

# Moduli Stabilization near the Boundary

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Based on:

[2105.02232](#) and [2108.11962](#), with **Brice Bastian** and **Thomas Grimm**  
work in progress, with **Erik Plauschinn** and **Thomas Grimm**

DESY Theory Workshop:  
Bright ideas for a dark universe

# Setting the stage

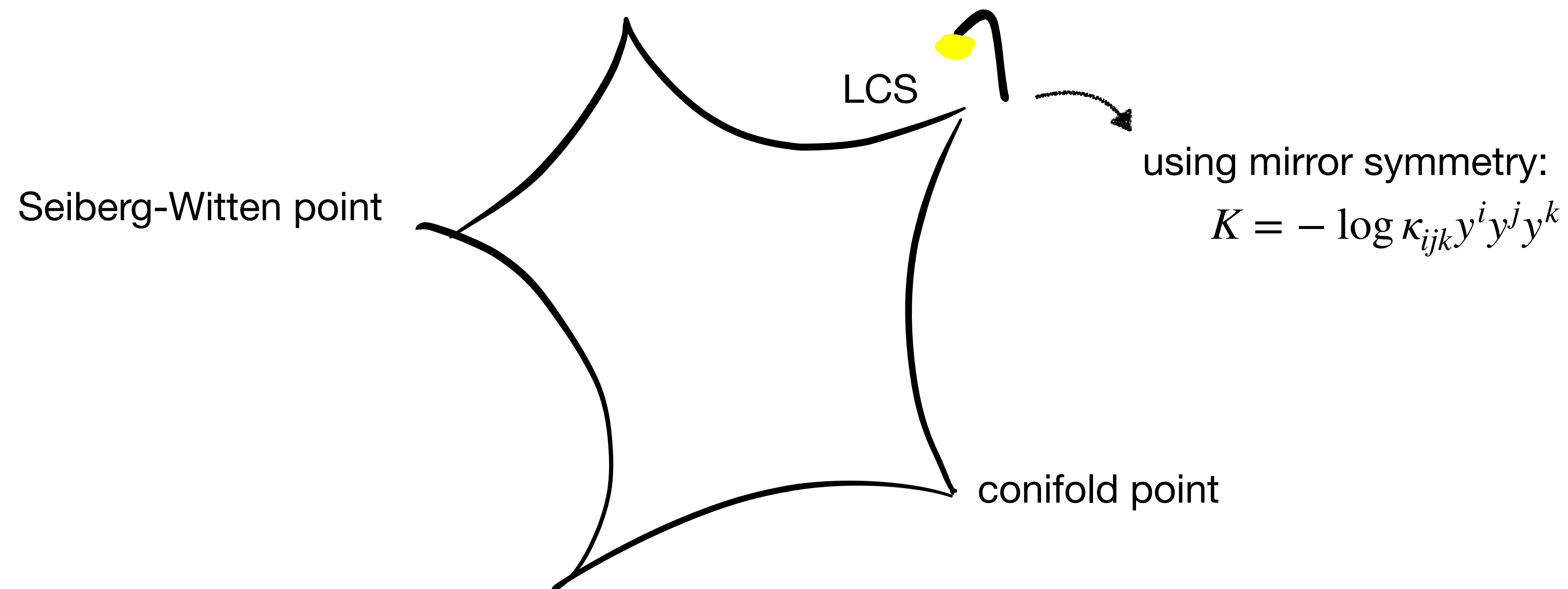
Arena: Calabi-Yau compactifications of Type IIB string theory

$\implies$  Kähler and complex structure deformations produce **massless** fields in 4d

Three-form flux  $G_3 = F_3 - \tau H_3$  induces potential for complex structure moduli (and axio-dilaton)

[Gukov, Vafa, Witten, '99]

**Our goal:** study scalar potential near boundaries **away** from large complex structure



## Moduli stabilization:

- (1) with a small vacuum superpotential [Bastian, Grimm, DH, '21]
- (2) using boundary  $sl(2)$ -structures [Grimm, Plauschinn, DH, to appear]

# Moduli stabilization (1): small superpotentials

[Bastian, Grimm, DH, '21]

# Finding vacua with small superpotentials

- Important step in realizing the KKLT scenario

Recently new methods for constructing such vacua:

- Strategy near large complex structure [Demirtas, Kim, McAllister, Moritz, '19]
- Extended to conic-LCS boundaries [Demirtas, Kim, McAllister, Moritz, '20],  
[Alvarez-Garcia, Blumenhagen, Brinkmann, Schlechter '20]

For related work see: [Honma, Otsuka, '21], [Demirtas, Kim, McAllister, Moritz, Rios-Tascon '21], [Broeckel, Cicoli, Maharana, Singh, Sinha, '21]

Constructions rely heavily on coefficients  $\kappa_{ijk}$  at LCS

$\implies$  what about other boundaries in moduli space?

# Periods near the boundary

- Nilpotent orbit expansion of periods [Schmid, '70]

$$\Omega = \mathbf{\Pi}^I \gamma_I, \quad \mathbf{\Pi}(t^i) = \mathbf{\Pi}_{\text{pol}} + \mathbf{\Pi}_{\text{inst}} = e^{t^i N_i} \left( \mathbf{a}_0 + \sum_{r_i} e^{2\pi i r_i t^i} \mathbf{a}_{r_1 \dots r_n} \right)$$

coordinates  $t = x + iy$  :

- $x \rightarrow x + 1$  circles boundary (axion)
- boundary at  $y = \infty$  (saxion/dilaton)

- Periods encode 4d N=1 supergravity data

Kahler potential  $K = -\log i \langle \bar{\mathbf{\Pi}}, \mathbf{\Pi} \rangle = -\log [K_{\text{pol}} + K_{\text{inst}}]$

Flux superpotential  $W = \langle G_3, \mathbf{\Pi} \rangle = W_{\text{pol}} + W_{\text{inst}}$

- Exponential terms  $\mathbf{a}_{r_1 \dots r_n}$  must be present near boundaries other than LCS

(example:  $N\mathbf{a}_0 = 0$  for the conifold point)

fits nicely with [Palti, Vafa, Weigand '20], [Cecotti (1), '20]

- Construct **general models** for asymptotic one- and two-moduli periods by using boundary classification

[Bastian, Grimm, DH (1), '21]

# An example

Consider a two-moduli boundary

(Seiberg-Witten point for  $\mathbb{P}_4^{1,1,2,2,6}[12]$  with  $n_2 = \frac{1}{4}$ ) [Kachru, Klemm, Lerche, Mayr, Vafa, '95]  
[Curio, Klemm, Lüst, Theisen, '00]

$$K = -\log(y_1 + n_2 y_2)$$

$\implies$  Kahler metric is degenerate  $K_{ij} = -\partial_i \partial_j \log K_{\text{pol}} = \frac{1}{(y_1 + n_2 y_2)^2} \begin{pmatrix} 1 & n_2 \\ n_2 & (n_2)^2 \end{pmatrix}$

$\implies$  exponential terms must be included at **leading order**:

$$K_{\text{inst}} = -2a^2 e^{-4\pi y_2} \left( n_1 y_1 + y_2 + \frac{1 - n_1 n_2}{2\pi} \right)$$

Important lessons for scalar potential:  $V = e^K K^{I\bar{J}} D_I W \overline{D_{\bar{J}} \bar{W}}$

exponential factors cancel  $\implies W_{\text{inst}}$  contributes to  $V_{\text{pol}}$

# Flux vacua with small superpotentials

[Bastian, Grimm, DH (2) '21]

## Extremization conditions

- Starting point: impose  $D_I W_{\text{pol}} = 0$  and  $W_{\text{pol}} = 0$
- For metric-essential instantons:  $V^I D_I W_{\text{inst}} = 0$  ( $V^I$ : eigenvector of  $K_{IJ}^{\text{pol}}$  w/ vanishing eigenvalue)

- Vacuum superpotential  $W_0 = \langle W_{\text{inst}} \rangle$
- For boundaries with linear  $K_{\text{pol}}$ : stabilizes all complex structure + axio-dilaton moduli
- Moduli stabilized by  $V_{\text{pol}}$ : masses of polynomial order

$\implies$  no racetrack potential for a perturbatively flat direction

$\implies$  separation of mass scale compared to Kähler moduli in  $W_{\text{np}} = W_0 + Ae^{-\rho}$




# Moduli stabilization (2): using $\mathfrak{sl}(2)$ -structures

[Grimm, Plauschinn, DH, to appear]

# Asymptotic behavior of the scalar potential

(think of as expansion in  $\frac{y^{i+1}}{y^i}, \frac{1}{y^n}$ )

Strict asymptotic regime  $y^1 \gg \dots \gg y^n \gg 1 \implies \text{sl}(2)^n$ -structure emerges [Cattani, Kaplan, Schmid '86]

Decompose space of three-forms as  $H^3(Y_3, \mathbb{R}) = \bigoplus_{\ell_1 \dots \ell_n} V_{\ell_1 \dots \ell_n}$   eigenspaces of  $\text{sl}(2)$ -weight operators

Scalar potential asymptotes towards:

$$V_{\text{pol}} = \int_{Y_3} \bar{G}_3 \wedge \star G_3 \simeq \langle \bar{G}_3, C_{\text{sl}(2)} G_3 \rangle = \sum_{\ell} (y_1)^{\ell_1} \dots (y_n)^{\ell_n} \langle \bar{G}_3^{\ell}, C_{\infty} G_3^{\ell} \rangle$$

$\implies$  can be constructed **explicitly** near any boundary

# An example

Two-parameter Calabi-Yau threefold in  $\mathbb{P}_4^{1,1,2,2,2,2}$  [8]

$\implies$  large complex structure regime  $\kappa_{122} = 4$ ,  $\kappa_{222} = 8$

$C_{\text{pol}} =$

$$\begin{pmatrix} 0 & 0 & 0 & -\frac{3}{6y_1y_2^2 + 4y_2^3} & 0 & 0 \\ 0 & 0 & 0 & 0 & -\frac{3y_1^2 + 4y_1y_2 + 2y_2^2}{6y_1y_2^2 + 4y_2^3} & \frac{1}{6y_1 + 4y_2} \\ 0 & 0 & 0 & 0 & \frac{1}{6y_1 + 4y_2} & -\frac{3}{12y_1 + 8y_2} \\ \frac{2}{3}y_2^2(3y_1 + 2y_2) & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{6y_2^2}{3y_1 + 2y_2} & \frac{4y_2^2}{3y_1 + 2y_2} & 0 & 0 & 0 \\ 0 & \frac{4y_2^2}{3y_1 + 2y_2} & \frac{4(3y_1^2 + 4y_1y_2 + 2y_2^2)}{3y_1 + 2y_2} & 0 & 0 & 0 \end{pmatrix}$$

$y_1, y_2 \gg 1$

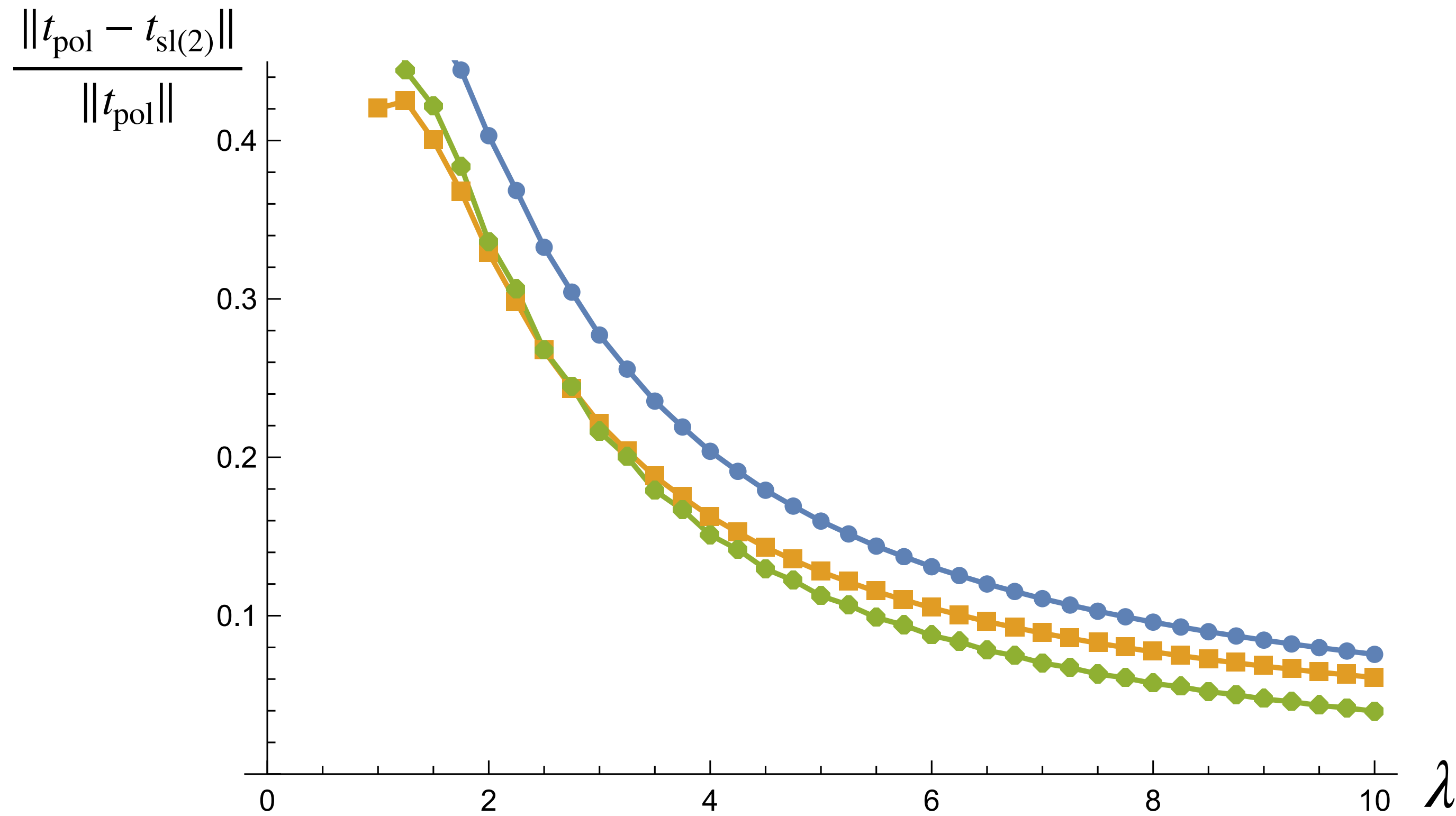
$C_{\text{sl}(2)} =$

$$\begin{pmatrix} 0 & 0 & 0 & -\frac{1}{2y_1y_2^2} & 0 & 0 \\ 0 & 0 & 0 & 0 & -\frac{y_1}{2y_2^2} - \frac{1}{9y_1} & \frac{1}{6y_1} \\ 0 & 0 & 0 & 0 & \frac{1}{6y_1} & -\frac{1}{4y_1} \\ 2y_1y_2^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{2y_2^2}{y_1} & \frac{4y_2^2}{3y_1} & 0 & 0 & 0 \\ 0 & \frac{4y_2^2}{3y_1} & \frac{8y_2^2}{9y_1} + 4y_1 & 0 & 0 & 0 \end{pmatrix}$$

$y_1 \gg y_2 \gg 1$

# Comparing $C_{\text{pol}}$ and $C_{\text{sl}(2)}$ vacua

Families of vacua with:  $y_1 = \lambda^2$ ,  $y_2 = \lambda$



$\implies$   $\text{sl}(2)$ -approximation agrees reasonably quickly!

# Conclusions

- Modeling asymptotic periods provides us with new exciting setups
  - ⇒ exponential corrections open up new windows for pheno applications
    - Vacua with small flux superpotentials
    - Axion monodromy inflation?
    - ...
- Asymptotic  $sl(2)$ -structures give a systematic approach to moduli stabilization
  - ⇒ control over scalar potential in complicated moduli spaces

Thanks for your attention!