



Direct optimization of discovery significance

A. Mohamed, D. Krüecker, I. Melzer-Pellmann, I. Pidhurskyi, O. Turkot

- SUSY
- DNN
- Discovery significance
- Binary classification
- Multiclass
- Summary

SUSY

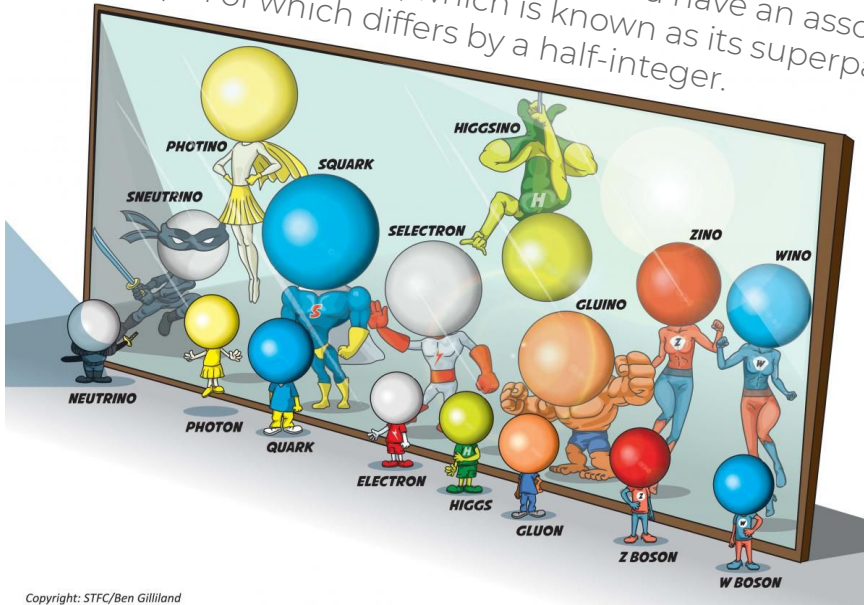
SUSY is a principle that proposes a relationship between two basic classes of elementary particles: bosons and fermions.

Bosons (integer-valued spin)

SUSY

Fermions (half-integer spin)

Each particle from one group would have an associated particle in the other, which is known as its superpartner, the spin of which differs by a half-integer.

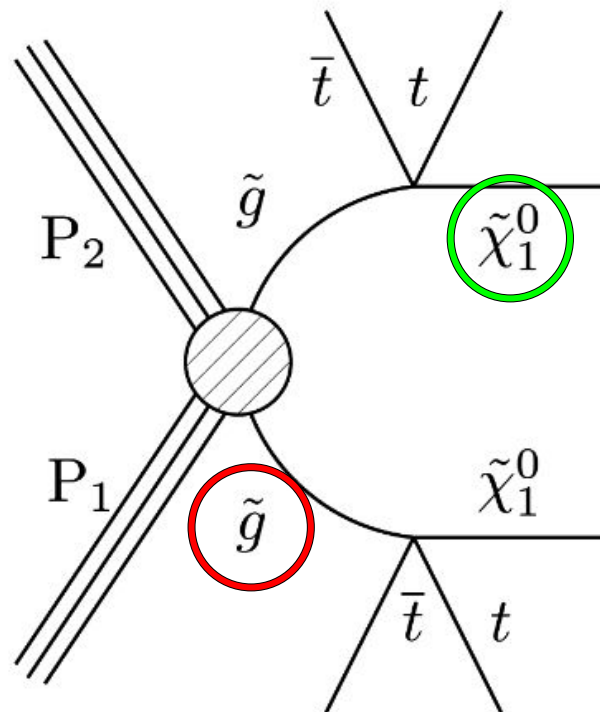
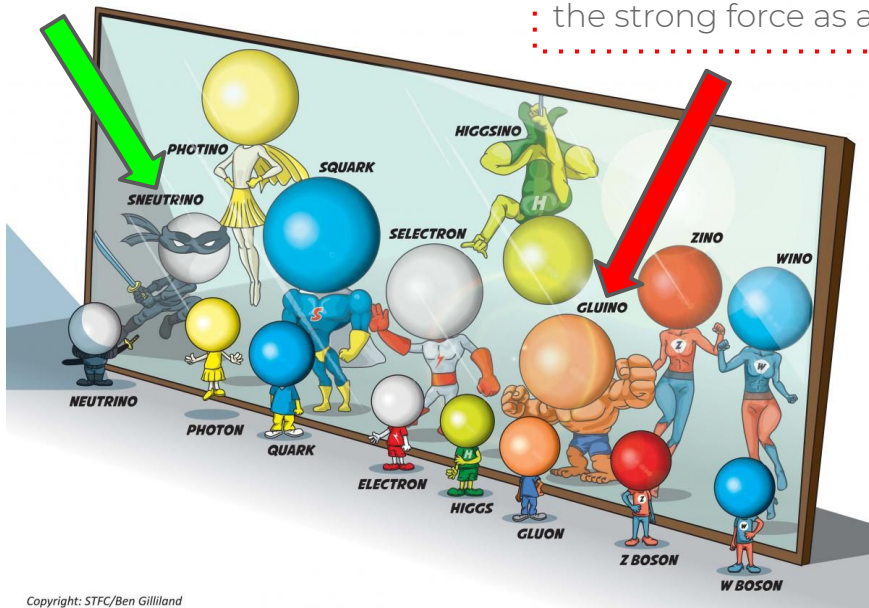


- Solves
 - hierarchy problems
 - Higgs mass
 - cosmological constant problem
 - ...
- DM candidates
- Grand unification
- A chance for string theory
- ...

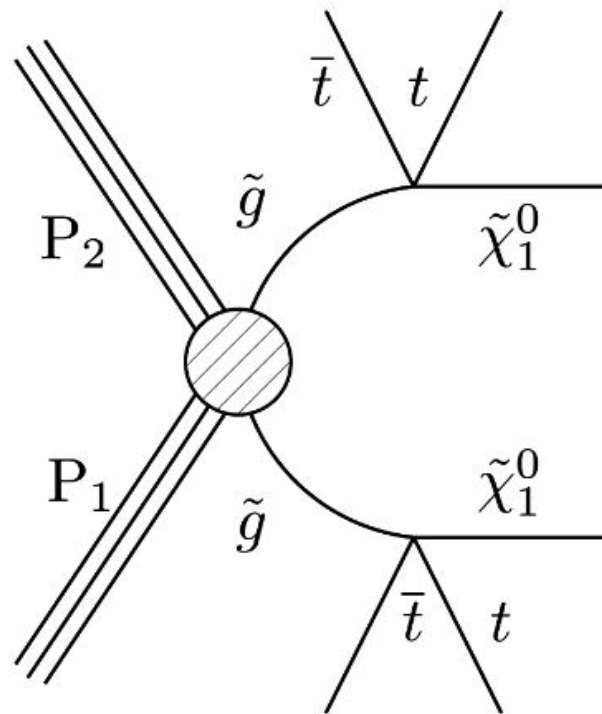
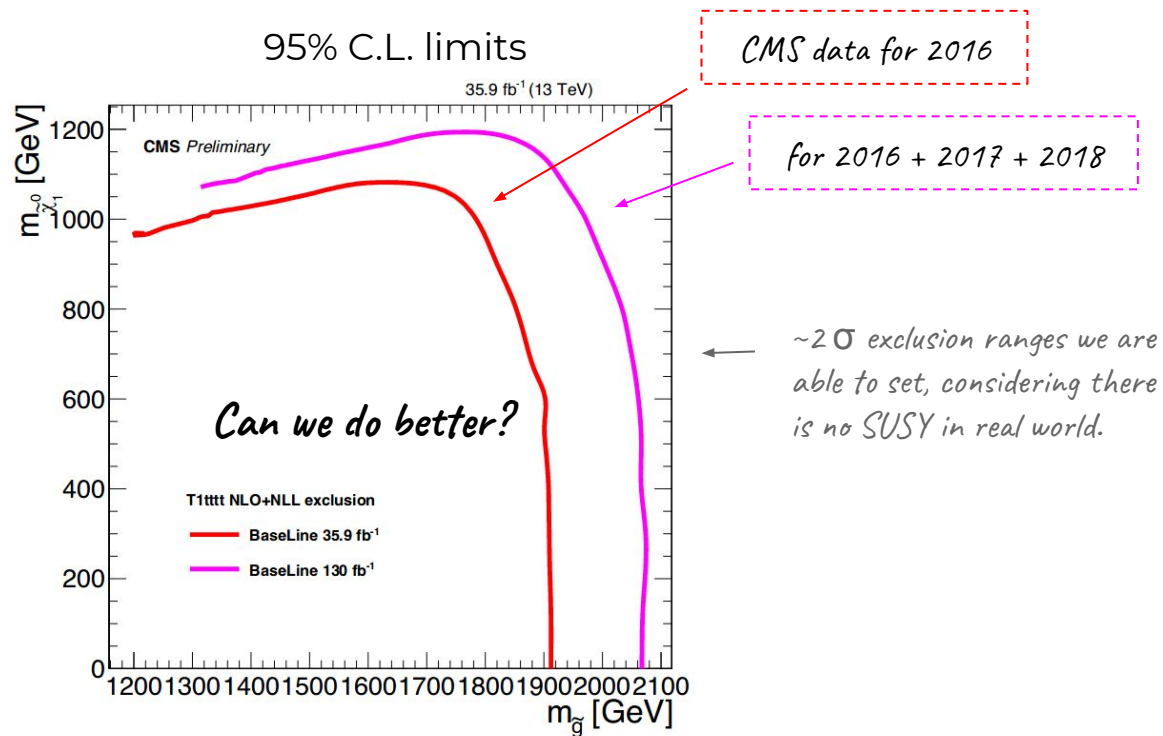
SUSY

Lightest Supersymmetric Particle (LSP).
One of the main DM candidates.

Majorana fermions and interact via
the strong force as a color octet.

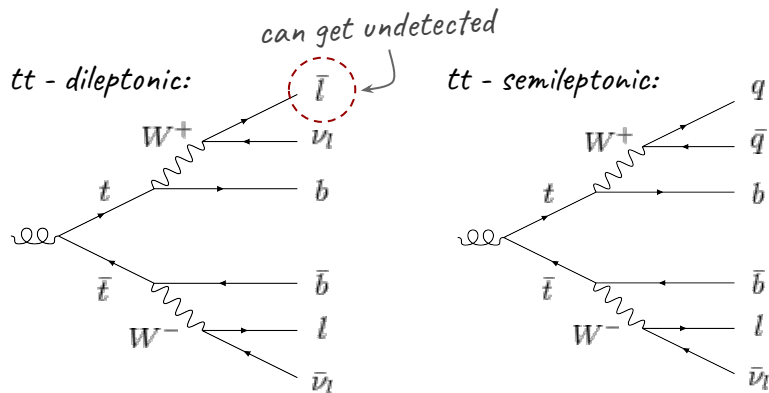


SUSY



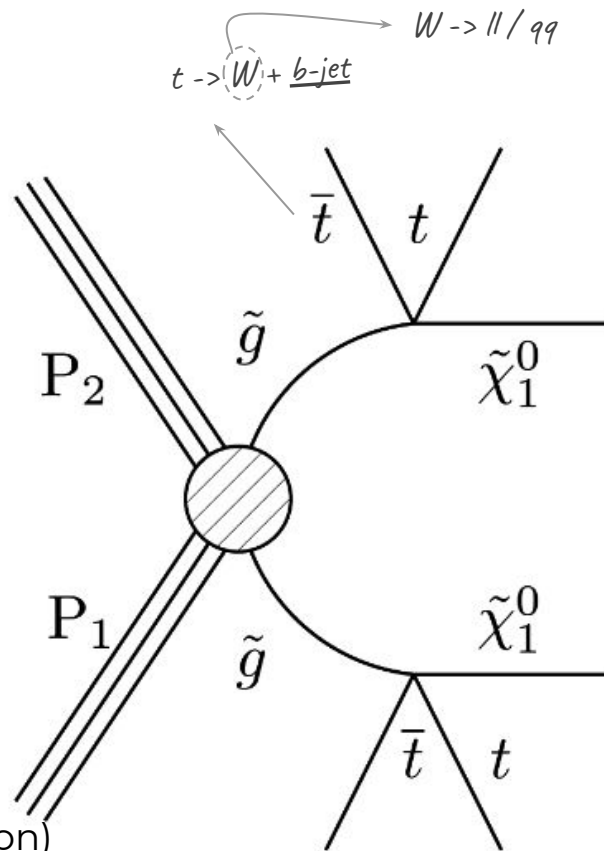
SUSY

Main backgrounds:



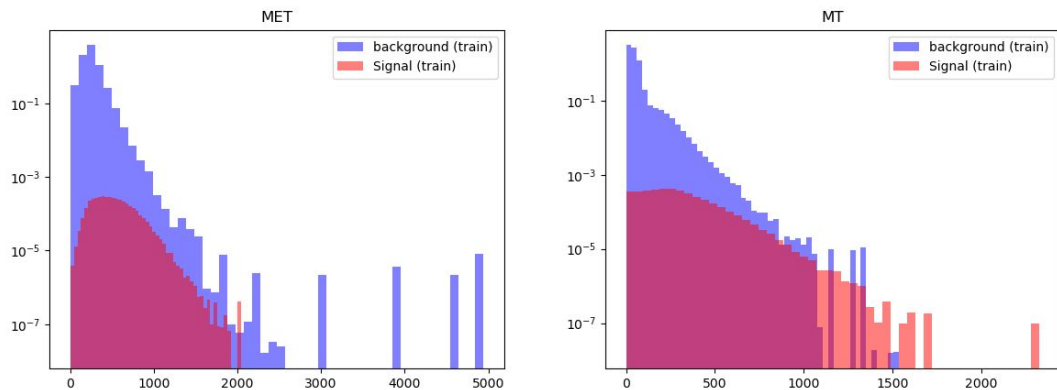
Signs:

- b-jets (and may also have jets from hadronic W-decays)
- leptons from W-decays (we constrain events to have 1 lepton)
- Missing Transverse Energy (**MET**) due to neutralinos and neutrinos
- and kinematics ...



SUSY

- can discriminate signal and background by kinematic features
- but it may still be a difficult task due to combinatorial background

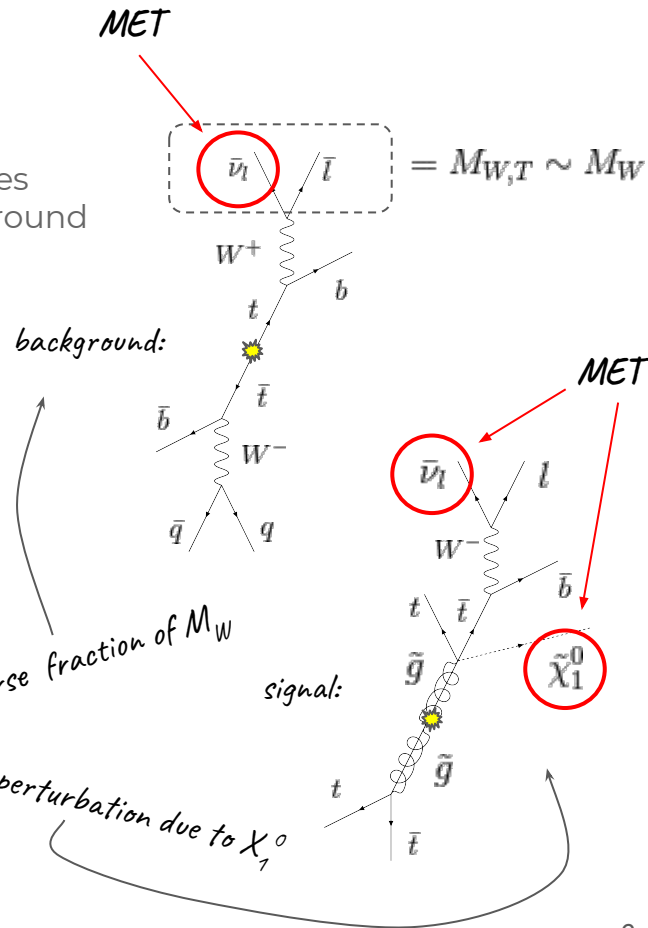


these are some of the best available sources for discrimination

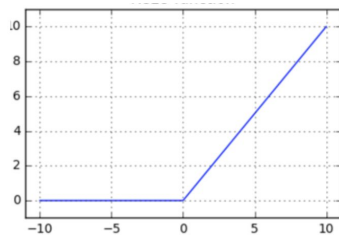
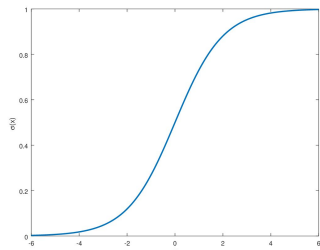
$$MT = \sqrt{P_{T,miss} P_{T,lep}(1 - \cos(\Delta\phi))} = \text{transverse fraction of } M_W$$

$= M_{W,T} + \text{perturbation due to } \tilde{\chi}_1^0$

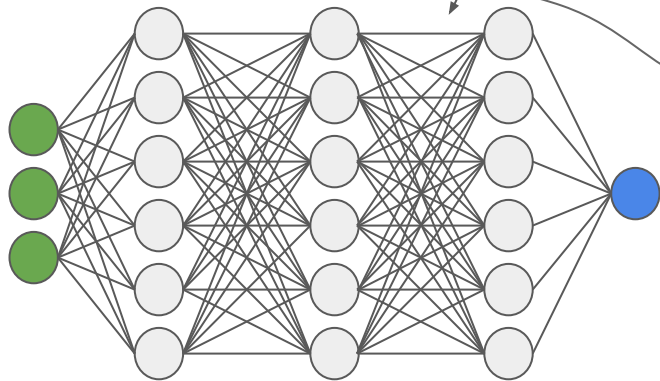
- can combine various features for better discrimination
=> looks like a job for machine learning!



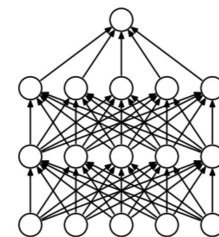
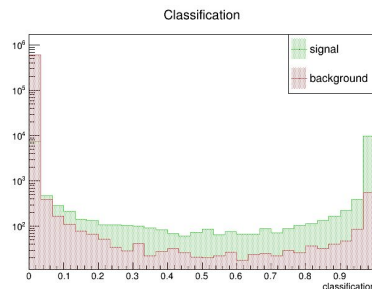
DNN



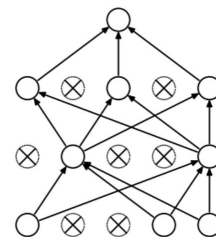
- input layer (20 features) + 2 hidden layers
 - fully connected
 - 256 nodes each
 - with *ReLU* activation
 - 10% dropout to fight overtraining



- single output
 - *sigmoid* activation
 - -> signal/background classification
- optimization with *Adadelata* (adaptive learning rate).



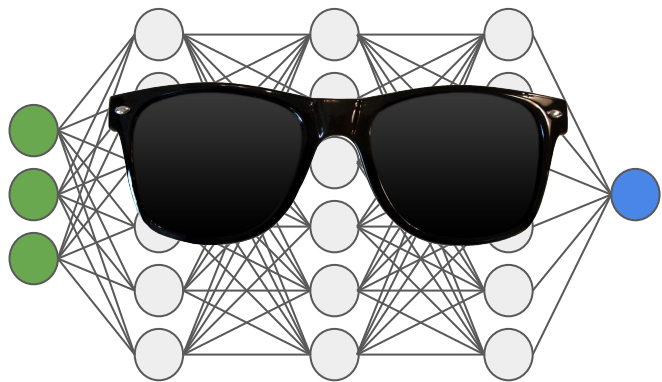
(a) Standard Neural Net



(b) After applying dropout.

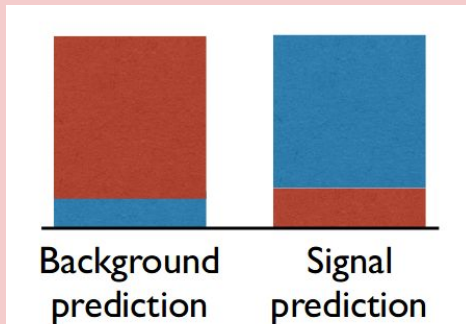
Discovery significance

[arXiv:1806.00322](https://arxiv.org/abs/1806.00322)



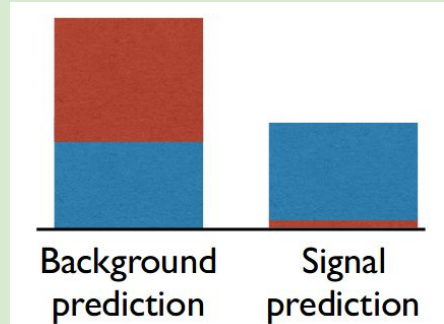
Classic approach:

- optimize accuracy
- loss e.g. crossentropy



HEP approach:

- optimize significance i.e. less signal but pure
- approximated with Asimov estimate, Z_A
- loss = $1 / Z_A$



Discovery significance

$$Z_A = \left[2 \left((s+b) \ln \left[\frac{(s+b)(b+\sigma_b^2)}{b^2 + (s+b)\sigma_b^2} \right] - \frac{b^2}{\sigma_b^2} \ln \left[1 + \frac{\sigma_b^2 s}{b(b+\sigma_b^2)} \right] \right) \right]^{1/2}$$

signal counts:

$$s = W_s \sum_{i \in \text{sig}_{\text{batch}}} w_i y_i^{\text{pred}}$$

$$W_s = \frac{L \sum_{i \in \text{sig}_{\text{data}}} w_i}{\sum_{i \in \text{sig}_{\text{batch}}} w_i}$$

background counts:

$$b = W_b \sum_{i \in \text{bg}_{\text{batch}}} w_i y_i^{\text{pred}}$$

$$W_b = \frac{L \sum_{i \in \text{bg}_{\text{data}}} w_i}{\sum_{i \in \text{bg}_{\text{batch}}} w_i}$$

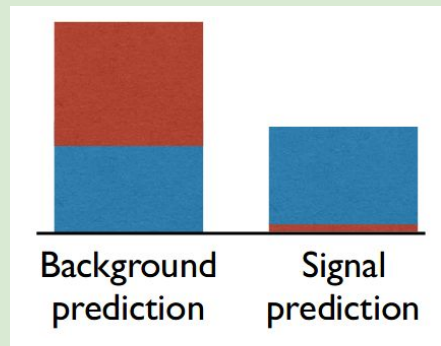
$$w_i = \frac{\sigma_i \epsilon_i}{N}$$

systematic uncertainty on background

Differentiable => can do gradient descent (training)

HEP approach:

- optimize significance i.e. less signal but pure
- approximated with Asimov estimate, Z_A
- loss = $1 / Z_A$

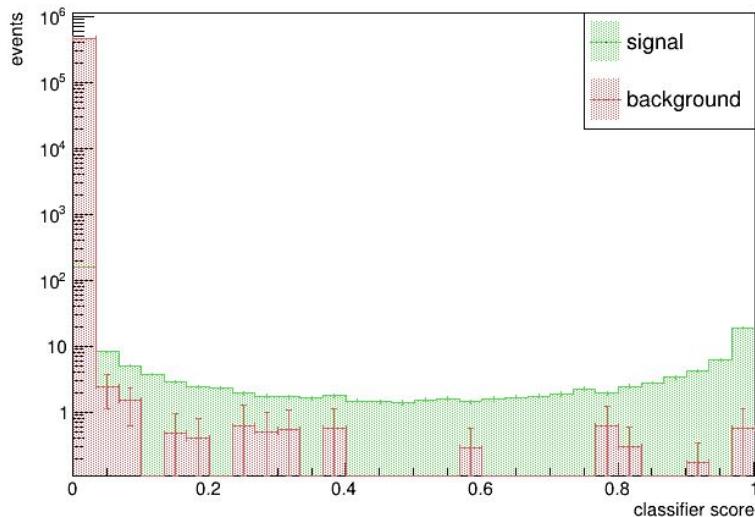
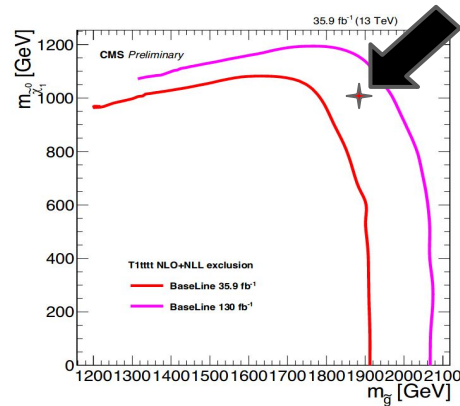


Binary classification

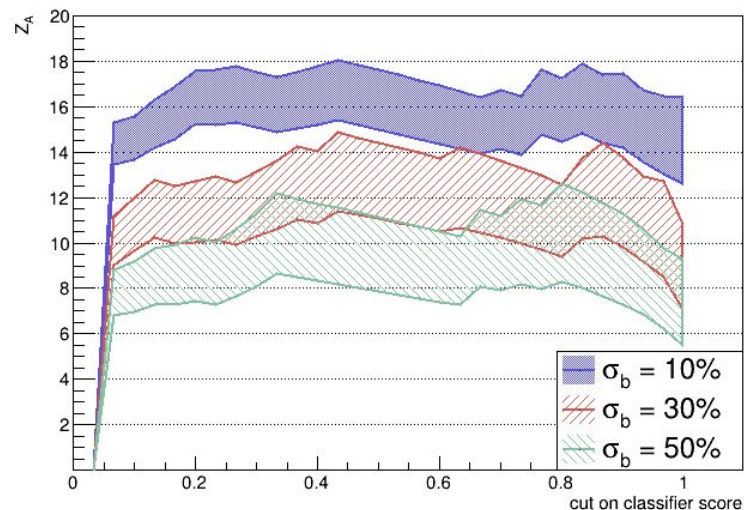
Note: using data for 2016 (35.9 fb^{-1})

- Pure signal sample separated
- High significance is reached
- ...even with 50% background uncertainty

$$\begin{aligned} M_{\text{LSP}} &= 1000 \text{ GeV} \\ M_{\text{gluino}} &= 1900 \text{ GeV} \end{aligned}$$



Classification after training for $\sigma_b = 30\%$

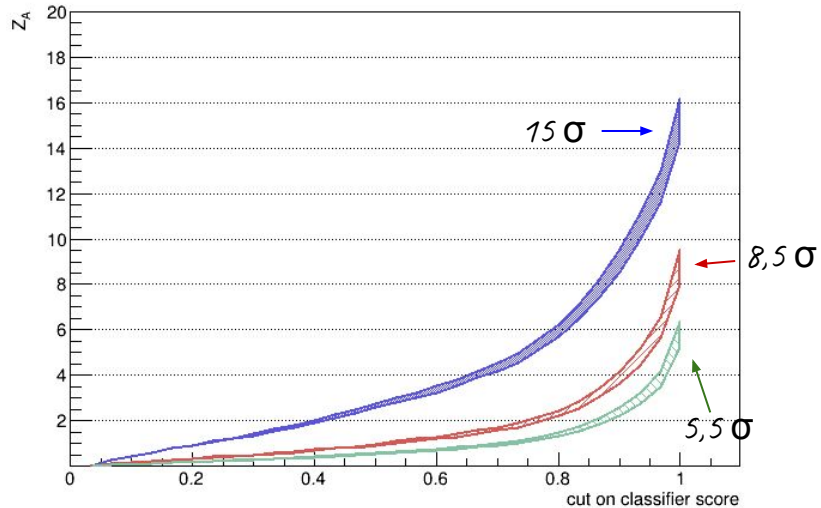


significance $> 8\sigma$ even at $\sigma_b = 50\%$

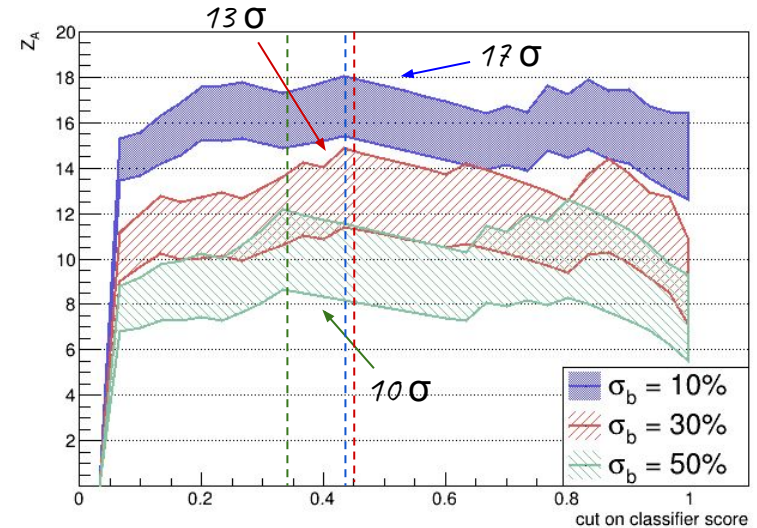
Binary classification

$$\begin{aligned} M_{\text{LSP}} &= 1000 \text{ GeV} \\ M_{\text{gluino}} &= 1900 \text{ GeV} \end{aligned}$$

Binary crossentropy



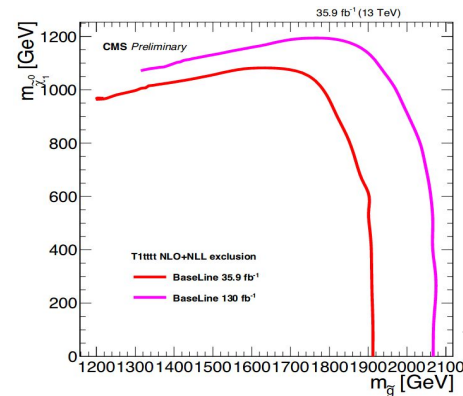
Asimov loss



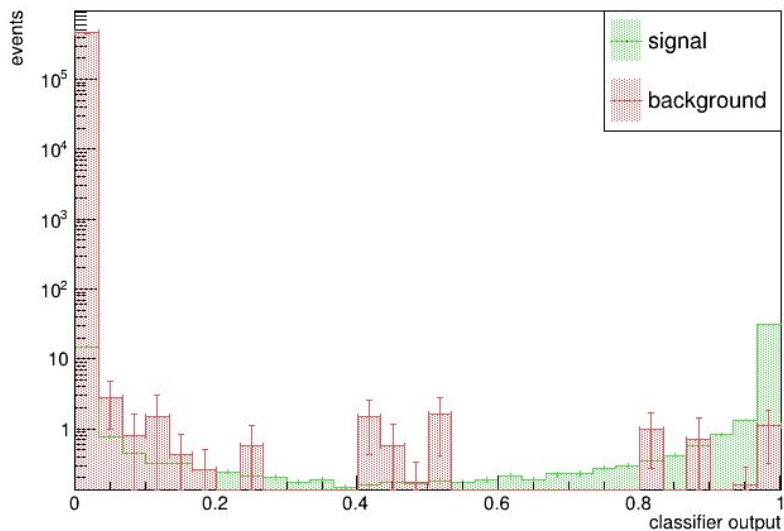
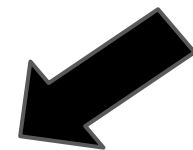
Binary classification

Note: using data for 2016 (35.9 fb^{-1})

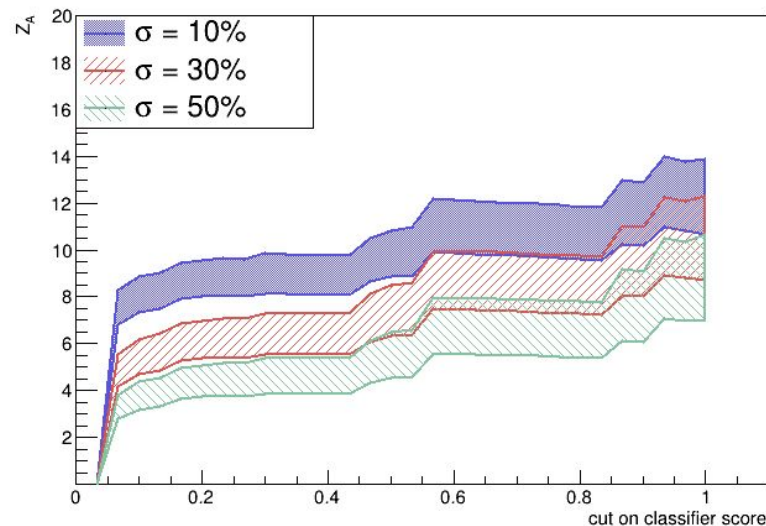
- Mass point beyond the limit obtained with 130 fb^{-1} of data => still high significance.



$$\begin{aligned} M_{\text{LSP}} &= 100 \text{ GeV} \\ M_{\text{gluino}} &= 2200 \text{ GeV} \end{aligned}$$



Classification after training for $\sigma_b = 10\%$



significance up to 7σ at $\sigma_b = 50\%$

Summary

Obtained results:

- Implemented Asimov estimate as loss for DNN training.
- New approach outperforms classic crossentropy.
- Applied to mass points not excluded by the latest limits
=> better limits can be obtained.

Also studied:

- Multiclass with Asimov loss combined with crossentropy does not improve results compared to binary classification.

