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### **CASCADE3:**

### A Monte Carlo event generator based on TMDs

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#### **Abstract**

The CASCADE3 Monte Carlo event generator based on Transverse Momentum Dependent (TMD) parton densities is described. Hard processes can be generated internally within  $k_t$ -factorization (as in previous versions) or read in via LHE event files, generated within collinear factorization via the packages aMC@NLO, or generated with off-shell kinematics. A TMD initial state parton shower is generated which follows the distributions from the TMDs.

#### **PROGRAM SUMMARY**

```
21
   Title of Program: CASCADE3 3.0.2-beta11
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   Computer for which the program is designed and others on which it is operable: any with stan-
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   dard Fortran 77 (gfortran)
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   Programming Language used: FORTRAN 77
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   High-speed storage required: No
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   Separate documentation available: No
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   Keywords: QCD, TMD parton distributions.
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   Method of solution: Since measurements involve complex cuts and multi-particle final states,
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   the ideal tool for any theoretical description of the data is a Monte Carlo event generator
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   which generates initial state parton showers according to Transverse Momentum Depen-
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   dent (TMD) parton densities, in a backward evolution. The evolution follows the DGLAP
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   evolution equation exactly as used for the determination of the TMD.
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   Restrictions on the complexity of the problem: Any LHE file (with on-shell or off-shell) initial
43
   state partons can be processed.
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45
   Other Program used: PYTHIA (version > 6.4) for hadronization, TMDLIB as a library for TMD
   parton densities BASES/SPRING 5.1 for integration (supplied with the program package).
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48
   Download of the program: http://www.desy.de/~jung/cascade
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   Unusual features of the program: None
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```

### 1 Introduction

The simulation of processes for high energy hadron colliders has been improved significantly in the past years by automation of next-to-leading order (NLO) calculations and the matching of the hard processes to parton shower Monte Carlo event generators which also include a simulation of hadronization. Among those are the MADGRAPH5\_AMC@NLO [1] generator based on the MC@NLO [2–5] or the POWHEG [6,7] method for the calculation of the hard process. The results these packages are then combined with either the HERWIG [8] or PYTHIA [9] packages for parton showering and hadronization. Different jet multiplicities can be combined at the matrix element level and then merged with special procedures, like the MLM merging [10] for LO processes, the FxFx [11] or MiNLO method [12] for merging at NLO. While the approaches of matching and merging matrix element calculations and parton showers are very successful, two ingredients important for high energy collisions are not (fully) treated: while the matrix elements are calculated with collinear dynamics, the inclusion of initial state parton showers results in a net transverse momentum of the hard process; the special treatment of high energy effects (small x) is not included.

The CASCADE3 Monte Carlo event generator, developed originally for small x processes based on the CCFM [13–16] evolution equation, has been extended to include the full kinematic range (not only small x) by applying the newly developed Parton Branching (PB) Transverse Momentum Dependent (TMD) parton densities [17, 18]. The initial state radiation is fully described and determined by the TMD density, similarly to the case of the CCFM gluon density, but now available for all flavor species, including quarks and gluons at small and large x and scale  $\mu$ .

With the developments in determination of transverse momentum dependent (TMD) parton densities [17,18], it is natural to develop a scheme, where the initial parton shower follows exactly the TMD parton density and where either collinear (on-shell) of  $k_t$ -dependent (off-shell) hard process calculations can be combined. In order to be flexible and to use the latest developments in automated matrix element calculations of hard process at higher order in the strong coupling  $\alpha_s$ , events available in the Les Houches Event (LHE) file format [19], which contains all the information of the hard process including the color structure, can be read into CASCADE3.

In this report we describe the new developments in CASCADE3 for a full PBTMD parton shower and the matching of TMD parton densities to collinear hard process calculations. We also mention features of the small x mode of CASCADE3.

# 2 The hard process

The cross section of two hadrons A and B can be written as a convolution of the partonic cross section of partons a and b:  $a+b\to X$  and the density of partons a (b) inside the hadrons A (B):

$$\sigma(A+B\to Y) = \int dx_a \int dk_{ta}^2 \int dx_b \int dk_{tb}^2 \mathcal{A}(x_a, k_{ta}, \mu) \mathcal{A}(x_b, k_{tb}, \mu) \sigma(a+b\to X), \quad (1)$$

where  $x_a(x_b)$  are the longitudinal momentum fractions of partons a(b) and  $k_t a(k_t b)$  are their transverse momenta, and  $\sigma(a+b\to X)$  is the partonic cross section, and  $\mu$  is the factorization scale of the process. The final state Y contains the partonic final state X and the recoils from the parton evolution and hadron remnants.

In the following we discuss separately on-shell as well as off-shell partonic processes.

### 2.1 On-shell processes

The hard processes in collinear factorization (with on-shell initial partons, without transverse momenta) can be calculated by standard automated methods like MAD-GRAPH5\_aMC@NLO [1] at NLO accuracy. The matrix element processes are calculated with collinear parton densities (PDF), as provided by LHAPDF [20]. However, when the hard process is to be combined with a TMD parton density, as described later, the integral over  $k_t$  of the TMD density must agree with the collinear ( $k_t$ -integrated) density; this feature is guaranteed by construction for the PB-TMDs, which are also available as integrated PDFs in LHAPDF format.

When transverse momenta of the initial partons from TMDs are to be included to the hard scattering process, which was originally calculated under the assumption of collinear initial partons, care has to be take that energy and momentum are still conserved. The procedure adopted in CASCADE3 is the following: for each initial parton, a transverse momentum is assigned according to the TMD density, and this system is rotated and booted to its center-of-mass frame. Since the initial state partons have transverse momentum, they acquire a virtuality. The momenta of the incoming partons are given in Sudakov representation, with  $p^{(A)}(p^{(B)})$  being the four-momenta of the incoming particles:

$$a = x_a p^{(A)} + \bar{x}_b p^{(B)} + k_{t,a}$$
$$b = \bar{x}_a p^{(A)} + x_b p^{(B)} + k_{t,b}$$

with  $x_{a,b}$ ,  $\bar{x}_{a,b}$  being the light-cone momentum fractions of partons a, b.

The energy and longitudinal component of the initial momenta  $p_{a,b}$  are recalculated taken this virtuality into account, by [21]:

$$E_{a,b} = \frac{1}{\sqrt{2\hat{s}}} \left( \hat{s} \pm (Q_b^2 - Q_a^2) \right) \tag{2}$$

$$p_{z\,a,b} = \pm \frac{1}{2\sqrt{\hat{s}}} \sqrt{(\hat{s} + Q_a^2 + Q_b^2)^2 - 4Q_a^2 Q_b^2}$$
 (3)

where  $Q_1^2$  and  $Q_2^2$  are the virtualities of parton 1,2 after the transverse momentum is assigned. The final partons of the hard system are rotated and boosted to their center-of-mass frame. Then the whole system of initial and final state partons is boosted and rotated back to its original system. This procedure is similar to the procedure applied in standard parton showers like Pythia, when a transverse momentum is created from the shower.

The TMD  $\mathcal{A}(x,k_t,\mu)$  depends on the factorization scale  $\mu$  as well as on the transverse momentum  $k_t$ : the factorization scale  $\mu$  is calculated in the hard process and is the same as used in the evaluation of the collinear parton density, the transverse momentum  $k_t$  is limited by the so called *shower scale* which is the scale up to which the parton shower is allowed to contribute. Technically the factorization scale  $\mu$  is calculated within CASCADE3 (see parameter lhescale) as it is not directly accessible from the LHE file, while the *shower scale* is given by SCALUP. With this choice of the *shower scale* it is guaranteed that the the TMD and later the parton shower does not generate transverse momenta which would violate the collinear factorization ansatz.

The advantage of using TMDs from the beginning is that the kinematics are fixed, independent of simulating explicitly the partons from the parton shower. For inclusive processes, for example inclusive Drell-Yan processes, the details of the hadronic final state generated by a parton shower do not matter, but the only net effect of the transverse momentum distribution. The parton shower, as described below, follows closely the transverse momentum distribution of the TMD and thus does not change any kinematic distribution after the TMD is included.

All hard processes, which are available in MADGRAPH5\_aMC@NLO can be used within CASCADE3. The treatment of mulitjet merging is described in Section 7.

### 2.2 Off-shell processes

Several processes with off-shell matrix elements are implemented in CASCADE3 as listed in Tab. 1, and described in detail in [33].

However, many more processes are accessible via the automated matrix element calculator KATIE [34] or PEGASUS [35] for off-shell kinematics for the initial state partons. The events from the hard process are read into the CASCADE3 package via LHE files. For processes generated with KATIE no further corrections need to be performed and the event can be directly passed to the showering procedure, described in the next section.

When using off-shell processes, BFKL or CCFM type parton densities should be used, in order to allow for transverse momenta,  $k_t$ , which can be larger that the transverse momentum of any of the partons of the hard process. Until now, only gluon densities obtained from CCFM [13–16] or BFKL [36–38] are available, thus limiting the advantages of using off-shell matrix elements to gluon induced processes.

### 3 Initial State Parton Shower based on TMDs

#### 3.1 PB TMD evolution and parton shower

The parton shower, which is described here, follows consistently the parton evolution of the TMDs. By this we mean that the splitting functions  $P_{ab}$ , the order in  $\alpha_{\rm s}$ , the scale in the calculation of  $\alpha_{\rm s}$  as well as the kinematic restrictions applied are identical in both the parton shower and the evolution of the parton densities.

Lepto(photo)production	process	IPRO	Reference
	$\gamma^* g^* \to q\bar{q}$	10	[22]
	$\gamma^* g^* \to Q\bar{Q}$	11	[22]
	$\gamma^*g^* \to J/\psi g$	2	[23–26]
Hadroproduction			
	$g^*g^*  o q\bar{q}$	10	[22]
	$g^*g^*  o Q\bar{Q}$	11	[22]
	$g^*g^* \to J/\psi g$	2	[26]
	$g^*g^* \to \Upsilon g$	2	[26]
	$g^*g^* \to \chi_c$	3	[26]
	$g^*g^* \to \chi_b$	3	[26]
	$g^*g^*  o J\psi J\psi$	21	[27]
	$g^*g^* \to h^0$	102	[28]
	$g^*g^* \to ZQ\bar{Q}$	504	[29,30]
	$g^*g^* \to Zq\bar{q}$	503	[29,30]
	$g^*g^* \to Wq_iQ_j$	514	[29,30]
	$g^*g^* \to Wq_iq_j$	513	[29,30]
	$qg^* \rightarrow Zq$	501	[31]
	$qg^* \rightarrow qg$	10	[32]
	$gg^*  o gg$	10	[32]

 $\begin{tabular}{ll} Table 1: Processes included in Cascade 3. Q stands for heavy quarks, q for light quarks. \\ \end{tabular}$ 

A backward evolution method, as now common in Monte Carlo event generators, is applied for the initial state parton shower, evolving from the large scale of the matrix-element process backwards down to the scale of the incoming hadron. However, in contrast to the conventional parton shower, which generates a transverse momentum of the initial state partons during the backward evolution, the transverse momentum of the initial partons of the hard scattering process is fixed by the TMD and the parton shower does not change the kinematics. The transverse momenta during the cascade follow the behavior of the TMD. The hard scattering process is obtained as described in section 2.

The backward evolution of the initial state parton shower follows very closely the description in [21,33,39,40]. The evolution scale  $\mu$  is selected from the hard scattering process, as described either in Section 2.1 or directly from the calcualtion in Section 2.2. In case of onshell matrix elements, the transverse momentum of the hardest parton in the parton shower evolution is limited by the *shower-scale*, as described in Section 2.1.

Starting with the hard scale  $\mu=\mu_i$ , the parton shower algorithm searches for the next scale  $\mu_{i-1}$  at which a resolvable branching occurs. This scale  $\mu_{i-1}$  is selected from the Sudakov form factor  $\Delta_S$  making use of the TMD densities  $\mathcal{A}_a(x',k'_t,\mu')$  which depend on the longitudinal momentum fraction x'=xz of parton a, its transverse momentum  $k'_t$  probed at a scale  $\mu'$  (see also [33]). The Sudakov form factor  $\Delta_S$  for the backward evolution is given by (see fig. 1 left):

$$\Delta_S(x,\mu_i,\mu_{i-1}) = \exp\left[-\int_{\mu_{i-1}}^{\mu_i} \frac{d\mu'}{\mu'} \frac{\alpha_s(\tilde{\mu}')}{2\pi} \sum_a \int dz P_{a\to bc}(z) \frac{x' \mathcal{A}_a(x',k'_t,\mu')}{x \mathcal{A}_b(x,k_t,\mu')}\right] \tag{4}$$

which describes the probability that parton b remains at x with transverse momentum  $k_t$  when evolving from  $\mu_i$  to  $\mu_{i-1} < \mu$ . Please note, that the argument in  $\alpha_s$  is  $\tilde{\mu}'$  and depends on the ordering condition as discussed later. <sup>1</sup>

In the parton shower language, the selection of the next branching comes from solving the Sudakov form factor eq.(4) for  $\mu_{i-1}$ . However, to solve the integrals in eq.(4) numerically for every branching would be too time consuming, instead the veto-algorithm [21,41] is applied. The selection of  $\mu_{i-1}$  and the branching splitting  $z_{i-1}$  follows the standard methods [21].

The splitting function  $P_{ab}$  as well as the argument  $\tilde{\mu}$  in the calculation of  $\alpha_{\rm s}$  is chosen exactly as used in the evolution of the parton density. In a parton shower one treats "resolvable" branchings, defined via a cut in  $z < z_M$  in the splitting function to avoid the singular behavior of the terms  $\frac{1}{1-z}$ , and branchings with  $z > z_M$  are regarded as "non-resolvable" and are treated similarly as virtual corrections: they are included in the Sudakov form factor  $\Delta_S$ . This is exactly the same as in the determination of TMD densities within the PB or CCFM methods: a resolvable branching is defined via a cut  $z < z_M$ . The longitudinal momentum fraction  $x_{i-1} = \frac{x_i}{z_{i-1}}$  is calculated by generating  $z_{i-1}$  according to the splitting function. With  $z_{i-1}$  and  $\mu_{i-1}$  all variables needed for a collinear parton shower are obtained.

<sup>&</sup>lt;sup>1</sup>In equation eq.(4) ordering in  $\mu$  is assumed, if angular ordering, as in CCFM [13–16], is applied then the ratio of parton densities would change to  $\frac{x'A_a(x',k'_t,\mu'/z)}{xA_b(x,k_t,\mu')}$  as discussed in [33].

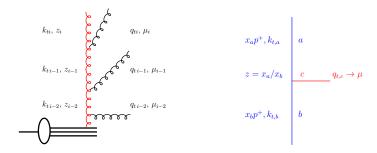


Figure 1: Left: Schematic view of a parton branching process. Right: Branching process  $b \rightarrow a + c$ .

The calculation of the transverse momentum  $k_t$  is sketched in fig. 1 right. The transverse momentum  $q_{t\,i}$  can be obtained by giving a physical interpretation to the evolution scale  $\mu_i$  (see fig. 1 right), and  $q_{t\,i}$  can be calculated in case of angular ordering ( $\mu$  is associated with the angle of the emission) in terms of the angle  $\Theta$  of the emitted parton wrt the beam directions  $q_{t,c} = (1-z)E_b\sin\Theta$ :

$$\mathbf{q}_{t,i}^2 = (1-z)^2 \mu_i^2 \quad . \tag{5}$$

Once the transverse momentum of the emitted parton  $q_t$  is known, the transverse momentum of the propagating parton can be calculated from

$$\mathbf{k}_{t\,i-1} = \mathbf{k}_{t\,i} + \mathbf{q}_{t\,i-1} \tag{6}$$

with a uniformly distributed azimuthal angle  $\phi$  is assumed for the vector components of  $\mathbf{k}$  and  $\mathbf{q}$ . The generation of the parton momenta is performed in the center-of-mass frame of the collision (in contrast to conventional parton showers, which are generated in different partonic frames).

The whole procedure is iterated until one reaches a scale  $\mu_{i-1} < q_0$  with  $q_0$  being a cut-off parameter, which can be chosen to be the starting evolution scale of the TMD. However, it turns out that during the backward evolution the transverse momentum  $k_t$  can reach large values, even for small scales  $\mu_{i-1}$ , because of the random  $\phi$  distribution. On average the transverse momentum decreases, but it is of advantage to continue the parton shower evolution to a scale  $q_0 \sim \Lambda_{qcd} \sim 0.3$  GeV, to allow emissions to share the transverse momenta generated.

### 3.2 CCFM parton evolution and parton shower

The CCFM parton evolution and corresponding parton shower follows a similar approach as described in the previous section and in detail also in Refs. [33,39,40]. The main difference to the PB-TMD shower are the splitting functions with the non-Sudakov form factor  $\Delta_{ns}$  The original CCFM splitting function  $\tilde{P}_g(z,q,k_t)$  for branching  $g \to gg$  is given by (neglecting

finite terms as they are not obtained in CCFM at the leading infrared accuracy (cf p.72 in [15]):

$$\tilde{P}_g(z,q,k_t) = \frac{\bar{\alpha}_s(q(1-z))}{1-z} + \frac{\bar{\alpha}_s(k_t)}{z} \Delta_{ns}(z,q,k_t),\tag{7}$$

where the non-Sudakov form factor  $\Delta_{ns}$  is defined as:

$$\log \Delta_{ns} = -\bar{\alpha}_s(k_t) \int_0^1 \frac{dz'}{z'} \int \frac{dq^2}{q^2} \Theta(k_t - q) \Theta(q - z'q_t), \qquad (8)$$

with  $q_t = \sqrt{{f q}_t^2}$  defined in Eq.(5) and  $k_t$  being defined in Eq.(6).

# 4 The TMD parton densities

In the previous versions of CASCADE3 the TMD densities where part of the program. With the development of TMDLIB [42] there is easy access to all available TMDs, they can be selected, as before, via PartonDensity with a value > 100000. For example the TMDs from the parton branching method [17,18] are selected via PartonDensity=102100 (102200) for PB-NLO-HERAI+II-2018-set1 (set2).

Please note, that the features of the TMD parton shower are only fully available for the PB-TMD sets and the CCFM shower clearly need CCFM parton densities.

The parameter MaxFactor is used to set the scale factor for the maximum weight when generating the transverse momentum for onshell partons; this factor should be 1 but can be adjusted to ensure that only a small fraction of events have weights larger than the maximum (in the output check: CAS\_LHEREAD wt>wtmax).

# 5 Final state parton showers

The final state parton shower uses the parton shower routine PYSHOW of PYTHIA. Leptons in the final state, coming for example from Drell-Yan decays, can radiate photons, which are also treated in the final state parton shower. Here the method from PYADSH of PYTHIA is applied, with the scale for the QED shower being fixed at ?????.

The default scale for the QCD final state shower is  $\mu^2=2\cdot(m_{1\perp}^2+m_{2\perp}^2)$  (ScaleTimeShower=1), with  $m_{1(2)\perp}$  being the transverse mass of the hard parton 1(2). Other choices are possible:  $\mu^2=\hat{s}$  (ScaleTimeShower=2) and  $\mu^2=2\cdot(m_1^2+m_2^2)$  (ScaleTimeShower=3). In addition a scale factor can be applied: ScaleFactorFinalShower× $\mu^2$  (default: ScaleFactorFinalShower=1).

#### 6 Uncertainies

Uncertainties of QCD calculations mainly arise from missing higher order corrections, which are estimated by varying the factorization and renormalization scales up and down by typically a factor of 2. The scale variations are performed when calculating the matrix elements

and are stored as additional weights in the LHE file, which are then passed directly to the HEPMC output file for further processing.

The uncertainties coming from the PDFs can also be calculated as additional weigh factors during the matrix element calcualtion. However, when using TMDs, additional uncertainties arise from the transverse momentum distribution of the TMD. The PB-TMDs come with uncertainties from the experimental uncertainties as well as from model uncertainties, as discussed in Ref. [43]. These uncertainties can be treated and applied as additional weight factors with the parameter Uncertainty\_TMD = 0.

### 7 Multi-jet merging

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# 8 Program description

In CASCADE3 all variables are declared as Double Precision. With CASCADE3 the source of PYTHIA 6.28 is included to avoid difficulties in linking.

The input parameters are steered via steering files. The new format of steering is discussed in Section 8.1, the format of previous versions, which is appropriate for the internal off-shell processes, is discussed in Section 8.2.

### 8.1 Input parameters - new format

```
&CASCADE_input
    NrEvents = -1
                                      ! Nr of events to process
    Process_Id = -1
263
                                      ! Read LHE file
    !MaxFactor = 10
                                      ! Max scaling factor for accept/reject
264
265 Hadronisation = 0
                                      ! Hadronisation on (=1)
265 Hadronisation = 0
266 SpaceShower = 1
! Space-like Parton Shower
267 SpaceShowerOrderAlphas=2 ! Order alphas in Space Shower
268 TimeShower = 1 ! Time-like Parton Shower
269 Carl Time-like Parton Shower
269 ScaleTimeShower = 4
                                      ! Scale choice for Time-like Shower
270
                                          1: 2 (m<sup>2</sup>_1t+m<sup>2</sup>_2t)
                                          2: shat
271
                                          3: 2(m^2_1+m^2_2)
272
                                          4: 2*scalup (from lhe file)
273
    !ScaleFactorFinalShower = 1. ! scale factor for Final State Parton Shower
274
    PartonEvolution = 2
                                      ! type of parton evolution in Space-like Shower
276
                                          1: CCFM
277
    !
                                          2: full all flavor TMD evolution
    ! EnergyShareRemnant = 4
                                      ! energy sharing in proton remnant
278
                                          1: (a+1)(1-z)**a, <z>=1/(a+2)=1/3
279
    - 1
                                           2: (a+1)(1-z)**a, < z >= 1/(a+2) = mq/(mq+mQ)
280
    - 1
                                           3: N/(z(1-1/z-c/(1-z))**2), c=(mq/mQ)**2
281
282
                                           4: PYZDIS: KFL1=1
   ! Remnant = 0
                                        ! =0 no remnant treatment
283
284 PartonDensity = 102200
                                           ! use TMDlib: PB-TMDNLO-set2
  ! PartonDensity = 102100
                                           ! use TMDlib: PB-TMDNLO-set1
   ! TMDDensityPath= './share' ! Path to TMD density for internal files
```

```
287 Uncertainty_TMD = 0
                                               ! calculate and store uncertainty TMD pdfs
288 lheInput='MCatNLO-example.lhe' ! LHE input file
   lheHasOnShellPartons = 1     ! = 0 LHE file has off-shell parton configuration
   lheReweightTMD = 0
                                  ! Reweight with new TMD given in PartonDensity
   lheScale = 2
                                  ! Scale defintion for TMD
292
                                      0: use scalup
293
                                     1: use shat
294 !
                                     2: use 1/2 Sum pt^2 of final parton/particles
295 !
                                      3: use shat for Born and 1/2 Sum pt^2 of final parton(particle)
296 !
                                      4: use shat for Born and max pt of most forward/backward
                                        parton(particle)
298 lheNBornpart = 2
                                  ! Nr of hard partons (particles) (Born process)
299 ScaleFactorMatchingScale = 2. ! Scale factor for matching scale when including TMDs
                                   ! use weight Id = ... as weight for LHE file
300 ! lheWeightId = 0
301 & End
302
303
304 &PYTHIA6_input
305 P6 Itune = 370
                                 ! Retune of Perugia 2011 w CTEQ6L1 (Oct 2012)
306 P6_MSTJ(45) = 4
                                  ! Nr of flavors in final state shower: g->qqbar
307 P6\_PMAS(4,1) = 1.6
                                  ! charm mass
308 P6\_PMAS(5,1) = 4.75
                                  ! bottom mass
309 P6_MSTJ(48) = 1
                                 ! (D=0), 0=no max. angle, 1=max angle def. in PARJ(85)
^{310} ! P6_MSTU(111) = 1
                                 ! = 0 : alpha_s is fixed, =1 first order; =2 2nd order;
^{311} ! P6_PARU(112) = 0.2
                                 ! lambda QCD
312 P6_MSTU(112) = 4
                                 ! nr of flavours wrt lambda_QCD
313 P6_MSTU(113)=
                                 ! min nr of flavours for alphas
314 P6_MSTU(114)=
                                 ! max nr of flavours for alphas
315 & End
```

#### 316 8.2 Input parameters - old format

```
* OLD STEERING FOR CASCADE
318 *
319 * number of events to be generated
320 +
321 NEVENT 100
322
  * ++++++++++++ Kinematic parameters +++++++++++
323
324
325
  'PBE1'
          1
               0
                   -7000.
                           ! Beam energy
  'KBE1'
           1
               0
                    2212
                          ! -11: positron, 22: photon 2212: proton
326
327 'IRE1'
          1 0
                    1
                           ! 0: beam 1 has no structure
                           ! 1: beam 1 has structure
328 *
329 'PBE2'
          1 0
                   7000.
                         ! Beam energy
330 'KBE2'
           1 0
                   2212
                         ! 11: electron, 22: photon 2212: proton
331 'IRE2'
                          ! 0: beam 3 has no structure
           1 0
                     1
                           ! 1: beam 2 has structure
332 *
         1 0
                     4
                          ! (D=5) nr of flavours used in str.fct
335 'IPRO'
          1 0
                     2 ! (D=1)
```

```
! 2: J/psi g
336
                                   ! 3: chi_c
337
                                   ! (D=0) select 2S or 3S state
   'I23s'
                1
                     0
                              0
338
   'IPOL'
                1
                     0
                              0
                                   ! (D=0) VM->11 (polariation study)
340
   'IHFL'
                1
                     0
                              4
                                   ! (D=4) produced flavour for IPRO=11
341
                                   ! 4: charm
342
                                   ! 5: bottom
   'PTCU'
343
                1
                     0
                              1.
                                   ! (D=0) p_t **2 cut for process
344
   * +++++++++ Parton shower and fragmentation +++++++++
   'NFRA'
               1
                     0
                              1
                                   ! (D=1) Fragmentation on=1 off=0
346
  'IFPS'
               1
                     0
                              3
                                   ! (D=3) Parton shower
347
                                   ! 0: off
348
                                   ! 1: initial state PS
                                   ! 2: final state PS
349
                                   ! 3: initial and final state PS
350
   'IFIN'
351
               1
                     0
                              1
                                   ! (D=1) scale switch for FPS
                                   ! 1: 2(m^2_1t+m^2_2t)
352
353
                                   ! 2: shat
                                   ! 3: 2 (m<sup>2</sup>_1+m<sup>2</sup>_2)
354
   'SCAF'
                     0
                              1.
                                   ! (D=1) scale factor for FPS
355
               1
356
   'TTTM'
               1
                     0
                              0
                                   ! 0: timelike partons may not shower
357
                                   ! 1: timelike partons may shower
  'ICCF'
358
               1
                     0
                              1
                                   ! (D=1) Evolution equation
359
                                   ! 1: CCFM
                                   ! 0: DGLAP
360
   361
                                         ! (D=0) Running of alpha_em(Q2)
   'IRAM'
                       0
               1
                                 0
362
                       ! 0: fixed
363
                        ! 1: running
364
                                         ! (D=1) Running of alpha_s (MU2)
365
   'IRAS'
               1
                       0
                                1
                       ! 0: fixed alpha_s=0.3
366
367
                        ! 1: running
         'I02S'
                    1
                             0
                                               ! (D=1) Scale MU2 of alpha_s
368
                                                 1: MU2= 4*m**2 (only for heavy quarks)
369
370
                                                 2: MU2 = shat (only for heavy quarks)
371
                                               1
                                                 3: MU2 = 4 * m * * 2 + pt * * 2
372
                                                 4: MU2 = Q2
                                                  5: MU2 = Q2 + pt**2
373
                                                  6: MU2 = k t**2
374
         'SCAL'
                             0
                                      1.0
                                               !
                                                 scale factor for renormalisation scale
                    1
375
         'SCAF'
                             0
                                      1.0
                                               !
                                                 scale factor for factorisation scale*
                    1
376
          'IGLU'
                    1
                             0
                                       1201
                                               ! (D=1010) Unintegrated gluon density
377
                                               ! > 10000 use TMDlib (i.e. 101201 for JH-2013-set1)
378
                                                 1201: CCFM set JH-2013-set1 (1201 - 1213)
379
                                                  1301: CCFM set JH-2013-set2 (1301 - 1313)
380
                                               1
                                                  1001: CCFM J2003 set 1
381
                                               !
                                                  1002: CCFM J2003 set 2
382
                                                  1003: CCFM J2003 set 3
383
                                               1
384 *
                                               1
                                                 1010: CCFM set A0
385
                                               ! 1011: CCFM set A0+
                                                 1012: CCFM set A0-
386 *
```

```
1013: CCFM set A1
387
                                              1020: CCFM set B0
388
                                              1021: CCFM set B0+
389
                                              1022: CCFM set B0-
390
391
                                              1023: CCFM set B1
                                              1: CCFM old set JS2001
392
                                              2: derivative of collinear gluon (GRV)
393
                                   ! 3: Bluemlein
394
                        4: KMS
395
396
                      ! 5: GBW (saturation model)
397
                         6: KMR
                      ! 7: Ryskin, Shabelski
398
     ++++++++ BASES/SPRING Integration procedure +++++++++
399
        'NCAL'
                  1
                           Ω
                                  50000
                                           ! (D=20000) Nr of calls per iteration for bases
400
        'ACC1'
                   1
                           0
                                  1.0
                                           ! (D=1) relative prec.(%) for grid optimisation
401
                          0
                                  0.5
402
        'ACC2'
                   1
                                           ! (0.5) relative prec.(%) for integration
403
   404
         'INTE'
                   1 0
                                    0
                                           ! Interaction type (D=0)
405
                                           ! = 0 electromagnetic interaction
         'KT1 '
                    1
                           0
                                  0.44
                                           ! (D=0.0) intrinsic kt for beam 1
406
         'KT2 '
                    1
                            0
                                  0.44
                                           ! (D=0.0) intrinsic kt for beam 2
407
                                  0.35
408
         'KTRE'
                    1
                                           ! (D=0.35) primordial kt when non-trivial
409
                                           ! target remnant is split into two particles
410
   * Les Houches Accord Interface
        'ILHA' 1
                                    0
                                           ! (D=10) Les Houches Accord
411
                                           ! = 0 use internal CASCADE
412
                                           ! = 1 write event file
413
                                           ! = 10 call PYTHIA for final state PS and remnant frag
414
415
   * path for updf files
416
         'UPDF'
                '/Users/jung/jung/cvs/cascade2/cascade-2.0.1/share'
```

#### 8.3 Random Numbers

CASCADE3 uses the RANLUX random number generator, with luxory level LUX = 4. The random number seed can be set via the environment variable CASEED, the default value is CASEED=12345

#### 421 8.4 Event Output

425

426

When HEPMC is included, generated events are written out in HEPMC [44] format for further processing. The environment variable HEPMCOUT is used to specify the file name, by default this variable is set to HEPMCOUT=/dev/null.

The HEPMC events can be further processed for example with Rivet [45].

# 9 Program Installation

CASCADE3 now follows the standard AUTOMAKE convention. To install the program, do the following

```
1) Get the source
       tar xvfz cascade-XXXX.tar.gz
432
433
       cd cascade-XXXX
434
435
       2) Generate the Makefiles (do not use shared libraries)
       ./configure --disable-shared --prefix=install-path --with-lhapdf="lhapdflib_path" --with-tmdlib="TMDlib-path" --with-gsl="gsl_lib" --with-hepmc="hepmc_path"
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
       lhapdflib_path=/afs/desy.de/group/alliance/mcg/public/MCGenerators/lhapdf/5.8.1/i586_rhel40
       3) Compile the binary
       make
       4) Install the executable and PDF files
       4) The executable is in bin
       export CASED=1242425
       export HEPMCOUT=outfile.hepmc
452
453
       cascade < steer_pp-LHEin
```

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