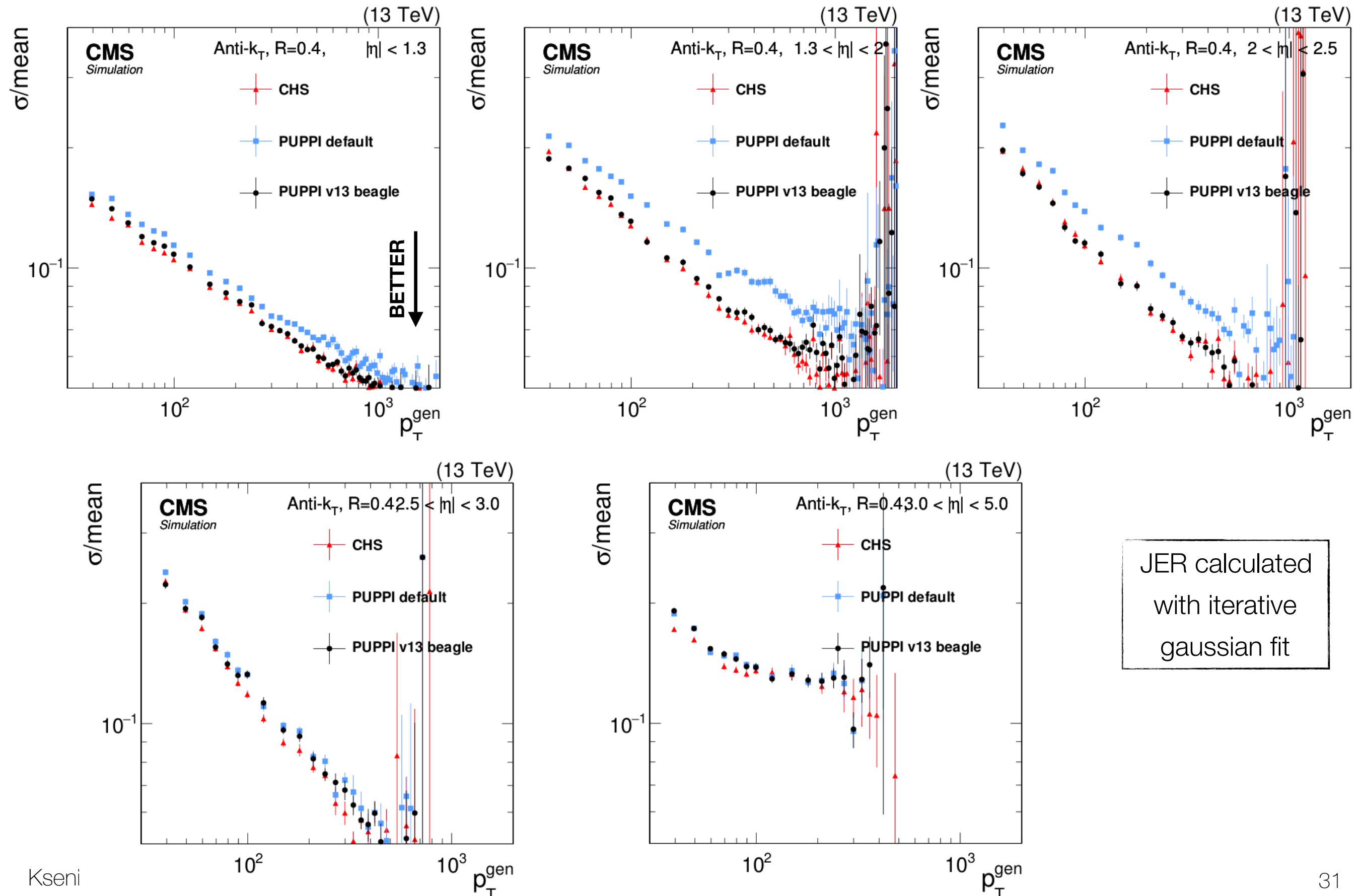
JER 2016 - Ak4

QCD sample



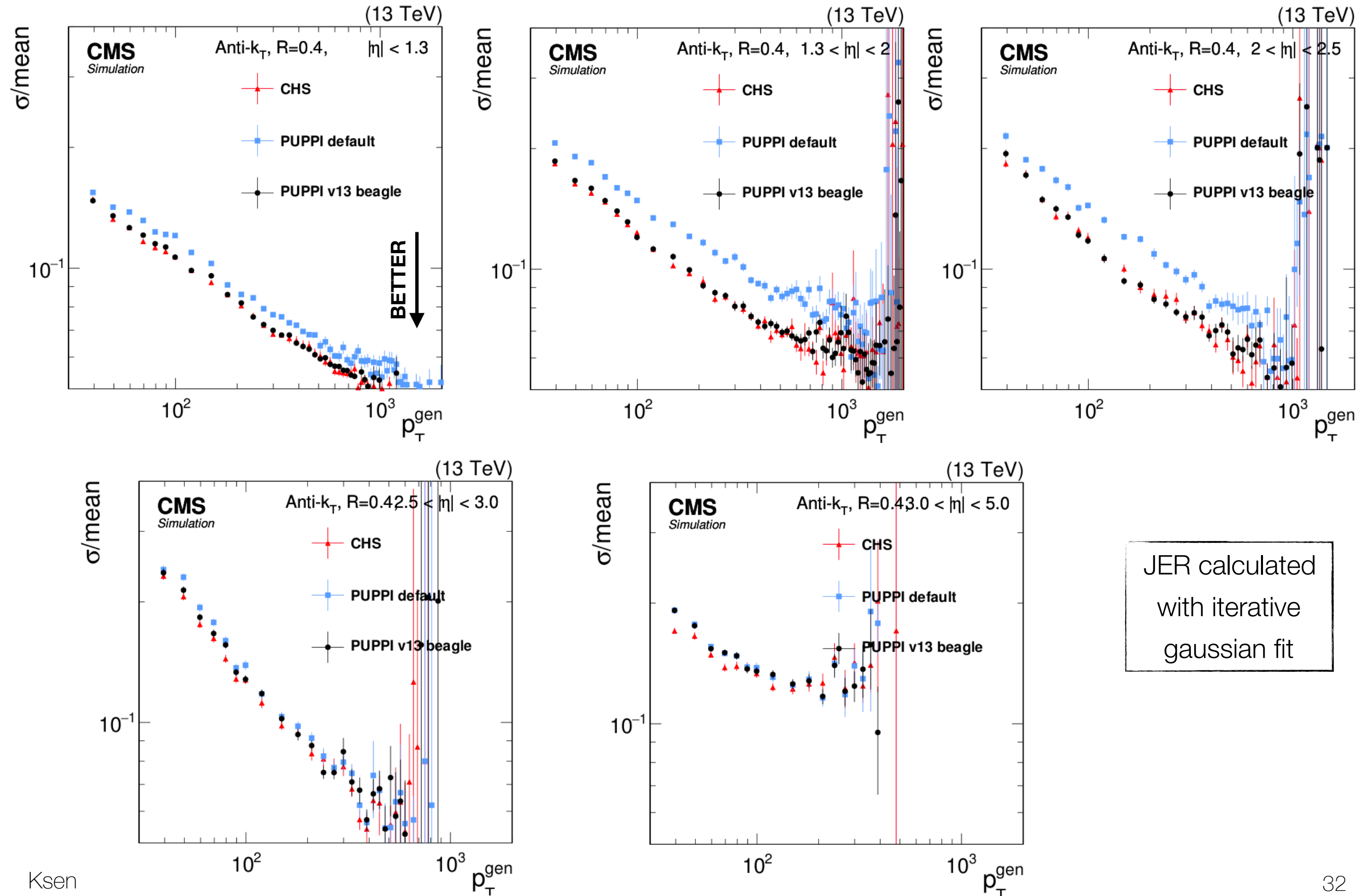
JER 2017 - Ak4

QCD sample



JER 2018 - Ak4

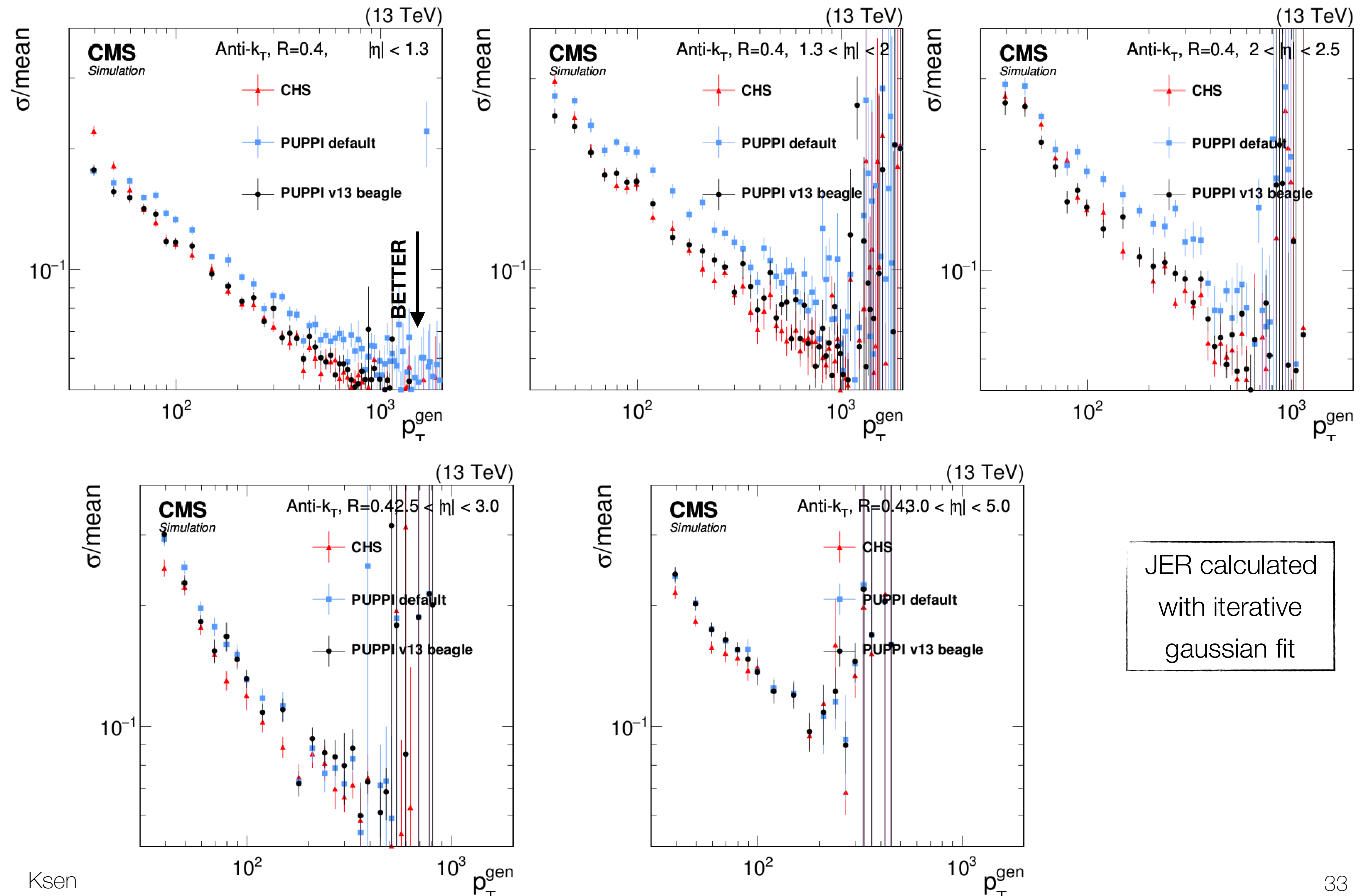
QCD sample



JER calculated
with iterative
gaussian fit

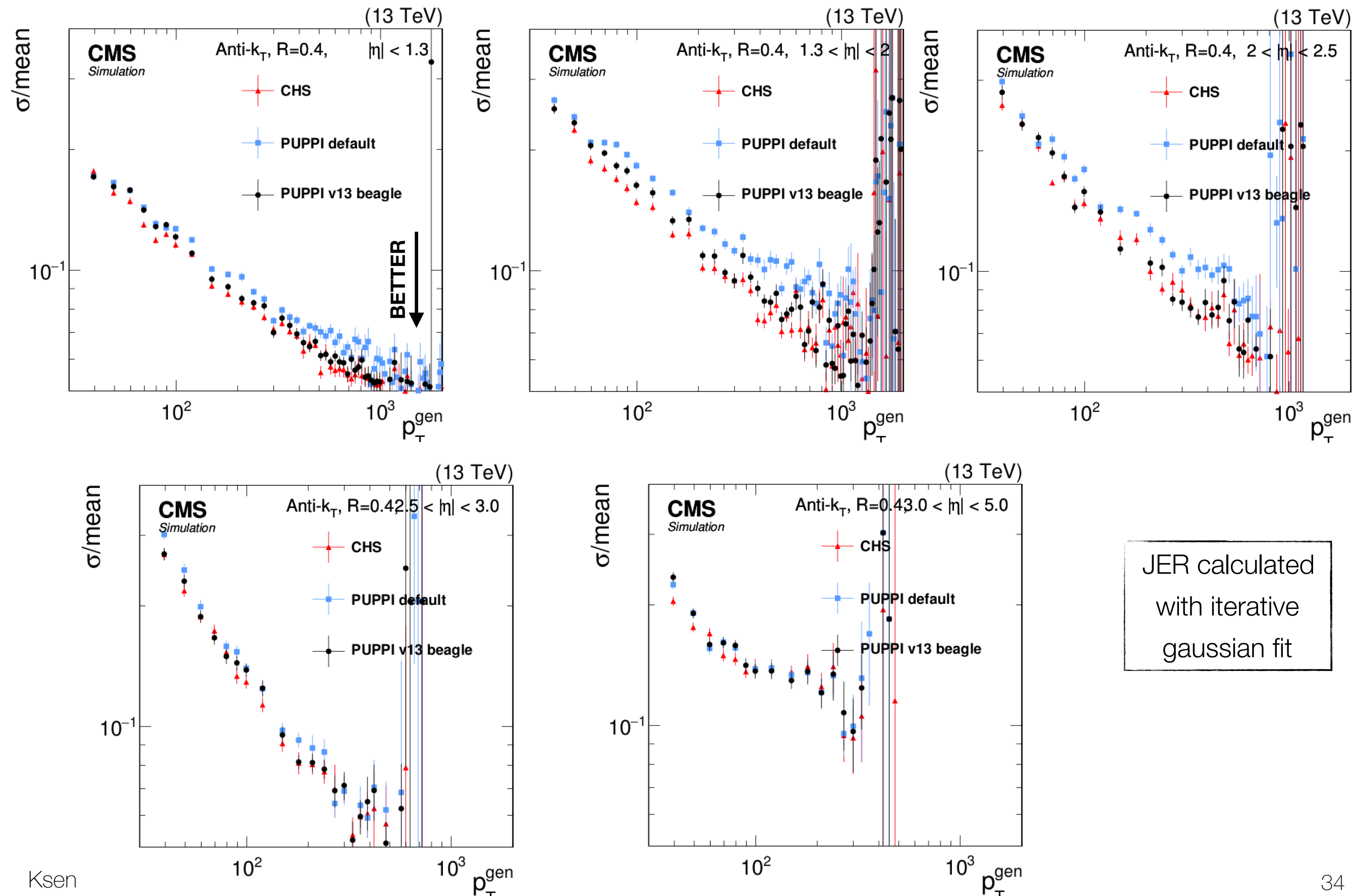
JER 2016 - Ak4 PU>50

QCD sample



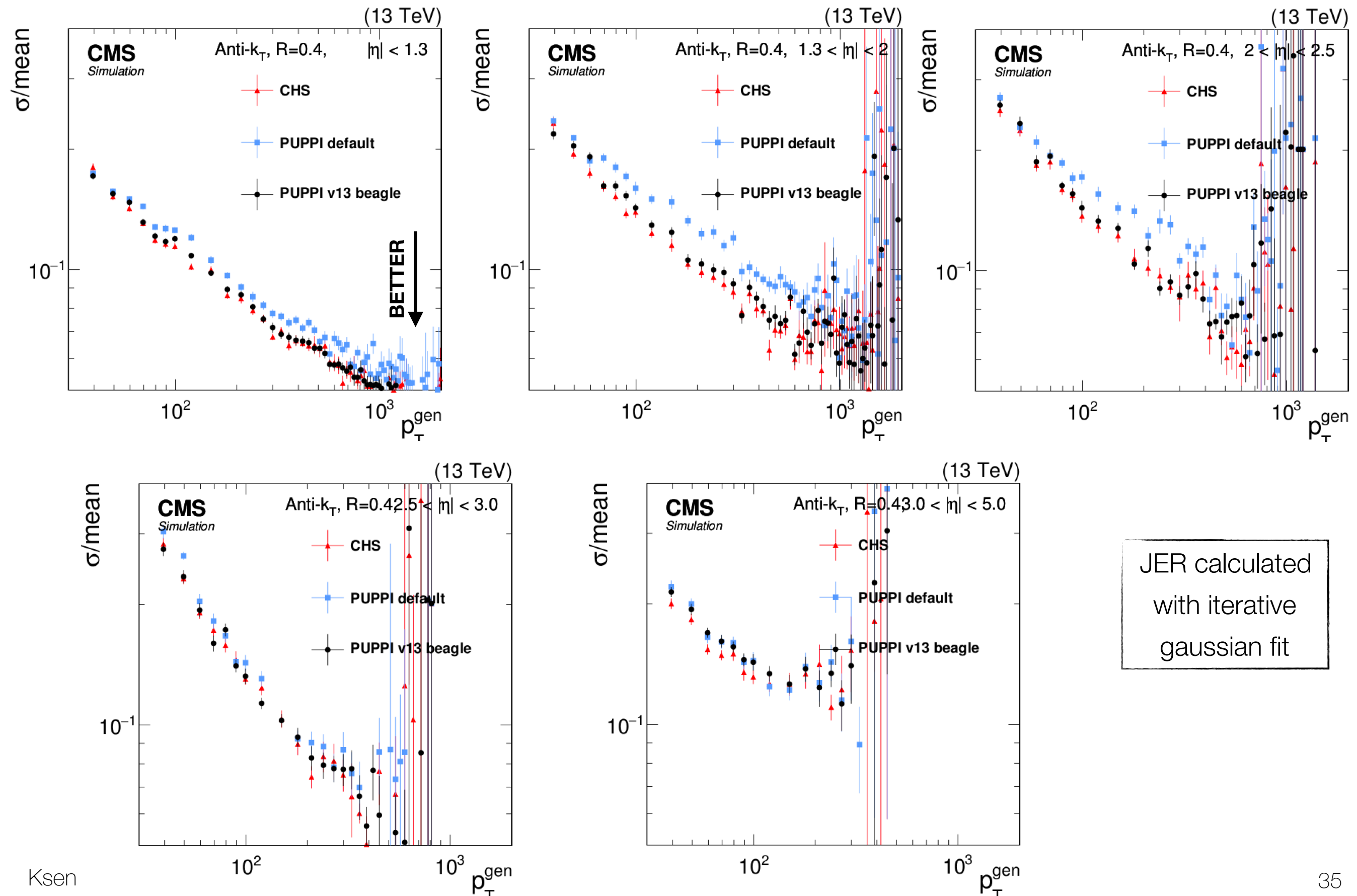
JER 2017 - Ak4 PU>50

QCD sample



JER 2018 - Ak4 PU>50

QCD sample

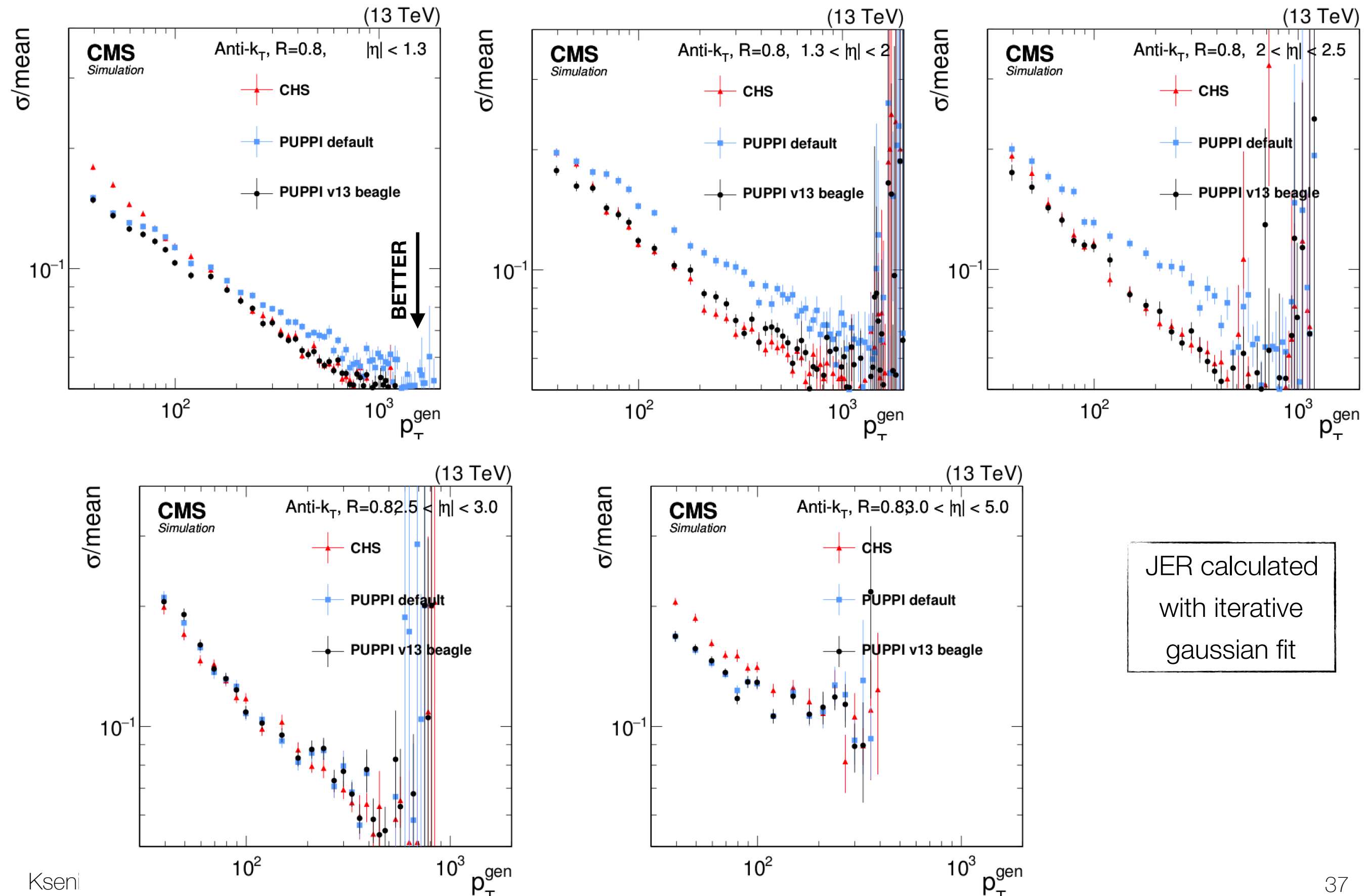


JER calculated
with iterative
gaussian fit

JER Ak8 Jets

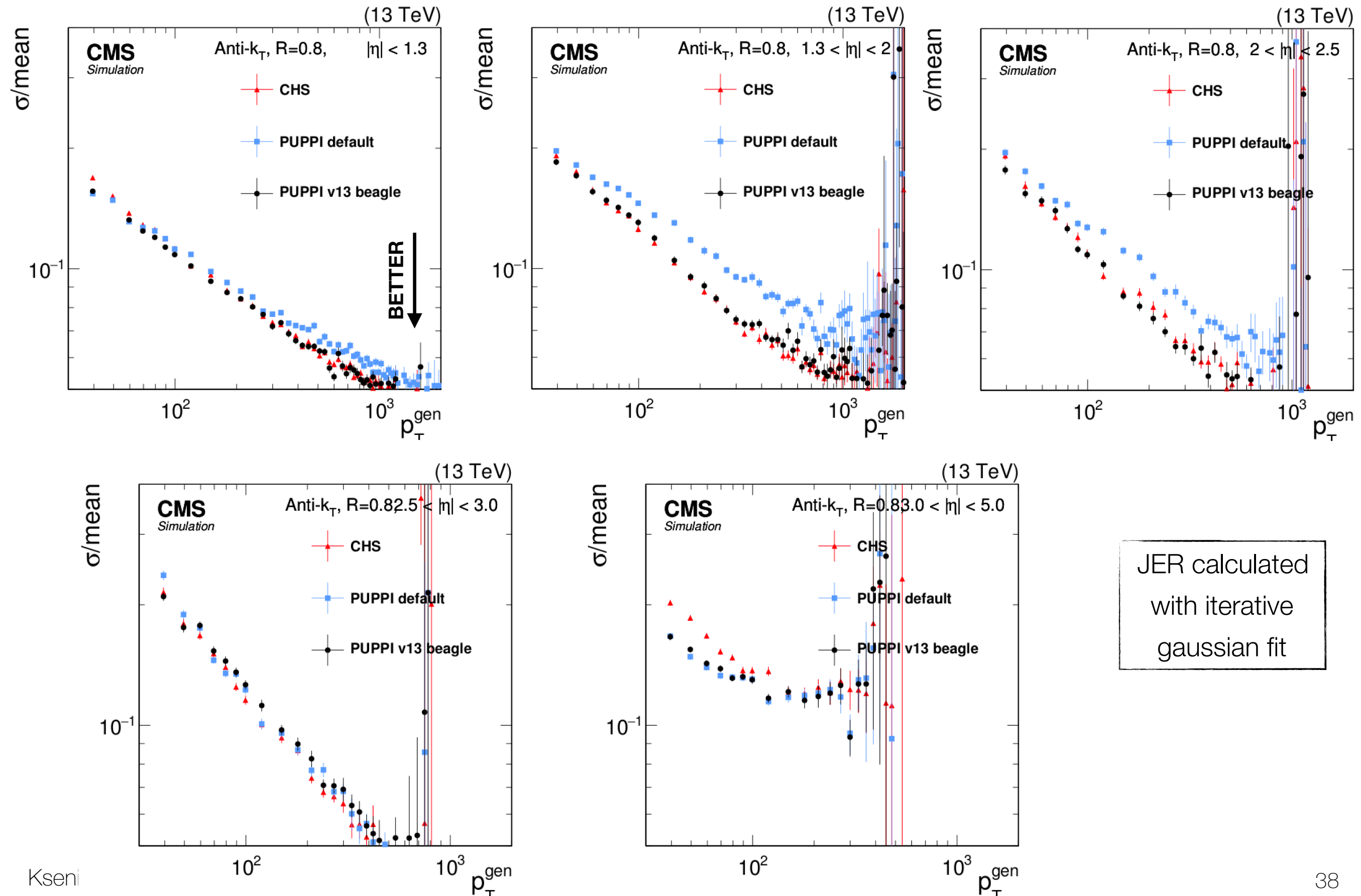
JER 2016 - Ak8

QCD sample



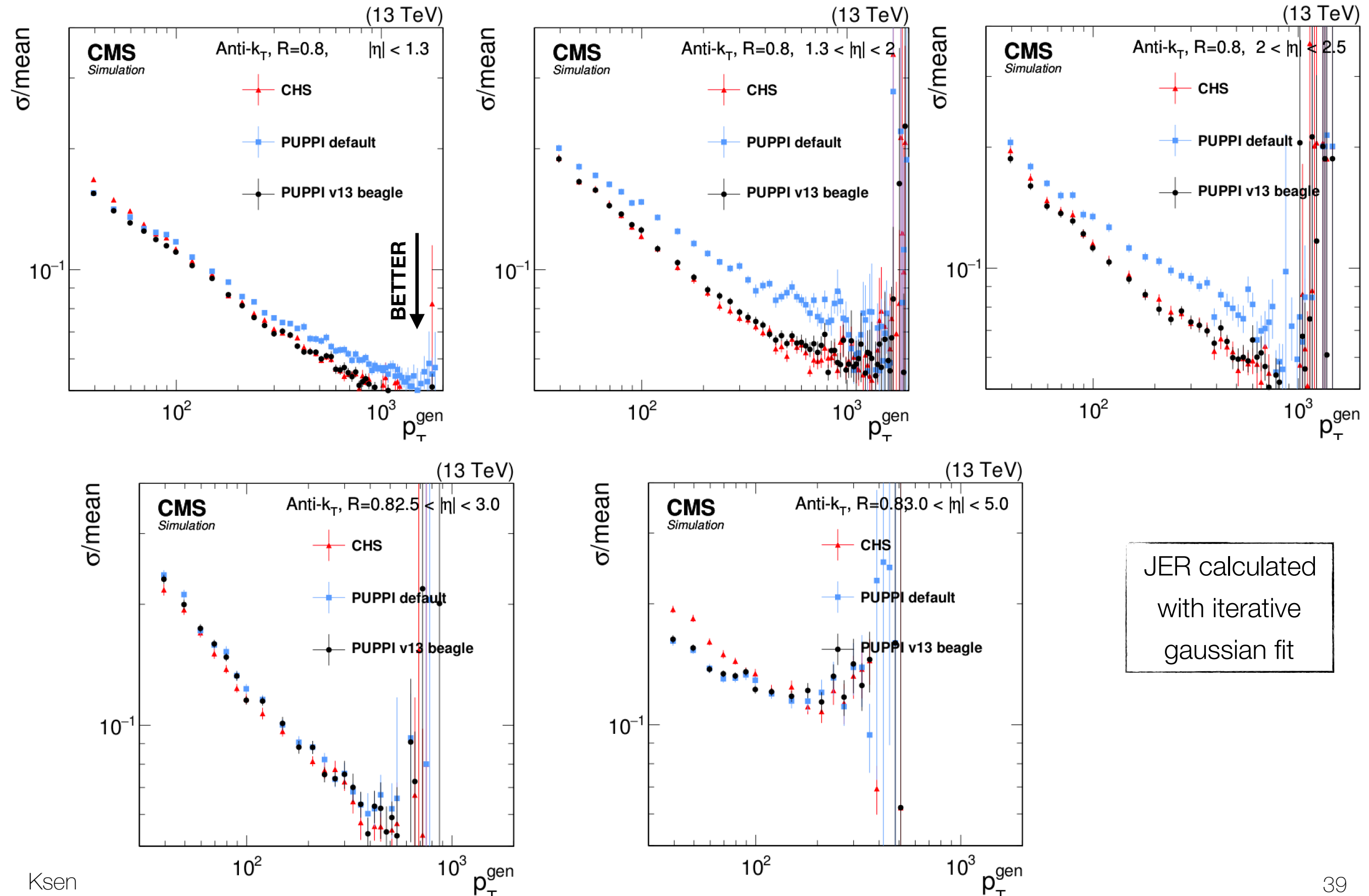
JER 2017 - Ak8

QCD sample



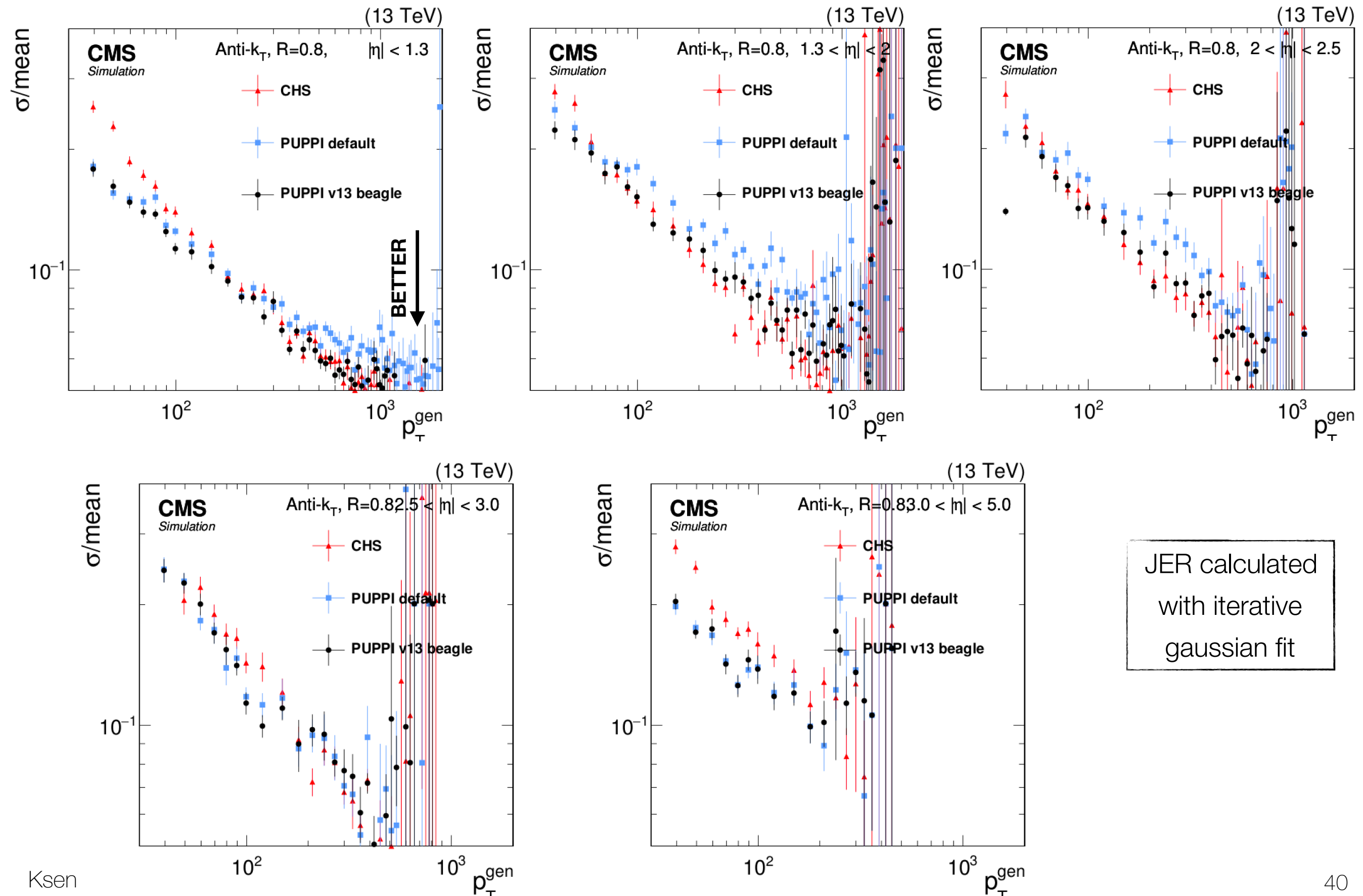
JER 2018 - Ak8

QCD sample



JER 2016 - Ak8 PU>50

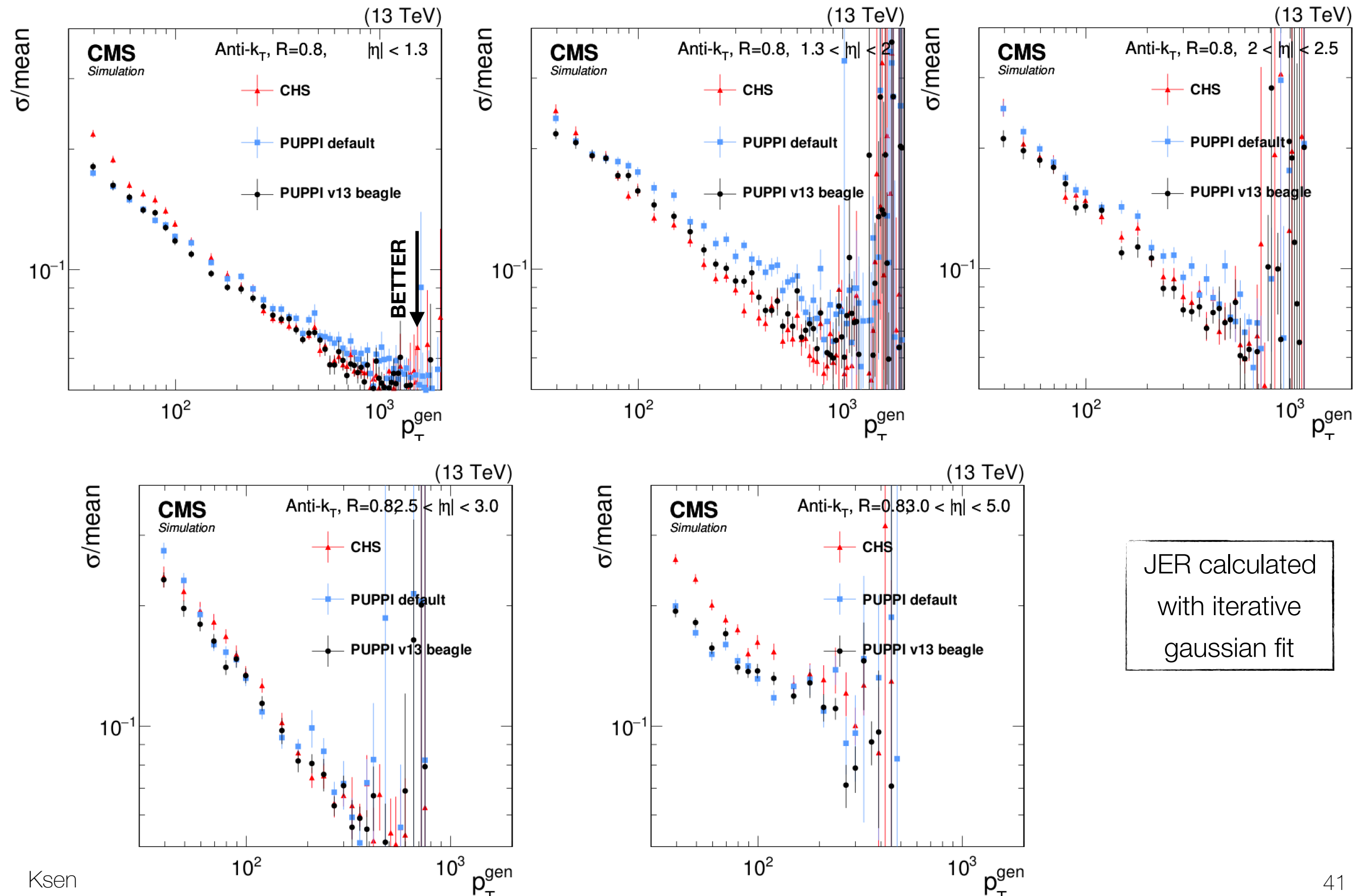
QCD sample



JER calculated
with iterative
gaussian fit

JER 2017 - Ak8 PU>50

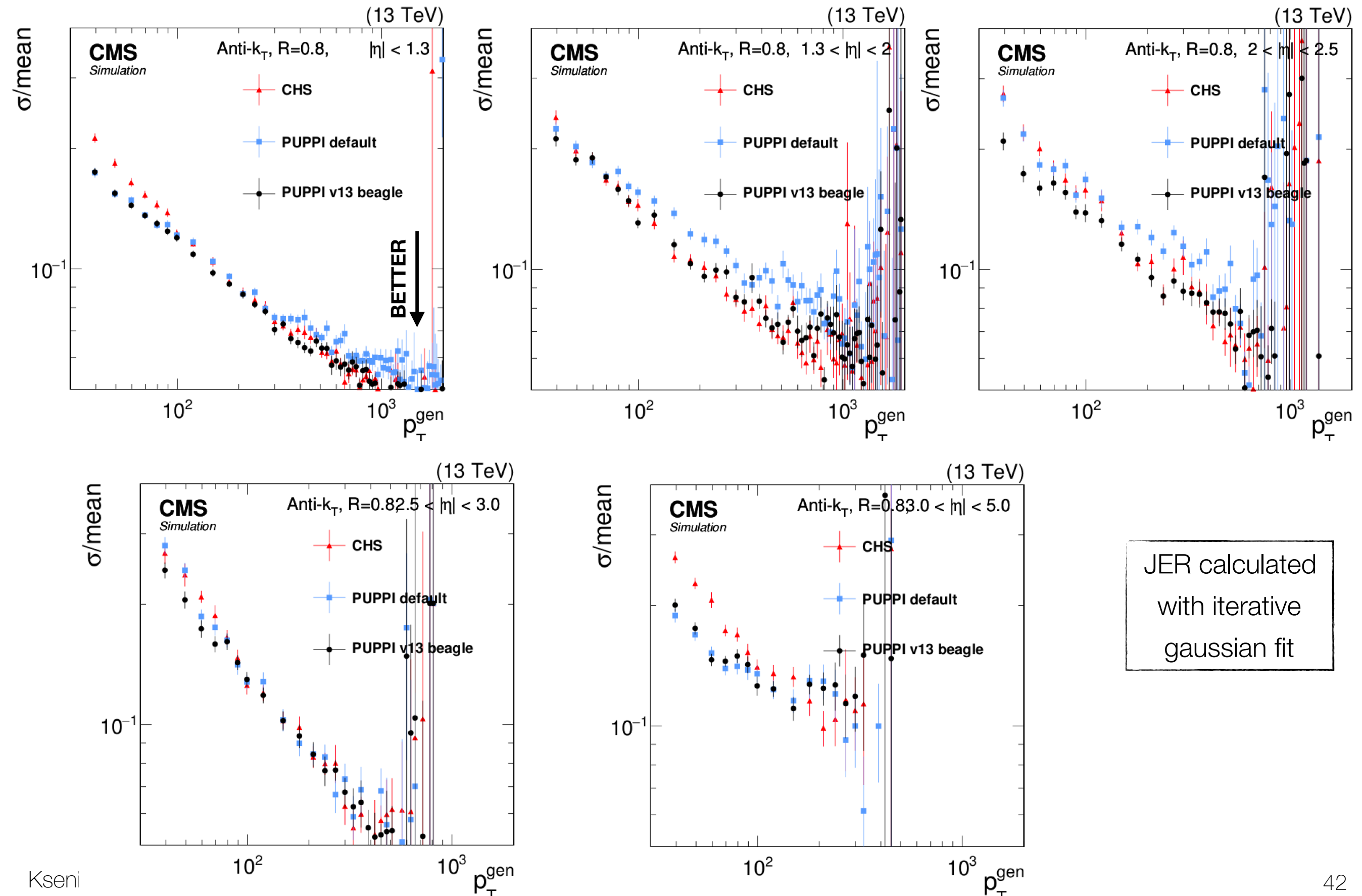
QCD sample



JER calculated
with iterative
gaussian fit

JER 2018 - Ak8 PU>50

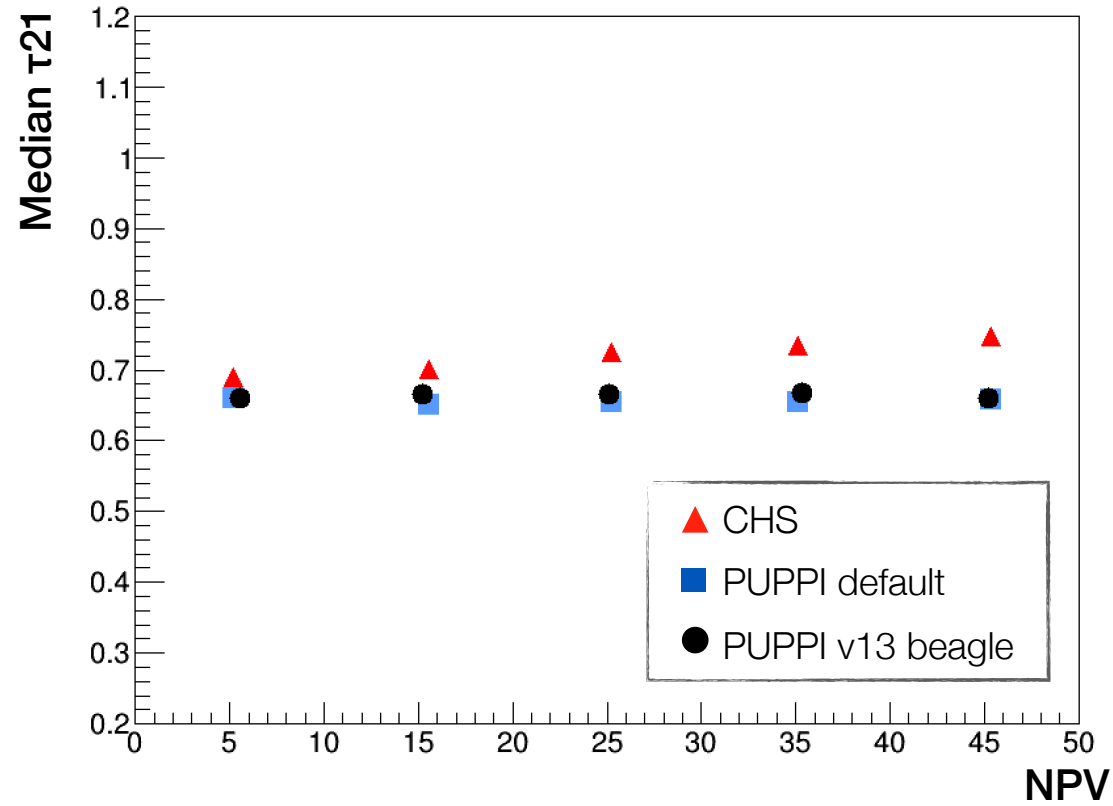
QCD sample



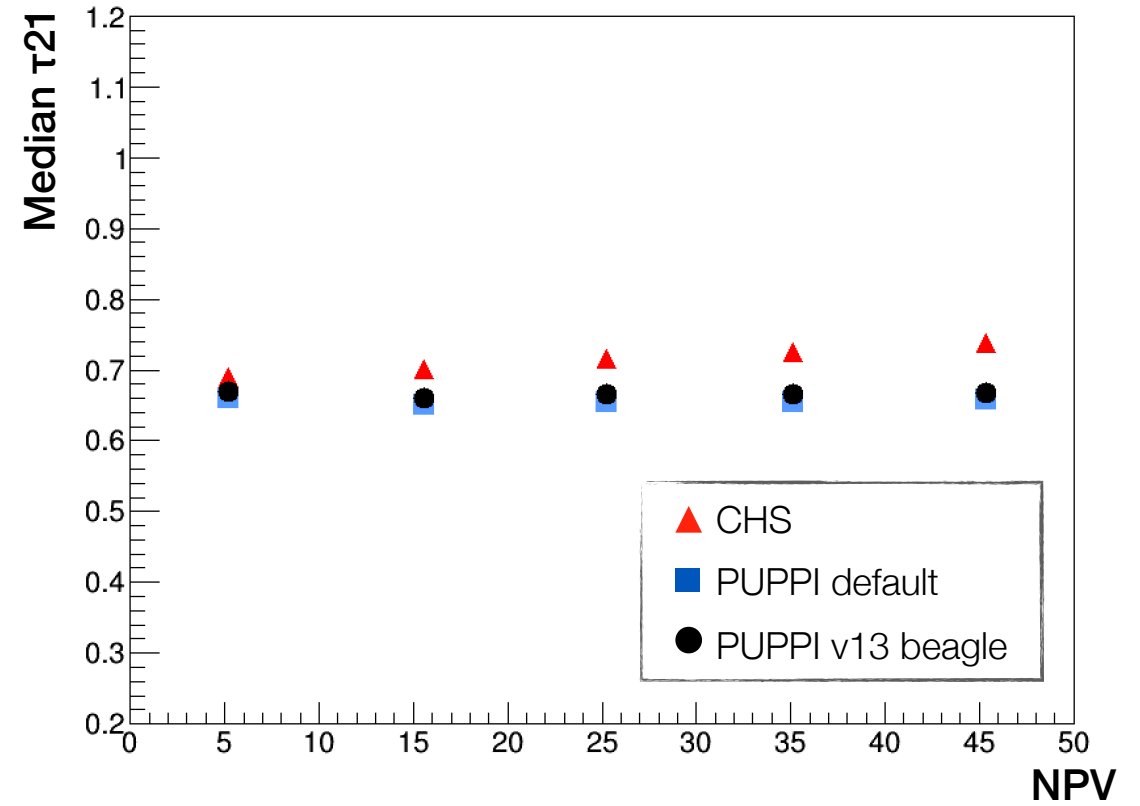
Median tau²₁

Median τ_{21}

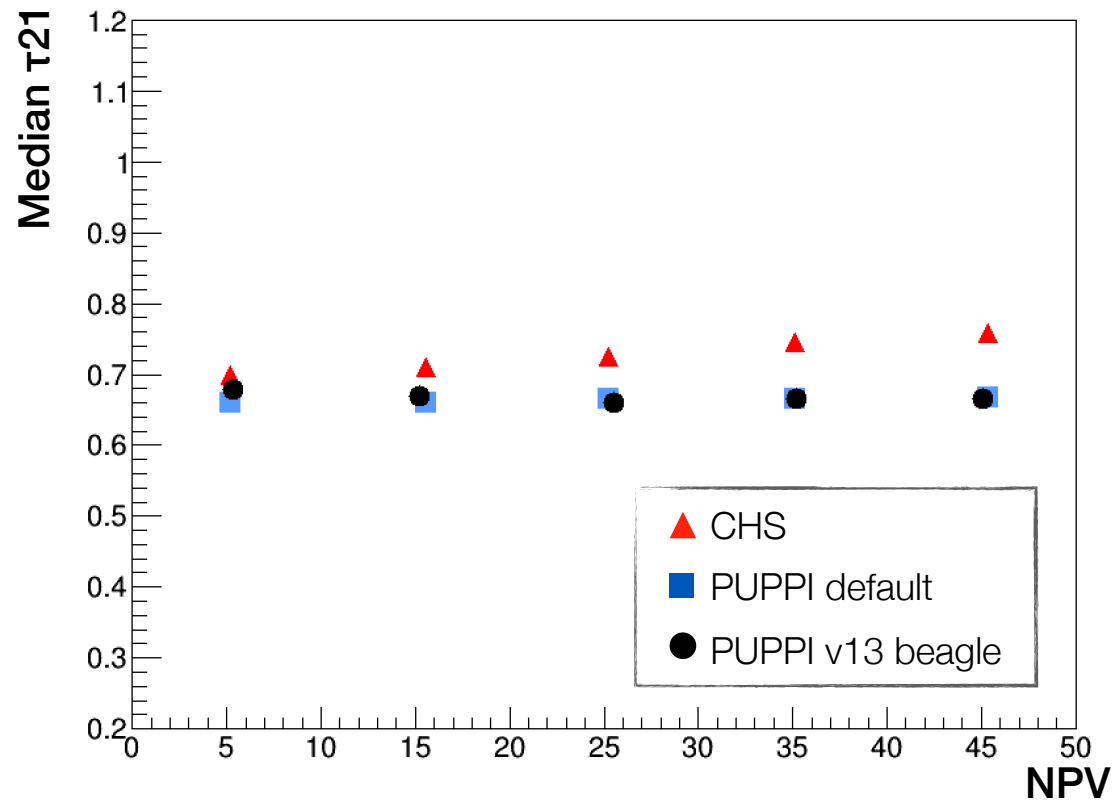
2016



2017



2018



QCD sample

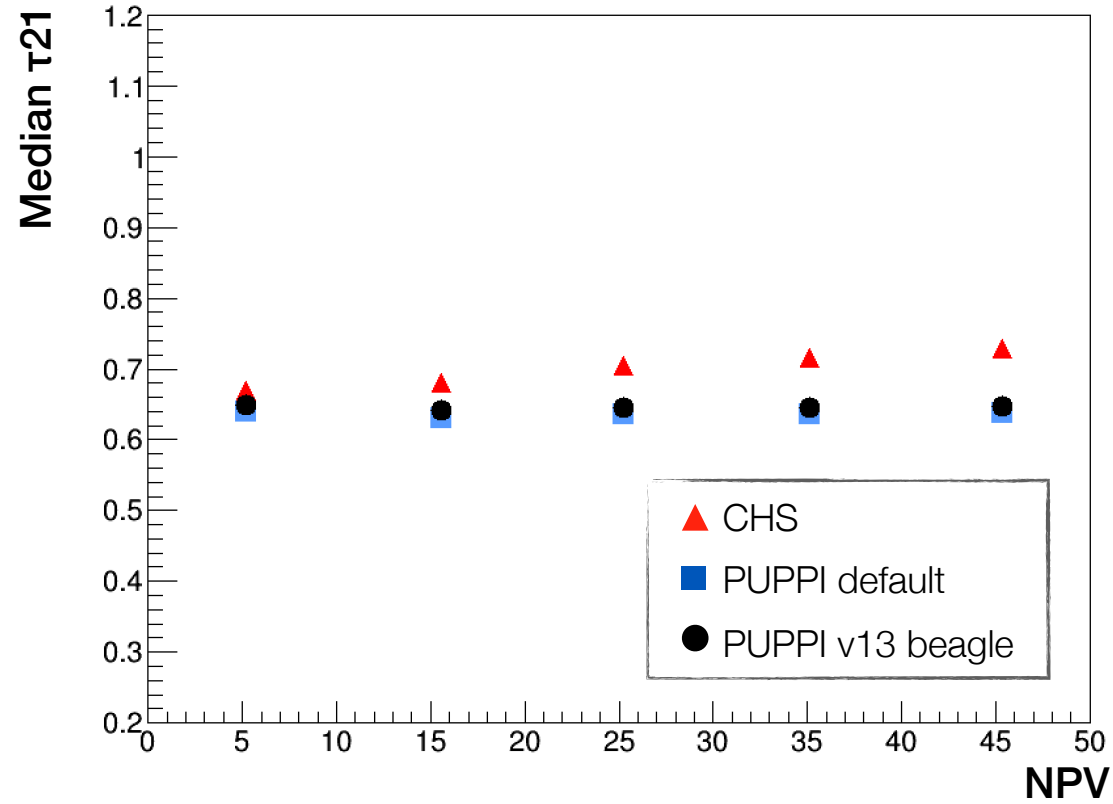
Median τ_{21} leading Ak8 jet

$400 < p_T < 600 \text{ GeV}$

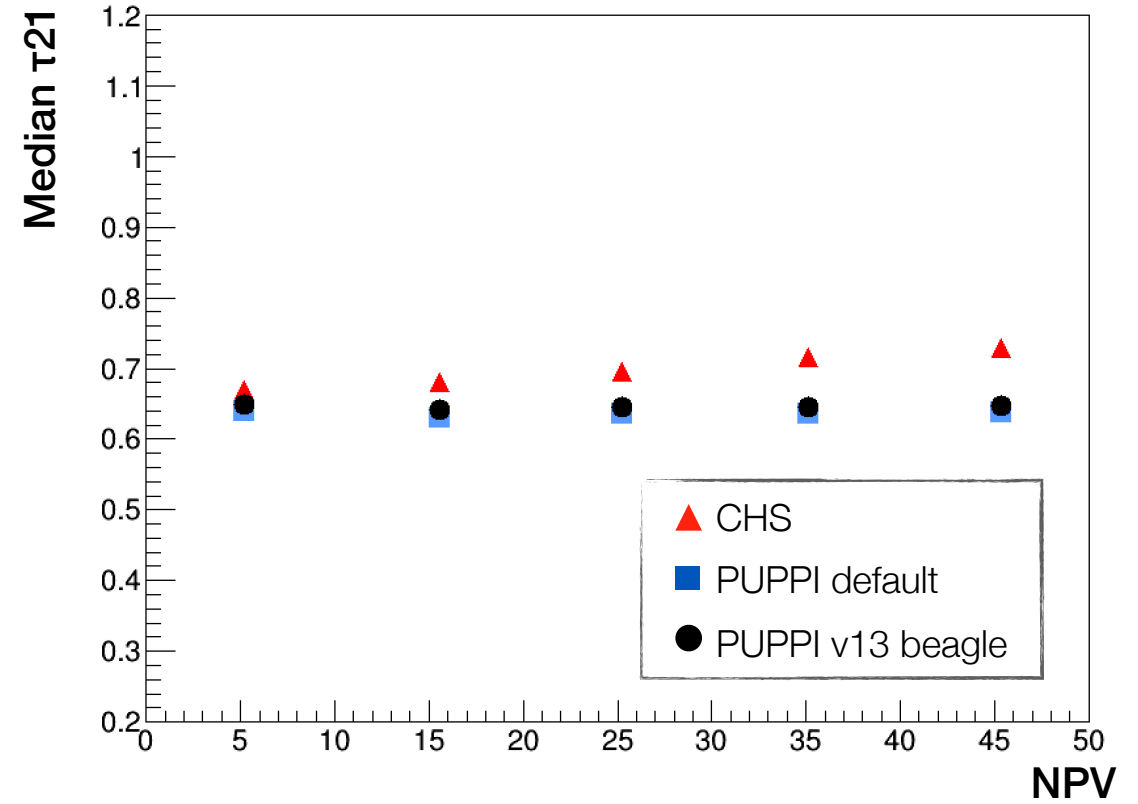
no SoftDrop Mass cut

Median τ_{21}

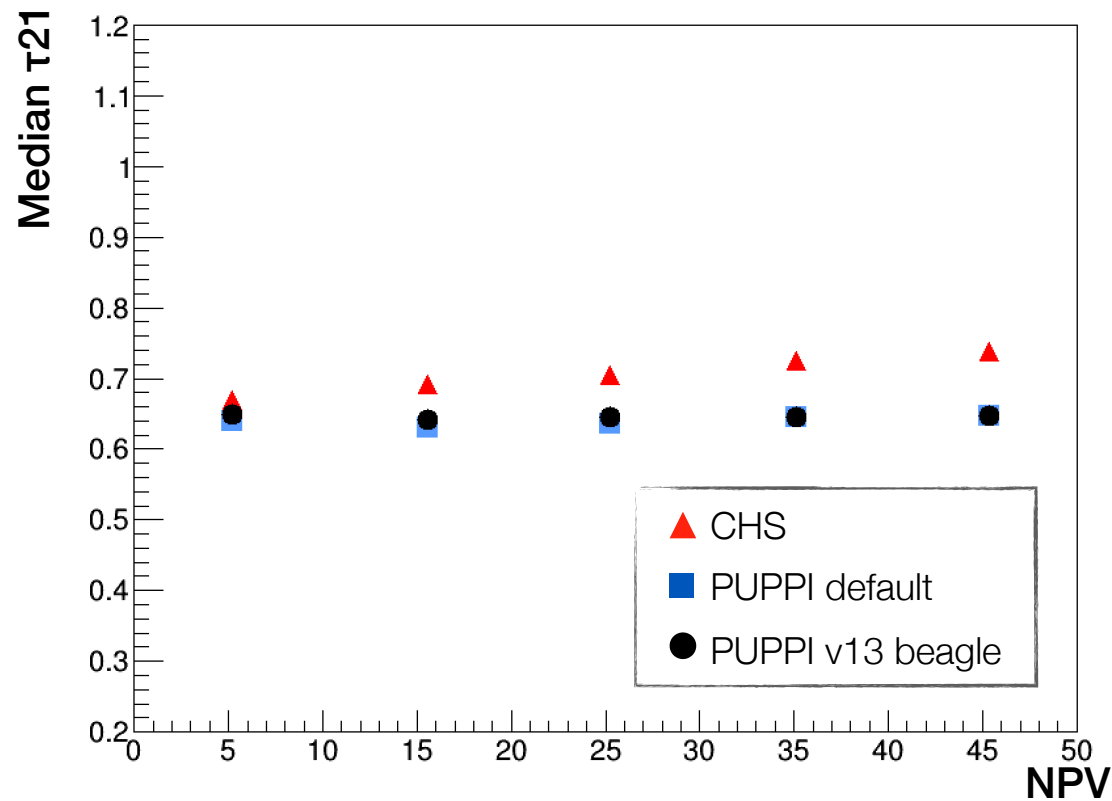
2016



2017



2018



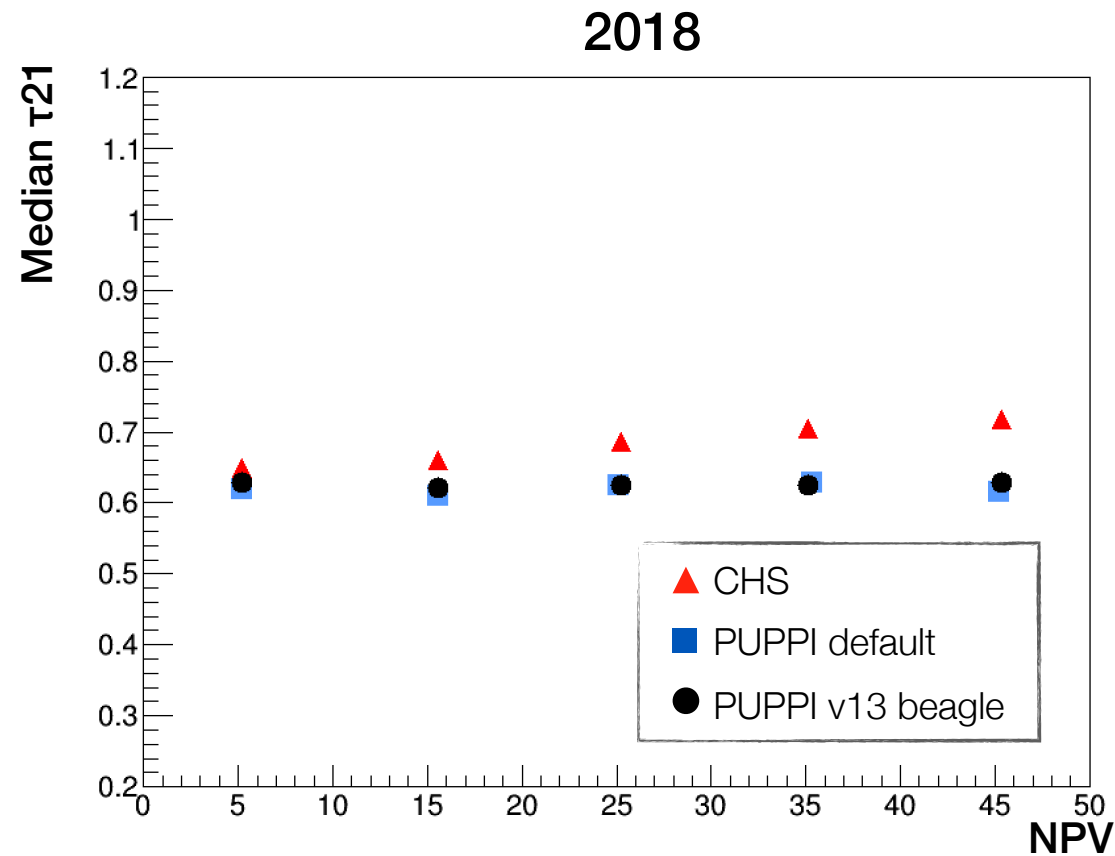
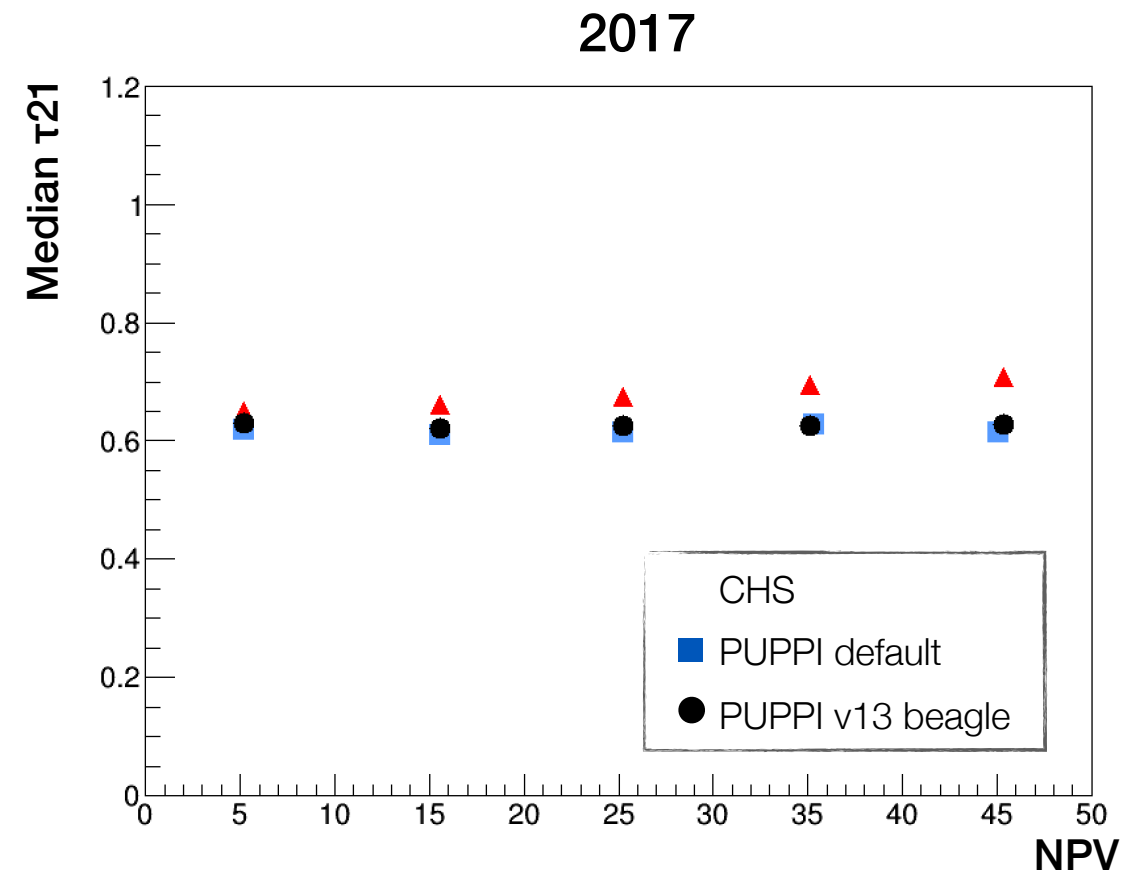
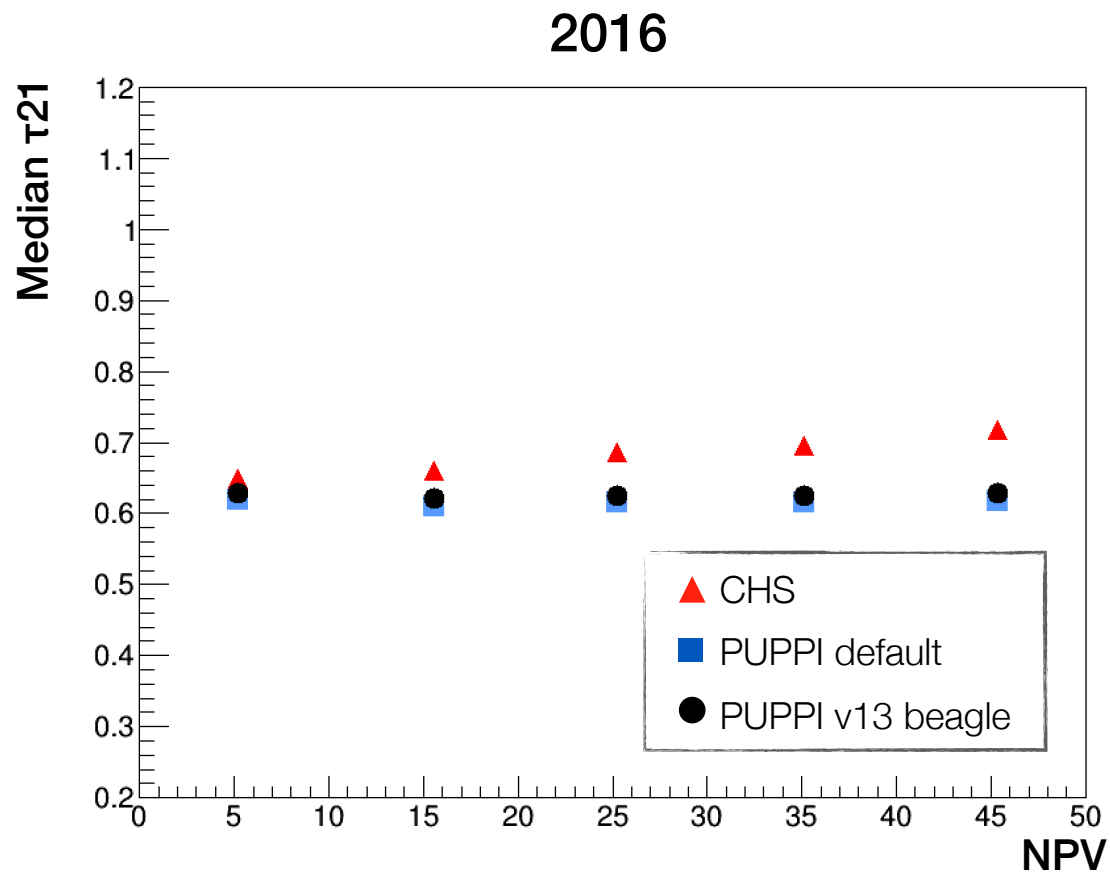
QCD sample

Median τ_{21} leading Ak8 jet

$600 < p_T < 800 \text{ GeV}$

no SoftDrop Mass cut

Median τ_{21}



QCD sample

Median τ_{21} leading Ak8 jet

$800 < p_T < 1000 \text{ GeV}$

no SoftDrop Mass cut

Efficiency and Purity: calculation

Efficiency

- $p_T^{\text{genjets}} > 30 \text{ GeV}$, $p_T^{\text{recojets}} > 20 \text{ GeV}$
- Fill histogram with N_{PV} for each genjet
- Match each genjet to a recojet
- Fill histogram for each matched genjet with N_{PV}
- Divide the histograms

Purity

- $p_T^{\text{recojets}} > 30 \text{ GeV}$, $p_T^{\text{genjets}} > 20 \text{ GeV}$
- Fill histogram with N_{PV} for each recojet
- Match each recojet to a genjet
- Fill histogram for each matched recojet with N_{PV}
- Divide the histograms

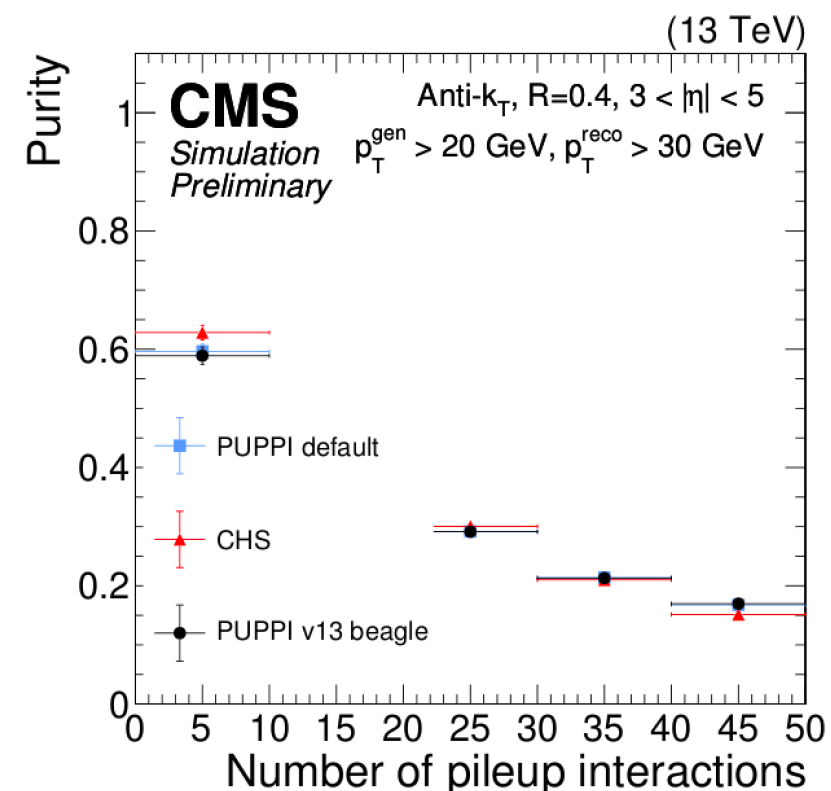
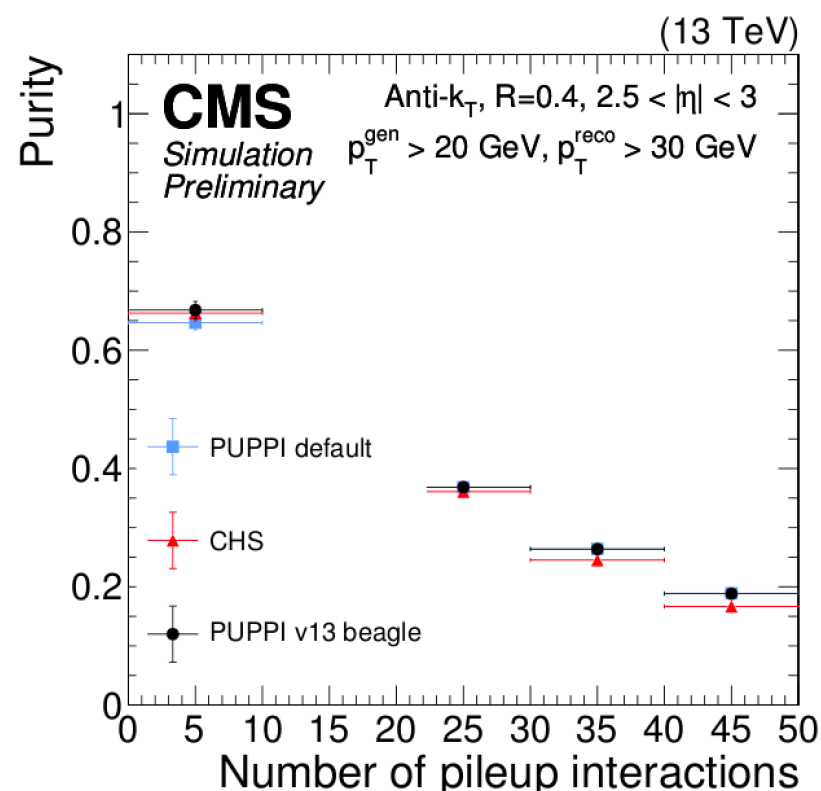
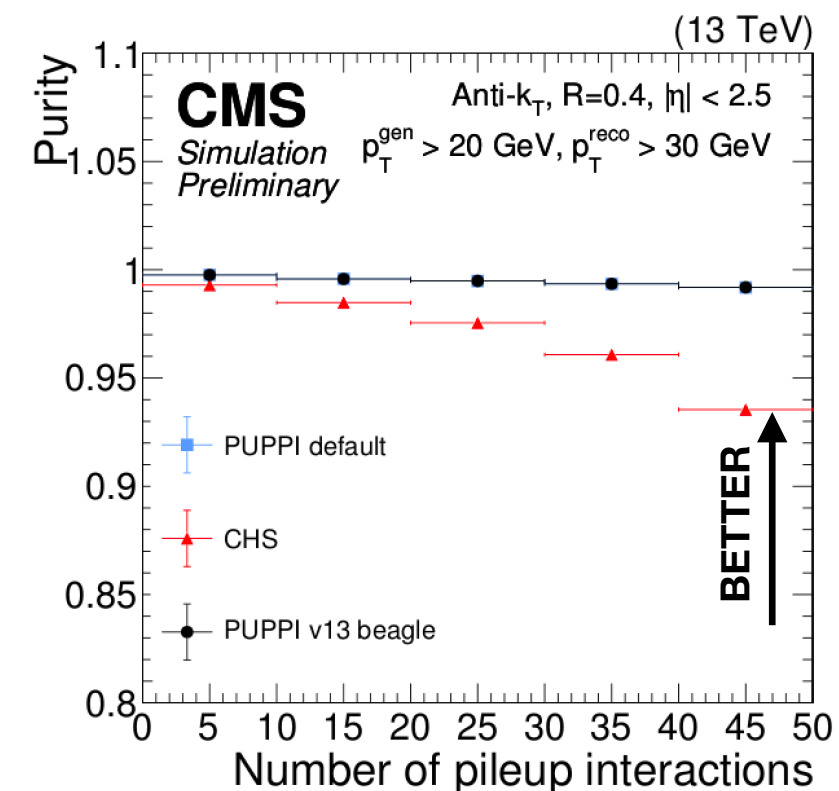
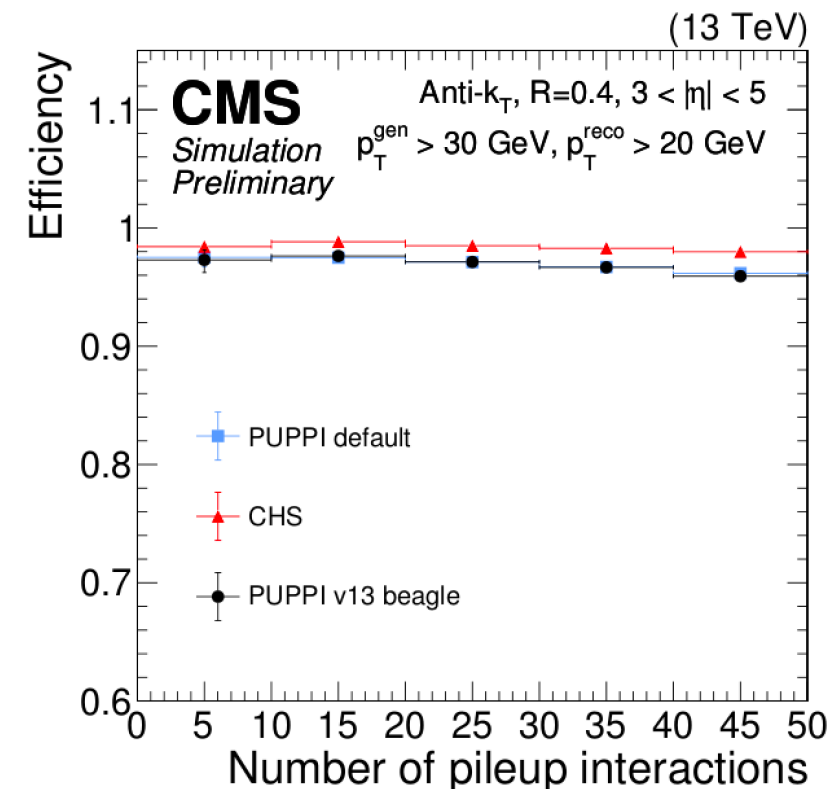
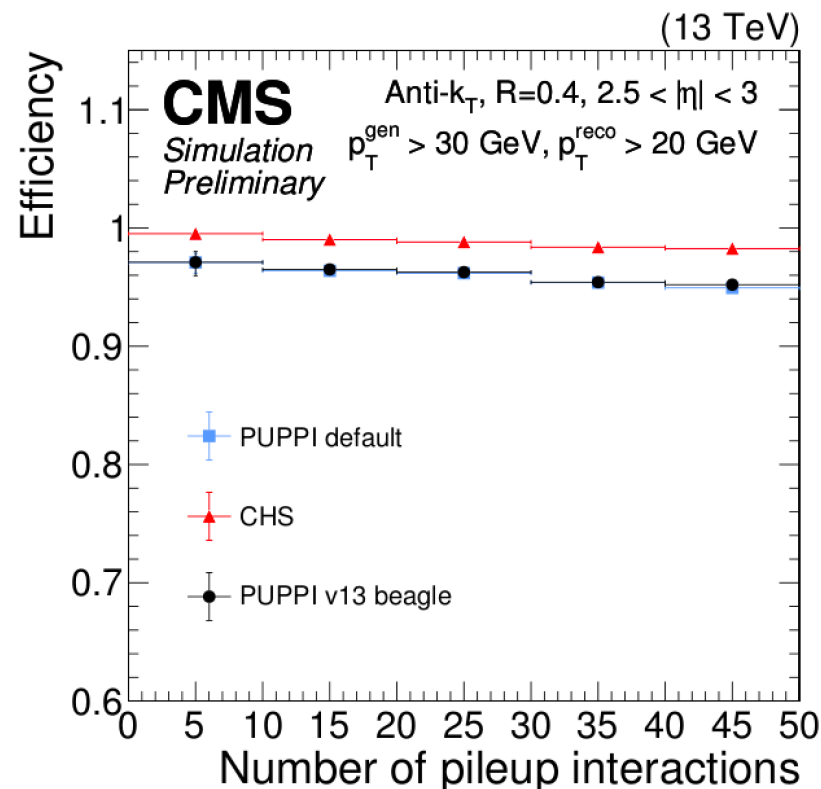
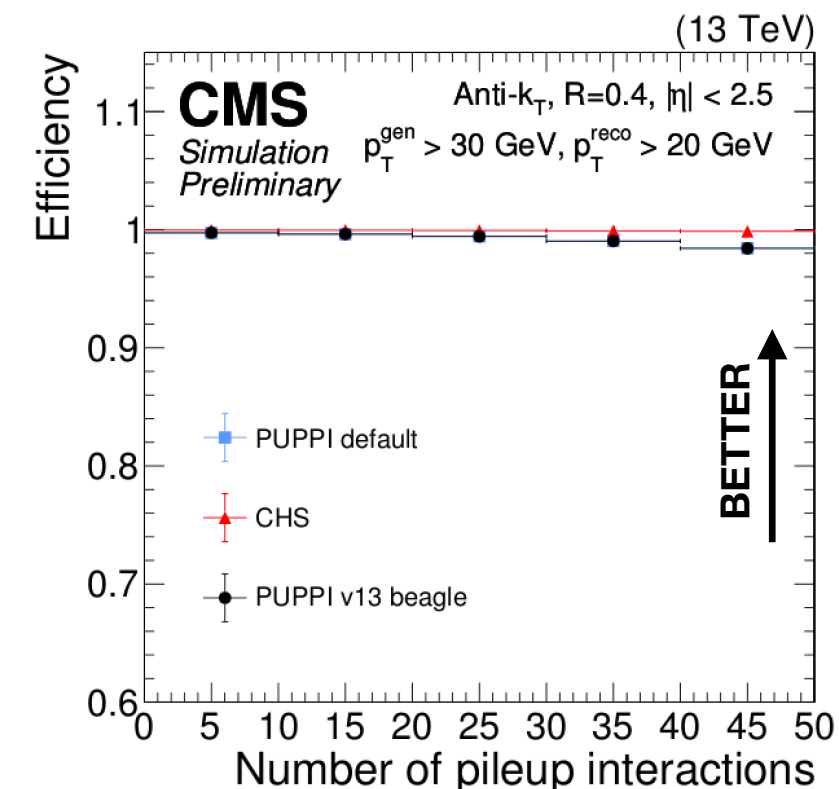
DY Dataset

Year	DAS	CMSSW production release	Note
2016v3	<u>/DYJetsToLL_M-50_TuneCUETP8M1_13TeV-madgraphMLM-pythia8/RunIISummer16MiniAODv3-PU Moriond17_94X_mcRun2_asymptotic_v3_ext1-v2/MINIAODSIM</u>	production: 80X reconstruction: 94X	Version v3, in QCD sample 2016 version v2
2017v2	<u>/DYJetsToLL_M-50_TuneCP5_13TeV-amcatnloFXFX-pythia8/RunIIFall17MiniAODv2-PU2017_12Apr2018_new_pmx_94X_mc2017_realistic_v14-v1/MINIAODSIM</u>	production: 92X reconstruction: 94X	
2018	<u>/DYJetsToLL_M-50_TuneCP5_13TeV-madgraphMLM-pythia8/RunIIAutumn18MiniAOD-102X_upgrade2018_realistic_v15-v1/MINIAODSIM</u>	production: 102X reconstruction: 102X	Coming soon

PR tune v13 beagle

Efficiency & Purity - 2016

DY sample



Efficiency & Purity - 2017

DY sample

