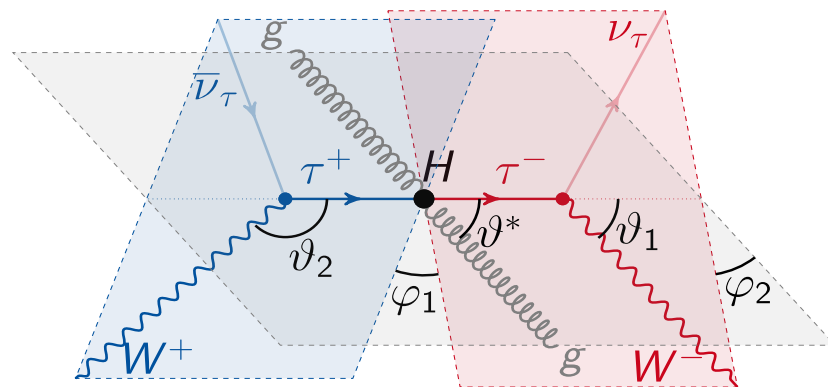




RWTHAACHEN
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London



Narodowe Centrum Badań Jądrowych
National Centre for Nuclear Research
ŚWIERK

instytut kategorii A+, JRC collaboration partner

DESY Workshop – Higgs CP in Tau Decays

Summary

Thomas Müller on behalf of the $H \rightarrow \tau\tau$ Decay CP working group



III. Physikalisches
Institut B

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► Previous presentations:

- Mohammad Hassan Hassanshahi, 20.05.2019, Tau POG: *Identification of ρ decay channel in the hadronic tau decay*
- Mohammad Hassan Hassanshahi, 03.06.2019, Tau POG: *Identification of tau decay channels*
- Merijn van de Klundert, 31.08.2019, Higgs PAG: *Higgs CP in tau decay: Status update*
- Daniel Winterbottom, 03.10.2019, Higgs PAG: *Fake Factors for CP in decay*

- Working group meetings:
indico.desy.de/indico/category/656
- AN-19-192 (work in progress)

CMS Draft Analysis Note

The content of this note is intended for CMS internal use and distribution only

2019/10/21
Archive Hash: 3abae4f
Archive Date: 2019/10/21

Analysis of the Higgs CP state in τ decays

Michal Bluj¹, Guillaume Bourgatte², Andrea Cardini³, David Colling⁴, Albert Dow⁴, Mate Farkas⁵, Oleg Filatov³, Elisabetta Gallo³, Ulrich Goerlach², Mohammad Hassan Hassanshahi⁴, Alexis Kalogeropoulos⁶, Anne-Catherine Le Bihan², Teresa Lenz³, Vinay Krishna⁷, Mareike Meyer³, Thomas Müller⁵, Arun Nayak⁷, Alexander Nikitenko⁸, Cláudia Pistone⁵, Alexei Raspereiza³, Merijn van de Klundert³, Diwakar Vats⁷, Yiwen Wen³, Lucas Wiens⁵, Daniel Winterbottom⁴, and Alexander Zotz⁵

¹ National Centre for Nuclear Research (PL)

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³ Deutsches Elektronen-Synchrotron (DE)

⁴ Imperial College (UK)

⁵ RWTH Aachen University (DE)

⁶ Princeton University (USA)

⁷ Institute of Physics, Bhubaneswar (IN)

⁸ Institute for Theoretical and Experimental Physics (RU)

Workshop Agenda

Thu 10/10

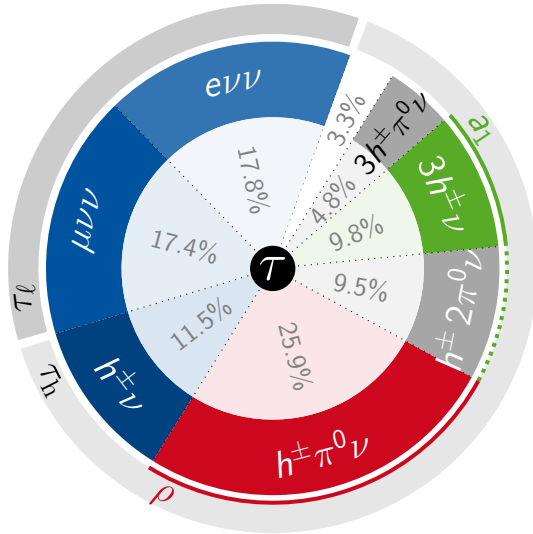
13:00	Welcome, introduction <i>Seminar room 4b (first floor)</i>	<i>Dr. Merijn VAN DE KLUNDERT et al.</i> 13:00 - 13:20
	IoP Bhubaneswar <i>Seminar room 4b (first floor)</i>	<i>Mr. Aruna NAYAK et al.</i> 13:20 - 13:50
14:00	RWTH Aachen <i>Seminar room 4b (first floor)</i>	<i>Dr. Thomas MÜLLER et al.</i> 13:50 - 14:20
	IPHC Strassbourg <i>Seminar room 4b (first floor)</i>	<i>Dr. Anne-Catherine LE BIHAN et al.</i> 14:20 - 14:50
15:00	Coffee break <i>Seminar room 4b (first floor)</i>	14:50 - 15:10
	Imperial College <i>Seminar room 4b (first floor)</i>	<i>Prof. David COLLING et al.</i> 15:10 - 15:40
	DESY <i>Seminar room 4b (first floor)</i>	<i>Mr. Andrea CARDINI</i> 15:40 - 16:10
16:00	Synchronisation <i>Seminar room 4b (first floor)</i>	<i>Michal BLUJ</i> 16:10 - 16:40
	Break (coffee/tea served outside seminar room) <i>Seminar room 4b (first floor)</i>	16:40 - 17:00
17:00	Fake factors for tau decay modes <i>Seminar room 4b (first floor)</i>	<i>Mr. Daniel WINTERBOTTOM</i> 17:00 - 17:30

Fri 11/10

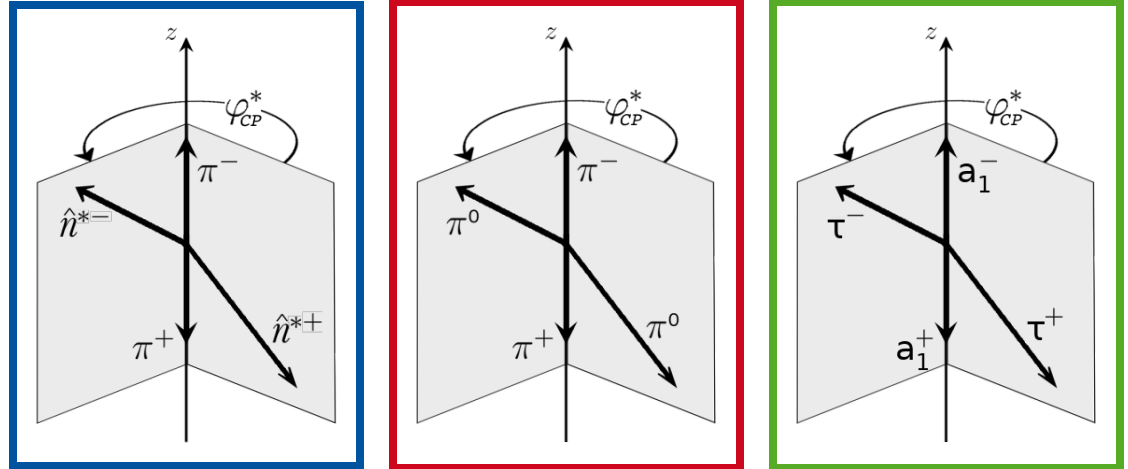
09:00	CombineHarvester <i>Seminar room 4b (first floor)</i>	<i>Mr. Albert DOW</i> 09:00 - 09:30
	Tau selection <i>Seminar room 4b (first floor)</i>	<i>Mr. Mohammad Hassan HASSANS SHAHI</i> 09:30 - 10:00
10:00	Event categorisation <i>Seminar room 4b (first floor)</i>	<i>Dr. Merijn VAN DE KLUNDERT</i> 10:00 - 10:30
	Coffee break <i>Seminar room 4b (first floor)</i>	10:30 - 10:50
11:00	Vertex estimates <i>Seminar room 4b (first floor)</i>	<i>Mr. Aruna NAYAK</i> 10:50 - 11:20
	IP vector methods <i>Seminar room 4b (first floor)</i>	<i>Mr. Lucas WIENS</i> 11:20 - 11:40
	Monte Carlo production <i>Seminar room 4b (first floor)</i>	<i>Mr. Daniel WINTERBOTTOM</i> 11:40 - 12:00
12:00	Lunch break <i>Seminar room 4b (first floor)</i>	12:00 - 13:00
13:00	Paper roadmap and workshop summary <i>Seminar room 4b (first floor)</i>	<i>Prof. David COLLING</i> 13:00 - 15:00
14:00		
15:00	Coffee and tea break <i>Seminar room 4b (first floor)</i>	15:00 - 15:30

► indico.desy.de/indico/event/23344

Analysis Overview

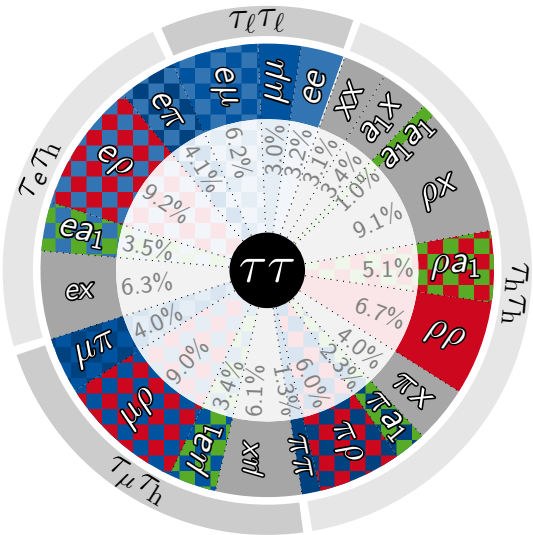
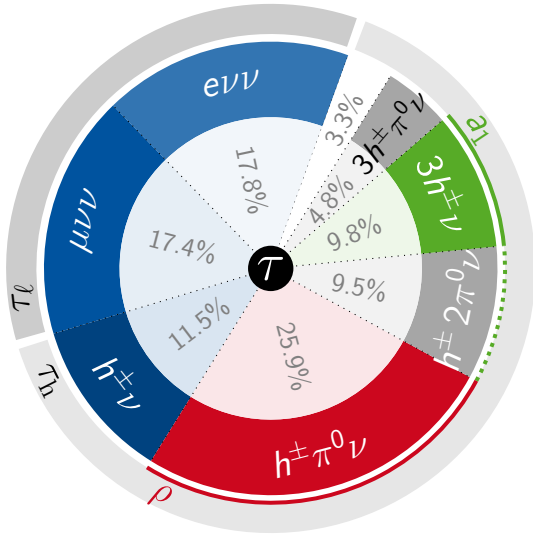


- Decay-mode dependent τ decay plane reconstruction:

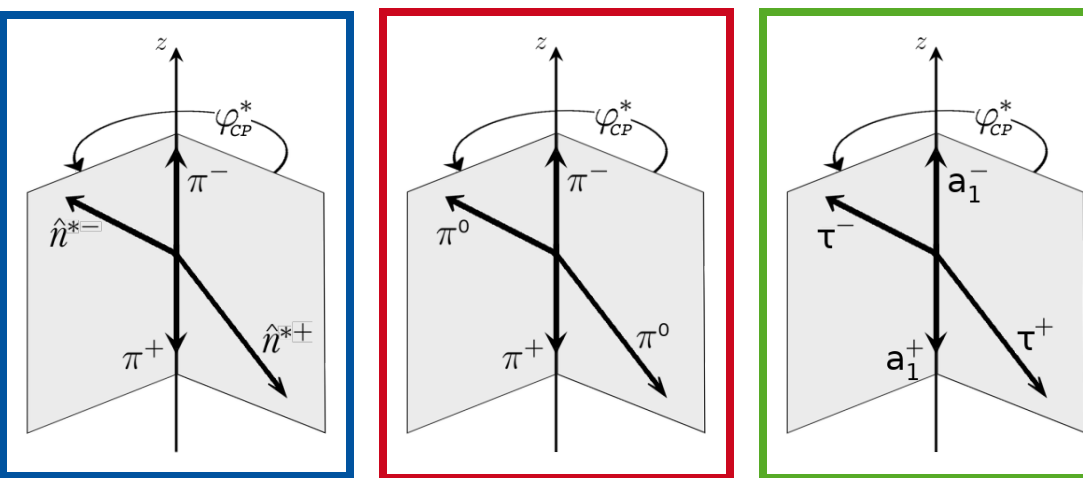


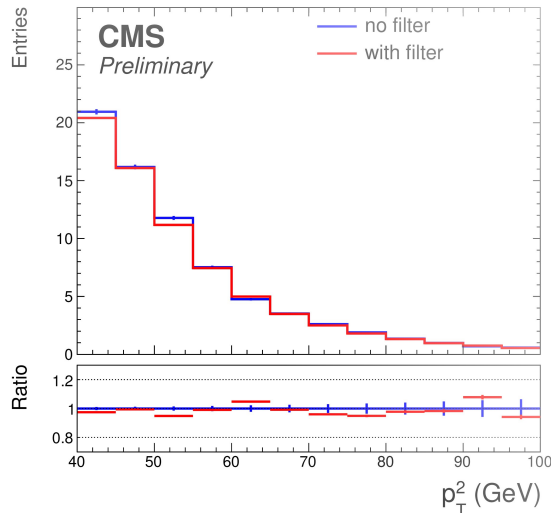
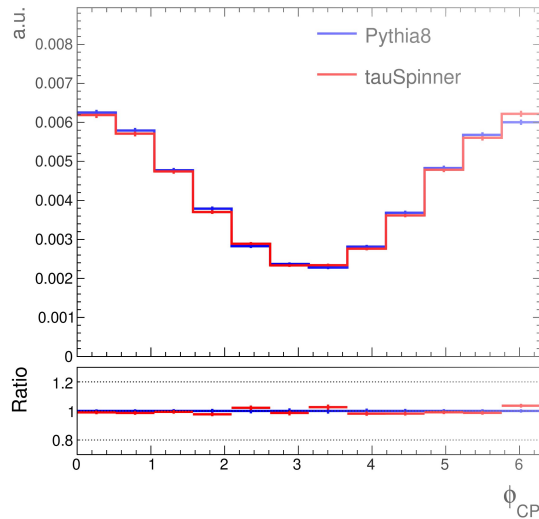
- Combinations of “pure” methods in various di- τ final states
 - ▷ Comparisons of sensitivities still ongoing
 - ▷ Plan to use promising methods also for $a_1^{\pm} \rightarrow \pi^{\pm} 2\pi^0$ channels

Analysis Overview



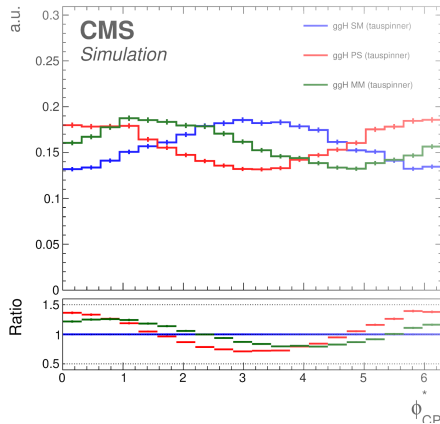
- Decay-mode dependent τ decay plane reconstruction:


- Combinations of “pure” methods in various di- τ final states
 - Comparisons of sensitivities still ongoing
 - Plan to use promising methods also for $a_1^\pm \rightarrow \pi^\pm 2\pi^0$ channels
- **Final states covered in this analysis:**
 - IPHC Strasbourg: $a_1 a_1$
 - IC London: $\rho\rho$, ρa_1 , $a_1 a_1$
 - RWTH Aachen, NCBJ Warsaw: $\mu\rho$, $\pi\rho$, $(e\rho)$
 - DESY Hamburg: $\mu\rho$, $\mu\pi$, $(e\rho)$, $(e\pi)$
 - IOPB Bhubaneswar: $\pi\pi$, $\rho\rho$, $\pi\rho$ (sharing framework with DESY)
 - All analyses are set up to cross check other groups

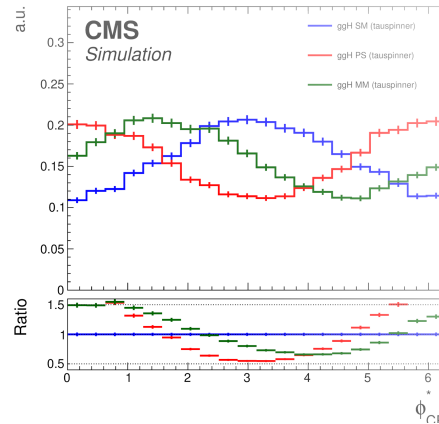


- ▶ Signal templates for arbitrary CP mixing angle are constructed as superposition from 3 samples
 - ▷ CP even, CP odd plus contribution from interference or any other CP mixing angle
- ▶ **Use TauSpinner to reweight from one to another scenario**
 - ▷ Request unpolarised samples (to avoid large weights)
 - ▷ TauSpinner reweighting yields same distribution as Pythia8 simulation of τ decays
 - ▷ **Advantage:** only one signal sample (per production mode) needed → larger statistics possible
- ▶ **Submitted requests**
 - ▷ NLO POWHEG, unpolarised τ decays
 - ▷ 20M **ggH** (with) + 0.5M (without gen. filter) per year
 - ▷ 20M **VBF** (with) + 0.5M (without gen. filter) per year
 - ▷ 9M=4M+3M+2M **VH=ZH+W⁺H+W⁻H** (with) + 0.3M (without gen. filter) per year
 - ▷ Low priority currently, hope for 2018 samples in block 1

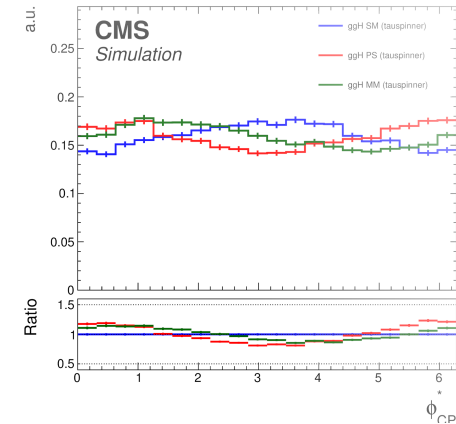
▷ HPS decay mode 1



▷ Identified real ρ



▷ Identified fake ρ

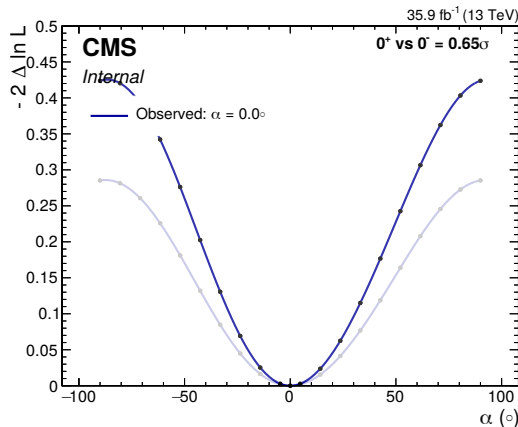


▷ Efficiency:

	$\tau^\pm \rightarrow \pi^\pm$	$\tau^\pm \rightarrow \rho^\pm \rightarrow \pi^\pm \pi^0$	$\tau^\pm \rightarrow a_1^\pm \rightarrow \pi^\pm 2\pi^0$
Reco-based	89%	74%	-
MVA-based	86%	84%	42%
Gain	-3%	10%	42%

▷ Purity:

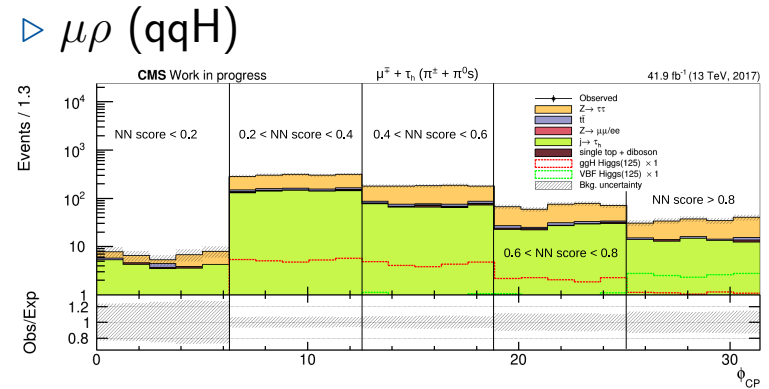
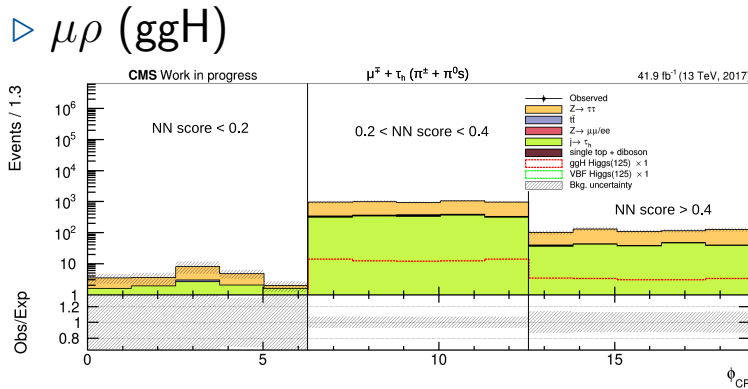
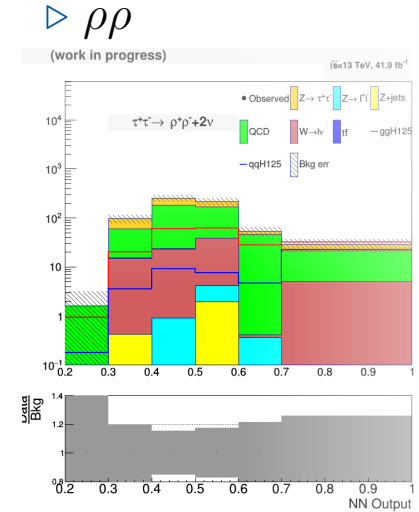
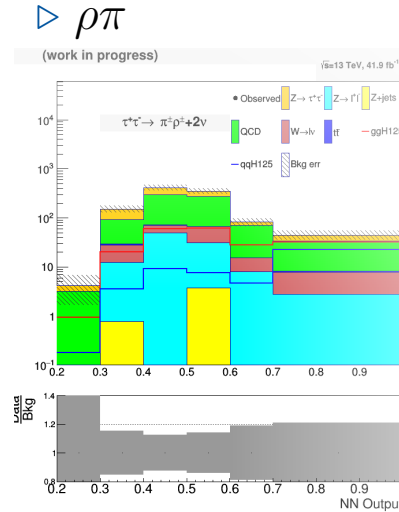
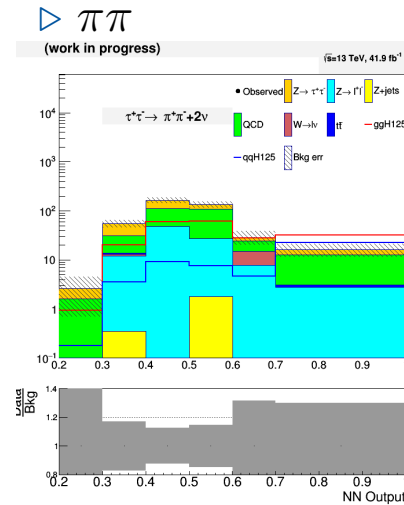
	$\tau^\pm \rightarrow \pi^\pm$	$\tau^\pm \rightarrow \rho^\pm \rightarrow \pi^\pm \pi^0$	$\tau^\pm \rightarrow a_1^\pm \rightarrow \pi^\pm 2\pi^0$
Reco-based	56%	57%	-
MVA-based	75%	71%	59%
Gain	19%	14%	59%



- ▶ Above: MVA to improve identification of 1-prong DMs
- ▶ Similar MVA for 3-prong decay modes available
- ▶ 22% gain in combination of $\rho\rho + a_1\rho$ categories
 - ▷ Fit “fake” events in separate categories
- ▶ Will be included in all sub-analyses soon (together with deepTauID)

DNN for Signal vs. Background Separation

Vinay Krishna, Andrea Cardini

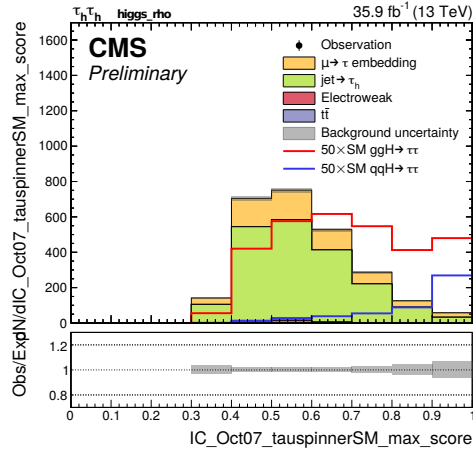


- ▶ DESY/IOPB trained multi-class **neural networks** similar to CMS-HIG-18-032 to categorise signal and background processes
- ▶ ggH and VBF signal are treated by separate classes

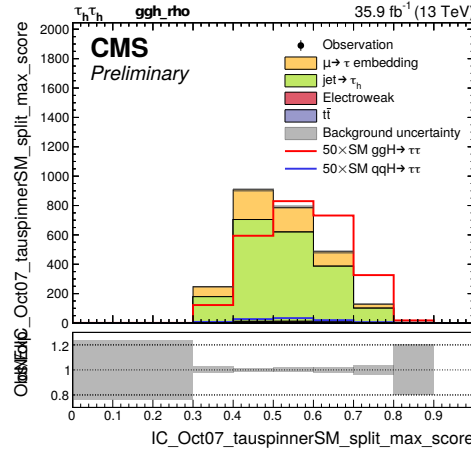
BDT for Signal vs. Background Separation (1)

Albert Dow

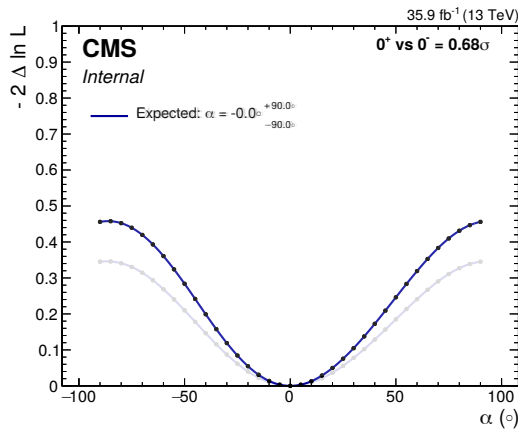
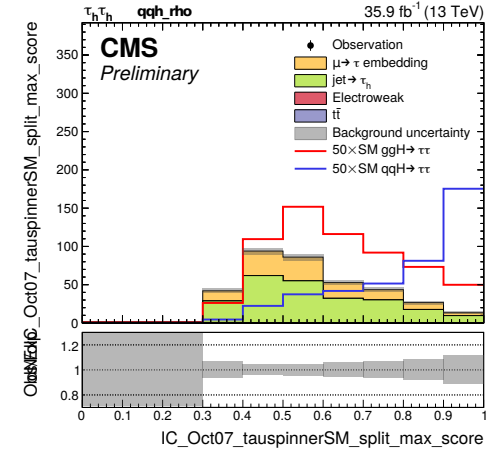
► Combined ggH/qqH class



► Separate ggH class



► Separate qqH class

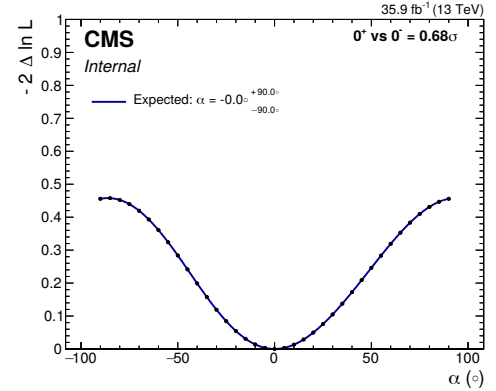
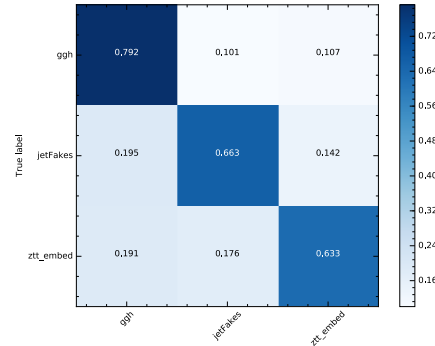
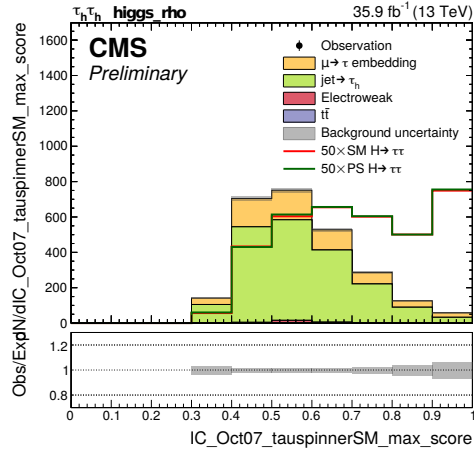


- IC trained multi-class **BDTs** to categorise signal and background processes
- Combined ggH/qqH class provides 15-25% better sensitivity compared to separated classes
- All sub-analysis will implement MVA categorisation soon
 - Still need to converge on the actual method, e.g. BDT vs. DNN

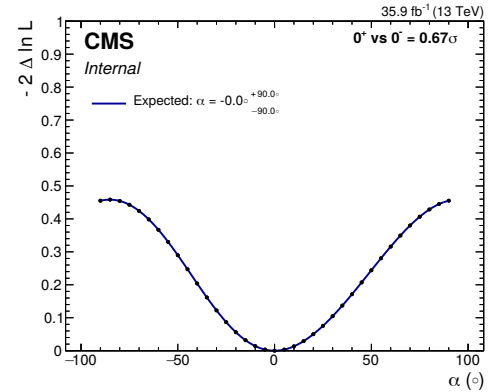
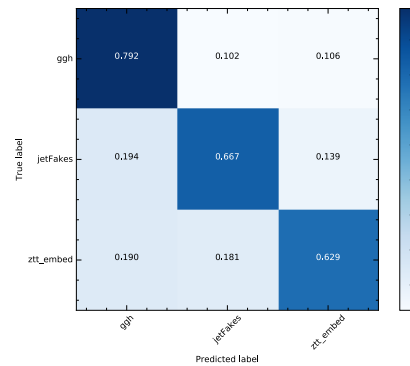
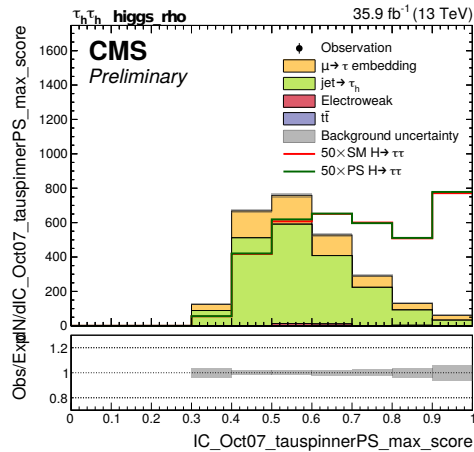
BDT for Signal vs. Background Separation (2)

Albert Dow

Train on CP even sig.



Train on CP odd sig.

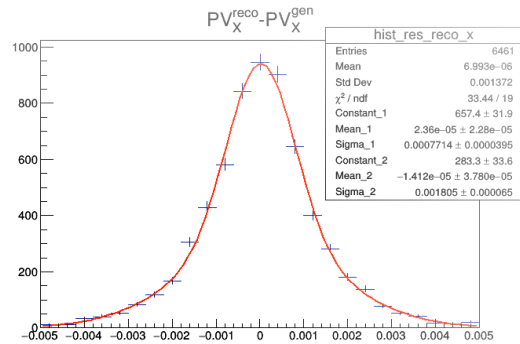


► Signal vs. background training not sensitive to differences between CP even/odd

Primary Vertex Reconstruction (1)

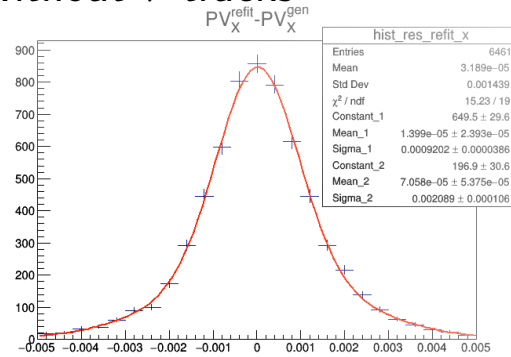
Arun Nayak, Diwakar Vats, Lucas Wiens

▷ Nominal PV



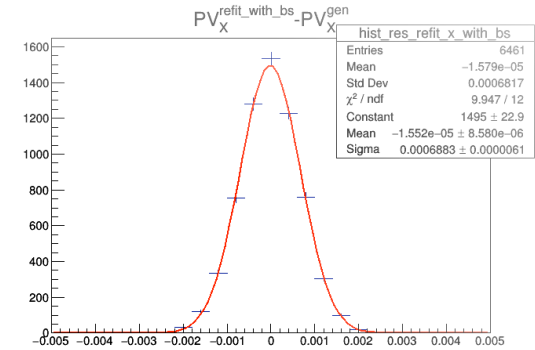
▷ $\sigma_{1/2} = 7.7/18 \mu\text{m}$

▷ Refitted PV without τ tracks



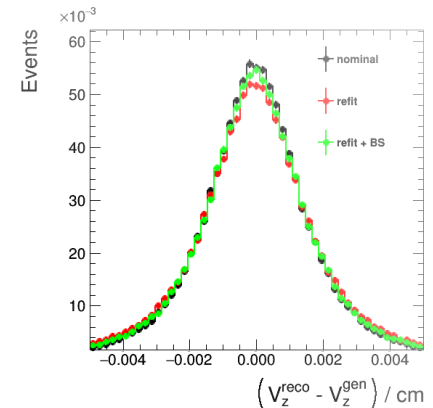
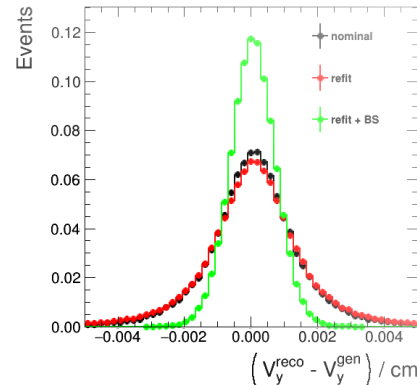
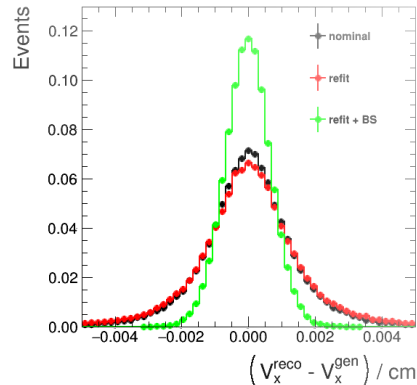
▷ $\sigma_{1/2} = 9.2/21 \mu\text{m}$

▷ Refitted PV including beams spot constraint



▷ $\sigma = 6.8 \mu\text{m}$

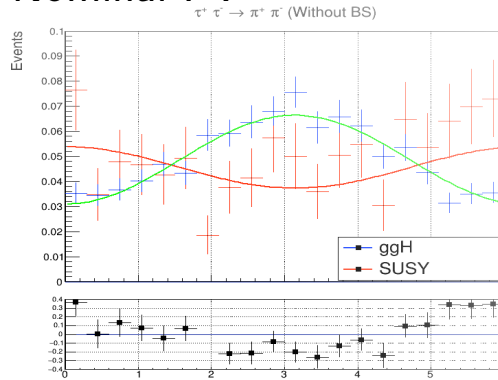
- ▶ Slight reduction in resolution when removing high- p_T τ tracks
- ▶ Strong constraint from beam spot in transversal plane removes long tails



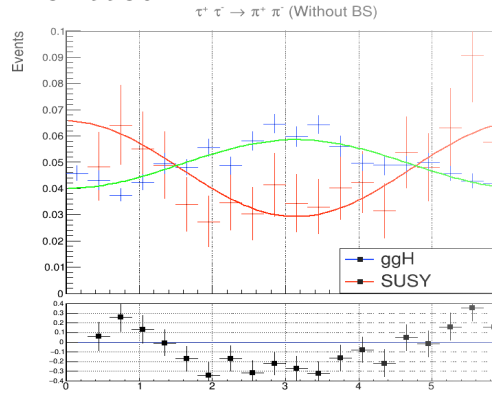
Primary Vertex Reconstruction (2)

Arun Nayak, Vinay Krishna, Lucas Wiens

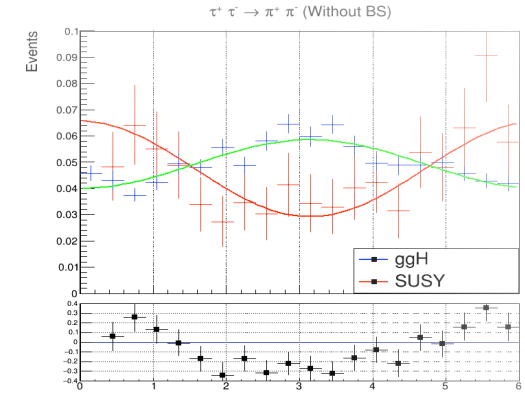
▷ Nominal PV



▷ Refitted PV

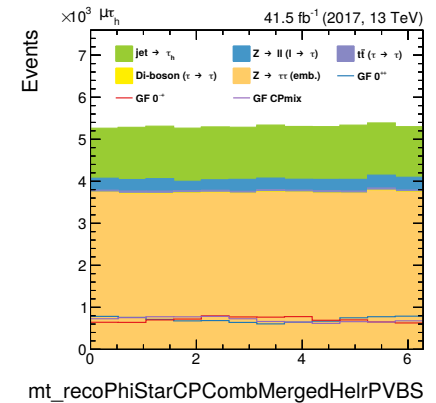
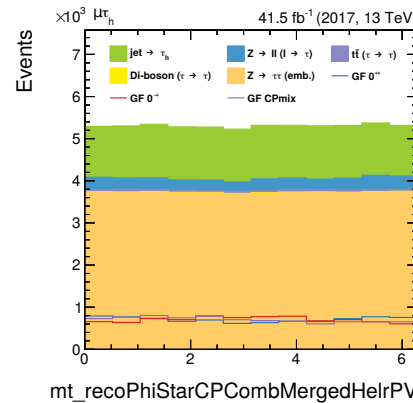
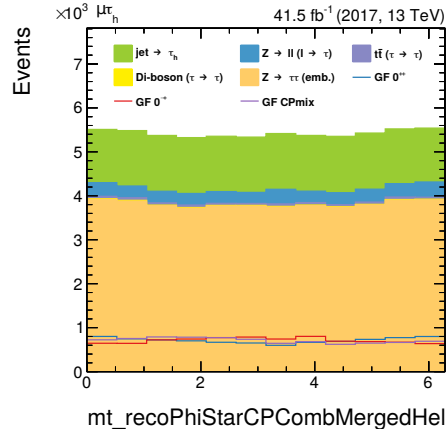


▷ Refitted PV, BS constraint



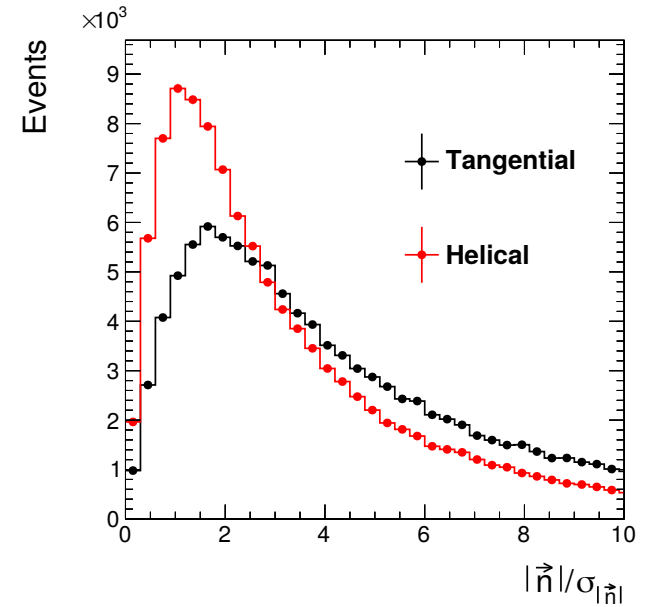
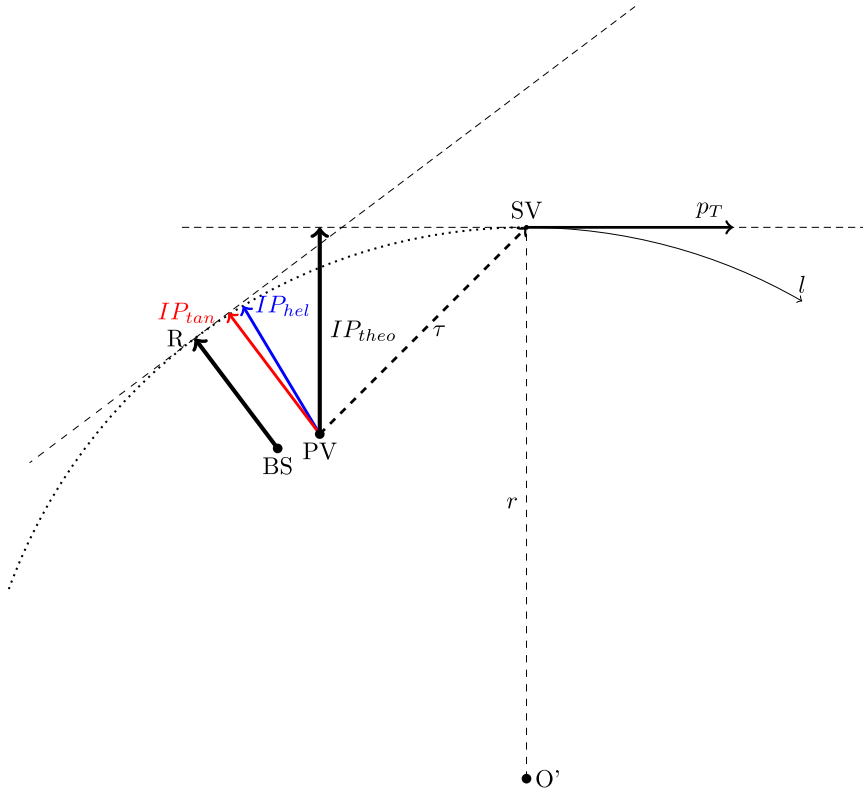
$$\triangleright \left(\frac{a}{b}\right)_{\text{even/odd}} = -0.36/0.18 \quad \triangleright \left(\frac{a}{b}\right)_{\text{even/odd}} = -0.22/0.38 \quad \triangleright \left(\frac{a}{b}\right)_{\text{even/odd}} = -0.21/0.51$$

- Separation of pure CP states improves (fit function $a \cdot \cos \varphi_{\text{CP}}^* + b$)
- Removes bias in background distribution, which becomes flat after refitting



Impact Parameter Reconstruction (1)

Lucas Wiens, Mate Farkas



► Tangent: $\Sigma_{\vec{n}} = J_{\text{tan}} \cdot \Sigma_{\text{PV}} \cdot J_{\text{tan}}^T$

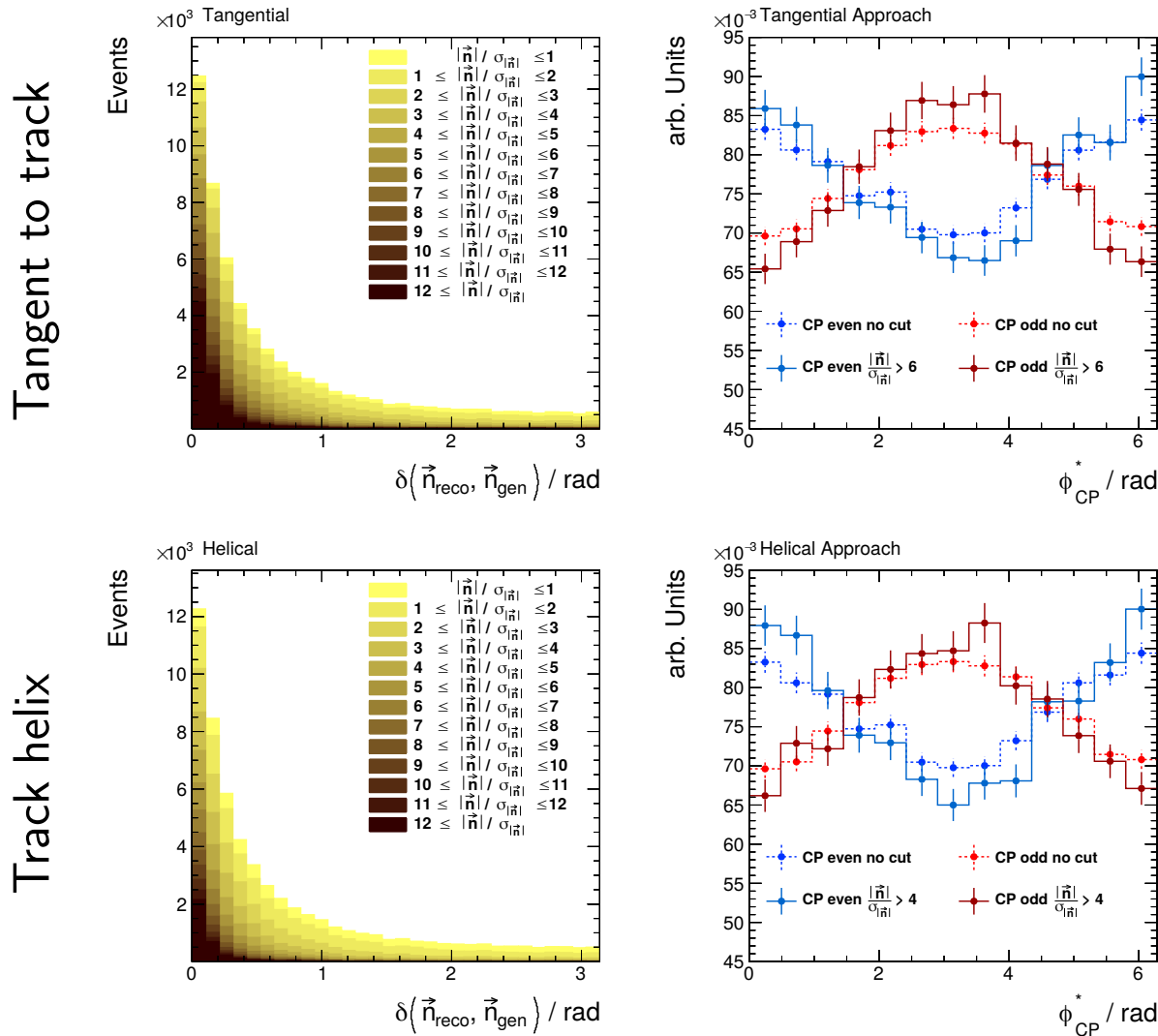
► Helix:

$$\Sigma_{\vec{n}} = J_{\text{hel}} \cdot \begin{pmatrix} \Sigma_{\text{track}} & 0 \\ 0 & \Sigma_{\text{PV}} \end{pmatrix} \cdot J_{\text{hel}}^T$$

- Taking into account curvature of tracks does not change the impact parameter vector magnitude/direction significantly
- Full helical approach allows to include full PV and track uncertainties in impact parameter significance → track uncertainties not negligible

Impact Parameter Reconstruction (2)

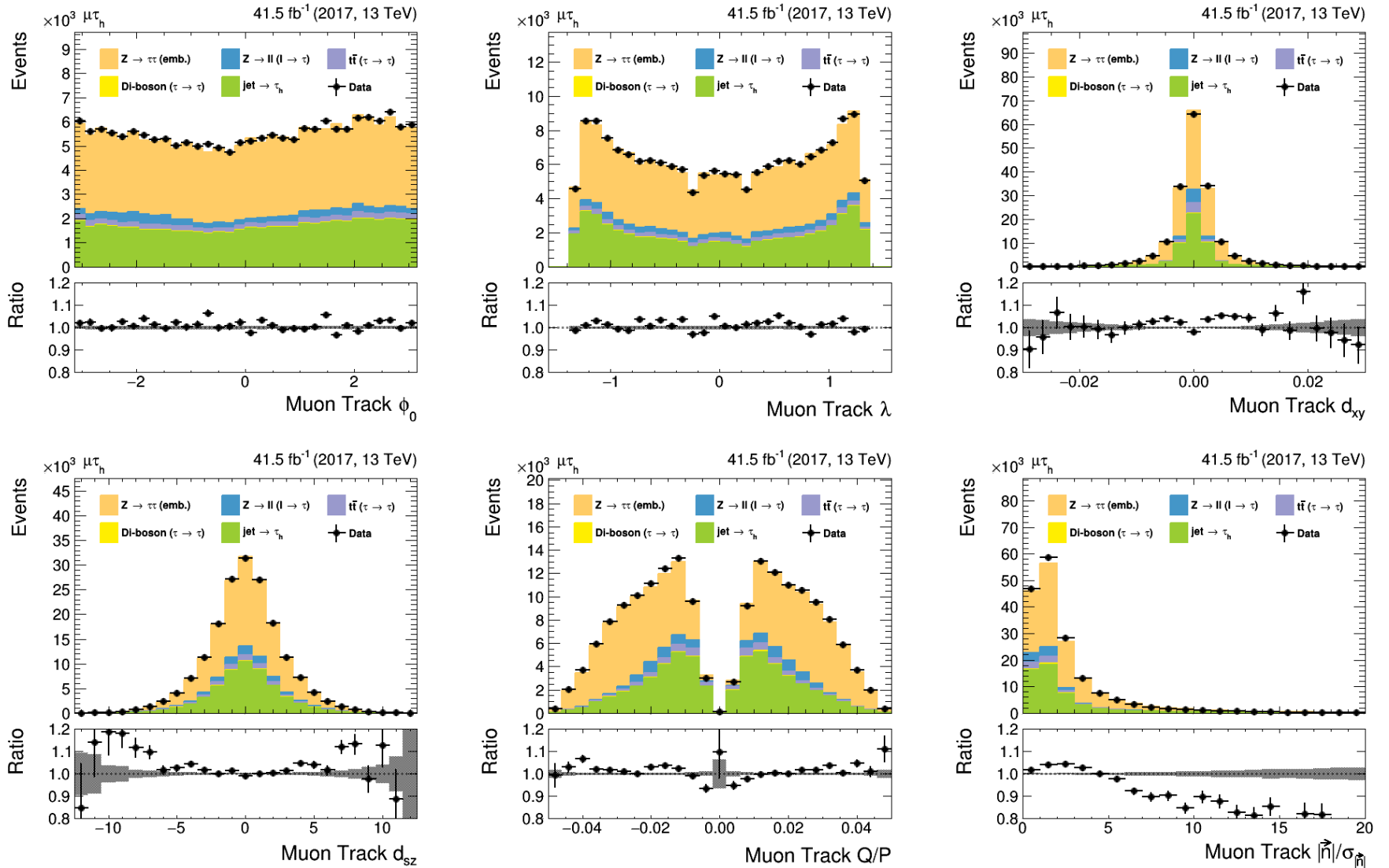
Lucas Wiens



- ▶ Cuts on IP significance allow to select events with good IP vector direction reconstruction
- ▶ Increased separation in φ_{CP}^* distribution
- ▶ Cuts still to be optimised (e.g. for categorisation)

Track Parameters – Data/MC Agreement

Alexander Zotz

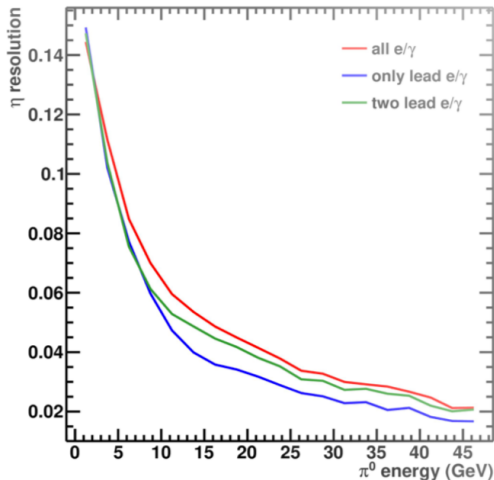
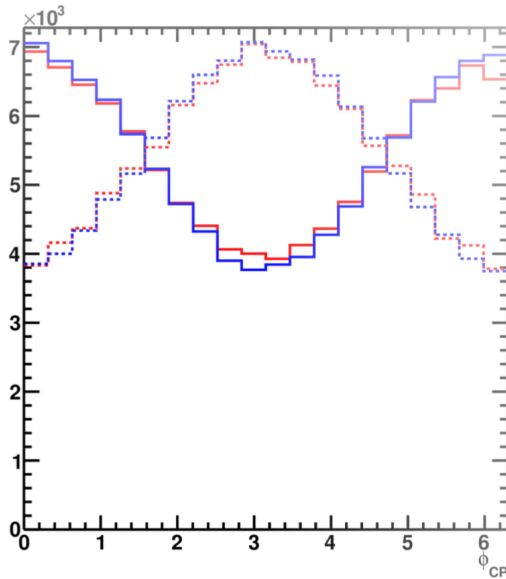


Impact Parameter – Calibration of Simulation

- ▶ **Two main sources of disagreement between data and MC:**
 - ▷ PV vertex resolution in data, embedding and MC
 - To be corrected by [smearing](#) (separate for embedding and MC)
 - Generated PV in embedding set to nominal PV in $Z \rightarrow \mu\mu$ events from data
 - ➔ resolution plots biased
 - ▷ Simulation of track parameters (mainly d_{xy} and d_{sz} , but also q/p)
 - Check impact of momentum correction (mainly for taus) on q/p helix parameter
 - Transverse/longitudinal impact parameters to be corrected by [calibration](#)
 - General idea from ML HTT analysis: e.g. [talk by Mareike Meyer](#)
- ▶ DESY and RWTH groups plan to study this

Decay Plane Method for $\rho^\pm \rightarrow \pi^\pm \pi^0$ Decays

Mohammad Hassanshahi

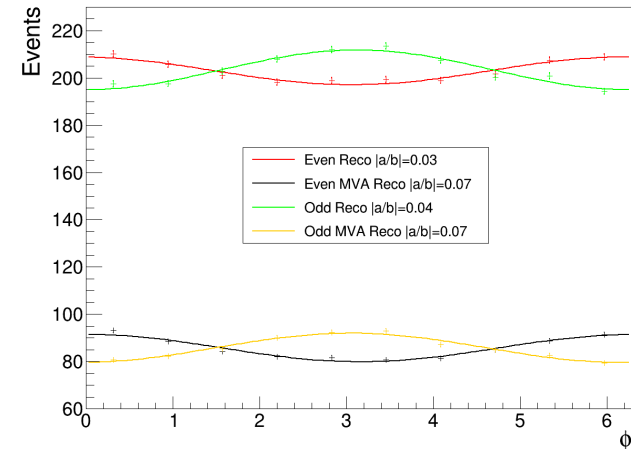
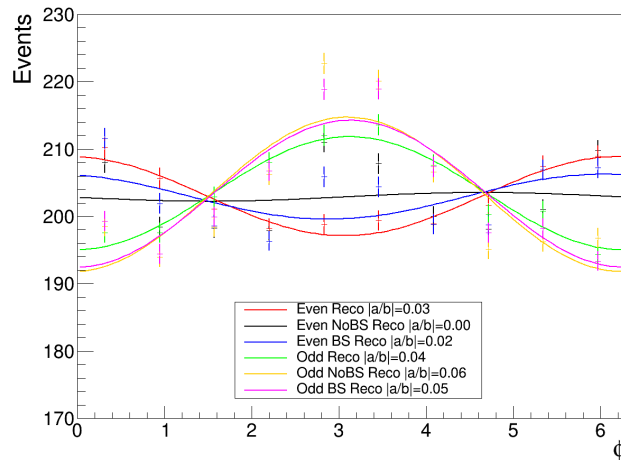


- ▶ **HPS**: η and ϕ are weighted average over photons in strip
- ▶ **Leading photon**: Leading photon η and ϕ (together with strip energy) provides better separation
 - ▶ For high-energy π^0 's leading photon has better angular distribution
 - ▶ For low-energy π^0 's IC plans to use more complicated approaches (e.g. MVA regression)
- ▶ Additional plans to work on separate energy corrections for charged and neutral pions

- Polarimetric vector, $\vec{h}$, is a good estimate for the τ spin, $\vec{S}$, direction: $d\Gamma \sim 1 + \vec{h} \cdot \vec{S}$
- $\tau^\pm \rightarrow \pi^\pm \nu : \vec{h} = -\vec{n}_\pi$
 $\tau^\pm \rightarrow \rho^\pm \nu \rightarrow \pi^\pm \pi^0 \nu : \vec{h} = m_\tau \frac{2(qN)\vec{q} - q^2\vec{N}}{2(qN)(qP) - q^2(NP)}$

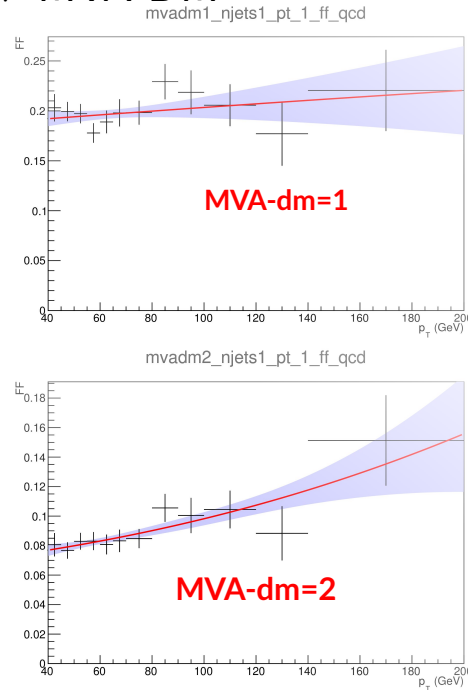
$\left. \begin{array}{l} m_\tau : \tau \text{ mass} \\ q : \pi^\pm - \pi^0 \\ N : \nu = \tau^\pm - \pi^\pm - \pi^0 \\ P : \tau^\pm \end{array} \right\} \text{4-vectors}$

- More complicated definition for a_1 decay, see e.g. Phys. Rev. D61 (2000) 012002
- Requires knowledge of the τ momentum
 - Possibility for full event reconstruction with τ SV from 3-prong decays

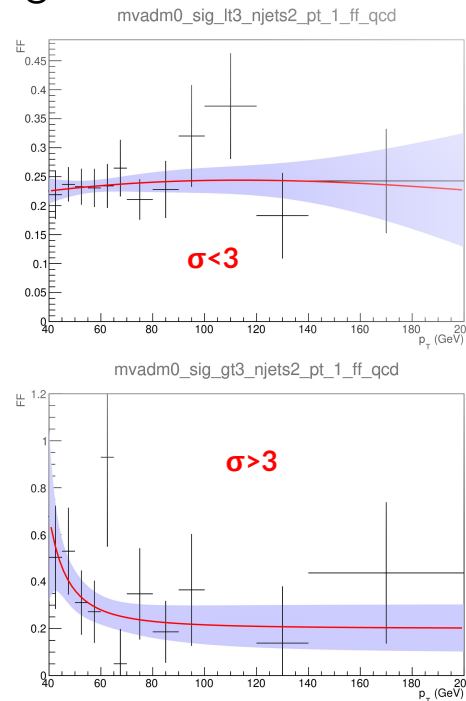


- Analysis fully set up → Promising results, details still to be cross checked
- Sensitivity to be compared with other a_1 methods (together with IC), e.g.
- IP method with leading charged pion track
 - Decay plane spanned by τ flight direction (from SV) and $a_1 = \sum \pi^\pm$ momentum

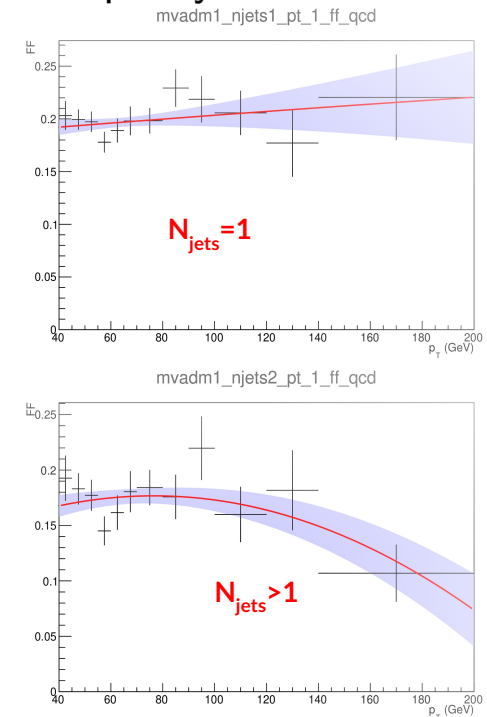
▷ Dependence on τ MVA DM



▷ Dependence on IP significance



▷ Dependence on jet multiplicity



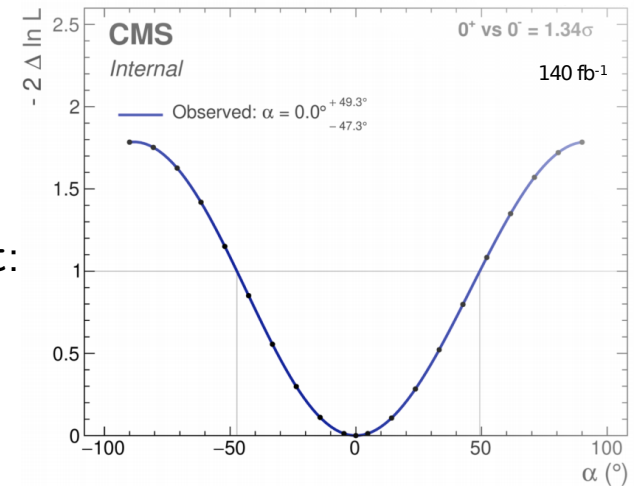
- ▶ Fake factors depends on CP-relevant quantities
- ▶ Needed to (re-) measure them separately for all categories in CP analysis
- ▶ IC started with measurement in ρ categories
 - ▷ Update needed after final analysis is set up

► Measurement of Yukawa couplings to τ leptons

- Analysis is completely orthogonal to ggH initial state CP analysis (focus on top Yukawa couplings)
- One CP parameter (mixing angle α_τ)
- Two free signal scaling μ_{ggH} and μ_{qqH} parameter (times $\mu_{\tau\tau} = 1$ to be more precise)
- CP even scaling: $a_1 a_1 - a_1 a_3$
- CP odd scaling: $a_3 a_3 - a_1 a_3$
- Scaling for maximum mixing ($\alpha_\tau = \frac{\pi}{4}$): $2 a_1 a_3$
- $a_1 = \sqrt{\mu_{\tau\tau}} \cos \alpha_\tau$, $a_3 = \sqrt{\mu_{\tau\tau}} \sin \alpha_\tau$

► Projection from 2016 samples to full run 2 dataset:

- Only $\rho\rho$ and $a_1\rho$ categories
- No deepTauID included so far
- Improvement from more categories/final states expected
- Sensitivity to exclude $\alpha_\tau > \frac{\pi}{4}$ at 68% CL feasible



► Combine (Harvester) setup for CMSSW_10_2_13:

github.com/albertdow/CombineHarvester/tree/HTTDecays18-dev/HTTSMCPDecays18

► Physics model:

github.com/albertdow/CombineHarvester/blob/HTTDecays18-dev/CombinePdfs/python/CPMixtureDecays.py

► Current status:

- ▷ Most analyses can produce control plots with reasonable data-MC agreement
- ▷ Analyses roughly synchronised w.r.t. published (SM) HTT analysis

► Ntuple-level synchronisation plans:

- ▷ Add CP-relevant quantities to SM HTT-based synchronisation
 - MVA DMs, IPs, PV, SV, charged/neutral momenta
 - TauSpinner weights, scale factors, event weights
- ▷ Synchronisation samples for CMSSW version $\geq 10_2_16$
 - /VBFHToTauTau_M125_13TeV_powheg_pythia8/RunII~~Summer16MiniAODv3~~-PUMoriond17_94X_mcRun2_asymptotic_v3-v2/MINIAODSIM
 - /VBFHToTauTau_M125_13TeV_powheg_pythia8/RunII~~Fall17MiniAODv2~~-PU2017_12Apr2018_new_pmx_94X_mc2017_realistic_v14-v1/MINIAODSIM
 - /VBFHToTauTau_M125_13TeV_powheg_pythia8/RunII~~Autumn18MiniAOD~~-102X_upgrade2018_realistic_v15_ext1-v1/MINIAODSIM
- ▷ Techniques to be included
 - deepTauID, MVA DMs
 - PV refitting
 - PUPPI MET, DeepFlavour

► Twiki: twiki.cern.ch/twiki/bin/viewauth/CMS/HiggsCPinTauDecaysSync

► **Final goal:** [paper for/after Moriond 2020](#)

- ▷ First (CMS) measurement of CP properties in τ Yukawa couplings
- ▷ Paper about different methods to reconstruct decay planes
- ▷ Analysis will be based on SM HTT analysis but diverge starting from categorisation on

► **Preliminary timeline:**

- ▷ Write AN until end of November
- ▷ Ask for CADI line before Christmas
- ▷ (Pre-) approval in February
- ▷ PAS for Moriond 2020 (March 2020)

Conclusion and Outlook

- ▶ All sub-analyses/frameworks well set up for at least one channel and data of one year
- ▶ Synchronisation will start as soon as possible
- ▶ Groups working towards analysis set up from full run-2 data up to likelihood scans
- ▶ Most promising channels well covered (only electron channels not looked at so far)
- ▶ This will be included:
 - ▷ deepTauID and τ decay mode MVA
 - ▷ PV vertex refit without τ tracks
 - ▷ Helical reconstruction of impact parameter (uncertainty)
- ▶ This still needs to be studied and decided:
 - ▷ PUPPI MET (to be discussed with HWW group)
 - ▷ Beam spot constraint (bias on background shape?)
 - ▷ Which MVA method to separate signal from background?
 - ▷ DY MC vs. Embedding → **how exactly are spins simulated in embedding?**
- ▶ **Some links:**
 - ▷ Minutes: [e-group mail](#)
 - ▷ Mailing list: HiggsCPinTauDecays-CMS@cern.ch
 - ▷ Working group meetings: indico.desy.de/indico/category/656 (Mondays, 13h)
 - ▷ Analysis twiki: twiki.cern.ch/twiki/bin/view/CMS/HiggsCPinTauDecays