

# Searches for electroweak SUSY production in leptonic and hadronic final states with the CMS experiment

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26-07-2021



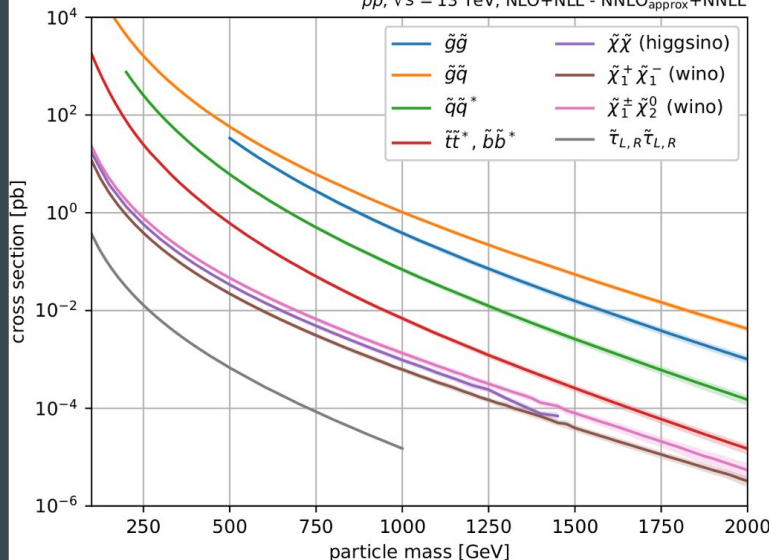
**ULB**

# Overview

CMS explores a wide range of SUSY models

## STRONG PRODUCTION

$pp, \sqrt{s} = 13 \text{ TeV}$ , NLO+NLL - NNLO<sub>approx</sub>+NNLL



- Large cross sections
- Absence of observed signal might indicate:
  - Mass of colored particles too high
  - Mass spectrum too compressed

## ELECTROWEAK PRODUCTION

Latest results

stau production

Talk by Giulia Collura

Gauge Mediated SUSY breaking

slepton production

Chargino/neutralino/higgsino production

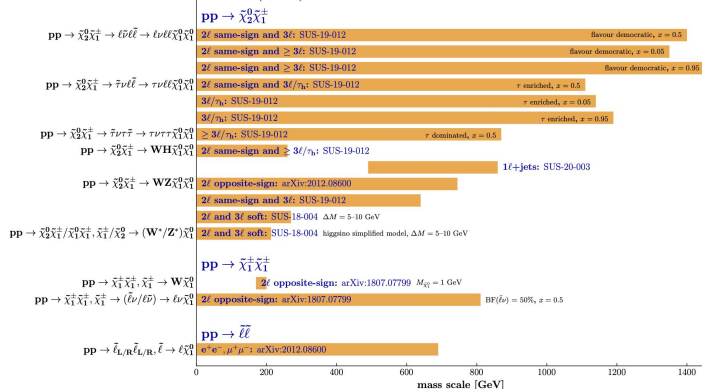
- Smaller cross sections than strong production
- Electroweak production models less constrained

CMS (preliminary)

Moriond 2021

Overview of SUSY results: electroweak production

137 fb<sup>-1</sup> (13 TeV)



All SUSY papers

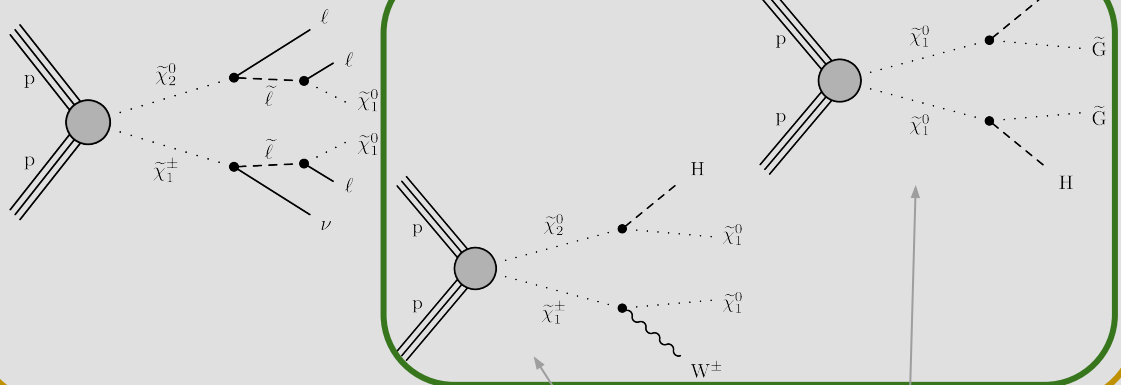
All SUSY PAS

### Latest Full Run II results from CMS

Gauge Mediated  
SUSY breaking

Chargino/neutralino/  
higgsino production

than strong production  
in models less constrained



Leptonic final state searches:

[arXiv:2106.14246](https://arxiv.org/abs/2106.14246)  
Submitted to JHEP

Hadronic final state searches:

[SUS-20-003](#) (PAS)

[SUS-20-004](#)

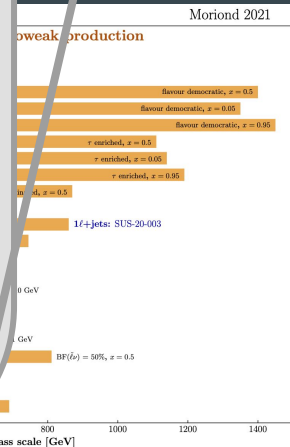
NEW

Chargino  $\tilde{\chi}_i^\pm$  and neutralino  $\tilde{\chi}_i^0$  :

Mixed states of Higgsinos and Electroweak gauginos

$$m(\tilde{\chi}_4^0) > m(\tilde{\chi}_3^0) > m(\tilde{\chi}_2^0) > m(\tilde{\chi}_1^0)$$

$$m(\tilde{\chi}_2^\pm) > m(\tilde{\chi}_1^\pm)$$



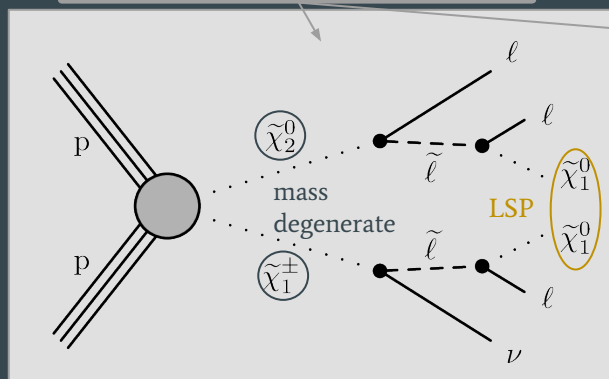
[All SUSY  
papers](#)

[All SUSY PAS](#)

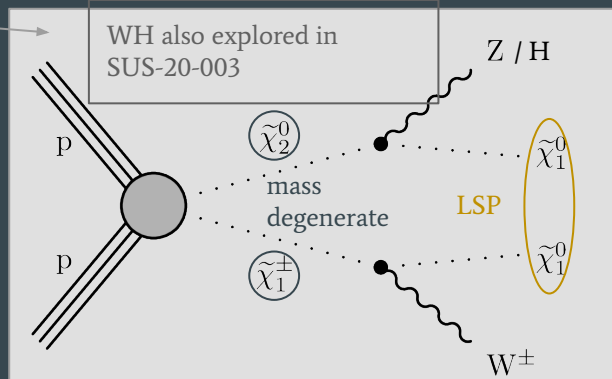
# Multilepton search: signal models

- Search for production of **neutralinos** and **charginos**
- **R-Parity** conserved
- Simplified SUSY models
- Targets fully **leptonic** final states + **missing energy**
- <https://arxiv.org/abs/2106.14246> “SUS-19-012”

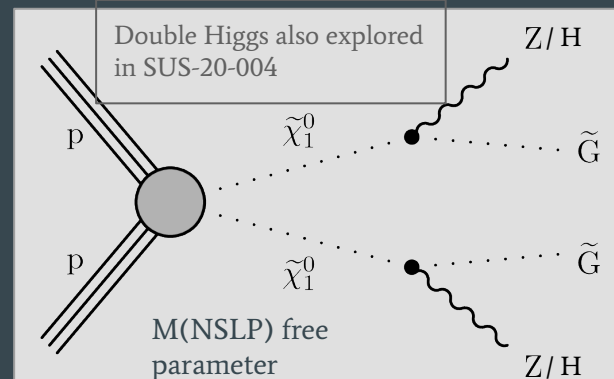
M(LSP) and M(chargino)  
free parameters



Slepton-mediated decay



WZ/WH-mediated decay



Gauge Mediated SUSY breaking

- Sleptons too heavy
- **Leptonic** SM **boson** decay

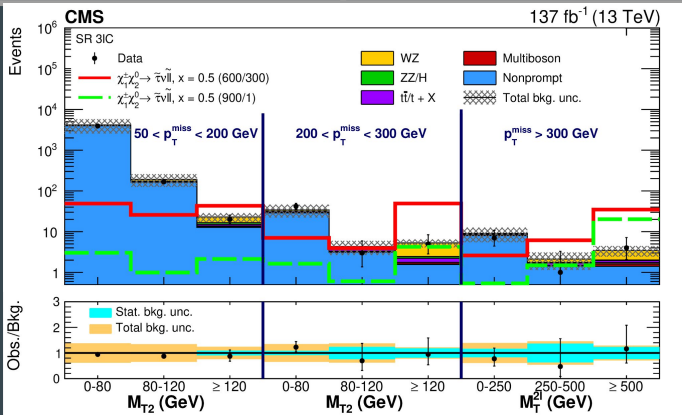
- Gravitino LSP
- **Leptonic** SM **boson** decay



# Strategy and selection of multilepton search

Search categories defined according to final states

Final State	Sensitive models
2 SS leptons	"compressed" scenarios Small $\delta m$ between SUSY particles
3 light leptons, no OSSF	nonresonant lepton production from H decay
3 light leptons, OSSF	flavor democratic
3 leptons including tau	tau enriched tau dominated
4 leptons	Gauge mediated SUSY breaking

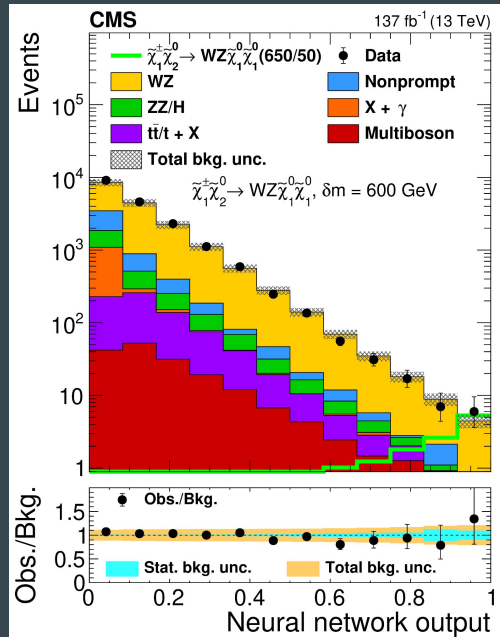


3 light leptons, OSSF

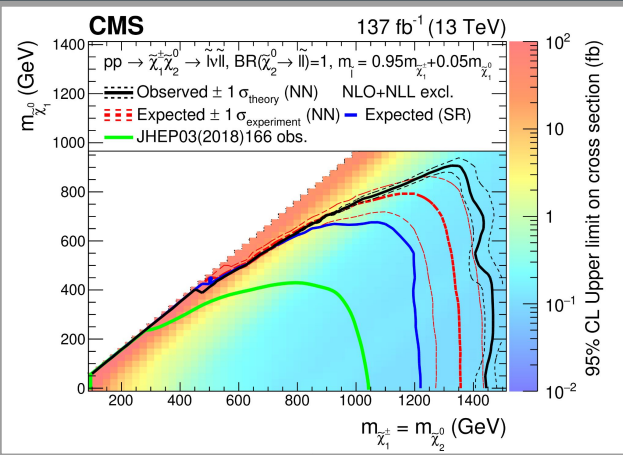
- Highly sensitive to flavor democratic
- Large background from SM

## Parametric NN

- Trained for:
  - Slepton mediated
  - WZ-mediated
- Parameter:  $\delta m = M(\text{chargino}) - M(\text{LSP})$
- $\delta m$  driving factor for kinematics

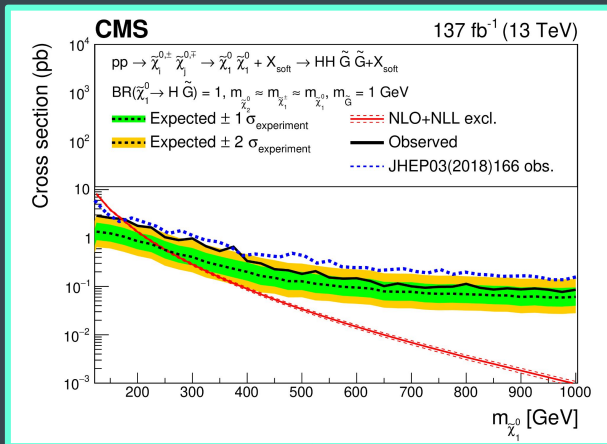
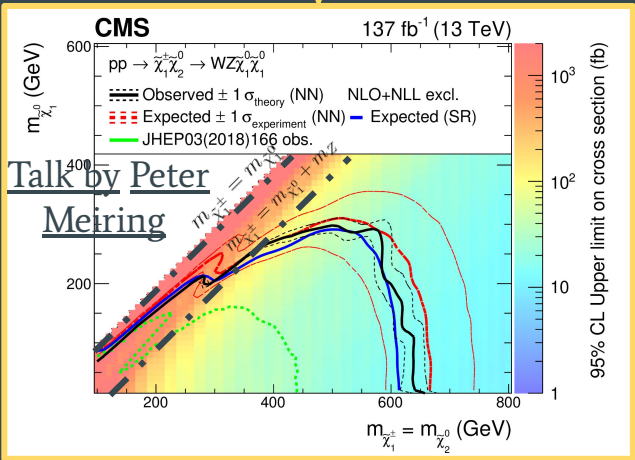


# Interpretation of multilepton search results



No significant excess observed

WZ-mediated:  
WZ corridor has been closed



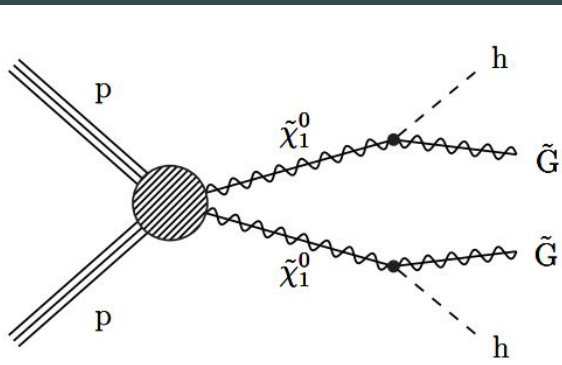
GMSB HH-mediated

“Flavor democratic”  
slepton mediated

Major improvements from  
full Run II and NN

# SUS-20-004: Search for higgsinos in two Higgs bosons and missing transverse energy

NEW



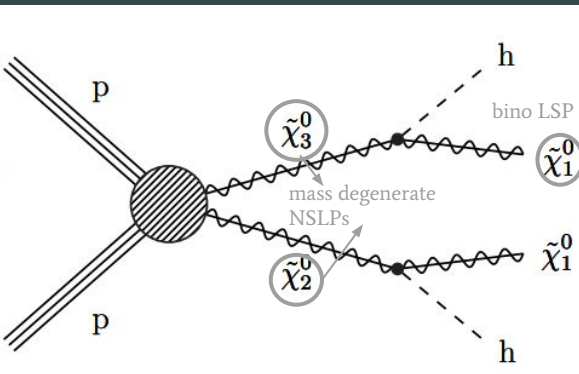
- Using  $H \rightarrow b\bar{b}$  ( $\text{BR} \approx 60\%$ )
- **R-parity conserved**  $\rightarrow$  Missing energy from LSP
- Final state: multiple (b-tagged) jets + missing energy

## Gauge mediated SUSY breaking:

- Boost to cross section from different production channels
- Parameter of interest: NLSP mass

## Simplified SUSY model:

- Parameters of interest: LSP and NLSP masses



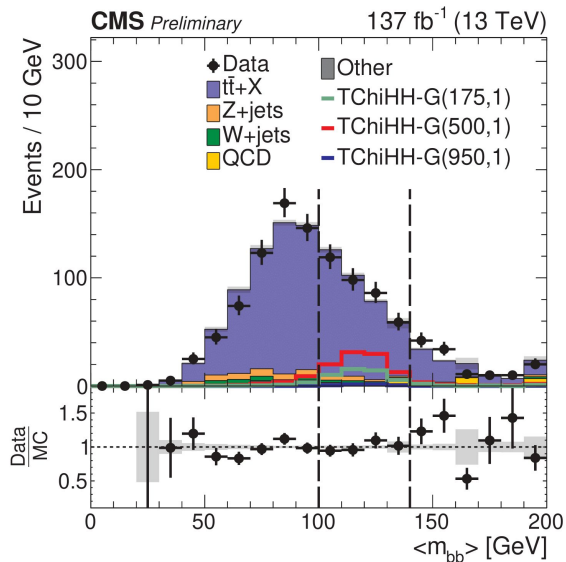
Strong production models in [backup](#)

# Strategy of HH+MET search

To be sensitive to large range of sparticle mass:  
2 approaches for **H reconstruction**

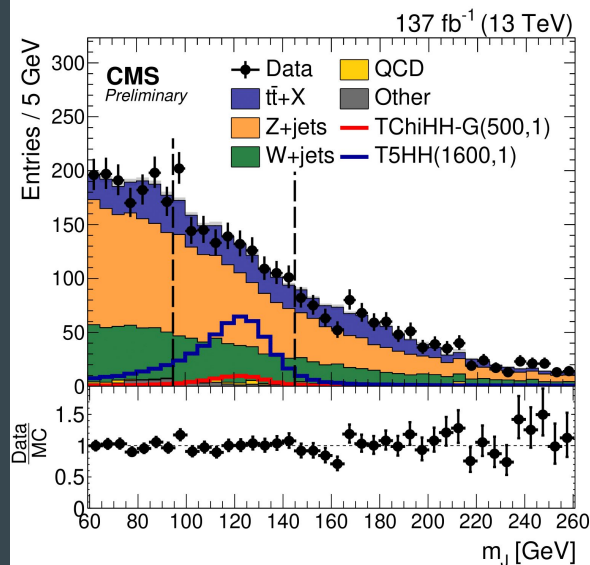
## Resolved scenario

$H \rightarrow b\bar{b}$  reconstructed as two separate jets

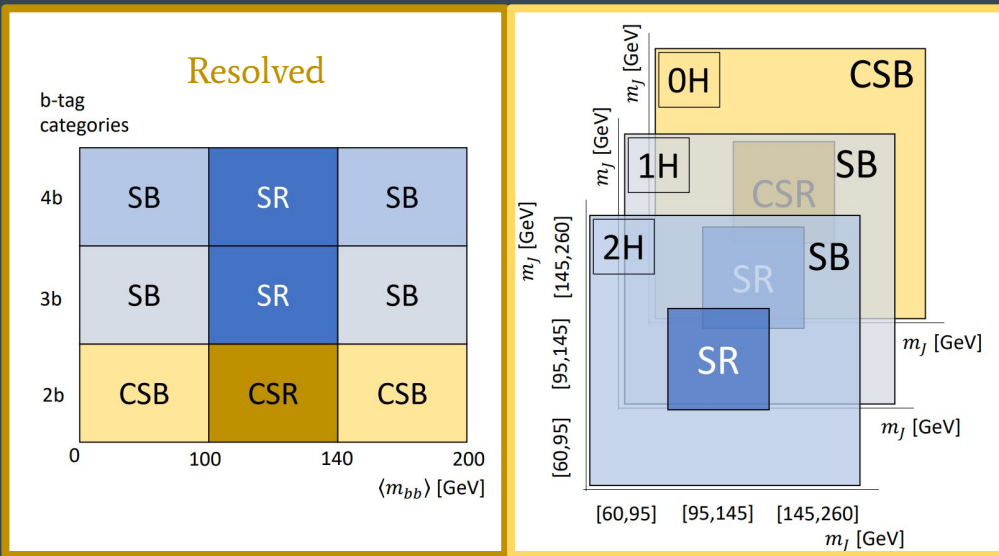


## Boosted scenario

$H \rightarrow b\bar{b}$  reconstructed as single wide jet



## HH+MET Background estimation



Resolved scenario:

- Mass of Higgs boson candidates and number of b-tagged jets as discriminating variables

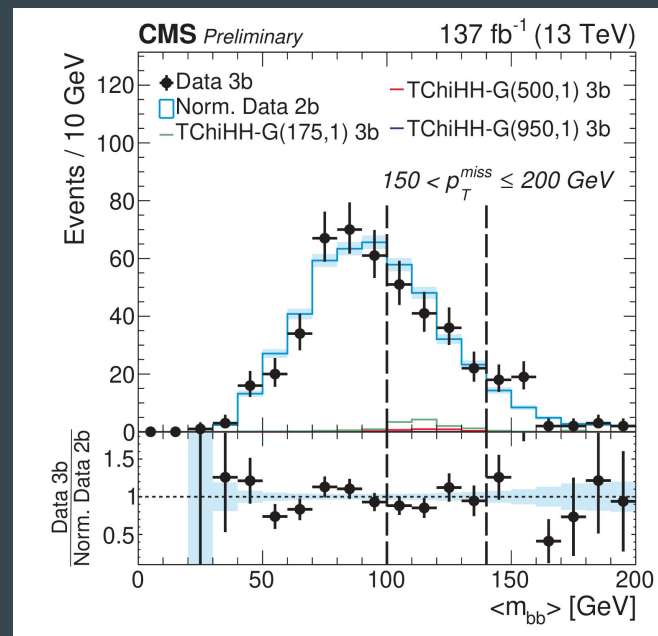
## Boosted scenario:

- Number of double b-tagged wide jets and mass of wide jets as discriminating variables

## ABCD method to estimate SM background

$$N_{\text{SR}} = \kappa \frac{N_{\text{CSR}}}{N_{\text{CSB}}} N_{\text{SB}},$$

with  $\kappa$  a correction factor for correlations  
determined in MC



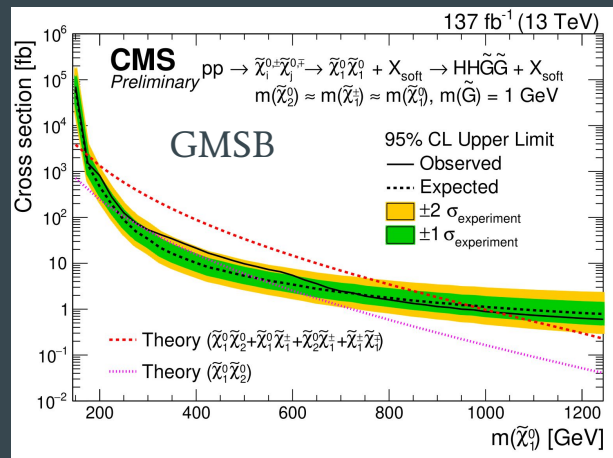
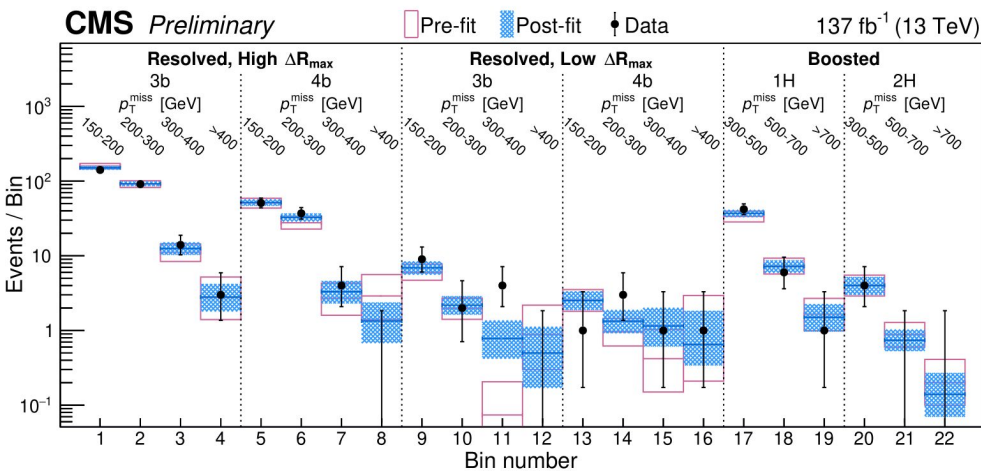
# Results and interpretation of HH+MET search

Maximum likelihood fit to data according to the **CLs method**

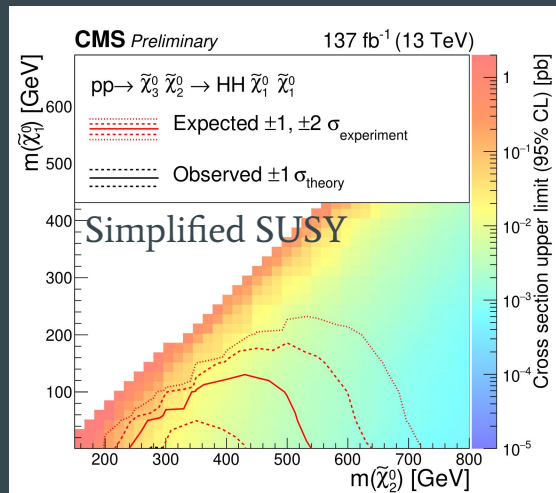
Boosted scenario **cleaned of overlap** with resolved

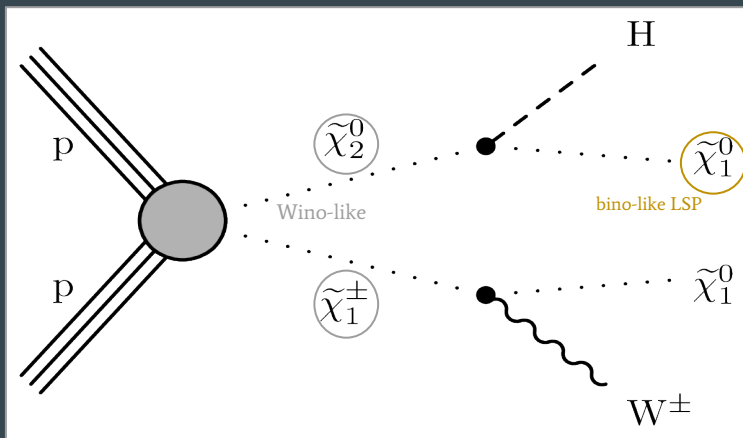
**No compelling excess of events observed:**

- Single bin not within stat. unc.
- Prediction based on 2 events
- Global significance: **1.9 sd**



multilepton  
exclusion up  
to **200 GeV**

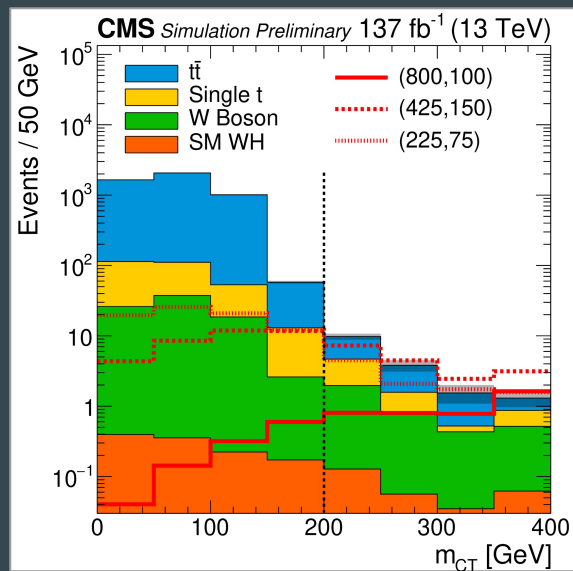




### Backgrounds:

- Main contributions: **top quark** production and **W boson** production
- Estimated from data using **transfer factors** from CR to SR
- Transfer factors from background enriched CR in MC
- Validated in data

- **leptonic W decay**  
→ single lepton
- **H → bb (BR ~ 60%)**  
→ 2 b-tagged jets or single wide jet with H-tag from DNN



# Results and interpretation of single lepton search

Binned maximum likelihood for SUSY signal strength, yield of backgrounds and nuisance parameters performed:

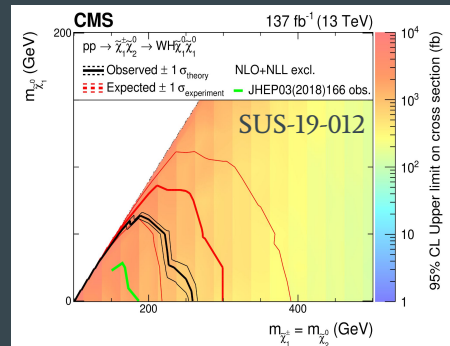
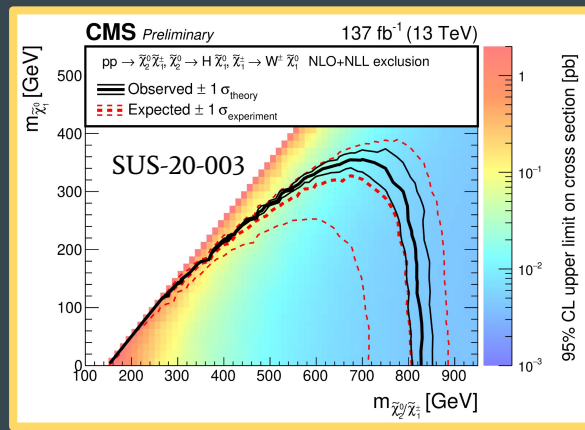
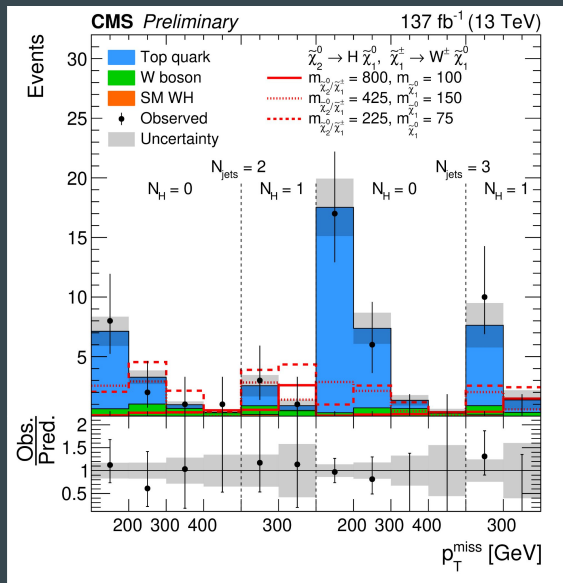
**No excesses observed**

Analysis excludes:

- Charginos up to **820 GeV**
- LSP up to **350 GeV**

**Much more stringent exclusion** compared to leptonic final state explored in SUS-19-012

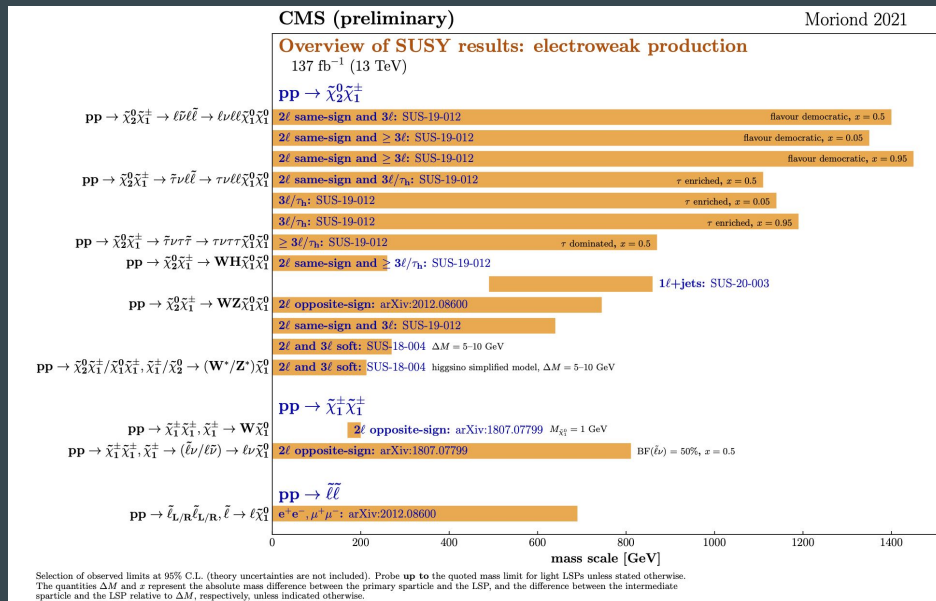
**Improvement** of 350 GeV on chargino masses and 250 GeV on neutralino masses compared to **previous iteration** of this analysis





# Conclusions

- Three analyses searching for **electroweak production of SUSY** using **full Run II** data presented
- **No significant excesses** observed
- Exclusion limits further expanded
- **Further updates** in electroweak SUSY production **to follow**
- Looking forward to Run III!

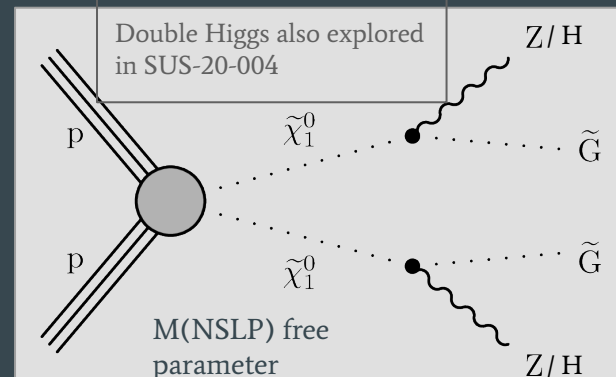
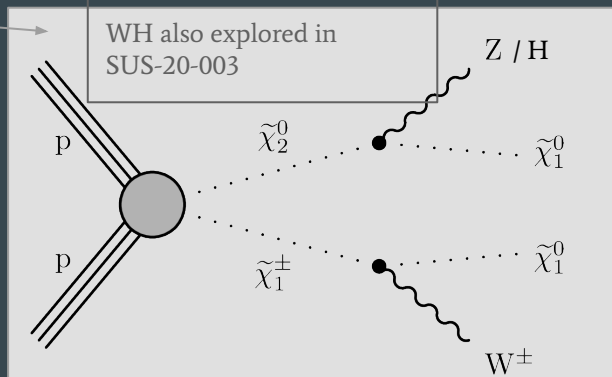
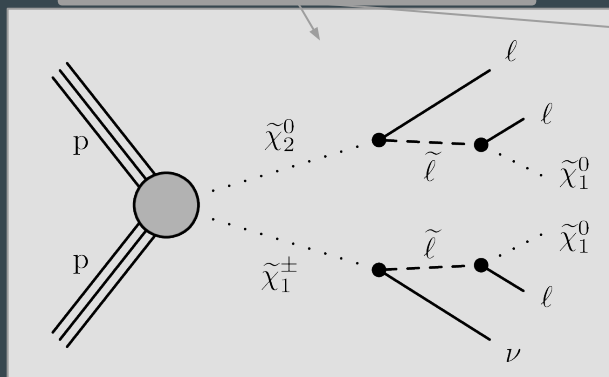


# BACKUP

# SUS-19-012: signal models

M(LSP) and M(chargino)  
free parameters

- Search for production of **neutralinos** and **charginos**
- **R-Parity** conserved
- Simplified SUSY models
- Targets fully **leptonic** final states + **missing energy**
- <https://arxiv.org/abs/2106.14246>



- **Different flavor scenarios** depending on composition of chargino/neutralino:
  - **“Flavor democratic”**: Equal probability for all lepton flavors
  - **Tau enriched**: Chargino decay favors taus
  - **Tau dominated**: Exclusive decay to taus

- Sleptons too heavy
- Forced decay to SM bosons and LSP
- **Leptonic SM boson** decay
- BR ~3%: Much lower than slepton mediated decay

- **Gauge Mediated SUSY breaking**
- Higgsino-like chargino/neutralino
- ~ massless gravitino
- ~ mass degenerate charginos/neutralinos
- **Effective NLSP** production

# SUS-19-012: Backgrounds

Estimated from simulation and validated in control regions in data:

- WZ: Additional validation of MT distribution for effects from:
  - mispairing of leptons
  - MET resolution
- ZZ
- tX/ttX
- Triboson
- Internal/external conversion

4 Types of SM backgrounds.

- SM events with 3 or more prompt leptons or SS dilepton
- External and internal conversions of photons
- Nonprompt backgrounds
- Charge mismeasurement

Estimated using data-driven “tight-to-loose” method:

- Main contributions:
  - ttbar
  - DY
- Light lepton ratio measured in single lepton QCD-enriched events
- Tau ratio measured separately for tt and DY control regions
- Background estimation from applying ratio to “sideband” of SR where one or more leptons fails tight selection
- Tau: ttbar or DY ratio applied depending on major contribution in the SR

Electron sign misid probability from simulated DY, ttbar and diboson production

- Validated and normalized in DY control region

Muon sign misid probability found negligible in MC  
→ Estimated from MC

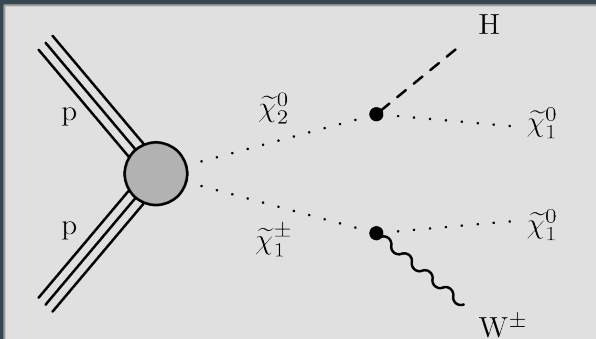
# SUS-19-012: Strategy and selection

Search categories defined according to:

- Number of leptons
- Flavor content
- OSSF pairs

3 light leptons, no OSSF

- Sensitive to **nonresonant lepton production** from H decay
- Targets  $H \rightarrow WW$  (BR  $\sim 20\%$ )
- SRs binned in  $\min(\Delta R(l, l))$



3 light leptons, OSSF

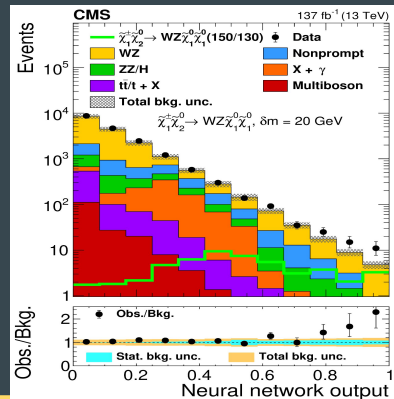
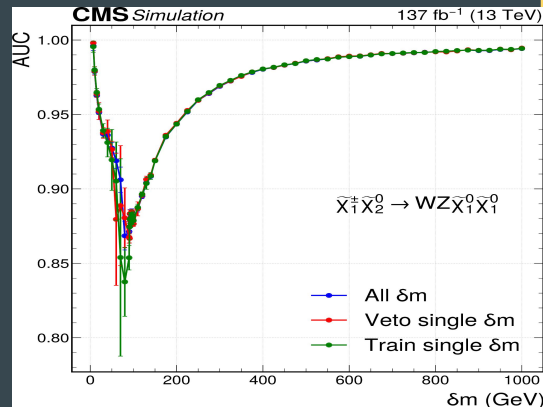
- Highly sensitive to **flavor democratic**
- Large background from SM
- 2 strategies:
  - A set of SR
  - **Parametric Neural network**

## Parametric NN

- Parametric in  $\delta m = M(\text{NLSP}) - M(\text{LSP})$
- Relatively small difference between different mass points but equal  $\delta m$
- Training for slepton mediated (for 3 different slepton mass points) and WZ decay models

## Set of SRs:

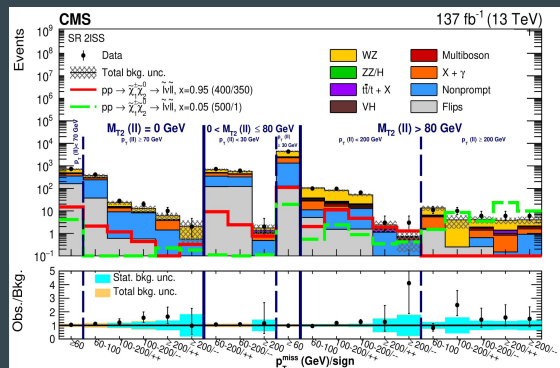
- Binned in MT, missing transverse energy, HT and  $M(l, l)$



# SUS-19-012: Strategy and selection

## 2 lepton, same sign

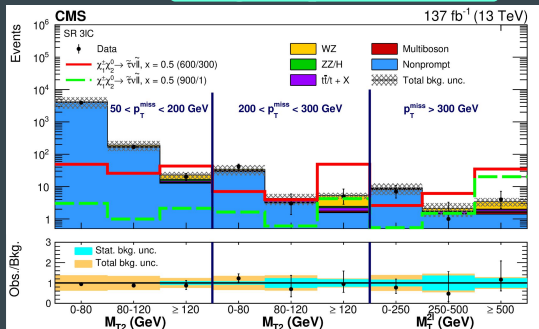
- Sensitive in “**compressed**” scenarios (soft leptons):
  - Small  $\delta m$
  - slepton mass close to NSLP or LSP mass
- SRs binned in  $MT_2$ ,  $p_T(l, l)$ , missing transverse energy



## 3 leptons, $\geq 1 \tau$

- Sensitive to **right-handed sleptons** and scenarios where other sleptons are **too heavy**
- SR strategy for different final states:
  - OSSF light leptons + tau
  - OSOF light leptons + tau
  - SSOF light leptons + tau
  - 1 light lepton + 2 taus

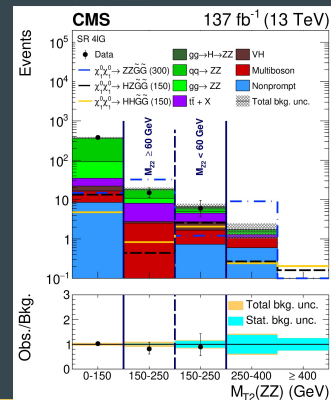
Sensitive to tau enriched scenarios



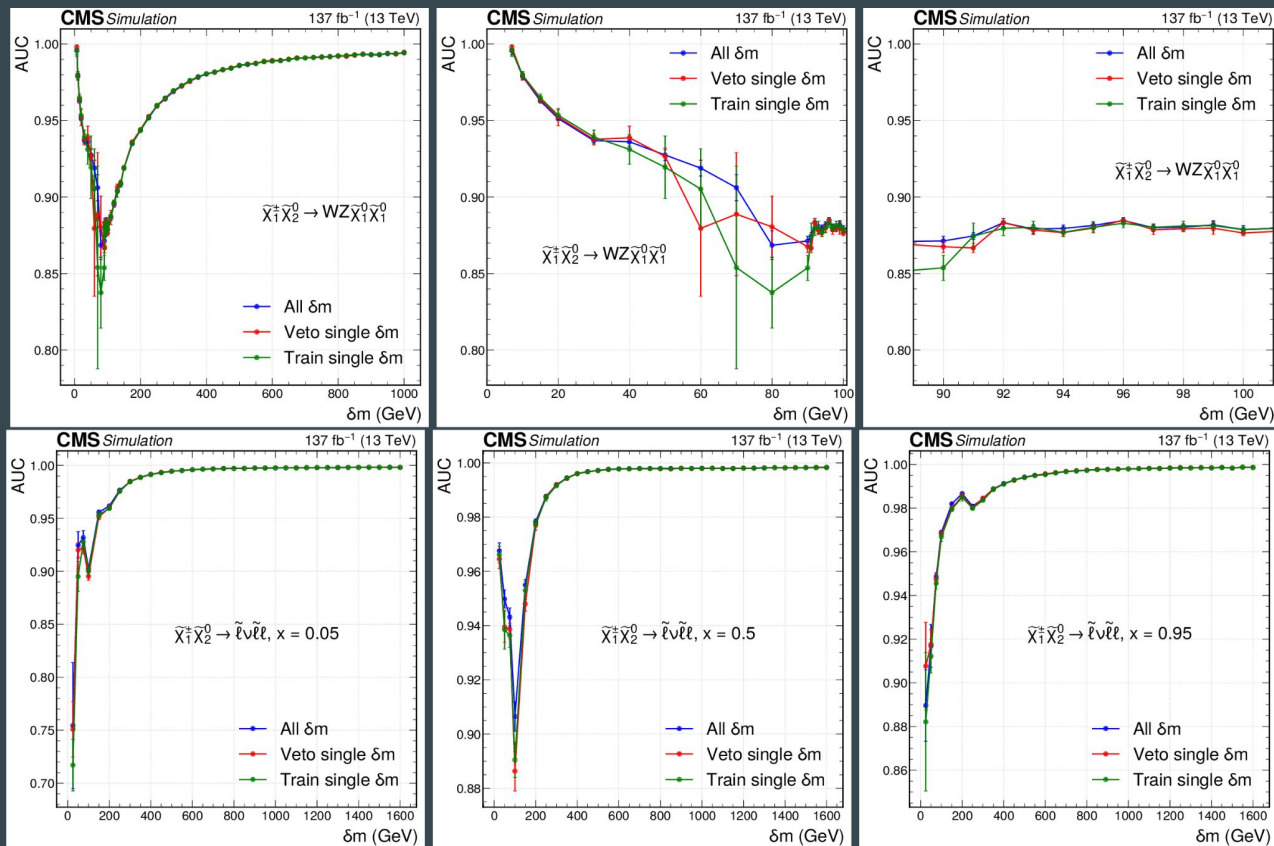
## 4 leptons

- Sensitive to **gauge mediated** models
- SRs for different final states:
  - 4 light leptons, 2 OSSF
  - 4 leptons including taus or without 2

Targets decays with 2 Z bosons



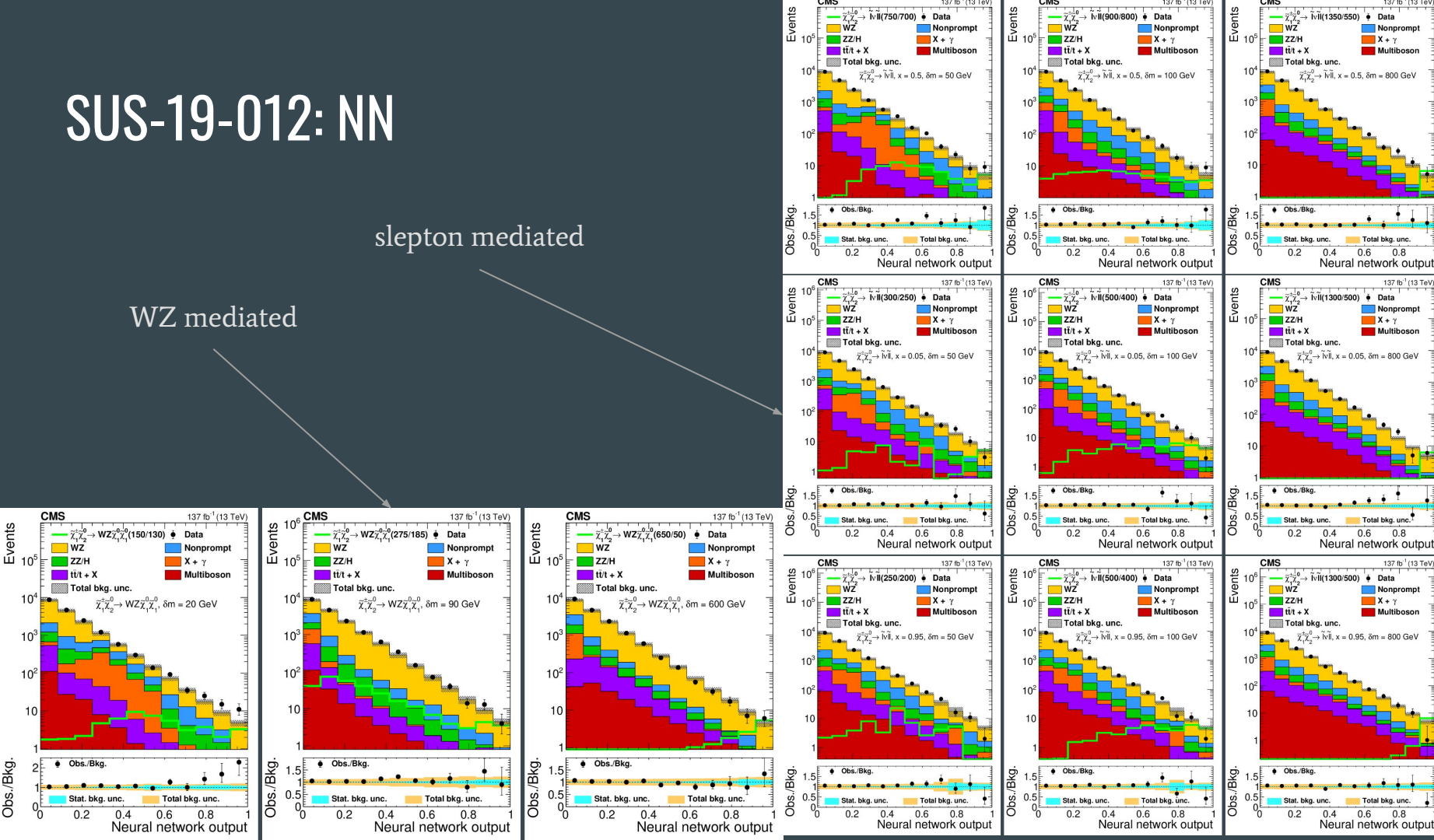
# SUS-19-012: NN



# SUS-19-012: NN

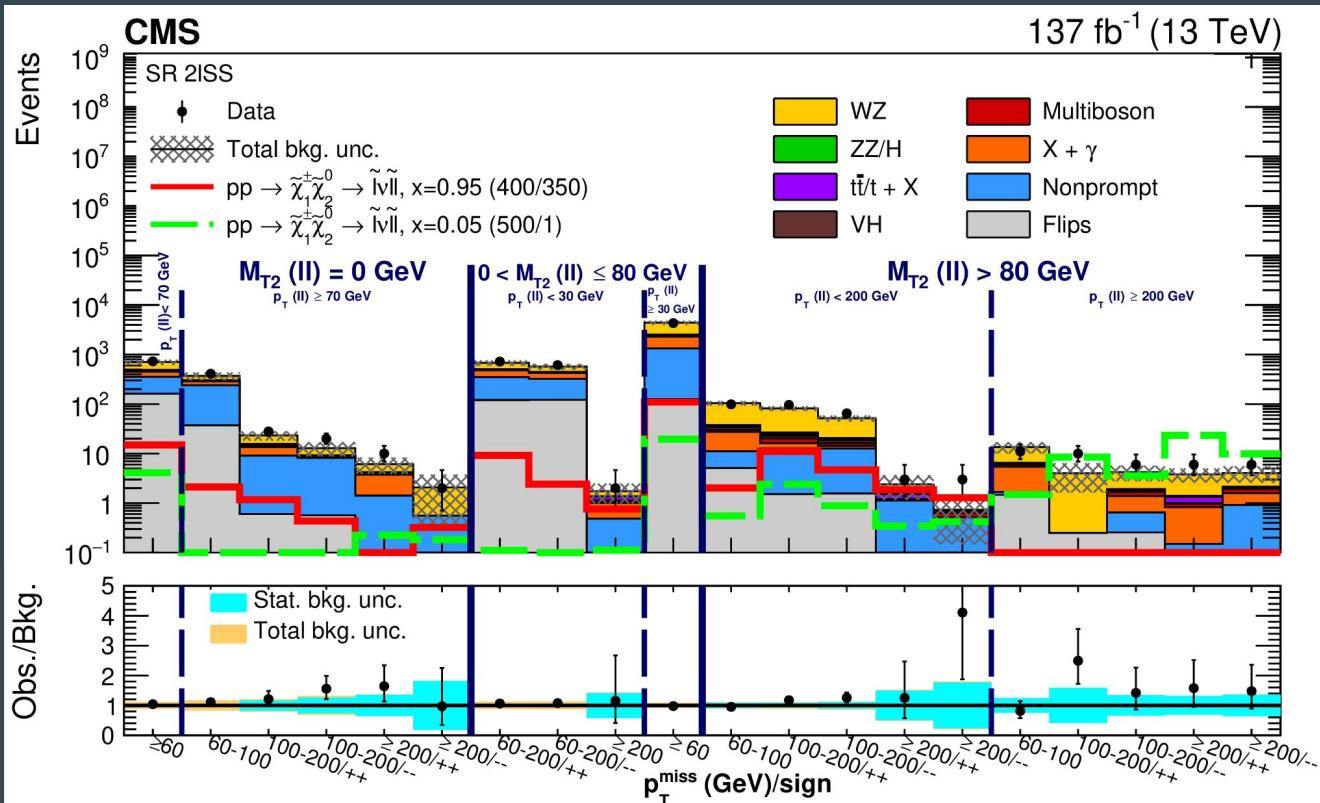
slepton mediated

WZ mediated





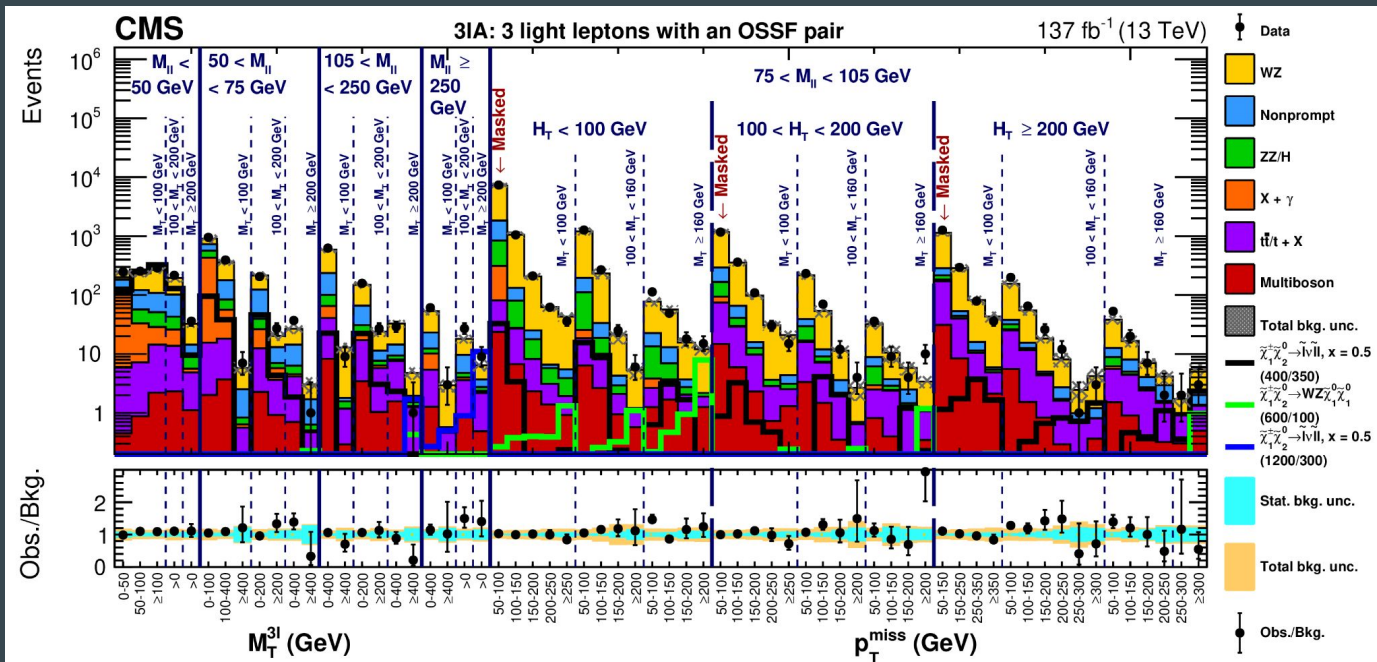
# SUS-19-012: Search Regions



2 same sign light  
leptons

“2ISS”

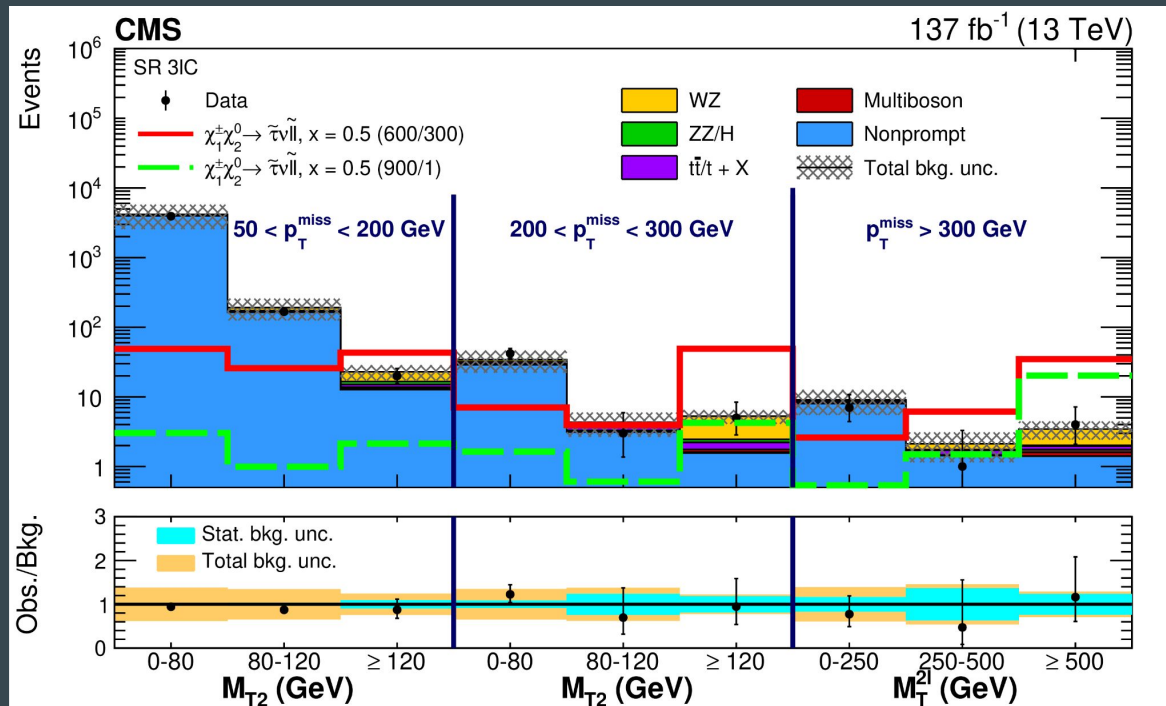
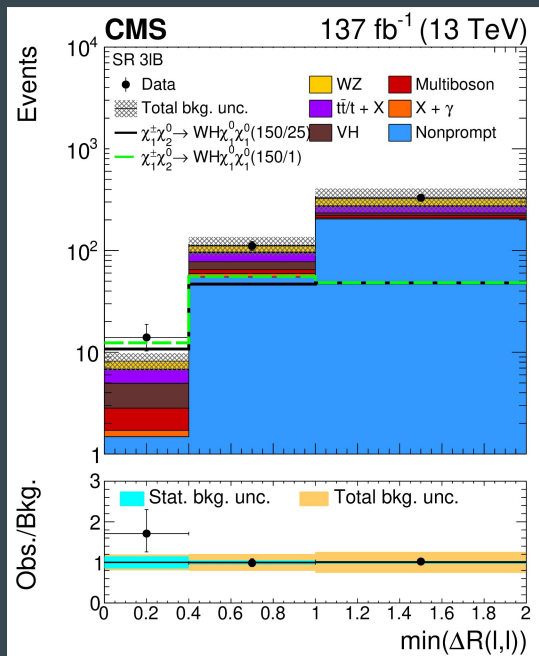
# SUS-19-012: Search Regions



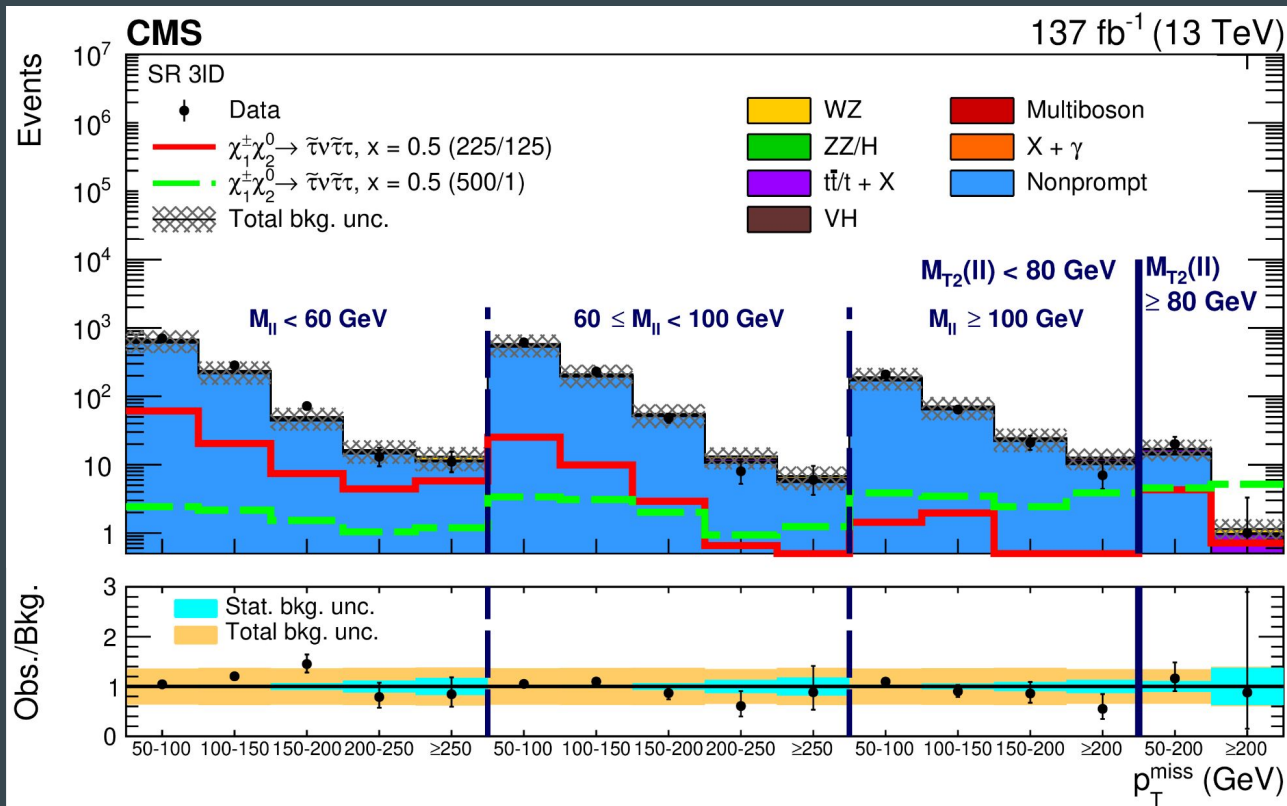
# SUS-19-012: Search Regions

3 light leptons  
No OSSF pair  
“3lB”

3 leptons: 2 OSSF light leptons + 1 tau



# SUS-19-012: Search Regions



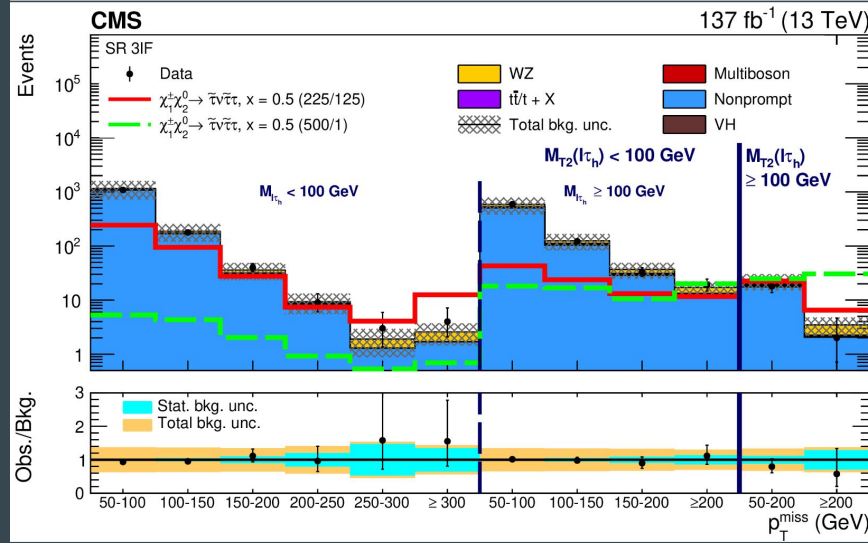
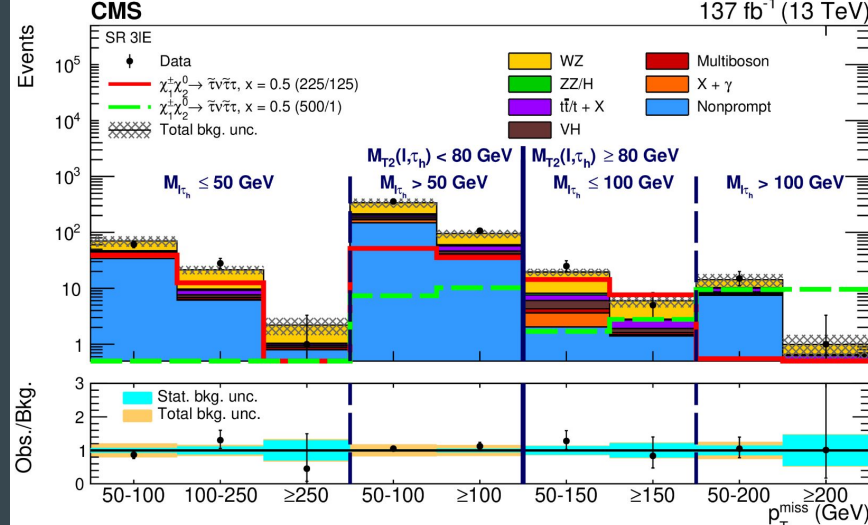
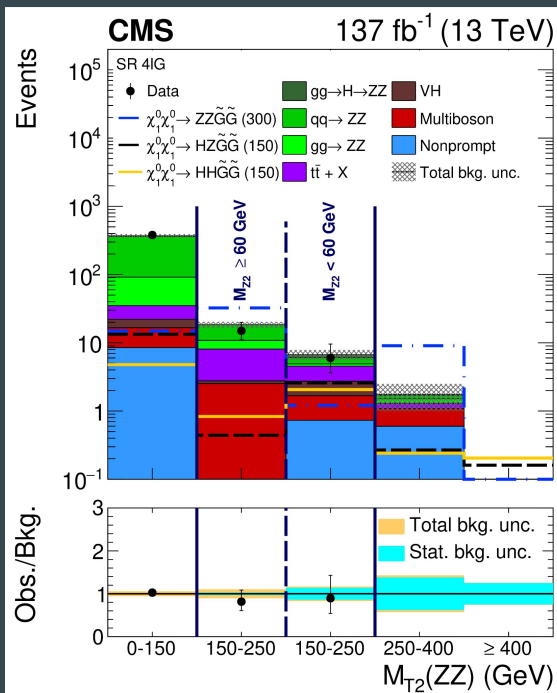
3 leptons:  
2 OSOF light  
leptons +  
1 tau

# SUS-19-012: Search Regions

4 leptons,  
2 OSSF pairs

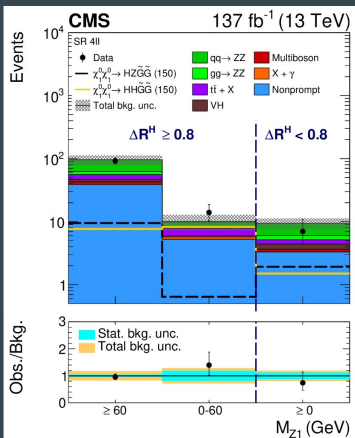
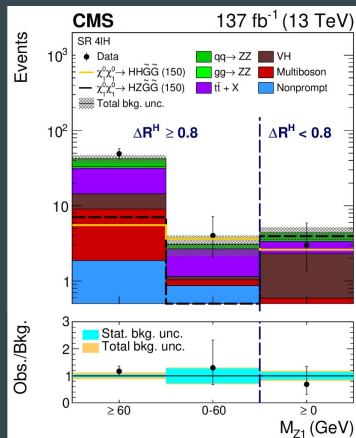
3 leptons:  
2 SS light  
leptons + 1  
tau

3 leptons:  
1 light  
lepton  
2 tau



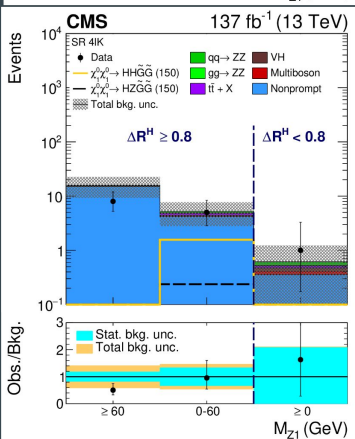
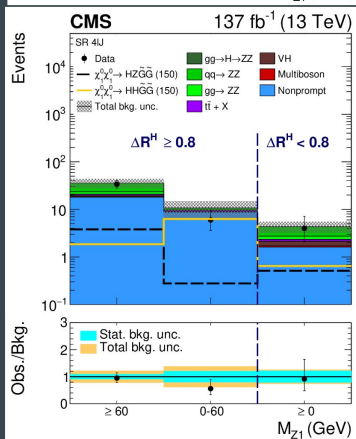
# SUS-19-012: Search Regions

4 light leptons,  
no OSSF pairs



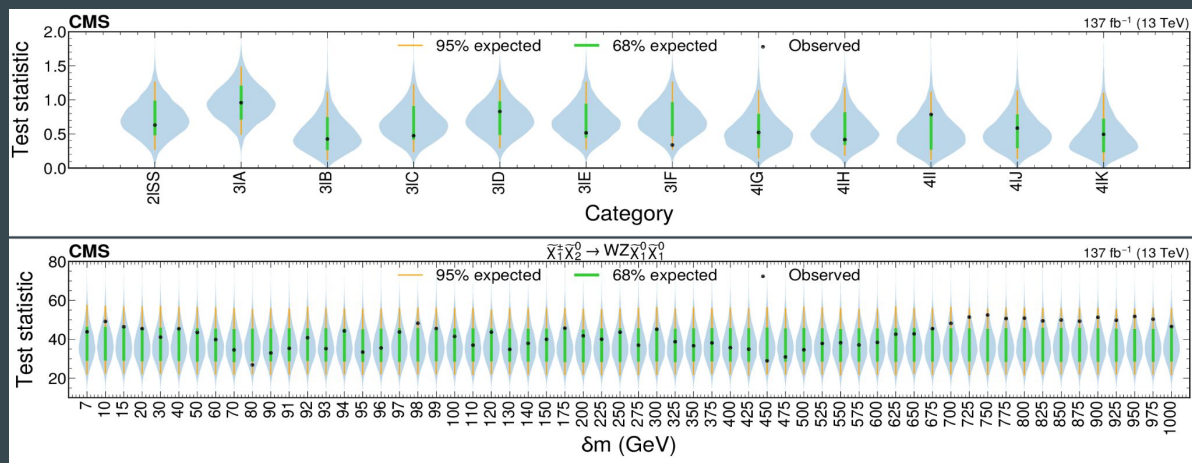
3 light leptons + 1 tau

2 light leptons + 2  
tau  
2 OSSF



2 light leptons +  
2 tau  
1 or less OSSF

# SUS-19-012: Results

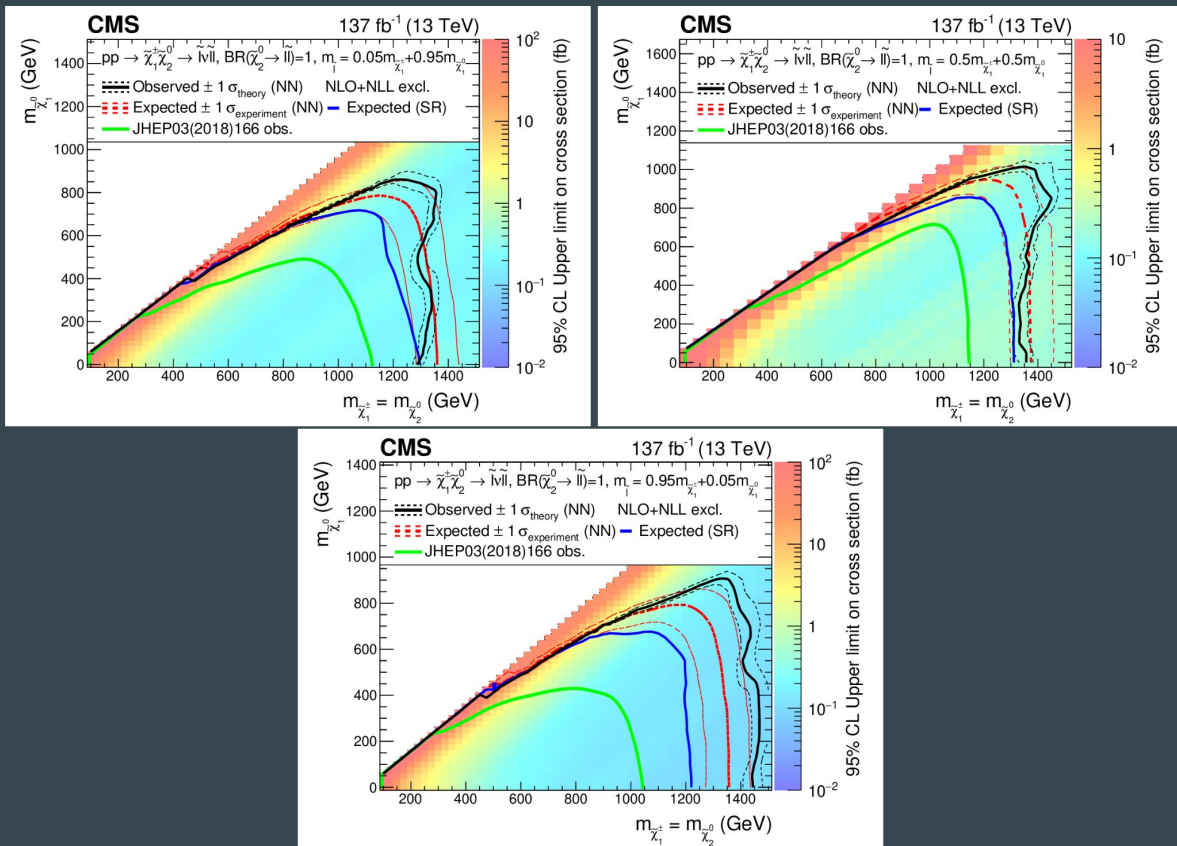


No significant **excess** observed:

- Shaded area:  
Expected test statistic distributions from background-only fit
- Points:  
Observed test statistic



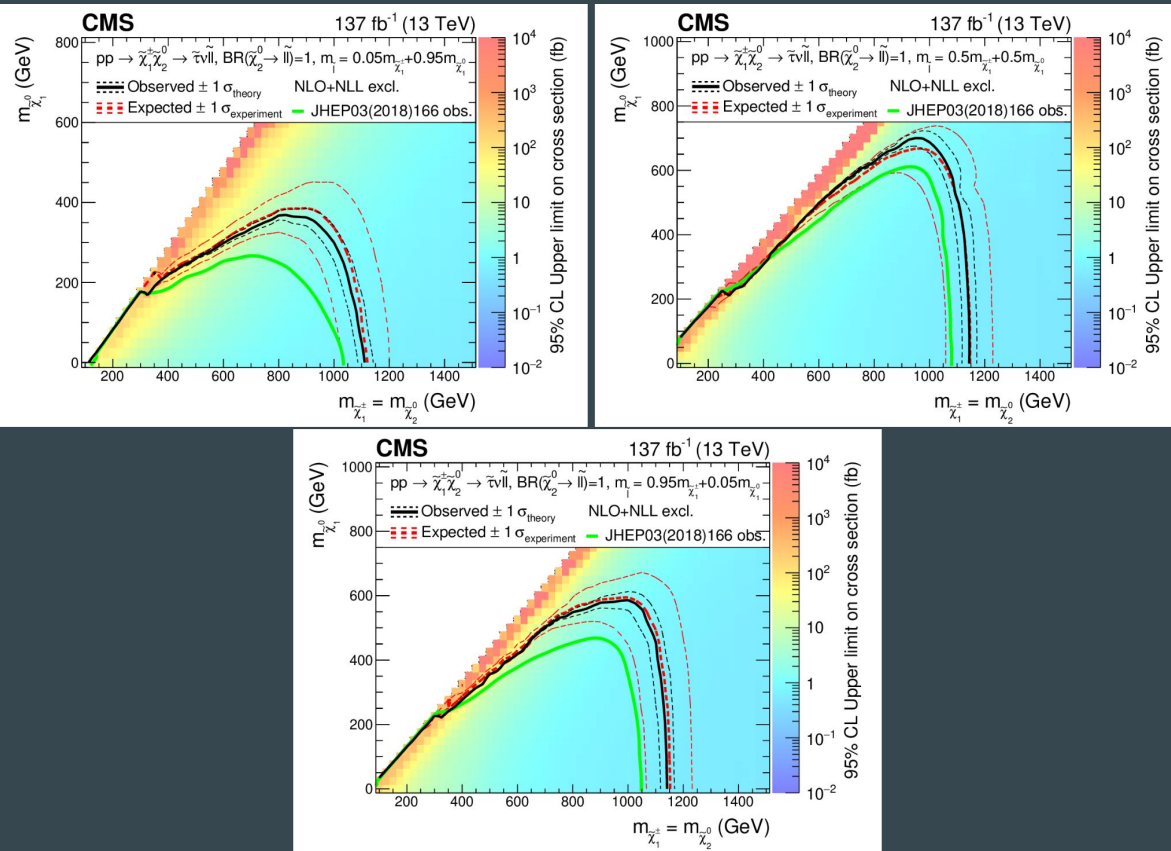
# SUS-19-012: Interpretation



Slepton-mediated  
flavor democratic  
decays

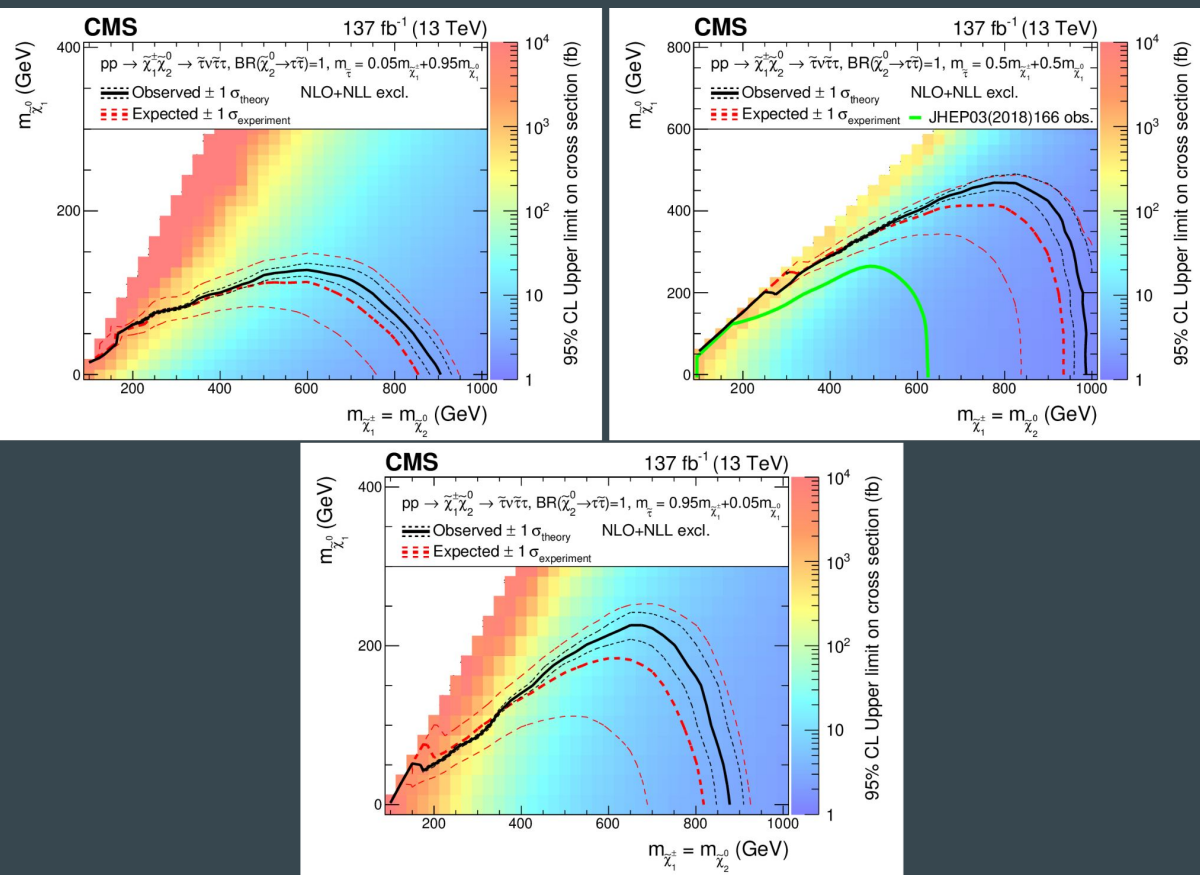


# SUS-19-012: Interpretation



Slepton-mediated  
tau-enriched decays

# SUS-19-012: Interpretation



Slepton-mediated  
tau-dominated  
decays

# SUS-19-012: Interpretation

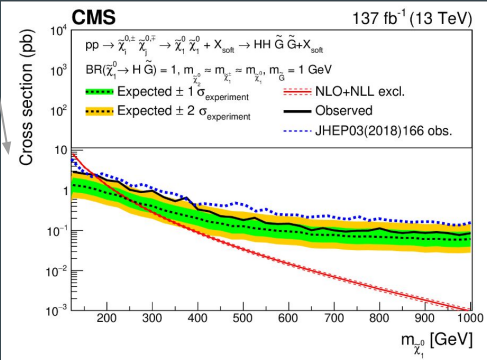
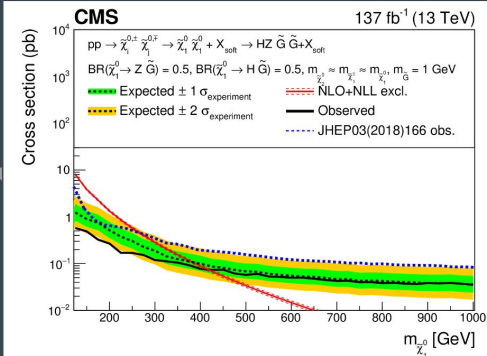
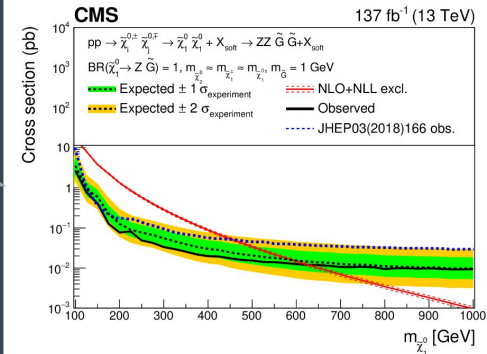
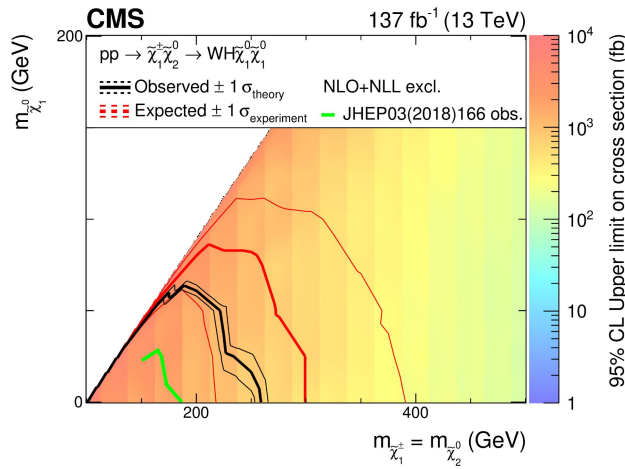
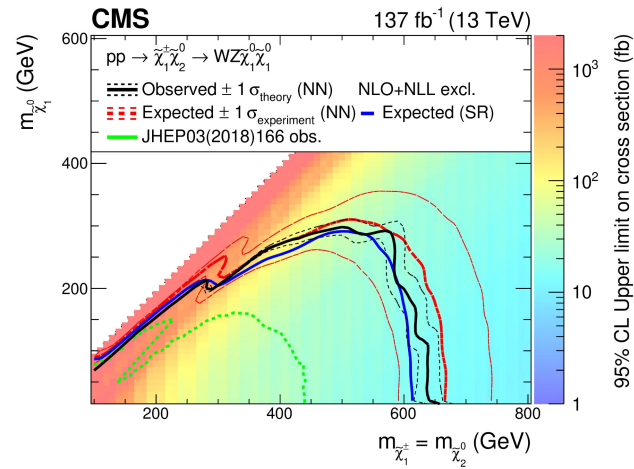
GMSB ZZ decay

GMSB HZ decay

WZ-mediated decay

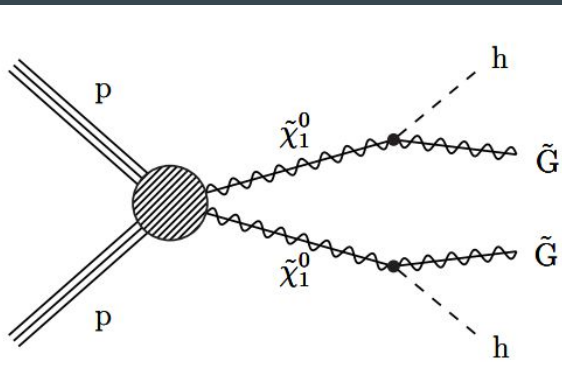
WH-mediated decay

GMSB HH decay



# SUS-20-004: Search for higgsinos in two Higgs bosons and missing transverse energy

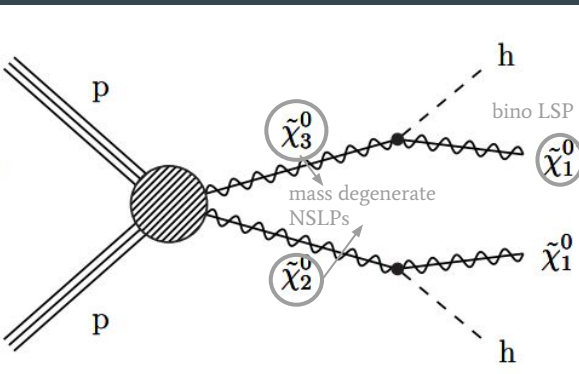
NEW



- Using  $H \rightarrow b\bar{b}$  ( $\text{BR} \approx 60\%$ )
- **R-parity conserved**  $\rightarrow$  Missing energy from LSP
- Final state: multiple (b-tagged) jets + missing energy

## Gauge mediated SUSY breaking:

- Chargino/Neutralino dominated by higgsino content
- **Effective NLSP production**
- Massless goldstino/gravitino
- Parameter of interest: NLSP mass



## Simplified SUSY model:

- More generic model
- **Bino LSP** and **higgsino NLSP** not nearly mass degenerate
- Only regard **specific production**:  $\sim 17\%$  of sum of all cross sections
- Parameters of interest: LSP and NLSP masses

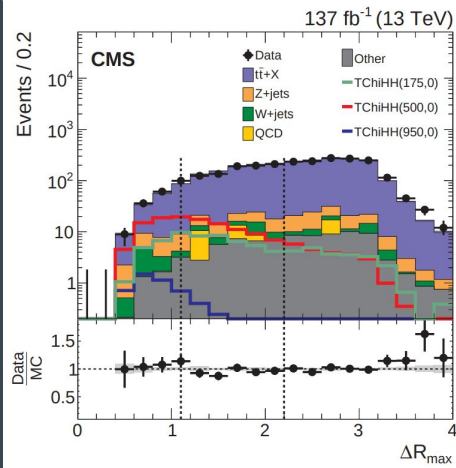
# SUS-20-004: Strategy and selection

To be sensitive to large range of sparticle mass:  
2 approaches for **H reconstruction**

## Resolved scenario

H $\rightarrow$ bb reconstructed as two separate jets

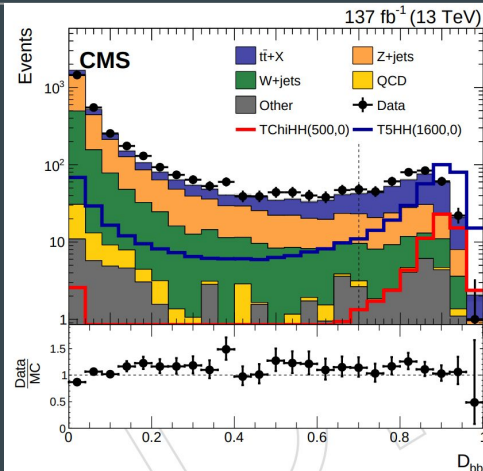
- **4 or 5 regular jets**, subset of which is b-tagged
- Form Higgs boson candidates from pairs with **smallest  $\Delta m(bb)$**  (absolute mass difference between pairs)
- $\Delta m(bb) < 40$  GeV
- $\langle m(bb) \rangle < 200$  GeV
- $\max(\Delta R(bb))$  required to small



## Boosted scenario

H $\rightarrow$ bb reconstructed as single wide jet

- Selection of **wide (AK8) jets** as H candidates
- Requirement on **double b-tagging discriminator**
- Compute  $m_J$  using “soft drop” algorithm
- Requirement of  $m_J$  in large **Higgs mass window**
- No restriction on number of regular jets

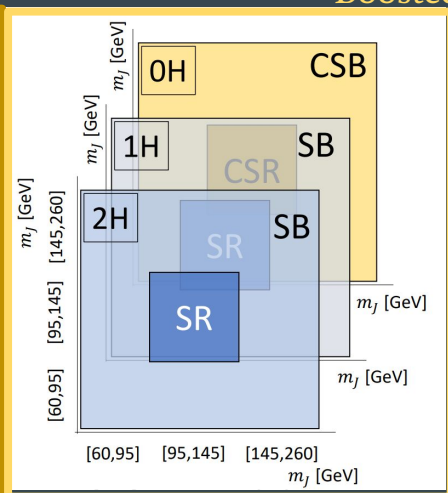
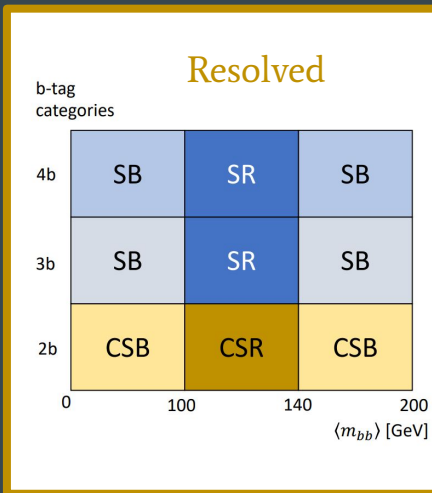


## Baseline selection:

- Large missing energy ( $>150$  GeV and  $> 300$  GeV)
- Veto on leptons or isolated tracks
- Jets not aligned with missing energy

# SUS-20-004: Background estimation

Boosted



ABCD method to estimate SM background

$$N_{SR} = \kappa \frac{N_{CSR}}{N_{CSB}} N_{SB},$$

with  $\kappa$  a correction factor for correlations determined in MC as:

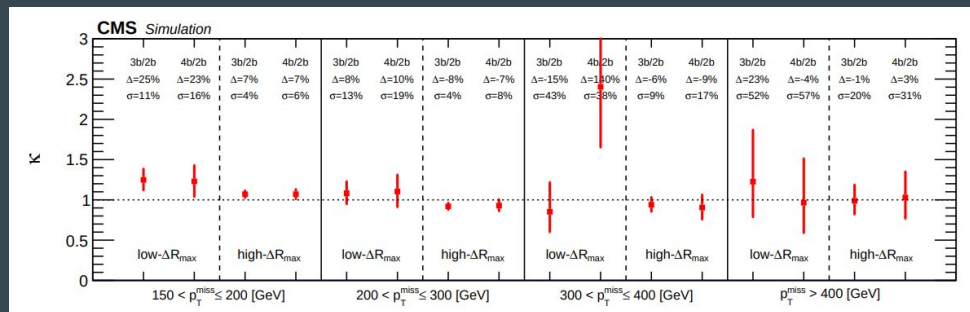
$$\kappa = \frac{N_{SR} N_{CSB}}{N_{SB} N_{CSR}}$$

Resolved scenario:

- Mass of Higgs boson candidates and number of b-tagged jets as discriminating variables
- Further discrimination by splitting in missing transverse energy (MET) and  $\Delta R$  bins

Boosted scenario:

- Number of double b-tagged wide jets and mass of wide jets as discriminating variables
- Further discrimination by splitting in missing transverse energy (MET)





# SUS-20-004: Results and interpretation

Signal yield extraction from maximum likelihood fit to data according to the **CLs method**

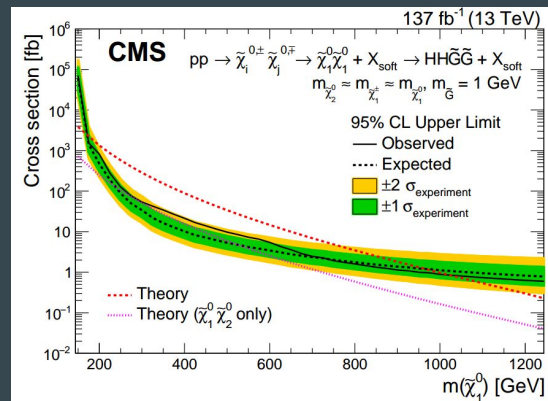
Boosted scenario **cleaned of overlap** with resolved

**No compelling excess of events observed:**

- Single bin not within stat. unc.
- In resolved scenario for
  - $\Delta R < 1.1$
  - $N(B) = 3$
  - $300 \text{ GeV} < \text{MET} < 400 \text{ GeV}$
- **4 observed yields vs 0.074 expected**
- Prediction based on 2 events
- Frequentist local significance: **3.2 sd**
- Global significance: **1.9 sd**
- Models considered do **not predict such excess**

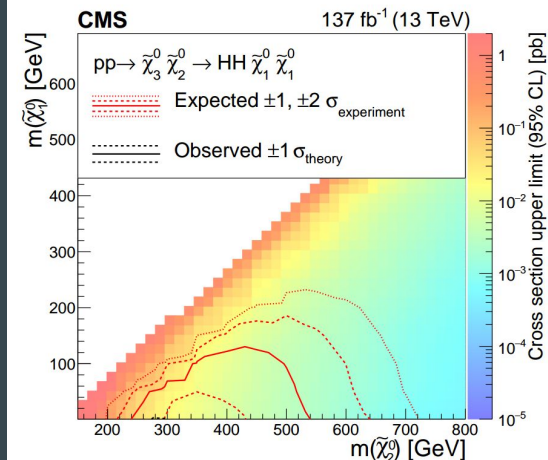
**Gauge mediated SUSY breaking**

- NLSP mass excluded from **175 GeV to 1025 GeV**
- SUS-19-012 exclusion up to **200 GeV**

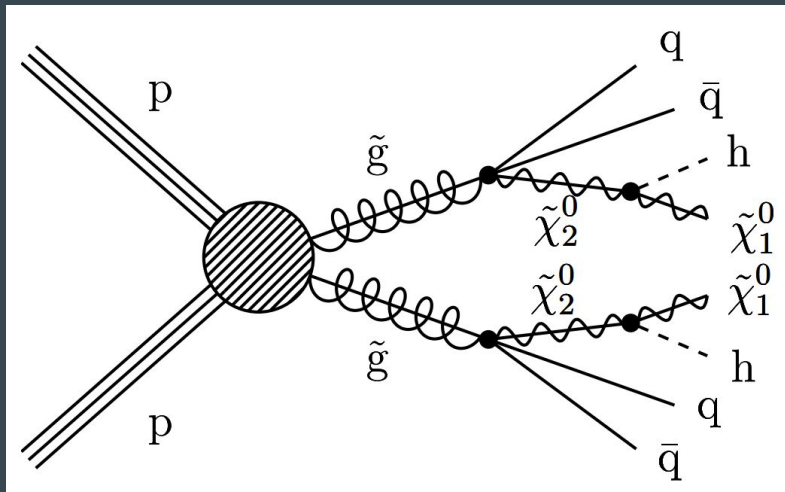


**Simplified SUSY**

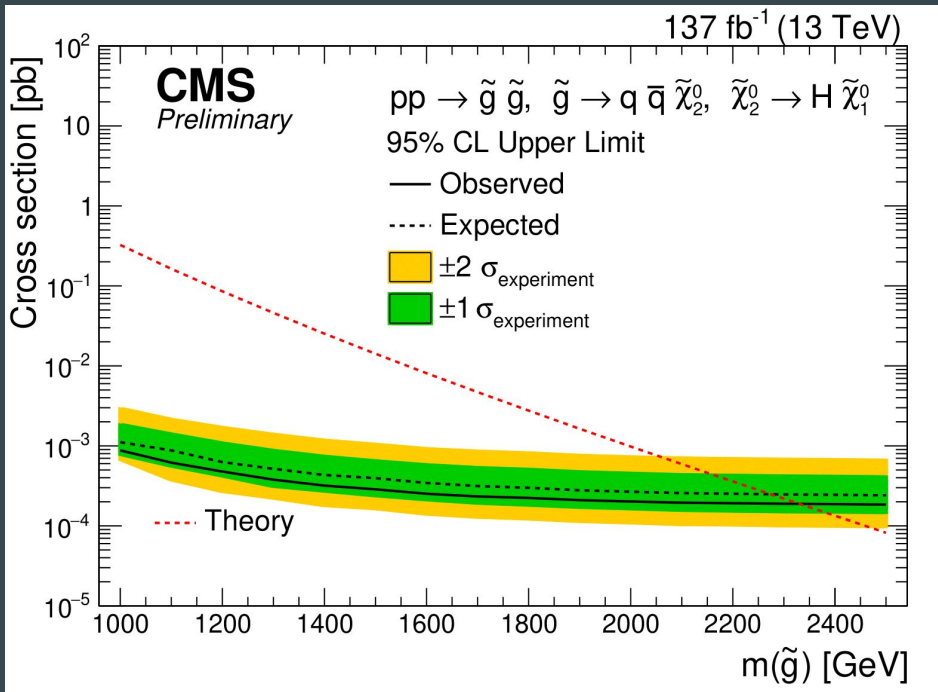
- Expected limits up to **520 GeV** for NLSP and **120 GeV** for LSP
- Observed cross sections below theoretical cross sections for entire plane



# SUS-20-004: Strong production

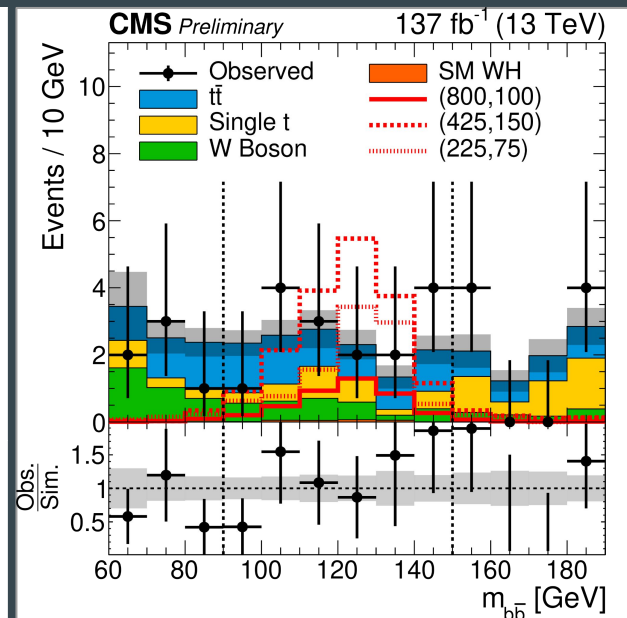
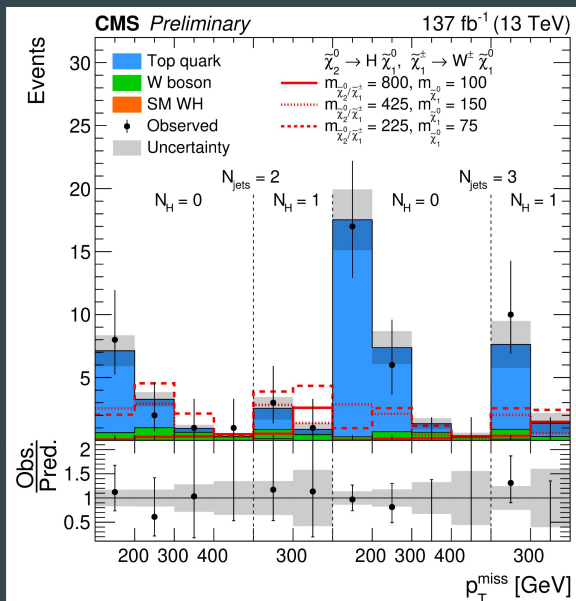
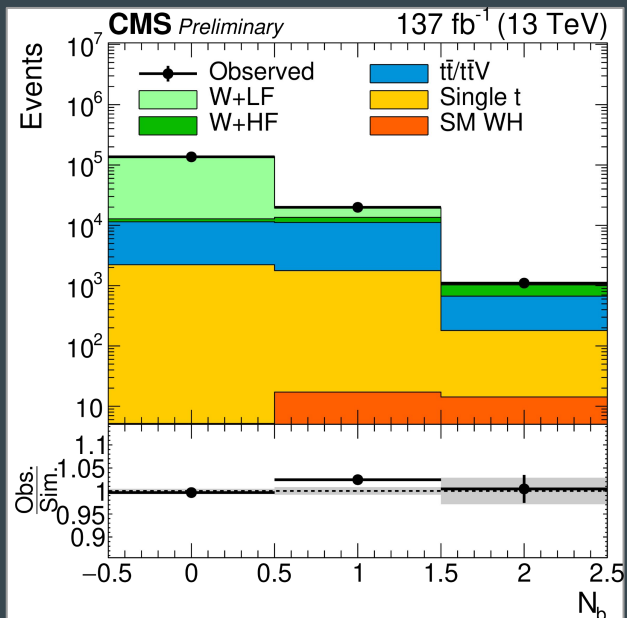


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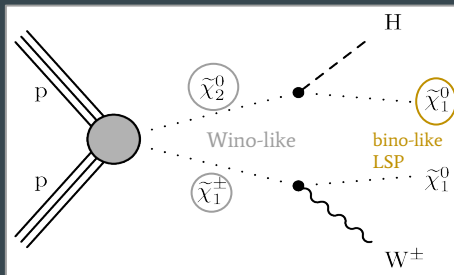


# SUS-20-003

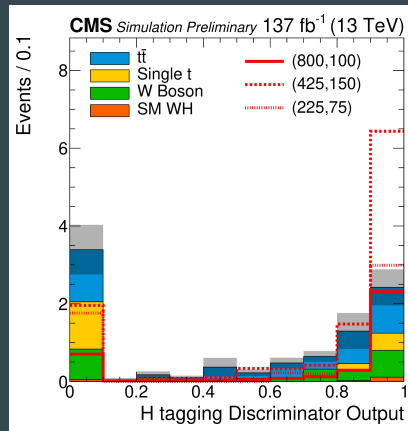


# SUS-20-003

“Search for chargino-neutralino production in final states with a Higgs boson and a W boson”



- **leptonic W decay**  
→ single lepton
- **H → bb (BR ~ 60%)**  
→ 2 b-tagged jets or  
single wide jet with  
H-tag from DNN

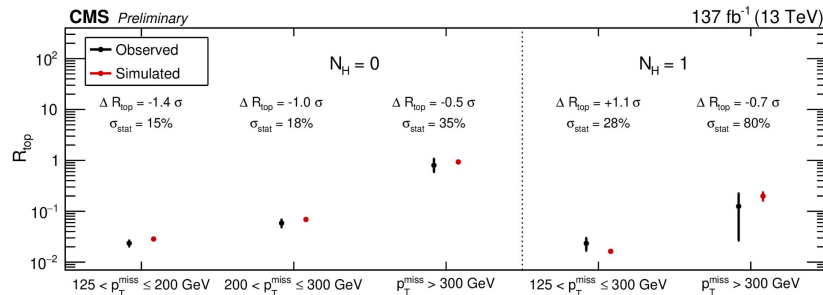


## Event Selection:

- 1 isolated lepton
- MET > 125 GeV
- 2-3 “regular” (AK4) jets with exactly 2 b-tagged jets consistent with H mass
- No isolated tracks or veto tau candidates
- MT > 150 GeV to remove W backgrounds
- High M(CT) to remove tt background (with endpoint at top quark mass)

## Backgrounds:

- Main contributions: **top quark** production and **W boson** production
- Estimated from data using **transfer factors** from CR to SR
- Transfer factors determined from background enriched CR in simulation
- Validated in data



## Categorization:

- Number of small-R jets
- Number of H-tagged wide jets
- Missing transverse energy