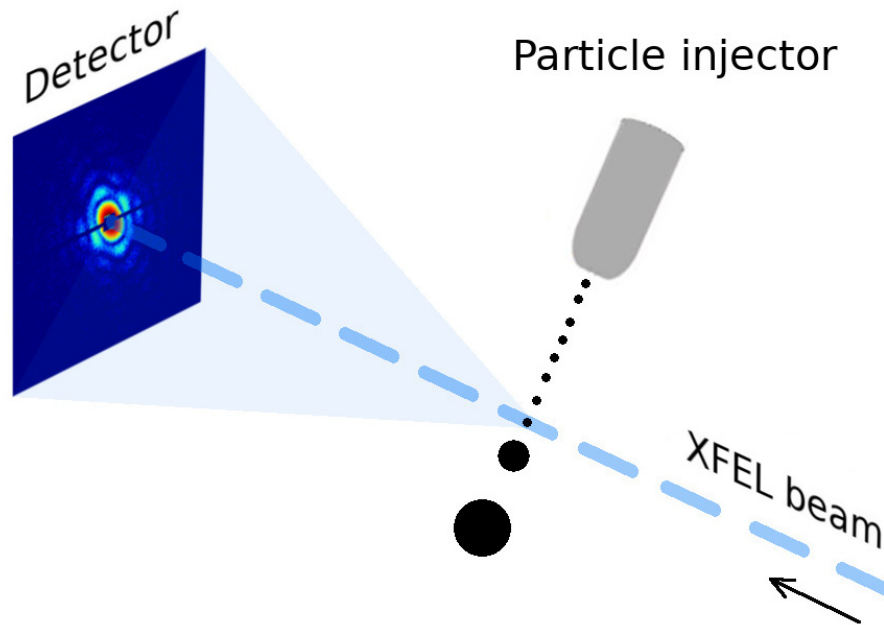


Classification of diffraction patterns in Single Particle Imaging (SPI) experiments performed at X-ray free-electron lasers using a CNN

Alexandr Ignatenko

Hamburg, 17.12.2020

SPI experiment

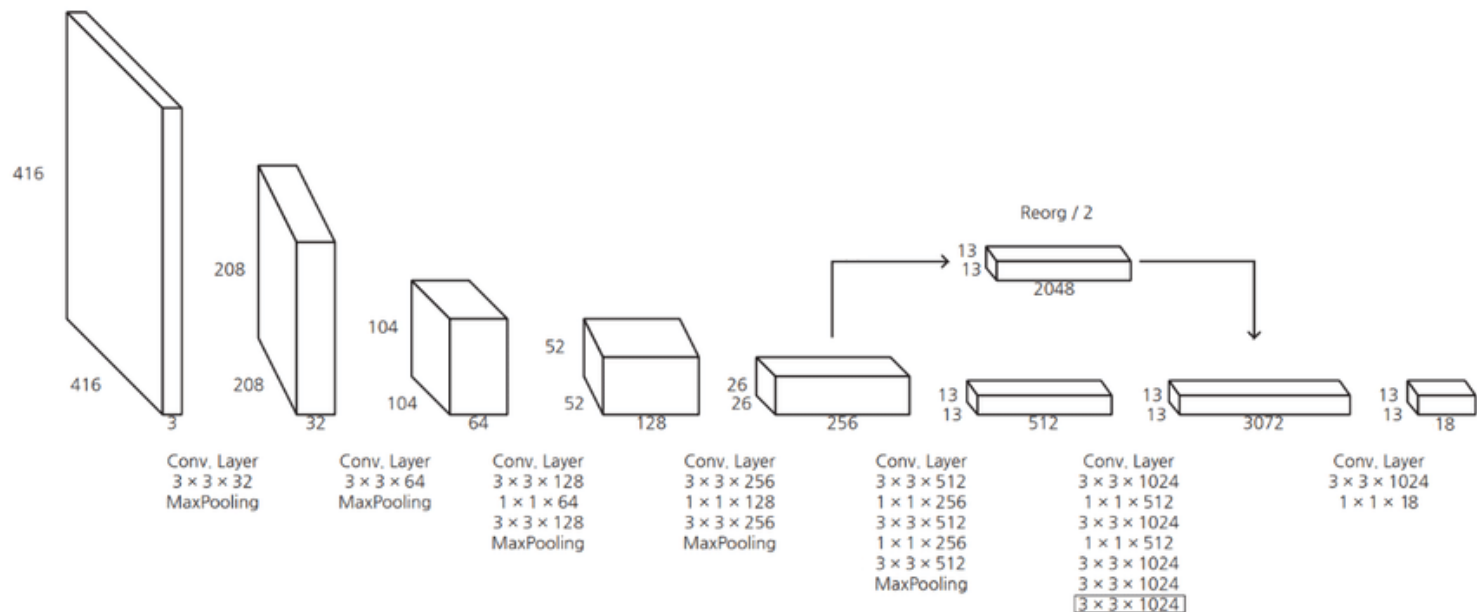


Data processing pipeline:

Pre-processing → Classification → Orientation determination & background subtraction → Phase retrieval and structure reconstruction

Classification: hit finding, size filtering, single-hit classification

YOLOv2



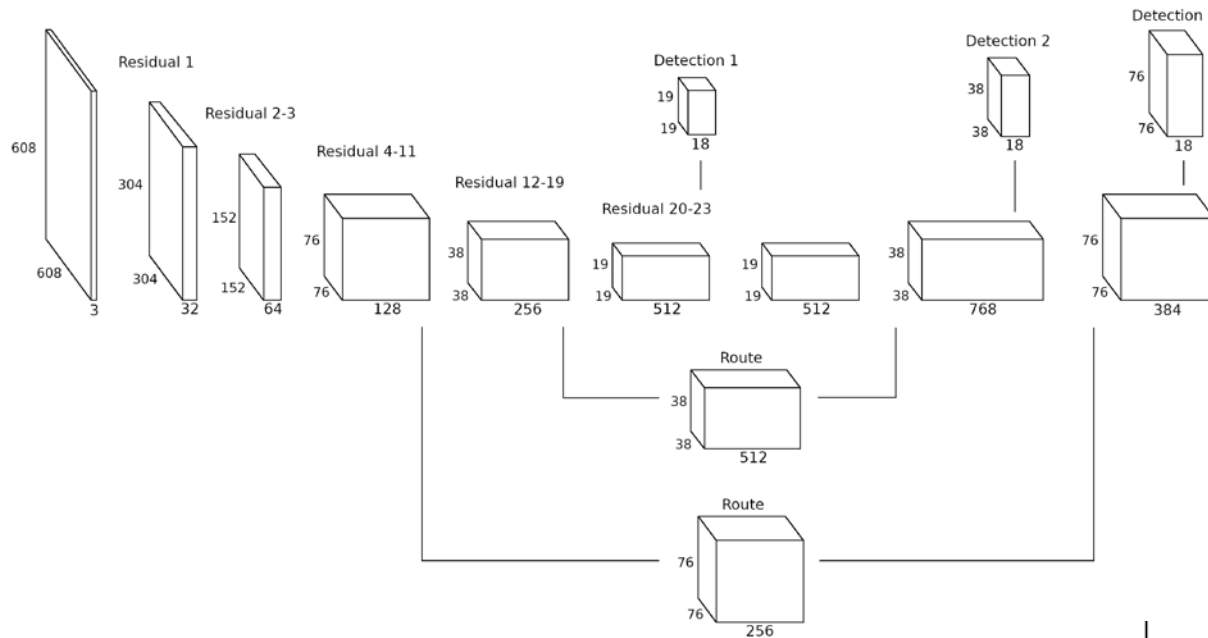
S. Seong et al., Determination of Vehicle Trajectory through Optimization of Vehicle Bounding Boxes using a Convolutional Neural Network. *Sensors* **2019**, 19, 4263

Darknet-19 feature extractor trained on ImageNet
+ 1 convolutional layer: 20 convolutional layers

Loss function with classification and localization terms

Stochastic gradient descent (SGD) used for minimization
Batch size: 64

YOLOv3

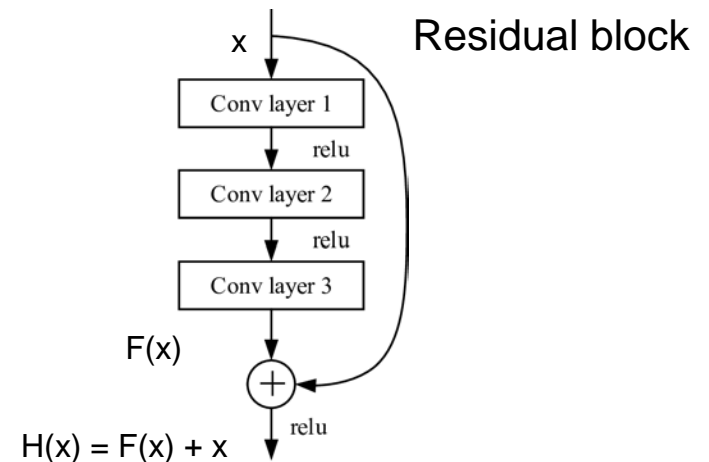


Darknet-53 feature extractor trained on ImageNet
53 convolutional layer in feature extraction

Residual architecture

Loss function with classification and localization terms

Stochastic gradient descent (SGD) used for minimization
Batch size: 24



Metrics

$$accuracy = \frac{TP + TN}{TP + TN + FP + FN}$$

$$Recall = \frac{TP}{TP + FN}$$

$$Precision = \frac{TP}{TP + FP}$$

TP – True positives

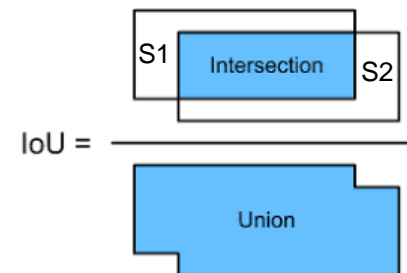
FP – False positives

FN – False negatives

$$F_{\beta} = (1 + \beta^2) \cdot \frac{\text{precision} \cdot \text{recall}}{(\beta^2 \cdot \text{precision}) + \text{recall}}$$

$$F_1 = 2 \cdot \frac{\text{precision} \cdot \text{recall}}{\text{precision} + \text{recall}}$$

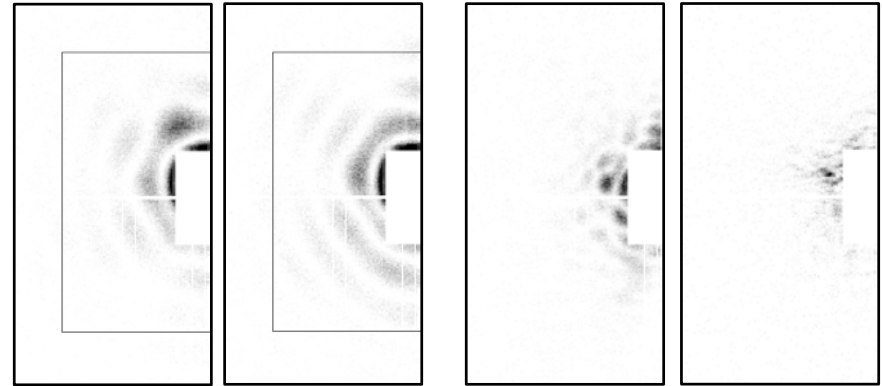
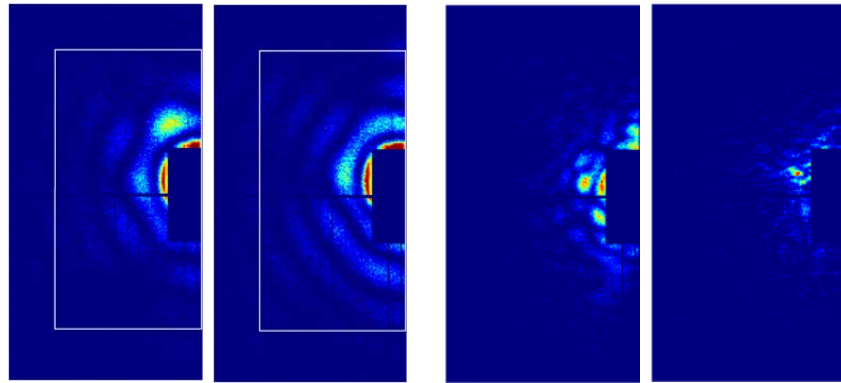
$$IoU = \frac{Intersection}{Union} = \frac{Intersection}{S_1 + S_2 - Intersection}$$



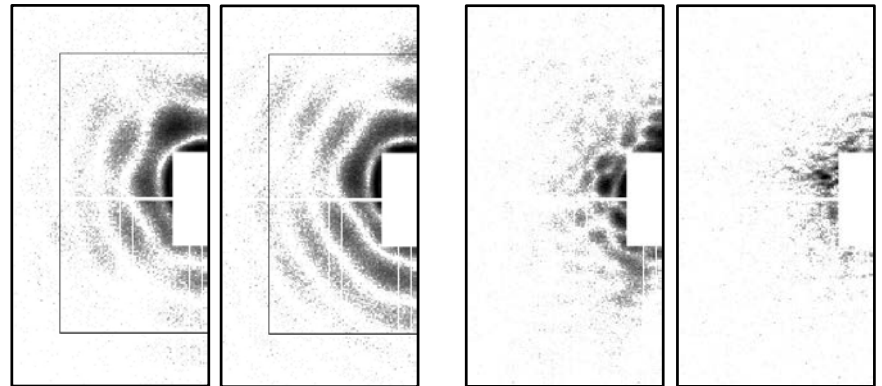
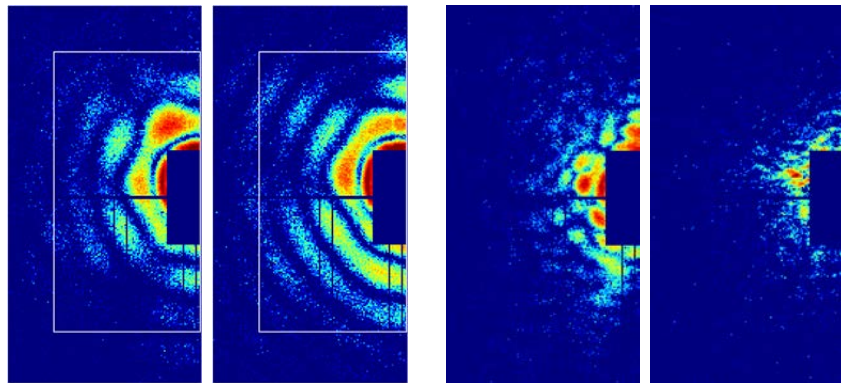
Data representation (SPI @ LCLS 2018)

Color (3 RGB layers, “jet” color scheme)

Grayscale (3 identical layers)



Linear scale



Logarithmic scale

Positive

Negative

Positive

Negative

ROI
123x240 detector px

$$I(q) \sim q^{-3} \div q^{-4}$$

Training, validation and test sets

Training set

165 positive examples (single hits)

390 negative examples

Validation set

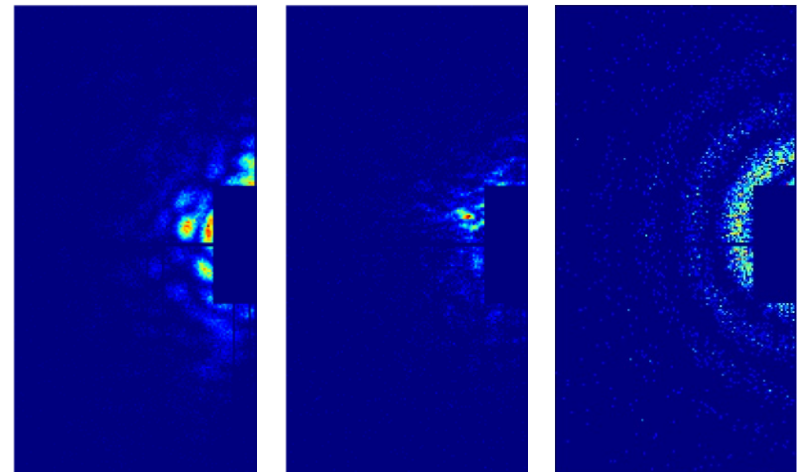
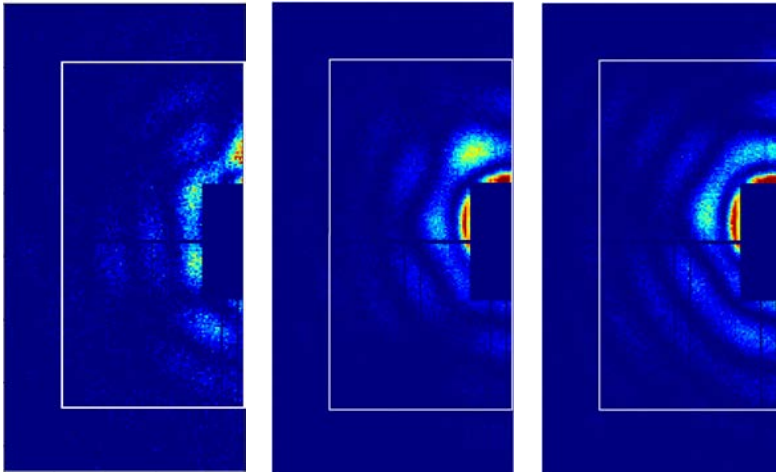
53 positive examples (single hits)

283 negative examples

Test set (55-84 nm)

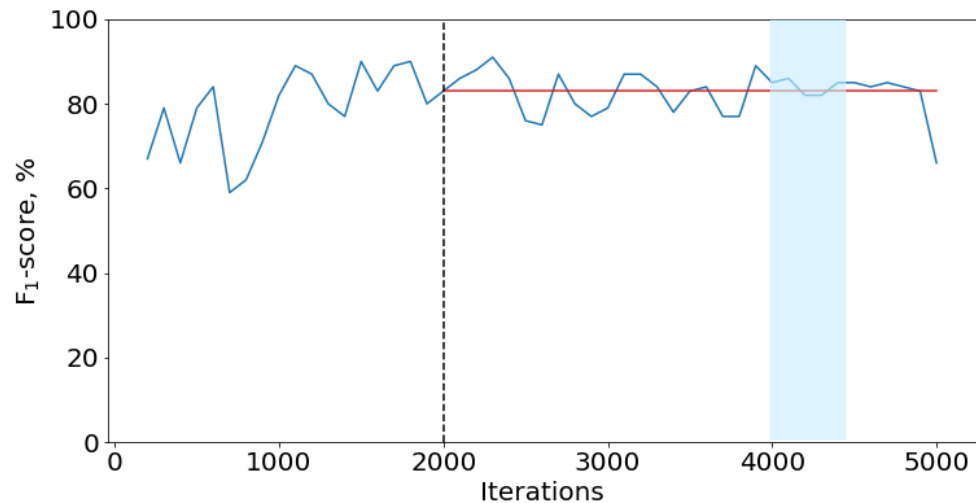
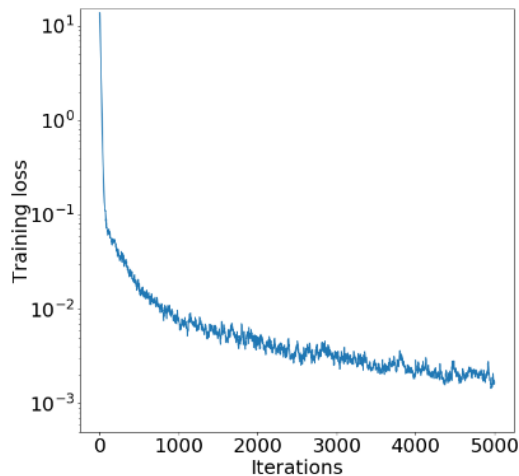
995 positive examples (single hits)

16 937 negative examples



Training, validation and test

Observe training loss every iteration and save model every 100 iterations



Validation procedure to find an optimal training stage (not to optimize the hyper-parameters, *i.e.* size and number of filters, learning rate, batch size etc)

Calculate F₁-score on validation data set (every 100 iterations) to control overfitting

Intersection selection (4000, 4100, 4200, 4300 and 4400 iterations) for test

Results

Intersection selection

| Model | Number of selected single hits | Intersection with manual selection | IoU for manual selection, % | Accuracy, % | Precision, % | Recall, % |
|----------------------------------|---------------------------------------|---|------------------------------------|--------------------|---------------------|------------------|
| YOLOv2, color, linear | 1185 | 597 | 38 | 95 | 50 | 60 |
| YOLOv2, color, log | 1368 | 614 | 35 | 94 | 45 | 62 |
| YOLOv2, grayscale, linear | 1756 | 622 | 29 | 92 | 35 | 63 |
| YOLOv2, grayscale, log | 904 | 487 | 34 | 95 | 54 | 50 |
| YOLOv3, color, linear | 1041 | 505 | 33 | 94 | 49 | 51 |
| YOLOv3, grayscale, linear | 2316 | 465 | 16 | 87 | 20 | 47 |

Results

Comparison with a reference method

| Model | Number of selected single hits | Intersection with manual selection | IoU for manual selection, % | Accuracy, % | Precision, % | Recall, % |
|-----------------------|--------------------------------|------------------------------------|-----------------------------|-------------|--------------|-----------|
| YOLOv2, color, linear | 1185 | 597 | 38 | 95 | 50 | 60 |
| EM based | 1085 | 574 | 34 | 94 | 53 | 48 |

Ground truth: manual selection

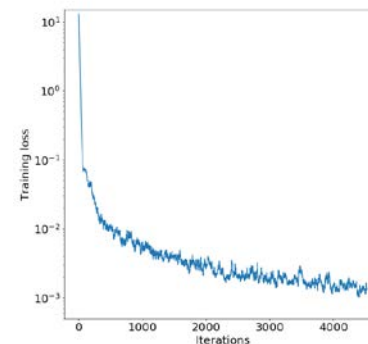
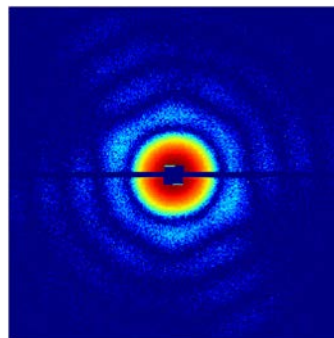
A. Ignatenko et al. (2020) "Classification of diffraction patterns in single particle imaging experiments performed at X-ray free-electron lasers using a convolutional neural network", *Machine Learning: Science and Technology* (accepted). Preprint available <http://arxiv.org/abs/2008.07288>

Results (SPI @LCLS 2015)

Training

165 positive examples

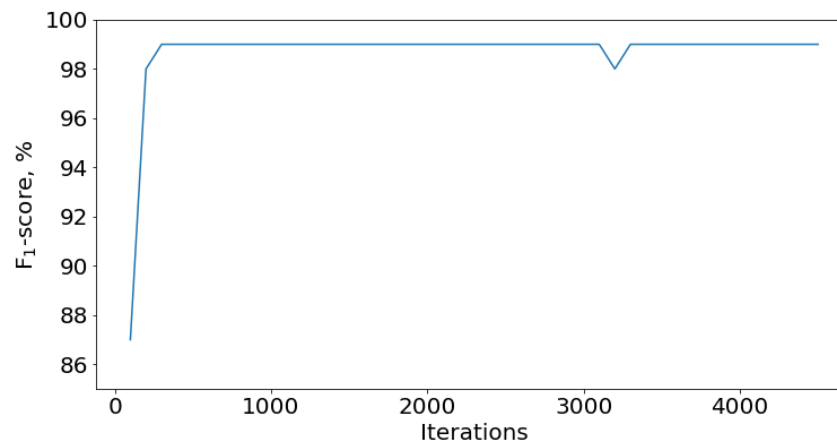
390 negative examples



Validation

80 positive examples

180 negative examples



Test

~44k patterns

| | Accuracy, % | Precision, % | Recall, % |
|----------------|-------------|--------------|-----------|
| Iteration 2000 | 83 | 53 | 99 |

Ground truth – PCA selection

Conclusions & Outlook

- Classification of single hits with CNNs is promising
- Moderate depth of the CNN is enough for the task
- Difficulties: large variation in experimental data, uncertainty in ground truth

- Understand the nature of variation in experimental data
- Learn to construct a more reliable ground truth
- Generalize the classification model for different particles

Thank you for your attention!

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